# Project 1 Introduction to Applied Bayesian Data Anlaysis

Danielle Vaithilingam, Suz Angermeier, and Sam Albertson

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#### Contents

1. You are working with an investigator to design a Phase II trial of a new drug to treat acute myeloid leukemia (AML) that is refractory to the standard chemotherapy regimen. Eligible patients, all of whom have failed on the first line therapy, will be selected based on the presence of a specific molecular feature of their cancer that the new drug proposes to target. An earlier Phase I trial has already been completed to select a maximum tolerated dose (MTD). The new trial is divided into two parts. The objective of the first part of the trial is to verify the tolerability of the dose selected as the MTD in the previous Phase I trial. To accomplish this, the new trial will enroll and treat 20 patients at the MTD from the previous trial. A list of adverse events (AE) of interest have been identified as dose-limiting toxicities (DLT) that, if they should occur with greater than 25% probability would represent risks that outweigh the potential benefits of the drug. DLTs will be monitored in each patient for 28 days after starting treatment. The investigators intend to monitor the accumulating toxicity data in groups of 5 patients. If there is a greater than 80% probability that the probability of DLT is 25% or higher then the study should stop. Design a simple statistical monitoring rule for the probability of DLT using what you know about the beta-binomial distribution. You may assume a flat prior, i.e., Beta(1,1).

a) Fill in the following table. Each cell of the table should be the posterior probability for your statistical monitoring rule.

Number of DLTs	5 Patients Treated	10 Patients Treated	15 Patients Treated	20 Patients Treated
0	0.178	0.042	0.010	0.002
1	0.534	0.197	0.063	0.019
2	0.831	0.455	0.197	0.075
3	0.962	0.713	0.405	0.192
4	0.995	0.885	0.630	0.367
5	1.000	0.966	0.810	0.567
6		0.992	0.920	0.744
7		0.999	0.973	0.870
8		1.000	0.993	0.944
9		1.000	0.998	0.979

Number of DLTs	5 Patients Treated	10 Patients Treated	15 Patients Treated	20 Patients Treated
10		1.000	1.000	0.994
11			1.000	0.998
12			1.000	1.000
13			1.000	1.000
14			1.000	1.000
15			1.000	1.000
16				1.000
17				1.000
18				1.000
19				1.000
20				1.000

b) Based on the table above, how many patients with DLTs would it take for the trial to stop after 5, 10, 15, or 20 patients are treated?

Based on the table above, it would take 2 patients with DLTS for 5 patients treated, 4 for 10 patients treated, 5 for 15 patients treated and 7 for 20 patients treated.

2. In addition to being concerned about toxicity of the new treatment, the investigators who are proposing the study described in question 1 are also concerned about continuing to expose the patients to the possible side effects of the drug if there is little expectation of benefit. If the first part of the trial is successful, and all 20 patients are treated without the trial stopping early due to toxicity concerns, then the investigators wish to enroll an additional 20 patients to estimate the probability of response to treatment. However, before doing so, the investigators want some assurance that the treatment might be beneficial. Therefore, they have asked to create a futility rule by which the investigators might stop the study if there is no evidence of benefit after treating the first 20 patients. The rule should be based on assessment of a binary response outcome (the details of how this is evaluated are not important for purposes of this question) that is evaluated on each patient at 28 days after starting treatment. A total of 20 patients should be available for this interim analysis. Historical data suggests that the minimum acceptable probability of response for the new drug is 55%. This estimate considers the efficacy of the current standard care and the minimum clinically important difference (the improvement in the response rate over the standard care that the new drug would have to provide to change medical practice). Use similar methods to what you employed to answer Question 1 to make a futility rule for the study. The futility rule would be triggered if the posterior probability of a suboptimal response rate (below 55%) on the new drug is greater than 80%. In other words, if the posterior probability exceeds 80% then the trial would stop with 20 patients. Otherwise, 20 additional may enroll and the final data analysis will consider 40 patients. You may assume a flat prior, i.e., Beta(1,1).

a) Fill in the following table to describe the futility monitoring rule. Number of Responses Observed Posterior Probability of a Suboptimal Response Observed Response Probability Treatment is Futile? (yes/no)

Number of Responses Observed	Probability	Observed Response Probability	Treatment is Futile?
20	0.000	1.00	no
19	0.000	0.95	no
18	0.001	0.90	no
17	0.003	0.85	no
16	0.013	0.80	no
15	0.039	0.75	no
14	0.096	0.70	no
13	0.197	0.65	no
12	0.341	0.60	no
11	0.512	0.55	no
10	0.679	0.50	no
9	0.816	0.45	yes
8	0.909	0.40	yes
7	0.962	0.35	yes
6	0.987	0.30	yes
5	0.996	0.25	yes
4	0.999	0.20	yes

		Observed Tr Response is Probability	
3	1.000	0.15 ye	es
2	1.000	0.10 ye	es
1	1.000	0.05 ye	es
0	1.000	0.00 ye	es

 $b) \ Based \ on \ the \ table \ above, \ what \ is \ the \ largest \ number \ of \ responses \ that \ would \ result \ in \ triggering \ the \ futility \ rule?$ 

Based on the table above, the largest number of responses that triggers the futility rule is 9.

3. Write a paragraph of text that could be included the study protocol that describes the toxicity monitoring rule and the futility rule for the above trial. Limit your paragraph to no more than 5-7 sentences.

A Bayesian decision rule was used to determine both dose-limiting toxicity (DLT) and futility. Beginning with a non-informative Beta-distributed prior, the posterior likelihood of the total number of events (either DLT or patient response) was calculated. In the first phase of the study, the trial was stopped if there was a greater than 80% posterior probability that the DLT rate was greater than 25%. In the second phase, the trial was stopped if there was a greater than 80% posterior probability that the success rate was under 55% after 20 patients had been treated.

- 4. Revisit your futility rule in question 2. This time, instead of assuming a Beta(1,1) prior try tuning a prior for the analysis based on the following results from a hypothetical series of Phase I trials of the same drug where the response rate was evaluated.
- a. Explain the steps you took to tune your prior based on this data.

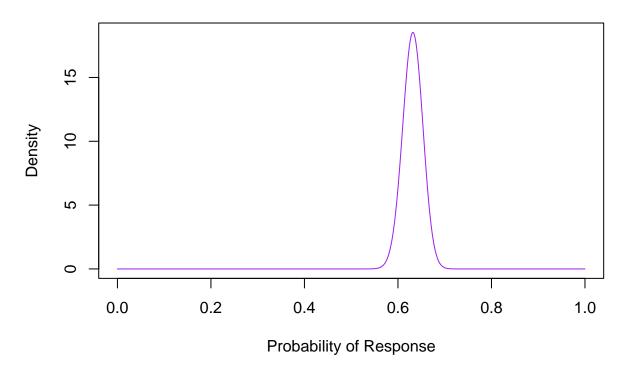
In order to tune the prior we used each of the studies in order to calculate the new posterior, that new posterior was then used for the prior for the next study. Once we completed the calculations up to the fifth study, the final posterior is the prior for our study. The very first prior that we used were Beta(1,1) because it is a non-informative prior so we will be able to build our posterior off this prior.

b. State the hyperparameters of your selected prior and explain what information is contained in these hyperparameters.

The hyperparameters of our selected prior is Beta(317, 185).

c. Make a plot of the prior and explain what you see in non-technical language. Your answer should be framed in terms of the possible values for the response to treatment.

## **Beta Distribution (317,185)**



In this above graph we can see with the hyperparameters that probability that there is a response to treatment. With our current hyperparameters tuned from 5 previous studies we have the probability that an individual responses to treatment falls between about 0.6 and 0.7.

d. State the mean and 95% credible interval for the prior and interpret these values.

On average a patient response to the treatment about 63.147% of the time. Then we a 95% confidence interval, we are 95% confidence that the true mean proportion of patients who response to treatment falls between 0.627 and 0.636.

e. Is there any information about your posterior distribution that you know just by looking at this prior?

The prior can give us some insight into what the posterior probability might look like when new data is added to the distribution. In this case we can see that our prior is above 0.5, additionally the CI is fairly tight. This suggests that any additional data added to the distribution would not move the distribution in any extreme direction. I predict that the distribution would remain simplier with either increasing our decreasing the spread slightly.

#### Contributions

Sam: Questions 1 - 3Suz: Question 4Dani: Question 1 - 2