

# Streaming Algorithms

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## A Reference Book

- "The Probabilistic Method", Alon and Spencer (2004)

You may find an e-copy of this book on [www.lib.nctu.edu.tw](http://www.lib.nctu.edu.tw)

## TA

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## Programming Issues

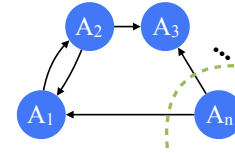
You may contact 黃書擎 for questions about the programming environment and the online judge. However, he is **not** a TA and please don't try to ask him hints for the problemsets.

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## Local Lemma

### Local Lemma (Symmetric Case)

Let  $A_1, A_2, \dots, A_n$  be events in an arbitrary probability space. Let  $D = (V, E)$  be a directed dependency graph. If all the following conditions hold,



Each node has out-degree  $\leq d$ .

(1) each  $A_i$  is independent of a large set  $S_i = \{A_j : (i, j) \notin E\}$  so that  $\Pr(A_i \cap (\bigwedge_{A_j \in S_i} \bar{A}_j)) = \Pr(A_i) \Pr(\bigwedge_{A_j \in S_i} \bar{A}_j)$  and  $|S_i| \geq n - 1 - d$ ,

(2) each event  $A_i$  happens with probability  $\Pr[A_i] \leq p$ ,

(3)  $ep(d+1) \leq 1$ ,

then  $\Pr(\bigwedge_i \bar{A}_i) > 0$ .

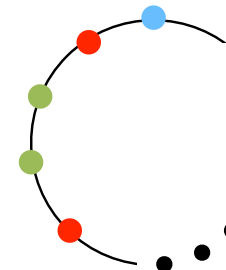
### Why not use the union bound?

$$\Pr(\bigwedge_i \bar{A}_i) = 1 - \Pr(\bigvee_i A_i) \geq 1 - \sum_i \Pr(A_i)$$

Local Lemma gives a tighter bound than the union bound when the dependencies among the events are **rare** (i.e. small  $d$ ).

### Coloring Points on a Circle

Given a circle and  $11n$  points on it. Let  $C$  be **any coloring** on the  $11n$  points so that each point is colored with one of  $n$  colors and there are 11 points of each color.



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Theorem 1. No matter what  $C$  is, one can pick  $n$  non-adjacent points whose colors are all distinct.

Proof Strategy. For any  $C$ , randomly sample a point for each color and prove that such a random sample contains no adjacent points with positive probability.

What are bad events?

## Coloring Points on a Circle

Let  $A_i$  for each  $i \in [1, 11n]$  be the event that both the  $i$ -th point and the  $(i+1)$ -th point are sampled.

Thus,  $\Pr[A_i] = 1/121$ .

Say the  $i$ -th point has color  $\alpha$  and the  $(i+1)$ -th point has color  $\beta$ .  $A_i$  has dependencies only with those events that involves a point with color  $\alpha$  or  $\beta$ . Therefore,  $d \leq (11 \cdot 2 - 1) \cdot 2 = 42$ .

Because  $e \cdot p \cdot (d+1) \sim 0.966 \leq 1$ , by the Local Lemma we have

$$\Pr[\wedge_i \bar{A}_i] > 0.$$

What happens if we use the union bound rather than the Local Lemma?

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Because  $e \cdot p \cdot (d+1) \sim 0.966 \leq 1$ , by the Local Lemma we have

$$\Pr[\wedge_i \bar{A}_i] > 0.$$

By the union bound, we get  $\Pr[\wedge_i \bar{A}_i] \geq 1 - 11n/121$  which says nothing for large  $n$ .

## Coloring Hypergraphs

We say a hypergraph is **2-colorable** if there exists a coloring on nodes so that every edge is not monochromatic.

Recall that a hypergraph  $H = (V, E)$  is defined as ordinary graphs except that each edge  $e \in E$  is a subset of  $V$ . We say a hypergraph **k-uniform** if all edges in  $E$  has cardinality  $k$ .

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Theorem 2. Let  $H$  be a  $k$ -uniform hypergraph and each edge in  $H$  has an non-empty intersection with at most  $d$  other edges. If

$$e(d+1) \leq 2^{k-1},$$

then  $H$  is 2-colorable.

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Proof Strategy. Assign a random 2-coloring on  $H$ .

## Coloring Hypergraphs

Let  $A_e$  for each  $e \in H$  be the event that  $e$  is monochromatic.

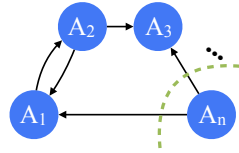
Thus,  $\Pr[A_e] = 1/2^{k-1}$ . (Why?)

Because  $e^*(d+1) \leq 2^{k-1}$ , by the Local Lemma we have

$$\Pr[\wedge_i \bar{A}_i] > 0.$$

## Lovász Local Lemma (General Case)

Let  $A_1, A_2, \dots, A_n$  be events in an arbitrary probability space. Let  $D = (V, E)$  be a directed dependency graph. If all the following conditions hold,



The out-degrees may be different.

(1) each  $A_i$  is independent of a large set  $S_i = \{A_j : (i, j) \notin E\}$  so that  $\Pr(A_i \cap (\bigwedge_{A_j \in S_i} \bar{A}_j)) = \Pr(A_i) \Pr(\bigwedge_{A_j \in S_i} \bar{A}_j)$ ,

(2) each event  $A_i$  happens with probability  $\Pr[A_i] \leq x_i \prod_{A_j \in S_i} (1 - x_j)$ , where  $0 \leq x_1, x_2, \dots, x_n < 1$ ,

then  $\Pr(\bigwedge_i \bar{A}_i) \geq \prod_i (1 - x_i) > 0$ .

## Proofs

The proof of Local Lemmas can be found on Pages 64-65 in the reference book.

## Why is the dependency graph directed?

For any pair of events  $A_i$  and  $A_j$ , by checking the equality

$$\Pr(A_i \cap A_j) = \Pr(A_i) \Pr(A_j)$$

one can tell whether  $A_i$  and  $A_j$  are independent.

It seems that the edges in the dependency graph are always bidirectional. Why not simply use **undirected** dependency graphs?

## Why is the dependency graph directed?

prob	X	Y	$X \oplus Y$
1/4	0	0	0
1/4	0	1	1
1/4	1	0	1
1/4	1	1	0

Let events  $A_1 : X = 0$ ,  $A_2 : Y = 0$ ,  $A_3 : X \oplus Y = 0$ . Observe that they are **pairwise** independent but **not mutually** independent.

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