

water: Tools and Functions to Estimate Actual Evapotranspiration Using Land Surface Energy Balance Models in R

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Abstract The crop water requirement is a key factor in the agricultural process. It is usually estimated throughout actual evapotranspiration (ET_a). This parameter is the key to develop irrigation strategies, to improve water use efficiency and to understand hydrological, climatic, and ecosystem processes. Currently, it is calculated with classical methods, which are difficult to extrapolate, or with land surface energy balance models (LSEB), such as METRIC and SEBAL, which are based on remote sensing data. This paper describes **water**, an open implementation of LSEB. The package provides several functions to estimate the parameters of the LSEB equation from satellite data and proposes a new object class to handle weather station data. One of the critical steps in METRIC is the selection of “cold” and “hot” pixels, which **water** solves with an automatic method. **water** can process a batch of satellite images and integrates most of the already published sub-models for METRIC. Although **water** implements METRIC, it will be expandable to SEBAL and others in the near future. Finally, two different procedures are demonstrated using data that is included in **water** package.

Introduction and motivation

The crop water requirement is a key factor in the agricultural process. It is usually estimated throughout actual evapotranspiration (ET_a). An accurate quantification of ET_a helps to develop irrigation strategies, improve the efficiency of water use and increase the irrigated area and the production (Millar, 1993; Baruch and Fisher, 1991; Ferreyra et al., 1985).

Traditional methods to estimate ET_a are based on (a) direct measurements by sophisticated instruments, such as lysimeters (Payero and Irmak, 2008; López-Urrea et al., 2009), Eddy covariance systems (Paço et al., 2006; Parent and Anctil, 2012; Poblete-Echeverría and Ortega-Farías, 2013) or Bowen ratio (Crago and Brutsaert, 1996; Ortega-Farías et al., 1995; Twine et al., 2000), or on (b) empirical methods, such as the FAO-56 approach (Allen et al., 1998). This method uses a reference evapotranspiration (ET_r) from an automatic weather station multiplied by crop coefficients (K_c) from literature (Allen et al., 2005). Although all these methods can be accurate enough, they are restrictive to be extrapolated to a farm or a regional level since they do not take into account the effect that the spatial and temporal variation of the soil, the climate and the crop have over the ET_a (Allen et al., 2011).

However, new physical methods to estimate ET_a have been developed using remote sensing data, considering the land spatial and temporal patterns. A major restriction for the estimation of ET_a using remote sensing is the need of an absolute surface temperature for calibration. One of the first methods to be developed and applied worldwide was the Surface Energy Balance Algorithms for Land (SEBAL) model (Bastiaanssen et al., 1998a,b). This model calculates ET_a from satellite-based land surface energy balance (LSEB) equation and uses a near-surface temperature gradient (dT) for calibration. dT is computed by taking two pixels with extreme water condition (anchor pixels) selected in the scene to generate a linear relationship between surface temperature and the difference between surface and air temperatures. Based on this, Allen et al. (2007b) developed the *Mapping EvapoTranspiration at high Resolution with Internalized Calibration* (METRIC) model. The main difference between SEBAL and METRIC is that the latter uses the ET_r from a weather station, incorporating climatic conditions, while SEBAL uses the potential evaporation from a water body in the scene considering that sensible heat and soil heat fluxes are zero.

METRIC has been widely applied to estimate ET_a at field and regional scale over different crops such as wheat, corn, soybean and alfalfa, with errors ranging between 3 - 20% (Allen et al., 2007a; Choi et al., 2009; Mkhwanazi and Chávez, 2012). In recent years METRIC has been used to compute ET_a over sparse woody canopies such as vineyards and olive orchards (Carrasco-Benavides et al., 2012, 2014; Santos et al., 2012; Pôças et al., 2014) in both flat and mountainous terrains (Allen et al., 2013b).

The current implementations of SEBAL and METRIC imply the need to use more than one software to run the model (Allen et al., 2010) and they involve multiple steps. There are many different sub-models published for the estimation of some parameters (e.g. leaf area index, momentum roughness length, land surface temperature) that are not integrated into the current implementation of METRIC. Allen et al. (2013a) proposed a methodology for an automation procedure by using statistical conditions

and expert knowledge. This technique reduced the effect of human criteria helping to increase the model robustness. However, a software tool for the automatic selection of anchor pixels has not been published yet.

About land surface energy balance models and crop evapotranspiration

As mentioned above, METRIC estimates ET_a as the residual from the surface energy balance equation considering information from satellite images and weather stations located near to the study site. Bellow, the key equations are detailed, beginning with the estimation of ET_a as the residual from the surface energy balance equation:

$$LE = R_n - G - H \quad (1)$$

where LE is latent heat flux consumed by ET_a ($W \cdot m^{-2}$); R_n is net radiation ($W \cdot m^{-2}$); G is soil heat flux ($W \cdot m^{-2}$); and H is the sensible heat flux convected to the air ($W \cdot m^{-2}$).

R_n is calculated considering information obtained at the time of satellite overpass. Some correction processes are necessary, such as radiometric and atmospheric corrections. G is estimated using an empirical equation that considers mainly R_n , surface temperature, normalized difference vegetation index (NDVI), soil-adjusted vegetation index (SAVI) and albedo. More detailed information concerning the equations and models used in METRIC can be found in [Allen et al. \(2007b\)](#).

H is the general equation of heat transport and is estimated using an approach called "calibration using inverse modeling at extreme conditions" (CIMEC) ([Allen et al., 2013a](#)). This method involves the selection of pixels with near extreme conditions (hot and cold anchor pixels) from which the ET_a can be estimated and assigned. H is computed as follows:

$$H = \frac{\rho \cdot c_p \cdot dT}{r_{ah}} \quad (2)$$

where dT is the difference between land surface and near-surface air temperatures, r_{ah} is the aerodynamic resistance to heat transport ($s \cdot m^{-1}$), ρ is the air density ($kg \cdot m^{-3}$) and c_p is the specific heat of air ($1004 \cdot J \cdot kg^{-1} \cdot ^\circ K^{-1}$). dT is solved by using a linear relationship between air temperature and the estimated surface temperature of the the anchor pixels ([Bastiaanssen et al., 1998a](#)). To calculate r_{ah} , wind speed is extrapolated to a height at which forces of buoyancy and mechanical mix are equal (about 200 meters), using an iterative correction process based on the Monin-Obukhov equations ([Allen, 1996](#); [Bastiaanssen et al., 1998a](#)).

After LE from Equation 1, it is possible to compute the instantaneous evapotranspiration values:

$$ET_{inst} = 3600 \cdot \frac{LE}{\lambda \rho_w} \quad (3)$$

where ET_{inst} is the instantaneous ET_a at the satellite overpass ($mm \cdot h^{-1}$); 3600 is the conversion factor from seconds to hours; ρ_w is the density of water = $1000 \cdot kg \cdot m^{-3}$; and λ is the water latent heat of vaporization ($J \cdot kg^{-1}$).

Finally, the daily ET is computed pixel by pixel as:

$$ET_{24} = \frac{ET_{inst}}{ET_r} ET_{r_24} \quad (4)$$

where ET_{inst} is the instantaneous ET_a estimated on equation 3; ET_r is the standardized 0.5 m tall alfalfa reference evapotranspiration at the image time and ET_{r_24} is the cumulative 24 h ET_r for the image day. The relationship between ET_{inst} and ET_r is the reference ET fraction and is the same as the alfalfa based coefficient, Kc, and is used to extrapolate ET_a from the image time to periods of 24 hours or longer ([Allen et al., 2007b](#)).

Sensible heat flux

As [Allen et al. \(2007b\)](#) mentioned, the computation of latent heat flux (LE) is only as accurate as the summed estimates for R_n , G , and H . Table 1 shows some errors reported by METRIC models for different crops. It can be seen that net radiation (R_n) and soil heat flux (G) present the lowest estimation errors, while sensible heat flux (H), is the hardest component of the surface energy balance to estimate.

One of the weaknesses of METRIC model reported in the literature is the selection of the anchor pixels. [Long and Singh \(2013\)](#) and [Morton \(2013\)](#) indicated that the selection of anchor pixels is

Table 1: Root mean square error (RMSE) of energy balance components estimated using METRIC for different crops

Crop	Validation tool	R_n ($Wm^{-2}(\%)$)	G ($Wm^{-2}(\%)$)	H ($Wm^{-2}(\%)$)	LE ($Wm^{-2}(\%)$)	ET ($mmh^{-1}(\%)$)	Source
grass	lysimeter	nr	nr	nr	nr	(4-22%) (mean = 4%)	1
sugar beet	lysimeter	nr	nr	nr	nr	(6-137%) (mean = 1%)	1
soybean	lysimeter	22.1 (4.1%)	14.2 (27.6%)	nr	nr	0.14 (17.6%)	2
corn, soybean	EC	nr	nr	39-48	34-44	0.58-0.89	3
olive	EC	nr	nr	nr	nr	0.14-1.2	4
vineyard	EC	24 (3.8%)	16 (9.4%)	39-59 (10-26.0%)	33-54 (14-27.2%)	0.9 (9%)	5

nr: not reported; EC: Eddy covariance

Sources: 1: Allen et al. (2007a); 2: Mkhwanazi and Chifweze (2012, 2013); 3: Gonzalez-Dugo et al. (2009); 4: Santos et al. (2012); Pôças et al. (2014); 5: Poblete-Echeverría and Ortega-Farías (2012); Carrasco-Benavides et al. (2012, 2014)

subjective and depends on the ability of the operator to search and isolate the most appropriate hot and cold pixels. This process produces important biases in the estimation of H . Also, Choragudi (2011); Wang et al. (2009) mentioned that METRIC was very sensitive to the selection of the hot pixel. A group of possible candidates could have minimal differences in some attributes, but these can generate a big bias in the estimations. It means that the estimation of H in METRIC is very sensitive to the selection of anchor pixels.

The objective of this article is to propose an open implementation of a land surface energy balance model (LSEB) as an R package that integrates most of the METRIC sub-models and allows automatic selection of the anchor pixels. In this version of the package, specific functions for METRIC model (Allen et al., 2007b) are provided. Apart from the previous features, this package: i) is written in R, one of the most used scientific programming languages; ii) can be automated for batch processing of many satellite images; iii) provides functions for loading and processing satellite images; iv) provides functions and a new class object to manage weather station data; and v) is a free and open software.

About water package

Package organization

water is a package developed in R to estimate actual evapotranspiration (ET_a) from Landsat satellite scenes using Land Surface Energy Balance (LSEB) models, such as METRIC (Allen et al., 2007b).

Functions in **water** package are arranged in three groups: i) general functions to estimate sub-components of LSEB (e.g. leaf area index, albedo, land surface temperature, momentum roughness length); ii) specific functions to estimate the components of LSEB; iii) internal functions and methods to handle data from a weather station using a new proposed S3 class and functions to control global options such as saving results to disk, overwrite files, etc.

The first group of functions consist of models and equation to estimate the sub-components, which generally are controlled by the argument method. Most of the models available here are presented in the Appendix. In the second group, there are three functions: i) METRIC.Rn(); ii) METRIC.G() and iii) METRIC.EB(). The first one, estimates net radiation using METRIC model, the second one estimates soil heat flux and the third one estimate all the components of energy balance: R_n , G , H and LE according to METRIC model.

Three example datasets are provided with the **water** package: i) a subset of a Landsat 7 scene path 223, row 85 from 15th February 2013, bands 1 to 7; ii) data from a weather station from the same Landsat subset in csv file format (comma separated values); iii) a subset of NASA SRTM digital elevation model, with the same spatial extent as the example image. These datasets are used in examples at Sections 2.3.2 and 2.3.3, and also on the vignettes included with the package.

water package is available on The Comprehensive R Archive Network at <https://CRAN.R-project.org/package=water>. This software is made freely available under the terms and conditions of the GNU General Public License.

Key functions in water package

The key functions included in the package are:

- `read.WSdata()`: this function allows to import weather station data from a table in comma-separated values (csv) format. The result is a new **water** class object named `waterWeatherStation`. The main input arguments are the csv file and a vector with the order of the needed variables (radiation, temperature, wind speed and relative humidity) called `columns`. An optional argu-

ment is a Landsat metadata file (MTL). When this function is used with the csv and the MTL files, it will interpolate the weather conditions to the moment of the satellite overpass.

- **METRIC.EB()**: This is the main function of **water** package. It runs each of the sub-models needed to get all the components of the LSEB (equation 1), from satellite and weather station data. The input arguments are: a satellite scene and a **waterWeatherStation** object. The arguments **alb.coeff**, **LAI.method**, **Zom.method** and **anchors.method** allows choosing between the different sub-models or coefficients used. More information about the sub-models available in **water** is presented in the Appendix. An optional logical argument is **plain**, which allows to use a digital elevation model or to consider that the surface is flat. When using a digital elevation model, the net radiation estimation and the land surface temperature are corrected using the elevation, slope and aspect of the surface. The output of this function is a raster layer object (from **raster** package) with 4 different layers: net radiation, soil heat flux, sensible heat flux and surface temperature.
- **ET24h()**: This function estimates the ET_r for a 24-hour period. The input arguments are the components of the LSEB (R_n , G , H) and a **waterWeatherStation** object. The argument **ET** allows to select between two ET_r methods. **ET** = **ETo**, similar to clipped, cool-season grass, is the method for short crops and **ET** = **ETr**, similar to 0.5 m tall full-cover alfalfa, is the method for tall crops. By default, it will use **ET** = **ETr**, but the user should choose according to the conditions of the weather station. The output of this function is a raster layer object (from **raster** package) with the 24-hour ET_d in $mm \cdot day^{-1}$.

METRIC.EB() uses many different steps to estimate the parameters needed to calculate the components of the LSEB. These steps are available as individual functions like:

- **albedo()**: This function is used to calculate the broadband albedo from narrowband satellite data. This process involves applying a weighting function with empirical coefficients. **water** includes models and coefficients described by [Tasumi et al. \(2008\)](#) and [Liang \(2001\)](#), as well as new coefficients for Landsat 8 estimated by The Simple Model of the Atmospheric Radiative Transfer of Sunshine (SMARTS2) version 2.9.5 ([Gueymard, 1995](#)). **coeff** = '0lmedo' computes clear sky spectral irradiances for the spectral range of each Landsat 8 OLI band (see Appendix, Section .1.1). The output of this function is a raster layer object (from **raster** package) with the albedo.
- **LAI()**: This function estimates the leaf area index (LAI) from Landsat data. **water** includes many different models to estimate LAI (see Appendix, Section .1.2). In the Examples Section of this article, the METRIC 2010 method ([Allen et al., 2010](#)) will be used. This method estimates LAI from SAVI ([Huete, 1988](#)), as follows:

$$SAVI = (1 + L)(\rho_{NIR} - \rho_R) / (L + \rho_{NIR} + \rho_R) \quad (5)$$

where ρ is the reflectance at the top-of-atmosphere from R , the red band, and from NIR , the near infrared band; L is a soil correction factor. By default **water** uses $L = 0.5$, although [Allen et al. \(2007b\)](#) suggested a value of $L = 0.1$ in METRIC applications for western USA. This value varies by the amount or coverage of green vegetation: in very high coverage vegetation regions, $L = 0$ and $SAVI = NDVI$; in areas with no green vegetation, $L = 1$. Then SAVI is used to estimate LAI as follows:

$$LAI = 11 \cdot SAVI^3 \quad (6)$$

The output of this function is a raster layer object (from **raster** package) with the estimated LAI .

As it was mentioned before, the critical part in the estimation of the LSEB is the sensible heat flux estimation. The key functions used to estimate this are: **momentumRoughnessLength()**, **calcAnchors()** and **calch()**.

- **momentumRoughnessLength()**: This function estimates the Momentum Roughness Length (Z_{om}) from the average vegetation height around the weather station. **water** includes several methods to estimate Z_{om} from Landsat data (see Appendix, Section .1.4). For example, when **method** = 'short-crops' ([Allen et al., 2007b](#)) Z_{om} is estimated as:

$$Z_{om} = 0.0018LAI \quad (7)$$

And if **mountainous** = **TRUE**, this value of Z_{om} is corrected as:

$$Z_{om_mtn} = Z_{om} \cdot \left(1 + \frac{(180/\pi) \cdot \text{slope} - 5}{20}\right) \quad (8)$$

The output of this function is a raster layer object with the estimated Z_{om}

Table 2: Ranges of variables used for selection of anchor (cold and hot) pixels in `calcAnchors`(method = 'CITRA-MCB')

Variable	Cold pixel	Hot pixel
albedo*	0.18 - 0.25	0.13 - 0.15
NDVI*	0.76 - 0.84	0.10 - 0.28
LAI ($m^2 \cdot m^{-2}$)	3 - 6	-
Z_{om} (m)	0.03 - 0.08	≤ 0.005

* dimensionless

- `calcAnchors()`: This function automatically select anchor pixels that represent the dry and wet ends of the ET spectrum within the satellite scene using information of land surface characteristics (LAI, albedo, Z_{om} , T_s). When the anchor pixels are found, it assigns the ET_a estimates.

The criteria to select “hot” and “cold” pixels were adapted from [Allen et al. \(2011\)](#), [Tasumi \(2003\)](#) and shown in Table 2. The methodology searches for image-specific pixels with the lowest and highest temperature values that match with these criteria. The output of this function is a data frame with the coordinates of the selected anchor pixels.

- `calcH()`: This function applies the *CIMEC* self-calibration method in order to generate an iterative process for the “hot” and “cold” pixels and absorb all biases in the computation of H . A near surface temperature difference (dT) is used in place of a surface air temperature difference to drive the determination of sensible heat flux, in an iterative correction process. The convergence of the function is reached when the change in the estimated aerodynamic resistance is less than 1% for the cold and hot condition. There is an argument called `verbose` to control how much information about the iterative process is shown in the output. The output of this function is a raster layer object with the estimated soil heat flux.

Input data requirements

METRIC uses two sources to estimate the LSEB: a satellite image and a weather station with hourly data.

METRIC can be run using different satellite sensors. Currently, the coefficients needed for Landsat 7 and 5 are available in [Allen et al. \(2007b\)](#). Those coefficients can also be applied to Landsat 8 data. In the Appendix Section .1.1, we propose specific coefficients for the estimation of albedo using this satellite sensor. Other coefficients to run METRIC using MODIS images are available in [Allen et al. \(2007b\)](#), and will be included in future versions of **water** package.

The weather station data should include near-surface air temperature, wind speed, relative humidity and solar radiation. **water** uses the function `read.WSdata()` to convert the weather station data from a comma-separate values table to a special R object. The input data should be on an hourly or shorter time basis. The expected units are $^{\circ}\text{C}$ for temperature; $m \cdot s^{-1}$ for wind speed; % for relative humidity and $W \cdot m^{-2}$ for solar radiation. However, this function uses a parameter `cf` when conversion factors are needed to convert the variables from different units (e.g. if wind speed is in $km \cdot h^{-1}$ the conversion factor for this variable should be 0.278). To estimate ET_r from the weather station data using [Allen et al. \(2005\)](#) equation, more information is needed: position in latitude and longitude, and the wind sensor height in meters. When the weather station data is being imported, a satellite metadata file can be included as a function argument. This allows interpolating the weather conditions at the exact moment of the satellite overpass.

water only uses one weather station to estimate ET_r for an entire Landsat scene of $180 \times 180 km$. The model uses ET_r to derive the ET reference fraction (ET_rF) at image time (using equation 4). This assumes that ET_a in the entire area changes in proportion to the change in ET_r at the weather station ([Allen et al., 2007b](#)). This means that ET_r is only used as an index of the relative change and this is retained through the ET_rF . Any biases caused by variation in weather conditions should be canceled by using the same ET_rF for both instantaneous and 24 h period. Nevertheless, it is recommended to use a spatial mask when the weather conditions are heterogeneous, for example in irrigated areas surrounded by deserts.

Performance and memory use

water uses large temporal memory in order to obtain the results and sub products. Most of the results are RasterLayer or RasterStack objects from **raster** package ([Hijmans, 2015](#)). Processing an entire

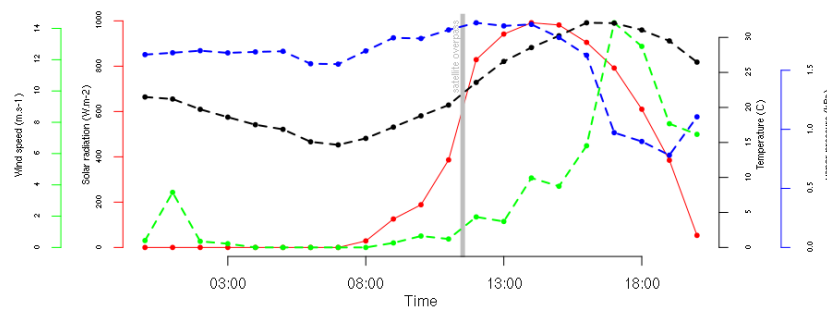


Figure 1: Plot of the hourly weather station data. The conditions at the time of the satellite overpass are marked by a gray bar. This is the default plot for `waterWeatherStation` objects.

Landsat scene could need more than 2 gigabytes of memory to store the temporal data. One approach to solve this is to write products and sub-products to the disk. There is an option `writeResults = TRUE` in function `waterOptions()` to force **water** to store the results on the disk, instead of on temporal memory.

If **water** runs out of memory while processing data, it will usually stop working without a warning message. We suggest processing only a portion of a Landsat scene using an area-of-interest (aoi) polygon or storing results to disk.

Example code and datasets

Two different approaches to estimate land surface energy balance demonstrate the features and procedures in **water**. The first example in Section 2.3.2 is a simple procedure, and the second one in Section 2.3.3 refers to an advanced procedure. Finally, the estimation of ET_a from the output of any of the previous procedures is demonstrated in Section 2.3.4.

In section 2.3 functions `createAoi()` and `read.WSdata()` are summarized. Then, in section 2.3.2 function `METRIC.EB()` is shown. In section 2.3.3 the LSEB is estimated step by step. And later, in section 2.3.4 daily ET_r is estimated using functions `dailyET()` and `ET24h()`.

Estimating ET_a using METRIC model and water package

Base data preparation

To calculate METRIC Actual Evapotranspiration using **water** package, three sources are needed:

1. A raw Landsat 7-8 satellite image.
2. A Weather Station data (.csv file).
3. A polygon with our Area-of-interest (AOI) Spatial-Polygon object, to run the model using only a portion of the satellite scene.

First, AOI is created as a polygon using `bottomright` and `toleft` coordinates:

```
aoi <- createAoi(toleft = c(272955, 6085705),
  bottomright = c(288195, 6073195), EPSG = 32719)
```

Then, the weather station data is loaded using the function `read.WSdata()`. This function converts the .csv file into a `waterWeatherStation` object. Then, if a Landsat metadata file (.MTL file) is provided, the time-specific weather conditions at the time of satellite overpass will be calculated. This is shown on Figure 1 as a gray bar. Files `apples.csv` and `L7.MTL.txt` are included in the package as raw data. In R, `system.file()` is used to call this files.

```
csvfile <- system.file("extdata", "apples.csv", package="water")
```

```
MTLfile <- system.file("extdata", "L7.MTL.txt", package="water")
```

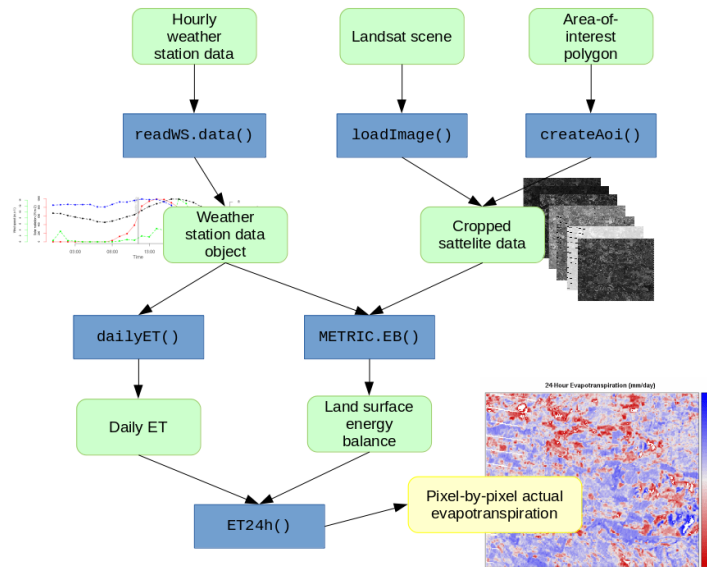


Figure 2: Schematic diagram with the functions, data inputs and outputs used in the simple procedure when running the METRIC model with **water** package. The green rounded boxes represent data, the blue boxes represent the functions and the yellow box is the final result.

```
WeatherStation <- read.WSdata(WSdata = csvfile, date.format = "%d/%m/%Y",
                              lat=-35.42222, long= -71.38639, elev=201,
                              height= 2.2, MTL = MTLfile)
```

Next, the Landsat satellite image is loaded. **water** provides a function to load a Landsat image (`loadImage()`) from .tif files. Landsat images can be downloaded directly from USGS archives in *Earth Explorer* (<http://earthexplorer.usgs.gov/>). In this article, an example dataset will be used which comes with **water** package as demonstration data.

```
image.DN <- L7_Talca
```

Finally, Digital Elevation Model (DEM) will be created for the area being processed. **water** provides two functions to do this: `checkSRTMgrids()` will search for the downloadable grid files in <http://earthexplorer.usgs.gov/>. However, this function will only print the links to the files. The downloading process has to be done manually. After this, `prepareSRTMdata()` can be used to mosaic and clip those files using the same extent of the image. In this article, the example data, provided with **water** package, will be loaded.

```
DEM <- DEM_Talca
```

Simple procedure

The simple procedure is summarized on Figure 2. The function `METRIC.EB()` will be used to estimate the land surface energy balance. This function has many parameters to choose from the different METRIC model equations. e.g. changes can be made in:

- Coefficients used to estimate broadband albedo from narrowband data.
- Model to estimate Leaf Area Index (LAI) from satellite data.
- Model to estimate momentum roughness length (Z_{om})
- Automatic method for the selection of anchor pixels
- Reference ET coefficient and momentum roughness length estimated for the weather station

When this function is run, the energy balance and the surface temperature (T_s) used are assigned to the `Energy.Balance` object. This function prints the position and characteristics of the anchor pixels to the console. Also, a plot with the values of the aerodynamic resistance during the iterative process is generated after every iteration. Here, the logical argument `verbose` controls how much information is shown in the output, and the plotting of the diagnostic graph.

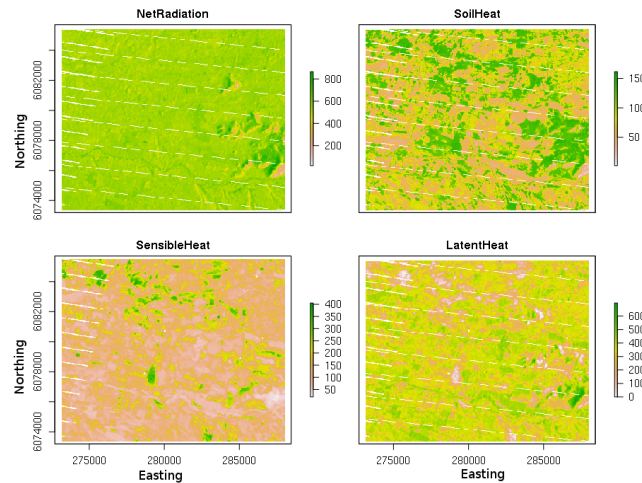


Figure 3: Land surface energy balance ($W \cdot m^{-2}$) estimated using METRIC with **water** package

```
Energy.Balance <- METRIC.EB(image.DN = image.DN, plain=FALSE, DEM = DEM,
WeatherStation = WeatherStation, ETp.coef = 1.2,
MTL=MTLfile, sat="L7", thermalband=image.DN\thermal.low)
```

The results of the energy balance estimated using this function are shown in Figure 3. The console output with information related to the anchor pixels goes like this:

pixel	X	Y	Ts	LAI	type
1	139253	282420	-3922830	323.1587	0.13 hot
2	121566	274710	-3921780	310.0151	4.40 cold

Advanced procedure

The advanced procedure involves running many different functions one-by-one, this is summarized in figures 4 and 5. These functions were run inside the code of METRIC.EB() in the previous example. Running **water** with this procedure allows to have more control in the different arguments used.

Net Radiation estimation

In order to calculate the R_n for the loaded *Landsat satellite data*, a surface model (slope + aspect) from the DEM is calculated, then the solar angles (latitude, declination, hour angle and solar incidence angle) are calculated. Then incSWradiation() is used to calculate *incoming solar radiation*.

```
surface.model <- METRICtopo(DEM)

solar.angles.r <- solarAngles(surface.model = surface.model,
WeatherStation = WeatherStation, MTL = MTLfile)

Rs.inc <- incSWradiation(surface.model = surface.model,
solar.angles = solar.angles.r, WeatherStation = WeatherStation)
```

After this, reflectances are calculated at the top-of-atmosphere (TOA), and surface reflectance derived from *Landsat image* as:

```
image.TOAr <- calcTOAr(image.DN = image.DN, sat="L7", MTL = MTLfile,
incidence.rel = solar.angles.r\incidence.rel)

image.SR <- calcSR(image.TOAr=image.TOAr, sat = "L7",
surface.model=surface.model,
incidence.hor = solar.angles.r\incidence.hor,
WeatherStation=WeatherStation, ESPA = F)
```

Following this, *broadband albedo* is calculated as the sum of visible to near infrared narrowband satellite bands and coefficients related to atmospheric transmittance of global solar beam radiation. In this example coeff = 'Tasumi' was used.

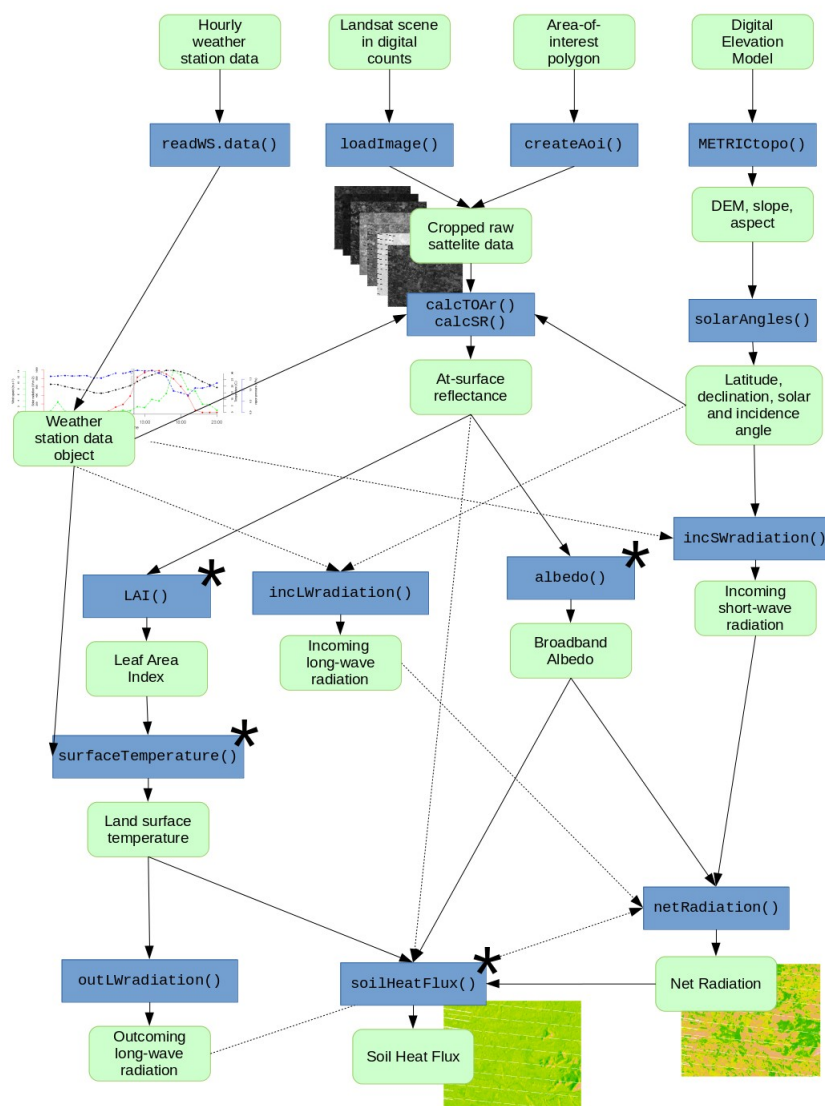


Figure 4: Schematic diagram with the functions, data inputs and outputs used in the advanced procedure when estimating Net Radiation and Soil Heat Flux running the METRIC model with **water** package. The green rounded boxes represent data and the blue boxes represent the functions. The functions marked with * have multiple methods available.

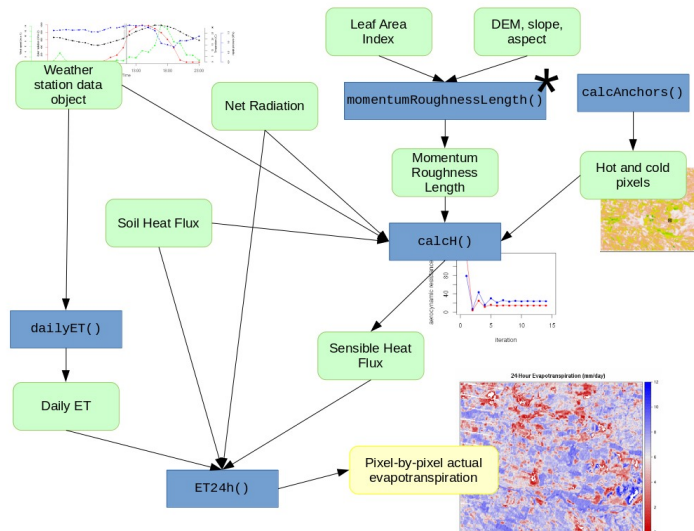


Figure 5: Schematic diagram with the functions, data inputs and outputs used in the advanced procedure when estimating the sensible heat flux running the METRIC model with **water** package. The green rounded boxes represent data, the blue boxes represent the functions and the yellow box is the final result. The functions marked with * have multiple methods available.

```
albedo <- albedo(image.SR = image.SR, coeff="Tasumi")
```

Later on, *Leaf Area Index* (LAI) is calculated using the satellite data. In this example method = metric2010 is used:

```
LAI <- LAI(method = "metric2010", image = image.T0Ar, L=0.1)
```

Land surface temperature (T_s) is estimated using computed LAI values in order to estimate consequently the *surface emissivity* and *brightness temperature* from Landsat's thermal band (TIR). Then this information is used to compute the *incoming* and *outgoing long-wave radiation* as:

```
Ts <- surfaceTemperature(LAI=LAI, sat = "L7",
thermalband = image.DN$thermal.low, WeatherStation = WeatherStation)
```

```
Rl.out <- outLWradiation(LAI = LAI, Ts=Ts)
```

```
Rl.inc <- inclWradiation(WeatherStation, DEM = surface.model$DEM,
solar.angles = solar.angles.r, Ts= Ts)
```

Finally, Net Radiation (R_n) can be estimated pixel by pixel as follows:

```
Rn <- netRadiation(LAI, albedo, Rs.inc, Rl.inc, Rl.out)
```

Soil Heat Flux estimation

Soil heat flux is estimated G , using as input data the R_n , *surface reflectance*, T_s , LAI and *albedo*. In this example the original METRIC (2007) based-method will be used, which is:

```
G <- soilHeatFlux(image = image.SR, Ts=Ts,albedo=albedo,
Rn=Rn, LAI=LAI)
```

Sensible Heat Flux estimation

To estimate the sensible heat fluxes derived from the *Landsat satellite* data, first, the calculation of the momentum roughness length (Z_{om}) is needed.

```
Z.om <- momentumRoughnessLength(LAI=LAI, mountainous = TRUE,
method = "short.crops", surface.model = surface.model)
```

Then, `calcAnchors()` is used to search for the anchor pixels within the Landsat scene. And finally, `calcH()` is used to run the CIMEC process and estimate the sensible heat flux:

```
hot.and.cold <- calcAnchors(image = image.T0Ar, Ts = Ts,
  LAI = LAI, plots = F, albedo = albedo, Z.om = Z.om, n = 1,
  anchors.method = "CITRA-MCB",
  deltaTemp = 5, verbose = FALSE)

H <- calcH(anchors = hot.and.cold, Ts = Ts, Z.om = Z.om,
  WeatherStation = WeatherStation, ETp.coef = 1.05,
  Z.om.ws = 0.0018, DEM = DEM, Rn = Rn, G = G, verbose = TRUE)
```

When the function `calcH()` is running, and `verbose = TRUE`, the output shows the intermediate values of the CIMEC process parameters. Also, the value for the aerodynamic resistance and its change for every iteration is plotted iteratively by this function. This plot is shown on Figure 6. In this example, the change in the value of the aerodynamic resistance goes down after iteration #9, and in iteration #14 is less than 1%.

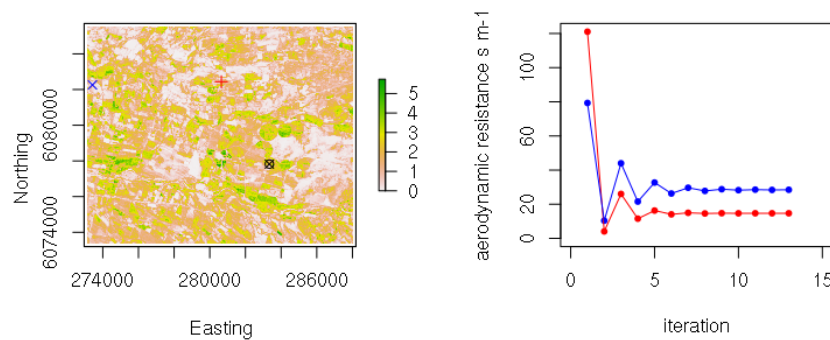


Figure 6: Leaf area index $m^2 m^{-2}$ and the position of the hot pixel (red cross), cold pixel (blue X) and the weather station (circle with a X) (left). Change on aerodynamic resistance convergence in the iterative process for hot (red) and cold (blue) conditions (right)

Daily crop evapotranspiration estimation

To estimate the daily actual evapotranspiration from the *Landsat scene*, the daily reference ET (ET_r) is needed. The daily ET_r can be calculated with `dailyET()`. This function calculates the cumulative 24 h standardized reference evapotranspiration for the day of the image using the ASCE standardized Penman-Monteith method (Allen et al., 2005). Finally, 24h crop ET can be estimated for every pixel of the *Landsat scene* using the function `ET24h()` (Figure 7):

```
ET_WS <- dailyET(WeatherStation = WeatherStation, ET = "ETr")

ET.24 <- ET24h(Rn=Rn, G=G, H=H\H,
  Ts=Ts, WeatherStation = WeatherStation,
  ETr.daily=ET_WS)
```

Conclusions

The **water** package offers a fast and reliable platform for estimating actual evapotranspiration using the land surface energy balance. It includes different methods for many sub-models. The simple procedure showed in this article allows to estimate the ET_a in a simple and fast way. The advanced procedure allows to have more control in the different available methods. Further versions of this package will implement others LSEB models such as SEBAL. Also, other satellite sensors such as MODIS will be included. Because **water** is written in R, a language very popular in the scientific community and published as free software, further developments could come from a wide community of users.

i) is easily expandable to include future sub-models; and v) can be integrated with others related R packages such as **satellite** (Nauss et al., 2015) or **Evapotranspiration** (Guo and Westra, 2016).

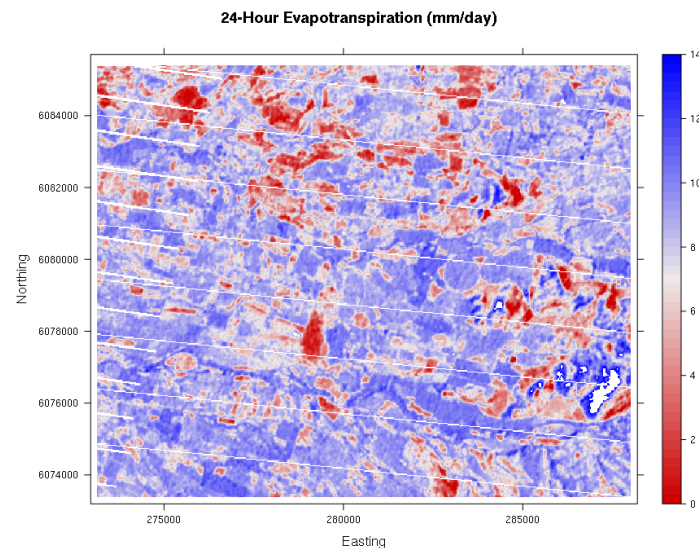


Figure 7: Crop evapotranspiration in mm/day, estimated using METRIC and **water** package

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Appendix

Included models for albedo

1. coeff = "Tasumi" (Tasumi et al., 2008)

$$\text{albedo} = \rho_{s,B} \cdot 0.254 + \rho_{s,G} \cdot 0.149 + \rho_{s,R} \cdot 0.147 + \rho_{s,NIR} \cdot 0.311 + \rho_{s,SWIR1} \cdot 0.103 + \rho_{s,SWIR2} \cdot 0.036 \quad (9)$$

2. coeff = "Liang" (Liang, 2001)

$$\text{albedo} = \rho_{s,B} \cdot 0.356 + \rho_{s,R} \cdot 0.130 + \rho_{s,NIR} \cdot 0.373 + \rho_{s,SWIR1} \cdot 0.085 + \rho_{s,SWIR2} \cdot 0.072 - 0.0018 \quad (10)$$

3. coeff = "Olmedo"

$$\text{albedo} = \rho_{s,B} \cdot 0.246 + \rho_{s,G} \cdot 0.146 + \rho_{s,R} \cdot 0.191 + \rho_{s,NIR} \cdot 0.304 + \rho_{s,SWIR1} \cdot 0.105 + \rho_{s,SWIR2} \cdot 0.008 \quad (11)$$

where $\rho_{s,b}$ is the surface reflectance for band b .

Included models for Leaf Area Index

1. method = 'metric' (Allen et al., 2007b)

$$\text{SAVI}_{ID} = (1 + L)(\rho_{t,NIR} - \rho_{t,R}) / (L + \rho_{t,NIR} + \rho_{t,R}) \quad (12)$$

where ρ is the reflectance at top-of-atmosphere, and the subindex refers to bands R :red or NIR :near infrared; and L is a soil correction factor. The default value used for L is 0.5, and in METRIC applications in western us, Allen et al. (2007b) suggested a value of $L=0.1$. And Leaf Area Index is:

$$\text{LAI} = -\frac{\ln((0.69 - \text{SAVI}_{ID})/0.59)}{0.91} \quad (13)$$

2. method = 'metric2010' (Pôças et al., 2014)

$$\text{LAI} = 11 \cdot \text{SAVI}_{ID}^3 \quad (14)$$

3. method = 'vineyard' (Johnson et al., 2003)

$$\text{NDVI} = (\rho_{t,NIR} - \rho_{t,R}) / (\rho_{t,NIR} + \rho_{t,R}) \quad (15)$$

where ρ is the reflectance at top-of-atmosphere, and the subindex refers to bands R :red or NIR :near infrared. And Leaf Area Index is:

$$\text{LAI} = 4.9 \cdot \text{NDVI} - 0.46 \quad (16)$$

4. method = 'MCB' (Carrasco-Benavides et al., 2014)

$$\text{LAI} = 1.2 - 3.08 \cdot \exp(-2013.35 \cdot \text{NDVI}^{0.41}) \quad (17)$$

5. method = 'turner' (Turner et al., 1999)

$$\text{NDVI} = (\rho_{s,NIR} - \rho_{s,R}) / (\rho_{s,NIR} + \rho_{s,R}) \quad (18)$$

where ρ is the reflectance at surface level, and the subindex refer to bands R :red or NIR :near infrared. And Leaf Area Index is:

$$\text{LAI} = 0.5724 + 0.0989 \cdot \text{NDVI} - 0.0114 \cdot \text{NDVI}^2 + 0.0004 \cdot \text{NDVI}^3 \quad (19)$$

Included models for Land Surface Temperature

1. method = 'metric' (Allen et al., 2007b) (Landsat 7)

$$\epsilon_{NB} = 0.97 + 0.0033\text{LAI} \quad (20)$$

and $\epsilon_{NB} = 0.98$ when $LAI > 3$; where ϵ_0 is the broadband surface emissivity (dimensionless); and LAI is the leaf area index ($m^2 \cdot m^{-2}$).

$$T_s = \frac{K_2}{\ln[(\epsilon_{NB}K_1/R_c) + 1]} \quad (21)$$

where T_s is the land surface temperature (K); K_1 and K_2 are specific constants for Landsat 7 ($K_1=666.1$ and $K_2=1283 \text{ W} \cdot \text{m}^{-2} \cdot \text{sr}^{-1} \cdot \mu\text{m}$); and R_c is the corrected thermal radiance from the surface using the spectral radiance from band 6 of Landsat following Wukelic et al. (1989).

2. method = 'SC' (Jimenez-Munoz et al., 2009, 2014) (Landsat 8)

$$T_s = \gamma \left[\frac{1}{\epsilon} (v_1 L + v_2) + v_3 \right] + \delta \quad (22)$$

where ϵ is the broadband surface emissivity; and γ and δ are two parameters given by

$$\gamma = \frac{T^2}{b_\gamma \cdot L} \quad (23)$$

$$\delta = T - \frac{T^2}{b_\gamma} \quad (24)$$

where T is the at-sensor brightness temperature; b_γ is a coefficient equal to 1324K for L8 band 10, or 1199K for band 11. And v_1 , v_2 and v_3 are the atmospheric functions, given by

$$v_1 = \frac{1}{\tau_{NB}}; v_2 = -R_{sky} - \frac{R_p}{\tau_{NB}}; v_3 = R_{sky} \quad (25)$$

where τ_{NB} is the narrow band transmissivity of air; R_{sky} is the narrow band downward thermal radiation from a clear sky ($\text{Wm}^{-2}\text{sr}^{-1}\mu\text{m}^{-1}$); and R_p is path radiance in the 10.4–12.54 μm band ($\text{Wm}^{-2}\text{sr}^{-1}\mu\text{m}^{-1}$).

3. method = 'SW' (Jimenez-Munoz et al., 2014) (Landsat 8)

$$T_s = T_i + 1.378(T_{10} - T_{11}) + 0.183(T_{10} - T_{11})^2 - 0.268 + (54.30 - 2.238w)(1 - \epsilon) + (-129.20 + 16.40w)\Delta\epsilon \quad (26)$$

where T_s is the land surface temperature (K); T_{10} and T_{11} are the at-sensor brightness temperatures for bands 10 and 11 of Landsat 8 (K); ϵ is the mean emissivity; w is the total atmospheric water vapor content (in $\text{g} \cdot \text{cm}^{-2}$) and $\Delta\epsilon$ is the emissivity difference.

Included models for Momentum Roughness Length

1. method = 'short-crops' (Allen et al., 2007b)

$$Z_{om} = 0.018 * LAI \quad (27)$$

2. method = 'custom' (Allen et al., 2007b)

$$Z_{om} = \exp((a * NDVI/\text{albedo}) + b) \quad (28)$$

where a and b are the regression coefficients derived by adjusting a lineal model between $\log Z_{om}$ NDVI/albedo for points inside the *Landsat scene* representing specific vegetation types.

3. method = 'Perrier' (Santos et al., 2012; Pôças et al., 2014)

$$Z_{om} = ((1 - \exp(-a * LAI/2)) * \exp(-a * LAI/2))^h \quad (29)$$

where h is the crop height in meters, and a is:

$$a < -(2 * (1 - fLAI))^{-1}$$

when $fLAI > 0.5$, or

$$a < -2 * fLAI$$

when $fLAI < 0.5$. And $fLAI$ is the proportion of LAI lying above $h/2$.

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