

# Deep Learning for Structured Prediction in Natural Language Processing

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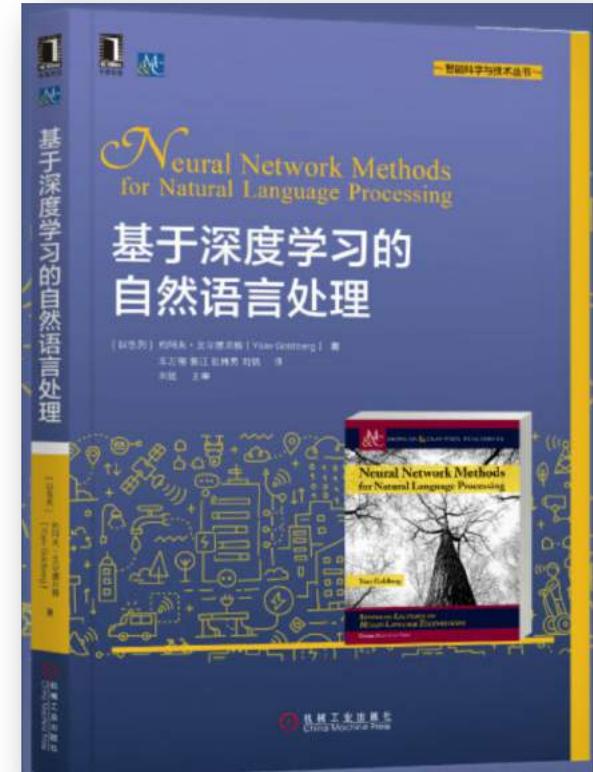




# Reference Book

## □ 基于深度学习的自然语言处理

- Neural Network Methods for Natural Language Processing
- 约阿夫·戈尔德贝格 ( Yoav Goldberg ) 著
- 车万翔、郭江、张伟男、刘铭 ( 译 )
- 机械工业出版社出版
- 2018年5月





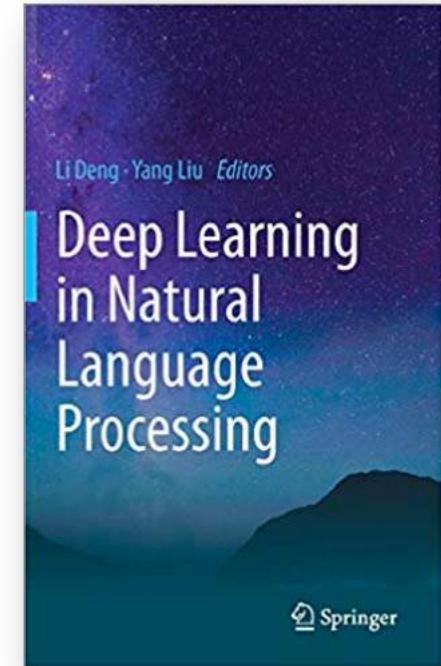
# Reference Book

- Deep Learning in Natural Language Processing

- Editors: Li Deng and Yang Liu
  - Springer, 2018

- Chapter 4: Deep Learning in Lexical Analysis and Parsing

- Wanxiang Che and Yue Zhang



# Part 1: Structured Prediction



# Part 1.1: Fundamental NLP Tasks





# Why Do We Need Structures?

山寨发布会阳森

@ 才看到。昨天手机打字，把“您转的这篇文章很无知”打成了“您转这篇文章很无知”，少了一个字。抱歉。

The article you retweeted is ignorant.

You are ignorant to retweet the article.

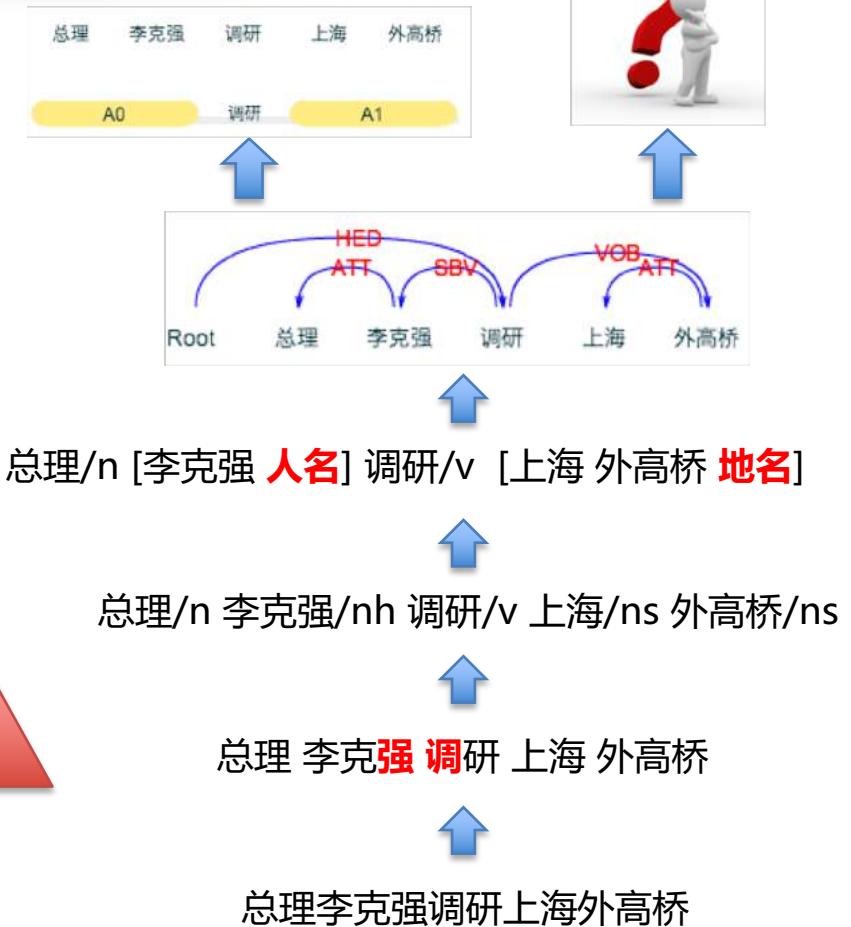
山寨发布会阳森

主语是那篇文章很无知。

- Parsing proposes the (syntactic or semantic) relations between words
- These relations are important for many applications



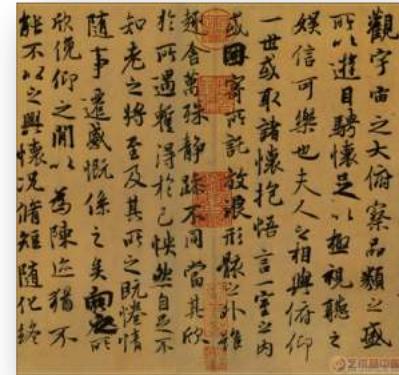
# Fundamental NLP Pipeline





# Word Segmentation

- Words are fundamental semantic units
- Chinese has no obvious word boundaries
- Word segmentation
  - Split Chinese character sequence into words
- Ambiguities in word segmentation
  - E.g. 严守一把机关了
    - 严守一/ 把/ 手机/ 关/ 了
    - 严守/ 一把手/ 机关/ 了
    - 严守/ 一把/ 手机/ 关/ 了
    - 严守一/ 把手/ 机关/ 了
    - .....





# Part-of-speech (POS) Tagging

- A POS is a category of words which have **similar grammatical properties**
  - E.g. noun, verb, adjective
- POS tagging
  - Marking up a word in a text as a particular POS based on both its definition and its **context**
- Ambiguities in POS Tagging
  - Time **flies** like an arrow.
  - 制服了敌人 vs. 穿着制服





# Named Entity Recognition (NER)

- Named Entities
  - Persons, locations, organizations, expressions of times, quantities, monetary values, percentages, etc.
- Locating and classifying named entities in text into pre-defined categories
- Ambiguities in NER

Kerry to visit **Jordan**, Israel  
Palestinian peace on agenda.

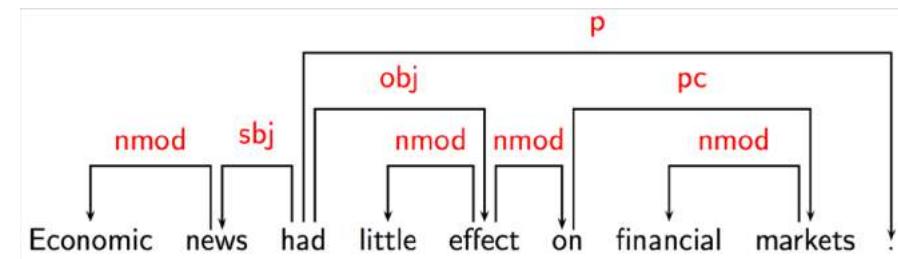
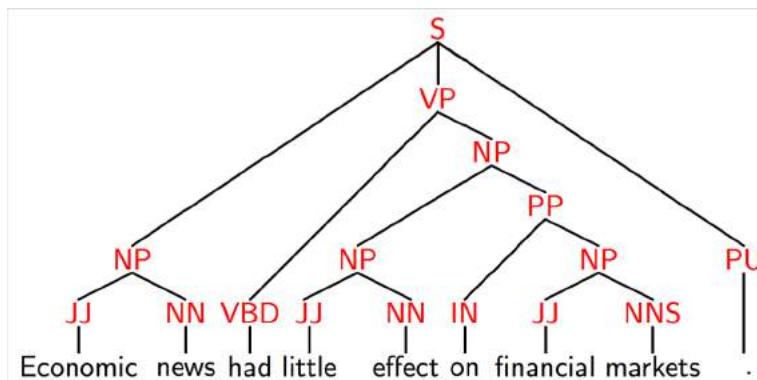
Jordan





# Syntactic Parsing

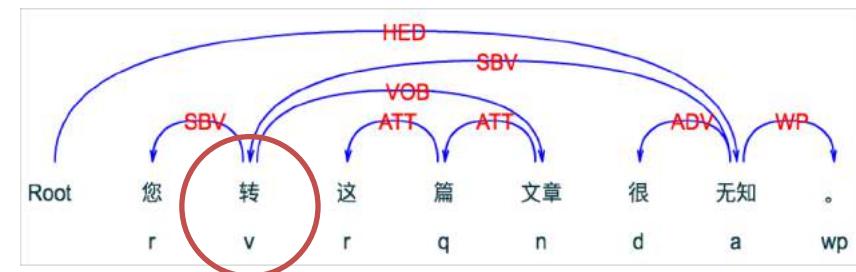
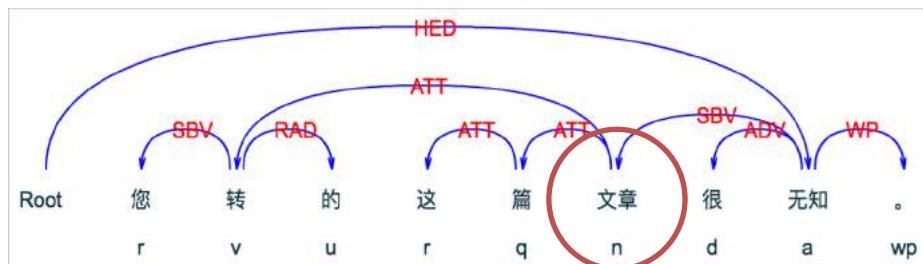
- Analyzing a natural language string conforming to the rules of a formal grammar, emphasizing subject, predicate, object, etc.
- Constituency and Dependency Parsing





# Dependency Parsing

- A dependency tree is a tree structure composed of the input words and satisfies a few constraints:
  - Single-head
  - Connected
  - Acyclic





# Semantic Role Labeling

- Recognizing predicates and corresponding arguments

<b>TEMP</b>	<b>HITTER</b>	<b>THING HIT</b>	<b>INSTRUMENT</b>
Yesterday, Kristina hit		Scott	with a baseball

Scott was hit by Kristina yesterday with a baseball

Yesterday, Scott was hit with a baseball by Kristina

With a baseball, Kristina hit Scott yesterday

Yesterday Scott was hit by Kristina with a baseball

Kristina hit Scott with a baseball yesterday



# Semantic Role Labeling

□ Answer “Who did what to whom when and where”

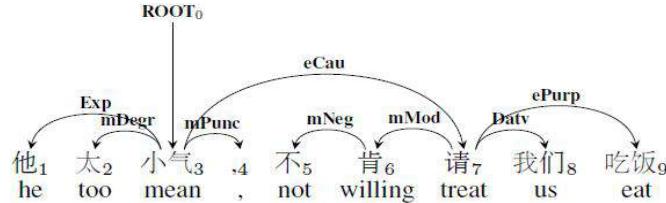
□ Question Answering

- Yesterday time , Mary buyer bought a shirt bought thing from Tom seller
- Whom buyer did Tom seller sell a shirt bought thing to, yesterday time

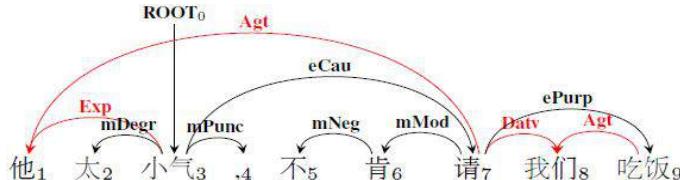
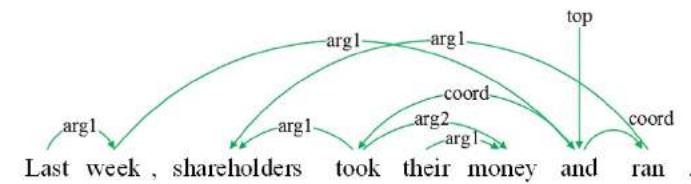
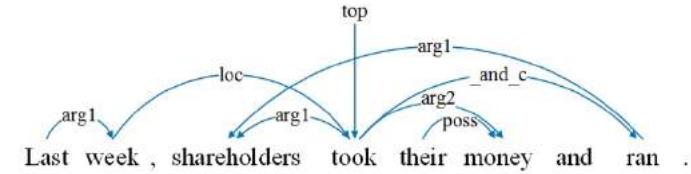
□ Information Extraction

□ .....

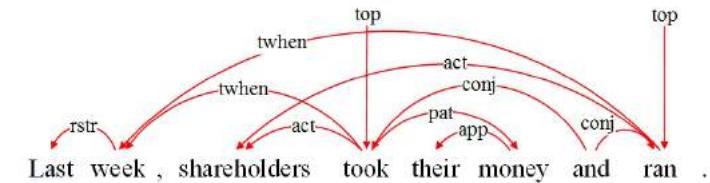
# Semantic Dependency Graph



SemEval 2012 Task 5 : Chinese Semantic Dependency (Tree)



SemEval 2016 Task 9 : Chinese Semantic Dependency (Graph)



SemEval 2015 Task 18: Broad-Coverage Semantic Dependency (Graph)



# Abstract Meaning Representation (AMR)

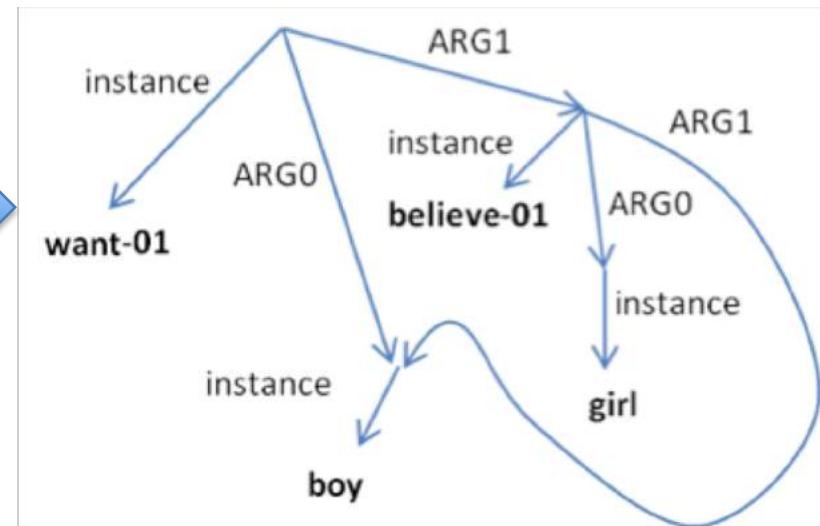
*The boy wants the girl to believe him.*

*The boy wants to be believed by the girl.*

*The boy has a desire to be believed by the girl.*

*The boy's desire is for the girl to believe him.*

*The boy is desirous of the girl believing him.*





# Combinatory Categorial Grammars (CCG)

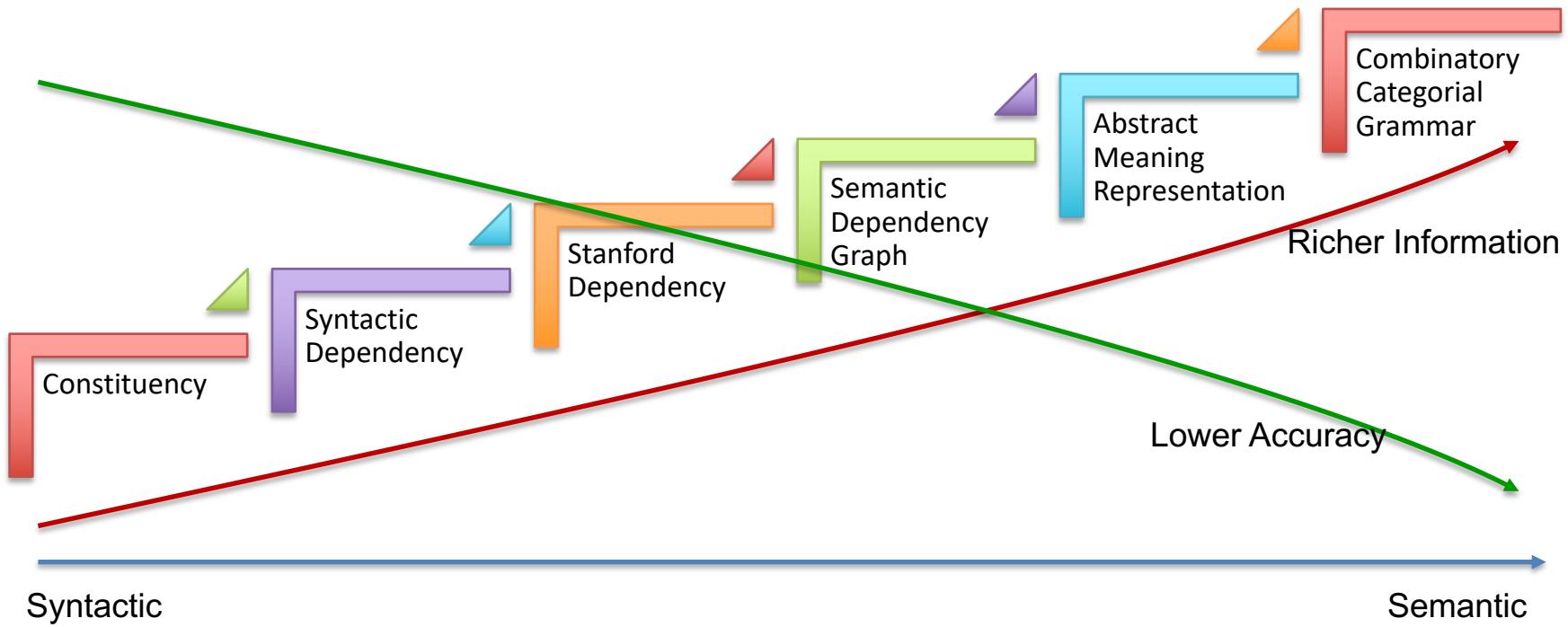
$$\frac{\text{CCG}}{\frac{\frac{NP}{CCG} \quad \text{is} \quad \text{fun}}{\frac{S \setminus NP / ADJ}{\lambda f. \lambda x. f(x)} \quad \frac{ADJ}{\lambda x. fun(x)}} \rightarrow \frac{S \setminus NP}{\lambda x. fun(x)}} \leftarrow \frac{S}{fun(CCG)}$$

- CCG Lexical Entries
  - Pair words and phrases with meaning by a CCG category
- CCG Categories
  - Basic building block
  - Capture syntactic and semantic information jointly



Syntax       $ADJ : \lambda x. fun(x)$       Semantics

# Grammar



## Part 1.2: Structured Prediction





# Structured Prediction

- Predicting structured objects, rather than scalar discrete or real values
- Outputs are **influenced each other**
- Three categories
  - Sequence segmentation
  - Sequence labeling / Tagging
  - Parsing



# Sequence Segmentation

- Break a sequence into contiguous parts
- For example: Word Segmentation
  - Input
    - 严守一 把手机关了
  - Output
    - 严守 / 把 / 手机 / 关 / 了 /
- More examples:
  - Sentence segmentation (a post-processing stage for speech transcription)
  - Paragraph segmentation



# Sequence Labeling/Tagging

- Given an input sequence, produce a **label sequence** of equal length
- Each label is drawn from a small finite set
- Labels are **influenced each other**
- For example: POS tagging
  - Input
    - Profits soared at Boeing Co., easily topping forecasts on Wall Street, ...
  - Output
    - Profits/N soared/V at/P Boeing/N Co./N ./, easily/ADV ...



# NER

## □ Input

- Profits soared at Boeing Co., easily topping forecasts on Wall Street, ...

## □ Output

- Profits soared at [Boeing Co. **ORG**], easily topping forecasts on [Wall Street **LOC**], ...

## □ Alternative Output (Tagging)

- Profits/**O** soared/**O** at/**O** Boeing/**B-ORG** Co./**I-ORG** ,/**O** easily/**O** topping/**O** forecasts/**O** on/**O** Wall/**B-LOC** Street/**I-LOC** ,/**O** ...

## □ Where

- B: Begin of entity XXX; I: Inside of entity XXX; O: Others



# Word Segmentation

## □ Input

□ 严守一把手机关了

## □ Output

□ 严/守一/把/手/机/关/了/

## □ Alternative Output (Tagging)

□ 严/B 守/I 一/I 把/B 手/B 机/I 关/B 了/B

## □ Where

□ B: Begin of a word; I: Inside of a word



# Semantic Role Labeling

## □ Input

- Yesterday, Mary bought a shirt from Tom

## □ Output

- [Yesterday <sub>time</sub>], [Mary <sub>buyer</sub>] bought/pred [a shirt <sub>bought thing</sub>] from [Tom <sub>seller</sub>]

## □ Alternative Output (Tagging)

- Yesterday/B-time ,/O Mary/B-buyer bought/pred a/B-  
bought thing shirt/I-bought thing from/O Tom/B-seller

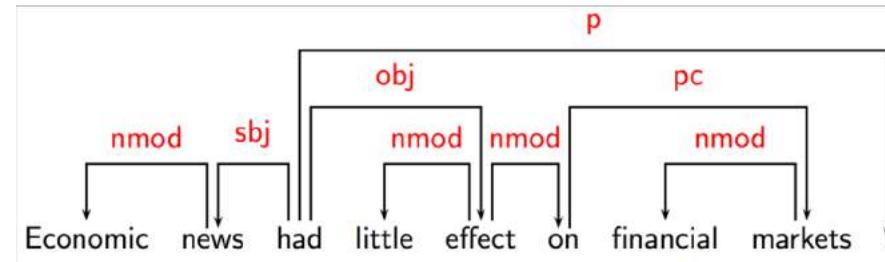
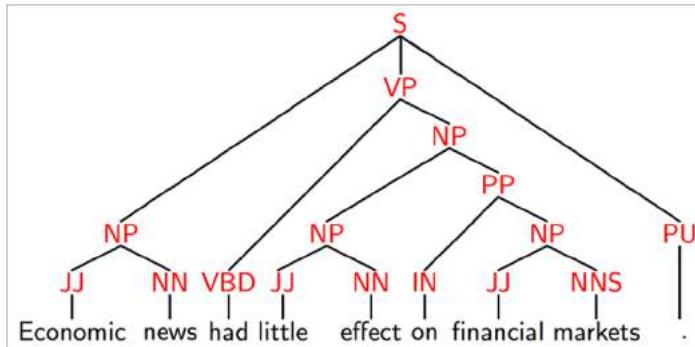
## □ Where

- B: Begin of an arg; I: Inside of an arg; O: Others



# Parsing Algorithms

- All kinds of algorithms converting sentences to tree or graph structures
- Constituency and Dependency Parsing





# Part 1: Summary

## ❑ NLP Tasks

- ❑ Word segmentation, POS tagging, named entity recognition
- ❑ Constituent/dependency parsing
- ❑ Semantic Role Labeling, Semantic (graph) dependency parsing
- ❑ Abstract Meaning Representation (AMR)
- ❑ Combinatory Categorial Grammars (CCG)

## ❑ Structured Prediction

- ❑ Sequence segmentation
- ❑ Sequence labeling / Tagging
- ❑ Parsing

# Part 2: Graph-based Methods



# Part 2.1: Graph-based Sequence Labeling





# Traditional Sequence Labeling Models

HMM

$$P(y_{[1:n]}, x_{[1:n]}) \propto \prod_{t=1}^n P(y_t | y_{t-1}) P(x_t | y_t)$$

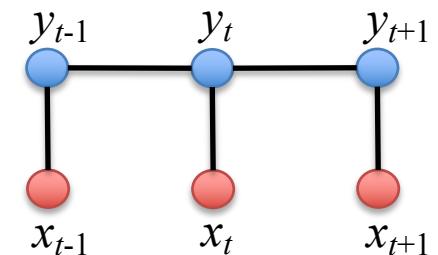
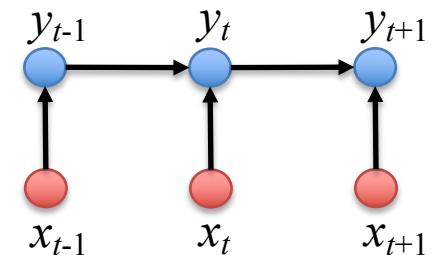
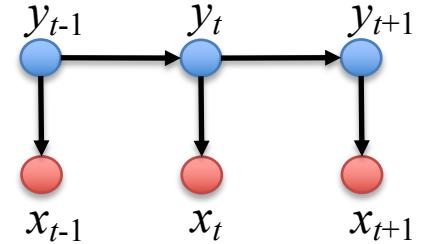
MEMM

$$P(y_{[1:n]} | x_{[1:n]}) \propto \prod_{t=1}^n P(y_t | y_{t-1}, x_t)$$

$$\propto \prod_{t=1}^n \frac{1}{Z_{y_{t-1}, x_t}} \exp \left( \begin{array}{l} \sum_j \lambda_j f_j(y_t, y_{t-1}) \\ + \sum_k \mu_k g_k(y_t, x_t) \end{array} \right)$$

CRF

$$P(y_{[1:n]} | x_{[1:n]}) \propto \frac{1}{Z_{y_{[1:n]}}} \prod_{t=1}^n \exp \left( \begin{array}{l} \sum_j \lambda_j f_j(y_t, y_{t-1}) \\ + \sum_k \mu_k g_k(y_t, x_t) \end{array} \right)$$

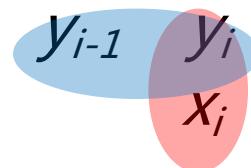




# Features of POS Tagging with CRF

- Assume only two feature templates

- tag bigrams



- word/tag pairs

$$f_{100} = \begin{cases} 1 & \text{if } \langle y_{i-1}, y_i \rangle = \langle n, v \rangle \\ 0 & \text{otherwise} \end{cases}$$

$$g_{101} = \begin{cases} 1 & \text{if } x_i \text{ is ended with "ing" and } y_i = v \\ 0 & \text{otherwise} \end{cases}$$



# CRF Decoding

$$\arg \max_{y_{[1:n]} \in \text{GEN}(x_{[1:n]})} \sum_{i=1}^n \mathbf{w} \cdot \mathbf{f}(x_{[1:n]}, y_i, y_{i-1})$$

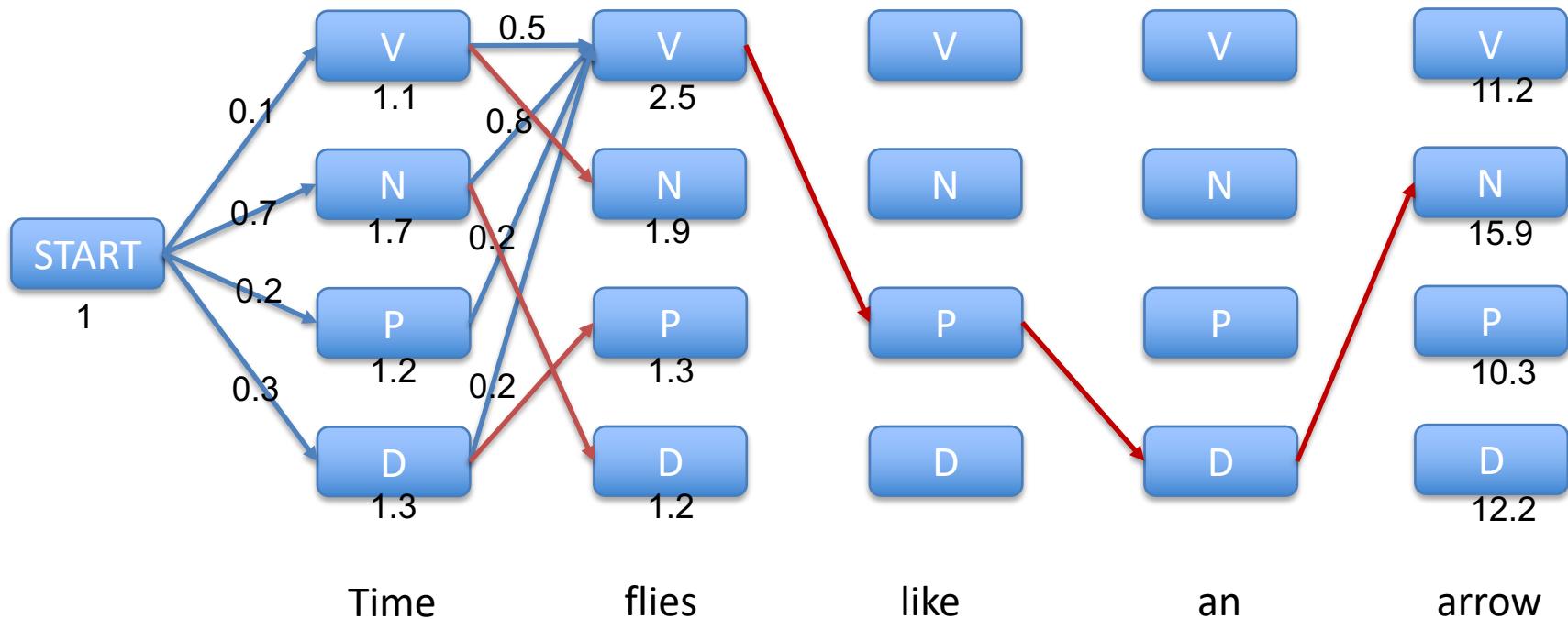
where  $\text{GEN}(x_{[1:n]})$  is all possible tag sequences

- Dynamic Programming Algorithm
- Viterbi Algorithm



# Viterbi Algorithm

- Define a dynamic programming table
  - $\pi(i, y) = \text{maximum score of a tag sequence ending in tag } y \text{ at position } i$
- Recursive definition:  $\pi(i, y) = \max_t (\pi(i - 1, t) + w \cdot f(x_{[1:n]}, y, t))$





# Deep Learning for Sequence Labeling

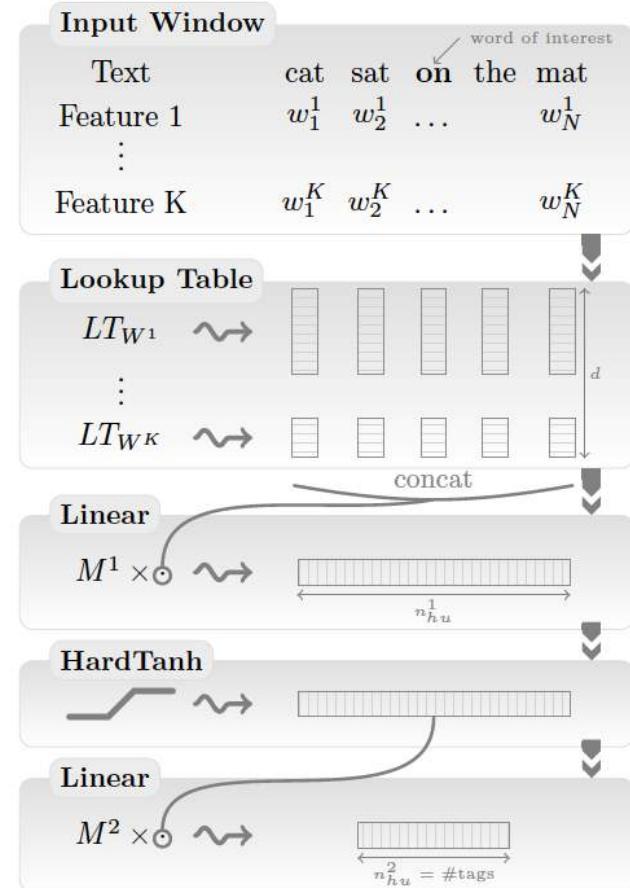
## □ Window Approach

- Tag **one word** at a time
- Feed a **fixed-size** window of text around **each word** to tag

## □ Features

- Words, POS tags, Suffix, Cascading, ...

Ronan Collobert, Jason Weston, Léon Bottou, Michael Karlen, Koray Kavukcuoglu, and Pavel Kuksa. 2011. Natural Language Processing (Almost) from Scratch. *J. Mach. Learn. Res.* 12, 2493-2537.





# Sentence-Level Log-Likelihood

- Considering dependencies between tags in a sentence
- Conditional likelihood by **normalizing** all possible paths (CRF)
- Sentence score for one tag path

$$\log p(\mathbf{y}_1^T \mid \mathbf{x}_1^T, \tilde{\boldsymbol{\theta}}) = s(\mathbf{x}_1^T, \mathbf{y}_1^T, \tilde{\boldsymbol{\theta}}) - \text{logadd} s(\mathbf{x}_1^T, \mathbf{j}_1^T, \tilde{\boldsymbol{\theta}}) \\ \forall \mathbf{j}_1^T$$

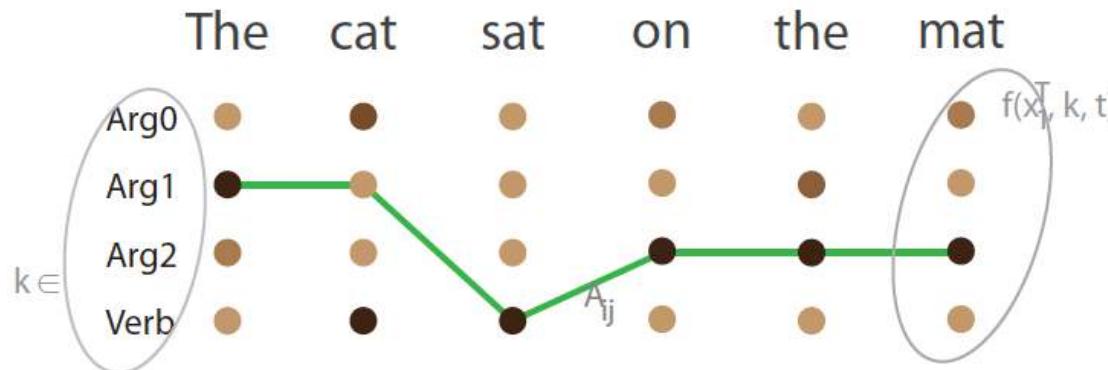
$$s(\mathbf{x}_1^T, \mathbf{i}_1^T, \tilde{\boldsymbol{\theta}}) = \sum_{t=1}^T \left( A_{\mathbf{i}_{t-1} \mathbf{i}_t} + f(\mathbf{x}_1^T, \mathbf{i}_t, t, \boldsymbol{\theta}) \right)$$

- where  $A_{[i][j]}$  is a transition score for jumping from tag  $i$  to  $j$



# Sentence-Level Log-Likelihood

- Decoding: finding the max scored path
  - Viterbi algorithm





# Results

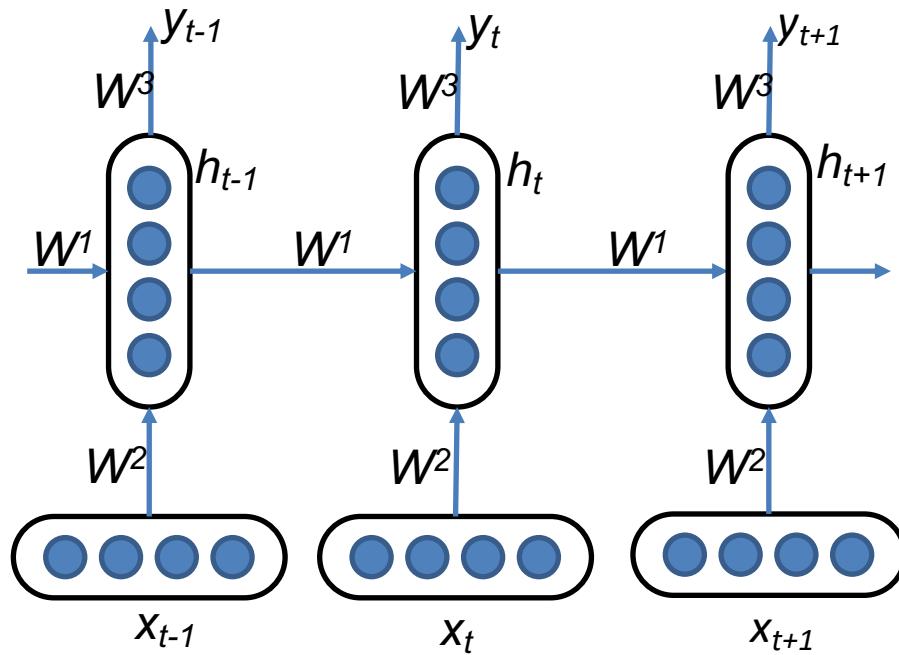
Approach	POS (PWA)	Chunking (F1)	NER (F1)	SRL (F1)
Benchmark Systems	97.24	94.29	89.31	77.92
NN+WLL	96.31	89.13	79.53	55.40
NN+SLL	96.37	90.33	81.47	70.99

□ SLL helps, but fair performance for POS



# Recurrent Neural Networks (RNNs)

- Condition the neural network on all previous inputs
- RAM requirement only scales with number of inputs



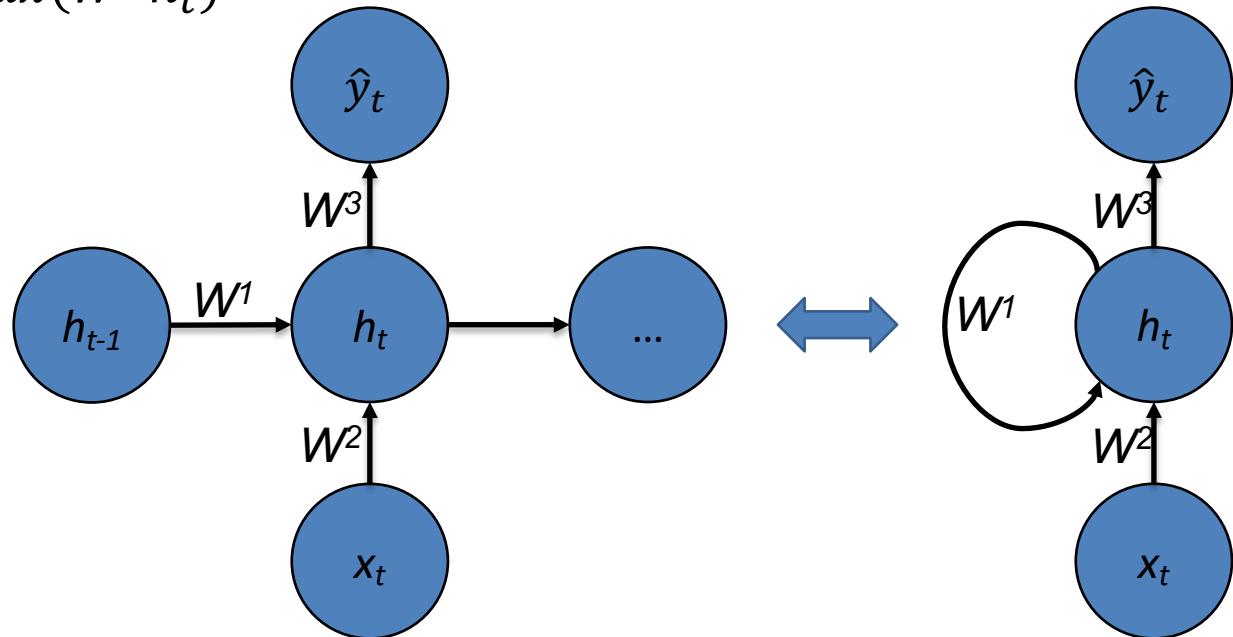


# Recurrent Neural Networks (RNNs)

□ At a single time step  $t$

□  $h_t = \tanh(W^1 h_{t-1} + W^2 x_t)$

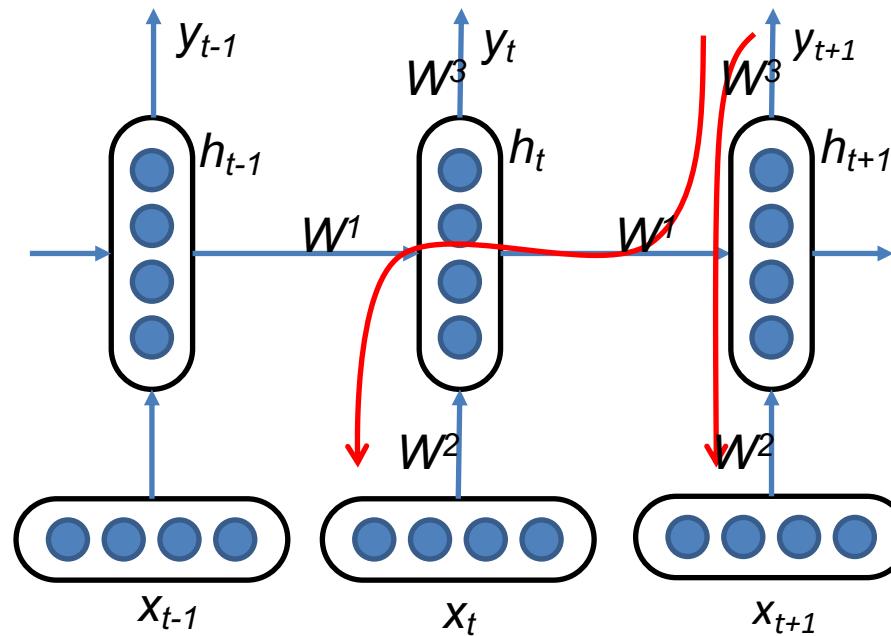
□  $\hat{y}_t = \text{softmax}(W^3 h_t)$





# Training RNNs Is Hard

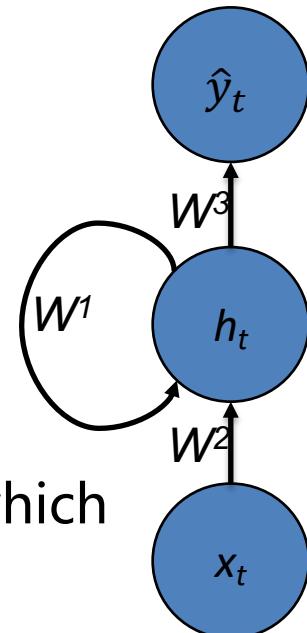
- ☐ Ideally inputs from many time steps ago can modify output  $y$
- ☐ For example, with 2 time steps





# BackPropagation Through Time (BPTT)

- Total error is the sum of each error at time step  $t$ 
  - $\frac{\partial E}{\partial W} = \sum_{t=1}^T \frac{\partial E_t}{\partial W}$
- $\frac{\partial E_t}{\partial W^3} = \frac{\partial E_t}{\partial y_t} \frac{\partial y_t}{\partial h_t} \frac{\partial h_t}{\partial W^3}$  is easy to be calculated
- But to calculate  $\frac{\partial E_t}{\partial W^1} = \frac{\partial E_t}{\partial y_t} \frac{\partial y_t}{\partial h_t} \frac{\partial h_t}{\partial W^1}$  is hard (also for  $W^2$ )
  - Because  $h_t = \tanh(W^1 h_{t-1} + W^2 x_t)$  depends on  $h_{t-1}$ , which depends on  $W^1$  and  $h_{t-2}$ , and so on.
- So  $\frac{\partial E_t}{\partial W^1} = \sum_{k=1}^t \frac{\partial E_t}{\partial y_t} \frac{\partial y_t}{\partial h_t} \frac{\partial h_t}{\partial h_k} \frac{\partial h_k}{\partial W^1}$





# BackPropagation Through Time (BPTT)

- Use the same as the backpropagation algorithm as we use in deep feedforward NN, but summing up the gradients for  $W^1$
- BPTT is just a **fancy name** for standard backpropagation on an unrolled RNN

- $$\frac{\partial E}{\partial W^1} = \sum_{t=1}^T \frac{\partial E_t}{\partial W^1}$$

- $$\frac{\partial E_t}{\partial W^1} = \sum_{k=1}^t \frac{\partial E_t}{\partial y_t} \frac{\partial y_t}{\partial h_t} \frac{\partial h_t}{\partial h_k} \frac{\partial h_k}{\partial W^1}$$



# The vanishing gradient problem

- $\frac{\partial E_t}{\partial W} = \sum_{k=1}^t \frac{\partial E_t}{\partial y_t} \frac{\partial y_t}{\partial h_t} \frac{\partial h_t}{\partial h_k} \frac{\partial h_k}{\partial W}, h_t = \tanh(W^1 h_{t-1} + W^2 x_t)$
- $\frac{\partial h_t}{\partial h_k} = \prod_{j=k+1}^t \frac{\partial h_j}{\partial h_{j-1}} = \prod_{j=k+1}^t W^1 \text{diag}[\tanh'(\dots)]$
- $\left\| \frac{\partial h_t}{\partial h_{t-1}} \right\| \leq \gamma \|W^1\| \leq \gamma \lambda_1$ 
  - where  $\gamma$  is bound  $\|\text{diag}[\tanh'(\dots)]\|$ ,  $\lambda_1$  is the largest singular value of  $W^1$
- $\left\| \frac{\partial h_t}{\partial h_k} \right\| \leq (\gamma \lambda_1)^{t-k}$
- This can become very small or very large quickly → **Vanishing** or **exploding** gradient
  - Trick for exploding gradient: clipping trick (set a threshold)



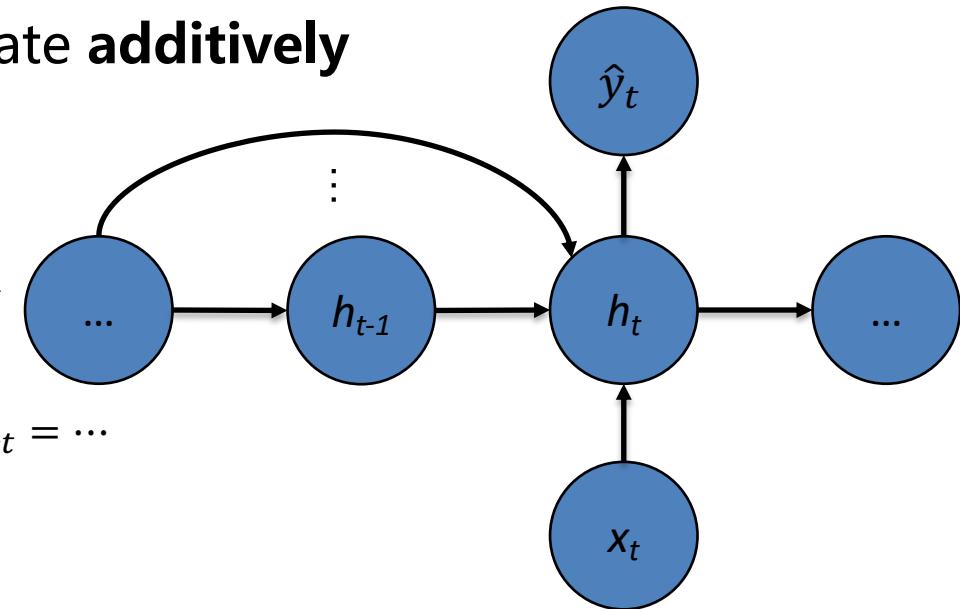
# A “Solution”

- Intuition
  - Ensure  $\gamma\lambda_1 \geq 1 \rightarrow$  to prevent vanishing gradients
- So ...
  - Proper initialization of the W
  - To use ReLU instead of tanh or sigmoid activation functions



# A better “solution”

- Recall the original transition equation
  - $h_t = \tanh(W^1 h_{t-1} + W^2 x_t)$
- We can instead update the state **additively**
  - $u_t = \tanh(W^1 h_{t-1} + W^2 x_t)$
  - $h_t = h_{t-1} + u_t$
  - then,  $\left\| \frac{\partial h_t}{\partial h_{t-1}} \right\| = 1 + \left\| \frac{\partial u_t}{\partial h_{t-1}} \right\| \geq 1$
  - On the other hand
    - $h_t = h_{t-1} + u_t = h_{t-2} + u_{t-1} + u_t = \dots$





# A better “solution” (cont.)

- Interpolate between old state and new state (“choosing to **forget**”)
  - $f_t = \sigma(W^f x_t + U^f h_{t-1})$
  - $h_t = f_t \odot h_{t-1} + (1 - f_t) \odot u_t$
- Introduce a separate **input gate**  $i_t$ 
  - $i_t = \sigma(W^i x_t + U^i h_{t-1})$
  - $h_t = f_t \odot h_{t-1} + i_t \odot u_t$
- Selectively expose memory cell  $c_t$  with an **output gate**  $o_t$ 
  - $o_t = \sigma(W^o x_t + U^o h_{t-1})$
  - $c_t = f_t \odot c_{t-1} + i_t \odot u_t$
  - $h_t = o_t \odot \tanh(c_t)$



# Long Short-Term Memory (LSTM)

- Hochreiter & Schmidhuber, 1997
- LSTM = additive updates + gating

$$u_t = \tanh(W_h h_{t-1} + V_x x_t)$$

$$f_t = \text{sigmoid}(W_f h_{t-1} + V_f x_t)$$

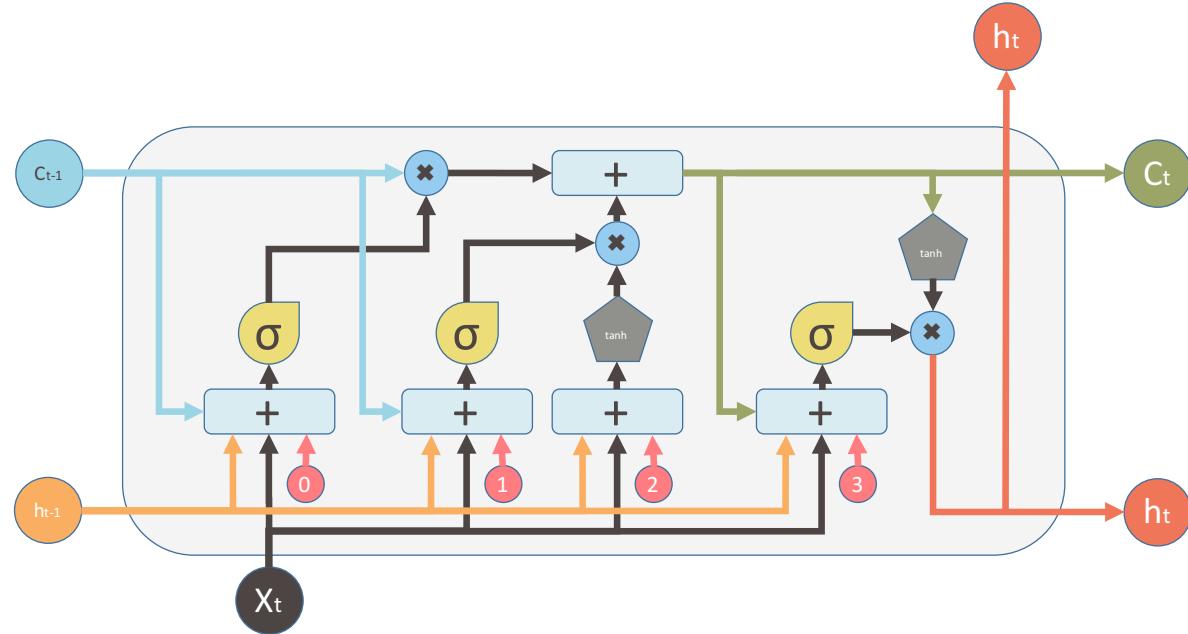
$$i_t = \text{sigmoid}(W_i h_{t-1} + V_i x_t)$$

$$o_t = \text{sigmoid}(W_o h_{t-1} + V_o x_t)$$

$$c_t = f_t \odot c_{t-1} + i_t \odot u_t$$

$$h_t = o_t \odot \tanh(c_t)$$

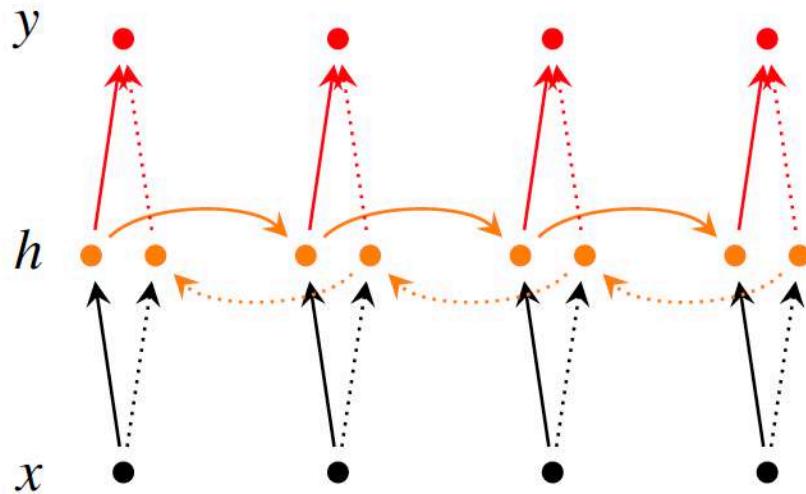
$$y_t = U h_t$$



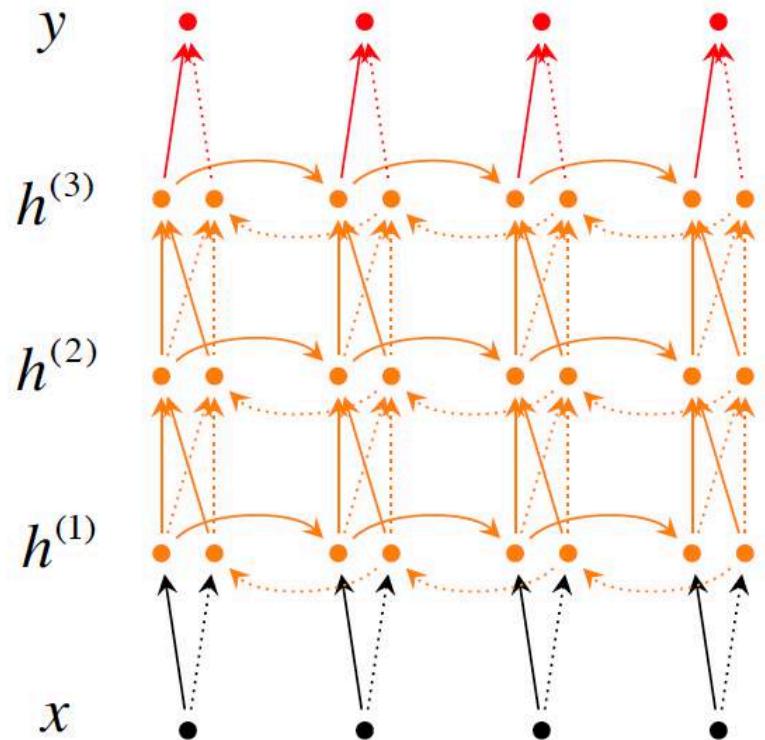


# More RNNs

## □ Bidirectional RNN

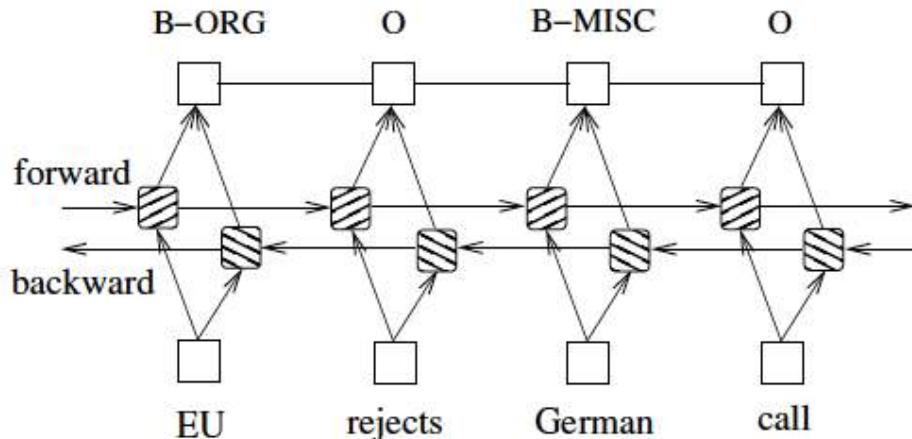


- Deep Bidirectional





# Bi-LSTM-CRF



---

## Algorithm 1 Bidirectional LSTM CRF model training procedure

---

```
1: for each epoch do
2:   for each batch do
3:     1) bidirectional LSTM-CRF model forward pass:
4:     forward pass for forward state LSTM
5:     forward pass for backward state LSTM
6:     2) CRF layer forward and backward pass
7:     3) bidirectional LSTM-CRF model backward pass:
8:       backward pass for forward state LSTM
9:       backward pass for backward state LSTM
10:      4) update parameters
11:    end for
12: end for
```

---



# Results

		POS	Chunking	NER
			CoNLL2000	CoNLL2003
Random	Conv-CRF (Collobert et al., 2011)	96.37	90.33	81.47
	LSTM	97.10	92.88	79.82
	BI-LSTM	97.30	93.64	81.11
	CRF	97.30	93.69	83.02
	LSTM-CRF	<b>97.45</b>	93.80	84.10
	BI-LSTM-CRF	97.43	<b>94.13</b>	<b>84.26</b>
Senna	Conv-CRF (Collobert et al., 2011)	97.29	94.32	88.67 (89.59)
	LSTM	97.29	92.99	83.74
	BI-LSTM	97.40	93.92	85.17
	CRF	97.45	93.83	86.13
	LSTM-CRF	97.54	94.27	88.36
	BI-LSTM-CRF	<b>97.55</b>	<b>94.46</b>	<b>88.83 (90.10)</b>

Zhiheng Huang, Wei Xu, and Kai Yu. Bidirectional LSTM-CRF models for sequence tagging. CoRR, abs/1508.01991, 2015.



# BI-LSTM-CRF for SRL

- End-to-end tagging rule
  - 8 layer bi-directional LSTM
  - No parsing features
- Features
  - Argument
  - Predicate
  - Predicate-context
  - Region-mark

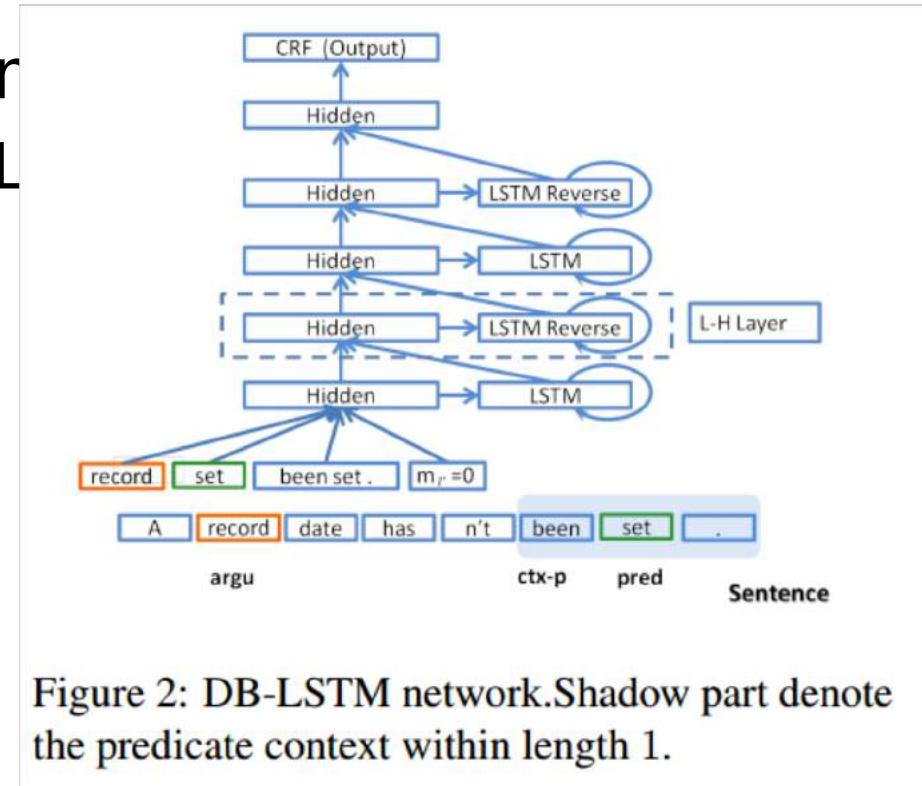
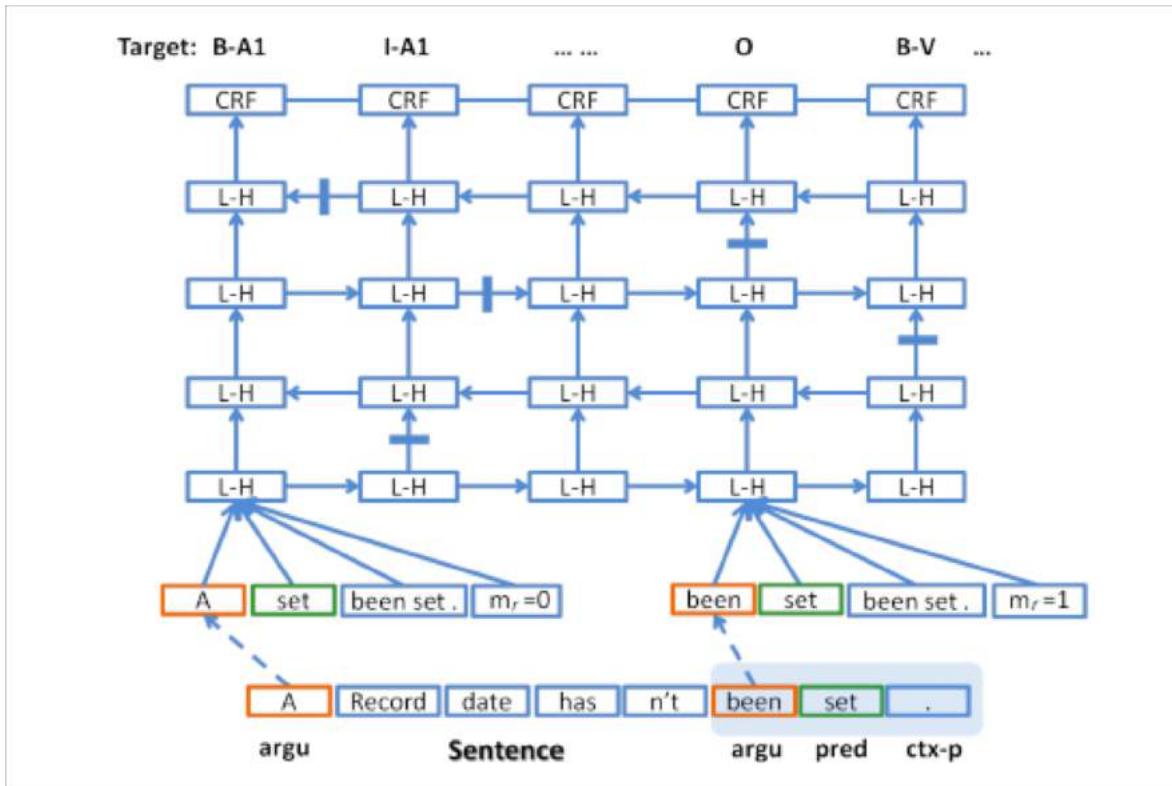


Figure 2: DB-LSTM network. Shadow part denote the predicate context within length 1.



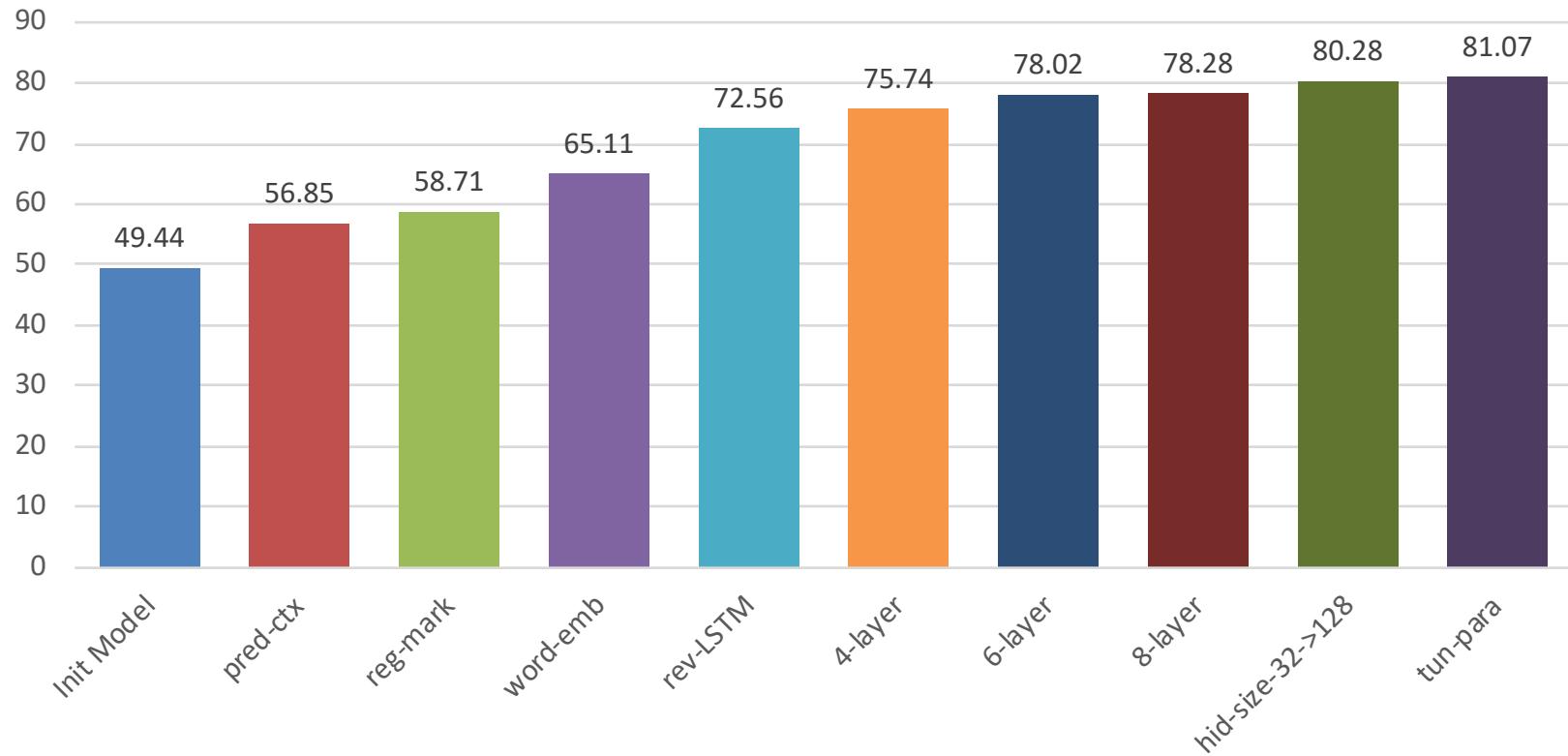
# Temporal Expanded



Jie Zhou and Wei Xu. (2015). End-to-end learning of semantic role labeling using recurrent neural networks. ACL.



# Results

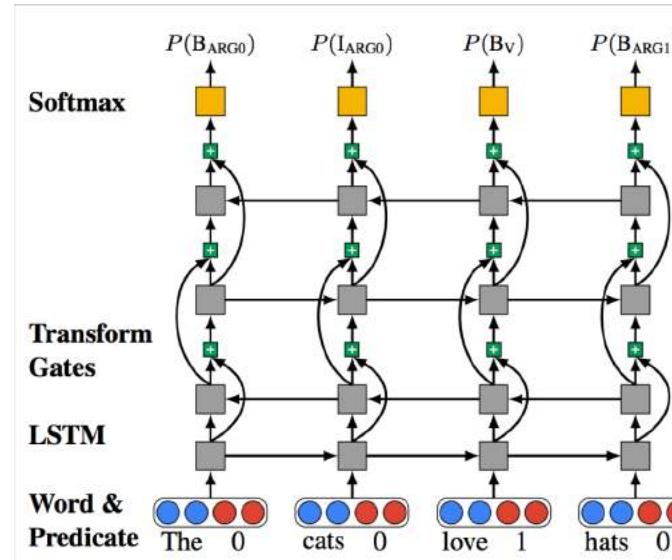


Jie Zhou and Wei Xu. (2015). End-to-end learning of semantic role labeling using recurrent neural networks. ACL.



# Deep SRL

- A deep **highway** BiLSTM architecture with constraints
  - 8 BiLSTM layers (4 forward LSTMs and 4 reversed LSTMs)



Luheng He, Kenton Lee, Mike Lewis and Luke Zettlemoyer. Deep Semantic Role Labeling: What Works and What's Next. ACL 2017.



# Results

## ❑ New state-of-the-art results

Method	Development				WSJ Test				Brown Test				Combined
	P	R	F1	Comp.	P	R	F1	Comp.	P	R	F1	Comp.	F1
Ours (PoE)	<b>83.1</b>	<b>82.4</b>	<b>82.7</b>	<b>64.1</b>	<b>85.0</b>	<b>84.3</b>	<b>84.6</b>	<b>66.5</b>	<b>74.9</b>	<b>72.4</b>	<b>73.6</b>	<b>46.5</b>	<b>83.2</b>
Ours	81.6	81.6	81.6	62.3	83.1	83.0	83.1	64.3	72.9	71.4	72.1	44.8	81.6
Zhou	79.7	79.4	79.6	-	82.9	82.8	82.8	-	70.7	68.2	69.4	-	81.1
FitzGerald (Struct.,PoE)	81.2	76.7	78.9	55.1	82.5	78.2	80.3	57.3	74.5	70.0	72.2	41.3	-
Täckström (Struct.)	81.2	76.2	78.6	54.4	82.3	77.6	79.9	56.0	74.3	68.6	71.3	39.8	-
Toutanova (Ensemble)	-	-	78.6	58.7	81.9	78.8	80.3	60.1	-	-	68.8	40.8	-
Punyakanok (Ensemble)	80.1	74.8	77.4	50.7	82.3	76.8	79.4	53.8	73.4	62.9	67.8	32.3	77.9

Luheng He, Kenton Lee, Mike Lewis and Luke Zettlemoyer. Deep Semantic Role Labeling: What Works and What's Next. ACL 2017.

## Part 2.2: Neural Semi-CRF

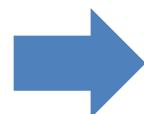




# Segmentation Models

- Tagging models cannot extract segment information
  - E.g. the length of a segment
- Some tagging problems can be naturally modeled into segmentation task
  - E.g. word segmentation, named entity recognition

浦东开发与建设



浦东 / 开发 / 与 / 建设  
Pudong development and construction



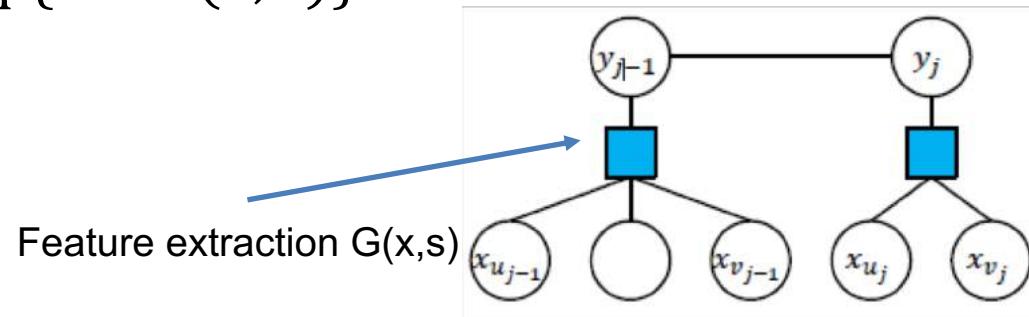
# Semi-CRF

## □ A solution

□ Semi-Markov CRF [Sarawagi and Cohen, 2004]

□ Modeling segments directly

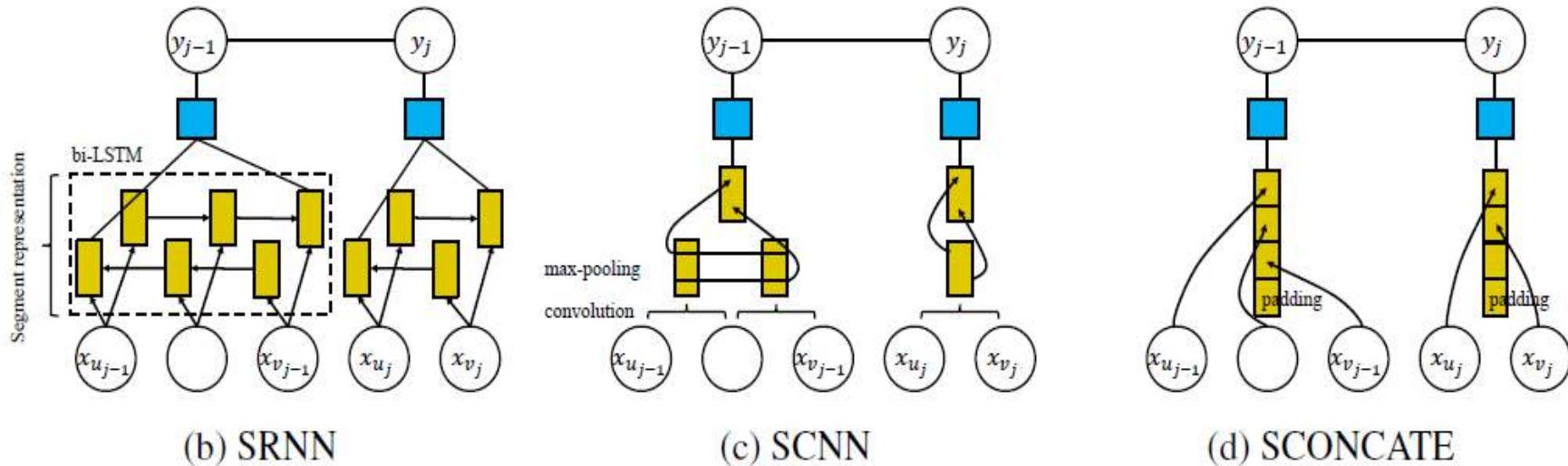
$$\square p(s|x) = \frac{1}{Z(x)} \exp\{W \cdot G(x, s)\}$$



Can we represent segments with vectors?



# Compositional Segment Representation



Yijia Liu, Wanxiang Che, Jiang Guo, Bing Qin, Ting Liu. (2016). Exploring Segment Representations for Neural Segmentation Models. IJCAI.

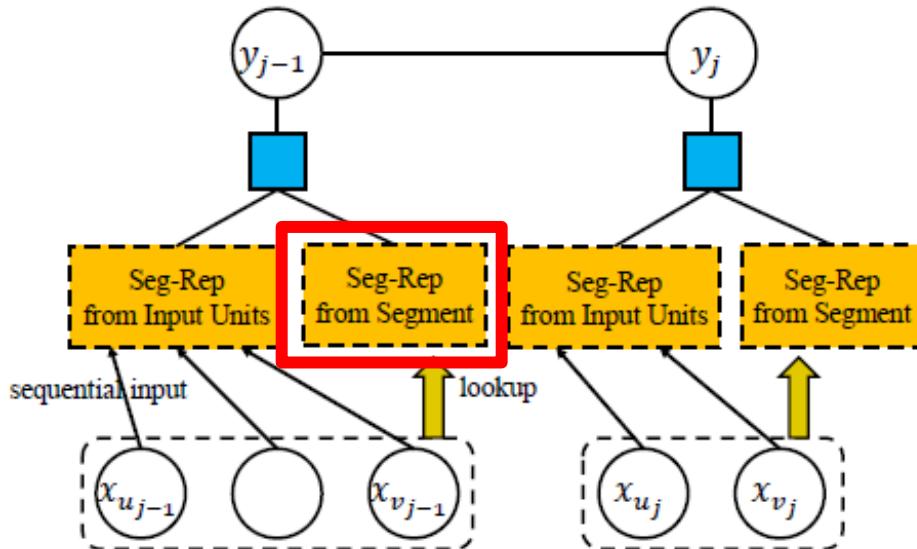


# Results

		NER CoNLL03		CTB6				CWS PKU				MSR	
		dev	test	dev	test	dev	test	dev	test	dev	test	spd	
<i>baseline</i>	NN-LABELER	93.03	88.62	93.70	93.06	93.57	92.99	93.22	93.79	<b>3.30</b>			
	NN-CRF	<b>93.06</b>	<b>89.08</b>	94.33	93.65	94.09	93.28	93.81	94.17	2.72			
	SPARSE-CRF	88.87	83.43	<b>95.68</b>	<b>95.08</b>	<b>95.85</b>	<b>95.06</b>	<b>96.09</b>	<b>96.54</b>				
<i>neural semi-CRF</i>	SRNN	92.97	88.63	94.56	94.06	94.86	93.91	94.38	95.21	0.62			
	SCONCAT	92.96	89.07	94.34	93.96	94.41	93.57	94.05	94.53	1.08			
	SCNN	91.53	87.68	87.82	87.51	79.64	80.75	85.04	85.79	1.46			



# Segment-level Representation



<i>model</i>	CoNLL03	CTB6	PKU	MSR
NN-LABELER	88.62	93.06	92.99	93.79
NN-CRF	89.08	93.65	93.28	94.17
SPARSE-CRF	83.43	95.08	95.06	96.54
SRNN	88.63	94.06	93.91	95.21
+SEMB-HETERO	89.59 +0.96	<b>95.48</b> +1.42	95.60 +1.69	97.39 +2.18
SCONCATE	89.07	93.96	93.57	94.53
+SEMB-HETERO	<b>89.77</b> +0.70	<b>95.42</b> +1.43	<b>95.67</b> +2.10	<b>97.58</b> +3.05

Yijia Liu, Wanxiang Che, Jiang Guo, Bing Qin, Ting Liu. (2016). Exploring Segment Representations for Neural Segmentation Models. IJCAI.

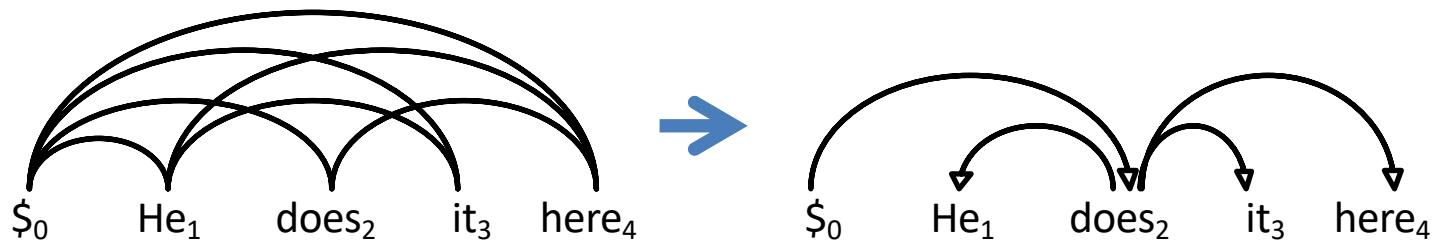
## Part 2.3: Graph-based Dependency Parsing





# Graph-based Dependency Parsing

- Find the highest scoring tree from a complete dependency graph

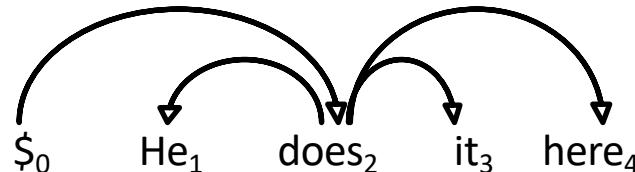


$$Y^* = \arg \max_{Y \in \Phi(X)} score(X, Y)$$



# First-order as an Example

- The first-order graph-based method assumes that arcs in a tree are independent from each other (arc-factorization)

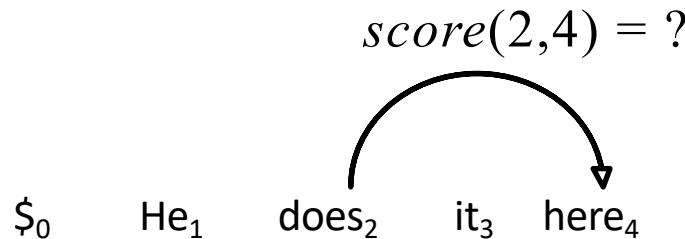


$$score(X, Y) = \sum_{(h,m) \in Y} score(X, h, m)$$



# How to Score an Arc

- Given a sentence, how to determine the score of each arc?



- Feature based representation: an arc is represented as a feature vector  $\mathbf{f}(2,4)$

$$score(2,4) = \mathbf{w} \cdot \mathbf{f}(2,4)$$



# Features for an Arc



*	As	McGwire	neared	,	fans	went	wild		
	[went]		[VBD]		[As]		[ADP]		[went]
	[VERB]		[As]		[IN]		[went, VBD]		[As, ADP]
	[went, As]		[VBD, ADP]		[went, VERB]		[As, IN]		[went, As]
	[VERB, IN]		[VBD, As, ADP]		[went, As, ADP]		[went, VBD, ADP]		[went, VBD, As]
	[ADJ, *, ADP]		[VBD, *, ADP]		[VBD, ADJ, ADP]		[VBD, ADJ, *]		[NNS, *, ADP]
	[NNS, VBD, ADP]		[NNS, VBD, *]		[ADJ, ADP, NNP]		[VBD, ADP, NNP]		[VBD, ADJ, NNP]
	[NNS, ADP, NNP]		[NNS, VBD, NNP]		[went, left, 5]		[VBD, left, 5]		[As, left, 5]
	[ADP, left, 5]		[VERB, As, IN]		[went, As, IN]		[went, VERB, IN]		[went, VERB, As]
	[JJ, *, IN]		[VERB, *, IN]		[VERB, JJ, IN]		[VERB, JJ, *]		[NOUN, *, IN]
	[NOUN, VERB, IN]		[NOUN, VERB, *]		[JJ, IN, NOUN]		[VERB, IN, NOUN]		[VERB, JJ, NOUN]
	[NOUN, IN, NOUN]		[NOUN, VERB, NOUN]		[went, left, 5]		[VERB, left, 5]		[As, left, 5]
	[IN, left, 5]		[went, VBD, As, ADP]		[VBD, ADJ, *, ADP]		[NNS, VBD, *, ADP]		[VBD, ADJ, ADP, NNP]
	[NNS, VBD, ADP, NNP]		[went, VBD, left, 5]		[As, ADP, left, 5]		[went, As, left, 5]		[VBD, ADP, left, 5]
	[went, VERB, As, IN]		[VERB, JJ, *, IN]		[NOUN, VERB, *, IN]		[VERB, JJ, IN, NOUN]		[NOUN, VERB, IN, NOUN]
	[went, VERB, left, 5]		[As, IN, left, 5]		[went, As, left, 5]		[VERB, IN, left, 5]		[VBD, As, ADP, left, 5]
	[went, As, ADP, left, 5]		[went, VBD, ADP, left, 5]		[went, VBD, As, left, 5]		[ADJ, *, ADP, left, 5]		[VBD, *, ADP, left, 5]
	[VBD, ADJ, ADP, left, 5]		[VBD, ADJ, *, left, 5]		[NNS, *, ADP, left, 5]		[NNS, VBD, ADP, left, 5]		[NNS, VBD, *, left, 5]
	[ADJ, ADP, NNP, left, 5]		[VBD, ADP, NNP, left, 5]		[VBD, ADJ, NNP, left, 5]		[NNS, ADP, NNP, left, 5]		[NNS, VBD, NNP, left, 5]
	[VERB, As, IN, left, 5]		[went, As, IN, left, 5]		[went, VERB, IN, left, 5]		[went, VERB, As, left, 5]		[JJ, *, IN, left, 5]
	[VERB, *, IN, left, 5]		[VERB, JJ, IN, left, 5]		[VERB, JJ, *, left, 5]		[NOUN, *, IN, left, 5]		[NOUN, VERB, IN, left, 5]

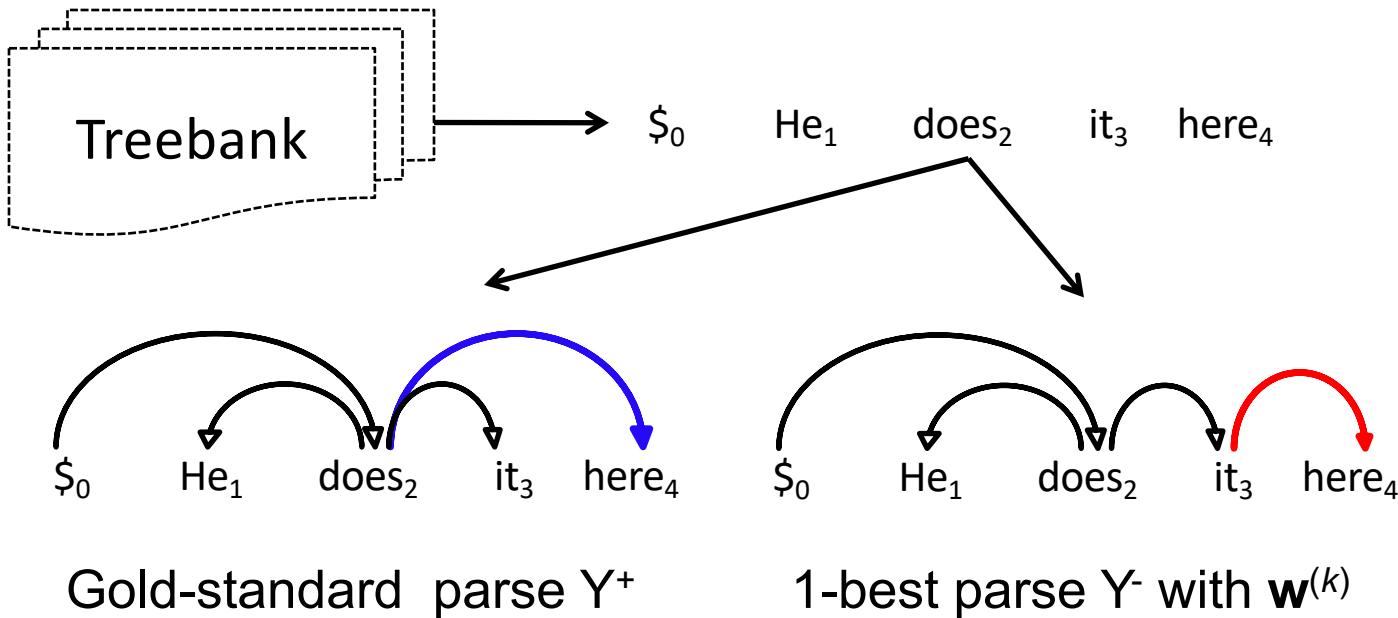


# Decoding for first-order model

- ☐ Maximum Spanning Tree (MST) Algorithm
  - ☐ Eisner (2000) described a **dynamic programming** based decoding algorithm for bilexical grammar
  - ☐ McDonald+ (2005) applied this algorithm to the search problem of the first-order model



# Online learning w



$$\mathbf{w}^{(k+1)} = \mathbf{w}^{(k)} + \mathbf{f}(X, Y^+) - \mathbf{f}(X, Y^-)$$



# NN for Graph-based Parsing

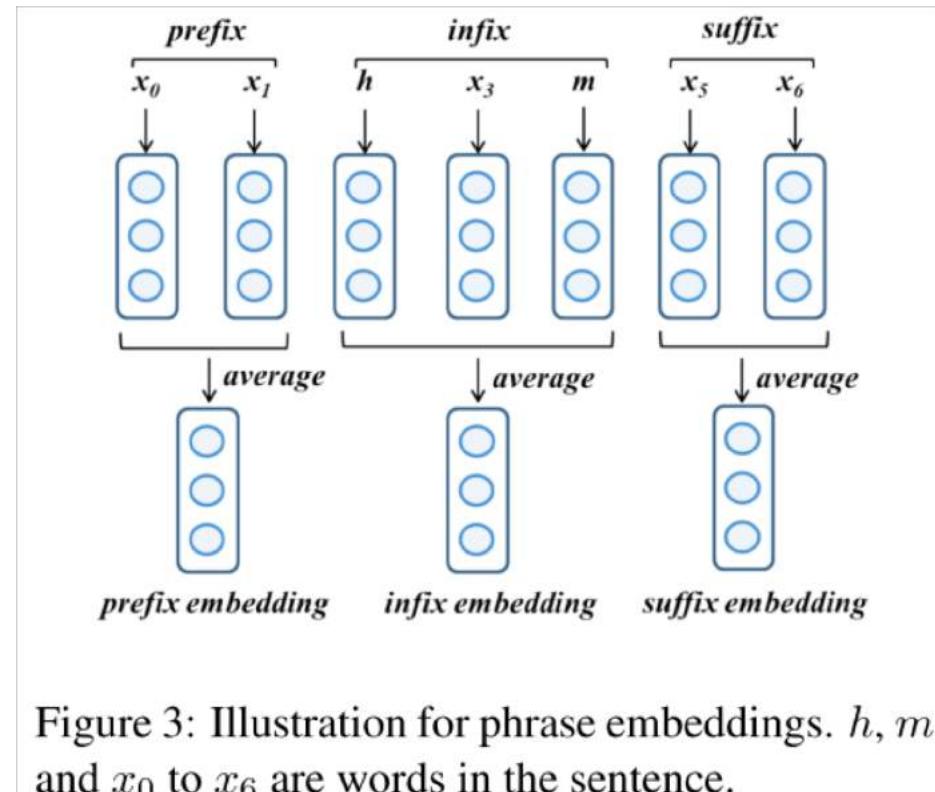
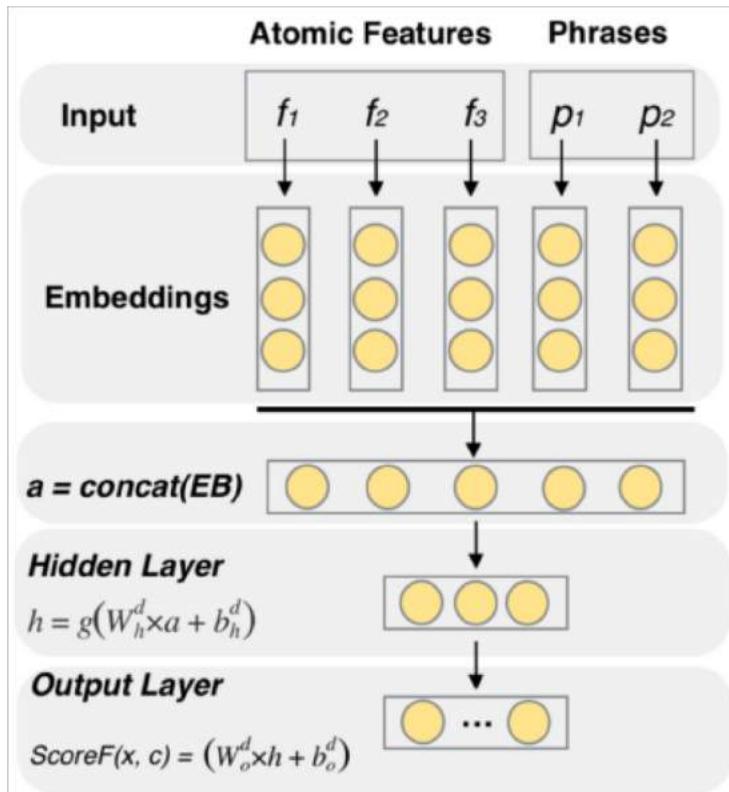
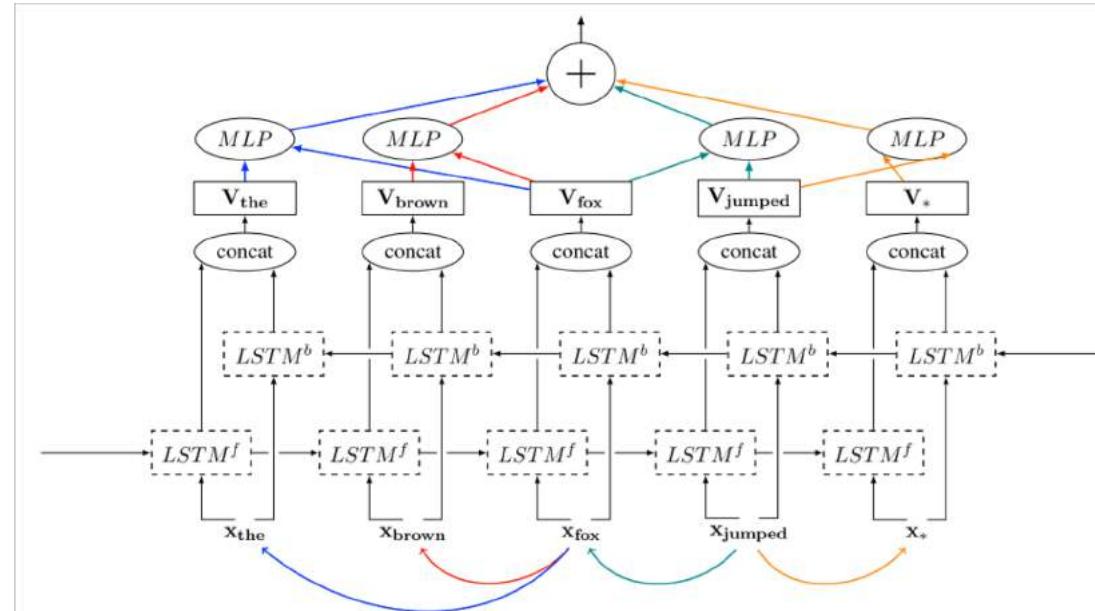


Figure 3: Illustration for phrase embeddings.  $h$ ,  $m$  and  $x_0$  to  $x_6$  are words in the sentence.



# BI-LSTM for Graph-based Parsing-I

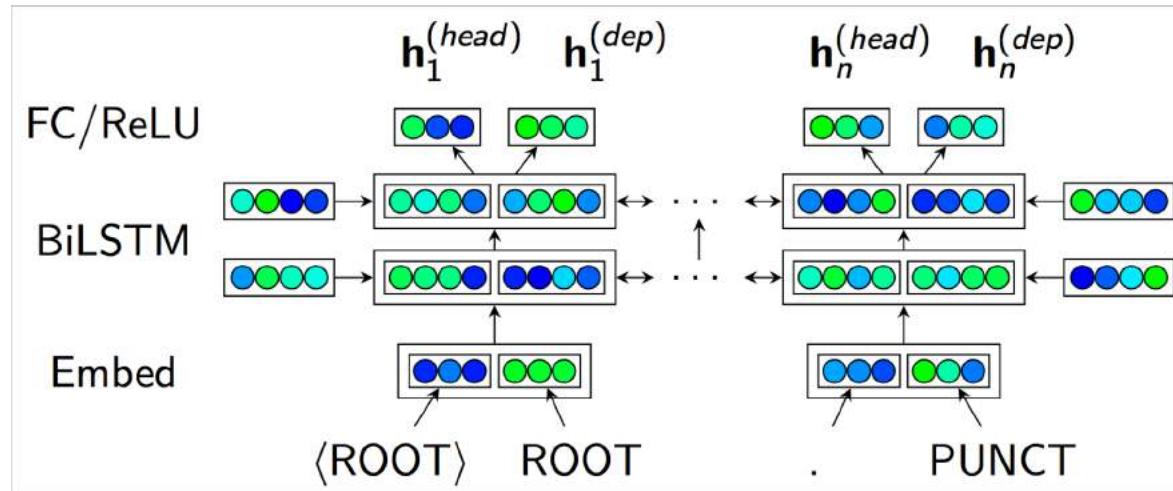
- Each dependency arc in a sentence is scored using MLP that is fed the BI-LSMT encoding of the words at the arc's end points



Kiperwasser, E., & Goldberg, Y. (2016). Simple and Accurate Dependency Parsing Using Bidirectional LSTM Feature Representations. TACL.



# Deep Biaffine Attention for Dependency Parsing



$$\mathbf{s}_i^{(arc)} = \mathbf{H}^{(arc-head)} \cdot \mathbf{W} \oplus \mathbf{b} \cdot \mathbf{h}_i^{(arc-dep)} \oplus 1^T$$

Diagram illustrating the computation of arc scores  $\mathbf{s}_i^{(arc)}$ . The score is calculated as the transpose of the product of three components:  $\mathbf{H}^{(arc-head)}$  (a 4x4 matrix),  $\mathbf{W} \oplus \mathbf{b}$  (a vector), and  $\mathbf{h}_i^{(arc-dep)}$  (a 4x1 vector). The result is then added to 1 and transposed ( $T$ ).

- Just optimize the likelihood of the head, no structured learning
- This is a local model, with global decoding using MST at the end

Timothy Dozat and Christopher D. Manning. Deep Biaffine Attention for Neural Dependency Parsing. ICLR 2017.



# Results

Type	Model	English PTB-SD 3.3.0		Chinese PTB 5.1	
		UAS	LAS	UAS	LAS
Transition	Ballesteros et al. (2016)	93.56	91.42	87.65	86.21
	Andor et al. (2016)	94.61	92.79	—	—
	Kuncoro et al. (2016)	<b>95.8</b>	<b>94.6</b>	—	—
Graph	Kiperwasser & Goldberg (2016)	93.9	91.9	87.6	86.1
	Cheng et al. (2016)	94.10	91.49	88.1	85.7
	Hashimoto et al. (2016)	94.67	92.90	—	—
	Deep Biaffine	95.74	94.08	<b>89.30</b>	<b>88.23</b>

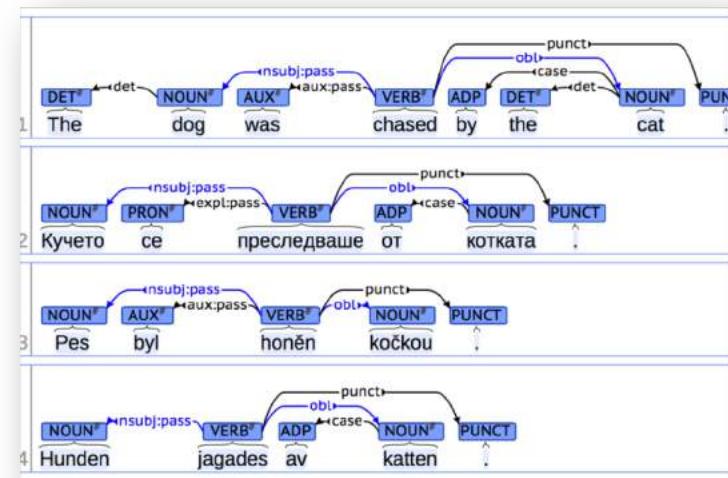
## □ Tuning Adam

Model	Adam	
	UAS	LAS
$\beta_2 = .9$	<b>95.75</b>	<b>94.22</b>
$\beta_2 = .999$	95.53*	93.91*



# CoNLL 2018 Shared Task

- Multilingual Parsing from Raw Text to Universal Dependencies
  - <http://universaldependencies.org/conll18/>
- 82 test sets from 57 languages
  - 61 of the 82 treebanks are large
  - The other 21 treebanks are small
    - 7 treebanks have training data of a still reasonable size
    - 5 are extra test sets in languages where another large treebank exists
    - 9 are low-resource languages with no training data available





# Our CoNLL 2018 Shared Task System

- Rank 1<sup>st</sup> according to LAS
- Baseline model:
  - Dozat et al. (2017)
- Winning strategies for parser:
  - ELMo: +0.8
  - Ensemble: +0.6
  - Treebank Concat.: +0.4  
(estimated on Dev set.)

LAS Ranking

1. HIT-SCIR (Harbin)	75.84 ± 0.14 [OK]	(p<0.001)
2. TurkuNLP (Turku)	73.28 ± 0.14 [OK]	(p=0.039)
3-5. UDPipe Future (Praha)	73.11 ± 0.13 [OK]	(p=0.221)
3-5. LATTICE (Paris)	73.02 ± 0.14 [OK]	(p=0.461)
3-5. ICS PAS (Warszawa)	73.02 ± 0.14 [OK]	(p<0.001)
6. CEA LIST (Paris)	72.56 ± 0.14 [OK]	(p=0.036)
7-8. Uppsala (Uppsala)	72.37 ± 0.15 [OK]	(p=0.191)
7-8. Stanford (Stanford)	72.29 ± 0.14 [OK]	(p<0.001)
9-10. AntNLP (Shanghai)	70.90 ± 0.15 [OK]	(p=0.242)
9-10. NLP-Cube (Bucureşti)	70.82 ± 0.14 [OK]	(p=0.032)
11. ParisNLP (Paris)	70.64 ± 0.14 [OK]	(p<0.001)
12. SLT-Interactions (Bengaluru)	69.98 ± 0.14 [OK]	(p<0.001)
13. IBM NY (Yorktown Heights)	69.11 ± 0.16 [OK]	(p<0.001)
14. UniMelb (Melbourne)	68.66 ± 0.15 [OK]	(p=0.002)
15. LeisureX (Shanghai)	68.31 ± 0.16 [OK]	(p<0.001)
16. KParse (İstanbul)	66.58 ± 0.16 [OK]	(p=0.015)
17. Fudan (Shanghai)	66.34 ± 0.15 [OK]	(p<0.001)
18. BASELINE UDPipe 1.2 (Praha)	65.80 ± 0.15 [OK]	(p=0.048)
19. Phoenix (Shanghai)	65.61 ± 0.16 [OK]	(p<0.001)
20. CUNI x-ling (Praha)	64.87 ± 0.16 [OK]	(p<0.001)
21. BOUN (İstanbul)	63.54 ± 0.15 [OK]	(p<0.001)
22. ONLP lab (Ra'anana)	58.35 ± 0.15 [81]	(p<0.001)
23. iParse (Pittsburgh)	55.83 ± 0.11 [65]	(p<0.001)
24. HUJI (Yerushalayim)	53.69 ± 0.15 [80]	(p<0.001)
25. ArmParser (Yerevan)	47.02 ± 0.11 [66]	(p<0.001)



# Deep Contextualized Word Embeddings (ELMo)

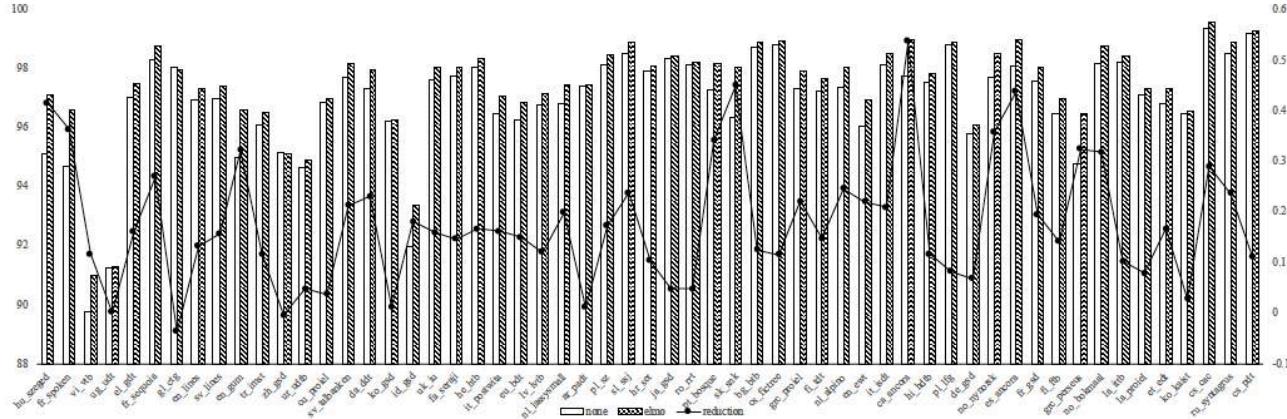
- Models pre-trained on the ImageNet are widely used for Computer Vision tasks
- What's the proper way to conduct pre-training for NLP?
- Leveraging Language Modeling to get pre-trained contextualized representation models
  - rely on large corpora, instead of human annotations
  - works very well -- improve the performance of existing SOTA methods a lot



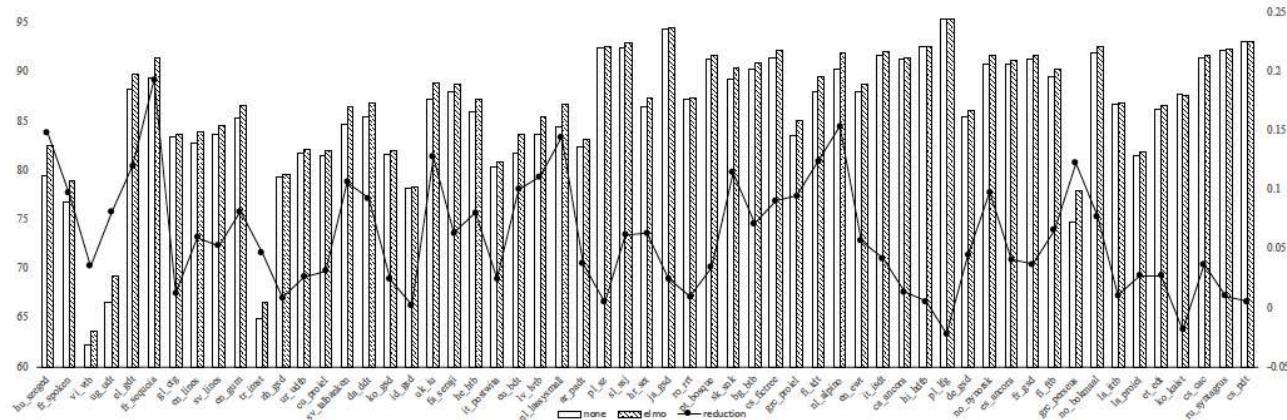
# Two Extensions on ELMo

- Supporting Unicode range
- Training with *sample softmax*
  - Use a window of 8,192 surrounding words as negative samples
  - More stable training and better performance
- ELMo Training
  - Data: 20M words randomly sampled from raw text for each language
  - Time: 3 days per language on a Nvidia P100
  - We release the pre-trained ELMo
    - <https://github.com/HIT-SCIR/ELMoForManyLangs>

# Deep Contextualized Word Embeddings (ELMo)



### (a) The effects of ELMo on POS tagging



### (b) The effects of ELMo on dependency parsing



# Ensemble

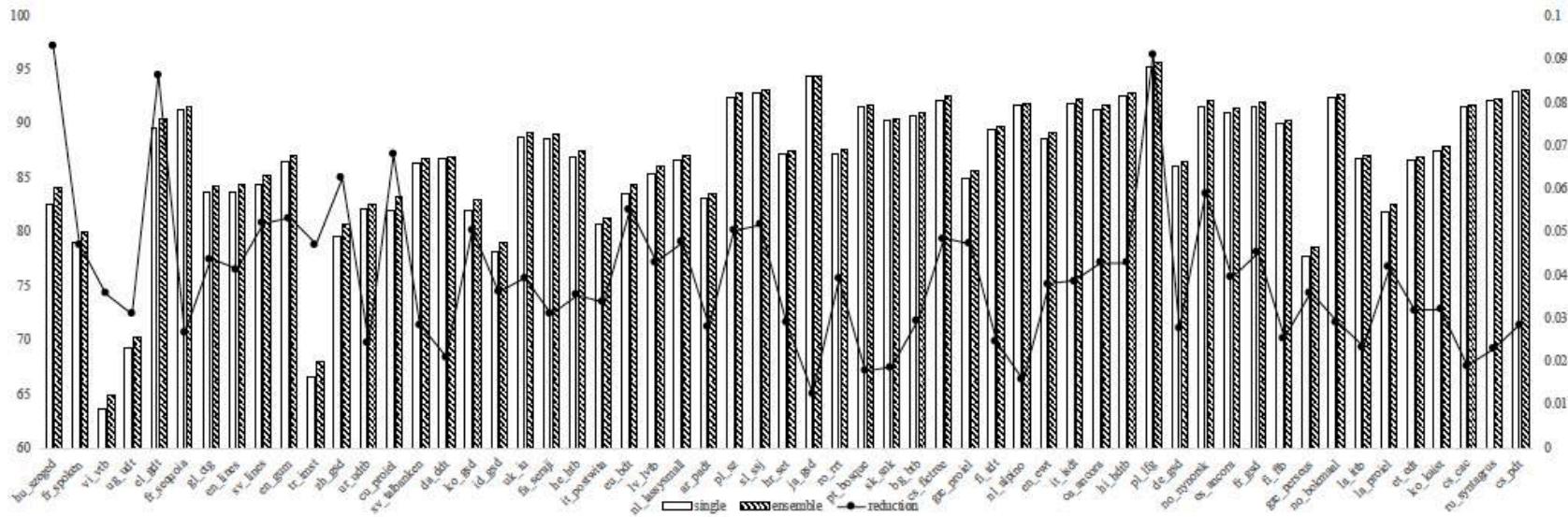


Figure 2: The effects of ensemble on dependency parsing. Treebanks are sorted according to the number of training sentences from left to right.



## Part 2: Summary

- Neural Graph-based Structured Prediction
  - Sequence Labeling: Neural CRF → RNN (LSTM) → RNN+CRF
  - Segmentation: Neural Semi-CRF
  - Dependency Parsing: Neural features → LSTM → Biaffine
- Neural nets can provide continuous features in discrete structured models
- Inference and learning are almost unchanged from the purely discrete model

# Part 3: Transition-based Methods



## Part 3.1: Transition Systems





# A transition system

## ❑ Automata

### ❑ State

- ❑ Start state —— an empty structure
- ❑ End state —— the output structure
- ❑ Intermediate states —— partially constructed structures

### ❑ Actions

- ❑ Change one state to another



# A transition system

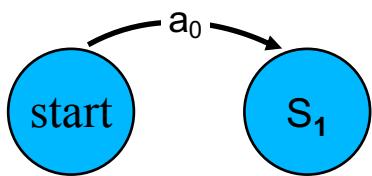
## □ Automata

start



# A transition system

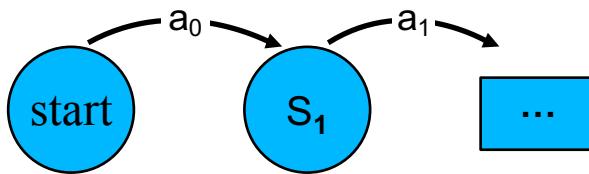
## □ Automata





# A transition system

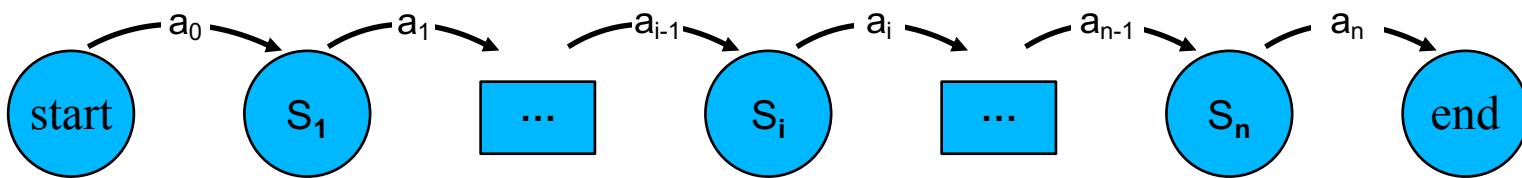
## □ Automata





# A transition system

## □ Automata



## Part 3.2: Transition-base Dependency Parsing





# Transition-based Dependency Parsing

- Gradually build a tree by applying a sequence of transition actions – shift/reduce (Yamada and Matsumoto, 2003; Nivre, 2003)
- The score of the tree is equal to the summation of the scores of the actions

$$score(X, Y) = \sum_{i=0}^m score(X, h_i, a_i)$$

$a_i$  → the action adopted in step  $i$

$h_i$  → the partial results built so far by  $a_0 \dots a_{i-1}$

$Y$  → the tree built by the action sequence  $a_0 \dots a_m$



# Transition-based Dependency Parsing

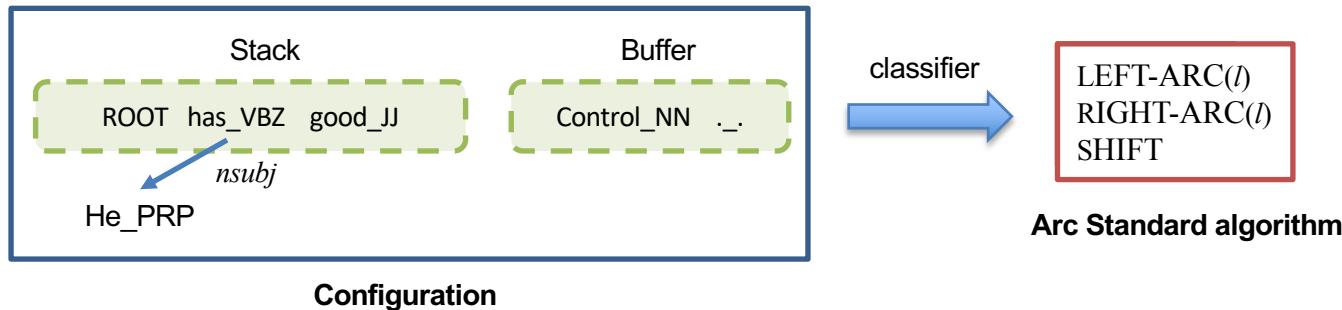
- The goal of a transition-based dependency parser is to find the highest scoring action sequence that builds a legal tree.

$$\begin{aligned} Y^* &= \arg \max_{Y \in \Phi(X)} score(X, Y) \\ &= \arg \max_{a_0 \dots a_m \rightarrow Y} \sum_{i=0}^m score(X, h_i, a_i) \end{aligned}$$



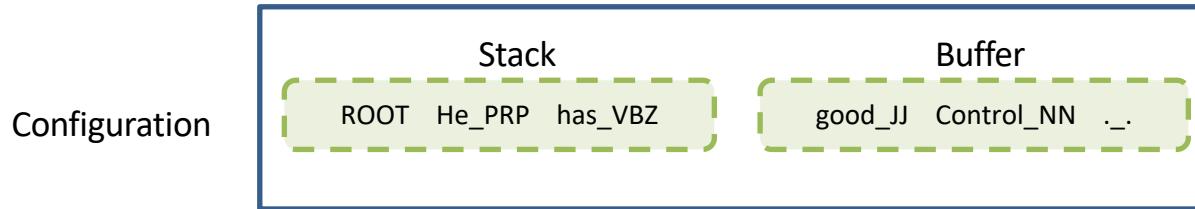
# Transition-based Dependency Parsing

- Greedily predict a transition sequence from an initial parser state to some terminal states
- State (configuration)  
= Stack + Buffer + Dependency Arcs



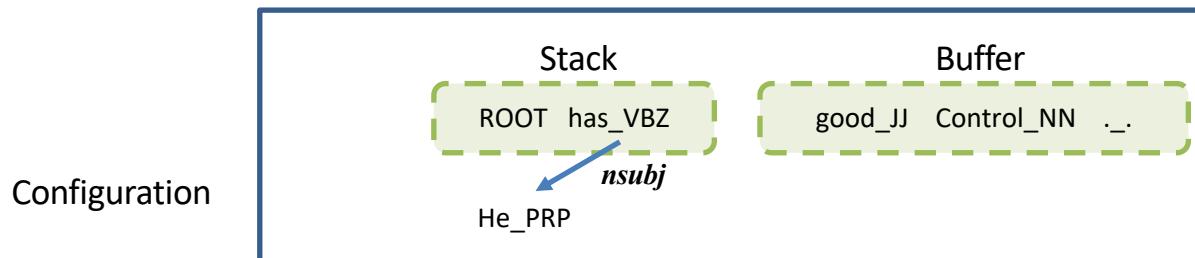


# Transition Action: LEFT-ARC ( $\lambda$ )



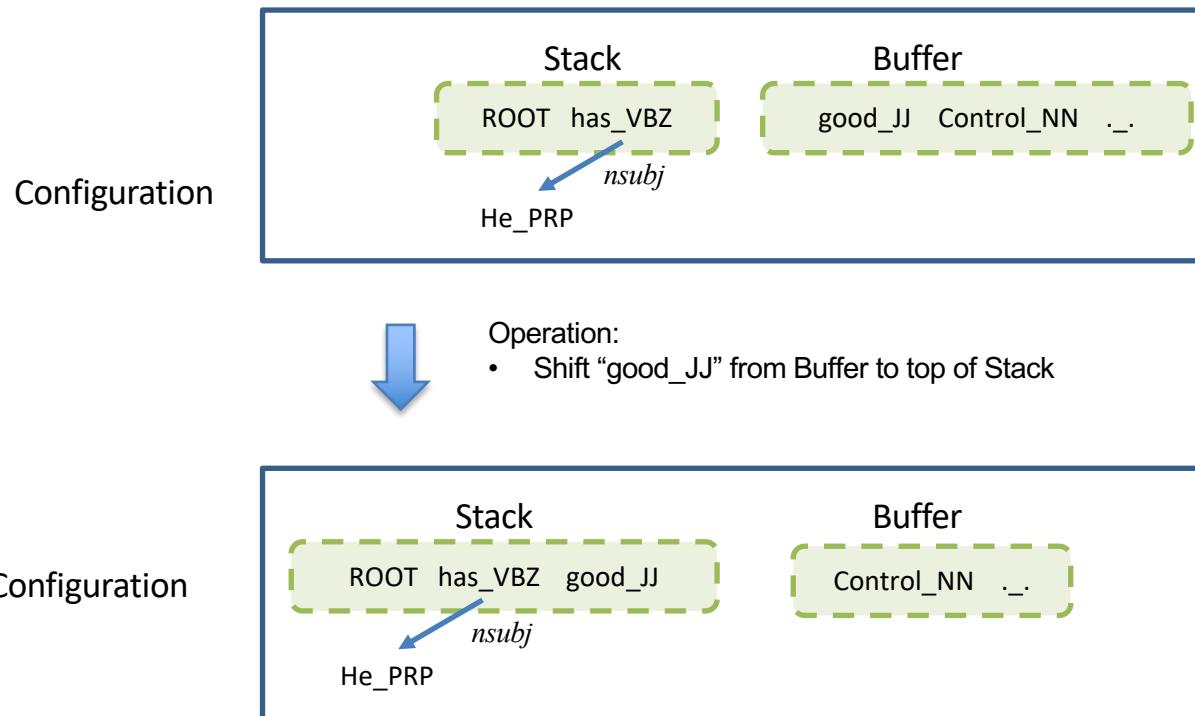
Operation:

- Add a left arc ( $S_0$ )
- Remove “He\_PRP” from Stack





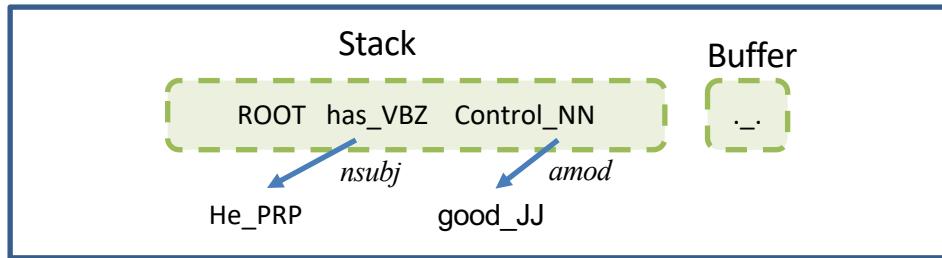
# Transition Action: SHIFT





# Transition Action: RIGHT-ARC ( $\lambda$ )

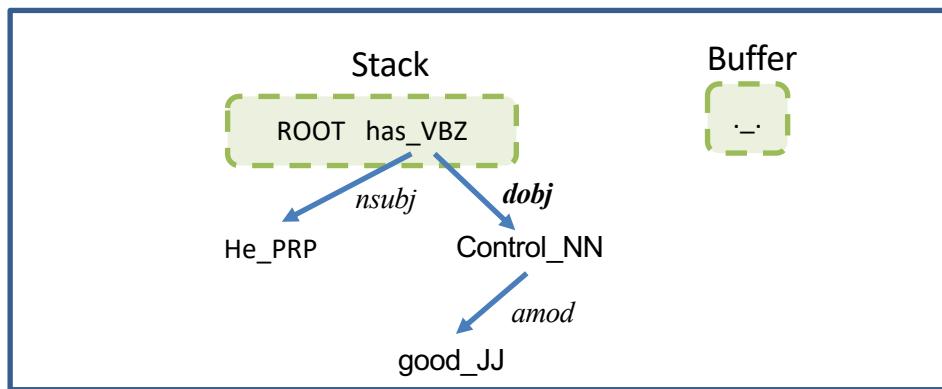
Configuration



Operation:

- Add a right arc ( $S_1$ )
- Remove  $S_0$  ("Control\_NN") from Stack

Configuration





# An Example

## Arc-standard Algorithm

### 初始状态

Stack只有根节点，待处理词在Buffer中

### SHIFT

将Buffer中第一个词压入Stack

### LEFT-ARC

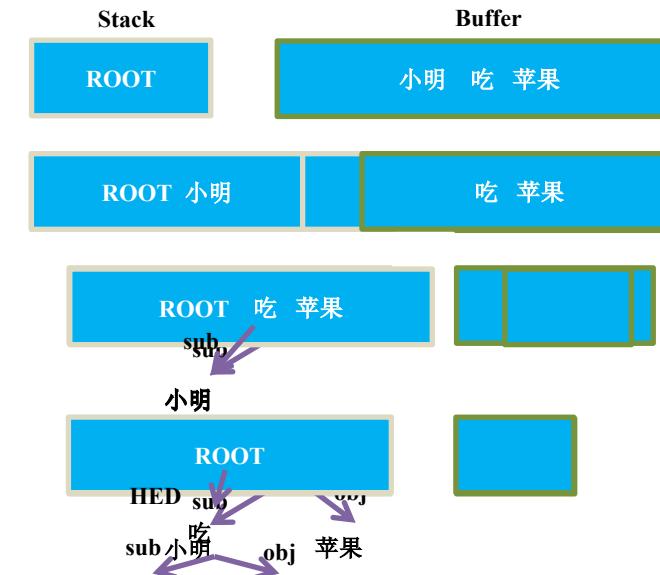
弹出Stack中第二个词，生成一条弧从栈顶词指向第二个词

### RIGHT-ARC

弹出栈顶词，生成一条弧从栈顶第二个词指向栈顶词

### 终结状态

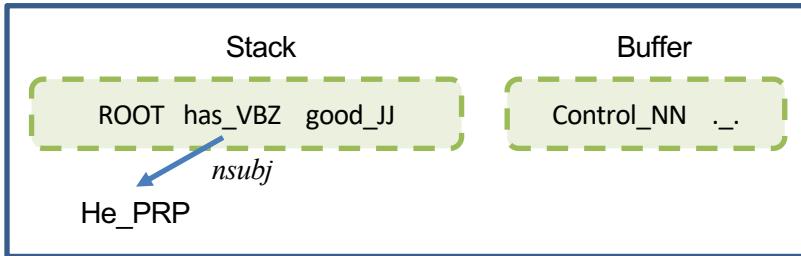
Stack只有根节点，Buffer为空





# Traditional Features

Configuration



Feature Vector:

- Binary
- Sparse
- High-dimensional



**Feature templates:** a combination of elements from the configuration.

- For example: (Zhang and Nivre, 2011): 72 feature templates

from single words

$S_0wp; S_0w; S_0p; N_0wp; N_0w; N_0p;$   
 $N_1wp; N_1w; N_1p; N_2wp; N_2w; N_2p;$

from word pairs

$S_0wpN_0wp; S_0wpN_0w; S_0wN_0wp; S_0wpN_0p;$   
 $S_0pN_0wp; S_0wN_0w; S_0pN_0p$   
 $N_0pN_1p$

from three words

$N_0pN_1pN_2p; S_0pN_0pN_1p; S_0hpS_0pN_0p;$   
 $S_0pS_0lpN_0p; S_0pS_0rpN_0p; S_0pN_0pN_0lp$

Table 1: Baseline feature templates.

$w$  – word;  $p$  – POS-tag.

distance

$S_0wd; S_0pd; N_0wd; N_0pd;$   
 $S_0wN_0wd; S_0pN_0pd;$

valency

$S_0wv_r; S_0pv_r; S_0wv_l; S_0pv_l; N_0wv_l; N_0pv_l;$

unigrams

$S_0hw; S_0hp; S_0l; S_0lw; S_0lp; S_0ll;$   
 $S_0rw; S_0rp; S_0rl; N_0lw; N_0lp; N_0ll;$

third-order

$S_0h2w; S_0h2p; S_0hl; S_0l2w; S_0l2p; S_0l2l;$   
 $S_0r2w; S_0r2p; S_0r2l; N_0l2w; N_0l2p; N_0l2l;$   
 $S_0pS_0lpS_0l2p; S_0pS_0rpS_0r2p;$   
 $S_0pS_0hpS_0h2p; N_0pN_0lpN_0l2p;$

label set

$S_0wsr; S_0psr; S_0ws_l; S_0ps_l; N_0ws_l; N_0ps_l;$

Table 2: New feature templates.

$w$  – word;  $p$  – POS-tag;  $v_l, v_r$  – valency;  $l$  – dependency label,  $s_l, s_r$  – labelset.

## Part 3.2: Neural Transition-based Dependency Parsing





# Neural Action Classifier

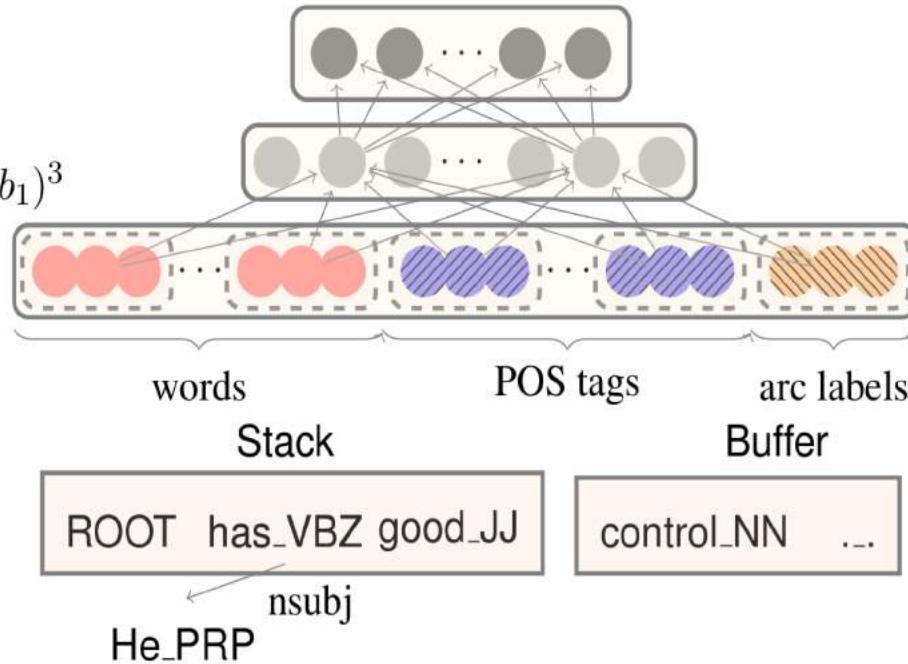
**Softmax layer:**

$$p = \text{softmax}(W_2 h)$$

**Hidden layer:**

$$h = (W_1^w x^w + W_1^t x^t + W_1^l x^l + b_1)^3$$

**Input layer:**  $[x^w, x^t, x^l]$





# Results

Parser	Dev		Test		Speed (sent/s)
	UAS	LAS	UAS	LAS	
standard	90.2	87.8	89.4	87.3	26
eager	89.8	87.4	89.6	87.4	34
Malt:sp	89.8	87.2	89.3	86.9	469
Malt:eager	89.6	86.9	89.4	86.8	448
MSTParser	91.4	88.1	90.7	87.6	10
Our parser	<b>92.0</b>	<b>89.7</b>	<b>91.8</b>	<b>89.6</b>	<b>654</b>

PTB (SD)

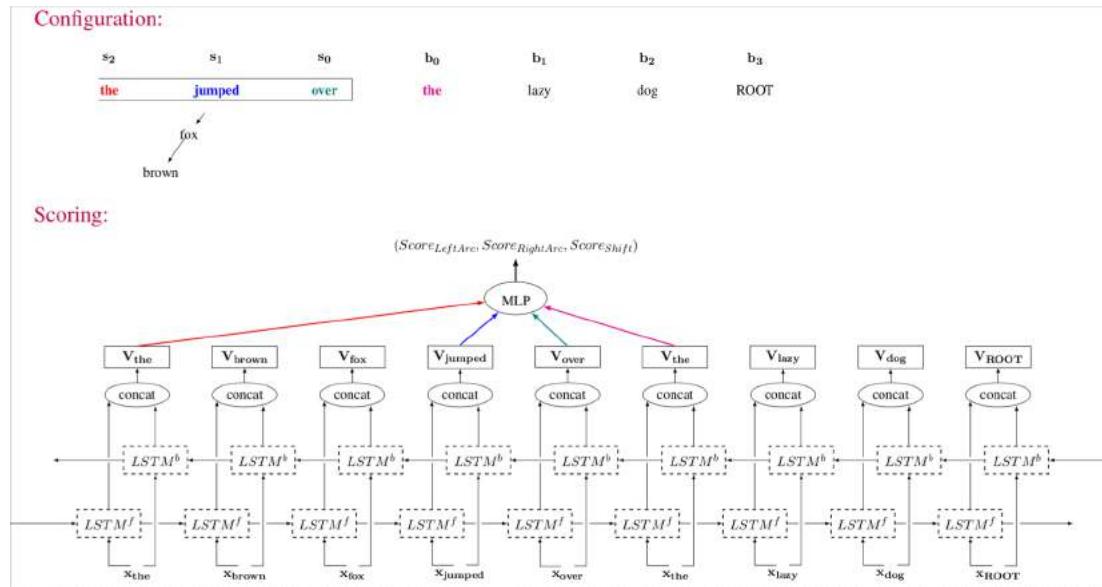
Parser	Dev		Test		Speed (sent/s)
	UAS	LAS	UAS	LAS	
standard	82.4	80.9	82.7	81.2	72
eager	81.1	79.7	80.3	78.7	80
Malt:sp	82.4	80.5	82.4	80.6	420
Malt:eager	81.2	79.3	80.2	78.4	393
MSTParser	<b>84.0</b>	82.1	83.0	81.2	6
Our parser	<b>84.0</b>	<b>82.4</b>	<b>83.9</b>	<b>82.4</b>	<b>936</b>

CTB (SD)



# LSTM Feature Extractor

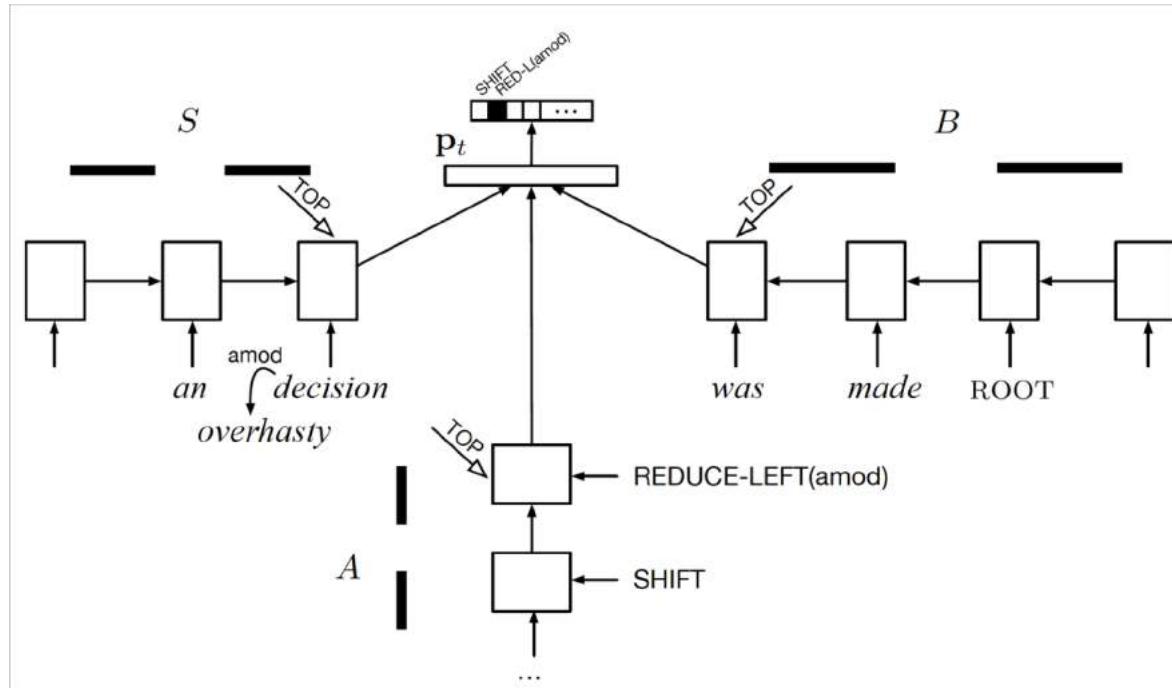
## Chen and Manning with richer (LSTM) features





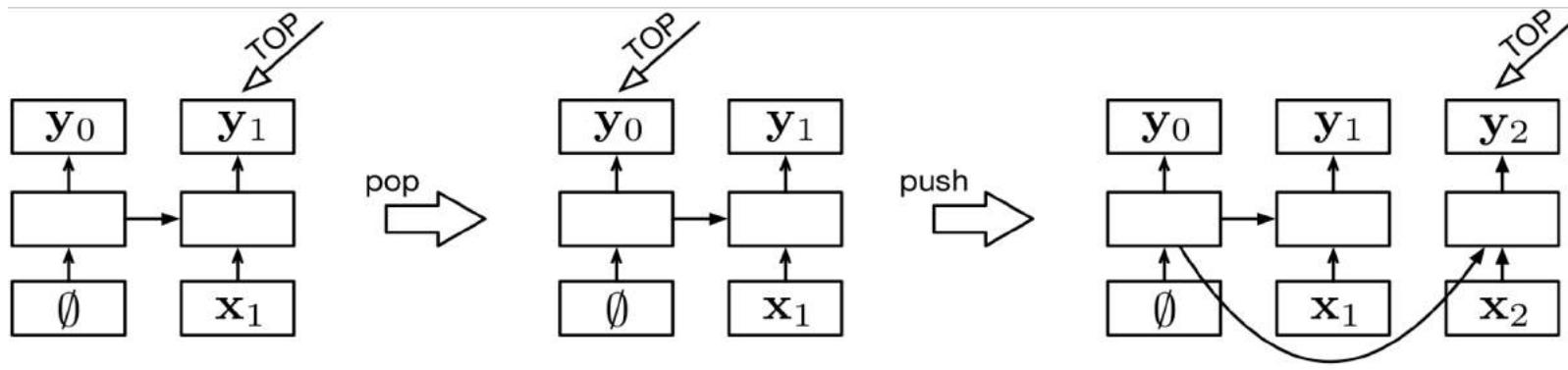
# Stack LSTM

□ Dyer Parser (Chen and Manning with less features)

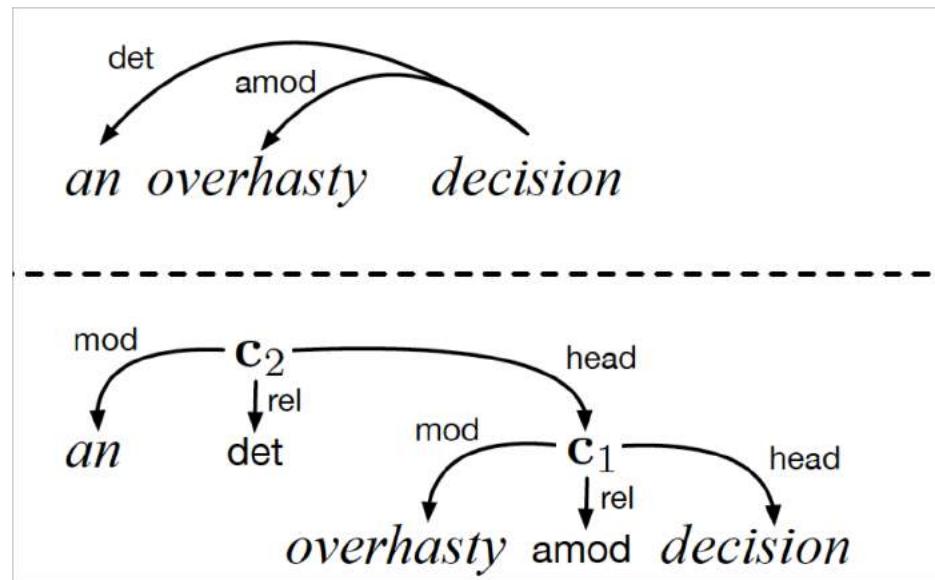




# Stack LSTM



# Subtree Representation (Recursive NN)



# Results

	Development		Test	
	UAS	LAS	UAS	LAS
S-LSTM	<b>93.2</b>	<b>90.9</b>	<b>93.1</b>	<b>90.9</b>
-POS	93.1	90.4	92.7	90.3
-pretraining	92.7	90.4	92.4	90.0
-composition	92.7	89.9	92.2	89.6
S-RNN	92.8	90.4	92.3	90.1
C&M (2014)	92.2	89.7	91.8	89.6

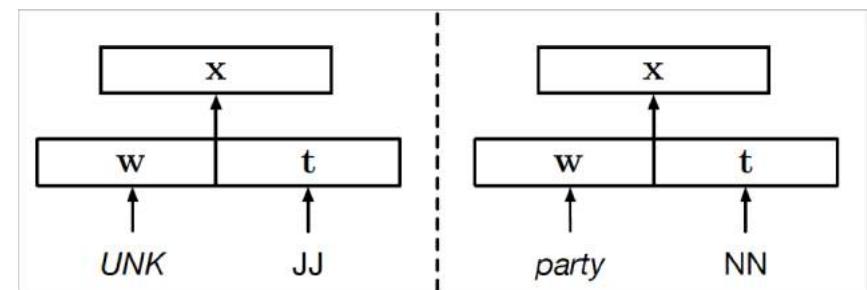
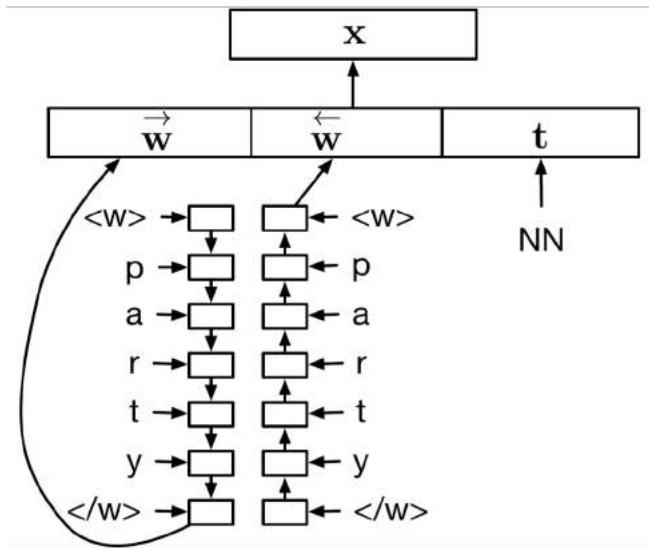
PTB (SD)

	Dev. set		Test set	
	UAS	LAS	UAS	LAS
S-LSTM	<b>87.2</b>	<b>85.9</b>	<b>87.2</b>	<b>85.7</b>
-composition	85.8	84.0	85.3	83.6
-pretraining	86.3	84.7	85.7	84.1
-POS	82.8	79.8	82.2	79.1
S-RNN	86.3	84.7	86.1	84.6
C&M (2014)	84.0	82.4	83.9	82.4

CTB (CTB5)



# Character based Word Vector



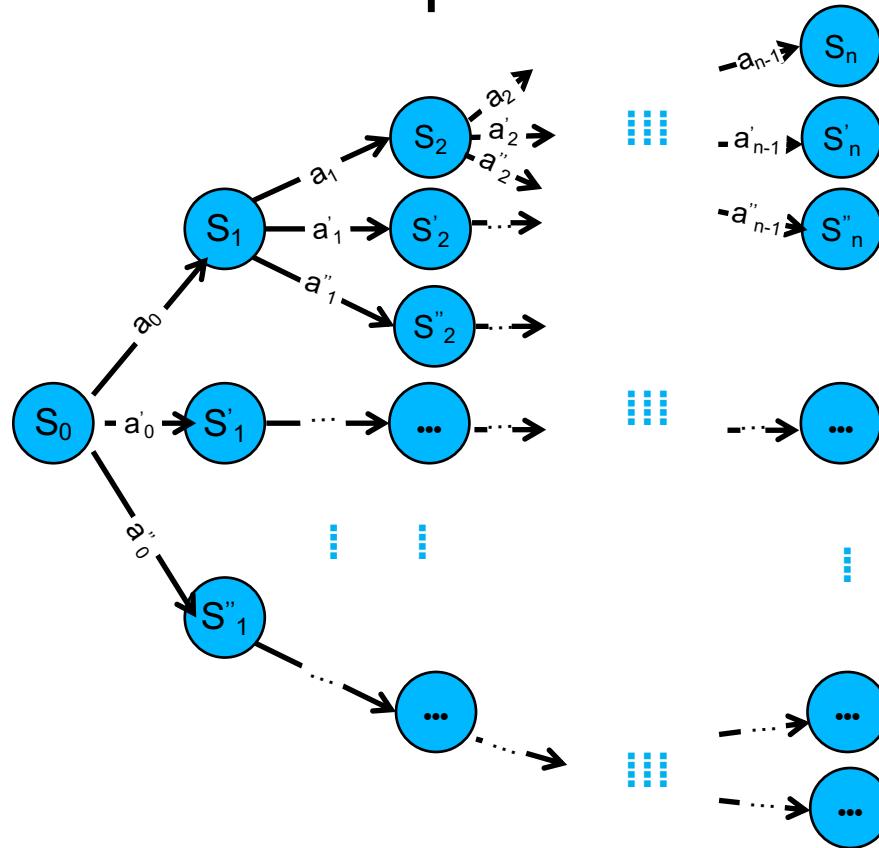
## Part 3.3: Transition-base Methods with Beam-search Decoding





# Search

- Find the best sequence of actions



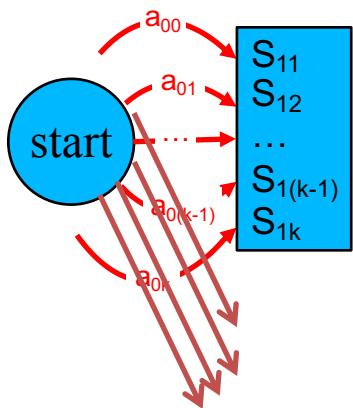


# Beam-search decoding

start

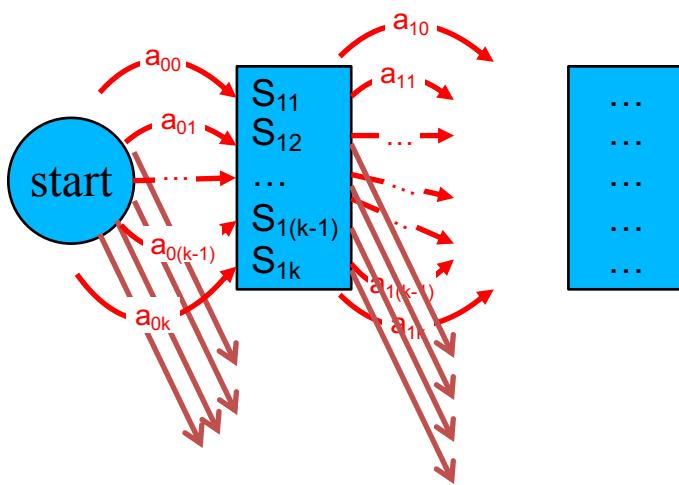


# Beam-search decoding



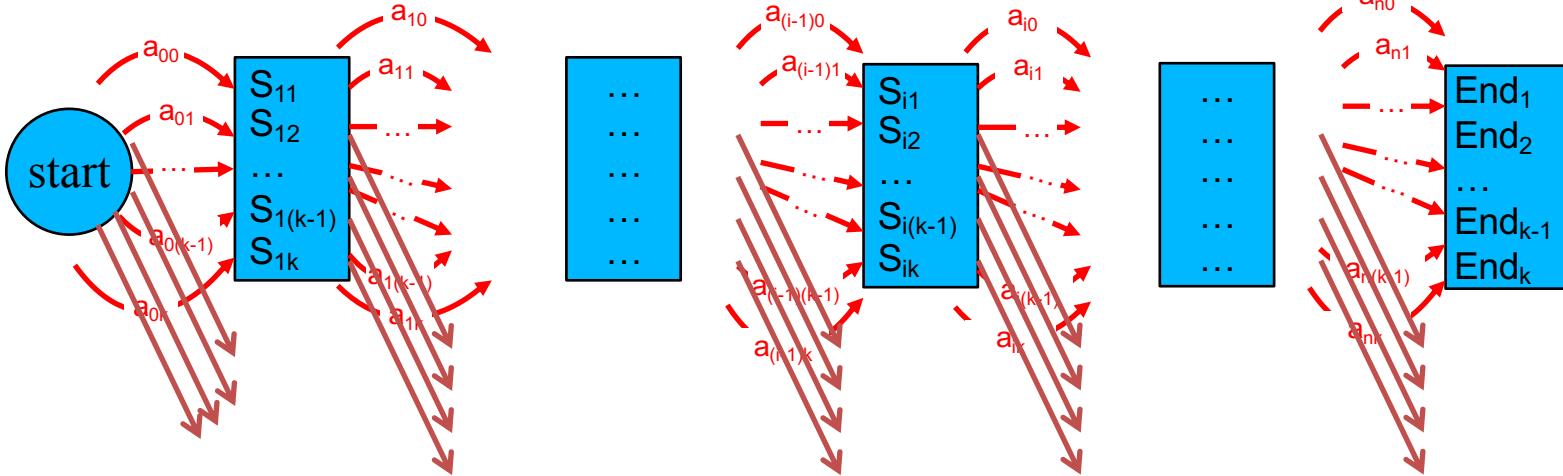


# Beam-search decoding





# Beam-search decoding





# Sentence-level Log Likelihood

$$p(y_i \mid x, \theta) = \frac{e^{f(x, \theta)_i}}{\sum_{y_j \in \text{GEN}(x)} e^{f(x, \theta)_j}}$$

$$f(x, \theta)_i = \sum_{a_k \in y_i} o(x, y_i, k, a_k)$$



# Contrastive Estimation

$$L(\theta) = - \sum_{(x_i, y_i) \in (X, Y)} \log p(y_i \mid x_i, \theta)$$

$$= - \sum_{(x_i, y_i) \in (X, Y)} \log \frac{e^{f(x_i, \theta)_i}}{Z(x_i, \theta)}$$

$$= \sum_{(x_i, y_i) \in (X, Y)} \log Z(x_i, \theta) - f(x_i, \theta)_i$$

$$Z(x, \theta) = \sum_{y_j \in \text{GEN}(x)} e^{f(x, \theta)_j}$$

Zhou, H., Zhang, Y., Huang, S., & Chen, J. (2015). A Neural Probabilistic Structured-Prediction Model for Transition-Based Dependency Parsing. ACL.



# Contrastive Estimation

$$L'(\theta) = - \sum_{(x_i, y_i) \in (X, Y)} \log p'(y_i | x_i, \theta)$$

$$= - \sum_{(x_i, y_i) \in (X, Y)} \log \frac{e^{f(x_i, \theta)_i}}{Z'(x_i, \theta)}$$

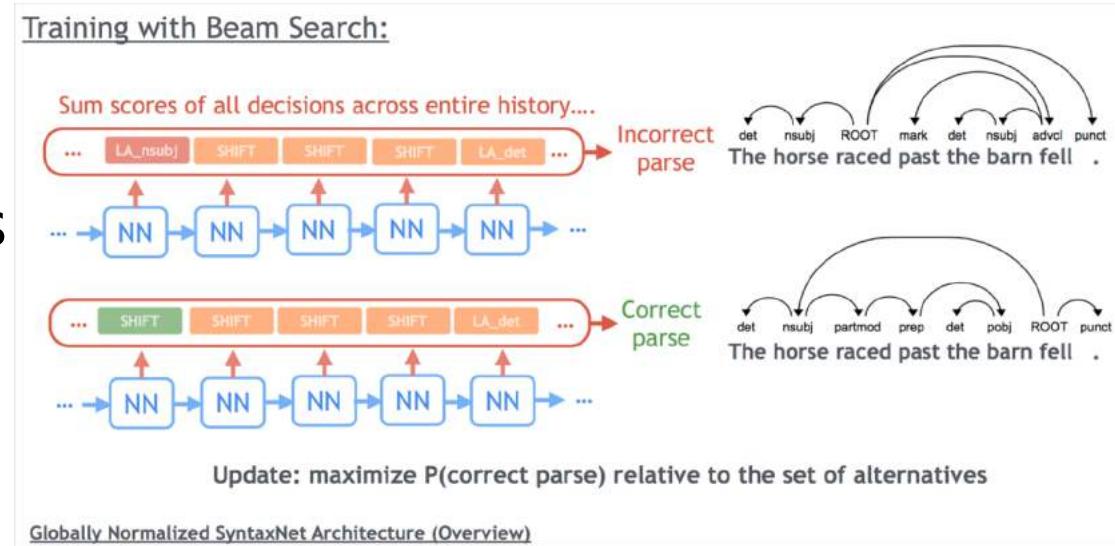
$$= \sum_{(x_i, y_i) \in (X, Y)} \log Z'(x_i, \theta) - f(x_i, \theta)_i$$

$$Z'(x, \theta) = \sum_{y_j \in \text{BEAM}(x)} e^{f(x, \theta)_j}$$



# Google's SyntaxNet

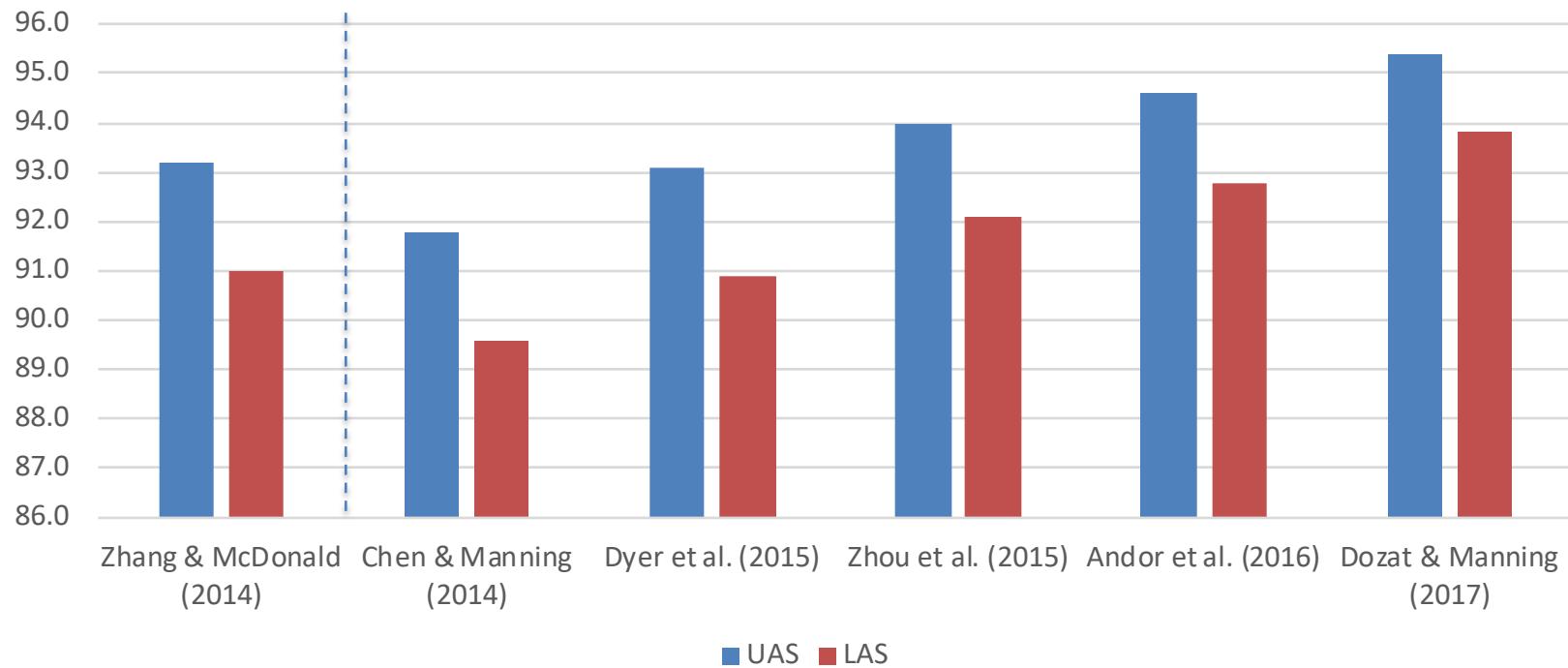
- Andor et al. follows this method
  - Offers theorem
  - Tries more tasks
  - Get better results





# Changes of Performance

Test on PTB with Stanford Dependency

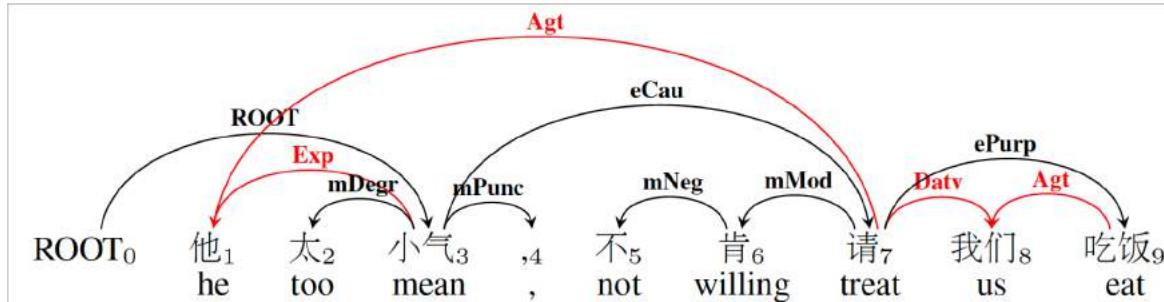


## Part 3.4: Advanced Topics





# Semantic Dependency Graph



		Train	Dev	Test
NEWS	#sent	8,301	534	1,233
	#word	250,249	15,325	34,305
TEXT	#sent	10,817	1,546	3,096
	#word	128,095	18,257	36,097

Wanxiang Che, Yu Ding, Yanqiu Shao, Ting Liu. **SemEval-2016 Task 9: Chinese Semantic Dependency Parsing.**



# Semantic Dependency Graph

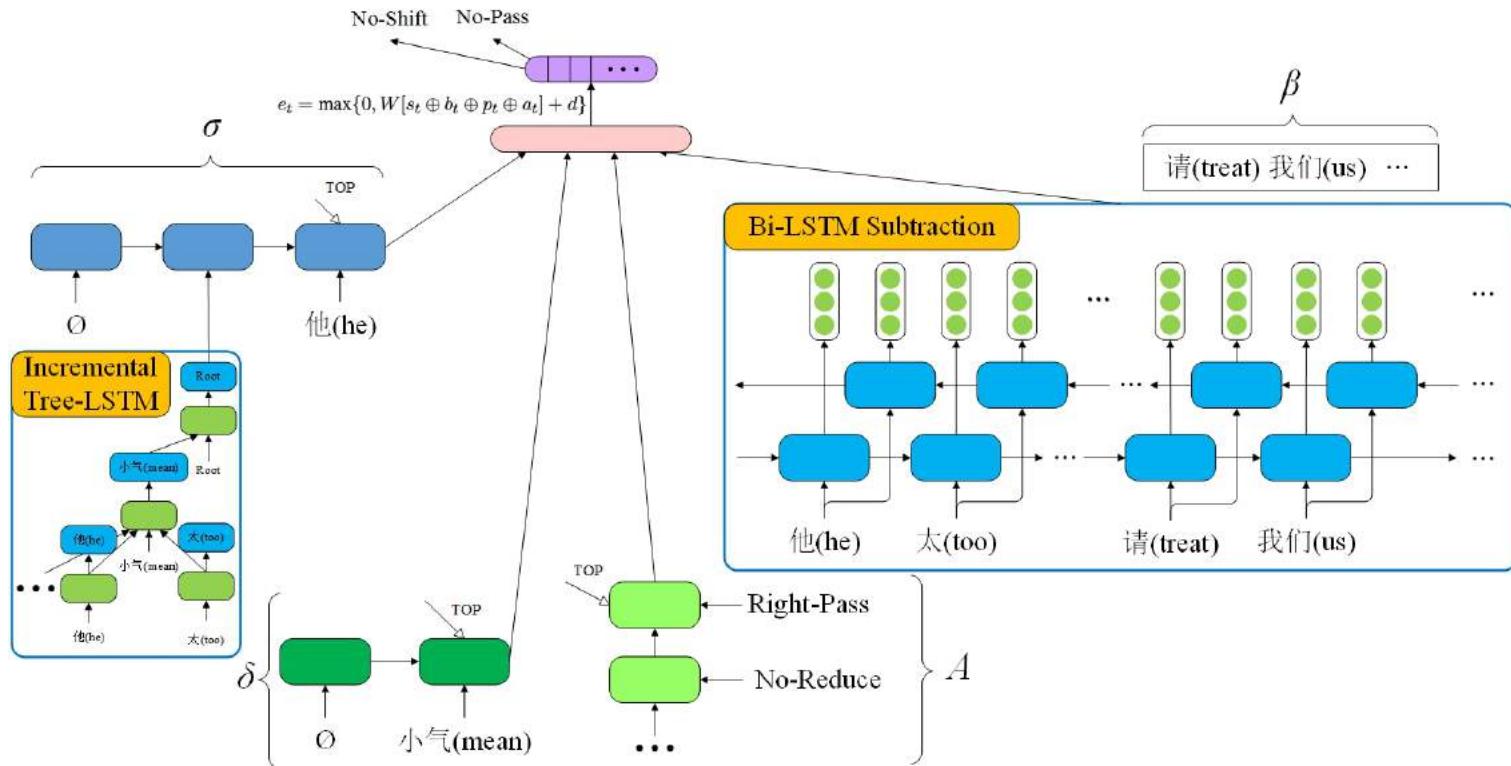
- List-based transition system



- New transition actions
  - Left-Reduce, Right-Shift, No-Shift, No-Reduce, Left-Pass, Right-Pass, No-Pass



# IT-BS Classifier



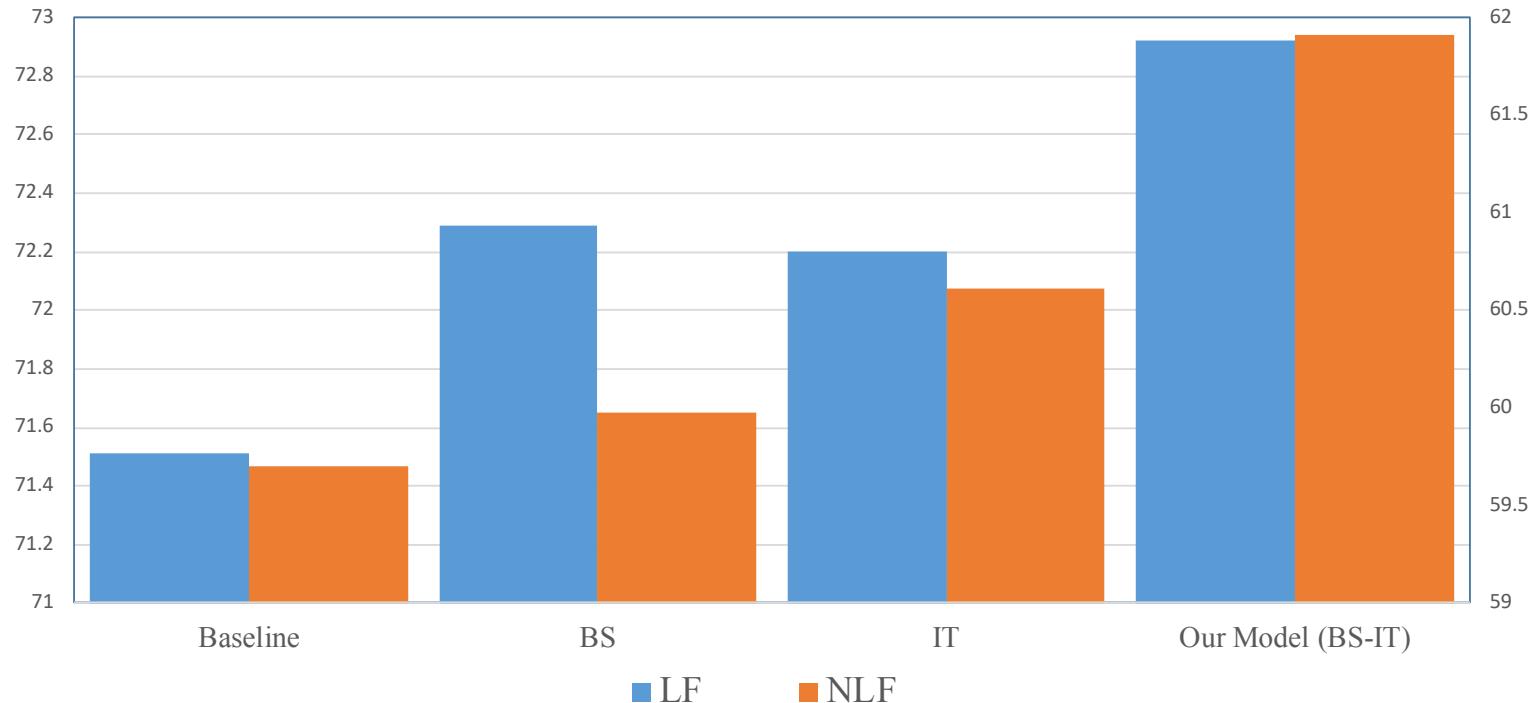
Yuxuan Wang, Wanxiang Che, Jiang Guo and Ting Liu. A Neural Transition-Based Approach for Semantic Dependency Graph Parsing. AAAI 2018.



# Semantic Dependency Graph

## □ Results

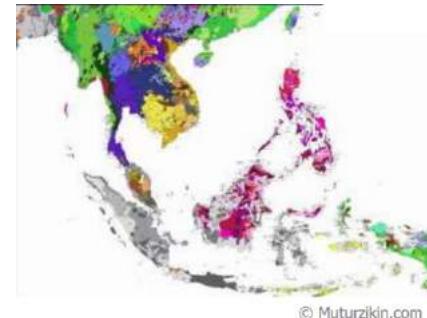
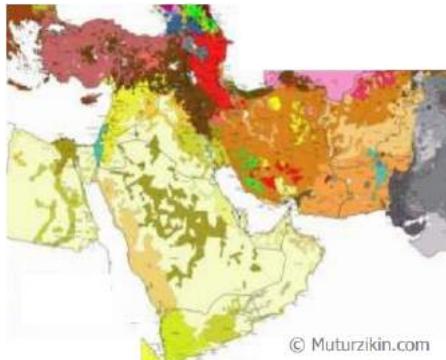
Experiments on TEXT Corpus of SemEval 2016 Task 9





# Multilingual Dependency Parsing

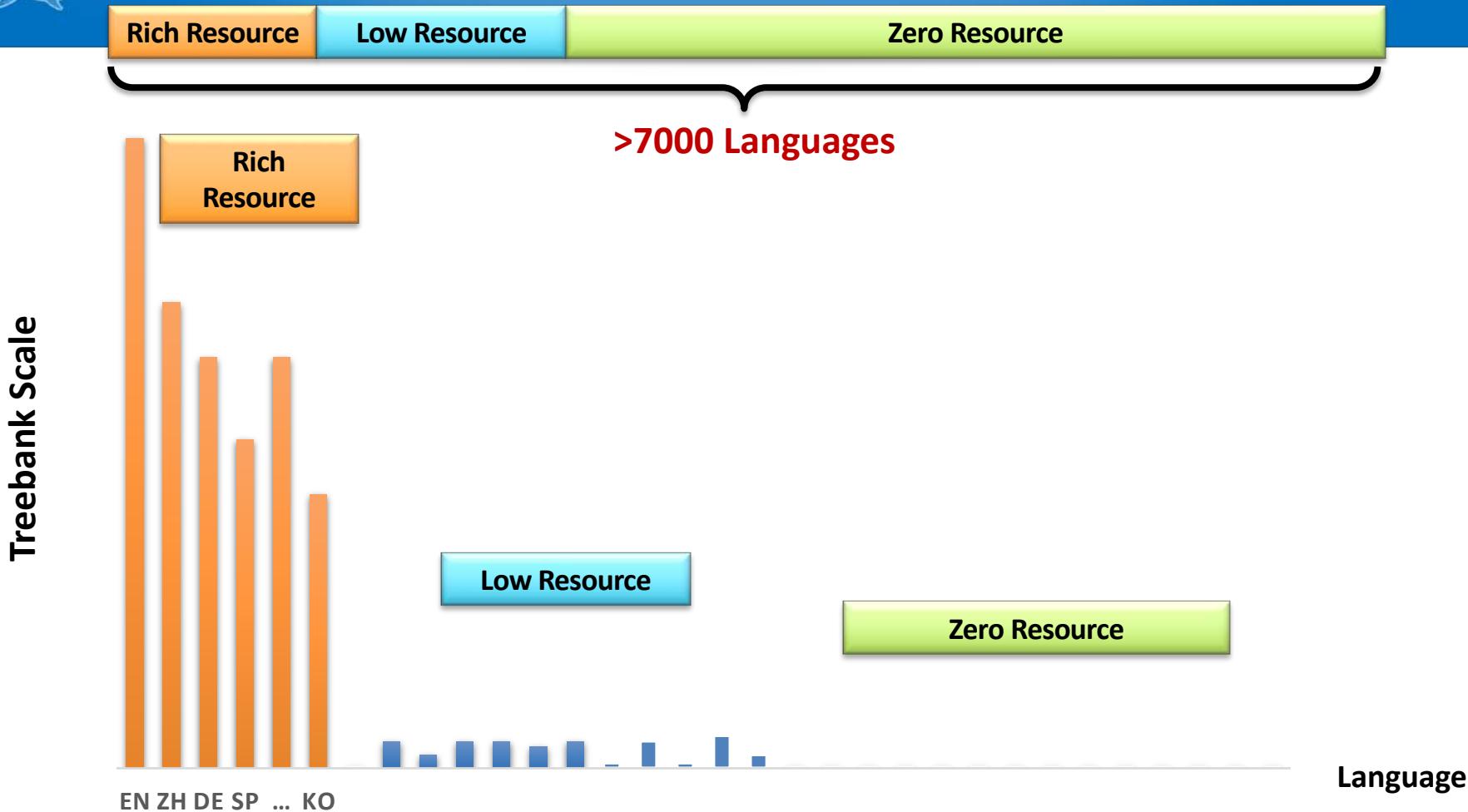
- Over 7,000 languages all around the world
  - Most of the languages are *low-resource* for dependency parsing



(Colors indicate language families)



≈ 30 languages





≈ 30 languages

Rich Resource

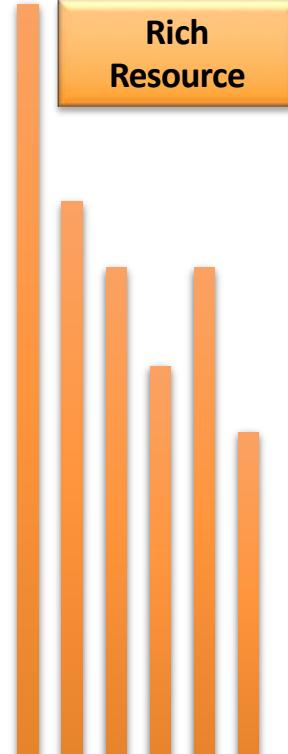
Low Resource

Zero Resource

>7000 Languages

Treebank Scale

Rich  
Resource



**Question 1:**

How to create parsers for the majority of those low/zero-resource languages ?

Low Resource

Zero Resource

EN ZH DE SP ... KO

Language



≈ 30 languages

Rich Resource

Low Resource

Zero Resource

>7000 Languages

Treebank Scale

Rich  
Resource

Transfer Learning

Data Transfer

Model Transfer

Low Resource

Zero Resource

EN ZH DE SP ... KO

Language



≈ 30 languages

Rich Resource

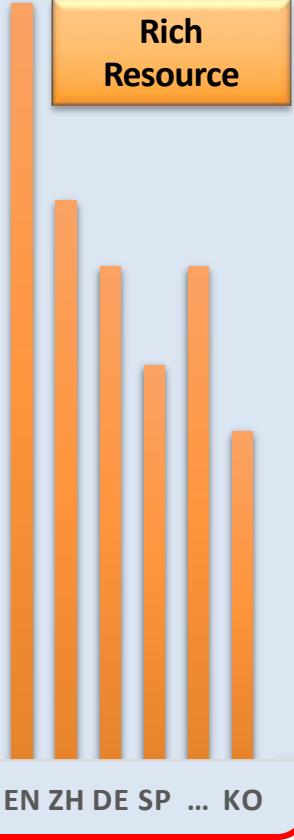
Low Resource

Zero Resource

>7000 Languages

Treebank Scale

Rich  
Resource



Low Resource

Zero Resource

Language

## Question 2:

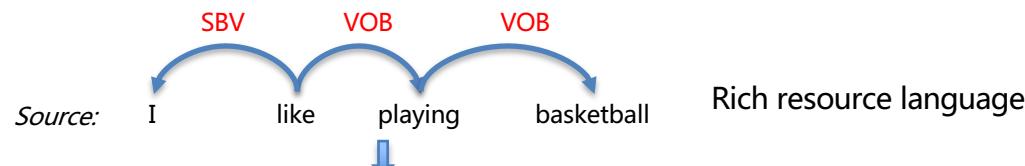
Do the existing rich-resource treebanks benefit each other?

- Multilingual *vs.* Monolingual
- Universal *vs.* Heterogeneous

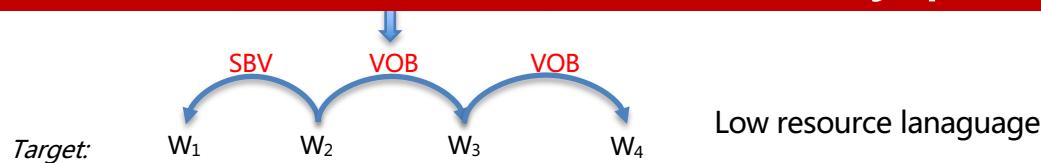


# Cross-lingual Dependency Parsing

- Use the model trained on source language to parse the target language



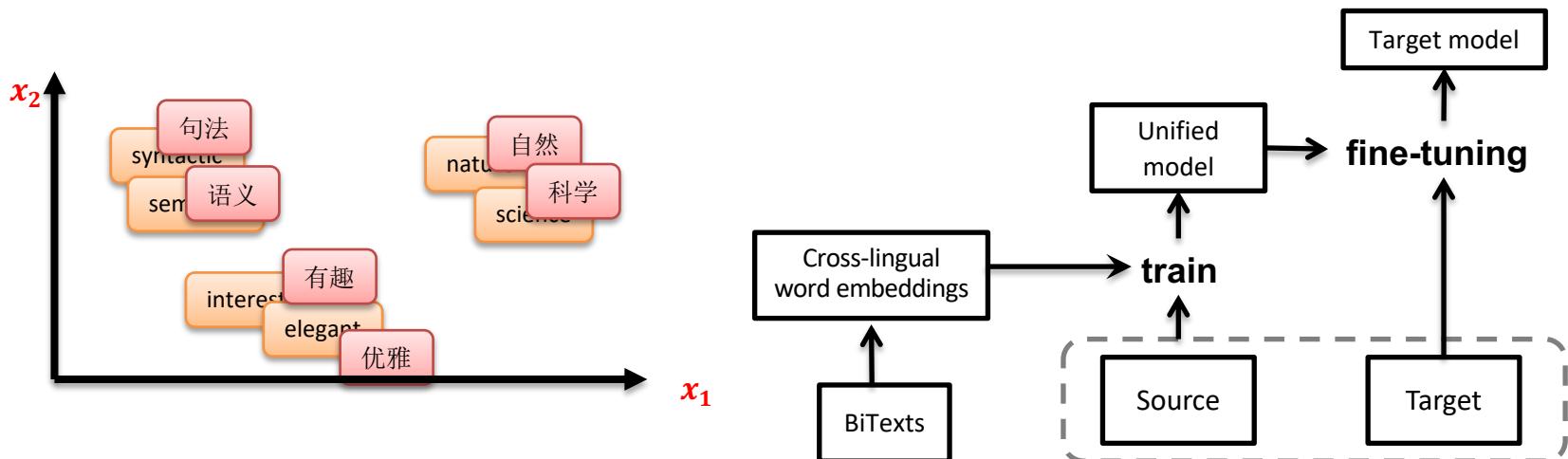
How to overcome the lexical inconsistency problem?





# Cross-lingual Dependency Parsing

- Use bi-lingual word embeddings to overcome the lexical inconsistency problem



- The performance of target language can be improved more than 4%



# Bi-lingual based Named Entity Recognition

- The parallel corpus have inter-translated named entities



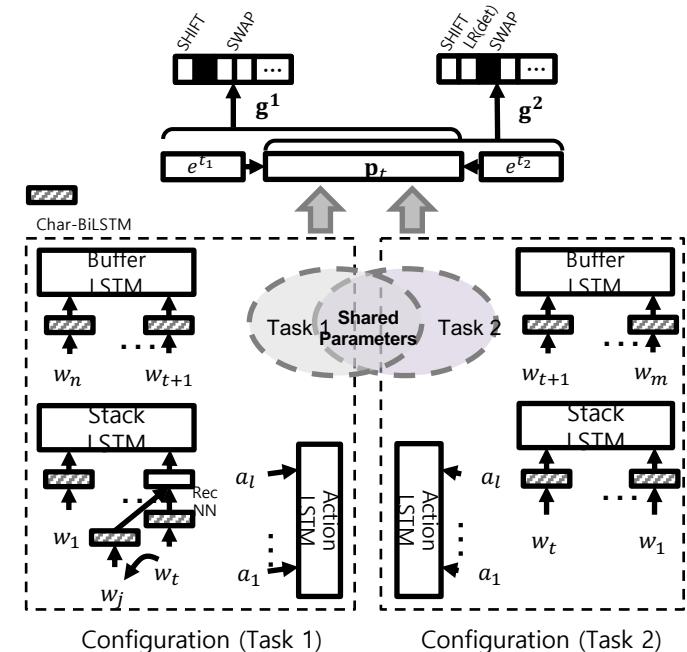
- Bi-lingual constraint based methods

Our paper: NAACL 2013、ACL 2013、AAAI 2013 (**Outstanding mention award**)



# Deep Multi-task Learning Framework

- Each corpus can be looked as a task
  - Multi-lingual treebanks
  - Mono-lingual heterogeneous treebanks
  - Multiple NLP tasks
- Shared parameters
  - LSTM(B), LSTM(S)
  - LSTM(A)
  - BiLSTM(chars)
  - RecNN
  - $W_A, W_B, W_S$
  - $E_{pos}, E_{char}, E_{rel}, E_{act}$

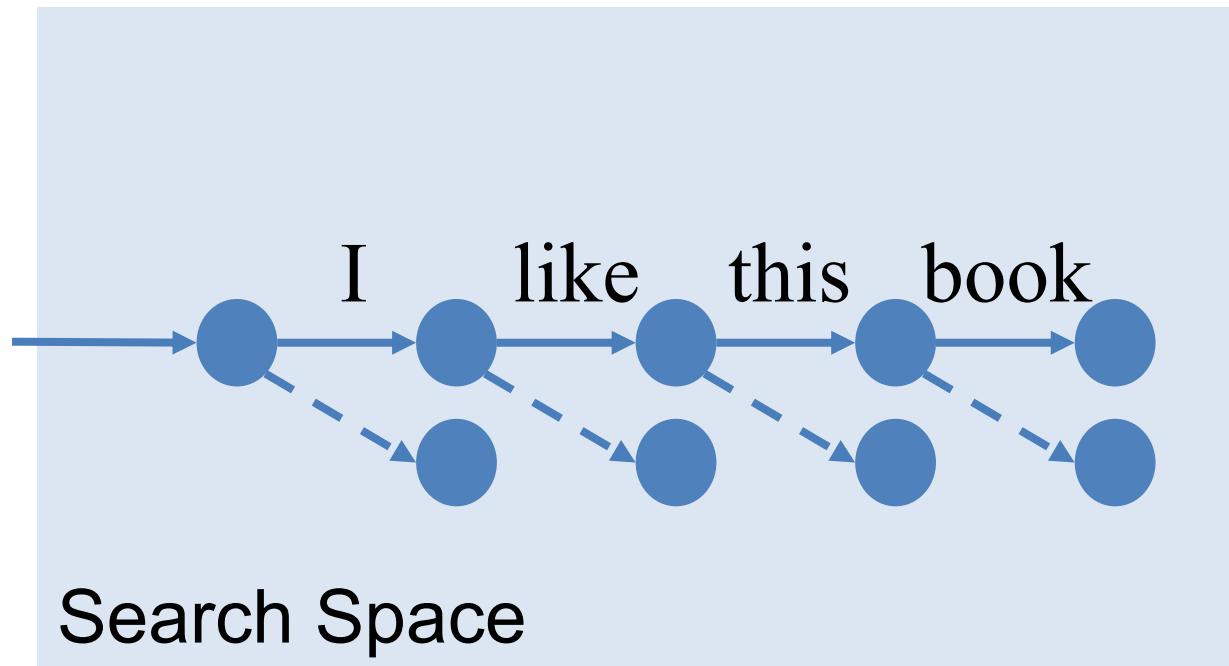




# Distilling Knowledge for Transition-based Structured Prediction

## □ Transition-based Machine Translation

我/喜欢/这本/书

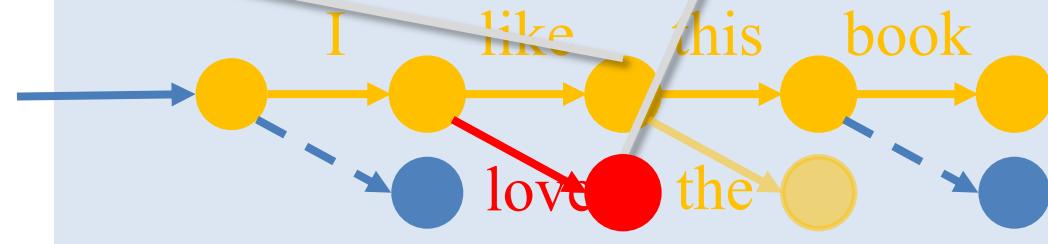


# Problems of the Generic Learning Algorithm

**Ambiguities in training data**  
“both *this* and *the* seems reasonable”

**Training and test discrepancy**  
“What if I made wrong decision?”

我/喜欢/这本/书



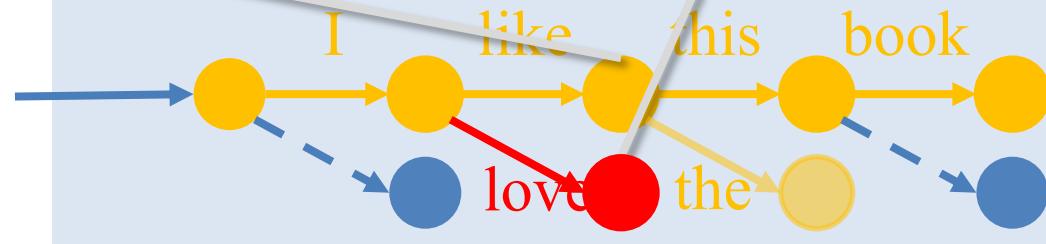
Search Space

# Problems of the Generic Learning Algorithm

Ambiguities in training data  
**Ensemble** (Dietterich, 2000)

Training and test discrepancy  
**Explore** (Ross and Bagnell, 2010)

我/喜欢/这本/书



Search Space

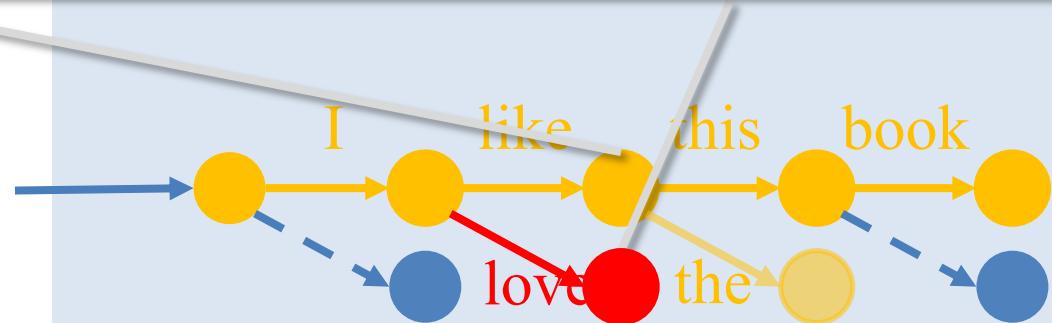
# Problems of the Generic Learning Algorithm

Knowledge Distillation

Ambiguities in training data

Training and test discrepancy

我/喜欢/这本/书



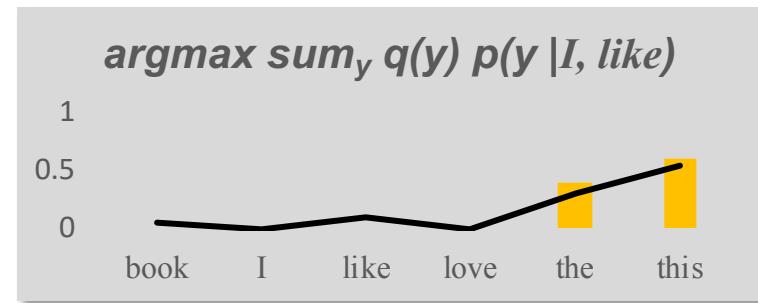
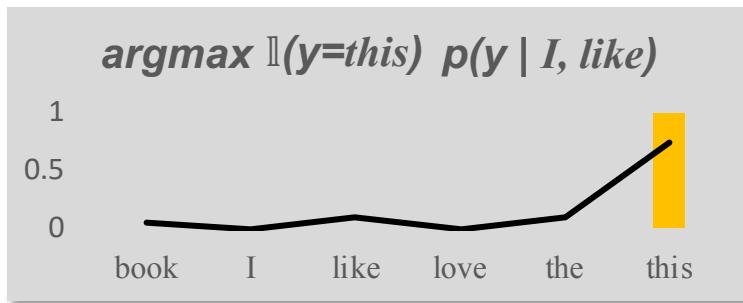
Search Space



# Knowledge Distillation

Learning from negative log-likelihood

Learning from knowledge distillation



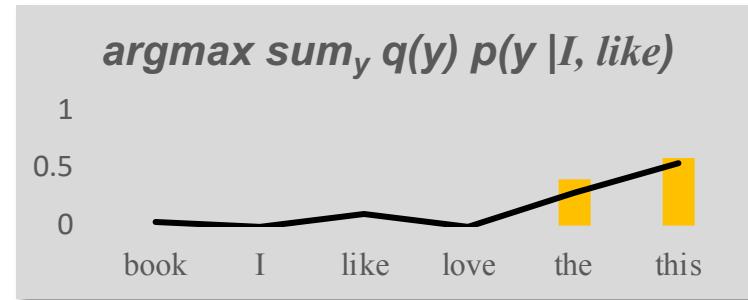
$q(y | I, \text{like})$  is the output distribution of a **teacher** model (e.g. ensemble)

Yijia Liu, Wanxiang Che, Huipeng Zhao, Bing Qin and Ting Liu. Distilling Knowledge for Search-based Structured Prediction. ACL 2018.



# Knowledge Distillation: from Where

Learning from knowledge distillation

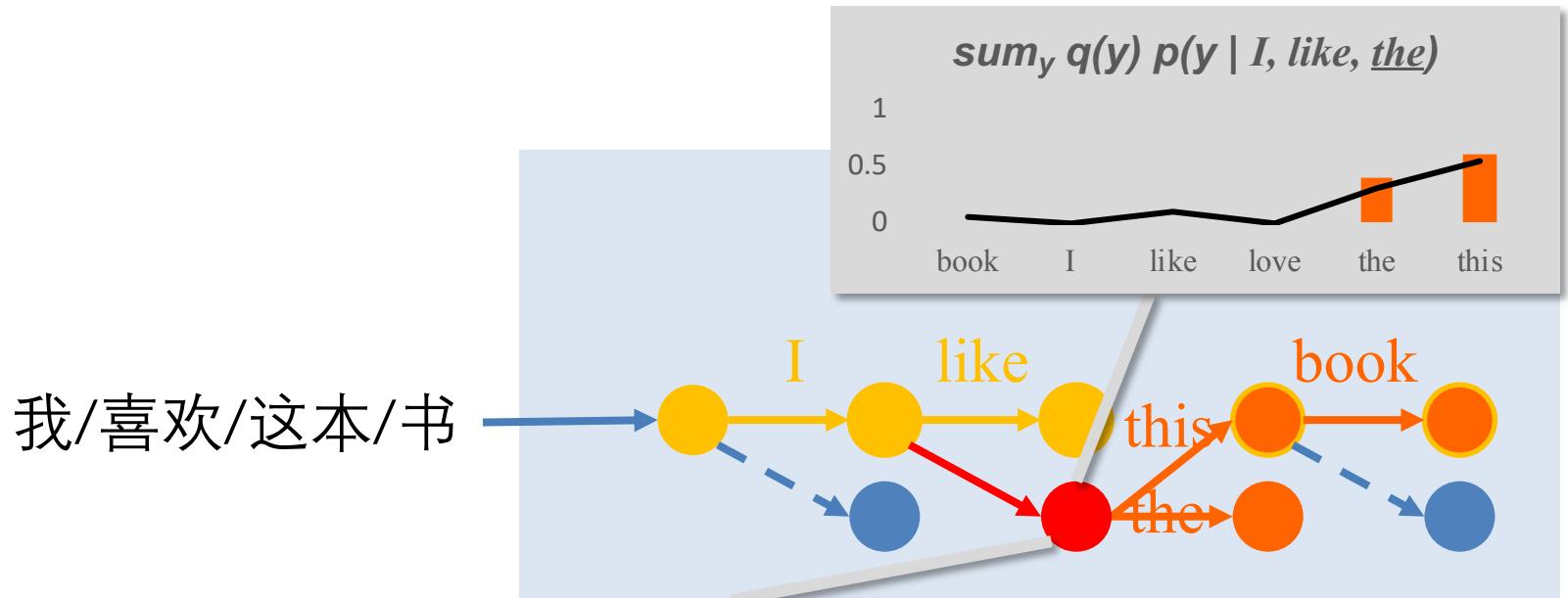


Ambiguities in training data

**Ensemble** (Dietterich, 2000)

We use ensemble of M structure predictor as the **teacher**  $q$

# KD for Transition-based Structured Prediction on Explored Data



## Training and test discrepancy

**Explore** (Ross and Bagnell, 2010)

We use **teacher  $q$**  to explore the search space & learn from KD on the explored data

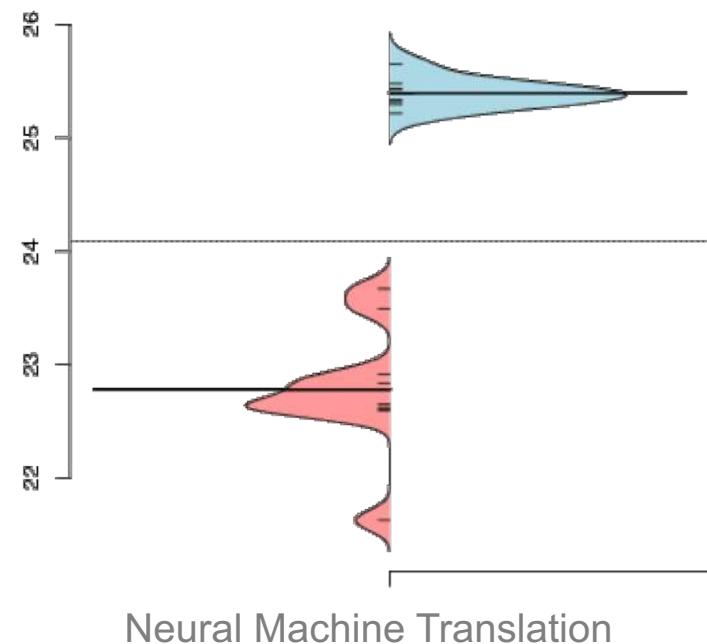
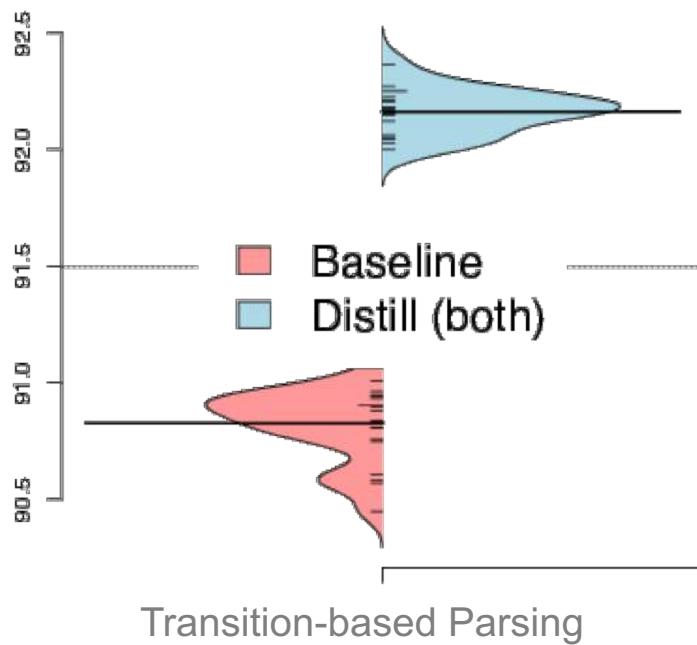


# Results

Transition-based Dependency Parsing <i>Penn Treebank (Stanford dependencies)</i>	LAS	Neural Machine Translation <i>IWSLT 2014 en-de</i>	BLEU
Baseline	90.83	Baseline	22.79
Ensemble (20)	92.73	Ensemble (10)	26.26
Distill (reference, $\alpha = 1.0$ )	91.99	Distill (reference, $\alpha = 0.8$ )	24.76
Distill (exploration)	92.00	Distill (exploration)	24.64
Distill (both)	92.14	Distill (both)	25.44
Ballesteros et al. (2016) (dyn. oracle)	91.42	MIXER (Ranzato et al. 2015)	20.73
Andor et al. (2016) (local, B=1)	91.02	Wiseman and Rush (2016) (local B=1)	22.53
		Wiseman and Rush (2016) (global B=1)	23.83



# Analysis: Is Learning from KD Stable?



Yijia Liu, Wanxiang Che, Huipeng Zhao, Bing Qin and Ting Liu. Distilling Knowledge for Search-based Structured Prediction. ACL 2018.



## Part 3: Summary

- Transition-base Methods for Structured Prediction
- Neural Transition-base Methods
- Transition-base Methods with Beam-search Decoding
- Advanced Topics
  - Semantic dependency graph parsing
  - Multilingual dependency parsing
  - Knowledge Distillation

# Part 4: Applications





# Language Technology Platform (LTP)

□ <http://ltp.ai>

□ Rich and accurate NLP toolkits

- Chinese word segmentation,
- POS tagging, NER, Dependency parsing,
- Semantic role labeling, semantic dependency parsing

□ Open source for research

□ Evaluation

- 1<sup>st</sup> place/13 at CoNLL 2009: syntactic and semantic dependency parsing
- 1<sup>st</sup> place/27 at CoNLL 2018: multilingual syntactic dependency parsing





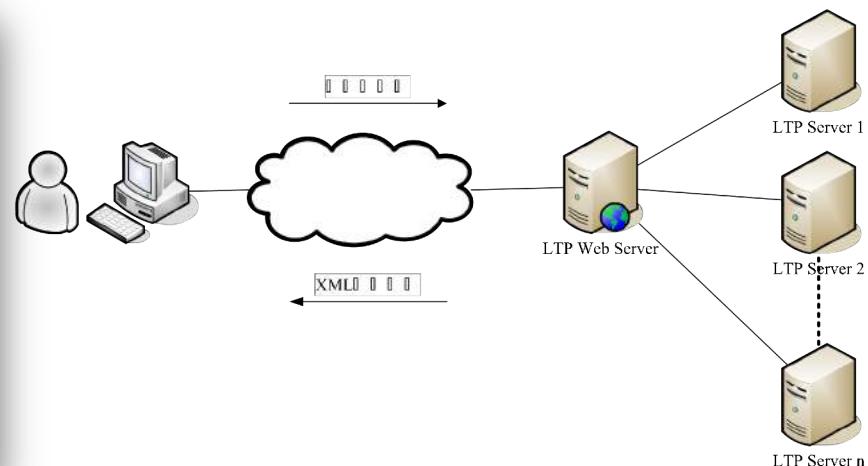
# LTP-Cloud Service

□ <http://www.ltp-cloud.com/>

□ Advantages

□ Installation free, saving hardware, easy usage, cross-platform, cross-programming languages, update in time

The screenshot shows the homepage of the LTP-Cloud website. At the top, there's a navigation bar with links for 首页 (Home), 简介 (Introduction), 使用文档 (Usage Documentation), 下载 (Download), 在线演示 (Online Demonstration), 技术支持 (Technical Support), and 帮助 (Help). Below the navigation, a large blue banner features the text "语言云 (语言技术平台云)" and "基于云计算技术的中文自然语言处理服务平台". It includes icons representing a laptop, a smartphone, and various cloud-related concepts like "中华", "学习", "文明", "语言", "云", "机", and "的". A "注册使用语言云" button is also present. The main content area has sections for "语言云" (Language Cloud) and "语言技术平台" (Language Technology Platform), each with descriptive text and a "了解更多" (Learn More) link. The footer contains copyright information: "© 2013 江工大社会计算与大数据研究中心 服务协议与隐私说明 关于我们 语言技术平台 联系我们" and some small icons.





# Users of LTP-Cloud

- There are more than 10,000 users
- Response more than 700,000 requests each day





# Awards

- 2016, the 1<sup>st</sup> prize of Heilongjiang Province Science and Technology Progress
- 2010, the 1<sup>st</sup> prize of Weichang Qian Chinese Information Processing Science and Technology Award





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# How to Use Tree or Graph Structures?

- As Information Extraction Rules
- As Input Features
- Multi-task Learning
- As Input Structures
- As Structured Prediction



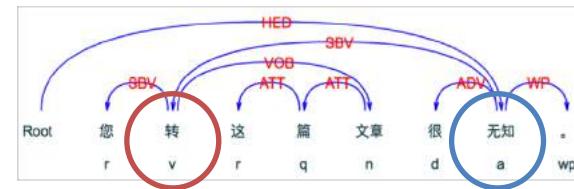
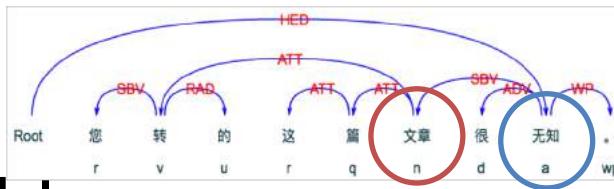
# How to Use Tree or Graph Structures?

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# As Information Extraction Rules

- For example
  - Polarity-target pair extraction



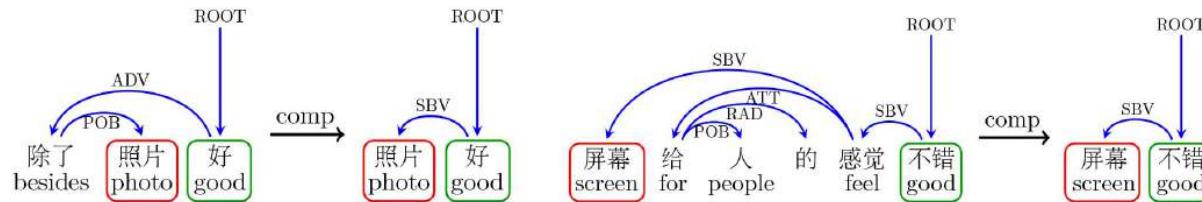
- Problem
  - The extraction rules are very complex
  - The parsing results are inexact



# As Information Extraction Rules

## □ Sentence compression based PT pair extraction

- Simplify the extraction rules
- Improve the parsing accuracy



- Use a sequence labeling model to compress sentences
- The PT pair extraction performance improves 3%

Wanxiang Che, Yanyan Zhao, Honglei Guo, Zhong Su, Ting Liu. Sentence Compression for Aspect-Based Sentiment Analysis. IEEE/ACM Transactions on Audio, Speech, and Language Processing. 2015, 23(12)



# How to Use Tree or Graph Structures?

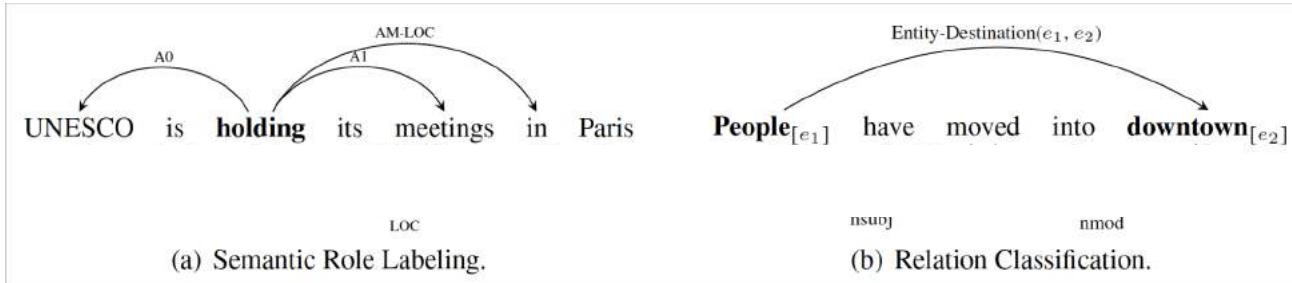
- As Information Extraction Rules
  - As Input Features
  - Multi-task Learning
  - As Input Structures
  - As Structured Prediction



# Path Features

## For Example

### Semantic Role Labeling (SRL), Relation Extraction (RC)



## The parsing path features are very important

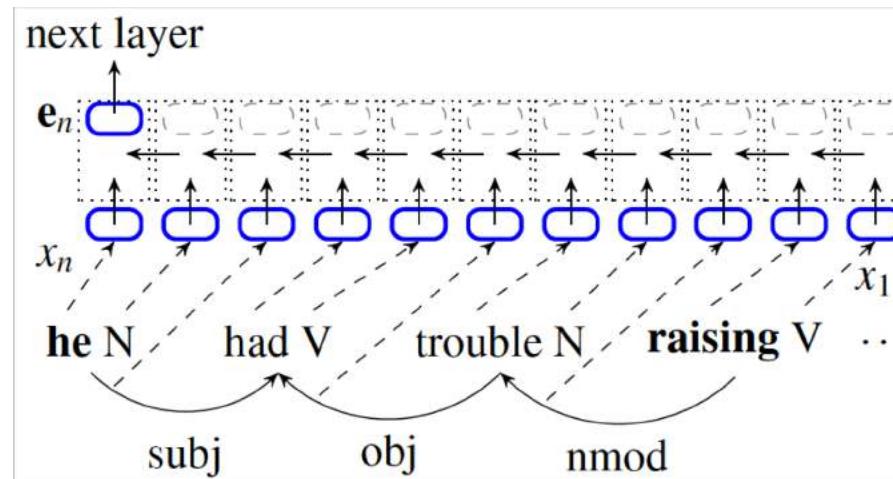
People <--> downtown: nsuj ← moved → nmod

But they are difficult to be designed and very sparse



# Path Features

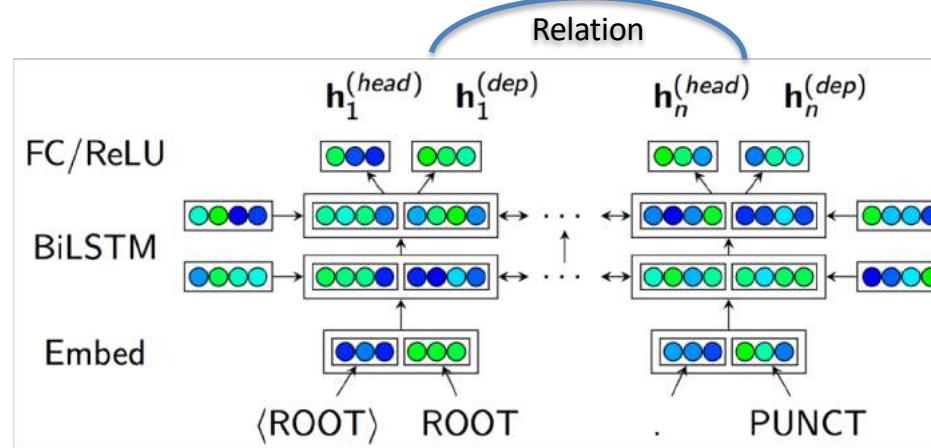
- ❑ Use LSTMs to represent paths
- ❑ All of word, POS tags and relations can be inputted





# Hidden Units of Parsing as Features

- The hidden units for parsing include **soft** syntactic information
- These can help applications, such as relation extraction



Meishan Zhang, Yue Zhang and Guohong Fu. End-to-End Neural Relation Extraction with Global Optimization.  
EMNLP 2017.



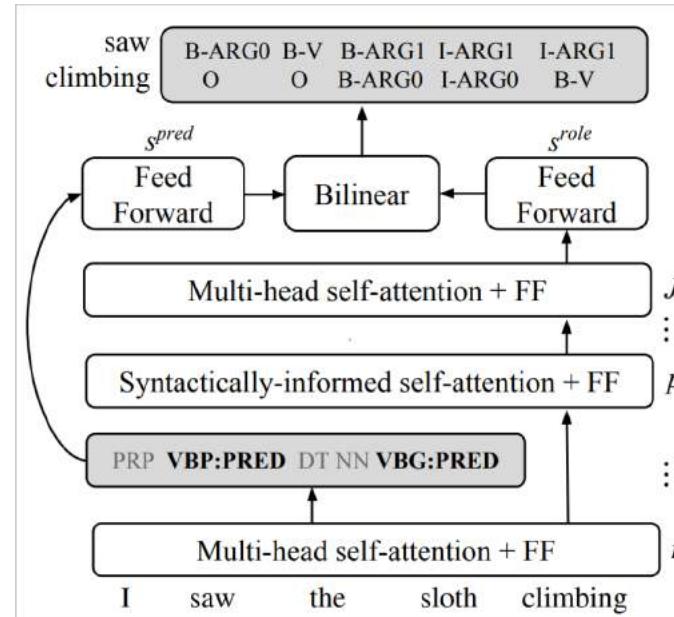
# How to Use Tree or Graph Structures?

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# Multi-task Learning

## □ MTL for Syntactic Parsing and Semantic Role Labeling



- Emma Strubell, Patrick Verga, Daniel Andor, David Weiss and Andrew McCallum. Linguistically-Informed Self-Attention for Semantic Role Labeling. EMNLP 2018.



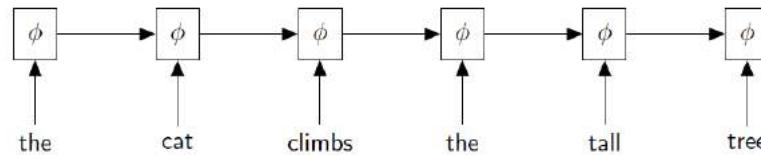
# How to Use Tree or Graph Structures?

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- Multi-task Learning
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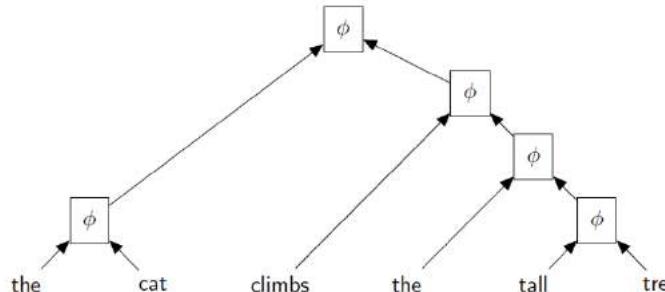


# Recurrent vs. Recursive Neural Networks

- Recurrent Neural Networks
  - Composing sequentially



- Recursive Neural Networks
  - Use parse trees as input structures
  - Composing according to parsing structures

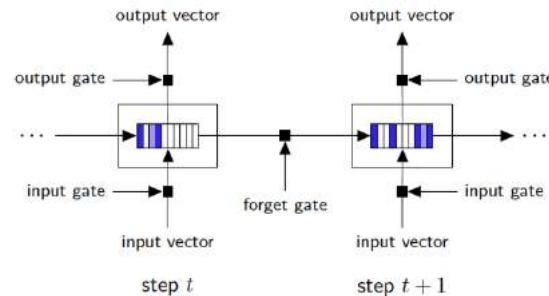


Richard Socher, Cliff Chiung-Yu Lin, Andrew Y. Ng and Christopher D. Manning. Parsing Natural Scenes And Natural Language With Recursive Neural Networks. ICML 2011.

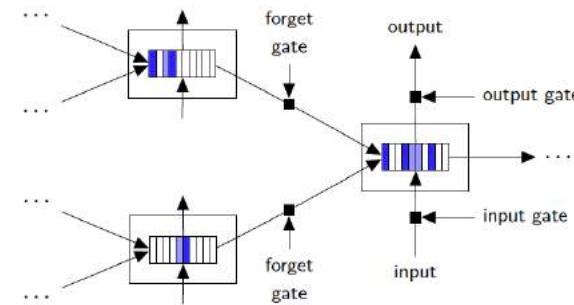


# Tree-LSTMs

## □ Standard LSTM



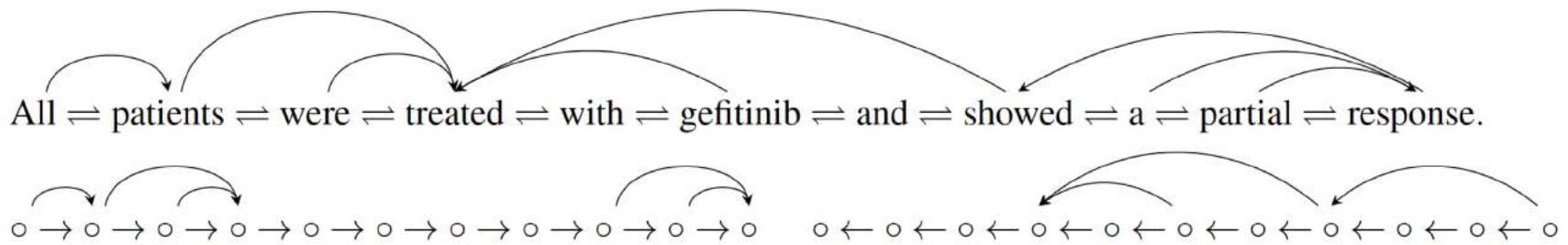
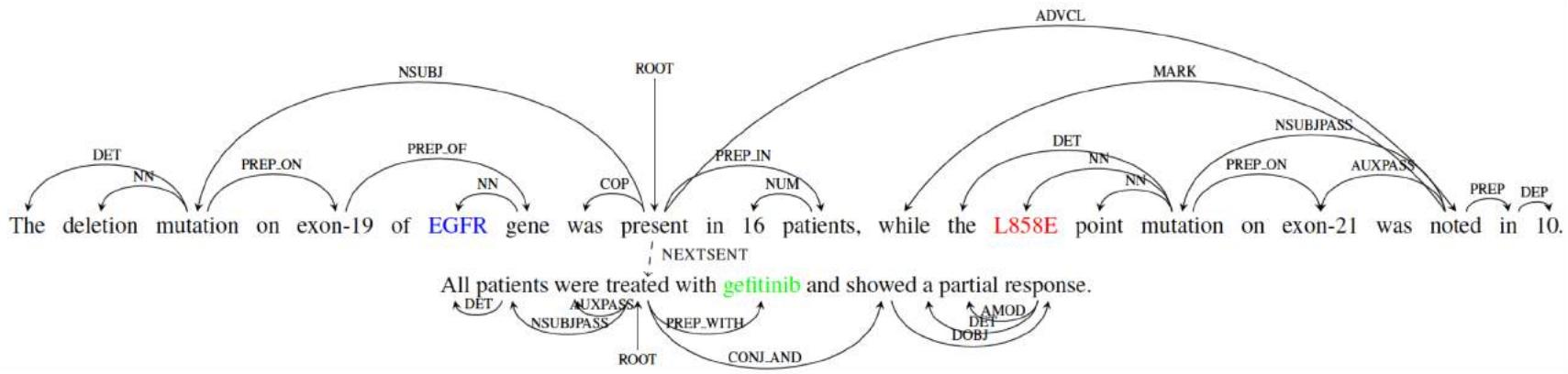
## • Tree-LSTM



- Kai Sheng Tai, Richard Socher, and Christopher D. Manning. 2015. Improved semantic representations from tree-structured long short-term memory networks. ACL 2015.
- Xiaodan Zhu, Parinaz Sobhani, and Hongyu Guo. 2015. Long short-term memory over recursive structures. ICML 2015.

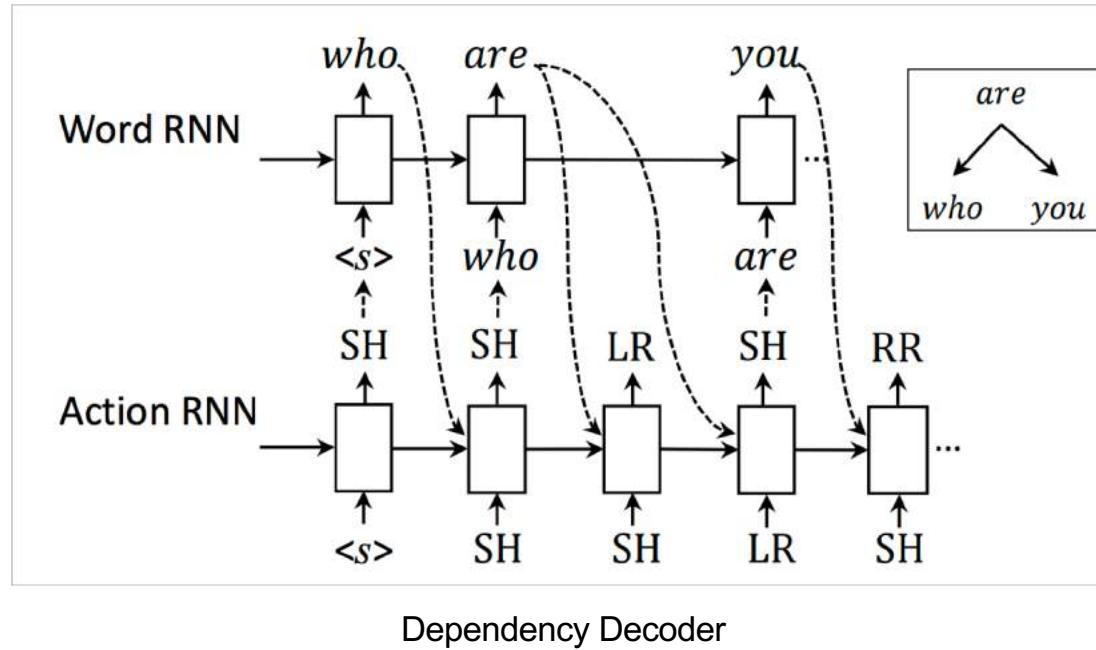


# Graph-LSTMs





# Neural Machine Translation



Shuangzhi Wu, Dongdong Zhang, Nan Yang, Mu Li and Ming Zhou. Sequence-to-Dependency Neural Machine Translation. ACL 2017.

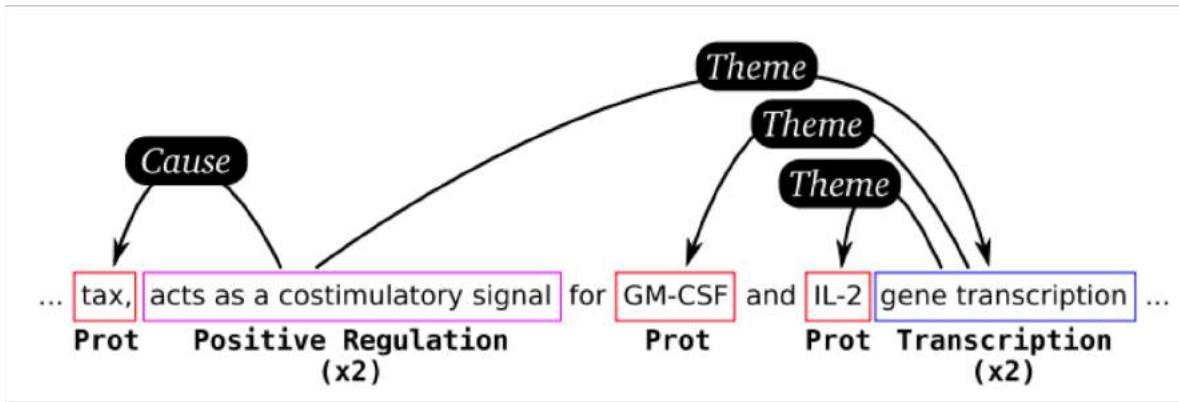


# How to Use Tree or Graph Structures?

- As Information Extraction Rules
- As Input Features
- Multi-task Learning
- As Input Structures
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# Event Extraction





# Disfluency Detection

## □ Disfluency detection for speech recognition

I want a flight [  $\underbrace{\text{to Boston}}_{\text{RM}} + \underbrace{\{um\}}_{\text{IM}} \underbrace{\text{to Denver}}_{\text{RP}} ]$

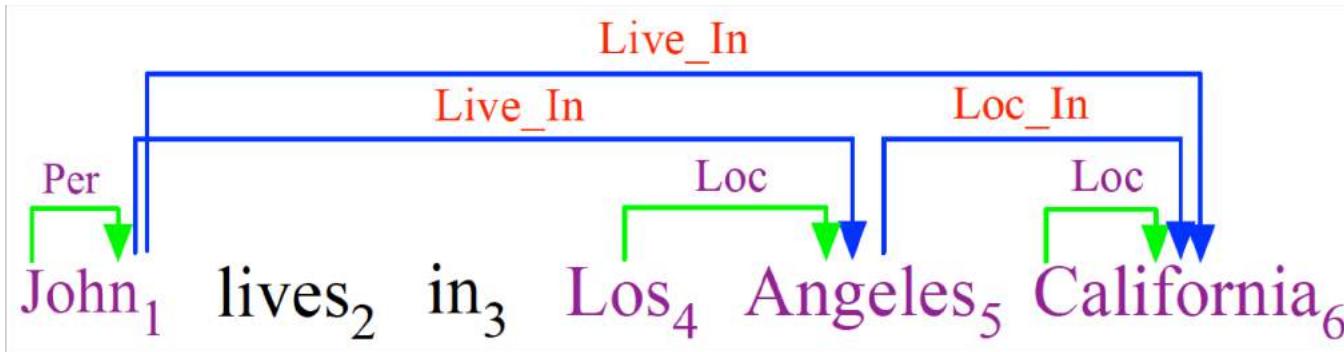
## □ Transition System $\langle O, S, B, A \rangle$

- $output(O)$  : represent the words that have been labeled as fluent
- $stack(S)$  : represent the partially constructed disfluency chunk
- $buffer(B)$  : represent the sentences that have not yet been processed
- $action(A)$  : represent the complete history of actions taken by the transition system
  - OUT: which moves the first word in the  $buffer$  to the  $output$  and clears out the  $stack$  if it is not empty
  - DEL: which moves the first word in the  $buffer$  to the  $stack$



# Entity Extraction and Classification

- ❑ Joint Entity Extraction and Classification
  - ❑ Convert the task into a directed graph



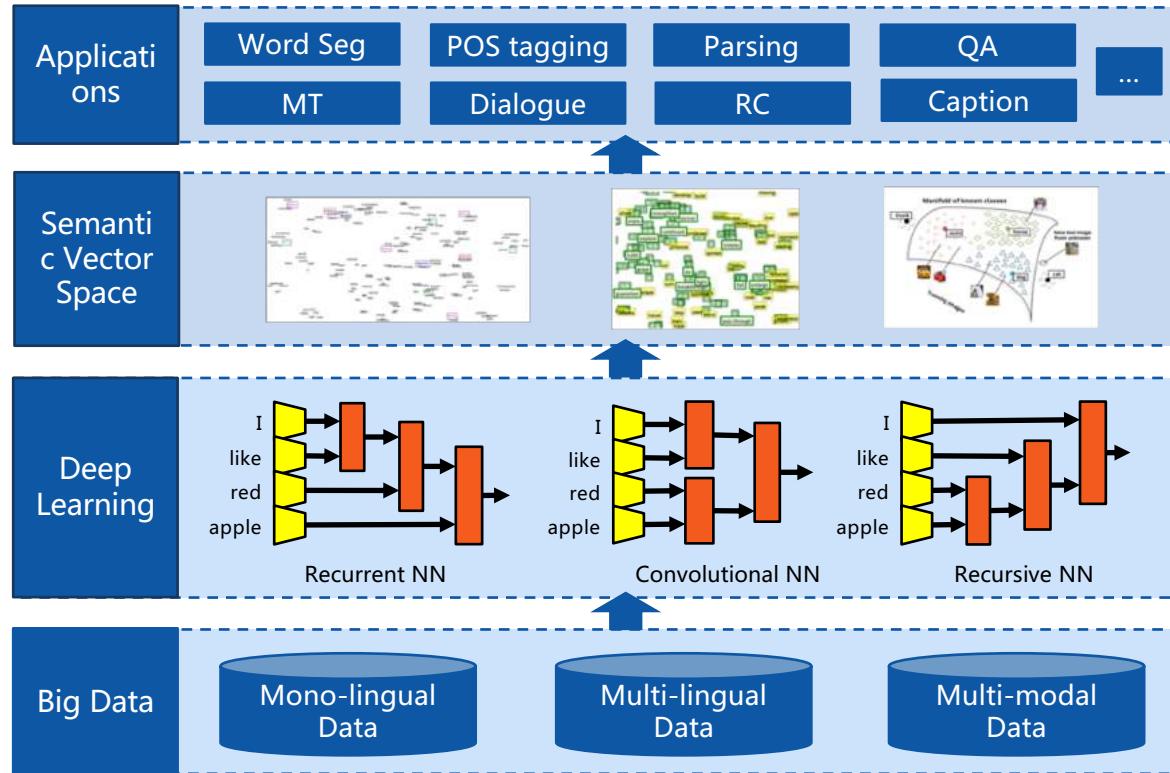


## Part 4: Summary

- ❑ LTP -- Language Technology Platform
- ❑ How to use tree or graph?
  - ❑ As Information Extraction Rules
  - ❑ As Input Features
  - ❑ Multi-task Learning
  - ❑ As Input Structures
  - ❑ As Structured Prediction



# Deep Learning for NLP





# Course Summarization

- Fundamental NLP Tasks
  - Lexical, Syntactic and Semantic Analysis
- Structured Prediction
  - Segmentation, Sequence Labeling and Parsing
- Methods
  - Graph-based and Transition-based
- Applications



# Thanks!

- Welcome to SCIR!
- <http://ir.hit.edu.cn/>



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