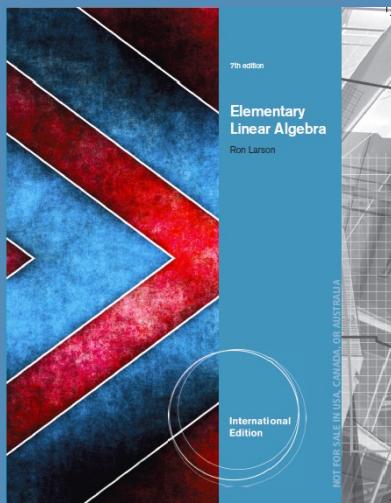


# CHAPTER 6

# LINEAR

# TRANSFORMATIONS



- 6.1 Introduction to Linear Transformations
- 6.2 The Kernel and Range of a Linear Transformation
- 6.3 Matrices for Linear Transformations
- 6.4 Transition Matrices and Similarity
- 6.5 Applications of Linear Transformations

# CH 6 Linear Algebra Applied



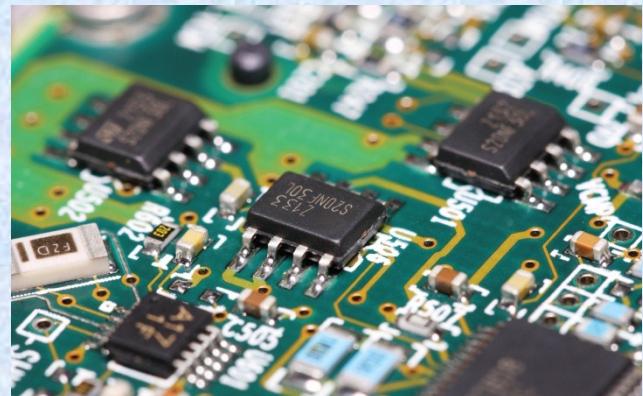
Multivariate Statistics (p.298)



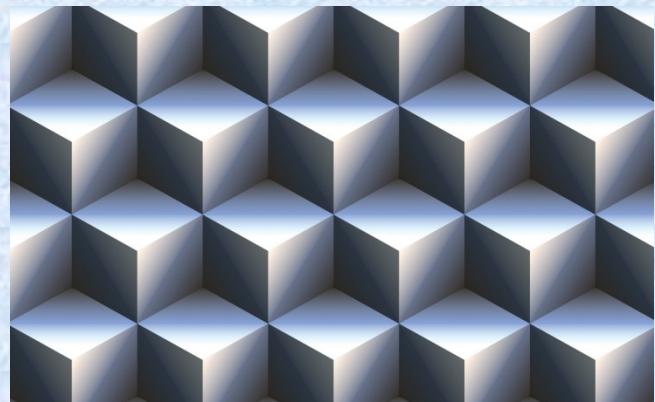
Control Systems (p.308)



Weather (p.325)



Circuit Design (p.316)



Computer Graphics (p.332)

# 6.1 Introduction to Linear Transformations

- Function  $T$  that maps a vector space  $V$  into a vector space  $W$ :

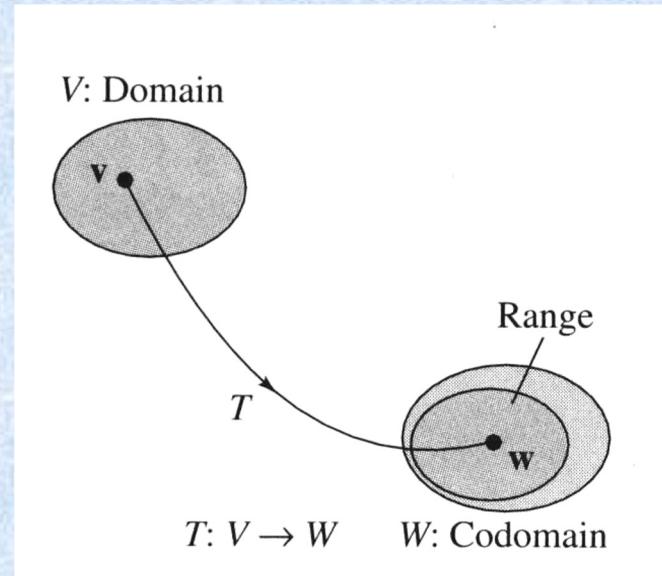
$$T : V \xrightarrow{\text{mapping}} W, \quad V, W : \text{vector space}$$

論域

$V$ : the domain of  $T$

$W$ : the codomain of  $T$

對應論域



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- **Image of  $\mathbf{v}$  under  $T$ :**

If  $\mathbf{v}$  is in  $V$  and  $\mathbf{w}$  is in  $W$  such that

$$T(\mathbf{v}) = \mathbf{w} \quad \text{像}$$

Then  $\mathbf{w}$  is called the image of  $\mathbf{v}$  under  $T$ .

- **the range of  $T$ :**

The set of all images of vectors in  $V$ .

反像

- **the preimage of  $\mathbf{w}$ :**

The set of all  $\mathbf{v}$  in  $V$  such that  $T(\mathbf{v})=\mathbf{w}$ .

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- Ex 1: (A function from  $R^2$  into  $R^2$ )

$$T : R^2 \rightarrow R^2 \quad \mathbf{v} = (v_1, v_2) \in R^2$$

$$T(v_1, v_2) = (v_1 - v_2, v_1 + 2v_2)$$

(a) Find the image of  $\mathbf{v}=(-1,2)$ . (b) Find the preimage of  $\mathbf{w}=(-1,11)$

Sol:

(a)  $\mathbf{v} = (-1, 2)$

$$\Rightarrow T(\mathbf{v}) = T(-1, 2) = (-1 - 2, -1 + 2(2)) = (-3, 3)$$

(b)  $T(\mathbf{v}) = \mathbf{w} = (-1, 11)$

$$T(v_1, v_2) = (v_1 - v_2, v_1 + 2v_2) = (-1, 11)$$

$$\Rightarrow v_1 - v_2 = -1$$

$$v_1 + 2v_2 = 11$$

$$\Rightarrow v_1 = 3, v_2 = 4 \text{ Thus } \{(3, 4)\} \text{ is the preimage of } \mathbf{w}=(-1, 11).$$

---

- **Linear Transformation (L.T.):**

$V, W$  : vector space

$T : V \rightarrow W$  :  $V$  to  $W$  linear transformation

$$(1) \quad T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v}), \quad \forall \mathbf{u}, \mathbf{v} \in V$$

$$(2) \quad T(c\mathbf{u}) = cT(\mathbf{u}), \quad \forall c \in R$$

---

- Notes:

(1) A linear transformation is said to be operation preserving.

$$T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$$

Addition  
in  $V$

$$T(\mathbf{u}) + T(\mathbf{v})$$

Addition  
in  $W$

$$T(c\mathbf{u}) = cT(\mathbf{u})$$

Scalar  
multiplication  
in  $V$

$$cT(\mathbf{u})$$

Scalar  
multiplication  
in  $W$

(2) A linear transformation  $T : V \rightarrow V$  from a vector space into itself is called a **linear operator**.

- 
- Ex 2: (Verifying a linear transformation  $T$  from  $R^2$  into  $R^2$ )

$$T(v_1, v_2) = (v_1 - v_2, v_1 + 2v_2)$$

Pf:

$\mathbf{u} = (u_1, u_2)$ ,  $\mathbf{v} = (v_1, v_2)$ : vector in  $R^2$ ,  $c$ : any real number

(1) Vector addition :

$$\mathbf{u} + \mathbf{v} = (u_1, u_2) + (v_1, v_2) = (u_1 + v_1, u_2 + v_2)$$

$$\begin{aligned} T(\mathbf{u} + \mathbf{v}) &= T(u_1 + v_1, u_2 + v_2) \\ &= ((u_1 + v_1) - (u_2 + v_2), (u_1 + v_1) + 2(u_2 + v_2)) \\ &= ((u_1 - u_2) + (v_1 - v_2), (u_1 + 2u_2) + (v_1 + 2v_2)) \\ &= (u_1 - u_2, u_1 + 2u_2) + (v_1 - v_2, v_1 + 2v_2) \\ &= T(\mathbf{u}) + T(\mathbf{v}) \end{aligned}$$

---

## (2) Scalar multiplication

$$c\mathbf{u} = c(u_1, u_2) = (cu_1, cu_2)$$

$$\begin{aligned}T(c\mathbf{u}) &= T(cu_1, cu_2) = (cu_1 - cu_2, cu_1 + 2cu_2) \\&= c(u_1 - u_2, u_1 + 2u_2) \\&= cT(\mathbf{u})\end{aligned}$$

Therefore,  $T$  is a linear transformation.

---

- Ex 3: (Functions that are not linear transformations)

(a)  $f(x) = \sin x$

$$\sin(x_1 + x_2) \neq \sin(x_1) + \sin(x_2) \Leftarrow f(x) = \sin x \text{ is not}$$

$$\sin\left(\frac{\pi}{2} + \frac{\pi}{3}\right) \neq \sin\left(\frac{\pi}{2}\right) + \sin\left(\frac{\pi}{3}\right) \text{ linear transformation}$$

(b)  $f(x) = x^2$

$$(x_1 + x_2)^2 \neq x_1^2 + x_2^2 \Leftarrow f(x) = x^2 \text{ is not linear}$$

$$(1+2)^2 \neq 1^2 + 2^2 \text{ transformation}$$

(c)  $f(x) = x + 1$

$$f(x_1 + x_2) = x_1 + x_2 + 1$$

$$f(x_1) + f(x_2) = (x_1 + 1) + (x_2 + 1) = x_1 + x_2 + 2$$

$$f(x_1 + x_2) \neq f(x_1) + f(x_2) \Leftarrow f(x) = x + 1 \text{ is not}$$

linear transformation 9/90

- 
- Notes: Two uses of the term “linear”.
    - (1)  $f(x) = x + 1$  is called a linear function because its graph is a line.
    - (2)  $f(x) = x + 1$  is not a linear transformation from a vector space  $R$  into  $R$  because it preserves neither vector addition nor scalar multiplication.

- 
- Zero transformation:

$$T : V \rightarrow W \quad T(\mathbf{v}) = 0, \quad \forall \mathbf{v} \in V$$

- Identity transformation:

$$T : V \rightarrow V \quad T(\mathbf{v}) = \mathbf{v}, \quad \forall \mathbf{v} \in V$$

- Thm 6.1: (Properties of linear transformations)

$$T : V \rightarrow W, \quad \mathbf{u}, \mathbf{v} \in V$$

$$(1) \quad T(\mathbf{0}) = \mathbf{0}$$

$$(2) \quad T(-\mathbf{v}) = -T(\mathbf{v})$$

$$(3) \quad T(\mathbf{u} - \mathbf{v}) = T(\mathbf{u}) - T(\mathbf{v})$$

$$(4) \quad \text{If } \mathbf{v} = c_1 \mathbf{v}_1 + c_2 \mathbf{v}_2 + \cdots + c_n \mathbf{v}_n$$

$$\text{Then } T(\mathbf{v}) = T(c_1 \mathbf{v}_1 + c_2 \mathbf{v}_2 + \cdots + c_n \mathbf{v}_n)$$

$$= c_1 T(\mathbf{v}_1) + c_2 T(\mathbf{v}_2) + \cdots + c_n T(\mathbf{v}_n)$$

# Proof of Theorem 6.1

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1.  $T(0) = T(0v) = 0T(v) = 0.$
2.  $T(-v) = -T(v).$
3.  $T(u - v) = T(u + (-v)) = T(u) + T(-v) = T(u) - T(v).$
4.  $T(v) = T(c_1v_1 + \cdots + c_nv_n) = T(c_1v_1) + \cdots + T(c_nv_n) = c_1T(v_1) + \cdots + c_nT(v_n)$

---

- Ex 4: (Linear transformations and bases)

Let  $T : R^3 \rightarrow R^3$  be a linear transformation such that

$$T(1,0,0) = (2, -1, 4)$$

$$T(0,1,0) = (1, 5, -2)$$

$$T(0,0,1) = (0, 3, 1)$$

Find  $T(2, 3, -2)$ .

Sol:

$$(2, 3, -2) = 2(1, 0, 0) + 3(0, 1, 0) - 2(0, 0, 1)$$

$$\begin{aligned} T(2, 3, -2) &= 2T(1, 0, 0) + 3T(0, 1, 0) - 2T(0, 0, 1) && (T \text{ is a L.T.}) \\ &= 2(2, -1, 4) + 3(1, 5, -2) - 2(0, 3, 1) \\ &= (7, 7, 0) \end{aligned}$$

■ Ex 5: (A linear transformation defined by a matrix)

The function  $T : R^2 \rightarrow R^3$  is defined as  $T(\mathbf{v}) = A\mathbf{v} = \begin{bmatrix} 3 & 0 \\ 2 & 1 \\ -1 & -2 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$

(a) Find  $T(\mathbf{v})$ , where  $\mathbf{v} = (2, -1)$

(b) Show that  $T$  is a linear transformation from  $R^2$  into  $R^3$

Sol: (a)  $\mathbf{v} = (2, -1)$

$R^2$  vector  $\downarrow$   $R^3$  vector  $\downarrow$

$$T(\mathbf{v}) = A\mathbf{v} = \begin{bmatrix} 3 & 0 \\ 2 & 1 \\ -1 & -2 \end{bmatrix} \begin{bmatrix} 2 \\ -1 \end{bmatrix} = \begin{bmatrix} 6 \\ 3 \\ 0 \end{bmatrix}$$

$$\therefore T(2, -1) = (6, 3, 0)$$

$$(b) T(\mathbf{u} + \mathbf{v}) = A(\mathbf{u} + \mathbf{v}) = A\mathbf{u} + A\mathbf{v} = T(\mathbf{u}) + T(\mathbf{v}) \quad (\text{vector addition})$$

$$T(c\mathbf{u}) = A(c\mathbf{u}) = c(A\mathbf{u}) = cT(\mathbf{u})$$

(scalar multiplication)

- Thm 6.2: (The linear transformation given by a matrix)

Let  $A$  be an  $m \times n$  matrix. The function  $T$  defined by

$$T(\mathbf{v}) = A\mathbf{v}$$

is a linear transformation from  $R^n$  into  $R^m$ .

- Note:

$$A\mathbf{v} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = \begin{bmatrix} a_{11}v_1 + a_{12}v_2 + \cdots + a_{1n}v_n \\ a_{21}v_1 + a_{22}v_2 + \cdots + a_{2n}v_n \\ \vdots \\ a_{m1}v_1 + a_{m2}v_2 + \cdots + a_{mn}v_n \end{bmatrix}$$

$R^n$  vector       $R^m$  vector

$$T(\mathbf{v}) = A\mathbf{v}$$

$$T : R^n \longrightarrow R^m$$

---

- Ex 7: (Rotation in the plane)

Show that the L.T.  $T : R^2 \rightarrow R^2$  given by the matrix

$$A = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

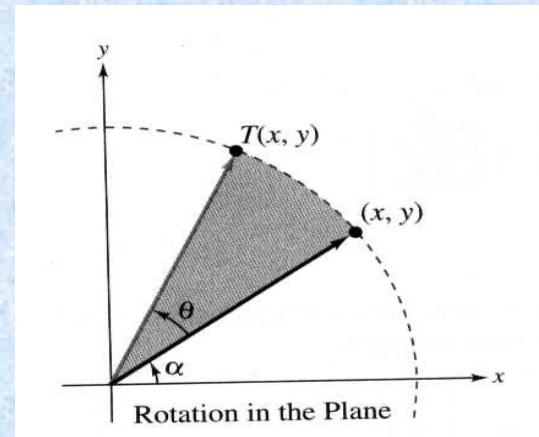
has the property that it rotates every vector in  $R^2$  counterclockwise about the origin through the angle  $\theta$ .

Sol:

$$v = (x, y) = (r \cos \alpha, r \sin \alpha) \quad (\text{polar coordinates})$$

$r$  : the length of  $v$

$\alpha$  : the angle from the positive  $x$ -axis counterclockwise to the vector  $v$



$$\begin{aligned}
T(\mathbf{v}) = A\mathbf{v} &= \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} r \cos\alpha \\ r \sin\alpha \end{bmatrix} \\
&= \begin{bmatrix} r \cos\theta \cos\alpha - r \sin\theta \sin\alpha \\ r \sin\theta \cos\alpha + r \cos\theta \sin\alpha \end{bmatrix} \\
&= \begin{bmatrix} r \cos(\theta + \alpha) \\ r \sin(\theta + \alpha) \end{bmatrix}
\end{aligned}$$

$r$  : the length of  $T(\mathbf{v})$

$\theta + \alpha$  : the angle from the positive  $x$ -axis counterclockwise to the vector  $T(\mathbf{v})$

Thus,  $T(\mathbf{v})$  is the vector that results from rotating the vector  $\mathbf{v}$  counterclockwise through the angle  $\theta$ .

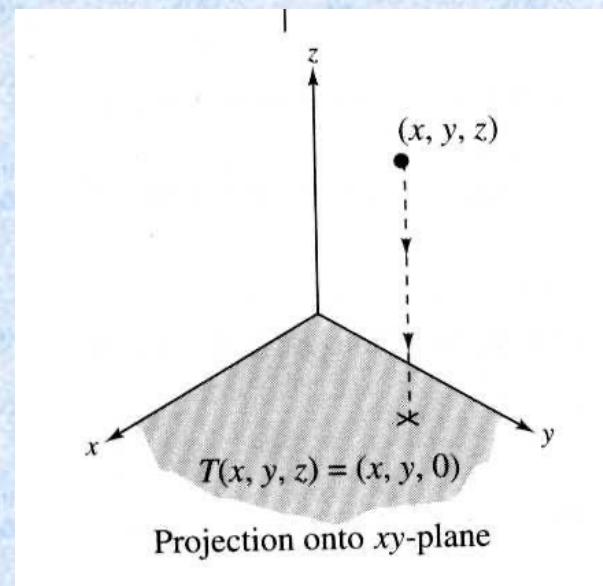
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- Ex 8: (A projection in  $R^3$ )

The linear transformation  $T : R^3 \rightarrow R^3$  is given by

$$A = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

is called a projection in  $R^3$ .



- 
- Ex 9: (A linear transformation from  $M_{m \times n}$  into  $M_{n \times m}$ )

$$T(A) = A^T \quad (T : M_{m \times n} \rightarrow M_{n \times m})$$

Show that  $T$  is a linear transformation.

Sol:

$$A, B \in M_{m \times n}$$

$$T(A+B) = (A+B)^T = A^T + B^T = T(A) + T(B)$$

$$T(cA) = (cA)^T = cA^T = cT(A)$$

Therefore,  $T$  is a linear transformation from  $M_{m \times n}$  into  $M_{n \times m}$ .

# Key Learning in Section 6.1

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- Find the image and preimage of a function.
- Show that a function is a linear transformation, and find a linear transformation.

# Keywords in Section 6.1

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- function: 函數
- domain: 論域
- codomain: 對應論域
- image of  $v$  under  $T$ : 在  $T$  映射下  $v$  的像
- range of  $T$ :  $T$  的值域
- preimage of  $w$ :  $w$  的反像
- linear transformation: 線性轉換
- linear operator: 線性運算子
- zero transformation: 零轉換
- identity transformation: 相等轉換

## Review exercises

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4. Find (a) the image of  $v$  and (b) the preimage of  $w$  for the linear transformation.

$$T: R^3 \rightarrow R^3, T(v_1, v_2, v_3) = (v_1 + v_2, v_2 + v_3, v_3), \\ v = (-2, 1, 2), w = (0, 1, 2).$$

16. Let  $T$  be a linear transformation from  $R^2$  into  $R^2$  such that  $T(1, -1) = (2, -3)$  and  $T(0, 2) = (0, 8)$ . Find  $T(2, 4)$ .

## 6.2 The Kernel and Range of a Linear Transformation

- Kernel of a linear transformation  $T$ :

Let  $T : V \rightarrow W$  be a linear transformation

Then the set of all vectors  $\mathbf{v}$  in  $V$  that satisfy  $T(\mathbf{v}) = 0$  is called the kernel of  $T$  and is denoted by  $\ker(T)$ .

$$\ker(T) = \{\mathbf{v} \mid T(\mathbf{v}) = 0, \forall \mathbf{v} \in V\}$$

零向量

- Ex 1: (Finding the kernel of a linear transformation)

$$T(A) = A^T \quad (T : M_{3 \times 2} \rightarrow M_{2 \times 3})$$

Sol:

$$\ker(T) = \left\{ \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \right\}$$

---

- Ex 2: (The kernel of the zero and identity transformations)

(a)  $T(\mathbf{v}) = \mathbf{0}$  (the zero transformation)     $T : V \rightarrow W$

$$\ker(T) = V$$

(b)  $T(\mathbf{v}) = \mathbf{v}$  (the identity transformation)     $T : V \rightarrow V$

$$\ker(T) = \{\mathbf{0}\}$$

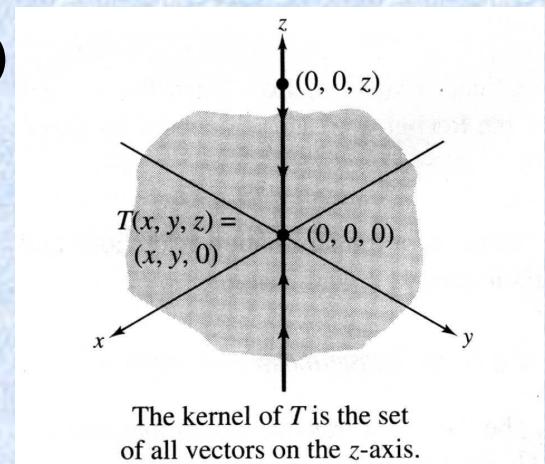
- Ex 3: (Finding the kernel of a linear transformation)

$$T(x, y, z) = (x, y, 0) \quad (T : R^3 \rightarrow R^3)$$

$$\ker(T) = ?$$

Sol:

$$\ker(T) = \{(0, 0, z) \mid z \text{ is a real number}\}$$



---

- Ex 5: (Finding the kernel of a linear transformation)

$$T(\mathbf{x}) = A\mathbf{x} = \begin{bmatrix} 1 & -1 & -2 \\ -1 & 2 & 3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \quad (T : R^3 \rightarrow R^2)$$
$$\ker(T) = ?$$

Sol:

$$\ker(T) = \{(x_1, x_2, x_3) \mid T(x_1, x_2, x_3) = (0,0), x = (x_1, x_2, x_3) \in R^3\}$$

$$T(x_1, x_2, x_3) = (0,0)$$

$$\begin{bmatrix} 1 & -1 & -2 \\ -1 & 2 & 3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

---

$$\left[ \begin{array}{cccc} 1 & -1 & -2 & 0 \\ -1 & 2 & 3 & 0 \end{array} \right] \xrightarrow{G.J.E} \left[ \begin{array}{cccc} 1 & 0 & -1 & 0 \\ 0 & 1 & 1 & 0 \end{array} \right]$$

$$\Rightarrow \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} t \\ -t \\ t \end{bmatrix} = t \begin{bmatrix} 1 \\ -1 \\ 1 \end{bmatrix}$$

$$\begin{aligned} \Rightarrow \ker(T) &= \{t(1, -1, 1) \mid t \text{ is a real number}\} \\ &= \text{span}\{(1, -1, 1)\} \end{aligned}$$

---

- Thm 6.3: (The kernel is a subspace of  $V$ )

The kernel of a linear transformation  $T : V \rightarrow W$  is a subspace of the domain  $V$ .

Pf:  $\because T(0) = 0$  (Theorem 6.1)

$\therefore \ker(T)$  is a nonempty subset of  $V$

Let  $\mathbf{u}$  and  $\mathbf{v}$  be vectors in the kernel of  $T$ . then

$$T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v}) = 0 + 0 = 0 \quad \Rightarrow \mathbf{u} + \mathbf{v} \in \ker(T)$$

$$T(c\mathbf{u}) = cT(\mathbf{u}) = c0 = 0 \quad \Rightarrow c\mathbf{u} \in \ker(T)$$

Thus,  $\ker(T)$  is a subspace of  $V$ .

- Note:

The kernel of  $T$  is sometimes called the **nullspace** of  $T$ .

---

- Ex 6: (Finding a basis for the kernel)

Let  $T : \mathbb{R}^5 \rightarrow \mathbb{R}^4$  be defined by  $T(\mathbf{x}) = A\mathbf{x}$ , where  $\mathbf{x}$  is in  $\mathbb{R}^5$  and

$$A = \begin{bmatrix} 1 & 2 & 0 & 1 & -1 \\ 2 & 1 & 3 & 1 & 0 \\ -1 & 0 & -2 & 0 & 1 \\ 0 & 0 & 0 & 2 & 8 \end{bmatrix}$$

Find a basis for  $\ker(T)$  as a subspace of  $\mathbb{R}^5$ .

Sol:

$$[A \mid 0] =$$

$$\left[ \begin{array}{cccccc} 1 & 2 & 0 & 1 & -1 & 0 \\ 2 & 1 & 3 & 1 & 0 & 0 \\ -1 & 0 & -2 & 0 & 1 & 0 \\ 0 & 0 & 0 & 2 & 8 & 0 \end{array} \right] \xrightarrow{G.J.E} \left[ \begin{array}{cccccc} 1 & 0 & 2 & 0 & -1 & 0 \\ 0 & 1 & -1 & 0 & -2 & 0 \\ 0 & 0 & 0 & 1 & 4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right]$$

*s*      *t*

$$x = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix} = \begin{bmatrix} -2s+t \\ s+2t \\ s \\ -4t \\ t \end{bmatrix} = s \begin{bmatrix} -2 \\ 1 \\ 1 \\ 0 \\ 0 \end{bmatrix} + t \begin{bmatrix} 1 \\ 2 \\ 0 \\ -4 \\ 1 \end{bmatrix}$$

$B = \{(-2, 1, 1, 0, 0), (1, 2, 0, -4, 1)\}$ : one basis for the kernel of  $T$

---

- Corollary to Thm 6.3:

Let  $T : R^n \rightarrow R^m$  be the L.T given by  $T(\mathbf{x}) = A\mathbf{x}$

Then the kernel of T is equal to the solution space of  $A\mathbf{x} = 0$

$$T(\mathbf{x}) = A\mathbf{x} \quad (\text{a linear transformation } T : R^n \rightarrow R^m)$$

$$\Rightarrow Ker(T) = NS(A) = \left\{ \mathbf{x} \mid A\mathbf{x} = 0, \forall \mathbf{x} \in R^m \right\} \quad (\text{subspace of } R^m)$$

- Range of a linear transformation  $T$ :

Let  $T : V \rightarrow W$  be a L.T.

Then the set of all vectors w in W that are images of vector in V is called the range of T and is denoted by  $range(T)$

$$range(T) = \{T(\mathbf{v}) \mid \forall \mathbf{v} \in V\}$$

---

- Thm 6.4: (The range of  $T$  is a subspace of  $W$ )

The range of a linear transformation  $T : V \rightarrow W$  is a subspace of  $W$ .

Pf:

$$\because T(0) = 0 \quad (\text{Thm.6.1})$$

$\therefore \text{range}(T)$  is a nonempty subset of  $W$

Let  $T(\mathbf{u})$  and  $T(\mathbf{v})$  be vector in the range of  $T$

$$T(\mathbf{u}) + T(\mathbf{v}) = T(\mathbf{u} + \mathbf{v}) \in \text{range}(T) \quad (\mathbf{u} \in V, \mathbf{v} \in V \Rightarrow \mathbf{u} + \mathbf{v} \in V)$$

$$cT(\mathbf{u}) = T(c\mathbf{u}) \in \text{range}(T) \quad (\mathbf{u} \in V \Rightarrow c\mathbf{u} \in V)$$

Therefore,  $\text{range}(T)$  is  $W$  subspace.

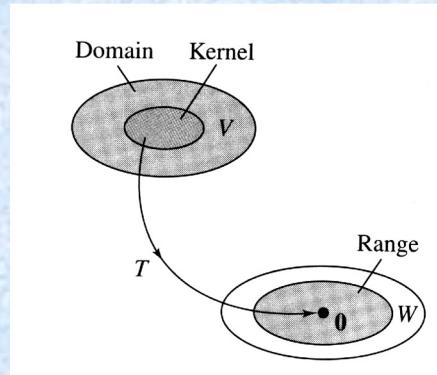
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- Notes:

$T : V \rightarrow W$  is a L.T.

(1)  $\text{Ker}(T)$  is subspace of  $V$

(2)  $\text{range}(T)$  is subspace of  $W$



- Corollary to Thm 6.4:

Let  $T : R^n \rightarrow R^m$  be the L.T. given by  $T(\mathbf{x}) = A\mathbf{x}$

Then the range of  $T$  is equal to the column space of  $A$

$$\Rightarrow \text{range}(T) = CS(A)$$

---

- Ex 7: (Finding a basis for the range of a linear transformation)

Let  $T : R^5 \rightarrow R^4$  be defined by  $T(\mathbf{x}) = A\mathbf{x}$ , where  $\mathbf{x}$  is  $R^5$  and

$$A = \begin{bmatrix} 1 & 2 & 0 & 1 & -1 \\ 2 & 1 & 3 & 1 & 0 \\ -1 & 0 & -2 & 0 & 1 \\ 0 & 0 & 0 & 2 & 8 \end{bmatrix}$$

Find a basis for the range of  $T$ .

Sol:

$$A = \begin{bmatrix} 1 & 2 & 0 & 1 & -1 \\ 2 & 1 & 3 & 1 & 0 \\ -1 & 0 & -2 & 0 & 1 \\ 0 & 0 & 0 & 2 & 8 \end{bmatrix} \xrightarrow{G.J.E} \begin{bmatrix} 1 & 0 & 2 & 0 & -1 \\ 0 & 1 & -1 & 0 & 2 \\ 0 & 0 & 0 & 1 & 4 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} = B$$

$c_1 \quad c_2 \quad c_3 \quad c_4 \quad c_5 \qquad w_1 \quad w_2 \quad w_3 \quad w_4 \quad w_5$

$\Rightarrow \{w_1, w_2, w_4\}$  is a basis for  $CS(B)$

$\{c_1, c_2, c_4\}$  is a basis for  $CS(A)$

$\Rightarrow \{(1, 2, -1, 0), (2, 1, 0, 0), (1, 1, 0, 2)\}$  is a basis for the range of  $T$

- 
- Rank of a linear transformation  $T:V \rightarrow W$ :

$\text{rank}(T)$  = the dimension of the range of  $T$

- Nullity of a linear transformation  $T:V \rightarrow W$ :

$\text{nullity}(T)$  = the dimension of the kernel of  $T$

- Note:

Let  $T : R^n \rightarrow R^m$  be the L.T. given by  $T(\mathbf{x}) = A\mathbf{x}$ , then

$$\text{rank}(T) = \text{rank}(A)$$

$$\text{nullity}(T) = \text{nullity}(A)$$

## Definition of Rank and Nullity of a Linear Transformation

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- Let  $T: V \rightarrow W$  be a linear transformation.
- The dimension of the kernel of  $T$  is called the nullity of  $T$  and is denoted by  $\text{nullity}(T)$ .
- The dimension of the range of  $T$  is called the rank of  $T$  and is denoted by  $\text{rank}(T)$ .

---

- Thm 6.5: (Sum of rank and nullity)

Let  $T : V \rightarrow W$  be a L.T. from an  $n$ -dimensional vector space  $V$  into a vector space  $W$ . then

$$\text{rank}(T) + \text{nullity}(T) = n$$

Pf:  $\dim(\text{range of } T) + \dim(\text{kernel of } T) = \dim(\text{domain of } T)$

Let  $T$  is represented by an  $m \times n$  matrix  $A$

Assume  $\text{rank}(A) = r$  (Thm4.15, Ch4\_3)

$$(1) \text{rank}(T) = \dim(\text{range of } T) = \dim(\text{column space of } A)$$

$$= \text{rank}(A) = r$$

$$(2) \text{nullity}(T) = \dim(\text{kernel of } T) = \dim(\text{solution space of } Ax = 0)$$

$$= n - r$$

$$\Rightarrow \text{rank}(T) + \text{nullity}(T) = r + (n - r) = n$$

---

- Ex 8: (Finding the rank and nullity of a linear transformation)

Find the rank and nullity of the L.T.  $T : R^3 \rightarrow R^3$  define by

$$A = \begin{bmatrix} 1 & 0 & -2 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

Sol:

$$\text{rank}(T) = \text{rank}(A) = 2$$

$$\text{nullity}(T) = \dim(\text{domain of } T) - \text{rank}(T) = 3 - 2 = 1$$

---

- Ex 9: (Finding the rank and nullity of a linear transformation)

Let  $T : R^5 \rightarrow R^7$  be a linear transformation.

- (a) Find the dimension of the kernel of  $T$  if the dimension of the range is 2
- (b) Find the rank of  $T$  if the nullity of  $T$  is 4
- (c) Find the rank of  $T$  if  $Ker(T) = \{0\}$

Sol:

$$(a) \dim(\text{domain of } T) = 5$$

$$\dim(\text{kernel of } T) = n - \dim(\text{range of } T) = 5 - 2 = 3$$

$$(b) rank(T) = n - nullity(T) = 5 - 4 = 1$$

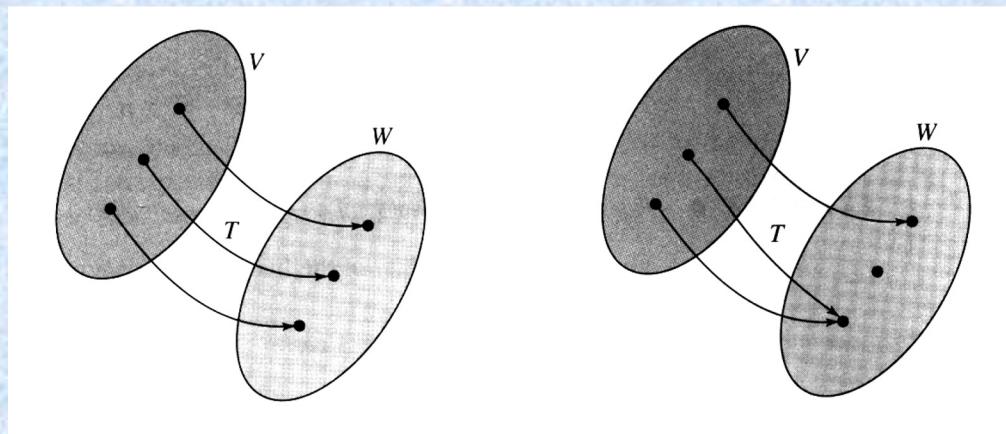
$$(c) rank(T) = n - nullity(T) = 5 - 0 = 5$$

---

- **One-to-one:**

A function  $T : V \rightarrow W$  is called one - to - one if the preimage of every  $w$  in the range consists of a single vector.

$T$  is one - to - one iff for all  $u$  and  $v$  in  $V$ ,  $T(\mathbf{u}) = T(\mathbf{v})$  implies that  $\mathbf{u} = \mathbf{v}$ .



one-to-one

not one-to-one

---

- **Onto:**

A function  $T : V \rightarrow W$  is said to be onto if every element in  $W$  has a preimage in  $V$

( $T$  is onto  $W$  when  $W$  is equal to the range of  $T$ .)

---

- Thm 6.6: (One-to-one linear transformation)

Let  $T : V \rightarrow W$  be a L.T.

Then T is 1-1 iff  $\text{Ker}(T) = \{0\}$

Pf:

Suppose T is 1-1 (Thm6.1)

Then  $T(v) = 0$  can have only one solution :  $v = 0$

i.e.  $\text{Ker}(T) = \{0\}$

---

Suppose  $\text{Ker}(T) = \{0\}$  and  $T(u) = T(v)$

$$T(u - v) = T(u) - T(v) = 0$$

 T is a L.T.

$$\because u - v \in \text{Ker}(T) \Rightarrow u - v = 0$$

$\Rightarrow T$  is 1-1

- 
- Ex 10: (One-to-one and not one-to-one linear transformation)
    - (a) The L.T.  $T : M_{m \times n} \rightarrow M_{n \times m}$  given by  $T(A) = A^T$  is one - to - one.  
Because its kernel consists of only the  $m \times n$  zero matrix.
    - (b) The zero transformation  $T : R^3 \rightarrow R^3$  is not one - to - one.  
Because its kernel is all of  $R^3$ .

---

- Thm 6.7: (Onto linear transformation)

Let  $T : V \rightarrow W$  be a L.T., where  $W$  is finite dimensional.

Then  $T$  is onto iff the rank of  $T$  is equal to the dimension of  $W$ .

- Thm 6.8: (One-to-one and onto linear transformation)

Let  $T : V \rightarrow W$  be a L.T. with vector space  $V$  and  $W$  both of dimension  $n$ . Then  $T$  is one - to - one if and only if it is onto.

Pf:

If  $T$  is one - to - one, then  $\text{Ker}(T) = \{0\}$  and  $\dim(\text{Ker}(T)) = 0$   
 $\dim(\text{range}(T)) = n - \dim(\text{Ker}(T)) = n = \dim(W)$

Consequently,  $T$  is onto.

If  $T$  is onto, then  $\dim(\text{range of } T) = \dim(W) = n$

$\dim(\text{Ker}(T)) = n - \dim(\text{range of } T) = n - n = 0$

Therefore,  $T$  is one - to - one.

# Proof of Theorem 6.7

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- Let  $T: V \rightarrow W$  be a linear transformation, where  $\dim(W) = n$ .
- ( $\Rightarrow$ ) If  $T$  is onto, then  $W$  is equal to the range of  $T$ . So,  $W$  and the range of  $T$  have the same dimension, and the rank of  $T$  is  $n$ .
- ( $\Leftarrow$ ) If the rank of  $T$  is  $n$ , then there are  $n$  linearly independent vectors  $T(v_1), \dots, T(v_n)$  in the range of  $T$ .
- Since the range of  $T$  is a subspace of  $W$ ,  $T(v_1), \dots, T(v_n)$  are linearly independent in  $W$ . Thus, they form a basis for  $W$ .

- 
- So, any vector  $w \in W$  can be written as a linear combination

$$w = c_1 T(v_1) + \cdots + c_n T(v_n) = T(c_1 v_1 + \cdots + c_n v_n)$$

- Since any  $w \in W$  is in the range of  $T$ ,  $T$  is onto.

■ Ex 11:

The L.T.  $T : R^n \rightarrow R^m$  is given by  $T(\mathbf{x}) = A\mathbf{x}$ , Find the nullity and rank of  $T$  and determine whether  $T$  is one - to - one, onto, or neither.

$$(a) A = \begin{bmatrix} 1 & 2 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

$$(b) A = \begin{bmatrix} 1 & 2 \\ 0 & 1 \\ 0 & 0 \end{bmatrix}$$

$$(c) A = \begin{bmatrix} 1 & 2 & 0 \\ 0 & 1 & -1 \end{bmatrix}$$

$$(d) A = \begin{bmatrix} 1 & 2 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

Sol:

$T:R^n \rightarrow R^m$	dim(domain of $T$ )	rank( $T$ )	nullity( $T$ )	1-1	onto
(a) $T:R^3 \rightarrow R^3$	3	3	0	Yes	Yes
(b) $T:R^2 \rightarrow R^3$	2	2	0	Yes	No
(c) $T:R^3 \rightarrow R^2$	3	2	1	No	Yes
(d) $T:R^3 \rightarrow R^3$	3	2	1	No	No

- 
- **Isomorphism:**  
A linear transformation  $T : V \rightarrow W$  that is one to one and onto is called an isomorphism. Moreover, if  $V$  and  $W$  are vector spaces such that there exists an isomorphism from  $V$  to  $W$ , then  $V$  and  $W$  are said to be isomorphic to each other.

- **Thm 6.9: (Isomorphic spaces and dimension)**

Two finite-dimensional vector space  $V$  and  $W$  are isomorphic if and only if they are of the same dimension.

**Pf:**

Assume that  $V$  is isomorphic to  $W$ , where  $V$  has dimension  $n$ .  
 $\Rightarrow$  There exists a L.T.  $T : V \rightarrow W$  that is one to one and onto.

$\because T$  is one - to - one

$$\Rightarrow \dim(Ker(T)) = 0$$

$$\Rightarrow \dim(\text{range of } T) = \dim(\text{domain of } T) - \dim(Ker(T)) = n - 0 = n$$

---

$\therefore T$  is onto.

$$\Rightarrow \dim(\text{range of } T) = \dim(W) = n$$

$$\text{Thus } \dim(V) = \dim(W) = n$$

---

Assume that  $V$  and  $W$  both have dimension  $n$ .

Let  $\{v_1, v_2, \dots, v_n\}$  be a basis of  $V$ , and

let  $\{w_1, w_2, \dots, w_n\}$  be a basis of  $W$ .

Then an arbitrary vector in  $V$  can be represented as

$$\mathbf{v} = c_1 v_1 + c_2 v_2 + \dots + c_n v_n$$

and you can define a L.T.  $T : V \rightarrow W$  as follows.

$$T(\mathbf{v}) = c_1 w_1 + c_2 w_2 + \dots + c_n w_n$$

It can be shown that this L.T. is both 1-1 and onto.

Thus  $V$  and  $W$  are isomorphic.

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- Ex 12: (Isomorphic vector spaces)

The following vector spaces are isomorphic to each other.

(a)  $R^4$  = 4 - space

(b)  $M_{4 \times 1}$  = space of all  $4 \times 1$  matrices

(c)  $M_{2 \times 2}$  = space of all  $2 \times 2$  matrices

(d)  $P_3(x)$  = space of all polynomials of degree 3 or less

(e)  $V = \{(x_1, x_2, x_3, x_4, 0), x_i \text{ is a real number}\}$  (subspace of  $R^5$ )

## Key Learning in Section 6.2

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- Find the kernel of a linear transformation.
- Find a basis for the range, the rank, and the nullity of a linear transformation.
- Determine whether a linear transformation is one-to-one or onto.
- Determine whether vector spaces are isomorphic.

# Keywords in Section 6.2

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- kernel of a linear transformation  $T$ : 線性轉換  $T$  的核空間
- range of a linear transformation  $T$ : 線性轉換  $T$  的值域
- rank of a linear transformation  $T$ : 線性轉換  $T$  的秩
- nullity of a linear transformation  $T$ : 線性轉換  $T$  的核次數
- one-to-one: 一對一
- onto: 映成
- isomorphism(one-to-one and onto): 同構
- isomorphic space: 同構的空間

## Review exercises

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31. Define the linear transformation  $T$  by  $T(v) = Av$ . Find

- (a)  $\ker(T)$ ,
- (b)  $nullity(T)$ ,
- (c)  $range(T)$ , and
- (d)  $rank(T)$

$$A = \begin{bmatrix} 1 & 2 \\ -1 & 0 \\ 1 & 1 \end{bmatrix}$$

36. Given  $T: P_5 \rightarrow P_3$  and  $nullity(T) = 4$ , find  $rank(T)$ .