

A trusted and user-centric access control language: Enabling delegation of fine-grained policies in shared ecosystems

#### **The Founders**



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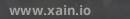




# The Illusion of User Control

#### **Authority Managed Access Control**

- Platforms (e.g. Amazon Key) centrally manage access control for users
- Resource owners lack control and are dependent on authority
- Limited interoperability and fragmentation



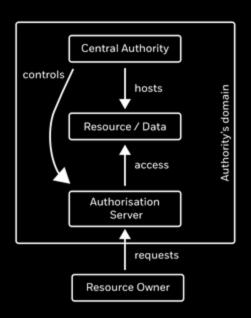




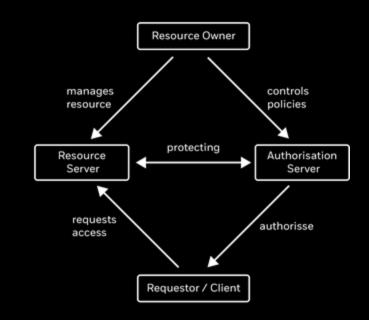


# **User Managed Access Solution**

#### **Authority Managed Access**



#### User Managed Access (UMA) based on OAuth







## **Users lack Control over Delegation**

#### Limited control of policies in OAuth2

- OAuth2 for system interoperability of delegation of access
- but limited control of fine-grained policies of delegation to 3rd parties, thus:
- limited P2P sharing possibilities (e.g. a leased car is shared)









#### **Lack of Trust in Shared Infrastructures**

#### Delegation of policies requires trust

- Centralized storage of policies not trusted by participants, thus:
- OAuth2 is failing to provide system interoperability for complex policies
- But sharing economies require trustworthy interoperable ecosystems









#### FROST: Our Solution Stack

> Stakeholders can program shared ecosystems using *smart* policies for access control and cybersecurity protocols for powerful *delegation*.

Let's explore examples before we take a deep technical dive.

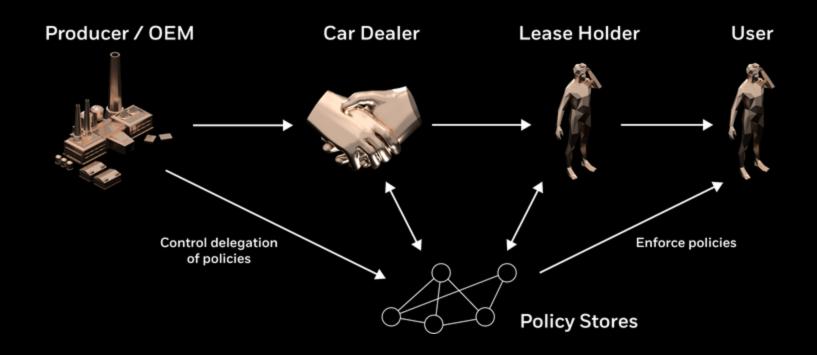








#### **Example: Policy Delegation in Vehicle Lifecycle**

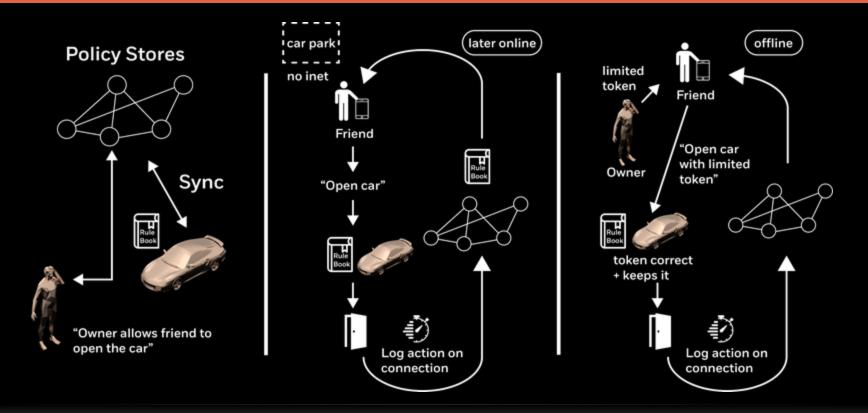








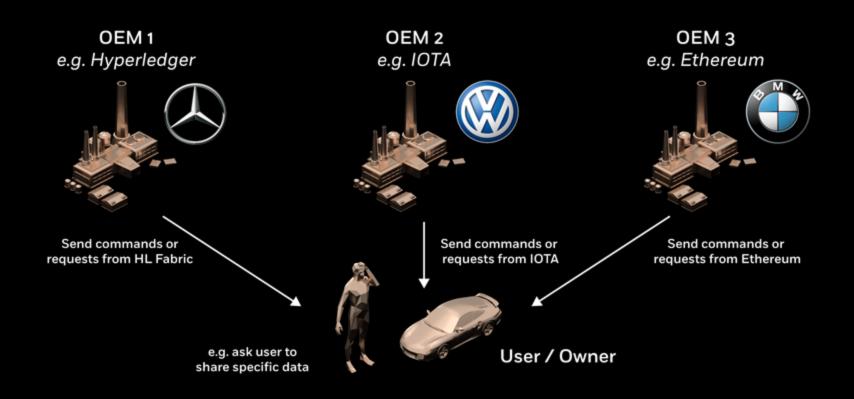
#### **Example: P2P Device Sharing**







#### **Example: Interoperability through Expressive FROST Language**









# **Example: Porsche Integration** Insights & outcomes of that pilot led to development of the FROST language XAIN.

# **Advantages & USPs of FROST Technology**

- FROST provides fine-grained delegation of access for human to machine and machine to machine interactions
- FROST supports domain-specific languages for integrating other systems, e.g. Ethereum, HL Fabric, IOTA
- FROST is based on previous academic research (e.g. PBel)







#### FROST: A Technical Dive

What does the FROST language look like?

How does delegation work?









#### "FROST" spelled out

- **F = Flexible**, e.g. freedom to delegate, agnostic view of backends
- **R = Resilient**, e.g. through cybersecurity protocols and policy authentication
- O = Open, FROST will become open-source, allows stakeholders to create bespoke shared ecosystems
- **S = Service-Enabling**, e.g. tracking parts in life-cycle
- **T = Trusted**, e.g. combination of cybersecurity, validation tools







## FROST Technology Stack: recap

- A core language for expressing policies for access controls
- Cybersecurity protocols express/enforce delegation structures
- Access-control architecture that enables "F", "R", "O", "S", and "T"
- Programming Language tools for testing and validation: compilers, automated provers of correctness, and so forth









#### FROST Language: based on rules & attributes

```
grant if (object == vehicle) && (subject == vehicle.owner.daughter) && (action == driveVehicle) && (owner.daughter.isInsured == true) && (0900 \le localTime) && (localTime \le 2000)
```



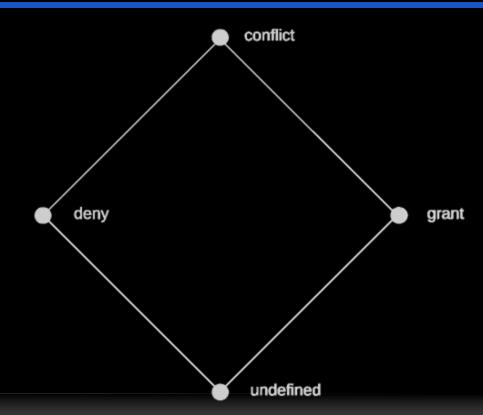


## **Open System Composition: Gaps & Conflicts**

conflict =
evidence for deny and grant

undefined =
evidence for neither grant nor deny

a policy "gap"











#### **FROST Core Language**

```
dec ::= grant \mid deny \mid undef \mid conflict
 term := constant \mid entity \mid op(term, ..., term) \mid term.attribute
 cond ::= (term == term) \mid (term < term) \mid (term \leqslant term) \mid \cdots
                  true |\neg cond| (cond && cond) | (cond || cond)
 rule := \texttt{grant} \ \texttt{if} \ cond \mid \ \texttt{deny} \ \texttt{if} \ cond
guard := true \mid pol eval dec \mid (guard && guard)
   pol := dec \mid rule \mid case \{ [guard: pol]^+ [true: pol] \}
```







```
case {
                                         ... of policies
                                             P,Q
  [(P \text{ eval undef}): Q]
  [(Q \text{ eval undef}): P]
  [(P eval conflict): conflict]
  [(Q \text{ eval conflict}): conflict]
  [((P \text{ eval deny}) \&\& (Q \text{ eval grant})): conflict]
  [((P \text{ eval grant}) \&\& (Q \text{ eval deny})): conflict]
  [true: P]
```







```
case {
                                                              ... of policies
                                                                     P, Q
    [(P \text{ eval undef}): Q]
                                                                           dec := grant | deny | undef | conflict
    [(Q \text{ eval undef}): P]
                                                                          term := constant \mid entity \mid op(term, ..., term) \mid term.attribute
                                                                          cond := (term == term) \mid (term < term) \mid (term \leq term) \mid \cdots
                                                                                   true | ¬cond | (cond && cond) | (cond || cond)
    [(P eval conflict): conflict]
                                                                          rule := grant if cond | deny if cond
                                                                         guard ::= true | pol eval dec | (guard && guard)
    [(Q \text{ eval conflict}): \text{conflict}]
                                                                           pol := dec \mid rule \mid case \{ [quard: pol]^+ [true: pol] \}
    [((P \text{ eval deny}) \&\& (Q \text{ eval grant})): \text{conflict}]
    [((P \text{ eval grant}) \&\& (Q \text{ eval deny})): conflict]
    [true: P]
```







```
case {
                                                            ... of policies
                                                                   P.Q
    [(P \text{ eval undef}): Q]
                                                                        dec := grant | deny | undef | conflict
    [(Q \text{ eval undef}): P]
                                                                       term := constant \mid entity \mid op(term, ..., term) \mid term.attribute
                                                                        cond := (term == term) \mid (term < term) \mid (term \leq term) \mid \cdots
    [(P eval conflict): conflict]
                                                                                true | ¬cond | (cond && cond) | (cond || cond)
                                                                        rule := grant if cond | deny if cond
                                                                       guard ::= true | pol eval dec | (guard && guard)
    [(Q \text{ eval conflict}): conflict]
                                                                         pol := dec \mid rule \mid case \{ [quard: pol]^+ [true: pol] \}
    [((P \text{ eval deny}) \&\& (Q \text{ eval grant})): \text{conflict}]
    [((P \text{ eval grant}) \&\& (Q \text{ eval deny})): conflict]
    [true: P] \bigcirc Q. If we get here, what do P and Q eval to?
```







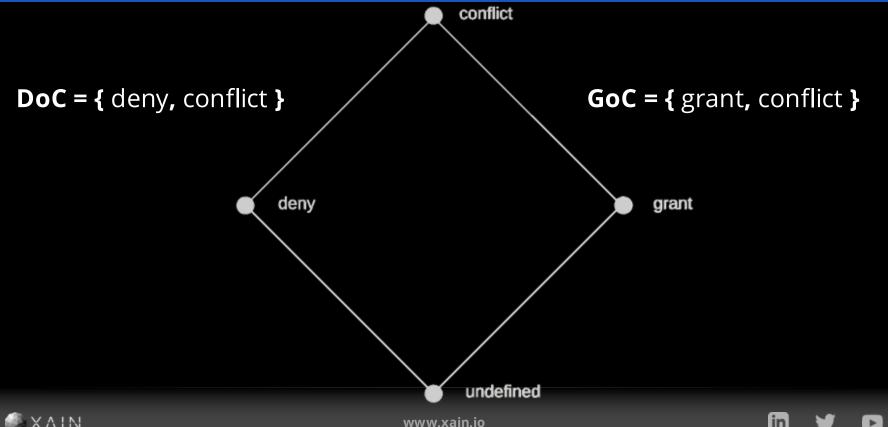
```
... of policies
case {
                                                 P.Q
  [(P \text{ eval undef}): Q]
   [(Q \text{ eval undef}) : P]
   [(P eval conflict): conflict]
   [(Q \text{ eval conflict}): \text{conflict}]
   [((P \text{ eval deny}) \&\& (Q \text{ eval grant})): \text{conflict}]
   [((P \text{ eval grant}) \&\& (Q \text{ eval deny})): conflict]
  [true: P] \bigcirc Q. If we get here, what do P and Q eval to?
```







## **Compiling Policies into Circuits: GoC, DoC**







#### GoC Compilation, Join Normalform

```
	ext{GoC(grant)} \equiv 	ext{true} \qquad 	ext{GoC(deny)} \equiv 	ext{false} 
	ext{GoC(conflict)} \equiv 	ext{true} \qquad 	ext{GoC(undef)} \equiv 	ext{false} 
	ext{GoC(grant if } cond) \equiv cond \qquad 	ext{GoC(deny if } cond) \equiv 	ext{false} 
	ext{GoC(case } \{ [g_1 \colon p_1] \dots [g_{n-1} \colon p_{n-1}] [	ext{true} \colon p_n] \}) \equiv (	ext{R}(g_1) \&\& 	ext{GoC}(p_1)) \parallel \cdots 
\cdots \parallel (	ext{R}(g_{n-1}) \&\& 	ext{GoC}(p_{n-1})) \parallel (	ext{R}(	ext{true}) \&\& 	ext{GoC}(p_n))
```

 $pol \equiv (\text{grant if } GoC(pol)) \text{ join } (\text{deny if } DoC(pol))$ 







## Reachability of Guards as Circuits

```
R(g_1) \equiv T(g_1)
  R(g_i) \equiv \neg T(g_1) \&\& \dots \&\& \neg T(g_{i-1}) \&\& T(g_i), \quad 1 < i < n
R(true) \equiv \neg T(g_1) \&\& \dots \&\& \neg T(g_{n-1})
                                      T(true) \equiv true
                                T(g_1 \&\& g_2) \equiv T(g_1) \&\& T(g_2)
                              GoC(pol) && DoC(pol)
                                                                if dec equals conflict
                            \neg \mathsf{GoC}(pol) \&\& \mathsf{DoC}(pol)
                                                                if dec equals deny
  \mathsf{T}(pol\ \mathsf{eval}\ dec) \equiv
                             GoC(pol) && \neg DoC(pol)
                                                                if dec equals grant
                            \neg GoC(pol) && \neg DoC(pol)
                                                                if dec equals undef
```







# **Verification: Satisfiability Modulo Theories**

Example theory:  $\forall agents: 0 \leq agent.reputation \leq 1$ 

Satisfiability modulo theories: formula *and* theory are true

$$\phi \wedge \bigwedge_{\psi \in \mathcal{T}} \psi$$







## Example: does circuit c represent policy p?

- 1. Generate GoC(p) and DoC(p)
- 2. !GoC(p) && !DoC(p) unsatisfiable? Then p has no gaps.
- 3. GoC(p) && DoC(p) unsatisfiable? Then p has no conflicts.
- 4. GoC(p) and c logically equivalent?

Accept circuit c only if all checks 2.-4. are positive.









# **Example: Change Management**

Want assurance that updated policy *pol'* not more **permissive** than original *pol* 

e.g. pol' doesn't grant whenever pol denied or had a gap.

Can assure this by showing unsatisfiability of T(pol' eval grant) && (T(pol eval undef) || T(pol eval deny))







## **FROST Policy Evaluation**

- policy evaluation cannot get stuck if all attributes evaluate to meaningful values
  - but FROST can also securely deal with incomplete attribute information (Kleene's 3-valued logic, etc.)

• **Q**. are all evaluation paths of a policy **reachable**?







## **Dead-Code Analysis: remove unreachable paths**

Assume: P can only eval to grant or undef

Q cannot eval to undef

 $\Rightarrow$  *P join Q* simplifies to...?

```
 \begin{aligned} & \text{case } \{ \\ & [(P \text{ eval undef}) \colon Q] \\ & [(Q \text{ eval undef}) \colon P] \\ & [(P \text{ eval conflict}) \colon \text{conflict}] \\ & [(Q \text{ eval conflict}) \colon \text{conflict}] \\ & [((P \text{ eval deny}) \&\& (Q \text{ eval grant})) \colon \text{conflict}] \\ & [((P \text{ eval grant}) \&\& (Q \text{ eval deny})) \colon \text{conflict}] \\ & [\text{true} \colon P] \\ \end{aligned} \}
```







## **Dead-Code Analysis: remove unreachable paths**

```
P can only eval to grant or undef
Assume:
                  Q cannot eval to undef
                                                                                 case {
                                                                                    [(P \text{ eval undef}): Q]
                  \Rightarrow P join Q simplifies to...?
                                                                                    [(Q \text{ eval undef}): P]
                                                                                    [(P eval conflict): conflict]
                                                                                    [(Q \text{ eval conflict}): \text{conflict}]
                   case {
                                                                                    [((P \text{ eval deny}) \&\& (Q \text{ eval grant})): \text{conflict}]
                                                                                    [((P \text{ eval grant}) \&\& (Q \text{ eval deny})): conflict]
                      [(P \text{ eval undef}): Q]
                                                                                    [true: P]
                      [(Q \text{ eval conflict}): conflict]
                       [((P \text{ eval grant}) \&\& (Q \text{ eval deny})): conflict]
                       [\mathsf{true} \colon P]
```









# Dead-Code Removal: Algorithm for Case-Policy

```
// R(g_i) is "satisfiable" for all i \in \{1, \ldots, n-1\} \setminus REM
REM = \emptyset;
                                                           if (SAT_T(R(true))) returns "unsatisfiable") {
for (i = 1 \text{ to } n - 1) {
                                                              remove case true: p_n;
  if (SAT_{\mathcal{T}}(R(g_i))) returns "unsatisfiable") {
                                                              m = \max(\{1, \ldots, n-1\} \setminus REM);
     remove case g_i: p_i from case-policy;
                                                              if (|\{1, \ldots, n-1\} \setminus REM| == 1) \{
     recompute R(g_i) for all i < j \le n;
                                                                return p_m;
                                                              } else {
     REM = REM \cup \{i\};
                                                                g_m = \text{true};
                                                                return computed case-policy;
  if (REM = \{1, ..., n-1\}) {
     return p_n;
```







## Two Types of Obligations in Access Control

- 1. Obligations on system, e.g. log granted/performed access
- 2. Obligations on actors, e.g. to make a payment for access

#### Enforcing fulfillment of obligations:

- easier for 1.
- may benefit from escrow service for 2.







# **Obligations in FROST**

Grants and denials may incur obligations:

 $rule := grant \{obl^*\} if cond \mid deny \{obl^*\} if cond$ 

Idea: only collect obligations that are consistent with computed policy decision





# Flexible Delegation: FROST Design Principles

- Delegator can override delegatee's decision
- Delegatees can write & administer policies
- Resource owner can set delegation depth
- Delegation chain has composition idioms
- Delegation composition must be verifiable
- Any request maps to unique delegation chain









## **Delegation: Example Composition Idiom**

Conservative delegation chain:

Definition of P >> Q

```
p_0\gg (p_1\gg (\cdots\gg p_n)\dots)
  case {
     [P \text{ eval conflict: deny}]
     [P 	ext{ eval undef}: Q]
     [\mathsf{true} \colon P]
```







# Initialization of Delegation Chain: Message

$$m_0 = par + pk_0 + p_0 + pk_1$$
  $s_0 = \operatorname{sign}(sk_0, H(m_0))$ 

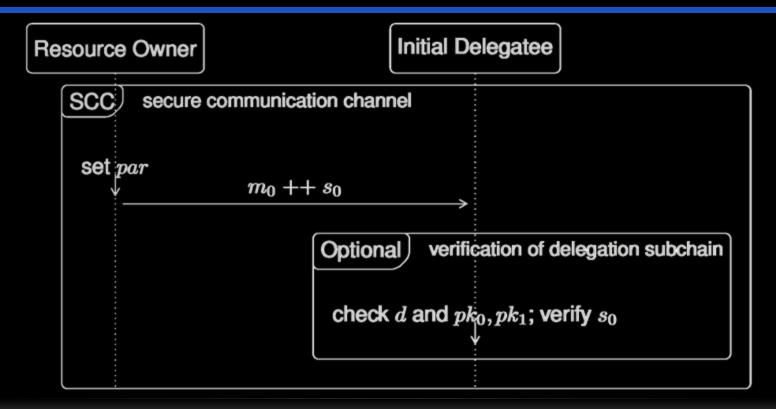
- par: system parameters, e.g. delegation depth d
- $pk_0$ : public key of resource owner
- $pk_1$ : public key of first delegatee
- $sk_0$ : secret key corresponding to  $pk_0$







### Initialization of Delegation Chain: Protocol









### **Extending Delegation Chain: Message**

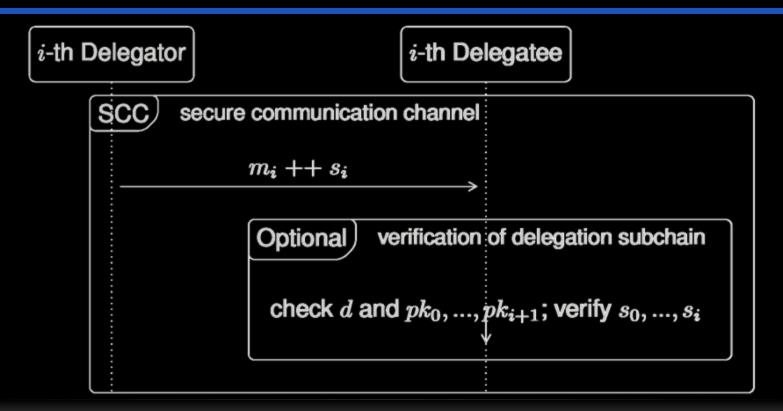
•  $m_i$  contains all policies, signatures from previous messages on chain  $\Rightarrow$  agent i can verify validity of the chain she sees

$$m_i = par + pk_0 + p_0 + pk_1 + s_0 + \cdots$$
 $\cdots + pk_{i-1} + p_{i-1} + pk_i + s_{i-1} + pk_i + p_i + pk_{i+1}$ 
 $s_i = sign(sk_i, H(m_i))$ 





#### **Extending Delegation Chain: Protocol**







# **Completing Delegation Chain: Message**

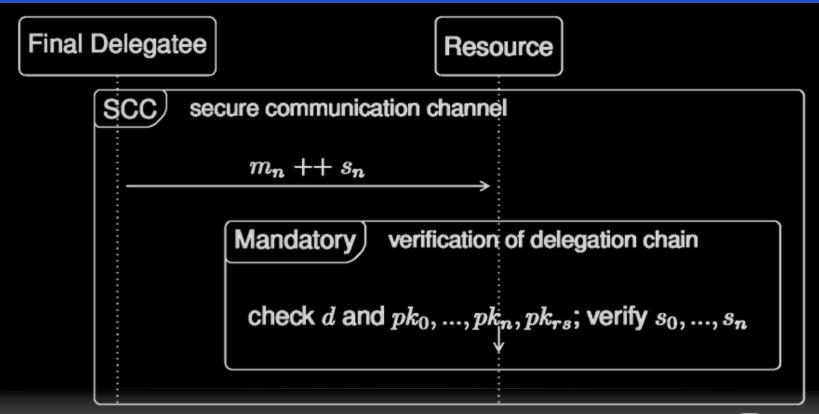
similar to m<sub>i</sub> but last agent n sends message to resource
 rs:

$$m_n = par + pk_0 + p_0 + pk_1 + s_0 + \cdots$$
 $\cdots + pk_{n-1} + p_{n-1} + pk_n + s_{n-1} + pk_n + pk_n + pk_n + pk_r$ 
 $s_n = sign(sk_n, H(m_n))$ 





## **Completing Delegation Chain: Protocol**









# More on Delegation: See FROST Yellow Paper

- data structure for *policy delegation trees*
- policy composition on delegation chains
- change management on delegation chains
- policy privacy on delegation chains
- delegation and cryptographic access tokens





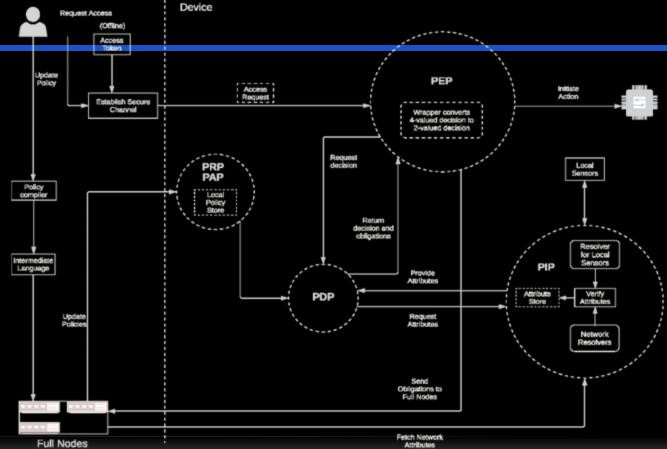




#### **Architecture**

Access Request / Policy Update

FROST Technology is not wedded to particular networks or data backends







### Mitigating Potential Attack Vectors

- Choice of Cryptographic Primitives
- Risk-Aware Access Control
- Adversarial Withholding of Attribute Info
- Trusted Policy Lifecycle
- Policy Malware
- Exploiting Gaps Between Abstraction Layers

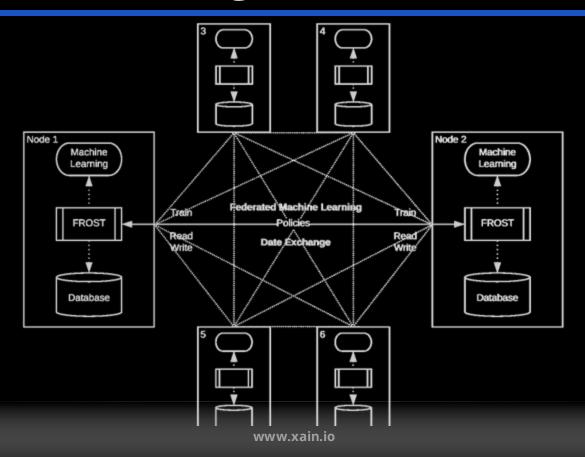








# A Use Case: Training Access for Federated ML











#### "Access" to FROST Yellow Paper

Please get in touch with our Director of Marketing, Jesse Steele, at <a href="mailto:jesse.steele@xain.io">jesse.steele@xain.io</a>, if you want a copy of that Yellow Paper prior to its public release.

Thank you for your kind attention!



Yellow Paper: Version 0.9









## **Our History**





Scientific start at Oxford University in 2014 and incorporation in Berlin 2017.





#### **Jun 2017**

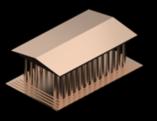
Integration in manufacturing process for fine-grained policy delegations.





#### **Jan 2018**

Successful integration of first embedded Blockchain in a vehicle with Porsche.





#### May 2018

Founding of the XAIN Foundation to open-source the codebase for members.









#### **Our Clients**





AKTIENGESELLSCHAFT











#### Ideate. Co-create. Innovate.



**40%** of value capture depends on interoperability of systems

McKinsey Global Institute









