# Streaming Algorithms

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### Midterm Exam

There is no class next week, as we agreed at the beginning of the semester. No office hour next week either.

We have a take-home midterm exam (the 2nd written assignment), whose weight is 20%. It will be annonced by Apr 15, and due on Apr 24.

You are encouraged to discuss with your classmates and TA. However, the writeup shall be your own.

### Reminder

Written Assignment #1 is due by tonight. You need to LaTex your solution and submit it on New E3 (https://e3new.nctu.edu.tw).

You are encouraged to discuss with your classmates, TA, or me. However, the writeup shall be your own.

I will place the solutions online after the submission deadline. If you need more time to work on it, please let me know.

### References

- "Analyzing graph structure via linear measurements," Ahn, Guha, McGregor (2012)
- "A linear-time algorithm for finding a sparse *k*-connected subgraph of a *k*-connected graphs," Nagamochi and Ibaraki (1992)
- "Sparsification a technique for speeding up dynamic graph algorithms," Eppstein, Galil, Italiano, and Nissenzweig (1997)
- "Vertex and Hyperedge Connectivity in Dynamic Graph Streams," Guha, McGregor, Tench (2015)

# **Spanning Trees**

# Algorithm (first attempt)

```
\begin{split} F &\leftarrow \varnothing; \\ &\text{for each incoming edge } e_i \; \{ \\ &\quad \text{if } (F \cup \{e\} \text{ is acyclic}) \{ \\ &\quad F \leftarrow F \cup \{e_i\}; \\ &\quad \text{} \} else \{ \\ &\quad \text{discard } e_i; \; /\!/ \text{ i.e. we don't keep discarded edges in memory } \} \\ &\} \\ &\text{output } F; \end{split}
```

This algorithm uses O(n) space and  $O(m \alpha(n))$  time.

### **Problem Definition**

Input: a sequence of edges  $e_i = \{u_i, v_i\}$  where  $u_i, v_i \in [n]$  and  $i \in [m]$ , i.e. a representation of an n-node m-edge undirected graph G.

Output: a spanning forest of G, where a spanning forest consists of a spanning tree in each connected component of G.

Goal: use O(n polylog n) space. // called semi-streaming model

Note that the space usage for graph problems can be as large as  $\Omega(n^2)$ . In the semi-streaming model (graph streaming), we require the space usage bounded by O(n polylog n).

## Algorithm

```
\begin{split} F &\leftarrow \varnothing; \, B \leftarrow \varnothing; \\ &\text{for each incoming edge } e_i \, \{ \\ &\text{ if } (|B| < n) \{ \\ &\text{ } B \leftarrow B \cup \{e_i\}; \\ \} \\ &\text{ if } (|B| \text{ equals } n \text{ or EOF}) \{ \\ &\text{ } F \leftarrow \text{ spanning-forest } (F \cup B); \\ \} \\ &\text{ } \} \\ &\text{output } F; \end{split}
```

This algorithm uses O(n) space and O(m) time.

### Sampling-based algorithm

It is possible to sample a "random" spanning forest from a given graph G in the semi-streaming model. Because the sampling appeals to  $\ell_0$ -samplers, we defer the details to the lecture of  $\ell_p$ -samplers. [ref. 1]

The sampling algorithm is nontrivial. Think about that the input graph has an **bridge**.

# Sampling-based algorithm

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The main reason is that the deterministic algorithm cannot handle edge deletions, but the sampling-based algorithm can.

## Sampling-based algorithm

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Why do we need a **complicated** sampling-based algorithm? We already has a simple determinstic algorithm.

# **Applications**

## Minimum Spanning Trees (MST)

Input: a sequence of edges  $e_i = \{u_i, v_i\}$  and the edge weight  $\omega(e_i)$  where  $u_i, v_i \in [n]$ ,  $i \in [m]$ , and  $\omega(e_i) \in R$ , i.e. a representation of an n-node m-edge undirected edge-weighted graph G.

Output: a minimum spanning forest of G, where a minimum spanning forest consists of a MST in each connected component of G. // a spanning tree T whose  $\sum_{e \in T} \omega(e)$  is smallest among all spanning trees is called the MST

Goal: use O(n polylog n) space.

### Correctness

Cycle Property: for any cycle C, if an edge e has the largest weight on C (tie-breaking by edge index), then e cannot be an edge in MST.

In the algorithm, we only discard edges that are impossible to be included in MST. The correctness clearly follows.

## Algorithm

```
\begin{split} F &\leftarrow \varnothing; \\ &\text{for each incoming edge } e_i \; \{ \\ &\text{ if } (F \cup \{e\} \text{ is acyclic}) \{ \\ &F \leftarrow F \cup \{e_i\}; \\ &\text{ } \} \text{else} \{ \\ &\text{ } \text{ discard } e_k \text{ whose } \omega(e_k) \text{ is largest among all edges on the cycle; } \\ &\text{ } // \text{ tie-breaking by edge index } \\ &\text{ } \} \\ &\text{ } \text{ output } F; \end{split}
```

This algorithm uses O(n) space and **O(m n)** time (naively).

### Exercise 1

Devise an algorithm to reduce the running time.

#### k-EC

Input: an integer k, a sequence of edges  $e_i = \{u_i, v_i\}$  where  $u_i, v_i \in [n]$ ,  $i \in [m]$ , i.e. a representation of an n-node m-edge undirected graph G.

Output: "Yes" if one can disconnect the graph by a removal of k edges. // For k=1, it is equivalent to determining whether a graph has a bridge.

Goal: use O(kn polylog n) space.

## **Graph Property**

```
Let F_0 = \emptyset; F_i = \text{spanning-forest}(G \setminus F_0 \setminus ... \setminus F_{i-1});
```

Theorem 1. G is k-EC if and only if  $F_1 \cup F_2 \cup ... \cup F_k$  is k-EC. [ref. 2]

### Algorithm

This algorithm uses O(kn) space and  $O(k(m+n)+T_{kEC})$  time where  $T_{kEC}$  is the best time complexity to determine k-EC, on a RAM.

# Sampling-based algorithm

Sample a spanning forest  $F_1$  from G; // i.e. apply the sampling algorithm on the input edge set

Sample a spanning forest  $F_2$  from  $G \setminus F_1$ ; // i.e. apply the sampling algorithm on the discarded edges in the first round

• • •

Sample a spanning forest  $F_k$  from  $G \setminus F_1 \setminus F_2 \setminus ... \setminus F_{k-1}$ ; // i.e. apply the sampling algorithm on the discarded edges in the (k-1)-th round

Again, why do need the sampling-based algorithm?

### Exercise 2

Determining whether an n-node m-edge graph has a bridge can be done in O(n+m) time by DFS.

Devise an O(n<sup>2</sup>+m)-time algorithm determining whether an n-node m-edge graph G is 2-EC.

# **Graph Property**

Let  $F_0 = \emptyset$ ;  $F_i = BFS$ -forest( $G \setminus F_0 \setminus ... \setminus F_{i-1}$ );

Theorem 2. G is k-VC if and only if  $F_1 \cup F_2 \cup ... \cup F_k$  is k-VC. [ref. 2]

#### k-VC

Input: an integer k, a sequence of edges  $e_i = \{u_i, v_i\}$  where  $u_i, v_i \in [n]$ ,  $i \in [m]$ , i.e. a representation of an n-node m-edge undirected graph G.

Output: "Yes" if one can disconnect the graph by a removal of k nodes. // For k=1, it is equivalent to determining whether a graph has an articulation point.

Goal: use O(kn polylog n) space.

## Algorithm [ref. 3]

```
\begin{split} F_0 &\leftarrow \varnothing; F_1 \leftarrow \varnothing; F_2 \leftarrow \varnothing; ...; F_k \leftarrow \varnothing; B \leftarrow \varnothing; \\ &\text{foreach incoming edge } e_i \; \{ \\ &\text{if}(|B| < n) \{ \\ &B \leftarrow B \cup \{e_i\}; \\ \} \\ &\text{if}(|B| \text{ equals n or EOF}) \{ \\ &B \leftarrow B \cup F_1 \cup F_2 \cup ... \cup F_k; \\ &\text{for}(j = 1; j \leq k; ++j) \{ \\ &F_j \leftarrow BFS\text{-forest}(B \setminus F_0 \setminus ... \setminus F_{j-1}); \\ \} \\ &\} \\ \} \\ &\text{This algorithm uses O(kn) space} \\ &\text{and O(k(m+n)+T_{kVC}) time where $T_{kVC}$} \\ &\text{is the best time complexity to} \\ &\text{output $k$-VC(F_1 \cup F_2 \cup ... \cup F_k)$;} \\ \end{split}
```

### Sampling-based algorithm [ref. 4]

input: an n-node m-edge graph G

for(
$$i = 1$$
;  $i \le R$ ;  $++i$ ){ //  $R = 16 k^2 ln(n)$ 

Define (not compute)  $G_i$  be a random induced subgraph of G so that each node in G is included in  $G_i$  with probability 1/k.

 $T_i \leftarrow$  arbitrary spanning tree of  $G_i$ ; // sampling a spanning tree of  $G_i$ 

Define  $H = T_1 \cup T_2 \cup ... \cup T_R$ ;

return k-VC(H);

H is k-VC iff G is k-VC w.h.p.

#### Correctness

Consider an arbitrary pair of nodes  $s,t\notin S$ , there is a path  $s=v_0\to v_1\to v_2\to ...\to v_p=t$ . (Why?) Suppose that for each  $i,if\ v_i,\ v_{i+1}$  are in  $G_j$  and  $G_j\cap S=\varnothing$ , then there is a path from  $v_i$  to  $v_{i+1}$  in  $G_j$ . (Why?)

$$Pr[G_j \text{ has } v_i \text{ and } v_{i+1} \text{ and } G_j \cap S = \emptyset] = (1/k)^2(1-1/k)^k$$

Pr[no path from  $v_i$  to  $v_{i+1}$  in  $H \setminus S$ ] = Pr[no  $G_i$  has  $v_i$  and  $v_{i+1}$  and  $G_i \cap S = \emptyset$ ] =  $(1-(1/k)^2(1-1/k)^k)^R \le 1/n^4$ 

By union bound on the p edges on the path  $s \rightarrow t$ , we get

 $Pr[s \text{ and } t \text{ is disconnected in } H \setminus S] \leq p/n^4 \leq 1/n^3.$ 

By union bound on all possible t's, we get

 $Pr[s \text{ and all other t in } H \setminus S \text{ are connected}] \ge 1-1/n^2.$ 

#### Correctness

Because H is a subgraph of G, we get H is k-VC  $\Rightarrow$  G is k-VC. It remains to show that G is k-VC  $\Rightarrow$  H is k-VC w.h.p.

First of all, H has the same node set as G w.h.p.

 $Pr[\text{node v is not in H}] = (1-1/k)^R \le e^{-16 \ln(n)} = 1/n^{16}.$ 

 $Pr[all nodes are in H] \ge 1-1/n^{15}$ .

Let S be an arbitary node subset of size k. Our strategy is to show that  $G \setminus S$  is connected  $\Rightarrow H \setminus S$  is connected w.h.p.

### Space Usage

Each  $G_i$  has O(n/k) nodes w.h.p. Each of them needs O(n/k) polylog n) space to sample a spanning tree. Therefore, the space usage is

O(R n/k polylog n) = O(kn polylog n).