#### super simple learning: 1R

1R is silly but surprisingly good for actual data sets!

```
for each attribute a
  for each value v of a, make rule:
    find most frequent class;
    rule assigns that class to v;
    calculate error rates of the rules;
choose rule set with min error rate
```

attribute	rules	errors	total errors
outlook	sunny> no	2/5	4/14
	overcast> yes	0/4	
	rainy> yes	2/5	
temperature	hot> no*	2/4	5/14
•	mild> yes	2/6	
	cool> yes	1/4	
windy	false> yes	2/8	5/14
J	true> no*	3/6	
humidity	hi> no	3/7	4/14
•	normal> yes	1/7	

pick either rule set for *outloook* or for *humidity* (if 2 classes are equally frequent (\*), break ties at bitrarily)

#### another super simple learner: 1NN

given: 
$$.6 .2 .3 --> .7; .1 .1 .0 --> .5; .8 .7 .0 --> .1,$$
 what's the output for  $.0 .1 .1 --> ?$ 

- nearest neighbor is surprisingly good
  - basic idea:
    - 1. store all examples
    - 2. for any test case, predict that it has same output as <u>the</u> nearest / most similar example
- nearness is determined by Euclidean or Manhattan or Hamming distance

$$\sqrt{\sum_{i=1}^{n} (x_i - y_i)^2} \qquad \sum_{i=1}^{n} |x_i - y_i|$$

**k-nearest neighbors**: average the output from the k - often 2 to 6 - nearest neighbors

#### naïve Bayes

- (naïvely) assumes all attributes are equally important and independent
  - count how often each attribute-value pair occurs with each value (yes, no) of play

```
outlooktemphumiditywindyplayyes noyes noyes noyes nosunny 23hot 22hi 34false 6295overcast 4 0 mild 4 2 normal 6 1true 33rainy 32cool 3 1
```

sunny 2/9 3/5 hot 2/9 2/5 hi 3/9 4/5 false 6/9 2/5 9/14 5/14 overcast 4/9 0/5 mild 4/9 2/5 normal 6/9 1/5 true 3/9 3/5 rainy 3/9 2/5 cool 3/9 1/5

\_\_\_\_\_

new day:

sunny cool hi true. What about *play*?

#### naïve Bayes, cont.

treating sunny cool hi true play? as equally important and independent

likelihood (yes) = 
$$2/9 * 3/9 * 3/9 * 3/9 * 9/14 = .0053$$
 likelihood (no) =  $3/5 * 1/5 * 4/5 * 3/5 * 5/14 = .0206$ , i.e. for new day, no is 4 times more likely than yes.

after normalizing,

$$p(yes/e) = .0053/(.0053+.0206) = 20.5\%$$
  
 $p(no/e) = .0206/(.0053+.0206) = 79.5\%$ 

based on Bayes' Theorem:

p(yes|e) = (p(yes)\*p(sunny|yes)\*p(cool|yes)\*p(hi|yes)\*p(true|yes))/p(e) = 20.5 (denominator p(e) disappears after normalizing...)

- missing values are unproblematic
- o again: dependence among attributes (e.g. redundancy) yields poor results

#### the weather data, numeric

#### attributes

outlook {sunny, overcast, rainy}
humidity real

play {yes, no}

#### data

sunny,85,85,FALSE,no sunny,80,90,TRUE,no overcast,83,86,FALSE,yes rainy,70,96,FALSE,yes rainy,68,80,FALSE,yes rainy,65,70,TRUE,no overcast,64,65,TRUE,yes sunny,72,95,FALSE,no sunny,69,70,FALSE,yes rainy,75,80,FALSE,yes sunny,75,70,TRUE,yes overcast,72,90,TRUE,yes overcast,81,75,FALSE,yes rainy,71,91,TRUE,no temperature **real** windy {TRUE, FALSE}

#### naïve Bayes on numeric data

- as before, counts for nominal attributes are normalized into probabilities but
- o for each class and each numeric attribute, calculate mean and standard deviation
  - e.g. mean of *temperature* for class yes = 73 and its std dev = 6.2
  - assume data to be normally distributed
- o prob density function for a normal distribution (mean  $\mu$ , std dev  $\sigma$ ) is

$$f(x) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$$

SO,

$$f(temp = 66 \mid yes) = \frac{1}{\sqrt{2\pi} 6.2} e^{-\frac{(66-73)^2}{2*6.2^2}} = 0.034$$

#### naïve Bayes on numeric data, cont.

given a new day:

```
likelihood(yes) = 2/9 * .0340 * .0221 * 3/9 * 9/14
= .000036
likelihood(no) = 3/5 * .0291 * .0380 * 3/5 * 5/14
= .000136
p(yes) = .000036 / (.000036 + .000136) = 20.9%
p(no) = .000136 / (.000036 + .000136) = 79.1%
```

missing values are unproblematic again: dependence among attributes (e.g. redundancy) yields poor results

#### linear models for numeric data

- $\mathbf{v} = \mathbf{w}_0 + \mathbf{w}_1 \mathbf{a}_1 + \mathbf{w}_2 \mathbf{a}_2 + \dots + \mathbf{w}_k \mathbf{a}_k$ 
  - where x is the class, a<sub>i</sub> are attribute values, and w<sub>i</sub> are weights
  - the weights are to be computed from data
- the predicted value for class  $x^i$  of instance i:  $w_0 a_0^i + w_1 a_1^i + ... + w_k a_k^i = \sum_i^k w_i a_i^i$

linear regression chooses  $w_j$  to minimize errors over all training examples ( $x^i$  is ith instance's actual class)

minimize

$$\sum_{i=1}^n \left( x^i - \sum_{j=0}^k w_j a_j^i \right)^2$$

do a separate regression for each class, with ouput = 1 for instances in class, else 0. For new instance, pick class for which linear expr has largest value...

#### inductive learning

- o task of induction: given a set of examples  $\{x, f(x)\}$  of f, return a function h(hypothesis) that approximates f.
- o bias
  - a preference for one hypothesis over another, beyond mere consistency with the examples
  - often used bias: (syntactic) simplicity
- performance assessment (supervised)
  - 1) divide large set of examples into **test** and **training** sets; use training set to generate a hypothesis H; measure % of examples in test set that are correctly classified by H
  - 2) repeat 1) for different randomly selected training sets of different sizes, to obtain the algorithm's **learning curve** for a domain.

# inductive learning, cont.

- o in principle, there are infinitely many ways to fit a hypothesis to the given finitely many data.
  - Bias such as simplicity helps choose a hypothesis
  - see Goodman's 'perverse predicates':
     grue =<sub>df</sub> examined before time t and green or not so examined and blue
  - bleen  $=_{df}$  examined before time t and blue or not so examined and green green  $=_{df}$  examined before t and grue or not so examined and bleen ....
- o how can we possibly know that our learning algorithm has produced a theory that will correctly predict future?
- PAC learning:
  - a **simple** hyp consistent with <u>sufficiently</u> large set of examples is unlikely to be seriously wrong, i.e. is <u>prob approx correct</u>.
- o if alg's **learning curve** is a **happy** graph with increasing training set size, the alg has picked up a pattern in the data: prediction quality goes up with size of training set

# Occam's razor again

- o **prefer simple hyp** because if a simple hyp fits many data, it's unlikely that this is a coincidence ....
  - this is a **bad** argument because
  - prefer hyp with exactly n nodes (or attrib arranged alphabetically etc) because such weird trees are also rare, so if it fits, unlikely to be coincidental ....
- simplicity depends on internal representation
  - what's simple for one learner may be complex for another Razor suggests contradictory choices
- o so?
  - organisms evolve
  - evolution can change representations easier than a learning algorithm
  - internal representations will evolve s.t. Occam's Razor is successful (**if** bias of learning algorithm is Razor!!)
  - organisms have evolved (roughly comparable!) innate quality spaces, and for these representations, the Razor's suggestions work to reduce mental clutter
  - Goodman's **'entrenchment theory'**: projectible predicates are coextensive with predicates involved in past successful projections ....

#### Duhem's argument

- Theory T is too strong: T | some false fact
- o Theory T is too weak:  $T \neg ||$  some true fact
  - |- undecidable in general, must be resource-bounded by some total recursive function
- o if T  $\parallel$  some false fact, then try to localize <u>the</u> responsible hypothesis...
- o Duhem's argument

scientific theories are irrefutable in isolation.

If  $P_1 \& P_2 \& ... P_n = 0$ , and O is false, logic only tells us that **some**  $P_i$  must go, but there is no way to find out which one with logic alone. **Any** theory can be saved by making adjustments elsewhere, and there are always several theories involved in deriving an observation statement O! (epistemological wholism) i.e. there are no crucial experiments to pinpoint hyp responsible for clash

o for general, incremental learning, some form of anti-Duhem is necessary!

# inductive learning, cont.

- paradigm for inductive inference
  - given
    - observational statements, facts F
    - tentative (maybe empty) inductive hypothesis
    - background knowledge BK (assumptions, constraints), incl. preference criterion find
    - an inductive assertion H, s.t. H & BK ==> F, i.e. H  $\Longrightarrow$  F, F  $\Longrightarrow$  H, and H is intelligible
- o preference criterion
  - pref: <(c1, t1), (c2, t2)...>
     evaluate all H with criterion c1 and retain those that score within threshold
     t1 from best, then apply c2 to remainder ...
  - criteria: # of operators and predicates (syntactic simplicity), cost of evaluating H, cost of measuring predicate values....

#### inductive generalization

- o generalization
  - E ::> K {event E falls under concept K}  $\longrightarrow$  D ::> K if E  $\Longrightarrow$  D p & q  $\Longrightarrow$  p becomes p & q ::> K (brown and round objs are balls)
- types of generalizations
  - dropping conditions

$$\operatorname{ctx} \& S ::> K \implies \operatorname{ctx} ::> K$$

adding alternatives

$$ctx1 ::> K \rightarrow ctx1 \mathbf{v} ctx2 ::> K$$
eg.  $color = red \rightarrow color = red \mathbf{v}$  blue..

climbing generalization tree

$$shape(P) = triangle ::> K$$
,  $shape(P) = rectangle ::> K$   $shape(P) = polygon ::> K$ 

- turning constants into variables

$$F(a)$$
,  $F(b)$ ,...  $\forall v \ F(v)$  (for descriptive gen.)  
 $F(a) \& F(b) ::> K \implies \exists v \ F(v) ::> K$  (acquisition)

turning conjunctions into disjunctions

# inductive generalization, cont.

- more types of generalizations
  - extending quantification domain

$$\forall v \ F(v) ::> K \implies \exists \ v \ F(v) ::> K$$

inductive resolution

```
p & F1 ::> K, ¬p & F2 ::> K → F1 v F2 ::> K (if company and good food, eat a lot; if no company and hungry, eat a lot; thus, good food or hungry, eat a lot)
```

extension against; for discriminant descriptions

$$ctx1 \& L = R1 ::> K, ctx2 \& L = R2 ::> \neg K$$
 $L \neq R2 ::> K$ 

- constructive induction: use of descriptors not present in original observations (BK or learned)
  - ctx & F1 ::> K, F1  $\Rightarrow$  F2  $\Rightarrow$  ctx & F2 ::> K
  - count quantified variables; new predicates: #v in a description satisfying COND
  - count arguments of a predicate; new predicates: #arg in relation satisfying COND

• .....

# inductive learning from examples

o learning from examples with → and →



G(e | E, m): set of all maximally general expressions that cover event e and do not cover negative examples in E, limited to the m best descriptions according to preference criterion.

- 1. randomly select event e from **pos.**
- 2. generate G(elneg,m), using generalization rules, heuristics, previously learned concepts, etc..
- 3. in G(elneg,m), find most preferred description D.
- 4. if D covers **pos** completely, go to 6.
- 5. reduce **pos** to contain only events not covered by D; go to 1.
- 6. optionally apply contraction rules; disjunction of all descriptions D is a complete and consistent concept description.

# star-learning ...

- 1. put individual selectors from **e** onto list PS (partial star, may cover some elements in neg). Single selectors from **e** are generalized via dropping condition rule, etc. Order elements via pref1 = <(-negcover, t1), (poscover, t2)> (where negcover = # of neg examples covered by expression in PS. pref1 minimizes negcover, max poscover!)
- 2. expand PS: constructive induction, heuristics, generaliz, etc
- 3. insert each new selector into PS in proper place according to pref1; remove from PS all but most preferred m selectors.
- 4. test descriptions in PS for consistency and completeness:

#### consistent: negcover = 0; complete: poscover = # pos

Remove cons and complete descriptions from PS and put on **solutions**; stop when size of **solutions** = parameter **sol**.

Remove cons and incomplete descriptions from PS and put on **consistent**. If size of **consistent** > parameter **cons** go to 6.

5. specialize each description in PS by appending a single selector from original PS. Appended selectors must have lower preference than last selector in conjunction. Then rank via pref1 and keep m.

#### repeat 4 and 5 while size of consistent ≤ parameter cons.

- 6. generalize each expr on **consistent**
- 7. rank generalizations with global **pref** defined in BK. To get discriminant description, max **poscover** and max **simplicity**.

#### generic rule induction

while rules are not good enough do
generate new rules from old ones
or modify/reorder existing ones

evaluate rules on training data

eliminate low-scoring rules

select new training instances...
endwhile

specific to general or vv or both? Operators? Heuristics? BGK?

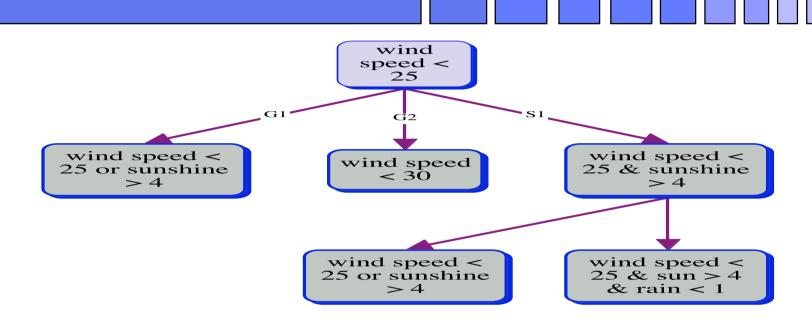
preference criteria; go for pos coverage, min neg coverage; simplicity...

keep 1 or more rules in each cycle?

# typical rule induction ...

- learning = searching thru space of all possible descriptions / hypotheses, for useful descriptions
- o given DB of weather records and indication whether next day was fine:
  - day1: rainfall 0mm, sunshine 10.8 hr, windspeed 6km/h, .... nextday fine
  - day2: rainfall 08 mm, sunshine 4 hr, windspeed 10 km/h, .... nextday bad etc etc....
     output a rule to predict whether next day is fine...
- start with arbitrary initial description
- o apply transformation operators to generate successors
- evaluate successors (% of correct 'predictions')
- best-first search: generate successors only from nodes/rules with high evaluation scores

#### more weather ...



#### description-transforming operators:

G1: add or-condition	S1: decrease <-constant	
G2: increase <-constant	S2: add and-condition	
G3: drop and-condition	S3: change or to and	
G4: change and to or	S4: increase >-constant	
G5: decrease >-constant	S5: drop or condition	
?	?	

#### 1. TRAINS GOING EAST



#### 2. TRAINS GOING WEST

- , [\$\displaystyle=\text{000} \displaystyle=\text{000} \displaystyle=\te

- 5. [ ] ] , ] , ]

# trains going east and west

- given predicates
  - infront, length, carShape (rectangle, Ushaped, ellipse, jaggedTop ...), containsLoad, loadShape (circle, triangle, etc.), numberOfPartsInLoad, numberOfWheels
- create more predicates like
  - numberOfCarsInTrain, positionOfCar, etc.
- then generalize and eliminate inconsistencies, then order by **simplicity**
- if a train contains a car which is short and has closed top, then east, else west
- o if a train contains a car whose load is a triangle, and load of car behind is polygon, then east, else west
- o if there are 2 cars, or if there is a jagged-top car, then west, else east
- o if there are more than 2 kinds of freight then east, else west

# least (most cowardly) generalization

- look at each example and form the **least generalization** (LG) of the example and the partial concept description discovered so far.
  - only examines all pos examples once, ignores neg examples; thus it produces **characteristic** rather than **discriminating** descriptions.
- **LG** is the **dual** of the **most general unifier** of 2 literals
  - e.g. LG (p(a,f(c)), p(b,f(b))) = p(X, f(Y))if K is {words},  $G_1$ ,  $G_2$  are generalizations of K, then  $G_2$  is an LG of K iff
    - 1) for every word V in K,  $G_2 \ge_g V$  ( $\ge_g$ ' means 'is more general than') and
    - 2) if for every V in K,  $G_1 \ge_g V$ , then  $G_1 \ge_g G_2$ .
  - $G_1 \succeq_g G_2$  iff  $G_1 \sigma = G_2$  for some substitution instance  $\sigma$ . e.g.  $p(X,X,f(g(Y)) \succeq_g p(a(b),a(b),f(g(X)))$ : substitute a(b) for X and X for Y.
  - clause  $C_1 \ge_g C_2$ , i.e.  $C_1$  subsumes  $C_2$  iff  $C_1 \sigma \subseteq C_2$  for some substitution instance  $\sigma$ . e.g.  $p(X) \vee p(f()) \ge_g p(f())$ : substitute f() for X.

# algorithm for least generalization

init with 2 literals  $V_1$ ,  $V_2$ , and empty  $\sigma$ ;  $V_i := W_i$ ;

try to find terms t<sub>1</sub>, t<sub>2</sub>, t<sub>1</sub>≠ t<sub>2</sub>, in same place in V<sub>1</sub>, V<sub>2</sub>, such that either they start with different function letters or at least one of them is a variable;
if there is no such t<sub>i</sub>, stop; V<sub>1</sub> is a LG of {W<sub>1</sub>, W<sub>2</sub>}, V<sub>1</sub> = V<sub>2</sub>, and V<sub>i</sub> σ<sub>i</sub> = W<sub>i</sub>;
choose variable x distinct from any in V<sub>i</sub>, and replace occurrences of t<sub>i</sub> by x;
update σ<sub>i</sub> with {t<sub>i</sub> | x};

repeat from •

```
\begin{split} &V_1 = p(f(a(),g(Y)),X,g(Y)), \quad V_2 = p(h(a(),g(X)),X,g(X)) \\ &t_1 := Y; \ t_2 := X; \ introduce \ new \ variable \ Z \\ &V_1 = p(f(a(),g(Z)),X,g(Z)), \quad V_2 = p(h(a(),g(Z)),X,g(Z)); \ \sigma_1 = \{Y|Z\}, \ \sigma_2 = \{X|Z\}; \ repeat; \\ &t_1 := f(a(),g(Z)); \ t_2 := h(a(),g(Z)); \ introduce \ new \ variable \ T \\ &V_1 = p(T,X,g(Z)) = V_2; \ \sigma_1 = \{f(a(),g(Z))|T\}\{Y|Z\} = \{f(a(),g(Y))|T,Y|Z\}, \\ &\sigma_2 = \{h(a(),g(Z))|T\}\{X|Z\} = \{h(a(),g(Y))|T,X|Z\}; \ repeat; \\ &stop: p(T,X,g(Z)) \ is \ LG \end{split}
```