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Master's Thesis

# **END-TO-END REINFORCEMENT LEARNING OF A CNN TO ACHIEVE AN AUTONOMOUS DRIVING AGENT RESILIENT TO LIGHT CHANGES**

Leipzig, 05.12.2023  
Georg Schneeberger

Supervisor:  
Dr. Thomas Burghardt

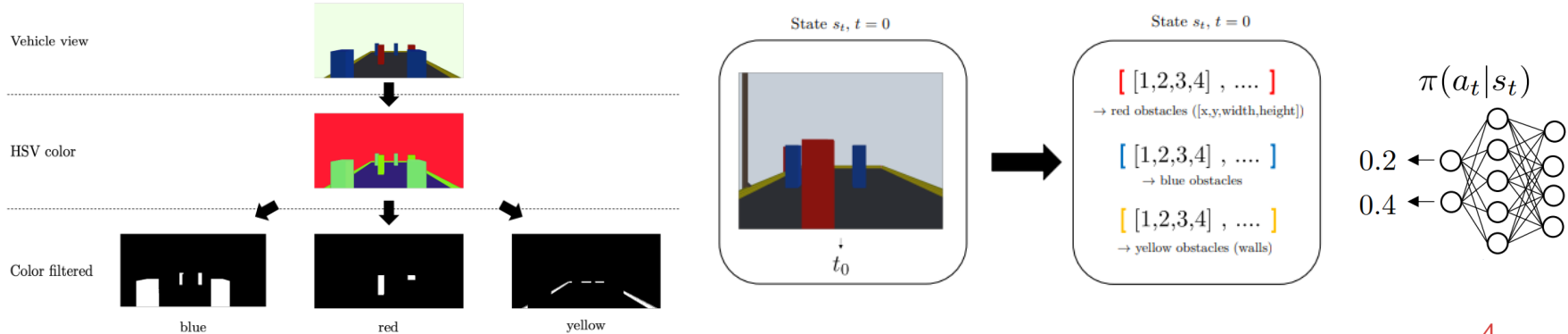
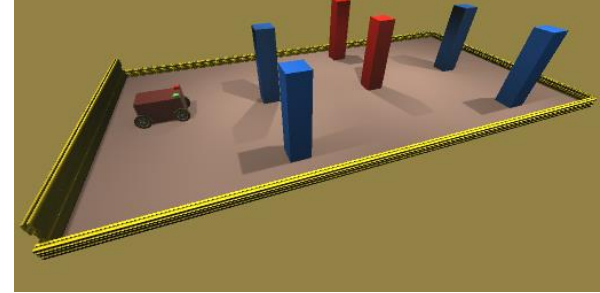
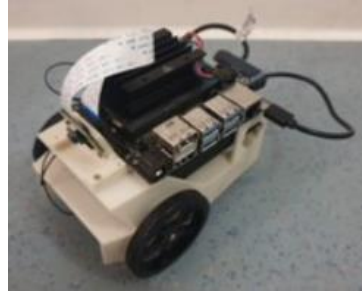
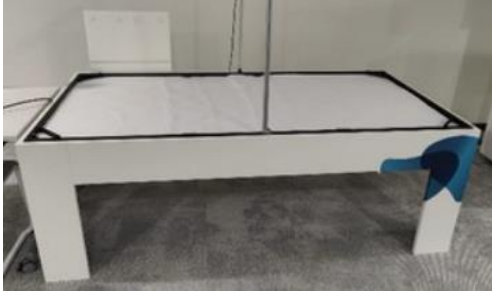
# OUTLINE

- Previous Work at the ScaDS.AI
- Autonomous Driving Task
- Research Questions
- Related Work
- Implementation
- Experimentation
- Evaluation
- Conclusion

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# PREVIOUS WORK AT THE SCADS

# TRAIN AN AGENT TO DRIVE A VEHICLE IN A SIMULATED ENVIRONMENT USING REINFORCEMENT LEARNING



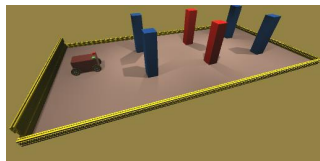
# **TRAIN AN AGENT TO DRIVE A VEHICLE IN A SIMULATED ENVIRONMENT USING REINFORCEMENT LEARNING**

- A hand-crafted preprocessing pipeline extracts features from images.
- Extracted features of the last  $n$  frames are stacked together. (Memory)
- Extracted features are used by a neural network to produce two outputs.
  - Left and right wheel acceleration
- Agent struggled with difficult parcours.
- Agent was not resilient to light changes.

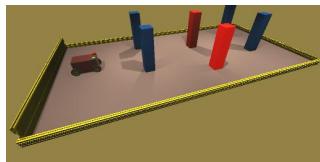
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# **AUTONOMOUS DRIVING TASK**

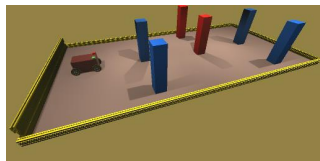
## AUTONOMOUS DRIVING TASK



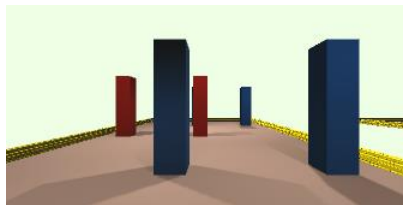
Easy



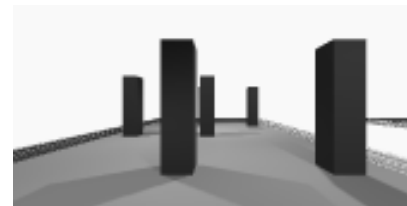
Medium



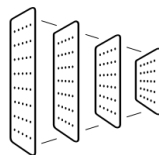
Hard



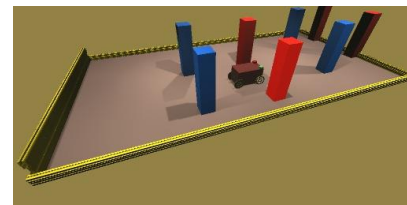
1. Agent Camera PoV



2. Preprocessing



3. CNN produces Wheel Acceleration values



4. Agent moves

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# RESEARCH QUESTIONS

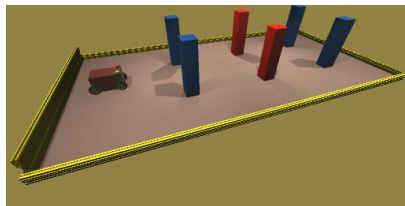


## RESEARCH QUESTION 1

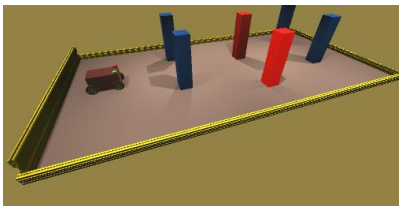
IS IT POSSIBLE TO TRAIN A CONVOLUTIONAL NEURAL NETWORK AGENT WITH END-TO-END REINFORCEMENT LEARNING TO RELIABLY TRAVERSE THE PARCOURS OF ALL DIFFICULTY LEVELS?

Evaluation:

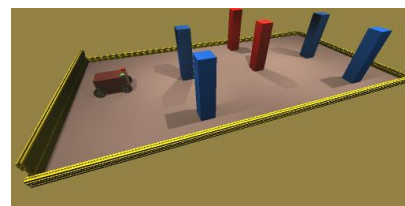
- Pass through the 3 goals without collisions
- Fixed lighting



Easy



Medium



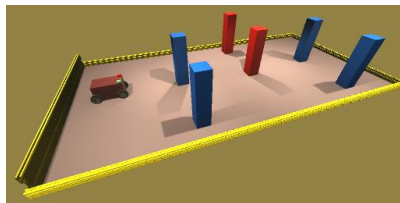
Difficult

## RESEARCH QUESTION 2

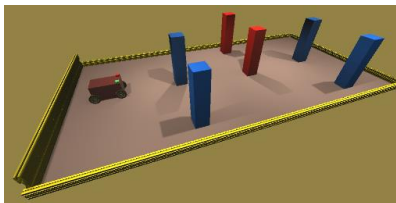
IS IT POSSIBLE TO USE AN END-TO-END TRAINED CNN TO MAKE THE AGENT ROBUST TO CHANGING LIGHT CONDITIONS?

Evaluation:

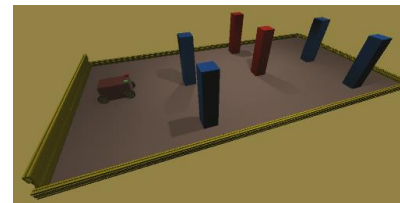
- Pass through the 3 goals without collisions
- Varying lighting



Bright



Standard



Dark

## RESEARCH QUESTION 3

IS IT POSSIBLE TO USE A CNN WHICH IS SMALL ENOUGH TO BE USED IN THE JETBOT?

Evaluation:

- Create a replay of a successfully completed parcours
  - Replay consists of input-output pairs
- Give inputs to JetBot
- Is Output reproduced quick enough by the JetBot?

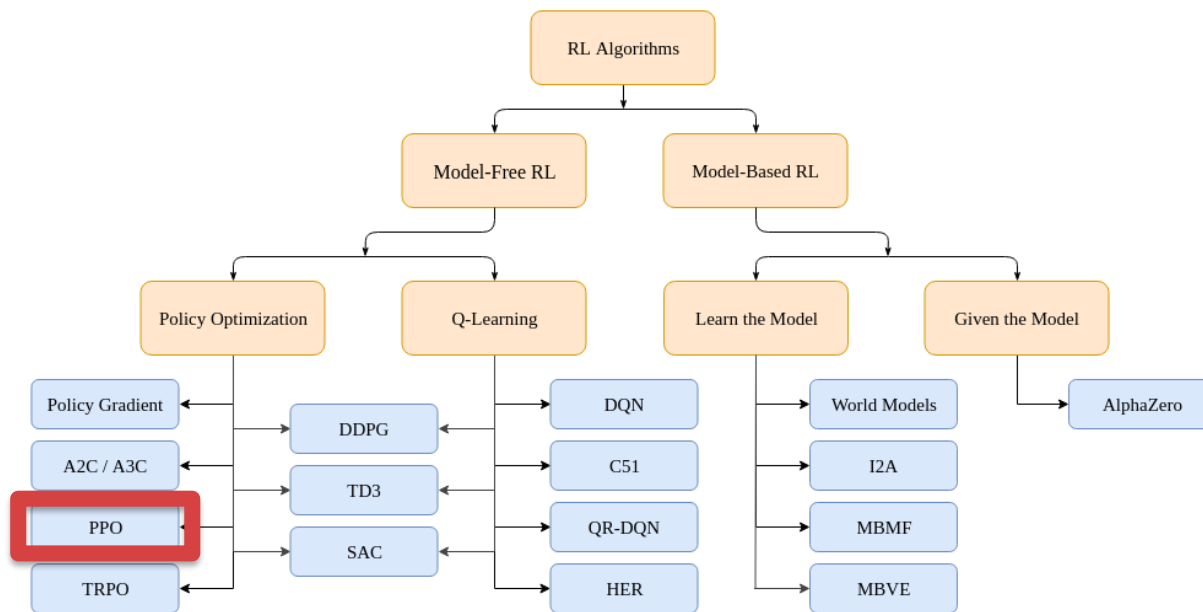


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# RELATED WORK

# RELATED WORK

## RL ALGORITHM CLASSIFICATION



## RELATED WORK

### PLAYING ATARI WITH DEEP REINFORCEMENT LEARNING

- Introduces DQN, an extension of Q-learning
- Plays Atari games using convolutional neural networks
- Utilized greyscaled and stacked images



Figure 1: Screen shots from five Atari 2600 Games: (Left-to-right) Pong, Breakout, Space Invaders, Seaquest, Beam Rider

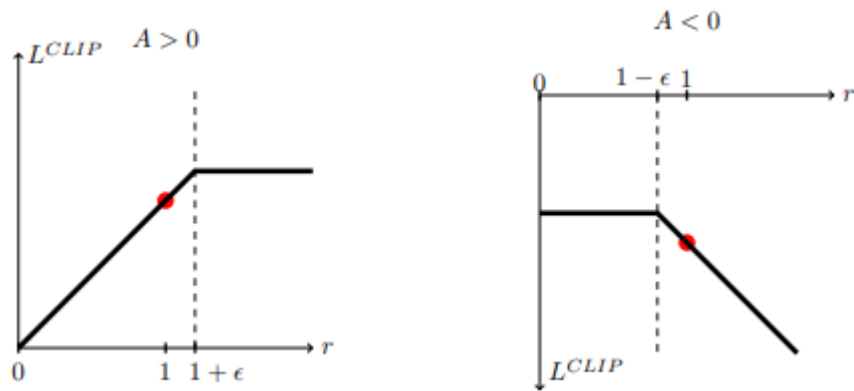
## RELATED WORK

### PROXIMAL POLICY OPTIMIZATION ALGORITHMS

- Introduces PPO, a stable and sample efficient policy based approach
- Great performance on continuous control tasks
- PPO restricts the size of policy updates

$$L^{CPI}(\theta) = \hat{\mathbb{E}}_t \left[ \frac{\pi_{\theta}(a_t | s_t)}{\pi_{\theta_{\text{old}}}(a_t | s_t)} \hat{A}_t \right] = \hat{\mathbb{E}}_t [r_t(\theta) \hat{A}_t]$$

$$L^{CLIP}(\theta) = \hat{\mathbb{E}}_t \left[ \min(r_t(\theta) \hat{A}_t, \text{clip}(r_t(\theta), 1 - \epsilon, 1 + \epsilon) \hat{A}_t) \right]$$



## RELATED WORK

### DEEP REINFORCEMENT LEARNING FOR AUTONOMOUS DRIVING: A SURVEY

- Gives a good overview of RL for autonomous driving
- Describe modern autonomous driving system pipelines
- Describe extensions to RL such as Reward shaping

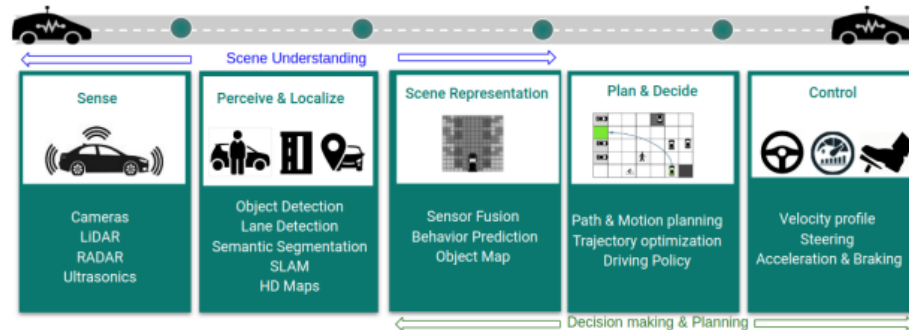


Fig. 1. Standard components in a modern autonomous driving systems pipeline listing the various tasks. The key problems addressed by these modules are Scene Understanding, Decision and Planning.



## RELATED WORK

### END-TO-END DRIVING VIA CONDITIONAL IMITATION LEARNING

- Imitation learning with a convolutional neural network
- Extensive use of Data Augmentation
  - Transformations like changes in contrast, brightness and tone
  - Gaussian blur, Gaussian noise, salt-and-pepper noise



(a) Aerial view of test environment

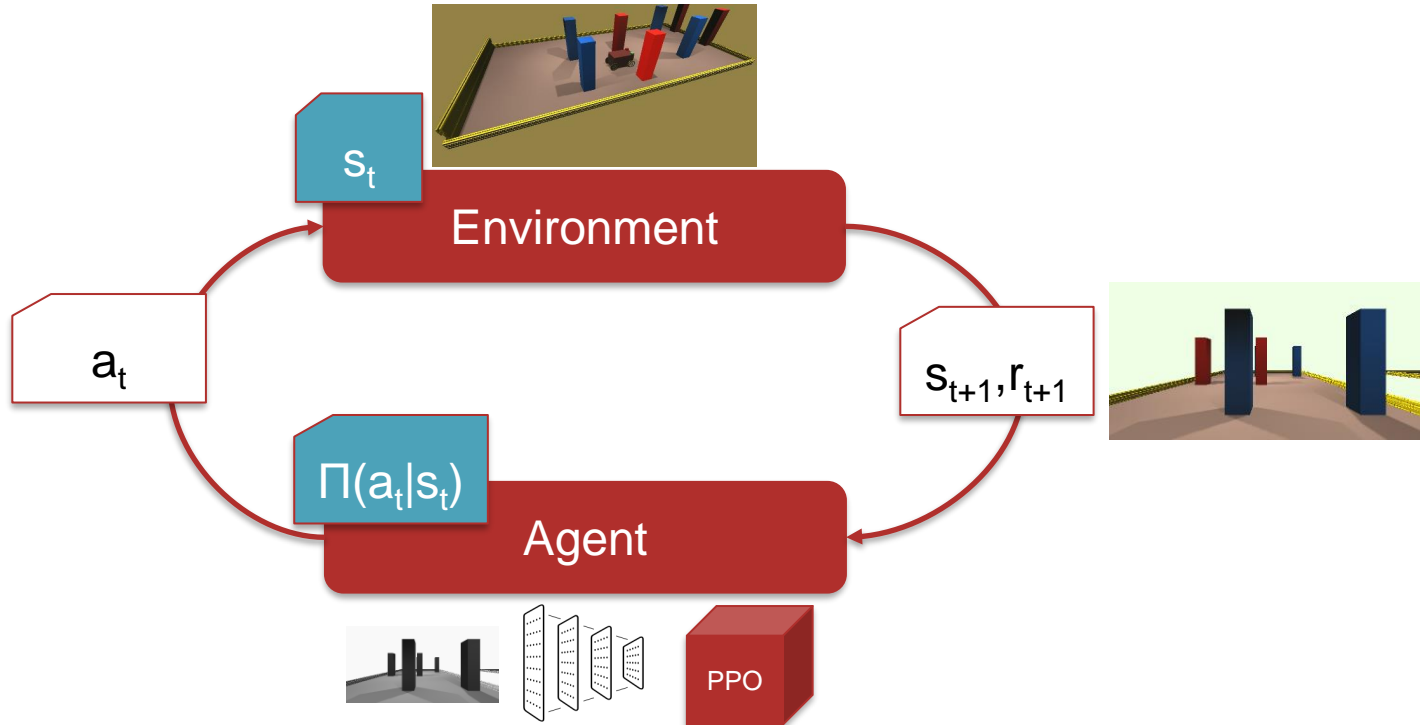
(b) Vision-based driving, view from onboard camera

(c) Side view of vehicle

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# IMPLEMENTATION / METHODS

## RL TRAINING ALGORITHM - PPO



## RL TRAINING REWARD FUNCTION

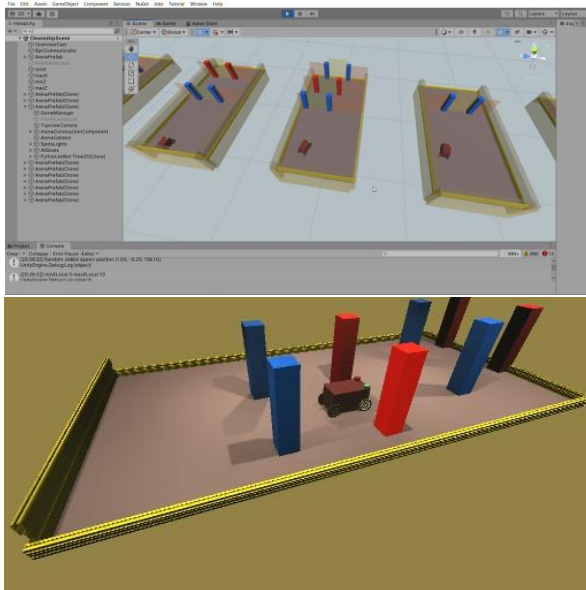
$$\begin{aligned} R(s_t, a_t) &= c_1 \cdot \text{DistanceReward}(s_t, a_t) + c_2 \cdot \text{OrientationReward}(s_t, a_t) \\ &\quad + c_3 \cdot \text{VelocityReward}(s_t, a_t) + c_4 \cdot \text{EventReward}(s_t, a_t) \\ \text{DistanceReward}(s_t, a_t) &= \Delta \text{distance}(\text{Agent}, \text{NextGoalPosition}) \cdot \Delta T \\ \text{OrientationReward}(s_t, a_t) &= S_C(\text{NextGoalPosition} - \text{AgentPosition}, \text{agentDirection}) \cdot \Delta T \\ \text{VelocityReward}(s_t, a_t) &= v \cdot \Delta T \\ \text{EventReward}(s_t, a_t) &= \begin{cases} 100, \text{completed the parcours} \\ 1, \text{passed a goal} \\ -1, \text{missed a goal} \\ -1, \text{collision with wall or obstacle} \\ -1, \text{timeout} \\ 0, \text{otherwise} \end{cases} \end{aligned}$$

Abbildung 4.3.: Complete reward function R with all its components

$S_C$ : cosine similarity     $c_i$ : weights  
 $s_t$ : state t                       $a_t$ : action in state t

# REINFORCEMENT LEARNING TRAINING SETUP

Unity



Python

-Agent Behaviour

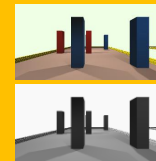


Communication



-Observations

-Rewards



Preprocessing



CNN

## AGENT DESIGN: PREPROCESSING STEPS

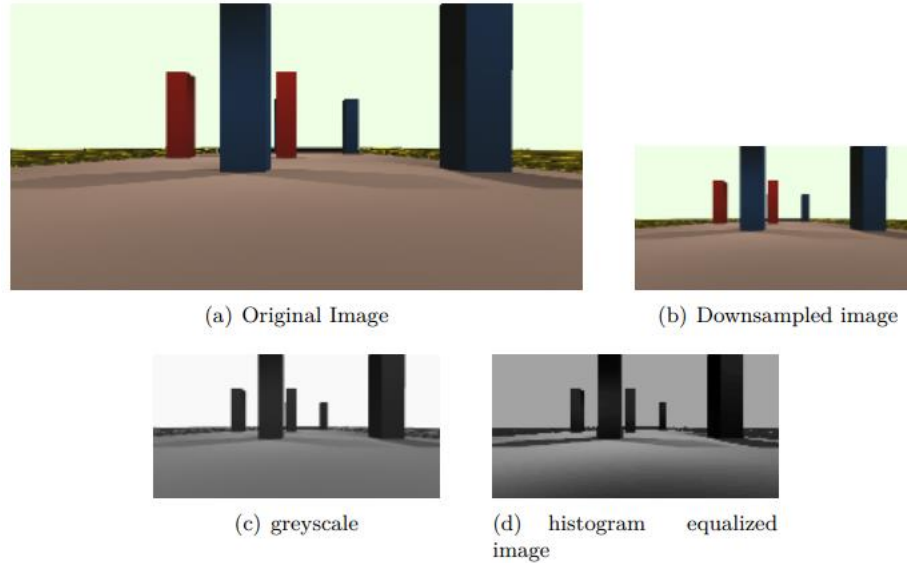


Abbildung 4.4.: 4 Stages of preprocessing images for the CNN

## AGENT DESIGN: CONVOLUTIONAL NEURAL NETWORK

- Agent receives an image at each iteration
- Apply image preprocessing steps
- concatenate with last n images (memory)
- Image stack is fed to a Convolutional Neural Network

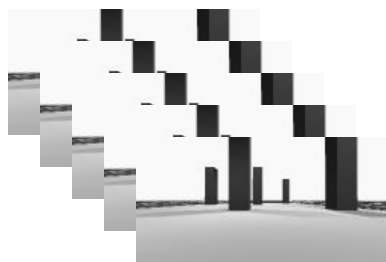
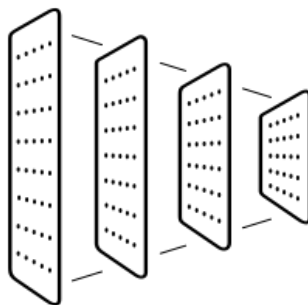


Image stack



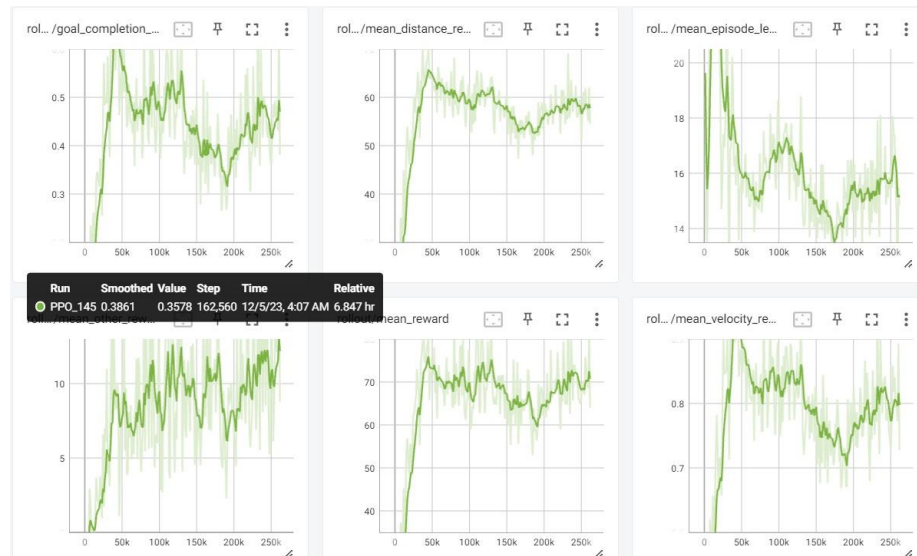
Left and right  
wheel acceleration

# RL TRAINING MONITORING

## LOGGING TO TENSORBOARD

### Standard and custom measurements

- Mean reward per episode
- Percentage of passed goals
- Percentage of successfully completed parcours
- Loss
- ...





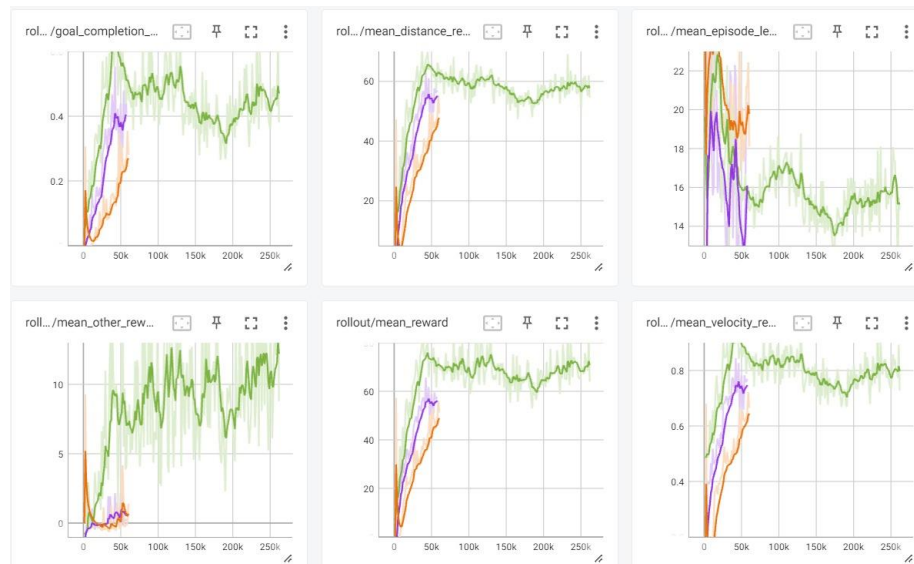
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# EXPERIMENTATION

# EXPERIMENTATION

## CHOICE OF PARAMETERS AND PREPROCESSING STEPS

- Network size
- Preprocessing steps
  - Brightness change
  - Contrast change
  - Histogram equalization
- Reward function weights
- Amount of frame stacking (memory)





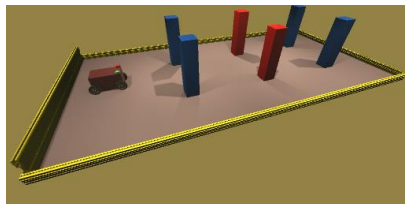
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# EVALUATION

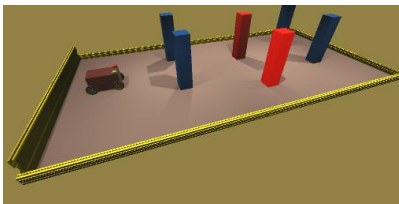
## AGENT EVALUATION - Q1

AGENT IS EVALUATED ON THE THREE EVALUATION TRACKS WITH STANDARD LIGHTING

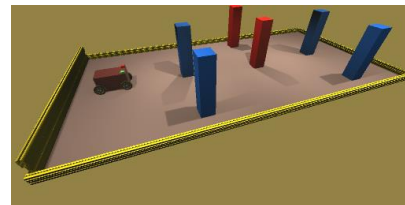
- Rate of successfully completed attempts



Easy



Medium

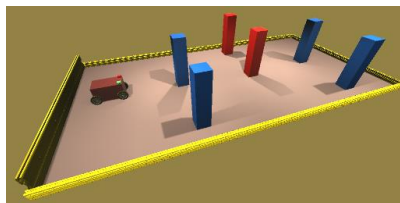
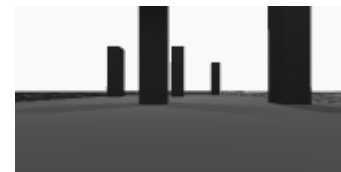
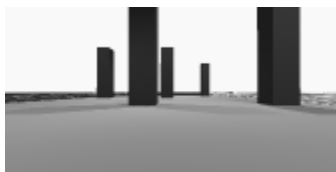


Difficult

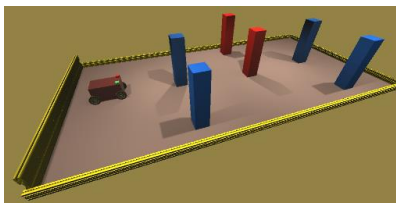
## AGENT EVALUATION – Q2

AGENT IS EVALUATED ON THE THREE EVALUATION TRACKS WITH VARYING LIGHTING

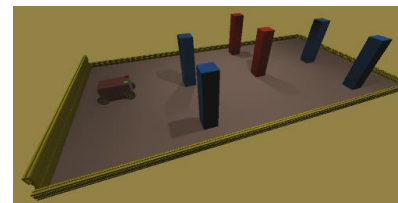
- Rate of successfully completed attempts



Bright



Standard



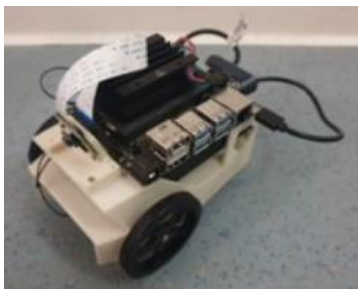
Dark

# JETBOT PROCESSING POWER EVALUATION – Q3

GENERATE REPLAY OF PARCOUR IN PYTHON AND EVALUATE REPLAY ON JETBOT

Replay consists of

- Input-output pairs
- Processing times
- Neural Network model



jupyter jetBot\_parcour\_replay\_evaluation Last Checkpoint: vor ein paar Sekunden (autosaved) Logout

File Edit View Insert Cell Kernel Widgets Help Trusted Python 3 (ipykernel)

Replay Evaluation Notebook

The notebook's aim is to check if the JetBot has enough processing power to use the developed agent's model. The results of this playbook are used to answer question 3 of the master's thesis.

1. Load model
2. Load parcour replay
3. Process images in replay, log times and outputs  
This includes preprocessing steps.
4. Verify output correctness
5. Evaluate processing speed

In [1]: # TODO write the code

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# CONCLUSION

## CONCLUSION

The thesis investigates training an agent that is resilient to light changes.

- Train an autonomous driving agent with Reinforcement Learning
- The agent uses a convolutional neural network as its policy
  - Input is a preprocessed image
- Agent is evaluated on tracks of 3 difficulty levels with different light settings





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# THANK YOU!

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