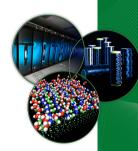
### Update on DMRG Implementation and Use

#### Includes Dynamics at Finite Temperature

October 12, 2019

G. Alvarez

With FWP Collaborators.





#### Table of Contents

- DMRG Beyond Ground State
  - Motivation
  - Finite Temperature
  - Real Frequency
  - Frequency and Temperature Together
- Past and Future Work
  - FY18 and FY19
  - Next Two Years
  - Obstacles and Workarounds
- Summary

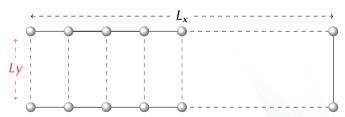


## Why the Density Matrix Renormalization Group?

Because it is an accurate method for 1D and quasi-1D. The DMRG is a method to obtain ground state and *beyond-ground-state* properties of quantum Hamiltonians.

The DMRG is systematically exact, and its error is controlled by the parameter m, the number of kept states. To achieve constant error, on a  $L_X \times L_Y$  ladder, ...

...the number of kept states m must increase linearly in  $L_x$ , and exponentially in  $L_y$ 



1 White, 1992, White and Noack, 1992

### Why DMRG beyond ground state?

In the FWP, we proposed to go beyond ground state with the DMRG, in order to calculate static observables at finite temperature, real frequency observables at zero temperature, and ...

...real frequency observables at finite temperature,...

..., which is arguably a frontier in DMRG. This overview today thus focuses on beyond ground state properties.

### Finite Temperature: Duplicate Sites

$$\langle \hat{O} \rangle = \frac{\text{Tr}(e^{-\beta H} \hat{O})}{\text{Tr}(e^{-\beta H})} \quad \beta = 1/T$$
 (1)



rsion 1.0 G. Alvarez

### Finite Temperature: Duplicate Sites

$$\langle \hat{O} \rangle = \frac{\text{Tr}(e^{-\beta H} \hat{O})}{\text{Tr}(e^{-\beta H})} \quad \beta = 1/T$$
 (1)

Replace the trace Tr by a single state  $|\phi\rangle \equiv |\psi(T=\infty)\rangle$ 

$$\langle \hat{O} \rangle = \frac{\langle \phi | e^{-\beta H/2} \hat{O} e^{-\beta H/2} | \phi \rangle}{Z}$$
 where  $Z = \text{Tr}(e^{-\beta H})$  (2)

Version 1.0

### Finite Temperature: Duplicate Sites

$$\langle \hat{O} \rangle = \frac{\text{Tr}(e^{-\beta H} \hat{O})}{\text{Tr}(e^{-\beta H})} \quad \beta = 1/T$$
 (1)

Replace the trace Tr by a single state  $|\phi\rangle \equiv |\psi(T=\infty)\rangle$ 

$$\langle \hat{O} \rangle = \frac{\langle \phi | e^{-\beta H/2} \hat{O} e^{-\beta H/2} | \phi \rangle}{Z}$$
 where  $Z = \text{Tr}(e^{-\beta H})$  (2)

Eq. (2) is *exact* but in larger space



- Physical site or orbital
- Ancilla site or orbital

#### The infinite temperature state on two composite sites is

The infinite temperature state on two composite sites is

$$|\psi_{2 \text{ spins}}(\infty)\rangle = \frac{1}{2}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \qquad (3)$$

The first entry of the ket refers to the state of the physical site and the second entry in red to its ancilla.



rsion 1.0 G. Alvarez

The infinite temperature state on two composite sites is

$$|\psi_{2 \text{ spins}}(\infty)\rangle = \frac{1}{2}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \otimes (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \qquad (3)$$

The first entry of the ket refers to the state of the physical site and the second entry in red to its ancilla.

$$|\psi(T)\rangle = e^{-\beta H/2} |\psi(\infty)\rangle \quad \boxed{\beta/2 \longleftrightarrow \sqrt{-1} t}.$$
 (4)

$$\langle O \rangle = \langle \psi(T) | O | \psi(T) \rangle / \langle \psi(T) | \psi(T) \rangle, \tag{5}$$

Verstraete et al., 2004, Zwolak and Vidal, 2004, Feiguin and White, 2005, Takahashi and Umezawa, 1975, Nocera and Alvarez, 2016b

### Statics at Finite Temperature: Canonical Space

Consider the Heisenberg model without a magnetic field. The canonical state should then have  $S_{tot}^z|\phi\rangle=0$ ,  $S_{ph.}^z|\phi\rangle=0$ ,  $S_{an.}^z|\phi\rangle=0$ ; it conserves the spin of the physical and ancilla chains separately, leading to

$$|\phi_{2 \text{ spins}}(\infty)\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle \otimes |\downarrow\uparrow\rangle + |\downarrow\uparrow\rangle \otimes |\uparrow\downarrow\rangle). \tag{6}$$

This state is exponentially large.

So, how do we represent it in DMRG?

### Statics at Finite Temperature: Entangler Hamiltonian

How do we represent the infinite temperature  $|\psi(\infty)\rangle$  state in DMRG?

We find a Hamiltonian whose ground state is  $|\psi(\infty)\rangle$ .

For the Heisenberg model, this entangler Hamiltonian is

$$H_{\rm C}^{spin} = -\sum_{i \neq j} \Gamma_i^{\dagger} \Gamma_j + \text{h. c.}, \text{ where } \Gamma_i^{\dagger} = S_i^{+} S_{a(i)}^{-}.$$
 (7)

Connects all points Acts on physical and ancilla sites

$$|\psi(\infty)\rangle_C = \frac{1}{\sqrt{\mathcal{N}'}} P_{(S_{\mathrm{ph.}}^z=0)} \left[ \prod_{i=0}^{L-1} \sum_{\sigma=\uparrow,\downarrow} |\sigma\bar{\sigma}\rangle \right], \\ \text{where } \mathcal{N}' \text{ is a normalization constant, } P_{(S_{\mathrm{ph.}}^z=0)} \text{ is the projector operator such that the z-component of the } total \text{ spin of the physical (ancilla) chain is conserved and equal to zero: } S_{\mathrm{ph.}}^z|\psi(\infty)\rangle_C = S_{\mathrm{an.}}^z|\psi(\infty)\rangle_C = 0.$$

### Statics at Finite Temperature: Two Steps

Step 1 (Fast): Find the ground state  $|\psi(\infty)\rangle_C$  of Entangler Hamiltonian. This is the infinite temperature state of the composite physical and ancilla Hamiltonian.

Step 2 (Slow and Serial): Reach finite temperatures  $\beta > 0$  by time evolving with  $\hat{H} = \hat{H}_{ph.} \otimes \mathbf{1}_{an.}$  acting on physical Hilbert space only:  $|\psi(T)\rangle = e^{-\beta H/2}|\psi(\infty)\rangle$ . Observables are computed with  $\langle O \rangle = \langle \psi(T)|O|\psi(T)\rangle/\langle \psi(T)|\psi(T)\rangle$ ,

Remark I: There is one state at each finite temperature *T*. Remark II: Excited states are not directly computed.

## Methods for Dynamics at Zero Temperature with the DMRG<sup>2</sup>

- Correction vector ☐ Kühner and White, 1999 ☐ Pati et al., 1999
   ☐ Kühner et al., 2000. Scalable. Discussed in the next slides.

Please read our work.

Nocera and Alvarez, 2016a

<sup>&#</sup>x27;First method that is now superseded: continued fraction approach Hallberg, 1995. Other methods include Jeckelmann, 2002, Dargel et al., 2011, Dargel et al., 2012.

#### Dynamics at Zero Temperature

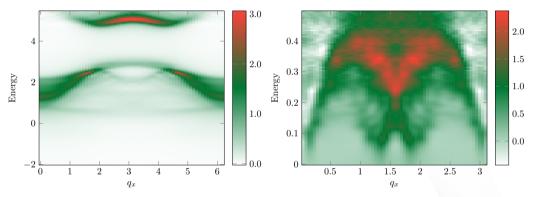
We are interested in the calculation of the Green's function

$$G(\omega) = -\frac{1}{\pi} \langle \psi_0 | \hat{B} \frac{1}{\omega + i\eta + E_0 - \hat{H}} \hat{A} | \psi_0 \rangle,$$

 $|\psi_0\rangle$  is the ground state of some Hamiltonian  $\hat{H}$  with ground-state energy  $E_0$ ,  $\hat{A}$  and  $\hat{B}$  are operators associated with the dynamical correlation function to be calculated (ex.  $S^+$ ,  $S^z$ ,  $S^-$ ,  $c^\dagger$ , c,), where  $\omega$  is the real frequency and  $\eta$  is a positive constant (giving broadening of the peaks).

rsion 1.0

# $A(k,\omega)$ and $S(k,\omega)$ at Zero Temperature



 $A(q_x, q_y = 0, \omega)$  (left) and  $S(q_x, q_y = 0, \omega)$  (right) for a  $64 \times 2$  extended Hubbard ladder with  $t_x = -0.42, t_y = -0.34, U = 3.72, V_{\text{offsite}} = 0.615387651996596$ , and 4 + 4 holes.

OAK RIDGE CENTER FOR NANOPHASE MATERIALS SCIENCES

#### Correction Vector DMRG

We calculate G(z) using  $G(\omega) = -\frac{1}{\pi} \langle \psi_0 | \hat{B} | \mathbf{x}(\omega, \eta) \rangle$ , where the *correction-vector* is defined by

$$|\mathbf{x}(\omega, \eta)\rangle = \frac{1}{\omega + i\eta + E_0 - \hat{H}} |A\rangle, \text{ where } |A\rangle \equiv \hat{A} |\psi_0\rangle.$$

Assuming  $|x(\omega, \eta)\rangle$  is *known*, how to compute  $G(\omega)$  with DMRG? Answer: Use multi-target approach. At each step of the DMRG algorithm, target the ground state of the system  $|\psi_0\rangle$ , the vector  $|A\rangle$  and the  $|x(\omega, \eta)\rangle$  in the reduced density matrix, for each frequency value  $\omega$  and broadening  $\eta$ .

rsion 1.0

#### Correction Vector DMRG

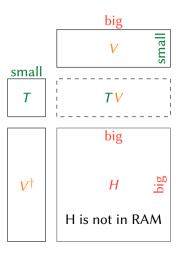
How do we compute the correction-vector? Most used method is Conjugate Gradient. Kühner and White, 1999 But we use the Krylov-space method proposed by us Nocera and Alvarez, 2016a. The correction-vector  $|x(\omega,\eta)\rangle$  is calculated *directly* as

$$|\mathbf{x}(\omega,\eta)\rangle = V^{\dagger}S^{\dagger}\frac{1}{E_0 + \omega - D + i\eta}SV|A\rangle,$$

where D is the diagonal form of the Hamiltonian operator  $\hat{H}$ , we assume  $\hat{H}|A\rangle \simeq V^\dagger T V |A\rangle = V^\dagger S^\dagger D S V |A\rangle$ , V is the matrix of the Lanczos vectors spanning the Krylov space, and T is the representation of the Hamiltonian in tridiagonal form.

sion 1.0 G. Alvarez

#### Tridiagonal Decomposition of H



 $H = V^{\dagger}TV$ , where V are the Lanczos vectors, with small = hundreds, and big = millions.

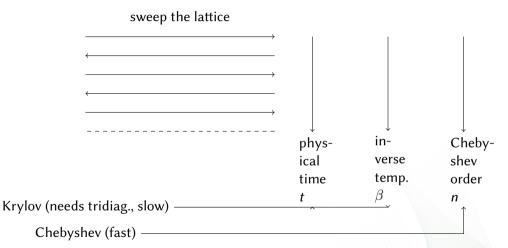
$$\exp(\alpha H) \to V^{\dagger} \exp(\alpha T) V$$

only for  $\alpha \ll 1 \rightarrow$  needs evolution  $\exp(\alpha \mathbf{H}) \cdots \exp(\alpha \mathbf{H}) = \exp(n\alpha \mathbf{H})$ 

$$\frac{1}{\omega + i\eta + H} \to V^{\dagger} \frac{1}{\omega + i\eta + T} V$$

(correction vector does *not* need evolution)

### **Evolving in DMRG**





# Evolving or Not. Tridiagonalizing or Not

Method	Tridiagonalize	Evolves in	Scales Trivially
Correction Vector	Yes	No	Yes <sup>3</sup>
<b>Krylov Evolution</b>	Yes	t or $eta$	No
Chebyshev Evolution	No	n	No

<sup>3</sup>One frequency  $\omega$  per node.

### Dynamics at Finite Temperature

Definition. The finite temperature correlation between observables B and C, with at least one of them bosonic, at time  $t \in \mathbb{R}$  and inverse temperature  $\beta \geq 0$  is defined as

$$I(\beta, t) \equiv \text{Tr}(e^{-\beta H}e^{-iHt}Be^{iHt}C)/Z.$$
 (8)

Definition. The Liouvillian or Liouville operator  $\mathcal{L}: \mathcal{H} \otimes \mathcal{H} \to \mathcal{H} \otimes \mathcal{H}$  associated with the Hamiltonian  $\hat{H}$  is  $\mathcal{L} \equiv \hat{H} \otimes 1 - 1 \otimes \hat{H}$ .

Proposition ☐Tiegel et al., 2014, Dalton, 1982, Barnett and Dalton, 1987, Takahashi and Umezawa, 1975

$$I(\beta,\omega) = \langle \psi(\beta) | [B \otimes 1] \frac{1}{\omega + i\eta + \mathcal{L} - E_0} [C \otimes 1] | \psi(\beta) \rangle / Z$$
 (9)

### Dynamics at Finite Temperature in Three Steps

Step 1 (Fast): Find the ground state  $|\psi(\infty)\rangle_C$  of Entangler Hamiltonian. This is the infinite temperature state of the composite physical and ancilla Hamiltonian.

Step 2 (Slow and Serial): Reach finite temperatures  $\beta>0$  by time evolving with  $\hat{H}=\hat{H}_{ph.}\otimes\mathbf{1}_{an.}$  acting on physical Hilbert space only:  $|\psi(T)\rangle=e^{-\beta H/2}|\psi(\infty)\rangle$ .

Step 3 (Fast): Do a correction vector given by

$$|cv(\omega,\eta)\rangle = \frac{1}{\omega + i\eta + \mathcal{L} - E_0} [C \otimes 1] |\psi(\beta)\rangle$$
 (10)

paper81 is a computational and experimental study of telephone compounds, where we simulated the  $S(k,\omega)$  of doped and undoped ladders with the DMRG, and compared with experimental results by Alan Tennant. Use of GPUS achieved over approximately 30%. Runs and restart done by Wael E. This work exemplifies a collaboration between ORNL SNS and ORNL HPC.

dmrgppPluginSc is the GPU support for DMRG++, done by Ed D'Azevedo in collaboration with Wael E.. More details in their talk. Crucial work to support GPUs!



rsion 1.0 G

paper84 Targeting Multiple States in the DMRG with The Singular Value Decomposition (by Ed, Wael, Nirav Patel and I) described how to use the SVD when multitargeting, which is nowhere to be found in the literature. But paper was rejected.



ersion 1.0 G. Alvarez

paper84 Targeting Multiple States in the DMRG with The Singular Value Decomposition (by Ed, Wael, Nirav Patel and I) described how to use the SVD when multitargeting, which is nowhere to be found in the literature. But paper was rejected.

Krylov-time evolution has been implemented in DMRG++, for real and imaginary time, as well as for RIXS (Steve J.).



ersion 1.0 G. Alvarez

paper84 Targeting Multiple States in the DMRG with The Singular Value Decomposition (by Ed, Wael, Nirav Patel and I) described how to use the SVD when multitargeting, which is nowhere to be found in the literature. But paper was rejected.

Krylov-time evolution has been implemented in DMRG++, for real and imaginary time, as well as for RIXS (Steve J.).

Chebyshev expansion has been implemented in DMRG++ by Alberto N.. This method is fast but needs careful consideration of the spectrum bounds, due to the Cheybshev functions having support in [-1,1], making the method somewhat brittle, and dependent on model and observable.

Version 1.0

GPU work moves beyond MAGMA library... Difficult area and we need to write guidelines for GPU use.



ersion 1.0 G. Alvarez

GPU work moves beyond MAGMA library... Difficult area and we need to write guidelines for GPU use.

DMRG++ becomes capable of obtaining real frequency observables at finite temperature. Already coded, but needs testing.



ersion 1.0 G. Alvarez

GPU work moves beyond MAGMA library... Difficult area and we need to write guidelines for GPU use.

DMRG++ becomes capable of obtaining real frequency observables at finite temperature. Already coded, but needs testing.

Honeycomb lattice study of dynamical observables becomes possible, enabling simulation of Kitaev-like models; collaboration with Satoshi O. and Pontus L.



rsion 1.0 G. A

GPU work moves beyond MAGMA library... Difficult area and we need to write guidelines for GPU use.

DMRG++ becomes capable of obtaining real frequency observables at finite temperature. Already coded, but needs testing.

Honeycomb lattice study of dynamical observables becomes possible, enabling simulation of Kitaev-like models; collaboration with Satoshi O. and Pontus L.

Diagonalization "impurity" solvers based on DMRG help Quantum Monte Carlo in the simulation of topological materials; collaboration with Thomas M.



Obstacle: NVIDIA is BAD for free and open source work.

...Torvalds laid into Nvidia, calling it 'the single worst company' the Linux developer community has ever dealt with, ...4

<sup>4[&</sup>quot;Linus Torvalds Gives Nvidia the Finger. Literally" https://www.wired.com/2012/06/torvalds-nvidia-linux/]

Obstacle: NVIDIA is BAD for free and open source work.

...Torvalds laid into Nvidia, calling it 'the single worst company' the Linux developer community has ever dealt with, ...4

Workaround: AMD GPUs have free and open source drivers and should offer a much better option than NVIDIA.

<sup>4[ &</sup>quot;Linus Torvalds Gives Nvidia the Finger. Literally" https://www.wired.com/2012/06/torvalds-nvidia-linux/]

Obstacle: NVIDIA is BAD for free and open source work.

...Torvalds laid into Nvidia, calling it 'the single worst company' the Linux developer community has ever dealt with, ...4

Workaround: AMD GPUs have free and open source drivers and should offer a much better option than NVIDIA.

Obstacle: GPUs in general aren't general purpose computers; its use is more limited than that of CPUs.

<sup>[&</sup>quot;Linus Torvalds Gives Nvidia the Finger. Literally" https://www.wired.com/2012/06/torvalds-nvidia-linux

Obstacle: NVIDIA is BAD for free and open source work.

...Torvalds laid into Nvidia, calling it 'the single worst company' the Linux developer community has ever dealt with, ...4

Workaround: AMD GPUs have free and open source drivers and should offer a much better option than NVIDIA.

Obstacle: GPUs in general aren't general purpose computers; its use is more limited than that of CPUs.

Workaround: None. We need GPU programming guidelines

<sup>4[ &</sup>quot;Linus Torvalds Gives Nvidia the Finger. Literally" https://www.wired.com/2012/06/torvalds-nvidia-linux/]

## Computer Programming

Obstacle: Computer programming is not often taken seriously: people want to get things done quickly. But as corners are cut, "technical debt" accumulates and quality suffers.

DMRG++ and related software is at https://github.com/g1257/ and at https://code.ornl.gov/gonzalo\_3



# Computer Programming

Obstacle: Computer programming is not often taken seriously: people want to get things done quickly. But as corners are cut, "technical debt" accumulates and quality suffers.

Workaround: "Traditional programmers" should be hired to improve quality, and achieve better results long term. Collaboration with Jay Billings.

DMRG++ and related software is at https://github.com/g1257/ and at https://code.ornl.gov/gonzalo\_3



## **Computer Programming**

Obstacle: Computer programming is not often taken seriously: people want to get things done quickly. But as corners are cut, "technical debt" accumulates and quality suffers.

Workaround: "Traditional programmers" should be hired to improve quality, and achieve better results long term. Collaboration with Jay Billings.

Workaround: Tooling: GDB, valgrind, git. Also need CI and CD, including in-house tools.

DMRG++ and related software is at https://github.com/g1257/ and at https://code.ornl.gov/gonzalo\_3



### Summary as of October 14, 2019

- Summit has been less helpful that we thought.5
- Traditional Programmers might be helpful.
- **1** We'll do real  $\omega$  at finite T, which is a DMRG frontier.

Please download this talk

https://g1257.github.io/talks/

DMRG++ and related software is at https://github.com/g1257/ and at https://code.ornl.gov/gonzalo\_3

 $^5$ For example, we failed to reach ground state for  $12 \times 12$ .



#### **Credits**

Thanks to the Scientific Discovery through Advanced Computing (SciDAC) program funded by U.S. Department of Energy, Office of Science, Advanced Scientific Computing Research and Basic Energy Sciences, Division of Materials Sciences and Engineering.

Produced with LaTeX and the Beamer package with a custom theme.

Tikz was used for some figures.

#### References

- Barnett, S. M. and Dalton, B. J. (1987).
  Liouville space description of thermofields and their generalisations. *Journal of Physics A: Mathematical and General*, 20(2):411.
- Dalton, B. J. (1982).
  Liouville space theory of sequential quantum processes. i. general theory.

  Journal of Physics A: Mathematical and General, 15(7):2157.
- Dargel, P., Honecker, A., Peters, R., Noack, R. M., and Pruschke, T. (2011).

  Adaptive lanczos-vector method for dynamic properties within the density-matrix renormalization group.
  - Phys. Rev. B, 83:161104(R).
- Dargel, P. E., Wöllert, A., Honecker, A., McCulloch, I. P., Schollwöck, U., and Pruschke, T. (2012).

Lanczos algorithm with matrix product states for dynamical correlation functions. *Phys. Rev. B*, 85:205119.

Feiguin, A. E. and White, S. R. (2005). Finite-temperature density matrix renormalization using an enlarged hilbert space. *Phys. Rev. B*, 72:220401.

Hallberg, K. (1995).

Density-matrix algorithm for the calculation of dynamical properties of low-dimensional systems.

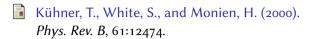
Phys. Rev. B, 52:9827.

Jeckelmann, E. (2002).

Phys. Rev. B, 66:045114.

Kühner, T. and White, S. (1999). *Phys. Rev. B*, 60:335.





Nocera, A. and Alvarez, G. (2016a).

Spectral functions with the density matrix renormalization group: Krylov-space approach for correction vectors.

Phys. Rev. E, 94:053308.

Nocera, A. and Alvarez, G. (2016b).

Symmetry-conserving purification of quantum states within the density matrix renormalization group.

Phys. Rev. B, 93:045137.

- Pati, S., Ramasesha, S., Shuai, Z., and Brédas, J. (1999). *Phys. Rev. B*, 59:14827.
- Takahashi, Y. and Umezawa, H. (1975).

Thermo field dynamics.

Collect. Phenom., 2:55-80.

- Tiegel, A. C., Manmana, S. R., Pruschke, T., and Honecker, A. (2014).

  Matrix product state formulation of frequency-space dynamics at finite temperatures.

  Phys. Rev. B, 90:060406.
- Verstraete, F., García-Ripoll, J. J., and Cirac, J. I. (2004).

  Matrix product density operators: Simulation of finite-temperature and dissipative systems.

  Phys. Rev. Lett., 93:207204.
- White, S. R. (1992).

  Density matrix formulation for quantum renormalization groups.

  Phys. Rev. Lett., 69:2863-2866.
  - White, S. R. and Affleck, I. (2008). Spectral function for the s = 1 heisenberg antiferromagetic chain. *Phys. Rev. B*, 77:134437.



White, S. R. and Noack, R. M. (1992).

Real-space quantum renormalization groups.

Phys. Rev. Lett., 68:3487.



Zwolak, M. and Vidal, G. (2004).

Mixed-state dynamics in one-dimensional quantum lattice systems: A time-dependent superoperator renormalization algorithm.

Phys. Rev. Lett., 93:207205.

