

# The Big, Bigger, and Biggest Five of Reverse Mathematics

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SLSS24

This talk reports on my joint project with Dag Normann (U. of Oslo) on the Reverse Mathematics of the uncountable.

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Then, we discuss our recent extensions of the Big Five.

# Reverse Mathematics

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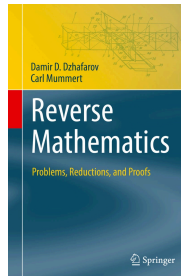
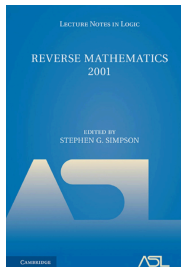
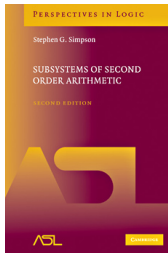
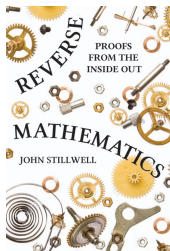
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Harvey Friedman & Steve Simpson (courtesy of MFO).

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Hence:  $\text{RCA}_0$  proves  $A \leftrightarrow T$ . One direction is the 'normal' one, the other is the 'reverse' way of doing math.

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**Exceptions:** theorems from combinatorics in the **RM zoo**.



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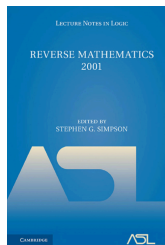
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Similar lists for the other Big Five.

# Higher-order Reverse Mathematics

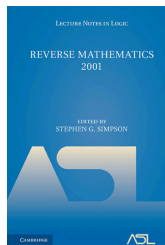
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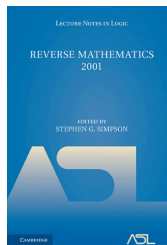
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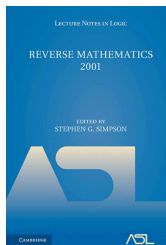
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This coding can get very messy very quickly.

# Coding continuous functions

DEFINITION II.6.1 (continuous functions). Within  $\text{RCA}_0$ , let  $\hat{A}$  and  $\hat{B}$  be complete separable metric spaces. A (code for a) *continuous partial function*  $\phi$  from  $\hat{A}$  to  $\hat{B}$  is a set of quintuples  $\Phi \subseteq \mathbb{N} \times A \times \mathbb{Q}^+ \times B \times \mathbb{Q}^+$  which is required to have certain properties. We write  $(a, r)\Phi(b, s)$  as an abbreviation for  $\exists n ((n, a, r, b, s) \in \Phi)$ . The properties which we require are:

1. if  $(a, r)\Phi(b, s)$  and  $(a, r)\Phi(b', s')$ , then  $d(b, b') \leq s + s'$ ;
2. if  $(a, r)\Phi(b, s)$  and  $(a', r') < (a, r)$ , then  $(a', r')\Phi(b, s)$ ;
3. if  $(a, r)\Phi(b, s)$  and  $(b, s) < (b', s')$ , then  $(a, r)\Phi(b', s')$ ;

where the notation  $(a', r') < (a, r)$  means that  $d(a, a') + r' < r$ .

The idea of the definition is that  $\Phi$  encodes a partially defined, continuous function  $\phi$  from  $\hat{A}$  to  $\hat{B}$ . Recall from the previous section that  $B(a, r)$  denotes the basic open ball centered at  $a$  with radius  $r$ . Intuitively,  $(a, r)\Phi(b, s)$  is a piece of information to the effect that  $\phi(x) \in$  the closure of  $B(b, s)$  whenever  $x \in B(a, r)$ , provided  $\phi(x)$  is defined. This is made precise in the following two paragraphs.

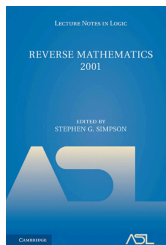
A point  $x \in \hat{A}$  is said to *belong to the domain of*  $\phi$ , abbreviated  $x \in \text{dom}(\phi)$ , provided the code  $\Phi$  of  $\phi$  contains sufficient information to evaluate  $\phi$  at  $x$ . This means that for all  $\epsilon > 0$  there exists  $(a, r)\Phi(b, s)$  such that  $d(x, a) < r$  and  $s < \epsilon$ . If  $x \in \text{dom}(\phi)$ , we define the value  $\phi(x)$  to be the unique point  $y \in \hat{B}$  such that  $d(y, b) \leq s$  for all  $(a, r)\Phi(b, s)$  with  $d(x, a) < r$ . If  $x \in \text{dom}(\phi)$ , we can use the code  $\Phi$  and minimization (theorem II.3.5) to prove within  $\text{RCA}_0$  that  $\phi(x)$  exists. Then, using condition [II.6.1.1](#) it is easy to prove within  $\text{RCA}_0$  that  $\phi(x)$  is unique (up to equality of points in  $\hat{B}$ , as defined in II.5.1).

We write  $\phi(x)$  is *defined* to mean that  $x \in \text{dom}(\phi)$ . We say that  $\phi$  is *totally defined on*  $\hat{A}$  if  $\phi(x)$  is defined for all  $x \in \hat{A}$ . We write  $\phi: \hat{A} \rightarrow \hat{B}$  to mean that  $\phi$  is a continuous, totally defined function from  $\hat{A}$  to  $\hat{B}$ .



## Higher-order Reverse Mathematics

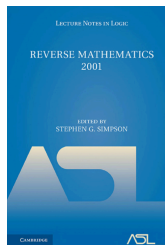
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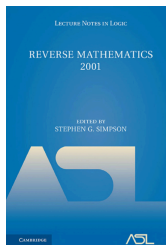


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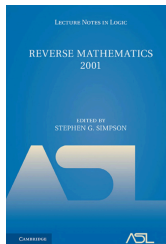


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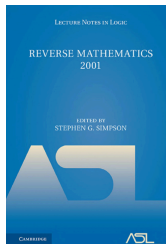


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Kohlenbach's base theory  $\text{RCA}_0^\omega$  proves the **same second-order** sentences as  $\text{RCA}_0$

**Introduction**

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**The Biggest Five**

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Real numbers and ' $=_{\mathbb{R}}$ ' defined **as in  $\text{RCA}_0$** ;  $\mathbb{R} \rightarrow \mathbb{R}$ -functions are  $\mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ -functions extensional relative to ' $=_{\mathbb{R}}$ '.

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These **third-order theorems** are called **second-order-ish** for obvious reasons. A similar phenomenon does **not** exist for first- and second-order theorems (AFAIK).

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Euler, Abel, Fourier, Dirichlet, ... studied **discontinuous** functions.

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**WILD**: there are  $2^c$  **non-measurable** quasi-continuous functions and  $2^c$  **non-Borel** bounded and measurable quasi-continuous functions.

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Same for  $ACA_0$ ,  $ATR_0$ , and  $\Pi_1^1\text{-}CA_0$  (see our JML23 paper).

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Extending the language (from second- to third-order) yields a **massive** extension of the Big Five.

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We call these systems '**Big**' since they boast many equivalences.

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Central to real analysis are  $D_f$  and  $C_f$ , the sets of discontinuity and continuity points for  $f : \mathbb{R} \rightarrow \mathbb{R}$ .

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### Definition

A set  $A \subset \mathbb{R}$  is **height-countable** if there a **height function**  $H : \mathbb{R} \rightarrow \mathbb{N}$  for  $A$ , i.e.  $\{x \in A : H(x) < n\}$  is finite for all  $n \in \mathbb{N}$ .

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Similar results for **BV-functions** (sometimes involving extra axioms).

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New 'Big' systems: uncountability of  $\mathbb{R}$ , Baire category theorem, Jordan decomposition theorem, and pigeon hole principle.

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- basic properties of the Lebesgue measure and integral,
- the special role of the Axiom of Choice,
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- the mercurial nature of the cardinality of  $\mathbb{R}$ :
  - ZFC cannot prove the **Continuum Hypothesis**.
  - $\text{RCA}_0^\omega + \text{Z}_2$  cannot prove: **there is no injection from  $\mathbb{R}$  to  $\mathbb{N}$** .
- basic properties of the integral
  - ZF cannot prove that  $\int_{[0,1]} f \, d\lambda = 0$  implies  $f(x) = 0$  a.e. for  $f : [0, 1] \rightarrow [0, 1]$  for the **Lebesgue** integral.
  - $\text{RCA}_0^\omega + \text{Z}_2$  cannot prove  $\int_0^1 f(x) dx = 0$  implies  $f(x) = 0$  a.e. for  $f : [0, 1] \rightarrow [0, 1]$  for the **Riemann** integral.
- the special role of the Axiom of Choice: countable AC versus NCC (see arxiv <https://arxiv.org/abs/2006.01614> or JLC).

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- the special role of the Axiom of Choice: countable AC versus NCC (see arxiv <https://arxiv.org/abs/2006.01614> or JLC).
- basic properties of measure (zero) and category.

Thanks!  
Questions?

Funded by the Klaus Tschira Foundation, German DFG, and RUB  
Bochum.