

# 3GPP TS 38.133 V15.6.0 (2019-06)

*Technical Specification*

## **3rd Generation Partnership Project; Technical Specification Group Radio Access Network; NR; Requirements for support of radio resource management (Release 15)**



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## Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

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## 1 Scope

The present document specifies requirements for support of Radio Resource Management for the FDD and TDD modes of New Radio(NR). These requirements include requirements on measurements in NR and the UE as well as requirements on node dynamical behaviour and interaction, in terms of delay and response characteristics.

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## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in idle mode".
- [2] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".
- [3] 3GPP TS 38.213: "NR; Physical layer procedures for control".
- [4] 3GPP TS 38.215: "NR; Physical layer measurements".
- [5] 3GPP TS 38.533: "NR; User Equipment (UE) conformance specification; Radio Resource Management (RRM)".
- [6] 3GPP TS 38.211: "NR; Physical channels and modulation".
- [7] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".

- [8] 3GPP TS 38.212 "NR; Multiplexing and channel coding".
- [9] 3GPP TS 38.202: "NR; Physical layer services provided by the physical layer".
- [10] 3GPP TS 38.300: "NR; Overall description; Stage-2".
- [11] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [12] 3GPP TS 38.423: "NG-RAN; Xn Application Protocol (XnAP)".
- [13] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".
- [14] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".
- [15] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".
- [16] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".
- [17] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity", Stage 2.
- [18] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [19] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [20] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [21] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance requirements".
- [22] 3GPP TS 38.305: "NG Radio Access Network (NG-RAN); Stage 2 functional specification of User Equipment (UE) positioning in NG-RAN".
- [23] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [24] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA); Overall description".
- [25] 3GPP TS 36.101: "Technical Specification Group Radio Access Network; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [26] 3GPP TS 38.214: "NR; Physical layer procedures for data".
- [27] 3GPP TS 36.355: "Evolved Universal Terrestrial Radio Access (E-UTRA); LTE Positioning Protocol (LPP)".
- [28] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [11] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [11].

**Active DL BWP:** Active DL bandwidth part as defined in TS 38.213 [3].

**Blackbox Approach:** Testing methodology, in which the UE internal implementation of certain specific UE functionality involved in the test, is unknown.

**DL BWP:** DL bandwidth part as defined in TS 38.213 [3].

**EN-DC:** E-UTRA-NR Dual Connectivity as defined in clause 4.1.2 of TS 37.340 [17].

**en-gNB:** As defined in TS 37.340 [17].

**FR1:** Frequency range 1 as defined in clause 5.1 of TS 38.104 [13].

**FR2:** Frequency range 2 as defined in clause 5.1 of TS 38.104 [13].

**gNB:** as defined in TS 38.300 [10].

**Master Cell Group:** As defined in TS 38.331 [2].

**Multi-Radio Dual Connectivity:** Dual Connectivity between E-UTRA and NR nodes, or between two NR nodes, as defined in TS 37.340 [17].

**ng-eNB:** As defined in TS 38.300 [10].

**NE-DC:** NR-E-UTRA Dual Connectivity as defined in clause 4.1.3.2 of TS 37.340 [17].

**NGEN-DC:** NG-RAN E-UTRA-NR Dual Connectivity as defined in clause 4.1.3.1 of TS 37.340 [17].

**NR-DC:** NR-NR Dual Connectivity as defined in clause 4.1.3.3 of TS 37.340 [17].

**Primary Cell:** As defined in TS 38.331 [2].

**RLM-RS resource:** A resource out of the set of resources configured for RLM by higher layer parameter RLM-RS-List [2] as defined in TS 38.213 [3].

**SA operation mode:** Operation mode when the UE is configured with at least PCell and not any MR-DC.

**Secondary Cell:** As defined in TS 38.331 [2].

**Secondary Cell Group:** As defined in TS 38.331 [2].

**Serving Cell:** As defined in TS 38.331 [2].

**SMTC:** An SSB-based measurement timing configuration configured by *SSB-MeasurementTimingConfiguration* as specified in TS 38.331 [2].

**SSB:** SS/PBCH block as defined in clause 7.8.3 of TS 38.211 [6].

**Timing Advance Group:** As defined in TS 38.331 [2].

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

[...]	Values included in square bracket must be considered for further studies, because it means that a decision about that value was not taken.
$T_c$	Basic time unit, defined in clause 4.1 of TS 38.211 [6].
$T_s$	Reference time unit, defined in clause 4.1 of TS 38.211 [6].

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [11] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [11].

BFD	Beam Failure Detection
BWP	Bandwidth Part

CA	Carrier Aggregation
CBD	Candidate Beam Detection
CC	Component Carrier
CP	Cyclic Prefix
CSI	Channel-State Information
CSI-RS	CSI Reference Signal
DC	Dual Connectivity
DL	Downlink
DMRS	Demodulation Reference Signal
DRX	Discontinuous Reception
E-CID	Enhanced Cell ID
E-UTRA	Evolved UTRA
E-UTRAN	Evolved UTRAN
EN-DC	E-UTRA-NR Dual Connectivity
FDD	Frequency Division Duplex
FR	Frequency Range
HARQ	Hybrid Automatic Repeat Request
HO	Handover
MAC	Medium Access Control
MCG	Master Cell Group
MGL	Measurement Gap Length
MGRP	Measurement Gap Repetition Period
MIB	Master Information Block
MR-DC	Multi-Radio Dual Connectivity
NE-DC	NR-E-UTRA Dual Connectivity
NGEN-DC	NG-RAN E-UTRA-NR Dual Connectivity
NR	New Radio
NR-DC	NR-NR Dual Connectivity
OFDM	Orthogonal Frequency Division Multiplexing
OFDMA	Orthogonal Frequency Division Multiple Access
OTDOA	Observed Time Difference Of Arrival
PBCH	Physical Broadcast Channel
PCell	Primary Cell
PLMN	Public Land Mobile Network
PRACH	Physical RACH
PSCell	Primary SCell
PSS	Primary Synchronization Signal
PUCCH	Physical Uplink Control Channel
PUSCH	Physical Uplink Shared Channel
RACH	Random Access Channel
RAT	Radio Access Technology
RLM	Radio Link Monitoring
RLM-RS	Reference Signal for RLM
RRC	Radio Resource Control
RRM	Radio Resource Management
RSSI	Received Signal Strength Indicator
RSTD	Reference Signal Time Difference
SA	Standalone operation mode
SCell	Secondary Cell
SCG	Secondary Cell Group
SCS	Subcarrier Spacing
SCS <sub>SSB</sub>	SSB subcarrier spacing
SDL	Supplementary Downlink
SFN	System Frame Number
SI	System Information
SIB	System Information Block
SMTC	SSB-based Measurement Timing configuration
SRS	Sounding Reference Signal
SS-RSRP	Synchronization Signal based Reference Signal Received Power
SS-RSRQ	Synchronization Signal based Reference Signal Received Quality
SS-SINR	Synchronization Signal based Signal to Noise and Interference Ratio
SSB	Synchronization Signal Block

SSB_RP	Received (linear) average power of the resource elements that carry NR SSB signals and channels, measured at the UE antenna connector.
SSS	Secondary Synchronization Signal
SUL	Supplementary Uplink
TA	Timing Advance
TAG	Timing Advance Group
TDD	Time Division Duplex
TTI	Transmission Time Interval
UE	User Equipment
UL	Uplink

## 3.4 Test tolerances

The requirements given in the present document make no allowance for measurement uncertainty. The test specification 38.5xx [x] defines the test tolerances.

*Editor's note: intended to capture test tolerances. OTA test tolerance or margin will be captured in this section if needed.*

## 3.5 Frequency bands grouping

### 3.5.1 Introduction

The intention with the frequency band grouping below is to increase the readability of the specification.

The frequency bands grouping is derived based on UE REFSENS requirements specified in [18, 19, 20] and assuming 0.5 dB step between the neighbour groups. The groups are defined in the order of increasing REFSENS, i.e., the group A has the smallest REFSENS among the groups. For the same SCS and a given bandwidth, the bands within the same group have the same Io conditions in a corresponding requirement in this specification, provided the bands support this SCS. For different SCSs supported by a frequency band and the same bandwidth, different Io conditions may apply for the frequency band in the requirements, while the band group is the same, based on the lowest REFSENS requirement normalized by the number of subcarriers among its supported SCSs for this bandwidth. For the same SCS but different supported bandwidths, the group for a band is determined based on the lowest REFSENS requirement normalized by the number of subcarriers among its supported bandwidths.

### 3.5.2 NR operating bands in FR1

NR frequency bands grouping for FR1 is specified in Table 3.5.2-1.

**Table 3.5.2-1: NR frequency band groups for FR1**

Group	NR FDD		NR TDD		NR SDL	
	Band group notation	Operating bands	Band group notation	Operating bands	Band group notation	Operating bands
A	NR_FDD_FR1_A	n1, n70, n74 <sup>4</sup>	NR_TDD_FR1_A	n34, n38, n39, n40, n50, n51	NR SDL_FR1_A	n75, n76
B	NR_FDD_FR1_B	n66, n74 <sup>3</sup>	NR_TDD_FR1_B	-	NR SDL_FR1_B	-
C	NR_FDD_FR1_C	-	NR_TDD_FR1_C	n77 <sup>1</sup> , n78, n79	NR SDL_FR1_C	-
D	NR_FDD_FR1_D	n28	NR_TDD_FR1_D	n77 <sup>2</sup>	NR SDL_FR1_D	-
E	NR_FDD_FR1_E	n2, n5, n7	NR_TDD_FR1_E	n41	NR SDL_FR1_E	-
F	NR_FDD_FR1_F	-	NR_TDD_FR1_F	-	NR SDL_FR1_F	-
G	NR_FDD_FR1_G	n3, n8, n12, n20, n71	NR_TDD_FR1_G	-	NR SDL_FR1_G	-
H	NR_FDD_FR1_H	n25	NR_TDD_FR1_H	-	NR SDL_FR1_H	-

NOTE 1: Except 3.8 GHz to 4.2 GHz.  
 NOTE 2: Only 3.8 GHz to 4.2 GHz.  
 NOTE 3: Except 1475.9 MHz to 1510.9 MHz.  
 NOTE 4: Only when the band is confined in 1475.9 MHz to 1510.9 MHz.  
 NOTE 5: These bands are used only in NR carrier aggregation with other NR bands according to NR CA band combinations specified in TS 38.101-1 [18] and TS 38.101-3 [20].

### 3.5.3 NR operating bands in FR2

NR frequency bands grouping for FR2 is specified in Table 3.5.3-1.

**Table 3.5.3-1: NR frequency band groups for FR2**

Group	Band group notation	Operating bands
A	NR_TDD_FR2_A	n257 <sup>1</sup> , n258 <sup>1</sup> , n261 <sup>1</sup>
B	NR_TDD_FR2_B	n257 <sup>4</sup> , n258 <sup>4</sup> , n261 <sup>4</sup>
C	NR_TDD_FR2_C	
D	NR_TDD_FR2_D	
E	NR_TDD_FR2_E	
F	NR_TDD_FR2_F	n260 <sup>4</sup>
G	NR_TDD_FR2_G	n257 <sup>2</sup> , n258 <sup>2</sup> , n260 <sup>1</sup> , n261 <sup>2</sup>
H	NR_TDD_FR2_H	
I	NR_TDD_FR2_I	
J	NR_TDD_FR2_J	
K	NR_TDD_FR2_K	
L	NR_TDD_FR2_L	
M	NR_TDD_FR2_M	
N	NR_TDD_FR2_N	
O	NR_TDD_FR2_O	
P	NR_TDD_FR2_P	
Q	NR_TDD_FR2_Q	
R	NR_TDD_FR2_R	
S	NR_TDD_FR2_S	
T	NR_TDD_FR2_T	n257 <sup>3</sup> , n258 <sup>3</sup> , n261 <sup>3</sup>
U	NR_TDD_FR2_U	
V	NR_TDD_FR2_V	
W	NR_TDD_FR2_W	
X	NR_TDD_FR2_X	
Y	NR_TDD_FR2_Y	n260 <sup>3</sup>

NOTE 1: UE power class 1.  
 NOTE 2: UE power class 2.  
 NOTE 3: UE power class 3.  
 NOTE 4: UE power class 4.

### 3.6 Applicability of requirements in this specification version

In this specification,

- ‘cell’, ‘PCell’, ‘PSCell’ and ‘SCell’ refer to NR cell, NR PCell, NR PSCell, and NR SCell,
- E-UTRA cells are referred to as ‘E-UTRA cell’, ‘E-UTRA PCell’, ‘E-UTRA PSCell’, and ‘E-UTRA SCell’,
- E-UTRA-NR dual connectivity where E-UTRA is the master is referred to as ‘E-UTRA-NR dual connectivity’ or ‘EN-DC’.
- NR-NR dual connectivity which involves two gNB acting as Master gNB and Secondary gNB is referred to as “NR-NR dual connectivity” or “NR-DC”. NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.
- ‘active serving cell’ refers to PCell, PSCell and activated SCells

For UE configured with supplementary UL, the requirements in clause 7.1 and 7.3 shall also apply to uplink transmissions on supplementary UL.

### 3.6.1 RRC connected state requirements in DRX

For the requirements in RRC connected state specified in this version of the specification, the UE shall assume that no DRX is used provided the following conditions are met:

- DRX parameters are not configured or
- DRX parameters are configured and
  - drx-InactivityTimer is running or
  - drx-RetransmissionTimerDL is running or
  - drx-RetransmissionTimerUL is running or
  - ra-ContentionResolutionTimer is running or
  - a Scheduling Request sent on PUCCH is pending or
    - a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the preamble not selected by the MAC entity

Otherwise the UE shall assume that DRX is used.

### 3.6.2 Number of serving carriers

#### 3.6.2.1 Number of serving carriers for SA

Requirements for standalone NR with NR PCell are applicable for the UE configured with the following number of serving NR CCs:

- up to 8 NR DL CCs in total, with 1 UL (or 2 UL if SUL is configured) in PCell and up to 1 UL (or 2 UL if SUL is configured) in SCell.
- SUL may be configured together with one of the UL

#### 3.6.2.2 Number of serving carriers for EN-DC

Requirements for EN-DC operation of E-UTRA and NR with E-UTRA PCell and NR PSCell are applicable for the UE configured with the following number of serving NR CCs:

- up to 7 NR DL CCs in total, with 1 UL (or 2 UL if SUL is configured) in PSCell and up to 1 UL (or 2 UL if SUL is configured) in SCell in different FR with PSCell.
- SUL may be configured together with one of the UL

The applicable number of E-UTRA CC for EN-DC in the MCG for both UL and DL is specified in TS 36.133 [15].

### 3.6.2.3 Number of serving carriers for NE-DC

Requirements for NE-DC operation of NR and E-UTRA with NR PCell and E-UTRA PSCell are applicable for the UE configured with the following number of serving NR CCs:

- up to 7 NR DL CCs in total, with 1 UL (or 2 UL if SUL is configured) in PCell and up to 1 UL (or 2 UL if SUL is configured) in SCell.
- SUL may be configured together with one of the UL

The applicable number of E-UTRA CC for NE-DC in the SCG for both UL and DL is specified in TS 36.133 [15].

### 3.6.2.4 Number of serving carriers for NR-DC

Requirements for NR-DC are applicable for the UE configured with the following number of serving NR CCs:

- up to 2 NR DL CCs in total in FR1, up to 8 NR DL CCs in total in FR2, with 1 UL (or 2 UL if SUL is configured) in PCell, 1 UL (or 2 UL if SUL is configured) in PSCell, and up to 1 UL (or 2 UL if SUL is configured) in each SCell.
- SUL may be configured together with one of the UL.

## 3.6.3 Applicability for intra-band FR2

For the requirements in RRC connected state specified in this version of the specification, UE shall assume that the transmitted signals from the serving cells should have the same downlink spatial domain transmission filter on one OFDM symbol in the same band in FR2. Otherwise, the UE is not supposed to satisfy any requirements for SCell.

## 3.6.4 Applicability for FR2 UE power classes

For the requirements of each FR2 power class specified in this version of the specification, certain UE types with specific device architectures are assumed. The UE types can be found in TS 38.101-2 [19].

## 3.6.5 Applicability for SDL bands

The measurements accuracy requirements for SDL bands in this version of specification in clause 10.1 shall apply for NR intra-frequency measurements on SCC (SS-RSRP, SS-RSRQ, SS-SINR, and L1-RSRP) and inter-frequency measurements (SS-RSRP, SS-RSRQ, and SS-SINR).

## 3.6.6 Applicability of requirements for NGEN-DC operation

All the requirements in this specification applicable for EN-DC are also applicable for NGEN-DC.

# 4 SA: RRC\_IDLE state mobility

Editor's note: intended to capture the RRM requirements for RRC\_IDLE state in stand-alone operation.

## 4.1 Cell Selection

After a UE has switched on and a PLMN has been selected, the Cell selection process takes place, as described in TS 38.304. This process allows the UE to select a suitable cell where to camp on in order to access available services. In this process, the UE can use stored information (*Stored information cell selection*) or not (*Initial cell selection*).

## 4.2 Cell Re-selection

### 4.2.1 Introduction

The cell reselection procedure allows the UE to select a more suitable cell and camp on it.

When the UE is in either *Camped Normally* state or *Camped on Any Cell* state on a cell, the UE shall attempt to detect, synchronise, and monitor intra-frequency, inter-frequency and inter-RAT cells indicated by the serving cell. For intra-frequency and inter-frequency cells the serving cell may not provide explicit neighbour list but carrier frequency information and bandwidth information only. UE measurement activity is also controlled by measurement rules defined in TS 38.304, allowing the UE to limit its measurement activity.

In the requirements of clause 4.2, the exceptions for side conditions apply as follows:

- for the UE capable of CA, the applicable exceptions for side conditions are specified in Annex B, clause B.3.2.1, B.3.2.3, or B.3.2.5 for UE supporting CA in FR1, CA in FR2 and CA between FR1 and FR2, respectively;
- for the UE capable of SUL, the applicable exceptions for side conditions are specified in Annex B, clause B.3.4.1 for UE supporting SUL in FR1.

### 4.2.2 Requirements

#### 4.2.2.1 UE measurement capability

For idle mode cell re-selection purposes, the UE shall be capable of monitoring at least:

- Intra-frequency carrier, and
- Depending on UE capability, 7 NR inter-frequency carriers, and
- Depending on UE capability, 7 FDD E-UTRA inter-RAT carriers, and
- Depending on UE capability, 7 TDD E-UTRA inter-RAT carriers.

In addition to the requirements defined above, a UE supporting E-UTRA measurements in RRC\_IDLE state shall be capable of monitoring a total of at least 14 carrier frequency layers, which includes serving layer, comprising of any above defined combination of E-UTRA FDD, E-UTRA TDD and NR layers.

#### 4.2.2.2 Measurement and evaluation of serving cell

The UE shall measure the SS-RSRP and SS-RSRQ level of the serving cell and evaluate the cell selection criterion S defined in TS 38.304 [1] for the serving cell at least once every M1\*N1 DRX cycle; where:

M1=2 if SMTU periodicity ( $T_{SMTU}$ ) > 20 ms and DRX cycle  $\leq 0.64$  second,

otherwise M1=1.

The UE shall filter the SS-RSRP and SS-RSRQ measurements of the serving cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by, at least DRX cycle/2.

If the UE has evaluated according to Table 4.2.2.2-1 in  $N_{serv}$  consecutive DRX cycles that the serving cell does not fulfil the cell selection criterion S, the UE shall initiate the measurements of all neighbour cells indicated by the serving cell, regardless of the measurement rules currently limiting UE measurement activities.

If the UE in RRC\_IDLE has not found any new suitable cell based on searches and measurements using the intra-frequency, inter-frequency and inter-RAT information indicated in the system information for 10 s, the UE shall initiate cell selection procedures for the selected PLMN as defined in TS 38.304 [1].

**Table 4.2.2.2-1:  $N_{serv}$** 

DRX cycle length [s]	Scaling Factor (N1)		$N_{serv}$ [number of DRX cycles]
	FR1	FR2 <sup>Note1</sup>	
0.32	1	8	$M1*N1*4$
0.64		5	$M1*N1*4$
1.28		4	$N1*2$
2.56		3	$N1*2$
Note 1: Applies for UE supporting power class 2&3&4. For UE supporting power class 1, N1 = 8 for all DRX cycle length.			

#### 4.2.2.3 Measurements of intra-frequency NR cells

The UE shall be able to identify new intra-frequency cells and perform SS-RSRP and SS-RSRQ measurements of the identified intra-frequency cells without an explicit intra-frequency neighbour list containing physical layer cell identities.

The UE shall be able to evaluate whether a newly detectable intra-frequency cell meets the reselection criteria defined in TS38.304 within  $T_{detect,NR\_Intra}$  when that  $T_{reselection} = 0$ . An intra frequency cell is considered to be detectable according to the conditions defined in Annex B.1.2 for a corresponding Band.

The UE shall measure SS-RSRP and SS-RSRQ at least every  $T_{measure,NR\_Intra}$  (see table 4.2.2.3-1) for intra-frequency cells that are identified and measured according to the measurement rules.

The UE shall filter SS-RSRP and SS-RSRQ measurements of each measured intra-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{measure,NR\_Intra}/2$ .

The UE shall not consider a NR neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an intra-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the intra-frequency cell has met reselection criterion defined [1] within  $T_{evaluate,NR\_Intra}$  when  $T_{reselection} = 0$  as specified in table 4.2.2.3-1 provided that:

when *rangeToBestCell* is not configured:

- the cell is at least [3]dB better ranked in FR1 or [4.5]dB better ranked in FR2.

when *rangeToBestCell* is configured:

- the cell which has the highest number of beams above the threshold *absThreshSS-BlocksConsolidation* among all detected cells whose cell-ranking criterion R value [1] is within *rangeToBestCell* of the cell-ranking criterion R value of the highest ranked cell.
  - [if there are multiple such cells the UE shall perform cell reselection to the highest ranked cell among them.]

When evaluating cells for reselection, the SSB side conditions apply to both serving and non-serving intra-frequency cells.

If  $T_{reselection}$  timer has a non zero value and the intra-frequency cell is satisfied with the reselection criteria which are defined in TS38.304 [1], the UE shall evaluate this intra-frequency cell for the  $T_{reselection}$  time. If this cell remains satisfied with the reselection criteria within this duration, then the UE shall reselect that cell.

**Table 4.2.2.3-1:  $T_{detect,NR\_Intra}$ ,  $T_{measure,NR\_Intra}$  and  $T_{evaluate,NR\_Intra}$** 

DRX cycle length [s]	Scaling Factor (N1)		$T_{detect,NR\_Intra}$ [s] (number of DRX cycles)	$T_{measure,NR\_Intra}$ [s] (number of DRX cycles)	$T_{evaluate,NR\_Intra}$ [s] (number of DRX cycles)
	FR1	FR2 <sup>Note1</sup>			
0.32	1	8	$11.52 \times N1 \times M2$ (36 x $N1 \times M2$ )	$1.28 \times N1 \times M2$ (4 x $N1 \times M2$ )	$5.12 \times N1 \times M2$ (16 x $N1 \times M2$ )
0.64		5	$17.92 \times N1$ (28 x $N1$ )	$1.28 \times N1$ (2 x $N1$ )	$5.12 \times N1$ (8 x $N1$ )
1.28		4	$32 \times N1$ (25 x $N1$ )	$1.28 \times N1$ (1 x $N1$ )	$6.4 \times N1$ (5 x $N1$ )
2.56		3	$58.88 \times N1$ (23 x $N1$ )	$2.56 \times N1$ (1 x $N1$ )	$7.68 \times N1$ (3 x $N1$ )

Note 1: Applies for UE supporting power class 2&3&4. For UE supporting power class 1,  $N1 = 8$  for all DRX cycle length.

Note 2:  $M2 = 1.5$  if SMTC periodicity of measured intra-frequency cell > 20 ms; otherwise  $M2=1$ .

#### 4.2.2.4 Measurements of inter-frequency NR cells

The UE shall be able to identify new inter-frequency cells and perform SS-RSRP or SS-RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided.

If  $S_{Rxlev} > S_{nonIntraSearchP}$  and  $S_{Qual} > S_{nonIntraSearchQ}$  then the UE shall search for inter-frequency layers of higher priority at least every  $T_{higher\_priority\_search}$  where  $T_{higher\_priority\_search}$  is described in clause 4.2.2.7.

If  $S_{Rxlev} \leq S_{nonIntraSearchP}$  or  $S_{Qual} \leq S_{nonIntraSearchQ}$  then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below in this subclause.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the reselection criteria defined in TS38.304 within  $K_{carrier} * T_{detect,NR\_Inter}$  if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when  $T_{reselection} = 0$  provided that the reselection criteria is met by a margin of at least [5] dB in FR1 or [6.5]dB in FR2 for reselections based on ranking or [6]dB in FR1 or [7.5]dB in FR2 for SS-RSRP reselections based on absolute priorities or [4]dB in FR1 and [4]dB in FR2 for SS-RSRQ reselections based on absolute priorities. The parameter  $K_{carrier}$  is the number of NR inter-frequency carriers indicated by the serving cell. An inter-frequency cell is considered to be detectable according to the conditions defined in Annex B.1.3 for a corresponding Band.

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{measure,NR\_Inter}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a NR carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure SS-RSRP or SS-RSRQ at least every  $K_{carrier} * T_{measure,NR\_Inter}$  (see table 4.2.2.4-1) for identified lower or equal priority inter-frequency cells. If the UE detects on a NR carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter SS-RSRP or SS-RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{measure,NR\_Inter}/2$ .

The UE shall not consider a NR neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met reselection criterion defined TS 38.304 within  $K_{carrier} * T_{evaluate,NR\_Inter}$  when  $T_{reselection} = 0$  as specified in table 4.2.2.4-1 provided that the reselection criteria is met by

- the condition when performing equal priority reselection and

when *rangeToBestCell* is not configured:

- the cell is at least [5]dB better ranked in FR1 or [6.5]dB better ranked in FR2 or.

when *rangeToBestCell* is configured:

- the cell which has the highest number of beams above the threshold *absThreshSS-BlocksConsolidation* among all detected cells whose cell-ranking criterion R value [1] is within *rangeToBestCell* of the cell-ranking criterion R value of the highest ranked cell.
- [if there are multiple such cells the UE shall perform cell reselection to the highest ranked cell among them] or
- [6]dB in FR1 or [7.5]dB in FR2 for SS-RSRP reselections based on absolute priorities or
- [4]dB in FR1 or [4] in FR2 for SS-RSRQ reselections based on absolute priorities.

When evaluating cells for reselection, the SSB side conditions apply to both serving and inter-frequency cells.

If  $T_{\text{reselection}}$  timer has a non zero value and the inter-frequency cell is satisfied with the reselection criteria, the UE shall evaluate this inter-frequency cell for the  $T_{\text{reselection}}$  time. If this cell remains satisfied with the reselection criteria within this duration, then the UE shall reselect that cell.

The UE is not expected to meet the measurement requirements for an inter-frequency carrier under DRX cycle=320 ms defined in Table 4.2.2.4-1 under the following conditions:

- $T_{\text{SMTC\_intra}} = T_{\text{SMTC\_inter}} = 160$  ms; where  $T_{\text{SMTC\_intra}}$  and  $T_{\text{SMTC\_inter}}$  are periodicities of the SMTC occasions configured for the intra-frequency carrier and the inter-frequency carrier respectively, and
- SMTC occasions configured for the inter-frequency carrier occur up to 1 ms before the start or up to 1 ms after the end of the SMTC occasions configured for the intra-frequency carrier, and
- SMTC occasions configured for the intra-frequency carrier and for the inter-frequency carrier occur up to 1 ms before the start or up to 1 ms after the end of the paging occasion [1].

**Table 4.2.2.4-1:  $T_{\text{detect,NR\_Inter}}$ ,  $T_{\text{measure,NR\_Inter}}$  and  $T_{\text{evaluate,NR\_Inter}}$**

DRX cycle length [s]	Scaling Factor (N1)		$T_{\text{detect,NR\_Inter}}$ [s] (number of DRX cycles)	$T_{\text{measure,NR\_Inter}}$ [s] (number of DRX cycles)	$T_{\text{evaluate,NR\_Inter}}$ [s] (number of DRX cycles)
	FR1	FR2 <sup>Note1</sup>			
0.32	1	8	$11.52 \times N1 \times 1.5$ (36 x N1 x 1.5)	$1.28 \times N1 \times 1.5$ (4 x N1 x 1.5)	$5.12 \times N1 \times 1.5$ (16 x N1 x 1.5)
0.64		5	$17.92 \times N1$ (28 x N1)	$1.28 \times N1$ (2 x N1)	$5.12 \times N1$ (8 x N1)
1.28		4	$32 \times N1$ (25 x N1)	$1.28 \times N1$ (1 x N1)	$6.4 \times N1$ (5 x N1)
2.56		3	$58.88 \times N1$ (23 x N1)	$2.56 \times N1$ (1 x N1)	$7.68 \times N1$ (3 x N1)

Note 1: Applies for UE supporting power class 2&3&4. For UE supporting power class 1, N1 = 8 for all DRX cycle length.

#### 4.2.2.5 Measurements of inter-RAT E-UTRAN cells

If  $S_{\text{rxlev}} > S_{\text{nonIntraSearchP}}$  and  $S_{\text{qual}} > S_{\text{nonIntraSearchQ}}$  then the UE shall search for inter-RAT E-UTRAN layers of higher priority at least every  $T_{\text{higher\_priority\_search}}$  where  $T_{\text{higher\_priority\_search}}$  is described in clause 4.2.2

If  $S_{\text{rxlev}} \leq S_{\text{nonIntraSearchP}}$  or  $S_{\text{qual}} \leq S_{\text{nonIntraSearchQ}}$  then the UE shall search for and measure inter-RAT E-UTRAN layers of higher, lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority inter-RAT E-UTRAN layers shall be the same as that defined below for lower priority RATs.

The requirements in this section apply for inter-RAT E-UTRAN FDD measurements and E-UTRA TDD measurements. When the measurement rules indicate that inter-RAT E-UTRAN cells are to be measured, the UE shall measure RSRP and RSRQ of detected E-UTRA cells in the neighbour frequency list at the minimum measurement rate specified in this section. The parameter  $N_{\text{EUTRA\_carrier}}$  is the total number of configured E-UTRA carriers in the neighbour frequency list. The UE shall filter RSRP and RSRQ measurements of each measured E-UTRA cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least  $T_{\text{measure,EUTRAN}}$ .

An inter-RAT E-UTRA cell is considered to be detectable provided the following conditions are fulfilled:

- the same conditions as for inter-frequency RSRP measurements specified in TS 36.133 [15, Annex B.1.2] are fulfilled for a corresponding Band, and
- the same conditions as for inter-frequency RSRQ measurements specified in TS 36.133 [15, Annex B.1.2] are fulfilled for a corresponding Band.
- SCH conditions specified in TS 36.133 [15, Annex B.1.2] are fulfilled for a corresponding Band

The UE shall be able to evaluate whether a newly detectable inter-RAT E-UTRAN cell meets the reselection criteria defined in TS38.304 within  $(N_{EUTRA\_carrier}) * T_{detect,EUTRAN}$  when  $Srxlev \leq S_{nonIntraSearchP}$  or  $Squal \leq S_{nonIntraSearchQ}$  when  $T_{reselection} = 0$  provided that the reselection criteria is met by a margin of at least 6dB for RSRP reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities.

Cells which have been detected shall be measured at least every  $(N_{EUTRA\_carrier}) * T_{measure,EUTRAN}$  when  $Srxlev \leq S_{nonIntraSearchP}$  or  $Squal \leq S_{nonIntraSearchQ}$ .

When higher priority cells are found by the higher priority search, they shall be measured at least every  $T_{measure,EUTRAN}$ . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this section shall still be met by the UE before it makes any determination that it may stop measuring the cell.

If the UE detects on an inter-RAT E-UTRAN carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall not consider an inter-RAT E-UTRA cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For a cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that an already identified inter-RAT E-UTRA cell has met reselection criterion defined in TS 38.304 [1] within  $(N_{EUTRA\_carrier}) * T_{evaluate,EUTRAN}$  when  $T_{reselection} = 0$  as specified in table 4.2.2.5-1 provided that the reselection criteria is met by a margin of at least 6dB for RSRP reselections based on absolute priorities or 4dB for RSRQ reselections based on absolute priorities.

If  $T_{reselection}$  timer has a non zero value and the inter-RAT E-UTRA cell is satisfied with the reselection criteria which are defined in TS 38.304 [1], the UE shall evaluate this E-UTRA cell for the  $T_{reselection}$  time. If this cell remains satisfied with the reselection criteria within this duration, then the UE shall reselect that cell.

**Table 4.2.2.5-1:  $T_{detect,EUTRAN}$ ,  $T_{measure,EUTRAN}$ , and  $T_{evaluate,EUTRAN}$**

DRX cycle length [s]	$T_{detect,EUTRAN}$ [s] (number of DRX cycles)	$T_{measure,EUTRAN}$ [s] (number of DRX cycles)	$T_{evaluate,EUTRAN}$ [s] (number of DRX cycles)
0.32	11.52 (36)	1.28 (4)	5.12 (16)
0.64	17.92 (28)	1.28 (2)	5.12 (8)
1.28	32(25)	1.28 (1)	6.4 (5)
2.56	58.88 (23)	2.56 (1)	7.68 (3)

#### 4.2.2.6 Maximum interruption in paging reception

UE shall perform the cell re-selection with minimum interruption in monitoring downlink channels for paging reception.

At intra-frequency and inter-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target intra-frequency and inter-frequency cell for paging reception. The interruption time shall not exceed  $T_{SI-NR} + 2*T_{target\_cell\_SMTC\_period}$  ms.

At inter-RAT cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels for paging reception of the target inter-RAT cell. For NR to E-UTRAN cell re-selection the interruption time must not exceed  $T_{SI-NR} + 55$  ms.

$T_{SI-NR}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 38.331 [2] for an NR cell.

$T_{SI-EUTRA}$  is the time required for receiving all the relevant system information data according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 36.331 [16] for an E-UTRAN cell.

These requirements assume sufficient radio conditions, so that decoding of system information can be made without errors and does not take into account cell re-selection failure.

#### 4.2.2.7 General requirements

The UE shall search every layer of higher priority at least every  $T_{higher\_priority\_search} = ([60] * N_{layers})$  seconds, where  $N_{layers}$  is the total number of higher priority NR and E-UTRA carrier frequencies broadcasted in system information.

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## 5 SA: RRC\_INACTIVE state mobility

### 5.1 Cell Re-selection

#### 5.1.1 Introduction

The cell reselection procedure allows the UE to select a more suitable cell and camp on it.

When the UE is in *Camped Normally* state on a cell, the UE shall attempt to detect, synchronise, and monitor intra-frequency, inter-frequency and inter-RAT cells indicated by the serving cell. For intra-frequency and inter-frequency cells the serving cell may not provide explicit neighbour list but carrier frequency information and bandwidth information only. UE measurement activity is also controlled by measurement rules defined in TS38.304, allowing the UE to limit its measurement activity.

#### 5.1.2 Requirements

##### 5.1.2.1 UE measurement capability

The requirements in sub-clause 4.2.2.1 shall apply.

##### 5.1.2.2 Measurement and evaluation of serving cell

The requirements in sub-clause 4.2.2.2 shall apply.

##### 5.1.2.3 Measurements of intra-frequency NR cells

The requirements in sub-clause 4.2.2.3 shall apply.

##### 5.1.2.4 Measurements of inter-frequency NR cells

The requirements in sub-clause 4.2.2.4 shall apply.

##### 5.1.2.5 Measurements of inter-RAT E-UTRAN cells

The requirements in sub-clause 4.2.2.5 shall apply.

##### 5.1.2.6 Maximum interruption in paging reception

The requirements in sub-clause 4.2.2.6 shall apply.

##### 5.1.2.7 General requirements

The requirements in sub-clause 4.2.2.7 shall apply.

## 5.2 RRC\_INACTIVE Mobility Control

Editor's note: intended to capture requirements which applies for the transition between INACTIVE and IDLE state.  
This section might be removed if unnecessary.

## 6 RRC\_CONNECTED state mobility

### 6.1 Handover

#### 6.1.1 NR Handover

##### 6.1.1.1 Introduction

The purpose of NR handover is to change the NR PCell to another NR cell. The requirements in this clause are applicable to SA NR, NE-DC and NR-DC.

##### 6.1.1.2 NR FR1 - NR FR1 Handover

The requirements in this clause are applicable to both intra-frequency and inter-frequency handovers from NR FR1 cell to NR FR1 cell.

###### 6.1.1.2.1 Handover delay

Procedure delays for all procedures that can command a handover are specified in TS 38.331 [2].

When the UE receives a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay to be defined in clause 12 in TS 38.331 [2] plus the interruption time stated in clause 6.1.1.2.2.

###### 6.1.1.2.2 Interruption time

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay.

When intra-frequency or inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 + T_{\Delta} \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is an unknown intra-frequency cell and the target cell  $E_s/I_{\text{ot}} \geq [-2]$  dB, then  $T_{\text{search}} = T_{\text{rs}} + 2$  ms. If the target cell is an unknown inter-frequency cell and the target cell  $E_s/I_{\text{ot}} \geq [-2]$  dB, then  $T_{\text{search}} = [3 * T_{\text{rs}} + 2]$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\Delta}$  is time for fine time tracking and acquiring full timing information of the target cell.  $T_{\Delta} = T_{\text{rs}}$ .

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to the summation of SSB to PRACH occasion association period and 10 ms. SSB to PRACH occasion associated period is defined in the table 8.1-1 of TS 38.213 [3].

$T_{\text{rs}}$  is the SMTA periodicity of the target NR cell if the UE has been provided with an SMTA configuration for the target cell in the handover command, otherwise  $T_{\text{rs}}$  is the SMTA configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the UE is not provided SMTA configuration or measurement object on this frequency, the requirement in this section is applied with  $T_{\text{rs}} = [5]$  ms assuming the SSB transmission periodicity is 5ms. There is no requirement if the SSB transmission periodicity is not 5ms.

NOTE 1: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in Clause 9.2.5 for intra-frequency handover and Clause 9.3.1 for inter-frequency handover.

### 6.1.1.3 NR FR2- NR FR1 Handover

The requirements in this clause are applicable to inter-frequency handovers from NR FR2 cell to NR FR1 cell.

#### 6.1.1.3.1 Handover delay

Procedure delays for all procedures that can command a handover are specified in TS 38.331 [2].

When the UE receives a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay to be defined in clause 12 in TS 38.331 [2] plus the interruption time stated in clause 6.1.1.3.2.

#### 6.1.1.3.2 Interruption time

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay.

When intra-frequency or inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 40 + T_{\Delta} \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is an unknown inter-frequency cell and the target cell  $E_{\text{s/I}} \geq [-2]$  dB, then  $T_{\text{search}} = [3 * T_{\text{rs}} + 2]$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\Delta}$  is time for fine time tracking and acquiring full timing information of the target cell.  $T_{\Delta} = T_{\text{rs}}$ .

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to the summation of SSB to PRACH occasion association period and 10 ms. SSB to PRACH occasion associated period is defined in the table 8.1-1 of TS 38.213 [3].

$T_{\text{rs}}$  is the SMTC periodicity of the target NR cell if the UE has been provided with an SMTC configuration for the target cell in the handover command, otherwise  $T_{\text{rs}}$  is the SMTC configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the UE is not provided SMTC configuration or measurement object on this frequency, the requirement in this section is applied with  $T_{\text{rs}}=5$ ms assuming the SSB transmission periodicity is 5ms. There is no requirement if the SSB transmission periodicity is not 5ms. If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2* prior to the handover command,  $T_{\text{rs}}$  follows *smtc1* or *smtc2* according to the physical cell ID of the target cell.

NOTE 1: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in Clause 9.2.5 for intra-frequency handover and Clause 9.3.1 for inter-frequency handover.

### 6.1.1.4 NR FR2- NR FR2 Handover

The requirements in this clause are applicable to both intra-frequency and inter-frequency handovers from NR FR2 cell to NR FR2 cell.

#### 6.1.1.4.1 Handover delay

Procedure delays for all procedures that can command a handover are specified in TS 38.331 [2].

When the UE receives a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay to be defined in clause 12 in TS 38.331 [2] plus the interruption time stated in clause 6.1.1.4.2.

#### 6.1.1.4.2 Interruption time

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay.

When intra-frequency or inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + T_{\text{processing}} + T_{\Delta} \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the handover command is received by the UE. If the target cell is a known cell, then  $T_{\text{search}} = 0$  ms. If the target cell is an unknown intra-frequency cell and the target cell Es/Iot  $\geq [-2]$  dB, then  $T_{\text{search}} = [8 * T_{\text{rs}} + 2]$  ms. If the target cell is an unknown inter-frequency cell and the target cell Es/Iot  $\geq [-2]$  dB, then  $T_{\text{search}} = [8 * 3 * T_{\text{rs}} + 2]$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{processing}}$  is time for UE processing.  $T_{\text{processing}}$  can be up to 20ms.

$T_{\Delta}$  is time for fine time tracking and acquiring full timing information of the target cell.  $T_{\Delta} = [1] * T_{\text{rs}}$  for a known target cell and  $T_{\Delta} = 0$  ms for an unknown target cell.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to the summation of SSB to PRACH occasion association period and 10 ms. SSB to PRACH occasion associated period is defined in the table 8.1-1 of TS 38.213 [3].

$T_{\text{rs}}$  is the SMTc periodicity of the target NR cell if the UE has been provided with an SMTc configuration for the target cell in the handover command, otherwise  $T_{\text{rs}}$  is the SMTc configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the UE is not provided SMTc configuration or measurement object on this frequency, the requirement in this section is applied with  $T_{\text{rs}}=5$ ms assuming the SSB transmission periodicity is 5ms. There is no requirement if the SSB transmission periodicity is not 5ms. If the UE has been provided with higher layer in TS 38.331 [2] signaling of smtc2 prior to the handover command,  $T_{\text{rs}}$  follows smtc1 or smtc2 according to the physical cell ID of the target cell.

In FR2, the target cell is known if it has been meeting the following conditions:

During the last [5] seconds before the reception of the handover command:

- the UE has sent a valid measurement report for the target cell and
- One of the SSBS measured from the NR target cell being configured remains detectable according to the cell identification conditions specified in clause 9.3 of TS 38.133 [50],
- One of the SSBS measured from the target cell also remains detectable during the handover delay according to the cell identification conditions specified in clause 9.3 of TS 38.133 [50].

otherwise it is unknown.

NOTE 1: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

NOTE 2: Void

### 6.1.1.5 NR FR1- NR FR2 Handover

The requirements in this clause are applicable to inter-frequency handovers from NR FR1 cell to NR FR2 cell.

#### 6.1.1.5.1 Handover delay

Procedure delays for all procedures that can command a handover are specified in TS 38.331 [2].

When the UE receives a RRC message implying handover the UE shall be ready to start the transmission of the new uplink PRACH channel within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.

Where:

$D_{\text{handover}}$  equals the maximum RRC procedure delay to be defined in clause 12 in TS 38.331 [2] plus the interruption time stated in clause 6.1.1.5.2.

#### 6.1.1.5.2 Interruption time

The interruption time is the time between end of the last TTI containing the RRC command on the old PDSCH and the time the UE starts transmission of the new PRACH, excluding the RRC procedure delay.

When intra-frequency or inter-frequency handover is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + T_{\text{processing}} + T_{\Delta} \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the handover command is received by the UE. If the target cell is a known cell, then  $T_{\text{search}} = 0$  ms. If the target cell is an unknown intra-frequency cell and the target cell Es/Iot  $\geq [-2]$  dB, then  $T_{\text{search}} = [8 * T_{\text{rs}} + 2]$  ms. If the target cell is an unknown inter-frequency cell and the target cell Es/Iot  $\geq [-2]$  dB, then  $T_{\text{search}} = [8 * 3 * T_{\text{rs}} + 2]$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{processing}}$  is time for UE processing.  $T_{\text{processing}}$  can be up 40ms.

$T_{\Delta}$  is time for fine time tracking and acquiring full timing information of the target cell.  $T_{\Delta} = [1] * T_{\text{rs}}$  for a known target cell and  $T_{\Delta} = 0$  ms for an unknown target cell.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to the summation of SSB to PRACH occasion association period and 10 ms. SSB to PRACH occasion associated period is defined in the table 8.1-1 of TS 38.213 [3].

$T_{\text{rs}}$  is the SMTc periodicity of the target NR cell if the UE has been provided with an SMTc configuration for the target cell in the handover command, otherwise  $T_{\text{rs}}$  is the SMTc configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the UE is not provided SMTc configuration or measurement object on this frequency, the requirement in this section is applied with  $T_{\text{rs}} = [5]$  ms assuming the SSB transmission periodicity is 5ms. There is no requirement if the SSB transmission periodicity is not 5ms. If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2* prior to the handover command,  $T_{\text{rs}}$  follows *smtc1* or *smtc2* according to the physical cell ID of the target cell.

In FR2, the target cell is known if it has been meeting the following conditions:

During the last [5] seconds before the reception of the handover command:

- the UE has sent a valid measurement report for the target cell and
- One of the SSBs measured from the NR target cell being configured remains detectable according to the cell identification conditions specified in clause 9.3 of TS 38.133 [50],
- One of the SSBs measured from the target cell also remains detectable during the handover delay according to the cell identification conditions specified in clause 9.3 of TS 38.133 [50].

otherwise it is unknown.

NOTE 1: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

NOTE 2: Void

## 6.1.2 NR Handover to other RATs

### 6.1.2.1 NR – E-UTRAN Handover

#### 6.1.2.1.1 Introduction

The purpose of inter-RAT handover from NR to E-UTRAN is to change the radio access mode of PCell from NR to E-UTRAN. The handover procedure is initiated from NR with a RRC message that implies a handover as described in TS 38.331 [2]. The requirements in this clause are applicable to SA NR, NE-DC and NR-DC.

#### 6.1.2.1.2 Handover delay

When the UE receives a RRC message implying handover to E-UTRAN the UE shall be ready to start the transmission of the uplink PRACH channel in E-UTRA within  $D_{\text{handover}}$  seconds from the end of the last TTI containing the RRC command.  $D_{\text{handover}}$  is defined as

$$D_{\text{handover}} = T_{\text{RRC\_procedure\_delay}} + T_{\text{interrupt}}$$

Where:

$T_{\text{RRC\_procedure\_delay}}$ : it is the RRC procedure delay, which is 50ms

$T_{\text{interrupt}}$ : it is the time between end of the last TTI containing the RRC command on the NR PDSCH and the time the UE starts transmission of the PRACH in E-UTRAN, excluding  $T_{\text{RRC\_procedure\_delay}}$ .  $T_{\text{interrupt}}$  is defined in clause 6.1.2.1.3.

#### 6.1.2.1.3 Interruption time

When the inter-RAT handover to E-UTRAN is commanded, the interruption time shall be less than  $T_{\text{interrupt}}$

$$T_{\text{interrupt}} = T_{\text{search}} + T_{\text{IU}} + 20 \text{ ms}$$

Where:

$T_{\text{search}}$  is the time required to search the target cell when the target cell is not already known when the handover command is received by the UE. If the target cell is known, then  $T_{\text{search}} = 0$  ms. If the target cell is unknown and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 80$  ms. Regardless of whether DRX is in use by the UE,  $T_{\text{search}}$  shall still be based on non-DRX target cell search times.

$T_{\text{IU}}$  is the interruption uncertainty in acquiring the first available PRACH occasion in the new cell.  $T_{\text{IU}}$  can be up to 30 ms.

NOTE: The actual value of  $T_{\text{IU}}$  shall depend upon the PRACH configuration used in the target cell.

In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant E-UTRAN cell identification requirements are described in clause [9.4.1].

## 6.2 RRC Connection Mobility Control

### 6.2.1 SA: RRC Re-establishment

#### 6.2.1.1 Introduction

This clause contains requirements on the UE regarding RRC connection re-establishment procedure. RRC connection re-establishment is initiated when a UE in RRC\_CONNECTED state loses RRC connection due to any of failure cases, including radio link failure, handover failure, and RRC connection reconfiguration failure. The RRC connection re-establishment procedure is specified in clause 5.3.7 of TS 38.331 [2].

The requirements in this clause are applicable for RRC connection re-establishment to NR cell.

### 6.2.1.2 Requirements

In RRC connected mode the UE shall be capable of sending *RRCReestablishmentRequest* message within  $T_{re-establish\_delay}$  seconds from the moment it detects a loss in RRC connection. The total RRC connection delay ( $T_{re-establish\_delay}$ ) shall be less than:

$$T_{re-establish\_delay} = T_{UE\_re-establish\_delay} + T_{UL\_grant}$$

$T_{UL\_grant}$ : It is the time required to acquire and process uplink grant from the target PCell. The uplink grant is required to transmit *RRCReestablishmentRequest* message.

The UE re-establishment delay ( $T_{UE\_re-establish\_delay}$ ) is specified in clause 6.2.1.2.1.

#### 6.2.1.2.1 UE Re-establishment delay requirement

The UE re-establishment delay ( $T_{UE\_re-establish\_delay}$ ) is the time between the moments when any of the conditions requiring RRC re-establishment as defined in clause 5.3.7 in TS 38.331 [2] is detected by the UE and when the UE sends PRACH to the target PCell. The UE re-establishment delay ( $T_{UE\_re-establish\_delay}$ ) requirement shall be less than:

$$T_{UE\_re-establish\_delay} = 50 + T_{identify\_intra\_NR} + \sum_{i=1}^{N_{freq}-1} T_{identify\_inter\_NR,i} + T_{SI-NR} + T_{PRACH}$$

The intra-frequency target NR cell shall be considered detectable when for each relevant SSB:

- SS-RSRP related side conditions given in clause 10.1.2 and 10.1.3 are fulfilled for a corresponding NR Band for FR1 and FR2, respectively,
- SSB\_RP and SSB\_Es/Iot according to Annex B.2.2 for a corresponding NR Band.

The inter-frequency target NR cell shall be considered detectable when for each relevant SSB:

- SS-RSRP related side conditions given in clause 10.1.4 and 10.1.5 are fulfilled for a corresponding NR Band for FR1 and FR2, respectively,
- SSB\_RP and SSB\_Es/Iot according to Annex B.2.2 for a corresponding NR Band.

$T_{identify\_intra\_NR}$ : It is the time to identify the target intra-frequency NR cell and it depends on whether the target NR cell is known cell or unknown cell and on the frequency range (FR) of the target NR cell. If the UE is not configured with intra-frequency NR carrier for RRC re-establishment then  $T_{identify\_intra\_NR}=0$ ; otherwise  $T_{identify\_intra\_NR}$  shall not exceed the values defined in table 6.2.1.2.1-1.

$T_{identify\_inter\_NR,i}$ : It is the time to identify the target inter-frequency NR cell on inter-frequency carrier  $i$  configured for RRC re-establishment and it depends on whether the target NR cell is known cell or unknown cell and on the frequency range (FR) of the target NR cell.  $T_{identify\_inter\_NR,i}$  shall not exceed the values defined in table 6.2.1.2.1-2.

$T_{SMTC}$ : It is the periodicity of the SMTC occasion configured for the intra-frequency carrier. If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2*,  $T_{smtc}$  follows *smtc1* or *smtc2* according to the physical cell ID of the target cell.

$T_{SMTC,i}$ : It is the periodicity of the SMTC occasion configured for the inter-frequency carrier  $i$ . If it is not configured, the UE may assume that the target SSB periodicity is no larger than 20 ms.

$T_{SI-NR}$  = It is the time required for receiving all the relevant system information according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 38.331 [2] for the target NR cell.

$T_{PRACH}$  = It is the delay caused due to the random access procedure when sending random access to the target NR cell. The delay depends on the PRACH configuration defined in Table 6.3.3.2-2 [6] or Table 6.3.3.2-3 [6] for FR1 and in Table 6.3.3.2-4 [6] for FR2.

$N_{freq}$ : It is the total number of NR frequencies to be monitored for RRC re-establishment;  $N_{freq}=1$  if the target intra-frequency NR cell is known, else  $N_{freq}=2$  and  $T_{identify\_intra\_NR}=0$  if the target inter-frequency NR cell is known.

There is no requirement if the target cell does not contain the UE context.

In the requirement defined in the below tables, the target FR1 cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown.

**Table 6.2.1.2.1-1: Time to identify target NR cell for RRC connection re-establishment to NR intra-frequency cell**

Serving cell SSB $\hat{E}_{\text{S}}/\text{lot}$ (dB)	Frequency range (FR) of target NR cell	$T_{\text{identify\_intra\_NR}}$ [ms]	
		Known NR cell	Unknown NR cell
$\geq [-8]$	FR1	MAX (200 ms, [5] $\times T_{\text{SMTC}}$ )	MAX (800 ms, [10] $\times T_{\text{SMTC}}$ )
$\geq [-8]$	FR2	N/A	MAX (1000 ms, [80] $\times T_{\text{SMTC}}$ )
$< [-8]$	FR1	N/A	800 <sup>Note1</sup>
$< [-8]$	FR2	N/A	3520 <sup>Note1</sup>

Note 1: The UE is not required to successfully identify a cell on any NR frequency layer when  $T_{\text{SMTC}} > 20$  ms and serving cell SSB  $\hat{E}_{\text{S}}/\text{lot} < [-8]$  dB.

**Table 6.2.1.2.1-2: Time to identify target NR cell for RRC connection re-establishment to NR inter-frequency cell**

Serving cell SSB $\hat{E}_{\text{S}}/\text{lot}$ (dB)	Frequency range (FR) of target NR cell	$T_{\text{identify\_inter\_NR}, i}$ [ms]	
		Known NR cell	Unknown NR cell
$\geq [-8]$	FR1	MAX (200 ms, [6] $\times T_{\text{SMTC}, i}$ )	MAX (800 ms, [13] $\times T_{\text{SMTC}, i}$ )
$\geq [-8]$	FR2	N/A	MAX (1000 ms, [104] $\times T_{\text{SMTC}, i}$ )
$< [-8]$	FR1	N/A	800 <sup>Note1</sup>
$< [-8]$	FR2	N/A	4000 <sup>Note1</sup>

Note 1: The UE is not required to successfully identify a cell on any NR frequency layer when  $T_{\text{SMTC}, i} > 20$  ms and serving cell SSB  $\hat{E}_{\text{S}}/\text{lot} < [-8]$  dB.

## 6.2.2 Random access

### 6.2.2.1 Introduction

This clause contains requirements on the UE regarding random access procedure. The random access procedure is initiated to establish uplink time synchronization for a UE which either has not acquired or has lost its uplink synchronization, or to convey UE's request Other SI, or for beam failure recovery. The random access is specified in clause 8 of TS 38.213 [3] and the control of the RACH transmission is specified in clause 5.1 of TS 38.321 [7].

### 6.2.2.2 Requirements

The UE shall have capability to calculate PRACH transmission power according to the PRACH power formula defined in TS 38.213 [3] and apply this power level at the first preamble or additional pREAMbles. The absolute power applied to the first preamble shall have an accuracy as specified in Table 6.3.4.2-1 of TS 38.101-1 [18] for frequency range 1 and in Table 6.3.4.2-1 of TS 38.101-2 [19] for frequency range 2. The relative power applied to additional pREAMbles shall have an accuracy as specified in Table 6.3.4.3-1 of TS 38.101-1 [18] for frequency range 1 and clause 6.3.4.3 of TS38.101-2 [19] for frequency range 2.

The UE shall indicate a Random Access problem to upper layers if the maximum number of preamble transmission counter has been reached for the random access procedure on PCell or PSCell as specified in clause 5.1.4 in TS 38.321 [7].

The requirements in this section apply for UE in SA operation mode or any MR-DC operation mode.

#### 6.2.2.2.1 Contention based random access

##### 6.2.2.2.1.1 Correct behaviour when transmitting Random Access Preamble

With the UE selected SSB with SS-RSRP above  $rsrp\text{-ThresholdSSB}$ , UE shall have the capability to select a Random Access Preamble randomly with equal probability from the Random Access Preambles associated with the selected SSB

if the association between Random Access Preambles and SS blocks is configured, as specified in clause 5.1.2 in TS 38.321 [7].

With the UE selected SSB with SS-RSRP above  $rsrp\text{-}ThresholdSSB$ , UE shall have the capability to transmit Random Access Preamble on the next available PRACH occasion from the PRACH occasions corresponding to the selected SSB permitted by the restrictions given by the  $ra\text{-}ssb\text{-}OccasionMaskIndex$  if configured, if the association between PRACH occasions and SSBs is configured, and PRACH occasion shall be randomly selected with equal probability amongst the selected SSB associated PRACH occasions occurring simultaneously but on different subcarriers, as specified in clause 5.1.2 in TS 38.321 [7].

#### 6.2.2.2.1.2 Correct behaviour when receiving Random Access Response

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure defined in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

#### 6.2.2.2.1.3 Correct behaviour when not receiving Random Access Response

The UE shall again perform the Random Access Resource selection procedure defined in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window defined in clause 5.1.4 in TS 38.321 [7].

#### 6.2.2.2.1.4 Correct behaviour when receiving a NACK on msg3

The UE shall re-transmit the msg3 upon the reception of a NACK on msg3.

#### 6.2.2.2.1.5 Correct behaviour when receiving a message over Temporary C-RNTI

The UE shall send ACK if the Contention Resolution is successful.

The UE shall again perform the Random Access Resource selection procedure defined in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

#### 6.2.2.2.1.6 Correct behaviour when contention Resolution timer expires

The UE shall re-select a preamble and transmit with the calculated PRACH transmission power when the backoff time expires if the Contention Resolution Timer expires.

### 6.2.2.2 Non-Contention based random access

#### 6.2.2.2.1 Correct behaviour when transmitting Random Access Preamble

If the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs is configured, with the UE selected SSB with SS-RSRP above  $rsrp\text{-}ThresholdSSB$  amongst the associated SSBs, UE shall have the capability to select the Random Access Preamble corresponding to the selected SSB, and to transmit Random Access Preamble on the next available PRACH occasion from the PRACH occasions corresponding to the selected SSB permitted by the restrictions given by the  $ra\text{-}ssb\text{-}OccasionMaskIndex$  if configured, and PRACH occasion shall be randomly selected with equal probability amongst the selected SSB associated PRACH occasions occurring simultaneously but on different subcarriers, as specified in clause 5.1.2 in TS 38.321 [7].

If the contention-free Random Access Resources and the contention-free PRACH occasions associated with CSI-RSs is configured, with the UE selected CSI-RS with CSI-RSRP above  $cfra\text{-}csirs\text{-}DedicatedRACH\text{-}Threshold$  amongst the associated CSI-RSs, UE shall have the capability to select the Random Access Preamble corresponding to the selected CSI-RS, and to transmit Random Access Preamble on the next available PRACH occasion from the PRACH occasions in  $ra\text{-}OccasionList$  corresponding to the selected CSI-RS, and PRACH occasion shall be randomly selected with equal

probability amongst the selected CSI-RS associated PRACH occasions occurring simultaneously but on different subcarriers, as specified in clause 5.1.2 in TS 38.321 [7].

If the random access procedure is initialized for beam failure recovery and if the contention-free Random Access Resources and the contention-free PRACH occasions for beam failure recovery request associated with any of the SSBs and/or CSI-RSs is configured, UE shall have the capability to select the Random Access Preamble corresponding to the selected SSB with SS-RSRP above  $rsrp\text{-}ThresholdSSB$  amongst the associated SSBs or the selected CSI-RS with CSI-RSRP above  $cfra\text{-}csirs\text{-}DedicatedRACH\text{-}Threshold$  amongst the associated CSI-RSs, and to transmit Random Access Preamble on the next available PRACH occasion from the PRACH occasions corresponding to the selected SSB permitted by the restrictions given by the  $ra\text{-}ssb\text{-}OccasionMaskIndex$  if configured, or from the PRACH occasions in  $ra\text{-}OccasionList$  corresponding to the selected CSI-RS, and PRACH occasion shall be randomly selected with equal probability amongst the selected SSB associated PRACH occasions or the selected CSI-RS associated PRACH occasions occurring simultaneously but on different subcarriers, as specified in clause 5.1.2 in TS 38.321 [7].

#### 6.2.2.2.2.2 Correct behaviour when receiving Random Access Response

The UE may stop monitoring for Random Access Response(s), if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble, unless the random access procedure is initialized for Other SI request from UE.

The UE may stop monitoring for Random Access Response(s) and shall monitor the Other SI transmission if the Random Access Response only contains a Random Access Preamble identifier which is corresponding to the transmitted Random Access Preamble and the random access procedure is initialized for SI request from UE, as specified in clause 5.1.4 in TS 38.321 [7].

The UE may stop monitoring for Random Access Response(s), if the contention-free Random Access Preamble for beam failure recovery request was transmitted and if the PDCCH addressed to UE's C-RNTI is received, as specified in clause 5.1.4 in TS 38.321 [7].

The UE shall again perform the Random Access Resource selection procedure defined in clause 5.1.2 in TS 38.321 [7] for the next available PRACH occasion, and transmit the preamble with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

#### 6.2.2.2.2.3 Correct behaviour when not receiving Random Access Response

The UE shall again perform the Random Access Resource selection procedure defined in clause 5.1.2 in TS 38.321 [7] for the next available PRACH occasion, and transmit the preamble with the calculated PRACH transmission power, if no Random Access Response is received within the RA Response window configured in *RACH-ConfigCommon* or if no PDCCH addressed to UE's C-RNTI is received within the RA Response window configured in *BeamFailureRecoveryConfig*, as defined in clause 5.1.4 in TS 38.321 [7].

#### 6.2.2.2.3 UE behaviour when configured with supplementary UL

In addition to the requirements defined in clause 6.2.2.2.1 and 6.2.2.2.2, a UE configured with supplementary UL carrier shall use RACH configuration for the supplementary UL carrier contained in RMSI and RRC dedicated signalling. If the cell for the random access procedure is configured with supplementary UL, the UE shall transmit or re-transmit PRACH preamble on the supplementary UL carrier if the SS-RSRP measured by the UE on the DL carrier is lower than the  $rsrp\text{-}ThresholdSSB\text{-}SUL$  as defined in TS 38.331 [2].

### 6.2.3 SA: RRC Connection Release with Redirection

#### 6.2.3.1 Introduction

This clause contains requirements on the UE regarding RRC connection release with redirection procedure. RRC connection release with redirection is initiated by the *RRCCConnectionRelease* message with redirection to E-UTRAN or NR from NR specified in TS 38.331 [2]. The RRC connection release with redirection procedure is specified in clause 5.3.8 of TS 38.331 [2].

### 6.2.3.2 Requirements

#### 6.2.3.2.1 RRC connection release with redirection to NR

The UE shall be capable of performing the RRC connection release with redirection to the target NR cell within  $T_{connection\_release\_redirect\_NR}$ .

The time delay ( $T_{connection\_release\_redirect\_NR}$ ) is the time between the end of the last slot containing the RRC command, “*RRCRelease*” (TS 38.331 [2]) on the NR PDSCH and the time the UE starts to send random access to the target NR cell. The time delay ( $T_{connection\_release\_redirect\_NR}$ ) shall be less than:

$$T_{connection\_release\_redirect\_NR} = T_{RRC\_procedure\_delay} + T_{identify-NR} + T_{SI-NR} + T_{RACH}$$

The target NR cell shall be considered detectable when for each relevant SSB, the side conditions should be met that,

- SSB\_RP and SSB\_Es/Iot according to Annex B.2.5 for a corresponding NR Band.

$T_{RRC\_procedure\_delay}$ : It is the RRC procedure delay for processing the received message “*RRCRelease*” as defined in clause 6.2.2 of TS 38.331 [2].

$T_{identify-NR}$ : It is the time to identify the target NR cell and depends on the frequency range (FR) of the target NR cell. It is defined in table 6.2.3.2.1-1. Note that  $T_{identify-NR} = T_{PSS/SSS-sync} + T_{meas}$ , in which  $T_{PSS/SSS-sync}$  is the cell search time and  $T_{meas}$  is the measurement time due to cell selection criteria evaluation.

$T_{SI-NR}$ : It is the time required for acquiring all the relevant system information of the target NR cell. This time depends upon whether the UE is provided with the relevant system information of the target NR cell or not by the old NR cell before the RRC connection is released.

$T_{RACH}$ : It is the delay caused due to the random access procedure when sending random access to the target NR cell. This delay depends on the PRACH configuration defined in Table 6.3.3.2-2 [6] or Table 6.3.3.2-3 [6] for FR1 and in Table 6.3.3.2-4 [6] for FR2.

$T_{rs}$  is the SMTc periodicity of the target NR cell if the UE has been provided with an SMTc configuration for the target cell in the redirection command, otherwise  $T_{rs}$  is the SMTc periodicity configured in the *measObjectNR* having the same SSB frequency and subcarrier spacing configured for the RRC connection release with redirection. If the UE is not provided with SMTc configuration or measurement object for the frequency which is also configured for the RRC connection release with redirection then:

- the requirement in this section is applied with  $T_{rs} = 20$  ms assuming the SSB transmission periodicity is not larger than 20 ms,
- there is no requirement if the SSB transmission periodicity is larger than 20ms.

**Table 6.2.3.2.1-1: Time to identify target NR cell for RRC connection release with redirection to NR**

Frequency range (FR) of target NR cell	$T_{identify-NR}$
FR1	MAX (680 ms, [11] x $T_{rs}$ )
FR2	MAX (880 ms, 8x[11] x $T_{rs}$ )

Note: If the UE has been provided with higher layer signaling of *smtc2* specified in TS 38.331 [2] prior to the redirection command,  $T_{rs}$  follows *smtc1* or *smtc2* according to the physical cell ID of the target cell.

#### 6.2.3.2.2 RRC connection release with redirection to E-UTRAN

The UE shall be capable of performing the RRC connection release with redirection to the target E-UTRAN cell within  $T_{connection\_release\_redirect\_E-UTRA}$ .

The time delay ( $T_{connection\_release\_redirect\_E-UTRA}$ ) is the time between the end of the last slot containing the RRC command, “*RRCRelease*” (TS 38.331 [2]) on the PDSCH and the time the UE starts to send random access to the target E-UTRA cell. The time delay ( $T_{connection\_release\_redirect\_E-UTRA}$ ) shall be less than:

$$T_{connection\_release\_redirect\_E-UTRA} = T_{RRC\_procedure\_delay} + T_{identify-E-UTRA} + T_{SI-E-UTRA} + T_{RACH}$$

The target E-UTRA FDD or TDD cell shall be considered detectable provided the following conditions are fulfilled:

- the same conditions as for inter-frequency RSRP measurements specified in annex B.1.2 of TS 36.133 [15] are fulfilled for a corresponding Band, and
- the same conditions as for inter-frequency RSRQ measurements specified in annex B.1.2 of TS 36.133 [15] are fulfilled for a corresponding Band.
- SCH conditions specified in annex B.1.2 of TS 36.133 [15] are fulfilled for a corresponding Band.

$T_{RRC\_procedure\_delay}$ : It is the RRC procedure delay for processing the received message “*RRCRelease*” as defined in clause 6.2.2 of TS 38.331 [2].

$T_{SI-E-UTRA}$ : It is the time to identify the target E-UTRA cell. It shall be less than 320 ms.

$T_{SI-E-UTRA}$ : It is the time required for acquiring all the relevant system information of the target E-UTRA cell. This time depends upon whether the UE is provided with the relevant system information (SI) of the target E-UTRA cell or not by the old NR cell before the RRC connection is released.

$T_{RA}$ : It is the delay caused due to the random access procedure when sending random access to the target E-UTRA cell.

## 7 Timing

### 7.1 UE transmit timing

#### 7.1.1 Introduction

The UE shall have capability to follow the frame timing change of the reference cell in connected state. The uplink frame transmission takes place  $(N_{TA} + N_{TA\ offset}) \times T_c$  before the reception of the first detected path (in time) of the corresponding downlink frame from the reference cell. For serving cell(s) in PTAG, UE shall use the SpCell as the reference cell for deriving the UE transmit timing for cells in the PTAG. For serving cell(s) in STAG, UE shall use any of the activated SCells as the reference cell for deriving the UE transmit timing for the cells in the STAG. UE initial transmit timing accuracy, maximum amount of timing change in one adjustment, minimum and maximum adjustment rate are defined in the following requirements.

#### 7.1.2 Requirements

The UE initial transmission timing error shall be less than or equal to  $\pm T_e$  where the timing error limit value  $T_e$  is specified in Table 7.1.2-1. This requirement applies:

- when it is the first transmission in a DRX cycle for PUCCH, PUSCH and SRS or it is the PRACH transmission.

The UE shall meet the  $T_e$  requirement for an initial transmission provided that at least one SSB is available at the UE during the last 160 ms. The reference point for the UE initial transmit timing control requirement shall be the downlink timing of the reference cell minus  $(N_{TA} + N_{TA\ offset}) \times T_c$ . The downlink timing is defined as the time when the first detected path (in time) of the corresponding downlink frame is received from the reference cell.  $N_{TA}$  for PRACH is defined as 0.

$(N_{TA} + N_{TA\ offset}) \times T_c$  (in  $T_c$  units) for other channels is the difference between UE transmission timing and the downlink timing immediately after when the last timing advance in clause 7.3 was applied.  $N_{TA}$  for other channels is not changed until next timing advance is received. The value of  $N_{TA\ offset}$  depends on the duplex mode of the cell in which the uplink transmission takes place and the frequency range (FR).  $N_{TA\ offset}$  is defined in Table 7.1.2-2.

**Table 7.1.2-1:  $T_e$  Timing Error Limit**

Frequency Range	SCS of SSB signals (KHz)	SCS of uplink signals s(KHz)	$T_e$
1	15	15	$12*64*T_c$
		30	$10*64*T_c$
		60	$10*64*T_c$
	30	15	$8*64*T_c$
		30	$8*64*T_c$
		60	$7*64*T_c$
2	120	60	$3.5*64*T_c$
		120	$3.5*64*T_c$
	240	60	$3*64*T_c$
		120	$3*64*T_c$

Note 1:  $T_c$  is the basic timing unit defined in TS 38.211 [6]

**Table 7.1.2-2: The Value of  $N_{TA\ offset}$** 

Frequency range and band of cell used for uplink transmission	$N_{TA\ offset}$ (Unit: $T_c$ )
FR1 FDD band without LTE-NR coexistence case or FR1 TDD band without LTE-NR coexistence case	25600 (Note 1)
FR1 FDD band with LTE-NR coexistence case	0 (Note 1)
FR1 TDD band with LTE-NR coexistence case	39936 (Note 1)
FR2	13792

Note 1: The UE identifies  $N_{TA\ offset}$  based on the information n-TimingAdvanceOffset according to [2]. If UE is not provided with the information n-TimingAdvanceOffset, the default value of  $N_{TA\ offset}$  is set as 25600 for FR1 band. In case of multiple UL carriers in the same TAG, UE expects that the same value of n-TimingAdvanceOffset is provided for all the UL carriers according to clause 4.2 in TS 38.213 [3] and the value 39936 of  $N_{TA\ offset}$  can also be provided for a FDD serving cell.

Note 2: Void

When it is not the first transmission in a DRX cycle or there is no DRX cycle, and when it is the transmission for PUCCH, PUSCH and SRS transmission, the UE shall be capable of changing the transmission timing according to the received downlink frame of the reference cell except when the timing advance in clause 7.3 is applied.

**Table 7.1.2-3: void**

### 7.1.2.1 Gradual timing adjustment

When the transmission timing error between the UE and the reference timing exceeds  $\pm T_e$  then the UE is required to adjust its timing to within  $\pm T_e$ . The reference timing shall be  $(N_{TA} + N_{TA\ offset}) \times T_c$  before the downlink timing of the reference cell. All adjustments made to the UE uplink timing shall follow these rules:

- 1) The maximum amount of the magnitude of the timing change in one adjustment shall be  $T_q$ .
- 2) The minimum aggregate adjustment rate shall be  $T_p$  per second.
- 3) The maximum aggregate adjustment rate shall be  $T_q$  per 200 ms.

where the maximum autonomous time adjustment step  $T_q$  and the aggregate adjustment rate  $T_p$  are specified in Table 7.1.2.1-1.

**Table 7.1.2.1-1:  $T_q$  Maximum Autonomous Time Adjustment Step and  $T_p$  Minimum Aggregate Adjustment rate**

Frequency Range	SCS of uplink signals (KHz)	$T_q$	$T_p$
1	15	$5.5*64*T_c$	$5.5*64*T_c$
	30	$5.5*64*T_c$	$5.5*64*T_c$
	60	$5.5*64*T_c$	$5.5*64*T_c$
2	60	$2.5*64*T_c$	$2.5*64*T_c$
	120	$2.5*64*T_c$	$2.5*64*T_c$

NOTE:  $T_c$  is the basic timing unit defined in TS 38.211 [6]

### 7.1.2.2 One shot timing adjustment

When the magnitude of the  $\Delta T$  exceeds H then the UE shall adjust its transmission timing in one adjustment only once provided that the following conditions are met at the UE. Otherwise when the the magnitude of the  $\Delta T \leq H$  then the UE shall adjust its transmission timing according to the rules defined in clause 7.1.2.1.

- SSB\_RP and SSB\_Es/Iot according to Annex B.2.6.1 for a corresponding operating Band,

The UE transmit timing immediately after applying the one shot timing adjustment shall be:  $T_2 - (N_{TA} + N_{TA\ offset}) + 2 \times (T_1 - T_2)$ . After applying the one shot timing adjustment the UE shall adjust its transmission timing according to the rules defined in clause 7.1.2.1.

Where:  $\Delta T = |T_1 - T_2|$

- $T_1$  is the reception time at the UE just before the one shot timing adjustment,
- $T_2$  is the reception time to be used at the UE just after the one shot timing adjustment,
- H is defined in table 7.1.2.2-1.

**Table 7.1.2.2-1: The value of H**

Frequency Range	SCS of SSB signals (KHz)	SCS of uplink signals s(KHz)	H [Tc]
1	15	15	TBD
		30	TBD
		60	TBD
	30	15	TBD
		30	TBD
		60	TBD
2	120	60	TBD
		120	TBD
	240	60	TBD
		120	TBD

## 7.2 UE timer accuracy

### 7.2.1 Introduction

UE timers are used in different protocol entities to control the UE behaviour.

### 7.2.2 Requirements

For UE timers specified in TS 38.331 [2], the UE shall comply with the timer accuracies according to Table 7.2.2-1.

The requirements are only related to the actual timing measurements internally in the UE. They do not include the following:

- Inaccuracy in the start and stop conditions of a timer (e.g. UE reaction time to detect that start and stop conditions of a timer is fulfilled), or
- Inaccuracies due to restrictions in observability of start and stop conditions of a UE timer (e.g. slot alignment when UE sends messages at timer expiry).

**Table 7.2.2-1**

Timer value [s]	Accuracy
timer value < 4	$\pm 0.1\text{s}$
timer value $\geq 4$	$\pm 2.5\%$

## 7.3 Timing advance

### 7.3.1 Introduction

The timing advance is initiated from gNB to UE in EN-DC, NR-DC, NE-DC and NR SA operation modes,, with MAC message that implies and adjustment of the timing advance, as defined in clause 5.2 of TS 38.321 [7].

### 7.3.2 Requirements

#### 7.3.2.1 Timing Advance adjustment delay

UE shall adjust the timing of its uplink transmission timing at time slot  $n+k+1$  for a timing advance command received in time slot  $n$ , and the value of  $k$  is defined in clause 4.2 in TS 38.213 [3]. The same requirement applies also when the UE is not able to transmit a configured uplink transmission due to the channel assessment procedure.

#### 7.3.2.2 Timing Advance adjustment accuracy

The UE shall adjust the timing of its transmissions with a relative accuracy better than or equal to the UE Timing Advance adjustment accuracy requirement in Table 7.3.2.2-1, to the signalled timing advance value compared to the timing of preceding uplink transmission. The timing advance command step is defined in TS 38.213 [3].

**Table 7.3.2.2-1: UE Timing Advance adjustment accuracy**

UL Sub Carrier Spacing, SCS kHz	15	30	60	120
UE Timing Advance adjustment accuracy	$\pm 256 T_c$	$\pm 256 T_c$	$\pm 128 T_c$	$\pm 32 T_c$

## 7.4 Cell phase synchronization accuracy

### 7.4.1 Definition

Cell phase synchronization accuracy for TDD is defined as the maximum absolute deviation in frame start timing between any pair of cells on the same frequency that have overlapping coverage areas.

### 7.4.2 Minimum requirements

The cell phase synchronization accuracy measured at BS antenna connectors shall be better than  $3 \mu\text{s}$ .

## 7.5 Maximum Transmission Timing Difference

### 7.5.1 Introduction

A UE shall be capable of handling a relative transmission timing difference between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell to be aggregated for EN-DC operation.

A UE shall be capable of handling a relative transmission timing difference among slot timing boundaries of different carriers to be aggregated in NR carrier aggregation.

### 7.5.2 Minimum Requirements for inter-band EN-DC

The UE shall be capable of handling a maximum uplink transmission timing difference between E-UTRA PCell and PSCell as shown in Table 7.5.2-1.

**Table 7.5.2-1 Maximum uplink transmission timing difference requirement for asynchronous EN-DC**

Sub-carrier spacing in E-UTRA PCell (kHz)	UL Sub-carrier spacing for data in PSCell (kHz)	Maximum uplink transmission timing difference (μs)
15	15	500
15	30	250
15	60	125
15	120 <sup>Note1</sup>	62.5

NOTE 1: For E-UTRA FDD-NR FDD and E-UTRA TDD-NR TDD intra-band EN-DC, for which the requirement is defined in clause 7.5.3 and this Table 7.5.2-1 is also applicable, the scenario with 120kHz PSCell does not exist.

The UE shall be capable of handling a maximum uplink transmission timing difference between E-UTRA PCell and PSCell as shown in Table 7.5.2-2. The requirements for synchronous EN-DC are applicable for E-UTRA TDD-NR TDD, E-UTRA FDD-NR FDD, E-UTRA TDD-NR FDD and E-UTRA FDD-NR TDD inter-band EN-DC.

**Table 7.5.2-2 Maximum uplink transmission timing difference requirement for inter-band synchronous EN-DC**

Sub-carrier spacing in E-UTRA PCell (kHz)	UL Sub-carrier spacing for data in PSCell (kHz)	Maximum uplink transmission timing difference (μs)
15	15	35.21
15	30	35.21
15	60	35.21
15	120	35.21

NOTE 1: Void

### 7.5.3 Minimum Requirements for intra-band EN-DC

For intra-band EN-DC, only co-located deployment is applied.

The UE shall be capable of handling a maximum uplink transmission timing difference between E-UTRA PCell and PSCell as shown in Table 7.5.2-1 for E-UTRA FDD-NR FDD intra-band EN-DC provided the UE indicates that it is capable of asynchronous EN-DC operation [16].

The UE shall be capable of handling a maximum uplink transmission timing difference between E-UTRA PCell and PSCell as shown in Table 7.5.3-1 for E-UTRA TDD-NR TDD and E-UTRA FDD-NR FDD intra-band EN-DC provided the UE indicates that it is only capable of synchronous EN-DC operation [16]

**Table 7.5.3-1: Maximum uplink transmission timing difference requirement for intra-band synchronous EN-DC**

Sub-carrier spacing in E-UTRA PCell (kHz)	UL Sub-carrier spacing for data in PSCell (kHz)	Maximum uplink transmission timing difference (μs)
15	15	5.21 <sup>Note1</sup>
15	30	5.21
15	60	5.21
NOTE 1: This is not applicable for a UE which indicates the capability of only supporting single UL timing ( <i>ul-TimingAlignmentEUTRA-NR</i> is signalled). Single UL timing for E-UTRA and NR cell is assumed for this UE.		

*Editot's Note: UE transmit signal quality degradation could be expected if the maximum transmit time difference exceeds a certain threshold. The threshold and how to specify corresponding requirements is FFS.*

#### 7.5.4 Minimum Requirements for NR Carrier Aggregation

The UE shall be capable of handling at least a relative transmission timing difference between slot timing of different TAGs as shown in Table 7.5.4-1, provided that the UE is:

- configured with the pTAG and the sTAG for inter-band NR carrier aggregation in SA or NR-DC mode, or
- configured with more than one sTAG for inter-band NR carrier aggregation in EN-DC or NE-DC mode.

**Table 7.5.4-1: Maximum transmission timing difference requirement for inter-band NR carrier aggregation**

Frequency Range	Maximum transmission timing difference (μs)
FR1	34.6
FR2	8.5
Between FR1 and FR2	26.1

#### 7.5.5 Minimum Requirements for inter-band NE-DC

The UE shall be capable of handling a maximum uplink transmission timing difference between NR PCell and PSCell as shown in Table 7.5.5-1.

**Table 7.5.5-1: Maximum uplink transmission timing difference requirement for asynchronous NE-DC**

Sub-carrier spacing in NR PCell (kHz)	UL Sub-carrier spacing for data in PSCell (kHz)	Maximum uplink transmission timing difference (μs)
15	15	500
30	15	250
60	15	125
120 <sup>Note1</sup>	15	62.5
NOTE 1: For NR FDD- E-UTRA FDD and NR TDD- E-UTRA TDD intra-band NE-DC, for which the requirement is defined in clause [7.5.X] and this Table 7.5.5-1 is also applicable, the scenario with 120kHz PCell does not exist.		

The UE shall be capable of handling a maximum uplink transmission timing difference between NR PCell and PSCell as shown in Table 7.5.5-2. The requirements for synchronous NE-DC are applicable for NR TDD- E-UTRA TDD, NR FDD- E-UTRA FDD, NR TDD- E-UTRA FDD and NR FDD- E-UTRA TDD inter-band NE-DC.

**Table 7.5.5-2: Maximum uplink transmission timing difference requirement for inter-band synchronous NE-DC**

Sub-carrier spacing in NR PCell (kHz)	UL Sub-carrier spacing for data in PSCell (kHz)	Maximum uplink transmission timing difference (μs)
15	15	35.21
30	15	35.21
60	15	35.21
120	15	35.21
NOTE 1: Void		

*Editor Note: It is FFS the necessity of inter-band NE-DC synchronous requirement for MTTD.*

## 7.5.6 Minimum Requirements for inter-band NR-NR DC

The UE shall be capable of handling a maximum uplink transmission timing difference between PCell and PSCell as shown in Table 7.5.6-1 provided that the UE indicates that it is capable of synchronous NR-NR DC [16].

**Table 7.5.6-1: Maximum transmission timing difference requirement for inter-band NR-NR synchronous dual connectivity**

Frequency Range		Maximum transmission timing difference (μs)
PCell	PSCell	
FR1	FR2	34.1

## 7.6 Maximum Receive Timing Difference

### 7.6.1 Introduction

A UE shall be capable of handling a relative receive timing difference between subframe timing boundary of a E-UTRA cell belonging to the MCG and slot timing boundary of a cell belonging to SCG to be aggregated for EN-DC operation.

A UE shall be capable of handling a relative receive timing difference between slot timing boundary of a cell belonging to MCG and subframe timing boundary of a E-UTRA cell belonging to the SCG to be aggregated for NE-DC operation.

A UE shall be capable of handling a relative receive timing difference between slot timing boundary of a cell belonging to MCG and slot timing boundary of a cell belonging to the SCG to be aggregated for NR DC operation. A UE shall be capable of handling a relative receive timing difference among slot timing boundaries of different carriers to be aggregated in NR carrier aggregation.

### 7.6.2 Minimum Requirements for inter-band EN-DC

The UE shall be capable of handling at least a relative receive timing difference between subframe timing of signal from a E-UTRA cell belonging to the MCG and slot timing of signal from a cell belonging to SCG at the UE receiver as shown in Table 7.6.2-1.

**Table 7.6.2-1: Maximum receive timing difference requirement for asynchronous EN-DC**

Sub-carrier spacing of E-UTRA cell in MCG (kHz)	DL Sub-carrier spacing of cell in SCG (kHz) (Note 1)	Maximum receive timing difference (μs)
15	15	500
15	30	250
15	60	125
15	120 <sup>Note2</sup>	62.5

NOTE 1: DL Sub-carrier spacing is min{SCS<sub>SSS</sub>, SCS<sub>DATA</sub>}.

NOTE 2: For E-UTRA FDD-NR FDD and E-UTRA TDD-NR TDD intra-band EN-DC, for which the requirement is defined in clause 7.6.3 and this Table 7.6.2-1 is also applicable, the scenario with 120 kHz does not exist.

The UE shall be capable of handling at least a relative receive timing difference between subframe timing of signal from a E-UTRA cell belonging to the MCG and slot timing of signal from a cell belonging to SCG at the UE receiver as shown in Table 7.6.2-2. The requirements for synchronous EN-DC are applicable for E-UTRA TDD-NR TDD, E-UTRA FDD-NR FDD, E-UTRA TDD-NR FDD and E-UTRA FDD-NR TDD inter-band EN-DC.

**Table 7.6.2-2: Maximum receive timing difference requirement for inter-band synchronous EN-DC**

Sub-carrier spacing of E-UTRA cell in MCG/Cell (kHz)	DL Sub-carrier spacing of cell in SCG (kHz) (Note1)	Maximum receive timing difference (μs)
15	15	33
15	30	
15	60	
15	120	

NOTE 1: DL Sub-carrier spacing is min{SCS<sub>SSS</sub>, SCS<sub>DATA</sub>}.

NOTE 2: Void

**Table 7.6.2-3 Void**

### 7.6.3 Minimum Requirements for intra-band EN-DC

For intra-band EN-DC, only collocated deployment is applied.

The UE shall be capable of handling at least a relative receive timing difference between subframe timing of signal from a E-UTRA cell belonging to the MCG and slot timing of signal from a cell belonging to the SCG as shown in Table 7.6.2-1 for E-UTRA FDD-NR FDD intra-band EN-DC provided the UE indicates that it is capable of asynchronous EN-DC operation [16].

The UE shall be capable of handling at least a relative receive timing difference between subframe timing of signal from a E-UTRA cell belonging to the MCG and slot timing of signal from a cell belonging to the SCG as shown in Table 7.6.3-1. The requirements for synchronous EN-DC are applicable for E-UTRA TDD-NR TDD and E-UTRA FDD-NR FDD intra-band EN-DC.

**Table 7.6.3-1 Maximum receive timing difference requirement for intra-band synchronous EN-DC**

Sub-carrier spacing of E-UTRA cell in MCG (kHz)	DL Sub-carrier spacing of cell in SCG (kHz) Note1	Maximum receive timing difference (μs)
15	15	3
15	30	3
15	60	3

NOTE 1: DL Sub-carrier spacing is min{SCS<sub>SSS</sub>, SCS<sub>DATA</sub>}.

**Table 7.6.3-2 Void**

## 7.6.4 Minimum Requirements for NR Carrier Aggregation

For intra-band CA, only co-located deployment is applied. For intra-band non-contiguous NR carrier aggregation, the UE shall be capable of handling at least a relative receive timing difference between slot timing of different carriers to be aggregated at the UE receiver as shown in Table 7.6.4-1 below.

**Table 7.6.4-1: Maximum receive timing difference requirement for intra-band non-contiguous NR carrier aggregation**

Frequency Range	Maximum receive timing difference ( $\mu$ s)
FR1	3
FR2	0.26

*Editot's Note: there could be UE performance degradation for some UE implementations when the relative receiving timing difference is greater than a threshold for downlink SCS higher than 15KHz in FR1. How to specify this requirement is FFS.*

For inter-band NR carrier aggregation, the UE shall be capable of handling at least a relative receive timing difference between slot timing of different carriers to be aggregated at the UE receiver as shown in Table 7.6.4-2 below.

**Table 7.6.4-2: Maximum receive timing difference requirement for inter-band NR carrier aggregation**

Frequency Range	Maximum receive timing difference ( $\mu$ s)
FR1	33
FR2	8
Between FR1 and FR2	25

## 7.6.5 Minimum Requirements for inter-band NE-DC

The UE shall be capable of handling at least a relative receive timing difference between slot timing of signal from a cell belonging to the MCG and subframe timing of signal from a E-UTRA cell belonging to the SCG at the UE receiver for asynchronous NE-DC as shown in Table 7.6.5-1.

**Table 7.6.5-1: Maximum receive timing difference requirement for asynchronous NE-DC**

Sub-carrier spacing of cell in MCG (kHz)	DL Sub-carrier spacing of EUTRA cell in SCG (kHz) (Note 1)	Maximum receive timing difference ( $\mu$ s)
15	15	500
30	15	250
60	15	125
120 <sup>Note2</sup>	15	62.5
NOTE 1: DL Sub-carrier spacing is min{SCS <sub>SS</sub> , SCS <sub>DATA</sub> }. NOTE 2: For NR FDD- E-UTRA FDD and NR TDD- E-UTRA TDD intra-band NE-DC, for which the requirement is defined in clause [7.6.X] and this Table 7.6.5-1 is also applicable, the scenario with 120 kHz does not exist.		

The UE shall be capable of handling at least a relative receive timing difference between slot timing of signal from a cell belonging to the MCG and subframe timing of signal from a E-UTRA cell belonging to the SCG at the UE receiver for inter-band synchronous NE-DC as shown in Table 7.6.5-2. The requirements for synchronous NE-DC are applicable for NR TDD- E-UTRA TDD, NR FDD- E-UTRA FDD, NR TDD- E-UTRA FDD and NR FDD- E-UTRA TDD inter-band NE-DC.

**Table 7.6.5-2: Maximum receive timing difference requirement for inter-band synchronous NE-DC**

Sub-carrier spacing of cell in MCG (kHz)	DL Sub-carrier spacing of EUTRA cell in SCG (kHz) (Note1)	Maximum receive timing difference (μs)
15	15	33
30	15	
60	15	
120	15	
NOTE 1: DL Sub-carrier spacing is min{SCS <sub>SSS</sub> , SCS <sub>DATA</sub> }.		
NOTE 2: Void		

*Editor Note: It is FFS the necessity of inter-band NE-DC synchronous requirement for MRTD.*

## 7.6.6 Minimum Requirements for inter-band NR DC

The UE shall be capable of handling at least a relative receive timing difference between slot timing of signal from a cell belonging to the MCG and slot timing of signal from a cell belonging to the SCG at the UE receiver as shown in Table 7.6.6-1 provided that the UE indicates that it is capable of synchronous NR-NR DC [16].

**Table 7.6.6-1: Maximum receive timing difference requirement for inter-band NR-NR synchronous dual connectivity**

Frequency Range		Maximum receive timing difference (μs)
Cell in MCG	Cell in SCG	
FR1	FR2	33

## 7.7 deriveSSB-IndexFromCell tolerance

### 7.7.1 Minimum requirements

When *deriveSSB-IndexFromCell* is enabled, the UE assumes frame boundary alignment (including half frame, subframe and slot boundary alignment) across cells on the same frequency carrier is within a tolerance not worse than min(2 SSB symbols, 1 PDSCH symbol) and the SFN of all cells on the same frequency carrier are the same.

## 7.8 Void

## 8 Signalling characteristics

### 8.1 Radio Link Monitoring

#### 8.1.1 Introduction

The requirements in clause 8.1 apply for radio link monitoring on any of:

- PCell in SA NR, NR-DC and NE-DC operation mode,
- PSCell in NR-DC and EN-DC operation mode.

The UE shall monitor the downlink link quality based on the reference signal in the configured RLM-RS resource(s) in order to detect the downlink radio link quality of the PCell and PSCell as specified in TS 38.213 [3]. The configured

RLM-RS resources can be all SSBs, or all CSI-RSs, or a mix of SSBs and CSI-RSs. UE is not required to perform RLM outside the active DL BWP.

On each RLM-RS resource, the UE shall estimate the downlink radio link quality and compare it to the thresholds  $Q_{out}$  and  $Q_{in}$  for the purpose of monitoring downlink radio link quality of the cell.

The threshold  $Q_{out}$  is defined as the level at which the downlink radio link cannot be reliably received and shall correspond to the out-of-sync block error rate ( $BLER_{out}$ ) as defined in Table 8.1.1-1. For SSB based radio link monitoring,  $Q_{out\_SSB}$  is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.2.1-1. For CSI-RS based radio link monitoring,  $Q_{out\_CSI-RS}$  is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.3.1-1.

The threshold  $Q_{in}$  is defined as the level at which the downlink radio link quality can be significantly more reliably received than at  $Q_{out}$  and shall correspond to the in-sync block error rate ( $BLER_{in}$ ) as defined in Table 8.1.1-1. For SSB based radio link monitoring,  $Q_{in\_SSB}$  is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.2.1-2. For CSI-RS based radio link monitoring,  $Q_{in\_CSI-RS}$  is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.3.1-2.

The out-of-sync block error rate ( $BLER_{out}$ ) and in-sync block error rate ( $BLER_{in}$ ) are determined from the network configuration via parameter *rlmInSyncOutOfSyncThreshold* signalled by higher layers. When UE is not configured with *rlmInSyncOutOfSyncThreshold* from the network, UE determines out-of-sync and in-sync block error rates from Configuration #0 in Table 8.1.1-1 as default. All requirements in clause 8.1 are applicable for BLER Configuration #0 in Table 8.1.1-1.

**Table 8.1.1-1: Out-of-sync and in-sync block error rates**

Configuration	$BLER_{out}$	$BLER_{in}$
0	10%	2%

UE shall be able to monitor up to  $N_{RLM}$  RLM-RS resources of the same or different types in each corresponding carrier frequency range, depending on a maximum number  $L_{max}$  of candidate SSBs per half frame according to TS 38.213 [3], where  $N_{RLM}$  is specified in Table 8.1.1-2, and meet the requirements as specified in clause 8.1. UE is not required to meet the requirements in clause 8.1 if RLM-RS is not configured and no TCI state for PDCCH is activated.

**Table 8.1.1-2: Maximum number of RLM-RS resources  $N_{RLM}$**

Maximum number of RLM-RS resources, $N_{RLM}$	$L_{max}$	Carrier frequency range of PCell/PSCell
2	4	FR1, $\leq 3$ GHz <sup>Note</sup>
4	8	FR1, $> 3$ GHz <sup>Note</sup>
8	64	FR2

NOTE: for unpaired spectrum operation with Case C - 30 kHz SCS, 3GHz is replaced by 2.4GHz, as specified in clause 4.1 in TS 38.213 [3].

If different SCS is used for CSI-RS based RLM-RS and SSB, then CSI-RS based RLM-RS and SSB shall be TDMed. If same SCS is used for CSI-RS based RLM-RS and SSB, then CSI-RS based RLM-RS and SSB can be FDMed or TDMed.

## 8.1.2 Requirements for SSB based radio link monitoring

### 8.1.2.1 Introduction

The requirements in this section apply for each SSB based RLM-RS resource configured for PCell or PSCell, provided that the SSB configured for RLM are actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.1.2.2.

**Table 8.1.2.1-1: PDCCH transmission parameters for out-of-sync**

Attribute	Value for BLER Configuration #0
DCI format	1-0
Number of control OFDM symbols	2
Aggregation level (CCE)	8
Ratio of hypothetical PDCCH RE energy to average SSS RE energy	4dB
Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	4dB
Bandwidth (MHz)	24
Sub-carrier spacing (kHz)	SCS of the active DL BWP
DMRS precoder granularity	REG bundle size
REG bundle size	6
CP length	Normal
Mapping from REG to CCE	Distributed

**Table 8.1.2.1-2: PDCCH transmission parameters for in-sync**

Attribute	Value for BLER Configuration #0
DCI payload size	1-0
Number of control OFDM symbols	2
Aggregation level (CCE)	4
Ratio of hypothetical PDCCH RE energy to average SSS RE energy	0dB
Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	0dB
Bandwidth (MHz)	24
Sub-carrier spacing (kHz)	SCS of the active DL BWP
DMRS precoder granularity	REG bundle size
REG bundle size	6
CP length	Normal
Mapping from REG to CCE	Distributed

### 8.1.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last  $T_{\text{Evaluate\_out\_SSB}}$  [ms] period becomes worse than the threshold  $Q_{\text{out\_SSB}}$  within  $T_{\text{Evaluate\_out\_SSB}}$  [ms] evaluation period.

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last  $T_{\text{Evaluate\_in\_SSB}}$  [ms] period becomes better than the threshold  $Q_{\text{in\_SSB}}$  within  $T_{\text{Evaluate\_in\_SSB}}$  [ms] evaluation period.

$T_{\text{Evaluate\_out\_SSB}}$  and  $T_{\text{Evaluate\_in\_SSB}}$  are defined in Table 8.1.2.2-1 for FR1.

$T_{\text{Evaluate\_out\_SSB}}$  and  $T_{\text{Evaluate\_in\_SSB}}$  are defined in Table 8.1.2.2-2 for FR2 with N=8.

For FR1,

- $P=1/(1 - T_{\text{SSB}}/\text{MGRP})$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- $P=1/(1 - T_{SSB}/T_{SMTC_{period}})$ , when RLM-RS is not overlapped with measurement gap and RLM-RS is partially overlapped with SMTA occasion ( $T_{SSB} < T_{SMTC_{period}}$ ).
- $P$  is 3, when RLM-RS is not overlapped with measurement gap and RLM-RS is fully overlapped with SMTA period ( $T_{SSB} = T_{SMTC_{period}}$ ).
- $P$  is  $1/(1 - T_{SSB}/MGRP - T_{SSB}/T_{SMTC_{period}})$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is partially overlapped with SMTA occasion ( $T_{SSB} < T_{SMTC_{period}}$ ) and SMTA occasion is not overlapped with measurement gap and
  - $T_{SMTC_{period}} \neq MGRP$  or
  - $T_{SMTC_{period}} = MGRP$  and  $T_{SSB} < 0.5 * T_{SMTC_{period}}$
- $P$  is  $1/(1 - T_{SSB}/MGRP) * 3$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is partially overlapped with SMTA occasion ( $T_{SSB} < T_{SMTC_{period}}$ ) and SMTA occasion is not overlapped with measurement gap and  $T_{SMTC_{period}} = MGRP$  and  $T_{SSB} = 0.5 * T_{SMTC_{period}}$
- $P$  is  $1/(1 - T_{SSB}/min(T_{SMTC_{period}}, MGRP))$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is partially overlapped with SMTA occasion ( $T_{SSB} < T_{SMTC_{period}}$ ) and SMTA occasion is partially or fully overlapped with measurement gap
- $P$  is  $1/(1 - T_{SSB}/MGRP) * 3$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is fully overlapped with SMTA occasion ( $T_{SSB} = T_{SMTC_{period}}$ ) and SMTA occasion is partially overlapped with measurement gap ( $T_{SMTC_{period}} < MGRP$ )

If the high layer in TS 38.331 [2] signaling of *smtc2* is present,  $T_{SMTC_{period}}$  follows *smtc2*; Otherwise  $T_{SMTC_{period}}$  follows *smtc1*.

Longer evaluation period would be expected if the combination of RLM-RS, SMTA occasion and measurement gap configurations does not meet previous conditions.

**Table 8.1.2.2-1: Evaluation period  $T_{Evaluate\_out\_SSB}$  and  $T_{Evaluate\_in\_SSB}$  for FR1**

Configuration	$T_{Evaluate\_out\_SSB}$ (ms)	$T_{Evaluate\_in\_SSB}$ (ms)
no DRX	$\max(200, \text{ceil}(10*P)*T_{SSB})$	$\max(100, \text{ceil}(5*P)*T_{SSB})$
DRX cycle $\leq 320$	$\max(200, \text{ceil}(15*P)*\max(T_{DRX}, T_{SSB}))$	$\max(100, \text{ceil}(7.5*P)*\max(T_{DRX}, T_{SSB}))$
DRX cycle $> 320$	$\text{ceil}(10*P)*T_{DRX}$	$\text{ceil}(5*P)*T_{DRX}$

NOTE:  $T_{SSB}$  is the periodicity of SSB configured for RLM.  $T_{DRX}$  is the DRX cycle length.

**Table 8.1.2.2-2: Evaluation period  $T_{Evaluate\_out\_SSB}$  and  $T_{Evaluate\_in\_SSB}$  for FR2**

Configuration	$T_{Evaluate\_out\_SSB}$ (ms)	$T_{Evaluate\_in\_SSB}$ (ms)
no DRX	$\max(200, \text{ceil}(10*P*N)*T_{SSB})$	$\max(100, \text{ceil}(5*P*N)*T_{SSB})$
DRX cycle $\leq 320$	$\max(200, \text{ceil}(15*P*N)*\max(T_{DRX}, T_{SSB}))$	$\max(100, \text{ceil}(7.5*P*N)*\max(T_{DRX}, T_{SSB}))$
DRX cycle $> 320$	$\text{ceil}(10*P*N)*T_{DRX}$	$\text{ceil}(5*P*N)*T_{DRX}$

NOTE:  $T_{SSB}$  is the periodicity of SSB configured for RLM.  $T_{DRX}$  is the DRX cycle length.

### 8.1.2.3 Measurement restrictions for SSB based RLM

The UE is required to be capable of measuring SSB for RLM without measurement gaps. The UE is required to perform the SSB measurements with measurement restrictions as described in the following clauses.

For FR1, when the SSB for RLM is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for RLM without any restriction;
- If SSB and CSI-RS have different SCS,

- If UE supports *simultaneousRxDataSSB-DiffNumerology*, UE shall be able to measure the SSB for RLM without any restriction;
- If UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both SSB for RLM and CSI-RS. Longer measurement period for SSB based RLM is expected, and no requirements are defined.

For FR2, when the SSB for RLM is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE is required to measure one of but not both SSB for RLM and CSI-RS. Longer measurement period for SSB based RLM is expected, and no requirements are defined.

### 8.1.3 Requirements for CSI-RS based radio link monitoring

#### 8.1.3.1 Introduction

The requirements in this section apply for each CSI-RS based RLM-RS resource configured for PCell or PSCell, provided that the CSI-RS configured for RLM are actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.1.3.2. UE is not expected to perform radio link monitoring measurements on the CSI-RS configured as RLM-RS if the CSI-RS is not in the active TCI state of any CORESET configured in the UE active BWP.

**Table 8.1.3.1-1: PDCCH transmission parameters for out-of-sync**

Attribute	Value for BLER Configuration #0
DCI format	1-0
Number of control OFDM symbols	2
Aggregation level (CCE)	8
Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	4dB
Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	4dB
Bandwidth (MHz)	48
Sub-carrier spacing (kHz)	SCS of the active DL BWP
DMRS precoder granularity	REG bundle size
REG bundle size	6
CP length	Normal
Mapping from REG to CCE	Distributed

**Table 8.1.3.1-2: PDCCH transmission parameters for in-sync**

Attribute	Value for BLER Configuration #0
DCI payload size	1-0
Number of control OFDM symbols	2
Aggregation level (CCE)	4
Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	0dB
Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	[0]dB
Bandwidth (MHz)	48
Sub-carrier spacing (kHz)	SCS of the active DL BWP
DMRS precoder granularity	REG bundle size
REG bundle size	6
CP length	Normal
Mapping from REG to CCE	Distributed

### 8.1.3.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last  $T_{Evaluate\_out\_CSI-RS}$  [ms] period becomes worse than the threshold  $Q_{out\_CSI-RS}$  within  $T_{Evaluate\_out\_CSI-RS}$  [ms] evaluation period.

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last  $T_{Evaluate\_in\_CSI-RS}$  [ms] period becomes better than the threshold  $Q_{in\_CSI-RS}$  within  $T_{Evaluate\_in\_CSI-RS}$  [ms] evaluation period.

- $T_{Evaluate\_out\_CSI-RS}$  and  $T_{Evaluate\_in\_CSI-RS}$  are defined in Table 8.1.3.2-1 for FR1.
- $T_{Evaluate\_out\_CSI-RS}$  and  $T_{Evaluate\_in\_CSI-RS}$  are defined in Table 8.1.3.2-2 for FR2 with  $N=1$ . The requirements of  $T_{Evaluate\_out\_CSI-RS}$  and  $T_{Evaluate\_in\_CSI-RS}$  apply provided that the CSI-RS for RLM is not in a resource set configured with repetition ON. The requirements doesn't apply when the CSI-RS resource in the active TCI state of CORESET is the same CSI-RS resource for RLM and the TCI state information of the CSI-RS resource is not given, wherein the TCI state information means QCL Type-D to SSB for L1-RSRP or CSI-RS with repetition ON.

For FR1,

- $P=1/(1 - T_{CSI-RS}/MGRP)$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the CSI-RS; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the CSI-RS.

For FR2,

- $P=1$ , when RLM-RS is not overlapped with measurement gap and also not overlapped with SMTC occasion.
- $P=1/(1 - T_{CSI-RS}/MGRP)$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is not overlapped with SMTC occasion ( $T_{CSI-RS} < MGRP$ )
- $P=1/(1 - T_{CSI-RS} / T_{SMTCperiod})$ , when RLM-RS is not overlapped with measurement gap and RLM-RS is partially overlapped with SMTC occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ).
- $P$  is 3, when RLM-RS is not overlapped with measurement gap and RLM-RS is fully overlapped with SMTC occasion ( $T_{CSI-RS} = T_{SMTCperiod}$ ).
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP - T_{CSI-RS} / T_{SMTCperiod})$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is partially overlapped with SMTC occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ) and SMTC occasion is not overlapped with measurement gap and

  - $T_{SMTCperiod} \neq MGRP$  or
  - $T_{SMTCperiod} = MGRP$  and  $T_{CSI-RS} < 0.5 * T_{SMTCperiod}$

- $P$  is  $1/(1 - T_{CSI-RS} / MGRP) * 3$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is partially overlapped with SMTC occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ) and SMTC occasion is not overlapped with measurement gap and  $T_{SMTCperiod} = MGRP$  and  $T_{CSI-RS} = 0.5 * T_{SMTCperiod}$
- $P$  is  $1/\{1 - T_{CSI-RS} / \min(T_{SMTCperiod}, MGRP)\}$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is partially overlapped with SMTC occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ) and SMTC occasion is partially or fully overlapped with measurement gap
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP) * 3$ , when RLM-RS is partially overlapped with measurement gap and RLM-RS is fully overlapped with SMTC occasion ( $T_{CSI-RS} = T_{SMTCperiod}$ ) and SMTC occasion is partially overlapped with measurement gap ( $T_{SMTCperiod} < MGRP$ )

If the high layer in TS 38.331 [2] signaling of *smtc2* is present,  $T_{SMTCperiod}$  follows *smtc2*; Otherwise  $T_{SMTCperiod}$  follows *smtc1*.

Note: The overlap between CSI-RS RLM and SMTC means that CSI-RS based RLM is within the SMTC window duration. Longer evaluation period would be expected if the combination of RLM-RS, SMTC occasion and measurement gap configurations does not meet previous conditions.

The values of  $M_{out}$  and  $M_{in}$  used in Table 8.1.3.2-1 and Table 8.1.3.2-2 are defined as:

- $M_{out} = 20$  and  $M_{in} = 10$ , if the CSI-RS resource configured for RLM is transmitted with higher layer CSI-RS parameter *density* [6, clause 7.4.1] set to 3 and over the bandwidth  $\geq 24$  PRBs.

**Table 8.1.3.2-1: Evaluation period  $T_{Evaluate\_out\_CSI-RS}$  and  $T_{Evaluate\_in\_CSI-RS}$  for FR1**

Configuration	$T_{Evaluate\_out\_CSI-RS}$ (ms)	$T_{Evaluate\_in\_CSI-RS}$ (ms)
no DRX	$\max(200, \text{ceil}(M_{out} \times P) \times T_{CSI-RS})$	$\max(100, \text{ceil}(M_{in} \times P) \times T_{CSI-RS})$
$DRX \leq 320\text{ms}$	$\max(200, \text{ceil}(1.5 \times M_{out} \times P) \times \max(T_{DRX}, T_{CSI-RS}))$	$\max(100, \text{ceil}(1.5 \times M_{in} \times P) \times \max(T_{DRX}, T_{CSI-RS}))$
$DRX > 320\text{ms}$	$\text{ceil}(M_{out} \times P) \times T_{DRX}$	$\text{ceil}(M_{in} \times P) \times T_{DRX}$

NOTE:  $T_{CSI-RS}$  is the periodicity of CSI-RS resource configured for RLM. The requirements in this table apply for  $T_{CSI-RS}$  equal to 5 ms, 10ms, 20 ms or 40 ms.  $T_{DRX}$  is the DRX cycle length.

**Table 8.1.3.2-2: Evaluation period  $T_{Evaluate\_out\_CSI-RS}$  and  $T_{Evaluate\_in\_CSI-RS}$  for FR2**

Configuration	$T_{Evaluate\_out\_CSI-RS}$ (ms)	$T_{Evaluate\_in\_CSI-RS}$ (ms)
no DRX	$\max(200, \text{ceil}(M_{out} \times P \times N) \times T_{CSI-RS})$	$\max(100, \text{ceil}(M_{in} \times P \times N) \times T_{CSI-RS})$
$DRX \leq 320\text{ms}$	$\max(200, \text{ceil}(1.5 \times M_{out} \times P \times N) \times \max(T_{DRX}, T_{CSI-RS}))$	$\max(100, \text{ceil}(1.5 \times M_{in} \times P \times N) \times \max(T_{DRX}, T_{CSI-RS}))$
$DRX > 320\text{ms}$	$\text{ceil}(M_{out} \times P \times N) \times T_{DRX}$	$\text{ceil}(M_{in} \times P \times N) \times T_{DRX}$

NOTE:  $T_{CSI-RS}$  is the periodicity of CSI-RS resource configured for RLM. The requirements in this table apply for  $T_{CSI-RS}$  equal to 5 ms, 10 ms, 20 ms or 40 ms.  $T_{DRX}$  is the DRX cycle length.

### 8.1.3.3 Measurement restrictions for CSI-RS based RLM

The UE is required to be capable of measuring CSI-RS for RLM without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following clauses.

For both FR1 and FR2, when the CSI-RS for RLM is in the same OFDM symbol as SSB for RLM/BFD/CBD/L1-RSRP measurement, UE is not required to receive CSI-RS for RLM in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for RLM, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for RLM, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.
- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for RLM and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For FR1, when the CSI-RS for RLM is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE shall be able to measure the CSI-RS for RLM without any restriction.

For FR2, when the CSI-RS for RLM is in the same OFDM symbol as SSB for RLM/BFD/L1-RSRP measurement, or in the same symbol as SSB for CBD when beam failure is detected, UE is required to measure one of but not both CSI-RS for RLM and SSB. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.

For FR2, when the CSI-RS for RLM is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- In the following cases, UE is required to measure one of but not both CSI-RS for RLM and the other CSI-RS. Longer measurement period for CSI-RS based RLM is expected, and no requirements are defined.
  - The CSI-RS for RLM or the other CSI-RS in a resource set configured with repetition ON, or
  - The other CSI-RS is configured in q1 and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,
- Otherwise, UE shall be able to measure the CSI-RS for RLM without any restriction.

### 8.1.4 Minimum requirement at transitions

When the UE transitions between DRX and no DRX or when DRX cycle periodicity changes, for each RLM-RS resource, for a duration of time equal to the evaluation period corresponding to the second mode after the transition occurs, the UE shall use an evaluation period that is no less than the minimum of evaluation period corresponding to the first mode and the second mode. Subsequent to this duration, the UE shall use an evaluation period corresponding to the second mode for each RLM-RS resource. This requirement shall be applied to both out-of-sync evaluation and in-sync evaluation of the monitored cell.

When the UE transitions from a first configuration of RLM-RS resources to a second configuration of RLM-RS resources that is different from the first configuration, for each RLM-RS resource present in the second configuration, for a duration of time equal to the evaluation period corresponding to the second configuration after the transition occurs, the UE shall use an evaluation period that is no less than the minimum of evaluation periods corresponding to the first configuration and the second configuration. Subsequent to this duration, the UE shall use an evaluation period corresponding to the second configuration for each RLM-RS resource present in the second configuration. This requirement shall be applied to both out-of-sync evaluation and in-sync evaluation of the monitored cell.

When the UE transitions from a first configuration of active TCI state of the CORESET to a second configuration of active TCI state of the CORESET, for each CSI-RS for RLM present in the second configuration, the UE shall use an evaluation period corresponding to the second configuration from the time of transition. This requirement shall be applied to both out-of-sync evaluation and in-sync evaluation of the monitored cell.

### 8.1.5 Minimum requirement for UE turning off the transmitter

The transmitter power of the UE in the monitored cell shall be turned off within 40ms after expiry of T310 timer as specified in TS 38.331 [2].

### 8.1.6 Minimum requirement for L1 indication

When the downlink radio link quality on all the configured RLM-RS resources is worse than  $Q_{out}$ , Layer 1 of the UE shall send an out-of-sync indication for the cell to the higher layers. A Layer 3 filter shall be applied to the out-of-sync indications as specified in TS 38.331 [2].

When the downlink radio link quality on at least one of the configured RLM-RS resources is better than  $Q_{in}$ , Layer 1 of the UE shall send an in-sync indication for the cell to the higher layers. A Layer 3 filter shall be applied to the in-sync indications as specified in TS 38.331 [2].

The out-of-sync and in-sync evaluations for the configured RLM-RS resources shall be performed as specified in clause 5 in TS 38.213 [3]. Two successive indications from Layer 1 shall be separated by at least  $T_{Indication\_interval}$ .

When DRX is not used  $T_{Indication\_interval}$  is  $\max(10\text{ms}, T_{RLM-RS,M})$ , where  $T_{RLM,R}$  is the shortest periodicity of all configured RLM-RS resources for the monitored cell, which corresponds to  $T_{SSB}$  specified in clause 8.1.2 if the RLM-RS resource is SSB, or  $T_{CSI-RS}$  specified in clause 8.1.3 if the RLM-RS resource is CSI-RS.

In case DRX is used,  $T_{Indication\_interval}$  is  $\max(10\text{ms}, 1.5 * DRX\_cycle\_length, 1.5 * T_{RLM-RS,M})$  if DRX cycle\_length is less than or equal to 320ms, and  $T_{Indication\_interval}$  is  $DRX\_cycle\_length$  if DRX cycle\_length is greater than 320ms. Upon start of T310 timer as specified in TS 38.331 [2], the UE shall monitor the configured RLM-RS resources for recovery using the evaluation period and Layer 1 indication interval corresponding to the no DRX mode until the expiry or stop of T310 timer.

### 8.1.7 Scheduling availability of UE during radio link monitoring

When the reference signal to be measured for RLM has different subcarrier spacing than PDSCH/PDCCH and on frequency range FR2, there are restrictions on the scheduling availability as described in the following clauses.

### 8.1.7.1 Scheduling availability of UE performing radio link monitoring with a same subcarrier spacing as PDSCH/PDCCH on FR1

There are no scheduling restrictions due to radio link monitoring performed with a same subcarrier spacing as PDSCH/PDCCH on FR1.

### 8.1.7.2 Scheduling availability of UE performing radio link monitoring with a different subcarrier spacing than PDSCH/PDCCH on FR1

For UE which support *simultaneousRxDataSSB-DiffNumerology* [14] there are no restrictions on scheduling availability due to radio link monitoring based on SSB as RLM-RS. For UE which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to radio link monitoring based on SSB as RLM-RS.

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on SSB symbols to be measured for radio link monitoring.

When intra-band carrier aggregation in FR1 is performed, the scheduling restrictions on FR1 serving PCell or PSCell applies to all serving cells in the same band on the symbols that fully or partially overlap with the restricted symbols. When inter-band carrier aggregation within FR1 is performed, there are no scheduling restrictions on FR1 serving cell(s) in the bands due to radio link monitoring performed on FR1 serving PCell or PSCell in different bands.

### 8.1.7.3 Scheduling availability of UE performing radio link monitoring on FR2

The following scheduling restriction applies due to radio link monitoring on an FR2 serving PCell and/or PSCell.

- If the RLM-RS is CSI-RS which is type-D QCLed with active TCI state for PDCCH/PDSCH, and the CSI-RS is not in a CSI-RS resource set with repetition ON,
  - There are no scheduling restrictions due to radio link monitoring based on the CSI-RS.
- Otherwise
  - The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on RLM-RS symbols to be measured for radio link monitoring.

When intra-band carrier aggregation in FR2 is performed, the scheduling restrictions on FR2 serving PCell or PSCell applies to all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

### 8.1.7.4 Scheduling availability of UE performing radio link monitoring on FR1 or FR2 in case of FR1-FR2 inter-band CA and NR-DC

There are no scheduling restrictions on FR1 serving cell(s) due to radio link monitoring performed on FR2 serving PCell and/or PSCell.

There are no scheduling restrictions on FR2 serving cell(s) due to radio link monitoring performed on FR1 serving PCell and/or PSCell.

*Editor's Note: NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.*

## 8.2 Interruption

### 8.2.1 EN-DC Interruption

#### 8.2.1.1 Introduction

This section contains the requirements related to the interruptions on PSCell, and SCell, when

E-UTRA PCell transitions between active and non-active during DRX, or

E-UTRA PCell transitions from non-DRX to DRX, or  
 E-UTRA SCell in MCG or SCell in SCG is added or released, or  
 E-UTRA SCell in MCG or SCell in SCG is activated or deactivated, or  
 measurements on SCC with deactivated SCell in either E-UTRA MCG or NR SCG, or  
 a supplementary UL carrier or an UL carrier is configured or de-configured, or  
 UL/DL BWP is switched on PSCell or SCell in SCG.

The requirements shall apply for E-UTRA-NR DC with an E-UTRA PCell.

This section contains interruptions where victim cell is PSCell or SCell belonging to SCG. Requirements for interruptions requirements when the victim cell is E-UTRA PCell or E-UTRA SCell belonging to MCG are specified in TS 36.133 [15].

For a UE which does not support per-FR measurement gaps, interruptions to the PSCell or activated SCG SCells may be caused by EUTRA PCell, EUTRA SCells or SCells on any frequency range. For UE which support per-FR gaps, interruptions to the PSCell or activated SCG SCells may be caused by EUTRA PCell, EUTRA SCells or SCells on the same frequency range as the victim cell.

### 8.2.1.2 Requirements

#### 8.2.1.2.1 Interruptions at transitions between active and non-active during DRX

Interruption on PSCell and the activated SCell if configured due to E-UTRA PCell transitions between active and non-active during DRX when PSCell or SCell is in non-DRX are allowed with up to 1% probability of missed ACK/NACK when the configured E-UTRA PCell DRX cycle is less than 640 ms, and 0.625% probability of missed ACK/NACK is allowed when the configured E-UTRA PCell DRX cycle is 640 ms or longer. Each interruption shall not exceed X slot as defined in table 8.2.1.2.1-1.

**Table 8.2.1.2.1-1: Interruption length X at transition between active and non-active during DRX**

$\mu$	NR Slot length (ms)	Interruption length X	
		Sync	Async
0	1	1	2
1	0.5	1	2
2	0.25	3	
3	0.125	5	

When both E-UTRA PCell and PSCell are in DRX, no interruption is allowed.

#### 8.2.1.2.2 Interruptions at transitions from non-DRX to DRX

Interruption on PSCell and the activated SCell if configured due to E-UTRA PCell transitions from non-DRX to DRX when PSCell or SCell is in non-DRX shall not exceed X slot as defined in table 8.2.1.2.1-1.

When PSCell and the activated SCell are in DRX, no interruption due to E-UTRA PCell transitions from non-DRX to DRX is allowed.

#### 8.2.1.2.3 Interruptions at SCell addition/release

The requirements in this clause shall apply for the UE configured with PSCell.

When one E-UTRA SCell in MCG is added or released:

- the UE is allowed an interruption on any active serving cell in SCG:
  - of up to X1 slot, if the active serving cell is not in the same band as any of the E-UTRA SCells being added or released, or

- of up to  $\max\{Y_1 \text{ slot} + T_{\text{SMTC\_duration}}, 5\text{ms}\}$  if the active serving cells are in the same band as any of the E-UTRA SCells being added or released, provided the cell specific reference signals from the active serving cells and the E-UTRA SCells being added or released are available in the same slot, where  $T_{\text{SMTC\_duration}}$  is the longest SMTC duration among all above active serving cells in SCG;

Where X1 and Y1 are specified in Table 8.2.1.2.3-1.

When one SCell in SCG is added or released:

- the UE is allowed an interruption on any active serving cell in SCG:
  - of up to X1 slot, if the active serving cell is not in the same band as any of the SCells being added or released, or
  - of up to  $Y_1 \text{ slot} + T_{\text{SMTC\_duration}}$  if the active serving cells are in the same band as any of the SCells being added or released, provided the cell specific reference signals from the active serving cells and the SCells being added or released are available in the same slot, where,  $T_{\text{SMTC\_duration}}$  is
    - the longest SMTC duration among all above active serving cells in SCG and the SCell being added when one SCell is added;
    - the longest SMTC duration among all above active serving cells in SCG when one SCell is released.

Where X1 and Y1 are specified in Table 8.2.1.2.3-2.

**Table 8.2.1.2.3-1: Interruption length X1 and Y1 at E-UTRA SCell addition/Release**

$\mu$	NR Slot length (ms)	Interruption length X1 slot		Interruption length Y1 slot	
		Sync	Async	Sync	Async
0	1	1	2	1	2
1	0.5	2	3	2	3
2	0.25	5		4	5
3	0.125	9		8	9

**Table 8.2.1.2.3-2: Interruption length X1 and Y1 at SCell addition/Release**

$\mu$	NR Slot length (ms) of victim cell	Interruption length X1 slot		Interruption length Y1 slot
0	1	1		1
1	0.5	2		2
2	0.25	Both aggressor cell and victim cell are on FR2	4	4
		Either aggressor cell or victim cell is on FR1	5	
3	0.125	Aggressor cell is on FR2	8	8
		Aggressor cell is on FR1	9	

#### 8.2.1.2.4 Interruptions at SCell activation/deactivation

The requirements in this clause shall apply for the UE configured with PSCell and one SCell.

When one E-UTRA SCell in MCG is activated or deactivated:

- the UE is allowed an interruption on any active serving cell in SCG:
- of up to X2 slot, if the active serving cell is not in the same band as any of the E-UTRA SCells being activated or deactivated, or
- of up to  $\max\{Y_2 \text{ slot} + T_{\text{SMTC\_duration}}, 5\text{ms}\}$  if the active serving cells are in the same band as any of the E-UTRA SCells being activated or deactivated, provided the cell specific reference signals from the active serving cells

and the E-UTRA SCells being activated or deactivated are available in the same slot, where  $T_{SMTC\_duration}$  is the longest SMTC duration among all above active serving cells in SCG.

Where X2 and Y2 are specified in Table 8.2.1.2.4-1.

When one SCell in SCG is activated or deactivated:

- an interruption on any serving cell in SCG:
  - of up to X2 slot, if the active serving cell is not in the same band as any of the SCells being activated or deactivated, or
  - of up to  $Y_2 + T_{SMTC\_duration}$  if the active serving cells are in the same band as any of the SCells being activated or deactivated, provided the cell specific reference signals from the active serving cells and the SCells being activated or deactivated are available in the same slot, where,  $T_{SMTC\_duration}$  is
    - the longest SMTC duration among all above active serving cells in SCG and the SCell being activated when one SCell is activated;
    - the longest SMTC duration among all above active serving cells in SCG when one SCell is deactivated.

Where X2 and Y2 are specified in Table 8.2.1.2.4-2.

**Table 8.2.1.2.4-1: Interruption length X2 and Y2 at E-UTRA SCell activation/deactivation**

$\mu$	NR Slot length (ms)	Interruption length X2 slot		Interruption length Y2 slot	
		Sync	Async	Sync	Async
0	1	1	2	1	2
1	0.5	1	2	1	2
2	0.25	3		2	3
3	0.125	5		4	5

**Table 8.2.1.2.4-2: Interruption length X2 and Y2 at SCell activation/deactivation**

$\mu$	NR Slot length (ms) of victim cell	Interruption length X2 slot		Interruption length Y2 slot
		Sync	Async	Sync
0	1	1		1
1	0.5	1		1
2	0.25	Both aggressor cell and victim cell are on FR2	2	2
		Either aggressor cell or victim cell is on FR1	3	
3	0.125	Aggressor cell is on FR2	4	4
		Aggressor cell is on FR1	5	

## 8.2.1.2.5 Interruptions during measurements on SCC

### 8.2.1.2.5.1 Interruptions during measurements on deactivated NR SCC

Interruption on PSCell and other activated NR SCell(s) during measurement on the deactivated NR SCC shall meet requirements in clause 8.2.2.2.3, where the term PCell in clause 8.2.2.2.3 shall be deemed to be replaced with PSCell.

### 8.2.1.2.5.2 Interruptions during measurements on deactivated E-UTRAN SCC

When one E-UTRA SCell in MCG is deactivated, the UE is allowed due to measurements on the E-UTRA SCC with the deactivated E-UTRA SCell:

- an interruption on PSCell or any activated SCell with up to 0.5% probability of missed ACK/NACK when any of the configured *measCycleSCell* [15] for the deactivated E-UTRA SCells is 640 ms or longer.

- an interruption on PSCell or any activated SCell with up to 0.5% probability of missed ACK/NACK regardless of the configured *measCycleSCell* [15] for the deactivated E-UTRA SCells if indicated by the network using IE *allowInterruptions* [15].

Each interruption shall not exceed

- X3 slot, if the PSCell or activated SCell is not in the same band as the E-UTRA deactivated SCC being measured, or
- Y3 slot + SMTC duration, if the PSCell or activated SCell is in the same band as the E-UTRA deactivated SCC being measured, provided the cell specific reference signals from the PSCell or activated SCell and the E-UTRA deactivated SCC being measured are available in the same slot.

**Table 8.2.1.2.5.2-1: Interruption length X3 and Y3 at measurements on deactivated E-UTRA SCC**

$\mu$	NR Slot length (ms)	Interruption length X3 slot		Interruption length Y3 slot <sup>Note 1</sup>	
		Sync	Async	Sync	Async
0	1	1	2	1	2
1	0.5	1	2	1	2
2	0.25		3	2	3
3	0.125		5	4	5

### 8.2.1.2.6 Interruptions at UL carrier RRC reconfiguration

The requirements in this clause shall apply when a supplementary UL carrier or an UL carrier is configured or de-configured in NR non-standalone operation as defined in TS 38.331 [2].

When an UL carrier or supplementary UL carrier is configured or deconfigured, an interruption of up to X4 slot, is allowed during the RRC reconfiguration procedure [2] on E-UTRA PCell, all activated E-UTRA SCells, PSCell and all activated SCells within the same FR as the reconfigured uplink carrier. The interruption is for both uplink and downlink of E-UTRA PCell, all activated E-UTRA SCells, PSCell and all activated SCells within the same FR as the configured or de-configured UL.

**Table 8.2.1.2.6-1: Interruption length X4 at UL carrier RRC reconfiguration**

$\mu$	NR Slot length (ms)	Interruption length X4 slot	
		Sync	Async
0	1	1	2
1	0.5	2	3
2	0.25		5
3	0.125		9

### 8.2.1.2.7 Interruption due to Active BWP switching Requirement

When UE receives a DCI indicating UE to switch its active BWP involving changes in any of the parameters listed in Table 8.2.1.2.7-2, the UE is allowed to cause interruption of up to X slot to other active serving cells if the UE is not capable of per-FR gap, or if the BWP switching involves SCS changing. When the BWP switch imposes changes in any of the parameters listed in Table 8.2.1.2.7-2 and the UE is capable of per-FR gap, the UE is allowed to cause interruption of up to X slot to other active serving cells in the same frequency range wherein the UE is performing BWP switching. X is defined in Table 8.2.1.2.7-1. The starting time of interruption is only allowed within the BWP switching delay  $T_{BWPswitchDelay}$  as defined in clause 8.6.2. Interruptions are not allowed during BWP switch involving other parameter change.

When a BWP timer *bwp-InactivityTimer* defined in TS 38.331 [2] expires, UE is allowed to cause interruption of up to X slot to other active serving cells due to switching its active BWP involving changes in any of the parameters listed in Table 8.2.1.2.7-2 if the UE is not capable of per-FR gap, or if the BWP switching involves SCS changing. When the BWP switch imposes changes in any of the parameters listed in Table 8.2.1.2.7-2 and the UE is capable of per-FR gap the UE is allowed to cause interruption of up to X slot to other active serving cells in the same frequency range wherein the UE is performing BWP switching. X is defined in Table 8.2.1.2.7-1. The starting time of interruption is only

allowed within the BWP switching delay  $T_{BWPswitchDelay}$  as defined in clause 8.6.2. Interruptions are not allowed during BWP switch involving other parameter change.

When UE receives an RRC reconfiguration that only requests UE to switch its active BWP on one single CC, the UE is allowed to cause interruption of up to X slot to other active serving cells due to switching its active BWP involving changes in any of the parameters listed in Table 8.2.1.2.7-2 if the UE is not capable of per-FR gap, or if the BWP switching involves SCS changing. When the BWP switch imposes changes in any of the parameters listed in Table 8.2.1.2.7-2 and the UE is capable of per-FR gap the UE is allowed to cause interruption of up to X slot to other active serving cells in the same frequency range wherein the UE is performing BWP switching. X is defined in Table 8.2.1.2.7-1. The interruption is only allowed within the delay  $T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$  defined in clause 8.6.3.

**Table 8.2.1.2.7-1: interruption length X**

$\mu$	NR Slot length (ms)	Interruption length X (slots <sup>note 1</sup> )
0	1	1
1	0.5	1
2	0.25	3
3	0.125	5
Note1: If the BWP switch involves changing of SCS, the interruption due to BWP switch is determined by the larger one between the SCS before BWP switch and the SCS after the BWP switch.		

**Table 8.2.1.2.7-2: Parameters which cause interruption other than SCS**

Parameters	Comment
<i>locationAndBandwidth</i>	
<i>nrofSRS-Ports</i>	From TS 38.331 [2]
<i>Editor's note:</i> More parameters can be added if identified	

## 8.2.2 SA: Interruptions with Standalone NR Carrier Aggregation

### 8.2.2.1 Introduction

This clause contains the requirements related to the interruptions on PCell and activated SCell if configured, when up to 7 SCells are configured, deconfigured, activated or deactivated, or a supplementary UL carrier or an UL carrier is configured or de-configured, or measurements on SCC with deactivated SCell in NR SCG, or UL/DL BWP is switched on PCell or SCell.

Note: interruptions at SCell addition/release, activation/deactivation and during measurements on SCC may not be required by all UEs.

Editor's Note: The interruptions shall not interrupt RRC signalling or ACK/NACKs related to RRC reconfiguration procedure [2] for SCell addition/release or MAC control signalling [17] for SCell activation/deactivation command. How to specify this is FFS.

This clause additionally contains requirements related to interruptions at inter-frequency SFTD between PCell in FR1 and neighbour cell in FR2.

For a UE which does not support per-FR measurement gaps, interruptions to the PCell and activated SCell may be caused by SCells on any frequency range. For UE which support per-FR gaps, interruptions to PCell and activated SCell may be caused by SCells on the same frequency range as the victim cell.

## 8.2.2.2 Requirements

### 8.2.2.2.1 Interruptions at SCell addition/release

When any number of SCells between one and 7 is added or released using the same *RRCConnectionReconfiguration* message as defined in TS 38.331 [2], the UE is allowed an interruption on any active serving cell during the RRC reconfiguration procedure as follows:

- an interruption on any active serving cell:
  - of up to the duration shown in table 8.2.2.2.1-1, if the active serving cell is not in the same band as any of the SCells being added or released, or
  - of up to the duration shown in table 8.2.2.2.1-2, if the active serving cells are in the same band as any of the SCells being added or released, provided the cell specific reference signals from the active serving cells and the SCells being added or released are available in the same slot.

**Table 8.2.2.2.1-1: Interruption duration for SCell addition/release for inter-band CA**

$\mu$	NR Slot length (ms) of victim cell	Interruption length (slot)	
0	1	1	
1	0.5	2	
2	0.25	Both aggressor cell and victim cell are on FR2	4
		Either aggressor cell or victim cell is on FR1	5
3	0.125	Aggressor cell is on FR2	8
		Aggressor cell is on FR1	9
Note: $T_{SMTC\_duration}$ is		<ul style="list-style-type: none"> <li>- the longest SMTC duration among all above active serving cells and the SCell being added when one SCell is added;</li> <li>- the longest SMTC duration among all active serving cells in the same band when one SCell is released.</li> </ul>	

**Table 8.2.2.2.1-2: Interruption duration for SCell addition/release for intra-band CA**

$\mu$	NR Slot length (ms)	Interruption length (slot)	
0	1	$1 + T_{SMTC\_duration}$	
1	0.5	$2 + T_{SMTC\_duration}$	
2	0.25	$4 + T_{SMTC\_duration}$	
3	0.125	$8 + T_{SMTC\_duration}$	
Note: $T_{SMTC\_duration}$ is		<ul style="list-style-type: none"> <li>- the longest SMTC duration among all above active serving cells and the SCell being added when one SCell is added;</li> <li>- the longest SMTC duration among all active serving cells in the same band when one SCell is released.</li> </ul>	

### 8.2.2.2.2 Interruptions at SCell activation/deactivation

When an intra-band SCell is activated or deactivated as defined in TS 37.340 [17], the UE is allowed

- an interruption on any active serving cell:
  - of up to the duration shown in table 8.2.2.2.2-1, if the active serving cell is not in the same band as any of the SCells being activated or deactivated, or

- of up to the duration shown in table 8.2.2.2.2-2, if the active serving cells are in the same band as any of the SCells being activated or deactivated provided the cell specific reference signals from the active serving cells and the SCells being activated or deactivated are available in the same slot.

**Table 8.2.2.2.2-1: Interruption duration for SCell activation/deactivation for inter-band CA**

$\mu$	NR Slot length (ms) of victim cell	Interruption length (slot)		
0	1		1	
1	0.5		1	
2	0.25	Both aggressor cell and victim cell are on FR2	2	
		Either aggressor cell or victim cell is on FR1	3	
3	0.125	Aggressor cell is on FR2	4	
		Aggressor cell is on FR1	5	
Note: $T_{SMTC\_duration}$ is				
<ul style="list-style-type: none"> <li>- the longest SMTC duration among all above active serving cells and the SCell being added when one SCell is added;</li> <li>- the longest SMTC duration among all active serving cells in the same band when one SCell is released.</li> </ul>				

**Table 8.2.2.2.2-2: Interruption duration for SCell activation/deactivation for intra-band CA**

$\mu$	NR Slot length (ms)	Interruption length
0	1	$1 + T_{SMTC\_duration}$
1	0.5	$1 + T_{SMTC\_duration}$
2	0.25	$2 + T_{SMTC\_duration}$
3	0.125	$4 + T_{SMTC\_duration}$
Note: $T_{SMTC\_duration}$ is		
<ul style="list-style-type: none"> <li>- the longest SMTC duration among all above active serving cells and the SCell being activated when one SCell is activated;</li> <li>- the longest SMTC duration among all active serving cells in the same band when one SCell is deactivated.</li> </ul>		

### 8.2.2.2.3 Interruptions during measurements on SCC for intra-band CA

Interruptions on PCell due to measurements when an SCell is deactivated are allowed with up to 0.5% probability of missed ACK/NACK when the configured *measCycleSCell* [2] is 640 ms or longer. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption shall not exceed requirement in Table 8.2.2.2.2-1 if the PCell is not in the same band as the deactivated SCell. Each interruption shall not exceed requirement in Table 8.2.2.2.2-2 if the PCell is in the same band as the deactivated SCell.

Interruptions on activated SCells due to measurements when an SCell is deactivated are allowed with up to 0.5% probability of missed ACK/NACK when the configured *measCycleSCell* [2] is 640 ms or longer. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption shall not exceed requirement in Table 8.2.2.2.2-1 if the activated SCell is not in the same band as the deactivated SCell. Each interruption shall not exceed requirement in Table 8.2.2.2.2-2 if the activated SCell is in the same band as the deactivated SCell.

### 8.2.2.2.4 Interruptions at UL carrier RRC reconfiguration

The requirements in this clause shall apply when a supplementary UL carrier or an UL carrier is configured or de-configured in NR standalone carrier aggregation as defined in TS 38.331 [2].

When an UL carrier or supplementary UL carrier is configured or deconfigured, an interruption of up to the duration shown in table 8.2.2.2.4-1, is allowed during the RRC reconfiguration procedure [2] on PCell and all activated SCells

within the same FR as the reconfigured uplink carrier. The interruption is for both uplink and downlink of PCell and all the activated SCells within the same FR as the configured or de-configured UL.

**Table 8.2.2.2.4-1: Interruption duration for UL carrier RRC reconfiguration**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1
1	0.5	2
2	0.25	4
3	0.125	8

### 8.2.2.2.5 Interruption due to Active BWP switching Requirement

When UE receives a DCI indicating UE to switch its active BWP involving changes in any of the parameters listed in Table 8.2.2.2.5-2, the UE is allowed to cause interruption of up to X slot to other active serving cells if the UE is not capable of per-FR gap, or if the BWP switching involves SCS changing. When the BWP switch imposes changes in any of the parameters listed in Table 8.2.2.2.5-2 and the UE is capable of per-FR gap the UE is allowed to cause interruption of up to X slot to other active serving cells in the same frequency range wherein the UE is performing BWP switching. X is defined in Table 8.2.2.2.5-1. The starting time of interruption is only allowed within the BWP switching delay  $T_{BWPswitchDelay}$  as defined in clause 8.6.2. Interruptions are not allowed during BWP switch involving other parameter change.

When a BWP timer *bwp-InactivityTimer* defined in TS 38.331 [2] expires, UE is allowed to cause interruption of up to X slot to other active serving cells due to switching its active BWP involving changes in any of the parameters listed in Table 8.2.2.2.5-2 if the UE is not capable of per-FR gap, or if the BWP switching involves SCS changing. When the BWP switch imposes changes in any of the parameters listed in Table 8.2.2.2.5-2 and the UE is capable of per-FR gap the UE is allowed to cause interruption of up to X slot to other active serving cells in the same frequency range wherein the UE is performing BWP switching. X is defined in Table 8.2.2.2.5-1. The starting time of interruption is only allowed within the BWP switching delay  $T_{BWPswitchDelay}$  as defined in clause 8.6.2. Interruptions are not allowed during BWP switch involving other parameter change.

When UE receives an RRC reconfiguration that only requests UE to switch its active BWP on one single CC, the UE is allowed to cause interruption of up to X slot to other active serving cells due to switching its active BWP involving changes in any of the parameters listed in Table 8.2.2.2.5-2 if the UE is not capable of per-FR gap, or if the BWP switching involves SCS changing. When the BWP switch imposes changes in any of the parameters listed in Table 8.2.2.2.5-2 and the UE is capable of per-FR gap the UE is allowed to cause interruption of up to X slot to other active serving cells in the same frequency range wherein the UE is performing BWP switching. X is defined in Table 8.2.2.2.5-1. The interruption is only allowed within the delay  $T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$  defined in clause 8.6.3.

**Table 8.2.2.2.5-1: Interruption length X**

$\mu$	NR Slot length (ms)	Interruption length X (slots <sup>note 1</sup> )
0	1	1
1	0.5	1
2	0.25	3
3	0.125	5

Note1: If the BWP switch involves changing of SCS, the interruption due to BWP switch is determined by the larger one between the SCS before BWP switch and the SCS after the BWP switch.

**Table 8.2.2.5-2: Parameters which cause interruption other than SCS**

Parameters	Comment
<i>locationAndBandwidth</i>	From TS 38.331 [2]
<i>nrofSRS-Ports</i>	
<i>Editor's note:</i> More parameters can be added if identified	

### 8.2.2.6 Interruptions at inter-frequency SFTD measurement

The requirements in this clause concern interruptions on PCell in FR1, as well as on activated SCells in MCG, when the UE is performing SFTD measurements on inter-frequency neighbour cell(s) in FR1 or FR2. The following requirements apply when no PSCell is configured.

For a UE with per-FR gap capability:

- for neighbour cell in FR1:
  - the percentage of interrupted slots on uplink and downlink during the SFTD measurement period  $T_{measure\_SFTD1}$  specified in Clause 9.3.8 shall not exceed the percentages specified in Table 8.2.2.6-1.
  - the length of each interruption shall not exceed the number of slots specified in Table 8.2.2.6-2.
- for neighbour cell in FR2:
  - no interruptions are allowed during the SFTD measurement period  $T_{measure\_SFTD1}$  specified in Clause 9.3.8.

For a UE with per-UE gap capability:

- for neighbour cell in FR1:
  - the percentage of interrupted slots on uplink and downlink during the SFTD measurement period  $T_{measure\_SFTD1}$  specified in Clause 9.3.8 shall not exceed the percentages specified in Table 8.2.2.6-1.
  - the length of each interruption shall not exceed the number of slots specified in Table 8.2.2.6-2.
- for neighbour cell in FR2:
  - the percentage of interrupted slots on uplink and downlink during the SFTD measurement period  $T_{measure\_SFTD1}$  specified in Clause 9.3.8 shall not exceed the percentages specified in Table 8.2.2.6-3.
  - the length of each interruption shall not exceed the number of slots specified in Table 8.2.2.6-4.

**Table 8.2.2.6-1: Requirements on maximum percentage of interrupted slots in FR1 serving cell in inter-frequency SFTD with neighbour cell in FR1**

SFTD configuration	Serving cell $\mu$	Neighbour cell SMTC periodicity					
		5ms	10ms	20ms	40ms	80ms	160ms
With RSRP report	0	[8.4%]	[11.6%]	[6.8%]	[4.7%]	[3.7%]	[3.2%]
	1						
	2						
Without RSRP report	0	[11.4%]	[8.6%]	[5.7%]	[4.6%]	[4.1%]	[3.8%]
	1						
	2						

**Table 8.2.2.2.6-2: Interruption duration for FR1 serving cell in inter-frequency SFTD with neighbour cell in FR1**

$\mu$	NR Slot length (ms)	Interruption length (slots)
0	1	[1]
1	0.5	[2]
2	0.25	[4]

**Table 8.2.2.2.6-3: Requirements on maximum percentage of interrupted slots in FR1 serving cell in inter-frequency SFTD with neighbour cell in FR2**

SFTD configuration	Serving cell $\mu$	Neighbour cell SMTC periodicity					
		5ms	10ms	20ms	40ms	80ms	160ms
With RSRP report	0	[8.4%]	[11.6%]	[6.8%]	[4.7%]	[3.7%]	[3.2%]
	1	[4.2%]	[5.8%]	[3.4%]	[2.4%]	[1.8%]	[1.6%]
	2	[4.2%]	[5.8%]	[3.4%]	[2.4%]	[1.8%]	[1.6%]
Without RSRP report	0	[11.4%]	[8.6%]	[5.7%]	[4.6%]	[4.1%]	[3.8%]
	1	[5.7%]	[4.3%]	[2.9%]	[2.3%]	[2.1%]	[1.9%]
	2	[5.7%]	[4.3%]	[2.9%]	[2.3%]	[2.1%]	[1.9%]

**Table 8.2.2.2.6-4: Interruption duration for FR1 serving cell in inter-frequency SFTD with neighbour cell in FR2**

$\mu$	NR Slot length (ms)	Interruption length (slots)
0	1	[1]
1	0.5	[1]
2	0.25	[2]

## 8.2.3 NE-DC Interruptions

### 8.2.3.1 Introduction

This section contains the requirements related to the interruptions on PCell and SCell, when

E-UTRA PSCell transitions between active and non-active during DRX, or

E-UTRA PSCell transitions from non-DRX to DRX, or

E-UTRA PSCell/SCell in SCG or SCell in MCG is added or released, or

E-UTRA PSCell/SCell in SCG or SCell in MCG is activated or deactivated, or

measurements on SCC with deactivated SCell in either E-UTRA SCG or NR MCG or

PUSCH/PUCCH carrier configuration and deconfiguration in NR MCG, or

UL/DL BWP is switched on PCell or SCell in MCG.

The requirements shall apply for NE-DC with an NR PCell.

This section contains interruptions where victim cell is PCell or SCell belonging to MCG, or E-UTRA PSCell or E-UTRA SCell belonging to SCG. Requirements for interruptions requirements when the victim cell is E-UTRA PSCell or E-UTRA SCell belonging to SCG are specified in TS 36.133 [15].

For a UE which does not support per-FR measurement gaps, interruptions to the PCell, E-UTRA PSCell or activated MCG SCells may be caused by EUTRA PSCell, EUTRA SCells or SCells on any frequency range. For UE which

support per-FR gaps, interruptions to the PCell, E-UTRA PSCell or activated MCG SCells may be caused by EUTRA PSCell, EUTRA SCells or SCells on the same frequency range as the victim cell.

### 8.2.3.2 Requirements

#### 8.2.3.2.1 Interruptions at transitions between active and non-active during DRX

Interruption on PCell and the activated SCell if configured due to E-UTRA PSCell transitions between active and non-active during DRX when PCell or SCell is in non-DRX are allowed with up to 1% probability of missed ACK/NACK when the configured E-UTRA PSCell DRX cycle is less than 640 ms, and 0.625% probability of missed ACK/NACK is allowed when the configured E-UTRA PCell DRX cycle is 640 ms or longer. Each interruption shall not exceed X slot as defined in table 8.2.3.2.1-1.

Each interruption shall not exceed X slot as defined in table 8.2.3.2.1-1.

**Table 8.2.3.2.1-1: Interruption length X at transition between active and non-active during DRX**

$\mu$	NR Slot length (ms)	Interruption length X	
		Sync	Async
0	1	1	2
1	0.5	1	2
2	0.25		3
3	0.125		5

When both PCell and E-UTRA PSCell are in DRX, no interruption is allowed.

#### 8.2.3.2.2 Interruptions at transitions from non-DRX to DRX

Interruption on PCell and the activated SCell if configured due to E-UTRA PSCell transitions from non-DRX to DRX when PCell or SCell is in non-DRX shall not exceed X slot as defined in table 8.2.3.2.1-1.

#### 8.2.3.2.3 Interruptions at PSCell/SCell addition/release

The requirements in this clause shall apply for the UE configured with E-UTRA PSCell.

When one E-UTRA PSCell/SCell in SCG is added or released:

- the UE is allowed an interruption on any active serving cell in MCG:
  - of up to X1 slot, if the active serving cell is not in the same band as any of the E-UTRA PSCell/SCells being added or released, or
  - of up to  $\max\{Y1 \text{ slot} + T_{SMTC\_duration}, 5\text{ms}\}$  if the active serving cells are in the same band as any of the E-UTRA PSCell/SCells being added or released, provided the cell specific reference signals from the active serving cells and the E-UTRA PSCell/SCells being added or released are available in the same slot, where  $T_{SMTC\_duration}$  is the longest SMT duration among all above activated serving cells in MCG;

Where X1 and Y1 are specified in Table 8.2.3.2.3-1.

When one SCell in MCG is added or released:

- the UE is allowed an interruption on any activated serving cell in MCG:
  - of up to X1 slot, if the active serving cell is not in the same band as any of the SCells being added or released, or
  - of up to  $Y1 \text{ slot} + T_{SMTC\_duration}$  if the active serving cells are in the same band as any of the SCells being added or released, provided the cell specific reference signals from the active serving cells and the SCells being added or released are available in the same slot, where  $T_{SMTC\_duration}$  is the longest SMT duration among all above active serving cells in MCG and the SCell being added when one SCell is added;

- the longest SMT duration among all above active serving cells in MCG when one SCell is released.

Where X1 and Y1 are specified in Table 8.2.3.2.3-2.

**Table 8.2.3.2.3-1: Interruption length X1 and Y1 at E-UTRA PSCell/SCell addition/release**

$\mu$	NR Slot length (ms)	Interruption length X1 slot		Interruption length Y1 slot	
		Sync	Async	Sync	Async
0	1	1	2	1	2
1	0.5	2	3	2	3
2	0.25		5	4	5
3	0.125		9	8	9

**Table 8.2.3.2.3-2: Interruption length X1 and Y1 at SCell addition/Release**

$\mu$	NR Slot length (ms) of victim cell	Interruption length X1 slot		Interruption length Y1 slot	
		Sync	Async	Sync	Async
0	1	1		1	
1	0.5	2		2	
2	0.25	Both aggressor cell and victim cell are on FR2	4	4	
		Either aggressor cell or victim cell is on FR1	5		
3	0.125	Aggressor cell is on FR2	8	8	
		Aggressor cell is on FR1	9		

#### 8.2.3.2.4 Interruptions at SCell activation/deactivation

The requirements in this clause shall apply for the UE configured with E-UTRA PSCell and one SCell.

When one E-UTRA SCell in SCG is activated or deactivated:

- the UE is allowed an interruption on any active serving cell in MCG:
  - of up to X2 slot, if the active serving cell is not in the same band as any of the E-UTRA SCells being activated or deactivated, or
  - of up to  $\max\{Y2 \text{ slot} + T_{SMT \text{ duration}}, 5\text{ms}\}$  if the active serving cells are in the same band as any of the E-UTRA SCells being activated or deactivated, provided the cell specific reference signals from the active serving cells and the E-UTRA SCells being activated or deactivated are available in the same slot, where  $T_{SMT \text{ duration}}$  is the longest SMT duration among all above active serving cells in MCG.

Where X2 and Y2 are specified in Table 8.2.3.2.4-1.

When one SCell in MCG is activated or deactivated:

- the UE is allowed an interruption on any serving cell in MCG:
  - of up to X2 slot, if the active serving cell is not in the same band as any of the SCells being activated or deactivated, or
  - of up to  $Y2 \text{ slot} + T_{SMT \text{ duration}}$  if the active serving cells are in the same band as any of the SCells being activated or deactivated, provided the cell specific reference signals from the active serving cells and the SCells being activated or deactivated are available in the same slot, where,  $T_{SMT \text{ duration}}$  is
    - the longest SMT duration among all above active serving cells in MCG and the SCell being activated when one SCell is activated;
    - the longest SMT duration among all above active serving cells in MCG when one SCell is deactivated.

Where X2 and Y2 are specified in Table 8.2.3.2.4-2.

**Table 8.2.3.2.4-1: Interruption length X2 and Y2 at E-UTRA SCell activation/deactivation**

$\mu$	NR Slot length (ms)	Interruption length X2 slot		Interruption length Y2 slot	
		Sync	Async	Sync	Async
0	1	1	2	1	2
1	0.5	1	2	1	2
2	0.25	3		2	3
3	0.125	5		4	5

**Table 8.2.3.2.4-2: Interruption length X2 and Y2 at SCell activation/deactivation**

$\mu$	NR Slot length (ms) of victim cell	Interruption length X2 slot		Interruption length Y2 slot
0	1	1		1
1	0.5	1		1
2	0.25	Both aggressor cell and victim cell are on FR2	2	2
		Either aggressor cell or victim cell is on FR1	3	
3	0.125	Aggressor cell is on FR2	4	4
		Aggressor cell is on FR1	5	

### 8.2.3.2.5 Interruptions during measurements on SCC

#### 8.2.3.2.5.1 Interruptions during measurements on deactivated NR SCC

Interruption on PCell and other activated SCell(s) during measurement on the deactivated NR SCC shall meet requirements in clause 8.2.2.2.3.

#### 8.2.3.2.5.2 Interruptions during measurements on deactivated E-UTRAN SCC

When one E-UTRA SCell in SCG is deactivated, the UE is allowed due to measurements on the E-UTRA SCC with the deactivated E-UTRA SCell:

- an interruption on PCell or any activated SCell with up to 0.5% probability of missed ACK/NACK when any of the configured *measCycleSCell* [15] for the deactivated E-UTRA SCells is 640 ms or longer.
- an interruption on PCell or any activated SCell with up to 0.5% probability of missed ACK/NACK regardless of the configured *measCycleSCell* [15] for the deactivated E-UTRA SCells if indicated by the network using IE *allowInterruptions* [15].

Each interruption shall not exceed

- X3 slot, if the PCell or activated SCell is not in the same band as the E-UTRA deactivated SCC being measured, or
- Y3 slot + SMTC duration, if the PCell or activated SCell is in the same band as the E-UTRA deactivated SCC being measured, provided the cell specific reference signals from the PCell or activated SCell and the E-UTRA deactivated SCC being measured are available in the same slot.

Where X3 and Y3 are specified in Table 8.2.3.2.5-1

**Table 8.2.3.2.5-1: Interruption length X3 and Y3 at measurements on deactivated E-UTRA SCC**

$\mu$	NR Slot length (ms)	Interruption length X3 slot		Interruption length Y3 slot <sup>Note 1</sup>	
		Sync	Async	Sync	Async
0	1	1	2	1	2
1	0.5	1	2	1	2
2	0.25		3	2	3
3	0.125		5	4	5

### 8.2.3.2.6 Interruptions at UL carrier RRC reconfiguration

The requirements in this clause shall apply when a supplementary UL carrier or an UL carrier is configured or de-configured in NE-DC.

When an UL carrier or supplementary UL carrier is configured or deconfigured, an interruption of up to X4 slot as specified in Table 8.2.3.2.6-1, is allowed during the RRC reconfiguration procedure [2] on PCell, all activated SCells within the same FR as the reconfigured uplink carrier. The interruption is for both uplink and downlink of PCell, all activated within the same FR as the configured or de-configured UL.

**Table 8.2.3.2.6-1: Interruption length X4 at UL carrier RRC reconfiguration**

$\mu$	NR Slot length (ms)	Interruption length X4 slot	
		Sync	Async
0	1	1	2
1	0.5	2	3
2	0.25		5
3	0.125		9

### 8.2.3.2.7 Interruption due to Active BWP switching Requirement

When UE receives a DCI indicating the UE to switch its active BWP, or when a BWP timer *bwp-InactivityTimer* defined in TS 38.331 [2] expires, or when the UE receives an RRC command indicating the UE to switch its active BWP, the UE is allowed an interruption on PCell and any activated SCells as defined in clause 8.2.2.2.5.

## 8.2.4 NR-DC: Interruptions

### 8.2.4.1 Introduction

This section contains the requirements related to the interruptions on PCell, PSCell and activated SCell if configured, when

up to TBD SCells are configured, deconfigured, activated or deactivated deactivated or,  
a supplementary UL carrier or an UL carrier is configured or de-configured, or  
measurements on SCC with deactivated SCell in NR SCG, or  
UL/DL BWP is switched on PCell, PSCell or SCell.

Note: interruptions at SCell addition/release, activation/deactivation and during measurements on SCC may not be required by all UEs.

Editor's Note: The interruptions shall not interrupt RRC signalling or ACK/NACKs related to RRC reconfiguration procedure [2] for SCell addition/release or MAC control signalling [17] for SCell activation/deactivation command. How to specify this is FFS.

The requirements shall apply for NR-DC with an NR PCell, PSCell or SCell.

For a UE which does not support per-FR measurement gaps, interruptions to the PCell and activated SCell may be caused by SCells on any frequency range. For UE which support per-FR gaps, interruptions to PCell, PSCell and activated SCell may be caused by SCells on the same frequency range as the victim cell.

## 8.2.4.2 Requirements

### 8.2.4.2.1 Interruptions at PSCell/SCell addition/release

When PSCell or any number of SCells between one and TBD is added or released using the same *RRConnectionReconfiguration* message as defined in TS 38.331 [2], the UE is allowed an interruption on any activated serving cell during the RRC reconfiguration procedure as follows:

- an interruption on any active serving cell:
  - of up to the duration shown in table 8.2.4.2.1-1, if the active serving cell is not in the same band as any of the SCells being added or released, or
  - of up to the duration shown in table 8.2.4.2.1-2, if the active serving cells are in the same band as any of the SCells being added or released, provided the cell specific reference signals from the active serving cells and the SCells being added or released are available in the same slot.

**Table 8.2.4.2.1-1: Interruption duration for PSCell/SCell addition/release for inter-band DC/CA**

$\mu$	NR Slot length (ms) of victim cell	Interruption length (slot)	
0	1	1	
1	0.5	2	
2	0.25	Both aggressor cell and victim cell are on FR2	4
		Either aggressor cell or victim cell is on FR1	5
3	0.125	Aggressor cell is on FR2	8
		Aggressor cell is on FR1	9
Note: TSMTC_duration is		<ul style="list-style-type: none"> <li>- the longest SMTC duration among all above active serving cells and the SCell being added when one SCell is added;</li> <li>- the longest SMTC duration among all active serving cells in the same band when one SCell is released.</li> </ul>	

**Table 8.2.4.2.1-2: Interruption duration for SCell addition/release for intra-band DC/CA**

$\mu$	NR Slot length (ms)	Interruption length (slot)	
0	1	$1 + T_{SMTC\_duration}$	
1	0.5	$2 + T_{SMTC\_duration}$	
2	0.25	$4 + T_{SMTC\_duration}$	
3	0.125	$8 + T_{SMTC\_duration}$	
Note: TSMTC_duration is		<ul style="list-style-type: none"> <li>- the longest SMTC duration among all above active serving cells and the SCell being added when one SCell is added;</li> <li>- the longest SMTC duration among all active serving cells in the same band when one SCell is released.</li> </ul>	

### 8.2.4.2.2 Interruptions at SCell activation/deactivation

When a SCell is activated or deactivated as defined in TS 37.340 [17], the UE is allowed

- an interruption on any active serving cell:

- of up to the duration shown in table 8.2.4.2.2-1, if the active serving cell is not in the same band as any of the SCells being activated or deactivated, or
- of up to the duration shown in table 8.2.4.2.2-2, if the active serving cells are in the same band as any of the SCells being activated or deactivated provided the cell specific reference signals from the active serving cells and the SCells being activated or deactivated are available in the same slot.

**Table 8.2.4.2.2-1: Interruption duration for SCell activation/deactivation for inter-band DC/CA**

$\mu$	NR Slot length (ms) of victim cell	Interruption length	
0	1	1	
1	0.5	1	
2	0.25	Both aggressor cell and victim cell are on FR2	2
		Either aggressor cell or victim cell is on FR1	3
3	0.125	Aggressor cell is on FR2	4
		Aggressor cell is on FR1	5

**Table 8.2.4.2.2-2: Interruption duration for SCell activation/deactivation for intra-band DC/CA**

$\mu$	NR Slot length (ms)	Interruption length
0	1	$1 + T_{SMTC\_duration}$
1	0.5	$1 + T_{SMTC\_duration}$
2	0.25	$2 + T_{SMTC\_duration}$
3	0.125	$4 + T_{SMTC\_duration}$

Note:  $T_{SMTC\_duration}$  is  
- the longest SMTC duration among all above active serving cells and the SCell being activated when one SCell is activated;  
- the longest SMTC duration among all active serving cells in the same band when one SCell is deactivated.

### 8.2.4.2.3 Interruptions during measurements on SCC

Interruptions on PCell due to measurements when an SCell is deactivated are allowed with up to 0.5% probability of missed ACK/NACK when the configured *measCycleSCell* [2] is 640 ms or longer. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption shall not exceed requirement in Table 8.2.2.2.2-1 if the PCell is not in the same band as the deactivated SCell. Each interruption shall not exceed requirement in Table 8.2.2.2.2-2 if the PCell is in the same band as the deactivated SCell.

Interruptions on activated SCell due to measurements when an SCell is deactivated are allowed with up to 0.5% probability of missed ACK/NACK when the configured *measCycleSCell* [2] is 640 ms or longer. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption shall not exceed requirement in Table 8.2.2.2.2-1 if the activated SCell is not in the same band as the deactivated SCell. Each interruption shall not exceed requirement in Table 8.2.2.2.2-2 if the activated SCell is in the same band as the deactivated SCell.

### 8.2.4.2.4 Interruptions at UL carrier RRC reconfiguration

The requirements in this clause shall apply when a supplementary UL carrier or an UL carrier is configured or de-configured in NR-DC as defined in TS 38.331 [2].

When an UL carrier or supplementary UL carrier is configured or deconfigured, an interruption of up to the duration shown in table 8.2.4.2.4-1, is allowed during the RRC reconfiguration procedure [2] on all the other activated serving

cells within the same FR as the reconfigured uplink carrier. The interruption is for both uplink and downlink of all the other serving cells within the same FR as the configured or de-configured UL.

**Table 8.2.4.2.4-1: Interruption duration for UL carrier RRC reconfiguration**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1
1	0.5	2
2	0.25	4
3	0.125	8

#### 8.2.4.2.5 Interruption due to Active BWP switching Requirement

When UE receives a DCI indicating the UE to switch its active BWP, or when a BWP timer bwp-InactivityTimer defined in TS 38.331 [2] expires, or when the UE receives an RRC command indicating the UE to switch its active BWP, the UE is allowed to cause an interruption on any other serving cells as defined in clause 8.2.2.2.5.

### 8.3 SCell Activation and Deactivation Delay

#### 8.3.1 Introduction

This section defines requirements for the delay within which the UE shall be able to activate a deactivated SCell and deactivate an activated SCell in EN-DC, or in standalone NR carrier aggregation, or in NE-DC, or in NR-DC.

The requirements shall apply for EN-DC, standalone NR carrier aggregation, NE-DC, and NR-DC.

#### 8.3.2 SCell Activation Delay Requirement for Deactivated SCell

The requirements in this section shall apply for the UE configured with one downlink SCell in EN-DC, or in standalone NR carrier aggregation or in NE-DC or in NR-DC and when one SCell is being activated.

The delay within which the UE shall be able to activate the deactivated SCell depends upon the specified conditions.

Upon receiving SCell activation command in slot  $n$ , the UE shall be capable to transmit valid CSI report and apply actions related to the activation command for the SCell being activated no later than in slot  $n + [T_{HARQ} + T_{activation\_time} + T_{CSI\_Reporting}]$ , where:

$T_{HARQ}$  is the timing between DL data transmission and acknowledgement as specified in TS 38.321 [7].

$T_{activation\_time}$  is the SCell activation delay.

If the SCell is known and belongs to FR1,  $T_{activation\_time}$  is:

- $[T_{SMTC\_SCell} + 5\text{ms}]$ , if the SCell measurement cycle is equal to or smaller than [160ms].
- $[T_{SMTC\_MAX} + T_{SMTC\_SCell} + 5\text{ms}]$ , if the SCell measurement cycle is larger than [160ms].

If the SCell is unknown and belongs to FR1,  $T_{activation\_time}$  is:

- $[2*T_{SMTC\_MAX} + 2*T_{SMTC\_SCell} + 5\text{ms}]$  provided the SCell can be successfully detected on the first attempt.

If the SCell being activated belongs to FR2 and if there is at least one active serving cell on that FR2 band, provided that the SSBS in the serving cell(s) and the SSBS in the SCell fulfil the condition defined in clause 3.6.3,  $T_{activation\_time}$  is  $[T_{SMTC\_SCell} + 5\text{ms}]$ .

If the SCell being activated belongs to FR2 and there is at least one active serving cell on that FR2 band, if the UE is not provided with any SMTTC for the target SCell,  $T_{activation\_time}$  is 3 ms.

If the SCell being activated belongs to FR2 and if there is no active serving cell on that FR2 band provided that PCell or PSCell is FR1:

- If the target SCell is known to UE,  $T_{activation\_time}$  is:
  - $[T_{MAC-CE,SCell} + T_{FineTiming} + 2ms]$ , if UE receives the SCell activation command and TCI state activation command at the same time.
  - $[\max\{T_{MAC-CE,SCell}, T_{uncertainty}\} + T_{MAC-CE,TCI} + T_{FineTiming} + 2ms]$ , if UE receives TCI state activation command after SCell activation command.
- If the target SCell is unknown to UE:
  - $[T_{MAC-CE,SCell} + 24 * T_{SMTU\_SCell} + T_{L1-RSRP,measure} + T_{L1-RSRP,report} + T_{uncertainty} + T_{MAC-CE,TCI} + T_{FineTiming} + [T_{CSI-RS\_resource\_configuration}] + 2ms]$

*Note 1:*  $T_{MAC-CE,SCell}$ ,  $T_{MAC-CE,TCI}$ , and  $[T_{CSI-RS\_resource\_configuration}]$  shall be determined in RAN4#92 meeting.

Where,

$T_{SMTU\_MAX}$ :

- In FR1, in case of intra-band SCell activation,  $T_{SMTU\_MAX}$  is the longer SMTU periodicity between active serving cells and SCell being activated provided the cell specific reference signals from the active serving cells and the SCells being activated or released are available in the same slot; in case of inter-band SCell activation,  $T_{SMTU\_MAX}$  is the SMTU periodicity of SCell being activated.
- In FR2,  $T_{SMTU\_MAX}$  is the longer SMTU periodicity between active serving cells and SCell being activated provided that in Rel-15 only support FR2 intra-band CA.
- $T_{SMTU\_MAX}$  is bounded to a minimum value of 10ms.

$T_{SMTU\_SCell}$ : SMTU periodicity of SCell being activated and the minimum value is 10ms.

$T_{MAC-CE,TCI}$  is the time for TCI activation for PDSCH and PDCCH.

$T_{MAC-CE,SCell}$  is the MAC-CE decoding time for SCell activation.

$T_{FineTiming}$  is the time period between UE finish decoding the last MAC CE message and the timing of first complete available SSB corresponding to the TCI state. For unknown case, the requirement is only defined provided that the MAC CE for PDCCH TCI, MAC CE for PDSCH TCI and MAC CE for CSI-RS CQI reporting is after the L1-RSRP measurement reporting.

$T_{uncertainty}$  is the time period between reception of SCell activation MAC-CE and TCI activation MAC-CE for known case. For unknown case, uncertainty is the time between the first L1-RSRP reporting and when UE receives TCI activation MAC-CE.

$T_{L1-RSRP,measure}$  is L1-RSRP measurement delay as defined in clause 9.5 assuming M=1.

$T_{L1-RSRP,report}$  is L1-RSRP reporting delay as defined in clause 9.5.

$[T_{CSI-RS\_resource\_configuration}]$  is the time for CSI-RS resource configuration for CQI reporting.

$T_{CSI\_reporting}$  is the delay including uncertainty in acquiring the first available downlink CSI reference resource, UE processing time for CSI reporting and uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2].

SCell in FR1 is known if it has been meeting the following conditions:

- During the period equal to  $\max([5] \text{ measCycleSCell}, [5] \text{ DRX cycles})$  for FR1 before the reception of the SCell activation command:
  - the UE has sent a valid measurement report for the SCell being activated and
  - the SSB measured remains detectable according to the cell identification conditions specified in clause 9.2 and 9.3.

- the SSB measured during the period equal to  $\max([5] \text{ measCycleSCell}, [5] \text{ DRX cycles})$  also remains detectable during the SCell activation delay according to the cell identification conditions specified in clause 9.2 and 9.3.

Otherwise SCell in FR1 is unknown.

For the first SCell activation in FR2 bands, the SCell is known if it has been meeting the following conditions:

- During the period equal to [4s] for UE supporting power class1 and [3s] for UE supporting power class 2/3/4 before UE receives MAC-CE command for TCI activation:
  - the UE has sent a valid L3-RSRP measurement report with index
  - SCell activation command is assumed to be received after L3-RSRP reporting and no later than the time when UE receives MAC-CE command for TCI activation
- During the period from L3-RSRP reporting to the valid CQI reporting, the reported SSBs with indexes remain detectable according to the cell identification conditions specified in clauses 9.2 and 9.3, and the TCI state is selected based on one of the reported SSB indexes.

Otherwise, the first SCell in FR2 band is unknown. If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2* prior to the activation command,  $T_{SMTC\_Scell}$  follows *smtc1* or *smtc2* according to the physical cell ID of the target cell being activated.  $T_{SMTC\_MAX}$  follows *smtc1* or *smtc2* according to the physical cell IDs of the target cells being activated and the active serving cells.

In addition to CSI reporting defined above, UE shall also apply other actions related to the activation command specified in TS 38.331 [2] for a SCell at the first opportunities for the corresponding actions once the SCell is activated.

The interruption on PSCell or any activated SCell in SCG for EN-DC mode specified in clause 8.2 shall not occur before slot  $n+1+[T_{HARQ}]$  and not occur after slot  $n+1+[T_{HARQ}+3\text{ms}+T_{SMTC\_MAX}+T_{SMTC\_duration}]$ .

The interruption on PCell or any activated SCell in MCG for NR standalone mode specified in clause 8.2 shall not occur before slot  $n+1+[T_{HARQ}]$  and not occur after slot  $n+1+[T_{HARQ}+3\text{ms}+T_{SMTC\_MAX}+T_{SMTC\_duration}]$ .

Starting from the slot specified in clause 4.3 of TS 38.213 [3] (timing for secondary Cell activation/deactivation) and until the UE has completed the SCell activation, the UE shall report out of range if the UE has available uplink resources to report CQI for the SCell.

### 8.3.3 SCell Deactivation Delay Requirement for Activated SCell

The requirements in this section shall apply for the UE configured with one downlink SCell in EN-DC, or in standalone NR carrier aggregation, or in NE-DC, or in NR-DC.

Upon receiving SCell deactivation command or upon expiry of the *sCellDeactivationTimer* in slot  $n$ , the UE shall accomplish the deactivation actions for the SCell being deactivated no later than in slot  $n+[T_{HARQ}+3\text{ms}]$ .

The interruption on PSCell or any activated SCell in SCG for EN-DC mode specified in clause 8.2 shall not occur before slot  $n+1+[T_{HARQ}]$  and not occur after slot  $n+1+[T_{HARQ}+3\text{ms}]$ .

The interruption on PCell or any activated SCell in MCG for NR standalone mode specified in clause 8.2 shall not occur before slot  $n+1+[T_{HARQ}]$  and not occur after slot  $n+1+[T_{HARQ}+3\text{ms}]$ .

## 8.4 UE UL carrier RRC reconfiguration Delay

### 8.4.1 Introduction

The requirements in this section apply for a UE being configured or deconfigured with a supplementary UL carrier or NR UL carrier.

## 8.4.2 UE UL carrier configuration Delay Requirement

When the UE receives a RRC message implying NR UL or Supplementary UL carrier configuration, the UE shall be ready to start transmission on the newly configured carrier within  $T_{UL\_carrier\_config}$  from the end of the last slot containing the RRC command.

$T_{UL\_carrier\_config}$  equals the maximum RRC procedure delay defined in clause 12 in TS 38.331 [2].

## 8.4.3 UE UL carrier deconfiguration Delay Requirement

When the UE receives a RRC message implying NR UL or Supplementary UL carrier deconfiguration RRC signalling, the UE shall stop UL signalling on the deconfigured UL carrier within  $T_{UL\_carrier\_deconfig}$  from the end of the last slot containing the RRC command.

$T_{UL\_carrier\_deconfig}$  equals the maximum RRC procedure delay defined in clause 12 in TS 38.331 [2].

# 8.5 Link Recovery Procedures

## 8.5.1 Introduction

The UE shall assess the downlink link quality of a serving cell based on the reference signal in the set  $\bar{q}_0$  as specified in TS 38.213 [3] in order to detect beam failure instance for any of:

- PCell in SA, NR-DC, or NE-DC operation mode,
- PSCell in NR-DC and EN-DC operation mode,

The RS resource configurations in the set  $\bar{q}_0$  can be periodic CSI-RS resources and/or SSBs. UE is not required to perform beam failure detection outside the active DL BWP. UE is not required to meet the requirements in clause 8.5.2 and 8.5.3 if UE does not have set  $\bar{q}_0$ .

On each RS resource configuration in the set  $\bar{q}_0$ , the UE shall estimate the radio link quality and compare it to the threshold  $Q_{out\_LR}$  for the purpose of accessing downlink radio link quality of the serving cell beams.

The threshold  $Q_{out\_LR}$  is defined as the level at which the downlink radio level link of a given resource configuration on set  $\bar{q}_0$  cannot be reliably received and shall correspond to the  $BLER_{out}=10\%$  block error rate of a hypothetical PDCCH transmission. For SSB based beam failure detection,  $Q_{out\_LR\_SSB}$  is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5.2.1-1. For CSI-RS based beam failure detection,  $Q_{out\_LR\_CSI\_RS}$  is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5.3.1-1.

Upon request the UE shall deliver configuration indexes from the set  $\bar{q}_1$  as specified in TS 38.213 [3], to higher layers, and the corresponding L1-RSRP measurement provided that the measured L1-RSRP is equal to or better than the threshold  $Q_{in\_LR}$ , which is indicated by higher layer parameter  $rsrp\text{-ThresholdSSB}$ . The UE applies the  $Q_{in\_LR}$  threshold to the L1-RSRP measurement obtained from a SSB. The UE applies the  $Q_{in\_LR}$  threshold to the L1-RSRP measurement obtained for a CSI-RS resource after scaling a respective CSI-RS reception power with a value provided by higher layer parameter  $powerControlOffsetSS$ . The RS resource configurations in the set  $\bar{q}_1$  can be periodic CSI-RS resources or SSBs or both SSB and CSI-RS resources. UE is not required to perform candidate beam detection outside the active DL BWP.

## 8.5.2 Requirements for SSB based beam failure detection

### 8.5.2.1 Introduction

The requirements in this section apply for each SSB resource in the set  $\bar{q}_0$  configured for a serving cell, provided that the SSB configured for beam failure detection are actually transmitted within the UE active DL BWP during the entire evaluation period specified in clause 8.5.2.2.

**Table 8.5.2.1-1: PDCCH transmission parameters for beam failure instance**

Attribute	Value for BLER
DCI format	1-0
Number of control OFDM symbols	2
Aggregation level (CCE)	8
Ratio of hypothetical PDCCH RE energy to average SSS RE energy	0dB
Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	0dB
Bandwidth (MHz)	TBD
Sub-carrier spacing (kHz)	Same as the SCS of RMSI CORESET
DMRS precoder granularity	REG bundle size
REG bundle size	6
CP length	Normal
Mapping from REG to CCE	Distributed

### 8.5.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured SSB resource in set  $\bar{q}_0$  estimated over the last  $T_{\text{Evaluate\_BFD\_SSB}}$  [ms] period becomes worse than the threshold  $Q_{\text{out\_LR\_SSB}}$  within  $T_{\text{Evaluate\_BFD\_SSB}}$  [ms] period.

The value of  $T_{\text{Evaluate\_BFD\_SSB}}$  is defined in Table 8.5.2.2-1 for FR1.

The value of  $T_{\text{Evaluate\_BFD\_SSB}}$  is defined in Table 8.5.2.2-2 for FR2 with N=8

For FR1,

- $P=1/(1 - T_{\text{SSB}}/\text{MGRP})$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- $P=1/(1 - T_{\text{SSB}}/T_{\text{SMTCP}})$ , when BFD-RS is not overlapped with measurement gap and BFD-RS is partially overlapped with SMTCP occasion ( $T_{\text{SSB}} < T_{\text{SMTCP}}$ ).
- $P$  is  $P_{\text{sharing}}$  factor, when BFD-RS is not overlapped with measurement gap and BFD-RS is fully overlapped with SMTCP period ( $T_{\text{SSB}} = T_{\text{SMTCP}}$ ).
- $P$  is  $1/(1 - T_{\text{SSB}}/\text{MGRP} - T_{\text{SSB}}/T_{\text{SMTCP}})$ , when BFD-RS is partially overlapped with measurement gap and BFD-RS is partially overlapped with SMTCP occasion ( $T_{\text{SSB}} < T_{\text{SMTCP}}$ ) and SMTCP occasion is not overlapped with measurement gap and
  - $T_{\text{SMTCP}} \neq \text{MGRP}$  or
  - $T_{\text{SMTCP}} = \text{MGRP}$  and  $T_{\text{SSB}} < 0.5 * T_{\text{SMTCP}}$

- $P$  is  $1/(1 - T_{SSB}/MGRP) * P_{sharing}$  factor, when BFD-RS is partially overlapped with measurement gap and BFD-RS is partially overlapped with SMT occasion ( $T_{SSB} < T_{SMT\text{period}}$ ) and SMT occasion is not overlapped with measurement gap and  $T_{SMT\text{period}} = MGRP$  and  $T_{SSB} = 0.5 * T_{SMT\text{period}}$
- $P$  is  $1/\{1 - T_{SSB}/\min(T_{SMT\text{period}}, MGRP)\}$ , when BFD-RS is partially overlapped with measurement gap ( $T_{SSB} < MGRP$ ) and BFD-RS is partially overlapped with SMT occasion ( $T_{SSB} < T_{SMT\text{period}}$ ) and SMT occasion is partially or fully overlapped with measurement gap.
- $P$  is  $1/(1 - T_{SSB}/MGRP) * P_{sharing}$  factor, when BFD-RS is partially overlapped with measurement gap and BFD-RS is fully overlapped with SMT occasion ( $T_{SSB} = T_{SMT\text{period}}$ ) and SMT occasion is partially overlapped with measurement gap ( $T_{SMT\text{period}} < MGRP$ )
- $P_{sharing}$  factor = 3.

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured,  $T_{SMT\text{period}}$  corresponds to the value of higher layer parameter *smtc2*; Otherwise  $T_{SMT\text{period}}$  corresponds to the value of higher layer parameter *smtc1*.

Longer evaluation period would be expected if the combination of BFD-RS, SMT occasion and measurement gap configurations does not meet previous conditions.

**Table 8.5.2.2-1: Evaluation period  $T_{Evaluate\_BFD\_SSB}$  for FR1**

Configuration	$T_{Evaluate\_BFD\_SSB}$ (ms)
no DRX	$\max([50], \text{ceil}(5^*P)^*T_{SSB})$
DRX cycle $\leq 320\text{ms}$	$\max([50], \text{ceil}(7.5^*P)^*\max(T_{DRX}, T_{SSB}))$
DRX cycle $> 320\text{ms}$	$\text{ceil}(5^*P)^*T_{DRX}$

Note:  $T_{SSB}$  is the periodicity of SSB in the set  $\bar{q}_0$ .  $T_{DRX}$  is the DRX cycle length.

**Table 8.5.2.2-2: Evaluation period  $T_{Evaluate\_BFD\_out}$  for FR2**

Configuration	$T_{Evaluate\_BFD\_SSB}$ (ms)
no DRX	$\max([50], \text{ceil}(5^*P^*N)^*T_{SSB})$
DRX cycle $\leq 320\text{ms}$	$\max([50], \text{ceil}(7.5^*P^*N)^*\max(T_{DRX}, T_{SSB}))$
DRX cycle $> 320\text{ms}$	$\text{ceil}(5^*P^*N)^*T_{DRX}$

Note:  $T_{SSB}$  is the periodicity of SSB in the set  $\bar{q}_0$ .  $T_{DRX}$  is the DRX cycle length.

### 8.5.2.3 Measurement restriction for SSB based beam failure detection

The UE is required to be capable of measuring SSB for BFD without measurement gaps. The UE is required to perform the SSB measurements with measurement restrictions as described in the following clauses.

For FR1, when the SSB for BFD measurement is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for BFD measurement without any restriction;
- If SSB and CSI-RS have different SCS,
  - If UE supports simultaneousRxDataSSB-DiffNumerology, UE shall be able to measure the SSB for BFD measurement without any restriction;
  - If UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both SSB for BFD measurement and CSI-RS. Longer measurement period for SSB based BFD measurement is expected, and no requirements are defined.

For FR2, when the SSB for BFD measurement is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE is required to measure one of but not both SSB for BFD measurement and CSI-RS. Longer measurement period for SSB based BFD measurement is expected, and no requirements are defined.

### 8.5.3 Requirements for CSI-RS based beam failure detection

#### 8.5.3.1 Introduction

The requirements in this section apply for each CSI-RS resource in the set  $\bar{q}_0$  of resource configurations for a serving cell, provided that the CSI-RS resource(s) in set  $\bar{q}_0$  for beam failure detection are actually transmitted within the UE active DL BWP during the entire evaluation period specified in clause 8.5.3.2. UE is not expected to perform beam failure detection measurements on the CSI-RS configured as BFD-RS if the CSI-RS is not QCL-ed, with QCL-TypeD when applicable, with the RS in the active TCI state of any CORESET configured in the UE active BWP.

**Table 8.5.3.1-1: PDCCH transmission parameters for beam failure instance**

Attribute	Value for BLER
DCI format	1-0
Number of control OFDM symbols	2
Aggregation level (CCE)	8
Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	0dB
Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	0dB
Bandwidth (PRBs)	48
Sub-carrier spacing (kHz)	SCS of the active DL BWP
DMRS precoder granularity	REG bundle size
REG bundle size	6
CP length	Normal
Mapping from REG to CCE	Distributed

#### 8.5.3.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the CSI-RS resource in set  $\bar{q}_0$  estimated over the last  $T_{\text{Evaluate\_BFD\_CSI-RS}}$  [ms] period becomes worse than the threshold  $Q_{\text{out\_LR\_CSI-RS}}$  within  $T_{\text{Evaluate\_BFD\_CSI-RS}}$  [ms] period.

The value of  $T_{\text{Evaluate\_BFD\_CSI-RS}}$  is defined in Table 8.5.3.2-1 for FR1.

The value of  $T_{\text{Evaluate\_BFD\_CSI-RS}}$  is defined in Table 8.5.3.2-2 for FR2 with N=1. The requirements of  $T_{\text{Evaluate\_BFD\_CSI-RS}}$  apply provided that the CSI-RS for BFD is not in a resource set configured with repetition ON.

For FR1,

- $P = 1 / (1 - T_{\text{CSI-RS}} / \text{MGRP})$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the CSI-RS; and
- $P = 1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the CSI-RS.

For FR2,

- $P = 1$ , when BFD-RS is not overlapped with measurement gap and also not overlapped with SMTCA occasion.
- $P = 1 / (1 - T_{\text{CSI-RS}} / \text{MGRP})$ , when BFD-RS is partially overlapped with measurement gap and BFD-RS is not overlapped with SMTCA occasion ( $T_{\text{CSI-RS}} < \text{MGRP}$ )
- $P = 1 / (1 - T_{\text{CSI-RS}} / T_{\text{SMTCA}_{\text{period}}})$ , when BFD-RS is not overlapped with measurement gap and BFD-RS is partially overlapped with SMTCA occasion ( $T_{\text{CSI-RS}} < T_{\text{SMTCA}_{\text{period}}}$ ).
- $P$  is  $P_{\text{sharing factor}}$ , when BFD-RS is not overlapped with measurement gap and BFD-RS is fully overlapped with SMTCA occasion ( $T_{\text{CSI-RS}} = T_{\text{SMTCA}_{\text{period}}}$ ).

- $P = 1/(1 - T_{CSI-RS}/MGRP - T_{CSI-RS}/T_{SMTC_{period}})$ , when BFD-RS is partially overlapped with measurement gap and BFD-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTC_{period}}$ ) and SMTA occasion is not overlapped with measurement gap and
  - $T_{SMTC_{period}} \neq MGRP$  or
  - $T_{SMTC_{period}} = MGRP$  and  $T_{CSI-RS} < 0.5 * T_{SMTC_{period}}$
- $P = 1/(1 - T_{CSI-RS}/MGRP) * P_{sharing\ factor}$ , when BFD-RS is partially overlapped with measurement gap and BFD-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTC_{period}}$ ) and SMTA occasion is not overlapped with measurement gap and  $T_{SMTC_{period}} = MGRP$  and  $T_{CSI-RS} = 0.5 * T_{SMTC_{period}}$
- $P = 1/\{1 - T_{CSI-RS}/min(T_{SMTC_{period}}, MGRP)\}$ , when BFD-RS is partially overlapped with measurement gap ( $T_{CSI-RS} < MGRP$ ) and BFD-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTC_{period}}$ ) and SMTA occasion is partially or fully overlapped with measurement gap.
- $P = 1/(1 - T_{CSI-RS}/MGRP) * P_{sharing\ factor}$ , when BFD-RS is partially overlapped with measurement gap and BFD-RS is fully overlapped with SMTA occasion ( $T_{CSI-RS} = T_{SMTC_{period}}$ ) and SMTA occasion is partially overlapped with measurement gap ( $T_{SMTC_{period}} < MGRP$ )
- $P_{sharing\ factor} = 3$ .

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured,  $T_{SMTC_{period}}$  corresponds to the value of higher layer parameter *smtc2*; Otherwise  $T_{SMTC_{period}}$  corresponds to the value of higher layer parameter *smtc1*.

Note: The overlap between CSI-RS for BFD and SMTA means that CSI-RS for BFD is within the SMTA window duration.

Longer evaluation period would be expected if the combination of BFD-RS, SMTA occasion and measurement gap configurations does not meet previous conditions.

The values of  $M_{BFD}$  used in Table 8.5.3.2-1 and Table 8.5.3.2-2 are defined as

- $M_{BFD} = 10$ , if the CSI-RS resource(s) in set  $\bar{q}_0$  used for BFD is transmitted with Density = 3.

**Table 8.5.3.2-1: Evaluation period  $T_{Evaluate\_BFD\_CSI-RS}$  for FR1**

Configuration	$T_{Evaluate\_BFD\_CSI-RS}$ (ms)
no DRX	$\max([50], [M_{BFD} * P] * T_{CSI-RS})$
DRX cycle $\leq 320\text{ms}$	$\max([50], [1.5 \times M_{BFD} * P] * \max(T_{DRX}, T_{CSI-RS}))$
DRX cycle $> 320\text{ms}$	$[M_{BFD} * P] * T_{DRX}$

Note:  $T_{CSI-RS}$  is the periodicity of CSI-RS resource in the set  $\bar{q}_0$ .  $T_{DRX}$  is the DRX cycle length.

**Table 8.5.3.2-2: Evaluation period  $T_{Evaluate\_BFD\_CSI-RS}$  for FR2**

Configuration	$T_{Evaluate\_BFD\_CSI-RS}$ (ms)
no DRX	$\max([50], [M_{BFD} * P * N] * T_{CSI-RS})$
DRX cycle $\leq 320\text{ms}$	$\max([50], [1.5 \times M_{BFD} * P * N] * \max(T_{DRX}, T_{CSI-RS}))$
DRX cycle $> 320\text{ms}$	$[M_{BFD} * P * N] * T_{DRX}$

Note:  $T_{CSI-RS}$  is the periodicity of CSI-RS resource in the set  $\bar{q}_0$ .  $T_{DRX}$  is the DRX cycle length.

### 8.5.3.3 Measurement restrictions for CSI-RS beam failure detection

The UE is required to be capable of measuring CSI-RS for BFD without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following clauses.

For both FR1 and FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as SSB for RLM/BFD/CBD/L1-RSRP measurement, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.
- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for BFD measurement is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as SSB for RLM/BFD/L1-RSRP measurement, or in the same symbol as SSB for CBD when beam failure is detected, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- In the following cases, UE is required to measure one of but not both CSI-RS for BFD measurement and the other CSI-RS. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.
  - The CSI-RS for BFD measurement or the other CSI-RS in a resource set configured with repetition ON, or
  - The other CSI-RS is configured in q1 and beam failure is detected, or
  - The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,
- Otherwise, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

#### 8.5.4 Minimum requirement for L1 indication

When the radio link quality on all the RS resources in set  $\bar{q}_0$  is worse than  $Q_{out\_LR}$ , Layer 1 of the UE shall send a beam failure instance indication to the higher layers. A Layer 3 filter may be applied to the beam failure instance indications as specified in TS 38.331 [2].

The beam failure instance evaluation for the RS resources in set  $\bar{q}_0$  shall be performed as specified in clause 6 in TS 38.213 [3]. Two successive indications from Layer 1 shall be separated by at least  $T_{Indication\_interval\_BFD}$ .

When DRX is not used,  $T_{Indication\_interval\_BFD}$  is  $\max(2\text{ms}, T_{SSB-RS,M})$  or  $\max(2\text{ms}, T_{CSI-RS,M})$ , where  $T_{SSB-RS,M}$  and  $T_{CSI-RS,M}$  is the shortest periodicity of all RS resources in set  $\bar{q}_0$  for the accessed cell, corresponding to either the shortest periodicity of the SSB in the set  $\bar{q}_0$  or CSI-RS resource in the set  $\bar{q}_0$ .

When DRX is used,  $T_{Indication\_interval\_BFD}$  is  $\max(1.5 * DRX\_cycle\_length, 1.5 * T_{SSB-RS,M})$  if DRX cycle\_length is less than or equal to 320ms for SSB based link quality measurement, and  $T_{Indication\_interval}$  is DRX\_cycle\_length if DRX cycle\_length is greater than 320ms.

When DRX is used,  $T_{Indication\_interval\_BFD}$  is  $\max(1.5 * DRX\_cycle\_length, 1.5 * T_{CSI-RS,M})$  if DRX cycle\_length is less than or equal to 320ms for CSI-RS based link quality measurement, and  $T_{Indication\_interval}$  is DRX\_cycle\_length if DRX cycle\_length is greater than 320ms.

## 8.5.5 Requirements for SSB based candidate beam detection

### 8.5.5.1 Introduction

The requirements in this section apply for each SSB resource in the set  $\bar{q}_1$  configured for a serving cell, provided that the SSBS configured for candidate beam detection are actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.5.5.2.

### 8.5.5.2 Minimum requirement

Upon request the UE shall be able to evaluate whether the L1-RSRP measured on the configured SSB resource in set  $\bar{q}_1$  estimated over the last  $T_{Evaluate\_CBD\_SSB}$  [ms] period becomes better than the threshold  $Q_{in\_LR}$  provided SSB\_RP and SSB\_Es/Iot are according to Annex Table B.2.4.1 for a corresponding band.

The UE shall monitor the configured SSB resources using the evaluation period in table 8.5.5.2-1 and 8.5.5.2-2 corresponding to the non-DRX mode, if the configured DRX cycle  $\leq 320\text{ms}$ .

The value of  $T_{Evaluate\_CBD\_SSB}$  is defined in Table 8.5.5.2-1 for FR1.

The value of  $T_{Evaluate\_CBD\_SSB}$  is defined in Table 8.5.5.2-2 for FR2 with N=8.

Where,

For FR1,

- $P=1/(1 - T_{SSB}/MGRP)$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- $P=1/(1 - T_{SSB}/T_{SMTCperiod})$ , when candidate beam detection RS is not overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{SSB} < T_{SMTCperiod}$ ).
- $P$  is 3, when candidate beam detection RS is not overlapped with measurement gap and candidate beam detection RS is fully overlapped with SMTc period ( $T_{SSB} = T_{SMTCperiod}$ ).
- $P$  is  $1/(1 - T_{SSB}/MGRP - T_{SSB}/T_{SMTCperiod})$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{SSB} < T_{SMTCperiod}$ ) and SMTc occasion is not overlapped with measurement gap and
  - $T_{SMTCperiod} \neq MGRP$  or
  - $T_{SMTCperiod} = MGRP$  and  $T_{SSB} < 0.5 * T_{SMTCperiod}$
- $P$  is  $1/(1 - T_{SSB}/MGRP) * 3$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{SSB} < T_{SMTCperiod}$ ) and SMTc occasion is not overlapped with measurement gap and  $T_{SMTCperiod} = MGRP$  and  $T_{SSB} = 0.5 * T_{SMTCperiod}$
- $P$  is  $1/(1 - T_{SSB}/min(T_{SMTCperiod}, MGRP))$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{SSB} < T_{SMTCperiod}$ ) and SMTc occasion is partially or fully overlapped with measurement gap
- $P$  is  $1/(1 - T_{SSB}/MGRP) * 3$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is fully overlapped with SMTc occasion ( $T_{SSB} = T_{SMTCperiod}$ ) and SMTc occasion is partially overlapped with measurement gap ( $T_{SMTCperiod} < MGRP$ )

**Table 8.5.5.2-1: Evaluation period  $T_{Evaluate\_CBD\_SSB}$  for FR1**

<b>Configuration</b>	<b><math>T_{Evaluate\_CBD\_SSB}</math> (ms)</b>
non-DRX, DRX cycle $\leq 320\text{ms}$	$\text{ceil}([3]^*P) * T_{SSB}$
DRX cycle $> 320\text{ms}$	$\text{ceil}([3]^*P) * T_{DRX}$

Note:  $T_{SSB}$  is the periodicity of SSB in the set  $\bar{q}_1$ .  $T_{DRX}$  is the DRX cycle length.

**Table 8.5.5.2-2: Evaluation period  $T_{Evaluate\_CBD\_out}$  for FR2**

<b>Configuration</b>	<b><math>T_{Evaluate\_CBD\_SSB}</math> (ms)</b>
non-DRX, DRX cycle $\leq 320\text{ms}$	$\text{ceil}([3]^*P^*N) * T_{SSB}$
DRX cycle $> 320\text{ms}$	$\text{ceil}([3]^*P^*N) * T_{DRX}$

Note:  $T_{SSB}$  is the periodicity of SSB in the set  $\bar{q}_1$ .  $T_{DRX}$  is the DRX cycle length.

### 8.5.5.3 Measurement restriction for SSB based candidate beam detection

For FR1, when the SSB for CBD measurement is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for CBD measurement without any restrictions;
- If SSB and CSI-RS have different SCS-es,
  - If UE supports simultaneousRxDataSSB-DiffNumerology, UE shall be able to measure the SSB for CBD measurement without any restriction;
  - If UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both SSB for CBD measurement and CSI-RS. Longer measurement period for SSB based CBD measurement is expected, and no requirements are defined.

For FR2, when the SSB for CBD measurement is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE is required to measure one of but not both SSB for CBD measurement and CSI-RS. Longer measurement period for SSB based CBD measurement is expected, and no requirements are defined.

### 8.5.6 Requirements for CSI-RS based candidate beam detection

#### 8.5.6.1 Introduction

The requirements in this section apply for each CSI-RS resource in the set  $\bar{q}_1$  configured for a serving cell, provided that the CSI-RS resources configured for candidate beam detection are actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.5.6.2.

#### 8.5.6.2 Minimum requirement

Upon request the UE shall be able to evaluate whether the L1-RSRP measured on the configured CSI-RS resource in set  $\bar{q}_1$  estimated over the last  $T_{Evaluate\_CBD\_CSI\_RS}$  [ms] period becomes better than the threshold  $Q_{in\_LR}$  within  $T_{Evaluate\_CBD\_CSI\_RS}$  [ms] period provided CSI-RS Es/Iot is according to Annex Table B.2.4.2 for a corresponding band.

The UE shall monitor the configured CSI-RS resources using the evaluation period in table 8.5.6.2-1 and 8.5.6.2-2 corresponding to the non-DRX mode, if the configured DRX cycle  $\leq 320\text{ms}$ .

The value of  $T_{Evaluate\_CBD\_CSI\_RS}$  is defined in Table 8.5.6.2-1 for FR1.

The value of  $T_{Evaluate\_CBD\_CSI\_RS}$  is defined in Table 8.5.6.2-2 for FR2 with N=8.

For FR1,

- $P=1/(1 - T_{CSI-RS}/MGRP)$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the CSI-RS; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the CSI-RS.

For FR2,

- $P=1$ , when candidate beam detection RS is not overlapped with measurement gap and also not overlapped with SMTc occasion.
- $P=1/(1 - T_{CSI-RS}/MGRP)$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is not overlapped with SMTc occasion ( $T_{CSI-RS} < MGRP$ )
- $P=1/(1 - T_{CSI-RS} / T_{SMTCperiod})$ , when candidate beam detection RS is not overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ).
- $P$  is 3, when candidate beam detection RS is not overlapped with measurement gap and candidate beam detection RS is fully overlapped with SMTc occasion ( $T_{CSI-RS} = T_{SMTCperiod}$ ).
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP - T_{CSI-RS} / T_{SMTCperiod})$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ) and SMTc occasion is not overlapped with measurement gap and
  - $T_{SMTCperiod} \neq MGRP$  or
  - $T_{SMTCperiod} = MGRP$  and  $T_{CSI-RS} < 0.5 * T_{SMTCperiod}$
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP) * 3$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ) and SMTc occasion is not overlapped with measurement gap and  $T_{SMTCperiod} = MGRP$  and  $T_{CSI-RS} = 0.5 * T_{SMTCperiod}$
- $P$  is  $1/(1 - T_{CSI-RS} / min(T_{SMTCperiod}, MGRP))$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is partially overlapped with SMTc occasion ( $T_{CSI-RS} < T_{SMTCperiod}$ ) and SMTc occasion is partially or fully overlapped with measurement gap
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP) * 3$ , when candidate beam detection RS is partially overlapped with measurement gap and candidate beam detection RS is fully overlapped with SMTc occasion ( $T_{CSI-RS} = T_{SMTCperiod}$ ) and SMTc occasion is partially overlapped with measurement gap ( $T_{SMTCperiod} < MGRP$ ) [Longer evaluation period would be expected if the CSI-RS is on the same OFDM symbols with RLM/BFD/BM-RS, or other CBD-RS, according to the measurement restrictions defined in section TBD.]

The values of  $M_{CBD}$  used in Table 8.5.6.2-1 and Table 8.5.6.2-2 are defined as

- $M_{CBD} = 3$ , if the CSI-RS resource configured in the set  $\bar{q}_l$  is transmitted with Density = 3.

**Table 8.5.6.2-1: Evaluation period  $T_{Evaluate\_CBD\_CSI-RS}$  for FR1**

Configuration	$T_{Evaluate\_CBD\_CSI-RS}$ (ms)
non-DRX, DRX cycle $\leq 320\text{ms}$	$\max([25], \text{ceil}(M_{CBD} * P) * T_{CSI-RS})$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M_{CBD} * P) * T_{DRX}$

Note:  $T_{CSI-RS}$  is the periodicity of CSI-RS resource in the set  $\bar{q}_l$ .  $T_{DRX}$  is the DRX cycle length.

**Table 8.5.6.2-2: Evaluation period  $T_{\text{Evaluate\_CBD\_CSI-RS}}$  for FR2**

<b>Configuration</b>	<b><math>T_{\text{Evaluate\_CBD\_CSI-RS}} \text{ (ms)}</math></b>
non-DRX, DRX cycle $\leq 320\text{ms}$	$\max([25], \text{ceil}(M_{\text{CBD}} * P * N) * T_{\text{CSI-RS}})$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M_{\text{CBD}} * P * N) * T_{\text{DRX}}$

Note:  $T_{\text{CSI-RS}}$  is the periodicity of CSI-RS resource in the set  $\bar{q}_1$ .  $T_{\text{DRX}}$  is the DRX cycle length.

### 8.5.6.3 Measurement restriction for CSI-RS based candidate beam detection

For both FR1 and FR2, when the CSI-RS for CBD measurement is in the same OFDM symbol as SSB for RLM/BFD/CBD/L1-RSRP measurement, UE is not required to receive CSI-RS for CBD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for CBD measurement, the UE shall be able to perform CSI-RS based CBD measurement without restrictions.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for CBD measurement, the UE shall be able to perform CSI-RS based CBD measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS based CBD measurement for without restrictions.
- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer measurement period for CSI-RS based CBD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for CBD measurement is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE shall be able to measure the CSI-RS for CBD measurement without any restriction.

For FR2, when the CSI-RS for CBD measurement is in the same OFDM symbol as SSB for RLM/BFD/CBD/L1-RSRP measurement, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer evaluation period for CSI-RS based CBD measurement is expected, and no requirements are defined.

For FR2, when the CSI-RS for CBD measurement is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE is required to measure one of but not both CSI-RS for CBD measurement and the other CSI-RS. Longer evaluation period for CSI-RS based CBD measurement is expected, and no requirements are defined.

### 8.5.7 Scheduling availability of UE during beam failure detection

Scheduling availability restrictions when the UE is performing beam failure detection are described in the following clauses.

#### 8.5.7.1 Scheduling availability of UE performing beam failure detection with a same subcarrier spacing as PDSCH/PDCCH on FR1

There are no scheduling restrictions due to beam failure detection performed on SSB and CSI-RS configured as BFD-RS with the same SCS as PDSCH/PDCCH in FR1.

#### 8.5.7.2 Scheduling availability of UE performing beam failure detection with a different subcarrier spacing than PDSCH/PDCCH on FR1

For UEs which support *simultaneousRxDataSSB-DiffNumerology* [14] there are no restrictions on scheduling availability due to beam failure detection based on SSB as BFD-RS. For UEs which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to beam failure detection based on SSB configured as BFD-RS.

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on SSB symbols to be measured for beam failure detection.

When intra-band carrier aggregation in FR1 is configured, the scheduling restrictions on FR1 serving PCell or PSCell applies to all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols. When inter-band carrier aggregation within FR1 is configured, there are no scheduling restrictions on FR1 serving cell(s) configured in other bands than the bands in which PCell or PSCell is configured.

### 8.5.7.3 Scheduling availability of UE performing beam failure detection on FR2

The following scheduling restriction applies due to beam failure detection.

- For the case where no RSs are provided for BFD, or where CSI-RS as BFD-RS is explicitly configured and is type-D QCled with active TCI state for PDCCH/PDSCH, and the CSI-RS is not in a CSI-RS resource set with repetition ON
  - There are no scheduling restrictions due to beam failure detection performed based on the CSI-RS.
- Otherwise
  - The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on BFD-RS symbols to be measured for beam failure detection.

When intra-band carrier aggregation in FR2 is performed, the scheduling restrictions on FR2 serving PCell or PSCell applies to all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

### 8.5.7.4 Scheduling availability of UE performing beam failure detection on FR1 or FR2 in case of FR1-FR2 inter-band CA and NR DC

There are no scheduling restrictions on FR1 serving cell(s) due to beam failure detection performed on FR2 serving PCell and/or PSCell.

There are no scheduling restrictions on FR2 serving cell(s) due to beam failure detection performed on FR1 serving PCell and/or PSCell.

*Editor's Note: NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.*

## 8.5.8 Scheduling availability of UE during candidate beam detection

Scheduling availability restrictions when the UE is performing L1-RSRP measurement for candidate beam detection are described in the following clauses.

### 8.5.8.1 Scheduling availability of UE performing L1-RSRP measurement with a same subcarrier spacing as PDSCH/PDCCH on FR1

There are no scheduling restrictions due to L1-RSRP measurement performed on SSB and CSI-RS configured as link recovery detection resource with the same SCS as PDSCH/PDCCH in FR1.

### 8.5.8.2 Scheduling availability of UE performing L1-RSRP measurement with a different subcarrier spacing than PDSCH/PDCCH on FR1

For UEs which support *simultaneousRxDataSSB-DiffNumerology* [14] there are no restrictions on scheduling availability due to L1-RSRP measurement based on SSB as link recovery detection resource. For UEs which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to L1-RSRP measurement based on SSB configured as link recovery detection resource.

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on SSB symbols to be measured for L1-RSRP.

When intra-band carrier aggregation in FR1 is configured, the scheduling restrictions on one serving cell applies to all other serving cells in the same band on the symbols that fully or partially overlap with the restricted symbols. When

inter-band carrier aggregation within FR1 is configured, there are no scheduling restrictions on FR1 serving cell(s) configured in other bands.

### 8.5.8.3 Scheduling availability of UE performing L1-RSRP measurement on FR2

The following scheduling restriction applies due to candidate beam detection

- The UE is not expected to transmit PUCCH/PUSCH or receive PDCCH/PDSCH on reference symbols to be measured for candidate beam detection.

When intra-band carrier aggregation in FR2 is configured, the scheduling restrictions on to one serving cell applies to all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

### 8.5.8.4 Scheduling availability of UE performing L1-RSRP measurement on FR1 or FR2 in case of FR1-FR2 inter-band CA and NR-DC

There are no scheduling restrictions on FR1 serving cell(s) due to L1-RSRP measurement performed on FR2 serving cell(s).

There are no scheduling restrictions on FR2 serving cell(s) due to L1-RSRP measurement performed on FR1 serving cell(s).

*Editor's Note: NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.*

## 8.6 Active BWP switch delay

### 8.6.1 Introduction

The requirements in this section apply for a UE configured with more than one BWP on PCell or any activated SCell in standalone NR or NE-DC, PCell, PSCell or any activated SCell in MCG or SCG in NR-DC, or PSCell or any activated SCell in SCG in EN-DC. UE shall complete the switch of active DL and/or UL BWP within the delay defined in this section.

### 8.6.2 DCI and timer based BWP switch delay

For DCI-based BWP switch, after the UE receives BWP switching request at DL slot n on a serving cell, UE shall be able to receive PDSCH (for DL active BWP switch) or transmit PUSCH (for UL active BWP switch) on the new BWP on the serving cell on which BWP switch on the first DL or UL slot occurs right after the beginning of DL slot n+  $T_{BWPswitchDelay}$ .

The UE is not required to transmit UL signals or receive DL signals during time duration  $T_{BWPswitchDelay}$  on the cell where DCI-based BWP switch occurs. The UE is not required to follow the requirements defined in this section when performing a DCI-based BWP switch between the BWPs in disjoint channel bandwidths or in partially overlapping channel bandwidths.

For timer-based BWP switch, the UE shall start BWP switch at DL slot n, where n is the beginning of a DL subframe (FR1) or DL half-subframe (FR2) immediately after a BWP-inactivity timer *bwp-InactivityTimer* [2] expires on a serving cell, and the UE shall be able to receive PDSCH (for DL active BWP switch) or transmit PUSCH (for UL active BWP switch) on the new BWP on the serving cell on which BWP switch on the first DL or UL slot occurs right after the beginning of DL slot n+  $T_{BWPswitchDelay}$ .

The UE is not required to transmit UL signals or receive DL signals after *bwp-InactivityTimer* [2] expires on the cell where timer-based BWP switch occurs.

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

**Table 8.6.2-1: BWP switch delay**

$\mu$	NR Slot length (ms)	BWP switch delay $T_{BWPswitchDelay}$ (slots)	
		Type 1 <sup>Note 1</sup>	Type 2 <sup>Note 1</sup>
0	1	1	3
1	0.5	2	5
2	0.25	3	9
3	0.125	6	18

Note 1: Depends on UE capability.  
 Note 2: If the BWP switch involves changing of SCS, the BWP switch delay is determined by the larger one between the SCS before BWP switch and the SCS after BWP switch.

### 8.6.3 RRC based BWP switch delay

For RRC-based BWP switch, after the UE receives BWP switching request, UE shall be able to receive PDSCH/PDCCH (for DL active BWP switch) or transmit PUSCH (for UL active BWP switch) on the new BWP on the serving cell on which BWP switch occurs on the first DL or UL slot right after the beginning of DL slot n +  $\frac{T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}}{NR Slot length}$ , where

DL slot n is the last slot containing the RRC command, and

$T_{RRCprocessingDelay}$  is the length of the RRC procedure delay in millisecond as defined in clause 12 in TS 38.331 [2], and

$T_{BWPswitchDelayRRC} = [6]ms$  is the time used by the UE to perform BWP switch.

The UE is not required to transmit UL signals or receive DL signals during the time defined by  $T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$  on the cell where RRC-based BWP switch occurs.

## 8.7 Void

## 8.8 NE-DC: E-UTRAN PSCell Addition and Release Delay

### 8.8.1 Introduction

This section defines requirements for the delay within which the UE shall be able to configure an E-UTRAN PSCell in NR - E-UTRA dual connectivity. The requirements are applicable to an NR - E-UTRA dual connectivity capable UE.

### 8.8.2 E-UTRAN PSCell Addition Delay Requirement

The requirements in this section shall apply for the UE which is configured with PCell, and may also be configured with one or more SCells.

Upon receiving E-UTRAN PSCell addition in subframe  $n$ , the UE shall be capable to transmit PRACH preamble towards E-UTRAN PSCell no later than in subframe  $n + T_{config\_EUTRAN-PSCell}$ :

Where:

$$T_{config\_EUTRAN-PSCell} = 20\text{ms} + T_{activation\_time} + 50\text{ms} + T_{PCell\_DU} + T_{E-UTRAN-PSCell\_DU}$$

$T_{activation\_time}$  is the E-UTRAN PSCell activation delay. If the E-UTRAN PSCell is known, then  $T_{activation\_time}$  is 20ms. If the E-UTRAN PSCell is unknown, then  $T_{activation\_time}$  is 30ms provided the E-UTRAN PSCell can be successfully detected on the first attempt.

$T_{PCell\_DU}$  is the delay uncertainty due to PCell PRACH preamble transmission.  $T_{PCell\_DU}$  is up to 20ms if E-UTRAN PSCell activation is interrupted by a PCell PRACH preamble transmission, otherwise it is 0.

$T_{E\text{-UTRAN-PSCell\_DU}}$  is the delay uncertainty in acquiring the first available PRACH occasion in the E-UTRAN PSCell.  $T_{E\text{-UTRAN-PSCell\_DU}}$  is up to 30ms.

E-UTRAN PSCell is known if it has been meeting the following conditions:

During the last [5] seconds before the reception of the E-UTRAN PSCell configuration command:

- the UE has sent a valid measurement report for the E-UTRAN PSCell being configured and
- the E-UTRAN PSCell being configured remains detectable according to the cell identification conditions specified in clause 8.8 of TS 36.133 [15],
- E-UTRAN PSCell being configured also remains detectable during the E-UTRAN PSCell configuration delay according to the cell identification conditions specified in clause 8.8 of TS 36.133 [15].

otherwise it is unknown.

The PCell interruption specified in clause 8.2 is allowed only during the RRC reconfiguration procedure [2].

### 8.8.3 E-UTRAN PSCell Release Delay Requirement

The requirements in this section shall apply for a UE which is configured with PCell and E-UTRAN PSCell, and may also be configured with one or more SCells and/or E-UTRAN SCells.

Upon receiving E-UTRAN PSCell release in subframe  $n$ , the UE shall accomplish the release actions specified in TS 38.331 [2] no later than in subframe  $n+20$ .

The PCell interruption specified in clause 8.2 is allowed only during the RRC reconfiguration procedure [2].

## 8.9 NR-DC: PSCell Addition and Release Delay

### 8.9.1 Introduction

This section defines requirements for the delay within which the UE shall be able to configure an PSCell in NR dual connectivity. The requirements are applicable to an NR dual connectivity capable UE.

### 8.9.2 PSCell Addition Delay Requirement

The requirements in this section shall apply for the UE configured with only PCell.

Upon receiving PSCell addition in subframe  $n$ , the UE shall be capable to transmit PRACH preamble towards PSCell no later than in subframe  $n + T_{\text{config PSCell}}$ :

Where:

$$T_{\text{config PSCell}} = T_{\text{RRC\_delay}} + T_{\text{processing}} + T_{\text{search}} + T_{\Delta} + T_{\text{PSCell\_DU}} + 2 \text{ ms}$$

$T_{\text{RRC\_delay}}$  is the RRC procedure delay as specified in TS 38.331 [2].

$T_{\text{processing}}$  is the SW processing time needed by UE, including RF warm up period.  $T_{\text{processing}} = 20 \text{ ms}$  if PSCell is in FR1,  $T_{\text{processing}} = 40 \text{ ms}$  if PSCell is in FR2.

$T_{\text{search}}$  is the time for AGC settling and PSS/SSS detection.

For NR PSCell in FR1: if the target cell is known, then  $T_{\text{search}} = 0 \text{ ms}$ . If the target cell is an unknown cell and signal quality is sufficient for successful cell detection on the first attempt, then  $T_{\text{search}} = 3 * \text{Trs ms}$ ;

For PSCell in FR2: if the target cell is a known inter-frequency cell,  $T_{\text{search}} = 0 \text{ ms}$ . If the target cell is an unknown inter-frequency cell and the target cell Es/Iot  $\geq [-2]\text{dB}$ , then  $T_{\text{search}} = 24 * \text{Trs ms}$ .

$T_{\Delta}$  is time for fine time tracking and acquiring full timing information of the target cell.  $T_{\Delta} = 1 * \text{Trs ms}$ .

$T_{\text{PSCell\_DU}}$  is the delay uncertainty in acquiring the first available PRACH occasion in the PSCell.  $T_{\text{PSCell\_DU}}$  is up to  $x \times 10 + 10$  ms.  $x$  is defined in the table 6.3.3.2-2 of TS 36.133 [6].

$\text{Trs}$  is the SMTc periodicity of the target cell if the UE has been provided with an SMTc configuration for the target cell in PSCell addition message, otherwise  $\text{Trs}$  is the SMTc configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the UE is not provided SMTc configuration or measurement object on this frequency, the requirement in this section is applied with  $\text{Trs} = [5]$  ms assuming the SSB transmission periodicity is [5] ms. There is no requirements if the SSB transmission periodicity is not 5 ms.

The PCell interruption specified in clause 8.2 is allowed only during the RRC reconfiguration procedure [2].

### 8.9.3 PSCell Release Delay Requirement

The requirements in this section shall apply for a UE which is configured with PCell and one PSCell.

Upon receiving PSCell release in subframe  $n$ , the UE shall accomplish the release actions specified in TS 38.331 [2] no later than in subframe  $n + T_{\text{RRC\_delay}}$ :

Where

$T_{\text{RRC\_delay}}$  is the RRC procedure delay as specified in TS 38.331 [2].

The PCell interruption specified in clause 8.2 is allowed only during the RRC reconfiguration procedure [2].

## 8.10 Active TCI state switching delay

### 8.10.1 Introduction

The requirements in this section apply for a UE configured with one or more TCI state configurations on serving cell in MR-DC or standalone NR. UE shall complete the switch of active TCI state within the delay defined in this section.

### 8.10.2 Known conditions for TCI state

The TCI state is known if it has been meeting the following conditions:

- TCI state switch is within [X] ms of last transmission of the resource for beam reporting/ measurement for the target TCI state
- The UE has sent at least 1 measurement report for the target TCI state
- The TCI state shall remain detectable during the TCI state switching period
  - SNR of the TCI state is  $> -3\text{dB}$

Otherwise, the TCI state is unknown.

### 8.10.3 MAC-CE based TCI state switch delay

If the target TCI state is known, upon receiving PDSCH carrying MAC-CE activation command at slot  $n$ , UE shall be able to receive PDCCH on the new beam of the serving cell on which TCI state switch occurs no later than at slot  $n + T_{\text{HARQ}} + 3$  ms  $+ T_{\text{O}_k}^*(T_{\text{first-SSB}} + T_{\text{SSB-proc}})$ . The UE shall be able to receive on the old TCI state until slot  $n + T_{\text{HARQ}} + 3$  ms  $+ T_{\text{O}_k}^*(T_{\text{first-SSB}})$ .

Where  $T_{\text{HARQ}}$  is the timing between DL data transmission and acknowledgement as specified in TS 38.321 [7];

$T_{\text{first-SSB}}$  is time to first SSB transmission after TCI state command is received by the UE;

$T_{\text{SSB-proc}} = 2$  ms;

$T_{\text{O}_k} = 1$  if target TCI state is not in the active TCI state list for PDSCH, 0 otherwise.

If the target TCI state is unknown, upon receiving PDSCH carrying MAC-CE activation command at slot n, UE shall be able to receive PDCCH on the new beam of the serving cell on which TCI state switch occurs no later than at slot  $n + T_{HARQ} + 3 \text{ ms} + T_{L1-RSRP} + TO_{uk}^*(T_{\text{first-SSB}} + T_{\text{SSB-proc}})$ . The UE shall be able to receive on the old TCI state until slot  $n + T_{HARQ} + 3 \text{ ms} + T_{L1-RSRP} + TO_k^*(T_{\text{first-SSB}})$ .

Where  $T_{L1-RSRP}$  is the time for L1-RSRP measurement for Rx beam refinement, defined as

- $T_{BM\_Measurement\_Period\_SSB}$  for SSB in FR2 as specified in clause 9.5.4.1, with the assumption of  $M=1$
- $T_{BM\_Measurement\_Period\_CSI-RS}$  for CSI-RS in FR2 as specified in clause 9.5.4.2
  - with the assumption of  $M=1$  for periodic CSI-RS
  - for aperiodic CSI-RS if number of resources in resource set at least equal to  $MaxNumberRxBeam$

$T_{\text{first-SSB}}$  is time to first SSB transmission after MAC CE command is decoded by the UE;

$TO_{uk} = 1$  for CSI-RS based L1-RSRP measurement, and 0 for SSB based L1-RSRP measurement

If the target TCI state is not measured and reported by the UE prior to TCI state switching, upon receiving PDSCH carrying MAC-CE activation command at slot n, UE shall be able to receive PDCCH on the new beam of the serving cell on which TCI state switch occurs no later than at slot  $n + T_{HARQ} + 3 \text{ ms} + T_{L1-RSRP} + TO_{uk}^*(T_{\text{first-SSB}} + T_{\text{SSB-proc}})$ . The UE shall be able to receive on the old TCI state until slot  $n + T_{HARQ} + 3 \text{ ms} + T_{L1-RSRP} + TO_k^*(T_{\text{first-SSB}})$ .

During MAC CE based TCI state switch the UE is allowed an interruption due to one shot timing adjustment on the serving or any activated serving cells as defined in clause 8.2.

#### 8.10.4 DCI based TCI switch delay

If the target TCI state is known, when a UE is configured with the higher layer parameter  $tci-PresentInDCI$  which is set as 'enabled' for the CORESET scheduling the PDSCH at slot n, UE shall be able to receive PDSCH or transmit PUSCH on the new beam of the serving cell on which TCI state switch occurs no later than at slot  $n + timeDurationForQCL$ , where,  $timeDurationForQCL$  is the time required by the UE to perform PDCCH reception and applying spatial QCL information received in DCI for PDSCH processing as described in TS 38.214 [26], the value of  $timeDurationForQCL$  is defined in TS 38.306 [14].

The known condition for TCI state defined in clause 8.10.2 is applied.

During DCI based TCI state switch the UE is allowed an interruption due to one shot timing adjustment on the serving or any activated serving cells as defined in clause 8.2.

#### 8.10.5 RRC based TCI state delay

If the target TCI state is known, upon receiving PDSCH carrying RRC activation command at slot n, UE shall be able to receive PDCCH on the new beam of the serving cell on which TCI state switch occurs no later than at slot  $n + T_{RRC\_processing} + TO_k^*(T_{\text{first-SSB}} + T_{\text{SSB-proc}})$ . Where  $T_{RRC\_processing}$  is the RRC processing delay,  $T_{\text{first-SSB}}$ ,  $T_{\text{SSB-proc}}$  and  $TO_k$  are as defined in clause 8.10.3. The UE is not required to receive PDCCH/PDSCH or transmit PUCCH/PUSCH until the end of switching period.

If the target TCI state is unknown, upon receiving PDSCH carrying RRC activation command at slot n, UE shall be able to receive PDCCH on the new beam of the serving cell on which TCI state switch occurs no later than at slot  $n + T_{RRC\_processing} + T_{L1-RSRP} + TO_{uk}^*(T_{\text{first-SSB}} + T_{\text{SSB-proc}})$ . Where  $T_{RRC\_processing}$  is the RRC processing delay,  $T_{\text{first-SSB}}$  and  $TO_{uk}$  are as defined in clause 8.10.3. The UE is not required to receive PDCCH/PDSCH or transmit PUCCH/PUSCH until the end of switching period.

During RRC based TCI state switch the UE is allowed an interruption due to one shot timing adjustment on the serving or any activated serving cells as defined in clause 8.2.

#### 8.10.6 Active TCI state list update delay

If the target TCI state is known, upon receiving PDSCH carrying MAC-CE active TCI state list update at slot n, UE shall be able to receive PDCCH to schedule PDSCH with the new TCI state no later than slot  $n + T_{HARQ} + 3 \text{ ms} + TO_k^*(T_{\text{first-SSB}} + T_{\text{SSB-proc}})$ . Where  $T_{HARQ}$ ,  $T_{\text{first-SSB}}$ ,  $T_{\text{SSB-proc}}$  and  $TO_k$  are as defined in clause 8.10.3.

## 9 Measurement Procedure

### 9.1 General measurement requirement

#### 9.1.1 Introduction

This clause contains general requirements on the UE regarding measurement reporting in RRC\_CONNECTED state. The requirements are split in intra-frequency, inter-frequency, inter-RAT E-UTRAN FDD, inter-RAT E-UTRAN TDD, and L1-RSRP measurements requirements. These measurements may be used by the NG-RAN. The measurement quantities are defined in TS38.215[4], the measurement model is defined in TS38.300[10], TS37.340[17] and measurement accuracies are specified in clause 10. Control of measurement reporting is specified in TS 36.331 [16].

In the requirements of clause 9, the exceptions for side conditions apply as follows:

- for the UE capable of CA but not configured with any SCell, the applicable exceptions for side conditions are specified in Annex B, clause B.3.2.1 for UE supporting CA in FR1, and clause B.3.2.3 for UE supporting CA in FR2, respectively;
- for the UE capable of CA and configured with at least one SCell, the applicable exceptions for side conditions are specified in Annex B, clause B.3.2.2 for UE configured with CA in FR1, and clause B.3.2.4 for UE supporting CA in FR2 respectively;
- for the UE capable of SUL but not configured with SUL, the applicable exceptions for side conditions are specified in Annex B, clause B.3.4.1 for UE supporting SUL in FR1;
- for the UE capable of SUL and configured with at least one SUL, the applicable exceptions for side conditions are specified in Annex B, clause B.3.4.2 for UE configured with SUL in FR1.

#### 9.1.2 Measurement gap

If the UE requires measurement gaps to identify and measure intra-frequency cells and/or inter-frequency cells and/or inter-RAT E-UTRAN cells, and the UE does not support independent measurement gap patterns for different frequency ranges as specified in Table 5.1-1 in [18, 19, 20], in order for the requirements in the following subsections to apply the network must provide a single per-UE measurement gap pattern for concurrent monitoring of all frequency layers.

If the UE requires measurement gaps to identify and measure intra-frequency cells and/or inter-frequency cells and/or inter-RAT E-UTRAN cells, and the UE supports independent measurement gap patterns for different frequency ranges as specified in Table 5.1-1 in [18, 19, 20], in order for the requirements in the following subsections to apply the network must provide either per-FR measurement gap patterns for frequency range where UE requires per-FR measurement gap for concurrent monitoring of all frequency layers of each frequency range independently, or a single per-UE measurement gap pattern for concurrent monitoring of all frequency layers of all frequency ranges.

During the per-UE measurement gaps the UE:

- is not required to conduct reception/transmission from/to the corresponding E-UTRAN PCell, E-UTRAN SCell(s) and NR serving cells for E-UTRA-NR dual connectivity except the reception of signals used for RRM measurement
- is not required to conduct reception/transimssion from/to the corresponding NR serving cells for SA (with single carrier or CA configured) except the reception of signals used for RRM measurement
- is not required to conduct reception/transmission from/to the corresponding PCell, SCell(s) and E-UTRAN serving cells for NR-E-UTRA dual connectivity except the reception of signals used for RRM measurement
- is not required to conduct reception/transimssion from/to the corresponding NR serving cells for NR-DC except the reception of signals used for RRM measurement

During the per-FR measurement gaps the UE:

- is not required to conduct reception/transmission from/to the corresponding E-UTRAN PCell, E-UTRAN SCell(s) and NR serving cells in the corresponding frequency range for E-UTRA-NR dual connectivity except the reception of signals used for RRM measurement
- is not required to conduct reception/transmission from/to the corresponding NR serving cells in the corresponding frequency range for SA (with single carrier or CA configured) except the reception of signals used for RRM measurement
- is not required to conduct reception/transmission from/to the corresponding PCell, SCell(s) and E-UTRAN serving cells in the corresponding frequency range for NR-E-UTRA dual connectivity except the reception of signals used for RRM measurement
- is not required to conduct reception/transmission from/to the corresponding NR serving cells in the corresponding frequency range for NR-DC except the reception of signals used for RRM measurement

UEs shall support the measurement gap patterns listed in Table 9.1.2-1 based on the applicability specified in table 9.1.2-2 and 9.1.2-3. UE determines measurement gap timing based on gap offset configuration and measurement gap timing advance configuration provided by higher layer signalling as specified in TS 38.331 [2] and [16].

**Table 9.1.2-1: Gap Pattern Configurations**

Gap Pattern Id	Measurement Gap Length (MGL, ms)	Measurement Gap Repetition Period (MGRP, ms)
0	6	40
1	6	80
2	3	40
3	3	80
4	6	20
5	6	160
6	4	20
7	4	40
8	4	80
9	4	160
10	3	20
11	3	160
12	5.5	20
13	5.5	40
14	5.5	80
15	5.5	160
16	3.5	20
17	3.5	40
18	3.5	80
19	3.5	160
20	1.5	20
21	1.5	40
22	1.5	80
23	1.5	160

**Table 9.1.2-2: Applicability for Gap Pattern Configurations supported by the E-UTRA-NR dual connectivity UE or NR-E-UTRA dual connectivity UE**

Measurement gap pattern configuration	Serving cell	Measurement Purpose	Applicable Gap Pattern Id
Per-UE measurement gap	E-UTRA + FR1, or E-UTRA + FR2, or E-UTRA + FR1 + FR2	non-NR RAT <sup>Note1,2</sup>	0,1,2,3
		FR1 and/or FR2	0-11
		non-NR RAT <sup>Note1,2</sup> and FR1 and/or FR2	0, 1, 2, 3, 4, 6, 7, 8, 10
Per FR measurement gap	E-UTRA and, FR1 if configured	non-NR RAT <sup>Note1,2</sup>	0,1,2,3
	FR2 if configured		No gap

	E-UTRA and, FR1 if configured	FR1 only	0-11	
	FR2 if configured		No gap	
	E-UTRA and, FR1 if configured	FR2 only	No gap	
	FR2 if configured		12-23	
	E-UTRA and, FR1 if configured	non-NR RAT <sup>Note1,2</sup> and FR1	0, 1, 2, 3, 4, 6, 7, 8, 10	
	FR2 if configured		No gap	
	E-UTRA and, FR1 if configured	FR1 and FR2	0-11	
	FR2 if configured		12-23	
	E-UTRA and, FR1 if configured	non-NR RAT <sup>Note1,2</sup> and FR2	0, 1, 2, 3, 4, 6, 7, 8, 10	
	FR2 if configured		12-23	
	E-UTRA and, FR1 if configured	non-NR RAT <sup>Note1,2</sup> and FR1 and FR2	0, 1, 2, 3, 4, 6, 7, 8, 10	
	FR2 if configured		12-23	
<b>Note:</b> In E-UTRA-NR dual connectivity mode, <i>if GSM or UTRA TDD or UTRA FDD inter-RAT frequency layer is configured to be monitored, only measurement gap pattern #0 and #1 can be used for per-FR gap in E-UTRA and FR1 if configured, or for per-UE gap.</i>				
NOTE 1: In E-UTRA-NR dual connectivity mode, non-NR RAT includes E-UTRA, UTRA and/or GSM. In NR-E-UTRA dual connectivity mode, non-NR RAT means E-UTRA.				
NOTE 2: In E-UTRA-NR dual connectivity mode, the gap patterns with short MGL (gap pattern #2, 3, 6, 7, 8, 10) are supported by UEs which support shortMeasurementGap-r14. In NR-E-UTRA dual connectivity mode, the measurement gap pattern #2, 3, 6, 7, 8, 10 are supported by the UEs which indicate the capability signalling of supportedGapPattern to network.				
NOTE 3: When E-UTRA inter-frequency RSTD measurements are configured and the UE requires measurement gaps for performing such measurements, only Gap Pattern #0 can be used.				

In E-UTRA-NR dual connectivity mode,

- if per-UE measurement gap is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap starts at time  $T_{MG}$  ms advanced to the end of the latest E-UTRA subframe occurring immediately before the configured measurement gap among MCG serving cells subframes.
- if per-FR measurement gap for FR1 is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap for FR1 starts at time  $T_{MG}$  ms advanced to the end of the latest E-UTRA subframe occurring immediately before the configured measurement gap among MCG serving cells subframes.
- if per-FR measurement gap for FR2 is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap for FR2 starts at time  $T_{MG}$  ms advanced to the end of the latest NR subframe occurring immediately before the configured measurement gap among SCG serving cells subframes in FR2.

In NR-E-UTRA dual connectivity mode,

- if per-UE measurement gap is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap starts at time  $T_{MG}$  ms advanced to the end of the latest NR subframe occurring immediately before the configured measurement gap among MCG serving cells subframes.
- if per-FR measurement gap for FR1 is configured with MG timing advance of  $T_{MG}$  ms and UE has NR serving cell in FR1, the measurement gap for FR1 starts at time  $T_{MG}$  ms advanced to the end of the latest NR subframe occurring immediately before the configured measurement gap among MCG serving cells subframes in FR1.
- if per-FR measurement gap for FR1 is configured with MG timing advance of  $T_{MG}$  ms and UE doesn't have NR serving cell in FR1, the measurement gap for FR1 starts at time  $T_{MG}$  ms advanced to the end of the latest E-UTRA subframe occurring immediately before the configured measurement gap among SCG serving cells subframes.
- if per-FR measurement gap for FR2 is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap for FR2 starts at time  $T_{MG}$  ms advanced to the end of the latest NR subframe occurring immediately before the configured measurement gap among MCG serving cells subframes in FR2.

In NR-NR dual connectivity mode,

- If per-UE measurement gap is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap starts at time  $T_{MG}$  ms advanced to the end of the latest MCG subframe occurring immediately before the configured measurement gap among MCG serving cells subframes.
- If per-FR measurement gap for FR1 is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap for FR1 starts at time  $T_{MG}$  ms advanced to the end of the latest MCG subframe occurring immediately before the configured measurement gap among MCG serving cells subframes.
- If per-FR measurement gap for FR2 is configured with MG timing advance of  $T_{MG}$  ms, the measurement gap for FR2 starts at time  $T_{MG}$  ms advanced to the end of the latest SCG subframe occurring immediately before the configured measurement gap among SCG serving cells subframes in FR2.

$T_{MG}$  is the MG timing advance value provided in mgta according to [2].

In determining the measurement gap starting point, UE shall use the DL timing of the latest E-UTRA or NR subframe occurring immediately before the configured measurement gap among E-UTRA or NR serving cells.

*Editor's Note: NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.*

For per-FR measurement gap capable UE configured with E-UTRA-NR dual connectivity or NR-E-UTRA dual connectivity, when serving cells are in E-UTRA and FR1, measurement objects are in both E-UTRA /FR1 and FR2,

- If MN indicates UE that the measurement gap from MN applies to E-UTRA/FR1/FR2 serving cells, UE fulfils the per-UE measurement requirements for both E-UTRA/FR1 and FR2 measurement objects based on the measurement gap pattern configured by MN;
- If MN indicates UE that the measurement gap from MN applies to only LTE/FR1 serving cell(s),
  - UE fulfils the measurement requirements for FR1/LTE measurement objects based on the configured measurement gap pattern;
  - UE fulfils the requirements for FR2 measurement objects based on effective MGRP=20ms;

For per-FR measurement gap capable configured with E-UTRA-NR dual connectivity, NR-E-UTRA dual connectivity or NR-NR dual connectivity, when serving cells are in E-UTRA, FR1 and FR2, or in E-UTRA and FR2, or in FR1 and FR2, measurement objects are in both E-UTRA /FR1 and FR2,

- If MN indicates UE that the measurement gap from MN applies to E-UTRA/FR1/FR2 serving cells, UE fulfils the per-UE measurement requirements for both E-UTRA/FR1 and FR2 measurement objects based on the measurement gap pattern configured by MN.

**Table 9.1.2-3: Applicability for Gap Pattern Configurations supported by the UE with NR standalone operation (with single carrier, NR CA and NR-DC configuration)**

Measurement gap pattern configuration	Serving cell	Measurement Purpose <sup>NOTE 2</sup>	Applicable Gap Pattern Id
Per-UE measurement gap	FR1 <sup>NOTE 5</sup> , or FR1 + FR2	E-UTRA only <sup>NOTE 3</sup>	0,1,2,3
		FR1 and/or FR2	0-11
		E-UTRAN and FR1 and/or FR2 NOTE 3	0, 1, 2, 3, 4, 6, 7, 8,10
	FR2 <sup>NOTE 5</sup>	E-UTRA only <sup>NOTE 3</sup>	0,1,2,3
		FR1 only	0-11
		FR1 and FR2	0-11
		E-UTRAN and FR1 and/or FR2 NOTE 3	0, 1, 2, 3, 4, 6, 7, 8,10
		FR2 only	12-23
Per FR measurement gap	FR1 if configured	E-UTRA only <sup>NOTE 3</sup>	0,1,2,3
	FR2 if configured		No gap
	FR1 if configured	FR1 only	0-11
	FR2 if configured		No gap
	FR1 if configured	FR2 only	No gap

	FR2 if configured	12-23
	FR1 if configured	E-UTRA and FR1 NOTE3
	FR2 if configured	No gap
	FR1 if configured	0-11
	FR2 if configured	12-23
	FR1 if configured	E-UTRA and FR2 NOTE3
	FR2 if configured	12-23
	FR1 if configured	E-UTRA and FR1 and FR2 <sup>NOTE3</sup>
	FR2 if configured	12-23
<p>NOTE 1: When E-UTRA inter-RAT RSTD measurements are configured and the UE requires measurement gaps for performing such measurements, only Gap Pattern #0 can be used.</p> <p>NOTE 2: Measurement purpose which includes E-UTRA measurements includes also inter-RAT E-UTRA RSRP and RSRQ measurements for E-CID</p> <p>NOTE 3: The measurement gap pattern #2, 3, 6, 7, 8, 10 are supported by the UEs which indicate the capability signalling of supportedGapPattern to network.</p> <p>NOTE4: If per-UE measurement gap is configured with MG timing advance of <math>T_{MG}</math> ms, the measurement gap starts at time <math>T_{MG}</math> ms advanced to the end of the latest subframe occurring immediately before the configured measurement gap among all serving cells subframes. If per-FR measurement gap for FR1 is configured with MG timing advance of <math>T_{MG}</math> ms, the measurement gap for FR1 starts at time <math>T_{MG}</math> ms advanced to the end of the latest subframe occurring immediately before the configured measurement gap among serving cells subframes in FR1. If per-FR measurement gap for FR2 is configured with MG timing advance of <math>T_{MG}</math> ms, the measurement gap for FR2 starts at time <math>T_{MG}</math> ms advanced to the end of the latest subframe occurring immediately before the configured measurement gap among serving cells subframes in FR2. <math>T_{MG}</math> is the MG timing advance value provided in <i>mgta</i> according to [2]. In determining the measurement gap starting point, UE shall use the DL timing of the latest subframe occurring immediately before the configured measurement gap among serving cells.</p> <p>NOTE 5: NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.</p>		

For per-FR measurement gap capable UE in NR standalone operation (with single carrier, NR CA and NR-DC configuration), for per-FR gap based measurement, when there is no serving cell in a particular FR, where measurements objects are configured, regardless if explicit per-FR measurement gap is configured in this FR, the effective MGRP in this FR used to determine requirements;

- 20 ms for FR2 NR measurements
- 40 ms for FR1 NR measurements
- 40 ms for LTE measurements
- 40 ms for FR1+LTE measurements

For per-FR measurement gap capable UE in NR standalone operation (with single carrier, NR CA and NR-DC configuration), when serving cells are in FR1 or FR2, measurement objects are in both E-UTRA /FR1 and FR2,

- If MN indicates UE that the measurement gap from MN applies to E-UTRA/FR1/FR2 serving cells, UE fulfills the per-UE measurement requirements for both E-UTRA/FR1 and FR2 measurement objects based on the measurement gap pattern configured by MN;

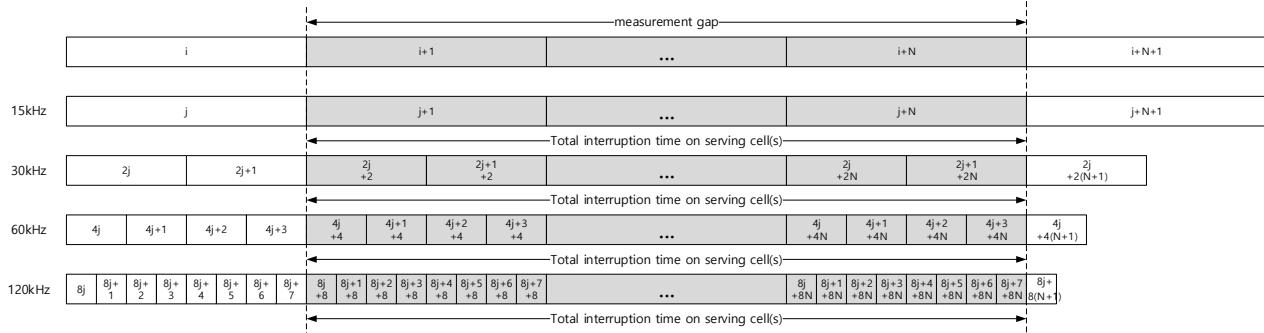
If measurement gap is configured in one FR but measurement object is not configured in the FR, the scheduling opportunity in the FR depends on the configured measurement gap pattern.

For E-UTRA-NR dual connectivity, if UE is not capable of per-FR-gap, total interruption time on SCG during MGL is defined only when  $MGL(N) = 6ms, 4ms$  and  $3ms$ . And if UE is capable of per-FR-gap, total interruption time on FR1 serving cells in SCG during MGL is defined only when  $MGL(N) = 6ms, 4ms$  and  $3ms$ , and total interruption time on FR2 serving cells in SCG during MGL is defined only when  $MGL(N) = 5.5ms, 3.5ms$  and  $1.5ms$ , given that the reference time for per-FR gap in FR2 is based on an FR2 serving cell.

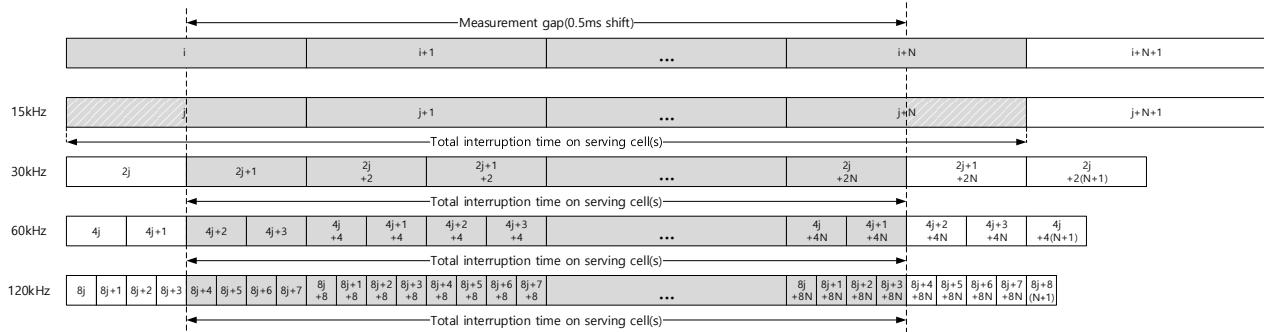
For NR standalone operation (with single carrier, NR CA and NR-DC configuration), if UE is not capable of per-FR-gap, total interruption time on a serving cell during MGL is defined when  $MGL(N) = 6ms, 5.5ms, 4ms, 3.5ms, 3ms$ , and  $1.5ms$ . And if UE is capable of per-FR-gap, total interruption time on FR1 serving cells during MGL is defined only

when  $MGL(N) = 6\text{ms}$ ,  $4\text{ms}$  and  $3\text{ms}$ , and total interruption time on FR2 serving cells during MGL is defined only when  $MGL(N) = 5.5\text{ms}$ ,  $3.5\text{ms}$  and  $1.5\text{ms}$ , given that the reference time for per-FR gap in FR2 is based on an FR2 serving cell.

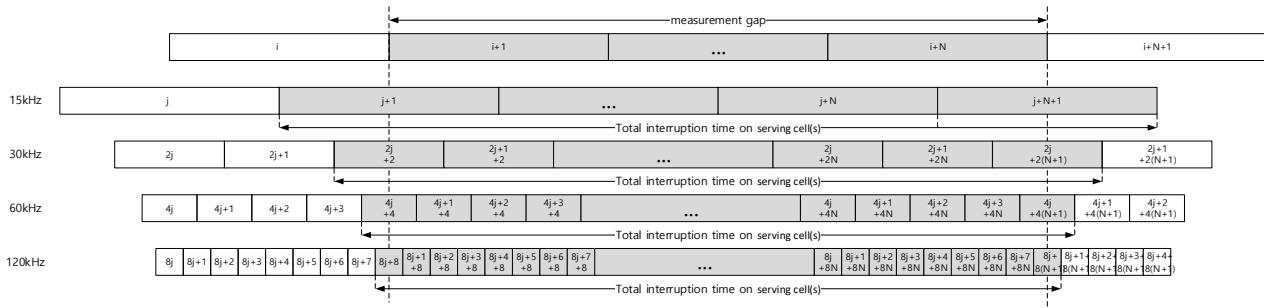
For NR-E-UTRA dual connectivity, if UE is not capable of per-FR-gap, total interruption time on MCG during MGL is defined only when  $MGL(N) = 6\text{ms}$ ,  $4\text{ms}$  and  $3\text{ms}$ . And if UE is capable of per-FR-gap, total interruption time on FR1 serving cells in MCG during MGL is defined only when  $MGL(N) = 6\text{ms}$ ,  $4\text{ms}$  and  $3\text{ms}$ , and total interruption time on FR2 serving cells in MCG during MGL is defined only when  $MGL(N) = 5.5\text{ms}$ ,  $3.5\text{ms}$  and  $1.5\text{ms}$ , given that the reference time for per-FR gap in FR2 is based on an FR2 serving cell.



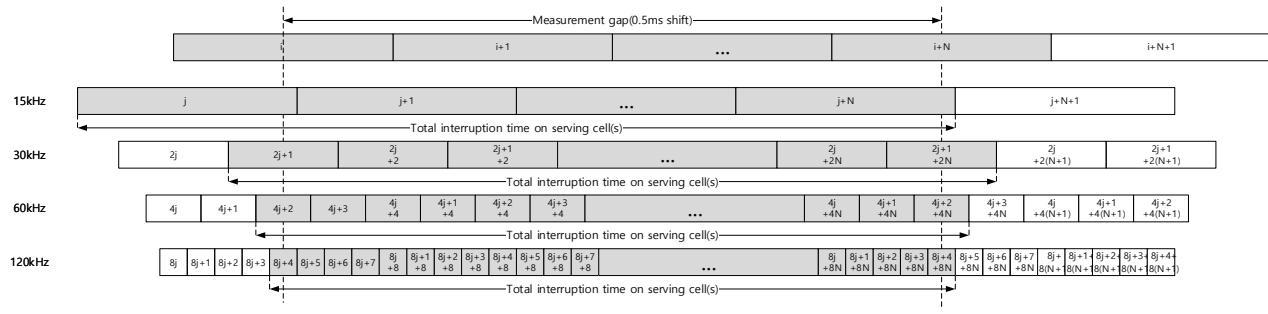
(a) Measurement gap with  $MGL = N(\text{ms})$  with MG timing advance of 0ms for synchronous EN-DC, NR standalone operation (with single carrier, NR CA and NR-DC configuration) and synchronous NE-DC



(b) Measurement gap with  $MGL = N(\text{ms})$  with MG timing advance of 0.5ms for synchronous EN-DC, NR standalone operation (with single carrier, NR CA and NR-DC configuration) and synchronous NE-DC



(c) Measurement gap with  $MGL = N(\text{ms})$  with MG timing advance of 0ms for asynchronous EN-DC and asynchronous NE-DC



(d) Measurement gap with  $MGL = N(\text{ms})$  with MG timing advance of 0.5ms for asynchronous EN-DC and asynchronous NE-DC

**Figure 9.1.2-1: Measurement GAP and total interruption time on serving cells for EN-DC, NR standalone operation (with single carrier, NR CA and NR-DC configuration) and NE-DC**

The corresponding total number of interrupted slots on serving cells is listed in Table 9.1.2-4 and Table 9.1.2-4a for synchronous EN-DC, NR standalone and synchronous NE-DC, and asynchronous EN-DC and asynchronous NE-DC respectively.

**Table 9.1.2-4: Total number of interrupted slots on serving cells during MGL for Synchronous EN-DC ,NR standalone operation (with single carrier, NR CA and NR-DC configuration) and Synchronous NE-DC with per-UE measurement gap or per-FR measurement gap for FR1**

NR SCS (kHz)	Total number of interrupted slots on serving cells					
	When MG timing advance of 0ms is applied			When MG timing advance of 0.5ms is applied		
	MGL=6ms	MGL=4ms	MGL=3ms	MGL=6ms	MGL=4ms	MGL=3ms
15	6	4	3	7 <sup>Note3</sup>	5 <sup>Note3</sup>	4 <sup>Note3</sup>
30	12	8	6	12	8	6
60	24	16	12	24	16	12
120	48	32	24	48	32	24

NOTE 1: For Gap Pattern ID 0, 1, 2 and 3, total number of interrupted subframes on MCG is MGL subframes when MG timing advance of 0ms is applied, and (MGL+1) subframes when MG timing advance of 0.5ms is applied.  
 NOTE 2: NR SCS of 120 kHz is only applicable to the case with per-UE measurement gap.  
 NOTE 3: Non-overlapped half-slots occur before and after the measurement gap. Whether a Rel-15 UE can receive and/or transmit in those half-slots is up to UE implementation.

**Table 9.1.2-4a: Total number of interrupted slots on serving cells during MGL for Asynchronous EN-DC and Asynchronous NE-DC with per-UE measurement gap or per-FR measurement gap for FR1**

NR SCS (kHz)	Total number of interrupted slots on serving cells					
	When MG timing advance of 0ms is applied			When MG timing advance of 0.5ms is applied		
	MGL=6ms	MGL=4ms	MGL=3ms	MGL=6ms	MGL=4ms	MGL=3ms
15	7	5	4	7	5	4
30	13	9	7	13	9	7
60	25	17	13	25	17	13
120	49	33	25	49	33	25

NOTE 1: For Gap Pattern ID 0, 1, 2 and 3, total number of interrupted subframes on MCG is MGL subframes when MG timing advance of 0ms is applied, and (MGL+1) subframes when MG timing advance of 0.5ms is applied.  
 NOTE 2: NR SCS of 120 kHz is only applicable to the case with per-UE measurement gap.

In case that UE capable of per-FR measurement gap is configured with per-FR measurement gap for FR2 serving cells, total number of interrupted slots on FR2 serving cells during MGL is listed in Table 9.1.2-4b.

**Table 9.1.2-4b: Total number of interrupted slots on FR2 serving cells during MGL for EN-DC, NR standalone operation (with single carrier, NR CA and NR-DC configuration) and NE-DC with per-UE measurement gap or per-FR measurement gap for FR2**

NR SCS (kHz)	Total number of interrupted slots on FR2 serving cells					
	When MG timing advance of 0ms is applied			When MG timing advance of 0.25ms is applied		
	MGL=5.5ms	MGL=3.5ms	MGL=1.5ms	MGL=5.5ms	MGL=3.5ms	MGL=1.5ms
60	22	14	6	22	14	6
120	44	28	12	44	28	12

It is up to UE implementation whether or not the UE is able to conduct transmission in the following slot(s),

- when MGTAs is not applied, in the L consecutive UL slots with respect to the SCS of the UL carrier with the same slot indices as the DL slots occurring immediately after measurement gap
- when MGTAs is applied and the SCS of the UL carrier is other than 15kHz, in the L consecutive UL slots with respect to the SCS of the UL carrier with the same slot indices as the DL slots occurring immediately after measurement gap
- when MGTAs is applied and the SCS of the UL carrier is 15kHz, in the L consecutive UL slots with respect to the SCS of the UL carrier with the same slot indices as the DL slots occurring immediately after the slot partially overlapped with measurement gap

where UL slot denotes that all the symbols in the slot are uplink symbols, and L=1 if  $(N_{TA} + N_{TA\ offset}) \times T_c$  for the UL transmission is less than the length of one slot; L=2 otherwise.

Note: Network is supposed to take into account the possible difference between the estimated TA at network and actual TA at UE when scheduling UE in the above slot(s).

**Table 9.1.2-5: (Void)**

### 9.1.2.1 EN-DC: Measurement Gap Sharing

For E-UTRA-NR dual connectivity UE configured with per-UE measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on intra-frequency carriers or when SMTCs configured for intra-frequency measurement are fully overlapping with per-UE measurement gaps, and when UE is configured to identify and measure cells on inter-frequency carriers, E-UTRA gap-needed inter-frequency carriers and inter-RAT UTRAN carriers and/or inter-RAT GSM carriers.

For E-UTRA-NR dual connectivity UE configured with per-FR1 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR1 intra-frequency carriers or when SMTCs configured for FR1 intra-frequency measurement are fully overlapping with per-FR1 measurement gaps, and when UE is configured to identify and measure cells on FR1 inter-frequency carriers, E-UTRA gap-needed inter-frequency carriers, inter-RAT UTRAN carriers and/or inter-RAT GSM carriers.

For E-UTRA-NR dual connectivity UE configured with per-FR2 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR2 intra-frequency carriers or when SMTCs configured for FR2 intra-frequency measurement are fully overlapping with per-FR2 measurement gaps, and when UE is configured to identify and measure cells on FR2 inter-frequency carriers.

When network signals “01”, “10” or “11” with RRC parameter *MeasGapSharingScheme* [2][16] and the value of X is defined as in Table 9.1.2.1-1, and

- $K_{\text{intra}} = 1 / X * 100,$
- $K_{\text{inter}} = 1 / (100 - X) * 100,$

When network signals “00” indicating equal splitting gap sharing, X is not applied.

The RRC parameter *MeasGapSharingScheme* shall be applied to the calculation of carrier specific scaling factor as specified in clause 9.1.5.2.1.

**Table 9.1.2.1-1: Value of parameter X for EN-DC measurement gap sharing**

<b>measGapSharingScheme</b>	<b>Value of X (%)</b>
'00'	Equal splitting
'01'	25
'10'	50
'11'	75

### 9.1.2.1a SA: Measurement Gap Sharing

For NR standalone UE without NR-DC operation and configured with per-UE measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on intra-frequency carriers or when SMTA configured for intra-frequency measurement are fully overlapping with per-UE measurement gaps, and when UE is configured to identify and measure cells on inter-frequency carriers, and/or inter-RAT E-UTRAN carriers.

For NR standalone UE without NR-DC operation and configured with per-FR1 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR1 intra-frequency carriers or when SMTA configured for FR1 intra-frequency measurement are fully overlapping with per-FR1 measurement gaps, and when UE is configured to identify and measure cells on FR1 inter-frequency carriers and/or inter-RAT E-UTRAN carriers.

For NR standalone UE without NR-DC operation and configured with per-FR2 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR2 intra-frequency carriers or when SMTA configured for FR2 intra-frequency measurement are fully overlapping with per-FR2 measurement gaps, and when UE is configured to identify and measure cells on FR2 inter-frequency carriers.

When network signals "01", "10" or "11" with RRC parameter *MeasGapSharingScheme* [2] and the value of X is defined as in Table 9.1.2.1a-1, and

- $K_{\text{intra}} = 1 / X * 100,$
- $K_{\text{inter}} = 1 / (100 - X) * 100,$

When network signals "00" indicating equal splitting gap sharing, X is not applied.

The RRC parameter *MeasGapSharingScheme* shall be applied to the calculation of carrier specific scaling factor as specified in clause 9.1.5.2.2.

**Table 9.1.2.1a-1: Value of parameter X for NR standalone measurement gap sharing**

<b>measGapSharingScheme</b>	<b>Value of X (%)</b>
'00'	Equal splitting
'01'	25
'10'	50
'11'	75

### 9.1.2.1b NE-DC: Measurement Gap Sharing

For NR-E-UTRA dual connectivity UE configured with per-UE measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on intra-frequency carriers or when SMTA configured for intra-frequency measurement are fully overlapping with per-UE measurement gaps, and when UE is configured to identify and measure cells on inter-frequency carriers, E-UTRA gap-needed inter-frequency carriers, and/or inter-RAT E-UTRA carriers.

For NR-E-UTRA dual connectivity UE configured with per-FR1 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR1 intra-frequency carriers or when SMTA configured for FR1 intra-frequency measurement are fully overlapping with per-FR1 measurement gaps, and when UE is configured to identify and measure cells on inter-frequency carriers, E-UTRA gap-needed inter-frequency carriers, and/or inter-RAT E-UTRA carriers.

For NR-E-UTRA dual connectivity UE configured with per-FR2 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR2 intra-frequency carriers or when

SMTc configured for FR2 intra-frequency measurement are fully overlapping with per-FR2 measurement gaps, and when UE is configured to identify and measure cells on FR2 inter-frequency carriers.

When network signals “01”, “10” or “11” with RRC parameter *measGapSharingConfig* [2][16] and the value of X is defined as in Table 9.1.2.1b-1, and

- $K_{\text{intra}} = 1 / X * 100,$
- $K_{\text{inter}} = 1 / (100 - X) * 100,$

When network signals “00” indicating equal splitting gap sharing, X is not applied.

The RRC parameter *MeasGapSharingScheme* shall be applied to the calculation of carrier specific scaling factor as specified in clause 9.1.5.2.x.

**Table 9.1.2.1b-1: Value of parameter X for NE-DC measurement gap sharing**

<i>measGapSharingScheme</i>	Value of X (%)
‘00’	Equal splitting
‘01’	25
‘10’	50
‘11’	75

### 9.1.2.1c NR-DC: Measurement Gap Sharing

For UE with NR-DC operation and configured with per-UE measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on intra-frequency carriers or when SMTc configured for intra-frequency measurement are fully overlapping with per-UE measurement gaps, and when UE is configured to identify and measure cells on inter-frequency carriers, and/or inter-RAT E-UTRAN carriers.

For UE with NR-DC operation and configured with per-FR1 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR1 intra-frequency carriers or when SMTc configured for FR1 intra-frequency measurement are fully overlapping with per-FR1 measurement gaps, and when UE is configured to identify and measure cells on FR1 inter-frequency carriers and/or inter-RAT E-UTRAN carriers.

For UE with NR-DC operation and configured with per-FR2 measurement gap, measurement gap sharing shall be applied when UE requires measurement gaps to identify and measure cells on FR2 intra-frequency carriers or when SMTc configured for FR2 intra-frequency measurement are fully overlapping with per-FR2 measurement gaps, and when UE is configured to identify and measure cells on FR2 inter-frequency carriers.

When network signals “01”, “10” or “11” with RRC parameter *measGapSharingConfig* [2] and the value of X is defined as in Table 9.1.2.1c-1, and

- $K_{\text{intra}} = 1 / X * 100,$
- $K_{\text{inter}} = 1 / (100 - X) * 100,$

When network signals “00” indicating equal splitting gap sharing, X is not applied.

The RRC parameter *MeasGapSharingScheme* shall be applied to the calculation of carrier specific scaling factor as specified in clause 9.1.5.2.x.

**Table 9.1.2.1c-1: Value of parameter X for NR-DC measurement gap sharing**

<i>measGapSharingConfig</i>	Value of X (%)
‘00’	Equal splitting
‘01’	25
‘10’	50
‘11’	75

### 9.1.3 UE Measurement capability

#### 9.1.3.1 EN-DC: Monitoring of multiple layers using gaps

The requirements in this section are applicable for UE capable of and configured with the EN-DC operation mode.

When monitoring of multiple inter-frequency E-UTRAN, inter-RAT NR, GSM, UTRA FDD and UTRA TDD carriers as configured by E-UTRA PCell, and inter-frequency NR carriers as configured by PSCell using gaps (or without using gaps provided the UE supports such capability) is configured, the UE shall be capable of performing one measurement of the configured measurement type (SS-RSRP, SS-RSRQ, SS-SINR, SFTD, E-UTRAN RSRP, E-UTRAN RSRQ, E-UTRAN RS-SINR measurements, UTRAN TDD P-CCPCH RSCP, UTRAN FDD CPICH measurements, GSM carrier RSSI, etc.) of detected cells on all the layers.

For UE configured with the EN-DC operation, the effective total number of frequencies excluding the frequencies of the PSCell, SCells, E-UTRA PCell, and E-UTRA SCells being monitored is  $N_{\text{freq, EN-DC}}$ , which is defined as:

$$N_{\text{freq, EN-DC}} = N_{\text{freq, EN-DC, NR}} + N_{\text{freq, EN-DC, E-UTRA}} + N_{\text{freq, EN-DC, UTRA}} + M_{\text{EN-DC, GSM}},$$

where

$N_{\text{freq, EN-DC, E-UTRA}}$  is the number of E-UTRA inter-frequency carriers being monitored (FDD and TDD) as configured by E-UTRA PCell or via LPP [22],

$$N_{\text{freq, EN-DC, NR}} \leq N_{\text{freq, EN-DC, NR, inter-RAT}} + N_{\text{freq, EN-DC, NR, inter-freq}}$$

where

$N_{\text{freq, EN-DC, NR, inter-RAT}}$  is the number of NR inter-RAT carriers excluding NR serving carrier(s) being monitored as configured by E-UTRA PCell [15],

$N_{\text{freq, EN-DC, NR, inter-freq}}$  is the number of NR inter-frequency carriers being monitored as configured by PSCell,

$N_{\text{freq, EN-DC, UTRA}}$  is the number of UTRA inter-RAT carriers being monitored as configured by E-UTRA PCell (FDD and TDD).

$M_{\text{EN-DC, GSM}}$  is an integer which is a function of the number of GSM inter-RAT carriers as configured by E-UTRA PCell on which measurements are being performed.  $M_{\text{EN-DC, GSM}}$  is equal to 0 if no GSM carrier is being monitored. For a MGRP of 40 ms,  $M_{\text{EN-DC, GSM}}$  is equal to 1 if cells on up to 32 GSM carriers are being measured. For a MGRP of 80 ms,  $M_{\text{EN-DC, GSM}}$  is equal to  $\text{ceil}(N_{\text{carriers, GSM}} / 20)$  where  $N_{\text{carriers, GSM}}$  is the number of GSM carriers on which cells are being measured.

#### 9.1.3.1a SA: Monitoring of multiple layers using gaps

The requirements in this section are applicable for UE configured with SA NR operation mode.

When monitoring of multiple inter-RAT E-UTRAN carriers and inter-frequency NR carriers using gaps (or without using gaps provided the UE supports such capability) is configured by PCell, the UE shall be capable of performing one measurement of the configured measurement type (SS-RSRP, SS-RSRQ, SS-SINR, E-UTRAN RSRP, E-UTRAN RSRQ, E-UTRAN RS-SINR measurements, etc.) of detected cells on all the layers.

For UE configured with the NR SA operation, the effective total number of frequencies, excluding the frequencies of the PCell, PSCell and SCells being monitored, is  $N_{\text{freq, SA}}$ , which is defined as:

$$N_{\text{freq, SA}} = N_{\text{freq, SA, NR}} + N_{\text{freq, SA, E-UTRA}},$$

where

$N_{\text{freq, SA, E-UTRA}}$  is the number of E-UTRA inter-RAT carriers being monitored (FDD and TDD) as configured by PCell or via LPP [22],

$N_{\text{freq, SA, NR}}$  is the number of NR inter-frequency carriers being monitored as configured by PCell.

### 9.1.3.1b NE-DC: Monitoring of multiple layers using gaps

The requirements in this section are applicable for UE capable of and configured with the NE-DC operation mode.

When monitoring of multiple inter-frequency E-UTRAN carriers as configured by E-UTRA PSCell, inter-RAT E-UTRAN carriers as configured by PCell, and inter-frequency NR carriers as configured by PCell using gaps (or without using gaps provided the UE supports such capability) is configured, the UE shall be capable of performing one measurement of the configured measurement type (SS-RSRP, SS-RSRQ, SS-SINR, SFTD, E-UTRAN RSRP, E-UTRAN RSRQ, and E-UTRAN RS-SINR measurements, etc.) of detected cells on all the layers.

For UE configured with the NE-DC operation, the effective total number of frequencies excluding the frequencies of the PCell, SCells, E-UTRA PSCell, and E-UTRA SCells being monitored is  $N_{\text{freq, NE-DC}}$ , which is defined as:

$$N_{\text{freq, NE-DC}} = N_{\text{freq, NE-DC, NR}} + N_{\text{freq, NE-DC, E-UTRA}},$$

where

$N_{\text{freq, NE-DC, NR}}$  is the number of NR inter-frequency carriers being monitored as configured by PCell,

$$N_{\text{freq, NE-DC, E-UTRA}} \leq N_{\text{freq, NE-DC, E-UTRA, inter-RAT}} + N_{\text{freq, NE-DC, E-UTRA, inter-freq}}$$

where

$N_{\text{freq, NE-DC, E-UTRA, inter-RAT}}$  is the number of E-UTRA inter-RAT carriers (FDD and TDD) excluding E-UTRA serving carrier(s) being monitored as configured by PCell or via LPP [22],

$N_{\text{freq, NE-DC, E-UTRA, inter-freq}}$  is the number of E-UTRA inter-frequency carriers (FDD and TDD) being monitored as configured by E-UTRA PSCell [15] or via LPP [22].

### 9.1.3.1c NR-DC: Monitoring of multiple layers using gaps

The requirements in this section are applicable for UE configured with NR-DC operation mode.

When monitoring of multiple inter-RAT E-UTRAN carriers and inter-frequency NR carriers using gaps (or without using gaps provided the UE supports such capability) as configured by PCell, and inter-frequency NR carriers as configured by PSCell is configured, the UE shall be capable of performing one measurement of the configured measurement type (SS-RSRP, SS-RSRQ, SS-SINR, E-UTRAN RSRP, E-UTRAN RSRQ, E-UTRAN RS-SINR measurements, etc.) of detected cells on all the layers.

For UE configured with the NR-DC operation, the effective total number of frequencies, excluding the frequencies of the PCell, PSCell and SCells being monitored, is  $N_{\text{freq, NR-DC}}$ , which is defined as:

$$N_{\text{freq, NR-DC}} = N_{\text{freq, NR-DC, NR}} + N_{\text{freq, NR-DC, E-UTRA}},$$

where

$N_{\text{freq, NR-DC, E-UTRA}}$  is the number of E-UTRA inter-RAT carriers being monitored (FDD and TDD) as configured by PCell or via LPP [22].

$N_{\text{freq, NR-DC, NR}}$  is the number of NR inter-frequency carriers being monitored as configured by PCell and PSCell.

### 9.1.3.2 EN-DC: Maximum allowed layers for multiple monitoring

If a UE is configured with EN-DC operation, the UE shall be capable of monitoring at least:

- Depending on UE capability, 7 NR inter-frequency carriers configured by PSCell, and
- Depending on UE capability, 7 NR inter-RAT carriers excluding NR serving carrier(s) configured by E-UTRA PCell [15], and
- Depending on UE capability, 6 E-UTRA TDD inter-frequency carriers configured by E-UTRA PCell [15], and
- Depending on UE capability, 6 E-UTRA FDD inter-frequency carriers configured by E-UTRA PCell [15], and
- Depending on UE capability, 3 FDD UTRA carriers, and

- Depending on UE capability, 3 TDD UTRA carriers, and
- Depending on UE capability, 32 GSM carriers (one GSM layer corresponds to 32 carriers), and
- Depending on UE capability, 1 E-UTRA FDD inter-frequency carrier for RSTD measurements configured via LPP [22], and
- Depending on UE capability, 1 E-UTRA TDD inter-frequency carrier for RSTD measurements configured via LPP [22].

In addition to the requirements defined above, the UE shall be capable of monitoring a total of at least 13 effective carrier frequency layers comprising of any above defined combination of NR, E-UTRA FDD, E-UTRA TDD, UTRA FDD, UTRA TDD and GSM (one GSM layer corresponds to 32 carriers) layers. The UE shall be capable of monitoring a total of at least 7 effective NR carrier frequency layers excluding NR serving carrier(s), comprising of any above defined combination of NR inter-RAT carriers excluding NR serving carrier(s) configured by E-UTRA PCell and NR inter-frequency carriers configured by PSCell.

When the E-UTRA PCell and PSCell configure the same NR carrier frequency layer to be monitored by the UE in synchronous intra-band EN-DC, this layer shall be counted only once to the total number of effective carrier frequency layers provided that the SFN-s and slot boundaries are aligned, unless the configured NR carrier frequency layers to be monitored have

- different RSSI measurement resources or
- different deriveSSB-IndexFromCell indications or
- different SMTc configurations.

Note 1: The E-UTRA-NR dual connectivity capable UE configured with PSCell shall fulfil the requirements defined in only one of clause 9.1.3.2 and clause 8.1.2.1.1b.1 of TS 36.133 [15].

### 9.1.3.2a SA: Maximum allowed layers for multiple monitoring

If a UE is configured with SA NR operation mode, the UE shall be capable of monitoring at least:

- Depending on UE capability, 7 NR inter-frequency carriers configured by PCell, and
- Depending on UE capability, 7 E-UTRA TDD inter-RAT carriers configured by PCell, and
- Depending on UE capability, 7 E-UTRA FDD inter-RAT carriers configured by PCell, and
- Depending on UE capability, 1 E-UTRA FDD inter-RAT carrier for RSTD measurements configured via LPP [22], and
- Depending on UE capability, 1 E-UTRA TDD inter-RAT carrier for RSTD measurements configured via LPP [22].

In addition to the requirements defined above, the UE shall be capable of monitoring a total of at least [13] effective carrier frequency layers comprising of any above defined combination of NR, E-UTRA FDD and E-UTRA TDD layers.

### 9.1.3.2b NE-DC: Maximum allowed layers for multiple monitoring

If a UE is configured with NE-DC operation mode, the UE shall be capable of monitoring at least:

- Depending on UE capability, 7 NR inter-frequency carriers configured by PCell, and
- Depending on UE capability, 6 E-UTRA TDD inter-RAT carriers excluding E-UTRA serving carriers configured by PCell, and
- Depending on UE capability, 6 E-UTRA FDD inter-RAT carriers excluding E-UTRA serving carriers configured by PCell, and
- Depending on UE capability, 6 E-UTRA TDD inter-frequency carriers configured by E-UTRA PSCell [15], and
- Depending on UE capability, 6 E-UTRA FDD inter-frequency carriers configured by E-UTRA PSCell [15], and

- Depending on UE capability, 1 E-UTRA FDD inter-frequency carrier for RSTD measurements configured via LPP [22], and
- Depending on UE capability, 1 E-UTRA TDD inter-frequency carrier for RSTD measurements configured via LPP [22].

In addition to the requirements defined above, the UE shall be capable of monitoring a total of at least 13 effective carrier frequency layers comprising of any above defined combination of NR, E-UTRA FDD, and E-UTRA TDD layers. The UE shall be capable of monitoring a total of at least 6 effective E-UTRA carrier frequency layers, excluding E-UTRA serving carrier(s), comprising of any above defined combination of E-UTRA inter-RAT carriers excluding E-UTRA serving carrier(s) configured by PCell and E-UTRA inter-frequency carriers configured by E-UTRA PSCell.

### 9.1.3.2c NR-DC: Maximum allowed layers for multiple monitoring

If a UE is configured with NR-DC operation, the UE shall be capable of monitoring at least:

- Depending on UE capability, 7 NR inter-frequency carriers configured by PCell, and
- Depending on UE capability, 7 NR inter-frequency carriers configured by PSCell, and
- Depending on UE capability, 7 E-UTRA TDD inter-RAT carriers configured by PCell, and
- Depending on UE capability, 7 E-UTRA FDD inter-RAT carriers configured by PCell, and
- Depending on UE capability, 1 E-UTRA FDD inter-RAT carrier for RSTD measurements configured via LPP [22], and
- Depending on UE capability, 1 E-UTRA TDD inter-RAT carrier for RSTD measurements configured via LPP [22].

In addition to the requirements defined above, the UE shall be capable of monitoring a total of at least [13] effective carrier frequency layers comprising of any above defined combination of NR, E-UTRA FDD and E-UTRA TDD layers. The UE shall be capable of monitoring a total of at least [7] effective NR carrier frequency layers excluding NR serving carrier(s), which are configured by PCell and PSCell.

When PCell and PSCell configure the same NR carrier frequency layer to be monitored by the UE in NR-DC, this layer shall be counted only once to the total number of effective carrier frequency layers provided that the SFN-s and slot boundaries are aligned, unless the configured NR carrier frequency layers to be monitored have

- different RSSI measurement resources or
- different deriveSSB-IndexFromCell indications or
- different SMTc configurations.

## 9.1.4 Capabilities for Support of Event Triggering and Reporting Criteria

### 9.1.4.1 Introduction

This clause contains requirements on UE capabilities for support of event triggering and reporting criteria. As long as the measurement configuration does not exceed the requirements stated in clause 9.1.4.2, the UE shall meet all other performance requirements defined in clause 9 and clause 10.

The UE can be requested to make measurements under different measurement identities defined in TS 38.331 [2]. Each measurement identity corresponds to either event based reporting, periodic reporting, or no reporting. In case of event based reporting, each measurement identity is associated with an event triggering criterion. In case of periodic reporting, a measurement identity is associated with one periodic reporting criterion. In case of no reporting, a measurement identity is associated with one no reporting criterion.

The purpose of this clause is to set some limits on the number of different event triggering, periodic, and no reporting criteria the UE may be requested to track in parallel.

### 9.1.4.2 Requirements

In this section a reporting criterion corresponds to either one event (in the case of event based reporting), or one periodic reporting criterion (in case of periodic reporting), or one no reporting criterion (in case of no reporting). For event based reporting, each instance of event, with the same or different event identities, is counted as separate reporting criterion in Table 9.1.4.2-1.

The UE shall be able to support in parallel per category up to  $E_{cat}$  reporting criteria according to Table 9.1.4.2-1. For the measurement categories belonging to intra-frequency, inter-frequency, and inter-RAT measurements (i.e. without counting other categories that the UE shall always support in parallel), the UE need not support more than the total number of reporting criteria as follows:

- For UE configured with EN-DC:  $E_{cat,EN-DC,NR} + E_{cat,EN-DC,E-UTRA}$ , where

$E_{cat,EN-DC,NR} = 10 + 9 \times n$  is the total number of NR reporting criteria applicable for UE configured with EN-DC according to Table 9.1.4.2-1, and  $n$  is the number of configured NR serving frequencies, including PSCell and SCells carrier frequencies,

$E_{cat,EN-DC,E-UTRA}$  is the total number of E-UTRA reporting criteria configured by E-UTRA PCell except PSCell and SCell carrier frequencies, as specified in TS 36.133 [15] for UE configured with EN-DC.

- For UE configured with NE-DC:  $E_{cat,NE-DC,NR} + E_{cat,NE-DC,E-UTRA}$ , where

$E_{cat,NE-DC,NR} = 10 + 9 \times n$  is the total number of NR reporting criteria according to Table 9.1.4.2-1, and  $n$  is the number of configured NR serving frequencies, including PCell and SCells carrier frequencies,

$E_{cat,NE-DC,E-UTRA} = E_{cat,NE-DC,E-UTRA,inter-RAT} + E_{cat,NE-DC,E-UTRA,intra-RAT}$ , where

$E_{cat,NE-DC,E-UTRA,inter-RAT}$  is the total number of inter-RAT E-UTRA reporting criteria configured by PCell except E-UTRA PSCell and E-UTRA SCell carrier frequencies, according to Table 9.1.4.2-1,

$E_{cat,NE-DC,E-UTRA,intra-RAT}$  is the total number of E-UTRA reporting criteria including E-UTRA PSCell and SCell carrier frequencies as specified in TS 36.133 [15] for UE configured with NE-DC.

- For UE configured with SA operation mode:  $E_{cat,SA,NR} + E_{cat,SA,E-UTRA}$ , where

$E_{cat,SA,NR} = 10 + 9 \times n$  is the total number of NR reporting criteria according to Table 9.1.4.2-1, and  $n$  is the number of configured NR serving frequencies, including PCell, and SCells carrier frequencies,

$E_{cat,SA,E-UTRA}$  is the total number of inter-RAT E-UTRA reporting criteria according to Table 9.1.4.2-1.

- For UE configured with NR-DC:  $E_{cat,NR-DC,NR} + E_{cat,NR-DC,E-UTRA}$ , where

$E_{cat,NR-DC,NR} = 10 + 9 \times n$  is the total number of NR reporting criteria according to Table 9.1.4.2-1, and  $n$  is the number of configured NR serving frequencies, including PCell, PSCell and SCells carrier frequencies,

$E_{cat,NR-DC,E-UTRA}$  is the total number of inter-RAT E-UTRA reporting criteria according to Table 9.1.4.2-1.

**Table 9.1.4.2-1: Requirements for reporting criteria per measurement category**

<b>Measurement category</b>	<b>E<sub>cat</sub></b>	<b>Note</b>
Intra-frequency <sup>Note 1,2,3,4,5</sup>	9	Events for any one or a combination of intra-frequency SS-RSRP, SS-RSRQ, and SS-SINR for NG-RAN intra-frequency cells
Inter-frequency <sup>Note 2,3,4,5</sup>	10	Events for any one or a combination of inter-frequency SS-RSRP, SS-RSRQ, and SS-SINR for NG-RAN inter-frequency cells
Inter-RAT (E-UTRA FDD, E-UTRA TDD) <sup>Note 2,4,5</sup>	10	Only applicable for UE with this (inter-RAT) capability. These reporting criteria apply for any E-UTRA carrier frequencies other than the carrier frequency of the E-UTRA PSCell or E-UTRA SCell.
Inter-RAT (E-UTRA FDD, E-UTRA TDD) RSTD <sup>Note 2,4,5</sup>	1	Inter-RAT RSTD measurement reporting for UE supporting OTDOA; 1 report capable of minimum 16 inter-RAT cell measurements. Only applicable for UE with this (inter-RAT RSTD via LPP [22]) capability. These reporting criteria apply for any E-UTRA carrier frequencies other than the carrier frequency of the E-UTRA PSCell or E-UTRA SCell.
Inter-RAT (E-UTRA FDD, E-UTRA TDD) RSRP and RSRQ measurements for E-CID <sup>Note 2,4,5</sup>	1	Inter-RAT RSRP and RSRQ measurements for E-CID reported to E-SMLC via LPP [22]. One report capable of at least in total 10 inter-RAT RSRP and RSRQ measurements. Applicable to UE capable of reporting inter-RAT RSRP and RSRQ to E-SMLC via LPP. These reporting criteria apply for any E-UTRA carrier frequencies other than the carrier frequency of the E-UTRA PSCell or E-UTRA SCell.
<p>NOTE 1: When the UE is configured with PSCell and SCell carrier frequencies, E<sub>cat</sub> for Intra-frequency is applied per corresponding NR serving frequency.</p> <p>NOTE 2: Applicable for UE configured with SA NR operation mode.</p> <p>NOTE 3: Applicable for UE configured with EN-DC operation mode.</p> <p>NOTE 4: Applicable for UE configured with NE-DC operation mode.</p> <p>NOTE 5: Applicable for UE configured with NR-DC operation mode.</p>		

## 9.1.5 Carrier-specific scaling factor

This clause specifies the derivation of carrier-specific scaling factor (CSSF) values, which scale the measurement delay requirements given in clause 9.2, 9.3 and 9.4 when UE is configured to monitor multiple measurement objects. The CSSF values are categorized into CSSF<sub>outside\_gap,i</sub> and CSSF<sub>within\_gap,i</sub>, for the measurements conducted outside measurement gaps and within measurement gaps, respectively.

### 9.1.5.1 Monitoring of multiple layers outside gaps

The carrier-specific scaling factor CSSF<sub>outside\_gap,i</sub> for measurement object *i* derived in this chapter is applied to following measurement types:

- Intra-frequency measurement with no measurement gap in clause 9.2.5, when none of the SMTC occasions of this intra-frequency measurement object are overlapped by the measurement gap.
- Intra-frequency measurement with no measurement gap in clause 9.2.5, when part of the SMTC occasions of this intra-frequency measurement object are overlapped by the measurement gap.

UE is expected to conduct the measurement of this measurement object *i* only outside the measurement gaps.

If the higher layer signaling in TS 38.331 [2] signaling of *smtc2* is present and *smtc1* is fully overlapping with measurement gaps and *smtc2* is partially overlapping with measurement gaps, CSSF<sub>outside\_gap,i</sub> and requirements derived from CSSF<sub>outside\_gap,i</sub> are not specified.

The UE cell identification and measurement periods derived based on  $CSSF_{\text{outside\_gap},i}$  in clauses 9.2.5.1, 9.2.5.2 may be extended for measurement objects of which the cell identification and measurement periods are overlapped with  $T_{\text{measure\_SFTDI}}$  specified in clause 8.1.2.4.25 and clause 8.1.2.4.26.

### 9.1.5.1.1 EN-DC mode: carrier-specific scaling factor for SSB-based measurements performed outside gaps

For UE configured with the E-UTRA-NR dual connectivity operation, the carrier-specific scaling factor  $CSSF_{\text{outside\_gap},i}$  for intra-frequency SSB-based measurements performed outside measurements gaps will be as specified in Table 9.1.5.1.1-1.

**Table 9.1.5.1.1-1:  $CSSF_{\text{outside\_gap},i}$  scaling factor**

Scenario	$CSSF_{\text{outside\_gap},i}$ for FR1 PSCC	$CSSF_{\text{outside\_gap},i}$ for FR1 SCC	$CSSF_{\text{outside\_gap},i}$ for FR2 PSCC	$CSSF_{\text{outside\_gap},i}$ for FR2 SCC where neighbour cell measurement is required Note 2	$CSSF_{\text{outside\_gap},i}$ for FR2 SCC where neighbour cell measurement is not required
EN-DC with FR1 only CA	1	Number of configured FR1 SCell(s)	N/A	N/A	N/A
EN-DC with FR2 only intra band CA	N/A	N/A	1	N/A	Number of configured FR2 SCells
EN-DC with FR1 +FR2 CA (FR1 PSCell) Note 1	1	2x(Number of configured SCell(s)-1)	N/A	2	2x(Number of configured SCell(s)-1)
EN-DC with FR1 +FR2 CA (FR2 PSCell) Note 1	N/A	Number of configured SCell(s)	1	N/A	Number of configured SCell(s)

Note 1: Only one NR FR1 operating band and one NR FR2 operating band are included for FR1+FR2 inter-band EN-DC.

Note 2: Selection of FR2 SCC where neighbour cell measurement is required follows clause 9.2.3.2.

### 9.1.5.1.2 SA mode: carrier-specific scaling factor for SSB-based measurements performed outside gaps without NR-DC operation

For UE not configured with NR-DC operation in SA mode, the carrier-specific scaling factor  $CSSF_{\text{outside\_gap},i}$  for intra-frequency SSB-based measurements performed outside measurements gaps will be as specified in Table 9.1.5.1.2-1, which shall also be applied for a UE configured with NE-DC operation.

**Table 9.1.5.1.2-1:  $CSSF_{\text{outside\_gap},i}$  scaling factor without NR-DC operation in SA mode**

Scenario	$CSSF_{\text{outside\_gap},i}$ for FR1 PCC	$CSSF_{\text{outside\_gap},i}$ for FR1 SCC	$CSSF_{\text{outside\_gap},i}$ for FR2 PCC	$CSSF_{\text{outside\_gap},i}$ for FR2 SCC where neighbour cell measurement is required	$CSSF_{\text{outside\_gap},i}$ for FR2 SCC where neighbour cell measurement is not required
FR1 only CA	1	Number of configured FR1 SCell(s)	N/A	N/A	N/A
FR2 only intra band CA	N/A	N/A	1	N/A	Number of configured FR2 SCell(s)
FR1 +FR2 CA (FR1 PCell) Note 1	1	2x(Number of configured SCell(s)-1)	N/A	2	2x(Number of configured SCell(s)-1)

Note 1: Only one FR1 operating band and one FR2 operating band are included for FR1+FR2 inter-band CA.

Note 2: Selection of FR2 SCC where neighbour cell measurement is required follows clause 9.2.3.2.

### 9.1.5.1.3 SA mode: carrier-specific scaling factor for SSB-based measurements performed outside gaps with NR-DC operation

For UE configured with NR-DC operation in SA mode, the carrier-specific scaling factor  $CSSF_{outside\_gap,i}$  for intra-frequency SSB-based measurements performed outside measurements gaps will be as specified in Table 9.1.5.1.3-1.

**Table 9.1.5.1.3-1:  $CSSF_{outside\_gap,i}$  scaling factor with NR-DC operation in SA mode**

Scenario	$CSSF_{outside\_gap,i}$ for FR1 PCC	$CSSF_{outside\_gap,i}$ for FR1 SCC	$CSSF_{outside\_gap,i}$ for FR2 PSCC	$CSSF_{outside\_gap,i}$ for FR2 SCC where neighbour cell measurement is not required
<b>FR1 + FR2 NR-DC (FR1 PCell and FR2 PScell) Note 1</b>	1	2x(Number of configured SCell(s))	2	2x(Number of configured SCell(s))

Note 1: NR-DC in Rel-15 only includes the scenarios where all serving cells in MCG in FR1 and all serving cells in SCG in FR2.

### 9.1.5.2 Monitoring of multiple layers within gaps

The carrier-specific scaling factor  $CSSF_{within\_gap,i}$  for measurement object  $i$  derived in this chapter is applied to following measurement types:

- Intra-frequency measurement with no measurement gap in clause 9.2.5, when all of the SMTTC occasions of this intra-frequency measurement object are overlapped by the measurement gap.
- Intra-frequency measurement with measurement gap in clause 9.2.6.
- Inter-frequency measurement in clause 9.3
- Inter-RAT measurement in clause 9.4

UE is expected to conduct the measurement of this measurement object  $i$  only within the measurement gaps.

If the higher layer signaling in TS 38.331 [2] signaling of  $smtc2$  is present and  $smtc1$  is fully overlapping with measurement gaps and  $smtc2$  is partially overlapping with measurement gaps,  $CSSF_{within\_gap,i}$  and requirements derived from  $CSSF_{outside\_gap,i}$  are not specified.

### 9.1.5.2.1 EN-DC mode: carrier-specific scaling factor for SSB-based measurements performed within gaps

*Editor's note: The scaling value  $CSSF_{within\_gap,i}$  below has been derived without considering GSM inter-RAT carriers.*

When one or more measurement objects are monitored within measurement gaps, the carrier specific scaling factor for a target measurement object with index  $i$  is designated as  $CSSF_{within\_gap,i}$  and is derived as described in this section.

If measurement object  $i$  refers to an RSTD measurement with periodicity  $T_{prss} > 160\text{ms}$  or with periodicity  $T_{prss} = 160\text{ms}$  but  $prs\text{-MutingInfo-}r9$  is configured,  $CSSF_{within\_gap,i}=1$ . Otherwise, the the  $CSSF_{within\_gap,i}$  for other measurement objects (including RSTD measurement with periodicity  $T_{prss}=160\text{ms}$ ) participate in the gap competition are derived as below.

For each measurement gap  $j$  not used for an RSTD measurement with periodicity  $T_{prss} > 160\text{ms}$  or with periodicity  $T_{prss} = 160\text{ms}$  but  $prs\text{-MutingInfo-}r9$  is configured within an arbitrary 160ms period, count the total number of intrafrequency measurement objects and interfrequency/interRAT measurement objects which are candidates to be measured within the gap  $j$ .

- An NR carrier is a candidate to be measured in a gap if its SMTTC duration is fully covered by the MGL excluding RF switching time. For intrafrequency NR carriers, if the high layer in TS 38.331 [2] signaling of  $smtc2$  is configured, the assumed periodicity of SMTTC occasions corresponds to the value of higher layer parameter  $smtc2$ ; Otherwise the assumed periodicity of SMTTC occasions corresponds to the value of higher layer parameter  $smtc1$ .
- An interRAT measurement object is a candidate to be measured in all measurment gaps.

$R_i$  is the maximal ratio of the number of measurement gap where measurement object  $i$  is a candidate to be measured over the number of measurement gap where measurement object  $i$  is a candidate and not used for RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-}r9$  is configured within an arbitrary  $1280\text{ms}$  period.

For UEs which support and are configured with per FR gaps, the counting is done on a per FR basis, and for UEs which are configured with per UE gaps the counting is done on a per UE basis.

Per gap  $j$ :

$M_{\text{intra},i,j}$ : Number of intrafrequency measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{intra},i,j}$  equals 0.

$M_{\text{inter},i,j}$ : Number of NR interfrequency measurement objects or NR interRAT measurement objects configured by E-UTRA PCell, EUTRA interfrequency measurement objects configured by E-UTRA PCell, UTRA inter-RAT measurement objects and GSM interRAT measurement objects configured by E-UTRA PCell which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{inter},i,j}$  equals 0.

$M_{\text{tot},i,j} = M_{\text{intra},i,j} + M_{\text{inter},i,j}$ : Total number of intrafrequency, interfrequency and interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{tot},i,j}$  equals 0.

The carrier specific scaling factor  $\text{CSSF}_{\text{within\_gap},i}$  is given by:

If  $\text{measGapSharingScheme}$  is equal sharing,  $\text{CSSF}_{\text{within\_gap},i} = \max(\text{ceil}(R_i \times M_{\text{tot},i,j}))$ , where  $j=0\dots(160/\text{MGRP})-1$

If  $\text{measGapSharingScheme}$  is not equal sharing and

- measurement object  $i$  is an intrafrequency measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{intra}} \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{inter},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{inter},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$
- measurement object  $i$  is an interfrequency or interRAT measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{inter}} \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$

### 9.1.5.2.2 SA mode: carrier-specific scaling factor for SSB-based measurements performed within gaps

When one or more measurement objects are monitored within measurement gaps, the carrier specific scaling factor for a target measurement object with index  $i$  is designated as  $\text{CSSF}_{\text{within\_gap},i}$  and is derived as described in this section.

If measurement object  $i$  refers to an RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-}r9$  is configured,  $\text{CSSF}_{\text{within\_gap},i}=1$ . Otherwise, the the  $\text{CSSF}_{\text{within\_gap},i}$  for other measurement objects (including RSTD measurement with periodicity  $T_{\text{prs}} = 160\text{ms}$ ) participate in the gap competition and the  $\text{CSSF}_{\text{within\_gap},i}$  are derived as below.

For each measurement gap  $j$  not used for an RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-}r9$  is configured within an arbitrary  $160\text{ms}$  period, count the total number of intrafrequency measurement objects and interfrequency/interRAT measurement objects which are candidates to be measured within the gap  $j$ .

- An NR carrier is a candidate to be measured in a gap if its SMT duration is fully covered by the MGL excluding RF switching time. For intrafrequency NR carriers, if the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the assumed periodicity of SMT occasions corresponds to the value of higher layer parameter *smtc2*; Otherwise the assumed periodicity of SMT occasions corresponds to the value of higher layer parameter *smtc1*.
- An interRAT measurement object is a candidate to be measured in all measurement gaps.

$R_i$  is the maximal ratio of the number of measurement gap where measurement object  $i$  is a candidate to be measured over the number of measurement gap where measurement object  $i$  is a candidate and not used for RSTD measurement

with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-r9}$  is configured within an arbitrary  $1280\text{ms}$  period.

For UEs which support and are configured with per FR gaps, the counting is done on a per FR basis, and for UEs which are configured with per UE gaps the counting is done on a per UE basis.

Per gap  $j$ :

$M_{\text{intra},i,j}$ : Number of intrafrequency measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{intra},i,j}$  equals 0.

$M_{\text{inter},i,j}$  : Number of NR interfrequency and EUTRA interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{inter},i,j}$  equals 0.

$M_{\text{tot},i,j} = M_{\text{intra},i,j} + M_{\text{inter},i,j}$  : Total number of intrafrequency, interfrequency and interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{tot},i,j}$  equals 0.

The carrier specific scaling factor  $\text{CSSF}_{\text{within\_gap},i}$  is given by:

If  $\text{measGapSharingScheme}$  is equal sharing,  $\text{CSSF}_{\text{within\_gap},i} = \max(\text{ceil}(R_i \times M_{\text{tot},i,j}))$ , where  $j=0\dots(160/\text{MGRP})-1$

If  $\text{measGapSharingScheme}$  is not equal sharing and

- measurement object  $i$  is an intrafrequency measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{intra}} \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{inter},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{inter},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$
- measurement object  $i$  is an interfrequency or interRAT measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{inter}} \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$

$\text{CSSF}_{\text{within\_gap},k}=1$  during  $T_{\text{Detect, E-UTRAN FDD}}$  specified in clause 9.4.4.1.2.2 and  $T_{\text{Detect, E-UTRAN TDD}}$  specified in section 9.4.4.2.2.2, where  $k$  is the carrier frequency where the UE is performing cell detection of the inter-RAT E-UTRA OTDOA assistance data reference cell when acquiring the subframe and slot timing of the cell according to clause 9.4.4. In this case, the UE cell identification and measurement periods derived based on  $\text{CSSF}_{\text{within\_gap},i}$  in clauses 9.2.5.1, 9.2.5.2, 9.2.6.2, 9.2.6.3, 9.3.4, 9.3.5, 9.4.2.2, and 9.4.2.3 may be extended for measurement objects of which the cell identification and measurement periods are overlapped with  $T_{\text{Detect, E-UTRAN FDD}}$  and  $T_{\text{Detect, E-UTRAN TDD}}$ .

### 9.1.5.2.3 NE-DC: carrier-specific scaling factor for SSB-based measurements performed within gaps

When one or more measurement objects are monitored within measurement gaps, the carrier specific scaling factor for a target measurement object with index  $i$  is designated as  $\text{CSSF}_{\text{within\_gap},i}$  and is derived as described in this section.

If measurement object  $i$  refers to an RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-r9}$  is configured,  $\text{CSSF}_{\text{within\_gap},i}=1$ . Otherwise, the the  $\text{CSSF}_{\text{within\_gap},i}$  for other measurement objects (including RSTD measurement with periodicity  $T_{\text{prs}} = 160\text{ms}$ ) participate in the gap competition are derived as below.

For each measurement gap  $j$  not used for an RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-r9}$  is configured within an arbitrary  $160\text{ms}$  period, count the total number of intrafrequency measurement objects and interfrequency/interRAT measurement objects which are candidates to be measured within the gap  $j$ .

- An NR carrier is a candidate to be measured in a gap if its SMT duration is fully covered by the MGL excluding RF switching time. For intrafrequency NR carriers, if the high layer in TS 38.331 [2] signaling of  $smtc2$  is configured, the assumed periodicity of SMT occasions corresponds to the value of higher layer parameter  $smtc2$ ; Otherwise the assumed periodicity of SMT occasions corresponds to the value of higher layer parameter  $smtc1$ .
- An interRAT measurement object is a candidate to be measured in all measurment gaps.

$R_i$  is the maximal ratio of the number of measurement gap where measurement object  $i$  is a candidate to be measured over the number of measurement gap where measurement object  $i$  is a candidate and not used for RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-r9}$  is configured within an arbitrary  $1280\text{ms}$  period.

For UEs which support and are configured with per FR gaps, the counting is done on a per FR basis, and for UEs which are configured with per UE gaps the counting is done on a per UE basis.

Per gap  $j$ :

$M_{\text{intra},i,j}$ : Sum of the number of FR1 intrafrequency measurement objects  $M_{\text{intra-FR1},i,j}$  and the number of FR2 intrafrequency measurement objects  $M_{\text{intra-FR2},i,j}$  which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{intra},i,j}$  equals 0.

$M_{\text{inter},i,j}$ : Number of NR interfrequency and EUTRA interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{inter},i,j}$  equals 0.

In the case of  $M_{\text{inter},i,j} = 0$  for UE configured with per-UE gap, FR2 intrafrequency measurement objects shall be counted as interfrequency measurement objects in calculating  $M_{\text{inter},i,j}$ , while only FR1 intrafrequency measurement shall be counted as intrafrequency measurement objects in calculating  $M_{\text{intra},i,j}$ .

$M_{\text{tot},i,j} = M_{\text{intra},i,j} + M_{\text{inter},i,j}$ : Total number of intrafrequency, interfrequency and interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{tot},i,j}$  equals 0.

The carrier specific scaling factor  $\text{CSSF}_{\text{within\_gap},i}$  is given by:

If  $\text{measGapSharingScheme}$  is equal sharing,  $\text{CSSF}_{\text{within\_gap},i} = \max(\text{ceil}(R_i \times M_{\text{tot},i,j}))$ , where  $j=0\dots(160/\text{MGRP})-1$

If  $\text{measGapSharingScheme}$  is not equal sharing and

- measurement object  $i$  is an intrafrequency measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{intra}} \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{intra},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{intra},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$
- measurement object  $i$  is an interfrequency or interRAT measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{inter}} \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$
- In the case of  $M_{\text{inter},i,j}=0$  for UE configured with per-UE gap, the  $\text{CSSF}_{\text{within\_gap},i}$  calculated for interfrequency measurement objects shall be applied to FR2 intrafrequency measurement objects.

Editor Notes: FFS if better description exists for the case when the number of configured interfrequency and interRAT measurement objects is zero and the UE is configured with per-UE gap

#### 9.1.5.2.4 NR-DC: carrier-specific scaling factor for SSB-based measurements performed within gaps

When one or more measurement objects are monitored within measurement gaps, the carrier specific scaling factor for a target measurement object with index  $i$  is designated as  $\text{CSSF}_{\text{within\_gap},i}$  and is derived as described in this section.

If measurement object  $i$  refers to an RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-r9}$  is configured,  $\text{CSSF}_{\text{within\_gap},i}=1$ . Otherwise, the the  $\text{CSSF}_{\text{within\_gap},i}$  for other measurement objects (including RSTD measurement with periodicity  $T_{\text{prs}} = 160\text{ms}$ ) participate in the gap competition and the  $\text{CSSF}_{\text{within\_gap},i}$  are derived as below.

For each measurement gap  $j$  not used for an RSTD measurement with periodicity  $T_{\text{prs}} > 160\text{ms}$  or with periodicity  $T_{\text{prs}} = 160\text{ms}$  but  $\text{prs-MutingInfo-r9}$  is configured within an arbitrary  $160\text{ms}$  period, count the total number of intrafrequency measurement objects and interfrequency/interRAT measurement objects which are candidates to be measured within the gap  $j$ .

- An NR carrier is a candidate to be measured in a gap if its SMT duration is fully covered by the MGL excluding RF switching time. For intrafrequency NR carriers, if the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the assumed periodicity of SMT occasions corresponds to the value of higher layer parameter *smtc2*; Otherwise the assumed periodicity of SMT occasions corresponds to the value of higher layer parameter *smtc1*.
- An interRAT measurement object is a candidate to be measured in all measurement gaps.

$R_i$  is the maximal ratio of the number of measurement gap where measurement object  $i$  is a candidate to be measured over the number of measurement gap where measurement object  $i$  is a candidate and not used for RSTD measurement with periodicity  $T_{prs} > 160\text{ms}$  or with periodicity  $T_{prs}=160\text{ms}$  but *prs-MutingInfo-r9* is configured within an arbitrary  $1280\text{ms}$  period.

For UEs which support and are configured with per FR gaps, the counting is done on a per FR basis, and for UEs which are configured with per UE gaps the counting is done on a per UE basis.

Per gap  $j$ :

$M_{\text{intra},i,j}$ : Sum of the number of FR1 intrafrequency measurement objects  $M_{\text{intra-FR1},i,j}$  and the number of FR2 intrafrequency measurement objects  $M_{\text{intra-FR2},i,j}$  which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{intra},i,j}$  equals 0.

$M_{\text{inter},i,j}$ : Number of NR interfrequency and EUTRA interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{inter},i,j}$  equals 0.

In the case of  $M_{\text{inter},i,j} = 0$  for UE configured with per-UE gap, FR2 intrafrequency measurement objects shall be counted as interfrequency measurement objects in calculating  $M_{\text{inter},i,j}$ , while only FR1 intrafrequency measurement shall be counted as intrafrequency measurement objects in calculating  $M_{\text{intra},i,j}$ .

$M_{\text{tot},i,j} = M_{\text{intra},i,j} + M_{\text{inter},i,j}$ : Total number of intrafrequency, interfrequency and interRAT measurement objects which are candidates to be measured in gap  $j$  where the measurement object  $i$  is also a candidate. Otherwise  $M_{\text{tot},i,j}$  equals 0.

The carrier specific scaling factor  $\text{CSSF}_{\text{within\_gap},i}$  is given by:

If *measGapSharingScheme* is equal sharing,  $\text{CSSF}_{\text{within\_gap},i} = \max(\text{ceil}(R_i \times M_{\text{tot},i,j}))$ , where  $j=0\dots(160/\text{MGRP})-1$

If *measGapSharingScheme* is not equal sharing and

- measurement object  $i$  is an intrafrequency measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{intra}} \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{intra},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{intra},i,j})$  in gaps where  $M_{\text{intra},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$
- measurement object  $i$  is an interfrequency or interRAT measurement object,  $\text{CSSF}_{\text{within\_gap},i}$  is the maximum among
  - $\text{ceil}(R_i \times K_{\text{inter}} \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j} \neq 0$ , where  $j=0\dots(160/\text{MGRP})-1$
  - $\text{ceil}(R_i \times M_{\text{inter},i,j})$  in gaps where  $M_{\text{intra},i,j}=0$ , where  $j=0\dots(160/\text{MGRP})-1$
- In the case of  $M_{\text{inter},i,j}=0$  for UE configured with per-UE gap, the  $\text{CSSF}_{\text{within\_gap},i}$  calculated for interfrequency measurement objects shall be applied to FR2 intrafrequency measurement objects.

Editor Notes: FFS if better description exists for the case when the number of configured interfrequency and interRAT measurement objects is zero and the UE is configured with per-UE gap

## 9.1.6 Minimum requirement at transitions

When the measurement on one intra-frequency measurement object transitions from measurements performed outside gaps to measurements performed within gaps or vice versa during one measurement period, the cell identification and measurement period requirements with the longer delay apply.

The carrier-specific scaling factor specified in clause 9.1.5 that applies to the other impacted measurement objects will also apply based on the longer measurement or cell identification delay before or after the transition.

When the UE transitions between DRX and non-DRX or when DRX cycle periodicity changes, the cell identification and measurement period requirements apply based on the longer delay before or after the transition.

Subsequent to this measurement period, the cell identification and measurement period requirements on each measurement object are corresponding to the second mode after transition.

## 9.2 NR intra-frequency measurements

### 9.2.1 Introduction

A measurement is defined as a SSB based intra-frequency measurement provided the centre frequency of the SSB of the serving cell indicated for measurement and the centre frequency of the SSB of the neighbour cell are the same, and the subcarrier spacing of the two SSB are also the same.

The UE shall be able to identify new intra-frequency cells and perform SS-RSRP, SS-RSRQ, and SS-SINR measurements of identified intra-frequency cells if carrier frequency information is provided by PCell or the PSCell, even if no explicit neighbour list with physical layer cell identities is provided.

The UE can perform intra-frequency SSB based measurements without measurement gaps if

- the SSB is completely contained in the active BWP of the UE, or
- the active downlink BWP is initial BWP[3].

For intra-frequency SSB based measurements without measurement gaps, UE may cause scheduling restriction as specified in clause 9.2.5.3.

SSB based measurements are configured along with one or two measurement timing configuration(s) (SMTc) which provides periodicity, duration and offset information on a window of up to 5ms where the measurements are to be performed. For intra-frequency connected mode measurements, up to two measurement window periodicities may be configured. A single measurement window offset and measurement duration are configured per intra-frequency measurement object.

When measurement gaps are needed, the UE is not expected to detect SSB which start earlier than the gap starting time + switching time, nor detect SSB which end later than the gap end – switching time. Switching time is 0.5ms for frequency range FR1 and 0.25ms for frequency range FR2.

### 9.2.2 Requirements applicability

The requirements in clause 9.2 apply, provided:

- The cell being identified or measured is detectable.

An intra-frequency cell shall be considered detectable when for each relevant SSB:

- SS-RSRP related side conditions given in clauses 10.1.2 and 10.1.3 for FR1 and FR2, respectively, for a corresponding Band,
- SS-RSRQ related side conditions given in clauses 10.1.7 and 10.1.8 for FR1 and FR2, respectively, for a corresponding Band,
- SS-SINR related side conditions given in clauses 10.1.12 and 10.1.13 for FR1 and FR2, respectively, for a corresponding Band,
- SSB\_RP and SSB\_Es/Iot according to Annex B.2.2 for a corresponding Band.

### 9.2.3 Number of cells and number of SSB

#### 9.2.3.1 Requirements for FR1

For each intra-frequency layer, during each layer 1 measurement period, the UE shall be capable of performing SS-RSRP, SS-RSRQ, and SS-SINR measurements for at least:

- 8 identified cells, and
- 14 SSBs with different SSB index and/or PCI on the intra-frequency layer, where the number of SSBs in the serving cell (except for the SCell) is no smaller than the number of configured RLM-RS SSB resources.

### 9.2.3.2 Requirements for FR2

For each intra-frequency layer, during each Layer 1 measurement period, the UE shall be capable of performing SS-RSRP, SS-RSRQ, and SS-SINR measurements for at least:

- 6 identified cells, and
- 24 SSBs with different SSB index and/or PCI,

where the single serving carrier shall be:

- PCC when UE is configured with SA NR operation mode with PCC in the band; or
- PSCE when UE is configured with EN-DC with PSCE in the band; or
- One of the SCCs on which UE is configured to report SSB based measurements when neither PCC nor PSCE is in the same band, so that the selected SCC shall be an SCC where the UE is configured with SS-RSRP measurement reporting if such SCC exists, otherwise the selected SCC is up to the UE

UE shall also be capable of performing SS-RSRP, SS-RSRQ, and SS-SINR measurements for at least 2 SSBs on serving cell for each of the other serving carrier(s) in the same band.

## 9.2.4 Measurement Reporting Requirements

### 9.2.4.1 Periodic Reporting

Reported RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.2.1, 10.1.3.1, 10.1.7.1, 10.1.8.1, 10.1.12.1 and 10.1.13.1, respectively.

### 9.2.4.2 Event-triggered Periodic Reporting

Reported RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.2.1, 10.1.3.1, 10.1.7.1, 10.1.8.1, 10.1.12.1 and 10.1.13.1, respectively.

The first report in event triggered periodic measurement reporting shall meet the requirements specified in clause 9.2.4.3.

### 9.2.4.3 Event Triggered Reporting

Reported RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.2.1, 10.1.3.1, 10.1.7.1, 10.1.8.1, 10.1.12.1 and 10.1.13.1, respectively.

The UE shall not send any event triggered measurement reports as long as no reporting criteria are fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times \text{TTI}_{\text{DCCH}}$ . This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report.

The event triggered measurement reporting delay, measured without L3 filtering shall be less than  $T_{\text{identify intra with index}}$  or  $T_{\text{identify intra without index}}$  defined in clause 9.2.5.1 or clause 9.2.6.2. When L3 filtering is used an additional delay can be expected.

A cell is detectable only if at least one SSBs measured from the Cell being configured remains detectable during the time period  $T_{\text{identify intra without index}}$  or  $T_{\text{identify intra with index}}$  defined in clause 9.2.5.1 or clause 9.2.6.2. If a cell which has been detectable at least for the time period  $T_{\text{identify intra without index}}$  or  $T_{\text{identify intra with index}}$  defined in clause 9.2.5.1 or clause

9.2.6.2 becomes undetectable for a period and then the cell becomes detectable again and triggers an event, the event triggered measurement reporting delay shall be less than  $T_{SSB\_measurement\_period\_intra}$  provided the timing to that cell has not changed more than  $\pm 3200 T_c$  while the measurement gap has not been available and the L3 filter has not been used. When L3 filtering is used, an additional delay can be expected.

## 9.2.5 Intrafrequency measurements without measurement gaps

### 9.2.5.1 Intrafrequency cell identification

The UE shall be able to identify a new detectable intra frequency cell within  $T_{identify\_intra\_without\_index}$  if UE is not indicated to report SSB based RRM measurement result with the associated SSB index(*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured), or the UE is indicated that the neighbour cell is synchronous with the serving cell (*deriveSSB-IndexFromCell* is enabled). Otherwise UE shall be able to identify a new detectable intra frequency cell within  $T_{identify\_intra\_with\_index}$ . The UE shall be able to identify a new detectable intra frequency SS block of an already detected cell within  $T_{identify\_intra\_without\_index}$ . It is assumed that *deriveSSB-IndexFromCell* is always enabled for FR1 TDD and FR2.

$$T_{identify\_intra\_without\_index} = (T_{PSS/SSS\_sync\_intra} + T_{SSB\_measurement\_period\_intra}) \text{ ms}$$

$$T_{identify\_intra\_with\_index} = (T_{PSS/SSS\_sync\_intra} + T_{SSB\_measurement\_period\_intra} + T_{SSB\_time\_index\_intra}) \text{ ms}$$

Where:

$T_{PSS/SSS\_sync\_intra}$ : it is the time period used in PSS/SSS detection given in table 9.2.5.1-1, 9.2.5.1-2, 9.2.5.1-4 (deactivated Scell) or 9.2.5.1-5 (deactivated SCell)

$T_{SSB\_time\_index\_intra}$ : it is the time period used to acquire the index of the SSB being measured given in table 9.2.5.1-3 or 9.2.5.1-6 (deactivated SCell)

$T_{SSB\_measurement\_period\_intra}$ : equal to a measurement period of SSB based measurement given in table 9.2.5.2-1, table 9.2.5.2-2 table 9.2.5.2-3 (deactivated Scell) or 9.2.5.2-4(deactivated SCell)

$CSSF_{intra}$ : it is a carrier specific scaling factor and is determined

- according to  $CSSF_{outside\_gap,i}$  in clause 9.1.5.1 for measurement conducted outside measurement gaps, i.e. when intrafrequency SMTTC is fully non overlapping or partially overlapping with measurement gaps, or according to  $CSSF_{within\_gap,i}$  in clause 9.1.5.2 for measurement conducted within measurement gaps, i.e. when intrafrequency SMTTC is fully overlapping with measurement gaps.
- if the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the assumed periodicity of intrafrequency SMTTC occasions corresponds to the value of higher layer parameter *smtc2*; Otherwise the assumed periodicity of intrafrequency SMTTC occasions corresponds to the value of higher layer parameter *smtc1*.

$M_{pss/sss\_sync\_w/o\_gaps}$  : For a UE supporting FR2 power class 1,  $M_{pss/sss\_sync}=40$ . For a UE supporting power class 2,  $M_{pss/sss\_sync\_w/o\_gaps}=24$ . For a UE supporting FR2 power class 3,  $M_{pss/sss\_sync\_w/o\_gaps}=24$ . For a UE supporting FR2 power class 4,  $M_{pss/sss\_sync\_w/o\_gaps}=24$

$M_{meas\_period\_w/o\_gaps}$  : For a UE supporting power class 1,  $M_{meas\_period\_w/o\_gaps}=40$ . For a UE supporting FR2 power class 2,  $M_{meas\_period\_w/o\_gaps}=24$ . For a UE supporting power class 3,  $M_{meas\_period\_w/o\_gaps}=24$ . For a UE supporting power class 4,  $M_{meas\_period\_w/o\_gaps}=24$ .

When intrafrequency SMTTC is fully non overlapping with measurement gaps or intrafrequency SMTTC is fully overlapping with MGs,  $K_p=1$

When intrafrequency SMTTC is partially overlapping with measurement gaps,  $K_p = 1/(1 - (\text{SMTTC period /MGRP}))$ , where SMTTC period < MGRP

If the higher layer signaling in TS38.331 [2] signaling of *smtc2* is present and *smtc1* is fully overlapping with measurement gaps and *smtc2* is partially overlapping with measurement gaps, requirements are not specified for  $T_{identify\_intra\_without\_index}$  or  $T_{identify\_intra\_with\_index}$

For FR2, if *SSB-ToMeasure* is configured, when all of the reference signals configured for RLM, BFD, CBD or L1-RSRP for beam reporting outside measurement gap is fully non-overlapping with the SSB symbols indicated

by *SSB-ToMeasure* and 1 symbol before each consecutive SSB symbols indicated by *SSB-ToMeasure* and 1 symbol after each consecutive SSB symbols indicated by *SSB-ToMeasure*,  $K_{layer1\_measurement} = 1$ , otherwise  $K_{layer1\_measurement} = 1.5$ . If *SSB-ToMeasure* is not configured, when any of the reference signals configured for RLM, BFD, CBD or L1-RSRP for beam reporting outside measurement gap is fully overlapping with intra-frequency SMTC,  $K_{layer1\_measurement} = 1.5$ , otherwise  $K_{layer1\_measurement} = 1$ .

If SCG DRX is in use, intrafrequency cell identification requirements specified in Table 9.2.5.1-1, Table 9.2.5.1-2, Table 9.2.5.1-3, Table 9.2.5.1-4, Table 9.2.5.1-5 and Table 9.2.5.1-6 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

**Table 9.2.5.1-1: Time period for PSS/SSS detection, (Frequency range FR1)**

DRX cycle	$T_{PSS/SSS\_sync\_intra}$
No DRX	$\max(600\text{ms}, \text{ceil}(5 \times K_p) \times \text{SMTC period})^{\text{Note 1}} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(600\text{ms}, \text{ceil}(1.5 \times 5 \times K_p) \times \max(\text{SMTC period}, \text{DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$\text{ceil}(5) \times K_p \times \text{DRX cycle} \times \text{CSSF}_{\text{intra}}$

NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified

**Table 9.2.5.1-2: Time period for PSS/SSS detection, (Frequency range FR2)**

DRX cycle	$T_{PSS/SSS\_sync\_intra}$
No DRX	$\max(600\text{ms}, \text{ceil}(M_{pss/sss\_sync\_w/o\_gaps} \times K_p \times K_{layer1\_measurement}) \times \text{SMTC period})^{\text{Note 1}} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(600\text{ms}, \text{ceil}(1.5 \times M_{pss/sss\_sync\_w/o\_gaps} \times K_p \times K_{layer1\_measurement}) \times \max(\text{SMTC period}, \text{DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M_{pss/sss\_sync\_w/o\_gaps} \times K_p \times K_{layer1\_measurement}) \times \text{DRX cycle} \times \text{CSSF}_{\text{intra}}$

NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified

**Table 9.2.5.1-3: Time period for time index detection (Frequency range FR1)**

DRX cycle	$T_{SSB\_time\_index\_intra}$
No DRX	$\max(120\text{ms}, \text{ceil}(3 \times K_p) \times \text{SMTC period})^{\text{Note 1}} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(120\text{ms}, \text{ceil}(1.5 \times 3 \times K_p) \times \max(\text{SMTC period}, \text{DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$\text{ceil}(3 \times K_p) \times \text{DRX cycle} \times \text{CSSF}_{\text{intra}}$

NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified

**Table 9.2.5.1-4: Time period for PSS/SSS detection, deactivated SCell (Frequency range FR1)**

DRX cycle	$T_{PSS/SSS\_sync\_intra}$
No DRX	$5 \times \text{measCycleSCell} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$5 \times \max(\text{measCycleSCell}, 1.5 \times \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$5 \times \max(\text{measCycleSCell}, \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.5.1-5: Time period for PSS/SSS detection, deactivated SCell (Frequency range FR2)**

DRX cycle	$T_{PSS/SSS\_sync\_intra}$
No DRX	$M_{pss/sss\_sync\_w/o\_gaps} \times \text{measCycleSCell} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$M_{pss/sss\_sync\_w/o\_gaps} \times \max(\text{measCycleSCell}, 1.5 \times \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$M_{pss/sss\_sync\_w/o\_gaps} \times \max(\text{measCycleSCell}, \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.5.1-6: Time period for time index detection, deactivated SCell (Frequency range FR1)**

DRX cycle	$T_{SSB\_time\_index\_intra}$
No DRX	$3 \times \text{measCycleSCell} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$3 \times \max(\text{measCycleSCell}, 1.5 \times \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$3 \times \max(\text{measCycleSCell}, \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.5.1-7: Void****Table 9.2.5.1-8: Void**

## 9.2.5.2 Measurement period

The measurement period for intrafrequency measurements without gaps is as shown in table 9.2.5.2-1, 9.2.5.2-2, 9.2.5.2-3 (deactivated SCell) or 9.2.5.2-4(deactivated SCell). If the higher layer signaling in TS38.331 [2] signaling of smtc2 is present and smtc1 is fully overlapping with measurement and smtc2 is partially overlapping with measurement gaps, requirements are not specified for  $T_{SSB\_measurement\_period\_intra}$

If SCG DRX is in use, intrafrequency measurement period requirements specified in Table 9.2.5.2-1, Table 9.2.5.2-2, Table 9.2.5.2-3 and Table 9.2.5.2-4 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

**Table 9.2.5.2-1: Measurement period for intrafrequency measurements without gaps(Frequency FR1)**

DRX cycle	$T_{SSB\_measurement\_period\_intra}$
No DRX	$\max(200\text{ms}, \text{ceil}(5 \times K_p) \times \text{SMTC period})^{\text{Note 1}} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(200\text{ms}, \text{ceil}(1.5 \times 5 \times K_p) \times \max(\text{SMTC period}, \text{DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$\text{ceil}(5 \times K_p) \times \text{DRX cycle} \times \text{CSSF}_{\text{intra}}$

NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified

**Table 9.2.5.2-2: Measurement period for intrafrequency measurements without gaps(Frequency FR2)**

DRX cycle	$T_{SSB\_measurement\_period\_intra}$
No DRX	$\max(400\text{ms}, \text{ceil}(M_{\text{meas\_period\_w/o\_gaps}} \times K_p \times K_{\text{layer1\_measurement}}) \times \text{SMTC period})^{\text{Note 1}} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(400\text{ms}, \text{ceil}(1.5 \times M_{\text{meas\_period\_w/o\_gaps}} \times K_p \times K_{\text{layer1\_measurement}}) \times \max(\text{SMTC period}, \text{DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M_{\text{meas\_period\_w/o\_gaps}} \times K_p \times K_{\text{layer1\_measurement}}) \times \text{DRX cycle} \times \text{CSSF}_{\text{intra}}$

NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified

**Table 9.2.5.2-3: Measurement period for intrafrequency measurements without gaps (deactivated SCell) (Frequency range FR1)**

DRX cycle	$T_{SSB\_measurement\_period\_intra}$
No DRX	$5 \times \text{measCycleSCell} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$5 \times \max(\text{measCycleSCell}, 1.5 \times \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$5 \times \max(\text{measCycleSCell}, \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.5.2-4: Measurement period for intrafrequency measurements without gaps (deactivated SCell) (Frequency range FR2)**

DRX cycle	$T_{SSB\_measurement\_period\_intra}$
No DRX	$M_{\text{meas\_period\_w/o\_gaps}} \times \text{measCycleSCell} \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$M_{\text{meas\_period\_w/o\_gaps}} \times \max(\text{measCycleSCell}, 1.5 \times \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$M_{\text{meas\_period\_w/o\_gaps}} \times \max(\text{measCycleSCell}, \text{DRX cycle}) \times \text{CSSF}_{\text{intra}}$

### 9.2.5.3 Scheduling availability of UE during intra-frequency measurements

UE are required to be capable of measuring without measurement gaps when the SSB is completely contained in the active bandwidth part of the UE. When any of the conditions in the following clauses is met, there are restrictions on the scheduling availability; otherwise, there is no scheduling restriction. Note that the SSB symbols to be measured in the following clauses are the SSB symbols indicated by *SSB-ToMeasure* [2], if it is configured; otherwise, all  $L$  SSB symbols within SMTU window duration defined in clause 4.1 of TS 38.213 [3] are included.

#### 9.2.5.3.1 Scheduling availability of UE performing measurements in TDD bands on FR1

When UE performs intra-frequency measurements in a TDD band, the following restrictions apply due to SS-RSRP or SS-SINR measurement

- UE is not expected to transmit PUCCH/PUSCH/SRS on SSB symbols to be measured, and on 1 data symbol before each consecutive SSB symbols to be measured and 1 data symbol after each consecutive SSB symbols to be measured within SMTU window duration. If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the SMTU periodicity follows *smtc2*; Otherwise SMTU periodicity follows *smtc1*.

When UE performs intra-frequency measurements in a TDD band, the following restrictions apply due to SS-RSRQ measurement

- UE is not expected to transmit PUCCH/PUSCH/SRS on SSB symbols to be measured, RSSI measurement symbols, and on 1 data symbol before each consecutive SSB to be measured/RSSI symbols and 1 data symbol after each consecutive SSB to be measured/RSSI symbols within SMTU window duration. If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the SMTU periodicity follows *smtc2*; Otherwise SMTU periodicity follows *smtc1*.

When TDD intra-band carrier aggregation is performed, the scheduling restrictions due to one serving cell should also apply to all other serving cells in the same band on the symbols that fully or partially overlap with aforementioned restricted symbols.

#### 9.2.5.3.2 Scheduling availability of UE performing measurements with a different subcarrier spacing than PDSCH/PDCCH on FR1

For UE which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to SS-RSRP/RSRQ/SINR measurement

- If *deriveSSB\_IndexFromCell* is enabled the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on SSB symbols to be measured, and on 1 data symbol before each consecutive SSB symbols to be measured and 1 data symbol after each consecutive SSB symbols to be measured within SMTU window duration. If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the SMTU periodicity follows *smtc2*; Otherwise SMTU periodicity follows *smtc1*.

- If *deriveSSB\_IndexFromCell* is not enabled the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on all symbols within SMTA window duration. If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the SMTA periodicity follows *smtc2*; Otherwise SMTA periodicity follows *smtc1*.

When intra-band carrier aggregation is performed, the scheduling restrictions due to one serving cell should also apply to all other serving cells in the same band on the symbols that fully or partially overlap with aforementioned restricted symbols.

### 9.2.5.3.3 Scheduling availability of UE performing measurements on FR2

The following scheduling restriction applies due to SS-RSRP or SS-SINR measurement on an FR2 intra-frequency cell

The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on SSB symbols to be measured, and on 1 data symbol before each consecutive SSB symbols to be measured and 1 data symbol after each consecutive SSB symbols to be measured within SMTA window duration (The signaling *deriveSSB\_IndexFromCell* is always enabled for FR2). If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the SMTA periodicity follows *smtc2*; Otherwise SMTA periodicity follows *smtc1*.

The following scheduling restriction applies to SS-RSRQ measurement on an FR2 intra-frequency cell

- UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on SSB symbols to be measured, RSSI measurement symbols, and on 1 data symbol before each consecutive SSB to be measured/RSSI symbols and 1 data symbol after each consecutive SSB to be measured/RSSI symbols within SMTA window duration (The signaling *deriveSSB\_IndexFromCell* is always enabled for FR2). If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, the SMTA periodicity follows *smtc2*; Otherwise SMTA periodicity follows *smtc1*.

When intra-band carrier aggregation is performed, the scheduling restrictions due to one serving cell should also apply to all other serving cells in the same band on the symbols that fully or partially overlap with aforementioned restricted symbols.

If following conditions are met,

- UE has been notified about system information update through paging,
- The gap between UE's reception of PDCCH that UE monitors in the Type 2-PDCCH CSS set and that notifies system information update, and the PDCCH that UE monitors in the Type0-PDCCH CSS set, is greater than X slots,

For the SSB and CORESET for RMSI scheduling multiplexing patterns 3, UE is expected to receive the PDCCH that UE monitors in the Type0-PDCCH CSS set, and the corresponding PDSCH, on SSB symbols to be measured; and

For the SSB and CORESET for RMSI scheduling multiplexing patterns 2, UE is expected to receive PDSCH that corresponds to the PDCCH that UE monitors in the Type0-PDCCH CSS set, on SSB symbols to be measured.

### 9.2.5.3.4 Scheduling availability of UE performing measurements on FR1 or FR2 in case of FR1-FR2 inter-band CA

There are no scheduling restrictions on FR1 serving cell(s) due to measurements performed on FR2 serving cell frequency layer.

There are no scheduling restrictions on FR2 serving cell(s) due to measurements performed on FR1 serving cell frequency layer.

### 9.2.5.4 SFTD Measurements between PCell and PSCell

#### 9.2.5.4.1 Introduction

This clause contains SFTD measurement requirements for UE which supports NR-DC and is configured with a PSCell in RRC\_CONNECTED state. The UE shall perform SFTD measurement between PCell and PSCell, and report SFTD result with/without SS-RSRP after the network requests with TBD. The overall delay includes RRC procedure delay to be defined in clause 12 in TS 38.331 [2], and SFTD measurement reporting delay in clause 9.2.5.4.3.

### 9.2.5.4.2 SFTD Measurement delay

When no DRX is used in either of PCell and PSCell, the physical layer measurement period of the SFTD measurement shall be  $T_{\text{measure\_SFTD1}} = [\max(200, [5] \times \text{SMTC period})] \text{ ms}$ , where the SMTC period refers to the maximum between the configured SMTC period in PCell and PSCell.

When DRX is used in either of the PCell or the PSCell, or in both PCell and PSCell, the physical layer measurement period ( $T_{\text{measure\_SFTD1}}$ ) of the SFTD measurement shall be as specified in Table 9.2.5.4.2-1.

**Table 9.2.5.4.2-1: SFTD measurement requirement when DRX is used**

DRX cycle length (s) Note 3	$T_{\text{measure\_SFTD1}} (\text{s})$
$\leq 0.04$	$[\max(0.2, [5] \times \text{SMTC period})] \text{ (Note2)}$
$0.04 < \text{DRX cycle} \leq 0.32$	$[8 \times \max(\text{DRX cycle}, \text{SMTC period})]$
$0.32 < \text{DRX cycle} \leq 10.24$	$[5 \times \text{DRX cycle}]$

Note 1: SMTC period in this table refers to the maximum between the configured SMTC period in PCell and PSCell.  
 Note 2: Number of DRX cycles depends upon the DRX cycle in use  
 Note 3: DRX cycle length in this table refers to the DRX cycle length configured for PCell or PSCell. When DRX is used in both PCell and PSCell, DRX cycle length in this table refers to the longer of the DRX cycle lengths for PCell and PSCell.

If PSCell is changed without changing carrier frequency of PSCell, while the UE is performing SFTD measurements, the UE shall still meet SFTD measurement and accuracy requirements for the new PSCell. In this case the UE shall restart the SFTD measurement, and the total physical layer measurement period shall not exceed  $T_{\text{measure\_SFTD2}}$  as defined by the following expression:

$$T_{\text{measure\_SFTD2}} = (M+1) * (T_{\text{measure\_SFTD1}}) + M * T_{\text{PSCell\_change\_NRDC}}$$

where:

M is the number of times the NR PSCell is changed over the measurement period ( $T_{\text{measure\_SFTD2}}$ ), and

$T_{\text{PSCell\_change\_NRDC}}$  is the time necessary to change the PSCell; it can be up to [25] ms.

If PCell is changed, or if PSCell is changed with different carrier frequency from PSCell, the UE shall terminate SFTD measurements.

The measurement accuracy for the SFTD measurement when DRX is used as well as when no DRX is used shall be as specified in the sub-clause yy.

### 9.2.5.4.3 SFTD Measurement Reporting Delay

The SFTD measurement reporting delay is defined as the time between a command that will trigger an SFTD measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times TTI_{\text{DCCH}}$ . This measurement reporting delay excludes any delay caused by no UL resources for UE to send the measurement report.

The SFTD measurement reporting delay shall be less than measurement period defined in clause 9.2.5.4.2 plus the RRC procedure delay defined in TS 38.331 [2].

## 9.2.6 Intrafrequency measurements with measurement gaps

### 9.2.6.1 Void

### 9.2.6.2 Intrafrequency cell identification

The UE shall be able to identify a new detectable intra frequency cell within  $T_{\text{identify\_intra\_without\_index}}$  if UE is not indicated to report SSB based RRM measurement result with the associated SSB index (*reportQuantityRsIndexes* or

*maxNrofRSIndexesToReport* is not configured), or the UE has been indicated that the neighbour cell is synchronous with the serving cell (*deriveSSB-IndexFromCell* is enabled). Otherwise UE shall be able to identify a new detectable intra frequency cell within  $T_{\text{identify\_intra\_with\_index}}$ . The UE shall be able to identify a new detectable intra frequency SS block of an already detected cell within  $T_{\text{identify\_intra\_without\_index}}$ . It is assumed that *deriveSSB-IndexFromCell* is always enabled for FR1 TDD and FR2.

$$T_{\text{identify\_intra\_without\_index}} = T_{\text{PSS/SSS\_sync\_intra}} + T_{\text{SSB\_measurement\_period\_intra}} \text{ ms}$$

$$T_{\text{identify\_intra\_with\_index}} = T_{\text{PSS/SSS\_sync\_intra}} + T_{\text{SSB\_measurement\_period\_intra}} + T_{\text{SSB\_time\_index\_intra}}$$

Where:

$T_{\text{PSS/SSS\_sync\_intra}}$ : it is the time period used in PSS/SSS detection given in table 9.2.6.2-1 or 9.2.6.2-2.

$T_{\text{SSB\_time\_index\_intra}}$ : it is the time period used to acquire the index of the SSB being measured given in table 9.2.6.2-3.

$T_{\text{SSB\_measurement\_period\_intra}}$ : equal to a measurement period of SSB based measurement given in table 9.2.6.2-1 or 9.2.6.2-2.

$\text{CSSF}_{\text{intra}}$ : it is a carrier specific scaling factor and is determined according to  $\text{CSSF}_{\text{within\_gap},i}$  in clause 9.1.5.2 for measurement conducted within measurement gaps.

$M_{\text{pss/sss\_sync\_with\_gaps}}$ : For a UE supporting FR2 power class 1,  $M_{\text{pss/sss\_sync\_with\_gaps}}=40$ . For a UE supporting FR2 power class 2,  $M_{\text{pss/sss\_sync\_with\_gaps}}=24$ . For a UE supporting FR2 power class 3,  $M_{\text{pss/sss\_sync\_with\_gaps}}=24$ . For a UE supporting power class 4,  $M_{\text{pss/sss\_sync\_with\_gaps}}=24$

$M_{\text{meas\_period\_with\_gaps}}$ : For a UE supporting power class 1,  $M_{\text{meas\_period\_with\_gaps}}=40$ . For a UE supporting power class 2,  $M_{\text{meas\_period\_with\_gaps}}=24$ . For a UE supporting power class 3,  $M_{\text{meas\_period\_with\_gaps}}=24$ . For a UE supporting power class 4,  $M_{\text{meas\_period\_with\_gaps}}=24$ .

If the higher layer signaling in TS 38.331 [2] signaling of *smtc2* is present and *smtc1* is fully overlapping with measurement gaps and *smtc2* is partially overlapping with measurement gaps, requirements are not specified for  $T_{\text{identify\_intra\_without\_index}}$  or  $T_{\text{identify\_intra\_with\_index}}$ .

If SCG DRX is in use, intrafrequency cell identification requirements specified in Table 9.2.6.1-1, Table 9.2.6.1-2, and Table 9.2.5.1-3 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

**Table 9.2.6.2-1: Time period for PSS/SSS detection (Frequency range FR1)**

DRX cycle	$T_{\text{PSS/SSS\_sync\_intra}}$
No DRX	$\max(600\text{ms}, 5 \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(600\text{ms}, \text{ceil}(1.5 \times 5) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$5 \times \max(\text{MGRP, DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.6.2-2: Time period for PSS/SSS detection (Frequency range FR2)**

DRX cycle	$T_{\text{PSS/SSS\_sync\_intra}}$
No DRX	$\max(600\text{ms}, M_{\text{pss/sss\_sync\_with\_gaps}} \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(600\text{ms}, \text{ceil}(1.5 \times M_{\text{pss/sss\_sync\_with\_gaps}}) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$M_{\text{pss/sss\_sync\_with\_gaps}} \times \max(\text{MGRP, DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.6.2-3: Time period for time index detection (Frequency range FR1)**

DRX cycle	$T_{SSB\_time\_index\_intra}$
No DRX	$\max(120\text{ms}, 3 \times \max(\text{MGRP, SMTc period})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(120\text{ms}, \text{ceil}(1.5 \times 3) \times \max(\text{MGRP, SMTc period, DRX cycle}) \times \text{CSSF}_{\text{intra}})$
DRX cycle $> 320\text{ms}$	$3 \times \max(\text{MGRP, DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.6.2-7: Void****Table 9.2.6.2-8: Void**

### 9.2.6.3 Intrafrequency Measurement Period

The measurement period for FR1 intrafrequency measurements with gaps is as shown in table 9.2.6.3-1.

The measurement period for FR2 intrafrequency measurements with gaps is as shown in table 9.2.6.3-2 .

If SCG DRX is in use, intrafrequency measurement period requirements specified in Table 9.2.6.3-1and Table 9.2.6.3-2, shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

**Table 9.2.6.3-1: Measurement period for intrafrequency measurements with gaps(Frequency Range FR1)**

DRX cycle	$T_{SSB\_measurement\_period\_intra}$
No DRX	$\max(200\text{ms}, 5 \times \max(\text{MGRP, SMTc period})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(200\text{ms}, \text{ceil}(1.5 \times 5) \times \max(\text{MGRP, SMTc period, DRX cycle}) \times \text{CSSF}_{\text{intra}})$
DRX cycle $> 320\text{ms}$	$5 \times \max(\text{MGRP, DRX cycle}) \times \text{CSSF}_{\text{intra}}$

**Table 9.2.6.3-2: Measurement period for intrafrequency measurements with gaps(Frequency Range FR2)**

DRX cycle	$T_{SSB\_measurement\_period\_intra}$
No DRX	$\max(400\text{ms}, M_{\text{meas\_period with\_gaps}} \times \max(\text{MGRP, SMTc period})) \times \text{CSSF}_{\text{intra}}$
DRX cycle $\leq 320\text{ms}$	$\max(400\text{ms}, \text{ceil}(1.5 \times M_{\text{meas\_period with\_gaps}}) \times \max(\text{MGRP, SMTc period, DRX cycle}))^{Note 1} \times \text{CSSF}_{\text{intra}}$
DRX cycle $> 320\text{ms}$	$M_{\text{meas\_period with\_gaps}} \times \max(\text{MGRP, DRX cycle}) \times \text{CSSF}_{\text{intra}}$

## 9.3 NR inter-frequency measurements

### 9.3.1 Introduction

A measurement is defined as a SSB based inter-frequency measurement provided it is not defined as in intra-frequency measurement according to clause 9.2.

The UE shall be able to identify new inter-frequency cells and perform SS-RSRP, SS-RSRQ, and SS-SINR measurements of identified inter-frequency cells if carrier frequency information is provided by PCell or the PSCell, even if no explicit neighbour list with physical layer cell identities is provided.

SSB based measurements are configured along with a measurement timing configuration (SMTc) per carrier, which provides periodicity, duration and offset information on a window of up to 5ms where the measurements on the configured inter-frequency carrier are to be performed. For inter-frequency connected mode measurements, one measurement window periodicity may be configured per inter-frequency measurement object.

When measurement gaps are needed, the UE is not expected to detect SSB on an inter-frequency measurement object which start earlier than the gap starting time + switching time, nor detect SSB which end later than the gap end – switching time. When the inter-frequency cells are in FR2 and the per-FR gap is configured to the UE in EN-DC, SA NR, NE-DC and NR-DC, or the serving cells are in FR2, the inter-frequency cells are in FR2 and the per-UE gap is configured to the UE in SA NR and NR-DC, the switching time is 0.25ms. Otherwise the switching time is 0.5ms.

### 9.3.2 Requirements applicability

The requirements in clause 9.3 apply, provided:

- The cell being identified or measured is detectable.

An inter-frequency cell shall be considered detectable when for each relevant SSB:

- SS-RSRP related side conditions given in clauses 10.1.4 and 10.1.5 for FR1 and FR2, respectively, for a corresponding Band,
- SS-RSRQ related side conditions given in clauses 10.1.9 and 10.1.10 for FR1 and FR2, respectively, for a corresponding Band,
- SS-SINR related side conditions given in clauses 10.1.14 and 10.1.15 for FR1 and FR2, respectively, for a corresponding Band,
- SSB\_RP and SSB\_Es/Iot according to Annex B.2.3 for a corresponding Band.

#### 9.3.2.1 Void

#### 9.3.2.2 Void

### 9.3.3 Number of cells and number of SSB

#### 9.3.3.1 Requirements for FR1

For each inter-frequency layer, during each layer 1 measurement period, the UE shall be capable of performing SS-RSRP, SS-RSRQ, and SS-SINR measurements for at least:

- 4 identified cells, and
- 7 SSBs with different SSB index and/or PCI on the inter-frequency layer.

#### 9.3.3.2 Requirements for FR2

For each inter-frequency layer, during each layer 1 measurement period, the UE shall be capable of performing SS-RSRP, SS-RSRQ, and SS-SINR measurements for at least:

- 4 identified cells, and
- 10 SSBs with different SSB index and/or PCI on the inter-frequency layer, and
- one SSB per identified cell.

### 9.3.4 Inter frequency cell identification

When measurement gaps are provided, or the UE supports capability of conducting such measurements without gaps, the UE shall be able to identify a new detectable inter frequency cell within  $T_{\text{identify\_inter\_without\_index}}$  if UE is not indicated to report SSB based RRM measurement result with the associated SSB index (*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured). Otherwise UE shall be able to identify a new detectable inter frequency cell within  $T_{\text{identify\_inter\_with\_index}}$ . The UE shall be able to identify a new detectable inter frequency SS block of an already detected cell within  $T_{\text{identify\_inter\_without\_index}}$ .

$$T_{\text{identify\_inter\_without\_index}} = (T_{\text{PSS/SSS\_sync\_inter}} + T_{\text{SSB\_measurement\_period\_inter}}) \text{ ms}$$

$$T_{\text{identify\_inter\_with\_index}} = (T_{\text{PSS/SSS\_sync\_inter}} + T_{\text{SSB\_measurement\_period\_inter}} + T_{\text{SSB\_time\_index\_inter}}) \text{ ms}$$

Where:

$T_{\text{PSS/SSS\_sync\_inter}}$ : it is the time period used in PSS/SSS detection given in table 9.3.4-1 and table 9.3.4-2.

$T_{\text{SSB\_time\_index\_inter}}$ : it is the time period used to acquire the index of the SSB being measured given in table 9.3.4-3 and table 9.3.4-4.

$T_{\text{SSB\_measurement\_period\_inter}}$ : equal to a measurement period of SSB based measurement given in table 9.3.5-1 and table 9.3.5-2.

$M_{\text{pss/sss\_sync\_inter}}$ : For a UE supporting FR2 power class 1,  $M_{\text{pss/sss\_sync\_inter}} = 64$  samples. For a UE supporting FR2 power class 2,  $M_{\text{pss/sss\_sync\_inter}} = 40$  samples. For a UE supporting FR2 power class 3,  $M_{\text{pss/sss\_sync\_inter}} = 40$  samples. For a UE supporting FR2 power class 4,  $M_{\text{pss/sss\_sync}} = 40$  samples.

$M_{\text{SSB\_index\_inter}}$ : For a UE supporting power class 1,  $M_{\text{SSB\_index\_inter}} = 40$  samples. For a vehicle mounted UE supporting power class 2,  $M_{\text{pss/sss\_sync\_inter}} = 24$  samples. For a UE supporting power class 3,  $M_{\text{SSB\_index\_inter}} = 24$  samples. For a UE supporting power class 4,  $M_{\text{meas\_period\_inter}} = 24$  samples.

$M_{\text{meas\_period\_inter}}$ : For a UE supporting FR2 power class 1,  $M_{\text{meas\_period\_inter}} = 64$  samples. For a vehicle mounted UE supporting FR2 power class 2,  $M_{\text{pss/sss\_sync\_inter}} = 40$  samples. For a UE supporting FR2 power class 3,  $M_{\text{meas\_period\_inter}} = 40$  samples. For a UE supporting FR2 power class 4,  $M_{\text{meas\_period\_inter}} = 40$  samples.

$\text{CSSF}_{\text{inter}}$ : it is a carrier specific scaling factor and is determined according to  $\text{CSSF}_{\text{within\_gap},i}$  in clause 9.1.5.2 for measurement conducted within measurement gaps.

**Table 9.3.4-1: Time period for PSS/SSS detection, (Frequency range FR1)**

Condition <sup>NOTE1,2</sup>	$T_{\text{PSS/SSS\_sync\_inter}}$
No DRX	$\max(600\text{ms}, (8) \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $\leq 320\text{ms}$	$\max(600\text{ms}, \text{ceil}(8x1.5) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $> 320\text{ms}$	$(8) \times \text{DRX cycle} \times \text{CSSF}_{\text{inter}}$

NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  
 NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group.

**Table 9.3.4-2: Time period for PSS/SSS detection, (Frequency range FR2)**

Condition <sup>NOTE1,2</sup>	$T_{\text{PSS/SSS\_sync\_inter}}$
No DRX	$\max(600\text{ms}, M_{\text{pss/sss\_sync\_inter}} \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $\leq 320\text{ms}$	$\max(600\text{ms}, (1.5 \times M_{\text{pss/sss\_sync\_inter}}) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $> 320\text{ms}$	$M_{\text{pss/sss\_sync\_inter}} \times \text{DRX cycle} \times \text{CSSF}_{\text{inter}}$

NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  
 NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group.

**Table 9.3.4-3: Time period for time index detection (Frequency range FR1)**

Condition <sup>NOTE1,2</sup>	$T_{\text{SSB\_time\_index\_inter}}$
No DRX	$\max(120\text{ms}, (3) \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $\leq 320\text{ms}$	$\max(120\text{ms}, \text{ceil}(3 \times 1.5) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $> 320\text{ms}$	$(3) \times \text{DRX cycle} \times \text{CSSF}_{\text{inter}}$

NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  
 NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group.

**Table 9.3.4-4: Time period for time index detection (Frequency range FR2)**

<b>Condition</b> <sup>NOTE1,2</sup>	<b>T<sub>SSB_time_index_inter</sub></b>
No DRX	$\max(200\text{ms}, M_{\text{SSB\_index\_inter}} \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $\leq 320\text{ms}$	$\max(200\text{ms}, (1.5 \times M_{\text{SSB\_index\_inter}}) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $> 320\text{ms}$	$M_{\text{SSB\_index\_inter}} \times \text{DRX cycle} \times \text{CSSF}_{\text{inter}}$

NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  
 NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group.

9.3.4.1 Void

9.3.4.2 Void

### 9.3.5 Inter frequency measurements

When measurement gaps are provided for inter frequency measurements, or the UE supports capability of conducting such measurements without gaps, the UE physical layer shall be capable of reporting SS-RSRP, SS-RSRQ and SS-SINR measurements to higher layers with measurement accuracy as specified in sub-clauses 10.1.4, 10.1.5, 10.1.9, 10.1.10, 10.1.14 and 10.1.15, respectively, as shown in table 9.3.5-1 and 9.3.5-2:

**Table 9.3.5-1: Measurement period for inter-frequency measurements with gaps (Frequency FR1)**

<b>Condition</b> <sup>NOTE1,2</sup>	<b>T<sub>SSB_measurement_period_inter</sub></b>
No DRX	$\max(200\text{ms}, (8) \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $\leq 320\text{ms}$	$\max(200\text{ms}, \text{ceil}(8 \times 1.5) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $> 320\text{ms}$	$(8) \times \text{DRX cycle} \times \text{CSSF}_{\text{inter}}$

NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  
 NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group.

**Table 9.3.5-2: Measurement period for inter-frequency measurements with gaps (Frequency FR2)**

<b>Condition</b> <sup>NOTE1,2</sup>	<b>T<sub>SSB_measurement_period_inter</sub></b>
No DRX	$\max(400\text{ms}, M_{\text{meas\_period\_inter}} \times \max(\text{MGRP, SMTC period})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $\leq 320\text{ms}$	$\max(400\text{ms}, (1.5 \times M_{\text{meas\_period\_inter}}) \times \max(\text{MGRP, SMTC period, DRX cycle})) \times \text{CSSF}_{\text{inter}}$
DRX cycle $> 320\text{ms}$	$M_{\text{meas\_period\_inter}} \times \text{DRX cycle} \times \text{CSSF}_{\text{inter}}$

NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  
 NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group.

9.3.5.1      Void

9.3.5.2      Void

9.3.5.3      Void

## 9.3.6 NR Inter frequency measurements reporting requirements

### 9.3.6.1 Periodic Reporting

Reported SS-RSRP, SS-RSRQ, and SS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.4.1, 10.1.5.1, 10.1.9.1, 10.1.10.1, 10.1.14.1 and 10.1.15.1, respectively.

### 9.3.6.2 Event-triggered Periodic Reporting

Reported SS-RSRP, SS-RSRQ, and SS-SINR measurements contained in event triggered periodic measurement reports shall meet the requirements in clauses 10.1.4.1, 10.1.5.1, 10.1.9.1, 10.1.10.1, 10.1.14.1 and 10.1.15.1, respectively.

The first report in event triggered periodic measurement reporting shall meet the requirements specified in clause 9.3.6.3.

### 9.3.6.3 Event-triggered Reporting

Reported SS-RSRP, SS-RSRQ, and SS-SINR measurements contained in event triggered measurement reports shall meet the requirements in clauses 10.1.4.1, 10.1.5.1, 10.1.9.1, 10.1.10.1, 10.1.14.1 and 10.1.15.1, respectively.

The UE shall not send any event triggered measurement reports, as long as no reporting criteria are fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times \text{TTI}_{\text{DCCH}}$ . This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report.

The event triggered measurement reporting delay, measured without L3 filtering shall be within  $T_{\text{identify\_inter\_without\_index}}$  if UE is not indicated to report SSB based RRM measurement result with the associated SSB index. Otherwise UE shall be able to identify a new detectable inter frequency cell within  $T_{\text{identify\_inter\_with\_index}}$ . Both  $T_{\text{identify\_inter\_without\_index}}$  and  $T_{\text{identify\_inter\_with\_index}}$  are defined in clause 9.3.4. When L3 filtering is used an additional delay can be expected.

A cell is detectable only if at least one SSBs measured from the Cell being configured remains detectable during the time period  $T_{\text{identify\_inter\_without\_index}}$  or  $T_{\text{identify\_inter\_with\_index}}$  defined in clause 9.3.4. If a cell which has been detectable at least for the time period  $T_{\text{identify\_inter\_without\_index}}$  or  $T_{\text{identify\_inter\_with\_index}}$  defined in clause 9.3.4 and then triggers the measurement report as per TS 38.331 [2], the event triggered measurement reporting delay shall be less than  $T_{\text{SSB\_measurement\_period\_inter}}$  defined in clause 9.3.5 provided the timing to that cell has not changed more than  $\pm 3200 \text{ Tc}$  while measurement gap has not been available and the L3 filter has not been used. When L3 filtering is used an additional delay can be expected.

### 9.3.7 Void

## 9.3.8 NR Inter frequency SFTD measurement requirements

### 9.3.8.1 Introduction

This clause contains requirements for a UE supporting NR inter-frequency SFTD measurement and is applicable in RRC\_CONNECTED state. The UE shall, depending on network request, perform inter-frequency SFTD measurement and report SFTD result with or without SS-RSRP.. The overall delay includes RRC procedure delay defined in clause 12 in TS 38.331 [2] and SFTD measurement reporting delay in clause 9.3.8.3.

UE which fulfils the requirements in clause 9.3.8 is not supposed to fulfil the requirements defined in clause 9.2.5.4.

### 9.3.8.2 SFTD Measurement delay

The requirements on SFTD measurement delay defined in this section are applicable under the side condition  $SCH_{\hat{E}s/Iot} \geq -3$  dB for the NR cell. Depending on configuration, the SFTD measurement may be carried out with or without the support of configured measurement gaps. In the current release, indication on whether to carry out the SFTD measurement with or without measurement gaps is implicit and depending on whether measurement gaps are configured.

The UE shall be able to detect, identify and measure SFTD of up to 3 of the strongest neighbour cells on the carrier frequency provided in the SFTD measurement configuration. Further depending on the SFTD measurement configuration, the UE shall additionally report SS-RSRP for the one or more NR cells. The UE may or may not be configured with [*cellsForWhichToReportSFTD*]. The UE does not expect [*cellsForWhichToReportSFTD*] to change during an ongoing SFTD measurement.

When no measurement gaps are provided, the UE shall be capable of finding the neighbour cell regardless of its SSB position in the SMTA period. The SFTD measurement shall be conducted with sustained connection to the PCell and activated SCell(s) in MCG. Depending on capability, the UE may be allowed to cause a certain amount of interruptions for reconfiguration of the radio receiver, as specified in clause 8.2.2.2.6.

When measurement gaps are provided, the UE shall be capable of finding the NR cell under the additional condition that the SSB at least occasionally falls within the measurement gap.

When no DRX is used, the UE shall be capable of determining SFTD within a physical layer measurement period of  $T_{measure\_SFTD1}$  as follows:

- For SFTD measurements without measurement gaps, and without additional SS-RSRP reporting:
  - For NR carrier in FR1:  $T_{measure\_SFTD1} = [14]$  SMTA periods
  - For NR carrier in FR2:  $T_{measure\_SFTD1} = [112]$  SMTA periods
- For SFTD measurements in measurement gaps, and without additional SS-RSRP reporting:
  - For NR carrier in FR1:  $T_{measure\_SFTD1} = [\text{CSSF}_{\text{inter}} \times 8 \times \max(\text{MGRP}, \text{SMTA period})]$
  - For NR carrier in FR2:  $T_{measure\_SFTD1} = [\text{CSSF}_{\text{inter}} \times 64 \times \max(\text{MGRP}, \text{SMTA period})]$
- For SFTD measurements without measurement gaps, and with additional SS-RSRP reporting:
  - For NR carrier in FR1:  $T_{measure\_SFTD1} = [19]$  SMTA periods
  - For NR carrier in FR2:  $T_{measure\_SFTD1} = [152]$  SMTA periods
- For SFTD measurements in measurement gaps, and with additional SS-RSRP reporting:
  - For NR carrier in FR1:  $T_{measure\_SFTD1} = [\text{CSSF}_{\text{inter}} \times 13 \times \max(\text{MGRP}, \text{SMTA period})]$
  - For NR carrier in FR2:  $T_{measure\_SFTD1} = [\text{CSSF}_{\text{inter}} \times 104 \times \max(\text{MGRP}, \text{SMTA period})]$

where  $\text{CSSF}_{\text{inter}}$  is a carrier specific scaling factor and is determined according to  $\text{CSSF}_{\text{within\_gap},i}$  in clause 9.1.5.2 for measurement conducted within measurement gaps.

When DRX is used, the same  $T_{measure\_SFTD1}$  as for non-DRX applies, but the reporting delay depends on the DRX cycle length in use.

In case PCell is changed due to handover, the UE shall terminate the inter-frequency SFTD measurement.

The measurement accuracy for the SFTD measurement shall fulfill the requirement in clause [10.1.21]. The measurement accuracy for additionally reported SS-RSRP shall fulfil the requirement in clauses 10.1.4.1 and 10.1.5.1 for neighbour cell in FR1 and FR2, respectively.

### 9.3.8.3 SFTD Measurement reporting delay

The SFTD measurement reporting delay is defined as the time between a command that will trigger an SFTD measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty of  $2 \times \text{TTI}_{\text{DCCH}}$  resulting when inserting the measurement report to the TTI of the uplink DCCH. This measurement reporting delay excludes any delay caused by lack of UL resources for UE to send the measurement report.

The SFTD measurement reporting delay shall be less than  $T_{\text{measure\_SFTD1}}$  defined in clause 9.3.8.2.

## 9.4 Inter-RAT measurements

### 9.4.1 Introduction

The requirements in this section are specified for NR-E-UTRAN FDD and NR-E-UTRAN TDD measurements and are applicable without an explicit E-UTRAN neighbour cell list containing physical layer cell identities, for a UE:

- in RRC\_CONNECTED state, and
- configured with SA or NR-DC operation mode or configured in NE-DC operation mode by PCell with NR-E-UTRAN FDD or TDD measurement (RSRP, RSRQ, RS-SINR, RSTD, or E-CID) on E-UTRA non-serving frequency carrier, and
- configured with an appropriate measurement gap pattern according to Table 9.1.2-3.

When the UE is in NE-DC operation mode and an NR-E-UTRAN FDD or TDD measurement (RSRP, RSRQ, RS-SINR, RSTD, or E-CID) configured by NR PCell is on a E-UTRA serving frequency carrier, then the corresponding E-UTRA intra-frequency measurements requirements specified in clause 8.19 of TS 36.133 [15] shall apply.

Parameter  $T_{\text{Inter1}}$  used in inter-RAT requirements in clause 9.4 is specified in Table 9.4.1-1.

**Table 9.4.1-1: Minimum available time for inter-RAT measurements**

Gap Pattern Id	MeasurementGap Length (MGL, ms)	Measurement Gap Repetition Period (MGRP, ms)	Minimum available time for inter-frequency and inter-RAT measurements during 480ms period (Tinter1, ms)
0	6	40	60
1	6	80	30
2	3	40	24 <sup>Note 1</sup>
3	3	80	12 <sup>Note 1</sup>
4	6	20	120 <sup>Note 1</sup>
6	4	20	72 <sup>Note 1,3,6</sup>
7	4	40	36 <sup>Note 1,4,6</sup>
8	4	80	18 <sup>Note 1,5,6</sup>
10	3	20	48 <sup>Note 1</sup>
NOTE 1: When determining UE requirements using Tinter1 for gap pattern IDs 2, 3, 4, 6, 7, 8, 10, Tinter1 = 60 for gap pattern IDs 2, 4, 6, 7, 10, and Tinter1 = 30 for gap pattern IDs 3 and 8 shall be used.			
NOTE 2: Measurement gaps pattern configurations applicability is as specified in Table 9.1.2-1.			
NOTE 3: When this gap pattern is used, the Tinter for E-UTRA inter-frequency measurements is 48 ms corresponding to the first 3 ms of the 4 ms gap			
NOTE 4: When this gap pattern is used, the Tinter for E-UTRA inter-frequency measurements is 24 ms corresponding to the first 3 ms of the 4 ms gap			
NOTE 5: When this gap pattern is used, the Tinter for E-UTRA inter-frequency measurements is 12 ms corresponding to the first 3 ms of the 4 ms gap			
NOTE 6: This gap pattern is applicable for E-UTRA inter-frequency measurements only if gap based NR measurements are also configured.			

A UE configured with gap pattern Id 2, 3 or 10, shall be able to detect a target cell if the E-UTRA subframe #0 or #5 of the target E-UTRAN cell begins no earlier than 500 µs from the start of the measurement gap and if the E-UTRA subframe #0 or #5 of the target E-UTRAN cell ends no later than 500 µs before the end of the measurement gap in case of FDD, and no later than 750 µs before the end of measurement gap in case of TDD.

A UE configured with gap pattern Id 6, 7 or 8 shall be able to detect a target cell if the E-UTRA subframe #0 or #5 of the target E-UTRAN cell begins no earlier than 500 µs from the start of the measurement gap and if the E-UTRA subframe #0 or #5 of the target E-UTRAN cell ends no later than 1500 µs before the end of the measurement gap in case of FDD, and no later than 1750 µs before the end of measurement gap in case of TDD.

## 9.4.2 NR – E-UTRAN FDD measurements

### 9.4.2.1 Introduction

The requirements are applicable for NR–E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements.

In the requirements, an E-UTRAN FDD cell is considered to be detectable when:

- RSRP related conditions in the accuracy requirements in clause 10.2.2 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],
- RSRQ related conditions in the accuracy requirements in clause 10.2.3 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],
- RS-SINR related conditions in the accuracy requirements in clause 10.2.5 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.19 of TS 36.133 [15].

### 9.4.2.2 Requirements when no DRX is used

When the UE requires measurement gaps to identify and measure inter-RAT cells and an appropriate measurement gap pattern is scheduled, the UE shall be able to identify a new detectable FDD cell within  $T_{\text{Identify, E-UTRAN FDD}}$  according to the following expression:

$$T_{\text{Identify, E-UTRAN FDD}} = T_{\text{BasicIdentify}} \cdot \frac{480}{T_{\text{Inter1}}} \cdot \text{CSSF}_{\text{interRAT}} \quad ms,$$

where:

$$T_{\text{BasicIdentify}} = 480 \text{ ms},$$

$T_{\text{Inter1}}$  is defined in clause 9.4.1,

$\text{CSSF}_{\text{interRAT}} = \text{CSSF}_{\text{within\_gap\_i}}$  is the scaling factor for the measured inter-RAT E-UTRA carrier i which is calculated as specified in clause 9.1.5.2.

Identification of a cell shall include detection of the cell and additionally performing a single measurement with measurement period of  $T_{\text{Measure, E-UTRAN FDD}}$  defined in Table 9.4.2.2-1.

**Table 9.4.2.2-1: Measurement period and measurement bandwidth**

Configuration	Physical Layer Measurement period: $T_{\text{Measure, E-UTRAN FDD}} [\text{ms}]$	Measurement bandwidth [RB]
0	$480 \times \text{CSSF}_{\text{interRAT}}$	6
1 (Note 1)	$240 \times \text{CSSF}_{\text{interRAT}}$	50
NOTE 1: This configuration is optional.		

The UE shall be capable of identifying and performing NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN FDD cells per E-UTRA FDD carrier frequency layer during each layer 1 measurement period, for up to 7 E-UTRA FDD carrier frequency layers.

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN FDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2.  
 The NR – E-UTRAN FDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3.  
 The NR – E-UTRAN FDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

#### 9.4.2.3 Requirements when DRX is used

When DRX is in use and measurement gaps are configured, the UE shall be able to identify a new detectable E-UTRAN FDD cell within  $T_{\text{Identify, E-UTRAN FDD}}$  specified in Table 9.4.2.3-1.

**Table 9.4.2.3-1: Requirement to identify a newly detectable E-UTRAN FDD cell**

DRX cycle length (s)	$T_{\text{Identify, E-UTRAN FDD (s) (DRX cycles)}}$	
	Gap period = 40 ms, 20 ms	Gap period = 80 ms
$\leq 0.16$	Non-DRX requirements in clause 9.4.2.2 apply	Non-DRX requirements in clause 9.4.2.2 apply
0.256	$5.12^*K (20^*\text{CSSF}_{\text{interRAT}})$	$7.68^*K (30^*\text{CSSF}_{\text{interRAT}})$
0.32	$6.4^*K (20^*\text{CSSF}_{\text{interRAT}})$	$7.68^*K (24^*\text{CSSF}_{\text{interRAT}})$
$0.32 < \text{DRX-cycle} \leq 10.24$	Note1 ( $20^*\text{CSSF}_{\text{interRAT}}$ )	Note1 ( $20^*\text{CSSF}_{\text{interRAT}}$ )
NOTE 1: The time depends on the DRX cycle length.		
NOTE 2: CSSF <sub>interRAT</sub> is as defined in clause 9.4.2.2.		

When DRX is in use, the UE shall be capable of performing NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN FDD cells per E-UTRA FDD frequency layer during each layer 1 measurement period, for up to 7 E-UTRA FDD carrier frequency layers, and the UE physical layer shall be capable of reporting NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements to higher layers with the measurement period  $T_{\text{measure, E-UTRAN FDD}}$  specified in Table 9.4.2.3-2.

**Table 9.4.2.3-2: Requirement to measure E-UTRAN FDD cells**

DRX cycle length (s)	$T_{\text{measure, E-UTRAN FDD (s) (DRX cycles)}}$
$\leq 0.08$	Non-DRX requirements in clause 9.4.2.2 apply
$0 < \text{DRX-cycle} \leq 10.24$	Note1 ( $5^* \text{CSSF}_{\text{interRAT}}$ )

NOTE 1: The time depends on the DRX cycle length.  
 NOTE 2: CSSF<sub>interRAT</sub> is as defined in clause 9.4.2.2.

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN FDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2.  
 The NR – E-UTRAN FDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3.  
 The NR – E-UTRAN FDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

#### 9.4.2.4 Measurement reporting requirements

##### 9.4.2.4.1 Periodic Reporting

The reported NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.2.2, 10.2.3, and 10.2.5, respectively.

##### 9.4.2.4.2 Event-Triggered Periodic Reporting

The reported NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements contained in event-triggered periodic measurement reports shall meet the requirements in clauses 10.2.2, 10.2.3, and 10.2.5, respectively.

The first report in event-triggered periodic measurement reporting shall meet the requirements specified in clause 9.4.2.4.3.

#### 9.4.2.4.3 Event-Triggered Reporting

The reported NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements contained in event-triggered measurement reports shall meet the requirements in clauses 10.2.2, 10.2.3, and 10.2.5, respectively.

The UE shall not send any event-triggered measurement reports, as long as no reporting criteria are fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times \text{TTI}_{\text{DCCH}}$  where  $\text{TTI}_{\text{DCCH}}$  is the duration of subframe or slot or subslot when the measurement report is transmitted on the PUSCH with subframe or slot or subslot duration. This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report.

The event triggered measurement reporting delay, measured without L3 filtering shall be less than  $T_{\text{Identify, E-UTRAN FDD}}$  defined in clauses 9.4.2.2 and 9.4.2.3 without DRX and with DRX, respectively. When L3 filtering is used, an additional delay can be expected.

If a cell which has been detectable at least for the time period  $T_{\text{Identify, E-UTRAN FDD}}$  becomes undetectable for a period  $\leq 5$  seconds and then the cell becomes detectable again and triggers an event as per TS 38.331 [2], the event triggered measurement reporting delay shall be less than  $T_{\text{Measure, E-UTRAN FDD}}$  provided the timing to that cell has not changed more than  $\pm 50$  Ts while measurement gap has not been available and the L3 filter has not been used.

### 9.4.3 NR – E-UTRAN TDD measurements

#### 9.4.3.1 Introduction

The requirements are applicable for NR–E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements.

In the requirements, an E-UTRAN TDD cell is considered to be detectable when:

- RSRP related conditions in the accuracy requirements in clause 10.2.2 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],
- RSRQ related conditions in the accuracy requirements in clause 10.2.3 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],
- RS-SINR related conditions in the accuracy requirements in clause 10.2.5 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.19 of TS 36.133 [15].

#### 9.4.3.2 Requirements when no DRX is used

When the UE requires measurement gaps to identify and measure inter-RAT cells and an appropriate measurement gap pattern is scheduled, the UE shall be able to identify a new detectable TDD cell within  $T_{\text{Identify, E-UTRAN TDD}}$  according to the following expression:

- When configuration 0 or configuration 1 in Table 9.4.3.2-1 is applied,

$$T_{\text{Identify, E-UTRAN TDD}} = T_{\text{BasicIdentify}} \cdot \frac{480}{T_{\text{Inter}_1}} \cdot \text{CSSF}_{\text{interRAT}} \quad ms,$$

- When configuration 2 or configuration 3 in Table 9.4.3.2-1 is applied,

$$T_{\text{Identify, E-UTRAN TDD}} = T_{\text{BasicIdentify}} \cdot \frac{480}{T_{\text{Inter}_1}} \cdot \text{CSSF}_{\text{interRAT}} + 240 \cdot \text{CSSF}_{\text{interRAT}} \quad ms,$$

where:

$$T_{\text{BasicIdentify}} = 480 \text{ ms},$$

$T_{\text{Inter}_1}$  is defined in clause 9.4.1,

$\text{CSSF}_{\text{interRAT}} = \text{CSSF}_{\text{within\_gap\_i}}$  is the scaling factor for the measured inter-RAT E-UTRA carrier i which is calculated as specified in clause 9.1.5.2.

Identification of a cell shall include detection of the cell and additionally performing a single measurement with measurement period of  $T_{\text{Measure, E-UTRAN TDD}}$  defined in Table 9.4.3.2-1.

**Table 9.4.3.2-1:  $T_{\text{Measure, E-UTRAN TDD}}$  for different configurations**

Configuration	Measurement bandwidth [RB]	Number of UL/DL sub-frames per half frame (5 ms)		DwPTS		$T_{\text{Measure, E-UTRAN TDD [ms]}}$
		DL	UL	Normal CP	Extended CP	
0	6	2	2	$19760 \cdot T_s$	$20480 \cdot T_s$	$480 \times \text{CSSF}_{\text{interRAT}}$
1 (Note 1)	50	2	2	$19760 \cdot T_s$	$20480 \cdot T_s$	$240 \times \text{CSSF}_{\text{interRAT}}$
2	6	1	3	$19760 \cdot T_s$	$20480 \cdot T_s$	$720 \times \text{CSSF}_{\text{interRAT}}$
3 (Note 1)	50	1	3	$19760 \cdot T_s$	$20480 \cdot T_s$	$480 \times \text{CSSF}_{\text{interRAT}}$

NOTE 1: This configuration is optional.  
NOTE 2: Void

The UE shall be capable of identifying and performing NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN TDD cells per E-UTRA TDD carrier frequency layer during each layer 1 measurement period, for up to 7 E-UTRA TDD carrier frequency layers.

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN TDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2. The NR – E-UTRAN TDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3. The NR – E-UTRAN TDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

#### 9.4.3.3 Requirements when DRX is used

When DRX is in use and measurement gaps are configured, the UE shall be able to identify a new detectable E-UTRAN TDD cell within  $T_{\text{Identify, E-UTRAN TDD}}$  specified in Table 9.4.3.3-1.

**Table 9.4.3.3-1: Requirement to identify a newly detectable E-UTRAN TDD cell**

DRX cycle length (s)	$T_{\text{Identify, E-UTRAN TDD (s) (DRX cycles)}}$	
	Gap period = 40 ms, 20 ms	Gap period = 80 ms
$\leq 0.16$	Non-DRX requirements in clause 9.4.3.2 apply	Non-DRX requirements in clause 9.4.3.2 apply
0.256	$5.12^*K (20^*\text{CSSF}_{\text{interRAT}})$	$7.68^*K (30^*\text{CSSF}_{\text{interRAT}})$
0.32	$6.4^*K (20^*\text{CSSF}_{\text{interRAT}})$	$7.68^*K (24^*\text{CSSF}_{\text{interRAT}})$
$0.32 < \text{DRX-cycle} \leq 10.24$	Note1 ( $20^*\text{CSSF}_{\text{interRAT}}$ )	Note1 ( $20^*\text{CSSF}_{\text{interRAT}}$ )

NOTE 1: The time depends on the DRX cycle length.  
NOTE 2:  $\text{CSSF}_{\text{interRAT}}$  is as defined in clause 9.4.3.2.

When DRX is in use, the UE shall be capable of performing NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN TDD cells per E-UTRA TDD frequency layer during each layer 1 measurement period, for up to 7 E-UTRA TDD carrier frequency layers, and the UE physical layer shall be capable of reporting NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements to higher layers with the measurement period  $T_{\text{measure, E-UTRAN TDD}}$  specified in Table 9.4.3.3-2.

**Table 9.4.3.3-2: Requirement to measure E-UTRAN TDD cells**

<b>DRX cycle length (s)</b>	<b>T<sub>measure, E-UTRAN TDD (s) (DRX cycles)</sub></b>
≤0.08	Non-DRX Requirements in clause 9.4.3.2 apply
0.128	For configuration 2, non-DRX requirements in clause 9.4.3.2 apply, Otherwise: Note1 (5*CSSF <sub>interRAT</sub> )
0.128<DRX-cycle≤ 10.24	Note1 (5*CSSF <sub>interRAT</sub> )

NOTE 1: The time depends on the DRX cycle length.  
 NOTE 2: CSSF<sub>interRAT</sub> is as defined in clause 9.4.3.2.

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN TDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2. The NR – E-UTRAN TDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3. The NR – E-UTRAN TDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

#### 9.4.3.4 Measurement reporting requirements

##### 9.4.3.4.1 Periodic Reporting

The reported NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.2.2, 10.2.3, and 10.2.5, respectively.

##### 9.4.3.4.2 Event-Triggered Periodic Reporting

The reported NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements contained in event-triggered periodic measurement reports shall meet the requirements in clauses 10.2.2, 10.2.3, and 10.2.5, respectively.

The first report in event-triggered periodic measurement reporting shall meet the requirements specified in clause 9.4.3.4.3.

##### 9.4.3.4.3 Event-Triggered Reporting

The reported NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements contained in event-triggered measurement reports shall meet the requirements in clauses 10.2.2, 10.2.3, and 10.2.5, respectively.

The UE shall not send any event-triggered measurement reports, as long as no reporting criteria are fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is: 2 x TTI<sub>DCCH</sub> where TTI<sub>DCCH</sub> is the duration of subframe or slot or subslot when the measurement report is transmitted on the PUSCH with subframe or slot or subslot duration. This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report.

The event triggered measurement reporting delay, measured without L3 filtering shall be less than T<sub>Identify, E-UTRAN TDD</sub> defined in clauses 9.4.3.2 and 9.4.3.3 without DRX and with DRX, respectively. When L3 filtering is used, an additional delay can be expected.

If a cell which has been detectable at least for the time period T<sub>Identify, E-UTRAN TDD</sub> becomes undetectable for a period ≤ 5 seconds and then the cell becomes detectable again and triggers an event as per TS 38.331 [2], the event triggered measurement reporting delay shall be less than T<sub>Measure, E-UTRAN TDD</sub> provided the timing to that cell has not changed more than ± 50 Ts while measurement gap has not been available and the L3 filter has not been used.

## 9.4.4 Inter-RAT RSTD measurements

### 9.4.4.1 NR – E-UTRAN FDD RSTD measurements

#### 9.4.4.1.1 Introduction

The requirements are applicable for NR–E-UTRAN FDD RSTD measurements requested via LPP [22, 27].

The requirements in clause 9.4.4.1 apply when:

- the UE is provided with the LTE timing information via LPP [27], including both *nr-LTE-SFN-Offset* and *nr-LTE-fineTiming-Offset*, or
- the UE is not provided with *nr-LTE-SFN-Offset* or *nr-LTE-fineTiming-Offset*, or
- the UE is provided with *nr-LTE-SFN-Offset* but not with *nr-LTE-fineTiming-Offset*.

When the UE is not aware of the SFN of at least one LTE cell in the OTDOA assistance data, the UE may be using autonomous gaps to acquire SFN of the OTDOA assistance data reference cell prior to requesting measurement gaps for performing the requested E-UTRA RSTD measurements before the  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  time period starts while meeting all the requirements in clause 9.4.4.1.2, provided that the OTDOA assistance data is provided to allow sufficient time for the UE to acquire the SFN before the  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  starts.

When the UE is not aware of and cannot derive the subframe timing difference between the NR serving cell and the OTDOA assistance data reference cell, the UE may need to request measurement gaps to perform cell detection for the OTDOA assistance data reference cell prior to requesting measurement gaps for performing the requested E-UTRA RSTD measurements before the  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  time period starts while meeting all the requirements in clause 9.4.4.1.2, provided that the OTDOA assistance data is provided to allow sufficient time for the UE to detect the cell before the  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  starts.

#### 9.4.4.1.2 Requirements

When the physical layer cell identities of neighbour cells together with the OTDOA assistance data are provided, the UE shall be able to detect and measure inter-RAT E-UTRAN FDD RSTD, specified in TS 38.215 [4], for at least  $n=16$  cells, including the reference cell, within  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  ms as given below:

$$T_{\text{RSTD InterRAT, E-UTRAN FDD}} = T_{\text{PRS}} \cdot (M - 1) + \Delta \quad \text{ms ,}$$

where

$T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  is the total time for detecting and measuring at least  $n$  cells,

$T_{\text{PRS}}$  is the largest value of the cell-specific positioning subframe configuration period, defined in TS 36.211 [23], among the measured  $n$  cells including the reference cell,

$M$  is the number of PRS positioning occasions as defined in Table 9.4.4.1.2-1, where each PRS positioning occasion comprises of  $N_{\text{PRS}}$  ( $1 \leq N_{\text{PRS}} \leq 6$ ) consecutive downlink positioning subframes defined in TS 36.211 [23],

$\text{CSSF}_{\text{interRAT}} = \text{CSSF}_{\text{within\_gap\_i}}$  is the scaling factor determined by the gap sharing scheme for the RSTD measurements on the carrier frequency  $i$  as defined in clause 9.1.5.2,

$\Delta = 160 \cdot \left\lceil \frac{n}{M} \right\rceil$  ms is the measurement time for a single PRS positioning occasion which includes the sampling time and the processing time, and

the  $n$  cells are distributed on up to two E-UTRAN FDD carrier frequencies.

**Table 9.4.4.1.2-1: Number of PRS positioning occasions within  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$** 

Positioning subframe configuration period $T_{\text{PRS}}$	Number of PRS positioning occasions $M$	
	$f_2$ Note1	$f_1$ and $f_2$ Note2
160 ms	$16 \times \text{CSSF}_{\text{interRAT}}$	$32 \times \text{CSSF}_{\text{interRAT}}$
>160 ms	$8 \times \text{CSSF}_{\text{interRAT}}$	$16 \times \text{CSSF}_{\text{interRAT}}$

NOTE 1: When inter-RAT E-UTRAN FDD RSTD measurements are performed over the reference cell and neighbour cells, which belong to the E-UTRAN FDD carrier frequency  $f_2$ .  
 NOTE 2: When inter-RAT E-UTRAN FDD RSTD measurements are performed over the reference cell and the neighbour cells, which belong to the E-UTRAN FDD carrier frequency  $f_1$  and the E-UTRAN FDD carrier frequency  $f_2$  respectively.

The UE physical layer shall be capable of reporting RSTD for the reference cell and all the neighbor cells  $i$  out of at least  $(n-1)$  neighbor cells within  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  provided:

$$\left( \text{PRS } \hat{E}_s / \text{Iot} \right)_{ref} \geq -6 \text{ dB for all Frequency Bands for the reference cell,}$$

$$\left( \text{PRS } \hat{E}_s / \text{Iot} \right)_i \geq -13 \text{ dB for all Frequency Bands for neighbour cell } i,$$

$\left( \text{PRS } \hat{E}_s / \text{Iot} \right)_{ref}$  and  $\left( \text{PRS } \hat{E}_s / \text{Iot} \right)_i$  conditions apply for all subframes of at least  $L = \frac{M}{2}$  PRS positioning

occasions,

PRP 1,2|dBm according to TS 36.133 [15, Annex B.2.6] for a corresponding Band,

$\text{PRS } \hat{E}_s / \text{Iot}$  is defined as the ratio of the average received energy per PRS RE during the useful part of the symbol to the average received power spectral density of the total noise and interference for this RE, where the ratio is measured over all REs which carry PRS.

The time  $T_{\text{RSTD InterRAT, E-UTRAN FDD}}$  starts from the first subframe of the PRS positioning occasion closest in time after both the *OTDOA-RequestLocationInformation* message and the OTDOA assistance data in the *OTDOA-ProvideAssistanceData* message via LPP as specified in TS 38.305 [22], are delivered to the physical layer of the UE.

The RSTD measurement accuracy for all measured neighbor cells  $i$  shall be fulfilled according to the accuracy as specified in clause 10.2.4.

#### 9.4.4.1.2.1 RSTD Measurement Reporting Delay

This requirement assumes that the measurement report is not delayed by other LPP signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times \text{TTI}_{\text{DCCH}}$  where  $\text{TTI}_{\text{DCCH}}$  is the duration of subframe or slot or subslot when the measurement report is transmitted on the PUSCH with subframe or slot or subslot duration. This measurement reporting delay excludes any delay caused by no UL resources for UE to send the measurement report.

#### 9.4.4.1.2.2 Requirements for acquiring the timing of the E-UTRA OTDOA reference cell

When the UE is not aware of the SFN of at least one LTE cell in the OTDOA assistance data, the UE supporting per-FR gaps may make autonomous gaps in downlink reception and uplink transmission of the PCell, PSCell, and each of the SCells in FR1 for acquiring SFN of the reference cell in the E-UTRA OTDOA assistance data, while no autonomous gaps in downlink reception or uplink transmission are allowed in any of the UE serving cells in FR2. The UE, which are only supporting per-UE gaps, may make autonomous gaps in downlink reception and uplink transmission of the PCell, PSCell, and each of the SCells for acquiring the SFN of the reference cell in the E-UTRA OTDOA assistance data.

When the UE is not aware of and cannot derive the subframe timing difference between the NR serving cell and the OTDOA assistance data reference cell, the UE may need to request measurement gaps while indicating *eutra-FineTimingDetection* according to TS 38.331 [2] for detecting the reference cell in the E-UTRA OTDOA assistance data.

When the UE is performing one or both of SFN acquisition or cell detection as specified above, the UE shall be able to determine the timing of the E-UTRA OTDOA assistance data reference cell during the time period

$$T_{\text{RefCell}, \text{E-UTRAN}} = T_{\text{Detect, E-UTRAN FDD}} + T_{\text{MIB}} + T_{\text{ECGI}},$$

where

$T_{\text{Detect, E-UTRAN FDD}} = T_{\text{Identify, E-UTRAN FDD}} - T_{\text{measure, E-UTRAN FDD}}$  is according to clause 9.4.2 assuming  $\text{CSSF}_{\text{interRAT}}=1$  and it is the time needed to detect the E-UTRA OTDOA assistance data reference cell when the UE needs to acquire the subframe and slot timing of the cell, provided the UE is configured with measurement gaps ( $T_{\text{Detect, E-UTRAN FDD}}=0$  when both  $nr\text{-LTE-SFN-Offset}$  and  $nr\text{-LTE-fineTiming-Offset}$  are provided in the E-UTRA OTDOA assistance data or the E-UTRA OTDOA assistance data reference cell is known to the UE), and

$T_{\text{MIB}} = 50$  ms is the time required to acquire SFN of the E-UTRA OTDOA assistance data reference cell provided the OTDOA assistance data reference cell is decodable and at least all E-UTRA subframes #0 during  $T_{\text{MIB}}$  are available at the UE receiver ( $T_{\text{MIB}}=0$  when  $nr\text{-LTE-SFN-Offset}$  is provided in the E-UTRA OTDOA assistance data), and

$T_{\text{ECGI}} = 100$  ms is the time required to acquire ECGI of the E-UTRA OTDOA assistance data reference cell when  $\text{cellGlobalId}$  is included in  $OTDOA\text{-ReferenceCellInfo}$  and the UE is not aware of the ECGI of this cell ( $T_{\text{ECGI}} = 0$  when  $\text{cellGlobalId}$  is not included in  $OTDOA\text{-ReferenceCellInfo}$  or the UE is aware of the ECGI of the E-UTRA OTDOA assistance data reference cell).

When detecting the E-UTRAN OTDOA reference cell, the requirements in this section shall be met, provided the conditions for the detectable cell are fulfilled according to clause 9.4.2.1. In addition, the MIB of the E-UTRA OTDOA reference cell whose SFN is acquired shall be considered decodable by the UE provided the PBCH demodulation requirements are met according to TS 36.101 [25].

The requirement for acquiring the timing of the E-UTRA OTDOA reference cell within  $T_{\text{RefCell}, \text{E-UTRAN}}$  is applicable when no DRX is used as well as when any of the DRX cycles specified in TS 38.331 [2] is used.

When  $T_{\text{MIB}}>0$  and UE is using autonomous gaps during  $T_{\text{MIB}}$ , the UE shall transmit at least  $N_{\text{ACK/NACK, MIB, FDD}}$  ACK/NACKs on PCell, PSCell, and each of activated SCell(s) in the frequency range where the autonomous gaps are created, specified in Table 9.4.4.1.2.2-1. When  $T_{\text{ECGI}}>0$  and UE is using autonomous gaps during  $T_{\text{ECGI}}$ , the UE shall transmit at least  $N_{\text{ACK/NACK, ECGI, FDD}}$  ACK/NACKs on PCell, PSCell, and each of activated SCell(s) in the frequency range where the autonomous gaps are created, specified in Table 9.4.4.1.2.2-2. When both  $T_{\text{MIB}}>0$  and  $T_{\text{ECGI}}>0$  and UE is using autonomous gaps during  $T_{\text{MIB}}+T_{\text{ECGI}}$ , the UE shall transmit on PCell, PSCell, and each of activated SCell(s) in the frequency range where autonomous gaps are created at least  $N_{\text{ACK/NACK, MIB+ECGI, FDD}}$  ACK/NACKs specified in Table 9.4.4.1.2.2-3, provided the OTDOA reference cell bandwidth is configured in the OTDOA assistance data [22, 27]. The requirements in Tables 9.4.4.1.2.2-1, 9.4.4.1.2.2-2, and 9.4.4.1.2.2-3 apply, provided that:

- there is continuous DL data allocation,
- no DRX cycle is used,
- no measurement gaps are configured,
- only one code word is transmitted in each slot,
- 2 slot ACK/NACK feedback is configured,
- 20 ms SMT period is configured.

**Table 9.4.4.1.2.2-1: Number of ACK/NACKs transmitted by the UE during  $T_{\text{MIB}}$**

$N_{\text{ACK/NACK, MIB, FDD}}$	Configuration of the serving cell in which the transmitted ACK/NACKs are counted	
	Duplex mode configuration	SCS
15	FDD	15 kHz
39	FDD	30 kHz
85	FDD	60 kHz
0	TDD Note 1	15 kHz
4	TDD Note 1	30 kHz
12	TDD Note 1	60 kHz
[46]	TDD Note 2	60 kHz
[104]	TDD Note 2	120 kHz

NOTE 1: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-1 [18].  
 NOTE 2: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-2 [19].

**Table 9.4.4.1.2.2-2: Number of ACK/NACKs transmitted by the UE during  $T_{ECGI}$** 

NACK/NACK, ECGI, FDD	Configuration of the serving cell in which the transmitted ACK/NACKs are counted	
	Duplex mode configuration	SCS
66	FDD	15 kHz
145	FDD	30 kHz
298	FDD	60 kHz
28	TDD Note 1	15 kHz
67	TDD Note 1	30 kHz
TBD	TDD Note 1	60 kHz
[175]	TDD Note 2	60 kHz
[363]	TDD Note 2	120 kHz

NOTE 1: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-1 [18].  
 NOTE 2: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-2 [19].

**Table 9.4.4.1.2.2-3: Number of ACK/NACKs transmitted by the UE during  $T_{MIB+ECGI}$** 

NACK/NACK, MIB+ECGI, FDD	Configuration of the serving cell in which the transmitted ACK/NACKs are counted	
	Duplex mode configuration	SCS
[84]	FDD	15 kHz
[193]	FDD	30 kHz
[402]	FDD	60 kHz
[28]	TDD Note 1	15 kHz
[81]	TDD Note 1	30 kHz
[TBD]	TDD Note 1	60 kHz
[233]	TDD Note 2	60 kHz
[491]	TDD Note 2	120 kHz

NOTE 1: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-1 [18].  
 NOTE 2: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-2 [19].

## 9.4.4.2 NR – E-UTRAN TDD RSTD measurements

### 9.4.4.2.1 Introduction

The requirements are applicable for NR–E-UTRAN TDD RSTD measurements requested via LPP [22, 27].

The requirements in clause 9.4.4.1 apply when:

- the UE is provided with the LTE timing information via LPP [27], including both *nr-LTE-SFN-Offset* and *nr-LTE-fineTiming-Offset*, or
- the UE is not provided with *nr-LTE-SFN-Offset* or *nr-LTE-fineTiming-Offset*, or
- the UE is provided with *nr-LTE-SFN-Offset* but not with *nr-LTE-fineTiming-Offset*.

When the UE is not aware of the SFN of at least one LTE cell in the OTDOA assistance data, the UE may be using autonomous gaps to acquire SFN of the OTDOA assistance data reference cell prior to requesting measurement gaps for performing the requested E-UTRA RSTD measurements before the  $T_{RSTD\ InterRAT, E-UTRAN\ TDD}$  time period starts while meeting all the requirements in clause 9.4.4.2.2, provided that the OTDOA assistance data is provided to allow sufficient time for the UE to acquire the SFN before the  $T_{RSTD\ InterRAT, E-UTRAN\ TDD}$  starts. When the UE is not aware of and cannot derive the subframe timing difference between the NR serving cell and the OTDOA assistance data reference cell, the UE may need to request measurement gaps to perform cell detection for the OTDOA assistance data reference cell prior to requesting measurement gaps for performing the requested E-UTRA RSTD measurements before the  $T_{RSTD\ InterRAT, E-UTRAN\ TDD}$  time period starts while meeting all the requirements in clause 9.4.4.2.2, provided that the OTDOA assistance data is provided to allow sufficient time for the UE to detect the cell before the  $T_{RSTD\ InterRAT, E-UTRAN\ TDD}$  starts.

#### 9.4.4.2.2 Requirements

When the physical layer cell identities of neighbour cells together with the OTDOA assistance data are provided, the UE shall be able to detect and measure inter-RAT -UTRAN TDD RSTD, specified in TS 38.215 [4], for at least  $n=16$  cells, including the reference cell, within  $T_{\text{RSTD InterRAT, E-UTRAN TDD}}$  ms as given below:

$$T_{\text{RSTD InterRAT, E-UTRAN TDD}} = T_{\text{PRS}} \cdot (M - 1) + \Delta \quad \text{ms} \quad ,$$

where

$T_{\text{RSTD InterRAT, E-UTRAN TDD}}$  is the total time for detecting and measuring at least  $n$  cells,

$T_{\text{PRS}}$  is the largest value of the cell-specific positioning subframe configuration period, defined in TS 36.211 [23], among the measured  $n$  cells including the reference cell,

$M$  is the number of PRS positioning occasions as defined in Table 9.4.4.2.2-1, where a PRS positioning occasion is as defined in clause 9.4.4.1.2,

$\text{CSSF}_{\text{interRAT}} = \text{CSSF}_{\text{within\_gap\_i}}$  is the scaling factor determined by the gap sharing scheme for the RSTD measurements on the carrier frequency  $i$  as defined in clause 9.1.5.2,

$\Delta = 160 \cdot \left\lceil \frac{n}{M} \right\rceil$  ms is the measurement time for a single PRS positioning occasion which includes the sampling time and the processing time, and

the  $n$  cells are distributed on up to two E-UTRAN TDD carrier frequencies.

**Table 9.4.4.2.2-1: Number of PRS positioning occasions within  $T_{\text{RSTD InterRAT, E-UTRAN TDD}}$**

Positioning subframe configuration period $T_{\text{PRS}}$	Number of PRS positioning occasions $M$	
	$f_2$ <small>Note1</small>	$f_1$ and $f_2$ <small>Note2</small>
160 ms	$16 \times \text{CSSF}_{\text{interRAT}}$	$32 \times \text{CSSF}_{\text{interRAT}}$
>160 ms	$8 \times \text{CSSF}_{\text{interRAT}}$	$16 \times \text{CSSF}_{\text{interRAT}}$

NOTE 1: When inter-RAT E-UTRAN TDD RSTD measurements are performed over the reference cell and neighbour cells, which belong to the E-UTRAN TDD carrier frequency  $f_2$ .  
 NOTE 2: When inter-RAT E-UTRAN TDD RSTD measurements are performed over the reference cell and the neighbour cells, which belong to the E-UTRAN TDD carrier frequency  $f_1$  and the E-UTRAN TDD carrier frequency  $f_2$  respectively.

The requirements in this section shall apply for all TDD special subframe configurations specified in TS 36.211 [23] and for the TDD uplink-downlink configurations as specified in Table 9.4.4.2.2-2 for UE requiring measurement gaps for these measurements. For UEs capable of performing inter-RAT RSTD measurements without measurement gaps, TDD uplink-downlink subframe configurations as specified in Table 9.4.4.2.2-3 shall apply.

**Table 9.4.4.2.2-2: TDD uplink-downlink subframe configurations applicable for inter-RAT RSTD requirements**

PRS Transmission Bandwidth [RB]	Applicable TDD uplink-downlink configurations
6, 15	3, 4 and 5
25	1, 2, 3, 4, 5 and 6
50, 75, 100	0, 1, 2, 3, 4, 5 and 6

NOTE 1: Uplink-downlink configurations are specified in Table 4.2-2 in TS 36.211 [23].

**Table 9.4.4.2.2-3: TDD uplink-downlink subframe configurations applicable for inter-RAT RSTD requirements without gaps**

PRS Transmission Bandwidth [RB]	Applicable TDD uplink-downlink configurations
6, 15	1, 2, 3, 4 and 5
25, 50, 75, 100	0, 1, 2, 3, 4, 5 and 6

NOTE: Uplink-downlink configurations are specified in Table 4.2-2 in TS 36.211 [23].

The UE physical layer shall be capable of reporting RSTD for the reference cell and all the neighbor cells  $i$  out of at least  $(n-1)$  neighbor cells within  $T_{RSTD \text{ InterRAT, E-UTRAN TDD}}$  provided:

$(PRS \hat{E}_s / I_{ot})_{ref} \geq -6 \text{ dB}$  for all Frequency Bands for the reference cell,

$(PRS \hat{E}_s / I_{ot})_i \geq -13 \text{ dB}$  for all Frequency Bands for neighbour cell  $i$ ,

$(PRS \hat{E}_s / I_{ot})_{ref}$  and  $(PRS \hat{E}_s / I_{ot})_i$  conditions apply for all subframes of at least  $L = \frac{M}{2}$  PRS positioning occasions,

PRP  $1,2|_{\text{dBm}}$  according to TS 36.133 [15, Annex B.2.6] for a corresponding Band,

$PRS \hat{E}_s / I_{ot}$  is as defined in clause 9.4.4.1.2.

The time  $T_{RSTD \text{ InterRAT, E-UTRAN TDD}}$  starts from the first subframe of the PRS positioning occasion closest in time after both the OTDOA-RequestLocationInformation message and the OTDOA assistance data in the OTDOA-ProvideAssistanceData message via LPP as specified in TS 38.305 [22], are delivered to the physical layer of the UE.

The RSTD measurement accuracy for all measured neighbor cells  $i$  shall be fulfilled according to the accuracy as specified in clause 10.2.4.

#### 9.4.4.2.2.1 RSTD Measurement Reporting Delay

This requirement assumes that the measurement report is not delayed by other LPP signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times TTI_{DCCH}$  where  $TTI_{DCCH}$  is the duration of subframe or slot or subslot when the measurement report is transmitted on the PUSCH with subframe or slot or subslot duration. This measurement reporting delay excludes any delay caused by no UL resources for UE to send the measurement report.

#### 9.4.4.2.2.2 Requirements for acquiring the timing of the E-UTRA OTDOA reference cell

When the UE is not aware of the SFN of at least one LTE cell in the OTDOA assistance data, the UE supporting per-FR gaps may make autonomous gaps in downlink reception and uplink transmission of the PCell, PSCell, and each of the SCells in FR1 for acquiring SFN of the reference cell in the E-UTRA OTDOA assistance data, while no autonomous gaps in downlink reception or uplink transmission are allowed in any of the UE serving cells in FR2. The UE, which are only supporting per-UE gaps, may make autonomous gaps in downlink reception and uplink transmission of the PCell, PSCell, and each of the SCells for acquiring the SFN of the reference cell in the E-UTRA OTDOA assistance data.

When the UE is not aware of and cannot derive the subframe timing difference between the NR serving cell and the OTDOA assistance data reference cell, the UE may need to request measurement gaps while indicating *extra-FineTimingDetection* according to TS 38.331 [2] for detecting the reference cell in the E-UTRA OTDOA assistance data.

When the UE is performing one or both of SFN acquisition or cell detection as specified above, the UE shall be able to determine the timing of the E-UTRA OTDOA assistance data reference cell during the time period

$$T_{RefCell,E-UTRAN} = T_{Detect, E-UTRAN TDD} + T_{MIB} + T_{ECGI},$$

where

$T_{Detect, E-UTRAN TDD} = T_{Identify, E-UTRAN TDD} - T_{measure, E-UTRAN TDD}$  is according to clause 9.4.3 assuming  $CSSF_{interRAT}=1$  and it is the time needed to detect the E-UTRA OTDOA assistance data reference cell when the UE needs to acquire the

subframe and slot timing of the cell, provided the UE is configured with measurement gaps ( $T_{\text{Detect, E-UTRAN TDD}=0}$  when both *nr-LTE-SFN-Offset* and *nr-LTE-fineTiming-Offset* are provided in the E-UTRA OTDOA assistance data or the E-UTRA OTDOA assistance data reference cell is known to the UE), and

$T_{\text{MIB}} = 50$  ms is the time required to acquire SFN of the E-UTRA OTDOA assistance data reference cell provided the OTDOA assistance data reference cell is decodable and at least all E-UTRA subframes #0 during  $T_{\text{MIB}}$  are available at the UE receiver ( $T_{\text{MIB}}=0$  when *nr-LTE-SFN-Offset* is provided in the E-UTRA OTDOA assistance data), and

$T_{\text{ECGI}} = 100$  ms is the time required to acquire ECGI of the E-UTRA OTDOA assistance data reference cell when *cellGlobalId* is included in *OTDOA-ReferenceCellInfo* and the UE is not aware of the ECGI of this cell ( $T_{\text{ECGI}} = 0$  when *cellGlobalId* is not included in *OTDOA-ReferenceCellInfo* or the UE is aware of the ECGI of the E-UTRA OTDOA assistance data reference cell).

When detecting the E-UTRAN OTDOA reference cell, the requirements in this section shall be met, provided the conditions for the detectable cell are fulfilled according to clause 9.4.3.1. In addition, the MIB of an E-UTRA OTDOA reference cell whose SFN is acquired shall be considered decodable by the UE provided the PBCH demodulation requirements are met according to TS 36.101 [25].

The requirement for acquiring the timing of the E-UTRA OTDOA reference cell within  $T_{\text{RefCell,E-UTRAN}}$  is applicable when no DRX is used as well as when any of the DRX cycles specified in TS 38.331 [2] is used.

When  $T_{\text{MIB}}>0$  and UE is using autonomous gaps during  $T_{\text{MIB}}$ , the UE shall transmit at least  $N_{\text{ACK/NACK, MIB, TDD}}$  ACK/NACKs on PCell, PSCell, and each of activated SCell(s) in the frequency range where the autonomous gaps are created, specified in Table 9.4.4.2.2.2-1. When  $T_{\text{ECGI}}>0$  and UE is using autonomous gaps during  $T_{\text{ECGI}}$ , the UE shall transmit at least  $N_{\text{ACK/NACK, ECGI, TDD}}$  ACK/NACKs on PCell, PSCell, and each of activated SCell(s) in the frequency range where autonomous gaps are created, specified in Table 9.4.4.2.2.2-2. When both  $T_{\text{MIB}}>0$  and  $T_{\text{ECGI}}>0$  and UE is using autonomous gaps during  $T_{\text{MIB}}+T_{\text{ECGI}}$ , the UE shall transmit on PCell, PSCell, and each of activated SCell(s) in the frequency range where autonomous gaps are created at least  $N_{\text{ACK/NACK, MIB+ECGI, FDD}}$  ACK/NACKs specified in Table 9.4.4.2.2.2-3, provided the OTDOA reference cell bandwidth is configured in the OTDOA assistance data [22, 27]. The requirements in Tables 9.4.4.2.2.2-1, 9.4.4.2.2.2-2 and 9.4.4.2.2.2-3 apply, provided that:

- there is continuous DL data allocation,
- no DRX cycle is used,
- no measurement gaps are configured,
- only one code word is transmitted in each slot,
- 2 slot ACK/NACK feedback is configured,
- 20 ms SMT period is configured.

**Table 9.4.4.2.2.2-1: Minimum number of ACK/NACKs transmitted by the UE during  $T_{\text{MIB}}$**

$N_{\text{ACK/NACK, MIB, TDD}}$	Configuration of the serving cell in which the transmitted ACK/NACKs are counted	
	Duplex mode configuration	SCS
15	FDD	15 kHz
39	FDD	30 kHz
85	FDD	60 kHz
0	TDD Note 1	15 kHz
4	TDD Note 1	30 kHz
12	TDD Note 1	60 kHz
[46]	TDD Note 2	60 kHz
[104]	TDD Note 2	120 kHz

NOTE 1: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-1 [18].  
 NOTE 2: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-2 [19].

**Table 9.4.4.2.2.2-2: Number of ACK/NACKs transmitted by the UE during  $T_{\text{ECGI}}$**

NACK/NACK, ECGI, TDD	Configuration of the serving cell in which the transmitted ACK/NACKs are counted	
	Duplex mode configuration	SCS
66	FDD	15 kHz
145	FDD	30 kHz
298	FDD	60 kHz
28	TDD Note 1	15 kHz
67	TDD Note 1	30 kHz
TBD	TDD Note 1	60 kHz
[175]	TDD Note 2	60 kHz
TBD[363]	TDD Note 2	120 kHz

NOTE 1: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-1 [18].  
 NOTE 2: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-2 [19].

**Table 9.4.4.2.2.2-3: Number of ACK/NACKs transmitted by the UE during  $T_{MIB+ECGI}$** 

NACK/NACK, MIB+ECGI, TDD	Configuration of the serving cell in which the transmitted ACK/NACKs are counted	
	Duplex mode configuration	SCS
[84]	FDD	15 kHz
[193]	FDD	30 kHz
[402]	FDD	60 kHz
[28]	TDD Note 1	15 kHz
[81]	TDD Note 1	30 kHz
TBD	TDD Note 1	60 kHz
[233]	TDD Note 2	60 kHz
[491]	TDD Note 2	120 kHz

NOTE 1: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-1 [18].  
 NOTE 2: TDD UL-DL configuration is as specified in Table A.3.3.1-1 of TS 38.101-2 [19].

## 9.4.5 Inter-RAT E-CID measurements

### 9.4.5.1 NR-E-UTRAN FDD E-CID RSRP and RSRQ measurements

#### 9.4.5.1.1 Introduction

The requirements in clause 9.4.5.1. shall apply provided the UE has received *ECID-RequestLocationInformation* message from LMF via LPP requesting the UE to report inter-RAT E-UTRAN FDD E-CID RSRP and RSRQ measurements [22, 27].

#### 9.4.5.1.2 Requirements

The requirements in clause 9.4.2 also apply for this section except the measurement reporting requirements. The measurement reporting requirements for E-CID RSRP and RSRQ are defined in clause 9.4.5.1.3.

#### 9.4.5.1.3 Measurement Reporting Delay

This requirement assumes that the measurement report is not delayed by other LPP signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times TTI_{DCCH}$  where  $TTI_{DCCH}$  is the duration of subframe or slot or subslot when the measurement report is transmitted on the PUSCH with subframe or slot or subslot duration. This measurement reporting delay excludes any delay caused by no UL resources for UE to send the measurement report.

Reported RSRP and RSRQ measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.2.2 and 10.2.3, respectively.

## 9.4.5.2 NR-E-UTRAN TDD E-CID RSRP and RSRQ measurements

### 9.4.5.2.1 Introduction

The requirements in clause 9.4.5.2. shall apply provided the UE has received *ECID-RequestLocationInformation* message from LMF via LPP requesting the UE to report inter-RAT E-UTRAN TDD E-CID RSRP and RSRQ measurements [22, 27].

### 9.4.5.2.2 Requirements

The requirements in clause 9.4.3 also apply for this section except the measurement reporting requirements. The measurement reporting requirements for E-CID RSRP and RSRQ are defined in clause 9.4.5.2.3.

### 9.4.5.2.3 Measurement Reporting Delay

This requirement assumes that the measurement report is not delayed by other LPP signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is:  $2 \times \text{TTI}_{\text{DCCH}}$  where  $\text{TTI}_{\text{DCCH}}$  is the duration of subframe or slot or subslot when the measurement report is transmitted on the PUSCH with subframe or slot or subslot duration. This measurement reporting delay excludes any delay caused by no UL resources for UE to send the measurement report.

Reported RSRP and RSRQ measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.2.2 and 10.2.3, respectively.

## 9.5 L1-RSRP measurements for Reporting

### 9.5.1 Introduction

When configured by the network, the UE shall be able to perform L1-RSRP measurements of configured CSI-RS, SSB or CSI-RS and SSB resources for L1-RSRP. The measurements shall be performed for a serving cell, including PCell, PSCell, or SCell, on the resources configured for L1-RSRP measurements within the active BWP.

The UE shall be able to measure all CSI-RS resources and/or SSB resources of the *nzp-CSI-RS-ResourceSet* and/or *csi-SSB-ResourceSet* within the *CSI-ResourceConfig* settings configured for L1-RSRP for the active BWP, provided that the number of resources does not exceed the UE capability indicated by *beamManagementSSB-CSI-RS*.

The UE shall report the measurement quantity (*reportQuantity*) and send periodic, semi-persistent or aperiodic reports, according to the *reportConfigType* according to the CSI reporting configuration(s) (*CSI-ReportConfig*) for the active BWP.

### 9.5.2 Requirements applicability

The requirements in clause 9.5 apply, provided:

- The CSI-RS or SSB or CSI-RS and SSB resources configured for L1-RSRP measurements are measurable.

An SSB resource configured for L1-RSRP shall be considered measurable when for each relevant SSB the following conditions are met:

- L1-RSRP related side conditions given in clauses 10.1.19.1 and 10.1.20.1 for FR1 and FR2, respectively, for a corresponding band,
- SSB\_RP and SSB\_Es/Iot according to Annex B.2.4.1 for a corresponding band.

A CSI-RS resource configured for L1-RSRP shall be considered measurable when for each relevant CSI-RS the following conditions are met:

- L1-RSRP related side conditions given in clauses 10.1.19.2 and 10.1.20.2 for FR1 and FR2, respectively, for a corresponding band,
- CSI-RS\_RP and CSI-RS\_Es/Iot according to Annex B.2.4.2 for a corresponding band.

A CSI-RS and SSB resource configured for L1-RSRP shall be considered measurable when the measurable resource conditions are met for both CSI-RS resource and SSB resource.

Requirements are defined for periodic, semi-persistent and aperiodic resources.

## 9.5.3 Measurement Reporting Requirements

The UE shall send L1-RSRP reports only for report configurations configured for the active BWP.

The UE shall report the L1-RSRP value as a 7-bit value in the range [-140, -44] dBm with 1dB step size according to clause 10.1.19 for FR1 and 10.1.20 for FR2 if *nrofReportedRS* is configured to one. If *nrofReportedRS* is configured to be larger than one, or if *groupBasedBeamReporting* is enabled, the UE shall use differential L1-RSRP based reporting as defined in clause 10.1.19 for FR1 and 10.1.20 for FR2. The differential L1-RSRP is quantized to a 4-bit value with 2dB step size. The mapping between the reported L1-RSRP value and the measured quantity is described in 10.1.6.

### 9.5.3.1 Periodic Reporting

Reported L1-RSRP measurements contained in periodic L1-RSRP measurement reports shall meet the requirements in clauses 10.1.19 for FR1 and 10.1.20 for FR2, respectively.

The UE shall only send periodic L1-RSRP measurement reports for an active BWP.

The UE shall transmit the periodic L1-RSRP reporting on PUCCH over the air interface according to the periodicity defined in clause 5.2.1.4 in TS 36.300 [24].

### 9.5.3.2 Semi-Persistent Reporting

Reported L1-RSRP measurements contained in a Semi-Persistent L1-RSRP measurement report shall meet the requirements in clauses 10.1.19 for FR1 and 10.1.20 for FR2, respectively. This requirement applies for semi-persistent L1-RSRP reports send on PUSCH or PUCCH.

The UE shall only send semi-persistent L1-RSRP measurement reports on PUSCH, if a DCI request has been received.

The UE shall only send semi-persistent L1-RSRP measurement reports on PUCCH, if an activation command [7] has been received.

The UE shall transmit the semi-persistent L1-RSRP reporting on PUSCH or PUCCH over the air interface according to the periodicity defined in clause 5.2.1.4 in TS 36.300 [24].

### 9.5.3.3 Aperiodic Reporting

Reported L1-RSRP measurements contained in aperiodic triggered, aperiodic triggered periodic and aperiodic triggered semi-persistent L1-RSRP reports shall meet the requirements in clauses 10.1.19 for FR1 and 10.1.20 for FR2, respectively.

The UE shall only send aperiodic L1-RSRP measurement reports, if a DCI trigger has been received.

After the UE receives CSI request in DCI, the UE shall transmit the aperiodic L1-RSRP reporting on PUSCH over the air interface at the time specified according to clause 6.2.1.2 in TS 36.300 [24].

## 9.5.4 L1-RSRP measurement requirements

### 9.5.4.1 SSB based L1-RSRP Reporting

The UE shall be capable of performing L1-RSRP measurements based on the configured SSB resource for L1-RSRP computation, and the UE physical layer shall be capable of reporting L1-RSRP measured over the measurement period of  $T_{L1\text{-}RSRP\text{\_Measurement\_\_Period\_SSB}}$ .

The value of  $T_{L1\text{-}RSRP\text{\_Measurement\_\_Period\_SSB}}$  is defined in Table 9.5.4.1-1 for FR1 and Table 9.5.4.1-2 for FR2, where

- M=1 if higher layer parameter *timeRestrictionForChannelMeasurement* is configured, and M=3 otherwise

- N= 8.

For FR1,

- $P=1/(1 - T_{SSB}/MGRP)$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- $P=1/(1 - T_{SSB}/T_{SMTCperiod})$ , when SSB is not overlapped with measurement gap and SSB is partially overlapped with SMTC occasion ( $T_{SSB} < T_{SMTCperiod}$ ).
- P is  $P_{sharing\ factor}$ , when SSB is not overlapped with measurement gap and SSB is fully overlapped with SMTC period ( $T_{SSB} = T_{SMTCperiod}$ ).
- P is  $1/(1 - T_{SSB}/MGRP - T_{SSB}/T_{SMTCperiod})$ , when SSB is partially overlapped with measurement gap and SSB is partially overlapped with SMTC occasion ( $T_{SSB} < T_{SMTCperiod}$ ) and SMTC occasion is not overlapped with measurement gap and
  - $T_{SMTCperiod} \neq MGRP$  or
  - $T_{SMTCperiod} = MGRP$  and  $T_{SSB} < 0.5*T_{SMTCperiod}$
- P is  $1/(1 - T_{SSB}/MGRP)*P_{sharing\ factor}$ , when SSB is partially overlapped with measurement gap and SSB is partially overlapped with SMTC occasion ( $T_{SSB} < T_{SMTCperiod}$ ) and SMTC occasion is not overlapped with measurement gap and  $T_{SMTCperiod} = MGRP$  and  $T_{SSB} = 0.5*T_{SMTCperiod}$
- P is  $1/(1 - T_{SSB}/min(T_{SMTCperiod}, MGRP))$ , when SSB is partially overlapped with measurement gap ( $T_{SSB} < MGRP$ ) and SSB is partially overlapped with SMTC occasion ( $T_{SSB} < T_{SMTCperiod}$ ) and SMTC occasion is partially or fully overlapped with measurement gap.
- P is  $1/(1 - T_{SSB}/MGRP)*P_{sharing\ factor}$ , when SSB is partially overlapped with measurement gap and SSB is fully overlapped with SMTC occasion ( $T_{SSB} = T_{SMTCperiod}$ ) and SMTC occasion is partially overlapped with measurement gap ( $T_{SMTCperiod} < MGRP$ )
- $P_{sharing\ factor} = 3$ .

Where:

$T_{SSB}$  = ssb-periodicityServingCell

$T_{SMTCperiod}$  = the configured SMTC1 period or SMTC2 period if configured

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured,  $T_{SMTCperiod}$  corresponds to the value of higher layer parameter *smtc2*; Otherwise  $T_{SMTCperiod}$  corresponds to the value of higher layer parameter *smtc1*.

Longer evaluation period would be expected if the combination of SSB, SMTC occasion and measurement gap configurations does not meet previous conditions.

Editor's Note: FFS what evaluation period would be expected if SSB are in the same OFDM symbols with RLM/BFD/CBD-RS, or other SSB.

**Table 9.5.4.1-1: Measurement period  $T_{L1-RSRP\_Measurement\_Period\_SSB}$  for FR1**

Configuration	$T_{L1-RSRP\_Measurement\_Period\_SSB}$ (ms)
non-DRX	$\max(T_{Report}, \text{ceil}(M*P)*T_{SSB})$
DRX cycle $\leqslant 320\text{ms}$	$\max(T_{Report}, \text{ceil}(1.5*M*P)*\max(T_{DRX}, T_{SSB}))$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M*P)*T_{DRX}$

Note:  $T_{SSB}$  = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement.  $T_{DRX}$  is the DRX cycle length.  $T_{Report}$  is configured periodicity for reporting.

**Table 9.5.4.1-2: Measurement period  $T_{L1-RSRP\_Measurement\_Period\_SSB}$  for FR2**

Configuration	$T_{L1-RSRP\_Measurement\_Period\_SSB}$ (ms)
non-DRX	$\max(T_{Report}, \text{ceil}(M^*P^*N)^*T_{SSB})$
DRX cycle $\leqslant 320\text{ms}$	$\max(T_{Report}, \text{ceil}(1.5^*M^*P^*N)^*\max(T_{DRX}, T_{SSB}))$
DRX cycle $> 320\text{ms}$	$\text{ceil}(1.5^*M^*P^*N)^*T_{DRX}$

Note:  $T_{SSB}$  = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement.  $T_{DRX}$  is the DRX cycle length.  $T_{Report}$  is configured periodicity for reporting.

### 9.5.4.2 CSI-RS based L1-RSRP Reporting

The UE shall be capable of performing L1-RSRP measurements based on the configured CSI-RS resource for L1-RSRP computation, and the UE physical layer shall be capable of reporting L1-RSRP measured over the measurement period of  $T_{L1-RSRP\_Measurement\_Period\_CSI-RS}$ .

The value of  $T_{L1-RSRP\_Measurement\_Period\_CSI-RS}$  is defined in Table 9.5.4.2-1 for FR1 and in Table 9.5.4.2-2 for FR2, where

- For periodic and semi-persistent CSI-RS resources,  $M=1$  if higher layer parameter *timeRestrictionForChannelMeasurement* is configured, and  $M=3$  otherwise
- For aperiodic CSI-RS resources  $M=1$
- For periodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to OFF,  $N=1$ . The requirements apply if *qcl-InfoPeriodicCSI-RS* is configured for all the resources in the resource set and for each resource one RS has QCL-TypeD with
  - SSB for L1-RSRP measurement, or
  - another CSI-RS in resource set configured with repetition ON.
- For periodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to ON,  $N=\text{ceil}(maxNumberRxBeam / N_{res\_per\_set})$ , where  $N_{res\_per\_set}$  is number of resources in the resource set. The requirements apply provided *qcl-InfoPeriodicCSI-RS* is configured for all resources in the resource set.
- For semi-persistent CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to OFF,  $N=1$ . The requirements apply provided TCI state is provided for all resources in the resource set in the MAC CE activating the resource set and for each resource one RS has QCL-TypeD with
  - SSB for L1-RSRP measurement, or
  - another CSI-RS in resource set configured with repetition ON.
- For semi-persistent CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to ON,  $N=\text{ceil}(maxNumberRxBeam / N_{res\_per\_set})$ , where  $N_{res\_per\_set}$  is number of resources in the resource set. The requirements apply provided TCI state is provided for all resources in the resource set in the MAC CE activating the resource set.
- For aperiodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to OFF,  $N=1$ . The requirements apply provided *qcl-info* is configured for all resources in the resource set and for each resource one RS has QCL-TypeD with
  - SSB for L1-RSRP measurement, or
  - another CSI-RS in resource set configured with repetition ON.
- For aperiodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to ON,  $N=1$ . UE is not required to meet the accuracy requirements in clause 10.1.19.2 and 10.1.20.2 if number of resources in the resource set is smaller than *maxNumberRxBeam*. The requirements apply provided *qcl-info* is configured for all resources in the resource set.

For FR1,

- $P=1/(1 - T_{CSI-RS}/MGRP)$ , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the CSI-RS; and
- $P=1$  when in the monitored cell there are no measurement gaps overlapping with any occasion of the CSI-RS.

For FR2,

- $P=1$ , when CSI-RS is not overlapped with measurement gap and also not overlapped with SMTA occasion.
- $P=1/(1 - T_{CSI-RS}/MGRP)$ , when CSI-RS is partially overlapped with measurement gap and CSI-RS is not overlapped with SMTA occasion ( $T_{CSI-RS} < MGRP$ )
- $P=1/(1 - T_{CSI-RS} / T_{SMTA_{period}})$ , when CSI-RS is not overlapped with measurement gap and CSI-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTA_{period}}$ ).
- $P$  is  $P_{sharing\ factor}$ , when CSI-RS is not overlapped with measurement gap and CSI-RS is fully overlapped with SMTA occasion ( $T_{CSI-RS} = T_{SMTA_{period}}$ ).
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP - T_{CSI-RS} / T_{SMTA_{period}})$ , when CSI-RS is partially overlapped with measurement gap and CSI-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTA_{period}}$ ) and SMTA occasion is not overlapped with measurement gap and

  - $T_{SMTA_{period}} \neq MGRP$  or
  - $T_{SMTA_{period}} = MGRP$  and  $T_{CSI-RS} < 0.5 * T_{SMTA_{period}}$

- $P$  is  $1/(1 - T_{CSI-RS} / MGRP) * P_{sharing\ factor}$ , when CSI-RS is partially overlapped with measurement gap and CSI-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTA_{period}}$ ) and SMTA occasion is not overlapped with measurement gap and  $T_{SMTA_{period}} = MGRP$  and  $T_{CSI-RS} = 0.5 * T_{SMTA_{period}}$
- $P$  is  $1/\{1 - T_{CSI-RS} / \min(T_{SMTA_{period}}, MGRP)\}$ , when CSI-RS is partially overlapped with measurement gap ( $T_{CSI-RS} < MGRP$ ) and CSI-RS is partially overlapped with SMTA occasion ( $T_{CSI-RS} < T_{SMTA_{period}}$ ) and SMTA occasion is partially or fully overlapped with measurement gap.
- $P$  is  $1/(1 - T_{CSI-RS} / MGRP) * P_{sharing\ factor}$ , when CSI-RS is partially overlapped with measurement gap and CSI-RS is fully overlapped with SMTA occasion ( $T_{CSI-RS} = T_{SMTA_{period}}$ ) and SMTA occasion is partially overlapped with measurement gap ( $T_{SMTA_{period}} < MGRP$ )
- $P_{sharing\ factor}$  is 3.

Where:

$T_{SMTA_{period}}$  = the configured SMTA1 period or SMTA2 period if configured.

$T_{CSI-RS}$  = the periodicity of CSI-RS configured for L1-RSRP measurement

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured,  $T_{SMTA_{period}}$  corresponds to the value of higher layer parameter *smtc2*; Otherwise  $T_{SMTA_{period}}$  corresponds to the value of higher layer parameter *smtc1*.

Note: The overlap between CSI-RS for L1-RSRP measurement and SMTA means that CSI-RS for L1-RSRP measurement is within the SMTA window duration.

Longer evaluation period would be expected if the combination of CSI-RS, SMTA occasion and measurement gap configurations does not meet previous conditions.

Editor's Note: FFS what evaluation period would be expected if CSI-RS are in the same OFDM symbols with RLM/BFD/CBD-RS, or other CSI-RS.

**Table 9.5.4.2-1: Measurement period  $T_{L1-RSRP\_Measurement\_Period\_CSI-RS}$  for FR1**

Configuration	$T_{L1-RSRP\_Measurement\_Period\_CSI-RS} \text{ (ms)}$
non-DRX	$\max(T_{Report}, \text{ceil}(M^*P)^*T_{CSI-RS})$
DRX cycle $\leq 320\text{ms}$	$\max(T_{Report}, \text{ceil}(1.5^*M^*P)^*\max(T_{DRX}, T_{CSI-RS}))$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M^*P)^*T_{DRX}$

Note 1:  $T_{CSI-RS}$  is the periodicity of CSI-RS configured for L1-RSRP measurement.  $T_{DRX}$  is the DRX cycle length.  $T_{Report}$  is configured periodicity for reporting.

Note 2: the requirements are applicable provided that the CSI-RS resource configured for L1-RSRP measurement is transmitted with Density = 3.

**Table 9.5.4.2-2: Measurement period  $T_{L1-RSRP\_Measurement\_Period\_CSI-RS}$  for FR2**

Configuration	$T_{L1-RSRP\_Measurement\_Period\_CSI-RS} \text{ (ms)}$
non-DRX	$\max(T_{Report}, \text{ceil}(M^*P^*N)^*T_{CSI-RS})$
DRX cycle $\leq 320\text{ms}$	$\max(T_{Report}, \text{ceil}(1.5^*M^*P^*N)^*\max(T_{DRX}, T_{CSI-RS}))$
DRX cycle $> 320\text{ms}$	$\text{ceil}(M^*P^*N)^*T_{DRX}$

Note 1:  $T_{CSI-RS}$  is the periodicity of CSI-RS configured for L1-RSRP measurement.  $T_{DRX}$  is the DRX cycle length.  $T_{Report}$  is configured periodicity for reporting.

Note 2: the requirements are applicable provided that the CSI-RS resource configured for L1-RSRP measurement is transmitted with Density = 3.

## 9.5.5 Measurement restriction for CSI-RS and SSB for L1-RSRP measurement

The UE is required to be capable of measuring SSB and CSI-RS for L1-RSRP without measurement gaps. The UE is required to perform the SSB and CSI-RS measurements with measurement restrictions as described in the following clauses.

### 9.5.5.1 Measurement restriction for SSB based L1-RSRP

For FR1, when the SSB for L1-RSRP measurement is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for L1-RSRP measurement without any restriction;
- If SSB and CSI-RS have different SCS,
  - If UE supports simultaneousRxDataSSB-DiffNumerology, UE shall be able to measure the SSB for L1-RSRP measurement without any restriction;
  - If UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both SSB for L1-RSRP measurement and CSI-RS. Longer measurement period for SSB based L1-RSRP measurement is expected, and no requirements are defined.

For FR2, when the SSB for L1-RSRP measurement is in the same OFDM symbol as CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE is required to measure one of but not both SSB for L1-RSRP measurement and CSI-RS. Longer measurement period for SSB based L1-RSRP measurement is expected, and no requirements are defined.

### 9.5.5.2 Measurement restriction for CSI-RS based L1-RSRP

For both FR1 and FR2, when the CSI-RS for L1-RSRP measurement is in the same OFDM symbol as SSB for RLM/BFD/CBD/L1-RSRP measurement, UE is not required to receive CSI-RS for L1-RSRP measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for L1-RSRP measurement, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM/BFD/CBD/L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for L1-RSRP measurement, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.
- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for L1-RSRP measurement and SSB. Longer measurement period for CSI-RS based L1-RSRP measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for L1-RSRP measurement is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement, UE shall be able to measure the CSI-RS for L1-RSRP measurement without any restriction.

For FR2, when the CSI-RS for L1-RSRP measurement is in the same OFDM symbol as SSB for RLM/BFD/L1-RSRP measurement, or in the same symbol as SSB for CBD when beam failure is detected, UE is required to measure one of but not both CSI-RS for L1-RSRP measurement and SSB. Longer measurement period for CSI-RS based L1-RSRP measurement is expected, and no requirements are defined.

For FR2, when the CSI-RS for L1-RSRP measurement is in the same OFDM symbol as another CSI-RS for RLM/BFD/CBD/L1-RSRP measurement,

- In the following cases, UE is required to measure one of but not both CSI-RS for L1-RSRP measurement and the other CSI-RS. Longer measurement period for CSI-RS based L1-RSRP measurement is expected, and no requirements are defined.
  - The CSI-RS for L1-RSRP measurement or the other CSI-RS in a resource set configured with repetition ON, or
  - The other CSI-RS is configured in q1 and beam failure is detected, or
  - The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,
- Otherwise, UE shall be able to measure the CSI-RS for L1-RSRP measurement without any restriction.

## 9.5.6 Scheduling availability of UE during L1-RSRP measurement

Scheduling availability restrictions when the UE is performing L1-RSRP measurement are described in the following clauses.

### 9.5.6.1 Scheduling availability of UE performing L1-RSRP measurement with a same subcarrier spacing as PDSCH/PDCCH on FR1

There are no scheduling restrictions due to L1-RSRP measurement performed on SSB and CSI-RS configured as RS for L1-RSRP measurement with the same SCS as PDSCH/PDCCH in FR1.

### 9.5.6.2 Scheduling availability of UE performing L1-RSRP measurement with a different subcarrier spacing than PDSCH/PDCCH on FR1

For UEs which support *simultaneousRxDataSSB-DiffNumerology* [14] there are no restrictions on scheduling availability due to L1-RSRP measurement based on SSB as RS for L1-RSRP measurement. For UEs which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to L1-RSRP measurement based on SSB configured as RS for L1-RSRP measurement.

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking /CSI-RS for CQI on SSB symbols to be measured for L1-RSRP measurement.

When intra-band carrier aggregation in FR1 is configured, the scheduling restrictions on serving cell where L1-RSRP measurement is performed apply to all serving cells in the same band on the symbols that fully or partially overlap with

restricted symbols. When inter-band carrier aggregation within FR1 is configured, there are no scheduling restrictions on FR1 serving cell(s) configured in other bands than the bands in which the serving cell where L1-RSRP measurement is performed is configured.

### 9.5.6.3 Scheduling availability of UE performing L1-RSRP measurement on FR2

The following scheduling restriction applies due to L1-RSRP measurement.

- For the case where RS for L1-RSRP measurement is CSI-RS which is QCLED with active TCI state for PDCCH/PDSCH and not in a CSI-RS resource set with repetition ON, and N=1 applies as specified in clause 9.4.5.2
  - There are no scheduling restrictions due to L1-RSRP measurement performed based on the CSI-RS.
- Otherwise
  - The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on RS for L1-RSRP measurement symbols to be measured for L1-RSRP measurement.

When intra-band carrier aggregation is performed, the scheduling restrictions on serving cell where L1-RSRP measurement is performed apply to all serving cells in the band on the symbols that fully or partially overlap with restricted symbols.

If following conditions are met,

- UE has been notified about system information update through paging,
- The gap between UE's reception of PDCCH that UE monitors in the Type 2-PDCCH CSS set and that notifies system information update, and the PDCCH that UE monitors in the Type0-PDCCH CSS set, is greater than X slots,

For the SSB and CORESET for RMSI scheduling multiplexing patterns 3, UE is expected to receive the PDCCH that UE monitors in the Type0-PDCCH CSS set, and the corresponding PDSCH, on SSB symbols to be measured; and

For the SSB and CORESET for RMSI scheduling multiplexing patterns 2, UE is expected to receive PDSCH that corresponds to the PDCCH that UE monitors in the Type0-PDCCH CSS set, on SSB symbols to be measured.

### 9.5.6.4 Scheduling availability of UE performing L1-RSRP measurement on FR1 or FR2 in case of FR1-FR2 inter-band CA

There are no scheduling restrictions on FR1 serving cell(s) due to L1-RSRP measurement performed on FR2 serving cell(s).

There are no scheduling restrictions on FR2 serving cell(s) due to L1-RSRP measurement performed on FR1 serving cell(s).

## 9.6 NE-DC: Measurements

### 9.6.1 Introduction

This clause contains requirements for UE supporting dual connectivity with NR PCell and E-UTRA FDD or TDD PSCell. The requirements apply to UEs that have been configured with NE-DC.

### 9.6.2 SFTD Measurements

#### 9.6.2.1 Introduction

This clause contains requirements on UE capabilities for reporting of SFN and frame time difference between NR PCell and E-UTRA PSCell in RRC\_CONNECTED state. The requirements comprise measurement reporting delay and

measurement accuracy. The overall measurement reporting delay includes a RRC procedure delay specified in TS 38.331 [2], and the SFTD measurement reporting delay specified below.

### 9.6.2.2 SFTD Measurement requirements

When no DRX is used in either of the NR PCell and E-UTRA PSCell, the physical layer measurement period of the SFTD measurement shall be  $T_{\text{measure\_SFTD1}} = [\max(0.2, [5] \times \text{SMTC period})]$  s.

When DRX is used in either of the NR PCell or the E-UTRA PSCell, or in both PCell and PSCell, the physical layer measurement period ( $T_{\text{measure\_SFTD1}}$ ) of the SFTD measurement shall be as specified in Table 9.6.2.2-1.

**Table 9.6.2.2-1: SFTD measurement requirement when DRX is used**

DRX cycle length (s) <sup>Note2</sup>	$T_{\text{measure\_SFTD1}} (\text{s})$
DRX cycle $\leq 0.04$	$[\max(0.2, [5] \times \text{SMTC period})]$ (Note1)
$[0.04 < \text{DRX cycle} \leq 0.32]$	$[8 \times \max(\text{DRX cycle}, \text{SMTC period})]$
$[0.32 < \text{DRX cycle} \leq 10.24]$	$[5 \times \text{DRX cycle}]$

Note1: Number of DRX cycles depends upon the DRX cycle in use  
 Note2: DRX cycle length in this table refers to the DRX cycle length configured for PCell or PSCell. When DRX is used in both PCell and PSCell, DRX cycle length in this table refers to the longer of the DRX cycle lengths for PCell and PSCell.

If PSCell is changed without changing carrier frequency of PSCell while the UE is performing SFTD measurements, the UE shall still meet SFTD measurement and accuracy requirements for the new PSCell. In this case the UE shall restart the SFTD measurement, and the total physical layer measurement period shall not exceed  $T_{\text{measure\_SFTD2}}$  as defined by the following expression:

$$T_{\text{measure\_SFTD2}} = (M+1) * (T_{\text{measure\_SFTD1}}) + M * T_{\text{PSCell\_change\_NEDC}}$$

where:

M is the number of times the E-UTRA PSCell is changed over the measurement period ( $T_{\text{measure\_SFTD2}}$ ), and

$T_{\text{PSCell\_change\_NEDC}}$  is the time necessary to change the PSCell; it can be up to [25] ms.

If PCell is changed, or if PSCell is changed to a different carrier frequency, the UE shall terminate the SFTD measurement.

The measurement accuracy for the SFTD measurement when DRX is used as well as when no DRX is used shall be as specified in clause TBD.

## 10 Measurement Performance requirements

Editor's note: Accuracy requirement might be an individual top-level chapter to maintain since it is the performance part.

### 10.1 NR measurements

#### 10.1.1 Introduction

*Editor's note: new measurement metrics may be added according to the RAN4 discussion. Absolute/relative accuracy requirement, mapping table of RSRP/RSRQ may be specified in this section. The numerology and BW combinations might be reflected in the accuracy requirement table.*

The requirements in clause 10.1 apply as follows:

- intra-frequency requirements apply for PCell measurements in SA, NR-DC, or NE-DC operation mode,
- intra-frequency requirements apply for PSCell measurements in NR-DC or EN-DC operation mode,

- intra-frequency requirements apply for SCell measurements in SA operation mode with NR CA or any MR-DC operaion mode with NR CA,
- inter-frequency requirements apply for non-serving cell measurements on NR carrier frequencies.

In the requirements of clause 10.1, the exceptions for side conditions apply as follows:

- for the UE capable of CA but not configured with any SCell, the applicable exceptions for side conditions are specified in Annex B, clause B.3.2.1 for UE supporting CA in FR1, and clause B.3.2.3 for UE supporting CA in FR2, respectively;
- for the UE capable of CA and configured with at least one SCell, the applicable exceptions for side conditions are specified in Annex B, clause B.3.2.2 for UE configured with CA in FR1, and clause B.3.2.4 for UE supporting CA in FR2 respectively;
- for the UE capable of SUL but not configured with SUL, the applicable exceptions for side conditions are specified in Annex B, clause B.3.4.1 for UE supporting SUL in FR1;
- for the UE capable of SUL and configured with at least one SUL, the applicable exceptions for side conditions are specified in Annex B, clause B.3.4.2 for UE configured with SUL in FR1.

## 10.1.2 Intra-frequency RSRP accuracy requirements for FR1

### 10.1.2.1 Intra-frequency SS-RSRP accuracy requirements

#### 10.1.2.1.1 Absolute SS-RSRP Accuracy

Unless otherwise specified, the requirements for absolute accuracy of SS-RSRP in this clause apply to a cell on the same frequency as that of the serving cell in FR1.

The accuracy requirements in Table 10.1.2.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band for each relevant SSB.

**Table 10.1.2.1.1-1: SS-RSRP Intra frequency absolute accuracy in FR1**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Es/lot	NR operating band groups Note 2	Io <sup>Note 1</sup> range			Maximum Io
				Minimum Io		dBm / SCS <sub>SSB</sub>	
dB	dB	dB		SCS <sub>SSB</sub> = 15 kHz		dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>
				SCS <sub>SSB</sub> = 30 kHz			
$\pm 4.5$	$\pm 9$	$\geq -6 \text{ dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-121	-118	N/A	-70
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-70
			NR_TDD_FR1_C	-120	-117	N/A	-70
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-70
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-70
			NR_FDD_FR1_G	-118	-115	N/A	-70
			NR_FDD_FR1_H	-117.5	-114.5	N/A	-70
$\pm 8$	$\pm 11$	$\geq -6 \text{ dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A, NR_FDD_FR1_B, NR_TDD_FR1_C, NR_FDD_FR1_D, NR_TDD_FR1_D, NR_FDD_FR1_E, NR_TDD_FR1_E, NR_FDD_FR1_G, NR_FDD_FR1_H	N/A	N/A	-70	-50
			NOTE 1: Io is assumed to have constant EPRE across the bandwidth.		NOTE 2: NR operating band groups in FR1 are as defined in clause 3.5.2.		

### 10.1.2.1.2 Relative SS-RSRP Accuracy

The relative accuracy of SS-RSRP is defined as the SS-RSRP measured from one cell compared to the SS-RSRP measured from another cell on the same frequency, or between any two SS RSRP levels measured on the same cell in FR1.

The accuracy requirements in Table 10.1.2.1.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band for each relevant SSB.

Table 10.1.2.1.2-1: SS-RSRP Intra frequency relative accuracy in FR1

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Es/lot Note 2	NR operating band groups Note 4	Io <sup>Note 1</sup> range			Maximum Io
				Minimum Io		dBm / SCS <sub>SSB</sub>	
dB	dB	dB		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>
±2	±3	≥-3 dB	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-121	-118	N/A	-50
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-50
			NR_TDD_FR1_C	-120	-117	N/A	-50
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-50
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-50
			NR_FDD_FR1_G	-118	-115	N/A	-50
			NR_FDD_FR1_H	-117.5	-114.5	N/A	-50
±3	±3	≥-6 dB	Note 3	Note 3	Note 3	N/A	Note 3
NOTE 1: Io is assumed to have constant EPRE across the bandwidth.							
NOTE 2: The parameter SSB Es/lot is the minimum SSB Es/lot of the pair of cells to which the requirement applies.							
NOTE 3: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.							
NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.							

## 10.1.2.2 Intra-frequency [CSI-RS RSRP] accuracy requirements

## 10.1.3 Intra-frequency RSRP accuracy requirements for FR2

### 10.1.3.1 Intra-frequency SS-RSRP accuracy requirements

#### 10.1.3.1.1 Absolute SS-RSRP Accuracy

Unless otherwise specified, the requirements for absolute accuracy of SS-RSRP in this clause apply to a cell on the same frequency as that of the serving cell in FR2.

The accuracy requirements in Table 10.1.3.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band for each relevant SSB.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.3.1.1-1: SS-RSRP Intra frequency absolute accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$	Io <sup>Note 2</sup> range			
dB	dB		Minimum Io		Maximum Io	
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 120kHz	SCS <sub>SSB</sub> = 240kHz	
$\pm[6]$	$\pm[9]$	$\geq -6$	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		N/A	
			N/A		-70	
$\pm[8]$	$\pm[11]$		N/A		-50	

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.

Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.

Note 3: In the test cases, the SSB  $\hat{E}_{s/lot}$  and related parameters may need to be adjusted to ensure  $\hat{E}_{s/lot}$  at UE baseband is above the value defined in this table.

### 10.1.3.1.2 Relative SS-RSRP Accuracy

The relative accuracy of SS-RSRP is defined as the SS-RSRP measured from one cell compared to the SS-RSRP measured from another cell on the same frequency, or between any two SS RSRP levels measured on the same cell in FR2.

- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.3.1.2-1: SS-RSRP Intra frequency relative accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$	Io <sup>Note 2</sup> range			
dB	dB		Minimum Io		Maximum Io	
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 120kHz	SCS <sub>SSB</sub> = 240kHz	
$\pm 6$	$\pm 9$	$\geq -6$	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		N/A	
			N/A		-50	

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.

Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.

Note 3: In the test cases, the SSB  $\hat{E}_{s/lot}$  and related parameters may need to be adjusted to ensure  $\hat{E}_{s/lot}$  at UE baseband is above the value defined in this table.

### 10.1.3.2 Intra-frequency [CSI-RS RSRP] accuracy requirements

### 10.1.4 Inter-frequency RSRP accuracy requirements for FR1

#### 10.1.4.1 Inter-frequency SS-RSRP accuracy requirements

##### 10.1.4.1.1 Absolute Accuracy of SS-RSRP in FR1

The requirements for absolute accuracy of SS-RSRP in this clause apply to a cell on a frequency in FR1 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.4.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.

**Table 10.1.4.1.1-1: SS-RSRP Inter frequency Absolute accuracy in FR1**

Accuracy		Conditions								
Normal condition	Extreme condition	SSB Es/lot Note 2	NR operating band groups Note 3	Io Note 1 range			Maximum Io			
				Minimum Io		dBm / SCSSSB				
dB	dB	dB	≥ -6 dB	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>			
±4.5	±9	≥ -6 dB		NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-121	-118		N/A	-70	
				NR_FDD_FR1_B	-120.5	-117.5		N/A	-70	
				NR_TDD_FR1_C	-120	-117		N/A	-70	
				NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5		N/A	-70	
				NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116		N/A	-70	
				NR_FDD_FR1_G	-118	-115		N/A	-70	
				NR_FDD_FR1_H	-117.5	-114.5		N/A	-70	
				NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A, NR_FDD_FR1_B, NR_TDD_FR1_C, NR_FDD_FR1_D, NR_TDD_FR1_D, NR_FDD_FR1_E, NR_TDD_FR1_E, NR_FDD_FR1_G, NR_FDD_FR1_H	N/A	N/A		-70	-50	
NOTE 1: Io is assumed to have constant EPRE across the bandwidth. NOTE 2: Void NOTE 3: NR operating band groups in FR1 are as defined in clause 3.5.2.										

#### 10.1.4.1.2 Relative Accuracy of SS-RSRP in FR1

The relative accuracy of SS-RSRP in inter frequency case is defined as the RSRP measured from one cell on a frequency in FR1 compared to the RSRP measured from another cell on a different frequency in FR1.

The accuracy requirements in Table 10.1.4.1.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] Clause 7.3 for reference sensitivity are fulfilled.

- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.
- $|SSB\_RP1_{dBm} - SSB\_RP2_{dBm}| \leq 27 \text{ dB}$
- $|Channel 1\_Io - Channel 2\_Io| \leq 20 \text{ dB}$

**Table 10.1.4.1.2-1: SS-RSRP Inter frequency relative accuracy in FR1**

Accuracy		Conditions						
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$ Note 2	Io <sup>Note 1</sup> range				Maximum Io	
			NR operating band groups Note 3		Minimum Io			
$\pm 4.5$	$\pm 6$	$\geq -6 \text{ dB}$	dBm / SCS <sub>SSB</sub>		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	
			NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL_FR1_A	-121	-118	N/A	-50	
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-50	
			NR_TDD_FR1_C	-120	-117	N/A	-50	
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-50	
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-50	
NOTE 1: Io is assumed to have constant EPRE across the bandwidth.								
NOTE 2: The parameter SSB $\hat{E}_{s/lot}$ is the minimum SSB $\hat{E}_{s/lot}$ of the pair of cells to which the requirement applies.								
NOTE 3: NR operating band groups in FR1 are as defined in clause 3.5.2.								

## 10.1.4.2 Inter-frequency [CSI-RS RSRP] accuracy requirements

## 10.1.5 Inter-frequency RSRP accuracy requirements for FR2

### 10.1.5.1 Inter-frequency SS-RSRP accuracy requirements

#### 10.1.5.1.1 Absolute SS-RSRP Accuracy

Unless otherwise specified, the requirements for absolute accuracy of SS-RSRP in this clause apply to a cell on a frequency in FR2 that is on a different frequency than the serving cell.

The accuracy requirements in Table 10.1.5.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.5.1.1-1: SS-RSRP Inter frequency absolute accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB Es/lot	Io <sup>Note 2</sup> range			
dB	dB		Minimum Io		Maximum Io	
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 120kHz	dBm/BW <sub>Channel</sub>	
±[6]	±[9]	≥-4	Same value as SSB_RP in Table B.2.3-2, according to UE Power class, operating band and angle of arrival	N/A	-70	
±[8]	±[11]		N/A	-70	-50	

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.

Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.

Note 3: In the test cases, the SSB Es/lot and related parameters may need to be adjusted to ensure Es/lot at UE baseband is above the value defined in this table.

### 10.1.5.1.2 Relative SS-RSRP Accuracy

The relative accuracy of SS-RSRP is defined as the SS-RSRP measured from one cell on a frequency in FR2 compared to the SS-RSRP measured from another cell on another frequency in FR2.

The accuracy requirements in Table 10.1.5.1.2-1 are valid under the following conditions:

- Conditions defined in 38.101-2 [19] Clause 7.3 for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.
- $|SSB\_RP1_{dBm} - SSB\_RP2_{dBm}| \leq 27\text{dB}$
- $|Channel 1\_Io - Channel 2\_Io| \leq 20 \text{ dB}$
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.5.1.2-1: SS-RSRP Inter frequency relative accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB Es/lot	Io <sup>Note 2</sup> range			
dB	dB		Minimum Io		Maximum Io	
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 120kHz	dBm/BW <sub>Channel</sub>	
±6	±9	≥-4	Same value as SSB_RP in Table B.2.3-2, according to UE Power class, operating band and angle of arrival		-50	

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.

Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.

Note 3: In the test cases, the SSB Es/lot and related parameters may need to be adjusted to ensure Es/lot at UE baseband is above the value defined in this table.

### 10.1.5.2 Inter-frequency [CSI-RS RSRP] accuracy requirements

## 10.1.6 RSRP Measurement Report Mapping

The reporting range of SS-RSRP for L3 reporting is defined from -156 dBm to -31 dBm with 1 dB resolution. The reporting range of SS-RSRP and CSI-RSRP for L1 reporting is defined from -140 to -40 dBm with 1 dB resolution.

The mapping of measured quantity is defined in Table 10.1.6.1-1. The range in the signalling may be larger than the guaranteed accuracy range.

The reporting range of differential SS-RSRP and CSI-RSRP for L1 reporting is defined from 0 dBm to -30 dB with 2 dB resolution.

The mapping of measured quantity is defined in Table 10.1.6.1-2. The range in the signalling may be larger than the guaranteed accuracy range.

**Table 10.1.6.1-1: SS-RSRP and CSI-RSRP measurement report mapping**

<b>Reported value</b>	<b>Measured quantity value(L3 SS-RSRP)</b>	<b>Measured quantity value(L1 SS-RSRP and CSI-RSRP)</b>	<b>Unit</b>
RSRP_0	SS-RSRP<-156	Not valid	dBm
RSRP_1	-156≤ SS-RSRP<-155	Not valid	dBm
RSRP_2	-155≤ SS-RSRP<-154	Not valid	dBm
RSRP_3	-154≤ SS-RSRP<-153	Not valid	dBm
RSRP_4	-153≤ SS-RSRP<-152	Not valid	dBm
RSRP_5	-152≤ SS-RSRP<-151	Not valid	dBm
RSRP_6	-151≤ SS-RSRP<-150	Not valid	dBm
RSRP_7	-150≤ SS-RSRP<-149	Not valid	dBm
RSRP_8	-149≤ SS-RSRP<-148	Not valid	dBm
RSRP_9	-148≤ SS-RSRP<-147	Not valid	dBm
RSRP_10	-147≤ SS-RSRP<-146	Not valid	dBm
RSRP_11	-146≤ SS-RSRP<-145	Not valid	dBm
RSRP_12	-145≤ SS-RSRP<-144	Not valid	dBm
RSRP_13	-144≤ SS-RSRP<-143	Not valid	dBm
RSRP_14	-143≤ SS-RSRP<-142	Not valid	dBm
RSRP_15	-142≤ SS-RSRP<-141	Not valid	dBm
RSRP_16	-141≤ SS-RSRP<-140	RSRP<-140	dBm
RSRP_17	-140≤ SS-RSRP<-139	-140≤ RSRP<-139	dBm
RSRP_18	-139≤ SS-RSRP<-138	-139≤ RSRP<-138	dBm
...	...	...	...
RSRP_111	-46≤ SS-RSRP<-45	-46≤ RSRP<-45	dBm
RSRP_112	-45≤ SS-RSRP<-44	-45≤ RSRP<-44	dBm
RSRP_113	-44≤ SS-RSRP<-43	-44≤ RSRP	dBm
RSRP_114	-43≤ SS-RSRP<-42	Not valid	dBm
RSRP_115	-42≤ SS-RSRP<-41	Not valid	dBm
RSRP_116	-41≤ SS-RSRP<-40	Not valid	dBm
RSRP_117	-40≤ SS-RSRP<-39	Not valid	dBm
RSRP_118	-39≤ SS-RSRP<-38	Not valid	dBm
RSRP_119	-38≤ SS-RSRP<-37	Not valid	dBm
RSRP_120	-37≤ SS-RSRP<-36	Not valid	dBm
RSRP_121	-36≤ SS-RSRP<-35	Not valid	dBm
RSRP_122	-35≤ SS-RSRP<-34	Not valid	dBm
RSRP_123	-34≤ SS-RSRP<-33	Not valid	dBm
RSRP_124	-33≤ SS-RSRP<-32	Not valid	dBm
RSRP_125	-32≤ SS-RSRP<-31	Not valid	dBm
RSRP_126	-31≤ SS-RSRP	Not valid	dBm
RSRP_127 (Note)	Infinity	Infinity	dBm

Note: The value of RSRP\_127 is applicable for RSRP threshold configured by the network as defined in TS 38.331 [2], but not for the purpose of measurement reporting.

**Table 10.1.6.1-2:Differential SS-RSRP and CSI-RSRP measurement report mapping**

Reported value	Measured quantity value(difference in measured RSRP from strongest RSRP)	Unit
DIFFRSRP_0	$0 \geq \Delta \text{RSRP} > -2$	dB
DIFFRSRP_1	$-2 \geq \Delta \text{RSRP} > -4$	dB
DIFFRSRP_2	$-4 \geq \Delta \text{RSRP} > -6$	dB
DIFFRSRP_3	$-6 \geq \Delta \text{RSRP} > -8$	dB
DIFFRSRP_4	$-8 \geq \Delta \text{RSRP} > -10$	dB
DIFFRSRP_5	$-10 \geq \Delta \text{RSRP} > -12$	dB
DIFFRSRP_6	$-12 \geq \Delta \text{RSRP} > -14$	dB
DIFFRSRP_7	$-14 \geq \Delta \text{RSRP} > -16$	dB
DIFFRSRP_8	$-16 \geq \Delta \text{RSRP} > -18$	dB
DIFFRSRP_9	$-18 \geq \Delta \text{RSRP} > -20$	dB
DIFFRSRP_10	$-20 \geq \Delta \text{RSRP} > -22$	dB
DIFFRSRP_11	$-22 \geq \Delta \text{RSRP} > -24$	dB
DIFFRSRP_12	$-24 \geq \Delta \text{RSRP} > -26$	dB
DIFFRSRP_13	$-26 \geq \Delta \text{RSRP} > -28$	dB
DIFFRSRP_14	$-28 \geq \Delta \text{RSRP} > -30$	dB
DIFFRSRP_15	$-30 \geq \Delta \text{RSRP}$	dB

## 10.1.7 Intra-frequency RSRQ accuracy requirements for FR1

### 10.1.7.1 Intra-frequency SS-RSRQ accuracy requirements in FR1

#### 10.1.7.1.1 Absolute SS-RSRQ Accuracy in FR1

Unless otherwise specified, the requirements for absolute accuracy of SS-RSRQ in this clause apply to a cell on the same frequency as that of the serving cell in FR1.

The accuracy requirements in Table 10.1.7.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band for each relevant SSB.

**Table 10.1.7.1.1-1: SS-RSRQ Intra frequency absolute accuracy in FR1**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Es/lot	NR operating band groups Note 3	Io Note 1 range			Maximum Io
				Minimum Io		dBm / SCS <sub>SSB</sub>	
dB	dB	dB		SCS <sub>SSB</sub> = 15 kHz		SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>
				-121	-118	N/A	
±2.5	±4	≥-3 dB	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL_FR1_A	-120.5	-117.5	N/A	-50
			NR_FDD_FR1_B	-120	-117	N/A	-50
			NR_TDD_FR1_C	-119.5	-116.5	N/A	-50
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119	-116	N/A	-50
			NR_FDD_FR1_E, NR_TDD_FR1_E	-118	-115	N/A	-50
			NR_FDD_FR1_G	-117.5	-114.5	N/A	-50
			NR_FDD_FR1_H	Note 2	Note 2	Note 2	Note 2
±3.5	±4	≥-6 dB		Note 2	Note 2	Note 2	Note 2

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.

NOTE 3: NR operating band groups in FR1 are as defined in clause 3.5.2.

## 10.1.8 Intra-frequency RSRQ accuracy requirements for FR2

### 10.1.8.1 Intra-frequency SS-RSRQ accuracy requirements in FR2

#### 10.1.8.1.1 Absolute SS-RSRQ Accuracy in FR2

Unless otherwise specified, the requirements for absolute accuracy of SS-RSRQ in this clause apply to a cell on the same frequency as that of the serving cell in FR2.

The accuracy requirements in Table 10.1.8.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band for each relevant SSB.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.8.1.1-1: SS-RSRQ Intra frequency absolute accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB Eb/lot	Io <sup>Note 2</sup> range			
dB	dB		Minimum Io		Maximum Io	
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 120kHz	SCS <sub>SSB</sub> = 240kHz	
±2.5	±4	≥-3	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		-50	
±3.5	±4	≥-6				

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.

Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.

Note 3: In the test cases, the SSB Eb/lot and related parameters may need to be adjusted to ensure Eb/lot at UE baseband is above the value defined in this table.

## 10.1.9 Inter-frequency RSRQ accuracy requirements for FR1

### 10.1.9.1 Inter-frequency SS-RSRQ accuracy requirements in FR1

#### 10.1.9.1.1 Absolute Accuracy of SS-RSRQ in FR1

The requirements for absolute accuracy of SS-RSRQ in this clause apply to a cell on a frequency in FR1 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.9.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.

**Table 10.1.9.1.1-1: SS-RSRQ Inter frequency absolute accuracy in FR1**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Eb/lot	Io <sup>Note 1</sup> range				
			NR operating band groups <sup>Note 3</sup>		Minimum Io		Maximum Io
				dBm / SCS <sub>SSB</sub>	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>
±2.5	±4	≥-3 dB	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A	-121	-118	N/A	-50
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-50
			NR_TDD_FR1_C	-120	-117	N/A	-50
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-50
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-50
			NR_FDD_FR1_G	-118	-115	N/A	-50
			NR_FDD_FR1_H	-117.5	-114.5	N/A	-50
±3.5	±4	≥-6 dB	Note 2	Note 2	Note 2	Note 2	Note 2

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.

NOTE 3: NR operating band groups in FR1 are as defined in clause 3.5.2.

### 10.1.9.1.2 Relative Accuracy of SS-RSRQ in FR1

The relative accuracy of SS-RSRQ in inter frequency case is defined as the RSRQ measured from one cell on a frequency in FR1 compared to the RSRP measured from another cell on a different frequency in FR1.

The accuracy requirements in Table 10.1.9.1.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.
- $|SSB\_RP1_{dBm} - SSB\_RP2_{dBm}| \leq 27 \text{ dB}$
- $|Channel 1\_Io - Channel 2\_Io| \leq 20 \text{ dB}$

**Table 10.1.9.1.2-1: SS-RSRQ Inter frequency relative accuracy in FR1**

Accuracy		Conditions							
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$ Note 2	NR operating band groups Note 4	Io Note 1 range			Maximum Io		
				dBm / SCS <sub>SSB</sub>		Minimum Io			
dB	dB	dB	$\geq -3 \text{ dB}$	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>		
$\pm 3$	$\pm 4$	$\geq -3 \text{ dB}$		NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	TBD	TBD	N/A	-50	
				NR_FDD_FR1_B	TBD	TBD	N/A	-50	
				NR_TDD_FR1_C	TBD	TBD	N/A	-50	
				NR_FDD_FR1_E, NR_TDD_FR1_E	TBD	TBD	N/A	-50	
				NR_FDD_FR1_G	TBD	TBD	N/A	-50	
				NR_FDD_FR1_H	TBD	TBD	N/A	-50	
$\pm 4$	$\pm 4$	$\geq -6 \text{ dB}$	Note 3		Note 3	Note 3	Note 3	Note 3	

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.  
 NOTE 2: The parameter SSB  $\hat{E}_{s/lot}$  is the minimum SSB  $\hat{E}_{s/lot}$  of the pair of cells to which the requirement applies.  
 NOTE 3: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.  
 NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.

## 10.1.10 Inter-frequency RSRQ accuracy requirements for FR2

### 10.1.10.1 Inter-frequency SS-RSRQ accuracy requirements in FR2

#### 10.1.10.1.1 Absolute Accuracy of SS-RSRQ in FR2

The requirements for absolute accuracy of SS-RSRQ in this clause apply to a cell on a frequency in FR2 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.10.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.10.1.1-1: SS-RSRQ Inter frequency absolute accuracy in FR2**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Eb/lot	Io <sup>Note 2</sup> range		dBm/BW <sub>Channel</sub>		
dB	dB		Minimum Io				
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 240kHz			
±2.5	±4	≥-3	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		-50		
±3.5	±4	≥-4					

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  
 Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  
 Note 3: In the test cases, the SSB Eb/lot and related parameters may need to be adjusted to ensure Eb/lot at UE baseband is above the value defined in this table.

### 10.1.10.1.2 Relative Accuracy of SS-RSRQ in FR2

The relative accuracy of SS-RSRQ in inter frequency case is defined as the RSRQ measured from one cell on a frequency in FR2 compared to the RSRP measured from another cell on a different frequency in FR2.

The accuracy requirements in Table 10.1.10.1.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band for each relevant SSB.
- $|SSB\_RP1_{dBm} - SSB\_RP2_{dBm}| \leq 27 \text{ dB}$
- $|Channel 1\_Io - Channel 2\_Io| \leq 20 \text{ dB}$
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.10.1.2-1: SS-RSRQ Inter frequency relative accuracy in FR2**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Eb/lot	Io <sup>Note 2</sup> range		dBm/BW <sub>Channel</sub>		
dB	dB		Minimum Io				
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 240kHz			
±3	±4	≥-3	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		-50		
±4	±4	≥-4					

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  
 Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  
 Note 3: The parameter SSB Eb/lot is the minimum SSB Eb/lot of the pair of cells to which the requirement applies.  
 Note 4: In the test cases, the SSB Eb/lot and related parameters may need to be adjusted to ensure Eb/lot at UE baseband is above the value defined in this table.

## 10.1.11 RSRQ report mapping

### 10.1.11.1 SS-RSRQ measurement report mapping

The reporting range of SS-RSRQ is defined from -43 dB to 20 dB with 0.5 dB resolution. The mapping of measured quantity is defined in Table 10.1.11.1-1. The range in the signalling may be larger than the guaranteed accuracy range.

**Table 10.1.11.1-1: SS-RSRQ measurement report mapping**

Reported value	Measured quantity value	Unit
SS-RSRQ_0	SS-RSRQ<-43	dB
SS-RSRQ_1	-43≤ SS-RSRQ<-42.5	dB
SS-RSRQ_2	-42.5≤ SS-RSRQ<-42	dB
SS-RSRQ_3	-42≤ SS-RSRQ<-41.5	dB
SS-RSRQ_4	-41.5≤ SS-RSRQ<-41	dB
..	..	...
SS-RSRQ_122	17.5≤ SS-RSRQ<18	dB
SS-RSRQ_123	18≤ SS-RSRQ<18.5	dB
SS-RSRQ_124	18.5≤ SS-RSRQ<19	dB
SS-RSRQ_125	19≤ SS-RSRQ<19.5	dB
SS-RSRQ_126	19.5≤ SS-RSRQ<20	dB
SS-RSRQ_127	20≤ SS-RSRQ	dB

## 10.1.12 Intra-frequency SINR accuracy requirements for FR1

### 10.1.12.1 Intra-frequency SS-SINR accuracy requirements in FR1

#### 10.1.12.1.1 Absolute SS-SINR Accuracy in FR1

Unless otherwise specified, the requirements for absolute accuracy of SS-SINR in this clause apply to a cell on the same frequency as that of the serving cell in FR1.

The accuracy requirements in Table 10.1.12.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band.

**Table 10.1.12.1.1-1: SS-SINR Intra frequency absolute accuracy in FR1**

Accuracy		Conditions						
Normal condition	Extreme condition	SSB $\hat{E}_s/\text{lot}$ Note 3	NR operating band groups Note 4	Io <sup>Note 1</sup> range			Maximum Io	
				Minimum Io		dBm / SCS <sub>SSB</sub>		
dB	dB	dB	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A  NR_FDD_FR1_B  NR_TDD_FR1_C  NR_FDD_FR1_D, NR_TDD_FR1_D  NR_FDD_FR1_E, NR_TDD_FR1_E  NR_FDD_FR1_G  NR_FDD_FR1_H	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>	
$\pm 3.0$	$\pm 4$	$\geq -3 \text{ dB}$		-121	-118	N/A	-50	
				-120.5	-117.5	N/A	-50	
				-120	-117	N/A	-50	
				-119.5	-116.5	N/A	-50	
				-119	-116	N/A	-50	
				-118	-115	N/A	-50	
				-117.5	-114.5	N/A	-50	
$\pm 3.5$	$\pm 4$	$\geq -6 \text{ dB}$	Note 2	Note 2	Note 2	Note 2	Note 2	

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.

NOTE 3: The requirements apply for SSB  $\hat{E}_s/\text{lot} \leq 25 \text{ dB}$ .

NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.

## 10.1.13 Intra-frequency SINR accuracy requirements for FR2

### 10.1.13.1 Intra-frequency SS-SINR accuracy requirements in FR2

#### 10.1.13.1.1 Absolute SS-SINR Accuracy in FR2

Unless otherwise specified, the requirements for absolute accuracy of SS-SINR in this clause apply to a cell on the same frequency as that of the serving cell in FR2.

The accuracy requirements in Table 10.1.13.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.2 for a corresponding Band.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.13.1.1-1: SS-SINR Intra frequency absolute accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$	Io <sup>Note 2</sup> range			
dB	dB		Minimum Io		Maximum Io	
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 120kHz	SCS <sub>SSB</sub> = 240kHz	
±3	±4	≥-3	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		-50	
±3.5	±4					
Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival. Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth. Note 3: In the test cases, the SSB $\hat{E}_{s/lot}$ and related parameters may need to be adjusted to ensure $\hat{E}_{s/lot}$ at UE baseband is above the value defined in this table. Note 4: The requirements apply for SSB $\hat{E}_{s/lot} \leq 25$ dB.						

## 10.1.14 Inter-frequency SINR accuracy requirements for FR1

### 10.1.14.1 Inter-frequency SS-SINR accuracy requirements in FR1

#### 10.1.14.1.1 Absolute Accuracy of SS-SINR in FR1

The requirements for absolute accuracy of SS-SINR in this clause apply to a cell on a frequency in FR1 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.14.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band.

**Table 10.1.14.1.1-1: SS-SINR Inter frequency absolute accuracy in FR1**

Accuracy		Conditions						
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$ Note 3	Io <sup>Note 1</sup> range			Maximum Io		
dB	dB		NR operating band groups <sup>Note 4</sup>	Minimum Io		dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>	
				dBm / SCS <sub>SSB</sub>	SCS <sub>SSB</sub> = 15 kHz			
±3.0	±4	≥-3 dB	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-121	-118	N/A	-50	
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-50	
			NR_TDD_FR1_C	-120	-117	N/A	-50	
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-50	
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-50	
			NR_FDD_FR1_G	-118	-115	N/A	-50	
			NR_FDD_FR1_H	-117.5	-114.5	N/A	-50	
			Note 2	Note 2	Note 2	Note 2	Note 2	
±3.5	±4	≥-6 dB	Note 2					
NOTE 1: Io is assumed to have constant EPRE across the bandwidth. NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement. NOTE 3: The requirements apply for SSB $\hat{E}_{s/lot} \leq 25$ dB. NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.								

### 10.1.14.1.2 Relative Accuracy of SS-SINR in FR1

The relative accuracy of SS-SINR in inter frequency case is defined as the SS-SINR measured from one cell on a frequency in FR1 compared to the SS-SINR measured from another cell on a different frequency in FR1.

The accuracy requirements in Table 10.1.14.1.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band.
- $|SSB\_RP1_{dBm} - SSB\_RP2_{dBm}| \leq 27 \text{ dB}$
- $|Channel 1\_Io - Channel 2\_Io| \leq 20 \text{ dB}$

**Table 10.1.14.1.2-1: SS-SINR Inter frequency relative accuracy in FR1**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB $\hat{E}_{s/lot}$ Note 2,4	NR operating band groups Note 5	Io <sup>Note 1</sup> range			Maximum Io
				Minimum Io		dBm / SCS <sub>SSB</sub>	
dB	dB	dB		SCS <sub>SSB</sub> = 120 kHz		dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>
				SCS <sub>SSB</sub> = 240 kHz			
$\pm 3.5$	$\pm 4$	$\geq -3 \text{ dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-121	-118	N/A	-50
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-50
			NR_TDD_FR1_C	-120	-117	N/A	-50
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-50
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-50
			NR_FDD_FR1_G	-118	-115	N/A	-50
			NR_FDD_FR1_H	-117.5	-114.5	N/A	-50
$\pm 4$	$\pm 4$	$\geq -6 \text{ dB}$	Note 3	Note 3	Note 3	Note 3	Note 3

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The parameter SSB  $\hat{E}_{s/lot}$  is the minimum SSB  $\hat{E}_{s/lot}$  of the pair of cells to which the requirement applies.

NOTE 3: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.

NOTE 4: The requirements apply for SSB  $\hat{E}_{s/lot} \leq [25] \text{ dB}$ .

NOTE 5: NR operating band groups in FR1 are as defined in clause 3.5.2.

## 10.1.15 Inter-frequency SINR accuracy requirements for FR2

### 10.1.15.1 Inter-frequency SS-SINR accuracy requirements in FR2

#### 10.1.15.1.1 Absolute Accuracy of SS-SINR in FR2

The requirements for absolute accuracy of SS-SINR in this clause apply to a cell on a frequency in FR2 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.15.1.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.15.1.1-1: SS-SINR Inter frequency absolute accuracy in FR2**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Eb/Hz	Io <sup>Note 2</sup> range		dBm/BW <sub>Channel</sub>		
dB	dB		Minimum Io				
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 240kHz			
±3	±4	≥-3	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		-50		
±3.5	±4	≥-4					

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  
 Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  
 Note 3: In the test cases, the SSB Eb/Hz and related parameters may need to be adjusted to ensure Eb/Hz at UE baseband is above the value defined in this table.  
 Note 4: The requirements apply for SSB Eb/Hz ≤ 25 dB.

### 10.1.15.1.2 Relative Accuracy of SS-SINR in FR2

The relative accuracy of SS-SINR in inter frequency case is defined as the SS-SINR measured from one cell on a frequency in FR2 compared to the SS-SINR measured from another cell on a different frequency in FR2.

The accuracy requirements in Table 10.1.15.1.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.3 for a corresponding Band.
- |SSB\_RP1<sub>dBm</sub> - SSB\_RP2<sub>dBm</sub>| ≤ 27 dB
- |Channel 1\_Io - Channel 2\_Io| ≤ 20 dB
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.15.1.2-1: SS-SINR Inter frequency relative accuracy in FR2**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Eb/Hz	Io <sup>Note 2</sup> range		dBm/BW <sub>Channel</sub>		
dB	dB		Minimum Io				
			dBm / SCS <sub>SSB</sub> <sup>Note 1</sup>	SCS <sub>SSB</sub> = 240kHz			
±3.5	±4	≥-3	Same value as SSB_RP in Table B.2.2-2, according to UE Power class, operating band and angle of arrival		-50		
±4	±4	≥-6					

Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  
 Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  
 Note 3: The parameter SSB Eb/Hz is the minimum SSB Eb/Hz of the pair of cells to which the requirement applies.  
 Note 4: In the test cases, the SSB Eb/Hz and related parameters may need to be adjusted to ensure Eb/Hz at UE baseband is above the value defined in this table.  
 Note 5: The requirements apply for SSB Eb/Hz ≤ 25 dB.

## 10.1.16 SINR report mapping

### 10.1.16.1 SS-SINR measurement report mapping

The reporting range of SS-SINR is defined from -23 dB to 40 dB with 0.5 dB resolution. The mapping of measured quantity is defined in Table 10.1.16.1-1. The range in the signalling may be larger than the guaranteed accuracy range.

**Table 10.1.16.1-1: SS-SINR measurement report mapping**

Reported value	Measured quantity value	Unit
SS-SINR_0	SS-SINR<-23	dB
SS-SINR_1	-23≤ SS-SINR<-22.5	dB
SS-SINR_2	-22.5≤ SS-SINR<-22	dB
SS-SINR_3	-22≤ SS-SINR<-21.5	dB
SS-SINR_4	-21.5≤ SS-SINR<-21	dB
..	..	...
SS-SINR_123	38≤ SS-SINR<38.5	dB
SS-SINR_124	38.5≤ SS-SINR<39	dB
SS-SINR_125	39≤ SS-SINR<39.5	dB
SS-SINR_126	39.5≤ SS-SINR<40	dB
SS-SINR_127	40≤ SS-SINR	dB

## 10.1.17 Power Headroom

### 10.1.17.1 Power Headroom Report

#### 10.1.17.1.1 Power Headroom Report Mapping

The power headroom reporting range is from -32 ...+38 dB. Table 10.1.17.1-1 defines the report mapping.

**Table 10.1.17.1-1: Power headroom report mapping**

Reported value	Measured quantity value (dB)
POWER_HEADROOM_0	PH < -32
POWER_HEADROOM_1	-32 ≤ PH < -31
POWER_HEADROOM_2	-31 ≤ PH < -30
POWER_HEADROOM_3	-30 ≤ PH < -29
...	...
POWER_HEADROOM_53	20 ≤ PH < 21
POWER_HEADROOM_54	21 ≤ PH < 22
POWER_HEADROOM_55	22 ≤ PH < 24
POWER_HEADROOM_56	24 ≤ PH < 26
POWER_HEADROOM_57	26 ≤ PH < 28
POWER_HEADROOM_58	28 ≤ PH < 30
POWER_HEADROOM_59	30 ≤ PH < 32
POWER_HEADROOM_60	32 ≤ PH < 34
POWER_HEADROOM_61	34 ≤ PH < 36
POWER_HEADROOM_62	36 ≤ PH < 38
POWER_HEADROOM_63	PH ≥ 38

## 10.1.18 $P_{C\text{MAX},c,f}$

The UE is required to report the UE configured maximum output power ( $P_{C\text{MAX},c,f}$ ) together with the power headroom. This clause defines the requirements for the  $P_{C\text{MAX},c,f}$  reporting.

### 10.1.18.1 Report Mapping

The  $P_{C\text{MAX},c,f}$  reporting range is defined from -29 dBm to 33 dBm with 1 dB resolution. Table 10.1.18.1-1 defines the reporting mapping.

**Table 10.1.18.1-1 Mapping of  $P_{C\text{MAX},c,f}$**

Reported value	Measured quantity value	Unit
PCMAX_C_00	$P_{C\text{MAX},c,f} < -29$	dBm
PCMAX_C_01	$-29 \leq P_{C\text{MAX},c,f} < -28$	dBm
PCMAX_C_02	$-28 \leq P_{C\text{MAX},c,f} < -27$	dBm
...	...	...
PCMAX_C_61	$31 \leq P_{C\text{MAX},c,f} < 32$	dBm
PCMAX_C_62	$32 \leq P_{C\text{MAX},c,f} < 33$	dBm
PCMAX_C_63	$33 \leq P_{C\text{MAX},c,f}$	dBm

## 10.1.19 L1-RSRP accuracy requirements for FR1

### 10.1.19.1 SSB based L1-RSRP accuracy requirements

#### 10.1.19.1.1 Absolute Accuracy

Unless otherwise specified, the requirements for absolute accuracy of SSB based L1-RSRP in this clause apply to all SSBs of the serving cell configured for L1-RSRP measurement.

The accuracy requirements in Table 10.1.19.1.1-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.1 for a corresponding Band for each relevant SSB.

**Table 10.1.19.1.1-1: SSB based L1-RSRP absolute accuracy in FR1**

Accuracy		Conditions					
Normal condition	Extreme condition	SSB Es/lot	NR operating band groups <sup>Note 2</sup>	Io <sup>Note 1</sup> range			Maximum Io
				Minimum Io		dBm / SCS <sub>SSB</sub>	
dB	dB	dB		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>
$\pm 5.0$	$\pm 9.5$	$\geq 3\text{dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-121	-118	N/A	-70
			NR_FDD_FR1_B	-120.5	-117.5	N/A	-70
			NR_TDD_FR1_C	-120	-117	N/A	-70
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	N/A	-70
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	N/A	-70
			NR_FDD_FR1_G	-118	-115	N/A	-70
			NR_FDD_FR1_H	-117.5	-114.5	N/A	-70
$\pm 8.5$	$\pm 11.5$	$\geq 3\text{dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A, NR_FDD_FR1_B, NR_TDD_FR1_C, NR_FDD_FR1_D, NR_TDD_FR1_D, NR_FDD_FR1_E, NR_TDD_FR1_E, NR_FDD_FR1_G, NR_FDD_FR1_H,	N/A	N/A	-70	-50
NOTE 1: Io is assumed to have constant EPRE across the bandwidth.							
NOTE 2: NR operating band groups in FR1 are as defined in clause 3.5.2.							

### 10.1.19.1.2 Relative Accuracy

The relative accuracy of SSB based L1-RSRP is defined as the L1-RSRP measured from one SSB compared to the largest measured value of L1-RSRP among all SSBs of the serving cell.

The accuracy requirements in Table 10.1.19.1.2-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.1 for a corresponding Band for each relevant SSB.

**Table 10.1.19.1.2-1: SSB based L1-RSRP relative accuracy in FR1**

Accuracy		Conditions						
Normal condition	Extreme condition	SSB Es/lot Note 2	NR operating band groups Note 4	Io <sup>Note 1</sup> range			Maximum Io	
				Minimum Io		dBm / SCS <sub>SSB</sub>		
dB	dB	dB	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>	
±3	±4	≥-3dB		-121	-118	N/A	-50	
				-120.5	-117.5	N/A	-50	
				-120	-117	N/A	-50	
				-119.5	-116.5	N/A	-50	
				-119	-116	N/A	-50	
				-118	-115	N/A	-50	
				-117.5	-114.5	N/A	-50	

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The parameter SSB Es/lot is the minimum SSB Es/lot of the pair of SSBs to which the requirement applies.

NOTE 3: Void

NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.

## 10.1.19.2 CSI-RS based L1-RSRP accuracy requirements

### 10.1.19.2.1 Absolute Accuracy

Unless otherwise specified, the requirements for absolute accuracy of CSI-RS based L1-RSRP in this clause apply to all CSI-RS resources of the serving cell configured for L1-RSRP measurement.

The accuracy requirements in Table 10.1.19.2.1-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.2 for a corresponding Band for each relevant CSI-RS.
- The bandwidth of CSI-RS is 48 PRBs and the density is 3.

The performance with larger bandwidth of CSI-RS is equal to or better than the accuracy requirements in Table 10.1.19.2.1-1.

Table 10.1.19.2.1-1: CSI-RS based L1-RSRP absolute accuracy in FR1

Accuracy		Conditions						
Normal condition	Extreme condition	CSI-RS Es/lot	NR operating band groups <sup>Note 2</sup>	Io <sup>Note 1</sup> range				
				Minimum Io			Maximum Io	
dB	dB	dB		dBm / SCS <sub>CSI-RS</sub>			dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>
				SCS <sub>CSI-RS</sub> = 15 kHz	SCS <sub>CSI-RS</sub> = 30 kHz	SCS <sub>CSI-RS</sub> = 60 kHz		
				-121	-118	-115		
$\pm 5.0$	$\pm 9.5$	$\geq 3\text{dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A	-120.5	-117.5	-114.5	N/A	-70
			NR_FDD_FR1_B	-120	-117	-114	N/A	-70
			NR_FDD_FR1_C	-119.5	-116.5	-113.5	N/A	-70
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119	-116	-113	N/A	-70
			NR_FDD_FR1_E, NR_TDD_FR1_E	-118	-115	-112	N/A	-70
			NR_FDD_FR1_G	-117.5	-114.5	-111.5	N/A	-70
			NR_FDD_FR1_H					
$\pm 8.5$	$\pm 11.5$	$\geq 3\text{dB}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A, NR_FDD_FR1_B, NR_TDD_FR1_C, NR_FDD_FR1_D, NR_TDD_FR1_D, NR_FDD_FR1_E, NR_TDD_FR1_E, NR_FDD_FR1_G, NR_FDD_FR1_H	N/A	N/A	N/A	-70	-50

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: NR operating band groups in FR1 are as defined in clause 3.5.2.

### 10.1.19.2.2 Relative Accuracy

The relative accuracy of CSI-RS based L1-RSRP is defined as the L1-RSRP measured from one CSI-RS compared to the largest measured value of L1-RSRP among all CSI-RS resources of the serving cell.

The accuracy requirements in Table 10.1.19.2.2-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.2 for a corresponding Band for each relevant CSI-RS.
- The bandwidth of CSI-RS is 48 PRBs and the density is 3.

The performance with larger bandwidth of CSI-RS is equal to or better than the accuracy requirements in Table 10.1.19.2.2-1.

**Table 10.1.19.2.2-1: CSI-RS based L1-RSRP relative accuracy in FR1**

Accuracy		Conditions							
Normal condition	Extreme condition	CSI-RS $\hat{E}_s/\text{lot}$ Note 2	NR operating band groups Note 4	Io Note 1 range				Maximum Io	
				Minimum Io			dBm/BW <sub>Channel</sub>		
dB	dB	dB	$\geq -3\text{dB}$	dBm / SCS <sub>CSI-RS</sub>				dBm/BW <sub>Channel</sub>	
				SCS <sub>CSI-RS</sub> = 15 kHz	SCS <sub>CSI-RS</sub> = 30 kHz	SCS <sub>CSI-RS</sub> = 60 kHz			
$\pm 3$	$\pm 4$		NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A	-121	-118	-115	N/A	-50	
			NR_FDD_FR1_B	-120.5	-117.5	-114.5	N/A	-50	
			NR_TDD_FR1_C	-120	-117	-114	N/A	-50	
			NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	-113.5	N/A	-50	
			NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	-113	N/A	-50	
			NR_FDD_FR1_G	-118	-115	-112	N/A	-50	
			NR_FDD_FR1_H	-117.5	-114.5	-111.5	N/A	-50	

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The parameter CSI-RS  $\hat{E}_s/\text{lot}$  is the minimum SSB  $\hat{E}_s/\text{lot}$  of the pair of CSI-RS resources to which the requirement applies.

NOTE 3: Void

NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.

## 10.1.20 L1-RSRP accuracy requirements for FR2

### 10.1.20.1 SSB based L1-RSRP accuracy requirements

#### 10.1.20.1.1 Absolute Accuracy

Unless otherwise specified, the requirements for absolute accuracy of SSB based L1-RSRP in this clause apply to all SSBS of the serving cell configured for L1-RSRP measurement.

The accuracy requirements in Table 10.1.20.1.1-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.1 for a corresponding Band for each relevant SSBS.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.20.1.1-1: SSB based L1-RSRP absolute accuracy in FR2**

Accuracy		Conditions				
Normal condition	Extreme condition	SSB $\hat{E}_s/\text{lot}$	Io Note 1 range			Maximum Io
			Minimum Io		Maximum Io	
dB	dB	dB	dBm / SCS <sub>SSB</sub> Note 2		dBm/BW <sub>Channel</sub>	dBm/BW <sub>Channel</sub>
			SCS <sub>SSB</sub> = 120kHz	SCS <sub>SSB</sub> = 240kHz		

$\pm 6.5$	$\pm 9.5$	$\geq -3$	Same value as SSB_RP in Table B.2.4.1-2, according to UE Power class, operating band and angle of arrival	N/A	-70
$\pm 8.5$	$\pm 11.5$	$\geq -3$	N/A	-70	-50
NOTE 1: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.					
NOTE 2: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.					
NOTE 3: In the test cases, the SSB Es/lot and related parameters may need to be adjusted to ensure Es/lot at UE baseband is above the value defined in this table.					

### 10.1.20.1.2 Relative Accuracy

The relative accuracy of SSB based L1-RSRP is defined as the L1-RSRP measured from one SSB compared to the largest measured value of L1-RSRP among all SSBs of the serving cell.

The accuracy requirements in Table 10.1.20.1.2-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.1 for a corresponding Band for each relevant SSB.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.20.1.2-1: SSB based L1-RSRP relative accuracy in FR2**

Accuracy		Conditions							
Normal condition	Extreme condition	SSB Es/lot	Io Note 1 range						
dB	dB	dB	Minimum Io	Maximum Io	dBm/BW <sub>Channel</sub>				
			dBm / SCS <sub>SSB</sub> Note 3	SCS <sub>SSB</sub> = 120kHz					
$\pm 6.5$	$\pm 9.5$	$\geq -3$	Same value as SSB_RP in Table B.2.4.1-2, according to UE Power class, operating band and angle of arrival						
			-50						
NOTE 1: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.									
NOTE 2: The parameter SSB Es/lot is the minimum SSB Es/lot of the pair of SSBs to which the requirement applies.									
NOTE 3: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.									
NOTE 4: In the test cases, the SSB Es/lot and related parameters may need to be adjusted to ensure Es/lot at UE baseband is above the value defined in this table.									

### 10.1.20.2 CSI-RS based L1-RSRP accuracy requirements

#### 10.1.20.2.1 Absolute Accuracy

Unless otherwise specified, the requirements for absolute accuracy of CSI-RS based L1-RSRP in this clause apply to all CSI-RS resources of the serving cell configured for L1-RSRP measurement.

The accuracy requirements in Table 10.1.20.2.1-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.2 for a corresponding Band for each relevant CSI-RS.
- The bandwidth of CSI-RS is 48 PRBs and the density is 3.

- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

The performance with larger bandwidth of CSI-RS is equal to or better than the accuracy requirements in Table 10.1.20.2.1-1.

**Table 10.1.20.2.1-1: CSI-RS based L1-RSRP absolute accuracy in FR2**

Accuracy		Conditions					
Normal condition	Extreme condition	CSI-RS $\hat{E}_{s/\text{lot}}$	Io <sup>Note 1</sup> range			Maximum Io	
			Minimum Io		dBm/BW <sub>Channel</sub>		
			dBm / SCS <sub>CSI-RS</sub> <sup>Note 2</sup>	SCS <sub>CSI-RS</sub> = 60kHz			
±6.5	±9.5	≥-3	Same value as CSI-RS_RP in Table B.2.4.2-2, according to UE Power class, operating band and angle of arrival		N/A	-70	
±8.5	±11.5	≥-3	N/A		-70	-50	

NOTE 1: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  
 NOTE 2: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  
 NOTE 3: In the test cases, the CSI-RS  $\hat{E}_{s/\text{lot}}$  and related parameters may need to be adjusted to ensure  $\hat{E}_{s/\text{lot}}$  at UE baseband is above the value defined in this table.

## 10.1.20.2.2 Relative Accuracy

The relative accuracy of CSI-RS based L1-RSRP is defined as the L1-RSRP measured from one CSI-RS compared to the largest measured value of L1-RSRP among all CSI-RS resources of the serving cell.

The accuracy requirements in Table 10.1.20.2.2-1 are valid under the following conditions:

- Conditions for L1-RSRP measurements are fulfilled according to Annex B.2.4.2 for a corresponding Band for each relevant CSI-RS.
- The bandwidth of CSI-RS is 48 PRBs and the density is 3.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

The performance with larger bandwidth of CSI-RS is equal to or better than the accuracy requirements in Table 10.1.20.2.2-1.

**Table 10.1.20.2.2-1: CSI-RS based L1-RSRP relative accuracy in FR2**

Accuracy		Conditions					
Normal condition	Extreme condition	CSI-RS $\hat{E}_{s/\text{lot}}$	Io <sup>Note 1</sup> range			Maximum Io	
			Minimum Io		dBm/BW <sub>Channel</sub>		
			dBm / SCS <sub>CSI-RS</sub>	SCS <sub>CSI-RS</sub> = 60kHz			
dB	dB	dB	SCS <sub>CSI-RS</sub> = 60kHz	SCS <sub>CSI-RS</sub> = 120kHz	dBm/BW <sub>Channel</sub>		

$\pm 6.5$	$\pm 9.5$	$\geq -3$	Same value as CSI-RS RP in Table B.2.4.2-2, according to UE Power class, operating band and angle of arrival	-50
NOTE 1: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.				
NOTE 2: The parameter CSI-RS Es/lot is the minimum CSI-RS Es/lot of the pair of CSI-RS resources to which the requirement applies.				
NOTE 3: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.				
NOTE 4: In the test cases, the CSI-RS Es/lot and related parameters may need to be adjusted to ensure Es/lot at UE baseband is above the value defined in this table.				

## 10.1.21 SFTD accuracy requirements

### 10.1.21.1 SFTD accuracy requirements for NE-DC

The SFN and frame timing difference (SFTD) is measured between PCell and E-UTRAN PSCell under NE-DC.

The accuracy requirements in Table 10.1.21.1-4 are applicable under the following conditions:

For FR1 PCell SFN and frame timing measurement:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Io range defined in Table 10.1.21.1-1.

**Table 10.1.21.1-1: PCell Io range conditions in FR1**

Parameter	NR operating band groups Note 4, 5	Io Note 1 range		dBm/BW <sub>Channel</sub>	
		Minimum Io Note 2, 3			
		dBm/ SCSSSB	SCSSSB = 15 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A	-121	-118	-50	
	NR_FDD_FR1_B	-120.5	-117.5	-50	
	NR_TDD_FR1_C	-120	-117	-50	
	NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	-50	
	NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	-50	
	NR_FDD_FR1_G	-118	-115	-50	
	NR_FDD_FR1_H	-117.5	-114.5	-50	

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.

NOTE 2: The condition level is increased by  $\Delta R_{IB,C}$  as defined in clause 7.3B in TS 38.101-3 [54], depending on E-UTRA – NR band combination.

NOTE 3: The condition level is increased by MSD as defined in clause 7.3B in TS 38.101-3 [54], if applicable depending on E-UTRA – NR band combination.

NOTE 4: NR operating band groups are as defined in clause 3.5.

NOTE 5: Only NR bands within EN-DC band combinations as specified in clause 5.5B in TS 38.101-3 [54] are applicable.

For FR2 PCell SFN and frame timing measurement:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Io range defined in Table 10.1.21.1-2.

**Table 10.1.21.1-2: PCell Io range conditions in FR2**

Parameter	Io <sup>Note 1</sup> range		dBm/BW <sub>channel</sub>	
	Minimum Io <sup>Note 2, 3</sup>			
	dBm/SCS <sub>SSB</sub>	SCS <sub>SSB</sub> = 30 kHz		
Conditions	Same value as SSB_RP in Table B.2.4.1-2, according to UE Power class, operating band and angle of arrival	Same value as SSB_RP in Table B.2.4.1-2, according to UE Power class, operating band and angle of arrival	50	
NOTE 1: Io is assumed to have constant EPRE across the bandwidth and specified at the Reference point. NOTE 2: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival. NOTE 3: In the test cases, the SSB $\hat{E}$ s/lot and related parameters may need to be adjusted to ensure $\hat{E}$ s/lot at UE baseband is above the value defined in this table.				

For E-UTRA PSCell SFN and frame timing measurement:

- Cell specific reference signals are transmitted either from one, two or four antenna ports.
- Conditions defined in TS 36.101 [25] Clause 7.3 for reference sensitivity are fulfilled.
- No changes to the uplink transmission timing are applied during the measurement period.
- RSRP|<sub>dBm</sub> according to Annex B.3.5 in TS 36.101 [25] for a corresponding Band.
- Io range defined in Table 10.1.21.1-3.

**Table 10.1.21.1-3: E-UTRA PSCell Io range conditions**

Parameter	Io <sup>Note 1</sup> range		
	E-UTRA operating band groups <sup>Note 3</sup>	Minimum Io	Maximum Io
Conditions		dBm/15kHz <sup>Note 2</sup>	dBm/BW <sub>channel</sub>
	FDD_A, TDD_A	-121	-50
	FDD_C, TDD_C	-120	-50
	FDD_D	-119.5	-50
	FDD_E, TDD_E	-119	-50
	FDD_F	-118.5	-50
	FDD_G	-118	-50
	FDD_H	-117.5	-50
	FDD_N	-114.5	-50
NOTE 1: When in dBm/15kHz, the minimum Io condition is expressed as the average Io per RE over all REs in that symbol. Io may be different in different symbols within a subframe. NOTE 2: The condition level is increased by $\Delta > 0$ , when applicable, as described in clauses B.4.2 and B.4.3 in TS36.133 [15]. NOTE 3: E-UTRA operating band groups are as defined in clause 3.5 in TS 36.133 [15].			

**Table 10.1.21.1-4: SFTD measurement accuracy**

Accuracy	Conditions	
	$\hat{E}$ s/lot <sup>Note 2</sup>	Frequency range
T <sub>s</sub> <sup>Note 1</sup>	dB	
40*64*T <sub>c</sub>		FR1
40*64*T <sub>c</sub>	$\geq -3$ dB	FR2
NOTE 1: T <sub>c</sub> is the basic timing unit defined in TS 38.211 [6]. NOTE 2: The parameter $\hat{E}$ s/lot is the minimum $\hat{E}$ s/lot of the pair of cells to which the requirement applies.		

### 10.1.21.2 SFTD accuracy requirements for NR-DC

The SFN and frame timing difference (SFTD) is measured between PCell in FR1 and PSCell in FR2 under NR dual connectivity.

The accuracy requirements in Table 10.1.21.2-3 are applicable under the following conditions:

For FR1 PCell SFN and frame timing measurement:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.
- Io range defined in Table 10.1.21.2-1.

**Table 10.1.21.2-1: PCell Io range conditions in FR1**

Parameter	Io <sup>Note 1</sup> range			
	NR operating band groups <sup>Note 2</sup>		Minimum Io dBm/ SCS <sub>SSB</sub>	Maximum Io dBm/BW <sub>Channel</sub>
	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A	-121	-118	-50
	NR_FDD_FR1_B	-120.5	-117.5	-50
	NR_TDD_FR1_C	-120	-117	-50
	NR_FDD_FR1_D, NR_TDD_FR1_D	-119.5	-116.5	-50
	NR_FDD_FR1_E, NR_TDD_FR1_E	-119	-116	-50
	NR_FDD_FR1_G	-118	-115	-50
	NR_FDD_FR1_H	-117.5	-114.5	-50

NOTE 1: Io is assumed to have constant EPRE across the bandwidth.  
 NOTE 2: NR operating band groups are as defined in clause 3.5.2.

For FR2 PSCell SFN and frame timing measurement:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.
- Io range defined in Table 10.1.21.2-2.
- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

**Table 10.1.21.2-2: PSCell Io range conditions in FR2**

Parameter	Io <sup>Note 1</sup> range		
	Minimum Io <sup>Note 2, 3</sup> dBm/ SCS <sub>SSB</sub>		
	SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz	
Conditions	Same value as SSB_RP in Table B.2.4.1-2, according to UE Power class, operating band and angle of arrival	Same value as SSB_RP in Table B.2.4.1-2, according to UE Power class, operating band and angle of arrival	50

NOTE 1: Io is assumed to have constant EPRE across the bandwidth and specified at the Reference point.  
 NOTE 2: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  
 NOTE 3: In the test cases, the SSB Es/lot and related parameters may need to be adjusted to ensure Es/lot at UE baseband is above the value defined in this table.

**Table 10.1.21.2-3: SFTD measurement accuracy**

Accuracy	Conditions	
	$\hat{E}s/lot$ Note 2	Frequency range
$T_s$ Note 1	dB	
$[40]*64*T_c$	$\geq [-3]$ dB	Between FR1 and FR2

NOTE 1:  $T_c$  is the basic timing unit defined in TS 38.211 [6].  
 NOTE 2: The parameter  $\hat{E}s/lot$  is the minimum  $\hat{E}s/lot$  of the pair of cells to which the requirement applies.

## 10.2 E-UTRAN measurements

### 10.2.1 Introduction

Accuracy requirements for measurements on E-UTRAN carrier frequencies are specified in clause 10.2 and apply for UE in SA or NR-DC operation mode.

The requirements in clause 10.2 are applicable for a UE:

- in RRC\_CONNECTED state
- performing measurements with appropriate measurement gaps according to clause 9.1.2.
- that is synchronised to the cell that is measured.

The reported measurement result after layer 1 filtering shall be an estimate of the average value of the measured quantity over the measurement period. The reference point for the measurement result after layer 1 filtering is referred to as point B in the measurement model described in TS 36.300 [24].

The accuracy requirements of E-UTRA measurements in this clause are valid for the reported measurement result after layer 1 filtering. The accuracy requirements are verified from the measurement report at point D in the measurement model having the layer 3 filtering disabled.

If the UE needs measurement gaps to perform the inter-RAT NR — E-UTRAN FDD and NR — E-UTRAN TDD measurements, the relevant measurement procedure and measurement gap patterns stated in clause 9.1.2 shall apply.

### 10.2.2 E-UTRAN RSRP measurements

NOTE: This measurement is for handover between NR and E-UTRAN.

The measurement period of E-UTRA RSRP in RRC\_CONNECTED state is specified in clause 9.4.2 and 9.4.3.

The accuracy requirements of E-UTRA RSRP measurements in RRC\_CONNECTED state and the corresponding side conditions shall be the same as the inter-frequency RSRP Accuracy Requirements in clause 9.1.3 of TS 36.133 [15].

The reporting range and mapping specified for RSRP measurements in clause 9.1.4 of TS 36.133 [15] shall apply.

### 10.2.3 E-UTRAN RSRQ measurements

NOTE: This measurement is for handover between NR and E-UTRAN.

The measurement period of E-UTRA RSRQ in RRC\_CONNECTED state is specified in clause 9.4.2 and 9.4.3.

The accuracy requirements of E-UTRA RSRQ measurements in RRC\_CONNECTED state and the corresponding side conditions shall be the same as the inter-frequency RSRQ Accuracy Requirements in clause 9.1.6 of TS 36.133 [15].

The requirements for accuracy of E-UTRA RSRQ measurements in RRC\_CONNECTED state and the corresponding side conditions shall be the same as the inter-frequency RSRQ Accuracy Requirements in clause 9.1.6 of TS 36.133 [15].

The reporting range and mapping specified for RSRQ measurements in clause 9.1.7 of TS 36.133 [15] shall apply.

## 10.2.4 E-UTRAN RSTD measurements

The requirements in this section are valid for UE supporting this capability.

The measurement period is specified in clauses 9.4.4.1 and 9.4.4.2 for inter-RAT NR — E-UTRAN FDD and inter-RAT NR — E-UTRAN TDD RSTD measurements, respectively.

The accuracy requirements and the corresponding side conditions shall be the same as the inter-frequency measurement accuracy requirements for RSTD measurements in RRC\_CONNECTED in clause 9.1.10.2 of TS 36.133 [15].

If the UE needs measurement gaps to perform the inter-RAT NR — E-UTRAN FDD and NR — E-UTRAN TDD RSTD measurements, the relevant measurement procedure and measurement gap patterns stated in clause 9.1.2 shall apply.

The reporting range and mapping for the inter-RAT NR — E-UTRAN FDD and NR — E-UTRAN TDD RSTD measurements is the same as specified for RSTD measurements in TS 36.133 [15, clauses 9.1.10.3 and 9.1.10.4].

## 10.2.5 E-UTRAN RS-SINR measurements

NOTE: This measurement is for handover between NR and E-UTRAN.

The measurement period of E-UTRA RS-SINR in RRC\_CONNECTED state is specified in clause 9.4.2 and 9.4.3.

The accuracy requirements of E-UTRA RS-SINR measurements in RRC\_CONNECTED state and the corresponding side conditions shall be the same as the inter-frequency RS-SINR Accuracy Requirements in clause 9.1.17.3 of TS 36.133 [15].

The reporting range and mapping for E-UTRA RS-SINR measurements shall be the same as specified for RS-SINR measurements in clause 9.1.17.1 of TS 36.133 [15].

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# 11 Measurements Performance Requirements for NR network

Editor's note: network side measurement and mapping tables may be specified in this section. If RAN4 decides to move NR network requirements to gNodeB specification, this section might be removed.

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## Annex A (normative): Test Cases

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### A.1 Purpose of annex

### A.2 Requirement classification for statistical testing

Requirements in this specification are either expressed as absolute requirements with a single value stating the requirement, or expressed as a success rate. There are no provisions for the statistical variations that will occur when the parameter is tested.

Annex A outlines the tests in more detail and lists the test parameters needed. The test will result in an outcome of a test variable value for the device under test (DUT) inside or outside the test limit. Overall, the probability of a "good" DUT being inside the test limit(s) and the probability of a "bad" DUT being outside the test limit(s) should be as high as possible. For this reason, when selecting the test variable and the test limit(s), the statistical nature of the test is accounted for.

The statistical nature depends on the type of requirement. Some have large statistical variations, while others are not statistical in nature at all. When testing a parameter with a statistical nature, a confidence level is set. This establishes the probability that a DUT passing the test actually meets the requirements and determines how many times a test has to be repeated and what the pass and fail criteria are. Those aspects are not covered by TS 38.133. The details of the tests on how many times to run it and how to establish confidence in the tests are described in TS 38.533 [5]. This Annex establishes the variable to be used in the test and whether it can be viewed as statistical in nature or not.

#### A.2.1 Types of requirements in TS 38.133

##### A.2.1.1 Time and delay requirements on UE higher layer actions

A very large part of the RRM requirements are delay requirements:

- In RRC\_IDLE state mobility (clause A.4.x, A.5.x, A.6.x and A.7.x) there is cell re-selection delay.
- In RRC\_CONNECTED state mobility (clauses A.4.3, A.4.6, A.5.3, A.5.6, A.6.3, A.6.6, A.7.3 and A.7.6) there is handover delay, cell search delay and measurement reporting delay.
- In RRC Connection Control (clauses A.4.3.2, A.5.3.2, A.6.3.2 and A.7.3.2) there is RRC re-establishment delay.

All have in common that the UE is required to perform an action observable in higher layers (e.g. camp on the correct cell) within a certain time after a specific event (e.g. when a new strong pilot or reference signal appears). The delay time is statistical in nature for several reasons, among others that several of the measurements are performed by the UE in a fading radio environment.

The variations make a strict limit unsuitable for a test. Instead there is a condition set for a correct action by the UE, e.g. that the UE shall camp on the correct cell within X seconds. Then the rate of correct events is observed during repeated tests and a limit is set on the rate of correct events, usually 90% correct events are required. How the limit is applied in the test depends on the confidence required, further detailed are in TS 38.533 [5].

##### A.2.1.2 Measurements of power levels, relative powers and time

A very large number of requirements are on measurements that the UE performs:

- In RRC\_CONNECTED state mobility (clauses A.4.3, A.5.3, A.6.3 and A.7.3) there are measurement reports.
- In Measurement Performance Requirements (clauses A.4.7, A.5.7, A.6.7 and A.7.7) there are requirements for all type of measurements.

The accuracy requirements on measurements are expressed in this specification as a fixed limit (e.g.  $+/-X$  dB), but the measurement error will have a distribution that is not easily confined in fixed limits. Assuming a Gaussian distribution of the error, the limits will have to be set at  $+/-3.29\sigma$  if the probability of failing a "good DUT" in a single test is to be kept at 0.1%. It is more reasonable to set the limit tighter and test the DUT by counting the rate of measurements that are within the limits, in a way similar to the requirements on delay.

### A.2.1.3 Implementation requirements

A few requirements are strict actions the UE should take or capabilities the UE should have, without any allowance for deviations. These requirements are absolute and should be tested as such. Examples are:

- "Event triggered report rate" in RRC\_CONNECTED state mobility (clauses A.4.3, A.4.6, A.5.3, A.5.6, A.6.3, A.6.6, A.7.3 and A.7.6)
- "Correct behaviour at time-out" in RRC connection control (clauses A.4.3.2, A.5.3.2, A.6.3.2 and A.7.3.2)

### A.2.1.4 Physical layer timing requirements

There are requirements on Timing (clauses A.4.4, A.5.4, A.6.4 and A.7.4). There are both absolute and relative limits on timing accuracy depending upon the type of requirement. Examples are:

- Initial Transmit Timing (clauses A.4.4.1, A.5.4.1, A.6.4.1 and A.7.4.1) has an absolute limit on timing accuracy.
- Timing Advance (clauses A.4.4.2, A.5.4.2, A.6.4.2 and A.7.4.2) has a relative limit on timing accuracy.

## A.3 RRM test configurations

### A.3.1 Reference measurement channels

#### A.3.1.1 PDSCH

##### A.3.1.1.1 FDD

**Table A.3.1.1.1-1: PDSCH Reference Measurement Channels for SCS=15kHz**

Parameter	Unit	Value					
Reference channel		SR.1.1 FDD					
Channel bandwidth	MHz	10					
Number of transmitter antennas		1					
Allocated resource blocks for PDSCH <sup>Note 1</sup>		24					
Allocated slots per Radio Frame		10					
Radio frame containing SSB	slots	Note 5					
Radio frame not containing SSB	slots	10					
MCS index		4					
Modulation		QPSK					
Target Coding Rate		1/3					
Number of control symbols		2					
PDSCH mapping type		Type A					
Information Bit Payload							
For slots with RMSI <sup>Note 2</sup>	Bits	1864					
Number of Code Blocks per slot		1					
Binary Channel Bits Per slot							
For slots with RMSI <sup>Note 2, Note 4</sup>	Bits	6048					
Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block.							
Note 2: PDSCH is scheduled on the slots with RMSI.							
Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3].							
Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditonalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 1.							
Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in section A.3.10.							

## A.3.1.1.2 TDD

**Table A.3.1.1.2-1: PDSCH Reference Measurement Channels for SCS=15kHz**

Parameter	Unit	Value						
Reference channel		SR.1.1 TDD						
Channel bandwidth	MHz	10						
Number of transmitter antennas		1						
Allocated resource blocks for PDSCH <sup>Note 1</sup>		24						
Allocated slots per Radio Frame								
Radio frame containing SSB	slots	Note 5						
Radio frame not containing SSB	slots	4						
MCS table		64QAM						
MCS index		4						
Modulation		QPSK						
Target Coding Rate		1/3						
Number of control symbols		2						
PDSCH mapping type		Type A						
Information Bit Payload								
For slots with RMSI <sup>Note 2</sup>	Bits	1864						
Number of Code Blocks per slot		1						
Binary Channel Bits Per slot								
For slots with RMSI <sup>Note 2, Note 4</sup>	Bits	6048						
<p>Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block.</p> <p>Note 2: PDSCH is scheduled on the slots with RMSI.</p> <p>Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3].</p> <p>Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditionalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 1.</p> <p>Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in section A.3.10.</p>								

**Table A.3.1.1.1-2: PDSCH Reference Measurement Channels for SCS=30kHz**

Parameter	Unit	Value						
Reference channel		SR.2.1 TDD						
Channel bandwidth	MHz	40						
Number of transmitter antennas		1						
Allocated resource blocks for PDSCH <sup>Note 1</sup>		24						
Allocated slots per Radio Frame								
Radio frame containing SSB	slots	Note 5						
Radio frame not containing SSB	slots	10						
MCS table		64QAM						
MCS index		4						
Modulation		QPSK						
Target Coding Rate		1/3						
Number of control symbols		2						
PDSCH mapping type		Type A						
Information Bit Payload								
For slots with RMSI <sup>Note 2</sup>	Bits	1864						
Number of Code Blocks per slot		1						
Binary Channel Bits Per slot								
For slots with RMSI <sup>Note 2, Note 4</sup>	Bits	6048						
Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block. Note 2: PDSCH is scheduled on the slots with RMSI. Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3]. Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditionalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 1. Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in section A.3.10.								

**Table A.3.1.1.1-3: PDSCH Reference Measurement Channels for SCS=120kHz**

Parameter	Unit	Value						
Reference channel		SR.3.1 TDD						
Channel bandwidth	MHz	100						
Number of transmitter antennas		1						
Allocated resource blocks for PDSCH <sup>Note 1</sup>		24						
Allocated slots per Radio Frame								
Radio frame containing SSB	slots	Note 5						
Radio frame not containing SSB	slots	48						
MCS table		64QAM						
MCS index		4						
Modulation		QPSK						
Target Coding Rate		1/3						
Number of control symbols		2						
PDSCH mapping type		Type A						
Information Bit Payload								
For slots with RMSI <sup>Note 2</sup>	Bits	1864						
Number of Code Blocks per slot		1						
Binary Channel Bits Per slot								
For slots with RMSI <sup>Note 2, Note 4</sup>	Bits	6048						
Note 1: Allocated outside the SMTC duration in time and in resource blocks which do not overlap with the resource blocks allocated for SS/PBCH block								
Note 2: PDSCH is scheduled on the slots with RMSI.								
Note 3: If necessary the information bit payload size can be adjusted to facilitate the test implementation. The payload sizes are defined in TS 38.213 [3].								
Note 4: Derived based on the PDSCH DMRS assumption: dmrs-TypeA-Position=2, dmrs-Type=1, dmrs-AdditionalPositions=2, maxLength=1, Antenna port index: 1000, and Number of PDSCH DMRS CDM group(s) without data: 1.								
Note 5: PDSCH is not scheduled in slots containing SSB according to the SSB configuration used in the test. SSB configurations are defined in section A.3.10.								

### A.3.1.2 CORESET for RMSI scheduling

#### A.3.1.2.1 FDD

**Table A.3.1.2.1-1: RMSI CORESET Reference Channel for FDD with SCS=15KHz**

Parameter	Unit	Value						
Reference channel		CR.1.1 FDD						
Channel bandwidth	MHz	10						
Subcarrier spacing for RMSI CORESET	KHz	15						
Allocated resource blocks for RMSI CORESET <small>Note 7</small>		24						
Subcarrier spacing for SSB	KHz	15						
SSB and RMSI CORESET multiplexing configuration <small>Note 7</small>		Pattern 1						
Offset between SSB and RMSI CORESET <small>Note 3, 7</small>	RB	0 (Note8)						
Configuration of PDCCH monitoring occasions for RMSI CORESET <small>Note 4</small>		Index 4						
Number of transmitter antennas		1						
Duration of RMSI CORESET <small>Note 7</small>	symbols	2						
DCI Format <small>Note 1</small>		Note 2						
Aggregation level	CCE	8						
DMRS precoder granularity		6						
REG bundle size		6						
Mapping from REG to CCE		Distributed						
Cell ID		Note 5						
Payload (without CRC)	Bits	Note 6						
Note 1: DCI formats are defined in TS 38.212. Note 2: DCI format shall depend upon the test configuration. Note 3: The offset is defined with respect to the subcarrier spacing of the CORESET from the smallest RB index of RMSI CORESET to the smallest RB index of the common RB overlapping with the first RB of the SS/PBCH block. Note 4: The configuration of PDCCH monitoring occasions for RMSI CORESET is defined in Table 13-11 in TS 38.213 [3]. Note 5: Cell ID shall depend upon the test configuration. Note 6: Payload size shall depend upon the test configuration. Note 7: The configuration of set of resource blocks and slot symbols of control resource set for Type0-PDCCH search space corresponds to index 0 in Table 13-1 in TS 38.213 [3] Note 8: Other values can be used to align with GSCN [13] as long as SSB does not overlap the RMC.								

## A.3.1.2.2 TDD

**Table A.3.1.2.2-1: RMSI CORESET Reference Channel for TDD with SCS=15KHz**

Parameter	Unit	Value						
Reference channel		CR.1.1 TDD						
Channel bandwidth	MHz	10						
Subcarrier spacing	KHz	15						
Allocated resource blocks for RMSI CORESET <sup>Note 7</sup>		24						
Index of transmitted SSB within an SS-Burst		#0						
SSB and RMSI CORESET multiplexing configuration <sup>Note 7</sup>		Pattern 1						
Offset between SSB and RMSI CORESET <sup>Note 3, 7</sup>	RB	0 (Note 8)						
Configuration of PDCCH monitoring occasions for RMSI CORESET <sup>Note 4</sup>		Index 4						
Number of transmitter antennas		1						
Duration of RMSI CORESET <sup>Note 7</sup>	symbols	2						
DCI Format <sup>Note 1</sup>		Note 2						
Aggregation level	CCE	8						
DMRS precoder granularity		6						
REG bundle size		6						
Mapping from REG to CCE		Distributed						
Cell ID		Note 5						
Payload (without CRC)	Bits	Note 6						

Note 1: DCI formats are defined in TS 38.212.  
 Note 2: DCI format shall depend upon the test configuration.  
 Note 3: The offset is defined with respect to the subcarrier spacing of the CORESET from the smallest RB index of RMSI CORESET to the smallest RB index of the common RB overlapping with the first RB of the SS/PBCH block.  
 Note 4: The configuration of PDCCH monitoring occasions for RMSI CORESET is defined in Table 13-11 in TS 38.213 [3].  
 Note 5: Cell ID shall depend upon the test configuration.  
 Note 6: Payload size shall depend upon the test configuration.  
 Note 7: The configuration of set of resource blocks and slot symbols of control resource set for Type0-PDCCH search space corresponds to index 0 in Table 13-1 in TS 38.213 [3].  
 Note 8: Other values can be used to align with GSCN [13] as long as SSB does not overlap the RMC.

**Table A.3.1.2.2-2: RMSI CORESET Reference Channel for TDD with SCS=30KHz**

Parameter	Unit	Value						
Reference channel		CR.2.1 TDD						
Channel bandwidth	MHz	40						
Subcarrier spacing	KHz	30						
Allocated resource blocks for RMSI CORESET <sup>Note 7</sup>		24						
Index of transmitted SSB within an SS-Burst		#0						
SSB and RMSI CORESET multiplexing <sup>Note 7</sup> configuration		Pattern 1						
Offset between SSB and RMSI CORESET <sup>Note 3, 7</sup>	RB	0 (Note 8)						
Configuration of PDCCH monitoring occasions for RMSI CORESET <sup>Note 4</sup>		Index 4						
Number of transmitter antennas		1						
Duration of RMSI CORESET <sup>Note 7</sup>	symbols	2						
DCI Format Note 1		Note 2						
Aggregation level	CCE	8						
DMRS precoder granularity		6						
REG bundle size		6						
Mapping from REG to CCE		Distributed						
Cell ID		Note 5						
Payload (without CRC)	Bits	Note 6						
Note 1: DCI formats are defined in TS 38.212. Note 2: DCI format shall depend upon the test configuration. Note 3: The offset is defined with respect to the subcarrier spacing of the CORESET from the smallest RB index of RMSI CORESET to the smallest RB index of the common RB overlapping with the first RB of the SS/PBCH block. Note 4: The configuration of PDCCH monitoring occasions for RMSI CORESET is defined in Table 13-11 in TS 38.213 [3]. Note 5: Cell ID shall depend upon the test configuration. Note 6: Payload size shall depend upon the test configuration. Note 7: The configuration of set of resource blocks and slot symbols of control resource set for Type0-PDCCH search space corresponds to index 0 in Table 13-6 in TS 38.213 [3]. Note 8: Other values can be used to align with GSCN [13] as long as SSB does not overlap the RMC.								

**Table A.3.1.2.2-3: RMSI CORESET Reference Channel for TDD with SCS=120KHz**

Parameter	Unit	Value						
Reference channel		CR.3.1 TDD						
Channel bandwidth	MHz	100						
Subcarrier spacing	KHz	120						
Allocated resource blocks for RMSI CORESET <sup>Note 7</sup>		24						
Subcarrier spacing for SSB	KHz	120						
SSB and RMSI CORESET multiplexing configuration <sup>Note 7</sup>		Pattern 1						
Offset between SSB and RMSI CORESET <sup>Note 3, 7</sup>	RB	0 (Note 8)						
Configuration of PDCCH monitoring occasions for RMSI CORESET <sup>Note 4</sup>		Index 4						
Number of transmitter antennas		1						
Duration of RMSI CORESET <sup>Note 7</sup>	symbols	2						
DCI Format Note 1		Note 2						
Aggregation level	CCE	8						
DMRS precoder granularity		6						
REG bundle size		6						
Mapping from REG to CCE		Distributed						
Cell ID		Note 5						
Payload (without CRC)	Bits	Note 6						
Note 1: DCI formats are defined in TS 38.212. Note 2: DCI format shall depend upon the test configuration. Note 3: The offset is defined with respect to the subcarrier spacing of the CORESET from the smallest RB index of RMSI CORESET to the smallest RB index of the common RB overlapping with the first RB of the SS/PBCH block. Note 4: The configuration of PDCCH monitoring occasions for RMSI CORESET is defined in Table 13-12 in TS 38.213 [3]. Note 5: Cell ID shall depend upon the test configuration. Note 6: Payload size shall depend upon the test configuration. Note 7: The configuration of set of resource blocks and slot symbols of control resource set for Type0-PDCCH search space corresponds to index 0 in Table 13-8 in TS 38.213 [3]. Note 8: Other values can be used to align with GSCN [13] as long as SSB does not overlap the RMC.								

### A.3.1.3 CORESET for RMC scheduling

#### A.3.1.3.1 FDD

**Table A.3.1.3.1-1: Control Channel RMC for FDD with SCS=15KHz**

Parameter	Unit	Value						
Reference channel		CCR.1.1 FDD						
Channel bandwidth	MHz	10						
Subcarrier spacing	KHz	15						
Allocated resource blocks for CORESET <sup>Note 3</sup>		24						
Number of transmitter antennas		1						
Duration of CORESET	symbols	2						
REG bundle size		6						
DMRS precoder granularity		Same as REG bundle size						
CCE to REG mapping		Interleaved						
Interleave n_shift		0						
Interleave size		2						
Beamforming Pre-Coder		N/A						
Aggregation level	CCE	8						
DCI formats		Note 1						
Payload size (without CRC)	bits	Note 2						
Note 1: DCI format shall depend upon the test configuration.								
Note 2: Payload size shall depend upon the test configuration								
Note 3: Allocated in the same resource blocks where the associated RMC is scheduled.								

## A.3.1.3.2 TDD

**Table A.3.1.3.2-1: Control Channel RMC for TDD with SCS=15KHz**

Parameter	Unit	Value						
Reference channel		CCR.1.1 TDD						
Channel bandwidth	MHz	10						
Subcarrier spacing	KHz	15						
Allocated resource blocks for CORESET <sup>Note 3</sup>		24						
Number of transmitter antennas		1						
Duration of CORESET	symbols	2						
REG bundle size		6						
DMRS precoder granularity		Same as REG bundle size						
CCE to REG mapping		Interleaved						
Interleave n_shift		0						
Interleave size		2						
Beamforming Pre-Coder		N/A						
Aggregation level	CCE	8						
DCI formats		Note 1						
Payload size (without CRC)	bits	Note 2						
Note 1: DCI format shall depend upon the test configuration.								
Note 2: Payload size shall depend upon the test configuration								
Note 3: Allocated in the same resource blocks where the associated RMC is scheduled.								

**Table A.3.1.3.2-2: Control Channel RMC for TDD with SCS=30KHz**

Parameter	Unit	Value						
Reference channel		CCR.2.1 TDD						
Channel bandwidth	MHz	40						
Subcarrier spacing	KHz	30						
Allocated resource blocks for CORESET <sup>Note 3</sup>		24						
Number of transmitter antennas		1						
Duration of CORESET	symbols	2						
REG bundle size		6						
DMRS precoder granularity		Same as REG bundle size						
CCE to REG mapping		Interleaved						
Interleave n_shift		0						
Interleave size		2						
Beamforming Pre-Coder		N/A						
Aggregation level	CCE	8						
DCI formats		Note 1						
Payload size (without CRC)	bits	Note 2						
Note 1: DCI format shall depend upon the test configuration.								
Note 2: Payload size shall depend upon the test configuration.								
Note 3: Allocated in the same resource blocks where the associated RMC is scheduled.								

**Table A.3.1.3.2-3: Control Channel RMC for TDD with SCS=120KHz**

Parameter	Unit	Value					
Reference channel		CCR.3.1 TDD	CCR.3.2 TDD				
Channel bandwidth	MHz	100	100				
Subcarrier spacing	KHz	120	120				
Allocated resource blocks for CORESET <sup>Note 3</sup>		24	24				
Number of transmitter antennas		1	1				
monitoringSlotPeriodicityAndOffset		SI160 0	SI160 0				
monitoringSymbolsWithinSlot		1100000 0000000	0011000 0000000				
Duration of CORESET	slot	1	1				
REG bundle size		6	6				
DMRS precoder granularity		Same as REG bundle size	Same as REG bundle size				
CCE to REG mapping		Interleaved	Interleaved				
Interleave n_shift		0	0				
Interleave size		2	2				
Beamforming Pre-Coder		N/A	N/A				
Aggregation level	CCE	8	8				
DCI formats		Note 1	Note 1				
Payload size (without CRC)	bits	Note 2	Note 2				
Note 1: DCI format shall depend upon the test configuration.							
Note 2: Payload size shall depend upon the test configuration.							
Note 3: Allocated in the same resource blocks where the associated PDSCH RMC is scheduled.							

### A.3.1.4 TDD UL/DL configuration

**Table A.3.1.4-1: TDD UL/DL configuration for SCS=15kHz**

Parameter	Unit	Value	
Reference channel		TDDConf.1.1	
referenceSubcarrierSpacing	kHz	15	
TDD UL/DL pattern 1 <sup>Note 2</sup>		'DSUU' S='10DL:2GP:2UL'	
dl-UL- TransmissionPeriodicity	ms	4	
nrofDownlinkSlots		1	
nrofDownlinkSymbols		10	
nrofUplinkSlot		2	
nrofUplinkSymbols		2	
TDD UL/DL pattern 2 <sup>Note 2</sup>		'D'	
dl-UL- TransmissionPeriodicity	ms	1	
nrofDownlinkSlots		1	
nrofDownlinkSymbols		0	
nrofUplinkSlot		0	
nrofUplinkSymbols		0	
Note 1: As specified in TS 38.213 [3] and TS 38.331 [2].			
Note 2: For information			

**Table A.3.1.4-2: TDD UL/DL configuration for SCS=30kHz**

Parameter	Unit	Value	
Reference channel		TDDConf.2.1	
<i>referenceSubcarrierSpacing</i>	kHz	30	
TDD UL/DL pattern 1 <sup>Note 2</sup>		'3D1S4U' S='6DL:4GP:4UL'	
<i>dl-UL-TransmissionPeriodicity</i>	ms	4	
<i>nrofDownlinkSlots</i>		3	
<i>nrofDownlinkSymbols</i>		6	
<i>nrofUplinkSlot</i>		4	
<i>nrofUplinkSymbols</i>		4	
TDD UL/DL pattern 2 <sup>Note 2</sup>		'DD'	
<i>dl-UL-TransmissionPeriodicity</i>	ms	1	
<i>nrofDownlinkSlots</i>		2	
<i>nrofDownlinkSymbols</i>		0	
<i>nrofUplinkSlot</i>		0	
<i>nrofUplinkSymbols</i>		0	

Note 1: As specified in TS 38.213 [3] and TS 38.331 [2].  
Note 2: For information

**Table A.3.1.4-3: TDD UL/DL configuration for SCS=120kHz**

Parameter	Unit	Value	
Reference channel		TDDConf.3.1	
<i>referenceSubcarrierSpacing</i>	kHz	120	
TDD UL/DL pattern 1 <sup>Note 2</sup>		'DDDSU' S='10DL:2GP:2UL'	
<i>dl-UL-TransmissionPeriodicity</i>	ms	0.625	
<i>nrofDownlinkSlots</i>		3	
<i>nrofDownlinkSymbols</i>		10	
<i>nrofUplinkSlot</i>		1	
<i>nrofUplinkSymbols</i>		2	
TDD UL/DL pattern 2 <sup>Note 2</sup>		Not configured	
<i>dl-UL-TransmissionPeriodicity</i>	ms	Not configured	
<i>nrofDownlinkSlots</i>		Not configured	
<i>nrofDownlinkSymbols</i>		Not configured	
<i>nrofUplinkSlot</i>		Not configured	
<i>nrofUplinkSymbols</i>		Not configured	

Note 1: As specified in TS 38.213 [3] and TS 38.331 [2].  
Note 2: For information

## A.3.2 OFDMA channel noise generator (OCNG)

### A.3.2.1 Generic OFDMA Channel Noise Generator (OCNG)

The OCNG pattern is used in a test for modelling allocations of unused resources in the channel bandwidth to virtual UEs (which are not under test). The OCNG pattern comprises PDCCH and PDSCH transmissions to the virtual UEs.

### A.3.2.1.1 OCNG pattern 1: Generic OCNG pattern for all unused REs

**Table A.3.2.1.1-1: OP.1: Generic OCNG pattern for all unused REs**

OCNG Parameters	Control Region	Data Region
Resource allocation	Unused REs (Note 1)	Unused REs (Note 2)
Channel	PDCCH	PDSCH
Contents	Virtual UE IDs	Uncorrelated pseudo random QPSK modulated data
Antenna transmission scheme	Same as used in PDCCH RMC	Same as used in PDSCH RMC
Subcarrier spacing	Same as used in PDCCH RMC	Same as used in PDSCH RMC
Aggregation level	Same as used in PDCCH RMC	N/A
Code rate	Same as used in PDCCH RMC	Same as used in PDSCH RMC
Transmit Power	Same as used in PDCCH RMC	Same as used in PDSCH RMC
CP length	Same as used in PDCCH RMC	Same as used in PDSCH RMC

Note 1: REs not used in the active CORESETS where PDCCH is scheduled for the UE under test.  
 Note 2: REs not allocated to any physical channels, CORESET, SSB or any other reference signal within the channel bandwidth of the cell.

### A.3.2.1.2 OCNG pattern 2: Generic OCNG pattern for all unused REs for 2AoA setup

**Table A.3.2.1.2-2: OP.2: Generic OCNG pattern for all unused REs for 2AoA setup**

OCNG Parameters	Control Region	Data Region
Probe	Transmitting the serving beam	
Resource allocation	Unused REs (Note 1) in the symbols where SSB/CSI-RS are not transmitted from both the serving beam probe and non-serving beam probe.	Unused REs (Note 2) in the symbols where SSB/CSI-RS are not transmitted from both the serving beam probe and non-serving beam probe.
Channel	PDCCH	PDSCH
Contents	Virtual UE IDs	Uncorrelated pseudo random QPSK modulated data
Antenna transmission scheme	Same as used in PDCCH RMC	Same as used in PDSCH RMC
Subcarrier spacing	Same as used in PDCCH RMC	Same as used in PDSCH RMC
Aggregation level	Same as used in PDCCH RMC	N/A
Code rate	Same as used in PDCCH RMC	Same as used in PDSCH RMC
Transmit Power	Same as used in PDCCH RMC	Same as used in PDSCH RMC
CP length	Same as used in PDCCH RMC	Same as used in PDSCH RMC

Note 1: REs not used in the active CORESETS where PDCCH is scheduled for the UE under test.  
 Note 2: REs not allocated to any physical channels, CORESET, SSB or any other reference signal within the channel bandwidth of the cell.  
 Note 3: No OCNG is transmitted from the probe transmitting non-serving beam.

### A.3.2.2 Void

## A.3.3 Reference DRX configurations

### A.3.3.1 DRX Configuration 1: DRX cycle = 40 ms and TAT = 500 ms

**Table A.3.3.1-1: DRX.1: DRX cycle = 40 ms and time alignment timer (TAT) = 500 ms**

Field	Value
drx-onDurationTimer	1 ms
drx-InactivityTimer	1 ms
drx-RetransmissionTimerDL	1 slot
drx-RetransmissionTimerUL	1 slot
drx-LongCycleStartOffset	40 ms
shortDRX	disable
TimeAlignmentTimer	500 ms

Note: This DRX configuration is applicable for NR serving cell. The DRX cycle and time alignment timer parameters are specified in clause 6.3.2 in TS 38.331 [2]

### A.3.3.2 DRX Configuration 2: DRX cycle = 640 ms and TAT = 500 ms

**Table A.3.3.2-1: DRX.2: DRX cycle = 640 ms and time alignment timer (TAT) = 500 ms**

Field	Value
drx-onDurationTimer	1 ms
drx-InactivityTimer	1 ms
drx-RetransmissionTimerDL	1 slot
drx-RetransmissionTimerUL	1 slot
drx-LongCycleStartOffset	640 ms
shortDRX	disable
TimeAlignmentTimer	500 ms

Note: This DRX configuration is applicable for NR serving cell. The DRX cycle and time alignment timer parameters are specified in clause 6.3.2 in TS 38.331 [2]

### A.3.3.3 DRX Configuration 3: DRX cycle = 40 ms and TAT = Infinity

**Table A.3.3.3-1: DRX.3: DRX cycle = 40 ms and time alignment timer (TAT) = Infinity**

Field	Value
drx-onDurationTimer	6 ms
drx-InactivityTimer	1 ms
drx-RetransmissionTimerDL	1 slot
drx-RetransmissionTimerUL	1 slot
drx-LongCycleStartOffset	40 ms
shortDRX	disable
TimeAlignmentTimer	Infinity

Note: This DRX configuration is applicable for NR serving cell. The DRX cycle and time alignment timer parameters are specified in clause 6.3.2 in TS 38.331 [2]

### A.3.3.4 DRX Configuration 4: DRX cycle = 160 ms and TAT = Infinity

**Table A.3.3.4-1: DRX.4: DRX cycle = 160 ms and time alignment timer (TAT) = Infinity**

Field	Value
drx-onDurationTimer	psf2
drx-InactivityTimer	psf2
drx-RetransmissionTimer	Psf16
longDRX-CycleStartOffset	sf160, 0
shortDRX	disable
TimeAlignmentTimer	Infinity
Note: This DRX configuration is applicable for E-UTRA serving cell. For further information see clause 6.3.2 in TS 36.331 [16].	

### A.3.3.5 DRX Configuration 5: DRX cycle = 320 ms and TAT = Infinity

**Table A.3.3.5-1: DRX.5: DRX cycle = 320 ms and time alignment timer (TAT) = Infinity**

Field	Value
drx-onDurationTimer	psf6
drx-InactivityTimer	psf1920
drx-RetransmissionTimer	psf16
longDRX-CycleStartOffset	Sf320, 0
shortDRX	disable
TimeAlignmentTimer	Infinity
Note: This DRX configuration is applicable for E-UTRA serving cell. For further information see clause 6.3.2 in TS 36.331 [16].	

### A.3.3.6 DRX Configuration 6: DRX cycle = 320 ms and TAT = 500 ms

**Table A.3.3.6-1: DRX.6: DRX cycle = 320 ms and time alignment timer (TAT) = 500 ms**

Field	Value
drx-onDurationTimer	1 ms
drx-InactivityTimer	1 ms
drx-RetransmissionTimerDL	1 slot
drx-RetransmissionTimerUL	1 slot
drx-LongCycleStartOffset	320 ms
shortDRX	disable
TimeAlignmentTimer	500 ms
Note: This DRX configuration is applicable for NR serving cell. The DRX cycle and time alignment timer parameters are specified in clause 6.3.2 in TS 38.331 [2].	

### A.3.3.7 DRX Configuration 7: DRX cycle = 640 ms and TAT = Infinity

**Table A.3.3.7-1: DRX.7: DRX cycle = 640 ms and time alignment timer (TAT) = Infinity**

Field	Value
drx-onDurationTimer	6 ms
drx-InactivityTimer	1 ms
drx-RetransmissionTimerDL	1 slot
drx-RetransmissionTimerUL	1 slot
drx-LongCycleStartOffset	640 ms
shortDRX	disable
TimeAlignmentTimer	Infinity

Note: This DRX configuration is applicable for NR serving cell. The DRX cycle and time alignment timer parameters are specified in clause 6.3.2 in TS 38.331 [2]

### A.3.3.8 DRX Configuration 8: DRX cycle = 320 ms and TAT = Infinity

**Table A.3.3.8-1: DRX.8: DRX cycle = 320 ms and time alignment timer (TAT) = Infinity**

Field	Value
drx-onDurationTimer	6 ms
drx-InactivityTimer	1 ms
drx-RetransmissionTimerDL	1 slot
drx-RetransmissionTimerUL	1 slot
drx-LongCycleStartOffset	320 ms
shortDRX	disable
TimeAlignmentTimer	Infinity

Note: This DRX configuration is applicable for NR serving cell. The DRX cycle and time alignment timer parameters are specified in clause 6.3.2 in TS 38.331 [2]

### A.3.3.9 DRX Configuration 9: DRX cycle = 40 ms and TAT = 500 ms

**Table A.3.3.9-1: DRX.9: DRX cycle = 40 ms and time alignment timer (TAT) = 500 ms**

Field	Value
drx-onDurationTimer	psf2
drx-InactivityTimer	psf100
drx-RetransmissionTimer	psf16
longDRX-CycleStartOffset	Sf40, 0
shortDRX	disable
TimeAlignmentTimer	500 ms

Note: This DRX configuration is applicable for E-UTRA serving cell. For further information see clause 6.3.2 in TS 36.331 [16].

### A.3.3.10 DRX Configuration 10: DRX cycle = 640 ms

**Table A.3.3.10-1: DRX.10: DRX cycle = 640 ms and time alignment timer (TAT) = 500 ms**

Field	Value
drx-onDurationTimer	psf6
drx-InactivityTimer	psf1920
drx-RetransmissionTimer	psf16
longDRX-CycleStartOffset	sf640, 0
shortDRX	disable
TimeAlignmentTimer	500 ms
Note: This DRX configuration is applicable for E-UTRA serving cell. For further information see clause 6.3.2 in TS 36.331 [16].	

## A.3.4 Test Cases with Different Channel Bandwidths

### A.3.4.1 Test Cases with Different E-UTRA Channel Bandwidths

#### A.3.4.1.1 Introduction

In Annex A test cases involving E-UTRA cell(s) may be defined with different E-UTRA channel bandwidths to verify the same type of RRM requirement.

#### A.3.4.1.2 Principle of testing

If multiple test cases involving E-UTRA cell(s) are defined with different E-UTRA channel bandwidths to verify the same type of RRM requirement that is E-UTRA channel bandwidth independent, then the UE needs to be tested with only one channel bandwidth in each E-UTRA cell and with the same bandwidth in all the E-UTRA cells used in the test case.

## A.3.5 Test Cases for Synchronous and Asynchronous DC Operations

### A.3.5.1 EN-DC Test Cases for Synchronous and Asynchronous EN-DC Operations

#### A.3.5.1.1 Introduction

This clause defines a principle which is applicable to test cases verifying RRM requirements for EN-DC operation in synchronous and asynchronous scenarios.

In Annex A test cases may be defined in both synchronous EN-DC and asynchronous EN-DC scenarios to verify the same type of RRM requirement.

#### A.3.5.1.2 Principle of Testing

If EN-DC test cases are defined in both synchronous and asynchronous EN-DC scenarios to verify the same type of RRM requirement then the UE capable of both synchronous and asynchronous EN-DC operations needs to be tested with one of the tests in either synchronous or asynchronous EN-DC scenarios.

## A.3.6 Antenna configurations

### A.3.6.1 Antenna configurations for FR1

Unless otherwise specified, NR FDD or NR TDD cells in all RRM Test cases in AWGN propagation condition are configured with Antenna Configuration 1x2.

#### A.3.6.1.1 Antenna connection for 4 Rx capable UEs

##### A.3.6.1.1.1 Introduction

All tests in section A.4 and A.6 are specified for UEs supporting 2RX. In this section, the antenna connection method for applying 2RX tests to UEs supporting 4RX antenna ports is specified. No tests are currently specified in section A.4 or A.6 which are applicable only to 4RX antenna ports, so 4RX capable UEs are always tested by reusing tests which were originally specified for 2RX UEs.

##### A.3.6.1.1.2 Principle of testing

##### A.3.6.1.1.2.1 Single carrier tests

For 4RX capable UEs supporting at least one 2RX band, the, all single carrier tests specified in section A.4 and A.6 except those in A.4.7 and A.6.7 shall be tested on any band where 2RX is supported with the antenna connection specified in A.6.3.1.2.4. For single carrier tests specified in section A.4.7 or A.6.7, all tests shall be tested with the antenna connection specified in A.3.6.1.1.2.4 for bands where 2RX is supported, and the antenna connection specified in A.3.6.1.1.2.5 for bands where 4RX is supported.

For 4RX capable UEs which do not support any 2RX band, all tests specified in sections A.4 and A.6 shall be tested using the antenna connection specified in section A.3.6.1.1.2.5. For radio link monitoring tests, the SNR levels are modified according to table A.3.6.1.1.2.1-1 and table A.3.6.1.1.2.1-2

**Table A.3.6.1.1.2.1-1: Modified parameters for RLM out of sync testing with 4 RX antenna connection**

Test case	SNR during T3 (dB)			
	Test 1	Test 2	Test 3	Test 4
A.4.5.1.1	-18	N/A	N/A	N/A
A.4.5.1.3	-18	N/A	N/A	N/A
A.4.5.1.5	-18	N/A	N/A	N/A
A.4.5.1.7	-18	N/A	N/A	N/A
A.5.5.1.1	-18	N/A	N/A	N/A
A.5.5.1.3	-18	N/A	N/A	N/A
A.5.5.1.5	-18	N/A	N/A	N/A
A.5.5.1.7	-18	N/A	N/A	N/A
A.6.5.1.1	-18	N/A	N/A	N/A
A.6.5.1.3	-18	N/A	N/A	N/A
A.6.5.1.5	-18	N/A	N/A	N/A
A.6.5.1.7	-18	N/A	N/A	N/A
A.7.5.1.1	-18	N/A	N/A	N/A
A.7.5.1.3	-18	N/A	N/A	N/A
A.7.5.1.5	-18	N/A	N/A	N/A
A.7.5.1.7	-18	N/A	N/A	N/A

**Table A.3.6.1.1.2.1-2: Modified parameters for RLM in sync single carrier testing with 4 RX antenna connection**

Test case	SNR during T3 (dB)		SNR during T4 (dB)	
	Test 1	Test 2	Test 1	Test 2

A.4.5.1.2	-18	N/A	-8	N/A
A.4.5.1.4	-18	N/A	-8	N/A
A.4.5.1.6	-18	N/A	-8	N/A
A.4.5.1.8	-18	N/A	-8	N/A
A.5.5.1.2	-18	N/A	-8	N/A
A.5.5.1.4	-18	N/A	-8	N/A
A.5.5.1.6	-18	N/A	-8	N/A
A.5.5.1.8	-18	N/A	-8	N/A
A.6.5.1.2	-18	N/A	-8	N/A
A.6.5.1.4	-18	N/A	-8	N/A
A.6.5.1.6	-18	N/A	-8	N/A
A.6.5.1.8	-18	N/A	-8	N/A
A.7.5.1.2	-18	N/A	-8	N/A
A.7.5.1.4	-18	N/A	-8	N/A
A.7.5.1.6	-18	N/A	-8	N/A
A.7.5.1.8	-18	N/A	-8	N/A

### A.3.6.1.1.2.2 Carrier aggregation tests

All carrier aggregation tests are performed using the antenna connection in section A.3.6.1.1.2.4 for the PCell antenna connection if the PCell is on a band where 2RX is supported or the antenna connection in A.3.6.1.1.2.5 for the PCell antenna connection if the PCell is on a band where 4RX is supported.

All carrier aggregation tests are performed using the antenna connection in section A.3.6.1.1.2.4 for the SCell antenna connection if an SCell is on band where 2RX is supported or the testing procedure in A.3.6.1.1.2.5 for the SCell antenna connection if an SCell is on a band where 4RX is supported.

### A.3.6.1.1.2.3 EN-DC tests

All carrier aggregation tests are performed using the antenna connection in section A.3.6.1.1.2.6 for the PCell antenna connection if the PCell is on a band where 2RX is supported or the antenna connection in A.3.6.1.1.2.7 for the PCell antenna connection if the PCell is on a band where 4RX is supported.

All carrier aggregation tests are performed using the antenna connection in section A.3.6.1.1.2.4 for the PSCell or SCell antenna connection if an SCell is on band where 2RX is supported or the testing procedure in A.3.6.1.1.2.5 for the SCell antenna connection if an SCell or PSCell is on a band where 4RX is supported.

### A.3.6.1.1.2.4 Antenna connection for bands where 2RX is supported

For bands where 2RX is supported, it is left to the UE declaration and AP configuration to decide which 2 of the 4 Rx ports are connected with data source from system simulator. The remaining 2 Rx ports shall be connected with zero input. No test parameters or requirements are modified.

### A.3.6.1.1.2.5 Antenna connection for bands where 4RX is supported

For bands where 4RX is supported, all 4 Rx are connected with data source from system simulator. The system simulator shall provide independent noise and fading (low correlation) for each antenna port. Except for the modifications to radio link monitoring thresholds described in sections A.3.6.1.1.2.1 and A.3.6.1.1.2.2, no test parameters or requirements are modified.

### A.3.6.1.1.2.6 EN-DC LTE Antenna connection for bands where 2RX is supported

For bands where LTE 2RX is supported, it is left to the UE declaration and AP configuration to decide which 2 of the 4 Rx ports are connected with data source from system simulator. The remaining 2 Rx ports shall be connected with zero input. No test parameters or requirements are modified.

### A.3.6.1.1.2.7 EN-DC LTE Antenna connection for bands where 4RX is supported

For bands where LTE 4RX is supported, all 4 Rx are connected with data source from system simulator. The system simulator shall provide independent noise and fading (low correlation) for each antenna port. Except for the

modifications to radio link monitoring thresholds described in sections A.3.8.1.2.1 and A.3.8.1.2.2 of TS 36.133 [15], no test parameters or requirements are modified.

### A.3.6.2 Antenna configurations for FR2

Unless otherwise specified, Downlink Antenna Configuration 2x2 for NR RRM FR2 requirements implies the following for the test configuration:

- The downlink signal is transmitted over the two polarizations (V and H) of the dual polarized antenna of the test equipment.
- The downlink signal is received assuming 2 UE baseband receivers. As the UE is tested following the Blackbox Approach with regard to the UE Rx antennas, the exact UE Rx antenna configuration is not relevant for the test configuration and has no impact on the test implementation.

## A.3.7 EN-DC test setup

### A.3.7.1 Introduction

### A.3.7.2 E-UTRAN Serving Cell Parameters

#### A.3.7.2.1 E-UTRAN Serving Cell Parameters for Tests with NR Cell(s) in FR1

Table A.3.7.2.1-1 defines cell specific test parameters for E-UTRAN cell which can be used in EN-DC test cases or in any test case comprising at least one E-UTRA serving cell with all NR cells in FR1. Unless otherwise stated within the test, all measurements in Annex A.4 and A.5 are performed only on the NR carrier. The E-UTRA PCell shall be configured to not interfere with NR operation and the E-UTRA PCell signal power shall not be critical to the test purpose.

**Table A.3.7.2.1-1: E-UTRAN cell specific test parameters for tests with all NR cells in FR1**

Parameter	Unit	E-UTRAN Cell1
E-UTRA RF Channel Number		1
Duplex mode		FDD or TDD
TDD special subframe configuration <sup>Note1</sup>		6
TDD uplink-downlink configuration <sup>Note1</sup>		1
BW <sub>channel</sub>		5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>		5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD 5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD 5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD
OCNG Patterns <sup>Note2</sup>		5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD 5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD
PBCH_RA	dB	0

PBCH_RB	dB	
PSS_RA	dB	
SSS_RA	dB	
PCFICH_RB	dB	
PHICH_RA	dB	
PHICH_RB	dB	
PDCCH_RA	dB	
PDCCH_RB	dB	
PDSCH_RA	dB	
PDSCH_RB	dB	
OCNG_RA <sup>Note3</sup>	dB	
OCNG_RB <sup>Note3</sup>	dB	
N <sub>oc</sub> <sup>Note4</sup>	dBm/15 kHz	-104
E <sub>s</sub> /N <sub>oc</sub>	dB	17
E <sub>s</sub> /I <sub>ot</sub>	dB	17
RSRP <sup>Note5</sup>	dBm/15 kHz	-87
SCH_RP <sup>Note5</sup>	dBm/15 kHz	-87
I <sub>o</sub> <sup>Note5</sup>	dBm/Ch BW	-59.13 +10log (N <sub>RB,c</sub> /50)
Propagation Condition		AWGN
Antenna Configuration		1x2

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211.  
Note 2: DL RMCs and OCNG patterns are specified in sections A 3.1 and A 3.2 of TS 36.133 respectively.  
Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
Note 5: Es/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

### A.3.7.2.2 E-UTRAN Serving Cell Parameters for Tests with NR Cell(s) in FR2

Table A.3.7.2.2-1 defines cell specific test parameters for E-UTRAN cell which can be used in EN-DC test cases or in any test case comprising at least one E-UTRA serving cell with one or more NR cells in FR2.

**Table A.3.7.2.2-1: E-UTRAN cell specific test parameters for tests with one or more NR cells in FR2**

Parameter	Unit	E-UTRAN Cell1
E-UTRA RF Channel Number		1
Duplex mode		FDD or TDD
TDD special subframe configuration <sup>Note1</sup>		6
TDD uplink-downlink configuration <sup>Note1</sup>		1
BW <sub>channel</sub>	MHz	5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>		5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD 5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD

PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD 5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD
OCNG Patterns <sup>Note2</sup>		5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD 5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD
PBCH_RA	dB	0
PBCH_RB	dB	
PSS_RA	dB	
SSS_RA	dB	
PCFICH_RB	dB	
PHICH_RA	dB	
PHICH_RB	dB	
PDCCH_RA	dB	
PDCCH_RB	dB	
PDSCH_RA	dB	
PDSCH_RB	dB	
OCNG_RA <sup>Note3</sup>	dB	
OCNG_RB <sup>Note3</sup>	dB	
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211.</p> <p>Note 2: DL RMCs and OCNG patterns are specified in sections A 3.1 and A 3.2 of TS 36.133 respectively.</p> <p>Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: The E-UTRA signal is required only to ensure the E-UTRA link to the DUT in the EN-DC operation. The Test System shall provide a stable and noise-free E-UTRA signal without need of precise propagation modelling, path loss and polarization control. Further details of the E-UTRA signal configuration are not defined as part of the cell specific test parameters, since the E-UTRA link is not under performance verification and is not expected to influence the NR FR2 requirement.</p>		

## A.3.8 PRACH configurations

### A.3.8.1 Introduction

This section provides the typical PRACH configurations used for RRM test cases defined in Annex A. To note that for other parameters not listed in this section, either it can be derived from the set up of each test or it is subjected to RAN5 specifications.

### A.3.8.2 PRACH configurations in FR1

#### A.3.8.2.1 FR1 PRACH configuration 1

FR1 PRACH configuration 1 in this section provides the typical PRACH configuration for SSB-based contention based random access in FR1.

**Table A.3.8.2.1-1: Parameters for FR1 PRACH configuration 1**

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	87	160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-2 and table 6.3.3.2-3 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-OccasionAndCB-PreamblesPerSSB</i>	oneFourth, n48	OneFourth: 1 SSB associated with 4 RACH occasions n48: 48 contention based preambles per SSB
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>rsrp-ThresholdSSB</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
<i>ra-ContentionResolutionTimer</i>	sf48	48 sub-frames
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n6	Max number of RA preamble transmission performed before declaring a failure is 6
<i>ra-ResponseWindow</i>	sl10	10 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, N <sub>CS</sub> = 23
Backoff Parameter Index	2	20ms, as defined in table 7.2-1 in TS 38.321 [7].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

### A.3.8.2.2 FR1 PRACH configuration 2

FR1 PRACH configuration 2 in this section provides the typical PRACH configuration for SSB based non-contention based random access in FR1.

**Table A.3.8.2.2-1: Parameters for FR1 PRACH configuration 2**

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	87	160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-2 and table 6.3.3.2-3 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-Occasion</i>	oneFourth	OneFourth: 1 SSB associated with 4 RACH occasions
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n6	Max number of RA preamble transmission performed before declaring a failure is 6
<i>ra-ResponseWindow</i>	sl10	10 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, N <sub>CS</sub> = 23
Backoff Parameter Index	2	20ms, as defined in table 7.2-1 in TS 38.321 [7].
<i>ssb-ResourceList</i>	ra-PreambleIndex = 50	Associated with SSB index 0
<i>ra-ssb-OccasionMaskIndex</i>	1	PRACH occasion index 1 is allowed
<i>rsrp-ThresholdSSB</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

### A.3.8.2.3 FR1 PRACH configuration 3

FR1 PRACH configuration 3 in this section provides the typical PRACH configuration for CSI-RS based non-contention based random access in FR1.

**Table A.3.8.2.3-1: Parameters for FR1 PRACH configuration 3**

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	87	160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-2 and table 6.3.3.2-3 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-Occasion</i>	oneFourth	OneFourth: 1 SSB associated with 4 RACH occasions
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n6	Max number of RA preamble transmission performed before declaring a failure is 6
<i>ra-ResponseWindow</i>	sl10	10 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, N <sub>CS</sub> = 23
Backoff Parameter Index	2	20ms, as defined in table 7.2-1 in TS 38.321 [7].
<i>csirs-ResourceList</i>	ra-PreambleIndex = 50	Associated with CSI-RS configured
<i>ra-OccasionList</i>	1	RA occasions allowed corresponding to CSI-RS
<i>rsrp-ThresholdCSI-RS</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

#### A.3.8.2.4 FR1 PRACH configuration 4

FR1 PRACH configuration 4 in this section provides the PRACH configuration for CSI-RS based non-contention based random access in FR1 to convey BFR.

**Table A.3.8.2.4-1: Parameters for FR1 PRACH configuration 4**

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	0	160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-2 and table 6.3.3.2-3 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-Occasion</i>	oneFourth	OneFourth: 1 SSB associated with 4 RACH occasions
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n200	Max number of RA preamble transmission performed before declaring a failure is 200
<i>ra-ResponseWindow</i>	sI1	1 slot
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, N <sub>CS</sub> = 93
Backoff Parameter Index	2	20ms, as defined in table 7.2-1 in TS 38.321 [7].
<i>BFR-CSIRS-Resource</i>	ra-PreambleIndex = 50	Associated with CSI-RS configured
<i>ra-OccasionList</i>	1	RA occasions allowed corresponding to CSI-RSh
<i>rsrp-ThresholdSSB</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

### A.3.8.3 PRACH configurations in FR2

#### A.3.8.3.1 FR2 PRACH configuration 1

FR2 PRACH configuration 1 in this section provides the typical PRACH configuration for SSB-based contention based random access in FR2.

**Table A.3.8.3.1-1: Parameters for FR2 PRACH configuration 1**

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	173	Preamble Format C2, with 160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-4 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-OccasionAndCB-PreamblesPerSSB</i>	oneFourth, n48	OneFourth: 1 SSB associated with 4 RACH occasions n48: 48 contention based preambles per SSB
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>rsrp-ThresholdSSB</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
<i>ra-ContentionResolutionTimer</i>	sf48	48 sub-frames
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n6	Max number of RA preamble transmission performed before declaring a failure is 6
<i>ra-ResponseWindow</i>	sl10	10 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, N <sub>CS</sub> = 23
Backoff Parameter Index	2	20 ms, as defined in table 7.2-1 in TS 38.321 [7].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

### A.3.8.3.2 FR2 PRACH configuration 2

FR2 PRACH configuration 2 in this section provides the typical PRACH configuration for SSB based non-contention based random access in FR2.

**Table A.3.8.3.2-1: Parameters for FR2 PRACH configuration 2**

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	173	Preamble Format C2, with 160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-4 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-Occasion</i>	oneFourth	OneFourth: 1 SSB associated with 4 RACH occasions
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n6	Max number of RA preamble transmission performed before declaring a failure is 6
<i>ra-ResponseWindow</i>	sl10	10 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, $N_{CS} = 23$
Backoff Parameter Index	2	20 ms, as defined in table 7.2-1 in TS 38.321 [7].
<i>ssb-ResourceList</i>	ra-PreambleIndex = 50	Associated with SSB index 0
<i>ra-ssb-OccasionMaskIndex</i>	1	PRACH occasion index 1 is allowed
<i>rsrp-ThresholdSSB</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

### A.3.8.3.3 FR2 PRACH configuration 3

FR2 PRACH configuration 3 in this section provides the typical PRACH configuration for CSI-RS based non-contention based random access in FR2.

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	173	Preamble Format C2, with 160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-4 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-Occasion</i>	oneFourth	OneFourth: 1 SSB associated with 4 RACH occasions
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n6	Max number of RA preamble transmission performed before declaring a failure is 6
<i>ra-ResponseWindow</i>	sl10	10 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, $N_{CS} = 23$
Backoff Parameter Index	2	20 ms, as defined in table 7.2-1 in TS 38.321 [7].
<i>csirs-ResourceList</i>	ra-PreambleIndex = 50	Associated with CSI-RS configured
<i>ra-OccasionList</i>	1	RA occasions allowed corresponding to CSI-RS
<i>rsrp-ThresholdCSI-RS</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

#### A.3.8.3.4 FR2 PRACH configuration 4

FR2 PRACH configuration 4 in this section provides the PRACH configuration for CSI-RS based non-contention based random access in FR2 to convey BFR.

Field	Value	Comment
<i>prach-ConfigurationIndex</i>	144	Preamble Format C0, with 160ms PRACH periodicity, and other detailed configuration defined in table 6.3.3.2-4 in TS 38.211 [6].
<i>msg1-SubcarrierSpacing</i>	Same as UL carrier SCS	
<i>totalNumberOfRA-Preambles</i>	48	Total number of preambles used for contention based and contention free random access
<i>numberOfRA-PreamblesGroupA</i>	48	No group B.
<i>prach-RootSequenceIndex</i>	0	Logic sequence index = 0, resulting in root sequence = 1.
<i>ssb-perRACH-Occasion</i>	oneFourth	OneFourth: 1 SSB associated with 4 RACH occasions
<i>msg1-FDM</i>	One	One PRACH transmission occasions FDMed in one time instance.
<i>powerRampingStep</i>	dB2	
<i>preambleReceivedTargetPower</i>	dBm-120	
<i>preambleTransMax</i>	n200	Max number of RA preamble transmission performed before declaring a failure is 200.
<i>ra-ResponseWindow</i>	sl40	40 slots
<i>zeroCorrelationZoneConfig</i>	11	N-CS configuration, $N_{CS} = 23$
Backoff Parameter Index	2	20 ms, as defined in table 7.2-1 in TS 38.321 [7].
<i>BFR-CSIRS-Resource</i>	ra-PreambleIndex = 50	Associated with CSI-RS configured
<i>ra-OccasionList</i>	1	RA occasions allowed corresponding to CSI-RS
<i>rsrp-ThresholdSSB</i>	RSRP_51	The actual value of the threshold is -105dBm, as defined in TS 38.331 [2].
Note: For further information see clause 6.3.2 in TS 38.331 [2].		

## A.3.9 BWP configurations

### A.3.9.1 Introduction

This section provides the typical BWP configurations used for RRM test cases defined in Annex A. For downlink BWP, both initial BWP and dedicated BWP configurations are specified in section A.3.9.2 and for uplink BWP, both initial BWP and dedicated BWP configurations are specified in section A.3.9.3. To note that for other parameters not listed in this section, either it can be derived from the set up of each test or it is subjected to RAN5 specifications.

### A.3.9.2 Downlink BWP configurations

#### A.3.9.2.1 Initial BWP

**Table A.3.9.2.1-1: Downlink BWP patterns for initial BWP configuration**

BWP Parameters	Unit	Values	
Reference BWP		DLBWP.0.1	DLBWP.0.2
Starting PRB index		0	RB <sub>a</sub> Note 1
Bandwidth	RB	Same as RF channel defined in each test	same as RMSI CORSET(CORSET #0) defined in each test
Note 1: RB <sub>a</sub> is the lowest PRB index to guarantee the BWP including SSB PRB index (RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) which is defined in Section A.3.10.			

#### A.3.9.2.2 Dedicated BWP

**Table A.3.9.2.2-1: Downlink BWP patterns for dedicated BWP configuration**

BWP Parameters	Unit	Values		
Reference BWP		DLBWP.1.1	DLBWP.1.2	DLBWP.1.3
Starting PRB index		0	RB <sub>b</sub> Note 1	RB <sub>a</sub> Note 2
Bandwidth	RB	Same as RF channel defined in each test	25 for SCS = 15KHz, 51 for SCS = 30KHz, 32 for SCS = 120KHz	25 for SCS = 15KHz, 51 for SCS = 30KHz, 32 for SCS = 120KHz
Note 1: RB <sub>b</sub> is the lowest PRB index to guarantee the BWP not fully overlapped with SSB PRB index (RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) which is defined in Section A.3.10.				
Note 2: RB <sub>a</sub> is the lowest PRB index to guarantee the BWP including SSB PRB index (RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) which is defined in Section A.3.10.				

### A.3.9.3 Uplink BWP configurations

#### A.3.9.3.1 Initial BWP

**Table A.3.9.3.1-1: Uplink BWP patterns for initial BWP configuration**

BWP Parameters	Unit	Values	
Reference BWP		ULBWP.0.1	ULBWP.0.2
Starting PRB index		0	RB <sub>a</sub> Note 1
Bandwidth	RB	Same as RF channel defined in each test	same as RMSI CORSET(CORSET #0) defined in each test
Note 1: RB <sub>a</sub> is the lowest PRB index to guarantee the BWP including SSB PRB index (RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) which is defined in Section A.3.10.			

### A.3.9.3.2 Dedicated BWP

**Table A.3.9.3.2-1: Uplink BWP patterns for dedicated BWP configuration**

BWP Parameters	Unit	Values		
Reference BWP		ULBWP.1.1	ULBWP.1.2	ULBWP.1.3
Starting PRB index		0	RB <sub>b</sub> <sup>Note 1</sup>	RB <sub>a</sub> <sup>Note 2</sup>
Bandwidth	RB	Same as RF channel defined in each test	25 for SCS = 15KHz, 51 for SCS = 30KHz, 32 for SCS = 120KHz	25 for SCS = 15KHz, 51 for SCS = 30KHz, 32 for SCS = 120KHz
Note 1: RB <sub>b</sub> is the lowest PRB index to guarantee the BWP not fully overlapped with SSB PRB index (RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) which is defined in Section A.3.10. Note 2: RB <sub>a</sub> is the lowest PRB index to guarantee the BWP including SSB PRB index (RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) which is defined in Section A.3.10.				

## A.3.10 SSB Configurations

### A.3.10.1 SSB Configurations for FR1

#### A.3.10.1.1 SSB pattern 1 in FR1: SSB for SSB SCS=15 KHz in 10 MHz

**Table A.3.10.1.1-1: SSB.1 FR1: SSB Pattern 1 for SSB SCS=15 KHz in 10 MHz channel**

SSB Parameters	Values
Channel bandwidth	10 MHz
SSB SCS	15 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	1
SS/PBCH block index	0
Indices of symbols containing SSB	2-5
Indices of slots containing SSB	0
RB numbers containing SSB within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	

### A.3.10.1.2 SSB pattern 2 in FR1: SSB allocation for SSB SCS=30 KHz in 40 MHz

**Table A.3.10.1.2-1: SSB.2 FR1: SSB Pattern 2 for SSB SCS=30 KHz in 40 MHz channel**

SSB Parameters	Values
Channel bandwidth	40 MHz
SSB SCS	30 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	1
SS/PBCH block index	0
Indices of symbols containing SSB	4-7 or 2-5 <sup>Note 2</sup>
Indices of slots containing SSB	0
RB numbers containing SSB within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	
Note 2: Symbols 4-7 is chosen, if the SSB pattern Case B should be used for the current band as indicated by Table 5.4.3.3-1 of TS 38.104 [13]; Otherwise, symbol 2-5 is chosen.	

### A.3.10.1.3 SSB pattern 3 in FR1: SSB for SSB SCS=15 KHz in 10 MHz

**Table A.3.10.1.3-1: SSB.3 FR1: SSB Pattern 3 for SSB SCS=15 KHz in 10 MHz channel**

SSB Parameters	Values
Channel bandwidth	10 MHz
SSB SCS	15 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	2
SS/PBCH block index	0   1
Symbol numbers of symbols containing SSB	2-5   8-11
RB numbers containing SSB within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	

### A.3.10.1.4 SSB pattern 4 in FR1: SSB allocation for SSB SCS=30 KHz in 40 MHz

**Table A.3.10.1.4-1: SSB.4 FR1: SSB Pattern 4 for SSB SCS=30 KHz in 40 MHz channel**

SSB Parameters	Values
Channel bandwidth	40 MHz
SSB SCS	30 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	2
SS/PBCH block index	0   1
Symbol numbers of symbols containing SSB	4-7 or 2-5 <sup>Note 2</sup>   8-11
RB numbers containing SSB within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	
Note 2: Symbols 4-7 is chosen, if the SSB pattern Case B should be used for the current band as indicated by Table 5.4.3.3-1 of TS 38.104 [13]; Otherwise, symbol 2-5 is chosen.	

### A.3.10.2 SSB Configurations for FR2

#### A.3.10.2.1 SSB pattern 1 in FR2: SSB allocation for SSB SCS=120 KHz in 100 MHz

**Table A.3.10.2.1-1: SSB.1 FR2: SSB Pattern 1 for SSB SCS = 120 KHz in 100 MHz channel with 2 SSBs per SS-burst**

SSB Parameters		Values	
Channel bandwidth		100 MHz	
SSB SCS		120 KHz	
SSB periodicity		20 ms	
Number of SSBs per SS-burst		2	
SS/PBCH block index		0	1
Indices of symbols containing SSBs		4-7	8-11
Indices of slots containing SSB		0	0
RB numbers containing SSBs within channel BW		$(RB_J, RB_{J+1}, \dots, RB_{J+19})^{Note 1}$	
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].			

#### A.3.10.2.2 SSB pattern 2 in FR2: SSB allocation for SSB SCS=240 KHz in 100 MHz

**Table A.3.10.2.2-1: SSB.2 FR2: SSB Pattern 2 for SSB SCS = 240 KHz in 100 MHz channel with 2 SSBs per SS-burst**

SSB Parameters		Values	
Channel bandwidth		100 MHz	
SSB SCS		240 KHz	
SSB periodicity		20 ms	
Number of SSBs per SS-burst		2	
SS/PBCH block index		0	1
Indices of symbols containing SSBs		8-11	12-13, 0-1
Indices of slots containing SSB		0	0, 1
RB numbers containing SSBs within channel BW		$(RB_J, RB_{J+1}, \dots, RB_{J+19})^{Note 1}$	
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].			

#### A.3.10.2.3 SSB pattern 3 in FR2: SSB allocation for SSB SCS=120 KHz in 100 MHz

**Table A.3.10.2.3-1: SSB.3 FR2: SSB Pattern 3 for SSB SCS = 120 KHz in 100 MHz channel with 1 SSB per SS-burst**

SSB Parameters		Values	
Channel bandwidth		100 MHz	
SSB SCS		120 KHz	
SSB periodicity		20 ms	
Number of SSBs per SS-burst		1	
SS/PBCH block index		0	
Indices of symbols containing SSBs		4-7	
Indices of slots containing SSB		0	
RB numbers containing SSBs within channel BW		$(RB_J, RB_{J+1}, \dots, RB_{J+19})^{Note 1}$	
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].			

### A.3.10.2.4 SSB pattern 4 in FR2: SSB allocation for SSB SCS=240 KHz in 100 MHz

**Table A.3.10.2.4-1: SSB.4 FR2: SSB Pattern 4 for SSB SCS = 240 KHz in 100 MHz channel with 1 SSB per SS-burst**

SSB Parameters	Values
Channel bandwidth	100 MHz
SSB SCS	240 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	1
SS/PBCH block index	0
Indices of symbols containing SSBs	8-11
Indices of slots containing SSB	0
RB numbers containing SSBs within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	

### A.3.10.2.5 SSB pattern 5 in FR2: SSB allocation for SSB SCS=120 KHz in 100 MHz

**Table A.3.10.2.5-1: SSB.5 FR2: SSB Pattern 5 for SSB SCS = 120 KHz in 100 MHz channel with 2 SSBs per SS-burst**

SSB Parameters	Values	
Channel bandwidth	100 MHz	
SSB SCS	120 KHz	
SSB periodicity	20 ms	
Number of SSBs per SS-burst	2	
SS/PBCH block index	2	3
Indices of symbols containing SSBs	2-5	6-9
Indices of slots containing SSB	1	1
RB numbers containing SSBs within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>	
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].		

### A.3.10.2.6 SSB pattern 6 in FR2: SSB allocation for SSB SCS=240 KHz in 100 MHz

**Table A.3.10.2.6-1: SSB.6 FR2: SSB Pattern 6 for SSB SCS = 240 KHz in 100 MHz channel with 2 SSBs per SS-burst**

SSB Parameters	Values	
Channel bandwidth	100 MHz	
SSB SCS	240 KHz	
SSB periodicity	20 ms	
Number of SSBs per SS-burst	2	
SS/PBCH block index	2	3
Indices of symbols containing SSBs	2-5	6-9
Indices of slots containing SSB	1	1
RB numbers containing SSBs within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> ,..., RB <sub>J+19</sub> ) <sup>Note 1</sup>	
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].		

### A.3.10.2.7 SSB pattern 7 in FR2: SSB allocation for SSB SCS=120 KHz in 100 MHz

**Table A.3.10.2.7-1: SSB.7 FR2: SSB Pattern 7 for SSB SCS = 120 KHz in 100 MHz channel with 1 SSB per SS-burst**

SSB Parameters	Values
Channel bandwidth	100 MHz
SSB SCS	120 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	1
SS/PBCH block index	1
Indices of symbols containing SSBs	8-11
Indices of slots containing SSB	0
RB numbers containing SSBs within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> , ..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	

### A.3.10.2.8 SSB pattern 8 in FR2: SSB allocation for SSB SCS=240 KHz in 100 MHz

**Table A.3.10.2.8-1: SSB.8 FR2: SSB Pattern 8 for SSB SCS = 240 KHz in 100 MHz channel with 1 SSB per SS-burst**

SSB Parameters	Values
Channel bandwidth	100 MHz
SSB SCS	240 KHz
SSB periodicity	20 ms
Number of SSBs per SS-burst	1
SS/PBCH block index	1
Indices of symbols containing SSBs	12-13, 0-1
Indices of slots containing SSB	0, 1
RB numbers containing SSBs within channel BW	(RB <sub>J</sub> , RB <sub>J+1</sub> , ..., RB <sub>J+19</sub> ) <sup>Note 1</sup>
Note 1: RBs containing SSB can be configured in any frequency location within the cell bandwidth according to the allowed synchronization raster defined in TS 38.104 [13].	

## A.3.11 SMTA Configurations

### A.3.11.1 SMTA pattern 1: SMTA period = 20 ms with SMTA duration = 1 ms

**Table A.3.11.1-1: SMTA.1: SMTA Pattern 1 for SMTA period = 20 ms and duration = 1 ms**

SMTA Parameters	Values
SMTA periodicity	20 ms
SMTA offset	0 ms
SMTA duration	1 ms

### A.3.11.2 SMTc pattern 2: SMTc period = 20 ms with SMTc duration = 5 ms

**Table A.3.11.2-1: SMTc.2: SMTc Pattern 2 for SMTc period = 20 ms and duration = 5 ms**

SMTc Parameters	Values
SMTc periodicity	20 ms
SMTc offset	0 ms
SMTc duration	5 ms

### A.3.11.3 SMTc pattern 3: SMTc period = 160 ms with SMTc duration = 1 ms

**Table A.3.11.3-1: SMTc.3: SMTc Pattern 3 for SMTc period = 20 ms and duration = 5 ms**

SMTc Parameters	Values
SMTc periodicity	160 ms
SMTc offset	0 ms
SMTc duration	1 ms

## A.3.12 Test Cases with Different CC Configurations

### A.3.12.1 EN-DC Test Cases with Different EN-DC Configurations

#### A.3.12.1.1 Introduction

In Annex A EN-DC test cases may be defined for two component carriers (CCs) as well as for more than two CCs to verify the same RRM requirement.

#### A.3.12.1.2 Principle of testing

If multiple EN-DC test cases are defined for two CCs as well as for more than two CCs to verify the same type of RRM requirement, which depends on the number of CCs, then from the UE performance point of view the test coverage can be considered fulfilled by executing only the EN-DC test cases with the maximum number of CCs in EN-DC supported by the UE. Otherwise if the same type of RRM requirement is independent of the number of CCs then from the UE performance point of view the test coverage can be considered fulfilled by executing only the EN-DC test cases with two CCs in EN-DC supported by the UE.

*Editor's: The maximum number of CCs that can be used in FR2 tests in EN-DC would depend on the test equipment capability.*

### A.3.12.2 Carrier Aggregation Test Cases with Different CA Configurations

#### A.3.12.2.1 Introduction

In Annex A carrier aggregation test cases may be defined for two CCs as well as for more than two CCs to verify the same RRM requirement.

#### A.3.12.2.2 Principle of testing

If multiple carrier aggregation test cases are defined for two CCs as well as for more than two CCs to verify the same RRM requirement, which depends on the number of CCs, then from the UE performance point of view the test coverage can be considered fulfilled by executing only the CA test cases with the maximum number of CCs in CA supported by the UE. Otherwise if the same type of RRM requirement is independent of the number of CCs then

from the UE performance point of view the test coverage can be considered fulfilled by executing only the CA test cases with at least two CCs in CA supported by the UE.

*Editor's: The maximum number of CCs that can be used in FR2 tests in CA would depend on the test equipment capability.*

## A.3.13 Test Cases in SA and EN-DC Operations

### A.3.13.1 Introduction

This clause defines a principle which is applicable to test cases verifying RRM requirements in standalone (SA) or EN-DC operations.

In Annex A test cases may be defined in SA and EN-DC operations to verify the same RRM requirement.

*Editor's note: this section may need to define further for NE-DC and NR-DC test cases, which subjects to the test cases defined in the future.*

### A.3.13.2 Principle of Testing

If test cases are defined in both SA and EN-DC operations to verify the same RRM requirement then the UE capable of both SA and EN-DC operations needs to verify that RRM requirement by performing test case(s) in either SA operation or in EN-DC operation.

If test cases are defined in both SA and EN-DC operations to verify at least one common RRM requirement then the UE capable of both SA and EN-DC operations needs to verify RRM requirements by performing test case(s) in either SA operation or in EN-DC operation provided that the performed test case(s):

- verifies the largest number of RRM requirements and
- verifies at least all RRM requirements covered in the test case(s), which is not performed.

## A.3.14 CSI-RS configurations

### A.3.14.1 FDD

**Table A.3.14.1-1: CSI-RS Reference Measurement Channels for SCS=15kHz**

	CSI-RS.1.1 FDD	CSI-RS.1.2 FDD	CSI-RS.1.3 FDD	CSI-RS.1.4 FDD
Resource Type	periodic	periodic	aperiodic	aperiodic
<b>Resource Set Config</b>				
nzp-CSI-ResourceSetId	0	0	0	0
repetition	n.a.	off	off	on
aperiodicTriggeringOffset	n.a.	n.a.	6	6
trs-Info	n.a.	n.a.	n.a.	n.a.
<b>Resource Config</b>				
nzp-CSI-RS-Resourceld	0 for resource #0	10 for resource #0	20 for resource #0	30 for resource #0
				31 for resource #1
		11 for resource #1	21 for resource #1	32 for resource #2
				33 for resource #3
				34 for resource #4

				35 for resource #5 36 for resource #6 37 for resource #7
powerControlOffset	0	0	0	0
powerControlOffsetSS	db0	db0	db0	db0
scramblingID	0	0	0	0
Period (slots)	TBD	slot10	n.a.	n.a.
Offset	TBD	1	n.a.	n.a.
qcl-InfoPeriodicCSI-RS	TCI.State.0	TCI.State.0 TCI.State.1	n.a.	n.a.
frequencyDomainAllocation	000001	000001	000001	000001
nrofPorts	2	1	1	1
firstOFDMSymbolInTimeDomain	5 for resource #0	6 for resource #0	6 for resource #0	0 for resource #0 1 for resource #1 2 for resource #2 3 for resource #3
				4 for resource #4 5 for resource #5 6 for resource #6 7 for resource #7
cdm-Type	FD-CDM2	noCDM	noCDM	noCDM
density	1	3	3	3
startingRB	0	0	0	0
nrofRBs	276	276	276	276

### A.3.14.2 TDD

**Table A.3.14.2-1: CSI-RS Reference Measurement Channels for SCS=15kHz**

	CSI-RS.1.1 TDD	CSI-RS.1.2 TDD	CSI-RS.1.3 TDD	CSI-RS.1.4 TDD
Resource Type	periodic	periodic	aperiodic	aperiodic
<b>Resource Set Config</b>				
nzp-CSI-ResourceSetId	0	0	0	0
repetition	n.a.	off	off	on
aperiodicTriggeringOffset	n.a.	n.a.	6	6
trs-Info	n.a.	n.a.	n.a.	n.a.
<b>Resource Config</b>				
nzp-CSI-RS-ResourceId	0 for resource #0	10 for resource #0	20 for resource #0	30 for resource #0
				31 for resource #1
				32 for resource #2
				33 for resource #3
		11 for resource #1	21 for resource #1	34 for resource #4
				35 for resource #5
				36 for resource #6
				37 for resource #7
powerControlOffset	0	0	0	0
powerControlOffsetSS	db0	db0	db0	db0
scramblingID	0	0	0	0
Period (slots)	TBD	slot10	n.a.	n.a.
Offset	TBD	1	n.a.	n.a.
qcl-InfoPeriodicCSI-RS	TCI.State.0	TCI.State.0	n.a.	n.a.
		TCI.State.1		
frequencyDomainAllocation	000001	000001	000001	000001
nrofPorts	2	1	1	1
firstOFDMSymbolInTimeDomain	5 for resource #0	6 for resource #0	6 for resource #0	0 for resource #0
				1 for resource #1
				2 for resource #2
				3 for resource #3
				4 for resource #4
		10 for resource #1	10 for resource #1	5 for resource #5
				6 for resource #6
				7 for resource #7
cdm-Type	FD-CDM2	noCDM	noCDM	noCDM
density	1	3	3	3
startingRB	0	0	0	0
nrofRBs	276	276	276	276

**Table A.3.14.2-2: CSI-RS Reference Measurement Channels for SCS=30kHz**

	<b>CSI-RS.2.1 TDD</b>	<b>CSI-RS.2.2 TDD</b>	<b>CSI-RS.2.3 TDD</b>	<b>CSI-RS.2.4 TDD</b>
Resource Type	periodic	periodic	aperiodic	aperiodic
<b>Resource Set Config</b>				
nzp-CSI-ResourceSetId	0	0	0	0
repetition	n.a.	off	off	on
aperiodicTriggeringOffset	n.a.	n.a.	6	6
trs-Info	n.a.	n.a.	n.a.	n.a.
<b>Resource Config</b>				
nzp-CSI-RS-ResourceId	0 for resource #0	10 for resource #0	20 for resource #0	30 for resource #0
				31 for resource #1
				32 for resource #2
				33 for resource #3
		11 for resource #1	21 for resource #1	34 for resource #4
				35 for resource #5
				36 for resource #6
				37 for resource #7
powerControlOffset	0	0	0	0
powerControlOffsetSS	db0	db0	db0	db0
scramblingID	0	0	0	0
Period (slots)	TBD	slot20	n.a.	n.a.
Offset	TBD	2	n.a.	n.a.
qcl-InfoPeriodicCSI-RS	TCI.State.0	TCI.State.0	n.a.	n.a.
		TCI.State.1		
frequencyDomainAllocation	000001	000001	000001	000001
nrofPorts	2	1	1	1
firstOFDMSymbolInTimeDomain	5 for resource #0	6 for resource #0	6 for resource #0	0 for resource #0
				1 for resource #1
				2 for resource #2
				3 for resource #3
				4 for resource #4
		10 for resource #1	10 for resource #1	5 for resource #5
				6 for resource #6
				7 for resource #7
cdm-Type	FD-CDM2	noCDM	noCDM	noCDM
density	1	3	3	3
startingRB	0	0	0	0
nrofRBs	276	276	276	276

**Table A.3.14.2-3: CSI-RS Reference Measurement Channels for SCS=120kHz**

	<b>CSI-RS.3.1 TDD</b>	<b>CSI-RS.3.2 TDD</b>	<b>CSI-RS.3.3 TDD</b>	<b>CSI-RS.3.4 TDD</b>
Resource Type	periodic	periodic	aperiodic	aperiodic
<b>Resource Set Config</b>				
nzp-CSI-ResourceSetId	0	0	0	0
repetition	n.a.	off	off	on
aperiodicTriggeringOffset	n.a.	n.a.	6	6
trs-Info	n.a.	n.a.	n.a.	n.a.
<b>Resource Config</b>				
nzp-CSI-RS-ResourceId	0 for resource #0	10 for resource #0	20 for resource #0	30 for resource #0
				31 for resource #1
				32 for resource #2
				33 for resource #3
		11 for resource #1	21 for resource #1	34 for resource #4
				35 for resource #5
				36 for resource #6
				37 for resource #7
powerControlOffset	0	0	0	0
powerControlOffsetSS	db0	db0	db0	db0
scramblingID	0	0	0	0
Period (slots)	TBD	slot80	n.a.	n.a.
Offset	TBD	8	n.a.	n.a.
qcl-InfoPeriodicCSI-RS	TCI.State.0	TCI.State.0	n.a.	n.a.
		TCI.State.1		
frequencyDomainAllocation	000001	000001	000001	000001
nrofPorts	2	1	1	1
firstOFDMSymbolInTimeDomain	5 for resource #0	6 for resource #0	6 for resource #0	0 for resource #0
				1 for resource #1
				2 for resource #2
				3 for resource #3
				4 for resource #4
		10 for resource #1	10 for resource #1	5 for resource #5
				6 for resource #6
				7 for resource #7
cdm-Type	FD-CDM2	noCDM	noCDM	noCDM
density	1	3	3	3
startingRB	0	0	0	0
nrofRBs	276	276	276	276

## A.3.15 Angle of Arrival (AoA) for FR2 RRM test cases

This clause specifies the AoA setups for FR2 RRM test cases in section A.5 and A.7. The applicable AoA setup is defined in each test case in section A.5 and A.7.

### A.3.15.1 Setup 1: Single AoA in Rx beam peak direction

There is only one active probe in the test. The DL signals, and noise if applicable, transmitted from the probe, are aligned to the UE Rx beam peak direction (as defined in TS 38.101-2 [19]).

### A.3.15.2 Setup 2: Single AoA in non Rx beam peak direction

#### A.3.15.2.1 Setup 2a: Single AoA in non Rx beam peak direction without change in direction

There is only one active probe in the test. The DL signals, and noise if applicable, transmitted from the probe, align to a direction (AoA) which is from the set of directions corresponding to the EIS spherical coverage percentile of the DUT as defined in clause 7.3.4 of TS 38.101-2 [19] for each UE power class. The direction (AoA) of the signals shall not be changed between test iterations.

#### A.3.15.2.2 Setup 2b: Single AoA in non Rx beam peak direction with change in direction

There is only one active probe in the test. The DL signals, and noise if applicable, transmitted from the probe, align to a direction (AoA) which is from the set of directions corresponding to the EIS spherical coverage percentile of the DUT as defined in clause 7.3.4 of TS 38.101-2 [19] for each UE power class. For UE power class 3, the direction (AoA) of the signals shall be changed for each test iteration (for UE power classes other than 3, this is FFS).

### A.3.15.3 Setup 3: 2 AoAs

There are 2 active probes in the test. The DL signals, and noise if applicable, transmitted from the two active probes, align to directions (AoAs) which are from the set of directions corresponding to the EIS spherical coverage percentile of the DUT as defined in clause 7.3.4 of TS 38.101-2 [19] for each UE power class. The relative angular offset between the directions (AoAs) of the 2 active probes, shall be changed for each test iteration. The applicable set of relative angular offsets between the 2 active probes is given in Table 3.15.3-1 for each UE power class.

Editor Note: If RAN5 finds the changing of angular offset between the directions (AoAs) of the 2 active probes per test iteration to be infeasible from the perspectives of EIS spherical coverage and other impacts, e.g.: testing time, then the test setup will be revised.

**Table 3.15.3-1: Set of relative angular offsets between active probes for each power class**

UE Power class	Relative angular offset between active probes
1	FFS
2	FFS
3	30°, 60°, 90°, 120° and 150°
4	FFS

## A.3.16 TCI State Configuration

### A.3.16.1 Introduction

This section provides the configurations for TCI states towards either SSB or CSI-RS. The TCI states defined in this section are configured in each test when applicable to indicate that certain DL signals are QCL'ed with the referenceSignal configured in the TCI states.

### A.3.16.2 TCI states

**Table A.3.16.2-1: TCI States**

Parameter	TCI.State.0	TCI.State.1	TCI.State.2	TCI.State.3
tci-StatId	Id0	Id1	Id2	Id3
qcl-Type1	typeC	typeC	typeA	typeA
qcl-Type2 <sup>Note1</sup>	typeD	typeD	typeD	typeD
referenceSignal	SSB0	SSB1	TRS.2.1 TDD	TBD

Note 1: qcl-Type2 of typeD only where applicable. For RRM test cases, this will be only in FR2  
Note 2: referenceSignal configurations towards which the TCI states are configured are defined in a test-specific manner.

**Table A.3.16.2-2: Void**

## A.3.17 Configurations of CSI-RS for tracking

### A.3.17.1 Configuration of CSI-RS for tracking for FR1

#### A.3.17.1.1 FDD

**Table A.3.17.1.1-1: CSI-RS for tracking for SCS=15kHz**

Parameter	Unit	Value
<b>Reference channel</b>		TRS.1.1 FDD
Bandwidth		BW of Active BWP <sup>Note</sup>
SCS	kHz	15
First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
First OFDM symbol in the PRB used for CSI-RS		$I_0 = 6$ for CSI-RS resource 1 and 3 $I_0 = 10$ for CSI-RS resource 2 and 4
Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
CSI-RS periodicity	slots	20 for CSI-RS resource 1,2,3,4
CSI-RS offset	slots	10 for CSI-RS resource 1 and 2 11 for CSI-RS resource 3 and 4
EPRE ratio to SSS	dB	-3

Note: BW of TRS is configured same as the BW size of UE active BWP in the RRM test cases

**Table A.3.17.1.1-2: CSI-RS for tracking for SCS=30kHz**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
<b>Reference channel</b>		TRS.1.2 FDD
Bandwidth		BW of Active BWP <small>Note</small>
SCS	kHz	30
First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
First OFDM symbol in the PRB used for CSI-RS		$l_0 = 6$ for CSI-RS resource 1 and 3 $l_0 = 10$ for CSI-RS resource 2 and 4
Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
CSI-RS periodicity	slots	40 for CSI-RS resource 1,2,3,4
CSI-RS offset	slots	20 for CSI-RS resource 1 and 2 21 for CSI-RS resource 3 and 4
EPRE ratio to SSS	dB	-3
Note: BW of TRS is configured same as the BW size of UE active BWP in the RRM test cases		

### A.3.17.1.2 TDD

**Table A.3.17.1.2-1: CSI-RS for tracking for SCS=15kHz**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
<b>Reference channel</b>		TRS.1.1 TDD
Bandwidth		BW of Active BWP <small>Note</small>
SCS	kHz	15
First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
First OFDM symbol in the PRB used for CSI-RS		$l_0 = 6$ for CSI-RS resource 1 and 3 $l_0 = 10$ for CSI-RS resource 2 and 4
Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
CSI-RS periodicity	slots	20 for CSI-RS resource 1,2,3,4
CSI-RS offset	slots	10 for CSI-RS resource 1 and 2 11 for CSI-RS resource 3 and 4
EPRE ratio to SSS	dB	-3
Note: BW of TRS is configured same as the BW size of UE active BWP in the RRM test cases		

**Table A.3.17.1.2-2: CSI-RS for tracking for SCS=30kHz**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
<b>Reference channel</b>		TRS.1.2 TDD
Bandwidth		BW of Active BWP <sup>Note</sup>
SCS	kHz	30
First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
First OFDM symbol in the PRB used for CSI-RS		$l_0 = 6$ for CSI-RS resource 1 and 3 $l_0 = 10$ for CSI-RS resource 2 and 4
Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
CSI-RS periodicity	slots	40 for CSI-RS resource 1,2,3,4
CSI-RS offset	slots	20 for CSI-RS resource 1 and 2 21 for CSI-RS resource 3 and 4
EPRE ratio to SSS	dB	-3
Note: BW of TRS is configured same as the BW size of UE active BWP in the RRM test cases		

## A.3.17.2 Configuration of CSI-RS for tracking for FR2

### A.3.17.2.1 TDD

**Table A.3.17.2.1-1: CSI-RS for tracking for SCS=120kHz Set 1**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
<b>Reference channel</b>		TRS.2.1 TDD
Bandwidth		BW of Active BWP <sup>Note</sup>
SCS	kHz	120
First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
First OFDM symbol in the PRB used for CSI-RS		$l_0 = 1$ for CSI-RS resource 1 and 3 $l_0 = 5$ for CSI-RS resource 2 and 4
Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
CSI-RS periodicity	slots	80 for CSI-RS resource 1,2,3,4
CSI-RS offset	slots	40 for CSI-RS resource 1 and 2 41 for CSI-RS resource 3 and 4
EPRE ratio to SSS	dB	-3
TCI state		TCI.State.0
Note: BW of TRS is configured same as the BW size of UE active BWP in the RRM test cases		

**Table A.3.17.2.1-2: CSI-RS for tracking for SCS=120kHz Set 2**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
<b>Reference channel</b>		TRS.2.2 TDD
Bandwidth		BW of Active BWP <sup>Note</sup>
SCS	kHz	120
First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
First OFDM symbol in the PRB used for CSI-RS		$l_0 = 2$ for CSI-RS resource 1 and 3 $l_0 = 6$ for CSI-RS resource 2 and 4
Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
CSI-RS periodicity	slots	80 for CSI-RS resource 1,2,3,4
CSI-RS offset	slots	40 for CSI-RS resource 1 and 2 41 for CSI-RS resource 3 and 4
EPRE ratio to SSS	dB	-3
TCI state		TCI.State.1
Note: BW of TRS is configured same as the BW size of UE active BWP in the RRM test cases		

## A.4 EN-DC tests with all NR cells in FR1

### A.4.1 Void

### A.4.2 Void

### A.4.3 RRC\_CONNECTED state mobility

#### A.4.3.1 Void

#### A.4.3.2 RRC Connection Mobility Control

##### A.4.3.2.1 Void

##### A.4.3.2.2 Random Access

###### A.4.3.2.2.1 Contention based random access test in FR1 for PSCell in EN-DC

###### A.4.3.2.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.2 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in section A.3.7.2.1 and Cell 2 configured as PSCell in FR1. Supported test parameters are shown in Table A.4.3.2.2.1.1-1. UE capable of EN-DC with PSCell in FR1 needs to be tested by using the parameters in Table A.4.3.2.2.1.1-2.

**Table A.4.3.2.2.1.1-1: Supported test configurations for contention based random access test in FR1 for PSCell in EN-DC**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.4.3.2.2.1.1-2: General test parameters for contention based random access test in FR1 for PSCell in EN-DC**

Parameter	Unit	Test-1	Comments
SSB Configuration		SSB pattern 1 in FR1	As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below
		SSB pattern 2 in FR1	
Number of SSBs per SS-burst		2	Different from the definition in A.3.10
SS/PBCH block index		0,1	Different from the definition in A.3.10
Duplex Mode for Cell 2		FDD	
		TDD	
TDD Configuration	Config 3,4	TDDConf.1.2	
OCNG Pattern <sup>Note 1</sup>		OCNG pattern 1	As defined in A.3.2.1.
PDSCH parameters <sup>Note 4</sup>		SR.1.1 FDD	As defined in A.3.1.1.
		SR.2.1 TDD	
NR RF Channel Number		1	
EPRE ratio of PSS to SSS	dB		
EPRE ratio of PBCH_DMRS to SSS	dB		
EPRE ratio of PBCH to PBCH_DMRS	dB		
EPRE ratio of PDCCH_DMRS to SSS	dB		
EPRE ratio of PDCCH to PDCCH_DMRS	dB		
EPRE ratio of PDSCH_DMRS to SSS	dB		
EPRE ratio of PDSCH to PDSCH_DMRS	dB		
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	Power of SSB with index 0 is set to be above configured <i>rsrp-ThresholdSSB</i>
	$N_{oc}$	dBm/15kHz	-98
			-101
	$\hat{E}_s / N_{oc}$	dB	
SS-RSRP <sup>Note 3</sup>	dBm/ SCS	3	
		-95	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	Power of SSB with index 1 is set to be below configured <i>rsrp-ThresholdSSB</i>
	$N_{oc}$	dBm/15kHz	-98
			-101
	$\hat{E}_s / N_{oc}$	dB	
SS-RSRP <sup>Note 3</sup>	dBm/ SCS	-17	
		-115	
Io <sup>Note 2</sup>	Config 1,2	dBm	For symbols without SSB index 1
ss-PBCH-BlockPower		dBm/ SCS	As defined in clause 6.3.2 in TS 38.331 [2].

Configured UE transmitted power ( $P_{C\text{MAX}, f, c}$ )	dBm	23	As defined in clause 6.2.4 in TS 38.101-1.
PRACH Configuration		FR1 PRACH configuration 1	As defined in A.3.8.2.
Propagation Condition	-	AWGN	
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.</p> <p>Note 2: SS-RSRP, Es/Iot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.</p> <p>Note 3: Void</p> <p>Note 4: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.</p>			

#### A.4.3.2.2.1.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

##### A.4.3.2.2.1.2.1 Random Access Preamble Transmission

To test the UE behavior specified in Subclause 6.2.2.2.1.1 the System Simulator shall receive the Random Access Preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *rsrp-ThresholdSSB*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.4.3.2.2.1.2.2 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.1.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.4.3.2.2.1.2.3 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.1.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.4.3.2.2.1.2.4 Receiving an UL grant for msg3 retransmission

To test the UE behavior specified in subclause 6.2.2.2.1.4, the System Simulator shall provide an UL grant for msg3 retransmission following a successful Random Access Response.

The UE shall re-transmit the msg3 upon the reception of an UL grant for msg3 retransmission..

#### A.4.3.2.2.1.2.5 Reception of an Incorrect Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element *not* matching the CCCH SDU transmitted in msg3 uplink message.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

#### A.4.3.2.2.1.2.6 Reception of a Correct Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element matching the CCCH SDU transmitted in the msg3 uplink message.

The UE shall send ACK if the Contention Resolution is successful.

#### A.4.3.2.2.1.2.7 Contention Resolution Timer expiry

To test the UE behavior specified in Subclause 6.2.2.2.1.6 the System Simulator shall *not* send a response to a msg3.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if the Contention Resolution Timer expires.

### A.4.3.2.2 Non-contention based random access test in FR1 for PSCell in EN-DC

#### A.4.3.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.2 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in section A.3.7.2.1 and Cell 2 configured as PSCell in FR1. Supported test parameters are shown in Table A.4.3.2.2.1-1. UE capable of EN-DC with PSCell in FR1 needs to be tested by using the parameters in Table A.4.3.2.2.1-2 for SSB-based non-contention based random access test (Test 1) and CSI-RS-based non-contention based random access test (Test 2).

**Table A.4.3.2.2.1-1: Supported test configurations for non-contention based random access test in FR1 for PSCell in EN-DC**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.4.3.2.2.1-2: General test parameters for non-contention based random access test in FR1 for PSCell in EN-DC**

Parameter		Unit	Test-1	Test-2	Comments	
SSB Configuration	Config 1,2		SSB pattern 1 in FR1	SSB pattern 1 in FR1	As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below	
	Config 3,4		SSB pattern 2 in FR1	SSB pattern 2 in FR1		
Number of SSBs per SS-burst			2	2	Different from the definition in A.3.10	
SS/PBCH block index			0,1	0,1	Different from the definition in A.3.10	
CSI-RS Configuration	Config 1,2		N/A	CSI-RS.1.1 FDD	As defined in A.3.1.4	
	Config 3,4			CSI-RS.2.1 TDD		
Duplex Mode for Cell 2	Config 1,2		FDD	FDD		
	Config 3,4		TDD	TDD		
TDD Configuration			TDDConf.1.2	TDDConf.1.2		
OCNG Pattern <sup>Note 1</sup>			OCNG pattern 1	OCNG pattern 1	As defined in A.3.2.1.	
PDSCH parameters <sup>Note 4</sup>	Config 1,2		SR.1.1 FDD	SR.1.1 FDD	As defined in A.3.1.1.	
	Config 3,4		SR.2.1 TDD	SR.2.1 TDD		
NR RF Channel Number			1	1		
EPRE ratio of PSS to SSS		dB			Power of SSB with index 0 is set to be above configured <i>rsrp-ThresholdSSB</i>	
EPRE ratio of PBCH_DMRS to SSS		dB				
EPRE ratio of PBCH to PBCH_DMRS		dB				
EPRE ratio of PDCCH_DMRS to SSS		dB				
EPRE ratio of PDCCH to PDCCH_DMRS		dB				
EPRE ratio of PDSCH_DMRS to SSS		dB				
EPRE ratio of PDSCH to PDSCH_DMRS		dB				
SSB with index 0	$\hat{E}_s / I_{ot}$		dB	3	3	
	$N_{oc}$	dBm/15kHz	-98	-98		
			-101	-101		
	$\hat{E}_s / N_{oc}$		dB	3		
SS-RSRP <sup>Note 3</sup>	SS-RSRP <sup>Note 3</sup>		dBm/ SCS	-95	-95	
	$\hat{E}_s / I_{ot}$		dB	-17		
	Config 1,2	dBm/15kHz	-98	-98		

	$N_{oc}$	Config 3,4		-101	-101	Power of SSB with index 1 is set to be below configured $rsrp\text{-}ThresholdSSB$
	$\hat{E}_s/N_{oc}$		dB	-17	-17	
	SS-RSRP Note 3		dBm/ SCS	-115	-115	
Io Note 2	Config 1,2		dBm	-65.3/9.36MHz	-65.3/9.36MHz	For symbols without SSB index 1
	Config 3,4			-62.2/38.16MHz	-62.2/38.16MHz	
ss-PBCH-BlockPower		dBm/ SCS		-5	-5	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{CMAX, f_c}$ )		dBm		23	23	As defined in clause 6.2.4 in TS 38.101-1.
PRACH Configuration			FR1 PRACH configuration 2	FR1 PRACH configuration 3	As defined in A.3.8.2.	
Propagation Condition		-	AWGN	AWGN		
<p>Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.</p> <p>Note 2: SS-RSRP, Es/lot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.</p> <p>Note 3: Void</p> <p>Note 4: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.</p>						

#### A.4.3.2.2.2.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

##### A.4.3.2.2.2.2.1 SSB-based Random Access Preamble Transmission

In Test-1, to test the UE behavior specified in Subclause 6.2.2.2.2.1 for SSB-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the SSB with index 0.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2.. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.4.3.2.2.2.2.2 CSI-RS-based Random Access Preamble Transmission

In Test-2, to test the UE behavior specified in Subclause 6.2.2.2.2.1 for CSI-RS-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with CSI-RSs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the CSI-RS configured.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the CSI-RS configured, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-OccasionList*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.4.3.2.2.2.3 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.4.3.2.2.2.4 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window configured in *RACH-ConfigCommon*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

A.4.3.2.3    Void

## A.4.4    Timing

### A.4.4.1    UE transmit timing

#### A.4.4.1.1    NR UE Transmit Timing Test for FR1

##### A.4.4.1.1.1    Test Purpose and environment

The purpose of this test is to verify that the UE can follow frame timing change of the connected gNodeb and that the UE initial transmit timing accuracy, maximum amount of timing change in one adjustment, minimum and maximum adjustment rate are within the specified limits. This test will verify the requirements in clause 7.1.2. Supported test configurations are shown in Table 4.4.1.1.1-1.

**Table A.4.4.1.1.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR FDD, SSB SCS 15 KHz, data SCS 15 KHz, BW 10 MHz
2	LTE FDD, NR TDD, SSB SCS 15 KHz, data SCS 15 KHz, BW 10 MHz
3	LTE FDD, NR TDD, SSB SCS 30 KHz, data SCS 30 KHz, BW 40 MHz
4	LTE TDD, NR FDD, SSB SCS 15 KHz, data SCS 15 KHz, BW 10 MHz
5	LTE TDD, NR TDD, SSB SCS 15 KHz, data SCS 15 KHz, BW 10 MHz
6	LTE TDD, NR TDD, SSB SCS 30 KHz, data SCS 30 KHz, BW 40 MHz
Note: The UE is only required to be tested in one of the supported test configurations in FR1 depending on UE capability.	

For this test a single NR cell configured as EN-DC PSCell is used. Table A.4.4.1.1.1-2 defines the parameters to be configured and strength of the transmitted signals. The transmit timing is verified by the UE transmitting SRS using the configuration defined in Table A.4.4.1.1.1-3.

**Table A.4.4.1.1.1-2: Cell Specific Test Parameters for UL Transmit Timing test**

Parameter	Unit	Config	Test1	Test2	Band Group
SSB ARFCN		1,2,3,4,5,6	Freq1	Freq1	
Duplex Mode		1,4	FDD		
		2,3,5,6	TDD		
TDD configuration		1,4	Not Applicable		
		2,5	TDDConf.1.1		
		3,6	TDDConf.1.2		
BW <sub>channel</sub>	MHz	1,4	10: N <sub>RB,c</sub> = 52		
		2,5	10: N <sub>RB,c</sub> = 52		
		3,6	40: N <sub>RB,c</sub> = 106		
Initial BWP Configuration		1,2,3,4,5,6	DLBWP.0.1 ULBWP.0.1		
Dedicated BWP Configuration		1,2,3,4,5,6	DLBWP.1.1 ULBWP.1.1		
DRx Cycle	ms	1,2,3,4,5,6	N/A	DRX.5 <sup>Note5</sup>	
PDSCH Reference measurement channel		1,4	SR.1.1 FDD		
		2,5	SR.1.1 TDD		
		3,6	SR.2.1 TDD		

CORESET Reference Channel		1,4	CR.1.1 FDD			
		2,5	CR.1.1 TDD			
		3,6	CR.2.1 TDD			
OCNG Patterns		1,2,3,4,5,6	OCNG pattern 1			
PDSCH/PDCCH subcarrier spacing	kHz	1,2,4,5	15			
		3,6	30			
EPRE ratio of PSS to SSS	dB	1,2,3,4,5,6	0	0		
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
$N_{oc}$ Note2		dBm/15 kHz	1,2,3,4,5,6	-98	-98	
$N_{oc}$ Note2	dBm/SCS	1,2,4,5	-98	-98		
		3,6	-95	-95		
$\hat{E}_s / I_{ot}$		1,2,3,4,5,6	3	3		
$\hat{E}_s / N_{oc}$		1,2,3,4,5,6	3	3		
SS-RSRP Note3	dBm/SCS	1,2,4,5	-95	-95		
		3,6	-92	-92		
Io Note3	dBm/9.36MHz	1,2,4,5	-65.2	-65.2		
		3,6	-59.2	-59.2		
Propagation condition		1,2,3,4,5,6	AWGN			
SRS Config		1,2,3,4,5,6	Config1 Note6	Config2 Note6		
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					
Note 5:	DRx related parameters are given in Table A.3.3.5-1					
Note 6:	SRS configs are given in Table A.4.4.1.1.1-3					

Table A.4.4.1.1.1-3: SRS Configuration for Timing Accuracy Test

	Field	Config1	Config 2	Comments
SRS-ResourceSet	srs-ResourceSetId	0	0	
	srs-ResourceIdList	0	0	
	resourceType	Periodic	Periodic	
	Usage	Codebook	Codebook	

SRS-Resource	SRS-ResourceId	0	0	
nrofSRS-Ports	Port1	Port1		
transmissionComb	n2	n2		
combOffset-n2	0	0		
cyclicShift-n2	0	0		
resourceMapping startPosition	0	0		
resourceMapping nrofSymbols	n1	n1		
resourceMapping repetitionFactor	n1	n1		
freqDomainPosition	0	0		
freqDomainShift	0	0		
freqHopping c-SRS	sl1	sl1		
freqHopping b-SRS	0	0		
freqHopping b-hop	0	0		
groupOrSequenceHopping	Neither	Neither		
resourceType	Periodic	Periodic		
periodicityAndOffset-p	sl1, 0	sl640, 0	Offset to align with DRx periodicity	
sequenceId	0	0	Any 10 bit number	

#### A.4.4.1.1.2 Test requirements

The test sequence shall be carried out in RRC\_CONNECTED for every test case.

Following will be the test sequence for this test

- 1) Set up E-UTRA PCell according to parameters given in Table A.3.7.2.1-1 and setup NR PSCell according to parameters given in Table A.4.4.1.1.1-1.
- 2) After connection set up with the cell, the test equipment will verify that the timing of the NR cell is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB.
  - a. The  $N_{TA}$  offset value (in  $T_c$  units) is 25600
  - b. The  $T_e$  values depend on the DL and UL SCS for which the test is being run and are given in Table 7.1.2-1
- 3) The test system shall adjust the timing of the DL path by values given in Table A.4.4.1.1.2-1

**Table A.4.4.1.1.2-1: Adjustment Value for DL Timing**

SCS of SSB signals (KHz)	Adjustment Value	
	Test1	Test2
15	+64*64T <sub>c</sub>	+32*64T <sub>c</sub>
30	+32*64T <sub>c</sub>	+16*64T <sub>c</sub>

- 4) The test system shall verify that the adjustment step size and the adjustment rate shall be according to requirements specified in Section 7.1.2 Table 7.1.2-3 until the UE transmit timing offset is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  respective to the first detected path (in time) of DL SSB. Skip this step for test 2 with DRX configured.

- 5) The test system shall verify that the UE transmit timing offset stays within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB. For Test 2 the UE transmit timing offset shall be verified for the first transmission in the DRX cycle immediately after DL timing adjustment.

#### A.4.4.2 UE timer accuracy

#### A.4.4.3 Timing advance

##### A.4.4.3.1 EN-DC FR1 timing advance adjustment accuracy

###### A.4.4.3.1.1 Test Purpose and Environment

The purpose of the test is to verify UE Timing Advance adjustment delay and accuracy requirement defined in clause 7.3.

###### A.4.4.3.1.2 Test Parameters

Supported test configurations are shown in table A.4.4.3.1.2-1. Both timing advance adjustment delay and accuracy are tested by using the parameters in table A.4.4.3.1.2-2, A.4.4.3.1.2-3 and A.4.4.3.1.2-4. The configuration of Cell 1 (LTE PCell) is specified in section A.3.7.2.1.

In all test cases, two cells are used. Cell 1 is the PCell in the primary Timing Advance Group (pTAG) and cell 2 is the PSCell in the secondary Timing Advance Group (sTAG). Each test consists of two successive time periods, with time duration of T1 and T2 respectively. In each time period, timing advance commands for sTAG are sent to the UE and Sounding Reference Signals (SRS), as specified in table A.4.4.3.1.2-3, are sent from the UE and received by the test equipment. By measuring the reception of the SRS, the transmit timing, and hence the timing advance adjustment accuracy, can be measured for PSCell in sTAG.

During time period T1, the test equipment shall send one message with a Timing Advance Command MAC Control Element for sTAG, as specified in clause 6.1.3.4 in TS 38.321 [7]. The Timing Advance Command value shall be set to 31, which according to clause 4.2 in TS 38.213 [3] results in zero adjustment of the Timing Advance. In this way, a reference value for the timing advance for sTAG used by the UE is established.

During time period T2, the test equipment shall send a sequence of messages with Timing Advance Command MAC Control Elements for sTAG, with Timing Advance Command value specified in table A.4.4.3.1.2-2. This value shall result in changes of the timing advance for sTAG used by the UE, and the accuracy of the change shall then be measured, using the SRS sent from the UE.

As specified in clause 7.3.2.1, the UE adjusts its uplink timing at slot n+k for a timing advance command received in slot n. This delay must be taken into account when measuring the timing advance adjustment accuracy, via the SRS sent from the UE.

The UE Time Alignment Timer, described in clause 5.2 in TS 38.321, shall be configured so that it does not expire in the duration of the test.

**Table A.4.4.3.1.2-1: Timing advance supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.4.3.1.2-2: General test parameters for timing advance**

Parameter	Unit	Value	Comment
RF channel number		Cell 1: 1 Cell 2: 2	1 for E-UTRAN PCell 2 for NR PSCell
Initial DL BWP		DLBWP.0.1	As specified in Table A.3.9.2.1-1
Dedicated DL BWP		DLBWP.1.1	As specified in Table A.3.9.2.2-1
Initial UL BWP		ULBWP.0.1	As specified in Table A.3.9.3.1-1
Dedicated UL BWP		ULBWP.1.1	As specified in Table A.3.9.3.2-1
Timing Advance Command ( $T_A$ ) value during T1		31	$N_{TA\_new} = N_{TA\_old}$ for the purpose of establishing a reference value from which the timing advance adjustment accuracy can be measured during T2
Timing Advance Command ( $T_A$ ) value during T2		39	$N_{TA\_new} = N_{TA\_old} + 8192 * T_c$ (based on equation in clause 4.2 of TS 38.213 [3])
T1	s	5	
T2	s	5	

**Table A.4.4.3.1.2-3: Cell specific test parameters for timing advance**

Parameter	Unit	Test1	
		T1	T2
Duplex mode	Config 1,4		FDD
	Config 2,3,5,6		TDD
TDD configuration	Config 1,4		Not Applicable
	Config 2,5		TDDConf.1.1
	Config 3,6		TDDConf.2.1
BW <sub>channel</sub>	Config 1,4	MHz	10: $N_{RB,c} = 52$
	Config 2,5		10: $N_{RB,c} = 52$
	Config 3,6		40: $N_{RB,c} = 106$
BWP BW	Config 1,4	MHz	10: $N_{RB,c} = 52$
	Config 2,5		10: $N_{RB,c} = 52$
	Config 3,6		40: $N_{RB,c} = 106$
DRx Cycle	ms	Not Applicable	
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD
	Config 2,5		SR.1.1 TDD
	Config 3,6		SR2.1 TDD
CORESET Reference Channel	Config 1,4		CR.1.1 FDD
	Config 2,5		CR.1.1 TDD
	Config 3,6		CR2.1 TDD
TRS configuration	Config 1,4		TRS.1.1 FDD
	Config 2,5		TRS.1.1 TDD
	Config 3,6		TRS.1.2 TDD
OCNG Patterns		OCNG pattern 1	
SMTC configuration	Config 1,2,4,5		SMTC.1 FR1
	Config 3,6		SMTC.2 FR1
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	15 kHz
	Config 3,6		30 kHz
PUCCH/PUSCH subcarrier spacing	Config 1,2,4,5	kHz	15 kHz
	Config 3,6		30 kHz
EPRE ratio of PSS to SSS		dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			

EPRE ratio of OCNG to OCNG DMRS (Note 1)			
$N_{oc}$ <sup>Note2</sup>		dBm/15kHz	-98
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5	dBm/SCS	-98
	Config 3,6		-95
$\hat{E}_s/I_{ot}$		dB	3
$\hat{E}_s/N_{oc}$		dB	3
Io <sup>Note3</sup>	Config 1,2,4,5	dBm/9.36MHz	-67.57
	Config 3,6	dBm/38.16MHz	-62.58
Propagation condition		-	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table A.4.4.3.1.2-4: Sounding Reference Symbol Configuration for timing advance**

Field	Value	Comment
c-SRS	Config 1,2,4,5	Frequency hopping is disabled
	Config 3,6	
b-SRS	0	
b-hop	0	
freqDomainPosition	0	Frequency domain position of SRS
freqDomainShift	0	
groupOrSequenceHopping	neither	No group or sequence hopping Once every 5 slots
SRS-PeriodicityAndOffset	sl5=0	
pathlossReferenceRS	ssb-Index=0	SSB #0 is used for SRS path loss estimation
usage	nonCodebook	Non-codebook based UL transmission
startPosition	0	resourceMapping setting. SRS on last symbol of slot, and 1symbols for SRS without repetition.
nrofSymbols	n1	
repetitionFactor	n1	
combOffset-n2	0	transmissionComb setting
cyclicShift-n2	0	
nrofSRS-Ports	port1	Number of antenna ports used for SRS transmission

Note: For further information see clause 6.3.2 in TS 38.331 [2].

#### A.4.4.3.1.3 Test Requirements

The UE shall apply the signalled Timing Advance value for PSCell in sTAG to the transmission timing at the designated activation time i.e.  $k+1$  slots after the reception of the timing advance command, where:

$k = 4$  for Config 1, 2, 4, 5, and

$k = 7$  for Config 3, 6

The Timing Advance adjustment accuracy for PSCell in sTAG shall be within the limits specified in clause 7.3.2.2.

The rate of correct Timing Advance adjustments observed during repeated tests shall be at least 90%.

## A.4.5 Signaling characteristics

### A.4.5.1 Radio link Monitoring

In the following section, any uplink signal transmitted by the UE is used for detecting the In-/Out-of-Sync state of the UE. In terms of measurement, the uplink signal is verified on the basis of the UE output power:

For intra-band contiguous carrier aggregation, transmit OFF power is measured as the mean power per component carrier.

For UE with multiple transmit antennas, transmit OFF power is measured as the mean power at each transmit connector.

- UE output power higher than Transmit OFF power [-50] dBm (as defined in TS 38.101-3 [20]) means uplink signal
- UE output power equal to or less than Transmit OFF power [-50] dBm (as defined in TS 38.101-3 [20]) means no uplink signal.

#### A.4.5.1.1 Radio Link Monitoring Out-of-sync Test for FR1 PSCell configured with SSB-based RLM RS in non-DRX mode

##### A.4.5.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell. This test will partly verify the FR1 PSCell radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.4.5.1.1.1-1. The test parameters are given in Tables A.4.5.1.1.1-2, A.4.5.1.1.1-3, and A.4.5.1.1.1-4 below. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-1. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.4.5.1.1.1-1 shows the variation of the downlink SNR in the active Cell 2 to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. The UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 1.

**Table A.4.5.1.1.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.4.5.1.1.1-2: General test parameters for FR1 out-of-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2

RF Channel Number			2
Duplex mode	Config 1, 4		FDD
	Config 2, 3, 5, 6		TDD
BW <sub>channel</sub>	Config 1, 4	MHz	10: N <sub>RB,c</sub> = 52
	Config 2, 5		10: N <sub>RB,c</sub> = 52
	Config 3, 6		40: N <sub>RB,c</sub> = 106
DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1
TDD Configuration	Config 1, 4		Not Applicable
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.2.1
CORESET Reference Channel	Config 1, 4		CR.1.1 FDD
	Config 2, 5		CR.1.1 TDD
	Config 3, 6		CR.2.1 TDD
SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
PRACH Configuration	Config 1, 2, 4, 5		Table A.3.8.2.4-1
	Config 3, 6		Table A.3.8.2.4-1
SSB index assigned as RLM RS			0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			gp0
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		[CSI-RS.1.3 FDD]
	Config 2, 5		[CSI-RS.1.3 TDD]
	Config 3, 6		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s		1
T2	s		0.6
T3	s		0.6
D1	s		0.44

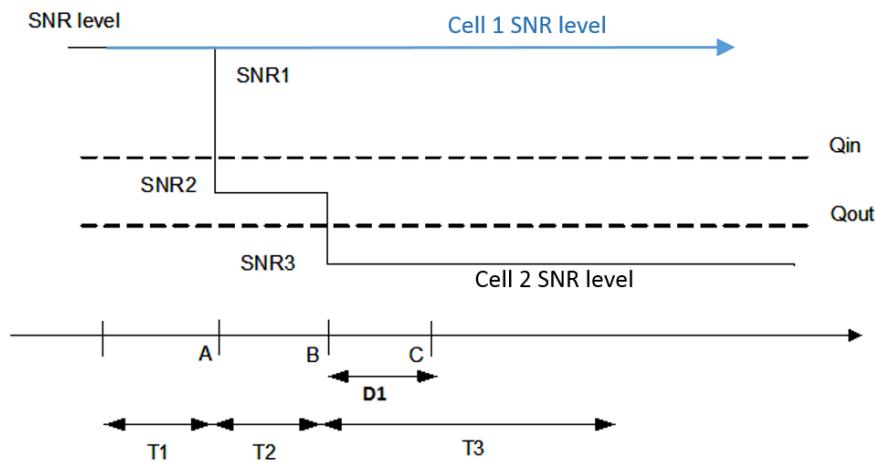
- Note 1: All configurations are assigned to the UE prior to the start of time period T1.  
 Note 2: UE-specific PDCCH is not transmitted after T1 starts.  
 Note 3: E-UTRAN is in non-DRX mode under test.

**Table A.4.5.1.1.1-3: Cell specific test parameters for FR1 (Cell 2) for out-of-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1								
		T1	T2	T3	T4	T5				
EPRE ratio of PDCCH DMRS to SSS	dB			4						
EPRE ratio of PDCCH to PDCCH DMRS	dB			0						
EPRE ratio of PBCH DMRS to SSS	dB									
EPRE ratio of PBCH to PBCH DMRS	dB									
EPRE ratio of PSS to SSS	dB									
EPRE ratio of PDSCH DMRS to SSS	dB			0						
EPRE ratio of PDSCH to PDSCH DMRS	dB									
EPRE ratio of OCNG DMRS to SSS	dB									
EPRE ratio of OCNG to OCNG DMRS	dB									
SNR	Config 1, 4	dB	1	-7	-15	-4.5				
	Config 2, 5		1	-7	-15	-4.5				
	Config 3, 6		1	-7	-15	-4.5				
$N_{oc}$	Config 1, 4	dBm/ 15 KHz			-98					
	Config 2, 5				-98					
	Config 3, 6				-98					
Propagation condition			TDL-C 300ns 100Hz							
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.									
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.									

**Table A.4.5.1.1.1-4: Measurement gap configuration for out-of-sync tests in non-DRX mode**

Field	Test 1	
	Value	
gapOffset	0	
Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap).		



**Figure A.4.5.1.1-1: SNR variation for out-of-sync testing**

#### A.4.5.1.1.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.2 Radio Link Monitoring In-sync Test for FR1 PSCell configured with SSB-based RLM RS in non-DRX mode

##### A.4.5.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell. This test will partly verify the FR1 PSCell radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.4.5.1.2.1-1. The test parameters are given in Tables A.4.5.1.2.1-2, and A.4.5.1.2.1-3 below. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-1. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.1.2.1-1 shows the variation of the downlink SNR in the active Cell 2 to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms.

**Table A.4.5.1.2.1-1: Supported test configurations for FR1 PSCell**

<b>Configuration</b>	<b>Description</b>
1	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.1.2.1-2: General test parameters for FR1 in-sync testing in non-DRX mode**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
		<b>Test 1</b>
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode		FDD
		TDD
BW <sub>channel</sub>	MHz	Config 1, 4
		10: N <sub>RB,c</sub> = 52
		Config 2, 5
		10: N <sub>RB,c</sub> = 52
		Config 3, 6
		40: N <sub>RB,c</sub> = 106
DL initial BWP configuration		Config 1, 2, 3, 4, 5, 6
DL dedicated BWP configuration		DLBWP.0.1
UL initial BWP configuration		Config 1, 2, 3, 4, 5, 6
UL dedicated BWP configuration		DLBWP.1.1
TDD Configuration		Config 1, 4
		Not Applicable
		Config 2, 5
		TDDConf.1.1
		Config 3, 6
		TDDConf.2.1
CORESET Reference Channel		Config 1, 4
		CR.1.1 FDD
		Config 2, 5
		CR.1.1 TDD
		Config 3, 6
		CR.2.1 TDD
SSB Configuration		Config 1, 4
		SSB.1 FR1
		Config 2, 5
		SSB.1 FR1
		Config 3, 6
		SSB.2 FR1
SMTC Configuration		Config 1, 2, 4, 5
		SMTC.1
PDSCH/PDCCH subcarrier spacing		Config 3, 6
		15 KHz
		Config 1, 2, 4, 5
		30 KHz
PRACH Configuration		Config 3, 6
		Table A.3.8.2.4-1
SSB index assigned as RLM RS		0
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
In sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
		4

	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
	DRX		OFF
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer		ms	2000
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		[CSI-RS.1.3 FDD]
	Config 2, 5		[CSI-RS.1.3 TDD]
	Config 3, 6		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s		0.5
T2	s		0.4
T3	s		1.46
T4	s		0.4
T5	s		1
D1	s		0.42
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			
Note 3: E-UTRAN is in non-DRX mode under test.			

**Table A.4.5.1.2.1-3: Cell specific test parameters for FR1 (Cell 2) for in-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3	T4	T5

EPRE ratio of PDCCH DMRS to SSS	dB	4						
EPRE ratio of PDCCH to PDCCH DMRS	dB	0						
EPRE ratio of PBCH DMRS to SSS	dB							
EPRE ratio of PBCH to PBCH DMRS	dB							
EPRE ratio of PSS to SSS	dB							
EPRE ratio of PDSCH DMRS to SSS	dB		0					
EPRE ratio of PDSCH to PDSCH DMRS	dB							
EPRE ratio of OCNG DMRS to SSS	dB							
EPRE ratio of OCNG to OCNG DMRS	dB							
SNR	Config 1, 4	dB	1	-7	-15			
	Config 2, 5		1	-7	-15			
	Config 3, 6		1	-7	-15			
$N_{oc}$	Config 1, 4	dBm/ 15 KHz			-98			
	Config 2, 5				-98			
	Config 3, 6				-98			
Propagation condition			TDL-C 300ns 100Hz					
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.							
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.							
Note 4:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in Figure A.4.5.1.2.1-1.							
Note 5:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 and T4 is modified as specified in section A.3.6.							

Table A.4.5.1.2.1-4: Void

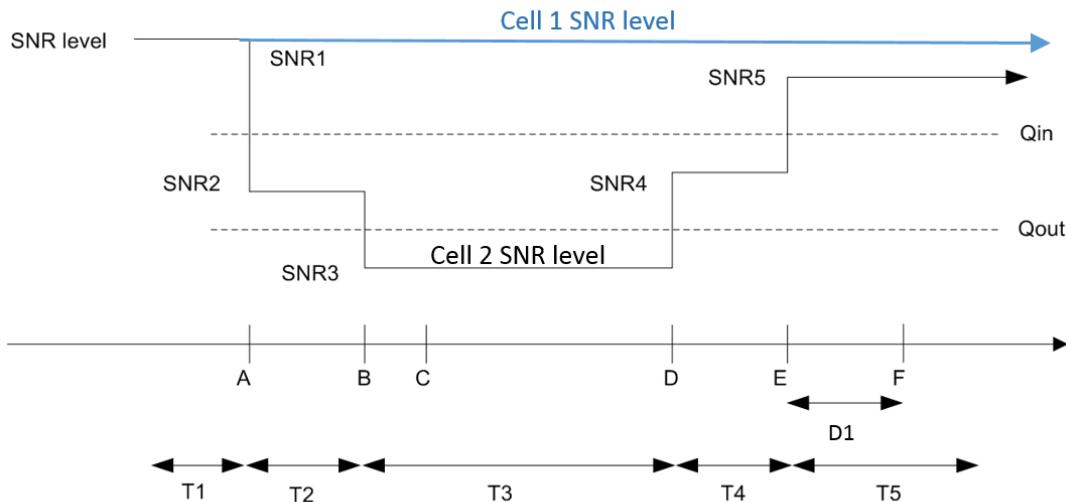


Figure A.4.5.1.2.1-1: SNR variation for in-sync testing

#### A.4.5.1.2.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.3 Radio Link Monitoring Out-of-sync Test for FR1 PSCell configured with SSB-based RLM RS in DRX mode

##### A.4.5.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell when DRX is used. This test will partly verify the FR1 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.4.5.1.3.1-1. The test parameters are given in Tables A.4.5.1.3.1-2 and A.4.5.1.3.1-3. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-1. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.4.5.1.3.1-1 shows the variation of the downlink SNR in the active Cell 2 to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.4.5.1.3.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.4.5.1.3.1-2: General test parameters for FR1 out-of-sync testing in DRX mode**

Parameter	Unit	Value	
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PSCell		Cell 2	
RF Channel Number		2	
Duplex mode	Config 1, 4	FDD	
	Config 2, 3, 5, 6	TDD	
BW <sub>channel</sub>	Config 1, 4	MHz	10: N <sub>RB,c</sub> = 52
	Config 2, 5		10: N <sub>RB,c</sub> = 52
	Config 3, 6		40: N <sub>RB,c</sub> = 106
DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1

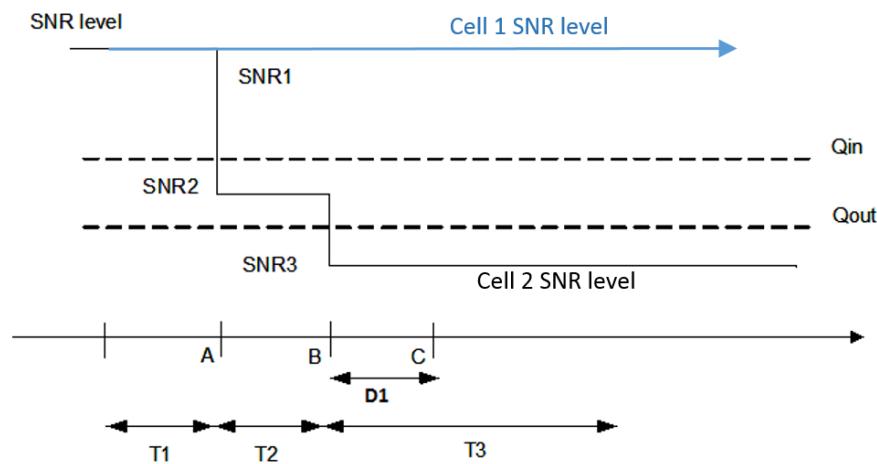
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1
TDD Configuration	Config 1, 4		Not Applicable
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.2.1
CORESET Reference Channel	Config 1, 4		CR.1.1 FDD
	Config 2, 5		CR.1.1 TDD
	Config 3, 6		CR.2.1 TDD
SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
PRACH Configuration	Config 1, 2, 4, 5		Table A.3.8.2.4-1
	Config 3, 6		Table A.3.8.2.4-1
SSB index assigned as RLM RS			0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			[DRX.4]
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		[CSI-RS.1.3 FDD]
	Config 2, 5		[CSI-RS.1.3 TDD]
	Config 3, 6		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s		4
T2	s		3
T3	s		3
D1	s		2.44
Note 1:	All configurations are assigned to the UE prior to the start of time period T1.		
Note 2:	UE-specific PDCCH is not transmitted after T1 starts.		
Note 3:	E-UTRAN is in non-DRX mode under test.		

**Table A.4.5.1.3.1-3: Cell specific test parameters for FR1 (Cell 2) for out-of-sync radio link monitoring tests in DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3		
EPRE ratio of PDCCH DMRS to SSS	dB		4			
EPRE ratio of PDCCH to PDCCH DMRS	dB		0			
EPRE ratio of PBCH DMRS to SSS	dB					
EPRE ratio of PBCH to PBCH DMRS	dB					
EPRE ratio of PSS to SSS	dB			0		
EPRE ratio of PDSCH DMRS to SSS	dB					
EPRE ratio of PDSCH to PDSCH DMRS	dB					
EPRE ratio of OCNG DMRS to SSS	dB					
EPRE ratio of OCNG to OCNG DMRS	dB					
SNR	Config 1, 4	dB	1	-7		
	Config 2, 5		1	-7		
	Config 3, 6		1	-7		
$N_{oc}$	Config 1, 4	dBm/15 KHz		-98		
	Config 2, 5			-98		
	Config 3, 6			-98		
Propagation condition			TDL-C 300ns 100Hz			
Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.						
Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.						
Note 4: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in Figure A.4.5.1.3.1-1.						
Note 5: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.						

**Table A.4.5.1.3.1-4: Void**

**Table A.4.5.1.3.1-5: Void**



**Figure A.4.5.1.3.1-1: SNR variation for out-of-sync testing**

#### A.4.5.1.3.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.4 Radio Link Monitoring In-sync Test for FR1 PSCell configured with SSB-based RLM RS in DRX mode

##### A.4.5.1.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell when DRX is used. This test will partly verify the FR1 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.4.5.1.4.1-1. The test parameters are given in Tables A.4.5.1.4.1-2, and A.4.5.1.4.1-3. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-1. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.1.4.1-1 shows the variation of the downlink SNR in the active Cell 2 to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.4.5.1.4.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 KHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 KHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.1.4.1-2: General test parameters for FR1 in-sync testing in DRX mode**

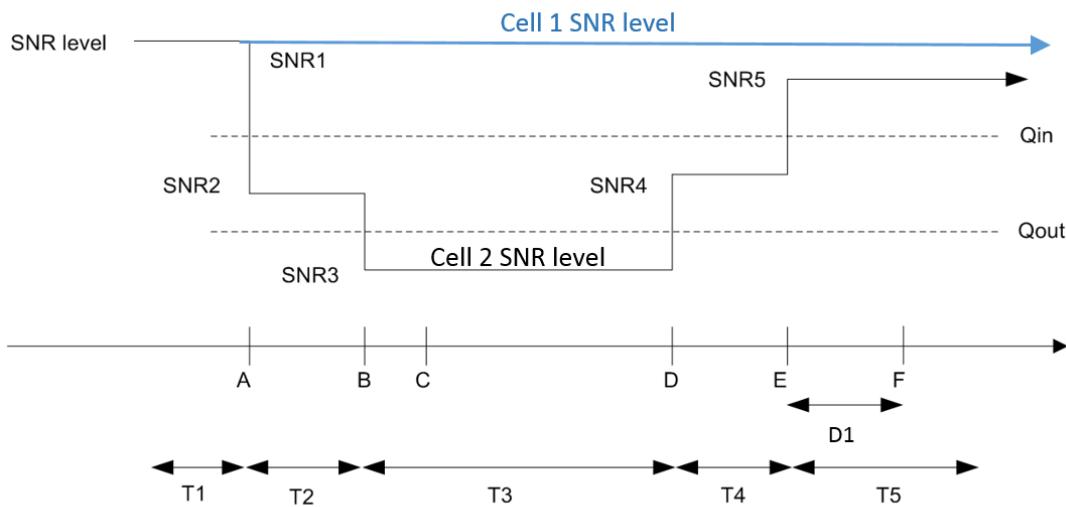
Parameter	Unit	Value	
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PSCell		Cell 2	
RF Channel Number		2	
Duplex mode	Config 1, 4	FDD	
	Config 2, 3, 5, 6	TDD	
BW <sub>channel</sub>	Config 1, 4	MHz	10: N <sub>RB,c</sub> = 52
	Config 2, 5		10: N <sub>RB,c</sub> = 52
	Config 3, 6		40: N <sub>RB,c</sub> = 106

DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1
TDD Configuration	Config 1, 4		Not Applicable
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.2.1
CORESET Reference Channel	Config 1, 4		CR.1.1 FDD
	Config 2, 5		CR.1.1 TDD
	Config 3, 6		CR.2.1 TDD
SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
PRACH Configuration	Config 1, 2, 4, 5		Table A.3.8.2.4-1
	Config 3, 6		Table A.3.8.2.4-1
SSB index assigned as RLM RS			0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			Table A.3.3.3-1
Gap pattern ID			N.A.
Layer 3 filtering			Enabled

T310 timer	ms	2000
T311 timer	ms	1000
N310		1
N311		1
CSI-RS configuration	Config 1, 4	[CSI-RS.1.3 FDD]
	Config 2, 5	[CSI-RS.1.3 TDD]
	Config 3, 6	[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4	[TRS.1.1 FDD]
	Config 2, 5	[TRS.1.1 TDD]
	Config 3, 6	[TRS.1.2 TDD]
T1	s	4
T2	s	1.6
T3	s	1.36
T4	s	0.4
T5	s	1.4
D1	s	1
Note 1: All configurations are assigned to the UE prior to the start of time period T1.		
Note 2: UE-specific PDCCH is not transmitted after T1 starts.		
Note 3: E-UTRAN is in non-DRX mode under test.		

**Table A.4.5.1.4.1-3: Cell specific test parameters for FR1 (Cell 2) for in-sync radio link monitoring tests in DRX mode**

Parameter	Unit	Test 1					
		T1	T2	T3	T4	T5	
EPRE ratio of PDCCH DMRS to SSS	dB			4			
EPRE ratio of PDCCH to PDCCH DMRS	dB			0			
EPRE ratio of PBCH DMRS to SSS	dB						
EPRE ratio of PBCH to PBCH DMRS	dB						
EPRE ratio of PSS to SSS	dB			0			
EPRE ratio of PDSCH DMRS to SSS	dB						
EPRE ratio of PDSCH to PDSCH DMRS	dB						
EPRE ratio of OCNG DMRS to SSS	dB						
EPRE ratio of OCNG to OCNG DMRS	dB						
SNR	Config 1, 4	dB	1	-7	-15	-4.5	
	Config 2, 5		1	-7	-15	-4.5	
	Config 3, 6		1	-7	-15	-4.5	
$N_{oc}$	Config 1, 4	dBm/15 KHz			-98		
	Config 2, 5				-98		
	Config 3, 6				-98		
Propagation condition			TDL-C 300ns 100Hz				
Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.							
Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.							
Note 4: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in Figure A.4.5.1.4.1-1.							
Note 5: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 and T4 is modified as specified in section A.3.6.							

**Table A.4.5.1.4.1-4: Void****Table A.4.5.1.4.1-5: Void****Figure A.4.5.1.4.1-1: SNR variation for in-sync testing**

#### A.4.5.1.4.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.5 EN-DC Radio Link Monitoring Out-of-sync Test for FR1 PSCell configured with CSI-RS-based RLM in non-DRX mode

##### A.4.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR1 PSCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.4.5.1.5.1-1, A.4.5.1.5.1-2, A.4.5.1.5.1-3, and A.4.5.1.5.1-3A below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.4.5.1.5.1-1 shows the variation of the downlink SNR in the E-UTRAN PCell and the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.4.5.1.5.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.1.5.1-2: General test parameters for FR1 PSCell for CSI-RS out-of-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode	Config 1, 4	FDD
	Config 2, 3, 5, 6	TDD
TDD Configuration	Config 1, 4	Not Applicable
	Config 2, 5	TDDConf.1.1
	Config 3, 6	TDDConf.2.1
RMC	Config 1, 4	CCR.1.1 FDD
CORESET	Config 2, 5	CCR.1.1 TDD
Reference Channel	Config 3, 6	CCR.2.1 TDD
SSB Configuration	Config 1, 4	SSB.1 FR1
	Config 2, 5	SSB.1 FR1
	Config 3, 6	SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5	SMTC.1
	Config 3, 6	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5	15 KHz
	Config 3, 6	30 KHz
TRS configuration	Config 1, 4	TRS.1.1 FDD
	Config 2, 5	TRS.1.1 TDD
	Config 3, 6	TRS.1.2 TDD
csi-RS-Index assigned as RLM RS		Same as TRS configuration
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
		8
		4

	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			gp0
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		CSI-RS1.2 FDD
	Config 2, 5		CSI-RS1.2 TDD
	Config 3, 6		CSI-RS2.2 TDD
T1	s		1
T2	s		0.4
T3	s		0.6
D1	s		0.24
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			
Note 2: E-UTRAN is in non-DRX mode under test.			

**Table A.4.5.1.5.1-3: Cell specific test parameters for FR1 for CSI-RS out-of-sync radio link monitoring in non-DRX mode**

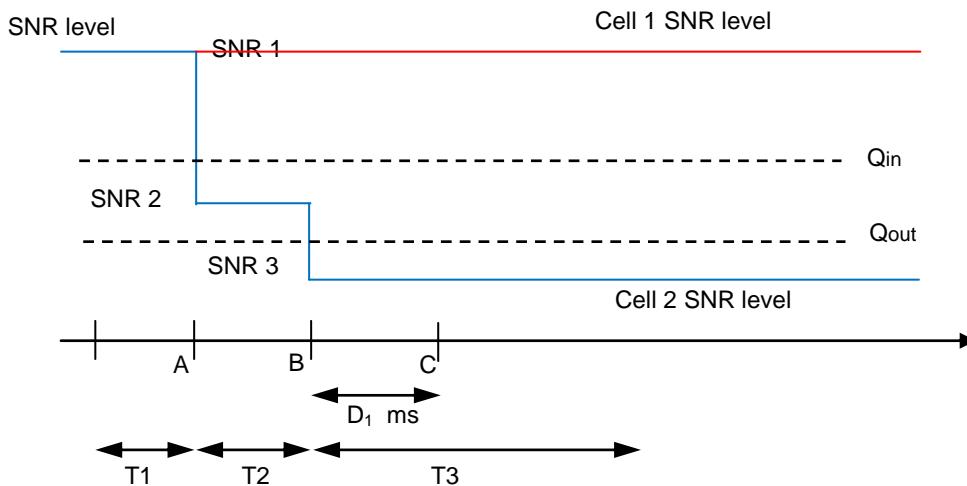
Parameter	Unit	Test 1		
		T1	T2	T3
PDCCH_beta	dB		4	
PDCCH_DMRS_beta	dB		4	
PBCH_beta	dB			
PSS_beta	dB			
SSS_beta	dB			0
PDSCH_beta	dB			
OCNG_beta	dB			
SNR	Config 1, 4	dB	1	-7
	Config 2, 5		1	-7
	Config 3, 6		1	-7
$N_{oc}$	Config 1, 4	dBm/15KHz		-98
	Config 2, 5			-98
	Config 3, 6			-98

Propagation condition		TDL-C 300ns 100Hz
Note 1:	OCCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.	
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.	
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.	
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.	
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.	
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCCNG.	
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.	
Note 8:	The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.4.5.1.5.1-1.	
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].	

**Table A.4.5.1.5.1-3A: Measurement gap configuration for FR1 CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Field	Test 1
	Value
gapOffset	0
Note 1:	E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap)

**Table A.4.5.1.5.1-4: Void**



**Figure A.4.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.4.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1 (E-UTRAN PCell).

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 2 (PSCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 2.

The UE shall stop transmitting uplink signal in Cell 2 (PSCell) no later than time point C ( $D_1$  after the start of the time duration T3) on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.6 EN-DC Radio Link Monitoring In-sync Test for FR1 PSCell configured with CSI-RS-based RLM in non-DRX mode

##### A.4.5.1.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR1 PSCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.4.5.1.6.1-1, A.4.5.1.6.1-2, and A.4.5.1.6.1-3 below. There are two cells, cell 1 which is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.1.6.1-1 shows the variation of the downlink SNR in the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.4.5.1.6.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.1.6.1-2: General test parameters for FR1 PSCell for CSI-RS in-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode	Config 1, 4 Config 2, 3, 5, 6	FDD TDD
TDD Configuration	Config 1, 4 Config 2, 5 Config 3, 6	Not Applicable TDDConf.1.1 TDDConf.2.1
RMC CORESET Reference Channel	Config 1, 4 Config 2, 5	CCR.1.1 FDD CCR.1.1 TDD

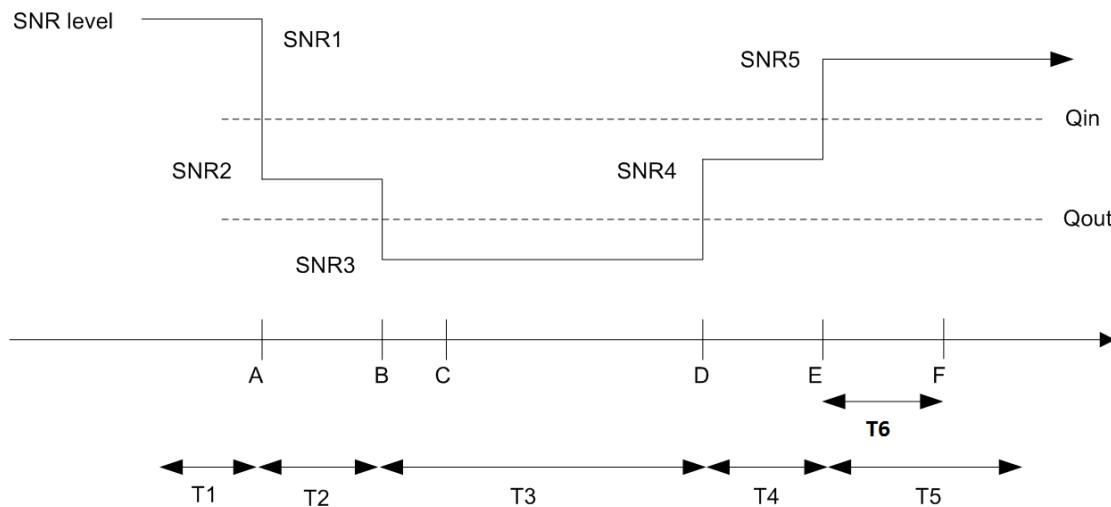
	Config 3, 6		CCR.2.1 TDD
SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
TRS configuration	Config 1, 4		TRS.1.1 FDD
	Config 2, 5		TRS.1.1 TDD
	Config 3, 6		TRS.1.2 TDD
csi-RS-Index assigned as RLM RS			Same as TRS configuration
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		CSI-RS.1.2 FDD
	Config 2, 5		CSI-RS.1.2 TDD
	Config 3, 6		CSI-RS.2.2 TDD
T1	s		1
T2	s		0.4
T3	s		0.6
D1	s		0.24
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			
Note 2: E-UTRAN is in non-DRX mode under test.			

**Table A.4.5.1.6.1-3: Cell specific test parameters for FR1 for CSI-RS in-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
PDCCH_beta	dB			4							
PDCCH_DMRS_beta	dB			4							
PBCH_beta	dB										
PSS_beta	dB										
SSS_beta	dB				0						
PDSCH_beta	dB										
OCNG_beta	dB										
SNR	dB	1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
$N_{oc}$	dBm/15KHz			-98							
				-98							
				-98							
Propagation condition		TDL-C 300ns 100Hz									
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.										
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.										
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.										
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.										
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.										
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.4.5.1.6.1-1.										
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].										

**Table A.4.5.1.6.1-3A: Void**

**Table A.4.5.1.6.1-4: Void**



**Figure A.4.5.1.6.1-1: SNR variation for CSI-RS in-sync testing**

#### A.4.5.1.6.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.7 EN-DC Radio Link Monitoring Out-of-sync Test for FR1 PSCell configured with CSI-RS-based RLM in DRX mode

##### A.4.5.1.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR1 PSCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.4.5.1.7.1-1, A.4.5.1.7.1-2, and A.4.5.1.7.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.4.5.1.7.1-1 shows the variation of the downlink SNR in the E-UTRAN PCell and the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is enabled in PSCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements without gap.

**Table A.4.5.1.7.1-1: Supported test configurations for FR1 PSCell**

<b>Configuration</b>	<b>Description</b>
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.1.7.1-2: General test parameters for FR1 PSCell for CSI-RS out-of-sync testing in DRX mode**

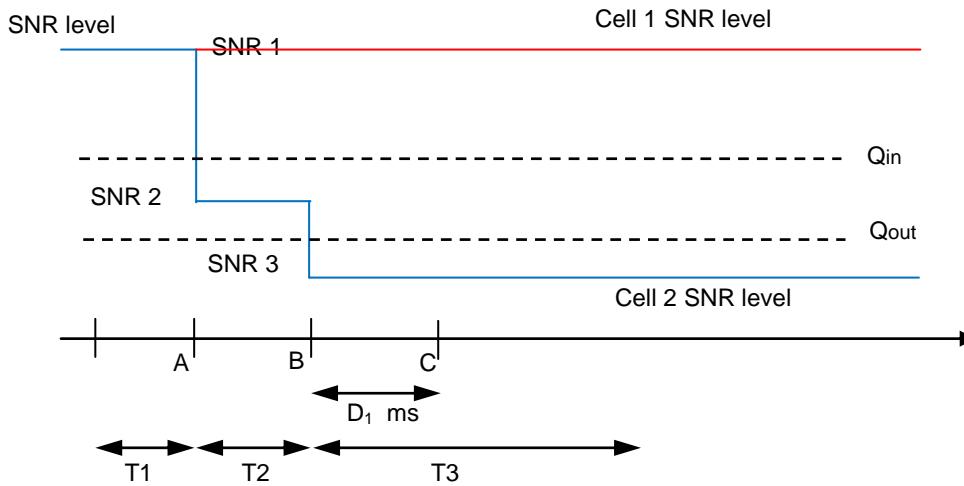
<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
		<b>Test 1</b>
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode	Config 1, 4	FDD
	Config 2, 3, 5, 6	TDD
TDD Configuration	Config 1, 4	Not Applicable
	Config 2, 5	TDDConf.1.1
	Config 3, 6	TDDConf.2.1
RMC CORESET Reference Channel	Config 1, 4	CCR.1.1 FDD
	Config 2, 5	CCR.1.1 TDD
	Config 3, 6	CCR.2.1 TDD
SSB Configuration	Config 1, 4	SSB.1 FR1
	Config 2, 5	SSB.1 FR1
	Config 3, 6	SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5	SMTC.1
	Config 3, 6	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5	15 KHz
	Config 3, 6	30 KHz
TRS configuration	Config 1, 4	TRS.1.1 FDD
	Config 2, 5	TRS.1.1 TDD
	Config 3, 6	TRS.1.2 TDD
csi-RS-Index assigned as RLM RS		0 Same as TRS configuration
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
		4

	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			DRX.7
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		CSI-RS.1.2 FDD
	Config 2, 5		CSI-RS.1.2 TDD
	Config 3, 6		CSI-RS.2.2 TDD
T1	s		1
T2	s		0.4
T3	s		0.6
D1	s		0.24
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			
Note 2: E-UTRAN is in non-DRX mode under test.			

**Table A.4.5.1.7.1-3: Cell specific test parameters for FR1 for CSI-RS out-of-sync radio link monitoring in DRX mode**

Parameter	Unit	Test 1		
		T1	T2	T3
PDCCH_beta	dB		4	
PDCCH_DMRS_beta	dB		4	
PBCH_beta	dB			
PSS_beta	dB			
SSS_beta	dB		0	
PDSCH_beta	dB			
OCNG_beta	dB			
SNR	Config 1, 4	dB	1	-7
	Config 2, 5		1	-7
	Config 3, 6		1	-7
$N_{oc}$	Config 1, 4	dBm/15KHz	-98	
	Config 2, 5		-98	
	Config 3, 6		-98	

Propagation condition	TDL-C 300ns 100Hz
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.
Note 8:	The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.4.5.1.7.1-1.
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].

**Table A.4.5.1.7.1-3A: Void****Table A.4.5.1.7.1-4: Void****Table A.4.5.1.7.1-5: Void****Table A.4.5.1.7.1-6: Void****Figure A.4.5.1.7.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.4.5.1.7.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1 (E-UTRAN PCell).

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 2 (PSCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 2.

The UE shall stop transmitting uplink signal in Cell 2 (PSCell) no later than time point C ( $D_1$  after the start of the time duration T3) on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.1.8 EN-DC Radio Link Monitoring In-sync Test for FR1 PSCell configured with CSI-RS-based RLM in DRX mode

##### A.4.5.1.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR1 PSCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.4.5.1.8.1-1, A.4.5.1.8.1-2, A.4.5.1.8.1-3 and A.4.5.1.8.1-3A below. There are two cells, cell 1 which is the E-UTRAN PCell, and cell 2 is the NR PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.1.8.1-1 shows the variation of the downlink SNR in the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.4.5.1.8.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.1.8.1-2: General test parameters for FR1 PSCell for CSI-RS in-sync testing in DRX mode**

Parameter	Unit	Value
		Test 8
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode	Config 1, 4 Config 2, 3, 5, 6	FDD TDD
TDD Configuration	Config 1, 4 Config 2, 5 Config 3, 6	Not Applicable TDDConf.1.1 TDDConf.2.1
RMC CORESET Reference Channel	Config 1, 4 Config 2, 5 Config 3, 6	CCR.1.1 FDD CCR.1.1 TDD CCR.2.1 TDD

SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
TRS configuration	Config 1, 4		TRS.1.1 FDD
	Config 2, 5		TRS.1.1 TDD
	Config 3, 6		TRS.1.2 TDD
csi-RS-Index assigned as RLM RS		Same as TRS configuration	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		DRX.7	
Gap pattern ID		gp0	
Layer 3 filtering		Enabled	
T310 timer		ms	0
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		CSI-RS.1.2 FDD
	Config 2, 5		CSI-RS.1.2 TDD
	Config 3, 6		CSI-RS.2.2 TDD
T1		s	1
T2		s	0.4

T3	s	0.6
D1	s	0.44
Note 1: UE-specific PDCCH is not transmitted after T1 starts.		
Note 2: E-UTRAN is in non-DRX mode under test.		

**Table A.4.5.1.8.1-3: Cell specific test parameters for FR1 for CSI-RS in-sync radio link monitoring in DRX mode**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
PDCCH_beta	dB			4							
PDCCH_DMRS_beta	dB			4							
PBCH_beta	dB										
PSS_beta	dB										
SSS_beta	dB			0							
PDSCH_beta	dB										
OCNG_beta	dB										
SNR	dB	1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
$N_{oc}$	dBm/15KHz			-98							
				-98							
				-98							
Propagation condition		TDL-C 300ns 100Hz									
Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.											
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.											
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.											
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.											
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.											
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.											
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.											
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.4.5.1.8.1-1.											
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].											

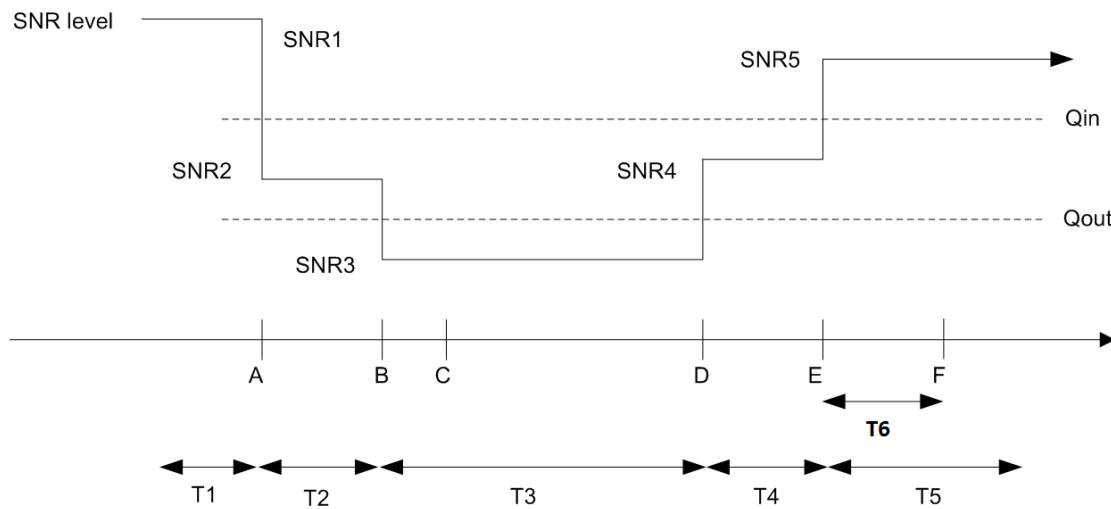
**Table A.4.5.1.8.1-3A: Measurement gap configuration for FR1 CSI-RS in-sync radio link monitoring in DRX mode**

Field	Test 1	
	Value	
gapOffset	0	
Note 1: E-UTRAN PCCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap)		

**Table A.4.5.1.8.1-4: Void**

**Table A.4.5.1.8.1-5: Void**

**Table A.4.5.1.8.1-6: Void**



**Figure A.4.5.1.8.1-1: SNR variation for CSI-RS in-sync testing**

#### A.4.5.1.8.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.2 Interruption

##### A.4.5.2.1 E-UTRAN – NR FR1 interruptions at transitions between active and non-active during DRX in synchronous EN-DC

###### A.4.5.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that when LTE PCell is in DRX and NR PSCell is in non-DRX, NR PSCell interruptions due to transitions from active to non-active and from non-active to active during LTE PCell DRX the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in TS38.133 section 8.2.1.2. Supported test configurations are shown in table A.4.5.2.1.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.4.5.2.1.1-2 and A.4.5.2.1.1-3. The E-UTRAN PCell DRX configuration parameters are given in Table A.4.5.2.1.1-4 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-1. In the test there are two cells: Cell1 and Cell2. Cell1 is LTE PCell and Cell2 is NR FR1 PSCell. The test consists of one time period, with duration of T1. During T1, NR PSCell is continuously scheduled in DL while LTE PCell is not scheduled and has DRX configured. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell1 and Cell2. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. Prior to start of T1 the DRX inactivity timer for the LTE PCell has already expired. During T1 the UE shall be continuously scheduled on NR PSCell while not scheduled on LTE PCell. CORESET indicating a new transmission on PSCell shall be sent continuously during the whole time duration to ensure UE would not enter DRX state on PSCell.

**Table A.4.5.2.1.1-1: Interruption at transitions between active and non-active during DRX supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.4.5.2.1.1-2: General test parameters for E-UTRAN – NR FR1 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other is NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1 and cell 2
DRX		DRX.4	DRX related parameters are defined in Table A.3.3.4-1
Measurement gap pattern Id		OFF	
T1	s	10	

**Table A.4.5.2.1.1-3: NR cell specific test parameters for E-UTRAN – NR FR1 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

Parameter		Unit	Cell 2
Frequency Range			FR1
Duplex mode	Config 1,4		FDD
	Config 2,3,5,6		TDD
TDD configuration	Config 1,4		Not Applicable
	Config 2,5		TDDConf.1.1
	Config 3,6		TDDConf.2.1
BW <sub>channel</sub>	Config 1,4		10: N <sub>RB,c</sub> = 52
	Config 2,5		10: N <sub>RB,c</sub> = 52
	Config 3,6		40: N <sub>RB,c</sub> = 106
Initial BWP Configuration	Config 1,4		DLBWP.0
	Config 2,5		DLBWP.0
	Config 3,6		DLBWP.0
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD
	Config 2,5		SR.1.1 TDD
	Config 3,6		SR2.1 TDD
RMSI CORESET parameters	Config 1,4		CR.1.1 FDD
	Config 2,5		CR.1.1 TDD
	Config 3,6		CR2.1 TDD
PDCCH CORESET parameters	Config 1,4		CCR.1.1 FDD
	Config 2,5		CCR.1.1 TDD
	Config 3,6		CCR2.1 TDD

OCNG Patterns			OP.1		
SMTC Configuration			SMTC.1		
TRS configuration			TRS.1.1 TDD		
TCI state			TCI.State.0		
SSB Configuration	Config 1,2,4,5		SSB.1 FR1		
	Config 3,6		SSB.2 FR1		
Correlation Matrix and Antenna Configuration			1x2 Low		
EPRE ratio of PSS to SSS		dB	0		
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
$N_{oc}$ <sup>Note 2</sup>		dBm/15 kHz	-104		
SS-RSRP <sup>Note 3</sup>		dBm/15 kHz	-87		
$\hat{E}_s/I_{ot}$		dB	17		
$\hat{E}_s/N_{oc}$		dB	17		
Io <sup>Note 3</sup>	Config 1,2,4,5	dBm/9.36MHz	-57.9		
	Config 3,6	dBm/38.16MHz	-51.8		
Time offset to cell1 <sup>Note 4</sup>		μs	33		
Propagation Condition			AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells					

Table A.4.5.2.1.1-4: Void

#### A.4.5.2.1.2 Test Requirements

The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.

Interruption on NR PSCell shall not exceed X as defined in Table A.4.5.2.1.2-1.

**Table A.4.5.2.1.2-1: Interruption length X at transition between active and non-active during DRX**

$\mu$	NR Slot length (ms)	Interruption length X
		Sync
0	1	1
1	0.5	1

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.2.2 E-UTRAN – NR FR1 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC

##### A.4.5.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that when LTE PCell is in DRX and NR PSCell is in non-DRX, NR PSCell interruptions due to transitions from active to non-active and from non-active to active during LTE PCell DRX the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in TS 38.133 section 8.2.1.2. Supported test configurations are shown in table A.4.5.2.2.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.4.5.2.2.1-2 and A.4.5.2.2.1-3. The E-UTRAN PCell DRX configuration parameters are given in Table A.4.5.2.2.1-4 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-1. In the test there are two cells: Cell1 and Cell2. Cell1 is LTE PCell and Cell2 is NR FR1 PSCell. The test consists of one time period, with duration of T1. During T1, NR PSCell is continuously scheduled in DL while LTE PCell is not scheduled and has DRX configured. Prior to the start of the time duration T1, Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. Prior to start of T1 the DRX inactivity timer for the LTE PCell has already expired. During T1 the UE shall be continuously scheduled on NR PSCell while not scheduled on LTE PCell. PDCCH indicating a new transmission on PSCell shall be sent continuously during the whole time duration to ensure UE would not enter DRX state on PSCell.

**Table A.4.5.2.2.1-1: Interruption at transitions between active and non-active during DRX supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.5.2.2.1-2: General test parameters for E-UTRAN – NR FR1 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other is NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1 and cell 2
DRX		DRX.6	DRX related parameters are defined in Table A.3.3.6-1
Measurement gap pattern Id		OFF	
T1	s	10	

**Table A.4.5.2.2.1-3: NR cell specific test parameters for E-UTRAN – NR FR1 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

Parameter		Unit	Cell 2
Frequency Range			FR1
Duplex mode	Config 1,4		FDD
	Config 2,3,5,6		TDD
TDD configuration	Config 1,4		Not Applicable
	Config 2,5		TDDConf.1.1
	Config 3,6		TDDConf.2.1
BW <sub>channel</sub>	Config 1,4		10: N <sub>RB,c</sub> = 52
	Config 2,5		10: N <sub>RB,c</sub> = 52
	Config 3,6		40: N <sub>RB,c</sub> = 106
Initial BWP Configuration	Config 1,4		DLBWP.0
	Config 2,5		DLBWP.0
	Config 3,6		DLBWP.0
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD
	Config 2,5		SR.1.1 TDD
	Config 3,6		SR2.1 TDD
RMSI CORESET parameters	Config 1,4		CR.1.1 FDD
	Config 2,5		CR.1.1 TDD
	Config 3,6		CR2.1 TDD
PDCCH CORESET parameters	Config 1,4		CCR.1.1 FDD
	Config 2,5		CCR.1.1 TDD
	Config 3,6		CCR2.1 TDD
OCNG Patterns			OP.1
SMTC Configuration			SMTC.1
TRS configuration			TRS.1.1 TDD
TCI state			TCI.State.0
SSB Configuration	Config 1,2,4,5		SSB.1 FR1
	Config 3,6		SSB.2 FR1

Correlation Matrix and Antenna Configuration			1x2 Low
EPRE ratio of PSS to SSS	dB	0	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
$N_{oc}$ Note 2	dBm/15 kHz	-104	
SS-RSRP Note 3	dBm/15 kHz	-87	
$\hat{E}_s/I_{tot}$	dB	17	
$\hat{E}_s/N_{oc}$	dB	17	
Io Note 3	Config 1,2,4,5	dBm/9.36MHz	-57.9
	Config 3,6	dBm/38.16MHz	-51.8
Time offset to cell1 Note 4	$\mu s$	500	
Propagation Condition			AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells			

**Table A.4.5.2.2.1-4: Void**

#### A.4.5.2.2.2 Test Requirements

The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.

Interruption on NR PSCell shall not exceed X as defined in Table A.4.5.2.2.2-1.

**Table A.4.5.2.2.2-1: Interruption length X at transition between active and non-active during DRX**

$\mu$	NR Slot length (ms)	Interruption length X
		Async
0	1	2
1	0.5	2

The rate of correct events observed during repeated tests shall be at least 90%.

### A.4.5.2.3 E-UTRAN – NR FR1 interruptions during measurements on deactivated NR SCC in synchronous EN-DC

#### A.4.5.2.3.1 Test Purpose and Environment

The purpose of this test is to verify E-UTRAN PCell and NR PSCell interruptions during the measurement on the deactivated NR SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for E-UTRAN PCell and NR PSCell in EN-DC specified in TS 38.133 section 8.2.1.2. Supported test configurations are shown in table A.4.5.2.3.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.4.5.2.3.1-2 and A.4.5.2.3.1-3 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-1. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 is LTE PCell, Cell2 and Cell 3 is NR PSCell and NR deactivated SCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received at the UE antenna connector, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.4.5.2.3.1-1: Interruptions during measurements on deactivated NR SCC supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.5.2.3.1-2: General test parameters for E-UTRAN – NR interruptions during measurements on deactivated NR SCC in synchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other two are NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Active PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle ( <i>measCycleSCell</i> )	ms	640	
T1	s	10	

**Table A.4.5.2.3.1-3: NR cell specific test parameters for E-UTRAN – NR interruptions during measurements on deactivated NR SCC in synchronous EN-DC**

Parameter		Unit	Cell 2	Cell 3
Frequency Range			FR1	FR1
Duplex mode	Config 1,4		FDD	FDD
	Config 2,3,5,6		TDD	TDD
TDD configuration			Not Applicable	Not Applicable
Config 1,4	TDDConf.1.1		TDDConf.1.1	
Config 2,5	TDDConf.2.1		TDDConf.2.1	
BW <sub>channel</sub>	Config 1,4		10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	Config 2,5		10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	Config 3,6		40: N <sub>RB,c</sub> = 106	40: N <sub>RB,c</sub> = 106
Initial DL BWP Configuration	Config 1,4		DLBWP.0.1	DLBWP.0.1
	Config 2,5		DLBWP.0.1	DLBWP.0.1
	Config 3,6		DLBWP.0.1	DLBWP.0.1
Dedicated DL BWP Configuration	Config 1,4		DLBWP.1.1	DLBWP.1.1
	Config 2,5		DLBWP.1.1	DLBWP.1.1
	Config 3,6		DLBWP.1.1	DLBWP.1.1
Initial UL BWP Configuration	Config 1,4		ULBWP.0.1	ULBWP.0.1
	Config 2,5		ULBWP.0.1	ULBWP.0.1
	Config 3,6		ULBWP.0.1	ULBWP.0.1
Dedicated UL BWP Configuration	Config 1,4		ULBWP.1.1	ULBWP.1.1
	Config 2,5		ULBWP.1.1	ULBWP.1.1
	Config 3,6		ULBWP.1.1	ULBWP.1.1
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD	-
	Config 2,5		SR.1.1 TDD	-
	Config 3,6		SR2.1 TDD	-
RMSI CORESET parameters	Config 1,4		CR.1.1 FDD	CR.1.1 FDD
	Config 2,5		CR.1.1 TDD	CR.1.1 TDD
	Config 3,6		CR2.1 TDD	CR2.1 TDD
PDCCH CORESET parameters	Config 1,4		CCR.1.1 FDD	CCR.1.1 FDD
	Config 2,5		CCR.1.1 TDD	CCR.1.1 TDD
	Config 3,6		CCR2.1 TDD	CCR2.1 TDD
TRS configuration	Config 1,4		TRS.1.1 FDD	TRS.1.1 FDD
	Config 2,5		TRS.1.1 TDD	TRS.1.1 TDD
	Config 3,6		TRS.1.1 TDD	TRS.1.1 TDD
OCNG Patterns			OP.1	OP.1
SMTC Configuration			SMTC.1	SMTC.1
TCI state			TCI.State.0	TCI.State.0
SSB Configuration	Config 1,2,4,5		SSB.1 FR1	SSB.1 FR1
	Config 3,6		SSB.2 FR1	SSB.2 FR1

Correlation Matrix and Antenna Configuration		1x2 Low	1x2 Low
EPRE ratio of PSS to SSS	dB	0	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz	-104	-104
SS-RSRP <sup>Note 3</sup>	dBm/15 kHz	-87	-87
$\hat{E}_s/I_{ot}$	dB	17	17
$\hat{E}_s/N_{oc}$	dB	17	17
$I_{ot}$ <sup>Note 3</sup>	Config 1,2,4,5	dBm/9.36MHz	-57.9
	Config 3,6	dBm/38.16MHz	-51.8
Time offset to cell1 <sup>Note 4</sup>	$\mu s$	33	33
Time offset to cell2 <sup>Note 5</sup>	$\mu s$	-	3
Propagation Condition		AWGN	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3: SS-RSRP and $I_{ot}$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			
Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells			
Note 5: Receive time difference between slot boundaries of signals received from the two cells at the UE antenna connector including time alignment error between the two cells.			

#### A.4.5.2.3.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMT. Each interruption on NR PSCell shall not exceed the value defined in Table A.4.5.2.3.2-1 if the NR PSCell is not in the same band as the deactivated SCell or Table A.4.5.2.3.2-2 if the NR PSCell is in the same band as the deactivated SCell.

**Table A.4.5.2.3.2-1: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1
1	0.5	1

**Table A.4.5.2.3.2-2: Interruption duration if the NR PSCell is in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1 + SMTC duration
1	0.5	2 + SMTC duration

Each interruption on E-UTRAN PCell shall not exceed 1ms + SMTC duration subframes for intraband EN-DC, 1 subframe for synchronous interband EN-DC.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.2.4 E-UTRAN – NR FR1 interruptions during measurements on deactivated NR SCC in asynchronous EN-DC

##### A.4.5.2.4.1 Test Purpose and Environment

The purpose of this test is to verify E-UTRAN PCell and NR PSCell interruptions during the measurement on the deactivated NR SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for E-UTRAN PCell and NR PSCell in EN-DC specified in TS 38.133 section 8.2.1. Supported test configurations are shown in table A.4.5.2.4.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.4.5.2.4.1-2 and A.4.5.2.4.1-3 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-1. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 is LTE PCell, Cell2 and Cell 3 is NR PSCell and NR deactivated SCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received at the UE antenna connector, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.4.5.2.4.1-1: Interruptions during measurements on deactivated NR SCC supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.5.2.4.1-2: General test parameters for E-UTRAN – NR interruptions during measurements on deactivated NR SCC in asynchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other two are NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.4.5.2.4.1-3: NR cell specific test parameters for E-UTRAN – NR interruptions during measurements on deactivated NR SCC in asynchronous EN-DC**

Parameter	Unit	Cell 2	Cell 3
Frequency Range		FR1	FR1
Duplex mode	Config 1,4	FDD	FDD
	Config 2,3,5,6		
TDD configuration	Config 1,4	Not Applicable	Not Applicable
	Config 2,5		
	Config 3,6		
BW <sub>channel</sub>	Config 1,4	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	Config 2,5		
	Config 3,6		
Initial BWP Configuration	Config 1,4	DLBWP.0.1	DLBWP.0.1
	Config 2,5		
	Config 3,6		
Dedicated DL BWP Configuration	Config 1,4	DLBWP.1.1	DLBWP.1.1
	Config 2,5		
	Config 3,6		
Initial UL BWP Configuration	Config 1,4	ULBWP.0.1	ULBWP.0.1
	Config 2,5		
	Config 3,6		
Dedicated UL BWP Configuration	Config 1,4	ULBWP.1.1	ULBWP.1.1
	Config 2,5		
	Config 3,6		
PDSCH Reference measurement channel	Config 1,4	SR.1.1 FDD	-
	Config 2,5		-
	Config 3,6		-
RMSI CORESET parameters	Config 1,4	CR.1.1 FDD	CR.1.1 FDD
	Config 2,5		
	Config 3,6		
PDCCH CORESET parameters	Config 1,4	CCR.1.1 FDD	CCR.1.1 FDD
	Config 2,5		
	Config 3,6		

TRS configuration	Config 1,4		TRS.1.1 FDD	TRS.1.1 FDD			
	Config 2,5		TRS.1.1 TDD	TRS.1.1 TDD			
	Config 3,6		TRS.1.1 TDD	TRS.1.1 TDD			
OCNG Patterns			OP.1	OP.1			
SSB Configuration	Config 1,2,4,5		SSB.1 FR1	SSB.1 FR1			
	Config 3,6		SSB.2 FR1	SSB.2 FR1			
SMTA Configuration			SMTA.1	SMTA.1			
TCI state			TCI.State.0	TCI.State.0			
Correlation Matrix and Antenna Configuration			1x2 Low	1x2 Low			
EPRE ratio of PSS to SSS		dB	0	0			
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH							
EPRE ratio of OCNG DMRS to SSS (Note 1)							
EPRE ratio of OCNG to OCNG DMRS (Note 1)							
$N_{oc}$ Note 2		dBm/15 kHz	-104	-104			
SS-RSRP Note 3		dBm/15 kHz	-87	-87			
$\bar{E}_s/I_{ot}$		dB	17	17			
$\bar{E}_s/N_{oc}$		dB	17	17			
Io Note 3	Config 1,2,4,5	dBm/9.36MHz	-57.9	-57.9			
	Config 3,6	dBm/38.16MHz	-51.8	-51.8			
Time offset to cell1 Note 4		ms	3	3			
Time offset to cell2 Note 5		$\mu s$	-	3			
Propagation Condition			AWGN	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells</p> <p>Note 5: Receive time difference between slot boundaries of signals received from the two cells at the UE antenna connector including time alignment error between the two cells.</p>							

#### A.4.5.2.4.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTA. Each interruption on NR PSCell shall not exceed the value defined in Table A.4.5.2.4.2-1 and Table A.4.5.2.4.2-2.

**Table A.4.5.2.4.2-1: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1
1	0.5	1

**Table A.4.5.2.4.2-2: Interruption duration if the NR PSCell is in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1 + SMTC duration
1	0.5	2 + SMTC duration

Each interruption on E-UTRAN PCell shall not exceed 1ms + SMTC duration subframes for synchronous intraband EN-DC, or 2 subframes for asynchronous interband EN-DC.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.2.5 E-UTRAN – NR FR1 interruptions during measurements on deactivated E-UTRAN SCC in synchronous EN-DC

##### A.4.5.2.5.1 Test Purpose and Environment

The purpose of this test is to verify E-UTRAN PCell and NR PSCell interruptions during the measurement on the deactivated E-UTRAN SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for E-UTRAN PCell and NR PSCell in EN-DC specified in TS38.133 section 8.2.1.2. Supported test configurations are shown in table A.4.5.2.5.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.4.5.2.5.1-2 and A.4.5.2.5.1-3 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-1. In the test there are three cells: Cell1, Cell2 and Cell3. Cell1 and Cell3 is E-UTRAN PCell and E-UTRAN deactivated SCell, Cell2 is NR FR1 PSCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated E-UTRAN SCells is received at the UE antenna connector, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.4.5.2.5.1-1: Interruptions during measurements on deactivated E-UTRAN SCC supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.5.2.5.1-2: General test parameters for E-UTRAN – NR interruptions during measurements on deactivated E-UTRAN SCC in synchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other two are NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Active PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.4.5.2.5.1-3: NR cell specific test parameters for E-UTRAN – NR interruptions during measurements on deactivated E-UTRAN SCC in synchronous EN-DC**

Parameter	Unit	Cell 2
Frequency Range		FR1
Duplex mode	Config 1,4	FDD
	Config 2,3,5,6	
TDD configuration	Config 1,4	Not Applicable
	Config 2,5	
	Config 3,6	
BW <sub>channel</sub>	Config 1,4	MHz
	Config 2,5	
	Config 3,6	
Initial DL BWP Configuration	Config 1,4	DLBWP.0.1
	Config 2,5	DLBWP.0.1
	Config 3,6	DLBWP.0.1
Dedicated DL BWP Configuration	Config 1,4	DLBWP.1.1
	Config 2,5	DLBWP.1.1
	Config 3,6	DLBWP.1.1
Initial UL BWP Configuration	Config 1,4	ULBWP.0.1
	Config 2,5	ULBWP.0.1
	Config 3,6	ULBWP.0.1
Dedicated UL BWP Configuration	Config 1,4	ULBWP.1.1
	Config 2,5	ULBWP.1.1
	Config 3,6	ULBWP.1.1
PDSCH Reference measurement channel	Config 1,4	SR.1.1 FDD
	Config 2,5	SR.1.1 TDD
	Config 3,6	SR2.1 TDD
RMSI CORESET parameters	Config 1,4	CR.1.1 FDD
	Config 2,5	CR.1.1 TDD
	Config 3,6	CR2.1 TDD
PDCCH CORESET parameters	Config 1,4	CCR.1.1 FDD
	Config 2,5	CCR.1.1 TDD
	Config 3,6	CCR2.1 TDD

TRS configuration	Config 1,4		TRS.1.1 FDD	
	Config 2,5		TRS.1.1 TDD	
	Config 3,6		TRS.1.1 TDD	
OCNG Patterns			OP.1	
SMTC Configuration			SMTC.1	
TCI state			TCI.State.0	
SSB Configuration	Config 1,2,4,5		SSB.1 FR1	
	Config 3,6		SSB.2 FR1	
Correlation Matrix and Antenna Configuration			1x2 Low	
EPRE ratio of PSS to SSS		dB		
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS			0	
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$N_{oc}$ Note 2		dBm/15 kHz	-104	
SS-RSRP Note 3		dBm/15 kHz	-87	
$\hat{E}_s/I_{ot}$		dB	17	
$\hat{E}_s/N_{oc}$		dB	17	
Io Note 3	Config 1,2,4,5	dBm/9.36MHz	-57.9	
	Config 3,6	dBm/38.16MHz	-51.8	
Time offset to cell1 Note 4		μs	33	
Propagation Condition			AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				
Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells				

#### A.4.5.2.5.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption on NR PSCell shall not exceed X defined in Table A.4.5.2.5.2-1 if the NR PSCell is not in the same band as the E-UTRAN deactivated SCell or Y in Table A.4.5.2.3.2-1 if the NR PSCell is in the same band as the E-UTRAN deactivated SCell.

**Table A.4.5.2.5.2-1: Interruption length X and Y at measurements on deactivated E-UTRA SCC**

$\mu$	NR Slot length (ms)	Interruption length X slot	Interruption length Y slot
		Sync	

0	1	1	1
1	0.5	1	1

Each interruption on E-UTRAN PCell shall not exceed 1 subframe if the PCell is not in the same band as the deactivated SCell, or 5 subframes if the PCell is in the same band as the deactivated SCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.2.6 E-UTRAN – NR FR1 interruptions during measurements on deactivated E-UTRAN SCC in asynchronous EN-DC

##### A.4.5.2.6.1 Test Purpose and Environment

The purpose of this test is to verify E-UTRAN PCell and NR PSCell interruptions during the measurement on the deactivated NR SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for E-UTRAN PCell and NR PSCell in EN-DC specified in TS 38.133 section 8.2.1. Supported test configurations are shown in table A.4.5.2.6.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.4.5.2.6.1-1 and A.4.5.2.6.1-2 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-1. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 and Cell3 is E-UTRAN PCell and E-UTRAN deactivated SCell, Cell2 is NR FR1 PSCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received at the UE antenna connector, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.4.5.2.6.1-1: Interruptions during measurements on deactivated E-UTRAN SCC supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.5.2.6.1-2: General test parameters for E-UTRAN – NR interruptions during measurements on deactivated E-UTRAN SCC in asynchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and two is NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on E-UTRAN RF channel number 1.
Configured deactivated SCell		Cell3	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.4.5.2.6.1-3: NR cell specific test parameters for E-UTRAN – NR interruptions during measurements on deactivated E-UTRAN SCC in asynchronous EN-DC**

Parameter	Unit	Cell 2
Frequency Range		FR1
Duplex mode	Config 1,4	FDD
	Config 2,3,5,6	
TDD configuration	Config 1,4	Not Applicable
	Config 2,5	
	Config 3,6	
BW <sub>channel</sub>	Config 1,4	10: N <sub>RB,c</sub> = 52
	Config 2,5	
	Config 3,6	
Initial DL BWP Configuration	Config 1,4	DLBWP.0.1
	Config 2,5	
	Config 3,6	
Dedicated DL BWP Configuration	Config 1,4	DLBWP.1.1
	Config 2,5	
	Config 3,6	
Initial UL BWP Configuration	Config 1,4	ULBWP.0.1
	Config 2,5	
	Config 3,6	
Dedicated UL BWP Configuration	Config 1,4	ULBWP.1.1
	Config 2,5	
	Config 3,6	
PDSCH Reference measurement channel	Config 1,4	SR.1.1 FDD SR.1.1 TDD SR2.1 TDD
	Config 2,5	
	Config 3,6	
RMSI CORESET parameters	Config 1,4	CR.1.1 FDD CR.1.1 TDD CR2.1 TDD
	Config 2,5	
	Config 3,6	
PDCCH CORESET parameters	Config 1,4	CCR.1.1 FDD
	Config 2,5	

	Config 3,6		CCR2.1 TDD		
TRS configuration	Config 1,4		TRS.1.1 FDD		
	Config 2,5		TRS.1.1 TDD		
	Config 3,6		TRS.1.1 TDD		
OCNG Patterns			OP.1		
SMTCA Configuration			SMTCA.1		
TCI state			TCI.State.0		
SSB Configuration	Config 1,2,4,5		SSB.1 FR1		
	Config 3,6		SSB.2 FR1		
Correlation Matrix and Antenna Configuration			1x2 Low		
EPRE ratio of PSS to SSS		dB	0		
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS (Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
$N_{oc}$ Note 2		dBm/15 kHz	-104		
SS-RSRP Note 3		dBm/15 kHz	-87		
$\hat{E}_s/I_{ot}$		dB	17		
$\hat{E}_s/N_{oc}$		dB	17		
Io Note 3	Config 1,2,4,5	dBm/9.36MHz	-57.9		
	Config 3,6	dBm/38.16MHz	-51.8		
Time offset to cell1 Note 4		μs	500		
Propagation Condition			AWGN		
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells					

#### A.4.5.2.6.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on E-UTRAN PCell and NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTCA. Each interruption on NR PSCell shall not exceed the value defined in Table A.4.5.2.4.2-1 and Table A.4.5.2.4.2-2.

**Table A.4.5.2.6.2-1: Interruption duration if the NR PSCell is not in the same band as the E-UTRAN deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1
1	0.5	1

**Table A.4.5.2.6.2-2: Interruption duration if the NR PSCell is in the same band as the E-UTRAN deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1 + SMT duration
1	0.5	2 + SMT duration

Each interruption on E-UTRAN PCell shall not exceed 1 subframe if the PCell is not in the same band as the deactivated SCell, or 5 subframes if the PCell is in the same band as the deactivated SCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.2.7 Void

### A.4.5.3 SCell Activation and Deactivation Delay

#### A.4.5.3.1 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 160ms SCell measurement cycle

##### A.4.5.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in section 8.3, when the SCell in FR1 is known by the UE at the time of activation.

The supported test configurations are shown in table A.4.5.3.1.1-1 below. The test parameters are given in Tables A.4.5.3.1.1-2 and cell-specific parameters in A.4.5.3.1.1-3 below. The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are three carriers, E-UTRA has one cell, NR has two cells. All cells have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1 (PCell) on E-UTRA and Cell 2 (PSCell) on NR, but is not aware of Cell 3 (SCell) on NR. The UE is monitoring the PCell and PSCell. The UE shall be continuously scheduled in the PCell and PSCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 3) becomes configured on NR. The UE now starts monitoring the SCell. The test equipment sends a MAC message for activation of the SCell.

The point in time at which the MAC message is received at the UE antenna connector, in a slot # denoted m, defines the start of time period T2. The UE shall be able to report valid CSI in PSCell for the activated SCell at latest in slot  $(m+T_{HARQ}+T_{activation\_time}+T_{CSI\_Reporting})$ , as defined in section 8.3. The UE shall start reporting CSI in PSCell in slot  $(m+k)$  and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell or PSCell interruption due to activation of SCell shall occur in the slot  $(m+1+[T_{HARQ}])$  to  $(m+1+[T_{HARQ}+3ms+T_{SMT\_MAX}+T_{SMT\_duration}])$ , as defined in section 8.3.

Time period T3 starts when a MAC message for deactivation of SCell, sent from the test equipment to the UE in a slot # denoted n, is received at the UE antenna connector. The UE shall carry out deactivation of the SCell in a slot  $(n+[T_{HARQ}+3ms])$ , as defined in section 8.3, and any PCell and PSCell interruption due to the deactivation shall occur in the slot  $(n+1+[T_{HARQ}])$  to  $(n+1+[T_{HARQ}+3ms])$ , as defined in section 8.3.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PSCell during activation and deactivation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell deactivation command is sent until CQI reporting for SCell is discontinued.

**Table A.4.5.3.1.1-1: known FR1 SCell activation in non-DRX for 160ms SCell measurement cycle supported test configurations**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.4.5.3.1.1-2: General test parameters for known FR1 SCell activation case, 160ms SCell measurement cycle**

Parameter	Unit	Value	Comment
RF Channel Number		1,2,3	One E-UTRAN radio channel (1) and two NR radio channel (2,3) are used for this test
Active PCell		Cell 1	Primary cell on E-UTRAN RF channel number 1. As specified in section A.3.7.2.1
Active PSCell		Cell 2	Primary secondary cell on NR RF channel number 2.
Configured deactivated SCell		Cell 3	Configured deactivated secondary cell on NR RF channel number 3
CP length		Normal	
DRX		OFF	Continuous monitoring of primary cell
CQI/PMI periodicity and offset configuration index		0	CQI reporting for SCell every second subframe
Cell-individual offset for cells on E-UTRA RF channel number	dB	0	Individual offset for cells on primary component carrier.
Cell-individual offset for cells on NR channel number	dB	0	Individual offset for cells on secondary component carrier.
SCell measurement cycle (measCycleSCell)	ms	160	
Cell3 timing offset to cell2	μs	0	
Time alignment error between cell3 and cell2	μs	≤ Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1.	The value of time alignment error depends upon the type of carrier aggregation.
T1	s	7	During this time the PSCell shall be known and the SCell configured and detected.
T2	s	1	During this time the UE shall activate the SCell.
T3	s	1	During this time the UE shall deactivate the SCell.
T <sub>HARQ</sub>	ms	k	k is a number of slots and is indicated by the PDSCH-to-HARQ-timing-indicator field in the DCI format, if present, or provided by dl-DataToUL-ACK, the value of k should be the minimum value defined in TS 38.213 [3]

$T_{CSI\_Reporting}$	ms	2	the delay uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2]
$k$	ms	$k_1 + 3 \cdot N_{\text{slot}}^{\text{subframe}, \mu} + 1$	As specified in section 4.3 of TS 38.213 [3]

**Table A. 4.5.3.1.1-3: Cell specific test parameters for known FR1 SCell activation case, 160ms SCell measurement cycle**

Parameter	Unit	Cell 2			Cell 3			
		T1	T2	T3	T1	T2	T3	
SSB ARFCN		freq1			freq1			
Duplex mode	Config 1,4 Config 2,3,5,6		FDD			TDD		
TDD configuration	Config 1,4 Config 2,5 Config 3,6		Not Applicable			TDDConf.1.1		
BW <sub>channel</sub>	Config 1,4 Config 2,5 Config 3,6	MHz	10: $N_{\text{RB},c} = 52$			10: $N_{\text{RB},c} = 52$		
BWP BW	Config 1,4 Config 2,5 Config 3,6		40: $N_{\text{RB},c} = 106$			10: $N_{\text{RB},c} = 52$		
DRx Cycle		ms	Not Applicable			Not Applicable		
PDSCH Reference measurement channel	Config 1,4 Config 2,5 Config 3,6		SR.1.1 FDD		SR.1.1 FDD			
RMSI CORESET Reference Channel	Config 1,4 Config 2,5 Config 3,6		SR.1.1 TDD		SR.1.1 TDD			
RMC CORESET Reference Channel	Config 1,4 Config 2,5 Config 3,6		CR.1.1 FDD		CR.1.1 FDD			
TRS configuration	Config 1,4 Config 2,5 Config 3,6		CR.1.1 TDD		CR.1.1 TDD			
OCNG Patterns			CR.2.1 TDD		CR.2.1 TDD			
SMTC configuration			CCR.1.1 FDD		CCR.1.1 FDD			
SSB configuration	Config 1,2,4,5 Config 3,6		CCR.1.1 TDD		CCR.1.1 TDD			
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5 Config 3,6	kHz	CCR.2.1 TDD		CCR.2.1 TDD			
EPRE ratio of PSS to SSS			TRS.1.1 FDD		TRS.1.1 FDD			
EPRE ratio of PBCH DMRS to SSS			TRS.1.1 TDD		TRS.1.1 TDD			
EPRE ratio of PBCH to PBCH DMRS			TRS.1.2 TDD		TRS.1.2 TDD			
EPRE ratio of PDCCH DMRS to SSS			OP.1		OP.1			
EPRE ratio of PDCCH to PDCCH DMRS			SMTC.1		SMTC.1			
EPRE ratio of PDSCH DMRS to SSS			SSB.1 FR1		SSB.1 FR1			
EPRE ratio of PDSCH to PDSCH			SSB.2 FR1		SSB.2 FR1			
EPRE ratio of OCNG DMRS to SSS (Note 1)			0		0			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			-		-			
$N_{oc}^{\text{Note2}}$		dBm/15kHz	-104		-104			
$N_{oc}^{\text{Note2}}$	Config 1,2,4,5 Config 3,6	dBm/SCS	-104		-101			

$\hat{E}_s/I_{ot}$	dB	17
$\hat{E}_s/N_{oc}$	dB	17
SS-RSRP <sup>Note 3</sup>	Config 1,2,4,5	-87
	Config 3,6	-84
SCH_RP <sup>Note 3</sup>	dBm/15 kHz	-87
Propagation condition	-	AWGN
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and SCH_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.]</p>		

#### A.4.5.3.1.2 Test Requirements

During T2 the UE shall send the first CSI report for SCell in a slot (m+k), or in a slot (m+1+[T<sub>HARQ</sub>+3ms+T<sub>SSB\_max</sub>+T<sub>SMTC\_duration</sub>]+1) as defined in section 8.3 if the slot (m+k) was subject to interruption. Whether CSI report in slot (m+k) was interrupted or not is checked by monitoring ACK/NACK sent in PCell in slot (m+k).

During T2 the UE shall start sending CSI reports for SCell with non-zero CQI index at latest in a slot (m+T<sub>HARQ</sub>+T<sub>activation\_time</sub>+T<sub>CSI\_Report</sub>), T<sub>activation\_time</sub> = [T<sub>SMTC\_SCell</sub>+5ms], as defined in section 8.3.

During T3 the UE shall stop sending CSI reports for SCell at latest in a slot (n+[T<sub>HARQ</sub>+3ms]), as defined in section 8.3.

During T2 interruption of PCell / PSCell during SCell activation shall not happen outside the slot (m+1+[T<sub>HARQ</sub>]) to (m+1+[T<sub>HARQ</sub>+3ms+T<sub>SMTC\_max</sub>+T<sub>SMTC\_duration</sub>]), as defined in section 8.3.

During T3 interruption of PCell / PSCell during SCell deactivation shall not happen outside the slot (n+1+[T<sub>HARQ</sub>]) to (n+1+[T<sub>HARQ</sub>+3ms]), as defined in section 8.3.

The interruption of PSCell shall not be more than the values specified for EN-DC in Section 8.2.1.2.4.

All of the above test requirements shall be fulfilled in order for the observed SCell activation delay and SCell deactivation delay to be counted as correct. The rate of correct observed SCell activation delay and SCell deactivation delay during repeated tests shall be at least 90%.

NOTE: During T2 if there are no uplink resources for reporting the valid CSI in a slot (m+T<sub>HARQ</sub>+T<sub>activation\_time</sub>+T<sub>CSI\_Report</sub>) as defined in section 8.3 then the UE shall use the next available uplink resource for reporting the corresponding valid CSI.

#### A.4.5.3.2 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 320 ms SCell measurement cycle

##### A.4.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in section A.4.5.3.1.1. The supported test configurations are the same as defined in section A.4.5.3.1.1. The test parameters are the same except those described in the following section. The listed parameter values in Tables A.4.5.3.2.1-1 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-2.

**Table A.4.5.3.2.1-1: General test parameters for known FR1 SCell activation case, 320 ms SCell measurement cycle**

Parameter	Unit	Value	Comment
SCell measurement cycle (measCycleSCell)	ms	320	

#### A.4.5.3.2.2 Test Requirements

The test requirements defined in section A.4.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value  $[T_{SMTC\_MAX}+T_{SMTC\_SCell}+5\text{ms}]$ .

#### A.4.5.3.3 SCell Activation and deactivation of unknown SCell in FR1 in non-DRX

##### A.4.5.3.3.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in section 8.3, when the SCell in FR1 is unknown by the UE at the time of activation.

The supported test configurations are the same as defined in section A.4.5.3.1.1. The test parameters are the same except those described in the following section. The listed parameter values in Tables A.4.5.3.3.1-1 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-2. The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are three carriers, E-UTRA has one cell, NR has two cells. Cell 1 and Cell 2 have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1 (PCell) on E-UTRAN and Cell 2 (PSCell) on NR, but is not aware of Cell 3 (SCell) on NR. The UE is monitoring the PCell and PSCell. The UE shall be continuously scheduled in the PCell and PSCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 3) becomes configured on NR. During T1 the SCell is powered off and UE is not aware of SCell.

A MAC message for activation of SCell is sent by the test equipment [100ms] after the RRC message, in a slot # denoted m. The point in time at which the MAC message for activation of SCell is received at the UE antenna connector defines the start of time period T2. Immediately at beginning of T2 the transmission power of cell 3 is increased to same level as for cell 2. The UE shall be able to report valid CSI for the activated SCell at latest in slot  $(m+T_{HARQ}+T_{activation\_time}+T_{CSI\_Reporting})$  as defined in section 8.3 provided the SCell can be successfully detected on the first attempt. The UE shall start reporting CSI in slot  $(m+k)$  and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell interruption due to activation of SCell shall occur in the slot  $(m+1+[T_{HARQ}])$  to  $(m+1+[T_{HARQ}+3\text{ms}+T_{SMTC\_MAX}+T_{SMTC\_duration}])$  as defined in section 8.3.

Time period T3 starts when a MAC message for deactivation of the SCell, sent from the test equipment to the UE in a slot # denoted n, is received at the UE antenna connector. The UE shall carry out deactivation of the SCell at latest in slot  $(n+[T_{HARQ}+3\text{ms}])$  as defined in section 8.3, and any PCell and PSCell interruption due to the deactivation shall occur in the  $(n+1+[T_{HARQ}])$  to  $(n+1+[T_{HARQ}+3\text{ms}])$  as defined in section 8.3.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PSCell during activation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell1 deactivation command is sent until CQI reporting for SCell1 is discontinued.

**Table A.4.5.3.3.1-1: General test parameters for unknown FR1 SCell activation case, 160ms SCell measurement cycle**

Parameter	Unit	Value	Comment
T1	ms	100	During this time the PSCell shall be known and the SCell configured, but not detected.

#### A.4.5.3.3.2 Test Requirements

The test requirements defined in section A.4.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value  $[2*T_{SMTC\_MAX}+2*T_{SMTC\_SCell}+5\text{ms}]$  as defined in section 8.3.

#### A.4.5.3.4 Void

### A.4.5.4 UE UL carrier RRC reconfiguration Delay

#### A.4.5.4.1 UE UL carrier RRC reconfiguration Delay

**Table A.4.5.4.1-1 - Table A.4.5.4.1-4 : Void**

##### A.4.5.4.1.1 Test Purpose and Environment

The purpose of this test is to verify that when the UE receives a RRC message implying NR UL or Supplementary UL carrier configuration, the UE shall be ready to start transmission on the newly configured carrier within the time limits specified in section 8.4.2 and 8.4.3 for configuring and deconfiguring, respectively.

There are three cells: E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and FR1 SCell (Cell 3). For SCell, both NR uplink and supplementary uplink are broadcast by *ServingCellConfigCommonSIB*. The test parameters for PSCell and SCell are given in Table A. 4.5.4.1.1-1, Table A. 4.5.4.1.1-2, Table A. 4.5.4.1.1-3 and Table A. 4.5.4.1.1-4 below. The test parameters and applicability for E-UTRAN PCell are defined in A.3.7.2. The test consists two tests. In test 1, the test consists of three time periods, with duration of T1, T2 and T3 respectively. During time duration T1, NR uplink of cell 3 is configured to UE. At the start of T2, a supplementary uplink of cell3 is configured to UE through *RRCReconfiguration*, then UE shall start transmission both on the NR uplink and supplementary uplink. At the start of T3, the supplementary uplink is released through *RRCReconfiguration*.

In test 2, the test consists of three time periods, with duration of T1, T2 and T3 respectively. During time duration T1, supplementray uplink on cell 3 is configured to UE. At the start of T2, a NR uplink is configured to UE through *RRCReconfiguration*, then UE shall start transmission both on the NR uplink and supplementary uplink. At the start of T3, the NR uplink is released through *RRCReconfiguration*.

**Table A.4.5.4.1.1-1: Supported test configurations**

Configuration	PSCell (Cell2)	SCell (Cell3)
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	DL and UL: 15kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode; SUL: 15kHz SCS, 10 MHz bandwidth, SUL duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	DL and UL: 15kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode; SUL: 15kHz SCS, 10 MHz bandwidth, SUL duplex mode
3	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	DL and UL: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode; SUL: 30kHz SCS, 40 MHz bandwidth, SUL duplex mode
4	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	DL and UL: 15kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode;

		SUL: 15kHz SCS, 10 MHz bandwidth, SUL duplex mode
5	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	DL and UL: 15kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode; SUL: 15kHz SCS, 10 MHz bandwidth, SUL duplex mode
6	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	DL and UL: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode; SUL: 30kHz SCS, 40 MHz bandwidth, SUL duplex mode
7	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	DL and UL: 15kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode; SUL: 15kHz SCS, 10 MHz bandwidth, SUL duplex mode
8	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	DL and UL: 15kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode; SUL: 15kHz SCS, 10 MHz bandwidth, SUL duplex mode
9	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	DL and UL: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode; SUL: 30kHz SCS, 40 MHz bandwidth, SUL duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.5.4.1.1-2: General test parameters for EN-DC UE UL carrier RRC reconfiguration Delay**

Parameter	Unit	Test configuration	Value	Comment
RF Channel Number		Config 1,2,3, 4, 5, 6, 7, 8, 9	1, 2, 3	Three radio channels are used for these two tests.
Active cell		Config 1,2,3, 4, 5, 6, 7, 8, 9	Cell 1: E-UTRAN PCell Cell 2: FR1 PSCell Cell 3: FR1 SCell	E-UTRAN PCell on RF channel number 1 FR1 PSCell on RF channel number 2 FR1 SCell on RF channel number 3
CP length		Config 1,2,3, 4, 5, 6, 7, 8, 9	Normal	
DRX		Config 1,2,3, 4, 5, 6, 7, 8, 9	OFF	
Measurement gap pattern Id		Config 1,2,3, 4, 5, 6, 7, 8, 9	OFF	
Filter coefficient		Config 1,2,3, 4, 5, 6, 7, 8, 9	0	L3 filtering is not used
T1	s	Config 1,2,3, 4, 5, 6, 7, 8, 9	5	
T2	s	Config 1,2,3, 4, 5, 6, 7, 8, 9	5	
T3	s	Config 1,2,3, 4, 5, 6, 7, 8, 9	5	

**Table A.4.5.4.1.1-3: NR Cell specific test parameters for EN-DC UE UL carrier RRC reconfiguration Delay on PSCell (Cell 2)**

Parameter	Unit	Test Configuration	Test 1			Test 2		
			T1	T2	T3	T1	T2	T3
Channel number		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9		2			2	
TDD configuration		Conf 1, 2, 3		N/A			N/A	
		Conf 4, 5, 6		TDD Conf.1.1			TDD Conf.1.1	
		Conf 7, 8, 9		TDD Conf.2.1			TDD Conf.2.1	

BW <sub>channel</sub>	MHz	Conf 1, 2, 3	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
		Conf 4, 5, 6	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
		Conf 7, 8, 9	40: N <sub>RB,c</sub> = 106	40: N <sub>RB,c</sub> = 106
PDSCH reference measurement channel as defined in A.3.1.1		Conf 1, 2, 3	SR.1.1 FDD	SR.1.1 FDD
		Conf 4, 5, 6	SR.1.1 TDD	SR.1.1 TDD
		Conf 7, 8, 9	SR 2.1 TDD	SR 2.1 TDD
RMSI CORESET reference measurement channel as defined in A.3.1.2		Conf 1, 2, 3	CR.1.1 FDD	CR.1.1 FDD
		Conf 4, 5, 6	CR.1.1 TDD	CR.1.1 TDD
		Conf 7, 8, 9	CR.2.1 TDD	CR.2.1 TDD
RMC CORESET reference measurement channel as defined in A.3.1.3		Conf 1, 2, 3	CCR.1.1 FDD	CCR.1.1 FDD
		Conf 4, 5, 6	CCR.1.1 TDD	CCR.1.1 TDD
		Conf 7, 8, 9	CCR.2.1 TDD	CCR.2.1 TDD
OCNG Pattern <sup>Note 1</sup>		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	OP.1	OP.1
SSB configuration		Conf 1, 2, 3, 4, 5, 6	SSB.1 FR1	SSB.1 FR1
		Conf 7, 8, 9	SSB.2 FR1	SSB.2 FR1
SMTC configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	SMTC.1	SMTC.1
DL initial BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.0.1	DLBWP.0.1
DL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.1.1	DLBWP.1.1
UL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	ULBWP.1.1	ULBWP.1.1
EPRE ratio of PSS to SSS	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	0	0
EPRE ratio of PBCH_DMRS to SSS				
EPRE ratio of PBCH to PBCH_DMRS				
EPRE ratio of PDCCH_DMRS to SSS				
EPRE ratio of PDCCH to PDCCH_DMRS				
EPRE ratio of PDSCH_DMRS to SSS				
EPRE ratio of PDSCH to PDSCH_DMRS				
EPRE ratio of OCNG DMRS to SSS				
EPRE ratio of OCNG to OCNG DMRS				
$N_{oc}$ <sup>Note 2</sup>	dBm / 15kHz	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	-102	-102
	dBm/SCS	Conf 1,2,3,4,5,6	-102	-102
		Conf 7,8,9	-99	-99

$\hat{E}_s / N_{oc}$	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16	16
$\hat{E}_s / I_{ot}$ Note 3	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16	16
SS-RSRP Note 3	dBm/SCS	Conf 1,2,3,4,5,6	-86	-86	-86	-86	-86	-86
		Conf 7,8,9	-83	-83	-83	-83	-83	-83
Io Note 3	dBm/9.36 MHz	Conf 1,2,3,4,5,6	-57.9	-57.9	-57.9	-57.9	-57.9	-57.9
		Conf 7,8,9	-51.8	-51.8	-51.8	-51.8	-51.8	-51.8
Propagation Condition		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	AWGN			AWGN		
Antenna configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	1 x 2			1 x 2		
<p>NOTE 1: OCNG shall be used such that both cells are fully allocated, and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>NOTE 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>NOTE 3: <math>\hat{E}_s / I_{ot}</math>, Io, and SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>								

**Table A.4.5.4.1.1-4: NR Cell specific test parameters for EN-DC UE UL carrier RRC reconfiguration Delay on SCell (Cell 3)**

Parameter	Unit	Test Configuration	Test 1			Test 2		
			T1	T2	T3	T1	T2	T3
Channel number		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	3			3		
TDD configuration		Conf 1, 4, 7	N/A			N/A		
		Conf 2, 5, 8	TDDConf.1.1			TDDConf.1.1		
		Conf 3, 6, 9	TDDConf.2.1			TDDConf.2.1		
BW <sub>channel</sub>	MHz	Conf 1, 4, 7	10: N <sub>RB,c</sub> = 52			10: N <sub>RB,c</sub> = 52		
		Conf 2, 5, 8	10: N <sub>RB,c</sub> = 52			10: N <sub>RB,c</sub> = 52		
		Conf 3, 6, 9	40: N <sub>RB,c</sub> = 106			40: N <sub>RB,c</sub> = 106		
PUSCH parameters for NR UL carrier		Conf 1, 4, 7	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	N/A
		Conf 2, 5, 8	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	N/A
		Conf 3, 6, 9	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]	N/A	G-FR1-A3-7 in [13]	N/A
PUCCH parameters For NR UL carrier		Conf 1, 4, 7	Table 8.3.3.1 .2-1 in [13]	Table 8.3.3.1 .2-1 in [13]	Table 8.3.3.1.2 -1 in [13]	N/A	N/A	N/A
		Conf 2, 5, 8	Table 8.3.3.1 .2-1 in [13]	Table 8.3.3.1 .2-1 in [13]	Table 8.3.3.1.2 -1 in [13]	N/A	N/A	N/A

		Conf 3, 6, 9	Table 8.3.3.1 .2-2 in [13]	Table 8.3.3.1.2-2 in [13]	Table 8.3.3.1.2-2 in [13]	N/A	N/A	N/A
PUSCH parameters for supplementary UL		Conf 1, 4, 7	N/A	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]
		Conf 2, 5, 8	N/A	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]
		Conf 3, 6, 9	N/A	G-FR1-A3-7 in [13]	N/A	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]
PUCCH parameters for supplementary UL		Conf 1, 4, 7	N/A	N/A	N/A	Table 8.3.3.1.2 -1 in [13]	Table 8.3.3.1.2 -1 in [13]	Table 8.3.3.1.2 -1 in [13]
		Conf 2, 5, 8	N/A	N/A	N/A	Table 8.3.3.1.2 -1 in [13]	Table 8.3.3.1.2 -1 in [13]	Table 8.3.3.1.2 -1 in [13]
		Conf 3, 6, 9	N/A	N/A	N/A	Table 8.3.3.1.2 -2 in [13]	Table 8.3.3.1.2 -2 in [13]	Table 8.3.3.1.2 -2 in [13]
PDSCH reference measurement channel as defined in A.3.1.1		Conf 1, 4, 7	SR.1.1 FDD			SR.1.1 FDD		
		Conf 2, 5, 8	SR.1.1 TDD			SR.1.1 TDD		
		Conf 3, 6, 9	SR 2.1 TDD			SR 2.1 TDD		
RMSI CORESET reference measurement channel as defined in A.3.1.2		Conf 1, 4, 7	CR.1.1 FDD			CR.1.1 FDD		
		Conf 2, 5, 8	CR.1.1 TDD			CR.1.1 TDD		
		Conf 3, 6, 9	CR.2.1 TDD			CR.2.1 TDD		
RMC CORESET reference measurement channel as defined in A.3.1.3		Conf 1, 4, 7	CCR.1.1 FDD			CCR.1.1 FDD		
		Conf 2, 5, 8	CCR.1.1 TDD			CCR.1.1 TDD		
		Conf 3, 6, 9	CCR.2.1 TDD			CCR.2.1 TDD		
OCNG Pattern <sup>Note 1</sup>		Conf 1, 2, 3	OP.1			OP.1		
SSB configuration		Conf 1, 2, 4, 5, 7, 8	SSB.1 FR1			SSB.1 FR1		
		Conf 3, 6, 9	SSB.2 FR1			SSB.2 FR1		
SMTC configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	SMTC.1			SMTC.1		
DL initial BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.0.1			DLBWP.0.1		
DL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.1.1			DLBWP.1.1		
UL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	ULBWP.1.1			ULBWP.1.1		
EPR ratio of PSS to SSS	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	0			0		
EPR ratio of PBCH_DMRS to SSS								
EPR ratio of PBCH to PBCH_DMRS								
EPR ratio of PDCCH_DMRS to SSS								

EPRE ratio of PDCCH to PDCCH_DMRS												
EPRE ratio of PDSCH_DMRS to SSS												
EPRE ratio of PDSCH to PDSCH_DMRS												
EPRE ratio of OCNG DMRS to SSS												
EPRE ratio of OCNG to OCNG DMRS												
$N_{oc}$ Note 2	dBm / 15kHz	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	-102		-102							
	dBm/ SCS	Conf 1, 2, 4, 5, 7, 8	-102		-102							
		Conf 3, 6, 9	-99		-99							
$\hat{E}_s / N_{oc}$	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16					
$\hat{E}_s / I_{ot}$ Note 3	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16					
SS-RSRP Note 3	dBm/ SCS	Conf 1, 2, 4, 5, 7, 8	-86	-86	-86	-86	-86					
		Conf 3, 6, 9	-83	-83	-83	-83	-83					
Io Note 3	dBm/ 9.36 MHz	Conf 1, 2, 4, 5, 7, 8	-57.9	-57.9	-57.9	-57.9	-57.9					
	dBm/ 38.16 MHz	Conf 3, 6, 9	-51.8	-51.8	-51.8	-51.8	-51.8					
Propagation Condition		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	AWGN			AWGN						
Antenna configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	1 x 2			1 x 2						
NOTE 1: OCNG shall be used such that both cells are fully allocated, and a constant total transmitted power spectral density is achieved for all OFDM symbols.												
NOTE 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.												
NOTE 3: $\hat{E}_s / I_{ot}$ , Io, and SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.												

#### A.4.5.4.1.2 Test Requirements

In test 1 the UE shall be ready to start transmission on the supplementary uplink carrier on SCell within [20]ms from the start of T2.

In test 1 the UE shall stop the transmission on the supplementary uplink carrier on SCell within [20]ms from the start of T3.

In test 2 the UE shall be ready to start transmission on the NR uplink carrier on SCell within [20]ms from the start of T2.

In test 2 the UE shall stop the transmission on the NR uplink carrier on SCell within [20]ms from the start of T3.

All of the above test requirements shall be fulfilled in order for the observed UE UL carrier configuration delay and UE UL carrier release delay to be counted as correct. The rate of correct observed UE UL carrier configuration delay and UE UL carrier release delay during repeated tests shall be at least 90%.

### A.4.5.5 Beam Failure Detection and Link recovery procedures

#### A.4.5.5.1 EN-DC Beam Failure Detection and Link Recovery Test for FR1 PSCell configured with SSB-based BFD and LR in non-DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

##### A.4.5.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.4.5.5.1.1-1, A.4.5.5.1.1-2, A.4.5.5.1.1-3 and A.4.5.5.1.1-4 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.5.1.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set  $q_0$  in the active PSCell to emulate SSB based beam failure. Figure A.4.5.5.1.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.4.5.5.1.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.4.5.5.1.1-2: General test parameters for FR1 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PSCell		Cell 2	
RF Channel Number		2	
Duplex mode	Config 1, 4 Config 2, 3, 5, 6	FDD TDD	
BWchannel	Config 1, 4	MHz	10: NRB,c = 52

	Config 2, 5		10: NRB,c = 52	
	Config 3, 6		40: NRB,c = 106	
DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1	
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1	
TDD Configuration	Config 1, 4		Not Applicable	
	Config 2, 5		TDDConf.1.1	
	Config 3, 6		TDDConf.1.2	
CORESET Reference Channel	Config 1, 4		CR. 1.1 FDD	
	Config 2, 5		CR. 1.1 TDD	
	Config 3, 6		CR. 2.1 TDD	
SSB Configuration	Config 1, 4		SSB.1 FR1	
	Config 2, 5		SSB.1 FR1	
	Config 3, 6		SSB.2 FR1	
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1	
	Config 3, 6		SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz	
	Config 3, 6		30 KHz	
PRACH Configuration	Config 1, 2, 4, 5		Table A.3.8.2.4-1	
	Config 3, 6		Table A.3.8.2.4-1	
SSB Index assigned as BFD RS ( $q_0$ )			0	
SSB Index assigned as CBD RS ( $q_1$ )			1	
OCNG parameters			OP.1	
CP length			Normal	
Correlation Matrix and Antenna Configuration			2x2 Low	
Beam failure detection transmission parameters	DCI format		1-0	
	Number of Control OFDM symbols		2	
	Aggregation level	CCE	8	
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
	DRX		OFF	
Gap pattern ID			gp0	
rmlnSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).

rsrp-ThresholdSSB		dBm	[-96]	Threshold used for Q <sub>out_LR_SSB</sub>
powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			n2	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			pbfd4	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1, 4		[CSI-RS.1.3 FDD]	
	Config 2, 5		[CSI-RS.1.3 TDD]	
	Config 3, 6		[CSI-RS.2.3 TDD]	
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]	
	Config 2, 5		[TRS.1.1 TDD]	
	Config 3, 6		[TRS.1.2 TDD]	
T1		s	1	During this time the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.44]	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.				
Note 2: UE-specific PDCCH is not transmitted after T1 starts.				
Note 3: E-UTRAN is in non-DRX mode under test.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

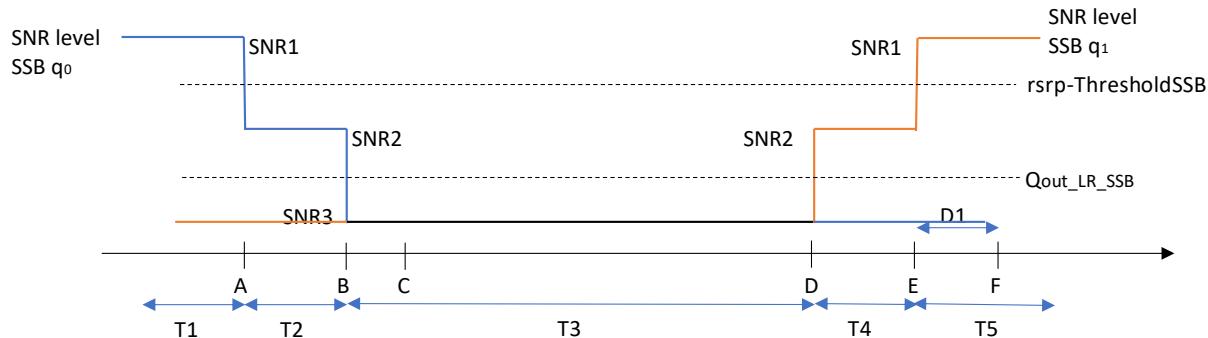
**Table A.4.5.5.1.1-3: Cell specific test parameters for FR1 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1				
		SSB of set q <sub>0</sub>					SSB of set q <sub>1</sub>				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
EPRE ratio of PDCCH DMRS to SSS	dB										
EPRE ratio of PDCCH to PDCCH DMRS	dB										
EPRE ratio of PBCH DMRS to SSS	dB										
EPRE ratio of PBCH to PBCH DMRS	dB										
EPRE ratio of PSS to SSS	dB										
EPRE ratio of PDSCH DMRS to SSS	dB										
EPRE ratio of PDSCH to PDSCH DMRS	dB										
EPRE ratio of OCNG DMRS to SSS	dB										
SNR	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
N <sub>oc</sub>	Config 1	dBm/15 KHz	-98					-98			
	Config 2		-98					-98			
	Config 3		-98					-98			

Propagation condition	TDLC300-100	TDLC300-100
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.	
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.	
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.	
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.	
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.	
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.	
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.	
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.4.5.5.1.1-1.	
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].	

**Table A.4.5.5.1.1-4: Measurement gap configuration for FR1 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Field	Test 2
	Value
gapOffset	0



**Figure A.4.5.5.1.1-1: SNR variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

#### A.4.5.5.1.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

### A.4.5.5.2 EN-DC Beam Failure Detection and Link Recovery Test for FR1 PSCell configured with SSB-based BFD and LR in DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

#### A.4.5.5.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.4.5.5.2.1-1, A.4.5.5.2.1-2, A.4.5.5.2.1-3, A.4.5.5.2.1-4 and A.4.5.5.2.1-5 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.5.2.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set  $q_0$  in the active PSCell to emulate SSB based beam failure. Figure A.4.5.5.2.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PSCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to "infinity" so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.4.5.5.2.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.5.2.1-2: General test parameters for FR1 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PSCell		Cell 2	
RF Channel Number		2	
Duplex mode		FDD	
		TDD	
BWchannel	Config 1, 4	MHz	10: NRB,c = 52
	Config 2, 5		10: NRB,c = 52
	Config 3, 6		40: NRB,c = 106

DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1	
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1	
TDD Configuration	Config 1, 4		Not Applicable	
	Config 2, 5		TDDConf.1.1	
	Config 3, 6		TDDConf.1.2	
CORESET Reference Channel	Config 1, 4		CR. 1.1 FDD	
	Config 2, 5		CR. 1.1 TDD	
	Config 3, 6		CR. 2.1 TDD	
SSB Configuration	Config 1, 4		SSB.1 FR1	
	Config 2, 5		SSB.1 FR1	
	Config 3, 6		SSB.2 FR1	
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1	
	Config 3, 6		SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz	
	Config 3, 6		30 KHz	
PRACH Configuration	Config 1, 2, 4, 5		Table A.3.8.2.4-1	
	Config 3, 6		Table A.3.8.2.4-1	
SSB Index assigned as BFD RS ( $q_0$ )			0	
SSB Index assigned as CBD RS ( $q_1$ )			1	
OCNG parameters			OP.1	
CP length			Normal	
Correlation Matrix and Antenna Configuration			2x2 Low	
Beam failure detection transmission parameters	DCI format		1-0	
	Number of Control OFDM symbols		2	
	Aggregation level	CCE	8	
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			DRX.7	A.3.3.7
Gap pattern ID			gp0	
rImInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm	[ -96 ]	Threshold used for $Q_{out\_LR\_SSB}$	

powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI- RS
beamFailureInstanceMaxCount			n2	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			pbfd4	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1, 4		[CSI-RS.1.3 FDD]	
	Config 2, 5		[CSI-RS.1.3 TDD]	
	Config 3, 6		[CSI-RS.2.3 TDD]	
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]	
	Config 2, 5		[TRS.1.1 TDD]	
	Config 3, 6		[TRS.1.2 TDD]	
T1		s	1	During this time the the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.44]	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.				
Note 2: UE-specific PDCCH is not transmitted after T1 starts.				
Note 3: E-UTRAN is in non-DRX mode under test.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.4.5.5.2.1-3: Cell specific test parameters for FR1 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Test 1					Test 1				
		SSB of set q <sub>0</sub>					SSB of set q <sub>1</sub>				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
EPRE ratio of PDCCH DMRS to SSS	dB										
EPRE ratio of PDCCH to PDCCH DMRS	dB										
EPRE ratio of PBCH DMRS to SSS	dB										
EPRE ratio of PBCH to PBCH DMRS	dB										
EPRE ratio of PSS to SSS	dB										
EPRE ratio of PDSCH DMRS to SSS	dB										
EPRE ratio of PDSCH to PDSCH DMRS	dB										
EPRE ratio of OCNG DMRS to SSS	dB										
SNR	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
N <sub>oc</sub>	Config 1	dBm/15KHz	-98					-98			
	Config 2		-98					-98			
	Config 3		-98					-98			

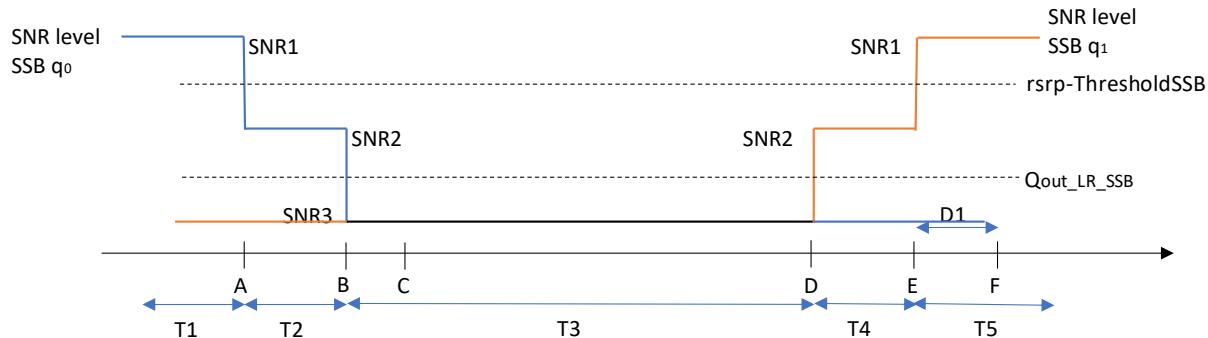
Propagation condition		TDLC300-100	TDLC300-100
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.			
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.			
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.			
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.			
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.			
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.			
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.4.5.5.1-1.			
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].			

**Table A.4.5.5.2.1-4: Measurement gap configuration for FR1 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Field	Test 2
	Value
gapOffset	[0]

**Table A.4.5.5.2.1-5: DRX-Configuration for FR1 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode.**

Field	Test 5	Test 6
	Value	Value
drx-onDurationTimer	[ms6]	[ms6]
drx-InactivityTimer	[ms1]	[ms1]
drx-RetransmissionTimerDL	[sl1]	[sl1]
drx-RetransmissionTimerUL	[sl1]	[sl1]
longDRX-CycleStartOffset	[ms640]	[ms40]
shortDRX	disable	disable



**Figure A.4.5.5.2.1-1: SNR variation for SSB-based beam failure detection and link recovery testing in non-DRX mode**

#### A.4.5.5.2.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.5.3 EN-DC Beam Failure Detection and Link Recovery Test for FR1 PSCell configured with CSI-RS-based BFD and LR in non-DRX mode

##### A.4.5.5.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.4.5.5.3.1-1, A.4.5.5.3.1-2, and A.4.5.5.3.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.5.3.1-1 shows the variation of the downlink SNR of the PSCell and the SNR of the CSI-RS in set  $q_0$  in the active PSCell to emulate CSI-RS based beam failure. Figure A.4.5.5.3.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurementswithout gaps.

**Table A.4.5.5.3.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.4.5.5.3.1-2: General test parameters for FR1 PSCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Value	Comment
		Test 1	

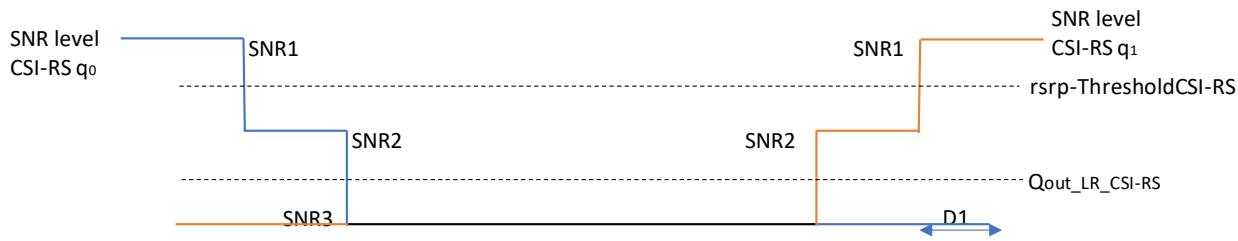
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1, 4		FDD
	Config 2, 3, 5, 6		TDD
TDD Configuration	Config 1, 4		Not Applicable
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.1.2
CORESET Reference Channel	Config 1, 4		CR.1.1 FDD
	Config 2, 5		CR.1.1 TDD
	Config 3, 6		CR.2.1 TDD
SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
csi-RS-Index assigned as beam failure detection RS in set q <sub>0</sub>		[0]	
OCNG parameters		OP.1	A.3.2.1
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size	6	

DRX		OFF	
Gap pattern ID		N.A.	
csi-RS-Index assigned as candidate beam detection RS in set q <sub>1</sub>		1	
rImInSyncOutOfSyncThreshold		absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm	[-96]	Threshold used for Q <sub>in_LR_SSB</sub>
powerControlOffsetSS		db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount		n2	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer		pbfd4	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1, 4 Config 2, 5 Config 3, 6	CSI-RS.1.2 FDD CSI-RS.1.2 TDD CSI-RS.2.2 TDD	A.3.14
TRS configuration	Config 1, 4 Config 2, 5 Config 3, 6	TRS.1.1 FDD TRS.1.1 TDD TRS.1.2 TDD	
T1	s	1	During this time the UE shall be fully synchronized to cell 1
T2	s	0.4	
T3	s	[0.6]	
T4	s	[0.4]	
T5	s	[1.4]	
D1	s	[0.24]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.4.5.5.3.1-3: Cell specific test parameters for FR1 PSCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1														
		CSI-RS of set $q_0$					CSI-RS of set $q_1$														
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5										
EPRE ratio of PSS to SSS	dB	0					0														
EPRE ratio of PBCH DMRS to SSS																					
EPRE ratio of PBCH to PBCH DMRS																					
EPRE ratio of PDCCH DMRS to SSS																					
EPRE ratio of PDCCH to PDCCH DMRS																					
EPRE ratio of PDSCH DMRS to SSS																					
EPRE ratio of PDSCH to PDSCH DMRS																					
EPRE ratio of OCNG DMRS to SSS (Note 1)																					
EPRE ratio of OCNG to OCNG DMRS (Note 1)																					
SNR_C SI-RS	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
$N_{oc}$	dBm/ 15K Hz	-98					-98														
		-98					-98														
		-98					-98														
Propagation condition		TDL-C 300ns 100Hz					TDL-C 300ns 100Hz														
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																					
Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																					



**Figure A.4.5.5.3.1-1: SNR variation for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

#### A.4.5.5.3.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.5.4 EN-DC Beam Failure Detection and Link Recovery Test for FR1 PSCell configured with CSI-RS-based BFD and LR in DRX mode

##### A.4.5.5.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct CSI-RS-based link recovery based on beam candicate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.4.5.5.4.1-1, A.4.5.5.4.1-2, A.4.5.5.4.1-3, and A.4.5.5.4.1-4 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.4.5.5.4.1-1 shows the variation of the downlink SNR of the PSCell and the SNR of the CSI-RS in set  $q_0$  in the active PSCell to emulate CSI-RS based beam failure. Figure A.4.5.5.4.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PSCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.4.5.5.4.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.4.5.5.4.1-2: General test parameters for FR1 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1, 4	FDD	
	Config 2, 3, 5, 6	TDD	
TDD Configuration	Config 1, 4	Not Applicable	
	Config 2, 5	TDDConf.1.1	
	Config 3, 6	TDDConf.1.2	
CORESET Reference Channel	Config 1, 4	CR.1.1 FDD	A.3.1.2
	Config 2, 5	CR.1.1 TDD	
	Config 3, 6	CR.2.1 TDD	
SSB Configuration	Config 1, 4	SSB.1 FR1	A.3.10
	Config 2, 5	SSB.1 FR1	
	Config 3, 6	SSB.2 FR1	
SMTC Configuration	Config 1, 2, 4, 5	SMTC.1	A.3.11
	Config 3, 6	SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5	15 KHz	
	Config 3, 6	30 KHz	
csi-RS-Index assigned as beam failure detection RS in set q <sub>0</sub>		[0]	
OCNG parameters		OP.1	A.3.2.1
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0

	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			DRX.7	A.3.3.7
Gap pattern ID			*[gp0]	
csi-RS-Index assigned as candidate beam detection RS in set q <sub>1</sub>			1	
rlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB		dBm	[-96]	Threshold used for Q <sub>in_LR_SSB</sub>
powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			[n2]	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			[pbfd4]	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1, 4		CSI-RS.1.2 FDD	A.3.14
	Config 2, 5		CSI-RS.1.2 TDD	
	Config 3, 6		CSI-RS.2.2 TDD	
TRS configuration	Config 1, 4		TRS.1.1 FDD	
	Config 2, 5		TRS.1.1 TDD	
	Config 3, 6		TRS.1.2 TDD	
T1		s	1	During this time the the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.44]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.				

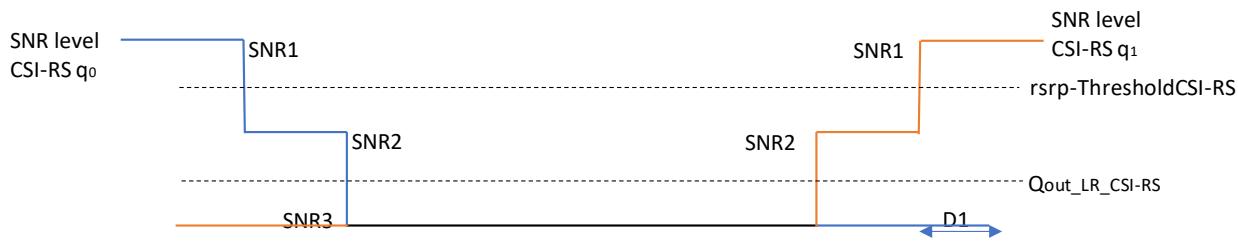
*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.4.5.5.4.1-3: Cell specific test parameters for FR1 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Test 1					Test 1														
		CSI-RS of set $q_0$					CSI-RS of set $q_1$														
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5										
EPRE ratio of PSS to SSS	dB																				
EPRE ratio of PBCH DMRS to SSS	dB																				
EPRE ratio of PBCH to PBCH DMRS	dB																				
EPRE ratio of PDCCH DMRS to SSS	dB																				
EPRE ratio of PDCCH to PDCCH DMRS	dB																				
EPRE ratio of PDSCH DMRS to SSS	dB																				
EPRE ratio of PDSCH to PDSCH DMRS	dB																				
EPRE ratio of OCNG DMRS to SSS (Note 1)	dB																				
EPRE ratio of OCNG to OCNG DMRS (Note 1)	dB																				
SNR_C SI-RS	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
$N_{oc}$	dBm/ 15K Hz	-98					-98														
		-98					-98														
		-98					-98														
Propagation condition		TDL-C 300ns 100Hz					TDL-C 300ns 100Hz														
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																				
Note 2:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																				

**Table A.4.5.5.4.1-4: Measurement gap configuration for FR1 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Field	Test 1
	Value
gapOffset	[0]

**Table A.4.5.5.4.1-5: Void****Table A.4.5.5.4.1-6: Void****Figure A.4.5.5.4.1-1: SNR variation for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

#### A.4.5.5.4.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.4.5.6 Active BWP switch delay

##### A.4.5.6.1 DCI-based and Timer-based Active BWP Switch

###### A.4.5.6.1.1 E-UTRAN – NR PSCell FR1 DL active BWP switch in non-DRX in synchronous EN-DC

###### A.4.5.6.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in TS38.133 section 8.6, and interruption requirement for E-UTRA victim cell defined in TS36.133 section 7.32.2.7. Supported test configurations are shown in Table A.4.5.6.1.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), and one NR PSCell (Cell 2) as given in Table A.4.5.6.1.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell is specified in Table A.4.5.6.1.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (PSCell) on radio channel 2 (PSCC).
- UE is configured with 2 different UE-specific bandwidth parts for PSCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PSCell.
- UE is configured with a *bwp-InactivityTimer* timer value for PSCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PSCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PSCell's slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PSCell's slot (*i+T<sub>BWPswitchDelay</sub>*) as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell no later than at slot (*i+T<sub>BWPswitchDelay</sub>+k1*). The UE shall be continuously scheduled on PSCell's BWP-2 starting from slot (*i+T<sub>BWPswitchDelay</sub>*).

The starting time of PCell(Cell 1) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PSCell(Cell 2).

During T3,

The time period T3 starts from the slot #*j* immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE shall switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH at PSCell's slot (*j+T<sub>BWPswitchDelay</sub>*) as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell at latest at slot (*j+T<sub>BWPswitchDelay</sub>+k1*). The UE shall be continuously scheduled on PSCell's BWP-1 starting from slot (*j+T<sub>BWPswitchDelay</sub>*).

The starting time of PCell(Cell 1) interruption due to BWP switch of PSCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PSCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to E-UTRA PCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell during BWP switch of PSCell, respectively.

**Table A.4.5.6.1.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.  
Note 2: A UE which fulfils the requirements in test case A.4.5.6.1.2 can skip the test cases in A.4.5.6.1.1.

**Table A.4.5.6.1.1.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
E-UTRA RF Channel Number		1	One E-UTRA radio channel is used for this test
NR RF Channel Number		2	One NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active PSCell		Cell 2	PSCell on RF channel number 2.
CP length		Normal	
DRX		OFF	For both PCell and PSCell
<i>bwp-InactivityTimer</i>	ms	[200]	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCC.
Cell2 timing offset to cell1	μs	3	Synchronous EN-DC
T1	s	[0.2]	
T2	s	[0.2]	
T3	s	[0.2]	

**Table A4.5.6.1.1.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2
Frequency Range		FR1
Duplex mode	Config 1,4 Config 2,3,5,6	FDD TDD
TDD configuration	Config 1,4 Config 2,5 Config 3,6	
BW <sub>channel</sub>	Config 1,4 Config 2,5 Config 3,6	10 MHz: N <sub>RB,c</sub> = 52 10 MHz: N <sub>RB,c</sub> = 52 40 MHz: N <sub>RB,c</sub> = 106
Active BWP ID		1, 2
Initial DL BWP Configuration	Config 1,4 Config 2,5 Config 3,6	DLBWP.0.2 Note 4
Active DL BWP-1 Configuration	Config 1,4 Config 2,5 Config 3,6	
Active DL BWP-2 Configuration	Config 1,4 Config 2,5 Config 3,6	
Initial UL BWP Configuration	Config 1,4 Config 2,5 Config 3,6	ULBWP.0.2 Note 4
	Config 1,4	

Active UL BWP-1 Configuration	Config 2,5 Config 3,6		ULBWP.1.1 <sup>Note 4</sup>
Active UL BWP-2 Configuration	Config 1,4		ULBWP.1.3 <sup>Note 4</sup>
	Config 2,5		
	Config 3,6		
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD
	Config 2,5		SR.1.1 TDD
	Config 3,6		SR.2.1 TDD
RMSI CORESET parameters	Config 1,4		CR.1.1 FDD
	Config 2,5		CR.1.1 TDD
	Config 3,6		CR.2.1 TDD
Dedicated CORESET parameters	Config 1,4		CCR.1.1 FDD
	Config 2,5		CCR.1.1 TDD
	Config 3,6		CCR.2.1 TDD
OCNG Patterns			OP.1
SSB Configuration	Config 1,2,4,5		SSB.1 FR1
	Config 3,6		SSB.2 FR1
SMTC Configuration			SMTC.1
Correlation Matrix and Antenna Configuration			1x2 Low
TRS Configuration	Config 1,4		TRS.1.1 FDD
	Config 2,5		TRS.1.1 TDD
	Config 3,6		TRS.1.2 TDD
EPRE ratio of PSS to SSS		dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
$N_{oc}$ <sup>Note 2</sup>	Config 1,2,4,5	dBm/SCS	[-104]
	Config 3,6		[-101]
$N_{oc}$ <sup>Note 2</sup>		dBm/15kHz	[-104]
SS-RSRP Note 3	Config 1,2,4,5	dBm/SCS	[-87]
	Config 3,6		[-90]
$\hat{E}_s/I_{ot}$		dB	[17]
$\hat{E}_s/N_{oc}$			
$I_o$ <sup>Note 3</sup>	Config 1,2,4,5	dBm/ 9.36MHz	[-59]
	Config 3,6		
Propagation Condition			AWGN
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for Noc to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].</p>			

#### A.4.5.6.1.1.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot ( $i+T_{BWPswitchDelay}+kI$ ).

During T3, the UE shall start to send the ACK for PSCell in a slot ( $j+T_{BWPswitchDelay}+kI$ ).

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1, the start time of PCell interruption during PSCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start time of PCell interruption of during PSCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of PCell shall not be longer than the interruption duration specified for active BWP switch in TS36.133 Section 7.32.2.7.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i+T_{BWPswitchDelay}+kI$ ), ( $j+T_{BWPswitchDelay}+kI$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

#### A.4.5.6.1.2 E-UTRAN – NR PSCell FR1 DL active BWP switch with FR1 SCell in non-DRX in synchronous EN-DC

##### A.4.5.6.1.2.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in section 8.6, and interruption requirements for NR victim cell defined in clause 8.2.1.2.7 and interruption requirement for E-UTRA victim cell defined in clause 7.32.2.7 of TS 36.133 [15]. Supported test configurations are shown in Table A.4.5.6.1.2.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), one NR PSCell (Cell 2) and one NR SCell (Cell 3) as given in Table A.4.5.6.1.2.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell and SCell are specified in Table A.4.5.6.1.2.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) and SCell (Cell 3) to ensure that the UE will have ACK/NACK sending.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), Cell 2 (PSCell) on radio channel 2 (PSCC) and Cell 3 (SCell) on radio channel 3 (SCC).
- UE is configured with 2 different UE-specific bandwidth parts for PSCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

- UE is configured with 1 UE-specific bandwidth parts the same as initial BWP for SCell, BWP-0 in Cell 3 before starting the test.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PSCell.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-0 in SCell.
- UE is configured with a *bwp-InactivityTimer* timer value for PSCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PSCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PSCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PSCell's slot  $(i+T_{BWPswitchDelay})$  as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell no later than at slot  $(i+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on PSCell's BWP-2 starting from slot  $(i+T_{BWPswitchDelay})$ .

PCell(Cell 1) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

SCell(Cell 3) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PSCell(Cell 2).

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE shall switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH at PSCell's slot  $(j+T_{BWPswitchDelay})$  as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell at latest at slot  $(j+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on PSCell's BWP-1 starting from slot  $(j+T_{BWPswitchDelay})$ .

PCell(Cell 1) interruption due to BWP switch of PSCell shall occur within the BWP switch delay.

SCell(Cell 3) interruption due to BWP switch of PSCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PSCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to E-UTRA PCell and NR SCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell and SCell during BWP switch of PSCell, respectively.

**Table A.4.5.6.1.2.1-1: DL BWP switch supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: A UE which fulfils the requirements in test case A.4.5.6.1.2 can skip the test cases in A.4.5.6.1.1.  
Note 3: NR configuration is the same for PSCell and SCells.

**Table A.4.5.6.1.2.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
E-UTRA RF Channel Number		1	One E-UTRA radio channel is used for this test
NR RF Channel Number		2, 3	Two NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active PSCell		Cell 2	PSCell on RF channel number 2.
Active SCell		Cell 3	SCell on RF channel number 3.
CP length		Normal	
DRX		OFF	
<i>bwp-InactivityTimer</i>	ms	[200]	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCELL.
Cell-individual offset for cells on RF channel number 3	dB	0	Individual offset for cells on SCC.
Cell2 timing offset to cell1	μs	3	Synchronous EN-DC
Cell3 timing offset to cell2	μs	3	Synchronous cells
T1	s	[0.2]	
T2	s	[0.2]	
T3	s	[0.2]	

**Table A.4.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2	Cell 3
Frequency Range			FR1
Duplex mode	Config 1,4		FDD
	Config 2,3,5,6		TDD
TDD configuration	Config 1,4		Not Applicable
	Config 2,5		TDDConf.1.1
	Config 3,6		TDDConf.1.2
BW <sub>channel</sub>	Config 1,4		10 MHz: N <sub>RB,c</sub> = 52
	Config 2,5		10 MHz: N <sub>RB,c</sub> = 52
	Config 3,6		40 MHz: N <sub>RB,c</sub> = 106
Active BWP ID		1, 2	0
Initial BWP Configuration	Config 1,4	DLBWP.0.2	DLBWP.0.2
	Config 2,5		
	Config 3,6		
Active BWP-0 Configuration	Config 1,4	NA	DLBWP.0.2
	Config 2,5		
	Config 3,6		
Active BWP-1 Configuration	Config 1,4	DLBWP.1.3	NA
	Config 2,5		
	Config 3,6		
Active BWP-2 Configuration	Config 1,4	DLBWP.1.1	NA
	Config 2,5		
	Config 3,6		
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD
	Config 2,5		SR.1.1 TDD
	Config 3,6		SR2.1 TDD
RMSI CORESET parameters	Config 1,4		CR.1.1 FDD
	Config 2,5		CR.1.1 TDD
	Config 3,6		CR2.1 TDD
Dedicated CORESET parameters	Config 1,4		CCR.1.1 FDD
	Config 2,5		CCR.1.1 TDD
	Config 3,6		CCR.2.1 TDD

OCNG Patterns		OP.1			
SSB Configuration			SSB.1 FR1		
			SSB.2 FR1		
SMTC Configuration			SMTC.1		
TRS Configuration	Config 1,4		TRS.1.1 FDD		
	Config 2,5		TRS.1.1 TDD		
	Config 3,6		TRS.1.2 TDD		
Antenna Configuration			1x2		
Propagation Condition			AWGN		
EPRE ratio of PSS to SSS		dB	0	0	
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>					
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>					
N <sub>oc</sub> <sup>Note 2</sup>		dBm/15 kHz	[-104]	[-104]	
SS-RSRP <sup>Note 3</sup>		dBm/15 kHz	[-87]	[-87]	
E <sub>s</sub> /I <sub>ot</sub>		dB	17	17	
E <sub>s</sub> /N <sub>oc</sub>		dB	17	17	
Io <sup>Note 3</sup>	Config 1,2,4,5	dBm/ 9.36MHz	TBD	TBD	
	Config 3,6	dBm/ 38.16MHz	TBD	TBD	

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.

Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].

#### A.4.5.6.1.2.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot ( $i + T_{BWPswitchDelay} + kI$ ).

During T3, the UE shall start to send the ACK for PSCell in a slot ( $j + T_{BWPswitchDelay} + kII$ ).

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1, the start of the interruption of PCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start of the interruption of PCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of PCell shall not be longer than the interruption duration specified for active BWP switch in clause 7.32.2.7 of TS 36.133 [15].

During T1, the start of the interruption of SCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start of the interruption of SCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of SCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.6.2.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i+T_{BWPswitchDelay}+kI$ ), ( $j+T_{BWPswitchDelay}+kI$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

*Editor's note: FFS value of kI for type 1 and type 2 UE.*

#### A.4.5.6.2 RRC-based Active BWP Switch

##### A.4.5.6.2.1 E-UTRAN – NR PSCell FR1 DL active BWP switch in non-DRX in synchronous EN-DC

###### A.4.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement for RRC-based BWP switch defined in section 8.6.3. Supported test configurations are shown in Table A.4.5.6.2.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1) and one NR PSCell (Cell 2) as given in Table A.4.5.6.2.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell are specified in Table A.4.5.6.2.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC) and to Cell 2 (PSCell) on radio channel 2 (PSCC).
- UE has bandwidth part BWP-1 in its RRC-configuration for Cell 1 (PSCell).
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PSCell.

All cells have constant signal levels throughout the test.

The test consists of 1 time period, with duration of T1.

During T1,

Time period T1 starts when a *RRCReconfiguration* with bandwidth part configuration BWP-2, sent from the test equipment to the UE, is received at the UE side in PSCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PSCell's slot ( $i+T_{RRCprocessingDelay}+T_{BWPswitchDelayRRC}$ ) as defined in section 8.6.3 and be ready for the reception of uplink grant for the PSCell no later than at slot ( $i+T_{RRCprocessingDelay}+T_{BWPswitchDelayRRC}$ ). The UE shall be continuously scheduled on PSCell's BWP-2 starting from slot ( $i+T_{RRCprocessingDelay}+T_{BWPswitchDelayRRC}$ ).

$T_{RRCprocessingDelay}$  and  $T_{BWPswitchDelayRRC}$  are defined in section 8.6.3.

The test equipment verifies the DL BWP switch time in PSCell by counting the time from the time when the RRC Reconfiguration message including BWP switch command is sent till the time when RRC Reconfiguration Complete message is received.

**Table A.4.5.6.2.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations	

**Table A.4.5.6.2.1.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
E-UTRA RF Channel Number		1	One E-UTRA radio channel is used for this test
NR RF Channel Number		2	One NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active PSCell		Cell 2	PSCell on RF channel number 2.
CP length		Normal	
DRX		OFF	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCC.
Cell2 timing offset to cell1	μs	3	Synchronous EN-DC
T1	s	[0.2]	

**Table A.4.5.6.2.1.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2
Frequency Range		FR1
Duplex mode	Config 1,4	FDD
	Config 2,3,5,6	
TDD configuration	Config 1,4	Not Applicable
	Config 2,5	
	Config 3,6	
BW <sub>channel</sub>	Config 1,4	10 MHz: N <sub>RB,c</sub> = 52
	Config 2,5	
	Config 3,6	
Active DL BWP ID		1, 2
Initial DL BWP Configuration	Config 1,4	DLBWP.0.2
	Config 2,5	
	Config 3,6	
Active DL BWP-1 Configuration	Config 1,4	DLBWP.1.3
	Config 2,5	
	Config 3,6	
Active DL BWP-2 Configuration	Config 1,4	DLBWP.1.1
	Config 2,5	
	Config 3,6	
Initial UL BWP Configuration	Config 1,4	ULBWP.0.2
	Config 2,5	

	Config 3,6		
Active UL BWP-1 Configuration	Config 1,4		ULBWP.1.3
	Config 2,5		
	Config 3,6		
	Config 1,4		ULBWP.1.1
Active UL BWP-2 Configuration	Config 2,5		
	Config 3,6		
	Config 1,4		SR.1.1 FDD
PDSCH Reference measurement channel	Config 2,5		SR.1.1 TDD
	Config 3,6		SR2.1 TDD
	Config 1,4		CR.1.1 FDD
RMSI CORESET parameters	Config 2,5		CR.1.1 TDD
	Config 3,6		CR2.1 TDD
Dedicated CORESET parameters	Config 1,4		CCR.1.1 FDD
	Config 2,5		CCR.1.1 TDD
	Config 3,6		CCR.2.1 TDD
OCNG Patterns			OP.1
SSB Configuration	Config 1,2,4,5		SSB.1 FR1
	Config 3,6		SSB.2 FR1
SMTC Configuration			SMTC.1
TRS Configuration	Config 1,4		TRS.1.1 FDD
	Config 2,5		TRS.1.1 TDD
	Config 3,6		TRS.1.2 TDD
Antenna Configuration			1x2
Propagation Condition			AWGN
EPRE ratio of PSS to SSS		dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
N <sub>oc</sub> <sup>Note 2</sup>		dBm/15 kHz	[ -104 ]
SS-RSRP <sup>Note 3</sup>		dBm/15 kHz	[ -87 ]
Ê <sub>s</sub> /I <sub>ot</sub>		dB	17
Ê <sub>s</sub> /N <sub>oc</sub>		dB	17
I <sub>o</sub> <sup>Note 3</sup>	Config 1,2,4,5	dBm/9.36MHz	TBD
		dBm/38.16MHz	TBD
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.		
Note 3:	SS-RSRP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		
Note 4:	For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].		

#### A.4.5.6.2.1.2 Test Requirements

During T1, the UE shall be ready for the reception of uplink grant for PSCell in a slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRR}$ ).

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.4.5.7 PSCell addition and release delay

#### A.4.5.7.1 Addition and Release Delay of known NR PSCell

##### A.4.5.7.1.1 Test purpose and environment

The purpose of this test is to verify that the NR PSCell addition and release delays under EN-DC are within the requirements stated in section 7.31.2 [15] for the case when the PSCell is known by the UE at the time of addition.

Supported test configurations are shown in A.4.5.7.1.1-1. The test parameters for the E-UTRA cell are given in Table A.3.7.2.1-1. The E-UTRA cell once set up is not changed across time.

The test parameters for NR cell are given in Tables A.4.5.7.1.1-2 and cell-specific parameters in A.4.5.7.1.1-3 below. The test consists of five successive time periods with duration of T1, T2, T3, T4 and T5 respectively. There are two carriers each with one cell. Before the test starts the UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC) but is not aware of Cell 2 (NR PSCell) on radio channel 2. The UE is only monitoring the PCC. During T1 only Cell1 is known to the UE.

Before the start of T2, the UE in the measurement control information that event-triggered reporting with Event A4 is configured for neighbour cell (Cell2). Before the start of T2 the UE is configured with the measurement gaps (gap pattern Id # 0). The Cell2 becomes known to the UE during T2. Therefore, during T2 the UE shall report Event A4. After receiving the Event A4, the test system shall send a RRC message to the UE to release the measurement gaps.

The test system shall send a RRC message to the UE to add PSCell (Cell 2) on radio channel 2. The RRC message (to add PSCell) also includes a request for the UE to start periodic CSI reporting for the PSCell after the PSCell has been successfully added. The RRC message to add PSCell shall be sent to the UE during period T2, after the measurement gaps are released by the test system. The point in time at which the RRC message to add PSCell (Cell2) is received at the UE antenna connector defines the start of period T3.

The test system shall observe the periodic reporting of CSI for PSCell during T4. The point in time at which the UE has sent PRACH to the PSCell (Cell 2) defines the start of period T4.

The test system shall send a RRC message to the UE to release PSCell (Cell 2) on radio channel 2. The RRC message to release PSCell (Cell2) shall be sent to the UE during period T4, after the UE has sent at least one CQI report with non-zero CQI index for PSCell (Cell 2). The point in time at which the RRC message to release PSCell (Cell2) is received at the UE antenna connector defines the start of period T5.

**Table A.4.5.7.1.1-1: Supported test configurations for FR1 PSCell**

Configuration	Description
1	LTE FDD, NR SCS 15 kHz, BW 10 MHz, FDD
2	LTE FDD, NR SCS 15 kHz, BW 10 MHz, TDD
3	LTE FDD, NR SCS 30 kHz, BW 40 MHz, TDD
4	LTE FDD, NR SCS 15 kHz, BW 10 MHz, FDD
5	LTE FDD, NR SCS 15 kHz, BW 10 MHz, TDD
6	LTE FDD, NR SCS 30 kHz, BW 40 MHz, TDD
Note:	
The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.4.5.7.1.1-2: General Test Parameters for PSCell Addition and Release**

Parameter		Unit	Value	Comment
RF Channel Number			1, 2	Two radio channels are used for this test. One for E-UTRA cell and second for NR Cell
Initial Condition	Active PCell		Cell1	PCell on RF channel number 1.
	Neighbour cell		Cell2	Neighbour cell on RF channel number 2.
Final Condition	Active PCell		Cell1	PCell on RF channel number 1.
	Neighbour Cell		Cell2	PSCell on RF channel number 2.
A4	Hysteresis	dB	0	Hysteresis for evaluation of event A4.
	Threshold RSRP	dBm	-93	Actual RSRP threshold for event A4. Needs to take absolute accuracy tolerance in section 9.1.11.1 into account plus margin.
	Time to Trigger	S	0	
DRX			OFF	Continuous monitoring of primary cell
Measurement gap pattern Id			0	Gaps are configured before T2 and released before T3.
PRACH configuration on cell2			FR1 PRACH configuration 2	Captured in [A.3.8.2.1]
CQI/PMI periodicity and offset configuration index on cell2			TBD	CQI reporting for PSCell every uplink subframe
Cell-individual offset for cells on RF channel number 1		dB	0	Individual offset for cells on primary component carrier.
Cell-individual offset for cells on RF channel number 2		dB	0	Individual offset for cells on carrier frequency of cell2.
T1		S	5	During this time the PCell shall be known and cell2 shall be unknown.
T2		S	≤ 5	During this time the UE shall identify neighbour cell (cell2) and report event A4.
T3		S	1	During this time the UE adds the PSCell.
T4		S	1	During this time the UE sends CSI reports for PSCell.
T5		S	1	During this time the UE releases the PSCell.

**Table A.4.5.7.1.1-3: Cell Specific Parameters for PSCell Addition and Release**

Parameter	Unit	Config	Test				
			T1	T2	T3	T4	T5
E-UTRA RF Channel Number		1,2,3,4,5,6			1		
NR RF Channel Number		1,2,3,4,5,6			2		
TDD configuration		1,4			Not Applicable		
		2,5			TDDConf.1.1		
		3,6			TDDConf.1.2		
BW <sub>channel</sub>	MHz	1,4			10: N <sub>RB,c</sub> = 52		
		2,5			10: N <sub>RB,c</sub> = 52		
		3,6			40: N <sub>RB,c</sub> = 106		
Initial BWP Configuration		1,2,3			DLBWP.0.1 ULBWP.0.1		
Dedicated BWP Configuration		1,2,3			DLBWP.1.1 ULBWP.1.1		
PDSCH Reference measurement channel		1,4			SR.1.1 FDD		
		2,5			SR.1.1 TDD		
		3,6			SR.2.1 TDD		

RMSI CORESET Reference Channel		1,4 2,5 3,6	CR.1.1 FDD CR.1.1 TDD CR.2.1 TDD	
Dedicated CORESET Reference Channel		1,4 2,5 3,6	CCR.1.1 FDD CCR.1.1 TDD CCR.2.1 TDD	
OCNG Patterns		1,2,3,4,5,6	OP.1	
SSB configuration		1,2,4,5 3,6	SSB.1 FR1 SSB.2 FR1	
SMTC configuration		1,2,4,5 3,6	SMTC.1 SMTC.1	
EPRE ratio of PSS to SSS	dB	1,2,3,4,5,6	0	
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$N_{oc}$ <sup>Note2</sup>	dBm/15 kHz	1,2,3,4,5,6	N/A	-85
$N_{oc}$ <sup>Note2</sup>	dBm/SCS	1,2,4,5	N/A	-85
		3,6	N/A	-82
$\hat{E}_s/I_{ot}$		1,2,3,4,5,6	-infinity	0
$\hat{E}_s/N_{oc}$		1,2,3,4,5,6	-infinity	0
SS-RSRP <sup>Note3</sup>	dBm/SCS	1,2,4,5	-infinity	-85
		3,6	-infinity	-82
Io <sup>Note3</sup>	dBm/9.36MHz dBm/38.1MHz	1,2,4,5	N/A	-57
		3,6	N/A	-51
Propagation condition		1,2,3,4,5,6	AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p>				

- Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.
- Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

#### A.4.5.7.1.2 Test Requirements

The UE shall transmit the PRACH to PSCell at latest 232 ms<sup>Note1</sup> into T3.

The UE shall send at least one CSI report for PSCell with non-zero CQI index during T4.

The UE shall periodically send CSI reports for PSCell after the UE has sent first CQI report with non-zero CQI index during T4

The UE shall stop sending CSI reports for PSCell in at latest [20]ms into T5.

All the above test requirements shall be fulfilled in order for the observed PSCell addition delay and PSCell release delay to be counted as correct. The rate of correct observed PSCell addition delay and PSCell release delay during repeated tests shall be at least 90%.

Note1: The PSCell addition delay can be expressed as follows as specified in clause 7.31.2 [15]:

$$T_{\text{config\_PSCell}} = T_{\text{RRC\_delay}} + T_{\text{processing}} + T_{\text{search}} + T_{\Delta} + T_{\text{PSCell\_DU}} + 2\text{ms}$$

$$T_{\text{RRC\_delay}} = 20\text{ms}$$

$$T_{\text{processing}} = 20\text{ms}$$

$$T_{\text{search}} = 0$$

$$T_{\Delta} = 20\text{ms}$$

$$T_{\text{PSCell\_DU}} = 16 * 10 + 10 = 170\text{ms}$$

### A.4.6 Measurement procedure

#### A.4.6.1 Intra-frequency Measurements

##### A.4.6.1.1 EN-DC event triggered reporting tests without gap under non-DRX

###### A.4.6.1.1.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2.

###### A.4.6.1.1.2 Test parameters

Three cells are deployed in the test, which are E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters for PSCell are given in Table A.4.6.1.1.2-1, A.4.6.1.1.2-2, A.4.6.1.1.2-3 below and the test parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.4.6.1.1.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.4.6.1.1.2-2: General test parameters for EN-DC intra-frequency event triggered reporting without gap for PSCell in FR1**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1, 2, 3	E-UTRAN Cell 1 and NR Cell 2	
Neighbour cell		1, 2, 3	NR Cell 3	Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 2: Cell 2 and Cell 3	
SSB configuration		1	SSB.1 FR1	
		2	SSB.1 FR1	
		3	SSB.2 FR1	
SMTc configuration		1	SMTc.2	
		2	SMTc.1	
		3	SMTc.1	
A3-Offset	dB	1, 2, 3	-4.5	
CP length		1, 2, 3	Normal	
Hysteresis	dB	1, 2, 3	0	
Time To Trigger	s	1, 2, 3	0	
Filter coefficient		1, 2, 3	0	L3 filtering is not used
DRX		1, 2, 3	N/A	OFF
Time offset between PCell and PSCell		1, 2, 3	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		2	3 μs	Synchronous cells
		3	3 μs	Synchronous cells
T1	s	1, 2, 3	5	
T2	s	1, 2, 3	5	

**Table A.4.6.1.1.2-3: NR Cell specific test parameters for EN-DC intra-frequency event triggered reporting without gap for PSCell in FR1**

Parameter	Unit	Test configuration	Cell 2		Cell 3			
			T1	T2	T1	T2		
TDD configuration		1	N/A		N/A			
		2	TDDConf.1.1		TDDConf.1.1			
		3	TDDConf.2.1		TDDConf.2.1			
PDSCH RMC configuration		1	SR.1.1 FDD		N/A			
		2	SR.1.1 TDD					
		3	SR.2.1 TDD					
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD			
		2	CR.1.1 TDD		CR.1.1 TDD			
		3	CR.2.1 TDD		CR.2.1 TDD			

Dedicated CORESET RMC configuration		1 2 3	CCR.1.1 FDD CCR.1.1 TDD CCR.2.1 TDD	CCR.1.1 FDD CCR.1.1 TDD CCR.2.1 TDD
OCNG Patterns		1, 2, 3	OP.1	OP.1
TRS configuration		1 2 3	TRS.1.1 FDD TRS.1.1 TDD TRS.1.2 TDD	N/A N/A N/A
Initial BWP configuration		1, 2, 3	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1, 2, 3	DLBWP.1.1	DLBWP.1.1
Active UL BWP configuration		1, 2, 3	ULBWP.1.1	ULBWP.1.1
RLM-RS		1, 2, 3	SSB	SSB
$N_{oc}$ Note 2	dBm/SCS	1 2 3	-98 -98 -95	
$N_{oc}$ Note 2	dBm/15 KHz	1 2 3	-98	
$\hat{E}_s/I_{ot}$	dB	1 2 3	4	-1.46 -Infinity -1.46
$\hat{E}_s/N_{oc}$	dB	1 2 3	4	4 -Infinity 4
SS-RSRP Note 3	dBm/SCS KHz	1 2 3	-94 -94 -91	-94 -Infinity -94
Io	dBm/9.36 MHz dBm/9.36 MHz dBm/38.16 MHz	1 2 3	-64.60 -64.60 -58.50	-62.25 -62.25 -56.16
Propagation Condition		1, 2, 3		AWGN
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

#### A.4.6.1.1.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 800 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.4.6.1.2 EN-DC event triggered reporting tests without gap under DRX

#### A.4.6.1.2.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2.

#### A.4.6.1.2.2 Test parameters

Three cells are deployed in the test, which are E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters for PSCell are given in Table A.4.6.1.2.1-1, A.4.6.1.2.1-2, A.4.6.1.2.1-3 and A.4.6.1.2.1-4 below and the test parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.4.6.1.2.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.4.6.1.2.2-2: General test parameters for EN-DC intra-frequency event triggered reporting without gap for PSCell in FR1 with DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
Active cell		1, 2, 3	E-UTRAN Cell 1 and NR Cell 2		
Neighbour cell		1, 2, 3	NR Cell 3		Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 2: Cell 2 and Cell 3		
SSB configuration		1	SSB.1 FR1		
		2	SSB.1 FR1		
		3	SSB.2 FR1		
SMTC configuration		1	SMTC.2		
		2	SMTC.1		
		3	SMTC.1		
A3-Offset	dB	1, 2, 3	-4.5		
CP length		1, 2, 3	Normal		
Hysteresis	dB	1, 2, 3	0		
Time To Trigger	s	1, 2, 3	0		
Filter coefficient		1, 2, 3	0		L3 filtering is not used
DRX		1, 2, 3	DRX.1	DRX.2	
Time offset between PCell and PSCell		1, 2, 3	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		2	3 μs		Synchronous cells
		3	3 μs		Synchronous cells

T1	s	1, 2, 3	5		
T2	s	1, 2, 3	5	10	

**Table A.4.6.1.2.2-3: NR Cell specific test parameters for EN-DC intra-frequency event triggered reporting without gap for PSCell in FR1 with DRX**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
TDD configuration		1		N/A		N/A
		2		TDDConf.1.1		TDDConf.1.1
		3		TDDConf.2.1		TDDConf.2.1
PDSCH RMC configuration		1		SR.1.1 FDD		N/A
		2		SR.1.1 TDD		
		3		SR.2.1 TDD		
RMSI CORESET RMC configuration		1		CR.1.1 FDD		CR.1.1 FDD
		2		CR.1.1 TDD		CR.1.1 TDD
		3		CR.2.1 TDD		CR.2.1 TDD
Dedicated CORESET RMC configuration		1		CCR.1.1 FDD		CCR.1.1 FDD
		2		CCR.1.1 TDD		CCR.1.1 TDD
		3		CCR.2.1 TDD		CCR.2.1 TDD
OCNG Patterns		1, 2, 3		OP.1		OP.1
TRS configuration		1		TRS.1.1 FDD		N/A
		2		TRS.1.1 TDD		N/A
		3		TRS.1.2 TDD		N/A
Initial BWP configuration		1, 2, 3		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1, 2, 3		DLBWP.1.1		DLBWP.1.1
Active UL BWP configuration		1, 2, 3		ULBWP.1.1		ULBWP.1.1
RLM-RS		1, 2, 3		SSB		SSB
$N_{oc}$ Note 2	dBm/SCS	1			-98	
		2			-98	
		3			-95	
$N_{oc}$ Note 2	dBm/15 KHz	1			-98	
		2			-98	
		3			-98	
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46
		2				
		3				
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4
		2				
		3				
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity	-94
		2	-94	-94	-Infinity	-94
		3	-91	-91	-Infinity	-91
Io	dBm/9.36 MHz	1	-64.60	-62.25	-64.60	-62.25
	dBm/9.36 MHz	2	-64.60	-62.25	-64.60	-62.25
	dBm/38.16 MHz	3	-58.50	-56.16	-58.50	-56.16

Propagation Condition		1, 2, 3	AWGN
Note 1:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3:	SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

#### A.4.6.1.2.2 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 6400 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.1.3 EN-DC event triggered reporting tests with per-UE gaps under non-DRX

##### A.4.6.1.3.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.6.2 and 9.2.6.3.

##### A.4.6.1.3.2 Test parameters

Three cells are deployed in the test, which are E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters for PSCell are given in Table A.4.6.1.3.1-1 and A.4.6.1.3.1-2 below and the test parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

There are two BWPs configured in Cell 2, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 2. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

**Table A.4.6.1.3.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.4.6.1.3.2-2: General test parameters for EN-DC intra-frequency event triggered reporting with per-UE gaps for PSCell in FR1**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1, 2, 3	E-UTRAN Cell 1 and NR Cell 2	
Neighbour cell		1, 2, 3	NR Cell 3	Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 2: Cell 2 and Cell 3	
Measurement gap type		1, 2, 3	Per-UE gaps	
Measurement gap repetition periodicity	ms	1, 2, 3	40	
Measurement gap length	ms	1, 2, 3	6	
Measurement gap offset	ms	1, 2, 3	39	
SSB configuration		1	SSB.1 FR1	
		2	SSB.1 FR1	
		3	SSB.2 FR1	
SMTc configuration		1	SMTc.2	
		2	SMTc.1	
		3	SMTc.1	
CSI-RS parameters		1	CSI-RS.1.2 FDD	
		2	CSI-RS.1.2 TDD	
		3	CSI-RS.2.2 TDD	
A3-Offset	dB	1, 2, 3	-4.5	
CP length		1, 2, 3	Normal	
Hysteresis	dB	1, 2, 3	0	
Time To Trigger	s	1, 2, 3	0	
Filter coefficient		1, 2, 3	0	L3 filtering is not used
DRX		1, 2, 3	N/A	OFF
Time offset between PCell and PSCell		1, 2, 3	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		2	3 μs	Synchronous cells
		3	3 μs	Synchronous cells
T1	s	1, 2, 3	5	
T2	s	1, 2, 3	5	

**Table A.4.6.1.3.2-3: NR Cell specific test parameters for EN-DC intra-frequency event triggered reporting with per-UE gaps for PSCell in FR1**

Parameter	Unit	Test configuration	Cell 2		Cell 3			
			T1	T2	T1	T2		
TDD configuration		1	N/A		N/A			
		2	TDDConf.1.1		TDDConf.1.1			
		3	TDDConf.2.1		TDDConf.2.1			
PDSCH RMC configuration		1	SR.1.1 FDD		N/A			
		2	SR.1.1 TDD					
		3	SR.2.1 TDD					
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD			
		2	CR.1.1 TDD		CR.1.1 TDD			
		3	CR.2.1 TDD		CR.2.1 TDD			

Dedicated CORESET RMC configuration		1 2 3	CCR.1.1 FDD CCR.1.1 TDD CCR.2.1 TDD	CCR.1.1 FDD CCR.1.1 TDD CCR.2.1 TDD
OCNG Patterns		1, 2, 3	OP.1	OP.1
TRS configuration		1 2 3	TRS.1.1 FDD TRS.1.1 TDD TRS.1.2 TDD	N/A N/A N/A
Initial BWP configuration		1, 2, 3	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1, 2, 3	DLBWP.1.2	DLBWP.1.1
Active UL BWP configuration		1, 2, 3	ULBWP.1.2	ULBWP.1.1
RLM-RS		1, 2, 3	CSI-RS	SSB
$N_{oc}$ Note 2	dBm/SCS	1 2 3	-98 -98 -95	
$N_{oc}$ Note 2	dBm/15 KHz	1 2 3	-98	
$\hat{E}_s / I_{ot}$	dB	1 2 3	4	-1.46 -Infinity -1.46
$\hat{E}_s / N_{oc}$	dB	1 2 3	4	4 -Infinity 4
SS-RSRP Note 3	dBm/SCS KHz	1 2 3	-94 -94 -91	-94 -Infinity -94
Io	dBm/9.36 MHz dBm/9.36 MHz dBm/38.16 MHz	1 2 3	-64.60 -64.60 -58.50	-62.25 -62.25 -56.16
Propagation Condition		1, 2, 3		AWGN
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

#### A.4.6.1.3.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 800 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.1.4 EN-DC event triggered reporting tests with per-UE gaps under DRX

##### A.4.6.1.4.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clause 9.2.6.2 and 9.2.6.3.

##### A.4.6.1.4.2 Test parameters

Three cells are deployed in the test, which are E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters for PSCell are given in Table A.4.6.1.4.2-1, A.4.6.1.4.2-2, A.4.6.1.4.2-3 A.4.6.1.4.2-4 and A.4.6.1.4.2-5 below and the test parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

There are two BWPs configured in Cell 2, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 2. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

**Table A.4.6.1.4.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.4.6.1.4.2-2: General test parameters for EN-DC intra-frequency event triggered reporting with per-UE gaps for PSCell in FR1 with DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
Active cell		1, 2, 3	E-UTRAN Cell 1 and NR Cell 2		
Neighbour cell		1, 2, 3	NR Cell 3		Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 2: Cell 2 and Cell 3		
Measurement gap type		1, 2, 3	Per-UE gaps		
Measurement gap repetition periodicity	ms	1, 2, 3	40		
Measurement gap length	ms	1, 2, 3	6		
Measurement gap offset	ms	1, 2, 3	39		
SSB configuration		1	SSB.1 FR1		
		2	SSB.1 FR1		
		3	SSB.2 FR1		
SMTc configuration		1	SMTc.2		
		2	SMTc.1		
		3	SMTc.1		
CSI-RS parameters		1	CSI-RS.1.2 FDD		
		2	CSI-RS.1.2 TDD		
		3	CSI-RS.2.2 TDD		

A3-Offset	dB	1, 2, 3	-4.5		
CP length		1, 2, 3	Normal		
Hysteresis	dB	1, 2, 3	0		
Time To Trigger	s	1, 2, 3	0		
Filter coefficient		1, 2, 3	0	L3 filtering is not used	
DRX		1, 2, 3	DRX.1	DRX.2	
Time offset between PCell and PSCell		1, 2, 3	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		2	3 μs		Synchronous cells
		3	3 μs		Synchronous cells
T1	s	1, 2, 3	5		
T2	s	1, 2, 3	5	10	

**Table A.4.6.1.4.2-3: NR Cell specific test parameters for EN-DC intra-frequency event triggered reporting with per-UE gaps for PSCell in FR1 with DRX**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
TDD configuration		1		N/A		N/A
		2		TDDConf.1.1		TDDConf.1.1
		3		TDDConf.2.1		TDDConf.2.1
PDSCH RMC configuration		1	SR.1.1 FDD		N/A	
		2	SR.1.1 TDD			
		3	SR.2.1 TDD			
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD	
		2	CR.1.1 TDD			
		3	CR.2.1 TDD			
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD		CCR.1.1 FDD	
		2	CCR.1.1 TDD			
		3	CCR.2.1 TDD			
OCNG Patterns		1, 2, 3		OP.1	OP.1	
TRS configuration		1	TRS.1.1 FDD		N/A	
		2	TRS.1.1 TDD			
		3	TRS.1.2 TDD			
Initial BWP configuration		1, 2, 3		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1	
Active DL BWP configuration		1, 2, 3		DLBWP.1.2	DLBWP.1.1	
Active UL BWP configuration		1, 2, 3		ULBWP.1.2	ULBWP.1.1	
RLM-RS		1, 2, 3		CSI-RS	SSB	
$N_{oc}$ Note 2	dBm/SCS	1			-98	
		2			-98	
		3			-95	
$N_{oc}$ Note 2	dBm/15 KHz	1			-98	
		2				
		3				
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46
		2				
		3				
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4
		2				

		3				
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity	-94
		2	-94	-94	-Infinity	-94
		3	-91	-91	-Infinity	-91
Io	dBm/9.36 MHz	1	-64.60	-62.25	-64.60	-62.25
	dBm/9.36 MHz	2	-64.60	-62.25	-64.60	-62.25
	dBm/38.16 MHz	3	-58.50	-56.16	-58.50	-56.16
Propagation Condition		1, 2, 3	AWGN			
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

#### A.4.6.1.4.3 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 6400 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.1.5 EN-DC event triggered reporting tests without gap under non-DRX with SSB index reading

##### A.4.6.1.5.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the FDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2.

##### A.4.6.1.5.2 Test parameters

Three cells are deployed in the test, which are E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters for FDD PSCell are given in Table A.4.6.1.5.1-1 and A.4.6.1.5.1-2 below and the test parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.4.6.1.5.2-1: Supported test configurations**

<b>Configuration</b>	<b>Description</b>
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.4.6.1.5.2-2: General test parameters for EN-DC intra-frequency event triggered reporting without gap for FDD PSCell in FR1 with SSB index reading**

<b>Parameter</b>	<b>Unit</b>	<b>Test configuration</b>	<b>Value</b>	<b>Comment</b>
Active cell		1	E-UTRAN Cell 1 and NR Cell 2	
Neighbour cell		1	NR Cell 3	Cell to be identified.
RF Channel Number		1	1: Cell 1 2: Cell 2 and Cell 3	
SSB configuration		1	SSB.1 FR1	
SMTC configuration		1	SMTC.2	
A3-Offset	dB	1	-4.5	
CP length		1	Normal	
Hysteresis	dB	1	0	
Time To Trigger	s	1	0	
Filter coefficient		1	0	L3 filtering is not used
DRX		1	N/A	OFF
Time offset between PCell and PSCell		1	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
T1	s	1	5	
T2	s	1	5	

**Table A.4.6.1.5.1-3: NR Cell specific test parameters for EN-DC intra-frequency event triggered reporting without gap for FDD PSCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
TDD configuration		1	N/A		N/A	
PDSCH RMC configuration		1	SR.1.1 FDD		N/A	
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD	
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD		CCR.1.1 FDD	
OCNG Patterns		1	OP.1		OP.1	
TRS configuration		1	TRS.1.1 FDD		N/A	
Initial BWP configuration		1	DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1	
Active DL BWP configuration		1	DLBWP.1.1		DLBWP.1.1	
Active UL BWP configuration		1	ULBWP.1.1		ULBWP.1.1	
RLM-RS		1	SSB		SSB	
$N_{oc}$ Note 2	dBM/SCS	1	-98			
$N_{oc}$ Note 2	dBM/15 KHz	1	-98			
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4
SS-RSRP Note 3	dBM/SCS KHz	1	-94	-94	-Infinity	-94
Io	dBM/9.36 MHz	1	-64.60	-62.25	-64.60	-62.25
Propagation Condition		1	AWGN			
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

#### A.4.6.1.5.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is required to read the neighbour cell SSB index and report the acquired SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.4.6.1.6 EN-DC event triggered reporting tests with SSB index reading with per-UE gaps

#### A.4.6.1.6.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clause 9.2.6.2 and 9.2.6.3.

#### A.4.6.1.6.2 Test parameters

Three cells are deployed in the test, which are E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters for PSCell are given in Table A.4.6.1.6.2-1 A.4.6.1.6.2-2 and A.4.6.1.6.2-3 below and the test parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

There are two BWPs configured in Cell 2, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 2. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

**Table A.4.6.1.6.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.4.6.1.6.2-2: General test parameters for EN-DC intra-frequency event triggered reporting with gap for PSCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1	E-UTRAN Cell 1 and NR Cell 2	
Neighbour cell		1	NR Cell 3	Cell to be identified.
RF Channel Number		1	1: Cell 1 2: Cell 2 and Cell 3	
Measurement gap type		1	Per-UE gaps	
Measurement gap repetition periodicity	ms	1	40	
Measurement gap length	ms	1	6	
Measurement gap offset	ms	1	39	
SSB configuration		1	SSB.1 FR1	
SMTC configuration		1	SMTC.2	
CSI-RS parameters		1	CSI-RS.1.2 FDD	
A3-Offset	dB	1	-4.5	
CP length		1	Normal	
Hysteresis	dB	1	0	
Time To Trigger	s	1	0	
Filter coefficient		1	0	L3 filtering is not used
DRX		1	N/A	OFF
Time offset between PCell and PSCell		1	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
T1	s	1	5	
T2	s	1	5	

**Table A.4.6.1.6.2-3: NR Cell specific test parameters for EN-DC intra-frequency event triggered reporting with gap for PSCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
TDD configuration		1	N/A		N/A	
PDSCH RMC configuration		1	SR.1.1 FDD		N/A	
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD	
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD		CCR.1.1 FDD	
OCNG Patterns		1	OP.1		OP.1	
TRS configuration		1	TRS.1.1 FDD		N/A	
Initial BWP configuration		1	DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1	
Active DL BWP configuration		1	DLBWP.1.2		DLBWP.1.1	
Active UL BWP configuration		1	ULBWP.1.1		ULBWP.1.1	
RLM-RS		1	CSI-RS		SSB	
$N_{oc}$ Note 2	dBM/SCS	1	-98			
$N_{oc}$ Note 2	dBM/15 KHz	1	-98			
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4
SS-RSRP Note 3	dBM/SCS KHz	1	-94	-94	-Infinity	-94
Io	dBM/9.36 MHz	1	-64.60	-62.25	-64.60	-62.25
Propagation Condition		1	AWGN			
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

#### A.4.6.1.6.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is required to read the neighbour cell SSB index and report the acquired SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

## A.4.6.2 Inter-frequency Measurements

### A.4.6.2.1 EN-DC event triggered reporting tests for FR1 cell without SSB time index detection when DRX is not used

#### A.4.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR1 on NR RF channel 2. The test parameters and configurations are given in Tables A.4.6.2.1.1-1, A.4.6.2.1.1-2, and A.4.6.2.1.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.4.6.2.1.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.4.6.2.1.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.4.6.2.1.1-1.

**Table A.4.6.2.1.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR1**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell3 has the same SCS, BW and duplex mode as NR serving cell2

**Table A.4.6.2.1.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)		LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3		NR cell 3 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3,4,5,6	0	4	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3,4,5,6	39	19	
SMTC-SSB parameters		Config 1,4	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2,5	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3,6	SSB.2 FR1		As specified in clause A.3.10.1
A3-Offset	dB	Config 1,2,3,4,5,6	-6		
Hysteresis	dB	Config 1,2,3,4,5,6	0		
CP length		Config 1,2,3,4,5,6	Normal		
TimeToTrigger	s	Config 1,2,3,4,5,6	0		
Filter coefficient		Config 1,2,3,4,5,6	0		L3 filtering is not used
DRX		Config 1,2,3,4,5,6	OFF		DRX is not used
Time offset between PCell and PSCell		Config 1,2,3,4,5,6	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,4	3ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		Config 2,3,5,6	3μs		Synchronous cells.
T1	s	Config 1,2,3,4,5,6	5		
T2	s	Config 1,2,3,4,5,6	1	1	

**Table A.4.6.2.1.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3,4,5,6	1		2	

Duplex mode		Config 1,4	FDD						
		Config 2,3,5,6	TDD						
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52						
		Config 2,5	10: N <sub>RB,c</sub> = 52						
		Config 3,6	40: N <sub>RB,c</sub> = 106						
BWP BW	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52						
		Config 2,5	10: N <sub>RB,c</sub> = 52						
		Config 3,6	40: N <sub>RB,c</sub> = 106						
TDD configuration		Config 2,5	TDDConf.1.1		TDDConf.1.1				
		Config 3,6	TDDConf.2.1		TDDConf.2.1				
Initial DL BWP		Config 1,2,3,4,5,6	DLBWP.0.1		NA				
Initial UL BWP		Config 1,2,3,4,5,6	ULBWP.0.1		NA				
Dedicated DL BWP		Config 1,2,3,4,5,6	DLBWP.1.1		NA				
Dedicated UL BWP		Config 1,2,3,4,5,6	ULBWP.1.1		NA				
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6	OP.1		OP.1				
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD		-				
		Config 2,5	SR.1.1 TDD						
		Config 3,6	SR2.1 TDD						
CORESET Reference Channel		Config 1,4	CR.1.1 FDD		-				
		Config 2,5	CR.1.1 TDD						
		Config 3,6	CR2.1 TDD						
SMTC configuration defined in A.3.2.11.1 and A.3.2.11.2		Config 1,4	SMTC.2						
		Config 2,3,5,6	SMTC.1						
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5	15						
		Config 3,6	30						
EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6	0	0					
EPRE ratio of PBCH DMRS to SSS									
EPRE ratio of PBCH to PBCH DMRS									
EPRE ratio of PDCCH DMRS to SSS									
EPRE ratio of PDCCH to PDCCH DMRS									
EPRE ratio of PDSCH DMRS to SSS									
EPRE ratio of PDSCH to PDSCH									
EPRE ratio of OCNG DMRS to SSS (Note 1)									
EPRE ratio of OCNG to OCNG DMRS (Note 1)									
$N_{oc}^{Note2}$	dBm/15 kHz		-98						
$N_{oc}^{Note2}$	dBm/S CS	Config 1,2,4,5	-98						
		Config 3,6	-95						
SS-RSRP <sup>Note 3</sup>	dBm/S CS	Config 1,2,4,5	-94	-94	-Infinity	-91			
		Config 3,6	-91	-91	-Infinity	-88			

$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7				
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7				
Io <sup>Note3</sup>	dBm/9.36MHz	Config 1,2,4,5	-67.11	-67.11	-Infinity	-65.38				
	dBm/38.16MHz	Config 3,6	-62.27	-62.27	-Infinity	-61.06				
Propagation Condition		Config 1,2,3,4,5,6	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.									

#### A.4.6.2.1.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [920] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [760] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and 2 UE is not required to report SSB time index.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.2.2 EN-DC event triggered reporting tests for FR1 cell without SSB time index detection when DRX is used

##### A.4.6.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR1 on NR RF channel 2. The test parameters and configurations are given in Tables A.4.6.2.2.1-1, A.4.6.2.2.1-2, and A.4.6.2.2.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.4.6.2.2.1-2 is provided for a UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #4 as defined in Table A.4.6.2.2.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.4.6.2.2.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.4.6.2.2.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR1**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell3 has the same SCS, BW and duplex mode as NR serving cell2

**Table A.4.6.2.2.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1				One E-UTRAN TDD carrier frequencies is used.			
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)				LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3				NR cell 3 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3,4,5,6	0	4			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3,4,5,6	39	19						
SMTC-SSB parameters		Config 1,4	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2,5	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3,6	SSB.2 FR1				As specified in clause A.3.10.1			
A3-Offset	dB	Config 1,2,3,4,5,6	-6							
Hysteresis	dB	Config 1,2,3,4,5,6	0							
CP length		Config 1,2,3,4,5,6	Normal							
TimeToTrigger	s	Config 1,2,3,4,5,6	0							
Filter coefficient		Config 1,2,3,4,5,6	0				L3 filtering is not used			
DRX	ms	Config 1,2,3,4,5,6	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			

Time offset between PCell and PSCell		Config 1,2,3,4,5,6	3 μs				Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,4	3ms				Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		Config 2,3,5,6	3μs				Synchronous cells.
T1	s	Config 1,2,3,4,5,6	5				
T2	s	Config 1,2,3,4,5,6	1.1	11	1.1	11	

**Table A.4.6.2.2.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3			
			T1	T2	T1	T2		
NR RF Channel Number		Config 1,2,3,4,5,6		1		2		
Duplex mode		Config 1,4			FDD			
		Config 2,3,5,6			TDD			
BW <sub>channel</sub>	MHz	Config 1,4			10: N <sub>RB,c</sub> = 52			
		Config 2,5			10: N <sub>RB,c</sub> = 52			
		Config 3,6			40: N <sub>RB,c</sub> = 106			
BWP BW	MHz	Config 1,4			10: N <sub>RB,c</sub> = 52			
		Config 2,5			10: N <sub>RB,c</sub> = 52			
		Config 3,6			40: N <sub>RB,c</sub> = 106			
TDD configuration		Config 2,5	TDDConf.1.1		TDDConf.1.1			
		Config 3,6	TDDConf.2.1		TDDConf.2.1			
Initial DL BWP		Config 1,2,3,4,5,6	DLBWP.0.1		NA			
Initial UL BWP		Config 1,2,3,4,5,6	ULBWP.0.1		NA			
Dedicated DL BWP		Config 1,2,3,4,5,6	DLBWP.1.1		NA			
Dedicated UL BWP		Config 1,2,3,4,5,6	ULBWP.1.1		NA			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6	OP.1		OP.1			
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD		-			
		Config 2,5	SR.1.1 TDD					
		Config 3,6	SR2.1 TDD					
CORESET Reference Channel		Config 1,4	CR.1.1 FDD		-			
		Config 2,5	CR.1.1 TDD					
		Config 3,6	CR2.1 TDD					
SMTc configuration defined in A.3.11.1 and A.3.11.2		Config 1,4	SMTc.2					
		Config 2,3,5,6	SMTc.1					
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5	15					
		Config 3,6	30					

EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6	0	0		
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
$N_{oc}^{Note2}$	dBm/15 kHz					
$N_{oc}^{Note2}$	dBm/S CS	Config 1,2,4,5	-98			
		Config 3,6	-95			
SS-RSRP <sup>Note 3</sup>	dBm/S CS	Config 1,2,4,5	-94	-94	-Infinity	-91
		Config 3,6	-91	-91	-Infinity	-88
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7
Io <sup>Note3</sup>	dBm/9.36MHz	Config 1,2,4,5	-67.11	-67.11	-Infinity	-65.38
	dBm/38.16MHz	Config 3,6	-62.27	-62.27	-Infinity	-61.06
Propagation Condition		Config 1,2,3,4,5,6	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p>						

#### A.4.6.2.2.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [1080] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [10240] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [1080] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [10240] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1, 2 , 3 and 4 UE is not required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.2.3      Void

#### A.4.6.2.4      Void

#### A.4.6.2.5      EN-DC event triggered reporting tests for FR1 cell with SSB time index detection when DRX is not used

##### A.4.6.2.5.1      Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR1 on NR RF channel 2. The test parameters and configurations are given in Tables A.4.6.2.5.1-1, A.4.6.2.5.1-2, and A.4.6.2.5.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.4.6.2.5.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.4.6.2.5.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.4.6.2.5.1-1.

**Table A.4.6.2.5.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR1**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell3 has the same SCS, BW and duplex mode as NR serving cell2

**Table A.4.6.2.5.1-2: General test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)		LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3		NR cell 3 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3,4,5,6	0	4	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3,4,5,6	39	19	
SMTC-SSB parameters		Config 1,4	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2,5	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3,6	SSB.2 FR1		As specified in clause A.3.10.1
A3-Offset	dB	Config 1,2,3,4,5,6	-6		
Hysteresis	dB	Config 1,2,3,4,5,6	0		
CP length		Config 1,2,3,4,5,6	Normal		
TimeToTrigger	s	Config 1,2,3,4,5,6	0		
Filter coefficient		Config 1,2,3,4,5,6	0		L3 filtering is not used
DRX		Config 1,2,3,4,5,6	OFF		DRX is not used
Time offset between PCell and PScell		Config 1,2,3,4,5,6	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,4	3ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		Config 2,3,5,6	3μs		Synchronous cells.
T1	s	Config 1,2,3,4,5,6	5		
T2	s	Config 1,2,3,4,5,6	1.1	1	

**Table A.4.6.2.5.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3,4,5,6	1		2	

Duplex mode		Config 1,4	FDD		
		Config 2,3,5,6	TDD		
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		
		Config 2,5	10: N <sub>RB,c</sub> = 52		
		Config 3,6	40: N <sub>RB,c</sub> = 106		
BWP BW	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		
		Config 2,5	10: N <sub>RB,c</sub> = 52		
		Config 3,6	40: N <sub>RB,c</sub> = 106		
TDD configuration		Config 2,5	TDDConf.1.1	TDDConf.1.1	
		Config 3,6	TDDConf.2.1	TDDConf.2.1	
Initial DL BWP		Config 1,2,3,4,5,6	DLBWP.0.1	NA	
Initial UL BWP		Config 1,2,3,4,5,6	ULBWP.0.1	NA	
Dedicated DL BWP		Config 1,2,3,4,5,6	DLBWP.1.1	NA	
Dedicated UL BWP		Config 1,2,3,4,5,6	ULBWP.1.1	NA	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6	OP.1	OP.1	
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD	-	
		Config 2,5	SR.1.1 TDD		
		Config 3,6	SR2.1 TDD		
CORESET Reference Channel		Config 1,4	CR.1.1 FDD	-	
		Config 2,5	CR.1.1 TDD		
		Config 3,6	CR2.1 TDD		
SMTC configuration defined in A.3.2.11.1 and A.3.2.11.2		Config 1,4	SMTC.2		
		Config 2,3,5,6	SMTC.1		
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5	15		
		Config 3,6	30		
EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6	0	0	
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz		-98		
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS	Config 1,2,4,5	-98		
		Config 3,6	-95		

SS-RSRP <sup>Note 3</sup>	dBm/S CS	Config 1,2,4,5	-94	-94	-Infinity	-91				
		Config 3,6	-91	-91	-Infinity	-88				
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7				
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7				
Io <sup>Note 3</sup>	dBm/9. 36MHz	Config 1,2,4,5	-67.11	-67.11	-Infinity	-65.38				
	dBm/38 .16MHz	Config 3,6	-62.27	-62.27	-Infinity	-61.06				
Propagation Condition		Config 1,2,3,4,5,6	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.									

#### A.4.6.2.5.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [1040] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [880] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and 2 UE is required to report SSB time index.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.2.6 EN-DC event triggered reporting tests for FR1 cell with SSB time index detection when DRX is used

##### A.4.6.2.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR1 on NR RF channel 2. The test parameters and configurations are given in Tables A.4.6.2.6.1-1, A.4.6.2.6.1-2, and A.4.6.2.6.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.4.6.2.6.1-2 is provided for a UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #4 as defined in Table A.4.6.2.6.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.4.6.2.6.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.4.6.2.6.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR1**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell3 has the same SCS, BW and duplex mode as NR serving cell2

**Table A.4.6.2.6.1-2: General test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1				One E-UTRAN TDD carrier frequencies is used.			
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)				LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3				NR cell 3 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3,4,5,6	0	4			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3,4,5,6	39	19						
SMTC-SSB parameters		Config 1,4	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2,5	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3,6	SSB.2 FR1				As specified in clause A.3.10.1			
A3-Offset	dB	Config 1,2,3,4,5,6	-6							
Hysteresis	dB	Config 1,2,3,4,5,6	0							
CP length		Config 1,2,3,4,5,6	Normal							
TimeToTrigger	s	Config 1,2,3,4,5,6	0							

Filter coefficient		Config 1,2,3,4,5,6	0				L3 filtering is not used
DRX	ms	Config 1,2,3,4,5,6	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3
Time offset between PCell and PSCell		Config 1,2,3,4,5,6	3 μs				Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,4	3ms				Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		Config 2,3,5,6	3μs				Synchronous cells.
T1	s	Config 1,2,3,4,5,6	5				
T2	s	Config 1,2,3,4,5,6	1.3	13.5	1.3	13.5	

**Table A.4.6.2.6.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3			
			T1	T2	T1	T2		
NR RF Channel Number		Config 1,2,3,4,5,6		1		2		
Duplex mode		Config 1,4			FDD			
		Config 2,3,5,6			TDD			
BW <sub>channel</sub>	MHz	Config 1,4			10: N <sub>RB,c</sub> = 52			
		Config 2,5			10: N <sub>RB,c</sub> = 52			
		Config 3,6			40: N <sub>RB,c</sub> = 106			
BWP BW	MHz	Config 1,4			10: N <sub>RB,c</sub> = 52			
		Config 2,5			10: N <sub>RB,c</sub> = 52			
		Config 3,6			40: N <sub>RB,c</sub> = 106			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6	OP.1		OP.1			
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD		-			
		Config 2,5	SR.1.1 TDD					
		Config 3,6	SR2.1 TDD					
CORESET Reference Channel		Config 1,4	CR.1.1 FDD		-			
		Config 2,5	CR.1.1 TDD					
		Config 3,6	CR2.1 TDD					
TDD configuration		Config 2,5	TDDConf.1.1					
		Config 3,6	TDDConf.2.1					
Initial DL BWP		Config 1,2,3,4,5,6	DLBWP.0.1					
Initial UL BWP		Config 1,2,3,4,5,6	ULBWP.0.1					
Dedicated DL BWP		Config 1,2,3,4,5,6	DLBWP.1.1					
Dedicated UL BWP		Config 1,2,3,4,5,6	ULBWP.1.1					
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,4	SMTC.2					

		Config 2,3,5,6	SMTC.1						
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5	15						
		Config 3,6	30						
EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6	0	0					
EPRE ratio of PBCH DMRS to SSS									
EPRE ratio of PBCH to PBCH DMRS									
EPRE ratio of PDCCH DMRS to SSS									
EPRE ratio of PDCCH to PDCCH DMRS									
EPRE ratio of PDSCH DMRS to SSS									
EPRE ratio of PDSCH to PDSCH									
EPRE ratio of OCNG DMRS to SSS(Note 1)									
EPRE ratio of OCNG to OCNG DMRS (Note 1)									
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz		-98						
$N_{oc}$ <sup>Note 2</sup>	dBm/S CS	Config 1,2,4,5	-98						
		Config 3,6	-95						
SS-RSRP <sup>Note 3</sup>	dBm/S CS	Config 1,2,4,5	-94	-94	-Infinity	-91			
		Config 3,6	-91	-91	-Infinity	-88			
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7			
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7			
Io <sup>Note 3</sup>	dBm/9.36MHz	Config 1,2,4,5	-67.11	-67.11	-Infinity	-65.38			
	dBm/38.16MHz	Config 3,6	-62.27	-62.27	-Infinity	-61.06			
Propagation Condition		Config 1,2,3,4,5,6	AWGN						

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

#### A.4.6.2.6.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [1280] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [13440] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [1280] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than [13440] ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1, 2 , 3 and 4 UE is required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

A.4.6.2.7      Void

A.4.6.2.8      Void

### A.4.6.3 L1-RSRP measurement for beam reporting

#### A.4.6.3.1 SSB based L1-RSRP measurement when DRX is not used

##### A.4.6.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of L1-RSRP measurement. This test will partly verify the L1-RSRP measurement requirements in clause 9.5.4.1, with the testing configurations for NR cells in Table A.4.6.3.1.1-1.

**Table A.4.6.3.1.1-1: Applicable NR configurations for FR1 SSB based L1-RSRP test**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

##### A.4.6.3.1.2 Test parameters

There are two cells in the test, E-UTRAN PCell (Cell 1) and FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.4.6.3.1.2-1 and Table A.4.6.3.1.2-2 below.

In CSI measurement configuration, UE is indicated to perform L1-RSRP measurement on the SSBs and report periodically. The test consists of two successive time periods, with time duration of T1, and T2 respectively.

There is no measurement gap configured in the test. Before the test, UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSBs.

Table A.4.6.3.1.2-1: General test parameters

Parameter	Config	Unit	Value
SSB GSCN	1~6		freq1
Duplex mode	1,4		FDD
	2,5		TDD
	3,6		TDD
TDD Configuration	1,4		N/A
	2,5		TDDConf.1.1
	3,6		TDDConf.2.1
BW <sub>channel</sub>	1,4	MHz	10: N <sub>RB,c</sub> = 52
	2,5		10: N <sub>RB,c</sub> = 52
	3,6		40: N <sub>RB,c</sub> = 106
PDSCH Reference measurement channel	1,4		SR.1.1 FDD
	2,5		SR.1.1 TDD
	3,6		SR.2.1 TDD
RMSI CORESET Reference Channel	1,4		CR.1.1 FDD
	2,5		CR.1.1 TDD
	3,6		CR.2.1 TDD
Dedicated CORESET Reference Channel	1,4		CCR.1.1 FDD
	2,5		CCR.1.1 TDD
	3,6		CCR.2.1 TDD
SSB configuration	1,4		SSB.3 FR1
	2,5		SSB.3 FR1
	3,6		SSB.4 FR1
OCNG Patterns	1~6		OP.1
Initial BWP Configuration	1~6		DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~6		DLBWP.1.1 ULBWP.1.1
SMTC configuration	1~6		SMTC.1
DRX configuration	1~6		Off
reportConfigType	1~6		periodic
reportQuantity	1~6		ssb-Index-RSRP
Number of reported RS	1~6		2
L1-RSRP reporting period	1~6	slot	80
T1	1~6	s	5
T2	1~6	s	1
EPRE ratio of PSS to SSS	1~6	dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH DMRS			
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>			
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>			
Propagation condition			AWGN

**Table A.4.6.3.1.2-2: SSB specific test parameters**

Parameter	Config	Unit	SSB#0		SSB#1	
			T1	T2	T1	T2
$N_{oc}$ <sup>Note2</sup>	1~6	dBm/15kHz			-94.65	
$N_{oc}$ <sup>Note2</sup>	1,2,4,5	dBm/SSB SCS			-94.65	
	3,6				-91.65	
$\hat{E}_s / I_{ot}$	1~6	dB	0	0	-Infinity	3
SSB RSRP <sup>Note3</sup>	1,2,4,5	dBm/SSB SCS	-94.65	-94.65	-Infinity	-91.65
	3,6		-91.65	-91.65	-Infinity	-88.65
$I_{o}$ <sup>Note3</sup>	1,2,4,5	dBm/9.36 MHz	-63.69	-63.69	-66.70	-61.93
	3,6	dBm/38.16 MHz	-57.59	-57.59	-60.61	-55.84
$\hat{E}_s / N_{oc}$	1~6	dB	0	0	-Infinity	3

#### A.4.6.3.1.3 Test Requirements

The UE shall send L1-RSRP report every 80 slots. After 480 ms plus 80 slots from the beginning of time period T2, UE shall send L1-RSRP report including the results for both SSB#0 and SSB#1 while meeting the accuracy requirements defined in 10.1.19.1.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.3.2 SSB based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.4.6.3.1.

#### A.4.6.3.3 CSI-RS based L1-RSRP measurement when DRX is not used

##### A.4.6.3.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of L1-RSRP measurement. This test will partly verify the L1-RSRP measurement requirements in clause 9.5.4.2, with the testing configurations for NR cells in Table A.4.6.3.3.1-1.

**Table A.4.6.3.3.1-1: Applicable NR configurations for FR1 CSI-RS based L1-RSRP test**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

### A.4.6.3.3.2 Test parameters

There are two cells in the test, E-UTRAN PCell (Cell 1) and FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.4.6.3.3.2-1 and Table A.4.6.3.3.2-2 below.

In CSI measurement configuration, UE is indicated to perform L1-RSRP measurement on the CSI-RS and report aperiodically. The test consists of two successive time periods, with time duration of T1, and T2 respectively. At 20ms from the beginning of T2, UE is triggered to measure on the aperiodic CSI-RS resource set containing two resources, and to report based on the reporting configuration in Table A.4.6.3.3.2-1.

There is no measurement gap configured in the test. Before the test, UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSBs.

**Table A.4.6.3.3.2-1: General test parameters**

Parameter	Config	Unit	Value
SSB GSCN	1~6		freq1
	1,4		FDD
	2,5		TDD
	3,6		TDD
	1,4		N/A
	2,5		TDDConf.1.1
TDD Configuration	3,6		TDDConf.2.1
	1,4		10: $N_{RB,c} = 52$
	2,5		10: $N_{RB,c} = 52$
	3,6		40: $N_{RB,c} = 106$
	1,4		SR.1.1 FDD
	2,5		SR.1.1 TDD
PDSCH Reference measurement channel	3,6		SR.2.1 TDD
	1,4		CR.1.1 FDD
	2,5		CR.1.1 TDD
	3,6		CR.2.1 TDD
	1,4		CCR.1.1 FDD
	2,5		CCR.1.1 TDD
	3,6		CCR.2.1 TDD
RMSI CORESET Reference Channel	1,4		SSB.3 FR1
	2,5		SSB.3 FR1
	3,6		SSB.4 FR1
	1,4		CSI-RS 1.2 FDD
	2,5		CSI-RS 1.2 TDD
	3,6		CSI-RS 2.2 TDD
OCNG Patterns	1~6		OP.1
Initial BWP Configuration	1~6		DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~6		DLBWP.1.1 ULBWP.1.1
SMTC configuration	1~6		SMTC.1
DRX configuration	1~6		Off
reportConfigType	1~6		aperiodic
reportQuantity	1~6		cri-RSRP
Number of reported RS	1~6		2
qcl-Info	1~6		SSB#0 for resource#0 SSB#1 for resource#1

reportSlotOffsetList	1~6		TBD
T1	1~6	s	5
T2	1~6	s	1
EPRE ratio of PSS to SSS	1~6	dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH DMRS			
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>			
EPRE ratio of OCNG to OCNG DMRS Note 1			
Propagation condition	1~6		AWGN

**Table A.4.6.3.3.2-2: CSI-RS specific test parameters**

Parameter	Config	Unit	CSI-RS#0		CSI-RS#1	
			T1	T2	T1	T2
$N_{oc}$ <sup>Note 2</sup>	1~6	dBm/15kHz	-94.65			
$N_{oc}$ <sup>Note 2</sup>	1,2,4,5	dBm/SSB SCS	-94.65			
	3,6		-91.65			
$\hat{E}_s/I_{ot}$	1~6	dB	-Infinity	0	-Infinity	3
CSI-RS RSRP <sup>Note 3</sup>	1,2,4,5	dBm/SSB SCS	-Infinity	-94.65	-Infinity	-91.65
	3,6		-Infinity	-91.65	-Infinity	-88.65
$I_0$ <sup>Note 3</sup>	1,2,4,5	dBm/9.36 MHz	-66.70	-63.69	-66.70	-61.93
	3,6	dBm/38.16 MHz	-60.61	-57.59	-60.61	-55.84
$\hat{E}_s/N_{oc}$	1~6	dB	-Infinity	0	-Infinity	3

#### A.4.6.3.3.3 Test Requirements

The UE shall send L1-RSRP report at slot [TBD] from the beginning of T2. The L1-RSRP report shall include the results for both CSI-RS#0 and CSI-RS#1 while meeting the accuracy requirements defined in 10.1.20.1.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.4.6.3.4 CSI-RS based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.4.6.3.3.

## A.4.7 Measurement Performance requirements

### A.4.7.1 SS-RSRP

#### A.4.7.1.1 EN-DC Intra-frequency measurement accuracy with FR1 serving cell and FR1 target cell

##### A.4.7.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 10.1.2.1.1 and 10.1.2.1.2 for intra frequency measurements.

##### A.4.7.1.1.2 Test parameters

In this set of test cases all NR cells are on the same carrier frequency. Supported test configurations are shown in table A.4.7.1.1.2-1. Both absolute and relative accuracy of SS-RSRP intra frequency measurements are tested by using the parameters in A.4.7.1.1.2-2. The configuration of cell 1 (E-UTRA PCell) is specified in section A.3.7.2.1. In all test cases, Cell 2 is the PSCell, and Cell 3 the target cell.

**Table A.4.7.1.1.2-1: SS-RSRP Intra frequency SS-RSRP supported test configurations**

Config	Description					
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode					
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode					
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode					
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode					
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode					
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode					
Note: The UE is only required to be tested in one of the supported test configurations						

**Table A.4.7.1.1.2-2: SS-RSRP Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3
Physical cell ID		489	0	489	0	489	0
SSB ARFCN		freq1		freq1		freq1	
Duplex mode				FDD		TDD	
TDD configuration				Not Applicable		TDDConf.1.1	
BW <sub>channel</sub>	MHz	Config 1,4		10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52	
		Config 2,5		40: N <sub>RB,c</sub> = 106		TRX.1.1 TDD	
		Config 3,6				TRX.1.2 TDD	
Downlink initial BWP configuration				DLBWP.0.1		DLBWP.1.1	
Downlink dedicated BWP configuration				ULBWP.0.1		ULBWP.1.1	
Uplink initial BWP configuration				ULBWP.0.1		ULBWP.1.1	
Uplink dedicated BWP configuration				ULBWP.1.1		ULBWP.0.1	
TRS configuration		Config 1,4		TRS.1.1 FDD	NA	TRS.1.1 FDD	NA
		Config 2,5		TRS.1.1 TDD	NA	TRS.1.1 TDD	NA
		Config 3,6		TRS.1.2 TDD	NA	TRS.1.2 TDD	NA
DRX Cycle	ms			Not Applicable			
PDSCH Reference measurement channel		Config 1,4		SR.1.1 FDD		SR.1.1 FDD	
		Config 2,5		SR.1.1 TDD	-	SR.1.1 TDD	
		Config 3,6		SR2.1 TDD		SR2.1 TDD	

RMSI CORESET Reference Channel	Config 1,4		CR.1.1 FDD	-	CR.1.1 FDD	-	CR.1.1 FDD	-							
	Config 2,5														
	Config 3,6														
Control Channel RMC	Config 1,4		CCR.1.1 FDD	-	CCR.1.1 FDD	-	CCR.1.1 FDD	-							
	Config 2,5														
	Config 3,6														
SSB configuration	Config 1,4		SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1							
	Config 2,5														
	Config 3,6														
Time offset with Cell 2	Config 1,4	ms	-	3	-	3	-	3							
	Config 2,3,5,6	μs	-	3	-	3	-	3							
SMTC configuration	Config 1,4				SMTC.2										
	Config 2,3,5,6				SMTC.1										
OCNG Patterns															
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	OP.1												
	Config 3,6		15 kHz												
EPRE ratio of PSS to SSS															
EPRE ratio of PBCH DMRS to SSS															
EPRE ratio of PBCH to PBCH DMRS															
EPRE ratio of PDCCH DMRS to SSS															
EPRE ratio of PDCCH to PDCCH DMRS															
EPRE ratio of PDSCH DMRS to SSS															
EPRE ratio of PDSCH to PDSCH															
EPRE ratio of OCNG DMRS to SSS (Note 1)															
EPRE ratio of OCNG to OCNG DMRS (Note 1)															
$N_{oc}$ Note2	Config 1,2,4,5	NR_FDD_FR1_A, NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dB	0	0	0	0	0							
	Config 3,6	NR_FDD_FR1_A, NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/15Khz	-106	-88	-116 -115.5 -115 -114.5 -114 -113 -112.5									
$N_{oc}$ Note2	Config 1,2,4,5		dBm/SCS	-106		-88		Same as Noc/15kHz							
	Config 3,6	NR_FDD_FR1_A, NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H		-113		-94		-116 -115.5 -115 -114.5 -114 -113 -112.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							
				-110		-91		-113 -112.5 -112 -111.5 -111 -110 -109.5							

$\hat{E}_s/I_{ot}$	dB	2.5	-6	2.5	-6	0.46	-5.76				
$\hat{E}_s/N_{oc}$	dB	6	1	6	1	3	-1				
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SCS	-100	-105	-82	-113 -112.5 -112 -111.5 -111				
		NR_FDD_FR1_B					-117 -116.5 -116				
		NR_TDD_FR1_C					-111.5 -115				
		NR_FDD_FR1_D, NR_TDD_FR1_D					-111 -110 -114				
		NR_FDD_FR1_E, NR_TDD_FR1_E					-109.5 -113.5				
	Config 3,6	NR_FDD_FR1_G		-106	-109	-85	-110 -109.5 109 -108.55 -108				
		NR_FDD_FR1_H					-114 -113 -112.5 -112				
		NR_FDD_FR1_A, NR_TDD_FR1_A					-107 -106.5				
		NR_FDD_FR1_B					-111 -110.5				
		NR_TDD_FR1_C					-113				
Io <sup>Note3</sup>	Config 1,2,4,5	NR_FDD_FR1_D, NR_TDD_FR1_D	dBm/ 9.36MHz	-70.09	-52.09	-82.26 -81.76 -81.26 -80.76 -80.26					
		NR_FDD_FR1_E, NR_TDD_FR1_E					-79.26 -78.76				
		NR_FDD_FR1_G					-76.16 -75.66				
		NR_FDD_FR1_H					-75.16				
	Config 3,6	NR_FDD_FR1_A, NR_TDD_FR1_A		-70.99	-51.99	-74.66 -74.16 -73.16 -72.66					
		NR_FDD_FR1_B					-74.16				
		NR_TDD_FR1_C					-73.16				
		NR_FDD_FR1_D, NR_TDD_FR1_D					-72.66				
		NR_FDD_FR1_E, NR_TDD_FR1_E					-72.66				
Propagation condition		-	AWGN								
Antenna configuration			1x2								
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p>											

#### A.4.7.1.1.3 Test Requirements

The SS-RSRP measurement accuracy for cell 1 and cell 2 shall fulfil absolute requirement in section 10.1.2.1.1 and relative requirement in section 10.1.2.1.2.

### A.4.7.1.2 EN-DC inter-frequency measurement accuracy with FR1 serving cell and FR1 target cell

#### A.4.7.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 10.1.4.1.1 and 10.1.4.1.2 for inter-frequency measurements with the testing configurations in Table A.4.7.1.2.1-1.

**Table A.4.7.1.2.1-1: Applicable NR configurations for FR1 inter-frequency SS-RSRP accuracy test**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

#### A.4.7.1.2.2 Test parameters

In this set of test cases there are three cells in the test, E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on a different frequency than the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.4.7.1.2.2-1 below. Both absolute and relative accuracy of RSRP inter-frequency measurements are tested by using the parameters in Table A.4.7.1.2.2-1. The inter frequency measurements are supported by a measurement gap.

**Table A.4.7.1.2.2-1: SS-RSRP inter-frequency test parameters**

Parameter	Config	Unit	Test 1		Test 2	
			Cell 2	Cell 3	Cell 2	Cell 3
SSB ARFCN	1~6		freq1	freq2	freq1	freq2
BW <sub>channel</sub>	1,4	MHz	10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52	
	2,5		10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52	
	3,6		40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106	
Duplex mode	1,4		FDD		FDD	
	2,5		TDD		TDD	
	3,6		TDD		TDD	
TDD configuration	1,4		N/A		N/A	
	2,5		TDDConf.1.1		TDDConf.1.1	
	3,6		TDDConf.2.1		TDDConf.2.1	
PDSCH Reference measurement channel	1,4		SR.1.1 FDD		SR.1.1 FDD	
	2,5		SR.1.1 TDD		SR.1.1 TDD	
	3,6		SR.2.1 FDD		SR.2.1 FDD	
RMSI CORESET Reference Channel	1,4		CR.1.1 FDD		CR.1.1 FDD	
	2,5		CR.1.1 TDD		CR.1.1 TDD	
	3,6		CR.2.1 FDD		CR.2.1 FDD	
Dedicated CORESET Reference Channel	1,4		CCR.1.1 FDD		CCR.1.1 FDD	
	2,5		CCR.1.1 TDD		CCR.1.1 TDD	
	3,6		CCR.2.1 TDD		CCR.2.1 TDD	

SSB configuration	1,4		SSB.1 FR1		SSB.1 FR1	
	2,5		SSB.1 FR1		SSB.1 FR1	
	3,6		SSB.2 FR1		SSB.2 FR1	
OCNG Patterns	1~6		OP.1		OP.1	
TRS configuration	1,4		TRS.1.1 FDD		TRS.1.1 FDD	
	2,5		TRS.1.1 TDD	-	TRS.1.1 TDD	
	3,6		TRS.1.2 TDD		TRS.1.2 TDD	
Initial BWP Configuration	1~6		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1	
Dedicated BWP configuration	1~6		DLBWP.1.1 ULBWP.1.1		DLBWP.1.1 ULBWP.1.1	
SMTC configuration	1~6		SMTC.1		SMTC.1	
Time offset between Cell 2 and Cell 3	1~6	μs	3		3	
EPRE ratio of PSS to SSS			0	0	0	0
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH DMRS						
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>						
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>						
$N_{oc}$ <sup>Note 2</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	1~6	dBm/15 kHz	-94.65	$(N_{oc}$ for Channel 2 +8dB)	-117
	NR_FDD_FR1_B					-116.5
	NR_TDD_FR1_C					-116
	NR_FDD_FR1_D, NR_TDD_FR1_D					-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E					-115
	NR_FDD_FR1_G					-114
	NR_FDD_FR1_H					-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A		1,2,4,5	-94.65	$(N_{oc}$ for Channel 2 +8dB)	-117
	NR_FDD_FR1_B					-116.5
$N_{oc}$ <sup>Note 2</sup>	NR_TDD_FR1_C					-116
	NR_FDD_FR1_D, NR_TDD_FR1_D					-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E					-115
	NR_FDD_FR1_G					-114
	NR_FDD_FR1_H					-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	3,6	dBm/SS B SCS	-91.65	$(N_{oc}$ for Channel 2 +8dB)	-114
	NR_FDD_FR1_B					-113.5
	NR_TDD_FR1_C					-113
	NR_FDD_FR1_D, NR_TDD_FR1_D					-112.5
	NR_FDD_FR1_E, NR_TDD_FR1_E					-112
	NR_FDD_FR1_G					-111
	NR_FDD_FR1_H					-110.5

$\hat{E}_s / I_{ot}$	1~6	dB	10	10	13	-4
SS-RSRP <sup>Note3</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	1,2,4,5	-84.65	(RSRP for Cell 2 +25dB)	-121	
					-120.5	
					-120	
					-119.5	
					-119	
					-118	
					-117.5	
					-124	
					-123.5	
					-123	
Io <sup>Note3</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	3,6	-81.65	(RSRP for Cell 2 +25dB)	-122.5	
					-122	
					-121	
					-120.5	
					-87.76	
					-87.26	
					-86.76	
					-86.26	
					-85.76	
					-84.76	
$\hat{E}_s / N_{oc}$	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	1,2,4,5	-56.28	(Io for Channel 2 +19.75dB)	-84.26	
					-84.76	
					-84.26	
					-83.76	
					-83.26	
					-82.76	
					-81.76	
					-81.26	
					-84.76	
					-84.26	
Propagation condition	1~6	dB	10	10	13	-4

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power  $N_{oc}$  for  $N_{oc}$  to be fulfilled.

Note 3: RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

#### A.4.7.1.2.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 2 and Cell 3 shall fulfil the Absolute requirement in section 10.1.4.1.1 and Relative requirement in section 10.1.4.1.2.

#### A.4.7.1.3 Void

### A.4.7.2 SS-RSRQ

#### A.4.7.2.1 EN-DC Intra-frequency measurement accuracy with FR1 serving cell and FR1 target cell

##### A.4.7.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.7.1.1.

##### A.4.7.2.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configuration are shown in Table A.4.7.2.1.2-1. The absolute accuracy of SS-RSRQ intra-frequency measurement is test by using the parameters in Table A.4.7.2.1.2-2. The configuration of cell 1 (E-UTRA PCell) is specified in section A.3.7.2.1. In all test cases, Cell 2 is the PSCell and Cell 3 is the target cell.

**Table A.4.7.2.1.2-1: SS-RSRQ Intra frequency SS-RSRQ supported test configurations**

Config	Description					
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode					
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode					
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode					
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode					
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode					
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode					
Note: The UE is only required to be tested in one of the supported test configurations						

**Table A.4.7.2.1.2-2: SS-RSRQ Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3		
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3	
SSB ARFCN		freq1		freq1		freq1		
Duplex mode	Config 1,4			FDD				
	Config 2,3,5,6			TDD				
TDD configuration	Config 1,4			Not Applicable				
	Config 2,5			TDDConf.1.1				
	Config 3,6			TDDConf.2.1				
BW <sub>channel</sub>	Config 1,4	MHz			10: N <sub>RB,c</sub> = 52			
	Config 2,5				10: N <sub>RB,c</sub> = 52			
	Config 3,6				40: N <sub>RB,c</sub> = 106			
BWP configuration	Initial DL BWP				DLBWP.0.1			
	Dedicated DL BWP				DLBWP.1.1			
	Initial UL BWP				ULBWP.0.1			
	Dedicated UL BWP				ULBWP.1.1			
DRX Cycle	ms	Not Applicable						
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD		SR.1.1 FDD		SR.1.1 FDD	
	Config 2,5		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD	
	Config 3,6		SR2.1 TDD		SR2.1 TDD		SR2.1 TDD	
RMSI CORESET Reference Channel	Config 1,4		CR.1.1 FDD		CR.1.1 FDD		CR.1.1 FDD	
	Config 2,5		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD	

	Config 3,6		CR.2.1 TDD		CR.2.1 TDD		CR.2.1 TDD								
Control Channel RMC	Config 1,4		CCR.1.1 FDD	-	CCR.1.1 FDD	-	CCR.1.1 FDD	-							
	Config 2,5		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD								
	Config 3,6		CCR.2.1 TDD		CCR.2.1 TDD		CCR.2.1 TDD								
OCNG Patterns		OP. 1													
SS-RSSI-Measurement		Not Applicable													
STMC configuration		SMTTC.1													
SSB configuration	Config 1,2,4,5		SSB.1 FR1												
	Config 3,6		SSB.2 FR1												
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	15 kHz												
	Config 3,6		30kHz												
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0							
EPRE ratio of PBCH DMRS to SSS															
EPRE ratio of PBCH to PBCH DMRS															
EPRE ratio of PDCCH DMRS to SSS															
EPRE ratio of PDCCH to PDCCH DMRS															
EPRE ratio of PDSCH DMRS to SSS															
EPRE ratio of PDSCH to PDSCH															
EPRE ratio of OCNG DMRS to SSS(Note 1)															
EPRE ratio of OCNG to OCNG DMRS (Note 1)															
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15k Hz	-91	[-110.05]	[-120]										
	NR_FDD_FR1_B				[-119.5]										
	NR_TDD_FR1_C				[-119]										
	NR_FDD_FR1_D, NR_TDD_FR1_D				[-118.5]										
	NR_FDD_FR1_E, NR_TDD_FR1_E				[-118]										
	NR_FDD_FR1_G				[-117]										
	NR_FDD_FR1_H				[-116.5]										
$N_{oc}$ Note2	Config 1,2,4,5	dBm/SC S	-91	[-110.05]	Same as Noc for 15kHz										
	Config 3,6				[-117]										
					[-116.5]										
					[-116]										
					[-115.5]										
					[-115]										
					[-114]										
					[-113.5]										
$\hat{E}_s / I_{ot}$		dB	-1.76		-4.7		-5.46	-5.46							
$\hat{E}_s / N_{oc}$		dB	3	3	-2.9	-2.9	-4	-4							
SS-RSRP Note3	Config 1,2,4,5	dBm/SC S	-88	-88	[-112.95]	[-112.95]	[-124]	[-124]							

		NR_FDD_FR1_A, NR_TDD_FR1_A		-85	-85	[-109.95]	[-109.95]	[-121]	[-121]		
		NR_FDD_FR1_B						[-120.5]	[-120.5]		
		NR_TDD_FR1_C						[-120]	[-120]		
		NR_FDD_FR1_D, NR_TDD_FR1_D						[-119.5]	[-119.5]		
		NR_FDD_FR1_E, NR_TDD_FR1_E						[-119]	[-119]		
		NR_FDD_FR1_G						[-118]	[-118]		
		NR_FDD_FR1_H						[-117.5]	[-117.5]		
	SS-RSRQ <sup>Note3</sup>							[-17.34]			
	Io <sup>Note3</sup>		Config 1,2,4,5	dB	-14.77	-14.77	-16.76	-16.76	[-17.34]		
					[-14.77]		[-16.76]		[-17.34]		
					[-14.77]		[-16.76]		[-17.34]		
					[-14.77]		[-16.76]		[-17.34]		
					[-14.77]		[-16.76]		[-17.34]		
					[-14.77]		[-16.76]		[-17.34]		
					[-14.77]		[-16.76]		[-17.34]		
	Propagation condition		Config 3,6	dBm/ 9.36MHz	[-56]		[-79]		[-89.5]		
	Antenna configuration				[-56]		[-79]		[-89]		
	Note 1:				[-56]		[-79]		[-88.5]		
	Note 2:				[-56]		[-79]		[-88]		
	Note 3:				[-56]		[-79]		[-87.5]		
	Note 4:				[-56]		[-79]		[-86.5]		
	Note 5:				[-56]		[-79]		[-86]		
	NR_FDD_FR1_A, NR_TDD_FR1_A		Config 3,6	dBm/ 38.16M Hz	[-50.00]		[-73]		[-83.41]		
	NR_FDD_FR1_B				[-50.00]		[-73]		[-82.91]		
	NR_TDD_FR1_C				[-50.00]		[-73]		[-82.41]		
	NR_FDD_FR1_D, NR_TDD_FR1_D				[-50.00]		[-73]		[-81.91]		
	NR_FDD_FR1_E, NR_TDD_FR1_E				[-50.00]		[-73]		[81.41]		
	NR_FDD_FR1_G				[-50.00]		[-73]		[80.41]		
	NR_FDD_FR1_H				[-50.00]		[-73]		[-79.91]		
	Propagation condition			-	AWGN	AWG N	AWGN	AWGN	AWG N		
	Antenna configuration				1x2	1x2	1x2	1x2	1x2		

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 3: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: SS-RSRQ, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

Note 5: NR operating band groups are as defined in Section 3.5.2.

#### A.4.7.2.1.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in section 10.1.7.1.1.

### A.4.7.2.2 EN-DC Inter-frequency measurement accuracy with FR1 serving cell and FR1 target cell

#### A.4.7.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.9.1.1 and 10.1.9.1.2 for inter frequency measurement.

#### A.4.7.2.2.2 Test Parameters

In this test case the two NR cells (i.e., Cell 2 and Cell 3) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.4.7.2.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-RSRQ inter-frequency measurement are tested by using test parameters in Table A.4.7.2.2.2-2. In all test cases, Cell 2 is the PSCell and Cell 3 is target cell. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1.

**Table A.4.7.2.2.2-1: SS-RSRQ Inter frequency SS-RSRQ supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.7.2.2.2-2: SS-RSRQ Inter frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3			
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3		
SSB ARFCN		freq1		freq1		freq1			
Duplex mode	Config 1,4			FDD		TDD			
	Config 2,3,5,6								
TDD configuration	Config 1,4			Not Applicable					
	Config 2,5			TDDConf.1.1					
	Config 3,6			TDDConf.2.1					
BW channel	Config 1,4	MHz		10: N <sub>RB,C</sub> = 52					
	Config 2,5			10: N <sub>RB,C</sub> = 52					
	Config 3,6			40: N <sub>RB,C</sub> = 106					
BWP BW	Config 1,4	MHz		10: N <sub>RB,C</sub> = 52					
	Config 2,5			10: N <sub>RB,C</sub> = 52					
	Config 3,6			40: N <sub>RB,C</sub> = 106					
DRX Cycle	ms	Not Applicable							
PDSCH Reference measurement channel	Config 1,4			SR.1.1 FDD		SR.1.1 FDD			
	Config 2,5			SR.1.1 TDD		SR.1.1 TDD			
	Config 3,6			SR.2.1 TDD		SR.2.1 TDD			
RMSI CORESET Reference Channel	Config 1,4			CR.1.1 FDD	-	CR.1.1 FDD	-		

		Config 2,5		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD					
		Config 3,6		CR.2.1 TDD		CR.2.1 TDD		CR.2.1 TDD					
Dedicated CORESET Reference Channel		Config 1,4		CCR.1.1 FDD		CCR.1.1 FDD		CCR.1.1 FDD					
		Config 2,5		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD					
		Config 3,6		CCR.2.1 TDD		CCR.2.1 TDD		CCR.2.1 TDD					
OCNG Patterns				OCNG pattern 1									
SMTC configuration		Config 1,2,4,5		SMTC pattern 1									
		Config 3,6		SMTC pattern 2									
SSB configuration		Config 1,2,4,5		SSB pattern 1 in FR1									
		Config 3,6		SSB pattern 2 in FR1									
PDSCH/PDCCH subcarrier spacing		Config 1,2,4,5	kHz	15 kHz									
		Config 3,6		30 kHz									
EPRE ratio of PSS to SSS			dB	0	0	0	0	0	0				
EPRE ratio of PBCH DMRS to SSS													
EPRE ratio of PBCH to PBCH DMRS													
EPRE ratio of PDCCH DMRS to SSS													
EPRE ratio of PDCCH to PDCCH DMRS													
EPRE ratio of PDSCH DMRS to SSS													
EPRE ratio of PDSCH to PDSCH													
EPRE ratio of OCNG DMRS to SSS (Note 1)													
EPRE ratio of OCNG to OCNG DMRS (Note 1)													
$N_{oc}$ Note2	Config 1,2,4,5	NR_FDD_FR1_A	dBm/15kHz	-80.18	-106								
		NR_TDD_FR1_A											
		NR SDL FR1 A											
		NR_FDD_FR1_B											
		NR_TDD_FR1_C											
		NR_FDD_FR1_D											
		NR_TDD_FR1_D											
		NR_FDD_FR1_E											
		NR_TDD_FR1_E											
$N_{oc}$ Note2	Config 3,6	NR_FDD_FR1_G											
		NR_FDD_FR1_H											
		NR_FDD_FR1_A	dBm/15kHz	-86.27	-113								
		NR_TDD_FR1_A											
		NR SDL FR1 A											
		NR_FDD_FR1_B											
		NR_TDD_FR1_C											
		NR_FDD_FR1_D											
		NR_TDD_FR1_D											
$N_{oc}$ Note2	Config 1,2,4,5	NR_FDD_FR1_E											
		NR_TDD_FR1_E											
		NR_FDD_FR1_G											
		NR_FDD_FR1_H											
		NR_FDD_FR1_A	dBm/SCS	-80.18	-106								
		NR_TDD_FR1_A											
		NR SDL FR1 A											
		NR_FDD_FR1_B											
		NR_TDD_FR1_C											
		NR_FDD_FR1_D											
		NR_TDD_FR1_D											
		NR_FDD_FR1_E											
		NR_TDD_FR1_E											

		NR_FDD_FR1_G				-113		
		NR_FDD_FR1_H				-112.5		
Config 3,6		NR_FDD_FR1_A	-83.27	-110				
		NR_TDD_FR1_A				-113		
		NR SDL FR1 A				-112.5		
		NR_FDD_FR1_B				-112		
		NR_TDD_FR1_C				-111.5		
		NR_FDD_FR1_D				-111		
		NR_TDD_FR1_D				-110		
		NR_FDD_FR1_E				-109.5		
		NR_TDD_FR1_E						
		NR_FDD_FR1_G						
		NR_FDD_FR1_H						
$\hat{E}_s / I_{ot}$		dB	-1.75		-1.75	3 -1.75		
$\hat{E}_s / N_{oc}$		dB	-1.75		-1.75	3 -1.75		
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	NR_FDD_FR1_A	-81.93	-107.75		-113 117.75		
		NR_TDD_FR1_A				-112.5 117.25		
		NR SDL FR1 A				-112 116.75		
		NR_FDD_FR1_B				-111.5 116.25		
		NR_TDD_FR1_C				-111 115.75		
		NR_FDD_FR1_D				-110 114.75		
		NR_TDD_FR1_D				-109.5 114.25		
		NR_FDD_FR1_E				-109 113.75		
		NR_TDD_FR1_E				-108.5 113.25		
		NR_FDD_FR1_G				-108 112.75		
		NR_FDD_FR1_H				-107 111.75		
SS-RSRQ <sup>Note3</sup>		NR_FDD_FR1_A	-85.02	-111.75		-106.5 111.25		
		NR_TDD_FR1_A						
		NR_FDD_FR1_B						
		NR_TDD_FR1_C						
		NR_FDD_FR1_D						
		NR_TDD_FR1_D						
		NR_FDD_FR1_E						
		NR_TDD_FR1_E						
		NR_FDD_FR1_G						
		NR_FDD_FR1_H						
		NR_FDD_FR1_A	-14.77	-40.59				
		NR_TDD_FR1_A						
		NR_FDD_FR1_B						
		NR_TDD_FR1_C						
		NR_FDD_FR1_D						

			NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H						
Io <sup>Note3</sup>	Config 1,2,4,5	NR_FDD_FR1_A NR_TDD_FR1_A NR SDL FR1_A	dBm/ 9.36MHz	-50	-75.83	-	83.28	85.83	
		NR_FDD_FR1_B				-	82.78	85.33	
		NR_TDD_FR1_C				-	82.28	84.83	
		NR_FDD_FR1_D NR_TDD_FR1_D				-	81.78	84.33	
		NR_FDD_FR1_E NR_TDD_FR1_E				-	81.28	83.83	
		NR_FDD_FR1_G				-	80.28	82.83	
		NR_FDD_FR1_H				-	79.78	82.33	
	Config 3,6	NR_FDD_FR1_A NR_TDD_FR1_A NR SDL FR1_A	dBm/ 38.16MHz	-50	-76.73	-	77.19	79.73	
		NR_FDD_FR1_B				-	76.69	79.23	
		NR_TDD_FR1_C				-	76.19	78.73	
		NR_FDD_FR1_D NR_TDD_FR1_D				-	75.69	78.23	
		NR_FDD_FR1_E NR_TDD_FR1_E				-	75.19	77.73	
		NR_FDD_FR1_G				-	74.19	76.73	
		NR_FDD_FR1_H				-	73.69	76.53	
Propagation condition			-	AWGN	AWGN	AWGN	AWGN	AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRQ, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: NR operating band groups are as defined in Section 3.5.2.</p>									

#### A.4.7.2.2.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in section 10.1.9.1.1 and 10.1.9.1.2.

### A.4.7.3 SS-SINR

#### A.4.7.3.1 EN-DC Intra-frequency measurement accuracy with FR1 serving cell and FR1 target cell

##### A.4.7.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.12.1.1.

##### A.4.7.3.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configuration are shown in Table A.4.7.3.1.2-1. The absolute accuracy of SS-SINR intra-frequency measurement is test by using the parameters in Table A.4.7.3.1.2-2. The configuration of cell 1 (E-UTRA PCell) is specified in section A.3.7.2.1. In all test cases, Cell 2 is the PSCell and Cell 3 is the target cell.

**Table A.4.7.3.1.2-1: SS-SINR Intra frequency SS-SINR supported test configurations**

Config	Description			
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode			
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode			
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode			
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode			
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode			
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode			

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.7.3.1.2-2: SS-SINR Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2	
		Cell 2	Cell 3	Cell 2	Cell 3
SSB ARFCN		freq1		freq1	
Duplex mode		FDD		TDD	
Config 1,4		TDD		Not Applicable	
Config 2,3,5,6		Not Applicable		TDDConf.1.1	
TDD configuration		TDDConf.2.1		TDDConf.2.1	
Config 1,4		DLBWP.0.1		ULBWP.0.1	
Config 2,5		DLBWP.1.1		ULBWP.1.1	
Config 3,6		DLBWP.1.1		ULBWP.1.1	
Downlink initial BWP configuration		Not Applicable		Not Applicable	
Downlink dedicated BWP configuration		TR.1.1 FDD		TR.1.1 TDD	
Uplink initial BWP configuration		TR.1.1 TDD		TR.1.2 TDD	
Uplink dedicated BWP configuration		TR.1.2 TDD		-	
DRX Cycle configuration	ms	-		-	
TRS configuration		SR.1.1 FDD		SR.1.1 TDD	
Config 1, 4		SR.1.1 TDD		SR.1.1 TDD	
Config 2, 5		SR.2.1 TDD		SR.2.1 TDD	
PDSCH Reference measurement channel		SR.2.1 TDD		-	
Config 3, 6		-		-	
RMSI CORESET Reference Channel		-		-	
Config 1,4		CR.1.1 FDD		CR.1.1 TDD	
Config 2,5		CR.1.1 TDD		CR.1.1 TDD	
Config 3,6		CR.2.1 TDD		CR.2.1 TDD	
	Config 1,4	CCR.1.1 FDD		CCR.1.1 TDD	

Dedicated CORESET Reference Channel	Config 2,5		CCR.1. 1 TDD CCR.2. 1 TDD	CCR.1.1 TDD							
	Config 3,6			CCR.2.1 TDD							
OCNG Patterns			OP.1								
SS-RSSI-Measurement			Not Applicable								
SMTC configuration			SMTC.1								
SSB configuration	Config 1,2,4,5	kHz	SSB.1 FR1								
	Config 3,6		SSB.2 FR1								
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5		15								
	Config 3,6		30								
EPRE ratio of PSS to SSS		dB	0	0	0	0					
EPRE ratio of PBCH DMRS to SSS											
EPRE ratio of PBCH to PBCH DMRS											
EPRE ratio of PDCCH DMRS to SSS											
EPRE ratio of PDCCH to PDCCH DMRS											
EPRE ratio of PDSCH DMRS to SSS											
EPRE ratio of PDSCH to PDSCH											
EPRE ratio of OCNG DMRS to SSS (Note 1)											
EPRE ratio of OCNG to OCNG DMRS (Note 1)											
$N_{oc}$ <sup>Note2</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15kHz	[-90]	[-116]							
	NR_FDD_FR1_B			[-115.5]							
	NR_TDD_FR1_C			[-115]							
	NR_FDD_FR1_D, NR_TDD_FR1_D			[-114.5]							
	NR_FDD_FR1_E, NR_TDD_FR1_E			[-114]							
	NR_FDD_FR1_G			[-113]							
	NR_FDD_FR1_H			[-112.5]							
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5	dBm/SCS	[-90]	Same as Noc for 15kHz							
	NR_FDD_FR1_A, NR_TDD_FR1_A			[-113]							
	NR_FDD_FR1_B			[-112.5]							
	NR_TDD_FR1_C			[-112]							
	NR_FDD_FR1_D, NR_TDD_FR1_D			[-111.5]							
	NR_FDD_FR1_E, NR_TDD_FR1_E			[-111]							
	NR_FDD_FR1_G			[-110]							
	NR_FDD_FR1_H			[-109.5]							
$\hat{E}_s / I_{ot}$		dB	[0]	[-3.19]		[-5.46] [-5.46]					
$\hat{E}_s / N_{oc}$			[4.54]	[2.66]		[-4] [-4]					
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	dBm/SCS	[-85.46]	[-87.34]	[-120] [-120]						
					[-119.5] [-119.5]						
					[-119] [-119]						
					[-118.5] [-118.5]						
					[-118] [-118]						
					[-117] [-117]						
					[-116.5] [-116.5]						
	Config 3,6	dBm/SCS	[-82.46]	[-84.34]	[-117] [-117]						
					[-116.5] [-116.5]						
					[-116] [-116]						

		NR_FDD_FR1_D, NR_TDD_FR1_D				[-115.5]	[-115.5]		
		NR_FDD_FR1_E, NR_TDD_FR1_E				[-115]	[-115]		
		NR_FDD_FR1_G				[-114]	[-114]		
		NR_FDD_FR1_H				[-113.5]	[-113.5]		
SS-SINR Note3		NR_FDD_FR1_A, NR_TDD_FR1_A	dB	[0]	[-3.19]	[-5.46]	[-5.46]		
		NR_FDD_FR1_B							
		NR_TDD_FR1_C							
		NR_FDD_FR1_D, NR_TDD_FR1_D							
		NR_FDD_FR1_E, NR_TDD_FR1_E							
		NR_FDD_FR1_G							
		NR_FDD_FR1_H							
Io <sup>Note3</sup>	Config 1,2,4,5	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/ 9.36MHz	[-54.5]		[-85.51]			
		NR_FDD_FR1_B							
		NR_TDD_FR1_C							
		NR_FDD_FR1_D, NR_TDD_FR1_D							
		NR_FDD_FR1_E, NR_TDD_FR1_E							
		NR_FDD_FR1_G							
		NR_FDD_FR1_H							
	Config 3,6	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/ 38.16MHz	[-48.41]		[-79.41]			
		NR_FDD_FR1_B							
		NR_TDD_FR1_C							
		NR_FDD_FR1_D, NR_TDD_FR1_D							
		NR_FDD_FR1_E, NR_TDD_FR1_E							
		NR_FDD_FR1_G							
		NR_FDD_FR1_H							
Propagation condition			-			AWGN			
Antenna configuration			-			1x2			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-SINR, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: NR operating band groups are as defined in Section 3.5.2.</p>									

#### A.4.7.3.1.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in section 10.1.12.1.1.

### A.4.7.3.2 EN-DC Inter-frequency measurement accuracy with FR1 serving cell and FR1 target cell

#### A.4.7.3.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.14.1.1 and 10.1.14.1.2 for inter frequency measurement.

#### A.4.7.3.2.2 Test Parameters

In this test case the two NR cells (i.e., Cell 2 and Cell 3) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.4.7.3.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-SINR inter-frequency measurement are tested by using test parameters in Table A.4.7.3.2.2-2. In all test cases, Cell 2 is the PSCell and Cell 3 is target cell. Cell 1 is the E-UTRA cell of which specific test parameters for this test case are specified in Table A.3.7.2.1-1.

**Table A.4.7.3.2.2-1: SS-SINR Inter frequency SS-SINR supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.7.3.2.2-1: SS-SINR Inter frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3
SSB ARFCN		freq1	freq2	freq1	freq2	freq1	freq2
Duplex mode	Config 1,4			FDD			
	Config 2,3,5,6			TDD			
TDD configuration	Config 1,4			Not Applicable			
	Config 2,5			TDDConf.1.1			
	Config 3,6			TDDConf.2.1			
Downlink initial BWP configuration				DLBWP.0.1			
Downlink dedicated BWP configuration				DLBWP.1.1			
Uplink initial BWP configuration				ULBWP.0.1			
Uplink dedicated BWP configuration				ULBWP.1.1			
DRX Cycle configuration	ms			Not Applicable			
TRS configuration	Config 1, 4			TRS.1.1 FDD			
	Config 2, 5			TRS.1.1 TDD			
	Config 3, 6			TRS.1.2 TDD			
PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD		SR.1.1 FDD		SR.1.1 FDD
	Config 2,5		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD
	Config 3,6		SR.2.1 TDD		SR.2.1 TDD		SR.2.1 TDD

RMSI CORESET Reference Channel	Config 1,4		CR.1.1 FDD	-	CR.1.1 FDD	-	CR.1.1 FDD	-							
	Config 2,5		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD								
	Config 3,6		CR.2.1 TDD		CR.2.1 TDD		CR.2.1 TDD								
Dedicated CORESET Reference Channel	Config 1,4		CCR.1.1 FDD	-	CCR.1.1 FDD	-	CCR.1.1 FDD	-							
	Config 2,5		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD								
	Config 3,6		CCR.2.1 TDD		CCR.2.1 TDD		CCR.2.1 TDD								
OCNG Patterns			OP.1												
SS-RSSI-Measurement			Not Applicable												
SMTA configuration			SMTA.1												
SSB configuration	Config 1,2,4,5		SSB.1 FR1												
	Config 3,6		SSB.2 FR1												
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	15												
	Config 3,6		30												
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0							
EPRE ratio of PBCH DMRS to SSS															
EPRE ratio of PBCH to PBCH DMRS															
EPRE ratio of PDCCH DMRS to SSS															
EPRE ratio of PDCCH to PDCCH DMRS															
EPRE ratio of PDSCH DMRS to SSS															
EPRE ratio of PDSCH to PDSCH															
EPRE ratio of OCNG DMRS to SSS (Note 1)															
EPRE ratio of OCNG to OCNG DMRS (Note 1)															
$N_{oc}$ Note2	Config 1,2,4,5	dBm/15k Hz	[-80]	[-108.5]	[-119.5]			[-119]							
					[-118.5]			[-118]							
					[-117.5]			[-116.5]							
					[-116]			[-115]							
					[-114.5]			[-114.5]							
					[-113]			[-113]							
					[-112]			[-112]							
					[-111]			[-111]							
					[-110]			[-110]							
$N_{oc}$ Note2	Config 3,6	dBm/SC S	[-80]	[-108.5]	Same as Noc for 15kHz			[-116.5]							
					[-115]			[-115]							
					[-114]			[-114]							
					[-113]			[-113]							
					[-112]			[-112]							
					[-111]			[-111]							
					[-110]			[-110]							
					[-109]			[-109]							
					[-108]			[-108]							

$\hat{E}_s / I_{ot}$		dB	[ -1.75 ]	[ 20 ]	[ -4.0 ]
$\hat{E}_s / N_{oc}$		dB	[ -1.75 ]	[ 20 ]	[ -4.0 ]
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	dBm/SC S	[ -81.75 ]	[ -88.5 ]	[ -123.5 ]
					[ -123 ]
					[ -122.5 ]
					[ -122 ]
					[ -121.5 ]
			[ -78.75 ]	[ -85.5 ]	[ -120.5 ]
					[ -120 ]
					[ -119.5 ]
					[ -119 ]
					[ -118.5 ]
SS-SINR Note3	Config 3,6	dB	[ -1.75 ]	[ 20 ]	[ -117.5 ]
					[ -117 ]
					[ -120.5 ]
					[ -120 ]
					[ -119.5 ]
			[ -49.83 ]	[ -60.5 ]	[ -119 ]
					[ -118.5 ]
					[ -117.5 ]
					[ -117 ]
					[ -116 ]
Io <sup>Note3</sup>	Config 1,2,4,5	dBm/ 9.36MHz	[ -49.83 ]	[ -60.5 ]	[ -90.09 ]
					[ -89.59 ]
					[ -89.09 ]
					[ -88.59 ]
					[ -88.09 ]
					[ -87.09 ]
					[ -86.59 ]
					[ -84 ]
					[ -83.5 ]
					[ -83 ]
Io <sup>Note3</sup>	Config 3,6	dBm/ 38.16MHz	[ -43.73 ]	[ -54.41 ]	[ -82.5 ]
					[ -82 ]
					[ -81 ]
					[ -80.5 ]
					[ -80 ]
					[ -79 ]
					[ -78 ]
					[ -77 ]
					[ -76 ]
					[ -75 ]

Propagation condition	-	AWGN
Antenna configuration	-	1x2
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		
Note 4: SS-SINR, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.		
Note 5: NR operating band groups are as defined in Section 3.5.2.		

#### A.4.7.3.2.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in section 10.1.14.1.1 and 10.1.14.1.2.

#### A.4.7.4 L1-RSRP measurement for beam reporting

#### A.4.7.4 L1-RSRP measurement for beam reporting

##### A.4.7.4.1 SSB based L1-RSRP measurement

###### A.4.7.4.1.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 9.5.2 and section 10.1.19.1 for L1-RSRP measurements based on SSB with the testing configurations for NR cells in Table A.4.7.4.1.1-1.

**Table A.4.7.4.1.1-1: Applicable NR configurations for FR1 SSB based L1-RSRP test**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

###### A.4.7.4.1.2 Test parameters

In this set of test cases there are two cells in the test, E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.4.7.4.1.2-1 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.4.7.4.1.2-1.

There is no measurement gap configured in the test. Before the test, UE is configured one SSB resource set with two SSB resources. UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSB resources 0 and 1.

**Table A.4.7.4.1.2-1: FR1 SSB based L1-RSRP test parameters**

Parameter	Config	Unit	Test 1	Test 2
SSB GSCN	1~6		freq1	freq1
Duplex mode	1,4		FDD	FDD
	2,5		TDD	TDD
	3,6		TDD	TDD

TDD Configuration	1,4		N/A	N/A
	2,5		TDDConf.1.1	TDDConf.1.1
	3,6		TDDConf.2.1	TDDConf.2.1
BW <sub>channel</sub>	1,4	MHz	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	2,5		10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	3,6		40: N <sub>RB,c</sub> = 106	40: N <sub>RB,c</sub> = 106
PDSCH Reference measurement channel	1,4		SR.1.1 FDD	SR.1.1 FDD
	2,5		SR.1.1 TDD	SR.1.1 TDD
	3,6		SR.2.1 TDD	SR.2.1 TDD
RMSI CORESET Reference Channel	1,4		CR.1.1 FDD	CR.1.1 FDD
	2,5		CR.1.1 TDD	CR.1.1 TDD
	3,6		CR.2.1 TDD	CR.2.1 TDD
Dedicated CORESET Reference Channel	1,4		CCR.1.1 FDD	CCR.1.1 FDD
	2,5		CCR.1.1 TDD	CCR.1.1 TDD
	3,6		CCR.2.1 TDD	CCR.2.1 TDD
SSB configuration	1,4		SSB.3 FR1	SSB.3 FR1
	2,5		SSB.3 FR1	SSB.3 FR1
	3,6		SSB.4 FR1	SSB.4 FR1
OCNG Patterns	1~6		OP.1	OP.1
TRS configuration	1,4		TRS.1.1 FDD	TRS.1.1 FDD
	2,5		TRS.1.1 TDD	TRS.1.1 TDD
	3,6		TRS.1.2 TDD	TRS.1.2 TDD
Initial BWP Configuration	1~6		DLBWP.0.1	DLBWP.0.1
Dedicated BWP configuration	1~6		ULBWP.0.1	ULBWP.0.1
SMTC configuration	1~6		DLBWP.1.1	DLBWP.1.1
reportConfigType	1~6		ULBWP.1.1	ULBWP.1.1
reportQuantity	1~6		SMTC.1	SMTC.1
Number of reported RS	1~6		periodic	periodic
L1-RSRP reporting period	1~6		ssb-Index-RSRP	ssb-Index-RSRP
EPRE ratio of PSS to SSS	1~6	dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS Note 1				
EPRE ratio of OCNG to OCNG DMRS Note 1				
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15kHz	-94.65	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114
	NR_FDD_FR1_H			-113.5
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SSB SCS	-94.65	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5

	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114
	NR_FDD_FR1_H			-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A			-114
	NR_FDD_FR1_B			-113.5
	NR_TDD_FR1_C			-114
	NR_FDD_FR1_D, NR_TDD_FR1_D			-112.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-112
	NR_FDD_FR1_G			-111
	NR_FDD_FR1_H			-110.5
$\hat{E}_s / I_{ot}$	1~6	dB	10	-3
SSB RSRP Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2,4,5	-84.65	-120
	NR_FDD_FR1_B			-119.5
	NR_TDD_FR1_C			-119
	NR_FDD_FR1_D, NR_TDD_FR1_D			-118.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-118
	NR_FDD_FR1_G			-117
	NR_FDD_FR1_H			-116.5
Io Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	3,6	-81.65	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114
	NR_FDD_FR1_H			-113.5
$\hat{E}_s / N_{oc}$	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2,4,5	-56.28	-87.28
	NR_FDD_FR1_B			-86.78
	NR_TDD_FR1_C			-86.28
	NR_FDD_FR1_D, NR_TDD_FR1_D			-85.78
	NR_FDD_FR1_E, NR_TDD_FR1_E			-85.28
	NR_FDD_FR1_G			-84.28
	NR_FDD_FR1_H			-83.78
	NR_FDD_FR1_A, NR_TDD_FR1_A	3,6	-50.19	-81.19
	NR_FDD_FR1_B			-80.69
	NR_TDD_FR1_C			-80.19
	NR_FDD_FR1_D, NR_TDD_FR1_D			-79.69
	NR_FDD_FR1_E, NR_TDD_FR1_E			-79.19
	NR_FDD_FR1_G			-78.19
	NR_FDD_FR1_H			-77.69
$\hat{E}_s / N_{oc}$	1~6	dB	10	-3
Propagation condition	1~6		AWGN	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				

- |         |  |
|---------|--|
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power $N_{oc}$ to be fulfilled. |
| Note 3: | RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  |
| Note 4: | RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.   |

#### A.4.7.4.1.3 Test Requirements

For at least one reported L1-RSRP during 480ms, the accuracy for SSB#0 and SSB#1 of Cell 2 shall fulfil the requirements in sections 10.1.19.1.

#### A.4.7.4.2 CSI-RS based L1-RSRP measurement on resource set with repetition off

##### A.4.7.4.2.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 9.5.3 and section 10.1.19.2 for L1-RSRP measurements based on CSI-RS with the testing configurations for NR cells in Table A.4.7.4.2.1-1.

**Table A.4.7.4.2.1-1: Applicable NR configurations for FR1 CSI-RS based L1-RSRP test**

Config	Description
1	LTE FDD, NR 15 kHz CSI-RS SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz CSI-RS SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz CSI-RS SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz CSI-RS SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz CSI-RS SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz CSI-RS SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

##### A.4.7.4.2.2 Test parameters

In this set of test cases there are two cells in the test, E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.4.7.4.2.2-1 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.4.7.4.2.2-1.

There is no measurement gap configured in the test. Before the test, UE is configured one CSI-RS resource set with two CSI-RS resources. UE is configured to perform RLM and BFD based on SSB 0 and 1. CSI-RS is not transmitted in the same OFDM symbols as SSB.

**Table A.4.7.4.2.2-1: FR1 CSI-RS based L1-RSRP test parameters**

Parameter	Config	Unit	Test 1	Test 2
SSB GSCN	1-6		freq1	freq1
Duplex mode	1,4		FDD	FDD
	2,5		TDD	TDD
	3,6		TDD	TDD
TDD Configuration	1,4		N/A	N/A
	2,5		TDDConf.1.1	TDDConf.1.1
	3,6		TDDConf.2.1	TDDConf.2.1
BW <sub>channel</sub>	1,4	MHz	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	2,5		10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52

	3,6		40: $N_{RB,c} = 106$	40: $N_{RB,c} = 106$
PDSCH Reference measurement channel	1,4		SR.1.1 FDD	SR.1.1 FDD
	2,5		SR.1.1 TDD	SR.1.1 TDD
	3,6		SR.2.1 TDD	SR.2.1 TDD
RMSI CORESET Reference Channel	1,4		CR.1.1 FDD	CR.1.1 FDD
	2,5		CR.1.1 TDD	CR.1.1 TDD
	3,6		CR.2.1 TDD	CR.2.1 TDD
Dedicated CORESET Reference Channel	1,4		CCR.1.1 FDD	CCR.1.1 FDD
	2,5		CCR.1.1 TDD	CCR.1.1 TDD
	3,6		CCR.2.1 TDD	CCR.2.1 TDD
SSB configuration	1,4		SSB.1 FR1	SSB.1 FR1
	2,5		SSB.1 FR1	SSB.1 FR1
	3,6		SSB.2 FR1	SSB.2 FR1
OCNG Patterns	1~6		OP.1	OP.1
TRS configuration	1,4		TRS.1.1 FDD	TRS.1.1 FDD
	2,5		TRS.1.1 TDD	TRS.1.1 TDD
	3,6		TRS.1.2 TDD	TRS.1.2 TDD
Initial BWP Configuration	1~6		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~6		DLBWP.1.1 ULBWP.1.1	DLBWP.1.1 ULBWP.1.1
SMTC configuration	1~6		SMTC.1	SMTC.1
CSI-RS	1,4		CSI-RS 1.2 FDD	CSI-RS 1.2 FDD
	2,5		CSI-RS 1.2 TDD	CSI-RS 1.2 TDD
	3,6		CSI-RS 2.2 TDD	CSI-RS 2.2 FDD
reportConfigType	1~6		periodic	periodic
reportQuantity	1~6		cri-RSRP	cri-RSRP
Number of reported RS	1~6		2	2
L1-RSRP reporting period	1~6		slot80	slot80
EPRE ratio of PSS to SSS	1~6	dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	1~6	dBm/15kHz	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114
	NR_FDD_FR1_H			-113.5
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2,4,5	dBm/CSI-RS SCS	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114

	NR_FDD_FR1_H			-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A			-114
	NR_FDD_FR1_B			-113.5
	NR_TDD_FR1_C			-114
	NR_FDD_FR1_D, NR_TDD_FR1_D	3,6	-91.65	-112.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-112
	NR_FDD_FR1_G			-111
	NR_FDD_FR1_H			-110.5
$\hat{E}_s / I_{ot}$	1~6	dB	TBD	10
CSI-RS RSRP Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2,4,5	-84.65	-120
	NR_FDD_FR1_B			-119.5
	NR_TDD_FR1_C			-119
	NR_FDD_FR1_D, NR_TDD_FR1_D			-118.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-118
	NR_FDD_FR1_G			-117
	NR_FDD_FR1_H			-116.5
$I_o$ Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	3,6	-81.65	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114
	NR_FDD_FR1_H			-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2,4,5	-56.28	-87.28
	NR_FDD_FR1_B			-86.78
	NR_TDD_FR1_C			-86.28
	NR_FDD_FR1_D, NR_TDD_FR1_D			-85.78
	NR_FDD_FR1_E, NR_TDD_FR1_E			-85.28
	NR_FDD_FR1_G			-84.28
	NR_FDD_FR1_H			-83.78
	NR_FDD_FR1_A, NR_TDD_FR1_A	3,6	-50.19	-81.19
	NR_FDD_FR1_B			-80.69
	NR_TDD_FR1_C			-80.19
	NR_FDD_FR1_D, NR_TDD_FR1_D			-79.69
	NR_FDD_FR1_E, NR_TDD_FR1_E			-79.19
	NR_FDD_FR1_G			-78.19
	NR_FDD_FR1_H			-77.69
$\hat{E}_s / N_{oc}$	1~6	dB	10	-3
Propagation condition	1~6		AWGN	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				

- |   |
|---|
| <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> |
|---|

#### A.4.7.4.2.3 Test Requirements

The L1-RSRP measurement accuracy for CSI-RS#0 and CSI-RS#1 of Cell 2 shall fulfil the requirements in sections 10.1.19.2.

### A.4.7.5 SFTD accuracy

#### A.4.7.5.1 SFTD accuracy

##### A.4.7.5.1.1 Test Purpose and Environment

The purpose of this set of tests is to verify that the SFTD measurement accuracy is within the specified limits. This test will verify the requirements as specified in section 9.1.27 in TS 36.133 [15] for EN-DC SFTD measurements.

##### A.4.7.5.1.2 Test Parameters

Supported test configurations are shown in Table A.4.7.5.1.2-1. In this set of test cases there are two cells on different carriers. Cell 1 is E-UTRAN PCell and Cell 2 is NR FR1 PSCell. The test parameters of cell 1 are given in section A.3.7.2.1. The test parameters of cell 2 are given in Table A.4.7.5.1.2-2. The SFTD between PCell and PSCell shall be set by the test equipment to one of the time differences in Table A.4.7.5.1.2-3.

**Table A.4.7.5.1.2-1: Supported test configurations for SFTD accuracy**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD
6	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.4.7.5.1.2-2: Test parameters for SFTD accuracy**

Parameter	Config	Unit	Test 1
SSB GSCN	1~6		freq1
	1,4		FDD
	2,5		TDD
	3,6		TDD
Duplex mode	1,4		N/A
	2,5		TDDConf.1.1
	3,6		TDDConf.2.1
TDD Configuration	1,4		10: $N_{RB,c} = 52$
	2,5		10: $N_{RB,c} = 52$
	3,6		40: $N_{RB,c} = 106$
$BW_{channel}$	1,4	MHz	SR.1.1 FDD
	2,5		SR.1.1 TDD
	3,6		SR.2.1 TDD
PDSCH Reference measurement channel	1,4		
	2,5		
	3,6		

RMSI CORESET Reference Channel	1,4		CR.1.1 FDD	
	2,5		CR.1.1 TDD	
	3,6		CR.2.1 TDD	
RMC CORESET Reference Channel	1,4		CCR.1.1 FDD	
	2,5		CCR.1.1 TDD	
	3,6		CCR.2.1 TDD	
SSB configuration	1,4		SSB.1 FR1	
	2,5		SSB.1 FR1	
	3,6		SSB.2 FR1	
SMTC configuration	1~6		SMTC.1	
DL BWP configuration	1~6		DLBWP.1.1	
UL BWP configuration	1~6		ULBWP.1.1	
OCNG Patterns	1~6		OP.1	
EPRE ratio of PSS to SSS	1~6	dB	0	
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
$N_{oc}$ <sup>Note 2</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15kHz	-104	
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			
	NR_FDD_FR1_E, NR_TDD_FR1_E			
	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
$N_{oc}$ <sup>Note 2</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SSB SCS	-104	
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			
	NR_FDD_FR1_E, NR_TDD_FR1_E			
	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
$\hat{E}_s/I_{ot}$		1~6	dB	-3
$\hat{E}_s/N_{oc}$		1~6	dB	-3
SS-RSRP <sup>Note 3</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SCS	-107	
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			

	NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H NR_FDD_FR1_A, NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	3,6		-104
Io Note3	NR_FDD_FR1_A, NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	1,2,4,5	dBm/9.36 MHz	-74.28
	NR_FDD_FR1_A, NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	3,6	dBm/38.16 MHz	-68.18
Propagation condition		1~6		AWGN
Antenna configuration		1~6		1x2
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.				

Table A.4.7.5.1.2-3: Timing offsets for SFTD accuracy test

Configuration	SFN offset between PCell and PSCell	Frame boundary offset between PCell and PSCell (Ts)
1	100	-122000
2	300	-60540
3	500	1000
4	700	62540
5	900	124000

#### A.4.7.5.1.3 Test Requirements

The SFTD reported by the UE consists of 2 elements, SFN offset and frame boundary offset between PCell and PSCell. The reported SFTD accuracy shall fulfil the requirement in section 9.1.27 in TS 36.133 [15].

A.4.7.5.2    Void

A.4.7.5.3    Void

## A.4.8    Void

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## A.5    EN-DC tests with one or more NR cells in FR2

A.5.1    Void

A.5.2    Void

A.5.3    RRC\_CONNECTED state mobility

A.5.3.1    Void

A.5.3.2    RRC Connection Mobility Control

A.5.3.2.1    Void

A.5.3.2.2    Random Access

A.5.3.2.2.1    Contention based random access test in FR2 for PSCell/SCell in EN-DC

A.5.3.2.2.1.1    Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.2 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in section A.3.7.2.1 and Cell 2 configured as PSCell or SCell in FR2. Supported test parameters are shown in Table A.5.3.2.2.1.1-1. UE capable of EN-DC with PSCell or SCell in FR2 needs to be tested by using the parameters in Table A.5.3.2.2.1.1-2 and Table A.5.3.2.2.1.1-3.

**Table A.5.3.2.2.1.1-1: Supported test configurations for non-contention based random access test in FR2 for PSCell/SCell in EN-DC**

Config	Description
1	LTE FDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.5.3.2.2.1.1-2: General test parameters for contention based random access test in FR2 for PSCell/SCell in EN-DC**

<b>Parameter</b>		<b>Unit</b>	<b>Test-1</b>	<b>Comments</b>		
SSB Configuration	Config 1,2		SSB pattern 1 in FR2	As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below		
Number of SSBs per SS-burst			2	Different from the definition in A.3.10		
SS/PBCH block index			0,1	Different from the definition in A.3.10		
Duplex Mode for Cell 2	Config 1,2		TDD			
TDD Configuration	Config 1,2		TDDConf.3.1			
OCNG Pattern <sup>Note 1</sup>			OCNG pattern 1	As defined in A.3.2.1.		
PDSCH parameters <sup>Note 2</sup>	Config 1,2		SR3.1 TDD	As defined in A.3.1.1.		
NR RF Channel Number			1			
EPRE ratio of PSS to SSS	dB		0			
EPRE ratio of PBCH_DMRS to SSS	dB					
EPRE ratio of PBCH to PBCH_DMRS	dB					
EPRE ratio of PDCCH_DMRS to SSS	dB					
EPRE ratio of PDCCH to PDCCH_DMRS	dB					
EPRE ratio of PDSCH_DMRS to SSS	dB					
EPRE ratio of PDSCH to PDSCH_DMRS	dB					
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.					
Note 2:	The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.					

**Table A.5.3.2.2.1.1-3: OTA-related test parameters for contention based random access test in FR2 for PSCell/SCell in EN-DC**

Parameter		Unit	Test-1	Comments
AoA setup			Setup 2b	As defined in A.3.15.2.2.
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	4	SSB with index 0 is signalled to be above configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	[10] dB larger than SSB_RP for SSB index 1	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	-6	SSB with index 1 is signalled to be below configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	Minimum SSB_RP value is dependent on band and power class as specified for spherical coverage AoA in Table B.2.2-2	
ss-PBCH-BlockPower		dBm/ SCS	TBD	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{CMAX, f, c}$ )		dBm	TBD	As defined in clause 6.2.4 in TS 38.101-2.
PRACH Configuration			FR2 PRACH configuration 1	As defined in A.3.8.3.
Propagation Condition		-	AWGN	
Note 1: void. Note 2: void.				

### A.5.3.2.2.1.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

#### A.5.3.2.2.1.2.1 Random Access Preamble Transmission

To test the UE behavior specified in Subclause 6.2.2.2.1.1 the System Simulator shall receive the Random Access Preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured  $rsrp\text{-}ThresholdSSB$ .

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.5.3.2.2.1.2.2 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.1.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if all received

Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.5.3.2.2.1.2.3 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.1.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2.. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.5.3.2.2.1.2.4 Receiving a UL grant for msg3 retransmission

To test the UE behavior specified in subclause 6.2.2.2.1.4 the System Simulator shall provide an UL grant for msg3 retransmission following a successful Random Access Response.

The UE shall re-transmit the msg3 upon the reception of an UL grant for msg3 retransmission.

#### A.5.3.2.2.1.2.5 Reception of an Incorrect Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element *not* matching the CCCH SDU transmitted in msg3 uplink message.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

#### A.5.3.2.2.1.2.6 Reception of a Correct Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element matching the CCCH SDU transmitted in the msg3 uplink message.

The UE shall send ACK if the Contention Resolution is successful.

#### A.5.3.2.2.1.2.7 Contention Resolution Timer expiry

To test the UE behavior specified in Subclause 6.2.2.2.1.6 the System Simulator shall *not* send a response to a msg3.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if the Contention Resolution Timer expires.

#### A.5.3.2.2.2 Non-contention based random access test in FR2 for PSCell/SCell in EN-DC

##### A.5.3.2.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in clause 6.2.2.2 and clause 7.1.2 in an AWGN model.

For this test two cells are used, with the configuration of Cell 1 (E-UTRA PCell) specified in section A.3.7.2.1 and Cell 2 configured as PSCell or SCell in FR2. Supported test parameters are shown in Table A.5.3.2.2.2.1-1. UE capable of EN-DC withPSCell or SCell in FR2 needs to be tested by using the parameters in Table A.5.3.2.2.2.1-2 and Table A.5.3.2.2.2.1-3 for SSB-based non-contention based random access test (Test 1) and CSI-RS-based non-contention based random access test (Test 2).

**Table A.5.3.2.2.2.1-1: Supported test configurations for non-contention based random access test in FR2 for PSCell/SCell in EN-DC**

Config	Description
1	LTE FDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.5.3.2.2.2.1-2: General test parameters for non-contention based random access test in FR2 for PSCell/SCell in EN-DC**

<b>Parameter</b>		<b>Unit</b>	<b>Test-1</b>	<b>Test-2</b>	<b>Comments</b>			
SSB Configuration	Config 1,2		SSB pattern 1 in FR2	SSB pattern 1 in FR2	As defined in A.3.10, except of Number of SSBs per SS-burst and SS/PBCH block index as below			
Number of SSBs per SS-burst			2	2	Different from the definition in A.3.10			
SS/PBCH block index			0,1	0,1	Different from the definition in A.3.10			
CSI-RS Configuration	Config 1,2		N/A	CSI-RS.3.1 TDD	As defined in A.3.1.4			
Duplex Mode for Cell 2	Config 1,2		TDD	TDD				
TDD Configuration	Config 1,2		TDDConf.3.1	TDDConf.3.1				
OCNG Pattern <sup>Note 1</sup>			OCNG pattern 1	OCNG pattern 1	As defined in A.3.2.1.			
PDSCH parameters <sup>Note 2</sup>	Config 1,2		SR3.1 TDD	SR3.1 TDD	As defined in A.3.1.1.			
NR RF Channel Number			1	1				
EPRE ratio of PSS to SSS	dB		0	0				
EPRE ratio of PBCH_DMRS to SSS	dB							
EPRE ratio of PBCH to PBCH_DMRS	dB							
EPRE ratio of PDCCH_DMRS to SSS	dB							
EPRE ratio of PDCCH to PDCCH_DMRS	dB							
EPRE ratio of PDSCH_DMRS to SSS	dB							
EPRE ratio of PDSCH to PDSCH_DMRS	dB							
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.								
Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.								

**Table A.5.3.2.2.2.1-3: OTA-related test parameters for non-contention based random access test in FR2 for PSCell/SCell in EN-DC**

Parameter		Unit	Test-1	Test-2	Comments
AoA setup			Setup 2b	Setup 2b	As defined in A.3.15.2.2.
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	4	4	SSB with index 0 is signalled to be above configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	[10] dB larger than SSB_RP for SSB index 1	[10] dB larger than SSB_RP for SSB index 1	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	-6	-6	SSB with index 1 is signalled to be below configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	Minimum SSB_RP value is dependent on band and power class as specified for spherical coverage AoA in Table B.2.2-2	Minimum SSB_RP value is dependent on band and power class as specified for spherical coverage AoA in Table B.2.2-2	
ss-PBCH-BlockPower		dBm/SCS	TBD	TBD	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{CMAX, f,c}$ )		dBm	TBD	TBD	As defined in clause 6.2.4 in TS 38.101-2.
PRACH Configuration		-	FR2 PRACH configuration 2	FR2 PRACH configuration 3	As defined in A.3.8.3.
Propagation Condition		-	AWGN	AWGN	
Note 1: void. Note 2: void.					

### A.5.3.2.2.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

#### A.5.3.2.2.2.1 SSB-based Random Access Preamble Transmission

In Test-1, to test the UE behavior specified in Subclause 6.2.2.2.1 for SSB-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the SSB with index 0.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.5.3.2.2.2.2 CSI-RS-based Random Access Preamble Transmission

In Test-2, to test the UE behavior specified in Subclause 6.2.2.2.1 for CSI-RS-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions

associated with CSI-RSs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the CSI-RS configured.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the CSI-RS configured, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-OccasionList*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.5.3.2.2.2.3 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.5.3.2.2.2.4 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.2.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window configured in *RACH-ConfigCommon*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

A.5.3.2.3    Void

## A.5.4    Timing

### A.5.4.1    UE transmit timing

#### A.5.4.1.1    NR UE Transmit Timing Test for FR2

##### A.5.4.1.1.1    Test Purpose and environment

The purpose of this test is to verify that the UE can follow frame timing change of the connected gNodeb and that the UE initial transmit timing accuracy, maximum amount of timing change in one adjustment, minimum and maximum adjustment rate are within the specified limits. This test will verify the requirements in clause 7.1.2.

Supported test configurations are shown in Table 5.4.1.1.1-1.

**Table A.5.4.1.1.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz
2	LTE TDD, NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz

For this test a single NR cell configured as EN-DC PSCell is used. Table A.5.4.1.1.1-2 defines the parameters to be configured and strength of the transmitted signals. The transmit timing is verified by the UE transmitting SRS using the configuration defined in Table A.5.4.1.1.1-3.

**Table A.5.4.1.1.1-2: Cell Specific Test Parameters for UL Transmit Timing test**

Parameter	Unit	Config	Test1	Test2	Band Group
SSB ARFCN		1,2	Freq1	Freq1	
Duplex Mode		1,2	TDD		
TDD configuration		1,2	TDDConf.1.2		
BW <sub>channel</sub>	MHz	1,2	100: NRB,c = 66		
Initial BWP Configuration		1,2	DLBWP.0.1 ULBWP.0.1		
Dedicated BWP Configuration		1,2	DLBWP.1.1 ULBWP.1.1		
TRS Configuration		1,2	TRS.2.1 TDD		
TCI State		1,2	CSI-RS.Config.0		
DRx Cycle	ms	1,2	N/A	DRX.5 <sup>Note5</sup>	
PDSCH Reference measurement channel		1,2	SR.3.1 TDD		
CORESET Reference Channel		1,2	CR.3.1 TDD		
OCNG Patterns		1,2	OCNG pattern 1		
PDSCH/PDCCH subcarrier spacing	kHz	1,2	120		
EPRE ratio of PSS to SSS					
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					

EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS (Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
$N_{oc}^{Note2}$	dBm/15 kHz	1,2	TBD	TBD	
$N_{oc}^{Note2}$	dBm/SCS	1,2	TBD	TBD	
$\hat{E}_s/I_{ot}$		1,2	3	3	
$\hat{E}_s/N_{oc}$		1,2	3	3	
SS-RSRP <sup>Note3</sup>	dBm/SCS	1,2	TBD	TBD	
Io <sup>Note3</sup>	dBm/95MHz	1,2	TBD	TBD	
Propagation condition		1,2	AWGN		
SRS Config		1,2	Config1 <sup>Note6</sup>	Config2 <sup>Note6</sup>	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					
Note 5: DRx related parameters are given in Table A.3.3.5-1					
Note 6: SRS configs are given in Table A.5.4.1.1.1-3					

**Table A.5.4.1.1.1-3: SRS Configuration for Timing Accuracy Test**

	Field	Config1	Config 2	Comments
SRS-ResourceSet	srs-ResourceSetId	0	0	
	srs-ResourceIdList	0	0	
	resourceType	Periodic	Periodic	
	Usage	Codebook	Codebook	
SRS-Resource	SRS-ResourceId	0	0	
	nrofSRS-Ports	Port1	Port1	
	transmissionComb	n2	n2	
	combOffset-n2	0	0	
	cyclicShift-n2	0	0	
	resourceMapping startPosition	0	0	
	resourceMapping nrofSymbols	n1	n1	
	resourceMapping repetitionFactor	n1	n1	
	freqDomainPosition	0	0	
	freqDomainShift	0	0	
	freqHopping c-SRS	sl1	sl1	
	freqHopping b-SRS	0	0	
	freqHopping b-hop	0	0	
	groupOrSequenceHopping	Neither	Neither	
	resourceType	Periodic	Periodic	

	periodicityAndOffset-p	sl1,0	sl640,0	Offset to align with DRx periodicity
	sequenceld	0	0	Any 10 bit number

**Table A.5.4.1.1.1-4: Void**

#### A.5.4.1.1.2 Test requirements

The test sequence shall be carried out in RRC\_CONNECTED for every test case.

Following will be the test sequence for this test

- 1) Set up E-UTRA PCell according to parameters given in Table A.3.7.2.2-1 and setup NR PSCell according to parameters given in Table A.5.4.1.1.1-1.
- 2) After connection set up with the cell, the test equipment will verify that the timing of the NR cell is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB.
  - a. The  $N_{TA}$  offset value (in  $T_c$  units) is 13792
  - b. The  $T_e$  values depend on the DL and UL SCS for which the test is being run and are given in Table 7.1.2-1
- 3) The test system shall adjust the timing of the DL path by values given in Table A.5.4.1.1.2-1

**Table A.5.4.1.1.2-1 Adjustment Value for DL Timing**

SCS of SSB signals (KHz)	Adjustment Value	
	Test1	Test2
240	+8*64T <sub>c</sub>	+4*64T <sub>c</sub>

- 4) The test system shall verify that the adjustment step size and the adjustment rate shall be according to requirements specified in Section 7.1.2 Table 7.1.2-3 until the UE transmit timing offset is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  respective to the first detected path (in time) of DL SSB. Skip this step for test 2 with DRX configured.
- 5) The test system shall verify that the UE transmit timing offset stays within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB. For Test 2 the UE transmit timing offset shall be verified for the first transmission in the DRX cycle immediately after DL timing adjustment

#### A.5.4.2 UE timer accuracy

##### A.5.4.3 Timing advance

###### A.5.4.3.1 EN-DC FR2 timing advance adjustment accuracy

###### A.5.4.3.1.1 Test Purpose and Environment

The purpose of the test is to verify UE Timing Advance adjustment delay and accuracy requirement defined in clause 7.3.

### A.5.4.3.1.2 Test Parameters

Supported test configurations are shown in table A.5.4.3.1.2-1. Both timing advance adjustment delay and accuracy are tested by using the parameters in table A.5.4.3.1.2-2, A.5.4.3.1.2-3 and A.5.4.3.1.2-4. The configuration of Cell 1 (LTE PCell) is specified in section A.3.7.2.1.

In all test cases, two cells are used. Cell 1 is the PCell in the primary Timing Advance Group (pTAG) and cell 2 is the PSCell in the secondary Timing Advance Group (sTAG). Each test consists of two successive time periods, with time duration of T1 and T2 respectively. In each time period, timing advance commands for sTAG are sent to the UE and Sounding Reference Signals (SRS), as specified in table A.5.4.3.1.2-3, are sent from the UE and received by the test equipment. By measuring the reception of the SRS, the transmit timing, and hence the timing advance adjustment accuracy, can be measured for PSCell in sTAG.

During time period T1, the test equipment shall send one message with a Timing Advance Command MAC Control Element for sTAG, as specified in clause 6.1.3.4 in TS 38.321 [7]. The Timing Advance Command value shall be set to 31, which according to clause 4.2 in TS 38.213 [3] results in zero adjustment of the Timing Advance. In this way, a reference value for the timing advance for sTAG used by the UE is established.

During time period T2, the test equipment shall send a sequence of messages with Timing Advance Command MAC Control Elements for sTAG, with Timing Advance Command value specified in table A.5.4.3.1.2-2. This value shall result in changes of the timing advance for sTAG used by the UE, and the accuracy of the change shall then be measured, using the SRS sent from the UE.

As specified in clause 7.3.2.1, the UE adjusts its uplink timing at slot n+k for a timing advance command received in slot n. This delay must be taken into account when measuring the timing advance adjustment accuracy, via the SRS sent from the UE.

The UE Time Alignment Timer, described in clause 5.2 in TS 38.321, shall be configured so that it does not expire in the duration of the test.

**Table A.5.4.3.1.2-1: Timing advance supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.4.3.1.2-2: General test parameters for timing advance**

Parameter	Unit	Value	Comment
RF channel number		Cell 1: 1 Cell 2: 2	1 for E-UTRAN PCell 2 for NR PSCell
Initial DL BWP		DLBWP.0.1	As specified in Table A.3.9.2.1-1
Dedicated DL BWP		DLBWP.1.1	As specified in Table A.3.9.2.2-1
Initial UL BWP		ULBWP.0.1	As specified in Table A.3.9.3.1-1
Dedicated UL BWP		ULBWP.1.1	As specified in Table A.3.9.3.2-1
Timing Advance Command ( $T_A$ ) value during T1		31	$N_{TA\_new} = N_{TA\_old}$ for the purpose of establishing a reference value from which the timing advance adjustment accuracy can be measured during T2
Timing Advance Command ( $T_A$ ) value during T2		39	$N_{TA\_new} = N_{TA\_old} + 8192 * T_c$ (based on equation in clause 4.2 of TS 38.213 [3])
T1	s	5	
T2	s	5	

**Table A.5.4.3.1.2-3: Cell specific test parameters for timing advance**

Parameter	Unit	Test1	
		T1	T2
Duplex mode		TDD	
TDD configuration		TDDConf.3.1	
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	100: N <sub>RB,c</sub> = 66	
DRx Cycle	ms	Not Applicable	
PDSCH Reference measurement channel		SR.3.1 TDD	
CORESET Reference Channel		CR.3.1 TDD	
TRS configuration		TRS.2.1 TDD	
TCI configuration		CSI-RS.Config.0	
OCNG Patterns		OCNG pattern 1	
SMTC configuration		SMTC.1 FR2	
PDSCH/PDCCH subcarrier spacing	kHz	120 kHz	
PUCCH/PUSCH subcarrier spacing	kHz	120 kHz	
EPRE ratio of PSS to SSS	dB	0	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS(Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
UE orientation around TBD axis and TBD axis	degrees	TBD	
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	-98	
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	-89	
$\hat{E}_s / I_{ot}$	dB	3	
$\hat{E}_s / N_{oc}$	dB	3	
I <sub>o</sub> <sup>Note3</sup>	dBm/95.04MHz	-57.96	
Propagation condition	-	AWGN	

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

**Table A.5.4.3.1.2-4: Sounding Reference Symbol Configuration for timing advance**

Field	Value	Comment
c-SRS	16	Frequency hopping is disabled
b-SRS	0	
b-hop	0	
freqDomainPosition	0	
freqDomainShift	0	Frequency domain position of SRS
groupOrSequenceHopping	neither	No group or sequence hopping
SRS-PeriodicityAndOffset	sl5=0	Once every 5 slots
pathlossReferenceRS	ssb-Index=0	SSB #0 is used for SRS path loss estimation
usage	nonCodebook	Non-codebook based UL transmission
startPosition	0	resourceMapping setting. SRS on last symbol of slot, and 1symbols for SRS without repetition.
nrofSymbols	n1	
repetitionFactor	n1	
combOffset-n2	0	transmissionComb setting
cyclicShift-n2	0	
nrofSRS-Ports	port1	Number of antenna ports used for SRS transmission

Note: For further information see clause 6.3.2 in TS 38.331 [2].

### A.5.4.3.1.3 Test Requirements

The UE shall apply the signalled Timing Advance value for PSCell in sTAG to the transmission timing at the designated activation time i.e.  $k+1$  slots after the reception of the timing advance command, where  $k = 24$ .

The Timing Advance adjustment accuracy for PSCell in sTAG shall be within the limits specified in clause 7.3.2.2.

The rate of correct Timing Advance adjustments observed during repeated tests shall be at least 90%.

## A.5.5 Signaling characteristics

### A.5.5.1 Radio link Monitoring

In the following section, any uplink signal transmitted by the UE is used for detecting the In-/Out-of-Sync state of the UE. In terms of measurement, the uplink signal is verified on the basis of the UE output power:

*Editor note: The metric for the detection of the UE UL transmitted signal by the TE is FFS.*

#### A.5.5.1.1 Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with SSB-based RLM RS in non-DRX mode

##### A.5.5.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.5.5.1.1.1-1. The test parameters are given in Tables A.5.5.1.1.1-2, A.5.5.1.1.1-3, and A.5.5.1.1.1-4 below. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.1.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with

a reporting periodicity of 5 ms. UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 1.

**Table A.5.5.1.1.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.1.1-2: General test parameters for FR2 out-of-sync testing in non-DRX mode**

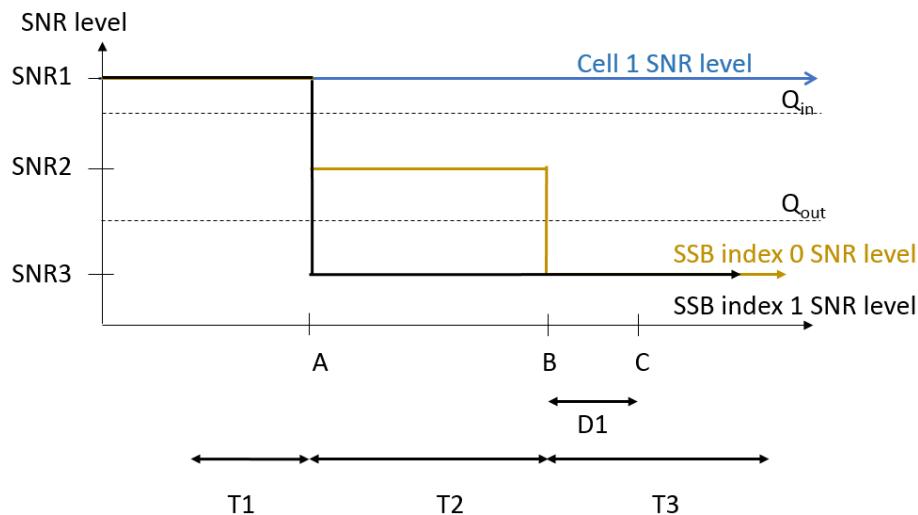
Parameter		Unit	Value
			Test 1
Active E-UTRA PCell			Cell 1
E-UTRA RF Channel Number			1
Active PSCell			Cell 2
RF Channel Number			2
Duplex mode	Config 1, 2		TDD
BW <sub>channel</sub>	Config 1, 2		100: N <sub>RB,c</sub> = 66
DL initial BWP configuration	Config 1, 2		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2		DLBWP.1.1
UL initial BWP configuration	Config 1, 2		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2		ULBWP.1.1
TDD Configuration	Config 1, 2		TDDConf.3.1
CORESET Reference Channel	Config 1, 2		CR.3.1 TDD
SSB Configuration	Config 1, 2		SSB.1 FR2
SMTC Configuration	Config 1, 2		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2		120 KHz
PRACH Configuration	Config 1, 2		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1, 2		0,1
OCNG parameters			OP.2
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			gp0
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]
T1	s		[1]
T2	s		[10]
T3	s		[12]
D1	s		[9.64]
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			
Note 3: E-UTRAN is in non-DRX mode under test.			

**Table A.5.5.1.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for out-of-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1		
		T1	T2	T3
AoA setup		Setup 3 defined in A.3.15		
EPRE ratio of PDCCH DMRS to SSS	dB	4		
EPRE ratio of PDCCH to PDCCH DMRS	dB	0		
EPRE ratio of PBCH DMRS to SSS	dB	0		
EPRE ratio of PBCH to PBCH DMRS	dB			
EPRE ratio of PSS to SSS	dB			
EPRE ratio of PDSCH DMRS to SSS	dB			
EPRE ratio of PDSCH to PDSCH DMRS	dB			
EPRE ratio of OCNG DMRS to SSS	dB			
EPRE ratio of OCNG to OCNG DMRS	dB			
ssb-Index 0 SNR	Config 1, 2	dB	1	-7
ssb-Index 1 SNR	Config 1, 2		1	-15
$N_{oc}$	Config 1, 2	dBm/1 5KHz	TBD	
Propagation condition			TDL-A 30ns 75Hz	
Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG. Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs. Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.				

**Table A.5.5.1.1-4: Measurement gap configuration for out-of-sync tests in non-DRX mode**

Field	Test 1	
	Value	
gapOffset	0	
Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap).		



**Figure A.5.5.1.1.1-1: SNR variation for out-of-sync testing**

#### A.5.5.1.1.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.2 Radio Link Monitoring In-sync Test for FR2 PSCell configured with SSB-based RLM RS in non-DRX mode

##### A.5.5.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.5.5.1.2.1-1. The test parameters are given in Tables A.5.5.1.2.1-2, and A.5.5.1.2.1-3 below. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.2.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5ms.

**Table A.5.5.1.2.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.2.1-2: General test parameters for FR2 in-sync testing in non-DRX mode**

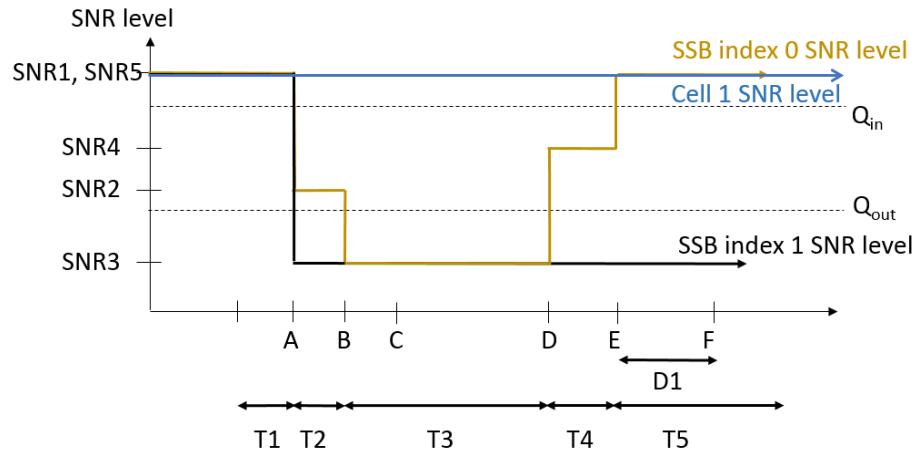
Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Ce1l 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode	Config 1, 2	TDD
BW <sub>channel</sub>	Config 1, 2	100: N <sub>RB,c</sub> = 66
DL initial BWP configuration	Config 1, 2	DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2	DLBWP.1.1
UL initial BWP configuration	Config 1, 2	ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2	ULBWP.1.1
TDD Configuration	Config 1, 2	TDDConf.3.1
CORESET Reference Channel	Config 1, 2	CR.3.1 TDD
SSB Configuration	Config 1, 2	SSB.1 FR2
SMTC Configuration	Config 1, 2	SMTC.3
PDSCH/PDCCH subcarrier spacing	Config 1, 2	120 KHz
PRACH Configuration	Config 1, 2	Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1, 2	0,1
OCNG parameters		OP.2
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
In sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB
	DMRS precoder granularity	REG bundle size
	REG bundle size	6
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB
	DMRS precoder granularity	REG bundle size
	REG bundle size	6
DRX		OFF
Gap pattern ID		N.A.
Layer 3 filtering		Enabled
T310 timer	ms	[6000]
T311 timer	ms	1000
N310		1
N311		1
CSI-RS configuration	Config 1, 2	[CSI-RS.3.3 TDD]
TCI states		[TCI.State.0]
CSI-RS for tracking	Config 1, 2	[TRS.2.1 TDD]

T1	s	[0.5]
T2	s	[2]
T3	s	[1.86]
T4	s	[0.02]
T5	s	[7]
D1	s	[6.5]

Note 1: All configurations are assigned to the UE prior to the start of time period T1.  
Note 2: UE-specific PDCCH is not transmitted after T1 starts.  
Note 3: E-UTRAN is in non-DRX mode under test.

**Table A.5.5.1.2.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for in-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1													
		T1	T2	T3	T4	T5									
AoA setup		Setup 3 defined in A.3.15													
EPRE ratio of PDCCH DMRS to SSS	dB			4											
EPRE ratio of PDCCH to PDCCH DMRS	dB			0											
EPRE ratio of PBCH DMRS to SSS	dB														
EPRE ratio of PBCH to PBCH DMRS	dB														
EPRE ratio of PSS to SSS	dB														
EPRE ratio of PDSCH DMRS to SSS	dB					0									
EPRE ratio of PDSCH to PDSCH DMRS	dB														
EPRE ratio of OCNG DMRS to SSS	dB														
EPRE ratio of OCNG to OCNG DMRS	dB														
ssb-Index 0 SNR	Config 1, 2	dB	1	-7	-15	-4.5									
ssb-Index 1 SNR	Config 1, 2		1	-15	-15	-15									
$N_{oc}$	Config 1, 2	dBm/1 5KHz	TBD												
Propagation condition		TDL-A 30ns 75Hz													
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.														
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.														
Note 4:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.														

**Table A.5.5.1.2.1-4: Void****Figure A.5.5.1.2.1-1: SNR variation for in-sync testing**

### A.5.5.1.2.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.1.3 Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with SSB-based RLM RS in DRX mode

#### A.5.5.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.5.5.1.3.1-1. The test parameters are given in Tables A.5.5.1.3.1-2, and A.5.5.1.3.1-3. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.3.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.5.5.1.3.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.3.1-2: General test parameters for FR2 out-of-sync testing in DRX mode**

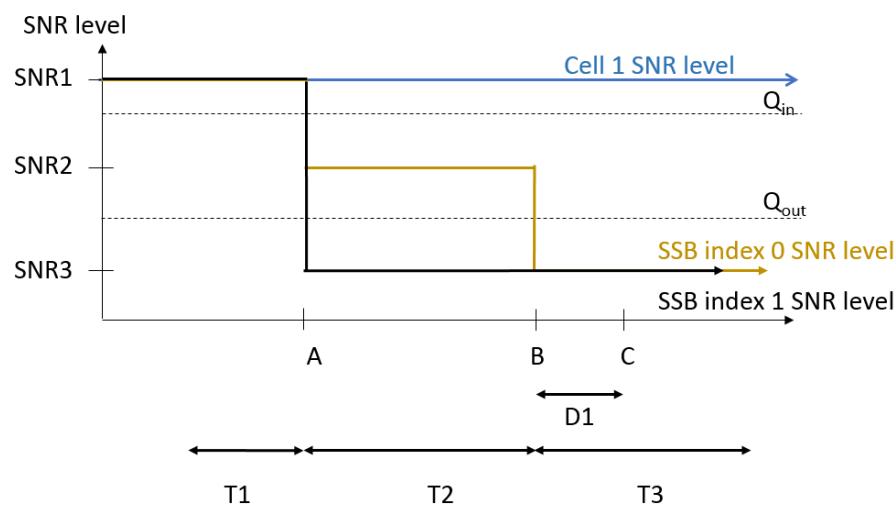
Parameter		Unit	Value
			Test 1
Active E-UTRA PCell			Cell 1
E-UTRA RF Channel Number			1
Active PSCell			Cell 2
RF Channel Number			2
Duplex mode	Config 1, 2		TDD
BW <sub>channel</sub>	Config 1, 2		100: N <sub>RB,C</sub> = 66
DL initial BWP configuration	Config 1, 2		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2		DLBWP.1.1
UL initial BWP configuration	Config 1, 2		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2		ULBWP.1.1
TDD Configuration	Config 1, 2		TDDConf.3.1
CORESET Reference Channel	Config 1, 2		CR.3.1 TDD
SSB Configuration	Config 1, 2		SSB.1 FR2
SMTC Configuration	Config 1, 2		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2		120 KHz
PRACH Configuration	Config 1, 2		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1, 2		0,1
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			[DRX.3]
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]
T1	s		[4]
T2	s		[15]
T3	s		[15]
D1	s		[14.44]
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			
Note 3: E-UTRAN is in non-DRX mode under test.			

**Table A.5.5.1.3.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for out-of-sync radio link monitoring tests in DRX mode**

Parameter	Unit	Test 1							
		T1	T2	T3					
AoA setup		Setup 1 defined in A.3.15							
EPRE ratio of PDCCH DMRS to SSS	dB		4						
EPRE ratio of PDCCH to PDCCH DMRS	dB		0						
EPRE ratio of PBCH DMRS to SSS	dB								
EPRE ratio of PBCH to PBCH DMRS	dB								
EPRE ratio of PSS to SSS	dB								
EPRE ratio of PDSCH DMRS to SSS	dB								
EPRE ratio of PDSCH to PDSCH DMRS	dB								
EPRE ratio of OCNG DMRS to SSS	dB								
EPRE ratio of OCNG to OCNG DMRS	dB								
ssb-Index 0 SNR	Config 1, 2	1	-7						
ssb-Index 1 SNR	Config 1, 2	1	-15						
$N_{oc}$	Config 1, 2	TBD							
Propagation condition				TDL-A 30ns 75Hz					
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.								
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.								
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.								
Note 4:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.								

**Table A.5.5.1.3.1-4: Void**

**Table A.5.5.1.3.1-5: Void**



**Figure A.5.5.1.3.1-1: SNR variation for out-of-sync testing**

### A.5.5.1.3.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.1.4 Radio Link Monitoring In-sync Test for FR2 PSCell configured with SSB-based RLM RS in DRX mode

#### A.5.5.1.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PSCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.5.5.1.4.1-1. The test parameters are given in Tables A.5.5.1.4.1-2, and A.5.5.1.4.1-3. There are two cells, Cell 1 is the E-UTRAN PCell, and Cell 2 is the PSCell, in the test. The E-UTRAN PCell setting refers to Table A.3.7.2.1-2. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.4.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1 and Cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.5.5.1.4.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	FDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, NR 120 KHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations in FR2	

**Table A.5.5.1.4.1-2: General test parameters for FR2 in-sync testing in DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex mode	Config 1, 2	TDD
BW <sub>channel</sub>	Config 1, 2	100: N <sub>RB,C</sub> = 66
DL initial BWP configuration	Config 1, 2	DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2	DLBWP.1.1
UL initial BWP configuration	Config 1, 2	ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2	ULBWP.1.1
TDD Configuration	Config 1, 2	TDDConf.3.1

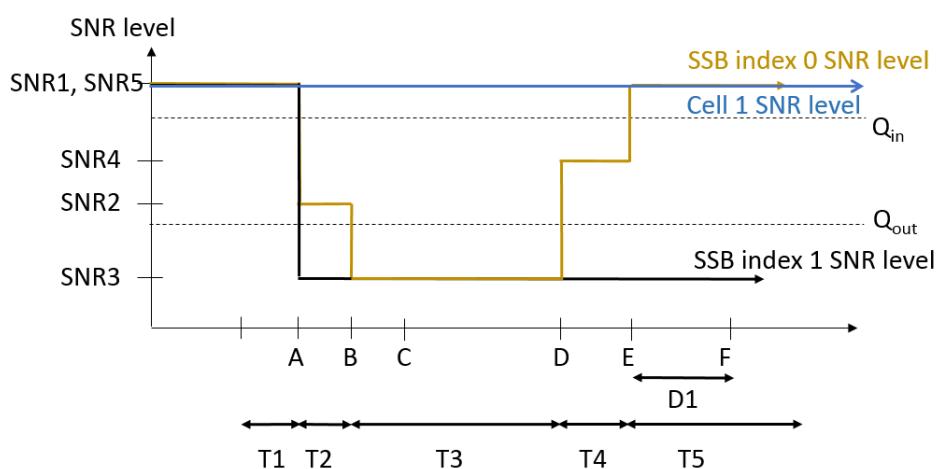
CORESET Reference Channel	Config 1, 2		CR.3.1 TDD
SSB Configuration	Config 1, 2		SSB.1 FR2
SMTC Configuration	Config 1, 2		SMTC.3
PDSCH/PDCCH subcarrier spacing	Config 1, 2		120 KHz
PRACH Configuration	Config 1, 2		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1, 2		0,1
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			[DRX.3]
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		[6000]
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]
T1	s		[4]
T2	s		[6]
T3	s		[5.54]
T4	s		[0.02]
T5	s		[7]
D1	s		[6.5]
Note 1:	All configurations are assigned to the UE prior to the start of time period T1.		
Note 2:	UE-specific PDCCH is not transmitted after T1 starts.		
Note 3:	E-UTRAN is in non-DRX mode under test.		

**Table A.5.5.1.4.1-3: OTA related cell specific test parameters for FR2 (Cell 2) for in-sync radio link monitoring test in DRX mode**

Parameter	Unit	Test 1								
		T1	T2	T3	T4	T5				
AoA setup		Setup 1 defined in A.3.15								
EPRE ratio of PDCCH DMRS to SSS	dB			4						
EPRE ratio of PDCCH to PDCCH DMRS	dB			0						
EPRE ratio of PBCH DMRS to SSS	dB									
EPRE ratio of PBCH to PBCH DMRS	dB									
EPRE ratio of PSS to SSS	dB									
EPRE ratio of PDSCH DMRS to SSS	dB			0						
EPRE ratio of PDSCH to PDSCH DMRS	dB									
EPRE ratio of OCNG DMRS to SSS	dB									
EPRE ratio of OCNG to OCNG DMRS	dB									
ssb-Index 0 SNR	Config 1, 2	dB	1	-7	-15	-4.5				
ssb-Index 1 SNR	Config 1, 2		1	-15	-15	-15				
$N_{oc}$	Config 1, 2	dBm/1 5KHz	TBD							
Propagation condition			TDL-A 30ns 75Hz							
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.3									
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.									
Note 4:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.									

**Table A.5.5.1.4.1-4: Void**

**Table A.5.5.1.4.1-5: Void**



**Figure A.5.5.1.4.1-1: SNR variation for in-sync testing.**

### A.5.5.1.4.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.1.5 EN-DC Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with CSI-RS-based RLM in non-DRX mode

#### A.5.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.5.1-1, A.5.5.1.5.1-2, A.5.5.1.5.1-3 and A.5.5.1.5.1-3A below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.5.1-1 shows the variation of the downlink SNR in the E-UTRAN PCell and the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.5.5.1.5.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.5.1-2: General test parameters for FR2 PSCell for CSI-RS out-of-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex Mode		TDD
TDD Configuration	Config 1	TDDConf.3.1
	Config 2	TDDConf.3.1
RMC CORESET Reference Channel	Config 1	CCR.3.1 TDD
	Config 2	CCR.3.1 TDD
SSB Configuration	Config 1	SSB.1 FR2
	Config 2	SSB.1 FR2
SMTC Configuration	Config 1	SMTC.1
	Config 2	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1	120 KHz
	Config 2	120 KHz
csi-RS-Index assigned as RLM RS		TRS.2.1 TDD

TRS configuration		TRS.2.1 TDD	
TCI configuration		TCI.State.2	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Out of sync transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		<i>OFF</i>	
Gap pattern ID		<i>gp0</i>	
Layer 3 filtering		<i>Enabled</i>	
T310 timer	ms	0	
T311 timer	ms	1000	
N310		1	
N311		1	
CSI-RS configuration	Config 1	CSI-RS.3.2 TDD	
	Config 2	CSI-RS.3.2 TDD	
T1	s	1	
T2	s	0.4	
T3	s	0.6	
D1	s	0.44	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			
Note 2: E-UTRAN is in non-DRX mode under test.			

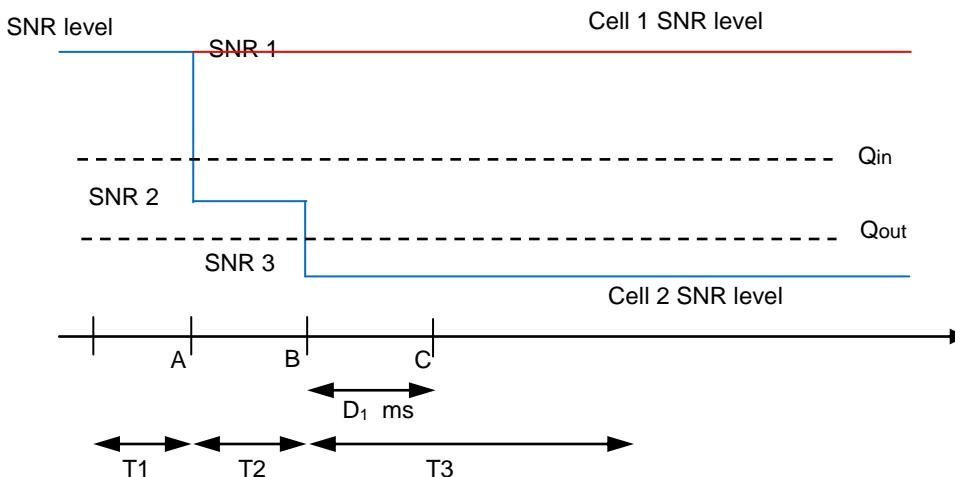
**Table A.5.5.1.5.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3		
PDCCH_beta	dB		4			
PDCCH_DMRS_beta	dB		4			
PBCH_beta	dB					
PSS_beta	dB					
SSS_beta	dB		0			
PDSCH_beta	dB					
OCNG_beta	dB					
SNR	Config 1	dB	[1]	[-7]		
	Config 2		[1]	[-7]		
$N_{oc}$	Config 1	dBm/15KHz	TBD			
	Config 2		TBD			
Propagation condition			[TDL-A 30ns 75Hz]			
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.					
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.					
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.					
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.					
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.					
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.					
Note 8:	The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.1.5.1-1.					
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].					

**Table A.5.5.1.5.1-3A: Measurement gap configuration for FR2 CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Field	Test 1	
	Value	
gapOffset	0	
Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap)		

**Table A.5.5.1.5.1-4: Void**



**Figure A.5.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.5.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1 (E-UTRAN PCell).

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 2 (PSCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 2.

The UE shall stop transmitting uplink signal in Cell 2 (PSCell) no later than time point C ( $D_1$  ms after the start of the time duration T3) on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.6 EN-DC Radio Link Monitoring In-sync Test for FR2 PSCell configured with CSI-RS-based RLM in non-DRX mode

##### A.5.5.1.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.6.1-1, A.5.5.1.6.1-2, and A.5.5.1.6.1-3 below. There are two cells, cell 1 which is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.6.1-1 shows the variation of the downlink SNR in the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gap.

**Table A.5.5.1.6.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to pass in one of the supported test configurations in FR2

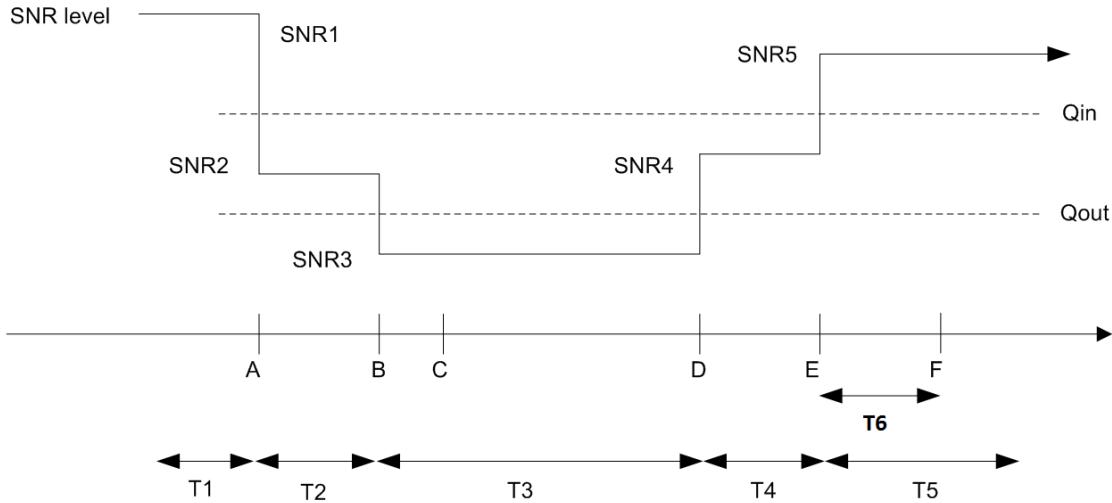
**Table A.5.5.1.6.1-2: General test parameters for FR2 PSCell for CSI-RS in-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex Mode		TDD
TDD Configuration	Config 1	TDDConf.3.1
	Config 2	TDDConf.3.1
RMC CORESET Reference Channel	Config 1	CCR.3.1 TDD
	Config 2	CCR.3.1 TDD
SSB Configuration	Config 1	SSB.1 FR2
	Config 2	SSB.1 FR2
SMTC Configuration	Config 1	SMTC.1
	Config 2	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1	120 KHz
	Config 2	120 KHz
csi-RS-Index assigned as RLM RS		TRS.2.1 TDD
OCNG parameters		OP.1
TRS configuration		TRS.2.1 TDD
TCI configuration		TCI.State.2
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	4
	DMRS precoder granularity	dB
In sync transmission parameters	REG bundle size	REG bundle size
	REG bundle size	6
	DCI format	1-0
Number of Control OFDM symbols		2
	Aggregation level	CCE

	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD
	Config 2		CSI-RS.3.2 TDD
T1	s		1
T2	s		0.4
T3	s		[0.6]
D1	s		[0.24]
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			
Note 2: E-UTRAN is in non-DRX mode under test.			

**Table A.5.5.1.6.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3	T4	T5
PDCCH_beta	dB			4		
PDCCH_DMRS_beta	dB			4		
PBCH_beta	dB					
PSS_beta	dB					
SSS_beta	dB					0
PDSCH_beta	dB					
OCNG_beta	dB					
SNR	Config 1, 2	dB	[1]	[-7]	[-15]	[-4.5]
$N_{oc}$	Config 1, 2	dBm/15KHz				TBD
Propagation condition						[TDL-A 30ns 75Hz]
Note 1:	OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.					
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.					
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.					
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.					
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.					
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.					
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.5.5.1.6.1-1.					
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].					

**Table A.5.5.1.6.1-3A: Void****Table A.5.5.1.6.1-4: Void****Figure A.5.5.1.6.1-1: SNR variation for CSI-RS in-sync testing**

#### A.5.5.1.6.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.7 EN-DC Radio Link Monitoring Out-of-sync Test for FR2 PSCell configured with CSI-RS-based RLM in DRX mode

##### A.5.5.1.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.7.1-1, A.5.5.1.7.1-2, and A.5.5.1.7.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.5.5.1.7.1-1 shows the variation of the downlink SNR in the E-UTRAN PCell and the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is enabled in PSCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements without gap.

**Table A.5.5.1.7.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.7.1-2: General test parameters for FR2 PSCell for CSI-RS out-of-sync testing in DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex Mode		TDD
TDD Configuration	Config 1	TDDConf.3.1
	Config 2	TDDConf.3.1
RMC CORESET Reference Channel	Config 1	CCR. 3.1 TDD
	Config 2	CCR. 3.1 TDD
SSB Configuration	Config 1	SSB.1 FR2
	Config 2	SSB.1 FR2
SMTC Configuration	Config 1	SMTC.1
	Config 2	SMTC.1
PDSCH/PDCC H subcarrier spacing	Config 1	120 KHz
	Config 2	120 KHz
csi-RS-Index assigned as RLM RS		TRS.2.1 TDD
TRS configuration		TRS.2.1 TDD
TCI configuration		TCI.State.2
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
		4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB
		4
DMRS precoder granularity		REG bundle size
REG bundle size		6
DRX		DRX.7
Gap pattern ID		N.A.
Layer 3 filtering		Enabled

T310 timer	ms	0
T311 timer	ms	1000
N310		1
N311		1
CSI-RS configuration	Config 1	CSI-RS.3.2 TDD
	Config 2	CSI-RS.3.2 TDD
T1	s	1
T2	s	0.4
T3	s	[0.6]
D1	s	[0.24]

Note 1: UE-specific PDCCH is not transmitted after T1 starts.  
Note 2: E-UTRAN is in non-DRX mode under test.

**Table A.5.5.1.7.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in DRX mode**

Parameter	Unit	Test 1		
		T1	T2	T3
PDCCH_beta	dB	4		
PDCCH_DMRS_beta	dB	4		
PBCH_beta	dB			
PSS_beta	dB			
SSS_beta	dB		0	
PDSCH_beta	dB			
OCNG_beta	dB			
SNR	Config 1	dB	[1]	[-7]
	Config 2		[1]	[-7]
$N_{oc}$	Config 1	dBm/15KHz	TBD	
	Config 2		TBD	
Propagation condition			[TDL-A 30ns 75Hz]	

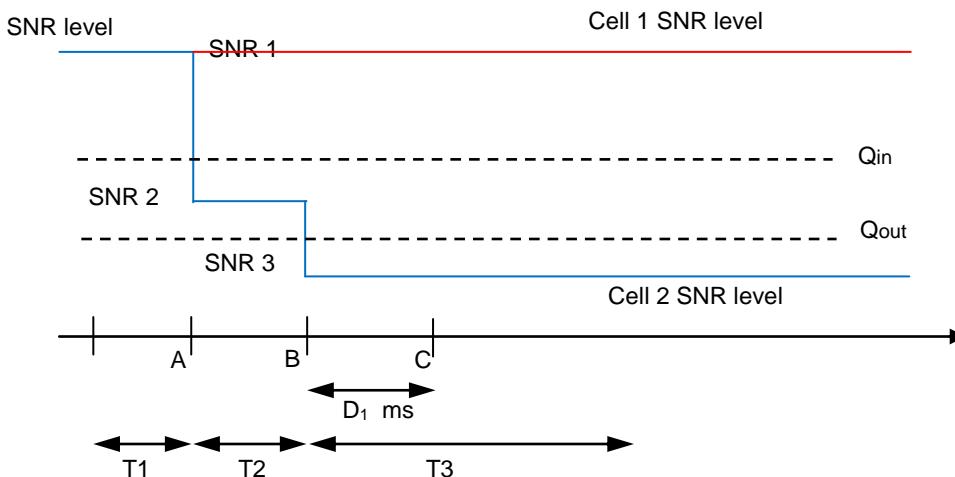
Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.  
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.  
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.  
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.  
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.  
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.  
Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.1.7.1-1.  
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].

**Table A.5.5.1.7.1-3A: Void**

**Table A.5.5.1.7.1-4: Void**

**Table A.5.5.1.7.1-5: Void**

**Table A.5.5.1.7.1-6: Void**



**Figure A.5.5.1.7.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.5.5.1.7.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1 (E-UTRAN PCell).

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 2 (PSCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 2.

The UE shall stop transmitting uplink signal in Cell 2 (PSCell) no later than time point C ( $D_1$  after the start of the time duration T3) on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.1.8 EN-DC Radio Link Monitoring In-sync Test for FR2 PSCell configured with CSI-RS-based RLM in DRX mode

##### A.5.5.1.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PSCell when no DRX is used. This test will partly verify the FR2 TDD PSCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.5.5.1.8.1-1, A.5.5.1.8.1-2, A.5.5.1.8.1-3 and A.5.5.1.8.1-3A below. There are two cells, cell 1 which is the E-UTRAN PCell, and cell 2 is the NR PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.8.1-1 shows the variation of the downlink SNR in the PSCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity defined in CSI-RS configuration. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.5.5.1.8.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.8.1-2: General test parameters for FR2 PSCell for CSI-RS in-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active E-UTRA PCell		Cell 1
E-UTRA RF Channel Number		1
Active PSCell		Cell 2
RF Channel Number		2
Duplex Mode		TDD
TDD Configuration	Config 1	TDDConf.3.1
	Config 2	
RMCCORESET ET Reference Channel	Config 1	CCR.3.1 TDD
	Config 2	
SSB Configuration	Config 1	SSB.1 FR2
	Config 2	
SMTCH Configuration	Config 1	SMTC.1
	Config 2	
PDSCH/PDCCH subcarrier spacing	Config 1	120 KHz
	Config 2	
csi-RS-Index assigned as RLM RS		TRS.2.1 TDD
TRS configuration		TRS.2.1 TDD
TCI configuration		TCI.State.2
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB
	DMRS precoder granularity	REG bundle size
	REG bundle size	6
	DCI format	1-0

In sync transmission parameters	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			DRX.7
Gap pattern ID			<i>gp0</i>
Layer 3 filtering			<i>Enabled</i>
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD
	Config 2		CSI-RS.3.2 TDD
T1	s		1
T2	s		0.4
T3	s		[0.6]
D1	s		[0.44]
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			
Note 2: E-UTRAN is in non-DRX mode under test.			

**Table A.5.5.1.8.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in DRX mode**

Parameter	Unit	Test 1								
		T1	T2	T3	T4	T5				
PDCCH_beta	dB			4						
PDCCH_DMRS_beta	dB			4						
PBCH_beta	dB									
PSS_beta	dB									
SSS_beta	dB			0						
PDSCH_beta	dB									
OCNG_beta	dB									
SNR	Config 1, 2	dB	[1]	[-7]	[-15]	[-4.5]				
$N_{oc}$	Config 1, 2	dBm/15KHz	TBD							
Propagation condition			[TDL-A 30ns 75Hz]							
Note 1: OCNG shall be used such that the resources in Cell 2 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.										
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.										
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.										
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.										
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.										
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.5.5.1.8.1-1.										
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].										

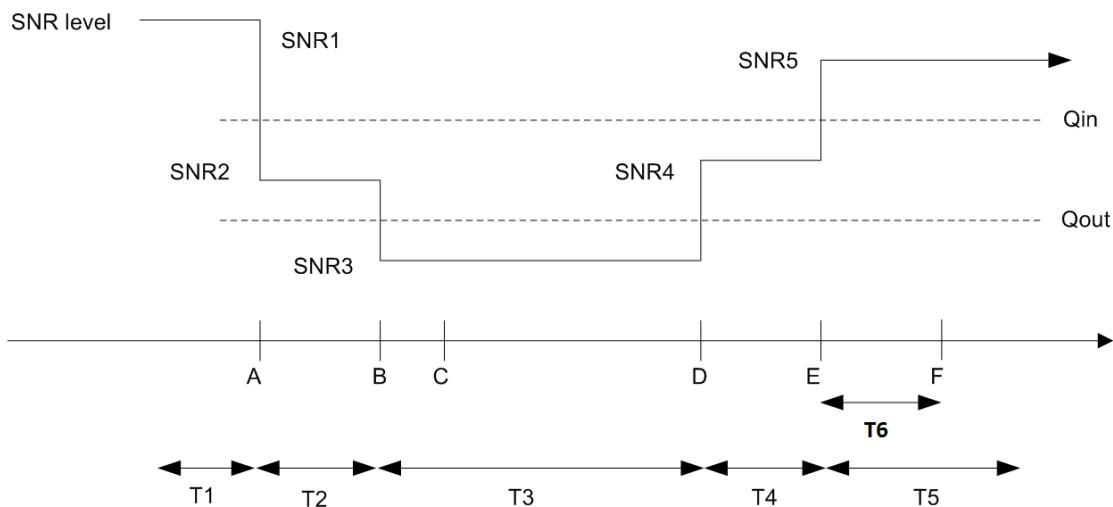
**Table A.5.5.1.8.1-3A: Measurement gap configuration for FR2 CSI-RS in-sync radio link monitoring in DRX mode**

Field	Test 1
	Value
gapOffset	0
Note 1:	E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap)

**Table A.5.5.1.8.1-4: Void**

**Table A.5.5.1.8.1-5: Void**

**Table A.5.5.1.8.1-6: Void**



**Figure A.5.5.1.8.1-1: SNR variation for CSI-RS in-sync testing**

### A.5.5.1.8.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PSCell.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.1.9 EN-DC Radio Link Monitoring UE Scheduling Restrictions on FR2

#### A.5.5.1.9.1 Test Purpose and Environment

The purpose is to verify that the NR UE correctly follows the RLM scheduling restrictions requirements defined in clause 8.1.7. This test verifies that the UE correctly receive the PDCCH scheduled on the symbols right before the RLM SSB symbols without overlap so that it sends ACK/NACK correctly, under the condition that the SSB is with different numerology as the PDCCH/PDSCH.

Two cells are deployed in the test, which are E-UTRAN PCell (Cell 1) and NR FR2 PSCell (Cell 2). The test parameters for NR PSCell are given in table A.5.5.1.9.1-1, table A.5.5.1.9.1-2 and table A.5.5.1.9.1-3 below and the parameters and applicability for the E-UTRAN cell are defined in A.3.7.2. The UE is required during time period T1 to transmit ACK/NACK correctly upon scheduling of PDSCH.

**Table A.5.5.1.9.1-1: Supported test configurations**

Configuration	Description
1	FDD LTE, 120 kHz SSB SCS, 120 kHz RMC SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE, 120 kHz SSB SCS, 120 kHz RMC SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.5.5.1.9.1-2: General test parameters for RLM scheduling restriction test case in FR2**

Parameter	Unit	Test configuration	Value	Comment
RF Channel Number		1, 2	1 and 2	1 for NR PSCell and 2 for LTE PCell
SSB configuration		1, 2	SSB.1 FR2	
SMTC configuration		1, 2	SMTC pattern 1	
DRX cycle length	s	1, 2	OFF	
T1	s	1, 2	5	During T1 the UE is required to correctly transmit ACK/NACK

**Table A.5.5.1.9.1-3: Cell specific test parameters for RLM scheduling restriction test case in FR2**

Parameter	Unit	Test configuration	Cell 2	
			AoA1	AoA2
TDD configuration		1, 2		TDDConf.3.1
PDSCH RMC configuration		1, 2	SR.3.1 TDD	Not sent
RMSI CORESET RMC configuration		1, 2	CR.3.1	Not sent
Dedicated CORESET RMC configuration		1, 2	CCR.3.2	Not sent
TRS configuration		1, 2	TRS.2.1 TDD	[TRS.2.2 TDD]
PDCCH/PDSCH TCI state		1, 2	TCI.State.2	Not sent
OCNG Pattern		1, 2	OP.1 defined in A.3.2.1	Not sent
Initial DL BWP configuration		1, 2	DLBWP.0.1	
Initial UL BWP configuration		1, 2	ULBWP.0.1	
RLM-RS		1, 2	TRS.2.1 TDD	[TRS.2.2 TDD]
AoA setup		1, 2	Setup 3 defined in A.3.15.3	
$\hat{E}_s / I_{ot}$	dB	1, 2	3	N/A
$N_{oc}$ Note2	dBm/SCS	1, 2	-84.9	Not sent
$\hat{E}_s / N_{oc}$	dB	1, 2	3	N/A
SS-RSRP Note3	dBm/SCS	1, 2	-81.9	-81.9
Io	dBm/95.04 MHz	1, 2	-51.15	-52.91
Propagation Condition		1, 2	AWGN	

### A.5.5.1.9.2 Test Requirements

The UE behaviour follows the requirements defined in clause 8.1.7.3.

### A.5.5.2 Interruption

#### A.5.5.2.1 E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC

##### A.5.5.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that when LTE PCell is in DRX and NR PSCell is in non-DRX, NR PSCell interruptions due to transitions from active to non-active and from non-active to active during LTE PCell DRX the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in section 8.2.1.2. Supported test configurations are shown in table A.5.5.2.1.1-1.

The general test parameters are given in Table A.5.5.2.1.1-2, and NR cell specific test parameters are given in Table A.5.5.2.1.1-3 and A.5.5.2.1.1-4. The E-UTRAN PCell DRX configuration parameters are given in Table A.5.5.2.1.1-5 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.2-1. In the test there are two cells: Cell1 and Cell2. Cell1 is LTE PCell and Cell2 is NR FR2 PSCell. The test consists of one time period, with duration of T1. During T1, NR PSCell is continuously scheduled in DL while LTE PCell is not scheduled and has DRX configured. Prior to the start of the time duration T1, Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. Prior to start of T1 the DRX inactivity timer for the LTE PCell has already expired. During T1 the UE shall be continuously scheduled on NR PSCell while not scheduled on LTE

PCell. PDCCH indicating a new transmission on PSCell shall be sent continuously during the whole time duration to ensure UE would not enter DRX state on PSCell.

**Table A.5.5.2.1.1-1: Interruption at transitions between active and non-active during DRX supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.5.2.1.1-2: General test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other is NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1 and cell 2
DRX		DRX.4	DRX related parameters are defined in Table A.3.3.4-1
Measurement gap pattern Id		OFF	
T1	s	10	

**Table A.5.5.2.1.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

Parameter		Unit	Cell 2
Frequency Range			FR2
Duplex mode			TDD
TDD configuration			TDDConf.3.1
BW <sub>channel</sub>	Config 1,2	MHz	100: N <sub>RB,c</sub> = 66
Downlink initial BWP Configuration	Config 1,2		DLBWP.0.1
Downlink dedicated BWP Configuration	Config 1,2		DLBWP.1.1
Uplink initial BWP configuration	Config 1,2		ULBWP.0.1
Uplink dedicated BWP configuration	Config 1,2		ULBWP.1.1
TRS configuration	Config 1,2		TRS.2.1 TDD
TCI state	Config 1,2		TCI.State.0
PDSCH Reference measurement channel	Config 1,2		SR.3.1 TDD
RMSI CORESET parameters	Config 1,2		CR.3.1 TDD
PDCCH CORESET parameters	Config 1,2		CCR.3.1 TDD
OCNG Patterns			OP.1
SSB Configuration			SSB.1 FR2
SMTC Configuration	Config 1,2		SMTC.1
EPRE ratio of PSS to SSS	dB		
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			0
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
Ē <sub>s</sub> /N <sub>oc</sub>	dB		17
Propagation Condition			AWGN
Time offset to cell1 <sup>Note 2</sup>	μs		3
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells			

**Table A.5.5.2.1.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in synchronous EN-DC**

Parameter		Unit	Cell 2
Angle of arrival configuration			Setup 1 defined in section A.3.15.1
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz	
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		[ -84.9 ]
SS-RSRP <sup>Note 2</sup>	NR_TDD_FR2_A	dBm/SCS Note 3	
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		[ -84.9 ]

	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
$\hat{E}_s / I_{ot}$		dB	0
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	[-52.9]
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p>			

Table A.5.5.2.1.1-5: Void

### A.5.5.2.1.2 Test Requirements

The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.

Interruption on NR PSCell shall not exceed 0.625ms (5 slots) as defined in section 8.2.1.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.2.2 E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC

#### A.5.5.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that when LTE PCell is in DRX and NR PSCell is in non-DRX, NR PSCell interruptions due to transitions from active to non-active and from non-active to active during LTE PCell DRX the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in section 8.2.1.2. Supported test configurations are shown in table A.5.5.2.2.1-1.

The general test parameters are given in Table A.5.5.2.2.1-2, and NR cell specific test parameters are given in Table A.5.5.2.2.1-3 and A.5.5.2.2.1-4. The E-UTRAN PCell DRX configuration parameters are given in Table A.5.5.2.2.1-5 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.2-1. In the test there are two cells: Cell1 and Cell2. Cell1 is LTE PCell and Cell2 is NR PSCell. The test consists of one time period, with duration of T1. During T1, NR PSCell is continuously scheduled in DL while LTE PCell is not scheduled and has DRX configured. Prior to the start of the time duration T1, Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. Prior to start of T1 the DRX inactivity timer for the LTE PCell has already expired. During T1 the UE shall be continuously scheduled on NR PSCell while not scheduled on LTE PCell. PDCCH indicating a new transmission on PSCell shall be sent continuously during the whole time duration to ensure UE would not enter DRX state on PSCell.

**Table A.5.5.2.2.1-1: Interruption at transitions between active and non-active during DRX supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.5.2.2.1-2: General test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other is NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1 and cell 2
DRX		DRX.6	DRX related parameters are defined in Table A.3.3.6-1
Measurement gap pattern Id		OFF	
T1	s	10	

**Table A.5.5.2.2.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

Parameter		Unit	Cell 2
Frequency Range			FR2
Duplex mode			TDD
TDD configuration			TDDConf.3.1
BW <sub>channel</sub>	Config 1,2	MHz	100: N <sub>RB,c</sub> = 66
Downlink initial BWP Configuration	Config 1,2		DLBWP.0.1
Downlink dedicated BWP Configuration	Config 1,2		DLBWP.1.1
Uplink initial BWP configuration	Config 1,2		ULBWP.0.1
Uplink dedicated BWP configuration	Config 1,2		ULBWP.1.1
TRS configuration	Config 1,2		TRS.2.1 TDD
TCI state	Config 1,2		TCI.State.0
PDSCH Reference measurement channel	Config 1,2		SR.3.1 TDD
RMSI CORESET parameters	Config 1,2		CR.3.1 TDD
PDCCH CORESET parameters	Config 1,2		CCR.3.1 TDD
OCNG Patterns			OP.1
SSB Configuration			SSB.1 FR2
SMTC Configuration	Config 1,2		SMTC.1
EPRE ratio of PSS to SSS	dB		
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			0
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
Ē <sub>s</sub> /N <sub>oc</sub>	dB		17
Propagation Condition			AWGN
Time offset to cell1 <sup>Note 2</sup>	ms		3
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells			

**Table A.5.5.2.2.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions at transitions between active and non-active during DRX in asynchronous EN-DC**

Parameter		Unit	Cell 2
Angle of arrival configuration			Setup 1 defined in section A.3.15.1
N <sub>oc</sub> <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz	
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		[ -84.9 ]
SS-RSRP <sup>Note 2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note 3</sup>	
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		[ -84.9 ]

	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
$\hat{E}_s / I_{ot}$		dB	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	[-52.9]
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p>			

Table A.5.5.2.2.1-5: Void

### A.5.5.2.2.2 Test Requirements

The UE shall be continuously scheduled in NR PSCell during the entire length of T1. UE shall not be scheduled in LTE PCell during T1. During the time duration T1 the UE shall transmit at least 99% of ACK/NACK on NR PSCell.

Interruption on NR PSCell shall not exceed 0.625ms (5 slots) as defined in section 8.2.1.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.2.3 E-UTRAN – NR FR2 interruptions during measurements on deactivated NR SCC in synchronous EN-DC

#### A.5.5.2.3.1 Test Purpose and Environment

The purpose of this test is to verify NR PSCell interruptions during the measurement on the deactivated NR SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in section 8.2.1.2. Supported test configurations are shown in table A.5.5.2.3.1-1.

The general test parameters are given in Table A.5.5.2.3.1-2, and NR cell specific test parameters are given in Table A.5.5.2.3.1-3 and A.5.5.2.3.1-4 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-2. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 is LTE PCell, Cell2 and Cell 3 is NR FR2 PSCell and NR FR2 deactivated SCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received by the UE, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.5.5.2.3.1-1: Interruption during measurements on deactivated NR SCC supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.5.2.3.1-2: General test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated NR SCC in synchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other two are NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.5.5.2.3.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated NR SCC in synchronous EN-DC**

Parameter		Unit	Cell 2	Cell 3
Frequency Range			FR2	FR2
Duplex mode	Config 1		FDD	FDD
	Config 2		TDD	TDD
TDD configuration	Config 1		N.A	N.A
	Config 2		TBD	TBD
BW <sub>channel</sub>	Config 1,2	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
Initial BWP Configuration	Config 1,2		TBD	TBD
PDSCH Reference measurement channel	Config 1,2		SR.3.1 TDD	-
RMSI CORESET parameters	Config 1,2		CR.3.1 TDD	CR.3.1 TDD
PDCCH CORESET parameters	Config 1,2		TBD	TBD
OCNG Patterns			OP.1	OP.1
SMTS Configuration	Config 1,2		SMTS.1 FR2	SMTS.1 FR2
EPRE ratio of PSS to SSS		dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$\hat{E}_s/N_{oc}$		dB	TBD	TBD
Propagation Condition			AWGN	AWGN
Time offset to cell1 Note 2		μs	3	3
Time offset to cell1 Note 3		μs	-	3
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2:	Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells			
Note 3:	Receive time difference of signals received between slot timing boundary from two NR Cells including time alignment error between the two cells			

**Table A.5.5.2.3.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated NR SCC in synchronous EN-DC**

Parameter		Unit	Cell 2	Cell 3
UE orientation around TBD axis and TBD axis			TBD	
Relative difference in angle of arrival of cell 2 and cell 3 relative to cell 1		degrees	TBD	
$N_{oc}$ Note1	NR_TDD_FR2_A	dBm/15kHz <sup>Note4</sup>	TBD	TBD
	NR_TDD_FR2_B			
	NR_TDD_FR2_C			
	NR_TDD_FR2_D			
	NR_TDD_FR2_E			
	NR_TDD_FR2_F			
	NR_TDD_FR2_G			
$N_{oc}$ Note1	NR_TDD_FR2_A	dBm/SCS <sup>Note3</sup>	TBD	TBD
	NR_TDD_FR2_B			
	NR_TDD_FR2_C			
	NR_TDD_FR2_D			
	NR_TDD_FR2_E			

	NR_TDD_FR2_F			
	NR_TDD_FR2_G			
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note4</sup>	TBD	TBD
	NR_TDD_FR2_B			
	NR_TDD_FR2_C			
	NR_TDD_FR2_D			
	NR_TDD_FR2_E			
	NR_TDD_FR2_F			
	NR_TDD_FR2_G			
	$\hat{E}_s / I_{ot}$			
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the center of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the center of the quiet zone</p>				

### A.5.5.2.3.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption on NR PSCell shall not exceed the value defined in Table A.5.5.2.3.2-1 and Table A.5.5.2.3.2-2.

**Table A.5.5.2.3.2-1: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	4

**Table A.5.5.2.3.2-2: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	8 + SMTC duration

The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.2.4 E-UTRAN – NR FR2 interruptions during measurements on deactivated NR SCC in asynchronous EN-DC

#### A.5.5.2.4.1 Test Purpose and Environment

The purpose of this test is to verify NR PSCell interruptions during the measurement on the deactivated NR SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in section 8.2.1.2. Supported test configurations are shown in table A.5.5.2.4.1-1.

The general test parameters are given in Table A.5.5.2.4.1-2, and NR cell specific test parameters are given in Table A.5.5.2.4.1-3 and A.5.5.2.4.1-4 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-2. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 is LTE PCell, Cell2 and Cell 3 is NR FR2 PSCell and NR FR2 deactivated SCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received by the UE, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.5.5.2.4.1-1: Interruption during measurements on deactivated NR SCC supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.5.2.4.1-2: General test parameters for E-UTRAN – NR interruptions during measurements on deactivated NR SCC in asynchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and the other two are NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to cell1, cell 2 and cell3
AoA number		1	Applicable to cell2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.5.5.2.3.1-3: NR cell specific test parameters for E-UTRAN – NR interruptions during measurements on deactivated NR SCC in asynchronous EN-DC**

Parameter		Unit	Cell 2	Cell 3
Frequency Range			FR2	FR2
Duplex mode	Config 1		FDD	FDD
	Config 2		TDD	TDD
TDD configuration	Config 1		N.A	N.A
	Config 2		TBD	TBD
BW <sub>channel</sub>	Config 1,2	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
Initial BWP Configuration	Config 1,2		TBD	TBD
PDSCH Reference measurement channel	Config 1,2		SR.3.1 TDD	-
RMSI CORESET parameters	Config 1,2		CR.3.1 TDD	CR.3.1 TDD
PDCCH CORESET parameters	Config 1,2		TBD	TBD
OCNG Patterns			OP.1	OP.1
SMTS Configuration	Config 1,2		SMTS.1 FR2	SMTS.1 FR2
EPRE ratio of PSS to SSS		dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$\hat{E}_s/N_{oc}$		dB	TBD	TBD
Propagation Condition			AWGN	AWGN
Time offset to cell1 <sup>Note 2</sup>		ms	3	3
Time offset to cell1 <sup>Note 3</sup>		μs	-	3
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells				
Note 3: Receive time difference of signals received between slot timing boundary from two NR Cells including time alignment error between the two cells				

**Table A.5.5.2.4.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated NR SCC in asynchronous EN-DC**

Parameter		Unit	Cell 2	Cell 3
UE orientation around TBD axis and TBD axis			TBD	
Relative difference in angle of arrival of cell 2 and cell 3 relative to cell 1		degrees	TBD	
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz <sup>Note 4</sup>	TBD	TBD
	NR_TDD_FR2_B			
	NR_TDD_FR2_C			
	NR_TDD_FR2_D			
	NR_TDD_FR2_E			
	NR_TDD_FR2_F			
	NR_TDD_FR2_G			
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note 3</sup>	TBD	TBD
	NR_TDD_FR2_B			
	NR_TDD_FR2_C			
	NR_TDD_FR2_D			
	NR_TDD_FR2_E			

	NR_TDD_FR2_F			
	NR_TDD_FR2_G			
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note4</sup>	TBD	TBD
	NR_TDD_FR2_B			
	NR_TDD_FR2_C			
	NR_TDD_FR2_D			
	NR_TDD_FR2_E			
	NR_TDD_FR2_F			
	NR_TDD_FR2_G			
	$\hat{E}_s / I_{ot}$			
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the center of the quiet zone</p> <p>Note 5: As observed with 0dBi gain antenna at the center of the quiet zone</p>				

#### A.5.5.2.4.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption on NR PSCell shall not exceed the value defined in Table A.5.5.2.4.2-1 and Table A.5.5.2.4.2-2.

**Table A.5.5.2.4.2-1: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	4

**Table A.5.5.2.4.2-2: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	8 + SMTC duration

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.2.5 E-UTRAN – NR FR2 interruptions during measurements on deactivated E-UTRAN SCC in synchronous EN-DC

##### A.5.5.2.5.1 Test Purpose and Environment

The purpose of this test is to verify NR PSCell interruptions during the measurement on the deactivated E-UTRAN SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in section 8.2.1.2. Supported test configurations are shown in table A.5.5.2.5.1-1.

The general test parameters are given in Table A.5.5.2.5.1-2, and NR cell specific test parameters are given in Table A.5.5.2.5.1-3 and A.5.5.2.5.1-4 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-2. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 and Cell3 is LTE PCell and LTE deactivated SCell, Cell2 is NR FR2 PSCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received by the UE, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.5.5.2.5.1-1: Interruption during measurements on deactivated E-UTRAN SCC supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.5.2.5.1-2: General test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated E\_UTRAN SCC in synchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and two is NR RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on E-UTRAN RF channel number 1.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.5.5.2.5.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated E\_UTRAN SCC in synchronous EN-DC**

Parameter		Unit	Cell 2
Frequency Range			FR2
Duplex mode	Config 1,2		TDD
TDD configuration	Config 1,2		TBD
BW <sub>channel</sub>	Config 1,2	MHz	100: N <sub>RB,c</sub> = 66
Initial BWP Configuration	Config 1,2		TBD
PDSCH Reference measurement channel	Config 1,2		SR.3.1 TDD
RMSI CORESET parameters	Config 1,2		CR.3.1 TDD
PDCCH CORESET parameters	Config 1,2		TBD
OCNG Patterns			OP.1
SMTC Configuration	Config 1,2		SMTC.1 FR2
EPRE ratio of PSS to SSS	dB	0	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
$\bar{E}_s/N_{oc}$	dB		TBD
Propagation Condition			AWGN
Time offset to cell1 <sup>Note 2</sup>	$\mu\text{s}$		3
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells			

**Table A.5.5.2.5.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated E\_UTRAN SCC in synchronous EN-DC**

Parameter		Unit	Cell 2
UE orientation around TBD axis and TBD axis			TBD
Relative difference in angle of arrival of cell 2 relative to cell 1		degrees	TBD
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz <sup>Note 4</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_C		
	NR_TDD_FR2_D		
	NR_TDD_FR2_E		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note 3</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_C		
	NR_TDD_FR2_D		
	NR_TDD_FR2_E		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
SS-RSRP <sup>Note 2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note 4</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_C		
	NR_TDD_FR2_D		
	NR_TDD_FR2_E		

	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
$\hat{E}_s/I_{ot}$		dB	TBD
$I_0^{Note2}$	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD
Note 1:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 2:	SS-RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		
Note 3:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.		
Note 4:	Equivalent power received by an antenna with 0dBi gain at the center of the quiet zone		
Note 5:	As observed with 0dBi gain antenna at the center of the quiet zone		

#### A.5.5.2.5.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption on NR PSCell shall not exceed the value defined in Table A.5.5.2.5.2-1 and Table A.5.5.2.5.2-2.

**Table A.5.5.2.5.2-1: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	5

**Table A.5.5.2.5.2-2: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	4 + SMTC duration

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.2.6 E-UTRAN – NR FR2 interruptions during measurements on deactivated E-UTRAN SCC in asynchronous EN-DC

##### A.5.5.2.6.1 Test Purpose and Environment

The purpose of this test is to verify NR PSCell interruptions during the measurement on the deactivated E-UTRAN SCC, the UE missed ACK/NACK does not exceed the limits. This test will verify the missed ACK/NACK rate for NR PSCell in EN-DC specified in section 8.2.1.2. Supported test configurations are shown in table A.5.5.2.6.1-1.

The general test parameters are given in Table A.5.5.2.6.1-2, and NR cell specific test parameters are given in Table A.5.5.2.6.1-3 and A.5.5.2.6.1-4 below. And the E-UTRAN cell specific test parameters can refer to Table A.3.7.2.1-2. In the test there are three cells: Cell1 Cell2 and Cell3. Cell1 and Cell3 is LTE PCell and LTE deactivated SCell, Cell2 is NR FR2 PSCell. Cell1 shall be configured as LTE PCell and Cell2 shall be configured as NR PSCell. The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received by the UE, defines the start of time period T1. During T1, LTE PCell and NR PSCell are continuously scheduled in DL.

**Table A.5.5.2.6.1-1: Interruption during measurements on deactivated E-UTRAN SCC supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.5.2.6.1-2: General test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated E\_UTRAN SCC in asynchronous EN-DC**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	One is E-UTRAN RF channel and two is E-UTRAN RF channel
Active PCell		Cell1	PCell on E-UTRAN RF channel number 1.
Configured PSCell		Cell2	PSCell on NR RF channel number 2.
Configured deactivated SCell		Cell3	Deactivated SCell on E-UTRAN RF channel number 1.
CP length		Normal	Applicable to cell1, cell 2 and cell3
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.5.5.2.6.1-3: NR cell specific test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated E\_UTRAN SCC in asynchronous EN-DC**

Parameter		Unit	Cell 2
Frequency Range			FR2
Duplex mode	Config 1,2		TDD
TDD configuration	Config 1,2		TBD
BW <sub>channel</sub>	Config 1,2	MHz	100: N <sub>RB,c</sub> = 66
Initial BWP Configuration	Config 1,2		TBD
PDSCH Reference measurement channel	Config 1,2		SR.3.1 TDD
RMSI CORESET parameters	Config 1,2		CR.3.1 TDD
PDCCH CORESET parameters	Config 1,2		TBD
OCNG Patterns			OP.1
SMTC Configuration	Config 1,2		SMTC.1 FR2
EPRE ratio of PSS to SSS	dB	0	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
É <sub>s</sub> /N <sub>oc</sub>	dB		TBD
Propagation Condition			AWGN
Time offset to cell1 <sup>Note 2</sup>	ms		3
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell including time alignment error between the two cells			

**Table A.5.5.2.6.1-4: NR cell specific OTA related test parameters for E-UTRAN – NR FR2 interruptions during measurements on deactivated E\_UTRAN SCC in asynchronous EN-DC**

Parameter	Unit	Cell 2	
UE orientation around TBD axis and TBD axis		TBD	
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	TBD	
N <sub>oc</sub> <sup>Note1</sup>	NR_TDD_FR2_A	dBm/15kHz <sup>Note4</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_C		
	NR_TDD_FR2_D		
	NR_TDD_FR2_E		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
N <sub>oc</sub> <sup>Note1</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note3</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_C		
	NR_TDD_FR2_D		
	NR_TDD_FR2_E		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note4</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_C		
	NR_TDD_FR2_D		
	NR_TDD_FR2_E		

	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
$\hat{E}_s/I_{ot}$		dB	TBD
$I_0^{Note2}$	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD
Note 1:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 2:	SS-RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		
Note 3:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.		
Note 4:	Equivalent power received by an antenna with 0dBi gain at the center of the quiet zone		
Note 5:	As observed with 0dBi gain antenna at the center of the quiet zone		

#### A.5.5.2.6.2 Test Requirements

The UE shall be continuously scheduled in LTE PCell and NR PSCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on NR PSCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption on NR PSCell shall not exceed the value defined in Table A.5.5.2.6.2-1 and Table A.5.5.2.6.2-2.

**Table A.5.5.2.6.2-1: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	5

**Table A.5.5.2.6.2-2: Interruption duration if the NR PSCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	4 + SMTC duration

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.3 SCell Activation and Deactivation Delay

##### A.5.5.3.1 SCell Activation and deactivation of SCell in FR2 intra-band in non-DRX

###### A.5.5.3.1.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in section A.4.5.3.1.1 except the SCell is in FR2 intra-band.

The supported test configurations are shown in table A.5.5.3.1.1-1 below. The general and cell specific test parameters are the same except those described in the following section. The listed parameter values in Tables A.5.5.3.1.1-2 and A.5.5.3.1.1-3 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-2 and A.4.5.3.1.1-3. In this case, OTA related test parameters are shown in table A.5.5.3.1.1-4 below.

**Table A.5.5.3.1.1-1: Supported test configurations for FR2 SCell activation case with FR2 PSCell**

Configuration	Description
1	FDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to pass in one of the supported test configurations

**Table A.5.5.3.1.1-2: General test parameters for FR2 SCell activation case with FR2 PSCell**

Parameter	Unit	Value	Comment
Active PCell		Cell 1	Primary cell on E-UTRAN RF channel number 1. As specified in section A.3.7.2.2

**Table A.5.5.3.1.1-3: Cell specific test parameters for FR2 SCell activation case with FR2 PSCell**

Parameter <sup>Note 5</sup>	Unit	Cell 2			Cell 3		
		T1	T2	T3	T1	T2	T3
SSB ARFCN			freq2			freq2	
Duplex mode			TDD			TDD	
TDD configuration				TDDConf.3.1			TDDConf.3.1
BW <sub>channel</sub>	MHz			100: N <sub>RB,c</sub> = 66			100: N <sub>RB,c</sub> = 66
PDSCH Reference measurement channel				SR.3.1 TDD			SR.3.1 TDD
RMSI CORESET Reference Channel				CR.3.1 TDD			CR.3.1 TDD
RMC CORESET Reference Channel				CCR.3.1 TDD			CCR.3.1 TDD
OCNG Patterns					OP.1		
SMTTC configuration					SMTTC.1		
SSB configuration					SSB.1 FR2		
TCI state					TCI.State.0		
TRS configuration					TRS.2.1 TDD		
EPRE ratio of PSS to SSS	dB						
EPRE ratio of PBCH_DMRS to SSS							
EPRE ratio of PBCH to PBCH_DMRS							
EPRE ratio of PDCCH_DMRS to SSS							
EPRE ratio of PDCCH to PDCCH_DMRS							
EPRE ratio of PDSCH_DMRS to SSS							
EPRE ratio of PDSCH to PDSCH_DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>							
$\hat{E}_s / N_{oc}$	dB				TBD		
Propagation conditions						AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.							
Note 5: All parameters apply for configuration 1 and 2							

**Table A.5.5.3.1.1-4: OTA related test parameters for FR2 SCell activation case with FR2 PSCell**

Parameter <sup>Note 6</sup>	Unit	Cell 2			Cell 3		
		T1	T2	T3	T1	T2	T3
Angle of arrival configuration		According to table A.3.15.1			According to table A.3.15.1		
$N_{oc}$ <sup>Note 1</sup>	dBm/15kHz <sup>N<sub>ote4</sub></sup>		TBD		TBD		
$N_{oc}$ <sup>Note 1</sup>	dBm/SCS <sup>Note 3</sup>		TBD		TBD		
SS-RSRP <sup>Note 2</sup>	dBm/SCS <sup>Note 4</sup>		TBD		TBD		
$\hat{E}_s / I_{ot}$	dB		TBD		TBD		
$I_0$ <sup>Note 2</sup>	dBm/95.04 MHz <sup>Note 4</sup>		TBD		TBD		
Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 2: SS-RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone Note 6: All parameters apply for configuration 1 and 2							

### A.5.5.3.1.2 Test Requirements

The test requirements defined in section A.4.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value [ $T_{SMTC\_SCell} + 5\text{ms}$ ] as defined in section 8.3.

### A.5.5.3.2 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 160ms SCell measurement cycle

#### A.5.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in section A.4.5.3.1.1, except PSCell is in FR2.

The supported test configurations are shown in table A.5.5.3.2.1-1 below. The general test parameters are the same in Tables A.4.5.3.1.1-2. The cell specific test parameters are given in Tables A.5.5.3.2.1-2. In this case, OTA related test parameters are the same as in table A.5.5.3.2.1-3.

**Table A.5.5.3.2.1-1: Supported test configurations for FR1 SCell activation case with PSCell is FR2**

<b>Configuration</b>	<b>Description</b>
1	FDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	FDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	FDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Cell 3 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	TDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	TDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Cell 3 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	TDD LTE PCell, Cell 2 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Cell 3 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations

**Table A.5.5.3.2.1-2: Cell specific test parameters for FR1 SCell activation case with FR2 PSCell**

<b>Parameter</b>	<b>Unit</b>	<b>Cell 2</b>			<b>Cell 3</b>							
		T1	T2	T3	T1	T2	T3					
SSB ARFCN		freq2			freq1							
Duplex mode	Config 1,4		TDD			FDD						
	Config 2,3,5,6		TDD			TDD						
TDD configuration	Config 1,4		TDDConf.3.1			Not Applicable						
	Config 2,5					TDDConf.1.1						
	Config 3,6					TDDConf.2.1						
BW <sub>channel</sub>	Config 1,4	MHz	100: N <sub>RB,c</sub> = 66			10: N <sub>RB,c</sub> = 52						
	Config 2,5					10: N <sub>RB,c</sub> = 52						
	Config 3,6					40: N <sub>RB,c</sub> = 106						
BWP BW	Config 1,4		100: N <sub>RB,c</sub> = 66			10: N <sub>RB,c</sub> = 52						
	Config 2,5					10: N <sub>RB,c</sub> = 52						
	Config 3,6					40: N <sub>RB,c</sub> = 106						
DRx Cycle	ms	Not Applicable										
PDSCH Reference measurement channel	Config 1,4		SR.3.1 TDD			SR.1.1 FDD						
	Config 2,5					SR.1.1 TDD						
	Config 3,6					SR.2.1 TDD						
RMSI CORESET Reference Channel	Config 1,4		CR.3.1 TDD			CR.1.1 FDD						
	Config 2,5					CR.1.1 TDD						
	Config 3,6					CR.2.1 TDD						
RMC CORESET Reference Channel	Config 1,4		CCR.3.1 TDD			CCR.1.1 FDD						
	Config 2,5					CCR.1.1 TDD						
	Config 3,6					CCR.2.1 TDD						
OCNG Patterns		OP.1										
SMTC configuration		SMTC.1										
TCI state		TCI.State.0			NA							
TRS configuration	Config 1,4		TRS.2.1 TDD			TRS.1.1 FDD						
	Config 2,5					TRS.1.1 TDD						
	Config 3,6					TRS.1.2 TDD						
SSB configuration	Config 1,2,4,5		SSB.1 FR2			SSB.1 FR1						
	Config 3,6					SSB.2 FR1						
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	120kHz			15kHz						
	Config 3,6					30kHz						
EPRE ratio of PSS to SSS	dB	0										

EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$N_{oc}$ <sup>Note2</sup>	dBm/15kHz	TBD		[-104]
$N_{oc}$ <sup>Note2</sup>	dBm/SCS	TBD		[-104]
		TBD		[-101]
$\hat{E}_s/I_{ot}$	dB	TBD		[17]
$\hat{E}_s/N_{oc}$	dB	TBD		[17]
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	dBm/SCS	TBD	[-87]
	Config 3,6		TBD	[-84]
SCH_RP <sup>Note 3</sup>	dBm/15 kHz	TBD		[-87]
Propagation condition	-		AWGN	
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3:	SS-RSRP and SCH_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			
Note 4:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.]			

Table A.5.5.3.2.1-3: OTA related test parameters for FR1 SCell activation case with FR2 PSCell

Parameter	Unit	Cell 2			Cell 3										
		T1	T2	T3	T1	T2	T3								
Angle of arrival configuration		According to section A.3.15.1			NA										
$N_{oc}$ <sup>Note1</sup>	dBm/15kHz	TBD			[-104]										
$N_{oc}$ <sup>Note1</sup>	Config 1,2,4,5	dBm/SCS	TBD			[-104]									
	Config 3,6		TBD			[-101]									
SS-RSRP <sup>Note2</sup>	Config 1,2,4,5	dBm/SCS Note3	TBD			[-87]									
	Config 3,6		TBD			[-84]									
$\hat{E}_s/I_{ot}$	dB	TBD			[17]										
Io <sup>Note2</sup>	dBm/95.04 MHz <sup>Note4</sup>	TBD			TBD										
Note 1:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 2:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														
Note 3:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.														
Note 4:	Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone														
Note 5:	As observed with 0dBi gain antenna at the centre of the quiet zone														

### A.5.5.3.2.2 Test Requirements

The test requirements defined in section A.4.5.3.1.2 shall apply to this test case.

### A.5.5.3.3 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 320ms SCell measurement cycle

#### A.5.5.3.3.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in section A.4.5.3.2.1, except PSCell is in FR2.

The supported test configurations are same as defined in section A.5.5.3.2.1. The general test parameters are the same as defined in section A.4.5.3.2.1. The cell specific test parameters are the same as in Tables A.5.5.3.2.1-2. In this case, OTA related test parameters are the same as in Table A.5.5.3.2.1-3.

#### A.5.5.3.3.2 Test Requirements

The test requirements defined in section A.4.5.3.2.2 shall apply to this test case.

### A.5.5.3.4 SCell Activation and deactivation of unknown SCell in FR1 in non-DRX

#### A.5.5.3.4.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in section A.4.5.3.3.1, except PSCell is in FR2.

The supported test configurations are same as defined in section A.5.5.3.2.1. The general test parameters are the same as defined in section A.4.5.3.3.1. The cell specific test parameters are the same as in Tables A.5.5.3.2.1-2. In this case, OTA related test parameters are the same as in Table A.5.5.3.2.1-3.

#### A.5.5.3.4.2 Test Requirements

The test requirements defined in section A.4.5.3.3.2 shall apply to this test case.

### A.5.5.3.5 SCell Activation and deactivation of SCell in FR2 in non-DRX

#### A.5.5.3.5.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in clause 8.3, when the SCell is in FR2.

The supported test configurations are shown in table A.5.5.3.5.1-1 below. The test parameters are the same except those described in the following section. The listed parameter values in Tables A.5.5.3.5.1-2 will replace the values of corresponding parameters in Tables A.5.5.3.5.1-2. The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are three carriers, E-UTRA has one cell (Cell 1), NR has two cells, PSCell (Cell 2) in FR1 and SCell (Cell 3) in FR2. Cell 1 and Cell 2 have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1 (PCell) on E-UTRAN and Cell 2 (PSCell) on NR, but is not aware of Cell 3 (SCell) on NR. The UE is monitoring the PCell and PSCell. The UE shall be continuously scheduled in the PCell and PSCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 3) becomes configured on NR. During T1 the SCell is powered off and UE is not aware of SCell.

A MAC message for activation of SCell is sent by the test equipment [100ms] after the RRC message, in a slot # denoted m. The point in time at which the MAC message for activation of SCell is received at the UE antenna connector defines the start of time period T2. Immediately at beginning of T2 the transmission power of cell 3 is increased to same level as for cell 2. The UE shall be able to report valid CSI for the activated SCell at latest in slot  $(m+T_{HARQ}+T_{activation\_time}+T_{CSI\_Reporting})$  as defined in section 8.3 provided the SCell can be successfully detected on the first attempt. The UE shall start reporting CSI in slot  $(m+k)$  and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell interruption due to activation of SCell shall occur in the slot  $(m+1+[T_{HARQ}])$  to  $(m+1+[T_{HARQ}+3ms+T_{SMTC\_MAX}+T_{SMTC\_duration}])$  as defined in section 8.3.

Time period T3 starts when a MAC message for deactivation of the SCell, sent from the test equipment to the UE in a slot # denoted n, is received at the UE antenna connector. The UE shall carry out deactivation of the SCell at latest in slot (n+[T<sub>HARQ</sub>+3ms]) as defined in clause 8.3, and any PCell and PSCell interruption due to the deactivation shall occur in the (n+1+[T<sub>HARQ</sub>]) to (n+1+[T<sub>HARQ</sub>+3ms]) as defined in section 8.3.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PSCell during activation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell1 deactivation command is sent until CQI reporting for SCell1 is discontinued.

**Table A.5.5.3.5.1-1: FR2 SCell activation in non-DRX test configurations with FR1 PSCell**

Configuration	Description
1	LTE FDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD PCell, Cell 2 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
5	LTE TDD PCell, Cell 2 NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
6	LTE TDD PCell, Cell 2 NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Cell 3 NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.5.3.5.1-2: General test parameters for FR2 SCell activation case with FR1 PSCell**

Parameter	Unit	Value	Comment
Active PCell		Cell 1	Primary cell on E-UTRAN RF channel number 1. As specified in clause A.3.7.2.2

**Table A.5.5.3.5.1-3: Cell specific test parameters for FR2 SCell activation case with FR1 PSCell**

Parameter	Unit	Cell 2			Cell 3			
		T1	T2	T3	T1	T2	T3	
SSB ARFCN		freq1			freq2			
Duplex mode	Config 1,4		FDD			TDD		
	Config 2,3,5,6		TDD			TDD		
TDD configuration	Config 1,4		Not Applicable		TDDConf.3.1			
	Config 2,5		TDDConf.1.1					
	Config 3,6		TDDConf.2.1					
BW <sub>channel</sub>	Config 1,4	MHz	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66			
	Config 2,5		10: N <sub>RB,c</sub> = 52					
	Config 3,6		40: N <sub>RB,c</sub> = 106					
BWP BW	Config 1,4		10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66			
	Config 2,5		10: N <sub>RB,c</sub> = 52					
	Config 3,6		40: N <sub>RB,c</sub> = 106					
DRx Cycle		ms	Not Applicable					

PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD	SR.3.1 TDD		
	Config 2,5		SR.1.1 TDD			
	Config 3,6		SR.2.1 TDD			
RMSI CORESET Reference Channel	Config 1,4		CR.1.1 FDD	CR.3.1 TDD		
	Config 2,5		CR.1.1 TDD			
	Config 3,6		CR.2.1 TDD			
RMC CORESET Reference Channel	Config 1,4		CCR.1.1 FDD	CCR.3.1 TDD		
	Config 2,5		CCR.1.1 TDD			
	Config 3,6		CCR.2.1 TDD			
OCNG Patterns			OP.1			
SMTC configuration			SMTC.1			
TCI state			NA	TCI.State.0		
TRS configuration	Config 1,4		TRS.2.1 TDD	TRS.2.1 TDD		
	Config 2,5		TRS.1.1 TDD			
	Config 3,6		TRS.1.2 TDD			
SSB configuration	Config 1,2,4,5		SSB.1 FR1	SSB.1 FR2		
	Config 3,6		SSB.2 FR1			
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	15 kHz	120 kHz		
	Config 3,6		30 kHz			
EPRE ratio of PSS to SSS		dB	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
$N_{oc}$ <sup>Note2</sup>		dBm/15kHz	[-104]	TBD		
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5	dBm/SCS	[-104]	TBD		
	Config 3,6		[-101]	TBD		
$\hat{E}_s / I_{ot}$		dB	[17]	TBD		
$\hat{E}_s / N_{oc}$		dB	[17]	TBD		
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	dBm/SCS	[-87]	TBD		
	Config 3,6		[-84]	TBD		
SCH_RP <sup>Note 3</sup>		dBm/15 kHz	[-87]	TBD		
Propagation condition		-	AWGN			
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					
Note 3:	SS-RSRP and SCH_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.					

**Table A.5.5.3.5.1-4: OTA related test parameters for FR2 SCell activation case with FR1 PSCell**

Parameter	Unit	Cell 2			Cell 3				
		T1	T2	T3	T1	T2	T3		
Angle of arrival configuration		NA			According to clause A.3.15.1				
$N_{oc}$ <sup>Note1</sup>	dBm/15kHz	[-104]			TBD				
$N_{oc}$ <sup>Note1</sup>	Config 1,2,4,5	dBm/SCS	[-104]		TBD				
	Config 3,6		[-101]		TBD				
SS-RSRP <sup>Note2</sup>	Config 1,2,4,5	dBm/SCS <small>Note3</small>	[-87]		TBD				
	Config 3,6		[-84]		TBD				
$\hat{E}_s/I_{ot}$	dB	[17]			TBD				
Io <sup>Note2</sup>	dBm/95.04 MHz <sup>Note4</sup>	TBD			TBD				
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>									

### A.5.5.3.5.2 Test Requirements

The test requirements defined in clause A.5.5.3.5.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value [TBD\*T<sub>SMTC\_SCell</sub>+5 ms] as defined in clause 8.3.

### A.5.5.4 UE UL carrier RRC reconfiguration Delay

### A.5.5.5 Beam Failure Detection and Link recovery procedures

#### A.5.5.5.1 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with SSB-based BFD and LR in non-DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

##### A.5.5.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.1.1-1, A.5.5.1.1-2, A.5.5.1.1-3 and A.5.5.1.1-4 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.1.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set  $q_0$  in the active PSCell to emulate SSB based beam failure. Figure A.5.5.1.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.5.5.1.1-1: Supported test configurations for FR2 PSCell**

<b>Configuration</b>		<b>Description</b>
1		LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth
2		LTE TDD, TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth
Note:		The UE is only required to pass in one of the supported test configurations in FR2

**Table A.5.5.1.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

<b>Parameter</b>		<b>Unit</b>	<b>Value</b>	<b>Comment</b>
			<b>Test 1</b>	
Active E-UTRA PCell			Cell 1	
E-UTRA RF Channel Number			1	
Active PCell			Cell 2	
RF Channel Number			2	
Duplex mode	Config 1, 2		TDD	
BW <sub>channel</sub>	Config 1, 2		100: N <sub>RB,C</sub> = 66	
DL initial BWP configuration	Config 1, 2		DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2		DLBWP.1.1	
UL initial BWP configuration	Config 1, 2		ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2		ULBWP.1.1	
TDD Configuration	Config 1, 2		TDDConf.3.1	
CORESET Reference Channel	Config 1		CR. 3.1 TDD	
SSB Configuration	Config 1, 2		SSB.1 FR2	
SMTC Configuration	Config 1, 2		SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2		120 KHz	
PRACH Configuration	Config 1, 2		Table A.3.8.3.4	
SSB index assigned as BFD RS (q <sub>0</sub> )			0	
SSB index assigned as CBD RS (q <sub>1</sub> )			1	
TCI Configuration	Config 1, 2		TBD	
OCNG parameters			OP.1	
CP length			Normal	
Correlation Matrix and Antenna Configuration			2x2 Low	
	DCI format		1-0	
	Number of Control OFDM symbols		2	
	Aggregation level	CCE	8	

Beam failure detection transmission parameters	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			OFF	
Gap pattern ID			gp0	
rlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB		dBm	[-94.5]	Threshold used for Q <sub>out_LR_SSB</sub>
powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			n2	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			pbfd4	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]	
TCI states			[TCI.State.0]	
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]	
T1	s		1	During this time the UE shall be fully synchronized to cell 1
T2	s		0.4	
T3	s		[0.6]	
T4	S		[0.4]	
T5	s		[1.4]	
D1	s		[0.44]	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.				
Note 2: UE-specific PDCCH is not transmitted after T1 starts.				

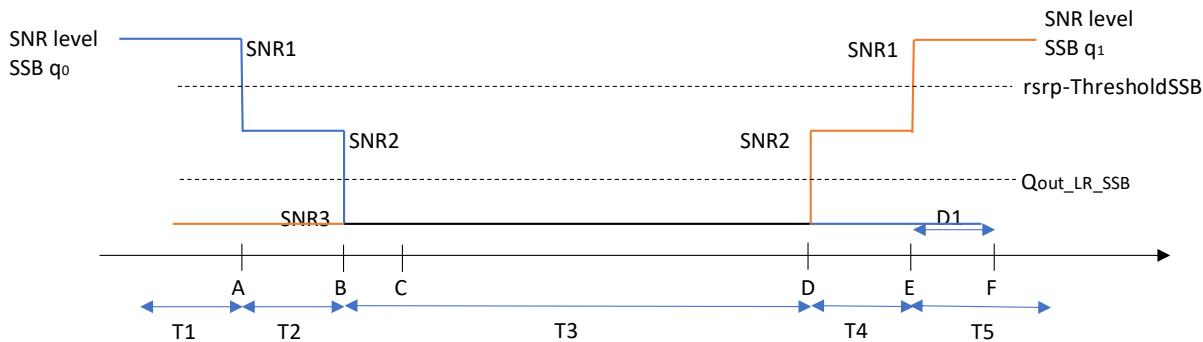
*Editor's note:* An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.

**Table A.5.5.1.1-3: Cell specific test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1													
		SSB of set q <sub>0</sub>					SSB of set q <sub>1</sub>													
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5									
EPRE ratio of PDCCH DMRS to SSS	dB	0	0	0	0	0	0	0	0	0	0									
EPRE ratio of PDCCH to PDCCH DMRS	dB																			
EPRE ratio of PBCH DMRS to SSS	dB																			
EPRE ratio of PBCH to PBCH DMRS	dB																			
EPRE ratio of PSS to SSS	dB																			
EPRE ratio of PDSCH DMRS to SSS	dB																			
EPRE ratio of PDSCH to PDSCH DMRS	dB																			
EPRE ratio of OCNG DMRS to SSS	dB																			
SNR	Config 1, 2	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[10]									
$N_{oc}$	Config 1, 2	dBm/1 5KHz	[-98]				[-98]													
Propagation condition			TDLA30-75					TDLA30-75												
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																			
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.																			
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.																			
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.																			
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.																			
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.																			
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.																			
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.1.1-1.																			
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].																			

**Table A.5.5.1.1-4: Measurement gap configuration for FR2 PSCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Field	Test 2
	Value
gapOffset	0



**Figure A.5.5.1.1-1: SNR variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

### A.5.5.1.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.2 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with SSB-based BFD and LR in DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

#### A.5.5.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.2.1-1, A.5.5.2.1-2, A.5.5.2.1-3, A.5.5.2.1-4 and A.5.5.2.1-5 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.2.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the SSB in set  $q_0$  in the active PSCell to emulate SSB based beam failure. Figure A.5.5.2.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity

of [2] ms. In the test, DRX configuration is enabled in PCCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.5.5.2.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth
2	LTE TDD, TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth
Note: The UE is only required to pass in one of the supported test configurations in FR2	

**Table A.5.5.2.1-2: General test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PCell		Cell 2	
RF Channel Number		2	
Duplex mode	Config 1, 2	TDD	
BW <sub>channel</sub>	Config 1, 2	100: N <sub>RB,c</sub> = 66	
DL initial BWP configuration	Config 1, 2	DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2	DLBWP.1.1	
UL initial BWP configuration	Config 1, 2	ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2	ULBWP.1.1	
TDD Configuration	Config 1, 2	TDDConf.3.1	
CORESET Reference Channel	Config 1	CR. 3.1 TDD	
SSB Configuration	Config 1, 2	SSB.1 FR2	
SMTC Configuration	Config 1, 2	SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2	120 KHz	
PRACH Configuration	Config 1, 2	Table A.3.8.3.4	
SSB index assigned as BFD RS (q <sub>0</sub> )		0	
SSB index assigned as CBD RS (q <sub>1</sub> )		1	
TCI Configuration	Config 1, 2	TBD	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
DCI format		1-0	

Beam failure detection transmission parameters	Number of Control OFDM symbols		2	
	Aggregation level	CCE	8	
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			DRX.7	A.3.3.7
Gap pattern ID			gp0	
rlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm		[-94.5]	Threshold used for Q <sub>out_LR_SSB</sub>
powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			n2	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			pbfd4	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]	
TCI states			[TCI.State.0]	
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]	
T1	s		1	During this time the UE shall be fully synchronized to cell 1
T2	s		0.4	
T3	s		[0.6]	
T4	s		[0.4]	
T5	s		[1.4]	
D1	s		[0.44]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

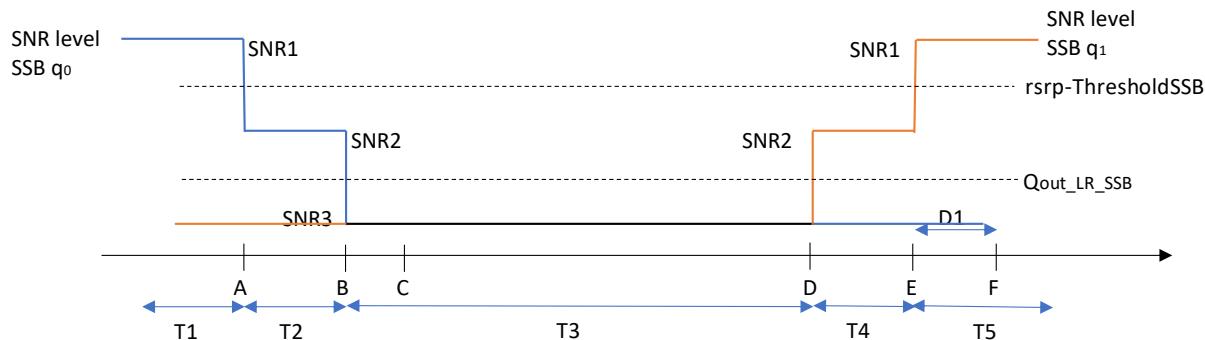
**Table A.5.5.2.1-3: Cell specific test parameters for FR2 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Test 1					Test 1													
		SSB of set q <sub>0</sub>					SSB of set q <sub>1</sub>													
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5									
AoA setup		Setup 3 defined in A.3.15					Setup 3 defined in A.3.15													
EPRE ratio of PDCCH DMRS to SSS	dB	0	0	0	0	0	0	0	0	0	0									
EPRE ratio of PDCCH to PDCCH DMRS	dB																			
EPRE ratio of PBCH DMRS to SSS	dB																			
EPRE ratio of PBCH to PBCH DMRS	dB																			
EPRE ratio of PSS to SSS	dB																			
EPRE ratio of PDSCH DMRS to SSS	dB																			
EPRE ratio of PDSCH to PDSCH DMRS	dB																			
EPRE ratio of OCNG DMRS to SSS	dB																			
SNR	Config 1, 2	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
$N_{oc}$	Config 1, 2	dBm/15 KHz	[-98]					[-98]												
Propagation condition			TDLA30-75					TDLA30-75												
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																			
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.																			
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.																			
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.																			
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.																			
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.																			
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.																			
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.5.5.2.1-1.																			
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].																			

**Table A.5.5.2.1-4: Measurement gap configuration for FR2 PSCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Field	Test 2
	Value
gapOffset	0

**Table A.5.5.2.1-5: Void**



**Figure A.5.5.2.1-1: SNR variation for SSB-based beam failure detection and link recovery testing in non-DRX mode**

### A.5.5.2.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.3 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with CSI-RS-based BFD and LR in non-DRX mode

#### A.5.5.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.3.1-1, A.5.5.3.1-2, and A.5.5.3.1-3 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.3.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the CSI-RS in set  $q_0$  in the active PSCell to emulate CSI-RS based beam failure. Figure A.5.5.3.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.5.5.3.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.5.5.3.1-2: General test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PSCell		Cell 2	
RF Channel Number		2	
Duplex mode	Config 1	TDD	
TDD Configuration	Config 1	TDDConf.3.1	
CORESET Reference Channel	Config 1	CR.3.1 TDD	A.3.1.2
SSB Configuration	Config 1	SSB.1 FR2	A.3.10
SMTC Configuration	Config 1	SMTC.1	A.3.11
PDSCH/PDCC H subcarrier spacing	Config 1	120 KHz	
csi-RS-Index assigned as beam failure detection RS in set $q_0$		[0]	
TRS configuration		TRS.2.1 TDD	
TCI configuration		CSI-RS.Config.0	
OCNG parameters		OP.1	A.3.2.1
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		OFF	
Gap pattern ID		N.A.	
csi-RS-Index assigned as candidate beam detection RS in set $q_1$		1	

rlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB		dBm	[-94.5]	Threshold used for $Q_{in\_LR\_SSB}$
powerControlOffsetSS			NA	Used for deriving $rsrp\text{-}ThresholdCSI\text{-}RS$
beamFailureInstanceMaxCount			[n2]	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			[pbfd4]	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD	A.3.14.2
T1		s	1	During this time the the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.24]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.				

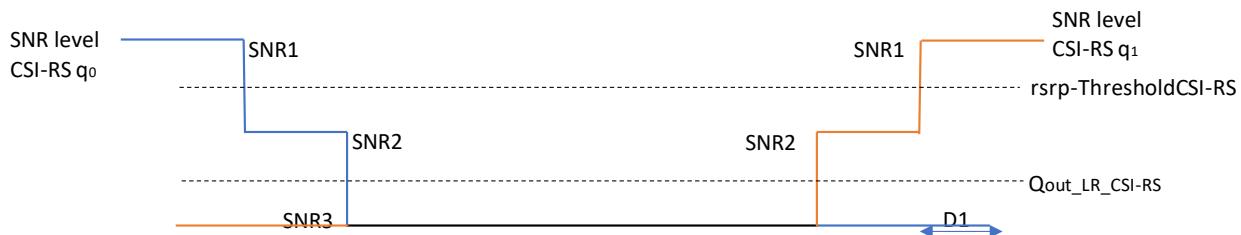
*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.5.5.3.1-3: Cell specific test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1														
		CSI-RS of set $q_0$					CSI-RS of set $q_1$														
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5										
EPRE ratio of PSS to SSS	dB	0					0														
EPRE ratio of PBCH DMRS to SSS																					
EPRE ratio of PBCH to PBCH DMRS																					
EPRE ratio of PDCCH DMRS to SSS																					
EPRE ratio of PDCCH to PDCCH DMRS																					
EPRE ratio of PDSCH DMRS to SSS																					
EPRE ratio of PDSCH to PDSCH DMRS																					
EPRE ratio of OCNG DMRS to SSS (Note 1)																					
EPRE ratio of OCNG to OCNG DMRS (Note 1)																					
SNR_C SI-RS	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[10]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[10]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[10]										
$N_{oc}$	dBm/ 15K Hz	[-98]					[-98]														
		[-98]					[-98]														
		[-98]					[-98]														
Propagation condition		TDL-A 30ns 75Hz					TDL-A 30ns 75Hz														
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																				
Note 2:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																				

**Table A.5.5.3.1-4: Void**

**Table A.5.5.3.1-5: Void**



**Figure A.5.5.3.1-1: SNR variation for CSI-RS based beam failure detection and link recovery testing in non-DRX mode**

### A.5.5.3.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D_1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

### A.5.5.4 EN-DC Beam Failure Detection and Link Recovery Test for FR2 PSCell configured with CSI-RS-based BFD and LR in DRX mode

#### A.5.5.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving PSCell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP of the PSCell, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.5.5.4.1-1, A.5.5.4.1-2, A.5.5.4.1-3, and A.5.5.4.1-4 below. There are two cells, cell 1 is the E-UTRAN PCell, and cell 2 is the PSCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.5.5.4.1-1 shows the variation of the downlink SNR of the PCell and the SNR of the CSI-RS in set  $q_0$  in the active PSCell to emulate CSI-RS based beam failure. Figure A.5.5.4.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1 and cell 2. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.5.5.4.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.5.5.4.1-2: General test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active E-UTRA PCell		Cell 1	
E-UTRA RF Channel Number		1	
Active PSCell		Cell 2	
RF Channel Number		2	
Duplex mode	Config 1	TDD	

TDD Configuration	Config 1		TDDConf.3.1	
CORESET Reference Channel	Config 1		CR.3.1 TDD	A.3.1.2
SSB Configuration	Config 1		SSB.1 FR2	A.3.10
SMTC Configuration	Config 1		SMTC.1	A.3.11
PDSCH/PDCC H subcarrier spacing	Config 1		120 KHz	
csi-RS-Index assigned as beam failure detection RS in set q <sub>0</sub>			[0]	
TRS configuration			TRS.2.1 TDD	
TCI configuration			CSI-RS.Config.0	
OCNG parameters			OP.1	A.3.2.1
CP length			Normal	
Correlation Matrix and Antenna Configuration			2x2 Low	
Beam failure detection transmission parameters	DCI format		1-0	
	Number of Control OFDM symbols		2	
	Aggregation level	CCE	8	
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			DRX.7	A.3.3.7
Gap pattern ID			*[gp0]	
csi-RS-Index assigned as candidate beam detection RS in set q <sub>1</sub>			1	
rmlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB		dBm	-94.5	Threshold used for Q <sub>in_LR_SSB</sub>
powerControlOffsetSS			NA	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			[n2]	see TS 38.321 [7], section 5.17
beamFailureDetectionTimer			[pbfd4]	see TS 38.321 [7], section 5.17
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD	A.3.14.2
T1		s	1	During this time the the UE shall be fully synchronized to cell 1

T2	s	0.4	
T3	s	[0.6]	
T4	s	[0.4]	
T5	s	[1.4]	
D1	s	[0.44]	

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.5.5.4.1-3: Cell specific test parameters for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

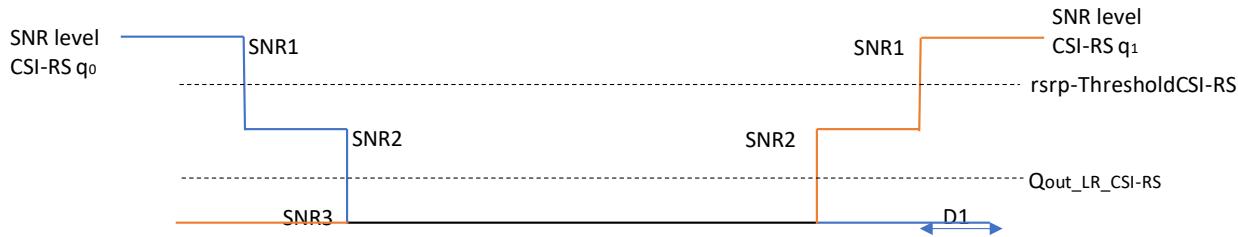
Parameter	Unit	Test 1					Test 1													
		CSI-RS of set q <sub>0</sub>					CSI-RS of set q <sub>1</sub>													
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5									
EPRE ratio of PSS to SSS	dB																			
EPRE ratio of PBCH DMRS to SSS	dB																			
EPRE ratio of PBCH to PBCH DMRS	dB																			
EPRE ratio of PDCCH DMRS to SSS	dB																			
EPRE ratio of PDCCH to PDCCH DMRS	dB						0				0									
EPRE ratio of PDSCH DMRS to SSS	dB																			
EPRE ratio of PDSCH to PDSCH DMRS	dB																			
EPRE ratio of OCNG DMRS to SSS <sup>(Note 1)</sup>	dB																			
EPRE ratio of OCNG to OCNG DMRS <sup>(Note 1)</sup>	dB																			
SNR_C	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
SI-RS	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
N <sub>oc</sub>	Config 1	dBm/ 15K Hz	[-98]					[-98]												
	Config 2		[-98]					[-98]												
	Config 3		[-98]					[-98]												
Propagation condition			TDL-A 30ns 75Hz					TDL-A 30ns 75Hz												
Note 1:		OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																		
Note 2:		SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																		

**Table A.5.5.4.1-4: Measurement gap configuration for FR2 PSCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Field	Test 1
Value	
gapOffset	[0]

**Table A.5.5.4.1-5: Void**

**Table A.5.5.4.1-6: Void**



**Figure A.5.5.4.1-1: SNR variation for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

#### A.5.5.4.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.6 Active BWP switch delay

##### A.5.5.6.1 DCI-based and Timer-based Active BWP Switch

###### A.5.5.6.1.1 E-UTRAN – NR PSCell FR2 DL active BWP switch with non-DRX in synchronous EN-DC

###### A.5.5.6.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in TS38.133 section 8.6, and interruption requirement for E-UTRA victim cell defined in TS36.133 section 7.32.2.7. Supported test configurations are shown in Table A.5.5.6.1.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), and one NR PSCell (Cell 2) as given in Table A.5.5.6.1.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific

parameters of NR PSCell is specified in Table A.5.5.6.1.1.1-3 below. The OTA related test parameters for FR2 is shown in Table A.5.5.6.1.1.1-4.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (PSCell) on radio channel 2 (PSCC).
- UE is configured with 2 different UE-specific bandwidth parts for PSCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PSCell.
- UE is configured with a *bwp-InactivityTimer* timer value for PSCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PSCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PSCell's slot # denoted *i*. The UE should switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PSCell's slot (*i+T<sub>BWPswitchDelay</sub>*) as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell no later than at slot (*i+T<sub>BWPswitchDelay</sub>+kI*). The UE shall be continuously scheduled on PSCell's BWP-2 starting from slot (*i+T<sub>BWPswitchDelay</sub>*).

The starting time of PCell(Cell 1) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PSCell(Cell 2).

During T3,

The time period T3 starts from the slot #*j* immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH at PSCell's slot (*j+T<sub>BWPswitchDelay</sub>*) as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell at latest at slot (*j+T<sub>BWPswitchDelay</sub>+kI*). The UE shall be continuously scheduled on PSCell's BWP-1 starting from slot (*j+T<sub>BWPswitchDelay</sub>*).

The starting time of PCell(Cell 1) interruption due to BWP switch of PSCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PSCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to E-UTRA PCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell during BWP switch of PSCell, respectively.

**Table A.5.5.6.1.1.1-1: DL BWP switch supported test configurations**

<b>Config</b>	<b>Description</b>
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: A UE which fulfils the requirements in test case A.5.5.2.2 can skip the test cases in A.5.5.2.1.

**Table A.5.5.6.1.1.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>	<b>Comment</b>
E-UTRA RF Channel Number		1	One E-UTRA radio channel is used for this test
NR RF Channel Number		2	One NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active PSCell		Cell 2	PSCell on RF channel number 2.
CP length		Normal	
DRX		OFF	For both PCell and PSCell
<i>bwp-InactivityTimer</i>	ms	[200]	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCELL.
Cell2 timing offset to cell1	μs	3	Synchronous EN-DC
T1	s	[0.2]	
T2	s	[0.2]	
T3	s	[0.2]	

**Table A.5.5.6.1.1.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2
Frequency Range		FR2
Duplex mode		TDD
TDD configuration		TDDConf.3.1
BW <sub>channel</sub>		100 MHz; N <sub>RB,C</sub> = 66
Active BWP ID		1, 2
Initial DL BWP Configuration		DLBWP.0.2 Note 2
Active DL BWP-1 Configuration		DLBWP.1.1 Note 2
Active DL BWP-2 Configuration		DLBWP.1.3 Note 2
Initial UL BWP Configuration		ULBWP.0.2 Note 2
Active UL BWP-1 Configuration		ULBWP.1.1 Note 2
Active UL BWP-2 Configuration		ULBWP.1.3 Note 2
PDSCH Reference measurement channel		SR.3.1 TDD
RMSI CORESET parameters		CR.3.1 TDD
Dedicated CORESET parameters		CCR.3.1 TDD
OCNG Patterns		OP.1
SSB Configuration		SSB.1 FR2
SMTS Configuration		SMTS.1
TCI State		TCI.State.0
TRS Configuration		TRS.2.1 TDD
Correlation Matrix and Antenna Configuration		1x2 Low
EPRE ratio of PSS to SSS	dB	0
EPRE ratio of PBCH DMRS to SSS		
EPRE ratio of PBCH to PBCH DMRS		
EPRE ratio of PDCCH DMRS to SSS		
EPRE ratio of PDCCH to PDCCH DMRS		
EPRE ratio of PDSCH DMRS to SSS		
EPRE ratio of PDSCH to PDSCH		
EPRE ratio of OCNG DMRS to SSS (Note 1)		
EPRE ratio of OCNG to OCNG DMRS (Note 1)		
Propagation Condition		AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].		

**Table A.5.5.6.1.1.1-4: OTA related test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2
Angle of arrival configuration		According to section A.3.15.1
$N_{oc}^{Note\ 1}$	dBm/15 kHz	TBD
$N_{oc}^{Note\ 1}$	dBm/SCS	TBD
SS-RSRP Note 2	dBm/120 kHz <sup>Note3</sup>	TBD
$\hat{E}_s/I_{ot}$	dB	TBD
$I_o^{Note2}$	dBm/95.04 MHz <sup>Note4</sup>	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and <math>I_o</math> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone.</p>		

### A.5.5.6.1.1.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot ( $i+T_{BWPswitchDelay}+kI$ ).

During T3, the UE shall start to send the ACK for PSCell in a slot ( $j+T_{BWPswitchDelay}+kI$ ).

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1, the start time of PCell interruption during PSCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start time of PCell interruption during PSCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of PCell shall not be longer than the interruption duration specified for active BWP switch in TS36.133 Section 7.32.2.7.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i+YI$ ), ( $j+Y2$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

Editor's note: whether E-UTRA PCell's interruption test requirement is needed or not depends on whether E-UTRA Pcell's interruption could be tested when PSCell is FR2 cell.

**A.5.5.6.1.2 E-UTRAN – NR PSCell FR2 DL active BWP switch with FR2 SCell in non-DRX in synchronous EN-DC**

**A.5.5.6.1.2.1 Test Purpose and Environment**

The purpose of this test is to verify the DL BWP switch delay requirement defined in section 8.6.2, and interruption requirements for NR victim cell defined in section 8.2.1.2.7 and interruption requirement for E-UTRA victim cell defined in TS36.133 section 7.32.2.7. Supported test configurations are shown in Table A.5.5.6.1.2.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1), one NR PSCell (Cell 2) and one NR SCell (Cell 3) as given in Table A.5.5.6.1.2.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell and SCell are specified in Table A.5.5.6.1.2.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) and SCell (Cell 3) to ensure that the UE will have ACK/NACK sending.

PDCCHs indicating new transmissions shall be sent continuously on PSCell (Cell 2) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 2 and the time duration of T2.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), Cell 2 (PSCell) on radio channel 2 (PSCC) and Cell 3 (SCell) on radio channel 3 (SCC).
- UE is configured with 2 different UE-specific bandwidth parts for PSCell, BWP-1 and BWP-2, in Cell 2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.
- UE is configured with 1 UE-specific bandwidth parts the same as initial BWP for SCell, BWP-0 in Cell 3 before starting the test.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PSCell.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-0 in SCell.
- UE is configured with a *bwp-InactivityTimer* timer value for PSCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PSCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PSCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PSCell's slot  $(i+T_{BWPswitchDelay})$  as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell no later than at slot  $(i+T_{BWPswitchDelay}+kI)$ . The UE shall be continuously scheduled on PSCell's BWP-2 starting from slot  $(i+T_{BWPswitchDelay})$ .

PCell(Cell 1) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

SCell(Cell 3) interruption due to BWP switch on PSCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PSCell(Cell 2).

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE shall switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH at PSCell's slot ( $j+T_{BWPswitchDelay}$ ) as defined in section 8.6 and starts to report valid ACK/NACK for the PSCell at latest at slot ( $j+T_{BWPswitchDelay}+kI$ ). The UE shall be continuously scheduled on PSCell's BWP-1 starting from slot ( $j+T_{BWPswitchDelay}$ ).

PCell(Cell 1) interruption due to BWP switch of PSCell shall occur within the BWP switch delay.

SCell(Cell 3) interruption due to BWP switch of PSCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PSCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to E-UTRA PCell and NR SCell is carried out in the correct time span by monitoring ACK/NACK sent in PCell and SCell during BWP switch of PSCell, respectively.

**Table A.5.5.6.1.2.1-1: DL BWP switch supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations	
Note 2: A UE which fulfils the requirements in test case A.5.5.6.1.2 can skip the test cases in A.5.5.6.1.1.	
Note 3: NR configuration is the same for PSCell and SCells.	

**Table A.5.5.6.1.2.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
E-UTRA RF Channel Number		1	One E-UTRA radio channel is used for this test
NR RF Channel Number		2, 3	Two NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active PSCell		Cell 2	PSCell on RF channel number 2.
Active SCell		Cell 3	SCell on RF channel number 3.
CP length		Normal	
DRX		OFF	
<i>bwp-InactivityTimer</i>	ms	[200]	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCC.
Cell-individual offset for cells on RF channel number 3	dB	0	Individual offset for cells on SCC.
Cell2 timing offset to cell1	μs	3	Synchronous EN-DC
Cell3 timing offset to cell2	μs	3	Synchronous cells
T1	s	[0.2]	
T2	s	[0.2]	
T3	s	[0.2]	

**Table A.5.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2	Cell 3
Frequency Range		FR2	
Duplex mode		TDD	
TDD configuration		TDDConf.3.1	
BW <sub>channel</sub>		100 MHz: N <sub>RB,c</sub> = 66	
Active BWP ID		1, 2	0
Initial DL BWP Configuration		DLBWP.0.2	DLBWP.0.2
Active DL BWP-0 Configuration		NA	DLBWP.0.2
Active DL BWP-1 Configuration		DLBWP.1.3	NA
Active DL BWP-2 Configuration		DLBWP.1.1	NA
Initial UL BWP Configuration		ULBWP.0.2	ULBWP.0.2
Active UL BWP-0 Configuration		NA	ULBWP.0.2
Active UL BWP-1 Configuration		ULBWP.1.3	NA
Active UL BWP-2 Configuration		ULBWP.1.1	NA
PDSCH Reference measurement channel		SR.3.1 TDD	
RMSI CORESET parameters		CR.3.1 TDD	
Dedicated CORESET parameters		CCR.3.1 TDD	
OCNG Patterns		OP.1	
SSB Configuration		SSB.1 FR2	
SMTC Configuration		SMTC.1	
TCI State		TRS.2.1 TDD	
TRS Configuration		TCI.State.0	
Antenna Configuration		1x2	
Propagation Condition		AWGN	
EPRE ratio of PSS to SSS	dB	0	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.			
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			
Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3.			

**Table A.5.5.6.1.2.1-4: OTA related test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2	Cell 3
Angle of arrival configuration		According to clause A.3.15	According to clause A.3.15
$N_{oc}$ <sup>Note 1</sup>	dBm/15 kHz	TBD	TBD
SS-RSRP <sup>Note 2</sup>	dBm/120 kHz <sup>Note 3</sup>	TBD	TBD
$\hat{E}_s/I_{tot}$	dB	TBD	TBD
$I_0$ <sup>Note 2</sup>	dBm/95.04 MHz <sup>Note 4</sup>	TBD	TBD
Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 2: SS-RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone.			

### A.5.5.6.1.2.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot  $(i+T_{BWPswitchDelay}+kI)$ .

During T3, the UE shall start to send the ACK for PSCell in a slot  $(j+T_{BWPswitchDelay}+kI)$ .

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1, the start of the interruption of PCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start of the interruption of PCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of PCell shall not be longer than the interruption duration specified for active BWP switch in TS36.133 Section 7.32.2.7.

During T1, the start of the interruption of SCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

During T3, the start of the interruption of SCell during PSCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of SCell shall not be longer than the interruption duration specified for active BWP switch in Section 8.6.2.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in a slot  $(i+T_{BWPswitchDelay}+kI)$ ,  $(j+T_{BWPswitchDelay}+kI)$ , then the UE shall use the next available uplink resource for reporting the corresponding ACK.

*Editor's note:* FFS value of  $kI$  for type 1 and type 2 UE.

### A.5.5.6.2 RRC-based Active BWP Switch

#### A.5.5.6.2.1 E-UTRAN – NR PSCell FR2 DL active BWP switch with non-DRX in synchronous EN-DC

##### A.5.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement for RRC-based BWP switch defined in clause 8.6.3. Supported test configurations are shown in Table A.5.5.6.2.1.1-1.

The test scenario comprises of one E-UTRA PCell (Cell 1) and one NR PSCell (Cell 2) as given in Table A.5.5.6.2.1.1-2. Cell-specific parameters of E-UTRA PCell are specified in Table A.3.7.2.1-1 and Cell-specific parameters of NR PSCell are specified in Table A.5.5.6.2.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC) and to Cell 2 (PSCell) on radio channel 2 (PSCC).
- UE has bandwidth part BWP-1 in its RRC-configuration for Cell 2 (PSCell).
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PSCell.

All cells have constant signal levels throughout the test.

The test consists of 1 time period, with duration of T1.

During T1,

Time period T1 starts when a *RRCReconfiguration* with bandwidth part configuration BWP-2, sent from the test equipment to the UE, is received at the UE side in PSCell's slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PSCell's slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$ ) as defined in clause 8.6.3 and be ready for the reception of uplink grant for the PSCell no later than at slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$ ). The UE shall be continuously scheduled on PSCell's BWP-2 starting from slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$ ).

$T_{RRCprocessingDelay}$  and  $T_{BWPswitchDelayRRC}$  are defined in section 8.6.3.

The test equipment verifies the DL BWP switch time in PSCell by counting the time from the time when the RRC Reconfiguration message including BWP switch command is sent till the time when RRC Reconfiguration Complete message is received.

**Table A.5.5.6.2.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations

**Table A.5.5.6.2.1.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
E-UTRA RF Channel Number		1	One E-UTRA radio channel is used for this test
NR RF Channel Number		2	One NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active PSCell		Cell 2	PSCell on RF channel number 2.
CP length		Normal	
DRX		OFF	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCC.
Cell2 timing offset to cell1	μs	3	Synchronous EN-DC
T1	s	[0.2]	

**Table A.5.5.6.2.1.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 2	
Frequency Range		FR2	
Duplex mode		TDD	
TDD configuration		TDDConf.3.1	
BW <sub>channel</sub>		100 MHz; N <sub>RB,c</sub> = 66	
Active BWP ID		1, 2	
Initial DL BWP Configuration		DLBWP.0.2	
Active DL BWP-1 Configuration		DLBWP.1.3	
Active DL BWP-2 Configuration		DLBWP.1.1	
Initial UL BWP Configuration		ULBWP.0.2	
Active UL BWP-1 Configuration		ULBWP.1.3	
Active UL BWP-2 Configuration		ULBWP.1.1	
PDSCH Reference measurement channel		SR.3.1 TDD	
RMSI CORESET parameters		CR.3.1 TDD	
Dedicated CORESET parameters		CCR.3.1 TDD	
OCNG Patterns		OP.1	
SSB Configuration		SSB.1 FR2	
SMTC Configuration		SMTC.1	
TCI State		TCI.State.0	
TRS Configuration		TRS.2.1 TDD	
Antenna Configuration		1x2	
Propagation Condition		AWGN	
EPRE ratio of PSS to SSS	dB	0	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.			
Note 3: SS-RSRP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			
Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].			

**Table A.5.5.6.2.1.1-4: OTA related test parameters for BWP switching test case**

Parameter	Unit	Cell 2
Angle of arrival configuration		According to table A.3.15
N <sub>oc</sub> <sup>Note1</sup>	NR_TDD_FR2_A	TBD
	NR_TDD_FR2_B	
	NR_TDD_FR2_F	
	NR_TDD_FR2_G	
	NR_TDD_FR2_T	
	NR_TDD_FR2_Y	
N <sub>oc</sub> <sup>Note1</sup>	NR_TDD_FR2_A	TBD
	NR_TDD_FR2_B	
	NR_TDD_FR2_F	
	NR_TDD_FR2_G	

	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note3</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
$\hat{E}_s / I_{ot}$		dB	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		

Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone

#### A.5.5.6.2.1.2 Test Requirements

During T1, the UE shall be ready for the reception of uplink grant for PSCell in a slot  $(i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC})$ .

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.5.5.7 PSCell addition and release delay

##### A.5.5.7.1 Addition and Release Delay of NR PSCell

###### A.5.5.7.1.1 Test purpose and environment

The purpose of this test is to verify that the NR PSCell addition and release delays under EN-DC are within the requirements stated in clause 7.31.2 of TS 36.133 [15] for the case when the PSCell is unknown by the UE at the time of addition.

Supported test configurations are shown in A.5.5.7.1.1-1. The test parameters for the E-UTRA cell are given in Table A.3.7.2.1-1. The E-UTRA cell once set up is not changed across time.

The test parameters for NR cell are given in Tables A.5.5.7.1.1-2 and cell-specific parameters in A.5.5.7.1.1-3 below. The test consists of four successive time periods with duration of T1, T2, T3 and T4. There are two carriers each with one cell. Before the test starts the UE is connected to Cell 1 (E-UTRA PCell) on radio channel 1 (PCC) but is not aware of Cell 2 (NR PSCell) on radio channel 2. The UE is only monitoring the PCC. During T1 only Cell1 is known to the UE.

The test system shall send a RRC message to the UE to add PSCell (Cell 2) on radio channel 2. The RRC message (to add PSCell) also includes a request for the UE to start periodic CSI reporting for the PSCell after the PSCell has been successfully added. The RRC message to add PSCell shall be sent to the UE during period T1. The point in time at which the RRC message to add PSCell (Cell2) is received at the UE antenna connector defines the start of period T2.

The test system shall observe the periodic reporting of CSI for PSCell during T3. The point in time at which the UE has sent PRACH to the PSCell (Cell 2) defines the start of period T3.

The test system shall send a RRC message to the UE to release PSCell (Cell 2) on radio channel 2. The RRC message to release PSCell (Cell2) shall be sent to the UE during period T3, after the UE has sent at least one CQI report with non-zero CQI index for PSCell (Cell 2). The point in time at which the RRC message to release PSCell (Cell2) is received at the UE antenna connector defines the start of period T4.

**Table A.5.5.7.1.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	LTE FDD, NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz
2	LTE TDD, NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.5.7.1.1-2: General Test Parameters for PSCell Addition and Release**

Parameter		Unit	Value	Comment
RF Channel Number			1, 2	Two radio channels are used for this test. One for E-UTRA cell and second for NR Cell
Initial Condition	Active PCell		Cell1	PCell on RF channel number 1.
	Neighbour cell		Cell2	Neighbour cell on RF channel number 2.
Final Condition	Active PCell		Cell1	PCell on RF channel number 1.
	Neighbour Cell		Cell2	PSCell on RF channel number 2.
A4	Hysteresis	dB	0	Hysteresis for evaluation of event A4.
	Threshold RSRP	dBm	-93	Actual RSRP threshold for event A4. Needs to take absolute accuracy tolerance in section 9.1.11.1 into account plus margin.
	Time to Trigger	s	0	
DRX			OFF	Continuous monitoring of primary cell
PRACH configuration on cell2			FR2 configuration 2	Captured in [A.3.8.3.2]
CQI/PMI periodicity and offset configuration index on cell2			TBD	CQI reporting for PSCell every uplink subframe
Cell-individual offset for cells on RF channel number 1		dB	0	Individual offset for cells on primary component carrier.
Cell-individual offset for cells on RF channel number 2		dB	0	Individual offset for cells on carrier frequency of cell2.
T1		s	5	During this time the PCell shall be known and cell2 shall be unknown.
T2		s	1	During this time the UE adds the PSCell.
T3		s	1	During this time the UE sends CSI reports for PSCell.
T4		s	1	During this time the UE releases the PSCell.

**Table A.5.5.7.1.1-3: Cell Specific Parameters for PSCell Addition and Release**

Parameter	Unit	Config	Test			
			T1	T2	T3	T4
E-UTRA Channel Number		1,2	1			
NR Channel Number		1,2	2			
Duplex Mode		1,2	TDD			
TDD configuration		1,2	TDDConf.1.2			
BW <sub>channel</sub>	MHz	1,2	100: NRBC = 66			
Initial BWP Configuration		1,2	DLBWP.0.1 ULBWP.0.1			
Dedicated BWP Configuration		1,2	DLBWP.1.1 ULBWP.1.1			
TRS Configuration		1	TRS.2.1 TDD			
TCI State		1	CSI-RS.Config.0			
PDSCH Reference measurement channel		1,2	SR.3.1 TDD			
RMSI CORESET Reference Channel		1,2	CR.3.1 TDD			
Dedicated CORESET Reference Channel		1,2	CCR.3.1 TDD			
OCNG Patterns		1,2	OP.1			
SSB configuration		1,2	SSB.1 FR2			
SMTC configuration		1,2	SMTC.2			
EPRE ratio of PSS to SSS	dB	1,2	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
$N_{oc}^{Note2}$	dBm/15 kHz	1,2	N/A	TBD		
$N_{oc}^{Note2}$	dBm/SCS	1,2	N/A	TBD		
$\hat{E}_s/I_{ot}$		1,2	-infinity	TBD		
$\hat{E}_s/N_{oc}$		1,2	-infinity	TBD		
SS-RSRP <sup>Note3</sup>	dBm/SCS	1,2	-infinity	TBD		
$I_0^{Note3}$	dBm/95MHz	1,2	N/A	TBD		
Propagation condition		1,2	AWGN			

### A.5.5.7.1.2 Test Requirements

The UE shall transmit the PRACH to PSCell at latest [732] ms<sup>Note1</sup> into T2.

The UE shall send at least one CSI report for PSCell with non-zero CQI index during T4.

The UE shall periodically send CSI reports for PSCell after the UE has sent first CQI report with non-zero CQI index during T4

The UE shall stop sending CSI reports for PSCell in at latest [20] ms into T5.

All the above test requirements shall be fulfilled for the observed PSCell addition delay and PSCell release delay to be counted as correct. The rate of correct observed PSCell addition delay and PSCell release delay during repeated tests shall be at least 90%.

Note1: The PSCell addition delay can be expressed as follows as specified in clause 7.31.2 of TS 36.133 [15]:

$$T_{\text{config\_PSCell}} = T_{\text{RRC\_delay}} + T_{\text{processing}} + T_{\text{search}} + T_{\Delta} + T_{\text{PSCell\_DU}} + 2\text{ms}$$

Where:

$$T_{\text{RRC\_delay}} = 20\text{ms}$$

$$T_{\text{processing}} = 40\text{ms}$$

$$T_{\text{search}} = 8*3*20 = 480 \text{ ms}$$

$$T_{\Delta} = 20\text{ms}$$

$$T_{\text{PSCell\_DU}} = 16*10+10 = 170 \text{ ms}$$

## A.5.6 Measurement procedure

### A.5.6.1 Intra-frequency Measurements

#### A.5.6.1.1 EN-DC event triggered reporting test without gap under non-DRX

##### A.5.6.1.1.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.5.6.1.1.1-1.

**Table A.5.6.1.1.1-1: supported test configurations**

Configuration	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations.	

There are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.6.1.1.1-2, A.5.6.1.1.1-3 and A.5.6.1.1.1-4 below.

In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.5.6.1.1.1-2: General test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap without DRX**

Parameter	Unit	Config	Value	Comment
Active cell		1~4	E-UTRAN PCell (Cell 1) PSCell (Cell 2)	
Neighbour cell		1~4	Cell 3	Cell to be identified.
RF Channel Number		1~4	1: Cell 1 2: Cell 2 and Cell 3	One TDD carrier frequency is used for the NR cells and one TDD or FDD carrier frequency is used for E-UTRAN cell.
SMTC configuration		1~4	SMTC.1	
A3-Offset	dB	1~4	-6	
CP length		1~4	Normal	
Hysteresis	dB	1~4	0	
Time To Trigger	s	1~4	0	
Filter coefficient		1~4	0	L3 filtering is not used
DRX		1~4	OFF	
Time offset between Cell 1 and Cell 2		1~4	3 μs	Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1~4	3 μs	Synchronous cells
T1	s	1~4	5	
T2	s	1~4	5	

**Table A.5.6.1.1.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap without DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2
TDD configuration		1~4		TDDConf.3.1		TDDConf.3.1
Initial BWP configuration		1~4		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1~4		DLBWP.1.1		DLBWP.1.1
Active UL BWP configuration		1~4		ULBWP.1.1		ULBWP.1.1
RLM-RS		1~4		SSB		SSB
PDSCH RMC configuration		1~4		SR.3.1 TDD		N/A
RMSI CORESET RMC configuration		1~4		CR.3.1 TDD		CR.3.1 TDD
Dedicated CORESET RMC configuration		1~4		CCR.3.1 TDD		CCR.3.1 TDD
OCNG Patterns		1~4		OP.1		OP.1
TRS configuration		1~4		TRS.2.1 TDD		N/A
PDSCH/PDCCH TCI state		1~4		TCI.State.2		N/A
SSB configuration		1, 2 3, 4		SSB.1 FR2 SSB.2 FR2		SSB.1 FR2 SSB.2 FR2
Propagation Condition		1~4		AWGN		

**Table A.5.6.1.1.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap without DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2
AoA setup		1~4	Setup 3 defined in A.3.15.3			
$\hat{E}_s / I_{ot}$	dB	1~4	TBD	TBD	TBD	TBD
$N_{oc}$ Note 2	dBm/15 KHz	1~4	TBD			
$N_{oc}$ Note 2	dBm/SCS	1, 2	TBD			
		3, 4	TBD			
SS-RSRP	dBm/SCS	1, 2	TBD	TBD	TBD	TBD
		3, 4	TBD	TBD	TBD	TBD
$\hat{E}_s / N_{oc}$	dB	1~4	TBD	TBD	TBD	TBD
$I_o$	dBm/95.04MHz	1~4	TBD		TBD	
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

### A.5.6.1.1.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 2.4s for a UE supporting power class 1,
- 1.44s for a UE supporting power class 2 or 3,
- [1.44s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2 $\times$ TTIDCCH higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.1.2 EN-DC event triggered reporting test without gap under DRX

#### A.5.6.1.2.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.5.6.1.2.1-1.

**Table A.5.6.1.2.1-1: supported test configurations**

Configuration	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations.

There are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.6.1.2.1-2 ~ Table A.5.6.1.2.1-6 below.

In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.5.6.1.2.1-2: General test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap with DRX**

Parameter	Unit	Config	Value		Comment
			Test 1	Test 2	
Active cell		1~4	E-UTRAN PCell (Cell 1) PSCell (Cell 2)		
Neighbour cell		1~4	Cell 3		Cell to be identified.
RF Channel Number		1~4	1: Cell 1 2: Cell 2 and Cell 3		One TDD carrier frequency is used for the NR cells and one TDD or FDD carrier frequency is used for E-UTRAN cell.
SMTC configuration		1~4	SMTC.1		
A3-Offset	dB	1~4	-6		
CP length		1~4	Normal		
Hysteresis	dB	1~4	0		
Time To Trigger	s	1~4	0		
Filter coefficient		1~4	0		L3 filtering is not used
DRX		1~4	DRX.1	DRX.2	DRX related parameters are defined in Table A.5.6.1.2.1-4
Time offset between Cell 1 and Cell 2		1~4	3 μs		Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1~4	3 μs		Synchronous cells
T1	s	1~4	5		
T2	s	1~4	10	52	

**Table A.5.6.1.2.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap with DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2

TDD configuration		1~4	TDDConf.3.1	TDDConf.3.1
Initial BWP configuration		1~4	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1~4	DLBWP.1.1	DLBWP.1.1
Active UL BWP configuration		1~4	ULBWP.1.1	ULBWP.1.1
RLM-RS		1~4	SSB	SSB
PDSCH RMC configuration		1~4	SR.3.1 TDD	N/A
RMSI CORESET RMC configuration		1~4	CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET RMC configuration		1~4	CCR.3.1 TDD	CCR.3.1 TDD
OCNG Patterns		1~4	OP.1	OP.1
PDSCH/PDCCH TCI state		1~4	TCI.State.2	N/A
TCI state		1~4	CSI-RS.Config.0	N/A
SSB configuration		1, 2	SSB.1 FR2	SSB.1 FR2
		3, 4	SSB.2 FR2	SSB.2 FR2
Propagation Condition		1~4	AWGN	

**Table A.5.6.1.2.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 without gap with DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2
AoA setup		1~4	Setup 1 defined in A.3.15.1			
$\hat{E}_s / I_{ot}$	dB	1~4	4	-1.46	-Infinity	-1.46
$N_{oc}$ Note 2	dBm/15 KHz	1~4	-98			
$N_{oc}$ Note 2	dBm/SCS	1, 2	-89			
		3, 4	-86			
SS-RSRP	dBm/SCS	1, 2	-85	-85	-Infinity	-85
		3, 4	-82	-82	-Infinity	-82
$\hat{E}_s / N_{oc}$	dB	1~4	4	4	-Infinity	4
$I_o$	dBm/95.04MHz	1, 2	-54.56	-52.21	-54.56	-52.21
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

### A.5.6.1.2.2 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 7.2s for a UE supporting power class 1,
- 4.32s for a UE supporting power class 2 or 3,
- [4.32s] for a UE supporting power class 4

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 51.2s for a UE supporting power class 1,
- 30.72s for a UE supporting power class 2 or 3,
- [30.72s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.5.6.1.3 EN-DC event triggered reporting test with per-UE gaps under non-DRX

##### A.5.6.1.3.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.5.6.1.3.1-1.

**Table A.5.6.1.3.1-1: supported test configurations**

Configuration	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations.	

There are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.6.1.3.1-2 ~ 4 below.

There are two BWPs configured in Cell 2, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 2. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.5.6.1.3.1-2: General test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps without DRX**

Parameter	Unit	Config	Value	Comment
Active cell		1~4	E-UTRAN PCell (Cell 1) PSCell (Cell 2)	
Neighbour cell		1~4	Cell 3	Cell to be identified.
RF Channel Number		1~4	1: Cell 1 2: Cell 2 and Cell 3	One TDD carrier frequency is used for the NR cells and one TDD or FDD carrier frequency is used for E-UTRAN cell.
Gap type		1~4	Per-UE gaps	
Measurement gap repetition periodicity	ms	1~4	40	
Measurement gap length	ms	1~4	6	
Measurement gap offset	ms	1~4	39	
SMTC configuration		1~4	SMTC.1	
CSI-RS parameters		1~4	CSI-RS.3.2 TDD	
A3-Offset	dB	1~4	-6	
CP length		1~4	Normal	
Hysteresis	dB	1~4	0	
Time To Trigger	s	1~4	0	
Filter coefficient		1~4	0	L3 filtering is not used
DRX		1~4	OFF	
Time offset between Cell 1 and Cell 2		1~4	3 µs	Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1~4	3 µs	Synchronous cells
T1	s	1~4	5	
T2	s	1~4	5	

**Table A.5.6.1.3.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps without DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2

TDD configuration		1~4	TDDConf.3.1	TDDConf.3.1
Initial BWP configuration		1~4	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1~4	DLBWP.1.2	DLBWP.1.1
Active UL BWP configuration		1~4	ULBWP.1.2	ULBWP.1.1
RLM-RS		1~4	CSI-RS	SSB
PDSCH RMC configuration		1~4	SR.3.1 TDD	N/A
RMSI CORESET RMC configuration		1~4	CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET RMC configuration		1~4	CCR.3.1 TDD	CCR.3.1 TDD
TRS configuration		1~4	TRS.2.1 TDD	N/A
PDSCH/PDCCH TCI state		1~4	TCI.State.2	N/A
OCNG Patterns		1~4	OP.1	OP.1
SSB		1, 2	SSB.1 FR2	SSB.1 FR2
		3, 4	SSB.2 FR2	SSB.2 FR2
Propagation Condition		1~4	AWGN	

**Table A.5.6.1.3.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps without DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2
AoA setup		1~4	Setup 3 defined in A.3.15.3			
$\hat{E}_s / I_{ot}$	dB	1~4	TBD	TBD	TBD	TBD
$N_{oc}$ Note 2	dBm/15 KHz	1~4	TBD			
$N_{oc}$ Note 2	dBm/SCS	1, 2	TBD			
		3, 4	TBD			
SS-RSRP	dBm/SCS	1, 2	TBD	TBD	TBD	TBD
		3, 4	TBD	TBD	TBD	TBD
$\hat{E}_s / N_{oc}$	dB	3, 4	TBD	TBD	TBD	TBD
$I_o$	dBm/95.04MHz	1~4	TBD		TBD	
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

### A.5.6.1.3.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 3.2s for a UE supporting power class 1,
- 1.92s for a UE supporting power class 2 or 3,
- [1.92s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.5.6.1.4 EN-DC event triggered reporting test with per-UE gaps under DRX

##### A.5.6.1.4.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.5.6.1.4.1-1.

**Table A.5.6.1.4.1-1: supported test configurations**

Configuration	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations.	

There are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on the same frequency as the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.6.1.4.1-2 ~ 6.

During the test, Cell 2 and Cell 3 are transmitted from the direction determined according to A3.8.

There are two BWPs configured in Cell 2, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 2. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

In the measurement control information a measurement object is configured for the frequency of the PSCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of cell 3.

**Table A.5.6.1.4.1-2: General test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps with DRX**

Parameter	Unit	Config	Value		Comment
			Test 1	Test 2	

Active cell		1~4	E-UTRAN PCell (Cell 1) PSCell (Cell 2)		
Neighbour cell		1~4	Cell 3	Cell to be identified.	
RF Channel Number		1~4	1: Cell 1 2: Cell 2 and Cell 3	One TDD carrier frequency is used for the NR cells and one TDD or FDD carrier frequency is used for E-UTRAN cell.	
Gap type		1~4	Per-UE gaps		
Measurement gap repetition periodicity	ms	1~4	40		
Measurement gap length	ms	1~4	6		
Measurement gap offset	ms	1~4	39		
SMTC configuration		1~4	SMTC.1		
CSI-RS parameters		1~4	CSI-RS.3.2 TDD		
A3-Offset	dB	1~4	-6		
CP length		1~4	Normal		
Hysteresis	dB	1~4	0		
Time To Trigger	s	1~4	0		
Filter coefficient		1~4	0	L3 filtering is not used	
DRX		1~4	DRX.1	DRX.2	DRX related parameters are defined in Table A.5.6.1.4.1-5
Time offset between Cell 1 and Cell 2		1~4	3 μs	Synchronous EN-DC	
Time offset between Cell 2 and Cell 3		1~4	3 μs	Synchronous cells	
T1	s	1~4	5		
T2	s	1~4	10	52	

**Table A.5.6.1.4.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps with DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2
TDD configuration		1~4		TDDConf.3.1		TDDConf.3.1
Initial BWP configuration		1~4		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1~4		DLBWP.1.2		DLBWP.1.1
Active UL BWP configuration		1~4		ULBWP.1.2		ULBWP.1.1
RLM-RS		1~4		CSI-RS		SSB
PDSCH RMC configuration		1~4		SR.3.1 TDD		N/A
RMSI CORESET RMC configuration		1~4		CR.3.1 TDD		CR.3.1 TDD
Dedicated CORESET RMC configuration		1~4		CCR.3.1 TDD		CCR.3.1 TDD
TRS configuration		1~4		TRS.2.1 TDD		N/A
PDSCH/PDCCH TCI state		1~4		TCI.State.2		N/A
OCNG Patterns		1~4		OP.1		OP.1
SSB		1, 2		SSB.1 FR2		SSB.1 FR2
		3, 4		SSB.2 FR2		SSB.2 FR2

Propagation Condition		1~4	AWGN		
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**Table A.5.6.1.4.1-4: NR Cell specific test parameters for intra-frequency event triggered reporting for EN-DC with TDD PSCell in FR2 with per-UE gaps with DRX**

Parameter	Unit	Config	Cell 2		Cell 3	
			T1	T2	T1	T2
AoA setup		1~4	Setup 1 defined in A.3.15.1			
$\hat{E}_s/I_{ot}$	dB	1~4	4	-1.46	-Infinity	-1.46
$N_{oc}$ Note 2	dBm/15 KHz	1~4	-98			
$N_{oc}$ Note 2	dBm/SCS	1, 2	-89			
		3, 4	-86			
SS-RSRP	dBm/SCS	1, 2	-85	-85	-Infinity	-85
		3, 4	-82	-82	-Infinity	-82
$\hat{E}_s/N_{oc}$	dB	1~4	4	4	-Infinity	4
$I_o$	dBm/95.04MHz	1, 2	-54.56	-52.21	-54.56	-52.21
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

**Table A.5.6.1.4.1-5: Void**

**Table A.5.6.1.4.1-6: Void**

#### A.5.6.1.4.2 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 7.2s for a UE supporting power class 1,
- 4.32s for a UE supporting power class 2 or 3,
- [4.32s] for a UE supporting power class 4

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 51.20s for a UE supporting power class 1,
- 30.72s for a UE supporting power class 2 or 3,
- [30.72s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

## A.5.6.2 Inter-frequency Measurements

### A.5.6.2.1 EN-DC event triggered reporting tests for FR2 cell without SSB time index detection when DRX is not used

#### A.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR2 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.1.1-1, A.5.6.2.1.1-2, and A.5.6.2.1.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.1.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.5.6.2.1.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.2-1. Supported test configurations are shown in table A.5.6.2.1.1-1.

**Table A.5.6.2.1.1-1 EN-DC event triggered reporting tests without SSB index reading for FR2-FR2**

Config	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.5.6.2.1.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2	LTE Cell 1 (PCell) and NR cell 2 (PScell)		LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.
Neighbour cell		Config 1,2	NR cell 3		NR cell 3 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2	39	39	
SMTC-SSB parameters		Config 1,2	SSB.1 FR2		As specified in clause A.3.10.2
A3-Offset	dB	Config 1,2	-6		
Hysteresis	dB	Config 1,2	0		
CP length		Config 1,2	Normal		
TimeToTrigger	s	Config 1,2	0		
Filter coefficient		Config 1,2	0		
DRX		Config 1,2	OFF		
Time offset between PCell and PSCell		Config 1,2	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,2	3μs		Synchronous cells.
T1	s	Config 1,2	5		
T2	s	Config 1,2	5.2 for PC1; 3.5 for other PC	5.2 for PC1; 3.5 for other PC	

**Table A.5.6.2.1.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2	1		2	
Duplex mode		Config 1,2	TDD		TDD	
BW <sub>channel</sub>	MHz	Config 1,2	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1,2	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
TDD configuration		Config 1,2	TDDConf.3.1		TDDConf.3.1	
Initial DL BWP		Config 1,2	DLBWP.0.1		NA	
Initial UL BWP		Config 1,2	ULBWP.0.1		NA	
Dedicated DL BWP		Config 1,2	DLBWP.1.1		NA	

Dedicated UL BWP		Config 1,2	ULBWP.1.1	NA					
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2	OP.1	OP.1					
TRS configuration		Config 1,2	TRS.2.1 TDD	NA					
TCI configuration		Config 1,2	CSI-RS.Config.0	NA					
PDSCH Reference measurement channel		Config 1,2	SR.3.1 TDD	-					
CORESET Reference Channel		Config 1,2	CR.3.1 TDD	-					
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,2	SMTC.1	SMTC.1					
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	120	120					
EPRE ratio of PSS to SSS		Config 1,2	0	0	0	0			
EPRE ratio of PBCH DMRS to SSS									
EPRE ratio of PBCH to PBCH DMRS									
EPRE ratio of PDCCH DMRS to SSS									
EPRE ratio of PDCCH to PDCCH DMRS									
EPRE ratio of PDSCH DMRS to SSS									
EPRE ratio of PDSCH to PDSCH									
EPRE ratio of OCNG DMRS to SSS(Note 1)									
EPRE ratio of OCNG to OCNG DMRS (Note 1)									
UE orientation around TBD axis and TBD axis	degrees	Config 1,2	NA	TBD					
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2	NA	NA	TBD				
$N_{oc}^{Note2}$	dBm/15 kHz Note5		TBD	TBD					
$N_{oc}^{Note2}$	dBm/S CS Note4	Config 1,2	TBD	TBD					
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2	TBD	TBD	TBD	TBD			
$\hat{E}_s / I_{ot}$	dB	Config 1,2	TBD	TBD	TBD	TBD			
$\hat{E}_s / N_{oc}$	dB	Config 1,2	TBD	TBD	TBD	TBD			
$I_0^{Note3}$	dBm/95 .04 MHz Note5	Config 1,2	TBD	TBD	TBD	TBD			
Propagation Condition		Config 1,2	AWGN						

- |         |  |
|---------|--|
| Note 1: | OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  |
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. |
| Note 3: | SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.   |
| Note 4: | SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  |
| Note 5: | Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone   |
| Note 6: | As observed with 0dBi gain antenna at the centre of the quiet zone   |

### A.5.6.2.1.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

5120 for UE supporting power class 1, or

3200 for UE supporting other power class.

In test 1 and 2 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.2.2 EN-DC event triggered reporting tests for FR2 cell without SSB time index detection when DRX is used

#### A.5.6.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR2 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.2.1-1, A.5.6.2.2.1-2, and A.5.6.2.2.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.2.1-2 is provided for UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.5.6.2.2.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.2-1. Supported test configurations are shown in table A.5.6.2.2.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.5.6.2.2.1-1 EN-DC event triggered reporting tests without SSB index reading for FR2-FR2**

Config	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.5.6.2.2.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
E-UTRA RF Channel Number		Config 1,2	1				One E-UTRAN TDD carrier frequencies is used.			
NR RF Channel Number		Config 1,2	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2	LTE Cell 1 (PCell) and NR cell 2 (PScell)				LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.			
Neighbour cell		Config 1,2	NR cell 3				NR cell 3 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2	39	39						
SMTC-SSB parameters		Config 1,2	SSB.1 FR2				As specified in clause A.3.10.2			
A3-Offset	dB	Config 1,2	-6							
Hysteresis	dB	Config 1,2	0							
CP length		Config 1,2	Normal							
TimeToTrigger	s	Config 1,2	0							
Filter coefficient		Config 1,2	0				L3 filtering is not used			
DRX		Config 1,2	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between PCell and PScell		Config 1,2	3 μs				Synchronous EN-DC			
Time offset between serving and neighbour cells		Config 1,2	3μs				Synchronous cells.			
T1	s	Config 1,2	5							
T2	s	Config 1,2	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC				

**Table A.5.6.2.2.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2

NR RF Channel Number		Config 1,2	1	2
Duplex mode		Config 1,2	TDD	TDD
BW <sub>channel</sub>	MHz	Config 1,2	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
BWP BW	MHz	Config 1,2	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
TDD configuration		Config 1,2	TDDConf.3.1	TDDConf.3.1
Initial DL BWP		Config 1,2	DLBWP.0.1	NA
Initial UL BWP		Config 1,2	ULBWP.0.1	
Dedicated DL BWP		Config 1,2	DLBWP.1.1	NA
Dedicated UL BWP		Config 1,2	ULBWP.1.1	NA
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2	OP.1	OP.1
TRS configuration		Config 1,2	TRS.2.1 TDD	NA
TCI configuration		Config 1,2	CSI-RS.Config.0	NA
PDSCH Reference measurement channel		Config 1,2	SR.3.1 TDD	-
CORESET Reference Channel		Config 1,2	CR.3.1 TDD	-
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,2	SMTC.1	SMTC.1
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	120	120
EPRE ratio of PSS to SSS		Config 1,2	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS(Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
UE orientation around TBD axis and TBD axis	degrees	Config 1,2	NA	TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2	NA	NA   TBD
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz Note5		TBD	TBD
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS Note4	Config 1,2	TBD	TBD

SS-RSRP <sup>Note 3</sup>	dBm/S CS Note5	Config 1,2	TBD	TBD	TBD	TBD				
$\hat{E}_s / I_{ot}$	dB	Config 1,2	TBD	TBD	TBD	TBD				
$\hat{E}_s / N_{oc}$	dB	Config 1,2	TBD	TBD	TBD	TBD				
Io <sup>Note3</sup>	dBm/95 .04 MHz Note5	Config 1,2	TBD	TBD	TBD	TBD				
Propagation Condition		Config 1,2	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.									
Note 5:	Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone									
Note 6:	As observed with 0 dBi gain antenna at the centre of the quiet zone									

### A.5.6.2.2.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

7680 for UE supporting power class 1, or

4800 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

81920 for UE supporting power class 1, or

51200 for UE supporting other power class.

In test 1, 2, 3 and 4 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.2.3 EN-DC event triggered reporting tests for FR2 cell with SSB time index detection when DRX is not used

#### A.5.6.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR2 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.3.1-1, A.5.6.2.3.1-2, and A.5.6.2.3.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.3.1-1 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.5.6.2.3.1-1 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.2-1. Supported test configurations are shown in table A.5.6.2.3.1-1.

**Table A.5.6.2.3.1-1 EN-DC event triggered reporting tests with SSB index reading for FR2-FR2**

Config	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations	
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell	

**Table A.5.6.2.3.1-2: General test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2	LTE Cell 1 (PCell) and NR cell 2 (PScell)		LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.
Neighbour cell		Config 1,2	NR cell 3		NR cell 3 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2	39	39	
SMTC-SSB parameters		Config 1,2	SSB.1 FR2		As specified in clause A.3.10.2
A3-Offset	dB	Config 1,2	-6		
Hysteresis	dB	Config 1,2	0		
CP length		Config 1,2	Normal		
TimeToTrigger	s	Config 1,2	0		
Filter coefficient		Config 1,2	0		
DRX		Config 1,2	OFF		
Time offset between PCell and PScell		Config 1,2	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,2	3μs		Synchronous cells.
T1	s	Config 1,2	5		
T2	s	Config 1,2	7 for PC1; 4.5 for other PC	7 for PC1; 4.5 for other PC	

**Table A.5.6.2.3.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2	1		2	
Duplex mode		Config 1,2	TDD		TDD	
BW <sub>channel</sub>	MHz	Config 1,2	100: N <sub>RB,C</sub> = 66		100: N <sub>RB,C</sub> = 66	
BWP BW	MHz	Config 1,2	100: N <sub>RB,C</sub> = 66		100: N <sub>RB,C</sub> = 66	
TDD configuration		Config 1,2	TDDConf.3.1		TDDConf.3.1	
Initial DL BWP		Config 1,2	DLBWP.0.1		NA	
Initial UL BWP		Config 1,2	DLBWP.0.1			
Dedicated DL BWP		Config 1,2	DLBWP.1.1		NA	
Dedicated UL BWP		Config 1,2	ULBWP.1.1		NA	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2	OP.1		OP.1	
PDSCH Reference measurement channel		Config 1,2	SR.3.1 TDD		-	
CORESET Reference Channel		Config 1,2	CR.3.1 TDD		-	
TRS configuration		Config 1,2	TRS.2.1 TDD		NA	
TCI configuration		Config 1,2	CSI-RS.Config.0		NA	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,2	SMTC.1		SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	120		120	
EPRE ratio of PSS to SSS		Config 1,2	0	0		
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS(Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
UE orientation around TBD axis and TBD axis	degrees	Config 1,2	NA		TBD	
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2	NA		NA	TBD

$N_{oc}$ Note2	dBm/15 kHz Note5		TBD		TBD					
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2	TBD		TBD					
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2	TBD	TBD	TBD	TBD				
$\hat{E}_s / I_{ot}$	dB	Config 1,2	TBD	TBD	TBD	TBD				
$\hat{E}_s / N_{oc}$	dB	Config 1,2	TBD	TBD	TBD	TBD				
Io Note3	dBm/95 .04 MHz Note5	Config 1,2	TBD	TBD	TBD	TBD				
Propagation Condition		Config 1,2	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.									
Note 5:	Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone									
Note 6:	As observed with 0dBi gain antenna at the centre of the quiet zone									

### A.5.6.2.3.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

6720 for UE supporting power class 1, or

4160 for UE supporting other power class.

In test 1 and 2 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.2.4 EN-DC event triggered reporting tests for FR2 cell with SSB time index detection when DRX is used

#### A.5.6.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR2 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.4.1-1, A.5.6.2.4.1-2, and A.5.6.2.4.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.4.1-2 is provided for UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.5.6.2.4.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.2-1. Supported test configurations are shown in table A.5.6.2.4.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.5.6.2.4.1-1: EN-DC event triggered reporting tests with SSB index reading for FR2-FR2**

Config	Description
1	LTE FDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.5.6.2.4.1-2: General test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
E-UTRA RF Channel Number		Config 1,2	1				One E-UTRAN TDD carrier frequencies is used.			
NR RF Channel Number		Config 1,2	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2	LTE Cell 1 (PCell) and NR cell 2 (PScell)				LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.			
Neighbour cell		Config 1,2	NR cell 3				NR cell 3 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2	39	39						
SMTC-SSB parameters		Config 1,2	SSB.1 FR2				As specified in clause A.3.10.2			
A3-Offset	dB	Config 1,2	-6							
Hysteresis	dB	Config 1,2	0							
CP length		Config 1,2	Normal							
TimeToTrigger	s	Config 1,2	0							
Filter coefficient		Config 1,2	0				L3 filtering is not used			
DRX		Config 1,2	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between PCell and PSCell		Config 1,2	3 μs				Synchronous EN-DC			
Time offset between serving and neighbour cells		Config 1,2	3μs				Synchronous cells.			
T1	s	Config 1,2	5							
T2	s	Config 1,2	11 for PC1; 6.5 for other PC	108 for PC1; 67 for other PC	11 for PC1; 6.5 for other PC	108 for PC1; 67 for other PC				

**Table A.5.6.2.4.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2	1		2	
Duplex mode		Config 1,2	TDD		TDD	
BW <sub>channel</sub>	MHz	Config 1,2	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1,2	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
TDD configuration		Config 1,2	TDDConf.3.1		TDDConf.3.1	
Initial DL BWP		Config 1,2	DLBWP.0.1		NA	

Initial UL BWP		Config 1,2	ULBWP.0.1					
Dedicated DL BWP		Config 1,2	DLBWP.1.1	NA				
Dedicated UL BWP		Config 1,2	ULBWP.1.1	NA				
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2	OP.1	OP.1				
PDSCH Reference measurement channel		Config 1,2	SR.3.1 TDD	-				
CORESET Reference Channel		Config 1,2	CR.3.1 TDD	-				
TRS configuration		Config 1,2	TRS.2.1 TDD	NA				
TCI configuration		Config 1,2	CSI-RS.Config.0	NA				
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,2	SMTC.1	SMTC.1				
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	120	120				
EPRE ratio of PSS to SSS		Config 1,2	0	0				
EPRE ratio of PBCH DMRS to SSS								
EPRE ratio of PBCH to PBCH DMRS								
EPRE ratio of PDCCH DMRS to SSS								
EPRE ratio of PDCCH to PDCCH DMRS								
EPRE ratio of PDSCH DMRS to SSS								
EPRE ratio of PDSCH to PDSCH								
EPRE ratio of OCNG DMRS to SSS(Note 1)								
EPRE ratio of OCNG to OCNG DMRS (Note 1)								
UE orientation around TBD axis and TBD axis	degrees	Config 1,2	NA	TBD				
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2	NA	NA	TBD			
$N_{oc}^{Note2}$	dBm/15 kHz Note5		TBD	TBD				
$N_{oc}^{Note2}$	dBm/S CS Note4	Config 1,2	TBD	TBD				
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2	TBD	TBD	TBD	TBD		
$\hat{E}_s / I_{ot}$	dB	Config 1,2	TBD	TBD	TBD	TBD		
$\hat{E}_s / N_{oc}$	dB	Config 1,2	TBD	TBD	TBD	TBD		

Io <sup>Note3</sup>	dBm/95 .04 MHz Note5	Config 1,2	TBD	TBD	TBD	TBD				
Propagation Condition		Config 1,2	AWGN							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.										
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.										
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.										
Note 5: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone										
Note 6: As observed with 0dBi gain antenna at the centre of the quiet zone										

#### A.5.6.2.2.4 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

10080 for UE supporting power class 1, or

6240 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

107520 for UE supporting power class 1, or

66560 for UE supporting other power class.

In test 1, 2, 3 and 4 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.5.6.2.5 EN-DC event triggered reporting tests for FR2 cell without SSB time index detection when DRX is not used

##### A.5.6.2.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.5.1-1, A.5.6.2.5.1-2, and A.5.6.2.5.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.5.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.5.6.2.5.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.5.6.2.5.1-1.

**Table A.5.6.2.5.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.6.2.5.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)		LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3		NR cell 3 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3,4,5,6	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3,4,5,6	39	39	
SMTC-SSB parameters on NR RF Channel 1		Config 1,4	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2,5	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3,6	SSB.2 FR1		As specified in clause A.3.10.1
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3,4,5,6	SSB.1 FR2		As specified in clause A.3.10.2
offsetMO	dB	Config 1,2,3,4,5,6	6		
Hysteresis	dB	Config 1,2,3,4,5,6	0		
a4-Threshold	dBm	Config 1,2,3,4,5,6	TBD		
CP length		Config 1,2,3,4,5,6	Normal		
TimeToTrigger	s	Config 1,2,3,4,5,6	0		
Filter coefficient		Config 1,2,3,4,5,6	0		L3 filtering is not used
DRX		Config 1,2,3,4,5,6	OFF		DRX is not used
Time offset between PCell and PSCell		Config 1,2,3,4,5,6	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,4	3ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		Config 2,3,5,6	3μs		Synchronous cells.
T1	s	Config 1,2,3,4,5,6	5		
T2	s	Config 1,2,3,4,5,6	5.2 for PC1; 3.5 for other PC	5.2 for PC1; 3.5 for other PC	

**Table A.5.6.2.5.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3,4,5,6		1		2
Duplex mode		Config 1,4		FDD		TDD
		Config 2,3,5,6		TDD		TDD
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2,5	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3,6	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2,5	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3,6	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	
TDD configuration		Config 2,5		TDDConf.1.1		TDDConf.3.1
		Config 3,6		TDDConf.2.1		TDDConf.3.1
Initial DL BWP		Config 1,2,3,4,5,6		DLBWP.0.1		NA
Initial UL BWP		Config 1,2,3,4,5,6		ULBWP.0.1		NA
Dedicated DL BWP		Config 1,2,3,4,5,6		DLBWP.1.1		NA
Dedicated UL BWP		Config 1,2,3,4,5,6		ULBWP.1.1		NA
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6		OP.1		OP.1
PDSCH Reference measurement channel		Config 1,4		SR.1.1 FDD		-
		Config 2,5		SR.1.1 TDD		
		Config 3,6		SR2.1 TDD		
CORESET Reference Channel		Config 1,4		CR.1.1 FDD		-
		Config 2,5		CR.1.1 TDD		
		Config 3,6		CR2.1 TDD		
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,4		SMTC.2		SMTC.2
		Config 2,3,5,6		SMTC.1		SMTC.1
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5		15		120
		Config 3,6		30		120
EPRE ratio of PSS to SSS						
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						

EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3,4,5,6	NA		TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3,4,5,6	NA		NA TBD
$N_{oc}$ Note2	dBm/15 kHz Note5		NA		TBD
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2,4,5	NA		TBD
		Config 3,6	NA		TBD
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2,4,5	NA	NA	-Infinity TBD
		Config 3,6	NA	NA	-Infinity TBD
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	NA	NA	-Infinity TBD
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	NA	NA	-Infinity TBD
Io Note3	dBm/9.36MHz	Config 1,2,4,5	NA	NA	- -
	dBm/38.16MHz	Config 3,6	NA	NA	- -
	dBm/95.04 MHz Note5	Config 1,2,3,4,5,6	-	-	-Infinity TBD
Propagation Condition		Config 1,2,3,4,5,6	AWGN		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0dBi gain antenna at the centre of the quiet zone</p>					

### A.5.6.2.5.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A4 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

5120 for UE supporting power class 1, or

3200 for UE supporting other power class.

In test 1 and 2 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.2.6 EN-DC event triggered reporting tests for FR2 cell without SSB time index detection when DRX is used

#### A.5.6.2.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.6.1-1, A.5.6.2.6.1-2, and A.5.6.2.6.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.6.1-2 is provided for a UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.5.6.2.6.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.5.6.2.6.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.5.6.2.6.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.6.2.6.1-2: General test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment
			Test 1	Test 2	Test 3	Test 4	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1				One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2				Two FR1 NR carrier frequencies is used.

Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)				LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3				NR cell 3 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3,4,5,6	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3,4,5,6	39	39						
SMTC-SSB parameters on NR RF Channel 1		Config 1,4	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2,5	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3,6	SSB.2 FR1				As specified in clause A.3.10.1			
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3,4,5,6	SSB.1 FR2				As specified in clause A.3.10.2			
offsetMO	dB	Config 1,2,3,4,5,6	6							
Hysteresis	dB	Config 1,2,3,4,5,6	0							
a4-Threshold	dBm	Config 1,2,3,4,5,6	TBD							
CP length		Config 1,2,3,4,5,6	Normal							
TimeToTrigger	s	Config 1,2,3,4,5,6	0							
Filter coefficient		Config 1,2,3,4,5,6	0				L3 filtering is not used			
DRX		Config 1,2,3,4,5,6	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between PCell and PScell		Config 1,2,3,4,5,6	3 μs				Synchronous EN-DC			
Time offset between serving and neighbour cells		Config 1,4	3ms				Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.			
		Config 2,3,5,6	3μs				Synchronous cells.			
T1	s	Config 1,2,3,4,5,6	5							
T2	s	Config 1,2,3,4,5,6	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC				

**Table A.5.6.2.6.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3,4,5,6	1		2	
Duplex mode		Config 1,4	FDD		TDD	

		Config 2,3,5,6	TDD	TDD
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
		Config 2,5	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
		Config 3,6	40: N <sub>RB,c</sub> = 106	100: N <sub>RB,c</sub> = 66
BWP BW	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
		Config 2,5	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
		Config 3,6	40: N <sub>RB,c</sub> = 106	100: N <sub>RB,c</sub> = 66
TDD configuration		Config 2,5	TDDConf.1.1	TDDConf.3.1
		Config 3,6	TDDConf.2.1	TDDConf.3.1
Initial DL BWP		Config 1,2,3,4,5,6	DLBWP.0.1	NA
Initial UL BWP		Config 1,2,3,4,5,6	ULBWP.0.1	NA
Dedicated DL BWP		Config 1,2,3,4,5,6	DLBWP.1.1	NA
Dedicated UL BWP		Config 1,2,3,4,5,6	ULBWP.1.1	NA
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6	OP.1	OP.1
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD	-
		Config 2,5	SR.1.1 TDD	
		Config 3,6	SR2.1 TDD	
CORESET Reference Channel		Config 1,4	CR.1.1 FDD	-
		Config 2,5	CR.1.1 TDD	
		Config 3,6	CR2.1 TDD	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,4	SMTC.2	SMTC.2
		Config 2,3,5,6	SMTC.1	SMTC.1
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5	15	120
		Config 3,6	30	120
EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3,4,5,6	NA	TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3,4,5,6	NA	NA

$N_{oc}$ <sup>Note2</sup>	dBm/15 kHz Note5		NA		TBD	
$N_{oc}$ <sup>Note2</sup>	dBm/S CS Note4	Config 1,2,4,5 Config 3,6	NA		TBD	
	dBm/S CS Note5	Config 1,2,4,5 Config 3,6	NA	NA	TBD	TBD
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	NA	NA	TBD	TBD
	dB	Config 1,2,3,4,5,6	NA	NA	TBD	TBD
Io <sup>Note3</sup>	dBm/9.36MHz	Config 1,2,4,5	NA	NA	-	-
	dBm/38.16MHz	Config 3,6	NA	NA	-	-
	dBm/95.04 MHz Note5	Config 1,2,3,4,5,6	-	-	TBD	TBD
Propagation Condition		Config 1,2,3,4,5,6	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>						

### A.5.6.2.6.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

7680 for UE supporting power class 1, or

4800 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

81920 for UE supporting power class 1, or

51200 for UE supporting other power class.

In test 1, 2, 3 and 4 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**A.5.6.2.7 EN-DC event triggered reporting tests for FR2 cell with SSB time index detection when DRX is not used**

**A.5.6.2.7.1 Test Purpose and Environment**

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.7.1-1, A.5.6.2.7.1-2, and A.5.6.2.7.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.7.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.5.6.2.7.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.5.6.2.7.1-1.

**Table A.5.6.2.7.1-1: EN-DC event triggered reporting tests with SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.6.2.7.1-2: General test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)		LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3		NR cell 3 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3,4,5,6	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3,4,5,6	39	39	
SMTC-SSB parameters on NR RF Channel 1		Config 1,4	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2,5	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3,6	SSB.2 FR1		As specified in clause A.3.10.1
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3,4,5,6	SSB.1 FR2		As specified in clause A.3.10.2
offsetMO	dB	Config 1,2,3,4,5,6	6		
Hysteresis	dB	Config 1,2,3,4,5,6	0		
a4-Threshold	dBm	Config 1,2,3,4,5,6	TBD		
CP length		Config 1,2,3,4,5,6	Normal		
TimeToTrigger	s	Config 1,2,3,4,5,6	0		
Filter coefficient		Config 1,2,3,4,5,6	0		L3 filtering is not used
DRX		Config 1,2,3,4,5,6	OFF		DRX is not used
Time offset between PCell and PSCell		Config 1,2,3,4,5,6	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		Config 1,4	3ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		Config 2,3,5,6	3μs		Synchronous cells.
T1	s	Config 1,2,3,4,5,6	5		
T2	s	Config 1,2,3,4,5,6	7 for PC1; 4.5 for other PC	7 for PC1; 4.5 for other PC	

**Table A.5.6.2.7.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3,4,5,6		1		2
Duplex mode		Config 1,4		FDD		TDD
		Config 2,3,5,6		TDD		TDD
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2,5	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3,6	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2,5	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3,6	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6		OP.1		OP.1
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD		-	
		Config 2,5	SR.1.1 TDD		-	
		Config 3,6	SR2.1 TDD		-	
CORESET Reference Channel		Config 1,4	CR.1.1 FDD		-	
		Config 2,5	CR.1.1 TDD		-	
		Config 3,6	CR2.1 TDD		-	
TDD configuration		Config 2,5		TDDConf.1.1	TDDConf.3.1	
		Config 3,6		TDDConf.2.1	TDDConf.3.1	
Initial DL BWP		Config 1,2,3,4,5,6		DLBWP.0.1	NA	
Initial UL BWP		Config 1,2,3,4,5,6		ULBWP.0.1	NA	
Dedicated DL BWP		Config 1,2,3,4,5,6		DLBWP.1.1	NA	
Dedicated UL BWP		Config 1,2,3,4,5,6		ULBWP.1.1	NA	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,4		SMTC.2	SMTC.2	
		Config 2,3,5,6		SMTC.1	SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5		15	120	
		Config 3,6		30	120	
EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6		0	0	
EPRE ratio of PBCH DMRS to SSS					0	
EPRE ratio of PBCH to PBCH DMRS					0	
EPRE ratio of PDCCH DMRS to SSS					0	
EPRE ratio of PDCCH to PDCCH DMRS					0	
EPRE ratio of PDSCH DMRS to SSS					0	
EPRE ratio of PDSCH to PDSCH					0	

EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3,4,5,6	NA		TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3,4,5,6	NA		NA TBD
$N_{oc}$ Note2	dBm/15 kHz Note5		NA		TBD
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2,4,5	NA		TBD
		Config 3,6	NA		TBD
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2,4,5	NA	NA	-Infinity TBD
		Config 3,6	NA	NA	-Infinity TBD
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	NA	NA	-Infinity TBD
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	NA	NA	-Infinity TBD
Io Note3	dBm/9.36MHz	Config 1,2,4,5	NA	NA	- -
	dBm/38.16MHz	Config 3,6	NA	NA	- -
	dBm/95.04 MHz Note5	Config 1,2,3,4,5,6	-	-	-Infinity TBD
Propagation Condition		Config 1,2,3,4,5,6	AWGN		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0dBi gain antenna at the centre of the quiet zone</p>					

### A.5.6.2.7.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

6720 for UE supporting power class 1, or

4160 for UE supporting other power class.

In test 1 and 2 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**A.5.6.2.8 EN-DC event triggered reporting tests for FR2 cell with SSB time index detection when DRX is used**

**A.5.6.2.8.1 Test Purpose and Environment**

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the EN-DC inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are three cells: LTE cell 1 as PCell on E-UTRA RF channel 1, NR cell 2 as PSCell in FR1 on NR RF channel 1 and NR cell 3 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.5.6.2.8.1-1, A.5.6.2.8.1-2, and A.5.6.2.8.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.5.6.2.8.1-2 is provided for a UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.5.6.2.8.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

The configuration of LTE cell 1 is defined in table A.3.7.2.1-1. Supported test configurations are shown in table A.5.6.2.8.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.5.6.2.8.1-1: EN-DC event triggered reporting tests without SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.5.6.2.8.1-2: General test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1				One E-UTRAN TDD carrier frequencies is used.			
NR RF Channel Number		Config 1,2,3,4,5,6	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2,3,4,5,6	LTE Cell 1 (PCell) and NR cell 2 (PScell)				LTE Cell 1 is on E-UTRA RF channel number 1. NR Cell 2 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3,4,5,6	NR cell 3				NR cell 3 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3,4,5,6	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3,4,5,6	39	39						
SMTC-SSB parameters on NR RF Channel 1		Config 1,4	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2,5	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3,6	SSB.2 FR1				As specified in clause A.3.10.1			
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3,4,5,6	SSB.1 FR2				As specified in clause A.3.10.2			
offsetMO	dB	Config 1,2,3,4,5,6	6							
Hysteresis	dB	Config 1,2,3,4,5,6	0							
a4-Threshold	dBm	Config 1,2,3,4,5,6	TBD							
CP length		Config 1,2,3,4,5,6	Normal							
TimeToTrigger	s	Config 1,2,3,4,5,6	0							
Filter coefficient		Config 1,2,3,4,5,6	0				L3 filtering is not used			
DRX		Config 1,2,3,4,5,6	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between PCell and PSCell		Config 1,2,3,4,5,6	3 μs				Synchronous EN-DC			
Time offset between serving and neighbour cells		Config 1,4	3ms				Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.			
		Config 2,3,5,6	3μs				Synchronous cells.			
T1	s	Config 1,2,3,4,5,6	5							
T2	s	Config 1,2,3,4,5,6	11 for PC1; 6.5 for other PC	108 for PC1; 67 for other PC	11 for PC1; 6.5 for other PC	108 for PC1; 67 for other PC				

**Table A.5.6.2.8.1-3: Cell specific test parameters for EN-DC inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2		Cell 3	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3,4,5,6		1		2
Duplex mode		Config 1,4		FDD		TDD
		Config 2,3,5,6		TDD		TDD
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2,5	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3,6	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2,5	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3,6	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3,4,5,6		OP.1		OP.1
PDSCH Reference measurement channel		Config 1,4	SR.1.1 FDD		-	
		Config 2,5	SR.1.1 TDD		-	
		Config 3,6	SR2.1 TDD		-	
CORESET Reference Channel		Config 1,4	CR.1.1 FDD		-	
		Config 2,5	CR.1.1 TDD		-	
		Config 3,6	CR2.1 TDD		-	
TDD configuration		Config 2,5		TDDConf.1.1	TDDConf.3.1	
		Config 3,6		TDDConf.2.1	TDDConf.3.1	
Initial DL BWP		Config 1,2,3,4,5,6		DLBWP.0.1	NA	
Initial UL BWP		Config 1,2,3,4,5,6		ULBWP.0.1	NA	
Dedicated DL BWP		Config 1,2,3,4,5,6		DLBWP.1.1	NA	
Dedicated UL BWP		Config 1,2,3,4,5,6		ULBWP.1.1	NA	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1,4		SMTC.2	SMTC.2	
		Config 2,3,5,6		SMTC.1	SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5		15	120	
		Config 3,6		30	120	
EPRE ratio of PSS to SSS		Config 1,2,3,4,5,6		0	0	
EPRE ratio of PBCH DMRS to SSS					0	
EPRE ratio of PBCH to PBCH DMRS					0	
EPRE ratio of PDCCH DMRS to SSS					0	
EPRE ratio of PDCCH to PDCCH DMRS					0	
EPRE ratio of PDSCH DMRS to SSS					0	
EPRE ratio of PDSCH to PDSCH					0	

EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3,4,5,6	NA		TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3,4,5,6	NA		NA TBD
$N_{oc}$ Note2	dBm/15 kHz Note5		NA		TBD
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2,4,5	NA		TBD
		Config 3,6	NA		TBD
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2,4,5	NA	NA	TBD TBD
		Config 3,6	NA	NA	TBD TBD
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3,4,5,6	NA	NA	TBD TBD
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3,4,5,6	NA	NA	TBD TBD
Io Note3	dBm/9.36MHz	Config 1,2,4,5	NA	NA	- -
	dBm/38.16MHz	Config 3,6	NA	NA	- -
	dBm/95.04 MHz Note5	Config 1,2,3,4,5,6	-	-	TBD TBD
Propagation Condition		Config 1,2,3,4,5,6	AWGN		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0dBi gain antenna at the centre of the quiet zone</p>					

### A.5.6.2.8.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

10080 for UE supporting power class 1, or

6240 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

107520 for UE supporting power class 1, or

66560 for UE supporting other power class.

In test 1, 2, 3 and 4 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.3 L1-RSRP measurement for beam reporting

#### A.5.6.3.1 SSB based L1-RSRP measurement when DRX is not used

##### A.5.6.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of L1-RSRP measurement. This test will partly verify the L1-RSRP measurement requirements in clause 9.5.4.1, with the testing configurations for NR cells in Table A.5.6.3.1.1-1.

The AoA setup for this test is Setup 1 as defined in section A.3.15

**Table A.5.6.3.1.1-1: Applicable NR configurations for FR2 SSB based L1-RSRP test**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

##### A.5.6.3.1.2 Test parameters

There are two cells in the test, E-UTRAN PCell (Cell 1) and FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.5.6.3.1.2-1 and Table A.5.6.3.1.2-2 below.

In CSI measurement configuration, UE is indicated to perform L1-RSRP measurement on the SSBs and report periodically. The test consists of two successive time periods, with time duration of T1, and T2 respectively.

There is no measurement gap configured in the test. Before the test, UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSBs.

**Table A.5.6.3.1.2-1: General test parameters**

Parameter	Config	Unit	Value
SSB GSCN	1~4		freq1
Duplex mode	1~4		TDD
TDD Configuration	1~4		TDDConf.3.1
BW <sub>channel</sub>	1~4	MHz	100: N <sub>RB,c</sub> = 66
PDSCH Reference measurement channel	1~4		SR.3.1 TDD
RMSI CORESET Reference Channel	1~4		CR.3.1 TDD
Dedicated CORESET Reference Channel	1~4		CCR.3.1 TDD
SSB configuration	1,2 3,4		SSB.1 FR2 SSB.2 FR2
OCNG Patterns	1~4		OP.1
Initial BWP Configuration	1~4		DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~4		DLBWP.1.3 ULBWP.1.3
SMTC configuration	1~4		SMTC.1
TRS Configuration	1~4		TRS.2.1 TDD
PDCCH/PDSCH TCI Configuration	1~4		TCI.State.2
DRX configuration	1~4		Off
reportConfigType	1~4		periodic
reportQuantity	1~4		ssb-Index-RSRP
Number of reported RS	1~4		2
L1-RSRP reporting period	1~4	slot	640
T1	1~4	s	5
T2	1~4	s	1
Propagation condition	1~4		AWGN
EPRE ratio of PSS to SSS	1~4	dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH DMRS			
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>			
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>			
Propagation condition	1~4		AWGN

**Table A.5.6.3.1.2-2: SSB specific test parameters**

Parameter	Config	Unit	SSB#0		SSB#1	
			T1	T2	T1	T2
N <sub>oc</sub> <sup>Note 2</sup>	1~4	dBm/15kHz	TBD			
N <sub>oc</sub> <sup>Note 2</sup>	1,2	dBm/SSB SCS	TBD			
	3,4		TBD			
Ê <sub>s</sub> /I <sub>ot</sub>	1~4	dB	TBD	TBD	-Infinity	TBD
SSB RSRP <sup>Note 3</sup>	1,2	dBm/SSB SCS	TBD	TBD	-Infinity	TBD
	3,4		TBD	TBD	-Infinity	TBD

Io <sup>Note3</sup>	1,2	dBm/95.04MHz	TBD	TBD	TBD	TBD
	3,4		TBD	TBD	TBD	TBD
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	-Infinity	TBD

#### A.5.6.3.1.3 Test Requirements

The UE shall send L1-RSRP report every 640 slots. After 480ms plus 640 slots from the beginning of time period T2, UE shall send L1-RSRP report including the results for both SSB#0 and SSB#1 while meeting the accuracy requirements defined in clause 10.1.20.1. The reported L1-RSRP value shall include the Rx antenna gain in the range of [0-17] dB.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.5.6.3.2 SSB based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.5.6.3.1.

#### A.5.6.3.3 CSI-RS based L1-RSRP measurement when DRX is not used

##### A.5.6.3.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of L1-RSRP measurement. This test will partly verify the L1-RSRP measurement requirements in clause 9.5.4.2, with the testing configurations for NR cells in Table A.5.6.3.3.1-1.

**Table A.5.6.3.3.1-1: Applicable NR configurations for FR2 CSI-RS based L1-RSRP test**

Config	Description
1	LTE FDD, NR 120 kHz CSI-RS SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz CSI-RS SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

##### A.5.6.3.3.2 Test parameters

There are two cells in the test, E-UTRAN PCell (Cell 1) and FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.5.6.3.3.2-1 and Table A.5.6.3.3.2-2 below.

In CSI measurement configuration, UE is indicated to perform L1-RSRP measurement on the CSI-RS and report aperiodically. The test consists of two successive time periods, with time duration of T1, and T2 respectively. At 20ms from the beginning of T2, UE is triggered to measure on the aperiodic CSI-RS resource set containing two resources, and to report based on the reporting configuration in Table A.5.6.3.3.2-1.

There is no measurement gap configured in the test. Before the test, UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSBs.

**Table A.5.6.3.3.2-1: General test parameters**

Parameter	Config	Unit	Value
SSB GSCN	1~2		freq1
Duplex mode	1~2		TDD
TDD Configuration	1~2		TDDConf.3.1
BW <sub>channel</sub>	1~2	MHz	100: N <sub>RB,c</sub> = 66
PDSCH Reference measurement channel	1~2		SR.3.1 TDD
RMSI CORESET Reference Channel	1~2		CR.3.1 TDD
Dedicated CORESET Reference Channel	1~2		CCR.3.1 TDD
SSB configuration	1~2		SSB.1 FR2
CSI-RS configuration	1~2		CSI-RS.3.3 TDD
OCNG Patterns	1~2		OP.1
Initial BWP Configuration	1~2		DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~2		DLBWP.1.3 ULBWP.1.3
SMTC configuration	1~2		SMTC.1
TRS Configuration	1~2		TRS.2.1 TDD
PDCCH/PDSCH TCI Configuration	1~2		TCI.State.2
DRX configuration	1~2		Off
reportConfigType	1~2		aperiodic
reportQuantity	1~2		cri-RSRP
Number of reported RS	1~2		2
qcl-Info	1~2		SSB#0 for resource#0 SSB#1 for resource#1
reportSlotOffsetList	1~2		TBD
Propagation condition	1~2		AWGN
T1	1~2	s	5
T2	1~2	s	1
EPRE ratio of PSS to SSS	1~2	dB	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH DMRS			
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>			
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>			

**Table A.5.6.3.3.2-1: CSI-RS specific test parameters**

Parameter	Config	Unit	SSB#0		SSB#1	
			T1	T2	T1	T2
$N_{oc}$ <sup>Note2</sup>	1~2	dBm/15kHz	TBD			
$N_{oc}$ <sup>Note2</sup>	1~2	dBm/SSB SCS	TBD			
$\hat{E}_s/I_{ot}$	1~2	dB	TBD	TBD	-Infinity	TBD
CSI-RS RSRP <sup>Note3</sup>	1~2	dBm/SSB SCS	TBD	TBD	-Infinity	TBD
$I_o$ <sup>Note3</sup>	1~2	dBm/95.04MHz	TBD	TBD	TBD	TBD
$\hat{E}_s/N_{oc}$	1~2	dB	TBD	TBD	-Infinity	TBD

### A.5.6.3.3.3 Test Requirements

The UE shall send L1-RSRP report at slot [TBD] from the beginning of T2. The L1-RSRP report shall include the results for both CSI-RS#0 and CSI-RS#1 while meeting the accuracy requirements defined in clause 10.1.20.1. The reported L1-RSRP value shall include the Rx antenna gain in the range of [0-17] dB.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.5.6.3.4 CSI-RS based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.5.6.3.3.

## A.5.7 Measurement Performance requirements

### A.5.7.1 SS-RSRP

#### A.5.7.1.1 EN-DC intra-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

##### A.5.7.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 10.1.2.1.1 and 10.1.2.1.1 for intra-frequency measurements.

##### A.5.7.1.1.2 Test parameters

In this set of test cases all NR cells are on the same carrier frequency. Supported test configurations are shown in table A.5.7.1.1.2-1. Both absolute and relative accuracy of SS-RSRP intra frequency measurements are tested by using the parameters in Table A.5.7.1.1.2-2 and A.5.7.1.1.2-3. The E-UTRA PCell is configured as specified in section A.3.7.2.2. In all test cases, Cell 1 is the PCell, cell 2 is the PSCell and Cell 3 the target cell.

**Table A.5.7.1.1.2-1: SS-RSRP Intra frequency SS-RSRP supported test configurations**

Configuration	Description
1	FDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations	

**Table A.5.7.1.1.2-2: SS-RSRP Intra frequency general test parameters**

Parameter <sup>Note 5</sup>	Unit	Test 1		Test 2		Test 3							
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3						
SSB ARFCN		freq1		freq1		freq1							
Duplex mode		TDD		TDD		TDD							
TDD configuration		TDDConf.3.1		TDDConf.3.1		TDDConf.3.1							
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66							
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD	-	SR.3.1 TDD	-						
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-						
Dedicated CORESET Reference Channel		CCR.3. 1 TDD	-	CCR.3. 1 TDD	-	CCR.3. 1 TDD	-						
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1						
SSB configuration		SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2						
SMTC configuration		SMTC. 1	SMTC. 1	SMTC. 1	SMTC. 1	SMTC. 1	SMTC. 1						
Time offset with Cell 2	μs	-	3	-	3	-	3						
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120						
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0						
EPRE ratio of PBCH_DMRS to SSS													
EPRE ratio of PBCH to PBCH_DMRS													
EPRE ratio of PDCCH_DMRS to SSS													
EPRE ratio of PDCCH to PDCCH_DMRS													
EPRE ratio of PDSCH_DMRS to SSS													
EPRE ratio of PDSCH to PDSCH_DMRS													
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>													
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>													
$\hat{E}_s / N_{oc}$	dB	6	1	6	1	3	-1						
Propagation conditions		AWGN											
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.													
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.													
Note 3: SS-RSRP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.													
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.													
Note 5: All parameters apply for configuration 1 and 2													

**Table A.5.7.1.1.2-3: SS-RSRP Intra frequency OTA related test parameters**

Parameter <sup>Note 6</sup>	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3
Angle of arrival configuration		According to section A.3.8.X		According to section A.3.8.X		According to section A.3.8.X	
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz <sup>N</sup> <sub>ote4</sub>	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	

$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note3</sup>	TBD		TBD		TBD
	NR_TDD_FR2_B						TBD
	NR_TDD_FR2_F		TBD		TBD		TBD
	NR_TDD_FR2_G						TBD
	NR_TDD_FR2_T						TBD
	NR_TDD_FR2_Y						TBD
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note4</sup>	TBD	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B						TBD
	NR_TDD_FR2_F						TBD
	NR_TDD_FR2_G						TBD
	NR_TDD_FR2_T						TBD
	NR_TDD_FR2_Y						TBD
$\hat{E}_s/I_{ot}$		dB	TBD	TBD	TBD	TBD	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B						TBD
	NR_TDD_FR2_F						TBD
	NR_TDD_FR2_G						TBD
	NR_TDD_FR2_T						TBD
	NR_TDD_FR2_Y						TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: All parameters apply for configuration 1 and 2</p>							

#### A.5.7.1.1.3 Test Requirements

The SS-RSRP measurement accuracy shall fulfil the absolute accuracy requirements in sections 10.1.3.1.1 and relative accuracy requirements in section 10.1.3.1.2.

#### A.5.7.1.2 EN-DC inter-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

##### A.5.7.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 10.1.5.1.1 and 10.1.5.1.2 for intra frequency measurements with the testing configurations for NR cells in Table A.5.7.1.2.1-1.

**Table A.5.7.1.2.1-1: Applicable NR configurations for FR2 inter-frequency SS-RSRP accuracy test**

Configuration	Description
1	FDD LTE PCell, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	FDD LTE PCell, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	TDD LTE PCell, 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

##### A.5.7.1.2.2 Test parameters

In this set of test cases there are three cells in the test, E-UTRAN PCell (Cell 1), FR2 PSCell (Cell 2) and a FR2 neighbour cell (Cell 3) on a different frequency than the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.7.1.2.2-1 and Table

A.5.7.1.2.2-2 below. Both absolute and relative accuracy of RSRP intra frequency measurements are tested by using the parameters in Table A.5.7.1.2.2-1 and Table A.5.7.1.2.2-2. The inter frequency measurements are supported by a measurement gap.

**Table A.5.7.1.2.2-1: SS-RSRP inter-frequency test parameters**

Parameter	Config	Unit	Test 1		Test 2					
			Cell 2	Cell 3	Cell 2	Cell 3				
SSB ARFCN	1~4		freq1	freq2	freq1	freq2				
BW <sub>channel</sub>	1~4		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66					
Duplex mode	1~4		TDD	TDD	TDD	TDD				
TDD configuration	1~4		TDDConf.3.1		TDDConf.3.1					
PDSCH Reference measurement channel	1~4		SR.3.1 TDD	-	SR.3.1 TDD	-				
RMSI CORESET Reference Channel	1~4		CR.3.1 TDD	-	CR.3.1 TDD	-				
Dedicated CORESET Reference Channel	1~4		CCR.3.1 TDD	-	CCR.3.1 TDD	-				
SSB configuration	1,2 3,4		SSB.1 FR2		SSB.1 FR2					
OCNG Patterns	1~4		SSB.2 FR2		SSB.2 FR2					
Initial BWP Configuration	1~4		OP.1		OP.1					
Dedicated BWP configuration	1~4		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1					
TRS Configuration	1~4		DLBWP.1.3 ULBWP.1.3		DLBWP.1.3 ULBWP.1.3					
PDCCH/PDSCH TCI Configuration	1~4		TRS.2.1 TDD		TRS.2.1 TDD					
SMTC configuration	1~4		TCI.State.2		TCI.State.2					
Time offset between Cell 2 and Cell 3	1~4	μs	SMTC.1		SMTC.1					
EPRE ratio of PSS to SSS	1~4	dB	3		3					
EPRE ratio of PBCH DMRS to SSS			0		0					
EPRE ratio of PBCH to PBCH DMRS			0		0					
EPRE ratio of PDCCH DMRS to SSS			0		0					
EPRE ratio of PDCCH to PDCCH DMRS			0		0					
EPRE ratio of PDSCH DMRS to SSS			0		0					
EPRE ratio of PDSCH to PDSCH DMRS			0		0					
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>			0		0					
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>			0		0					
Propagation condition	1~4	-	AWGN		AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.										

**Table A.5.7.1.2.2-2: SS-RSRP inter-frequency OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>	
			Cell 2	Cell 3	Cell 2	Cell 3
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.	
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.	
	3,4		TBD		n.a.	
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.	
SS-RSRP <sup>Note1</sup>	1,2	dBm/SCS	TBD		As in Table B.2.3-2	
	3,4		TBD		As in Table B.2.3-2	
$I_o^{Note1}$	1~4	dBm/95.04MHz	TBD		SS-RSRP+28.98	
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.	
Note 1: RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 3: No additional noise is added by the test system in Test 2.						

### A.5.7.1.2.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 2 and Cell 3 shall fulfil the requirements in sections 10.1.5.1.1 and 10.1.5.1.2.

### A.5.7.1.3 EN-DC inter-frequency measurement accuracy with FR1 serving cell and FR2 target cell

#### A.5.7.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 10.1.5.1.1 for inter-frequency measurements with the testing configurations in Table A.5.7.1.3.1-1.

**Table A.5.7.1.3.1-1: Applicable NR configurations for FR1 inter-frequency SS-RSRP accuracy test**

Config	Description of serving cell	Description of target cell
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

### A.5.7.1.3.2 Test parameters

In this set of test cases there are three cells in the test, E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2) and a FR1 neighbour cell (Cell 3) on a different frequency than the PSCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 and Cell 3 are given in Table A.5.7.1.3.2-1 and Table A.5.7.1.3.2-2 below. Both absolute and relative accuracy of RSRP intra frequency measurements are tested by using the parameters in Table A.5.7.1.3.2-1 and Table A.5.7.1.3.2-2. The inter frequency measurements are supported by a measurement gap.

**Table A.5.7.1.3.2-1: SS-RSRP inter-frequency test parameters**

Parameter	Config	Unit	Test 1		Test 2	
			Cell 2	Cell 3	Cell 2	Cell 3
SSB ARFCN	1~6		freq1	freq2	freq1	freq2
BW <sub>channel</sub>	1,4	MHz	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
	2,5		10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52	
	3,6		40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106	
	1,4		FDD	TDD	FDD	TDD
Duplex mode	2,5		TDD		TDD	
	3,6		TDD		TDD	
TDD configuration	1,4		N/A	TDDConf. 3.1	N/A	TDDConf. 3.1
	2,5		TDDConf. 1.1		TDDConf. 1.1	
	3,6		TDDConf. 2.1		TDDConf. 2.1	
PDSCH Reference measurement channel	1,4		SR.1.1 FDD	-	SR.1.1 FDD	-
	2,5		SR.1.1 TDD		SR.1.1 TDD	
	3,6		SR.2.1 FDD		SR.2.1 FDD	
RMSI CORESET Reference Channel	1,4		CR.1.1 FDD	-	CR.1.1 FDD	-
	2,5		CR.1.1 TDD		CR.1.1 TDD	
	3,6		CR.2.1 FDD		CR.2.1 FDD	
Dedicated CORESET Reference Channel	1,4		CCR.1.1 FDD	-	CCR.1.1 FDD	-
	2,5		CCR.1.1 TDD		CCR.1.1 TDD	
	3,6		CCR.2.1 TDD		CCR.2.1 TDD	
SSB configuration	1,4		SSB.1 FR1	SSB.1 FR2	SSB.1 FR1	SSB.1 FR2
	2,5		SSB.1 FR1		SSB.1 FR1	
	3,6		SSB.2 FR1		SSB.2 FR1	

OCNG Patterns	1~6		OP.1		OP.1							
Initial BWP Configuration	1~6		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1							
Dedicated BWP configuration	1~6		DLBWP.1.3 ULBWP.1.3		DLBWP.1.3 ULBWP.1.3							
TRS Configuration	1~6		TRS.2.1 TDD		TRS.2.1 TDD							
PDCCH/PDSCH TCI Configuration	1~6		TCI.State.2		TCI.State.2							
SMTC configuration	1~6		SMTC.1		SMTC.1							
Time offset between Cell 2 and Cell 3	1~6	μs	3		3							
EPRE ratio of PSS to SSS	1~6	dB	0	0	0	0						
EPRE ratio of PBCH DMRS to SSS												
EPRE ratio of PBCH to PBCH DMRS												
EPRE ratio of PDCCH DMRS to SSS												
EPRE ratio of PDCCH to PDCCH DMRS												
EPRE ratio of PDSCH DMRS to SSS												
EPRE ratio of PDSCH to PDSCH DMRS												
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>												
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>												
Propagation condition	1~6	-	AWGN		AWGN							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.												
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.												

**Table A.5.7.1.3.2-2: SS-RSRP inter-frequency OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>			
			Cell 2	Cell 3	Cell 2	Cell 3		
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.			
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.			
	3,4		TBD		n.a.			
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.			
SS-RSRP <sup>Note 1</sup>	1,2	dBm/SC S	TBD		As in Table B.2.3-2			
	3,4		TBD		As in Table B.2.3-2			
$I_0^{Note 1}$	1~4	dBm/95.04MHz	TBD		SS-RSRP+28.98			
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.			
Note 1: RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								
Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.								
Note 3: No additional noise is added by the test system in Test 2.								

### A.5.7.1.3.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 3 shall fulfil the Absolute requirement in section 10.1.5.1.1.

## A.5.7.2 SS-RSRQ

### A.5.7.2.1 EN-DC Intra-frequency measurement accuracy with FR2 serving cell and FR2 TDD target cell

#### A.5.7.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.8.1.1.

#### A.5.7.2.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configurations are shown in Table A.5.7.2.1.2-1. The absolute accuracy of SS-RSRQ intra-frequency measurement is test by using the parameters in Table A.5.7.2.1.2-2 and Table A.5.7.2.1.2-3. The configuration of cell 1 (E-UTRA PCell) is specified in section A.3.7.2.1. In all test cases, Cell 2 is the PSCell and Cell 3 is the target cell.

**Table A.5.7.2.1.2-1: SS-RSRQ Intra frequency SS-RSRQ supported test configurations**

Configuration	Description
1	FDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations

**Table A.5.7.2.1.2-2: SS-RSRQ Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3					
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3				
SSB ARFCN		Freq1		Freq1		Freq1					
Duplex mode		TDD		TDD		TDD					
TDD configuration		TDDConf.3.1		TDDConf.3.1		TDDConf.3.1					
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66					
BWP configuration	Initial DL BWP		DLBWP.0.1								
	Dedicated DL BWP		DLBWP.1.1								
	Initial UL BWP		ULBWP.0.1								
	Dedicated UL BWP		ULBWP.1.1								
TRS configuration		TRS.2. 1 TDD		TRS.2.1 TDD		TRS.2. 1 TDD					
TCI state		TCI.Sta te.0		TCI.Stat e.0		TCI.St ate.0					
PDSCH Reference measurement channel		SR.3.1 TDD		SR.3.1 TDD		SR.3.1 TDD					
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-				
Control channel RMC		CCR.3. 1 TDD	-	CCR.3. 1 TDD	-	CCR.3. .1 TDD	-				
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1				
SMTC configuration		SMTC.1									
SSB configuration		SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2				
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120				
SS-RSSI-Measurement		Not Applicable									
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0				
EPRE ratio of PBCH_DMRS to SSS											
EPRE ratio of PBCH to PBCH_DMRS											
EPRE ratio of PDCCH_DMRS to SSS											
EPRE ratio of PDCCH to PDCCH_DMRS											
EPRE ratio of PDSCH_DMRS to SSS											
EPRE ratio of PDSCH to PDSCH_DMRS											
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>											
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>											
$\hat{E}_s / N_{oc}$	dB	3	3	-2.9	-2.9	-4	-4				
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.											
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.											
Note 3: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.											
Note 4: SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.											

**Table A.5.7.2.1.2-3: SS-RSRQ Intra frequency OTA related test parameters**

Parameter	Unit	Test 1		Test 2		Test 3			
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2		
Angle of arrival configuration		According to section A.3.15.1		According to section A.3.15.1		According to section A.3.15.1			
$N_{oc}$ <sup>Note1</sup>	dBm/15kHz <sup>N<sub>ote4</sub></sup>	-95	-100	-118					
				-117.5					
				-115.5					
				-115					
				-109					
				-106					
$N_{oc}$ <sup>Note1</sup>	dBm/SCS <sup>Note3</sup>	-86	-91	-109					
				-108.5					
				-106.5					
				-106					
				-100					
				-97					
SS-RSRP <sup>Note2</sup>	dBm/SCS <sup>Note4</sup>	-83	-83	-94	-94	-113	-113		
				-112.5		-112.5			
				-110.5		-110.5			
				-110		-110			
				-104		-104			
				-101		-101			
SS-RSRQ <sup>Note2</sup>	dB	-14.77	-14.77	-16.76	-16.76	-17.34	-17.34		
				-16.76					
				-16.76					
				-16.76					
				-16.76					
				-16.76					
$\hat{E}_s/I_{ot}$	dB	-1.76	-1.76	-4.7	-4.7	-5.46	-5.46		
Io <sup>Note2</sup>	dBm/95.04 MHz <sup>Note4</sup>	-50	-59	-77.47					
				-76.97					
				-74.97					
				-74.47					
				-68.47					
				-65.47					
Note 1:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.								
Note 2:	SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								
Note 3:	SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.								
Note 4:	Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone								
Note 5:	As observed with 0dBi gain antenna at the centre of the quiet zone								
Note 6:	NR operating band groups are as defined in Section 3.5.2.								

### A.5.7.2.1.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in section 10.1.8.1.1.

**A.5.7.2.2 EN-DC Inter-frequency measurement accuracy with FR2 serving cell and FR2 TDD target cell**

**A.5.7.2.2.1 Test Purpose and Environment**

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.9.1.1 and 10.1.9.1.2 for inter-frequency measurement.

**A.5.7.2.2.2 Test Parameters**

In this test case the two NR cells (i.e., Cell 2 and Cell 3) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.5.7.2.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-RSRQ inter-frequency measurement are tested by using test setup in Table A.5.7.2.2.2-2 and Table A.5.7.2.2.2-3. In all test cases, Cell 2 is the PSCell and Cell 3 is target cell. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1.

**Table A.5.7.2.2.2-1: SS-RSRQ Inter frequency SS-RSRQ supported test configurations**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.7.2.2.2-2: SS-RSRQ Inter frequency general test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3

SSB ARFCN		Freq1	freq2	freq1	Freq2	freq1	Freq2
Duplex mode		TDD		TDD		TDD	
TDD configuration		TDDConf.3.1		TDDConf.3.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD	-	SR.3.1 TDD	-
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1
SMTC configuration		SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0
EPRE ratio of PBCH_DMRS to SSS							
EPRE ratio of PBCH to PBCH_DMRS							
EPRE ratio of PDCCH_DMRS to SSS							
EPRE ratio of PDCCH to PDCCH_DMRS							
EPRE ratio of PDSCH_DMRS to SSS							
EPRE ratio of PDSCH to PDSCH_DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
$\hat{E}_s / N_{oc}$	dB	-1.75	-1.75	-1.75	-1.75	3	-1.75
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3:	SS-RSRQ, SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						
Note 4:	SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.						

Table A. 5.7.2.2.2-3: SS-RSRQ Inter frequency OTA related test parameters

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3
AoA setup		Setup 1		Setup 1		Setup 1	
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	NA	0	NA	0	NA	0
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/15kHz <sup>N</sup> <sub>ote4</sub>	-95	-100		-118 -117.5 -115.5 -115 -109 -106	
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS <sup>Note</sup> <sub>3</sub>	-86	-91		-109 -108.5 -106.5 -106 -100 -97	
SS-RSRP <sup>Note 2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS <sup>Note4</sup>	-83	-94	-94	-113 -112.5 -110.5 -110 -104 -101	-113 -112.5 -110.5 -110 -104 -101

SS-RSRQ <sup>Note2</sup>	NR_TDD_FR2_A	dB	TBD	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B							
	NR_TDD_FR2_F							
	NR_TDD_FR2_G							
	NR_TDD_FR2_T							
	NR_TDD_FR2_Y							
$\hat{E}_s/I_{ot}$		dB	-1.75	-1.75	-1.75	-1.75	3	-1.75
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	-50	-59			-77.47	
	NR_TDD_FR2_B							
	NR_TDD_FR2_F							
	NR_TDD_FR2_G							
	NR_TDD_FR2_T							
	NR_TDD_FR2_Y							
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in Section 3.5.2.</p>								

### A.5.7.2.2.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in section 10.1.9.1.1 and 10.1.9.1.2.

## A.5.7.3 SS-SINR

### A.5.7.3.1 EN-DC Intra-frequency measurement accuracy with FR2 serving cell and FR2 TDD target cell

#### A.5.7.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.13.1.1.

#### A.5.7.3.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configurations are shown in Table A.5.7.3.1.2-1. The absolute accuracy of SS-SINR intra-frequency measurement is test by using the parameters in Table A.5.7.3.1.2-2 and Table A.5.7.3.1.2-3. The configuration of cell 1 (E-UTRA PCell) is specified in section A.3.7.2.1. In all test cases, Cell 2 is the PSCell and Cell 3 is the target cell. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.5.7.3.1.2-1: SS-SINR Intra frequency SS-SINR supported test configurations**

Configuration	Description
1	FDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	TDD LTE PCell, Cell 2&3 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to pass in one of the supported test configurations

Table A.5.7.3.1.2-2: SS-SINR Intra frequency test parameters

Parameter	Unit	Test 1		Test 2		Test 3							
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3						
SSB ARFCN		Freq2		Freq2		Freq2							
Duplex mode		TDD		TDD		TDD							
TDD configuration		TDDConf.3.1		TDDConf.3.1		TDDConf.3.1							
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66							
Downlink initial BWP configuration		DLBWP.0.1		DLBWP.1.1		ULBWP.0.1							
Downlink dedicated BWP configuration		DLBWP.1.1		ULBWP.1.1		ULBWP.1.1							
Uplink initial BWP configuration		Not applicable		TRS.2.1 TDD		TCI.State.0							
Uplink dedicated BWP configuration		Not applicable		Setup 3 defined in A.3.15									
DRX cycle configuration	ms	SR.3.1 TDD		SR.3.1 TDD		SR.3.1 TDD							
TRS configuration		CR.3.1 TDD		CR.3.1 TDD		CR.3.1 TDD							
TCI state		CCR.3. .1 TDD		CCR.3. 1 TDD		CCR.3. 1 TDD							
AoA setup		OP.1		OP.1		OP.1							
PDSCH Reference measurement channel		OP.1		OP.1		OP.1							
RMSI CORESET Reference Channel		SMTC.1											
Dedicated RMSI CORESET Reference Channel		SSB.1 FR2		SSB.1 FR2		SSB.1 FR2							
OCNG Patterns		120		120		120							
SMTC configuration		Not Applicable											
SSB configuration		SSB.1 FR2		SSB.1 FR2		SSB.1 FR2							
PDSCH/PDCCH subcarrier spacing	kHz	0		0		0							
SS-RSSI-Measurement		0		0		0							
EPRE ratio of PSS to SSS	dB	0		0		0							
EPRE ratio of PBCH_DMRS to SSS		0		0		0							
EPRE ratio of PBCH to PBCH_DMRS		0		0		0							
EPRE ratio of PDCCH_DMRS to SSS		0		0		0							
EPRE ratio of PDCCH to PDCCH_DMRS		0		0		0							
EPRE ratio of PDSCH_DMRS to SSS		0		0		0							
EPRE ratio of PDSCH to PDSCH_DMRS		0		0		0							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>		0		0		0							
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>		TBD		TBD		TBD							
$\hat{E}_s / N_{oc}$		TBD		TBD		TBD							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.												
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.												
Note 3:	SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.												
Note 4:	SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.												

**Table A.5.7.3.1.2-3: SS-SINR Intra frequency OTA related test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3
Angle of arrival configuration		According to section A.3.8.X		According to section A.3.8.X		According to section A.3.8.X	
$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/15kHz Note4	TBD	TBD		TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS Note3	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS Note4	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
$\hat{E}_s/I_{ot}$		dB	TBD	TBD	TBD	TBD	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/95.04 MHz Note4	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in Section 3.5.2.</p>							

### A.5.7.3.1.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in section 10.1.13.1.1.

**A.5.7.3.2 EN-DC Inter-frequency measurement accuracy with FR2 serving cell and FR2 TDD target cell**

**A.5.7.3.2.1 Test Purpose and Environment**

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.15.1.1 and 10.1.15.1.2 for inter-frequency measurement.

**A.5.7.3.2.2 Test Parameters**

In this test case the two NR cells (i.e., Cell 2 and Cell 3) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.5.7.3.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-SINR inter-frequency measurement are tested by using test setup in Table A.5.7.3.2.2-2 and Table A.5.7.3.2.2-3. In all test cases, Cell 2 is the PSCell and Cell 3 is target cell. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.5.7.3.2.2-1: SS-SINR Inter frequency SS-SINR supported test configurations**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.7.2.2.2-2: SS-SINR Inter frequency general test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3

SSB ARFCN		Freq1	freq2	freq1	Freq2	freq1	Freq2
Duplex mode		TDD		TDD		TDD	
TDD configuration			TDDConf.3.1	TDDConf.3.1	TDDConf.3.1		
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
Downlink initial BWP configuration			DLBWP.0.1				
Downlink dedicated BWP configuration			DLBWP.1.1				
Uplink initial BWP configuration			ULBWP.0.1				
Uplink dedicated BWP configuration			ULBWP.1.1				
DRX cycle configuration	ms			Not applicable			
TRS configuration				TRS.2.1 TDD			
TCI state				TCI.State.0			
AoA setup				Setup 3 defined in A.3.15			
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD	-	SR.3.1 TDD	-
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1
SMTc configuration		SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0
EPRE ratio of PBCH_DMRS to SSS							
EPRE ratio of PBCH to PBCH_DMRS							
EPRE ratio of PDCCH_DMRS to SSS							
EPRE ratio of PDCCH to PDCCH_DMRS							
EPRE ratio of PDSCH_DMRS to SSS							
EPRE ratio of PDSCH to PDSCH_DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
$\hat{E}_s / N_{oc}$	dB	TBD	TBD	TBD	TBD	TBD	TBD
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3:	SS-SINR, SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						
Note 4:	SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.						

Table A. 5.7.2.2.2-3: SS-SINR Inter frequency OTA related test parameters

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 2	Cell 3	Cell 2	Cell 3	Cell 2	Cell 3
UE orientation around TBD axis and TBD axis	degrees	TBD		TBD		TBD	
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	NA	TBD	NA	0	NA	0
$N_{oc}$ Note1	NR_TDD_FR2_A	dBm/15kHz Note4	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	
$N_{oc}$ Note1	NR_TDD_FR2_A	dBm/SCS Note3	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	

	NR_TDD_FR2_G				TBD	
	NR_TDD_FR2_T				TBD	
	NR_TDD_FR2_Y				TBD	
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note4</sup>	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD
	NR_TDD_FR2_F					TBD
	NR_TDD_FR2_G					TBD
	NR_TDD_FR2_T					TBD
	NR_TDD_FR2_Y					TBD
SS-SINR <sup>Note2</sup>	NR_TDD_FR2_A	dB	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD
	NR_TDD_FR2_F					TBD
	NR_TDD_FR2_G					TBD
	NR_TDD_FR2_T					TBD
	NR_TDD_FR2_Y					TBD
$\hat{E}_s / I_{ot}$		dB	TBD	TBD	TBD	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD
	NR_TDD_FR2_F					TBD
	NR_TDD_FR2_G					TBD
	NR_TDD_FR2_T					TBD
	NR_TDD_FR2_Y					TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in Section 3.5.2.</p>						

#### A.5.7.3.2.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in section 10.1.15.1.1 and 10.1.15.1.2.

#### A.5.7.4 L1-RSRP measurement for beam reporting

##### A.5.7.4.1 SSB based L1-RSRP measurement

###### A.5.7.4.1.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 9.5.2 and section 10.1.20.1 for L1-RSRP measurements based on SSB with the testing configurations for NR cells in Table A.5.7.4.1.1-1.

The AoA setup for this test is Setup 1 as defined in section A.3.15.

**Table A.5.7.4.1.1-1: Applicable NR configurations for FR2 SSB based L1-RSRP test**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

### A.5.7.4.1.2 Test parameters

In this set of test cases there are two cells in the test, E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.5.7.4.1.2-1 and Table A.5.7.4.1.2-2 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.5.7.4.1.2-1 and Table A.5.7.4.1.2-2.

There is no measurement gap configured in the test. Before the test, UE is configured one SSB resource set with two SSB resources. UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSB resources 0 and 1.

**Table A.5.7.4.1.2-1: FR2 SSB based L1-RSRP general test parameters**

Parameter	Config	Unit	Test 1	Test 2			
SSB GSCN	1~4		freq1	freq1			
Duplex mode	1~4		TDD	TDD			
TDD Configuration	1~4		TDDConf.3.1	TDDConf.3.1			
BW <sub>channel</sub>	1~4	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66			
PDSCH Reference measurement channel	1~4		SR.3.1 TDD	SR.3.1 TDD			
RMSI CORESET Reference Channel	1~4		CR.3.1 TDD	CR.3.1 TDD			
Dedicated CORESET Reference Channel	1~4		CCR.3.1 TDD	CCR.3.1 TDD			
SSB configuration	1,2 3,4		SSB.1 FR2 SSB.2 FR2	SSB.1 FR2 SSB.2 FR2			
OCNG Patterns	1~4		OP.1	OP.1			
Initial BWP Configuration	1~4		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1			
Dedicated BWP configuration	1~4		DLBWP.1.3 ULBWP.1.3	DLBWP.1.3 ULBWP.1.3			
TRS Configuration	1~4		TRS.2.1 TDD	TRS.2.1 TDD			
PDCCH/PDSCH TCI Configuration	1~4		TCI.State.2	TCI.State.2			
SMTC configuration	1~4		SMTC.1	SMTC.1			
reportConfigType	1~4		periodic	periodic			
reportQuantity	1~4		ssb-Index-RSRP	ssb-Index-RSRP			
Number of reported RS	1~4		2	2			
L1-RSRP reporting period	1~4		slot640	slot640			
Propagation condition	1~4		AWGN	AWGN			
EPRE ratio of PSS to SSS	1~4	dB	0	0			
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							

**Table A.5.7.4.1.2-2: FR2 SSB based L1-RSRP OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>	
			SSB0	SSB1	SSB0	SSB1
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.	
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.	
	3,4		TBD		n.a.	
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.	
SS-RSRP <sup>Note1</sup>	1,2	dBm/SCS	TBD		As in Table B.2.4-2	
	3,4		TBD		As in Table B.2.4-2	
$I_0^{Note1}$	1~4	dBm/95.04MHz	TBD		SS-RSRP+28.98	
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.	
Note 1: RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 3: No additional noise is added by the test system in Test 2.						

### A.5.7.4.1.3 Test Requirements

For at least one reported L1-RSRP during 480ms, the accuracy for SSB#0 and SSB#1 of Cell 2 shall fulfil the requirements in sections 10.1.20.1. The reported L1-RSRP value shall include the Rx antenna gain in the range of TBD.

### A.5.7.4.2 CSI-RS based L1-RSRP measurement on resource set with repetition off

#### A.5.7.4.2.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in Sections 9.5.3 and section 10.1.20.2 for L1-RSRP measurements based on CSI-RS with the testing configurations for NR cells in Table A.5.7.4.2.1-1.

The AoA setup for this test is Setup 1 as defined in section A.3.15.

**Table A.5.7.4.2.1-1: Applicable NR configurations for FR2 CSI-RS based L1-RSRP test**

Config	Description
1	LTE FDD, NR 120 kHz CSI-RS SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz CSI-RS SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

#### A.5.7.4.2.2 Test parameters

In this set of test cases there are two cells in the test, E-UTRAN PCell (Cell 1), FR1 PSCell (Cell 2). The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 2 are given in Table A.5.7.4.2.2-1 and Table A.5.7.4.2.2-2 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.5.7.4.2.2-1 and Table A.5.7.4.2.2-2.

There is no measurement gap configured in the test. Before the test, UE is configured one CSI-RS resource set with two CSI-RS resources. UE is configured to perform RLM and BFD based on SSB 0 and 1. CSI-RS is not transmitted in the same OFDM symbols as SSB.

**Table A.5.7.4.2.2-1: FR2 CSI-RS based L1-RSRP general test parameters**

Parameter	Config	Unit	Test 1	Test 2
SSB GSCN	1~2		freq1	freq1
Duplex mode	1~2		TDD	TDD
TDD Configuration	1~2		TDDConf.3.1	TDDConf.3.1
BW <sub>channel</sub>	1~2	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
PDSCH Reference measurement channel	1~2		SR.3.1 TDD	SR.3.1 TDD
RMSI CORESET Reference Channel	1~2		CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET Reference Channel	1~2		CCR.3.1 TDD	CCR.3.1 TDD
SSB configuration	1~2		SSB.1 FR2	SSB.1 FR2
OCNG Patterns	1~2		OP.1	OP.1
Initial BWP Configuration	1~2		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~2		DLBWP.1.3 ULBWP.1.3	DLBWP.1.3 ULBWP.1.3
TRS Configuration	1~2		TRS.2.1 TDD	TRS.2.1 TDD
PDCCH/PDSCH TCI Configuration	1~2		TCI.State.2	TCI.State.2
SMTC configuration	1~2		SMTC.1	SMTC.1
CSI-RS	1~2		CSI-RS.3.2 TDD	CSI-RS.3.2 TDD
reportConfigType	1~2		periodic	periodic
reportQuantity	1~2		cri-RSRP	cri-RSRP
Number of reported RS	1~2		2	2
L1-RSRP reporting period	1~2		slot640	slot640
Propagation condition	1~2		AWGN	AWGN
EPRE ratio of PSS to SSS	1~2	dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p>				

**Table A.5.7.4.2.2-2: FR2 CSI-RS based L1-RSRP OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>	
			CSI-RS0	CSI-RS1	CSI-RS0	CSI-RS1
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.	
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.	
	3,4		TBD		n.a.	
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.	
SS-RSRP <sup>Note1</sup>	1,2	dBm/SCS	TBD		As in Table B.2.4-2	
	3,4		TBD		As in Table B.2.4-2	
$I_{o}^{Note1}$	1~4	dBm/95.04MHz	TBD		SS-RSRP+28.98	
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.	

Note 1: RSRP and Io levels have been derived from other parameters for information purposes.  
 They are not settable parameters themselves.  
 Note 2: RSRP minimum requirements are specified assuming independent interference and noise  
 at each receiver antenna port.  
 Note 3: No additional noise is added by the test system in Test 2.

### A.5.7.4.2.3 Test Requirements

The L1-RSRP measurement accuracy for CSI-RS#0 and CSI-RS#1 of Cell 2 shall fulfil the requirements in sections 10.1.20.2. The reported L1-RSRP value shall include the Rx antenna gain in the range of TBD.

## A.5.8 Void

## A.6 NR standalone tests with all NR cells in FR1

### A.6.1 SA: RRC\_IDLE state mobility

#### A.6.1.1 Cell re-selection to NR

##### A.6.1.1.1 Cell reselection to FR1 intra-frequency NR case

###### A.6.1.1.1.1 Test Purpose and Environment

This test is to verify the requirement for the intra frequency NR cell reselection requirements specified in clause 4.2.2.3.

###### A.6.1.1.1.2 Test Parameters

The test scenario comprises of 1 NR carrier and 2 cells as given in tables A.6.1.1.1.2-1, A.6.1.1.1.2-2 and A.6.1.1.1.2-3. The test consists of three successive time periods, with time duration of T1, T2, and T3 respectively. Only cell 1 is already identified by the UE prior to the start of the test. Cell 1 and cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing cell 2.

**Table A.6.1.1.1.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.1.1.1.2-2: General test parameters for intra frequency NR cell re-selection test case**

Parameter	Unit	Test configuration	Value	Comment
Initial condition	Active cell	1, 2, 3	Cell1	
	Neighbour cells		Cell2	
T2 end condition	Active cell	1, 2, 3	Cell2	
	Neighbour cells		Cell1	
Final condition	Active cell	1, 2, 3	Cell1	
RF Channel Number		1, 2, 3	1	
Time offset between cells		1	3 ms	Asynchronous cells
		2	3 µs	Synchronous cells
		3	3 µs	Synchronous cells
Access Barring Information	-	1, 2, 3	Not Sent	No additional delays in random access procedure.
SSB configuration		1	SSB.1 FR1	
		2	SSB.1 FR1	
		3	SSB.2 FR1	
SMTC configuration		1	SMTC pattern 2	
		2	SMTC pattern 1	
		3	SMTC pattern 1	

DRX cycle length	s	1, 2, 3	1.28	The value shall be used for all cells in the test.
PRACH configuration index		1, 2, 3	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
rangeToBestCell		1, 2, 3	Not configured	
T1	s	1, 2, 3	>7	During T1, Cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that Cell 2 has not been detected by the UE prior to the start of period T2
T2	s	1, 2, 3	40	T2 needs to be defined so that cell re-selection reaction time is taken into account.
T3	s	1, 2, 3	15	T3 needs to be defined so that cell re-selection reaction time is taken into account.

**Table A.6.1.1.2-3: Cell specific test parameters for intra frequency NR cell re-selection test case in AWGN**

Parameter	Unit	Test configuration	Cell 1			Cell 2											
			T1	T2	T3	T1	T2	T3									
TDD configuration		1	N/A			N/A											
		2	TDDConf.1.1			TDDConf.1.1											
		3	TDDConf.2.1			TDDConf.2.1											
PDSCH RMC configuration		1	SR.1.1 FDD			N/A											
		2	SR.1.1 TDD														
		3	SR.2.1 TDD														
RMSI CORESET RMC configuration		1	CR.1.1 FDD			CR.1.1 FDD											
		2	CR.1.1 TDD			CR.1.1 TDD											
		3	CR.2.1 TDD			CR.2.1 TDD											
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD			CCR.1.1 FDD											
		2	CCR.1.1 TDD			CCR.1.1 TDD											
		3	CCR.2.1 TDD			CCR.2.1 TDD											
OCNG Pattern		1, 2, 3	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1											
Initial DL BWP configuration		1, 2, 3	DLBWP.0.1			DLBWP.0.1											
Initial UL BWP configuration		1, 2, 3	ULBWP.0.1			ULBWP.0.1											
RLM-RS		1, 2, 3	SSB			SSB											
Qrxlevmin	dBm/SCS	1, 2	-140			-140											
		3	-137			-137											
Pcompensation	dB	1, 2, 3	0			0											
Qhyst <sub>s</sub>	dB	1, 2, 3	0			0											
Qoffset <sub>s, n</sub>	dB	1, 2, 3	0			0											
Cell_selection_and_reselection_quality_measurement		1, 2, 3	SS-RSRP			SS-RSRP											
$\hat{E}_s / I_{ot}$	dB	1	16	-3.11	2.79	-infinity	2.79	-3.11									
		2															
		3															
$N_{oc}$ Note2	dBm/SCS	1	-98														
		2	-98														
		3	-95														
$N_{oc}$ Note2	dBm/15 kHz	1	-98														
		2															
		3															
$\hat{E}_s / N_{oc}$	dB	1	16	13	16	-infinity	16	13									
		2															
		3															
SS-RSRP Note3	dBm/SCS	1	-82	-85	-82	-infinity	-82	-85									
		2	-82	-85	-82	-infinity	-82	-85									
		3	-79	-82	-79	-infinity	-79	-82									
Io	dBm/9.36 MHz	1	-53.94	-52.21	-52.21	specified in Cell 1 columns-											
		2	-53.94	-52.21	-52.21												
		3	-47.85	-46.12	-46.12												
Treselection	s	1, 2, 3	0	0	0	0	0	0									
Sintrasearch	dB	1, 2, 3	N50			N50											
Propagation Condition		1, 2, 3	AWGN														
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																	
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.																	
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																	

### A.6.1.1.1.3 Test Requirements

The cell reselection delay to a newly detectable cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to a newly detectable cell shall be less than 34 s.

The cell reselection delay to an already detected cell is defined as the time from the beginning of time period T3, to the moment when the UE camps on cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 1.

The cell re-selection delay to an already detected cell shall be less than 8 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

**NOTE:** The cell re-selection delay to a newly detectable cell can be expressed as:  $T_{\text{detect, NR\_Intra}} + T_{\text{SI-NR}}$ , and to an already detected cell can be expressed as:  $T_{\text{evaluate, NR\_intra}} + T_{\text{SI-NR}}$ ,

Where:

$T_{\text{detect, NR\_Intra}}$  See Table 4.2.2.3-1 in clause 4.2.2.3

$T_{\text{evaluate, NR\_intra}}$  See Table 4.2.2.3-1 in clause 4.2.2.3

$T_{\text{SI-NR}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280ms is assumed in this test case.

This gives a total of 33.28 s, allow 34 s for the cell re-selection delay to a newly detectable cell and 7.68 s for the cell re-selection delay to an already detected cell in the test case, which we allow 8 s.

### A.6.1.1.2 Cell reselection to FR1 inter-frequency NR case

#### A.6.1.1.2.1 Test Purpose and Environment

This test is to verify the requirement for the inter frequency NR cell reselection requirements specified in clause 4.2.2.4.

#### A.6.1.1.2.2 Test Parameters

The test scenario comprises of 2 cells on 2 different NR carriers respectively as given in tables A.6.1.1.2.2-1, A.6.1.1.2.2-2 and A.6.1.1.2.2-3. The test consists of three successive time periods, with time duration of T1, T2, and T3 respectively. Both cell 1 and cell 2 are already identified by the UE prior to the start of the test. Cell 1 and cell 2 belong to different tracking areas and cell 2 is of higher priority than cell 1.

**Table A.6.1.1.2.2-1: Supported test configurations**

Configuration	Description of serving cell	Description of target cell
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.1.1.2.2-2: General test parameters for FR1 inter frequency NR cell re-selection test case**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1, 2, 3	Cell2	The UE camps on cell 2 in the initial phase and during T1 period the UE reselects to cell 1
T1 end condition	Active cell		1, 2, 3	Cell1	The UE shall perform reselection to cell 1 during T1
	Neighbour cells		1, 2, 3	Cell2	
T3 end condition	Active cell		1, 2, 3	Cell2	The UE shall perform reselection to cell 2 with higher priority during T3
RF Channel Number			1, 2, 3	1, 2	
Time offset between cells		1	3 ms	Asynchronous cells	
		2	3 μs	Synchronous cells	
		3	3 μs	Synchronous cells	
Access Barring Information	-	1, 2, 3	Not Sent	No additional delays in random access procedure.	
SSB configuration		1	SSB.1 FR1		
		2	SSB.1 FR1		
		3	SSB.2 FR1		
SMTC configuration		1	SMTC pattern 2		
		2	SMTC pattern 1		
		3	SMTC pattern 1		
DRX cycle length	s	1, 2, 3	1.28	The value shall be used for all cells in the test.	
PRACH configuration index		1, 2, 3	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2	
rangeToBestCell		1, 2, 3	Not configured		
T1	s	1, 2, 3	15	T1 needs to be defined so that cell re-selection reaction time is taken into account.	
T2	s	1, 2, 3	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed. The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.	
T3	s	1, 2, 3	75	T3 needs to be defined so that cell re-selection reaction time is taken into account.	

**Table A.6.1.1.2.2-3: Cell specific test parameters for FR1 inter frequency NR cell re-selection test case in AWGN**

Parameter	Unit	Test configuration	Cell 1			Cell 2					
			T1	T2	T3	T1	T2	T3			
TDD configuration		1	N/A			N/A					
		2	TDDConf.1.1			TDDConf.1.1					
		3	TDDConf.2.1			TDDConf.2.1					
PDSCH RMC configuration		1	SR.1.1 FDD			N/A					
		2	SR.1.1 TDD								
		3	SR.2.1 TDD								
RMSI CORESET RMC configuration		1	CR.1.1 FDD			CR.1.1 FDD					
		2	CR.1.1 TDD			CR.1.1 TDD					
		3	CR.2.1 TDD			CR.2.1 TDD					

Dedicated CORESET RMC configuration		1	CCR.1.1 FDD			CCR.1.1 FDD											
		2	CCR.1.1 TDD			CCR.1.1 TDD											
		3	CCR.2.1 TDD			CCR.2.1 TDD											
OCNG Pattern		1, 2, 3	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1											
Initial DL BWP configuration		1, 2, 3	DLBWP.0.1			DLBWP.0.1											
Initial UL BWP configuration		1, 2, 3	ULBWP.0.1			ULBWP.0.1											
RLM-RS		1, 2, 3	SSB			SSB											
Qrxlevmin	dBm/SCS	1, 2	-140			-140											
		3	-137			-137											
Pcompensation	dB	1, 2, 3	0			0											
Qhyst <sub>s</sub>	dB	1, 2, 3	0			0											
Qoffset <sub>s, n</sub>	dB	1, 2, 3	0			0											
Cell_selection_and_reselection_quality_measurement		1, 2, 3	SS-RSRP			SS-RSRP											
$\hat{E}_s / I_{ot}$	dB	1	14	14	14	-4	-infinity	12									
		2															
		3															
$N_{oc}$ Note2	dBm/SCS	1	-98														
		2	-98														
		3	-95														
$N_{oc}$ Note2	dBm/15 kHz	1	-98														
		2															
		3															
$\hat{E}_s / N_{oc}$	dB	1	14	14	14	-4	-infinity	12									
		2															
		3															
SS-RSRP Note3	dBm/SCS	1	-84	-84	-84	-102	-infinity	-86									
		2	-84	-84	-84	-102	-infinity	-86									
		3	-81	-81	-81	-99	-infinity	-83									
Io	dBm/9.36 MHz	1	-55.88	-55.88	-55.88	-68.60	-infinity	-57.78									
		2	-55.88	-55.88	-55.88	-68.60	-infinity	-57.78									
		3	-49.79	-49.79	-49.79	-62.50	-infinity	-51.69									
Treselection	s	1, 2, 3	0	0	0	0	0	0									
Shonintrasearch	dB	1, 2, 3	50			Not sent											
Thresh <sub>x, high</sub>	dB	1, 2, 3	48			48											
Thresh <sub>serving, low</sub>	dB	1, 2, 3	44			44											
Thresh <sub>x, low</sub>	dB	1, 2, 3	50			50											
Propagation Condition		1, 2, 3	AWGN														
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																	
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.																	
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																	

#### A.6.1.1.2.3 Test Requirements

The cell reselection delay to a higher priority cell is defined as the time from the beginning of time period T3, to the moment when the UE camps again on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 2.

The cell re-selection delay to a higher priority cell shall be less than 68 s.

The cell reselection delay to a lower priority cell is defined as the time from the beginning of time period T1, to the moment when the UE camps on cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 1.

The cell re-selection delay to a lower priority cell shall be less than 8 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

NOTE: The cell re-selection delay to a higher priority cell can be expressed as:  $T_{\text{higher\_priority\_search}} + T_{\text{evaluate, NR\_inter}} + T_{\text{SI-NR}}$ , and to a lower priority cell can be expressed as:  $T_{\text{evaluate, NR\_inter}} + T_{\text{SI-NR}}$ ,

Where:

$T_{\text{higher\_priority\_search}}$  See clause 4.2.2.7

$T_{\text{evaluate, NR\_inter}}$  See Table 4.2.2.4-1 in clause 4.2.2.4

$T_{\text{SI-NR}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 67.68 s, allow 68 s for the cell re-selection delay to a higher priority cell and 7.68 s for the cell re-selection delay to a lower priority cell in the test case, which we allow 8 s.

## A.6.1.2 Inter-RAT E-UTRAN cell re-selection

### A.6.1.2.1 Cell reselection to higher priority E-UTRAN

#### A.6.1.2.1.1 Test Purpose and Environment

This test is to verify the requirement for the NR to E-UTRAN inter-RAT cell reselection requirements specified in clause 4.2.2.5 when the E-UTRAN cell is of higher priority.

#### A.6.1.2.1.2 Test Parameters

The test scenario comprises of one NR cell and one E-UTRAN cell as given in tables A.6.1.2.1.2-1, A.6.1.2.1.2-2, A.6.1.2.1.2-3 and A.6.1.2.1.2-4. The test consists of three successive time periods, with time duration of T1, T2, and T3 respectively. NR cell 1 is already identified by the UE prior to the start of the test. E-UTRAN cell 2 is of higher priority than cell 1.

**Table A.6.1.2.1.2-1: Supported test configurations**

Configuration	Description of serving cell	Description of target cell
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	LTE 10 MHz bandwidth, TDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, TDD duplex mode
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	LTE 10 MHz bandwidth, FDD duplex mode
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, FDD duplex mode
6	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, FDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.1.2.1.2-2: General test parameters for NR to E-UTRAN cell re-selection test case**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1, 2, 3, 4, 5, 6	Cell1	The UE camps on cell 1 in the initial phase and during T2 period the UE reselects to cell 2.
T2 end condition	Active cell		1, 2, 3, 4, 5, 6	Cell2	The UE shall perform reselection to cell 2 during T2.
	Neighbour cells		1, 2, 3, 4, 5, 6	Cell1	
T3 end condition	Active cell		1, 2, 3, 4, 5, 6	Cell1	The UE shall perform reselection to cell 1 during T3 for iteration of the tests.
	Neighbour cells		1, 2, 3, 4, 5, 6	Cell2	
Access Barring Information	-		1, 2, 3, 4, 5, 6	Not Sent	No additional delays in random access procedure.
DRX cycle length	s		1, 2, 3, 4, 5, 6	1.28	The value shall be used for all cells in the test.
NR PRACH configuration index			1, 2, 3, 4, 5, 6	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
E-UTRAN PRACH configuration index			1, 2, 3, 4, 5, 6	4	As specified in table 5.7.1-2 in TS 36.211 [23]
T1	s		1, 2, 3, 4, 5, 6	>7	During T1, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed. The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T2.
T2	s		1, 2, 3, 4, 5, 6	75	T2 needs to be defined so that cell re-selection reaction time is taken into account.
T3	s		1, 2, 3, 4, 5, 6	15	T3 needs to be defined so that cell re-selection reaction time is taken into account.

**Table A.6.1.2.1.2-3: Cell specific test parameters for NR cell 1**

Parameter	Unit	Test configuration	Cell 1		
			T1	T2	T3
TDD configuration		1, 4		N/A	
		2, 5		TDDConf.1.1	
		3, 6		TDDConf.2.1	
PDSCH parameters		1, 4		SR.1.1 FDD	
		2, 5		SR.1.1 TDD	
		3, 6		SR.2.1 TDD	
RMSI CORESET parameters		1, 4		CR.1.1 FDD	
		2, 5		CR.1.1 TDD	
		3, 6		CR.2.1 TDD	
Dedicated CORESET parameters		1, 4		CCR.1.1 FDD	
		2, 5		CCR.1.1 TDD	
		3, 6		CCR.2.1 TDD	
SSB parameters		1, 4		SSB.1 FR1	
		2, 5		SSB.1 FR1	
		3, 6		SSB.2 FR1	
NR SMTc parameters		1, 4		SMTc pattern 2	
		2, 5		SMTc pattern 1	
		3, 6		SMTc pattern 1	

OCNG Pattern		1, 2, 3, 4, 5, 6	OP.1 defined in A.3.2.1					
Initial DL BWP configuration		1, 2, 3, 4, 5, 6	DLBWP.0					
Initial UL BWP configuration		1, 2, 3, 4, 5, 6	ULBWP.0					
RLM-RS		1, 2, 3, 4, 5, 6	SSB					
Qrxlevmin	dBm/SCS	1, 2, 4, 5	-140					
		3, 6	-137					
$N_{oc}$	dBm/SCS	1, 4	-98					
		2, 5	-98					
		3, 6	-95					
$N_{oc}$	dBm/15 kHz	1, 2, 3, 4, 5, 6	-98					
SS-RSRP	dBm/SCS	1, 4	-84	-84	-84			
		2, 5	-84	-84	-84			
		3, 6	-81	-81	-81			
$\hat{E}_s / I_{ot}$	dB	1, 4	14	14	14			
		2, 5						
		3, 6						
$\hat{E}_s / N_{oc}$	dB	1, 4	14	14	14			
		2, 5						
		3, 6						
Io	dBm/9.36 MHz	1, 4	-55.88	-55.88	-55.88			
	dBm/9.36 MHz	2, 5	-55.88	-55.88	-55.88			
	dBm/38.16 MHz	3, 6	-49.79	-49.79	-49.79			
Treselection	S	1, 2, 3, 4, 5, 6	0					
Snonintrasearch	dB	1, 2, 3, 4, 5, 6	50					
Thresh <sub>x, high</sub> (Note 2)	dB	1, 2, 3, 4, 5, 6	48					
Thresh <sub>serving, low</sub>	dB	1, 2, 3, 4, 5, 6	44					
Thresh <sub>x, low</sub>	dB	1, 2, 3, 4, 5, 6	50					
Propagation Condition		1, 2, 3, 4, 5, 6	AWGN					
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2:	This refers to the value of Thresh <sub>x, high</sub> which is included in NR system information, and is a threshold for the E-UTRA target cell							

Table A.6.1.2.1.2-4: Cell specific test parameters for E-UTRA cell 2

Parameter	Unit	Cell 2		
		T1	T2	T3
E-UTRA RF Channel number		1		
BW <sub>channel</sub>	MHz	10		
OCNG Patterns defined in TS 36.133 [15] clause A.3.2		OP.2 TDD for test configuration 1, 2, 3; OP.2 FDD for test configuration 4, 5, 6		
PBCH_RA	dB	0	0	0
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			

Qrxlevmin	dBm	-140					
$N_{oc}$	dBm/15 kHz	-98					
RSRP	dBm/15 KHz	-infinity	-86	-102			
$\hat{E}_s/I_{ot}$	dB	-infinity	12	-4			
$\hat{E}_s/N_{oc}$	dB	-infinity	12	-4			
TreselectionEUTRAN	S	0					
Snonintrasearch	dB	Not sent					
Thresh <sub>x, high</sub> (Note 2)	dB	48					
Thresh <sub>serving, low</sub>	dB	44					
Thresh <sub>x, low</sub>	dB	50					
Propagation Condition		AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: This refers to the value of Thresh <sub>x, high</sub> which is included in E-UTRA system information, and is a threshold for the NR target cell							

#### A.6.1.2.1.3 Test Requirements

The cell reselection delay to a higher priority E-UTRAN cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 2.

The cell re-selection delay to a higher priority cell shall be less than 68 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

NOTE: The cell re-selection delay to a higher priority cell can be expressed as:  $T_{higher\_priority\_search} + T_{evaluate, E-UTRAN} + T_{SI-E-UTRA}$ ,

Where:

$T_{higher\_priority\_search}$  See clause 4.2.2.7

$T_{evaluate, E-UTRAN}$  See Table 4.2.2.5-1 in clause 4.2.2.5

$T_{SI-E-UTRA}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 67.68 s, allow 68 s for the cell re-selection delay to a higher priority E-UTRAN cell.

#### A.6.1.2.2 Cell reselection to lower priority E-UTRAN

##### A.6.1.2.2.1 Test Purpose and Environment

This test is to verify the requirement for the NR to E-UTRAN inter-RAT cell reselection requirements specified in clause 4.2.2.5 when the E-UTRAN cell is of lower priority.

##### A.6.1.2.2.2 Test Parameters

The test scenario comprises of one NR cell and one E-UTRAN cell as given in tables A.6.1.2.2.2-1, A.6.1.2.2.2-2, A.6.1.2.2.2-3 and A.6.1.2.2.2-4. The test consists of three successive time periods, with time duration of T1 and T2 respectively. Both NR cell 1 and E-UTRAN cell 2 are already identified by the UE prior to the start of the test. E-UTRAN cell 2 is of lower priority than cell 1.

**Table A.6.1.2.2.2-1: Supported test configurations**

<b>Configuration</b>	<b>Description of serving cell</b>	<b>Description of target cell</b>
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	LTE 10 MHz bandwidth, TDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, TDD duplex mode
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	LTE 10 MHz bandwidth, FDD duplex mode
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, FDD duplex mode
6	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	LTE 10 MHz bandwidth, FDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.1.2.2.2-2: General test parameters for NR to E-UTRAN cell re-selection test case**

<b>Parameter</b>		<b>Unit</b>	<b>Test configuration</b>	<b>Value</b>	<b>Comment</b>
Initial condition	Active cell		1, 2, 3, 4, 5, 6	Cell2	The UE camps on cell 1 in the initial phase.
T1 end condition	Active cell		1, 2, 3, 4, 5, 6	Cell2	The UE shall perform reselection to cell 2 during T1.
	Neighbour cells		1, 2, 3, 4, 5, 6	Cell1	
T2 end condition	Active cell		1, 2, 3, 4, 5, 6	Cell1	The UE shall perform reselection to cell 1 during T2 for iteration of the tests.
	Neighbour cells		1, 2, 3, 4, 5, 6	Cell2	
Access Barring Information	-		1, 2, 3, 4, 5, 6	Not Sent	No additional delays in random access procedure.
DRX cycle length	s		1, 2, 3, 4, 5, 6	1.28	The value shall be used for all cells in the test.
NR PRACH configuration index			1, 2, 3, 4, 5, 6	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
E-UTRAN PRACH configuration index			1, 2, 3, 4, 5, 6	4	As specified in table 5.7.1-2 in TS 36.211 [23]
T1	s		1, 2, 3, 4, 5, 6	15	T1 needs to be defined so that cell re-selection reaction time is taken into account.
T2	s		1, 2, 3, 4, 5, 6	75	T2 needs to be defined so that cell re-selection reaction time is taken into account.

Table A.6.1.2.2.2-3: Cell specific test parameters for NR cell 1

Parameter	Unit	Test configuration	Cell 1			
			T1	T2		
TDD configuration		1, 4	N/A			
		2, 5	TDDConf.1.1			
		3, 6	TDDConf.2.1			
PDSCH RMC configuration		1, 4	SR.1.1 FDD			
		2, 5	SR.1.1 TDD			
		3, 6	SR.2.1 TDD			
RMSI CORESET RMC configuration		1, 4	CR.1.1 FDD			
		2, 5	CR.1.1 TDD			
		3, 6	CR.2.1 TDD			
Dedicated CORESET RMC configuration		1, 4	CCR.1.1 FDD			
		2, 5	CCR.1.1 TDD			
		3, 6	CCR.2.1 TDD			
SSB configuration		1, 4	SSB.1 FR1			
		2, 5	SSB.1 FR1			
		3, 6	SSB.2 FR1			
SMTC configuration		1, 4	SMTC pattern 2			
		2, 5	SMTC pattern 1			
		3, 6	SMTC pattern 1			
OCNG Pattern		1, 2, 3, 4, 5, 6	OP.1 defined in A.3.2.1			
Initial DL BWP configuration		1, 2, 3, 4, 5, 6	DLBWP.0			
Initial UL BWP configuration		1, 2, 3, 4, 5, 6	ULBWP.0			
RLM-RS		1, 2, 3, 4, 5, 6	SSB			
Qrxlevmin	dBm/SCS	1, 2, 4, 5	-140			
		3, 6	-137			
$N_{oc}$	dBm/SCS	1, 4	-98			
		2, 5	-98			
		3, 6	-95			
$N_{oc}$	dBm/15 kHz	1, 2, 3, 4, 5, 6	-98			
SS-RSRP	dBm/SCS	1, 4	-102	-86		
		2, 5	-102	-86		
		3, 6	-99	-83		
$\hat{E}_s / I_{ot}$	dB	1, 4	-4	12		
		2, 5				
		3, 6				
$\hat{E}_s / N_{oc}$	dB	1, 4	-4	12		
		2, 5				
		3, 6				
Io	dBm/9.36 MHz	1, 4	-68.60	-57.78		
		2, 5	-68.60	-57.78		
		3, 6	-62.50	-51.69		
Treselection	S	1, 2, 3, 4, 5, 6	0			
Snonintrasearch	dB	1, 2, 3, 4, 5, 6	50			
Thresh <sub>x, high</sub> (Note 2)	dB	1, 2, 3, 4, 5, 6	48			
Thresh <sub>serving, low</sub>	dB	1, 2, 3, 4, 5, 6	44			
Thresh <sub>x, low</sub>	dB	1, 2, 3, 4, 5, 6	50			
Propagation Condition		1, 2, 3, 4, 5, 6	AWGN			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: This refers to the value of Thresh <sub>x, high</sub> which is included in NR system information, and is a threshold for the E-UTRA target cell						

**Table A.6.1.2.2.2-4: Cell specific test parameters for E-UTRA cell 2**

Parameter	Unit	Cell 2				
		T1	T2	T3		
E-UTRA RF Channel number		1				
BW <sub>channel</sub>	MHz	10				
OCNG Patterns defined in TS 36.133 [15] clause A.3.2		OP.2 TDD for test configuration 1, 2, 3; OP.2 FDD for test configuration 4, 5, 6				
PBCH_RA	dB	0				
PBCH_RB	dB					
PSS_RA	dB					
SSS_RA	dB					
PCFICH_RB	dB					
PHICH_RA	dB					
PHICH_RB	dB					
PDCCH_RA	dB					
PDCCH_RB	dB					
PDSCH_RA	dB					
PDSCH_RB	dB					
OCNG_RA <sup>Note 1</sup>	dB					
OCNG_RB <sup>Note 1</sup>	dB					
Qrxlevmin	dBm	-140				
N <sub>oc</sub>	dBm/15 kHz	-98				
RSRP	dBm/15 KHz	-84	-84			
Ê <sub>s</sub> /I <sub>ot</sub>	dB	14	14			
Ê <sub>s</sub> /N <sub>oc</sub>	dB	14	14			
Treselection_EUTRAN	S	0				
Snonintrasearch	dB	Not sent				
Thresh <sub>x, high</sub> (Note 2)	dB	48				
Thresh <sub>serving, low</sub>	dB	44				
Thresh <sub>x, low</sub>	dB	50				
Propagation Condition		AWGN				
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: This refers to the value of Thresh <sub>x, high</sub> which is included in E-UTRA system information, and is a threshold for the NR target cell						

### A.6.1.2.2.3 Test Requirements

The cell reselection delay to a lower priority E-UTRAN cell is defined as the time from the beginning of time period T1, to the moment when the UE camps on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 2.

The cell re-selection delay to a lower priority cell shall be less than 8 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

NOTE: The cell re-selection delay to a lower priority cell can be expressed as: T<sub>evaluate, E-UTRAN</sub> + T<sub>SI-E-UTRA</sub>,

Where:

$T_{\text{evaluate, E-UTRAN}}$	See Table 4.2.2.5-1 in clause 4.2.2.5
$T_{\text{SI-E-UTRA}}$	Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 7.68 s, allow 8 s for the cell re-selection delay to a lower priority E-UTRAN cell.

## A.6.2 SA: RRC\_INACTIVE state mobility

## A.6.3 RRC\_CONNECTED state mobility

### A.6.3.1 Handover

#### A.6.3.1.1 Intra-frequency handover from FR1 to FR1; known target cell

##### A.6.3.1.1.1 Test Purpose and Environment

This test is to verify the requirement for the NR FR1-NR FR1 intra frequency handover requirements specified in clause 6.1.1.2.

##### A.6.3.1.1.2 Test Parameters

Supported test configurations are shown in table A.6.3.1.1.2-1. Both handover delay and interruption length are tested by using the parameters in table A.6.3.1.1.2-2, and A.6.3.1.1.2-3.

The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE may not have any timing information of cell 2.

NR shall send a RRC message implying handover to cell 2. The RRC message implying handover shall be sent to the UE during period T2, after the UE has reported Event A3. T3 is defined as the end of the last TTI containing the RRC message implying handover.

**Table A.6.3.1.1.2-1: Intra-frequency handover from FR1 to FR1 test configurations**

Config	Description	
1	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
2	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	Source cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Target cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	
Note: The UE is only required to be tested in one of the supported test configurations		

**Table A.6.3.1.1.2-2: General test parameters Intra-frequency handover from FR1 to FR1**

Parameter	Unit	Value	Comment
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
A3-Offset	dB	0	
Hysteresis	dB	0	
Time To Trigger	s	0	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
PRACH configuration index		FR1 PRACH configuration 1	As specified in table Table 6.3.3.2-3 in TS 38.211 [6]
Time offset between cells		3 $\mu$ s	Synchronous cells

T1	s	5	
T2	s	$\leq 5$	
T3	s	1	

**Table A.6.3.1.1.2-3: Cell specific test parameters for NR FR1-FR1 Intra frequency handover test case**

Parameter	Unit	Cell 1			Cell 2				
		T1	T2	T3	T1	T2	T3		
NR RF Channel Number		1			1				
Duplex mode		Config 1	FDD						
		Config 2,3	TDD						
TDD configuration		Config 1	Not Applicable						
		Config 2	TDDConf.1.1						
		Config 3	TDDConf.2.1						
BW <sub>channel</sub>	MHz	Config 1	10: N <sub>RB,c</sub> = 52						
		Config 2	10: N <sub>RB,c</sub> = 52						
		Config 3	40: N <sub>RB,c</sub> = 106						
BWP BW	MHz	Config 1	10: N <sub>RB,c</sub> = 52						
		Config 2	10: N <sub>RB,c</sub> = 52						
		Config 3	40: N <sub>RB,c</sub> = 106						
DRx Cycle	ms	Not Applicable							
PDSCH Reference measurement channel		Config 1	SR.1.1 FDD						
		Config 2	SR.1.1 TDD						
		Config 3	SR2.1 TDD						
CORESET Reference Channel		Config 1	CR.1.1 FDD						
		Config 2	CR.1.1 TDD						
		Config 3	CR2.1 TDD						
OCNG Patterns		OCNG pattern 1							
SMTC configuration		Config 1,2	SMTC.1 FR1						
		Config 3	SMTC.2 FR1						
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	15 kHz						
		Config 3	30 kHz						
PUCCH/PUSCH subcarrier spacing	kHz	Config 1,2	15 kHz						
		Config 3	30 kHz						
PRACH configuration		FR1 PRACH configuration 1							
BWP configuraiton	Initial DL BWP	DLBWP.0.1							
	Dedicated DL BWP	DLBWP.1.1							
	Initial UL BWP	ULBWP.0.1							
	Dedicated UL BWP	ULBWP.1.1							
EPRE ratio of PSS to SSS		dB							
EPRE ratio of PBCH DMRS to SSS									
EPRE ratio of PBCH to PBCH DMRS									
EPRE ratio of PDCCH DMRS to SSS									
EPRE ratio of PDCCH to PDCCH DMRS									
EPRE ratio of PDSCH DMRS to SSS									
EPRE ratio of PDSCH to PDSCH									
EPRE ratio of OCNG DMRS to SSS (Note 1)									
EPRE ratio of OCNG to OCNG DMRS (Note 1)									
$N_{oc}$ Note2	dBm/15kHz	-98							
$N_{oc}$ Note2	Config 1,2	dBm/SCS	-98						
	Config 3		-95						
$\hat{E}_s / I_{ot}$	dB	8	-3.3	-3.3	-	Infinity	2.36		

$\hat{E}_s / N_{oc}$		dB	8	8	8	-Infinity	11	11
Io <sup>Note3</sup>	Config 1,2	dBm/ 9.36MHz	-64.7	-60.87	-60.87	-64.7	-60.87	-60.87
	Config 3	dBm/ 38.16MHz	-60.55	-57.36	-57.36	-60.55	-57.36	-57.36
Propagation condition		-	AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								

#### A.6.3.1.1.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [220] ms from the beginning of time period T3. The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [10] ms and is specified in clause 12 in TS 38.331 [2].

$T_{interrupt}$  = [210] ms in the test.  $T_{interrupt}$  is defined in clause 6.1.1.2.2.

This gives a total of [220] ms.

#### A.6.3.1.2 Intra-frequency handover from FR1 to FR1; unknown target cell

##### A.6.3.1.2.1 Test Purpose and Environment

This test is to verify the requirement for the NR FR1-NR FR1 intra frequency handover requirements specified in clause 6.1.1.2.

##### A.6.3.1.2.2 Test Parameters

Supported test configurations are shown in table A.6.3.1.2.2-1. Both handover delay and interruption length are tested by using the parameters in table A.6.3.1.2.2-2, and A.6.3.1.2.2-3.

The test scenario comprises of two carriers and one cell on each carrier. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1, T2 respectively. At the start of time duration T1, the UE does not have any timing information of cell 2. Starting T2, cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE.

**Table A.6.3.1.2.2-1: Intra-frequency handover from FR1 to FR1 test configurations**

Config	Description
1	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	Source cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Target cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.6.3.1.2.2-2: General test parameters Intra-frequency handover from FR1 to FR1**

Parameter	Unit	Value	Comment
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
A3-Offset	dB	0	
Hysteresis	dB	0	
Time To Trigger	s	0	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
PRACH configuration index		FR1 PRACH configuration 1	As specified in table Table 6.3.3.2-3 in TS 38.211 [6]
Time offset between cells		3 μs	Synchronous cells
T1	s	5	
T2	s	≤5	

**Table A.6.3.1.2.2-3: Cell specific test parameters for NR FR1-FR1 Intra frequency handover test case**

Parameter	Unit	Cell 1		Cell 2	
		T1	T2	T1	T2
NR RF Channel Number			1		1
Duplex mode	Config 1			FDD	
	Config 2,3			TDD	
TDD configuration	Config 1			Not Applicable	
	Config 2			TDDConf.1.1	
	Config 3			TDDConf. 2.1	
BW <sub>channel</sub>	Config 1	MHz		10: N <sub>RB,C</sub> = 52	
	Config 2			10: N <sub>RB,C</sub> = 52	
	Config 3			40: N <sub>RB,C</sub> = 106	
BWP BW	Config 1	MHz		10: N <sub>RB,C</sub> = 52	
	Config 2			10: N <sub>RB,C</sub> = 52	
	Config 3			40: N <sub>RB,C</sub> = 106	
DRx Cycle	ms			Not Applicable	
PDSCH Reference measurement channel	Config 1			SR.1.1 FDD	
	Config 2			SR.1.1 TDD	
	Config 3			SR2.1 TDD	
CORESET Reference Channel	Config 1			CR.1.1 FDD	
	Config 2			CR.1.1 TDD	
	Config 3			CR2.1 TDD	
OCNG Patterns				OCNG pattern 1	
SMTc configuration	Config 1,2			SMTc.1 FR1	
	Config 3			SMTc.2 FR1	
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz		15 kHz	
	Config 3			30 kHz	
PUCCH/PUSCH subcarrier spacing	Config 1,2	kHz		15 kHz	
	Config 3			30 kHz	
PRACH configuration				FR1 PRACH configuration 1	
BWP configuration	Initial DL BWP			DLBWP.0.1	
	Dedicated DL BWP			DLBWP.1.1	
	Initial UL BWP			ULBWP.0.1	
	Dedicated UL BWP			ULBWP.1.1	
EPRE ratio of PSS to SSS	dB			0	
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					

EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
$N_{oc}$ <sup>Note2</sup>		dBm/15kHz		-98	
$N_{oc}$ <sup>Note2</sup>	Config 1,2			-98	
	Config 3	dBm/SCS		-95	
$\hat{E}_s/I_{ot}$		dB	8	-0.64	-Infinity
$\hat{E}_s/N_{oc}$		dB	8	8	-Infinity
$I_o$ <sup>Note3</sup>	Config 1,2	dBm/9.36MHz	-64.7	-62.37	-64.7
	Config 3	dBm/38.16MHz	-60.55	-58.66	-60.55
Propagation condition		-		AWGN	
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				
Note 3:	$I_o$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				

#### A.6.3.1.2.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [282] ms from the beginning of time period T2. The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [50] ms and is specified in clause 12 in TS 38.331 [2].

$T_{interrupt}$  = [232] ms in the test.  $T_{interrupt}$  is defined in clause 6.1.1.2.2.

This gives a total of [282] ms.

#### A.6.3.1.3 Inter-frequency handover from FR1 to FR1; unknown target cell

##### A.6.3.1.3.1 Test Purpose and Environment

This test is to verify the requirement for the NR FR1-NR FR1 inter frequency handover requirements specified in clause 6.1.1.2.

##### A.6.3.1.3.2 Test Parameters

Supported test configurations are shown in table A.6.3.1.3.2-1. Both handover delay and interruption length are tested by using the parameters in table A.6.3.1.3.2-2, and A.6.3.1.3.2-3.

The test scenario comprises of two carriers and one cell on each carrier. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1, T2 respectively. At the start of time duration T1, the UE does not have any timing information of cell 2. Starting T2, cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE.

**Table A.6.3.1.3.2-1: Inter-frequency handover from FR1 to FR1 test configurations**

Config	Description
1	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	Source cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Target cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations

**Table A.6.3.1.3.2-2: General test parameters Inter-frequency handover from FR1 to FR1**

Parameter	Unit	Value	Comment
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
A3-Offset	dB	-4	
Hysteresis	dB	0	
Time To Trigger	s	0	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
T1	s	5	
T2	s	$\leq 5$	

**Table A.6.3.1.3.2-3: Cell specific test parameters for NR FR1-FR1 Inter frequency handover test case**

Parameter	Unit	Cell 1		Cell 2	
		T1	T2	T1	T2
NR RF Channel Number			1		2
Duplex mode	Config 1		FDD		
	Config 2,3		TDD		
TDD configuration	Config 1		Not Applicable		
	Config 2		TDDConf.1.1		
	Config 3		TDDConf.2.1		
BW <sub>channel</sub>	Config 1	MHz	10: N <sub>RB,c</sub> = 52		
	Config 2		10: N <sub>RB,c</sub> = 52		
	Config 3		40: N <sub>RB,c</sub> = 106		
BWP BW	Config 1	MHz	10: N <sub>RB,c</sub> = 52		
	Config 2		10: N <sub>RB,c</sub> = 52		
	Config 3		40: N <sub>RB,c</sub> = 106		
DRx Cycle	ms		Not Applicable		
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD		
	Config 2		SR.1.1 TDD		
	Config 3		SR2.1 TDD		
CORESET Reference Channel	Config 1		CR.1.1 FDD		
	Config 2		CR.1.1 TDD		
	Config 3		CR2.1 TDD		
OCNG Patterns			OCNG pattern 1		
SMTS configuration	Config 1,2		SMTS.1 FR1		
	Config 3		SMTS.2 FR1		
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz	15 kHz		
	Config 3		30 kHz		
PUCCH/PUSCH subcarrier spacing	Config 1,2	kHz	15 kHz		
	Config 3		30 kHz		
PRACH configuration			FR1 PRACH configuration 1		

BWP	Initial DL BWP		DLBWP.0.1							
	Dedicated DL BWP		DLBWP.1.1							
	Initial UL BWP		ULBWP.0.1							
	Dedicated UL BWP		ULBWP.1.1							
EPRE ratio of PSS to SSS	dB	0								
EPRE ratio of PBCH DMRS to SSS										
EPRE ratio of PBCH to PBCH DMRS										
EPRE ratio of PDCCH DMRS to SSS										
EPRE ratio of PDCCH to PDCCH DMRS										
EPRE ratio of PDSCH DMRS to SSS										
EPRE ratio of PDSCH to PDSCH										
EPRE ratio of OCNG DMRS to SSS (Note 1)										
EPRE ratio of OCNG to OCNG DMRS (Note 1)										
$N_{oc}$ Note2			-98							
$N_{oc}$ Note2	Config 1,2	dBm/SCS	-98							
	Config 3		-95							
$\hat{E}_s / I_{ot}$		dB	4	4	-Infinity	5				
$\hat{E}_s / N_{oc}$		dB	4	4	-Infinity	5				
Io Note3	Config 1,2	dBm/ 9.36MHz	-67.11	-67.11	-70.05	-66.59				
	Config 3	dBm/ 38.16MHz	-62.27	-62.27	-63.96	-61.92				
Propagation condition		-	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									

#### A.6.3.1.3.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [282] ms from the beginning of time period T2. The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [10] ms and is specified in clause 12 in TS 38.331 [2].

$T_{interrupt}$  = [272] ms in the test.  $T_{interrupt}$  is defined in clause 6.1.1.2.2.

This gives a total of [282] ms.

#### A.6.3.1.4 SA NR - E-UTRAN handover

##### A.6.3.1.4.1 Test Purpose and Environment

The purpose of this set of tests is to verify that the UE can make correct inter-RAT E-UTRAN handover when operating in standalone (SA) operation with PCCell in FR1. This test shall verify the NR to E-UTRAN handover requirements as specified in clause 6.1.2.1.

The test comprises of one NR carrier and one E-UTRA carrier. There are two cells and one cell on each carrier. Cell 1 is the NR PCCell and Cell 2 is an inter-RAT E-UTRAN neighbour cell. The test consists of three successive time

periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. Gap pattern configuration with id #0 as specified in Table 9.1.2-1 is configured before T2 begins to enable inter-RAT frequency monitoring.

A RRC message implying handover shall be sent to the UE during period T2 after the UE has reported Event B2. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. The handover message shall contain Cell 2 as the target cell.

Supported test configurations are shown in table A.6.3.1.4-1. General test parameters are provided in Table A.6.3.1.4-2. Cell specific test parameters for Cell 1 and Cell 2 are provided in Tables A.6.3.1.4-3 and A.6.3.1.4-4 respectively.

**Table A.6.3.1.4-1: Supported test configurations for SA inter-RAT E-UTRAN handover tests**

<b>Configuration</b>		<b>Description</b>	
1		NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD	
2		NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD	
3		NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD	
4		NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD	
5		NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD	
6		NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.3.1.4-2: General test parameters for SA inter-RAT E-UTRAN handover**

<b>Parameter</b>		<b>Unit</b>	<b>Value</b>	<b>Comment</b>
NR RF Channel Number			1	1 NR carrier frequency is used in the test
LTE RF Channel Number			2	1 E-UTRAN carrier frequency is used in the test
Initial conditions	Active cell		Cell 1	NR cell
	Neighbouring cell		Cell 2	E-UTRAN cell
Final condition	Active cell		Cell 2	
NR measurement quantity			SS-RSRP	
E-UTRAN measurement quantity			RSRP	
b2-Threshold1	dBm		As specified in Table A.6.3.1.4-3	Absolute NR SS-RSRP threshold for event B2
b2-Threshold2EUTRAN	dBm		-98	Absolute E-UTRAN RSRP threshold for event B2
Hysteresis	dB		0	
TimeToTrigger	s		0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information	-		Not sent	No additional delays in random access procedure
Time offset between cells			3 ms	Asynchronous cells
Gap pattern configuration Id			0	As specified in Table 9.1.2-1 started before T2 starts
T1	s		5	
T2	s		≤5	
T3	s		1	

**Table A.6.3.1.4-3: Cell specific test parameters for SA inter-RAT E-UTRA handover (Cell 1)**

<b>Parameter</b>	<b>Unit</b>	<b>Configuration</b>	<b>Cell 1</b>		
			<b>T1</b>	<b>T2</b>	<b>T3</b>
RF channel number		1, 2, 3, 4, 5, 6			1

Duplex mode		1, 4	FDD					
		2, 3, 5, 6	TDD					
TDD Configuration		2, 5	TDDConf.1.1					
		3, 6	TDDConf.1.2					
BW <sub>channel</sub>	MHz	1, 4	10: N <sub>RB,c</sub> = 52 (FDD)					
		2, 5	10: N <sub>RB,c</sub> = 52 (TDD)					
		3, 6	40: N <sub>RB,c</sub> = 106 (TDD)					
PDSCH reference measurement channel		1, 4	SR.1.1 FDD					
		2, 5	SR.1.1 TDD					
		3, 6	SR.2.1 TDD					
CORSET reference channel		1, 4	CR.1.1 FDD					
		2, 5	CR.1.1 TDD					
		3, 6	CR.2.1 TDD					
OCNG pattern <sup>Note1</sup>		1, 2, 3, 4, 5, 6	OP.1					
BWP	Initial DL BWP	1, 2, 3, 4, 5, 6	DLBWP.0.1					
	Dedicated DL BWP		DLBWP.1.1					
	Initial UL BWP		ULBWP.0.1					
	Dedicated UL BWP		ULBWP.1.1					
SMTC configuration		1, 2, 3, 4, 5, 6	SMTC.1					
SSB configuration		1, 2, 4, 5	SSB.1 FR1					
		3, 6	SSB.2 FR1					
b2-Threshold1	dBm	1, 2, 4, 5	-96					
		3, 6	-93					
EPRE ratio of PSS to SSS	dB	1, 2, 3, 4, 5, 6	0					
EPRE ratio of PBCH_DMRS to SSS								
EPRE ratio of PBCH to PBCH_DMRS								
EPRE ratio of PDCCH_DMRS to SSS								
EPRE ratio of PDCCH to PDCCH_DMRS								
EPRE ratio of PDSCH_DMRS to SSS								
EPRE ratio of PDSCH to PDSCH_DMRS								
EPRE ratio of OCNG DMRS to SSS								
EPRE ratio of OCNG to OCNG DMRS								
N <sub>oc</sub> <sup>Note2</sup>								
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 KHz	1, 2, 3, 4, 5, 6	-100					
		1, 2, 4, 5	-100					
		3, 6	-97					
Ē <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	012	0-4	0-4			
Ē <sub>s</sub> /I <sub>tot</sub> <sup>Note3</sup>		1, 2, 3, 4, 5, 6	012	0-4	0-4			
SS-RSRP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	-88	-104	-104			
		3, 6	-85	-101	-101			
I <sub>o</sub> <sup>Note3</sup>	dBm/9.36 MHz	1, 2, 4, 5	-59.78	-70.59	-70.59			
		3, 6	-53.68	-64.49	-64.49			
Propagation condition		1, 2, 3, 4, 5, 6	AWGN					
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.								
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.								

Note 3:  $\hat{E}_s/I_{\text{tot}}$ , SS-RSRP, and  $I_0$  levels have been derived from other parameters for information purposes.  
They are not settable parameters themselves.

**Table A.6.3.1.4-4: Cell specific test parameters for SA inter-RAT E-UTRA handover (Cell 2)**

Parameter	Unit	Configuration	Cell 2		
			T1	T2	T3
RF channel number		1, 2, 3, 4, 5, 6		2	
Duplex mode		1, 2, 3		FDD	
		4, 5, 6		TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6		6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6		1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6		5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	
PRACH Configuration <sup>Note2</sup>		1, 2, 3		4	
		4, 5, 6		53	
PDSCH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3		5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD	
				5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
		4, 5, 6		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
				5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3		5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD	
				5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
		4, 5, 6			
PBCH_RA	dB	1, 2, 3, 4, 5, 6		0	
PBCH_RB					
PSS_RA					
SSS_RA					
PCFICH_RB					
PHICH_RA					
PHICH_RB					
PDCCH_RA					
PDCCH_RB					
PDSCH_RA					
PDSCH_RB					
OCNG_RA <sup>Note4</sup>					
OCNG_RB <sup>Note4</sup>					
N <sub>oc</sub> <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6		-98	
$\hat{E}_s/N_{oc}$	dB	1, 2, 3, 4, 5, 6	-Infinity	8	78
$\hat{E}_s/I_{\text{tot}}$ <sup>Note6</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	78	78
RSRP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-90	-90
SCH_RP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-90	-90
$I_0$ <sup>Note6</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-67.21 +10log(N <sub>RB,c</sub> /100)	-58.57 +10log(N <sub>RB,c</sub> /100)	-58.57 +10log(N <sub>RB,c</sub> /100)
Propagation Condition		1, 2, 3, 4, 5, 6		AWGN	

Antenna Configuration and Correlation Matrix Note <sup>7</sup>		1, 2, 3, 4, 5, 6	1x2 Low
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].</p> <p>Note 2: PRACH configurations are specified in table 5.7.1-2 and table 5.7.1-3 in TS 36.211 [23].</p> <p>Note 3: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.</p> <p>Note 4: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 5: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 6: <math>\hat{E}_s/I_{ot}</math>, RSRP, SCH_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 7: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].</p>			

#### A.6.3.1.4.2 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than 85 ms from the beginning of time period T3.

The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = 50 ms and is specified in clause 6.1.2.1.

$T_{interrupt}$  = 35 ms in the test;  $T_{interrupt}$  is defined in clause 6.1.2.1.

This gives a total of 85 ms.

#### A.6.3.1.5 SA NR - E-UTRAN handover with unknown target cell

##### A.6.3.1.5.1 Test Purpose and Environment

The purpose of this set of tests is to verify that the UE can make correct inter-RAT E-UTRAN handover when operating in standalone (SA) operation with PCell in FR1. This test shall verify the NR to E-UTRAN handover requirements for the case when the target E-UTRAN cell is unknown as specified in clause 6.1.2.1.

The test comprises of one NR carrier and one E-UTRA carrier. There are two cells and one cell on each carrier. Cell 1 is the NR PCell and Cell 2 is an inter-RAT E-UTRAN neighbour cell. The test consists of two successive time periods, with time durations of T1 and T2 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable. No Gap pattern shall be configured.

A RRC message implying handover shall be sent to the UE during period T1. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. The handover message shall contain Cell 2 as the target cell.

Supported test configurations are shown in table A.6.3.1.5-1. General test parameters are provided in Table A.6.3.1.5-2. Cell specific test parameters for Cell 1 and Cell 2 are provided in Tables A.6.3.1.5-3 and A.6.3.1.5-4 respectively.

**Table A.6.3.1.5-1: Supported test configurations for SA inter-RAT E-UTRAN handover tests**

<b>Configuration</b>		<b>Description</b>
1		NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD
2		NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD
3		NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD
4		NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD
5		NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD
6		NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.3.1.5-2: General test parameters for SA inter-RAT E-UTRAN handover**

<b>Parameter</b>		<b>Unit</b>	<b>Value</b>	<b>Comment</b>
NR RF Channel Number			1	1 NR carrier frequency is used in the test
LTE RF Channel Number			2	1 E-UTRAN carrier frequency is used in the test
Initial conditions	Active cell		Cell 1	NR cell
	Neighbouring cell		Cell 2	E-UTRAN cell
Final condition	Active cell		Cell 2	
NR measurement quantity			SS-RSRP	
E-UTRAN measurement quantity			RSRP	
b2-Threshold1	dBm		As specified in Table A.6.3.1.5-3	Absolute NR SS-RSRP threshold for event B2
b2-Threshold2EUTRAN	dBm		-98	Absolute E-UTRAN RSRP threshold for event B2
Hysteresis	dB		0	
TimeToTrigger	s		0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information	-		Not sent	No additional delays in random access procedure
Time offset between cells			3 ms	Asynchronous cells
T1	s		$\leq 5$	
T2	s		1	

**Table A.6.3.1.5-3: Cell specific test parameters for SA inter-RAT E-UTRA handover (Cell 1)**

<b>Parameter</b>	<b>Unit</b>	<b>Configuration</b>	<b>Cell 1</b>	
			<b>T1</b>	<b>T2</b>
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 4	FDD	
		2, 3, 5, 6	TDD	
TDD Configuration		2, 5	TDDConf.1.1	
		3, 6	TDDConf.1.2	
BW <sub>channel</sub>	MHz	1, 4	10: N <sub>RB,C</sub> = 52 (FDD)	
		2, 5	10: N <sub>RB,C</sub> = 52 (TDD)	
		3, 6	40: N <sub>RB,C</sub> = 106 (TDD)	
PDSCH reference measurement channel		1, 4	SR.1.1 FDD	
		2, 5	SR.1.1 TDD	
		3, 6	SR.2.1 TDD	
CORSET reference channel		1, 4	CR.1.1 FDD	
		2, 5	CR.1.1 TDD	
		3, 6	CR.2.1 TDD	
OCNG pattern <sup>Note1</sup>		1, 2, 3, 4, 5, 6	OP.1	
BWP	Initial DL BWP		1, 2, 3, 4, 5, 6	DLBWP.0.1

Dedicated DL BWP			DLBWP.1.1			
Initial UL BWP			ULBWP.0.1			
Dedicated UL BWP			ULBWP.1.1			
SMTC configuration		1, 2, 3, 4, 5, 6	SMTC.1			
SSB configuration		1, 2, 4, 5	SSB.1 FR1			
		3, 6	SSB.2 FR1			
b2-Threshold1	dBm	1, 2, 4, 5	-90			
		3, 6	-87			
EPRE ratio of PSS to SSS	dB	1, 2, 3, 4, 5, 6	0			
EPRE ratio of PBCH_DMRS to SSS						
EPRE ratio of PBCH to PBCH_DMRS						
EPRE ratio of PDCCH_DMRS to SSS						
EPRE ratio of PDCCH to PDCCH_DMRS						
EPRE ratio of PDSCH_DMRS to SSS						
EPRE ratio of PDSCH to PDSCH_DMRS						
EPRE ratio of OCNG DMRS to SSS						
EPRE ratio of OCNG to OCNG DMRS						
$N_{oc}^{Note2}$	dBm/15 KHz	1, 2, 3, 4, 5, 6	-98			
$N_{oc}^{Note2}$	dBm/SCS	1, 2, 4, 5	-98			
		3, 6	-95			
$\bar{E}_s/N_{oc}$	dB	1, 2, 3, 4, 5, 6	0	0		
$\bar{E}_s/I_{ot}^{Note3}$	dB	1, 2, 3, 4, 5, 6	0	0		
SS-RSRP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	-98	-98		
		3, 6	-95	-95		
$I_{ot}^{Note3}$	dBm/9.36 MHz	1, 2, 4, 5	-67.04	-67.04		
	dBm/38.16 MHz	3, 6	-60.94	-60.94		
Propagation condition		1, 2, 3, 4, 5, 6	AWGN			
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3: $\bar{E}_s/I_{ot}$ , SS-RSRP, and $I_{ot}$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

Table A.6.3.1.5-4: Cell specific test parameters for SA inter-RAT E-UTRA handover (Cell 2)

Parameter	Unit	Configuration	Cell 2	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		2
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6	6	

TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6	1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	
PRACH Configuration <sup>Note2</sup>		1, 2, 3 4, 5, 6	4 53	
PDSCH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3 4, 5, 6	5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD 5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3 4, 5, 6	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD 5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note3</sup>		1, 2, 3 4, 5, 6	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD 5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note4</sup>				
OCNG_RB <sup>Note4</sup>				
N <sub>oc</sub> <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-98	
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	7
Ê <sub>s</sub> /I <sub>ot</sub> <sup>Note6</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	7
RSRP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-91
SCH_RP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-91
I <sub>o</sub> <sup>Note6</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-70.22	-62.43
Propagation Condition		1, 2, 3, 4, 5, 6	AWGN	
Antenna Configuration and Correlation Matrix <sup>Note7</sup>		1, 2, 3, 4, 5, 6	1x2 Low	

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].

Note 2: PRACH configurations are specified in table 5.7.1-2 and table 5.7.1-3 in TS 36.211 [23].

Note 3: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.

Note 4: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 5: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.

Note 6: Ê<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 7: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].

### A.6.3.1.5.2 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than 165 ms from the beginning of time period T3.

The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{\text{interrupt}}$ , where:

RRC procedure delay = 50 ms and is specified in clause 6.1.2.1.

$T_{\text{interrupt}} = 115$  ms in the test;  $T_{\text{interrupt}}$  is defined in clause 6.1.2.1.

This gives a total of 165 ms.

### A.6.3.2 RRC Connection Mobility Control

#### A.6.3.2.1 SA: RRC Re-establishment

##### A.6.3.2.1.1 Intra-frequency RRC Re-establishment in FR1

###### A.6.3.2.1.1.1 Test Purpose and Environment

The purpose is to verify that the NR intra-frequency RRC re-establishment delay in FR1 with known target cell is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.6.3.2.1.1.1-1, table A.6.3.2.1.1.1-2 and table A.6.3.2.1.1.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

**Table A.6.3.2.1.1.1-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.3.2.1.1.1-2: General test parameters for NR intra-frequency RRC Re-establishment test case in FR1**

Parameter		Unit	Test configuration	Value	Comment	
Initial condition	Active cell		1, 2, 3	Cell1		
	Neighbour cells		1, 2, 3	Cell2		
Final condition	Active cell		1, 2, 3	Cell2		
RF Channel Number			1, 2, 3	1		
Time offset between cells			1	3 ms	Asynchronous cells	
			2	3 $\mu$ s	Synchronous cells	
			3	3 $\mu$ s	Synchronous cells	
N310		-	1, 2, 3	1	Maximum consecutive out-of-sync indications from lower layers	
N311		-	1, 2, 3	1	Minimum consecutive in-sync indications from lower layers	
T310		ms	1, 2, 3	0	Radio link failure timer; T310 is disabled	
T311		ms	1, 2, 3	3000	RRC re-establishment timer	

Access Barring Information	-	1, 2, 3	Not Sent	No additional delays in random access procedure.
SSB configuration		1	SSB.1 FR1	
		2	SSB.1 FR1	
		3	SSB.2 FR1	
SMTC configuration		1	SMTC pattern 2	
		2	SMTC pattern 1	
		3	SMTC pattern 1	
DRX cycle length	s	1, 2, 3	OFF	
PRACH configuration index		1, 2, 3	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
T1	s	1, 2, 3	5	
T2	ms	1, 2, 3	200	Time for the UE to detect RLF
T3	s	1, 2, 3	2	

**Table A.6.3.2.1.1.1-3: Cell specific test parameters for NR intra-frequency RRC Re-establishment test case in FR1**

Parameter	Unit	Test configuration	Cell 1			Cell 2								
			T1	T2	T3	T1	T2	T3						
TDD configuration		1	N/A			N/A								
		2	TDDConf.1.1			TDDConf.1.1								
		3	TDDConf.2.1			TDDConf.2.1								
PDSCH RMC configuration		1	SR.1.1 FDD			N/A								
		2	SR.1.1 TDD											
		3	SR.2.1 TDD											
RMSI CORESET RMC configuration		1	CR.1.1 FDD			CR.1.1 FDD								
		2	CR.1.1 TDD			CR.1.1 TDD								
		3	CR.2.1 TDD			CR.2.1 TDD								
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD			CCR.1.1 FDD								
		2	CCR.1.1 TDD			CCR.1.1 TDD								
		3	CCR.2.1 TDD			CCR.2.1 TDD								
OCNG Pattern		1, 2, 3	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1								
TRS configuration		1	TRS.1.1 FDD			N/A								
		2	TRS.1.1 TDD											
		3	TRS.1.2 TDD											
Initial DL BWP configuration		1, 2, 3	DLBWP.0.1			DLBWP.0.1								
Initial UL BWP configuration		1, 2, 3	ULBWP.0.1			ULBWP.0.1								
Active DL BWP configuration		1, 2, 3	DLBWP.1.1	N/A	N/A	N/A	N/A	DLBW P.1.1						
Active UL BWP configuration		1, 2, 3	ULBWP.1.1	N/A	N/A	N/A	N/A	ULBW P.1.1						
RLM-RS		1, 2, 3	SSB			SSB								
$\hat{E}_s / I_{ot}$	dB	1	1.54	-infinity	-infinity	-3.79	4	4						
		2												
		3												
$N_{oc}$ Note2	dBm/SCS	1	-98											
		2	-98											
		3	-95											
$N_{oc}$ Note2	dBm/15 kHz	1	-98											
		2												
		3												
$\hat{E}_s / N_{oc}$	dB	1	7	-infinity	-infinity	4	4	4						
		2												
		3												
SS-RSRP Note3	dBm/SCS	1	-91	-infinity	-infinity	-94	-94	-94						
		2	-91	-infinity	-infinity	-94	-94	-94						
		3	-88	-infinity	-infinity	-91	-91	-91						
Io	dBm/9.36 MHz	1	-60.74	-64.59	-64.59	-60.74	-64.59	-64.59						
		2	-60.74	-64.59	-64.59	-60.74	-64.59	-64.59						
		3	-54.65	-58.50	-58.50	-54.65	-58.50	-58.50						
Propagation Condition		1, 2, 3	AWGN											
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														

### A.6.3.2.1.1.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to a known NR intra frequency cell shall be less than [1.6] s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}.$$

Where:

$T_{\text{UL\_grant}}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{\text{UL\_grant}}$  is not used.

$$T_{\text{UE\_re-establish\_delay}} = 50 + T_{\text{identify\_intra\_NR}} + \sum_{i=1}^{N_{\text{freq}}-1} T_{\text{identify\_inter\_NR},i} + T_{\text{SI-NR}} + T_{\text{PRACH}}$$

$$N_{\text{freq}} = 1$$

$$T_{\text{identify\_intra\_NR}} = 200 \text{ ms}$$

$$T_{\text{SI}} = [1280] \text{ ms}; \text{ it is the time required for receiving all the relevant system information as defined in TS 38.331 for the target intra-frequency NR cell.}$$

$$T_{\text{PRACH}} = [15] \text{ ms}; \text{ it is the additional delay caused by the random access procedure.}$$

This gives a total of [1545] ms, allow [1.6] s in the test case.

### A.6.3.2.1.2 Inter-frequency RRC Re-establishment in FR1

#### A.6.3.2.1.2.1 Test Purpose and Environment

The purpose is to verify that the NR inter-frequency RRC re-establishment delay in FR1 without known target cell is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.6.3.2.1.2.1-1, table A.6.3.2.1.2.1-2 and table A.6.3.2.1.2.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, becomes inactive. The time period T3 starts after the occurrence of the radio link failure. During T1, the UE shall be configured with the carrier frequency of cell 2 (with RF Channel Number #2) to ensure that the UE has the context of the carrier frequency of cell 2 by the end of T1.

**Table A.6.3.2.1.2.1-1: Supported test configurations**

Configuration	Description of serving cell	Description of target cell
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.3.2.1.2.1-2: General test parameters for NR inter-frequency RRC Re-establishment test case in FR1**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1, 2, 3	Cell1	
	Neighbour cells		1, 2, 3	Cell2	
Final condition	Active cell		1, 2, 3	Cell2	
RF Channel Number			1, 2, 3	1, 2	
Time offset between cells			1	3 ms	Asynchronous cells
			2	3 µs	Synchronous cells
			3	3 µs	Synchronous cells
N310		-	1, 2, 3	1	Maximum consecutive out-of-sync indications from lower layers
N311		-	1, 2, 3	1	Minimum consecutive in-sync indications from lower layers
T310		ms	1, 2, 3	0	Radio link failure timer; T310 is disabled
T311		ms	1, 2, 3	5000	RRC re-establishment timer
Access Barring Information		-	1, 2, 3	Not Sent	No additional delays in random access procedure.
SSB configuration			1	SSB.1 FR1	
			2	SSB.1 FR1	
			3	SSB.2 FR1	
SMTC configuration			1	SMTC pattern 2	
			2	SMTC pattern 1	
			3	SMTC pattern 1	
DRX cycle length		s	1, 2, 3	OFF	
PRACH configuration index			1, 2, 3	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
T1		s	1, 2, 3	5	
T2		ms	1, 2, 3	200	Time for the UE to detect RLF
T3		s	1, 2, 3	5	

**Table A.6.3.2.1.2.1-3: Cell specific test parameters for NR inter-frequency RRC Re-establishment test case in FR1**

Parameter	Unit	Test configuration	Cell 1			Cell 2		
			T1	T2	T3	T1	T2	T3
RF Channel Number		1, 2, 3			1			2
TDD configuration		1			N/A			N/A
		2			TDDConf.1.1			TDDConf.1.1
		3			TDDConf.2.1			TDDConf.2.1
PDSCH RMC configuration		1			SR.1.1 FDD			N/A
		2			SR.1.1 TDD			
		3			SR.2.1 TDD			
RMSI CORESET RMC configuration		1			CR.1.1 FDD			CR.1.1 FDD
		2			CR.1.1 TDD			CR.1.1 TDD
		3			CR.2.1 TDD			CR.2.1 TDD
Dedicated CORESET RMC configuration		1			CCR.1.1 FDD			CCR.1.1 FDD
		2			CCR.1.1 TDD			CCR.1.1 TDD
		3			CCR.2.1 TDD			CCR.2.1 TDD
OCNG Pattern		1, 2, 3			OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1
TRS configuration		1			TRS.1.1 FDD			N/A

		2	TRS.1.1 TDD											
		3	TRS.1.2 TDD											
Initial DL BWP configuration		1, 2, 3	DLBWP.0			DLBWP.0								
Initial UL BWP configuration		1, 2, 3	ULBWP.0			ULBWP.0								
Active DL BWP configuration		1, 2, 3	DLBWP.1.1	N/A	N/A	N/A	N/A	DLBW P.1.1						
Active UL BWP configuration		1, 2, 3	ULBWP.1.1	N/A	N/A	N/A	N/A	ULBW P.1.1						
RLM-RS		1, 2, 3	SSB			SSB								
$\hat{E}_s/I_{ot}$	dB	1 2 3	4	-infinity	-infinity	-infinity	-infinity	7						
$N_{oc}$ Note2	dBm/SCS	1 2 3	-98 -98 -95											
$N_{oc}$ Note2	dBm/15 kHz	1 2 3	-98											
$\hat{E}_s/N_{oc}$	dB	1 2 3	4	-infinity	-infinity	-infinity	-infinity	7						
SS-RSRP Note3	dBm/SCS	1 2 3	-94 -94 -91	-infinity	-infinity	-infinity	-infinity	-91 -91 -88						
Io	dBm/9.36 MHz	1	-64.59	-70.048	-70.048	-	-70.048	-62.26						
	dBm/9.36 MHz	2	-64.59	-70.048	-70.048	-	-infinity	-62.26						
	dBm/38.16 MHz	3	-58.50	-66.955	-66.955	-	-infinity	-57.17						
Propagation Condition		1, 2, 3	AWGN											
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														

#### A.6.3.2.1.2.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR inter frequency cell shall be less than [3] s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{re-establish\_delay} = T_{UL\_grant} + T_{UE\_re-establish\_delay}.$$

Where:

$T_{UL\_grant}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{UL\_grant}$  is not used.

$$T_{UE\_re-establish\_delay} = 50 + T_{identify\_intra\_NR} + \sum_{i=1}^{N_{freq}-1} T_{identify\_inter\_NR,i} + T_{SI-NR} + T_{PRACH}$$

$N_{freq} = 2$

$T_{identify\_intra\_NR} = 800$  ms

$T_{identify\_inter\_NR} = 800$  ms

$T_{SI} = [1280]$  ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 for the target inter-frequency NR cell.

$T_{PRACH} = [15]$  ms; it is the additional delay caused by the random access procedure.

This gives a total of [2945] ms, allow [3] s in the test case.

#### A.6.3.2.1.3 Intra-frequency RRC Re-establishment in FR1 without serving cell timing

##### A.6.3.2.1.3.1 Test Purpose and Environment

The purpose is to verify that the NR intra-frequency RRC re-establishment delay in FR1 without serving cell timing is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.6.3.2.1.3.1-1, table A.6.3.2.1.3.1-2 and table A.6.3.2.1.3.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

**Table A.6.3.2.1.3.1-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.3.2.1.3.1-2: General test parameters for NR intra-frequency RRC Re-establishment test case in FR1**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1, 2, 3	Cell1	
	Neighbour cells		1, 2, 3	Cell2	
Final condition	Active cell		1, 2, 3	Cell2	
RF Channel Number			1, 2, 3	1	
Time offset between cells			1	3 ms	Asynchronous cells
			2	3 $\mu$ s	Synchronous cells
			3	3 $\mu$ s	Synchronous cells
N310		-	1, 2, 3	1	Maximum consecutive out-of-sync indications from lower layers
N311		-	1, 2, 3	1	Minimum consecutive in-sync indications from lower layers
T310		ms	1, 2, 3	6000	Radio link failure timer configured by <i>RLF-TimersAndConstants</i>
T311		ms	1, 2, 3	3000	RRC re-establishment timer
Access Barring Information		-	1, 2, 3	Not Sent	No additional delays in random access procedure.
SSB configuration			1	SSB.1 FR1	
			2	SSB.1 FR1	
			3	SSB.2 FR1	
SMTC configuration			1	SMTC pattern 2	
			2	SMTC pattern 1	
			3	SMTC pattern 1	
DRX cycle length	s	1, 2, 3	OFF		
PRACH configuration index		1, 2, 3	87	The detailed configuration is specified in clause 6.3.3.2 of TS 38.211 [6]	
T1	s	1, 2, 3	5		
T2	s	1, 2, 3	6	Time for the UE to detect RLF	
T3	s	1, 2, 3	3		

**Table A.6.3.2.1.3.1-3: Cell specific test parameters for NR intra-frequency RRC Re-establishment test case in FR1**

Parameter	Unit	Test configuration	Cell 1			Cell 2								
			T1	T2	T3	T1	T2	T3						
TDD configuration		1	N/A			N/A								
		2	TDDConf.1.1			TDDConf.1.1								
		3	TDDConf.2.1			TDDConf.2.1								
PDSCH RMC configuration		1	SR.1.1 FDD			N/A								
		2	SR.1.1 TDD											
		3	SR.2.1 TDD											
RMSI CORESET RMC configuration		1	CR.1.1 FDD			CR.1.1 FDD								
		2	CR.1.1 TDD			CR.1.1 TDD								
		3	CR.2.1 TDD			CR.2.1 TDD								
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD			CCR.1.1 FDD								
		2	CCR.1.1 TDD			CCR.1.1 TDD								
		3	CCR.2.1 TDD			CCR.2.1 TDD								
OCNG Pattern		1, 2, 3	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1								
Initial DL BWP configuration		1, 2, 3	DLBWP.0.1			DLBWP.0.1								
Initial UL BWP configuration		1, 2, 3	ULBWP.0.1			ULBWP.0.1								
RLM-RS		1, 2, 3	SSB			SSB								
$\hat{E}_s / I_{ot}$	dB	1	4	-infinity	-infinity	-infinity	-infinity	4						
		2												
		3												
$N_{oc}$ Note2	dBm/SCS	1	-98											
		2	-98											
		3	-95											
$N_{oc}$ Note2	dBm/15 kHz	1	-98											
		2												
		3												
$\hat{E}_s / N_{oc}$	dB	1	4	-infinity	-infinity	-infinity	-infinity	4						
		2												
		3												
SS-RSRP Note3	dBm/SCS	1	-94	-infinity	-infinity	-infinity	-infinity	-94						
		2	-94	-infinity	-infinity	-infinity	-infinity	-94						
		3	-91	-infinity	-infinity	-infinity	-infinity	-91						
Io	dBm/9.36 MHz	1	-64.59	-infinity	-infinity	-infinity	-infinity	-64.59						
		2	-64.59	-infinity	-infinity	-infinity	-infinity	-64.59						
		3	-58.50	-infinity	-infinity	-infinity	-infinity	-58.50						
Propagation Condition		1, 2, 3	AWGN											
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														

### A.6.3.2.1.3.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR intra frequency cell without serving cell timing shall be less than [2.2] s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{\text{re-establish\_delay}} = T_{\text{UL\_grant}} + T_{\text{UE\_re-establish\_delay}}.$$

Where:

$T_{\text{UL\_grant}}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{\text{UL\_grant}}$  is not used.

$$T_{\text{UE\_re-establish\_delay}} = 50 + T_{\text{identify\_intra\_NR}} + \sum_{i=1}^{N_{\text{freq}}-1} T_{\text{identify\_inter\_NR},i} + T_{\text{SI-NR}} + T_{\text{PRACH}}$$

$$N_{\text{freq}} = 1$$

$$T_{\text{identify\_intra\_NR}} = 800 \text{ ms}$$

$T_{\text{SI}} = [1280]$  ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 [2] for the target intra-frequency NR cell.

$T_{\text{PRACH}} = [15]$  ms; it is the additional delay caused by the random access procedure.

This gives a total of [2145] ms, allow [2.2] s in the test case.

### A.6.3.2.2 Random Access

#### A.6.3.2.2.1 Contention based random access test in FR1 for NR standalone

##### A.6.3.2.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.2 and Clause 7.1.2 in an AWGN model.

For this test one cell is used and configured as PCell in FR1. Supported test parameters are shown in Table A.6.3.2.2.1.1-1. UE capable of SA with PCell in FR1 needs to be tested by using the parameters in Table A.6.3.2.2.1.1-2.

**Table A.6.3.2.2.1.1-1: Supported test configurations for contention based random access test in FR1 for NR standalone**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.6.3.2.2.1.1-2: General test parameters for contention based random access test in FR1 for NR Standalone**

<b>Parameter</b>		<b>Unit</b>	<b>Test-1</b>	<b>Comments</b>
SSB Configuration	Config 1		SSB pattern 1 in FR1	As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below
	Config 2		SSB pattern 2 in FR1	
Number of SSBs per SS-burst			2	Different from the definition in A.3.10
SS/PBCH block index			0,1	Different from the definition in A.3.10
Duplex Mode for Cell 2	Config 1		FDD	
	Config 2		TDD	
TDD Configuration	Config 2		TDDConf.1.2	
OCNG Pattern Note 1			OCNG pattern 1	As defined in A.3.2.1.
PDSCH parameters Note 4	Config 1		SR.1.1 FDD	As defined in A.3.1.1.
	Config 2		SR.2.1 TDD	
NR RF Channel Number			1	
EPRE ratio of PSS to SSS		dB	0	Power of SSB with index 0 is set to be above configured <i>rsrp-ThresholdSSB</i>
EPRE ratio of PBCH_DMRS to SSS		dB		
EPRE ratio of PBCH to PBCH_DMRS		dB		
EPRE ratio of PDCCH_DMRS to SSS		dB		
EPRE ratio of PDCCH to PDCCH_DMRS		dB		
EPRE ratio of PDSCH_DMRS to SSS		dB		
EPRE ratio of PDSCH to PDSCH_DMRS		dB		
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	3	Power of SSB with index 0 is set to be above configured <i>rsrp-ThresholdSSB</i>
	$N_{oc}$	dBm/15kHz	-98	
			-101	
	$\hat{E}_s / N_{oc}$	dB	3	
SS-RSRP Note 3		dBm/ SCS	-95	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	-17	Power of SSB with index 1 is set to be below configured <i>rsrp-ThresholdSSB</i>
	$N_{oc}$	dBm/15kHz	-98	
			-101	
	$\hat{E}_s / N_{oc}$	dB	-17	
SS-RSRP Note 3		dBm/ SCS	-115	
Io Note 2	Config 1	dBm	-65.3/9.36MHz	For symbols without SSB index 1
	Config 2		-62.2/38.16MHz	
ss-PBCH-BlockPower		dBm/ SCS	-5	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{C\text{MAX}, f_c}$ )		dBm	23	As defined in clause 6.2.4 in TS 38.101-1.
PRACH Configuration			FR1 PRACH configuration 1	As defined in A.3.x.
Propagation Condition		-	AWGN	
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.				
Note 2: SS-RSRP, Es/lot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.				
Note 3: Void				
Note 4: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.				

#### A.6.3.2.2.1.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

##### A.6.3.2.2.1.2.1 Random Access Preamble Transmission

To test the UE behavior specified in Subclause 6.2.2.2.1.1 the System Simulator shall receive the Random Access Preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *rsrp-ThresholdSSB*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.6.3.2.2.1.2.2 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.1.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.6.3.2.2.1.2.3 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.1.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.6.3.2.2.1.2.4 Receiving an UL grant for msg3 retransmission

To test the UE behavior specified in subclause 6.2.2.2.1.4 the System Simulator shall provide an UL grant for msg3 retransmission following a successful Random Access Response.

The UE shall re-transmit the msg3 upon the reception of an UL grant for msg3 retransmission.

#### A.6.3.2.2.1.2.5 Reception of an Incorrect Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element *not* matching the CCCH SDU transmitted in msg3 uplink message.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

#### A.4.3.2.2.1.2.6 Reception of a Correct Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element matching the CCCH SDU transmitted in the msg3 uplink message.

The UE shall send ACK if the Contention Resolution is successful.

#### A.6.3.2.2.1.2.7 Contention Resolution Timer expiry

To test the UE behavior specified in Subclause 6.2.2.2.1.6 the System Simulator shall *not* send a response to a msg3.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if the Contention Resolution Timer expires.

### A.6.3.2.2 Non-Contention based random access test in FR1 for NR standalone

#### A.6.3.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.2 and Clause 7.1.2 in an AWGN model.

For this test one cell is used and configured as PCell in FR1. Supported test parameters are shown in Table A.6.3.2.2.1-1. UE capable of SA with PCell in FR1 needs to be tested by using the parameters in Table A.6.3.2.2.1-2 for SSB-based non-contention based random access test (Test 1) and CSI-RS-based non-contention based random access test (Test 2).

**Table A.6.3.2.2.1-1: Supported test configurations for non-contention based random access test in FR1 for NR standalone**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.6.3.2.2.2.1-2: General test parameters for non-contention based random access test in FR1 for NR Standalone**

Parameter		Unit	Test-1	Test-2	Comments
SSB Configuration	Config 1		SSB pattern 1 in FR1	SSB pattern 1 in FR1	As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below
	Config 2		SSB pattern 2 in FR1	SSB pattern 2 in FR1	
Number of SSBs per SS-burst			2	2	Different from the definition in A.3.10
SS/PBCH block index			0,1	0,1	Different from the definition in A.3.10
CSI-RS Configuration	Config 1		N/A	CSI-RS.1.1 FDD	As defined in A.3.1.4
	Config 2			CSI-RS.2.1 TDD	
Duplex Mode for Cell 2	Config 1		FDD	FDD	
	Config 2		TDD	TDD	
TDD Configuration	Config 2		TDDConf.1.2	TDDConf.1.2	
OCNG Pattern <sup>Note 1</sup>			OCNG pattern 1	OCNG pattern 1	As defined in A.3.2.1.
PDSCH parameters <sup>Note 4</sup>	Config 1		SR.1.1 FDD	SR.1.1 FDD	As defined in A.3.1.1.
	Config 2		SR.2.1 TDD	SR.2.1 TDD	
NR RF Channel Number			1	1	
EPRE ratio of PSS to SSS		dB	0	0	Power of SSB with index 0 is set to be above configured <i>rsrp-ThresholdSSB</i>
EPRE ratio of PBCH_DMRS to SSS		dB			
EPRE ratio of PBCH to PBCH_DMRS		dB			
EPRE ratio of PDCCH_DMRS to SSS		dB			
EPRE ratio of PDCCH to PDCCH_DMRS		dB			
EPRE ratio of PDSCH_DMRS to SSS		dB			
EPRE ratio of PDSCH to PDSCH_DMRS		dB			
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	3	3	Power of SSB with index 0 is set to be above configured <i>rsrp-ThresholdSSB</i>
	$N_{oc}$	dBm/15kHz	-98	-98	
			-101	-101	
	$\hat{E}_s / N_{oc}$	dB	3	3	
SS-RSRP <sup>Note 3</sup>		dBm/ SCS	-95	-95	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	-17	-17	Power of SSB with index 1 is set to be below configured <i>rsrp-ThresholdSSB</i>
	$N_{oc}$	dBm/15kHz	-98	-98	
			-101	-101	
	$\hat{E}_s / N_{oc}$	dB	-17	-17	
	SS-RSRP <sup>Note 3</sup>	dBm/ SCS	-115	-115	
Io <sup>Note 2</sup>	Config 1	dBm	-65.3/9.36MHz	-65.3/9.36MHz	For symbols without SSB index 1
	Config 2		-62.2/38.16MHz	-62.2/38.16MHz	
ss-PBCH-BlockPower		dBm/ SCS	-5	-5	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{CMAX, f_c}$ )		dBm	23	23	As defined in clause 6.2.4 in TS 38.101-1.
PRACH Configuration			FR1 PRACH configuration 2	FR1 PRACH configuration 3	As defined in A.3.8.2.
Propagation Condition		-	AWGN	AWGN	
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.					

- Note 2: SS-RSRP, Es/Iot and Io levels have been derived from other parameters for information purpose. They are not settable parameters.
- Note 3: Void
- Note 4: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.

#### A.6.3.2.2.2.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

##### A.6.3.2.2.2.2.1 SSB-based Random Access Preamble Transmission

In Test-1, to test the UE behavior specified in Subclause 6.2.2.2.2.1 for SSB-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the SSB with index 0.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.6.3.2.2.2.2.2 CSI-RS-based Random Access Preamble Transmission

In Test-2, to test the UE behavior specified in Subclause 6.2.2.2.2.1 for CSI-RS-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with CSI-RSs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the CSI-RS configured.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the CSI-RS configured, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-OccasionList*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.6.3.2.2.2.2.3 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.2.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.6.3.2.2.2.4 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window configured in *RACH-ConfigCommon*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be -30 dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-1 [18]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-1 [18].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

### A.6.3.2.3 SA: RRC Connection Release with Redirection

#### A.6.3.2.3.1 Redirection from NR in FR1 to NR in FR1

##### A.6.3.2.3.1.1 Test Purpose and Environment

This test is to verify RRC connection release with redirection from NR to NR requirements specified in clause 6.2.3.2.1.

##### A.6.3.2.3.1.2 Test Parameters

Supported test configurations are shown in table A.6.3.2.3.1.2-1. The time delay is tested by using the parameters in table A.6.3.2.3.1.2-2, and A.6.3.2.3.1.2-3.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. The “*RRCConnectionRelease*” message containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2.

**Table A.6.3.2.3.1.2-1: Redirection from NR to NR test configurations**

Config	Description
1	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Target cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	Source cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Target cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations

**Table A.6.3.2.3.1.2-2: General test parameters for Redirection from NR to NR test case**

Parameter	Unit	Value	Comment
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
Time offset between cells		3 µs	Synchronous cells
T1	s	5	
T2	s	1	

**Table A.6.3.2.3.1.2-3: Cell specific test parameters for Redirection from NR to NR test case**

Parameter	Unit	Cell 1		Cell 2	
		T1	T2	T1	T2
NR RF Channel Number			1		2
Duplex mode	Config 1			FDD	
	Config 2,3			TDD	
TDD configuration	Config 1			Not Applicable	
	Config 2			TDDConf.1.1	
	Config 3			TDDConf.2.1	
BW <sub>channel</sub>	Config 1	MHz		10: N <sub>RB,c</sub> = 52	
	Config 2			10: N <sub>RB,c</sub> = 52	
	Config 3			40: N <sub>RB,c</sub> = 106	
BWP BW	Config 1	MHz		10: N <sub>RB,c</sub> = 52	
	Config 2			10: N <sub>RB,c</sub> = 52	
	Config 3			40: N <sub>RB,c</sub> = 106	
DRx Cycle	ms		Not Applicable		
PDSCH Reference measurement channel	Config 1			SR.1.1 FDD	
	Config 2			SR.1.1 TDD	
	Config 3			SR2.1 TDD	
CORESET Reference Channel	Config 1			CR.1.1 FDD	
	Config 2			CR.1.1 TDD	

	Config 3		CR2.1 TDD								
OCNG Patterns			OCNG pattern 1								
SMTC configuration	Config 1,2		SMTC.1 FR1								
	Config 3		SMTC.2 FR1								
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz	15 kHz								
	Config 3		30 kHz								
PUCCH/PUSCH subcarrier spacing	Config 1,2	kHz	15 kHz								
	Config 3		30 kHz								
PRACH configuration			FR1 PRACH configuration 1								
BWP configuraiton	Initial DL BWP		DLBWP.0.1								
	Dedicated DL BWP		DLBWP.1.1								
	Initial UL BWP		ULBWP.0.1								
	Dedicated UL BWP		ULBWP.1.1								
EPRE ratio of PSS to SSS		dB	0								
EPRE ratio of PBCH DMRS to SSS											
EPRE ratio of PBCH to PBCH DMRS											
EPRE ratio of PDCCH DMRS to SSS											
EPRE ratio of PDCCH to PDCCH DMRS											
EPRE ratio of PDSCH DMRS to SSS											
EPRE ratio of PDSCH to PDSCH											
EPRE ratio of OCNG DMRS to SSS (Note 1)											
EPRE ratio of OCNG to OCNG DMRS (Note 1)											
$N_{oc}^{Note2}$		dBm/15kHz	-98								
$N_{oc}^{Note2}$	Config 1,2	dBm/SCS	-98								
	Config 3		-95								
$\hat{E}_s/I_{ot}$		dB	4	4	-infinity	4					
$\hat{E}_s/N_{oc}$		dB	4	4	-infinity	4					
Io <sup>Note3</sup>	Config 1,2	dBm/9.36MHz	-67.11	-67.11	-70.05	-67.11					
	Config 3	dBm/38.16MHz	-62.27	-62.27	-63.96	-62.27					
Propagation condition		-	AWGN								
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.											
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.											
Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.											

#### A.6.3.2.3.1.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [960] ms from the beginning of time period T2. The rate of correct RRC connection release redirection to NR observed during repeated tests shall be at least 90%.

NOTE: The redirection delay can be expressed as:

$$T_{connection\_release\_redirect\_NR} = T_{RRC\_procedure\_delay} + T_{identify-NR} + T_{SI-NR} + T_{RACH},$$

where:

$T_{RRC\_procedure\_delay} = [110]$  ms and is specified in clause 12 in TS 38.331 [2].

$T_{identify-NR} = [680]$  ms in the test.

$T_{SI-NR} = 0$  ms is assumed, since the UE is provided with the SI (including MIB and all relevant SIBs) of the target NR cell before the RRC connection is released by the old NR cell.

$T_{RACH} = [170]$  ms in the test.

This gives a total of [960] ms.

#### A.6.3.2.3.2 Redirection from NR in FR1 to E-UTRAN

##### A.6.3.2.3.2.1 Test Purpose and Environment

This test is to verify RRC connection release with redirection from NR to E-UTRAN requirements specified in clause 6.2.3.2.2.

##### A.6.3.2.3.2.2 Test Parameters

Supported test configurations are shown in table A.6.3.2.3.2.2-1. The time delay is tested by using the parameters in table A.6.3.2.3.2.2-2, A.6.3.2.3.2.2-3 and A.6.3.2.3.2.2-4.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. The “*RRCConnectionRelease*” message containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2.

**Table A.6.3.2.3.2.2-1: Redirection from NR to E-UTRAN test configurations**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD
6	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.3.2.3.2.2-2: General test parameters for Redirection from NR to E-UTRAN test case**

Parameter	Unit	Value	Comment
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
Time offset between cells		3 $\mu$ s	Synchronous cells
T1	s	5	
T2	s	1	

**Table A.6.3.2.3.2.2-3: Cell specific test parameters for Redirection from NR to E-UTRAN (cell 1)**

Parameter	Unit	Cell 1	
		T1	T2

RF Channel Number			1
Duplex mode	Config 1		FDD
	Config 2,3		TDD
TDD configuration	Config 1		Not Applicable
	Config 2		TDDConf.1.1
	Config 3		TDDConf.2.1
BW channel	Config 1	MHz	10: $N_{RB,c} = 52$
	Config 2		10: $N_{RB,c} = 52$
	Config 3		40: $N_{RB,c} = 106$
BWP BW	Config 1	MHz	10: $N_{RB,c} = 52$
	Config 2		10: $N_{RB,c} = 52$
	Config 3		40: $N_{RB,c} = 106$
DRx Cycle	ms		Not Applicable
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD
	Config 2		SR.1.1 TDD
	Config 3		SR2.1 TDD
CORESET Reference Channel	Config 1		CR.1.1 FDD
	Config 2		CR.1.1 TDD
	Config 3		CR2.1 TDD
OCNG Patterns			OCNG pattern 1
SMTC configuration	Config 1,2		SMTC.1 FR1
	Config 3		SMTC.2 FR1
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz	15 kHz
	Config 3		30 kHz
PUCCH/PUSCH subcarrier spacing	Config 1,2	kHz	15 kHz
	Config 3		30 kHz
PRACH configuration			FR1 PRACH configuration 1
BWP configuraiton	Initial DL BWP		DLBWP.0.1
	Dedicated DL BWP		DLBWP.1.1
	Initial UL BWP		ULBWP.0.1
	Dedicated UL BWP		ULBWP.1.1
EPRE ratio of PSS to SSS		dB	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			0
EPRE ratio of OCNG to OCNG DMRS (Note 1)			

$N_{oc}$ <sup>Note2</sup>	dBm/15kHz	-98	
$N_{oc}$ Note2	Config 1,2 Config 3	dBm/SCS	-98
			-95
$\hat{E}_s/I_{ot}$	dB	4	4
$\hat{E}_s/N_{oc}$	dB	4	4
Io <sup>Note3</sup>	Config 1,2	dBm/ 9.36MHz	-67.11
	Config 3	dBm/ 38.16MHz	-62.27
Propagation condition	-	AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>			

Table A.6.3.2.3.2.2-4: Cell specific test parameters for Redirection from NR to E-UTRAN (cell 2)

Parameter	Unit	Configuration	Cell 2	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6	2	
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6	6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6	1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	
PRACH Configuration <sup>Note2</sup>		1, 2, 3	4	
		4, 5, 6	53	
PDSCH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3	5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6	5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note3</sup>		1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD	
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				

PCFICH_RB					
PHICH_RA					
PHICH_RB					
PDCCH_RA					
PDCCH_RB					
PDSCH_RA					
PDSCH_RB					
OCNG_RA <sup>Note4</sup>					
OCNG_RB <sup>Note4</sup>					
N <sub>oc</sub> <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6		-98	
Ē <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	4	
Ē <sub>s</sub> /I <sub>ot</sub> <sup>Note6</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	4	
RSRP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-94	
SCH_RP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-94	
I <sub>o</sub> <sup>Note6</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-70.22	-64.76	
Propagation Condition		1, 2, 3, 4, 5, 6		AWGN	

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  
Note 2: PRACH configurations are specified in table 5.7.1-2 and table 5.7.1-3 in TS 36.211 [23].  
Note 3: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  
Note 4: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
Note 5: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
Note 6: Ē<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  
Note 7: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].

#### A.6.3.2.3.2.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [925] ms from the beginning of time period T2. The rate of correct RRC connection release redirection to E-UTRAN observed during repeated tests shall be at least 90%.

NOTE: The redirection delay can be expressed as:

$$T_{connection\_release\_redirect\_E-UTRA} = T_{RRC\_procedure\_delay} + T_{identify-E-UTRA} + T_{SI-E-UTRA} + T_{RACH},$$

where:

T<sub>RRC\_procedure\_delay</sub> = [110] ms and is specified in clause 12 in TS 38.331 [2].

T<sub>identify-NR</sub> = [800] ms in the test.

T<sub>SI-NR</sub> = 0 ms is assumed, since the UE is provided with the SI (including MIB and all relevant SIBs) of the target E-UTRAN cell before the RRC connection is released by the old NR cell.

T<sub>RACH</sub> = [15] ms in the test.

This gives a total of [925] ms.

## A.6.4 Timing

### A.6.4.1 UE transmit timing

#### A.6.4.1.1 NR UE Transmit Timing Test for FR1

##### A.6.4.1.1.1 Test Purpose and environment

The purpose of this test is to verify that the UE can follow frame timing change of the connected gNodeb and that the UE initial transmit timing accuracy, maximum amount of timing change in one adjustment, minimum and maximum adjustment rate are within the specified limits. This test will verify the requirements in clause 7.1.2.

Supported test configurations are shown in Table 6.4.1.1.1-1

**Table A.6.4.1.1.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	NR FDD, SSB SCS 15 kHz, data SCS 15 kHz, BW 10 MHz
2	NR TDD, SSB SCS 15 kHz, data SCS 15 kHz, BW 10 MHz
3	NR TDD, SSB SCS 30 kHz, data SCS 30 kHz, BW 40 MHz
Note:	The UE is only required to be tested in one of the supported test configurations in FR1 depending on UE capability.

For this test a single NR cell is used. Table A.6.4.1.1.1-2 defines the parameters to be configured and strength of the transmitted signals. The transmit timing is verified by the UE transmitting SRS using the configuration defined in Table A.6.4.1.1.1-3.

**Table A.6.4.1.1.1-2: Cell Specific Test Parameters for UL Transmit Timing test**

Parameter	Unit	Config	Test1	Test2
E-UTRA Channel Number		1,2,3	1	1
NR Channel Number		1,2,3	2	2
TDD configuration		1	Not Applicable	
		2	TDDConf.1.1	
		3	TDDConf.1.2	
BW <sub>channel</sub>	MHz	1	10: N <sub>RB,c</sub> = 52	
		2	10: N <sub>RB,c</sub> = 52	
		3	40: N <sub>RB,c</sub> = 106	
Initial BWP Configuration		1,2,3	DLBWP.0.1 ULBWP.0.1	
Dedicated BWP Configuration		1,2,3	DLBWP.1.1 ULBWP.1.1	
DRx Cycle	ms	1,2,3	N/A	DRX.5 <sup>Note5</sup>
PDSCH Reference measurement channel		1	SR.1.1 FDD	
		2	SR.1.1 TDD	
		3	SR.2.1 TDD	
RMSI CORESET Reference Channel		1	CR.1.1 FDD	
		2	CR.1.1 TDD	
		3	CR.2.1 TDD	
Dedicated CORESET Reference Channel		1	CCR.1.1 FDD	
		2	CCR.1.1 TDD	
		3	CCR.2.1 TDD	

OCNG Patterns		1,2,3	OP.1	
SSB configuration		1,2	SSB.1 FR1	
		3	SSB.2 FR1	
S		1	S	
		3	S	
EPRE ratio of PSS to SSS	dB	1,2,3	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$N_{oc}^{Note2}$	dBm/15 kHz	1,2,3	-98	-98
$N_{oc}^{Note2}$	dBm/SCS	1,2	-98	-98
		3	-95	-95
$\hat{E}_s/I_{ot}$		1,2,3	3	3
$\hat{E}_s/N_{oc}$		1,2,3	3	3
SS-RSRP <sup>Note3</sup>	dBm/SCS	1,2	-95	-95
		3	-92	-92
Io <sup>Note3</sup>	dBm/9.36MHz	1,2	-65.2	-65.2
		3	-59.2	-59.2
Propagation condition		1,2,3	AWGN	
SRS Config		1,2,3	Config1 <sup>Note6</sup>	Config2 <sup>Note6</sup>

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

Note 5: DRx related parameters are given in Table A.3.3.5-1

Note 6: SRS configs are given in Table A.6.4.1.1.1-3

**Table A.6.4.1.1.1-3: SRS Configuration for Timing Accuracy Test**

	<b>Field</b>	<b>Config1</b>	<b>Config 2</b>	<b>Comments</b>
SRS-ResourceSet	srs-ResourceSetId	0	0	
	srs-ResourceIdList	0	0	
	resourceType	Periodic	Periodic	
	Usage	Codebook	Codebook	
SRS-Resource	SRS-ResourceId	0	0	
	nrofSRS-Ports	Port1	Port1	
	transmissionComb	n2	n2	
	combOffset-n2	0	0	
	cyclicShift-n2	0	0	
	resourceMapping startPosition	0	0	
	resourceMapping nrofSymbols	n1	n1	
	resourceMapping repetitionFactor	n1	n1	
	freqDomainPosition	0	0	
	freqDomainShift	0	0	
	freqHopping c-SRS	sl1	sl1	
	freqHopping b-SRS	0	0	
	freqHopping b-hop	0	0	
	groupOrSequenceHopping	Neither	Neither	
	resourceType	Periodic	Periodic	
	periodicityAndOffset-p	sl1, 0	sl640, 0	Offset to align with DRx periodicity
	sequenceld	0	0	Any 10 bit number

**Table A.6.4.1.1.1-4: Void**

### A.6.4.1.1.2      Test requirements

The test sequence shall be carried out in RRC\_CONNECTED for every test case.

Following will be the test sequence for this test

- 1) Setup NR PCell according to parameters given in Table A.6.4.1.1.1-1.
- 2) After connection set up with the cell, the test equipment will verify that the timing of the NR cell is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB.
  - a. The  $N_{TA}$  offset value (in  $T_c$  units) is 25600
  - b. The  $T_e$  values depend on the DL and UL SCS for which the test is being run and are given in Table 7.1.2-1
- 3) The test system shall adjust the timing of the DL path by values given in Table A.6.4.1.1.2-1

**Table A.6.4.1.1.2-1: Adjustment Value for DL Timing**

SCS of SSB signals (KHz)	<b>Adjustment Value</b>	
	Test1	Test2
15	+64*64T <sub>c</sub>	+32*64T <sub>c</sub>
30	+32*64T <sub>c</sub>	+16*64T <sub>c</sub>

- 4) The test system shall verify that the adjustment step size and the adjustment rate shall be according to requirements specified in clause 7.1.2 Table 7.1.2-3 until the UE transmit timing offset is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  respective to the first detected path (in time) of DL SSB. Skip this step for test 2 with DRX configured.
- 5) The test system shall verify that the UE transmit timing offset stays within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB. For Test 2 the UE transmit timing offset shall be verified for the first transmission in the DRX cycle immediately after DL timing adjustment

### A.6.4.2 UE timer accuracy

### A.6.4.3 Timing advance

#### A.6.4.3.1 SA FR1 timing advance adjustment accuracy

##### A.6.4.3.1.1 Test Purpose and Environment

The purpose of the test is to verify UE Timing Advance adjustment delay and accuracy requirement defined in clause 7.3.

##### A.6.4.3.1.2 Test Parameters

Supported test configurations are shown in table A.6.4.3.1.2-1. Both timing advance adjustment delay and accuracy are tested by using the parameters in table A.6.4.3.1.2-2, A.6.4.3.1.2-3 and A.6.4.3.1.2-4.

In all test cases, single cell is used. Each test consists of two successive time periods, with time duration of T1 and T2 respectively. In each time period, timing advance commands are sent to the UE and Sounding Reference Signals (SRS), as specified in table A.6.4.3.1.2-3, are sent from the UE and received by the test equipment. By measuring the reception of the SRS, the transmit timing, and hence the timing advance adjustment accuracy, can be.

During time period T1, the test equipment shall send one message with a Timing Advance Command MAC Control Element, as specified in Clause 6.1.3.4 in TS 38.321 [7]. The Timing Advance Command value shall be set to 31, which according to Clause 4.2 in TS 38.213 [3] results in zero adjustment of the Timing Advance. In this way, a reference value for the timing advance used by the UE is established.

During time period T2, the test equipment shall send a sequence of messages with Timing Advance Command MAC Control Elements, with Timing Advance Command value specified in table A.6.4.3.1.2-2. This value shall result in changes of the timing advance used by the UE, and the accuracy of the change shall then be measured, using the SRS sent from the UE.

As specified in Clause 7.3.2.1, the UE adjusts its uplink timing at slot n+k for a timing advance command received in slot n. This delay must be taken into account when measuring the timing advance adjustment accuracy, via the SRS sent from the UE.

The UE Time Alignment Timer, described in Clause 5.2 in TS 38.321 [7], shall be configured so that it does not expire in the duration of the test.

**Table A.6.4.3.1.2-1: Timing advance supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.4.3.1.2-2: General test parameters for timing advance**

Parameter	Unit	Value	Comment
RF channel number		1	
Initial DL BWP		DLBWP.0.1	As specified in Table A.3.9.2.1-1
Dedicated DL BWP		DLBWP.1.1	As specified in Table A.3.9.2.2-1
Initial UL BWP		ULBWP.0.1	As specified in Table A.3.9.3.1-1
Dedicated UL BWP		ULBWP.1.1	As specified in Table A.3.9.3.2-1
Timing Advance Command ( $T_A$ ) value during T1		31	$N_{TA\_new} = N_{TA\_old}$ for the purpose of establishing a reference value from which the timing advance adjustment accuracy can be measured during T2
Timing Advance Command ( $T_A$ ) value during T2		39	$N_{TA\_new} = N_{TA\_old} + 8192 * T_c$ (based on equation in clause 4.2 of TS 38.213 [3])
T1	s	5	
T2	s	5	

**Table A.6.4.3.1.2-3: Cell specific test parameters for timing advance**

Parameter	Unit	Test1	
		T1	T2
Duplex mode		FDD	
		TDD	
TDD configuration		Not Applicable	
		TDDConf.1.1	
		TDDConf.2.1	
BW <sub>channel</sub>	MHz	10: $N_{RB,c} = 52$	
		10: $N_{RB,c} = 52$	
		40: $N_{RB,c} = 106$	
BWP BW	MHz	10: $N_{RB,c} = 52$	
		10: $N_{RB,c} = 52$	
		40: $N_{RB,c} = 106$	
DRx Cycle	ms	Not Applicable	
PDSCH Reference measurement channel		SR.1.1 FDD	
		SR.1.1 TDD	
		SR2.1 TDD	
CORESET Reference Channel		CR.1.1 FDD	
		CR.1.1 TDD	
		CR2.1 TDD	
TRS configuration		TRS.1.1 FDD	
		TRS.1.1 TDD	
		TRS.1.2 TDD	
OCNG Patterns		OCNG pattern 1	
SMTc configuration		SMTc.1 FR1	
		SMTc.2 FR1	
PDSCH/PDCCH subcarrier spacing	kHz	15 kHz	
		30 kHz	
PUCCH/PUSCH subcarrier spacing	kHz	15 kHz	
		30 kHz	
EPRE ratio of PSS to SSS	dB		
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS (Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)		0	

$N_{oc}$ <sup>Note2</sup>	dBm/15kHz	-98
$N_{oc}$ Note2	Config 1,2	-98
	Config 3	-95
$\hat{E}_s / I_{ot}$	dB	3
$\hat{E}_s / N_{oc}$	dB	3
$Io^{Note3}$	Config 1,2	-dBm/ 9.36MHz
	Config 3	-dBm/ 38.16MHz
Propagation condition	-	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table A.6.4.3.1.2-4: Sounding Reference Symbol Configuration for timing advance**

Field	Value	Comment
c-SRS	Config 1,2	Frequency hopping is disabled
	Config 3	
b-SRS	0	
b-hop	0	
freqDomainPosition	0	Frequency domain position of SRS
freqDomainShift	0	
groupOrSequenceHopping	neither	No group or sequence hopping
SRS-PeriodicityAndOffset	s15=0	Once every 5 slots
pathlossReferenceRS	ssb-Index=0	SSB #0 is used for SRS path loss estimation
usage	nonCodebook	Non-codebook based UL transmission
startPosition	0	resourceMapping setting. SRS on last symbol of slot, and 1symbols for SRS without repetition.
nrofSymbols	n1	
repetitionFactor	n1	
combOffset-n2	0	transmissionComb setting
cyclicShift-n2	0	
nrofSRS-Ports	port1	Number of antenna ports used for SRS transmission

Note: For further information see clause 6.3.2 in TS 38.331 [2].

### A.6.4.3.1.3 Test Requirements

The UE shall apply the signalled Timing Advance value to the transmission timing at the designated activation time i.e.  $k+1$  slots after the reception of the timing advance command, where:

$k = 4$  for Config 1, 2, and

$k = 7$  for Config 3

The Timing Advance adjustment accuracy shall be within the limits specified in clause 7.3.2.2.

The rate of correct Timing Advance adjustments observed during repeated tests shall be at least 90%.

## A.6.5 Signaling characteristics

### A.6.5.1 Radio link Monitoring

In the following clause, any uplink signal transmitted by the UE is used for detecting the In-/Out-of-Sync state of the UE. In terms of measurement, the uplink signal is verified on the basis of the UE output power:

For intra-band contiguous carrier aggregation, transmit OFF power is measured as the mean power per component carrier.

For UE with multiple transmit antennas, transmit OFF power is measured as the mean power at each transmit connector.

- UE output power higher than Transmit OFF power [-50] dBm (as defined in TS 38.101-1 [18]) means uplink signal
- UE output power equal to or less than Transmit OFF power [-50] dBm (as defined in TS 38.101-1 [18]) means no uplink signal.

#### A.6.5.1.1 Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with SSB-based RLM RS in non-DRX mode

##### A.6.5.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell. This test will partly verify the FR1 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.6.5.1.1.1-1. The test parameters are given in Tables A.6.5.1.1.1-2, A.6.5.1.1.1-3, and A.6.5.1.1.1-4 below. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.6.5.1.1.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. The UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 1.

**Table A.6.5.1.1.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD, SSB SCS 15 kHz, data SCS 15 kHz, BW 10 MHz
2	TDD, SSB SCS 15 kHz, data SCS 15 kHz, BW 10 MHz
3	TDD, SSB SCS 30 kHz, data SCS 30 kHz, BW 40 MHz
Note:	The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.1.1.1-2: General test parameters for FR1 out-of-sync testing in non-DRX mode**

Parameter	Unit	Value
		Test 1
Active PCell		Cell 1
RF Channel Number		1
Duplex mode	Config 1	FDD
	Config 2, 3	TDD
BW <sub>channel</sub>	Config 1	MHz 10: N <sub>RB,c</sub> = 52
	Config 2	

	Config 3		40: $N_{RB,C} = 106$
DL initial BWP configuration	Config 1, 2, 3		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3		DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2, 3		ULBWP.1.1
TDD Configuration	Config 1		Not Applicable
	Config 2		TDDConf.1.1
	Config 3		TDDConf.2.1
CORESET Reference Channel	Config 1		CR.1.1 FDD
	Config 2		CR.1.1 TDD
	Config 3		CR.2.1 TDD
SSB Configuration	Config 1		SSB.1 FR1
	Config 2		SSB.1 FR1
	Config 3		SSB.2 FR1
SMTC Configuration	Config 1, 2		SMTC.1
	Config 3		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2		15 KHz
	Config 3		30 KHz
PRACH Configuration	Config 1, 2		Table A.3.8.2.4-1
	Config 3		Table A.3.8.2.4-1
SSB index assigned as RLM RS			0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			gp0
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1, 4		[CSI-RS.1.3 FDD]
	Config 2, 5		[CSI-RS.1.3 TDD]
	Config 3, 6		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s		1
T2	s		0.6
T3	s		0.6

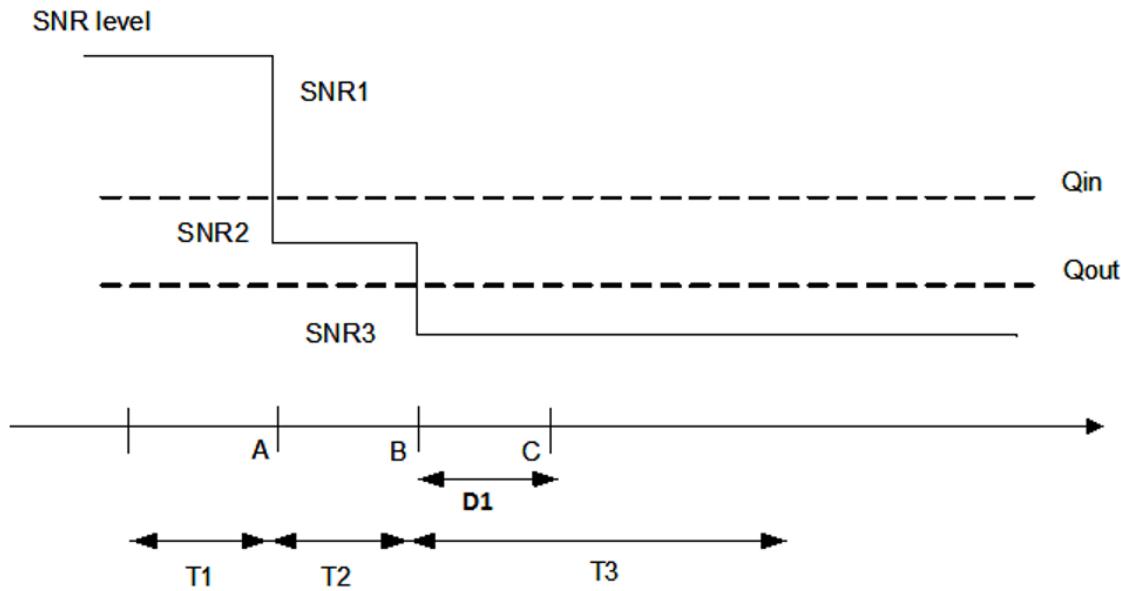
D1	s	0.44
Note 1: All configurations are assigned to the UE prior to the start of time period T1.		
Note 2: UE-specific PDCCH is not transmitted after T1 starts.		

**Table A.6.5.1.1.1-3: Cell specific test parameters for FR1 (Cell 1) for out-of-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1					
		T1	T2	T3			
EPRE ratio of PDCCH DMRS to SSS	dB		4				
EPRE ratio of PDCCH to PDCCH DMRS	dB		0				
EPRE ratio of PBCH DMRS to SSS	dB						
EPRE ratio of PBCH to PBCH DMRS	dB						
EPRE ratio of PSS to SSS	dB						
EPRE ratio of PDSCH DMRS to SSS	dB			0			
EPRE ratio of PDSCH to PDSCH DMRS	dB						
EPRE ratio of OCNG DMRS to SSS	dB						
EPRE ratio of OCNG to OCNG DMRS	dB						
SNR	Config 1	dB	1	-7			
	Config 2		1	-7			
	Config 3		1	-7			
$N_{oc}$	Config 1	dBm/ 15KH z	-98				
	Config 2		-98				
	Config 3		-98				
Propagation condition		TDL-C 300ns 100Hz					
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.							
Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.							
Note 4: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in Figure A.6.5.1.1-1.							
Note 5: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.							

**Table A.6.5.1.1.1-4: Measurement gap configuration for out-of-sync tests in non-DRX mode**

Field	Test 1	
	Value	
gapOffset	0	
Note: Ensure that RLM RS is partially overlapped with measurement gap		



**Figure A.6.5.1.1-1: SNR variation for out-of-sync testing**

#### A.6.5.1.1.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.1.2 Radio Link Monitoring In-sync Test for FR1 PCell configured with SSB-based RLM RS in non-DRX mode

##### A.6.5.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell. This test will partly verify the FR1 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.6.5.1.2.1-1. The test parameters are given in Tables A.6.5.1.2.1-2, and A.6.5.1.2.1-3 below. There is one cell (Cell 1), which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.1.2.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms.

**Table A.6.5.1.2.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD, SSB SCS 15 KHz, data SCS 15 kHz, BW 10 MHz
2	TDD, SSB SCS 15 KHz, data SCS 15 kHz, BW 10 MHz
3	TDD, SSB SCS 30 KHz, data SCS 30KHz, BW 40 MHz
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.6.5.1.2.1-2: General test parameters for FR1 in-sync testing in non-DRX mode**

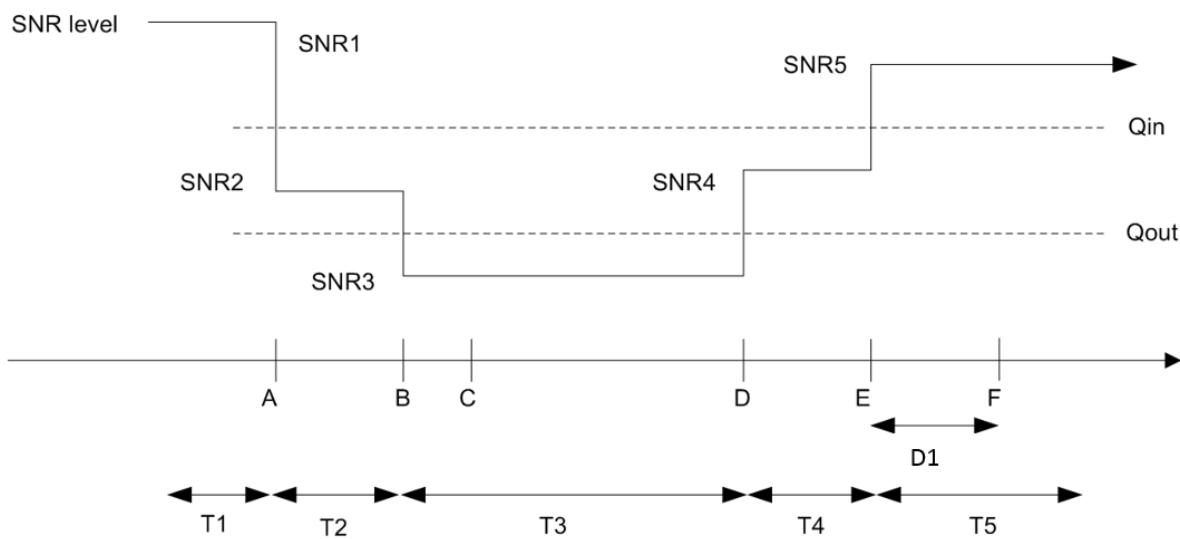
Parameter	Unit	Value
		Test 1
Active PCell		Cell 1
RF Channel Number		1
Duplex mode	Config 1	FDD
	Config 2, 3	TDD
	Config 1	MHz 10: $N_{RB,c} = 52$ 10: $N_{RB,c} = 52$ 40: $N_{RB,c} = 106$
BW <sub>channel</sub>	Config 2	
	Config 3	
DL initial BWP configuration	Config 1, 2, 3	DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3	DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3	ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2, 3	ULBWP.1.1
TDD Configuration	Config 1	Not Applicable
	Config 2	TDDConf.1.1
	Config 3	TDDConf.2.1
CORESET Reference Channel	Config 1	CR.1.1 FDD
	Config 2	CR.1.1 TDD
	Config 3	CR.2.1 TDD
SSB Configuration	Config 1	SSB.1 FR1
	Config 2	SSB.1 FR1
	Config 3	SSB.2 FR1
SMTC Configuration	Config 1, 2	SMTC.1
	Config 3	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2	15 KHz
	Config 3	30 KHz
PRACH Configuration	Config 1, 2	Table A.3.8.2.4-1
	Config 3	Table A.3.8.2.4-1
SSB index assigned as RLM RS		0
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
In sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE 4
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB 0

	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			<i>OFF</i>
Gap pattern ID			N.A.
Layer 3 filtering			<i>Enabled</i>
T310 timer	ms	2000	
T311 timer	ms	1000	
N310		1	
N311		1	
CSI-RS configuration	Config 1		[CSI-RS.1.3 FDD]
	Config 2		[CSI-RS.1.3 TDD]
	Config 3		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s	0.5	
T2	s	0.4	
T3	s	1.46	
T4	s	0.4	
T5	s	1	
D1	s	0.42	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			

**Table A.6.5.1.2.1-3: Cell specific test parameters for FR1 (Cell 1) for in-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
EPRE ratio of PDCCH DMRS to SSS	dB			4							
EPRE ratio of PDCCH to PDCCH DMRS	dB			0							
EPRE ratio of PBCH DMRS to SSS	dB										
EPRE ratio of PBCH to PBCH DMRS	dB										
EPRE ratio of PSS to SSS	dB										
EPRE ratio of PDSCH DMRS to SSS	dB			0							
EPRE ratio of PDSCH to PDSCH DMRS	dB										
EPRE ratio of OCNG DMRS to SSS	dB										
EPRE ratio of OCNG to OCNG DMRS	dB										
SNR	Config 1	dB	1	-7	-15	-4.5					
	Config 2		1	-7	-15	-4.5					
	Config 3		1	-7	-15	-4.5					
$N_{oc}$	Config 1	dBm/ 15 KHz			-98						
	Config 2				-98						
	Config 3				-98						
Propagation condition		TDL-C 300ns 100Hz									
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.										
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.										
Note 4:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in Figure A.6.5.1.2.1-1.										
Note 5:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 and T4 is modified as specified in clause A.3.6.										

**Table A.6.5.1.2.1-4: Void**



**Figure A.6.5.1.2.1-1: SNR variation for in-sync testing**

### A.6.5.1.2.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.6.5.1.3 Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with SSB-based RLM RS in DRX mode

#### A.6.5.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell when DRX is used. This test will partly verify the FR1 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.6.5.1.3.1-1. The test parameters are given in Tables A.6.5.1.3.1-2, and A.6.5.1.3.1-3. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.6.5.1.3.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 2.

**Table A.6.5.1.3.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD, SSB SCS 15 kHz, data SCS 15 kHz, BW 10 MHz
2	TDD, SSB SCS 15 kHz, data SCS 15 kHz, BW 10 MHz
3	TDD, SSB SCS 30 kHz, data SCS 30 kHz, BW 40 MHz
Note:	The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.1.3.1-2: General test parameters for FR1 out-of-sync testing in DRX mode**

Parameter	Unit	Value	
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1	FDD	
	Config 2, 3	TDD	
	Config 1	MHz	10: $N_{RB,c} = 52$
BW <sub>channel</sub>	Config 2		10: $N_{RB,c} = 52$
	Config 3		40: $N_{RB,c} = 106$
DL initial BWP configuration	Config 1, 2, 3	DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2, 3	DLBWP.1.1	
UL initial BWP configuration	Config 1, 2, 3	ULBWP.0.1	

UL dedicated BWP configuration	Config 1, 2, 3		ULBWP.1.1
TDD Configuration	Config 1		Not Applicable
	Config 2		TDDConf.1.1
	Config 3		TDDConf.2.1
CORESET Reference Channel	Config 1		CR.1.1 FDD
	Config 2		CR.1.1 TDD
	Config 3		CR.2.1 TDD
SSB Configuration	Config 1		SSB.1 FR1
	Config 2		SSB.1 FR1
	Config 3		SSB.2 FR1
SMTC Configuration	Config 1, 2		SMTC.1
	Config 3		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2		15 KHz
	Config 3		30 KHz
PRACH Configuration	Config 1, 2		Table A.3.8.2.4-1
	Config 3		Table A.3.8.2.4-1
SSB index assigned as RLM RS			0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			[DRX.4]
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		[CSI-RS.1.3 FDD]
	Config 2		[CSI-RS.1.3 TDD]
	Config 3		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s		4
T2	s		73
T3	s		73
D1	s		62.44
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			

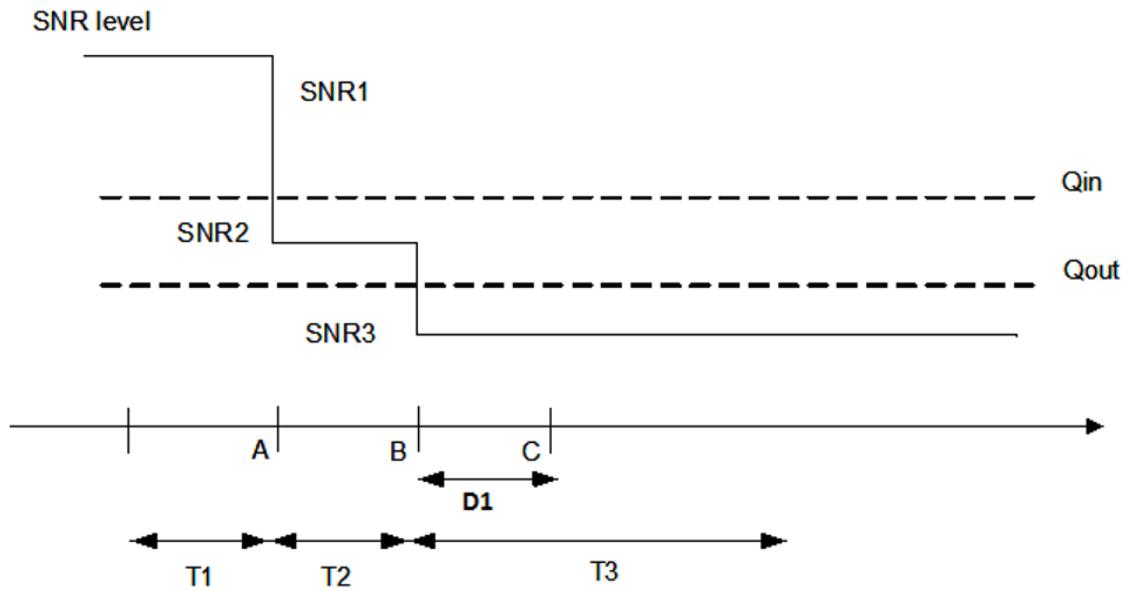
**Table A.6.5.1.3.1-3: Cell specific test parameters for FR1 (Cell 1) for out-of-sync radio link monitoring tests in DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3		
EPRE ratio of PDCCH DMRS to SSS	dB		4			
EPRE ratio of PDCCH to PDCCH DMRS	dB		0			
EPRE ratio of PBCH DMRS to SSS	dB					
EPRE ratio of PBCH to PBCH DMRS	dB					
EPRE ratio of PSS to SSS	dB			0		
EPRE ratio of PDSCH DMRS to SSS	dB					
EPRE ratio of PDSCH to PDSCH DMRS	dB					
EPRE ratio of OCNG DMRS to SSS	dB					
EPRE ratio of OCNG to OCNG DMRS	dB					
SNR	Config 1	dB	1	-7		
	Config 2		1	-7		
	Config 3		1	-7		
$N_{oc}$	Config 1	dBm/15 KHz		-98		
	Config 2			-98		
	Config 3			-98		
Propagation condition			TDL-C 300ns 100Hz			
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.					
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.					
Note 4:	The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in Figure A.6.5.1.3.1-1.					
Note 5:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.					

**Table A.6.5.1.3.1-4: Void**

**Table A.6.5.1.3.1-5: Void**

**Table A.6.5.1.3.1-6: Void**



**Figure A.6.5.1.3.1-1: SNR variation for out-of-sync testing**

#### A.6.5.1.3.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.1.4 Radio Link Monitoring In-sync Test for FR1 PCell configured with SSB-based RLM RS in DRX mode

##### A.6.5.1.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell when DRX is used. This test will partly verify the FR1 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.6.5.1.4.1-1. The test parameters are given in Tables A.6.5.1.4.1-2, and A.6.5.1.4.1-3. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.1.4.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.6.5.1.4.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD, SSB SCS 15 KHz, data SCS 15 kHz, BW 10 MHz
2	TDD, SSB SCS 15 KHz, data SCS 15 kHz, BW 10 MHz
3	TDD, SSB SCS 30 KHz, data SCS 30KHz, BW 40 MHz

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.1.4.1-2: General test parameters for FR1 in-sync testing in DRX mode**

Parameter	Unit	Value	
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1	FDD	
	Config 2, 3	TDD	
	Config 1	MHz	10: $N_{RB,C} = 52$
BW <sub>channel</sub>	Config 2		10: $N_{RB,C} = 52$
	Config 3		40: $N_{RB,C} = 106$
DL initial BWP configuration	Config 1, 2, 3	DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2, 3	DLBWP.1.1	
UL initial BWP configuration	Config 1, 2, 3	ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2, 3	ULBWP.1.1	
TDD Configuration	Config 1	Not Applicable	
	Config 2	TDDConf.1.1	
	Config 3	TDDConf.2.1	
CORESET Reference Channel	Config 1	CR.1.1 FDD	
	Config 2	CR.1.1 TDD	
	Config 3	CR.2.1 TDD	
SSB Configuration	Config 1	SSB.1 FR1	
	Config 2	SSB.1 FR1	
	Config 3	SSB.2 FR1	
SMTC Configuration	Config 1, 2	SMTC.1	
	Config 3	SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2	15 KHz	
	Config 3	30 KHz	
PRACH Configuration	Config 1, 2	Table A.3.8.2.4-1	
	Config 3	Table A.3.8.2.4-1	
SSB index assigned as RLM RS		0	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
In sync transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	

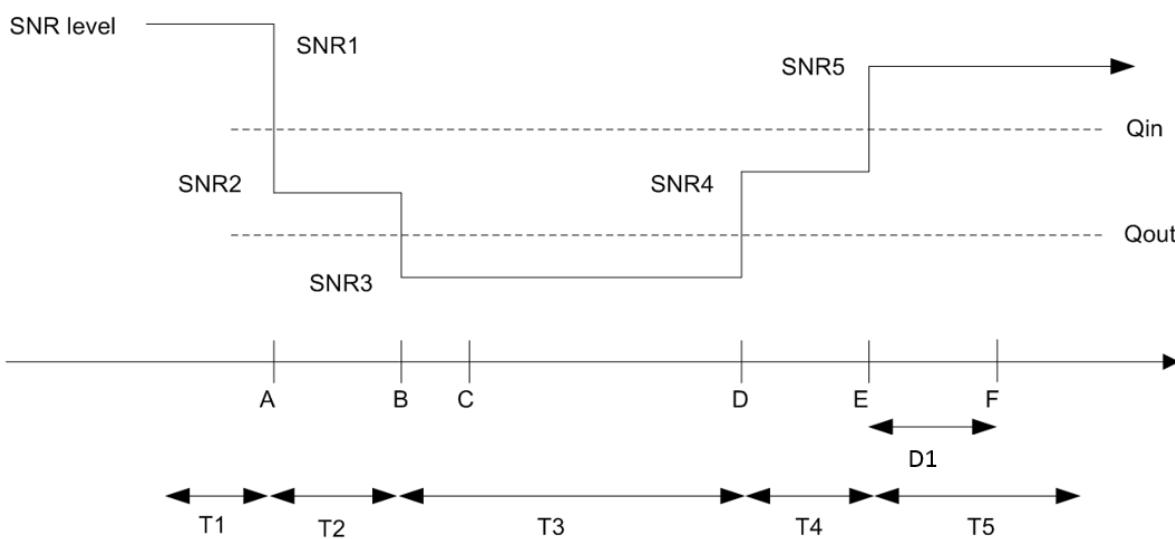
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
	DRX Configuration		Table A.3.3.3-1
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms	2000	
T311 timer	ms	1000	
N310		1	
N311		1	
CSI-RS configuration	Config 1		[CSI-RS.1.3 FDD]
	Config 2		[CSI-RS.1.3 TDD]
	Config 3		[CSI-RS.2.3 TDD]
CSI-RS for tracking	Config 1, 4		[TRS.1.1 FDD]
	Config 2, 5		[TRS.1.1 TDD]
	Config 3, 6		[TRS.1.2 TDD]
T1	s	4	
T2	s	1.6	
T3	s	1.36	
T4	s	0.4	
T5	s	1.4	
D1	s	1	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			

**Table A.6.5.1.4.1-3: Cell specific test parameters for FR1 (Cell 1) for in-sync radio link monitoring tests in DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3	T4	T5
EPRE ratio of PDCCH DMRS to SSS	dB			4		
EPRE ratio of PDCCH to PDCCH DMRS	dB			0		
EPRE ratio of PBCH DMRS to SSS	dB					
EPRE ratio of PBCH to PBCH DMRS	dB					
EPRE ratio of PSS to SSS	dB			0		
EPRE ratio of PDSCH DMRS to SSS	dB					
EPRE ratio of PDSCH to PDSCH DMRS	dB					
EPRE ratio of OCNG DMRS to SSS	dB					
EPRE ratio of OCNG to OCNG DMRS	dB					
SNR	Config 1	dB	1	-7	-15	-4.5
	Config 2		1	-7	-15	-4.5
	Config 3		1	-7	-15	-4.5
$N_{oc}$	Config 1	dBm/15 KHz			-98	
	Config 2				-98	
	Config 3				-98	
Propagation condition						TDL-C 300ns 100Hz
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.					
Note 3:	SNR levels correspond to the signal to noise ratio over the SSS REs.					
Note 4:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in Figure A.6.5.1.4.1-1.					
Note 5:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 and T4 is modified as specified in clause A.3.6.					

**Table A.6.5.1.4.1-4: Void**

**Table A.6.5.1.4.1-5: Void**



**Figure A.6.5.1.4.1-1: SNR variation for in-sync testing.**

#### A.6.5.1.4.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.1.5 Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with CSI-RS-based RLM in non-DRX mode

##### A.6.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR1 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.6.5.1.5.1-1, A.6.5.1.5.1-2, A.6.5.1.5.1-3, and A.6.5.1.5.1-3A below. There is one cell, cell 1 which is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.6.5.1.5.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test.

**Table A.6.5.1.5.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30kHz SSB SCS, 40 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.1.5.1-2: General test parameters for FR1 PCell for CSI-RS out-of-sync testing in non-DRX mode**

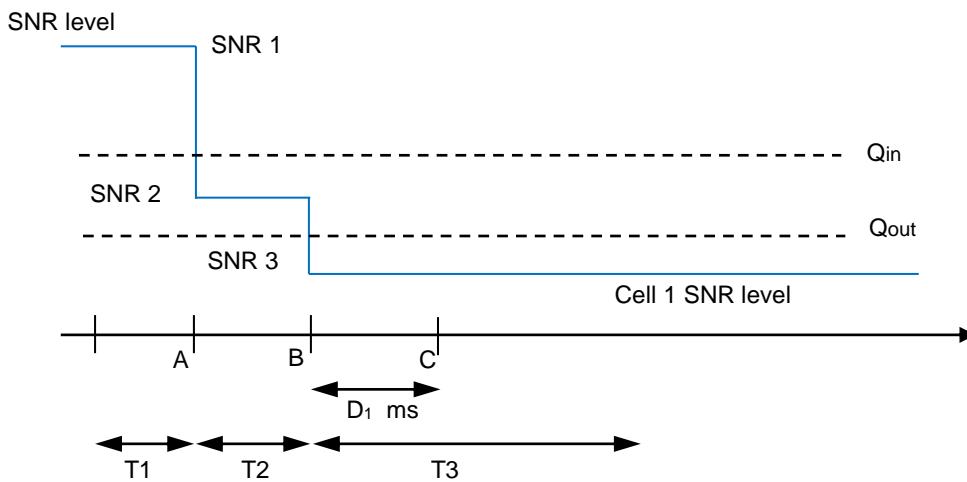
Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		FDD
	Config 2, 3		TDD
	Config 1		Not Applicable
TDD Configuration	Config 2		TDDConf.1.1
	Config 3		TDDConf.2.1
	Config 1		CR.1.1 FDD
CORESET Reference Channel	Config 2		CR.1.1 TDD
	Config 3		CR.2.1 TDD
SSB Configuration	Config 1		SSB.1 FR1
	Config 2		SSB.1 FR1
	Config 3		SSB.2 FR1
SMTC Configuration	Config 1, 2		SMTC.1
	Config 3		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2		15 KHz
	Config 3		30 KHz
csi-RS-Index assigned as RLM RS			[0]
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			*[gp0]
Layer 3 filtering			Enabled
T310 timer		ms	0
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.1.2 FDD
	Config 2		CSI-RS.1.2 TDD
	Config 3		CSI-RS.2.2 TDD
T1		s	1
T2		s	0.4
T3		s	[0.6]
D1		s	[0.44]
Note 1: UE-specific PDCCH is not transmitted after T1 starts.			

**Table A.6.5.1.5.1-3: Cell specific test parameters for FR1 for CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1					
		T1	T2	T3			
PDCCH_beta	dB		4				
PDCCH_DMRS_beta	dB		4				
PBCH_beta	dB						
PSS_beta	dB						
SSS_beta	dB		0				
PDSCH_beta	dB						
OCNG_beta	dB						
SNR	Config 1	dB	1	-7			
	Config 2		1	-7			
	Config 3		1	-7			
$N_{oc}$	Config 1	dBm/15KHz		-98			
	Config 2			-98			
	Config 3			-98			
Propagation condition		TDL-C 300ns 100Hz					
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.							
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.							
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.							
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.							
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.							
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.							
Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.6.5.1.5.1-1.							
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].							

**Table A.6.5.1.5.1-3A: Measurement gap configuration for FR1 CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Field	Test 2
	Value
gapOffset	[0]
Note 1: E-UTRAN PCell and PSCell are SFN-synchronous and frame boundary aligned. (Ensure that RLM RS is partially overlapped with measurement gap)	

**Table A.6.5.1.5.1-4: Void****Figure A.6.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.6.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 no later than time point C ( $D_1 = [TBD]$  ms after the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.1.6 Radio Link Monitoring In-sync Test for FR1 PCell configured with CSI-RS-based RLM in non-DRX mode

##### A.6.5.1.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR1 PCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.6.5.1.6.1-1, A.6.5.1.6.1-2, and A.6.5.1.6.1-3 below. There is one cells, cell 1 which is the PCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.1.6.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.6.5.1.6.1-1: Supported test configurations for FR1 PCell**

<b>Configuration</b>	<b>Description</b>
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30kHz SSB SCS, 40 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.1.6.1-2: General test parameters for FR1 PCell for CSI-RS in-sync testing in non-DRX mode**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
		<b>Test 1</b>
Active PCell		Cell 1
RF Channel Number		1
Duplex mode	Config 1	FDD
	Config 2, 3	TDD
TDD Configuration	Config 1	Not Applicable
	Config 2	TDDConf.1.1
	Config 3	TDDConf.2.1
CORESET Reference Channel	Config 1	CR.1.1 FDD
	Config 2	CR.1.1 TDD
	Config 3	CR.2.1 TDD
SSB Configuration	Config 1	SSB.1 FR1
	Config 2	SSB.1 FR1
	Config 3	SSB.2 FR1
SMTC Configuration	Config 1, 2	SMTC.1
	Config 3	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2	15 KHz
	Config 3	30 KHz
csi-RS-Index assigned as RLM RS		[0]
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
		4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB
		4
In sync transmission parameters	DMRS precoder granularity	REG bundle size
	REG bundle size	6
	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
		0
Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0

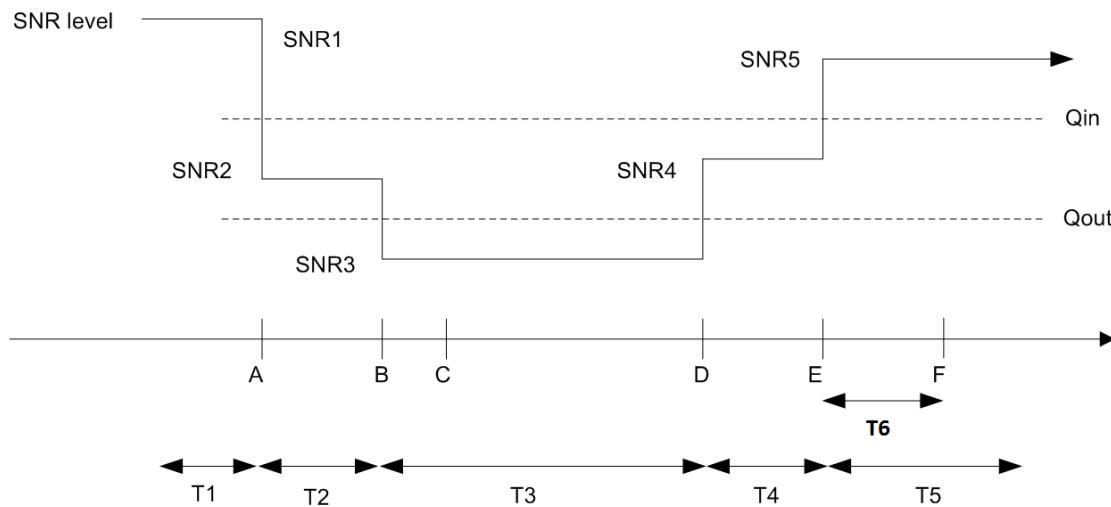
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.1.2 FDD
	Config 2		CSI-RS.1.2 TDD
	Config 3		CSI-RS.2.2 TDD
T1	s		1
T2	s		0.4
T3	s		[0.6]
D1	s		[0.24]

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

**Table A.6.5.1.6.1-3: Cell specific test parameters for FR1 for CSI-RS in-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
PDCCH_beta	dB			4							
PDCCH_DMRS_beta	dB			4							
PBCH_beta	dB										
PSS_beta	dB										
SSS_beta	dB			0							
PDSCH_beta	dB										
OCNG_beta	dB										
SNR	dB	1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
$N_{oc}$	dBm/15KHz			-98							
				-98							
				-98							
Propagation condition		TDL-C 300ns 100Hz									
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.											
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.											
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.											
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.											
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.											
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.											
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.											
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.6.5.1.6.1-1.											
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].											

**Table A.6.5.1.6.1-4: Void**



**Figure A.6.5.1.6.1-1: SNR variation for CSI-RS in-sync testing**

#### A.6.5.1.6.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.1.7 Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with CSI-RS-based RLM in DRX mode

##### A.6.5.1.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when DRX is used. This test will partly verify the FR1 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.6.5.1.7.1-1, A.6.5.1.7.1-2, and A.6.5.1.7.1-3 below. There is one cell, cell 1 is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.6.5.1.7.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.6.5.1.7.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30kHz SSB SCS, 40 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.1.7.1-2: General test parameters for FR1 PCell for CSI-RS out-of-sync testing in DRX mode**

Parameter	Unit	Value	
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode		FDD	
		TDD	
TDD Configuration		Not Applicable	
		TDDConf.1.1	
		TDDConf.2.1	
CORESET Reference Channel		CR.1.1 FDD	
		CR.1.1 TDD	
		CR.2.1 TDD	
SSB Configuration		SSB.1 FR1	
		SSB.1 FR1	
		SSB.2 FR1	
SMTC Configuration		SMTC.1	
		SMTC.1	
PDSCH/PDCCH subcarrier spacing		15 KHz	
		30 KHz	
csi-RS-Index assigned as RLM RS		[0]	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Out of sync transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		DRX.7	
Gap pattern ID		N.A.	
Layer 3 filtering		Enabled	
T310 timer	ms	0	
T311 timer	ms	1000	
N310		1	
N311		1	
CSI-RS configuration	Config 1	CSI-RS.1.2 FDD	
	Config 2	CSI-RS.1.2 TDD	
	Config 3	CSI-RS.2.2 TDD	

T1	s	1
T2	s	0.4
T3	s	[0.6]
D1	s	[0.24]

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

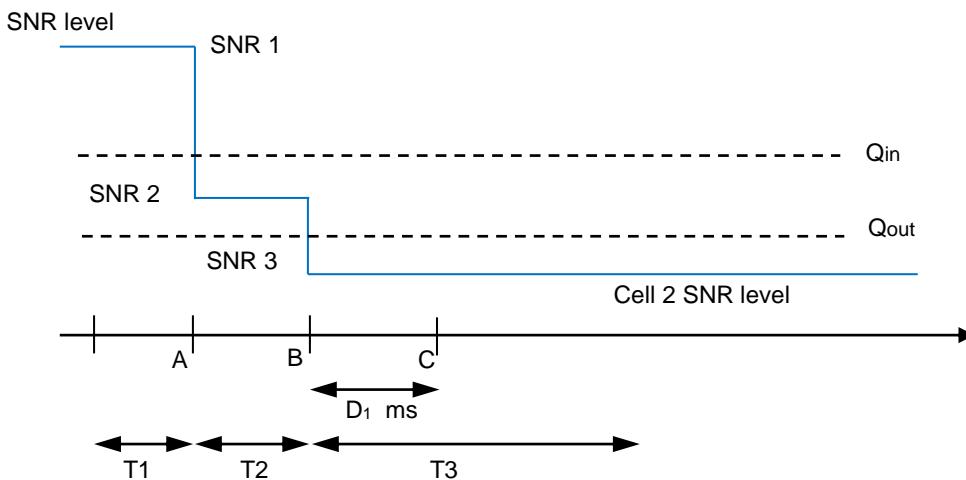
**Table A.6.5.1.7.1-3: Cell specific test parameters for FR1 for CSI-RS out-of-sync radio link monitoring in DRX mode**

Parameter	Unit	Test 1		
		T1	T2	T3
PDCCH_beta	dB		4	
PDCCH_DMRS_beta	dB		4	
PBCH_beta	dB			
PSS_beta	dB			
SSS_beta	dB		0	
PDSCH_beta	dB			
OCNG_beta	dB			
SNR	Config 1	dB	1	-7
	Config 2		1	-7
	Config 3		1	-7
$N_{oc}$	Config 1	dBm/15KHz	-98	
	Config 2		-98	
	Config 3		-98	
Propagation condition			TDL-C 300ns 100Hz	
<p>Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.</p> <p>Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.</p> <p>Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.</p> <p>Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.</p> <p>Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.</p> <p>Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.</p> <p>Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.6.5.1.7.1-1.</p> <p>Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].</p>				

**Table A.6.5.1.7.1-4: Void**

**Table A.6.5.1.7.1-5: Void**

**Table A.6.5.1.7.1-6: Void**



**Figure A.6.5.1.7.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.6.5.1.7.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on PCell.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 (PCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 (PCell) no later than time point C ( $D_1 = [TBD]$  ms after the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.1.8 Radio Link Monitoring In-sync Test for FR1 PCell configured with CSI-RS-based RLM in DRX mode

##### A.6.5.1.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when DRX is used. This test will partly verify the FR1 PCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.6.5.1.8.1-1, A.6.5.1.8.1-2, A.6.5.1.8.1-3 and A.6.5.1.8.1-3A below. There is one cells, cell 1 which is the PCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.1.8.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 7.

**Table A.6.5.1.8.1-1: Supported test configurations for FR1 PSCell**

<b>Configuration</b>	<b>Description</b>
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30kHz SSB SCS, 40 MHz bandwidth
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.6.5.1.8.1-2: General test parameters for FR1 PCell for CSI-RS in-sync testing in non-DRX mode**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>
		<b>Test 1</b>
Active PCell		Cell 1
RF Channel Number		1
Duplex mode	Config 1	FDD
	Config 2, 3	TDD
TDD Configuration	Config 1	Not Applicable
	Config 2	TDDConf.1.1
	Config 3	TDDConf.2.1
CORESET Reference Channel	Config 1	CR.1.1 FDD
	Config 2	CR.1.1 TDD
	Config 3	CR.2.1 TDD
SSB Configuration	Config 1	SSB.1 FR1
	Config 2	SSB.1 FR1
	Config 3	SSB.2 FR1
SMTC Configuration	Config 1, 2	SMTC.1
	Config 3	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2	15 KHz
	Config 3	30 KHz
csi-RS-Index assigned as RLM RS		[0]
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB
	DMRS precoder granularity	
	REG bundle size	REG bundle size
In sync transmission parameters	REG bundle size	6
	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB

	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			DRX.7
Gap pattern ID			*[gp0]
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.1.2 FDD
	Config 2		CSI-RS.1.2 TDD
	Config 3		CSI-RS.2.2 TDD
T1	s		1
T2	s		0.4
T3	s		[0.6]
D1	s		[0.44]

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

**Table A.6.5.1.8.1-3: Cell specific test parameters for FR1 for CSI-RS in-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1									
		T1	T2	T3	T4	T5					
PDCCH_beta	dB			4							
PDCCH_DMRS_beta	dB			4							
PBCH_beta	dB										
PSS_beta	dB										
SSS_beta	dB			0							
PDSCH_beta	dB										
OCNG_beta	dB										
SNR	dB	1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
		1	-7	-15	-4.5	1					
$N_{oc}$	dBm/15KHz			-98							
				-98							
				-98							
Propagation condition		TDL-C 300ns 100Hz									
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.											
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.											
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.											
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.											
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.											
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.											
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.											
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.6.5.1.8.1-1.											
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].											

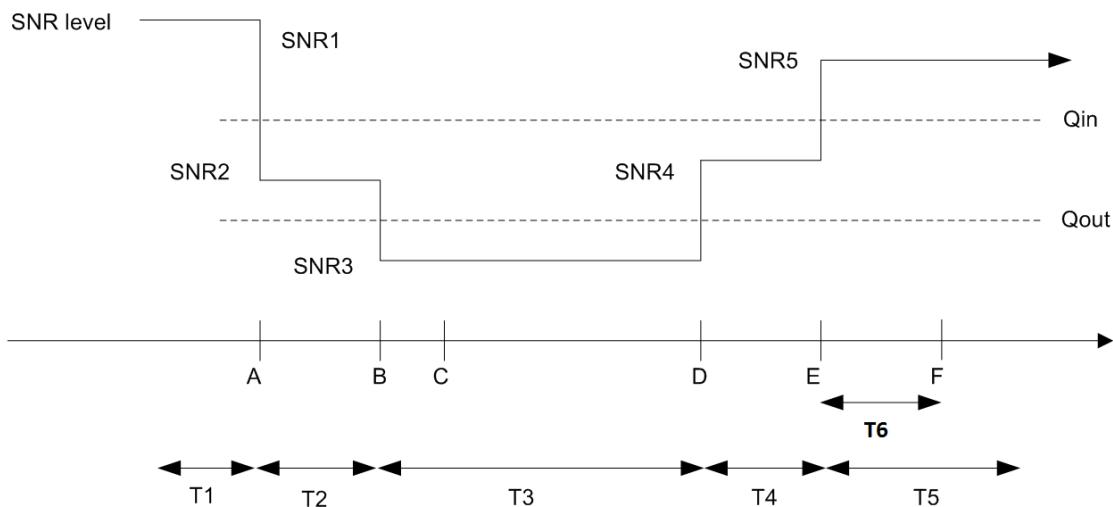
**Table A.6.5.1.8.1-3A: Measurement gap configuration for FR1 CSI-RS in-sync radio link monitoring in non-DRX mode**

Field	Test 8
Value	
gapOffset	[0]
Note 1: RLM RS is partially overlapped with measurement gap	

**Table A.6.5.1.8.1-4: Void**

**Table A.6.5.1.8.1-5: Void**

**Table A.6.5.1.8.1-6: Void**



**Figure A.6.5.1.8.1-1: SNR variation for CSI-RS in-sync testing**

### A.6.5.1.8.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

## A.6.5.2 Interruption

### A.6.5.2.1 Interruptions during measurements on deactivated NR SCC in FR1

#### A.6.5.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE missed ACK/NACK rate does not exceed the limits at NR PSCell interruptions during the measurement on the deactivated NR SCC. This test will verify the missed ACK/NACK rate for PCell in standalone NR specified in clause 8.2.2.2. Supported test configurations are shown in table A.6.5.2.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.6.5.2.1.1-2 and A 6.5.2.1.1-3 below. In the test there are two cells: Cell1 and Cell2. Cell1 is PCell, Cell2 is an NR deactivated SCell. Cell1 shall be configured as PCell and Cell2 shall be configured as SCell.

The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received at the UE antenna connector defines the start of time period T1. During T1, PCell is continuously scheduled in DL.

**Table A.6.5.2.1.1-1: Interruptions during measurements on deactivated NR SCC supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD – FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD – TDD duplex mode
3	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD – FDD duplex mode
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD – TDD duplex mode
5	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD – TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.5.2.1.1-2: General test parameters for interruptions during measurements on deactivated NR SCC in standalone NR**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	Two NR RF channels
Active PCell		Cell1	PCell on NR RF channel number 1.
Configured deactivated SCell		Cell2	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to Cell1 and Cell 2
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.6.5.2.1.1-3: NR cell specific test parameters for interruptions during measurements on deactivated NR SCC in standalone NR**

Parameter	Unit	Cell1	Cell2
Frequency Range		FR1	FR1
Duplex mode	Config 1	FDD	FDD
	Config 2,5		TDD
	Config 3		FDD
	Config 4		TDD
TDD configuration	Config 1	Not Applicable	Not Applicable
	Config 2	TDDConf.1.1	TDDConf.1.1
	Config 3	TDDConf.1.1	Not Applicable
	Config 4	Not Applicable	TDDConf.1.1
	Config 5	TDDConf.1.2	TDDConf.1.2
BW <sub>channel</sub>	Config 1,2,3,4	10 MHz: N <sub>RB,c</sub> = 52	10 MHz: N <sub>RB,c</sub> = 52
	Config 5	40 MHz: N <sub>RB,c</sub> = 106	40 MHz: N <sub>RB,c</sub> = 106
Initial BWP Configuration		DLBWP.0.2 <sup>Note6</sup>	
PDSCH Reference measurement channel	Config 1	SR.1.1 FDD	SR.1.1 FDD
	Config 2	SR.1.1 TDD	SR.1.1 TDD
	Config 3	SR.1.1 TDD	SR.1.1 FDD
	Config 4	SR.1.1 FDD	SR.1.1 TDD

	Config 5		SR.2.1 TDD	SR.2.1 TDD			
RMSI CORESET parameters	Config 1		CR.1.1 FDD	CR.1.1 FDD			
	Config 2		CR.1.1 TDD	CR.1.1 TDD			
	Config 3		CR.1.1 TDD	CR.1.1 FDD			
	Config 4		CR.1.1 FDD	CR.1.1 TDD			
	Config 5		CR.2.1 TDD	CR.2.1 TDD			
Dedicated CORESET parameters	Config 1,4		CCR.1.1 FDD	CCR.1.1 FDD			
	Config 2,5		CCR.1.1 TDD	CCR.1.1 TDD			
	Config 3,6		CCR.1.1 TDD	CCR.1.1 FDD			
			CCR.1.1 FDD	CCR.1.1 TDD			
			CCR.2.1 TDD	CCR.2.1 TDD			
OCNG Patterns			OP.1	OP.1			
SMTC Configuration			SMTC.1	SMTC.1			
SSB Configuration	Config 1,2,4,5		SSB.1 FR1	SSB.1 FR1			
	Config 3,6		SSB.2 FR1	SSB.2 FR1			
Correlation Matrix and Antenna Configuration			1x2 Low	1x2 Low			
EPRE ratio of PSS to SSS		dB	0	0			
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH							
EPRE ratio of OCNG DMRS to SSS (Note 1)							
EPRE ratio of OCNG to OCNG DMRS (Note 1)							
$N_{oc}$ Note 2		dBm/15 kHz	[ -104 ]	[ -104 ]			
SS-RSRP Note 3		dBm/15 kHz	[ -87 ]	[ -87 ]			
$\bar{E}_s/I_{ot}$		dB	17	17			
$\bar{E}_s/N_{oc}$		dB	17	17			
$N_{oc}$ Note 2	Config 1,2,4,5	dBm/S	[ -104 ]	[ -104 ]			
	Config 3,6		[ -101 ]	[ -101 ]			
Io Note 3	Config 1,2,4,5	dBm/9.36MHz	[ -59 ]	[ -59 ]			
	Config 3,6	dBm/38.16MHz	[ -61.9 ]	[ -61.9 ]			
Time offset to cell1 Note 4		μs	33	33			
Time offset to cell2 Note 5		μs	-	3			
Propagation Condition			AWGN	AWGN			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modeled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							
Note 4: Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells							
Note 5: Receive time difference between slot boundaries of signals received from the two cells at the UE antenna connector including time alignment error between the two cells.							
Note 6: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2 defined in clause 12 of TS 38.213 [3].							

#### A.6.5.2.1.2 Test Requirements

The UE shall be continuously scheduled on PCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on PCell.

The UE is only allowed to cause interruptions immediately before and immediately after an SMTTC. Each interruption on PCell shall not exceed the value defined in Table A.6.5.2.1.2-1 if the PCell is not in the same band as the deactivated SCell or Table A.6.5.2.1.2-2 if the PCell is in the same band as the deactivated SCell.

**Table A.6.5.2.1.2-1: Interruption duration if the PCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1
1	0.5	1

**Table A.6.5.2.1.2-2: Interruption duration if the PCell is in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
0	1	1 + SMTTC duration
1	0.5	2 + SMTTC duration

The rate of correct events observed during repeated tests shall be at least 90%.

### A.6.5.3 SCell Activation and Deactivation Delay

#### A.6.5.3.1 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 160ms SCell measurement cycle

##### A.6.5.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in clause 8.3, when the SCell in FR1 is known by the UE at the time of activation.

The supported test configurations are shown in table A.6.5.3.1.1-1 below. The test parameters are given in Tables A.6.5.3.1.1-2 and cell-specific parameters in A.6.5.3.1.1-3 below. The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are two NR carriers, each with one cell. Both cells have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1, but is not aware of Cell2. The UE is only monitoring the PCC. The UE shall be continuously scheduled in the PCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 2) becomes configured on radio channel 2. The UE now starts monitoring the SCC. The test equipment sends a MAC message for activation of the SCell.

The point in time at which the MAC message is received at the UE antenna connector, in slot # denoted n, defines the start of time period T2. The UE shall be able to report valid CSI in PCell for the activated SCell at latest in slot (n+T<sub>HARQ</sub>+T<sub>activation\_time</sub>+T<sub>CSI\_Report</sub>), as defined in clause 8.3. The UE shall start reporting CSI in PCell in slot (n+T<sub>HARQ</sub>+3ms) and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell interruption due to activation of SCell shall occur in the slot (n+1+[T<sub>HARQ</sub>]+3ms) to (n+1+[T<sub>HARQ</sub>+3ms+T<sub>SSB\_max</sub>+T<sub>SMTTC\_duration</sub>]), as defined in clause 8.3.

Time period T3 starts when a MAC message for deactivation of SCell, sent from the test equipment to the UE in a slot # denoted m, is received at the UE antenna connector. The UE shall carry out deactivation of the SCell in a slot (n+[T<sub>HARQ</sub>+3ms]), as defined in clause 8.3, and any PCell interruption due to the deactivation shall occur in the slot (n+1+[T<sub>HARQ</sub>]) to (n+1+[T<sub>HARQ</sub>+3ms]), as defined in clause 8.3.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PCell during activation and deactivation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell deactivation command is sent until CQI reporting for SCell is discontinued.

**Table A.6.5.3.1.1-1: known FR1 SCell activation in non-DRX for 160ms SCell measurement cycle supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.5.3.1.1-2: General test parameters for known FR1 SCell activation case, 160ms SCell measurement cycle**

Parameter	Unit	Value	Comment
RF Channel Number		1,2	Two NR radio channel (1, 2) are used for this test
Active PCell		Cell 1	Primary cell on NR RF channel number 1.
Configured deactivated SCell		Cell 2	Configured deactivated secondary cell on NR RF channel number 2
CP length		Normal	
DRX		OFF	Continuous monitoring of primary cell
CQI/PMI periodicity and offset configuration index		0	CQI reporting for SCell every second subframe
Cell-individual offset for cells on NR channel number	dB	0	Individual offset for cells on primary component carrier.
SCell measurement cycle (measCycleSCell)	ms	160	
Cell2 timing offset to cell1	μs	0	
Time alignment error between cell2 and cell1	μs	≤ Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1.	The value of time alignment error depends upon the type of carrier aggregation.
T1	s	7	During this time the PSCell shall be known and the SCell configured and detected.
T2	s	1	During this time the UE shall activate the SCell.
T3	s	1	During this time the UE shall deactivate the SCell.
T <sub>HARQ</sub>	Mslot	TBD	k is a number of slots and is indicated by the PDSCH-to-HARQ-timing-indicator field in the DCI format, if present, or provided by <i>dl-DataToUL-ACK</i> , the value of k should be the minimum value defined in TS 38.213 [3] depends on UE's capability
T <sub>CSI_Report</sub>	ms	2	the delay uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2]

**Table A.6.5.3.1.1-3: Cell specific test parameters for known FR1 SCell activation case, 160ms SCell measurement cycle**

Parameter		Unit	T1		T2		T3					
			Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2				
Duplex mode	Config 1				FDD							
	Config 2,3				TDD							
TDD configuration	Config 1				Not applicable							
	Config 2				TDDConf.1.1							
	Config 3				TDDConf.1.2							
BW <sub>channel</sub>	Config 1,2	MHz			10: N <sub>RB,c</sub> = 52							
	Config 3				40: N <sub>RB,c</sub> = 106							
Initial BWP configuration					DLBWP.0.2							
TCI state					TCI.State.0							
TRS Configuration					TRS.1.1 TDD							
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD		SR.1.1 FDD		SR.1.1 FDD					
	Config 2		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD					
	Config 3		SR2.1 TDD		SR2.1 TDD		SR2.1 TDD					
Dedicated CORESET parameters	Config 1		CCR.1.1 FDD		CCR.1.1 FDD		CCR.1.1 FDD					
	Config 2		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD					
	Config 3		CCR2.1 TDD		CCR2.1 TDD		CCR2.1 TDD					
RMSI CORESET parameters	Config 1		CR.1.1 FDD		CR.1.1 FDD		CR.1.1 FDD					
	Config 2		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD					
	Config 3		CR2.1 TDD		CR2.1 TDD		CR2.1 TDD					
OCNG Patterns					OP.1							
SSB Configuration	Config 1,2				SSB.1 FR1							
	Config 3				SSB.2 FR1							
SMTC configuration					SMTC.1							
EPRE ratio of PSS to SSS		dB										
EPRE ratio of PBCH DMRS to SSS												
EPRE ratio of PBCH to PBCH DMRS												
EPRE ratio of PDCCCH DMRS to SSS												
EPRE ratio of PDCCH to PDCCH DMRS												
EPRE ratio of PDSCH DMRS to SSS												
EPRE ratio of PDSCH to PDSCH												
EPRE ratio of OCNG DMRS to SSS(Note 1)												
EPRE ratio of OCNG to OCNG DMRS (Note 1)												
$N_{oc}^{Note2}$	Config 1,2,4,5	dBm/15KHz			-104							

	Config 3,6		-101
$\hat{E}_s/I_{ot}$		dB	17
$\hat{E}_s/N_{oc}$		dB	17
SS-RSRP <sup>Note3</sup>	Config 1,2,4,5	dBm/SCS	-87
	Config 3,6		-84
SCH_RP <sup>Note 3</sup>		dBm/15 kHz	-87
Propagation condition	-		AWGN

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 3: SS-RSRP and SCH\_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.

#### A.6.5.3.1.2 Test Requirements

During T2 the UE shall send the first CSI report for SCell in a slot ( $n+T_{HARQ}+3\text{ms}$ ).

During T2 the UE shall start sending CSI reports for SCell with non-zero CQI index at latest in a slot ( $n+T_{HARQ}+T_{activation\_time}+T_{CSI\_Reporting}$ ),  $T_{activation\_time} = [5 \text{ ms}+T_{SMTC\_SCell}]$ , as defined in clause 8.3.

During T3 the UE shall stop sending CSI reports for SCell at latest in a slot ( $n+ [T_{HARQ}+3\text{ms}]$ ), as defined in clause 8.3.

During T2 interruption of PCell / PSCell during SCell activation shall not happen outside the slot ( $n+1+[T_{HARQ}]$ ) to ( $n+1+[T_{HARQ}+3\text{ms}+T_{SSB\_max}+T_{SMTC\_duration}]$ ), as defined in clause 8.3.

During T3 interruption of PCell / PSCell during SCell deactivation shall not happen outside the slot ( $n+1+[T_{HARQ}]$ ) to ( $m+1+[T_{HARQ}+3\text{ms}]$ ), as defined in clause 8.3.

The interruption on any activated serving cell shall not be more than the values specified for SA in clause 8.2.2.2.2.

All of the above test requirements shall be fulfilled in order for the observed SCell activation delay and SCell deactivation delay to be counted as correct. The rate of correct observed SCell activation delay and SCell deactivation delay during repeated tests shall be at least 90%.

NOTE: During T2 if there are no uplink resources for reporting the valid CSI in a slot ( $n+T_{HARQ}+T_{activation\_time}+T_{CSI\_Reporting}$ ) as defined in clause 8.3 then the UE shall use the next available uplink resource for reporting the corresponding valid CSI.

#### A.6.5.3.2 SCell Activation and deactivation of known SCell in FR1 in non-DRX for 320ms SCell measurement cycle

##### A.6.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.6.5.3.1.1. The supported test configurations are the same as defined in clause A.6.5.3.1.1. The test parameters are the same except those described in the following clause. The listed parameter values in Tables A.6.5.3.2.1-1 will replace the values of corresponding parameters in Tables A.4.5.3.1.1-1.

**Table A.6.5.3.2.1-1: General test parameters for known FR1 SCell activation case, 320ms SCell measurement cycle**

Parameter	Unit	Value	Comment
SCell measurement cycle (measCycleSCell)	ms	320	

### A.6.5.3.2.2 Test Requirements

The test requirements defined in clause A.6.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value  $[T_{SMTC\_MAX}+T_{SMTC\_SCell}+5\text{ms}]$ .

### A.6.5.3.3 SCell Activation and deactivation of unknown SCell in FR1 in non-DRX

#### A.6.5.3.3.1 Test Purpose and Environment

The purpose of this test is to verify that the SCell activation and deactivation times are within the requirements stated in clause 8.3, when the SCell in FR1 is unknown by the UE at the time of activation.

The supported test configurations are the same as defined in clause A.6.5.3.1.1. The test parameters are the same except those described in the following clause. The listed parameter values in Tables A.6.5.3.3.1-1 will replace the values of corresponding parameters in Tables A.6.5.3.1.1-1. The test consists of three successive time periods, with duration of T1, T2 and T3, respectively. There are two NR carriers, each with one cell. Both cells have constant signal levels throughout the test. Before the test starts the UE is connected to Cell 1, but is not aware of Cell2. The UE is only monitoring the PCC. The UE shall be continuously scheduled in the PCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 2) becomes configured on radio channel 2. The UE now starts monitoring the SCC. The test equipment sends a MAC message for activation of the SCell.

The point in time at which the MAC message is received at the UE antenna connector, in slot # denoted n, defines the start of time period T2. The UE shall be able to report valid CSI in PCell for the activated SCell at latest in slot  $(n+T_{HARQ}+T_{activation\_time}+T_{CSI\_Reporting})$ , as defined in clause 8.3. The UE shall start reporting CSI in PCell in slot  $(n+T_{HARQ}+3\text{ms})$  and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell interruption due to activation of SCell shall occur in the slot  $(n+1+[T_{HARQ}]+3\text{ms})$  to  $(n+1+[T_{HARQ}+3\text{ms}+2*T_{SSB\_max}+2*T_{SMTC\_duration}])$ , as defined in clause 8.3.

Time period T3 starts when a MAC message for deactivation of SCell, sent from the test equipment to the UE in a slot # denoted m, is received at the UE antenna connector. The UE shall carry out deactivation of the SCell in a slot  $(n+[T_{HARQ}+3\text{ms}])$ , as defined in clause 8.3, and any PCell interruption due to the deactivation shall occur in the slot  $(n+1+[T_{HARQ}])$  to  $(n+1+[T_{HARQ}+3\text{ms}])$ , as defined in clause 8.3.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PCell during activation and deactivation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

The test equipment verifies the deactivation time by counting the slots from the time when the SCell deactivation command is sent until CQI reporting for SCell is discontinued.

**Table A.6.5.3.3.1-1: General test parameters for unknown FR1 SCell activation case, 160ms SCell measurement cycle**

Parameter	Unit	Value	Comment
T1	ms	100	During this time the PSCell shall be known and the SCell configured, but not detected.

### A.6.5.3.3.2 Test Requirements

The test requirements defined in clause A.6.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value  $[5 \text{ ms} + 2 * T_{SMTC\_MAX} + 2 * T_{SMTC\_SCell}]$  as defined in clause 8.3.

## A.6.5.4 UE UL carrier RRC reconfiguration Delay

### A.6.5.4.1 UE UL carrier RRC reconfiguration Delay

**Table A.6.5.4.1-1 - Table A.6.5.4.1-4 : Void**

#### A.6.5.4.1.1 Test Purpose and Environment

The purpose of this test is to verify that when the UE receives a RRC message implying NR UL or Supplementary UL carrier configuration, the UE shall be ready to start transmission on the newly configured carrier within the time limits specified in clause 8.4.2 and 8.4.3 for configuring and deconfiguring, respectively.

There are two cells: FR1 PCell (cell 1) and FR1 SCell (cell 2). Both NR uplink and supplementary uplink are broadcast by *ServingCellConfigCommonSIB*. The test parameters for PCell and SCell are given in Table A.6.5.4.1.1-1, Table A.6.5.4.1.1-2, Table A.6.5.4.1.1-3 and Table A.6.5.4.1.1-4 below. In test 1, the test consists of three time periods, with duration of T1, T2 and T3 respectively. During time duration T1, NR uplink of cell 2 is configured to UE. At the start of T2, a supplementary uplink of cell 2 is configured to UE through *RRCReconfiguration*, then UE shall start transmission both on the NR uplink and supplementary uplink. At the start of T3, the supplementary uplink is released through *RRCReconfiguration*.

In test 2, the test consists of three time periods, with duration of T1, T2 and T3 respectively. During time duration T1, supplementary uplink on cell 2 is configured to UE. At the start of T2, a NR uplink is configured to UE through *RRCReconfiguration*, then UE shall start transmission both on the NR uplink and supplementary uplink. At the start of T3, the NR uplink is released through *RRCReconfiguration*.

**Table A.6.5.4.1-1: Supported test configurations**

Configuration	PCell (Cell 1)	SCell (Cell 2)
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	DL and UL: 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode; SUL: 15 kHz SCS, 10 MHz bandwidth, SUL duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	DL and UL: 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode; SUL: 15 kHz SCS, 10 MHz bandwidth, SUL duplex mode
3	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	DL and UL: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode; SUL: 30kHz SCS, 40 MHz bandwidth, SUL duplex mode
4	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	DL and UL: 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode; SUL: 15 kHz SCS, 10 MHz bandwidth, SUL duplex mode
5	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	DL and UL: 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode; SUL: 15 kHz SCS, 10 MHz bandwidth, SUL duplex mode
6	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	DL and UL: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode; SUL: 30kHz SCS, 40 MHz bandwidth, SUL duplex mode
7	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	DL and UL: 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode;

		SUL: 15 kHz SCS, 10 MHz bandwidth, SUL duplex mode
8	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	DL and UL: 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode; SUL: 15 kHz SCS, 10 MHz bandwidth, SUL duplex mode
9	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	DL and UL: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode; SUL: 30kHz SCS, 40 MHz bandwidth, SUL duplex mode
Note: The UE is only required to be tested in one of the supported test configurations		

**Table A.6.5.4.1.1-2: General test parameters for NR standalone UE UL carrier RRC reconfiguration Delay on Pcell**

Parameter	Unit	Test configuration	Value	Comment
RF Channel Number		Config 1,2,3, 4, 5, 6, 7, 8, 9	1, 2	Three radio channels are used for these two tests.
Active cell		Config 1,2,3, 4, 5, 6, 7, 8, 9	Cell 1: FR1 PCell Cell 2: FR1 SCell	E-UTRAN PCell on RF channel number 1 FR1 SCell on RF channel number 2
CP length		Config 1,2,3, 4, 5, 6, 7, 8, 9	Normal	
DRX		Config 1,2,3, 4, 5, 6, 7, 8, 9	OFF	
Measurement gap pattern Id		Config 1,2,3, 4, 5, 6, 7, 8, 9	OFF	
Filter coefficient		Config 1,2,3, 4, 5, 6, 7, 8, 9	0	L3 filtering is not used
T1	s	Config 1,2,3, 4, 5, 6, 7, 8, 9	5	
T2	s	Config 1,2,3, 4, 5, 6, 7, 8, 9	5	
T3	s	Config 1,2,3, 4, 5, 6, 7, 8, 9	5	

**Table A.6.5.4.1.1-3: NR Cell specific test parameters for NR standalone UE UL carrier RRC reconfiguration Delay on PCell (Cell 1)**

Parameter	Unit	Test Configuration	Test 1			Test 2		
			T1	T2	T3	T1	T2	T3
Channel number		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	2		2			
TDD configuration		Conf 1, 2, 3	N/A		N/A			
		Conf 4, 5, 6	TDD Conf.1.1		TDD Conf.1.1			
		Conf 7, 8, 9	TDD Conf.2.1		TDD Conf.2.1			
BW <sub>channel</sub>	MHz	Conf 1, 2, 3	10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52			
		Conf 4, 5, 6	10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52			
		Conf 7, 8, 9	40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106			
PDSCH reference measurement channel as defined in A.3.1.1		Conf 1, 2, 3	SR.1.1 FDD		SR.1.1 FDD			
		Conf 4, 5, 6	SR.1.1 TDD		SR.1.1 TDD			
		Conf 7, 8, 9	SR 2.1 TDD		SR 2.1 TDD			
RMSI CORESET reference measurement channel as defined in A.3.1.2		Conf 1, 2, 3	CR.1.1 FDD		CR.1.1 FDD			
		Conf 4, 5, 6	CR.1.1 TDD		CR.1.1 TDD			
		Conf 7, 8, 9	CR.2.1 TDD		CR.2.1 TDD			
RMC CORESET reference measurement channel as defined in A.3.1.3		Conf 1, 2, 3	CCR.1.1 FDD		CCR.1.1 FDD			
		Conf 4, 5, 6	CCR.1.1 TDD		CCR.1.1 TDD			
		Conf 7, 8, 9	CCR.2.1 TDD		CCR.2.1 TDD			
OCNG Pattern <sup>Note 1</sup>		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	OP.1		OP.1			
SSB configuration		Conf 1, 2, 3, 4, 5, 6	SSB.1 FR1		SSB.1 FR1			
		Conf 7, 8, 9	SSB.2 FR1		SSB.2 FR1			
SMTC configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	SMTC.1		SMTC.1			
DL initial BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.0.1		DLBWP.0.1			
DL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.1.1		DLBWP.1.1			
UL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	ULBWP.1.1		ULBWP.1.1			
EPRE ratio of PSS to SSS	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	0		0			
EPRE ratio of PBCH_DMRS to SSS								
EPRE ratio of PBCH to PBCH_DMRS								
EPRE ratio of PDCCH_DMRS to SSS								
EPRE ratio of PDCCH to PDCCH_DMRS								
EPRE ratio of PDSCH_DMRS to SSS								
EPRE ratio of PDSCH to PDSCH_DMRS								

EPRE ratio of OCNG DMRS to SSS														
EPRE ratio of OCNG to OCNG DMRS														
$N_{oc}$ Note 2	dBm / 15kHz	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	-102			-102								
	dBm/ SCS	Conf 1,2,3,4,5,6	-102			-102								
		Conf 7,8,9	-99			-99								
$\hat{E}_s / N_{oc}$	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16	16						
$\hat{E}_s / I_{ot}$ Note 3	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16	16						
SS-RSRP Note 3	dBm/ SCS	Conf 1,2,3,4,5,6	-86	-86	-86	-86	-86	-86						
		Conf 7,8,9	-83	-83	-83	-83	-83	-83						
Io Note 3	dBm/ 9.36 MHz	Conf 1,2,3,4,5,6	-57.9	-57.9	-57.9	-57.9	-57.9	-57.9						
		Conf 7,8,9	-51.8	-51.8	-51.8	-51.8	-51.8	-51.8						
Propagation Condition		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	AWGN			AWGN								
Antenna configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	1 x 2			1 x 2								
NOTE 1: OCNG shall be used such that both cells are fully allocated, and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
NOTE 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
NOTE 3: $\hat{E}_s / I_{ot}$ , Io, and SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														

**Table A.6.5.4.1.1-4: NR Cell specific test parameters for NR standalone UE UL carrier RRC reconfiguration Delay on SCell (Cell 2)**

Parameter	Unit	Test Configuration	Test 1			Test 2		
			T1	T2	T3	T1	T2	T3
Channel number		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	3			3		
TDD configuration		Conf 1, 4, 7	N/A			N/A		
		Conf 2, 5, 8	TDDConf.1.1			TDDConf.1.1		
		Conf 3, 6, 9	TDDConf.2.1			TDDConf.2.1		
BW <sub>channel</sub>	MHz	Conf 1, 4, 7	10: N <sub>RB,c</sub> = 52			10: N <sub>RB,c</sub> = 52		
		Conf 2, 5, 8	10: N <sub>RB,c</sub> = 52			10: N <sub>RB,c</sub> = 52		
		Conf 3, 6, 9	40: N <sub>RB,c</sub> = 106			40: N <sub>RB,c</sub> = 106		
PUSCH parameters for NR UL carrier		Conf 1, 4, 7	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	N/A
		Conf 2, 5, 8	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	N/A
		Conf 3, 6, 9	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]	N/A	G-FR1-A3-7 in [13]	N/A
PUCCH parameters For NR UL carrier		Conf 1, 4, 7	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]	N/A	N/A	N/A
		Conf 2, 5, 8	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]	N/A	N/A	N/A
		Conf 3, 6, 9	Table 8.3.3.1.2-2 in [13]	Table 8.3.3.1.2-2 in [13]	Table 8.3.3.1.2-2 in [13]	N/A	N/A	N/A
PUSCH parameters for supplementary UL		Conf 1, 4, 7	N/A	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]
		Conf 2, 5, 8	N/A	G-FR1-A3-3 in [13]	N/A	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]	G-FR1-A3-3 in [13]
		Conf 3, 6, 9	N/A	G-FR1-A3-7 in [13]	N/A	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]	G-FR1-A3-7 in [13]
PUCCH parameters for supplementary UL		Conf 1, 4, 7	N/A	N/A	N/A	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]
		Conf 2, 5, 8	N/A	N/A	N/A	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]	Table 8.3.3.1.2-1 in [13]
		Conf 3, 6, 9	N/A	N/A	N/A	Table 8.3.3.1.2-2 in [13]	Table 8.3.3.1.2-2 in [13]	Table 8.3.3.1.2-2 in [13]
PDSCH reference measurement channel as defined in A.3.1.1		Conf 1, 4, 7	SR.1.1 FDD			SR.1.1 FDD		
		Conf 2, 5, 8	SR.1.1 TDD			SR.1.1 TDD		
		Conf 3, 6, 9	SR.2.1 TDD			SR.2.1 TDD		
		Conf 1, 4, 7	CR.1.1 FDD			CR.1.1 FDD		

RMSI CORESET reference measurement channel as defined in A.3.1.2		Conf 2, 5, 8	CR.1.1 TDD			CR.1.1 TDD		
		Conf 3, 6, 9	CR.2.1 TDD			CR.2.1 TDD		
RMC CORESET reference measurement channel as defined in A.3.1.3		Conf 1, 4, 7	CCR.1.1 FDD			CCR.1.1 FDD		
		Conf 2, 5, 8	CCR.1.1 TDD			CCR.1.1 TDD		
		Conf 3, 6, 9	CCR.2.1 TDD			CCR.2.1 TDD		
OCNG Pattern <sup>Note 1</sup>		Conf 1, 2, 3	OP.1			OP.1		
SSB configuration		Conf 1, 2, 4, 5, 7, 8	SSB.1 FR1			SSB.1 FR1		
		Conf 3, 6, 9	SSB.2 FR1			SSB.2 FR1		
SMTC configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	SMTC.1			SMTC.1		
DL initial BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.0.1			DLBWP.0.1		
DL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	DLBWP.1.1			DLBWP.1.1		
UL dedicated BWP configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	ULBWP.1.1			ULBWP.1.1		
EPRE ratio of PSS to SSS	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	0			0		
EPRE ratio of PBCH_DMRS to SSS								
EPRE ratio of PBCH to PBCH_DMRS								
EPRE ratio of PDCCH_DMRS to SSS								
EPRE ratio of PDCCH to PDCCH_DMRS								
EPRE ratio of PDSCH_DMRS to SSS								
EPRE ratio of PDSCH to PDSCH_DMRS								
EPRE ratio of OCNG DMRS to SSS								
EPRE ratio of OCNG to OCNG DMRS								
$N_{oc}$ <sup>Note 2</sup>	dBm / 15kHz	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	-102			-102		
	dBm/SCS	Conf 1, 2, 4, 5, 7, 8	-102			-102		
		Conf 3, 6, 9	-99			-99		
$\hat{E}_s / N_{oc}$	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16	16
$\hat{E}_s / I_{ot}$ <sup>Note 3</sup>	dB	Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	16	16	16	16	16	16
SS-RSRP <sup>Note 3</sup>	dBm/SCS	Conf 1, 2, 4, 5, 7, 8	-86	-86	-86	-86	-86	-86
		Conf 3, 6, 9	-83	-83	-83	-83	-83	-83

Io Note 3	dBm/ 9.36 MHz	Conf 1, 2, 4, 5, 7,8	-57.9	-57.9	-57.9	-57.9	-57.9	-57.9
	dBm/ 38.16 MHz	Conf 3, 6, 9	-51.8	-51.8	-51.8	-51.8	-51.8	-51.8
Propagation Condition		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	AWGN			AWGN		
Antenna configuration		Conf 1, 2, 3, 4, 5, 6, 7, 8, 9	1 x 2			1 x 2		
<p>NOTE 1: OCNG shall be used such that both cells are fully allocated, and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>NOTE 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>NOTE 3: <math>\hat{E}_s/I_{ot}</math>, Io, and SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p>								

#### A.6.5.4.1.2 Test Requirements

In test 1 the UE shall be ready to start transmission on the supplementary uplink carrier on SCell within [20]ms from the start of T2.

In test 1 the UE shall stop the transmission on the supplementary uplink carrier on SCell within [20]ms from the start of T3.

In test 2 the UE shall be ready to start transmission on the NR uplink carrier on SCell within [20]ms from the start of T2.

In test 2 the UE shall stop the transmission on the NR uplink carrier on SCell within [20]ms from the start of T3.

All of the above test requirements shall be fulfilled in order for the observed UE UL carrier configuration delay and UE UL carrier release delay to be counted as correct. The rate of correct observed UE UL carrier configuration delay and UE UL carrier release delay during repeated tests shall be at least 90%.

#### A.6.5.4.2 Void

### A.6.5.5 Beam Failure Detection and Link recovery procedures

#### A.6.5.5.1 Beam Failure Detection and Link Recovery Test for FR1 PCell configured with SSB-based BFD and LR in non-DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

##### A.6.5.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.6.5.5.1.1-1, A.6.5.5.1.1-2, A.6.5.5.1.1-3 and A.6.5.5.1.1-4 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.5.1.1-1 shows the variation of the downlink SNR of the SSB in set  $q_0$  in the active cell to emulate SSB based beam failure. Figure A.6.5.5.1.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of

the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.6.5.5.1.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30kHz SSB SCS, 40 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.5.1.1-2: General test parameters for FR1 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PSCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1, 4	FDD	
	Config 2, 3, 5, 6		
BWchannel	Config 1, 4	MHz	10: NRB,c = 52
	Config 2, 5		10: NRB,c = 52
	Config 3, 6		40: NRB,c = 106
DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1
TDD Configuration	Config 1, 4		Not Applicable
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.1.2
CORESET Reference Channel	Config 1, 4		CR. 1.1 FDD
	Config 2, 5		CR. 1.1 TDD
	Config 3, 6		CR. 2.1 TDD
SSB Configuration	Config 1, 4		SSB.1 FR1
	Config 2, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
SMTC Configuration	Config 1, 2, 4, 5		SMTC.1
	Config 3, 6		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5		15 KHz
	Config 3, 6		30 KHz
PRACH Configuration	Config 1, 2, 4, 5		Table A.3.8.2.4-1
	Config 3, 6		Table A.3.8.2.4-1
SSB Index assigned as BFD RS ( $q_0$ )		0	

SSB Index assigned as CBD RS ( $q_1$ )		1	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		OFF	
Gap pattern ID		gp0	
rImInSyncOutOfSyncThreshold		absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm	[-96]	Threshold used for $Q_{out\_LR\_SSB}$
powerControlOffsetSS		db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount		n2	see clause 5.17 of TS 38.321 [7]
beamFailureDetectionTimer		pbfd4	see clause 5.17 of TS 38.321 [7]
CSI-RS configuration	Config 1, 4	[CSI-RS.1.3 FDD]	
	Config 2, 5	[CSI-RS.1.3 TDD]	
	Config 3, 6	[CSI-RS.2.3 TDD]	
CSI-RS for tracking	Config 1, 4	[TRS.1.1 FDD]	
	Config 2, 5	[TRS.1.1 TDD]	
	Config 3, 6	[TRS.1.2 TDD]	
T1	s	1	During this time the the UE shall be fully synchronized to cell 1
T2	s	0.4	
T3	s	[0.6]	
T4	s	[0.4]	
T5	s	[1.4]	
D1	s	[0.44]	

Note 1: All configurations are assigned to the UE prior to the start of time period T1.  
Note 2: UE-specific PDCCH is not transmitted after T1 starts.

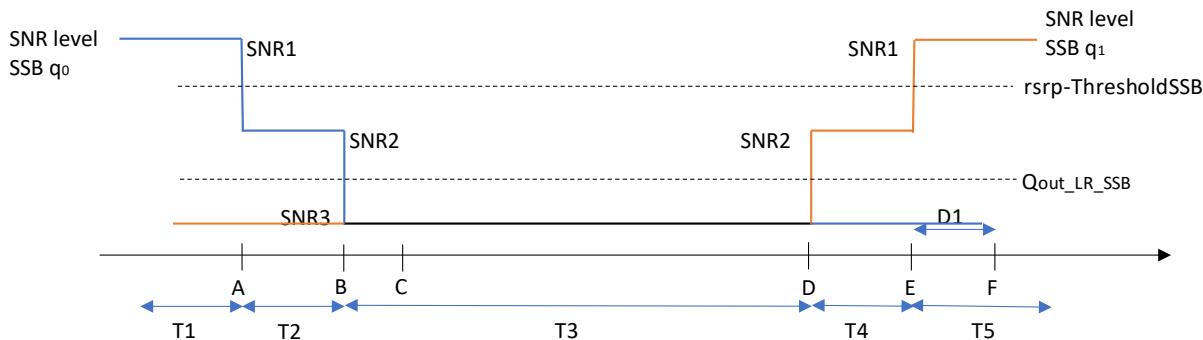
*Editor's note:* An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.

**Table A.6.5.5.1.1-3: Cell specific test parameters for FR1 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1																		
		SSB of set $q_0$					SSB of set $q_1$																		
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5														
EPRE ratio of PDCCH DMRS to SSS	dB																								
EPRE ratio of PDCCH to PDCCH DMRS	dB																								
EPRE ratio of PBCH DMRS to SSS	dB																								
EPRE ratio of PBCH to PBCH DMRS	dB																								
EPRE ratio of PSS to SSS	dB																								
EPRE ratio of PDSCH DMRS to SSS	dB																								
EPRE ratio of PDSCH to PDSCH DMRS	dB																								
EPRE ratio of OCNG DMRS to SSS	dB																								
SNR	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]														
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]														
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]														
$N_{oc}$	Config 1	dBm/15 KHz	-98					-98																	
	Config 2		-98					-98																	
	Config 3		-98					-98																	
Propagation condition		TDLC300-100					TDLC300-100																		
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																								
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.																								
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.																								
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.																								
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.																								
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.																								
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.																								
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.6.5.5.1.1-1.																								
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].																								

**Table A.6.5.5.1.1-4: Measurement gap configuration for FR1 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Field	Test 2
	Value
gapOffset	[0]



**Figure A.6.5.5.1.1-1: SNR variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

#### A.6.5.5.1.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.5.2 Beam Failure Detection and Link Recovery Test for FR1 PCell configured with SSB-based BFD and LR in DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

##### A.6.5.5.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.6.5.5.2.1-1, A.6.5.5.2.1-2, A.6.5.5.2.1-3, A.6.5.5.2.1-4 and A.6.5.5.2.1-5 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.5.2.1-1 shows the variation of the downlink SNR of the SSB in set  $q_0$  in the active cell to emulate SSB based beam failure. Figure A.6.5.5.2.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing

alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.6.5.5.2.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30kHz SSB SCS, 40 MHz bandwidth
Note: The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.6.5.5.2.1-2: General test parameters for FR1 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PSCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1, 4	FDD	
	Config 2, 3, 5, 6	TDD	
BWchannel	Config 1, 4	MHz	10: NRB,c = 52
	Config 2, 5		10: NRB,c = 52
	Config 3, 6		40: NRB,c = 106
DL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.0.1
DL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		DLBWP.1.1
UL initial BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.0.1
UL dedicated BWP configuration	Config 1, 2, 3, 4, 5, 6		ULBWP.1.1
TDD Configuration	Config 1, 4	Not Applicable	
	Config 2, 5	TDDConf.1.1	
	Config 3, 6	TDDConf.1.2	
CORESET Reference Channel	Config 1, 4	CR. 1.1 FDD	
	Config 2, 5	CR. 1.1 TDD	
	Config 3, 6	CR. 2.1 TDD	
SSB Configuration	Config 1, 4	SSB.1 FR1	
	Config 2, 5	SSB.1 FR1	
	Config 3, 6	SSB.2 FR1	
SMTS Configuration	Config 1, 2, 4, 5	SMTS.1	
	Config 3, 6	SMTS.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2, 4, 5	15 KHz	
	Config 3, 6	30 KHz	
PRACH Configuration	Config 1, 2, 4, 5	Table A.3.8.2.4-1	

	Config 3, 6		Table A.3.8.2.4-1	
SSB Index assigned as BFD RS ( $q_0$ )		0		
SSB Index assigned as CBD RS ( $q_1$ )		1		
OCNG parameters		OP.1		
CP length		Normal		
Correlation Matrix and Antenna Configuration		2x2 Low		
Beam failure detection transmission parameters	DCI format	1-0		
	Number of Control OFDM symbols	2		
	Aggregation level	CCE	8	
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX		DRX.7	A.3.3.7	
Gap pattern ID		gp0		
rImInSyncOutOfSyncThreshold		absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).	
rsrp-ThresholdSSB	dBm	[-96]	Threshold used for $Q_{out\_LR\_SSB}$	
powerControlOffsetSS		db0	Used for deriving rsrp-ThresholdCSI-RS	
beamFailureInstanceMaxCount		n2	see clause 5.17 of TS 38.321 [7]	
beamFailureDetectionTimer		pbfd4	see clause 5.17 of TS 38.321 [7]	
CSI-RS configuration	Config 1, 4	[CSI-RS.1.3 FDD]		
	Config 2, 5	[CSI-RS.1.3 TDD]		
	Config 3, 6	[CSI-RS.2.3 TDD]		
CSI-RS for tracking	Config 1, 4	[TRS.1.1 FDD]		
	Config 2, 5	[TRS.1.1 TDD]		
	Config 3, 6	[TRS.1.2 TDD]		
T1	s	1	During this time the the UE shall be fully synchronized to cell 1	
T2	s	0.4		
T3	s	[0.6]		
T4	s	[0.4]		
T5	s	[1.4]		
D1	s	[0.44]		
Note 1: All configurations are assigned to the UE prior to the start of time period T1.				
Note 2: UE-specific PDCCH is not transmitted after T1 starts.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

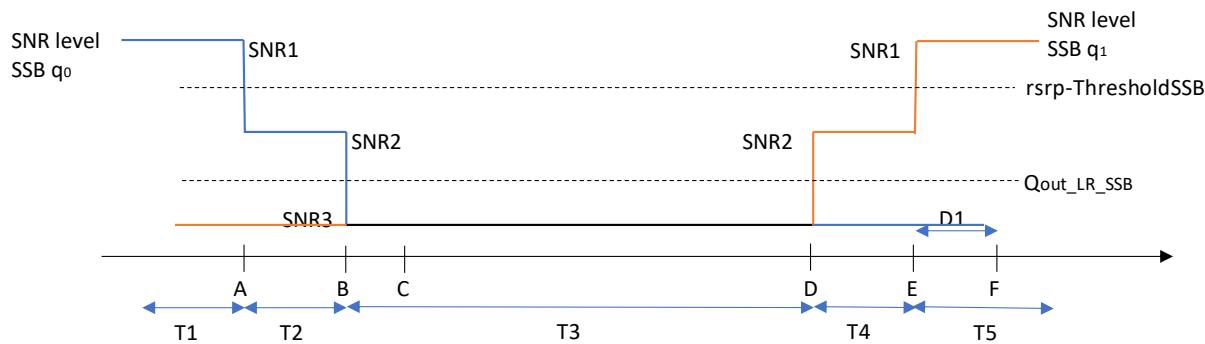
**Table A.6.5.5.2.1-3: Cell specific test parameters for FR1 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Test 1					Test 1									
		SSB of set $q_0$					SSB of set $q_1$									
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5					
EPRE ratio of PDCCH DMRS to SSS	dB															
EPRE ratio of PDCCH to PDCCH DMRS	dB															
EPRE ratio of PBCH DMRS to SSS	dB															
EPRE ratio of PBCH to PBCH DMRS	dB															
EPRE ratio of PSS to SSS	dB															
EPRE ratio of PDSCH DMRS to SSS	dB															
EPRE ratio of PDSCH to PDSCH DMRS	dB															
EPRE ratio of OCNG DMRS to SSS	dB															
SNR	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]					
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]					
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]					
$N_{oc}$	Config 1	dBm/15K Hz	-98					-98								
	Config 2		-98					-98								
	Config 3		-98					-98								
Propagation condition			TDLC300-100					TDLC300-100								
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.																
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.																
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.																
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.																
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.																
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.																
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.6.5.5.2.1-1.																
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].																

**Table A.6.5.5.2.1-4: Measurement gap configuration for FR1 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Field	Test 2
	Value
gapOffset	[0]

**Table A.6.5.5.2.1-5: Void**



**Figure A.6.5.5.2.1-1: SNR variation for SSB-based beam failure detection and link recovery testing in non-DRX mode**

#### A.6.5.5.2.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.5.3 Beam Failure Detection and Link Recovery Test for FR1 PCell configured with CSI-RS-based BFD and LR in non-DRX mode

##### A.6.5.5.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.6.5.5.3.1-1, A.6.5.5.3.1-2, and below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.5.3.1-1 shows the variation of the downlink SNR of the CSI-RS in set  $q_0$  in the active cell to emulate CSI-RS based beam failure. Figure A.6.5.5.3.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.6.5.5.3.1-1: Supported test configurations for FR1 PCell**

Configuration	Description
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth
3	TDD duplex mode, 30 kHz SSB SCS, 40 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR1

**Table A.6.5.5.3.1-2: General test parameters for FR1 PCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1	FDD	
	Config 2, 3	TDD	
TDD Configuration	Config 1	Not Applicable	
	Config 2	TDDConf.1.1	
	Config 3	TDDConf.1.2	
CORESET Reference Channel	Config 1	CR.1.1 FDD	A.3.1.2
	Config 2	CR.1.1 TDD	
	Config 3	CR.2.1 TDD	
SSB Configuration	Config 1	SSB.1 FR1	A.3.10
	Config 2	SSB.1 FR1	
	Config 3	SSB.2 FR1	
SMTC Configuration	Config 1, 2	SMTC.1	A.3.11
	Config 3	SMTC.1	
PDSCH/PDC CH subcarrier spacing	Config 1, 2	15 KHz	
	Config 3	30 KHz	
csi-RS-Index assigned as beam failure detection RS in set q <sub>0</sub>		[0]	
OCNG parameters		OP.1	A.3.2.1
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		OFF	
Gap pattern ID		N.A.	
csi-RS-Index assigned as candidate beam detection RS in set q <sub>1</sub>		1	N

rImInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm	[ -94.5 ]	Threshold used for Q <sub>in_LR_SSB</sub>	
powerControlOffsetSS		db0	Used for deriving rsrp-ThresholdCSI-RS	
beamFailureInstanceMaxCount		n2	see clause 5.17 of TS 38.321 [7]	
beamFailureDetectionTimer		pbfd4	see clause 5.17 of TS 38.321 [7]	
CSI-RS configuration	Config 1 Config 2 Config 3		CSI-RS.1.2 FDD CSI-RS.1.2 TDD CSI-RS.2.2 TDD	A.3.14
TRS configuration	Config 1 Config 2 Config 3		TRS.1.1 FDD TRS.1.1 TDD TRS.1.2 TDD	
T1		s	1	During this time the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.24]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.6.5.3.1-3: Cell specific test parameters for FR1 PCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 2				
		CSI-RS of set q <sub>0</sub>					CSI-RS of set q <sub>1</sub>				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
EPRE ratio of PSS to SSS	dB										
EPRE ratio of PBCH DMRS to SSS	dB										
EPRE ratio of PBCH to PBCH DMRS	dB										
EPRE ratio of PDCCH DMRS to SSS	dB										
EPRE ratio of PDCCH to PDCCH DMRS	dB										
EPRE ratio of PDSCH DMRS to SSS	dB										
EPRE ratio of PDSCH to PDSCH DMRS	dB										

EPRE ratio of OCNG DMRS to SSS(Note 1)	dB									
EPRE ratio of OCNG to OCNG DMRS (Note 1)	dB									
SNR_C SI-RS	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[7]
$N_{oc}$	Config 1	dBm/ 15K Hz	-98					-98		
	Config 2		-98					-98		
	Config 3		-98					-98		
Propagation condition		TDL-C 300ns 100Hz					TDL-C 300ns 100Hz			
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.										

Table A.6.5.5.3.1-4: Void

Table A.6.5.5.3.1-5: Void

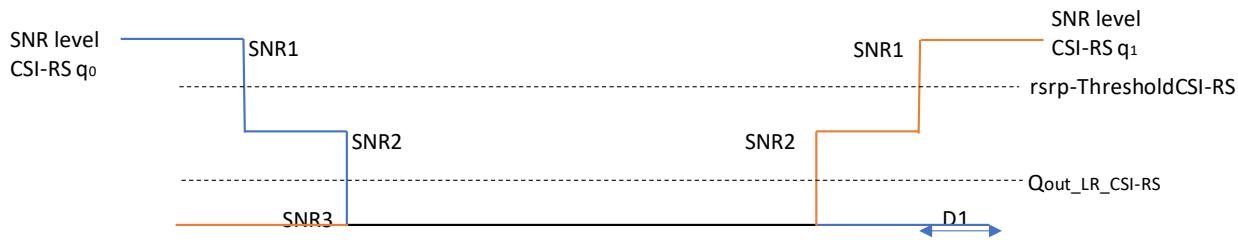


Figure A.6.5.5.3.1-1: SNR variation for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode

### A.6.5.5.3.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

**A.6.5.5.4 Beam Failure Detection and Link Recovery Test for FR1 PCell configured with CSI-RS-based BFD and LR in DRX mode**

**A.6.5.5.4.1 Test Purpose and Environment**

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR1 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.6.5.5.4.1-1, A.6.5.5.4.1-2, A.6.5.5.4.1-3, and A.6.5.5.4.1-4 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.6.5.5.4.1-1 shows the variation of the downlink SNR of the CSI-RS in set  $q_0$  in the active cell to emulate CSI-RS based beam failure. Figure A.6.5.5.4.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.6.5.5.4.1-1: Supported test configurations for FR1 PCell**

Configuration	Description	
1	FDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth	
2	TDD duplex mode, 15 kHz SSB SCS, 10 MHz bandwidth	
3	TDD duplex mode, 30 kHz SSB SCS, 40 MHz bandwidth	
Note:	The UE is only required to pass in one of the supported test configurations in FR1	

**Table A.6.5.5.4.1-2: General test parameters for FR1 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1	FDD	
	Config 2, 3	TDD	
TDD Configuration	Config 1	Not Applicable	
	Config 2	TDDConf.1.1	
	Config 3	TDDConf.1.2	
CORESET Reference Channel	Config 1	CR.1.1 FDD	A.3.1.2
	Config 2	CR.1.1 TDD	
	Config 3	CR.2.1 TDD	
SSB Configuration	Config 1	SSB.1 FR1	A.3.10
	Config 2	SSB.1 FR1	
	Config 3	SSB.2 FR1	
SMTC Configuration	Config 1, 2	SMTC.1	A.3.11
	Config 3	SMTC.1	
PDSCH/PDCC H subcarrier spacing	Config 1, 2	15 KHz	
	Config 3	30 KHz	
csi-RS-Index assigned as beam failure detection RS in set $q_0$		[0]	

OCNG parameters			OP.1	A.3.2.1
CP length			Normal	
Correlation Matrix and Antenna Configuration			2x2 Low	
Beam failure detection transmission parameters	DCI format		1-0	
	Number of Control OFDM symbols		2	
	Aggregation level	CCE	8	
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0	
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			DRX.7	A.3.3.7
Gap pattern ID			*[gp0]	
csi-RS-Index assigned as candidate beam detection RS in set q <sub>1</sub>			21	N
rlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB		dBm	[-96]	Threshold used for Q <sub>in_LR_SSB</sub>
powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			[n2]	see clause 5.17 of TS 38.321 [7]
beamFailureDetectionTimer			[pbfd4]	see clause 5.17 of TS 38.321 [7]
CSI-RS configuration	Config 1		CSI-RS.1.2 FDD	A.3.14
	Config 2		CSI-RS.1.2 TDD	
	Config 3		CSI-RS.2.2 TDD	
TRS configuration	Config 1		TRS.1.1 FDD	
	Config 2		TRS.1.1 TDD	
	Config 3		TRS.1.2 TDD	
T1		s	1	During this time the the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.44]	

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.6.5.5.4.1-3: Cell specific test parameters for FR1 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

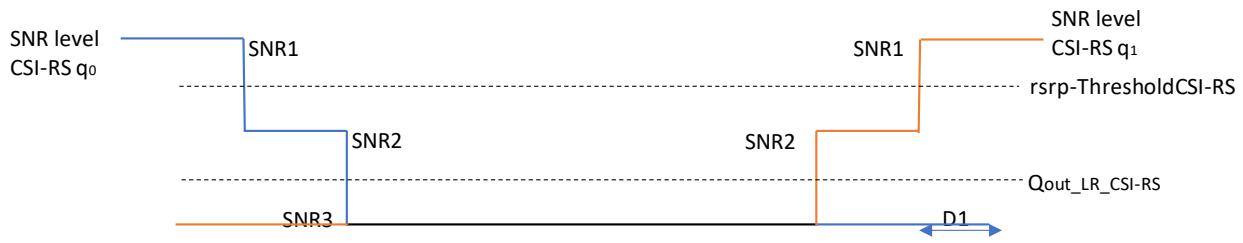
Parameter	Unit	Test 1					Test 2														
		CSI-RS of set $q_0$					CSI-RS of set $q_1$														
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5										
EPRE ratio of PSS to SSS	dB	0					0														
EPRE ratio of PBCH DMRS to SSS																					
EPRE ratio of PBCH to PBCH DMRS																					
EPRE ratio of PDCCH DMRS to SSS																					
EPRE ratio of PDCCH to PDCCH DMRS																					
EPRE ratio of PDSCH DMRS to SSS																					
EPRE ratio of PDSCH to PDSCH DMRS																					
EPRE ratio of OCNG DMRS to SSS (Note 1)																					
EPRE ratio of OCNG to OCNG DMRS (Note 1)																					
SNR_C SI-RS	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[7]										
$N_{oc}$	dBm/ 15K Hz	-98					-98														
		-98					-98														
		-98					-98														
Propagation condition		TDL-C 300ns 100Hz					TDL-C 300ns 100Hz														
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																				
Note 2:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																				

**Table A.6.5.5.4.1-4: Measurement gap configuration for FR1 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Field	Test 2
	Value
gapOffset	[0]

**Table A.6.5.5.4.1-5: Void**

**Table A.6.5.5.4.1-6: Void**



**Figure A.6.5.5.4.1-1: SNR variation for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

#### A.6.5.5.4.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.6.5.6 Active BWP switch delay

##### A.6.5.6.1 DCI-based and Timer-based Active BWP Switch

###### A.6.5.6.1.1 NR FR1- NR FR1 DL active BWP switch of PCell with non-DRX in SA

###### A.6.5.6.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirement on other active serving cell defined in clause 8.2.2.2.5.

The supported test configurations are shown in Table A.6.5.6.1.1-1 below. The test scenario comprises of one NR PCell (Cell 1) and one NR SCell (Cell 2) as given in Table A.6.5.6.1.1-2. NR Cell-specific parameters is specified in Table A.6.5.6.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 1 and the time duration of T2.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 2) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (SCell) on radio channel 2 (SCC).
- UE is configured with 2 different UE-specific bandwidth parts for PCell, BWP-1 and BWP-2, in Cell 1 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PCell.
- UE is configured with a *bwp-InactivityTimer* timer value for PCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH no later than at PCell's slot  $(i+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the PCell no later than at slot  $(i+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on PCell's BWP-2 no later than slot  $(i+T_{BWPswitchDelay})$ .

The starting time of SCell (Cell 2) interruption due to BWP switch on PCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PCell(Cell 1).

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH no later than PCell's slot  $(j+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the SCell at latest at slot  $(j+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on SCell's BWP-1 no later than slot  $(j+T_{BWPswitchDelay})$ .

The starting time of SCell (Cell 2) interruption due to BWP switch of PCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to SCell is carried out in the correct time span by monitoring ACK/NACK sent in SCell during BWP switch of PCell, respectively.

**Table A.6.5.6.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD -FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD – TDD duplex mode
3	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD – FDD duplex mode
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD – TDD duplex mode
5	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD - TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations	

**Table A.6.5.6.1.1.1-2: General test parameters for DL BWP switch in SA**

Parameter	Unit	Value	Comment
NR RF Channel Number		2	Two NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active SCell		Cell 2	SCell on RF channel number 2.
CP length		Normal	
DRX		OFF	For both PCell and SCell
<i>bwp-InactivityTimer</i>	ms	[200]	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on SCC.
Cell2 timing offset to cell1	μs	3	Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1.
T1	s	0.2	
T2	s	0.2	
T3	s	0.2	

**Table A6.5.6.1.1.1-3: NR Cell specific test parameters for DL BWP switch in SA**

Parameter	Unit	Cell 1	Cell2
Frequency Range		FR1	FR1
Duplex mode	Config 1	FDD	FDD
	Config 2,5		TDD
	Config 3		TDD
	Config 4		FDD
TDD configuration	Config 1	Not Applicable	Not Applicable
	Config 2	TDDConf.1.1	TDDConf.1.1
	Config 3	TDDConf.1.1	Not Applicable
	Config 4	Not Applicable	TDDConf.1.1
	Config 5	TDDConf.1.2	TDDConf.1.2
BW <sub>channel</sub>	Config 1,2,3,4	10 MHz: N <sub>RB,c</sub> = 52	10 MHz: N <sub>RB,c</sub> = 52
	Config 5	40 MHz: N <sub>RB,c</sub> = 106	40 MHz: N <sub>RB,c</sub> = 106
Active BWP ID		1, 2	3
Initial BWP Configuration		DLBWP.0.2 <sup>Note4</sup>	
Active BWP-1 Configuration		DLBWP.1.1 <sup>Note4</sup>	-
Active BWP-2 Configuration		DLBWP.1.3 <sup>Note4</sup>	-
PDSCH Reference measurement channel	Config 1	SR.1.1 FDD	SR.1.1 FDD
	Config 2		SR.1.1 TDD
	Config 3		SR.1.1 TDD
	Config 4		SR.1.1 FDD
	Config 5		SR.2.1 TDD
RMSI CORESET parameters	Config 1	CR.1.1 FDD	CR.1.1 FDD
	Config 2		CR.1.1 TDD
	Config 3		CR.1.1 TDD
	Config 4		CR.1.1 FDD
	Config 5		CR.2.1 TDD
Dedicated CORESET parameters	Config 1	CCR.1.1 FDD	CCR.1.1 FDD
	Config 2		CCR.1.1 TDD
	Config 3		CCR.1.1 TDD
	Config 4		CCR.1.1 FDD
	Config 5		CCR.2.1 TDD
OCNG Patterns		OP.1	
SSB Configuration	Config 1,2,3,4	SSB.1 FR1	SSB.2 FR1
	Config 5		

SMTC Configuration		SMTC.1		
Correlation Matrix and Antenna Configuration		1x2 Low		
EPRE ratio of PSS to SSS	dB	0	0	
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS(Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$N_{oc}^{Note\ 2}$	Config 1,2,3,4	dBm/SCS	-104	-104
	Config 5		-110	-110
$N_{oc}^{Note\ 2}$		dBm/15KH <sub>Z</sub>	-104	-104
SS-RSRP <sup>Note 3</sup>	Config 1,2,3,4	dBm/SCS	-87	-87
	Config 5		-90	-90
$\hat{E}_s/I_{ot}$		dB	[17]	[17]
$\hat{E}_s/N_{oc}$		dB	[17]	[17]
$I_o^{Note\ 3}$	Config 1,2,3,4	dBm/ 9.36MHz	-59	-59
	Config 5		-61.9	-61.9
Propagation Condition			AWGN	AWGN
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3 SS-RSRP and <math>I_o</math> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].</p>				

#### A.6.5.6.1.1.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot ( $i+T_{BWPswitchDelay}+kI$ ).

During T3, the UE shall start to send the ACK for PSCell in a slot ( $j+T_{BWPswitchDelay}+kI$ ).

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1 and T3, the start time of SCell interruption during PCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of SCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.2.2.2.5.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i + T_{BWPswitchDelay} + kI$ ), ( $j + T_{BWPswitchDelay} + kI$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

#### A.6.5.6.1.2 NR FR1 DL active BWP switch with non-DRX in SA

##### A.6.5.6.1.2.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6. Supported test configurations are shown in Table A.6.5.6.1.2.1-1.

The test scenario comprises of one NR cell (Cell 1) as given in Table A.6.5.6.1.2.1-2. Cell-specific parameters of the NR cell are specified in Table A.6.5.6.1.2.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on Cell 1 to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 on radio channel 1.
- UE is configured with 2 different UE-specific bandwidth parts, BWP-1 and BWP-2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1.
- UE is configured with a *bwp-InactivityTimer* timer value for Cell1.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for DL BWP switch, sent from the test equipment to the UE, is received at the UE side in Cell1's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at Cell1's slot ( $i + T_{BWPswitchDelay}$ ) as defined in clause 8.6 and starts to report valid ACK/NACK for the Cell1 no later than at slot ( $i + T_{BWPswitchDelay} + kI$ ). The UE shall be continuously scheduled on Cell1's BWP-2 starting from slot ( $i + T_{BWPswitchDelay}$ ).

During T2, the test equipment won't transmit DCI format for PDSCH reception on Cell1.

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE shall switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH at Cell1's slot ( $j + T_{BWPswitchDelay}$ ) as defined in clause 8.6 and starts to report valid ACK/NACK for the Cell1 at latest at slot ( $j + T_{BWPswitchDelay} + kI$ ). The UE shall be continuously scheduled on Cell1's BWP-1 starting from slot ( $j + T_{BWPswitchDelay}$ ).

The test equipment verifies the DL BWP switch time by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

**Table A.6.5.6.1.2.1-1: DL BWP switch supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.  
Note 2: A UE which fulfils the requirements in test case A.6.5.6.1.1 can skip the test cases in A.4.5.6.1.2.

**Table A.6.5.6.1.2.1-2: General test parameters for DL BWP switch in SA**

Parameter	Unit	Value	Comment
NR RF Channel Number		1	One NR radio channel is used for this test
Active Cell		Cell 1	Cell1 on RF channel number 1.
CP length		Normal	
DRX		OFF	
<i>bwp-InactivityTimer</i>	ms	[200]	
T1	s	[0.2]	
T2	s	[0.2]	
T3	s	[0.2]	

**Table A.6.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in SA**

Parameter	Unit	Cell 2
Frequency Range		FR1
Duplex mode	Config 1	FDD
	Config 2,3	
TDD configuration	Config 1	Not Applicable
	Config 2	
	Config 3	
BW <sub>channel</sub>	Config 1	10 MHz: N <sub>RB,c</sub> = 52
	Config 2	
	Config 3	
Active BWP ID		1, 2
Initial DL BWP Configuration	Config 1,2,3	DLBWP.0.2 Note 4
Active DL BWP-1 Configuration	Config 1,2,3	DLBWP.1.1 Note 4
Active DL BWP-2 Configuration	Config 1,2,3	DLBWP.1.3 Note 4
Initial UL BWP Configuration	Config 1,2,3	ULBWP.0.2 Note 4
Active UL BWP-1 Configuration	Config 1,2,3	ULBWP.1.1 Note 4
Active UL BWP-2 Configuration	Config 1,2,3	ULBWP.1.3 Note 4
PDSCH Reference measurement channel	Config 1	SR.1.1 FDD
	Config 2	
	Config 3	
RMSI CORESET parameters	Config 1	CR.1.1 FDD
	Config 2	
	Config 3	
Dedicated CORESET parameters	Config 1	CCR.1.1 FDD
	Config 2	
	Config 3	
OCNG Patterns		OP.1
SSB Configuration	Config 1,2	SSB.1 FR1
	Config 3	SSB.2 FR1

SMTC Configuration			SMTC.1
Correlation Matrix and Antenna Configuration			1x2 Low
TRS Configuration	Config 1,4		TRS.1.1 FDD
	Config 2,5		TRS.1.1 TDD
	Config 3,6		TRS.1.2 TDD
EPRE ratio of PSS to SSS	dB	0	
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS(Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
$N_{oc}^{Note\ 2}$	Config 1,2	dBm/SCS	[-104]
	Config 3		[-101]
$N_{oc}^{Note\ 2}$		dBm/15kHz <sub>z</sub>	[-104]
SS-RSRP Note 3	Config 1,2	dBm/SCS	[-87]
	Config 3		[-90]
$\hat{E}_s/I_{ot}$		dB	[17]
$\hat{E}_s/N_{oc}$		dB	[17]
$I_o^{Note 3}$	Config 1,2	dBm/ 9.36MHz	[-59]
	Config 3		[-61.9]
Propagation Condition			AWGN
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and <math>I_o</math> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].</p>			

#### A.6.5.6.1.2.2 Test Requirements

During T1, the UE shall start to send the ACK for Cell1 in a slot  $(i + T_{BWPswitchDelay} + kI)$ .

During T3, the UE shall start to send the ACK for Cell1 in a slot  $(j + T_{BWPswitchDelay} + kI)$ .

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability  $bwp\text{-}SwitchingDelay$  [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PSCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i+T_{BWPswitchDelay}+kI$ ), ( $j+T_{BWPswitchDelay}+kI$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

### A.6.5.6.2 RRC-based Active BWP Switch

#### A.6.5.6.2.1 NR FR1- NR FR1 DL active BWP switch of PCell with non-DRX in SA

##### A.6.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement for RRC-based BWP switch defined in clause 8.6. Supported test configurations are shown in Table A.6.5.6.2.1.1-1.

The test scenario comprises of one NR PCell (Cell 1) as given in Table A.6.5.6.2.1.1-2. Cell-specific parameters of NR PCell are specified in Table A.6.5.6.2.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC).
- UE has bandwidth part BWP-1 in its RRC-configuration for Cell 1 (PCell).
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PCell.

All cells have constant signal levels throughout the test.

The test consists of 1 time period, with duration of T1.

During T1,

Time period T1 starts when a *RRCReconfiguration* with bandwidth part configuration BWP-2, sent from the test equipment to the UE, is received at the UE side in PCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PCell's slot ( $i+T_{RRCprocessingDelay}+T_{BWPswitchDelayRRC}$ ) as defined in clause 8.6.3 and be ready for the reception of uplink grant for the PCell no later than at slot ( $i+T_{RRCprocessingDelay}+T_{BWPswitchDelayRRC}$ ). The UE shall be continuously scheduled on PCell's BWP-2 starting from slot ( $i+T_{RRCprocessingDelay}+T_{BWPswitchDelayRRC}$ ).

$T_{RRCprocessingDelay}$  and  $T_{BWPswitchDelayRRC}$  are defined in clause 8.6.3.

The test equipment verifies the DL BWP switch time in PCell by counting the time from the time when the RRC Reconfiguration message including BWP switch command is sent till the time when RRC Reconfiguration Complete message is received.

**Table A.6.5.6.2.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations

**Table A.6.5.6.2.1.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
NR RF Channel Number		1	One NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
CP length		Normal	
DRX		OFF	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
T1	s	[0.2]	

**Table A.6.5.6.2.1.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 1
Frequency Range		FR1
Duplex mode	Config 1,4	FDD
	Config 2,3,5,6	
TDD configuration	Config 1,4	Not Applicable
	Config 2,5	
	Config 3,6	
BW <sub>channel</sub>	Config 1,4	10 MHz: N <sub>RB,C</sub> = 52
	Config 2,5	
	Config 3,6	
Active BWP ID		1, 2
Initial DL BWP Configuration	Config 1,4	DLBWP.0.2
	Config 2,5	
	Config 3,6	
A	C	N
	C	
	C	
Active DL BWP-1 Configuration	Config 1,4	DLBWP.1.3
	Config 2,5	
	Config 3,6	
Active DL BWP-2 Configuration	Config 1,4	DLBWP.1.1
	Config 2,5	
	Config 3,6	
Initial UL BWP Configuration	Config 1,4	ULBWP.0.2
	Config 2,5	
	Config 3,6	
Active UL BWP-1 Configuration	Config 1,4	ULBWP.1.3
	Config 2,5	
	Config 3,6	
Active UL BWP-2 Configuration	Config 1,4	ULBWP.1.1
	Config 2,5	
	Config 3,6	
PDSCH Reference measurement channel	Config 1,4	SR.1.1 FDD
	Config 2,5	
	Config 3,6	
RMSI CORESET parameters	Config 1,4	CR.1.1 FDD
	Config 2,5	
	Config 3,6	
Dedicated CORESET parameters	Config 1,4	CCR.1.1 FDD
	Config 2,5	
	Config 3,6	
OCNG Patterns		OP.1
SSB Configuration	Config 1,2,4,5	SSB.1 FR1
	Config 3,6	
SMTC Configuration		SMTC.1
TRS Configuration	Config 1,4	TRS.1.1 FDD

	Config 2,5		TRS.1.1 TDD
	Config 3,6		TRS.1.2 TDD
Antenna Configuration			1x2
Propagation Condition			AWGN
EPRE ratio of PSS to SSS	dB		0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS <sup>(Note 1)</sup>			
EPRE ratio of OCNG to OCNG DMRS <sup>(Note 1)</sup>			
N <sub>oc</sub> <sup>Note 2</sup>		dBm/15 kHz	[-104]
SS-RSRP <sup>Note 3</sup>		dBm/15 kHz	[-87]
E <sub>s</sub> /I <sub>tot</sub>		dB	17
E <sub>s</sub> /N <sub>oc</sub>		dB	17
Io <sup>Note 3</sup>	Config 1,2,4,5	dBm/9.36MHz	TBD
	Config 3,6	dBm/38.16MHz	TBD
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.		
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		
Note 4:	Note 4: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].		

#### A.6.5.6.2.2.2 Test Requirements

During T1, the UE shall be ready for the reception of uplink grant for PCell in a slot  $(i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC})$ .

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

The rate of correct events observed during repeated tests shall be at least 90%.

## A.6.6 Measurement procedure

### A.6.6.1 Intra-frequency Measurements

#### A.6.6.1.1 SA event triggered reporting tests without gap under non-DRX

##### A.6.6.1.1.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clauses 9.2.5.1 and 9.2.5.2.

### A.6.6.1.1.2 Test parameters

Two cells are deployed in the test, which are FR1 PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for PCell and neighbour cell are given in Table A.6.6.1.1.1-1 and A.6.6.1.1.1-2 below. In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.6.6.1.1.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.6.1.1.2-2: General test parameters for SA intra-frequency event triggered reporting without gap for FR1**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1, 2, 3	Cell 1	
Neighbour cell		1, 2, 3	Cell 2	Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 and Cell 2	
SSB configuration		1 2 3	SSB.1 FR1 SSB.1 FR1 SSB.2 FR1	
SMTC configuration		1 2 3	SMTC.2 SMTC.1 SMTC.1	
A3-Offset	dB	1, 2, 3	-4.5	
CP length		1, 2, 3	Normal	
Hysteresis	dB	1, 2, 3	0	
Time To Trigger	s	1, 2, 3	0	
Filter coefficient		1, 2, 3	0	L3 filtering is not used
DRX		1, 2, 3		OFF
Time offset between PCell and PSCell		1, 2, 3	3 µs	Synchronous EN-DC
Time offset between serving and neighbour cells		1 2 3	3 ms 3 µs 3 µs	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2. Synchronous cells Synchronous cells
T1	s	1, 2, 3	5	
T2	s	1, 2, 3	5	

**Table A.6.6.1.1.2-3: NR Cell specific test parameters for SA intra-frequency event triggered reporting without gap for FR1**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
TDD configuration		1		TN/A		TN/A
		2		TDDConf.1.1		TDDConf.1.1
		3		TDDConf.2.1		TDDConf.2.1

PDSCH RMC configuration		1	SR.1.1 FDD	N/A	
		2	SR.1.1 TDD		
		3	SR.2.1 TDD		
RMSI CORESET RMC configuration		1	CR.1.1 FDD	CR.1.1 FDD	
		2	CR.1.1 TDD	CR.1.1 TDD	
		3	CR.2.1 TDD	CR.2.1 TDD	
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD	CCR.1.1 FDD	
		2	CCR.1.1 TDD	CCR.1.1 TDD	
		3	CCR.2.1 TDD	CCR.2.1 TDD	
OCNG Patterns		1, 2, 3	OP.1	OP.1	
TRS Configuration		1	TRS.1.1 FDD	N/A	
		2	TRS.1.1 TDD	N/A	
		3	TRS.1.2 TDD	N/A	
Initial BWP configuration		1, 2, 3	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1	
Active DL BWP configuration		1, 2, 3	DLBWP.1.1	DLBWP.1.1	
Active UL BWP configuration		1, 2, 3	ULBWP.1.1	ULBWP.1.1	
RLM-RS		1, 2, 3	SSB	SSB	
$N_{oc}$ Note 2	dBm/SCS	1		-98	
		2		-98	
		3		-95	
$N_{oc}$ Note 2	dBm/15 KHz	1		-98	
		2			
		3			
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity
		2			-1.46
		3			
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity
		2			4
		3			
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity
		2	-94	-94	-Infinity
		3	-91	-91	-Infinity
Io	dBm/9.36 MHz	1	-64.60	-62.25	--64.60
	dBm/9.36 MHz	2	-64.60	-62.25	--64.60
	dBm/38.16 MHz	3	-58.50	-56.16	--58.50
Propagation Condition		1, 2, 3	AWGN		
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					

#### A.6.6.1.1.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 800 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.6.6.1.2 SA event triggered reporting tests without gap under DRX

#### A.6.6.1.2.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clauses 9.2.5.1 and 9.2.5.2.

#### A.6.6.1.2.2 Test parameters

Two cells are deployed in the test, which are FR1 PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for PCell are given in Table A.6.6.1.2.2-1, A.6.6.1.2.2-2 and A.6.6.1.2.2-3 below. In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.6.6.1.2.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.6.1.2.2-2: General test parameters for SA intra-frequency event triggered reporting without gap for PCell in FR1 with DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
Active cell		1, 2, 3	Cell 1		
Neighbour cell		1, 2, 3	Cell 2		Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 and Cell 2		
SSB configuration		1	SSB.1 FR1		
		2	SSB.1 FR1		
		3	SSB.2 FR1		
SMTC configuration		1	SMTC.2		
		2	SMTC.1		
		3	SMTC.1		
A3-Offset	dB	1, 2, 3	-4.5		
CP length		1, 2, 3	Normal		
Hysteresis	dB	1, 2, 3	0		
Time To Trigger	s	1, 2, 3	0		
Filter coefficient		1, 2, 3	0		L3 filtering is not used
DRX		1, 2, 3	DRX.1	DRX.2	
Time offset between PCell and PSCell		1, 2, 3	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 μs		Synchronous cells
		2	3 ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		3	3 μs		Synchronous cells
T1	s	1, 2, 3	5		
T2	s	1, 2, 3	5	10	

**Table A.6.6.1.2.2-3: NR Cell specific test parameters for SA intra-frequency event triggered reporting without gap for PCell in FR1 with DRX**

Parameter	Unit	Test configuration	Cell 1		Cell 2					
			T1	T2	T1	T2				
TDD configuration		1	TN/A		TN/A					
		2	TDDConf.1.1		TDDConf.1.1					
		3	TDDConf.2.1		TDDConf.2.1					
PDSCH RMC configuration		1	SR.1.1 FDD		N/A					
		2	SR.1.1 TDD							
		3	SR.2.1 TDD							
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD					
		2	CR.1.1 TDD		CR.1.1 TDD					
		3	CR.2.1 TDD		CR.2.1 TDD					
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD		CCR.1.1 FDD					
		2	CCR.1.1 TDD		CCR.1.1 TDD					
		3	CCR.2.1 TDD		CCR.2.1 TDD					
OCNG Patterns		1, 2, 3	OP.1		OP.1					
TRS configuration		1	TRS.1.1 FDD		N/A					
		2	TRS.1.1 TDD		N/A					
		3	TRS.1.2 TDD		N/A					
Initial BWP configuration		1, 2, 3	DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1					
Active DL BWP configuration		1, 2, 3	DLBWP.1.1		DLBWP.1.1					
Active UL BWP configuration		1, 2, 3	ULBWP.1.1		ULBWP.1.1					
RLM-RS		1, 2, 3	SSB		SSB					
$N_{oc}$ Note 2	dBm/SCS	1	-98							
		2	-98							
		3	-95							
$N_{oc}$ Note 2	dBm/15 KHz	1	-98							
		2								
		3								
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46				
		2								
		3								
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4				
		2								
		3								
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity	-94				
		2	-94	-94	-Infinity	-94				
		3	-91	-91	-Infinity	-91				
Io	dBm/9.36 MHz	1	-64.60	-62.25	--64.60	-62.25				
	dBm/9.36 MHz	2	-64.60	-62.25	--64.60	-62.25				
	dBm/38.16 MHz	3	-58.50	-56.16	--58.50	-56.16				
Propagation Condition		1, 2, 3	AWGN							
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.										
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.										
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.										

### A.6.6.1.2.3 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 6400 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.6.6.1.3 SA event triggered reporting tests with per-UE gaps under non-DRX

#### A.6.6.1.3.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clause 9.2.6.2 and 9.2.6.3.

#### A.6.6.1.3.2 Test parameters

Two cells are deployed in the test, which are FR1 PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for PCell are given in Table A.6.6.1.3.1-1 and A.6.6.1.3.1-2 below. In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

There are two BWPs configured in Cell 1, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 1. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

**Table A.6.6.1.3.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.6.1.3.2-2: General test parameters for SA intra-frequency event triggered reporting with per-UE gaps for PCell in FR1**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1, 2, 3	Cell 1	
Neighbour cell		1, 2, 3	Cell 2	Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 and Cell 2	
Measurement gap type		1, 2, 3	Per-UE gaps	
Measurement gap repetition periodicity	ms	1, 2, 3	40	
Measurement gap length	ms	1, 2, 3	6	
Measurement gap offset	ms	1, 2, 3	39	
SSB configuration		1	SSB.1 FR1	
		2	SSB.1 FR1	
		3	SSB.2 FR1	
SMTC configuration		1	SMTC.2	
		2	SMTC.1	
		3	SMTC.1	
CSI-RS parameters		1	CSI-RS.1.2 FDD	
		2	CSI-RS.1.2 TDD	
		3	CSI-RS.2.2 TDD	
A3-Offset	dB	1, 2, 3	-4.5	
CP length		1, 2, 3	Normal	
Hysteresis	dB	1, 2, 3	0	
Time To Trigger	s	1, 2, 3	0	
Filter coefficient		1, 2, 3	0	L3 filtering is not used
DRX	ms	1, 2, 3		OFF
Time offset between PCell and PSCell		1, 2, 3	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		2	3 μs	Synchronous cells
		3	3 μs	Synchronous cells
T1	s	1, 2, 3	5	
T2	s	1, 2, 3	5	

**Table A.6.6.1.3.2-3: NR Cell specific test parameters for SA intra-frequency event triggered reporting with per-UE gaps for PCell in FR1**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
TDD configuration		1		TN/A		TN/A
		2		TDDConf.1.1		TDDConf.1.1
		3		TDDConf.2.1		TDDConf.2.1
PDSCH RMC configuration		1		SR.1.1 FDD	N/A	
		2		SR.1.1 TDD		
		3		SR.2.1 TDD		
RMSI CORESET RMC configuration		1		CR.1.1 FDD	CR.1.1 FDD	
		2		CR.1.1 TDD	CR.1.1 TDD	
		3		CR.2.1 TDD	CR.2.1 TDD	
Dedicated CORESET RMC configuration		1		CCR.1.1 FDD	CCR.1.1 FDD	
		2		CCR.1.1 TDD	CCR.1.1 TDD	
		3		CCR.2.1 TDD	CCR.2.1 TDD	

OCNG Patterns		1, 2, 3	OP.1	OP.1	
TRS configuration		1	TRS.1.1 FDD	N/A	
		2	TRS.1.1 TDD	N/A	
		3	TRS.1.2 TDD	N/A	
Initial BWP configuration		1, 2, 3	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1	
Active DL BWP configuration		1, 2, 3	DLBWP.1.2	DLBWP.1.1	
Active UL BWP configuration		1, 2, 3	ULBWP.1.1	ULBWP.1.1	
RLM-RS		1, 2, 3	CSI-RS	SSB	
$N_{oc}$ Note 2	dBm/SCS	1	-98		
		2	-98		
		3	-95		
$N_{oc}$ Note 2	dBm/15 KHz	1	-98		
		2	-98		
		3	-95		
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity
		2			
		3			
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity
		2			
		3			
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity
		2	-94	-94	-Infinity
		3	-91	-91	-Infinity
Io	dBm/9.36 MHz	1	-64.60	-62.25	--64.60
	dBm/9.36 MHz	2	-64.60	-62.25	--64.60
	dBm/38.16 MHz	3	-58.50	-56.16	--58.50
Propagation Condition		1, 2, 3	AWGN		
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					

### A.6.6.1.3.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 800 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.6.6.1.4 SA event triggered reporting tests with per-UE gaps under DRX

#### A.6.6.1.4.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the intra-frequency cell search requirements in clause 9.2.6.2 and 9.2.6.3.

### A.6.6.1.4.2 Test parameters

Two cells are deployed in the test, which are FR1 PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for PCell are given in Table A.6.6.1.4.2-1, A.6.6.1.4.2-2 and A.6.6.1.4.2-3 below. In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

There are two BWPs configured in Cell 1, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 1. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

**Table A.6.6.1.4.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.6.1.4.2-2: General test parameters for SA intra-frequency event triggered reporting with per-UE gaps for PCell in FR1 with DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
Active cell		1, 2, 3	Cell 1		
Neighbour cell		1, 2, 3	Cell 2		Cell to be identified.
RF Channel Number		1, 2, 3	1: Cell 1 and Cell 2		
Measurement gap type		1, 2, 3	Per-UE gaps		
Measurement gap repetition periodicity	ms	1, 2, 3	40		
Measurement gap length	ms	1, 2, 3	6		
Measurement gap offset	ms	1, 2, 3	39		
SSB configuration		1	SSB.1 FR1		
		2	SSB.1 FR1		
		3	SSB.2 FR1		
SMTC configuration		1	SMTC.2		
		2	SMTC.1		
		3	SMTC.1		
CSI-RS parameters		1	CSI-RS.1.2 FDD		
		2	CSI-RS.1.2 TDD		
		3	CSI-RS.2.2 TDD		
A3-Offset	dB	1, 2, 3	-4.5		
CP length		1, 2, 3	Normal		
Hysteresis	dB	1, 2, 3	0		
Time To Trigger	s	1, 2, 3	0		
Filter coefficient		1, 2, 3	0		L3 filtering is not used
DRX		1, 2, 3	DRX.1	DRX.2	
Time offset between PCell and PSCell		1, 2, 3	3 μs		Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms		Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
		2	3 μs		Synchronous cells
		3	3 μs		Synchronous cells

T1	s	1, 2, 3	5		
T2	s	1, 2, 3	5	10	

**Table A.6.6.1.4.2-3: NR Cell specific test parameters for SA intra-frequency event triggered reporting with per-UE gaps for PCell in FR1 with DRX**

Parameter	Unit	Test configuration	Cell 1		Cell 2			
			T1	T2	T1	T2		
TDD configuration		1	TN/A		TN/A			
		2	TDDConf.1.1		TDDConf.1.1			
		3	TDDConf.2.1		TDDConf.2.1			
PDSCH RMC configuration		1	SR.1.1 FDD		N/A			
		2	SR.1.1 TDD					
		3	SR.2.1 TDD					
RMSI CORESET RMC configuration		1	CR.1.1 FDD		CR.1.1 FDD			
		2	CR.1.1 TDD		CR.1.1 TDD			
		3	CR.2.1 TDD		CR.2.1 TDD			
Dedicated CORESET RMC configuration		1	CCR.1.1 FDD		CCR.1.1 FDD			
		2	CCR.1.1 TDD		CCR.1.1 TDD			
		3	CCR.2.1 TDD		CCR.2.1 TDD			
OCNG Patterns		1, 2, 3	OP.1		OP.1			
TRS configuration		1	TRS.1.1 FDD		N/A			
		2	TRS.1.1 TDD		N/A			
		3	TRS.1.2 TDD		N/A			
Initial BWP configuration		1, 2, 3	DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1			
Active DL BWP configuration		1, 2, 3	DLBWP.1.2		DLBWP.1.1			
Active UL BWP configuration		1, 2, 3	ULBWP.1.1		ULBWP.1.1			
RLM-RS		1, 2, 3	CSI-RS		SSB			
$N_{oc}$ Note 2	dBm/SCS	1	-98					
		2	-98					
		3	-95					
$N_{oc}$ Note 2	dBm/15 KHz	1	-98					
		2						
		3						
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46		
		2						
		3						
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4		
		2						
		3						
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity	-94		
		2	-94	-94	-Infinity	-94		
		3	-91	-91	-Infinity	-91		
Io	dBm/9.36 MHz	1	-64.60	-62.25	--64.60	-62.25		
	dBm/9.36 MHz	2	-64.60	-62.25	-64.60	-62.25		
	dBm/38.16 MHz	3	-58.50	-56.16	--58.50	-56.16		

Propagation Condition		1, 2, 3	AWGN
Note 1:	Table A.6.6.1.4.2-1The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 2:	Table A.6.6.1.4.2-1Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3:	Table A.6.6.1.4.2-1SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table A.6.6.1.4.2-4: Void****Table A.6.6.1.4.2-5: Void**

#### A.6.6.1.4.3 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 6400 ms from the beginning of time period T2. The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.6.6.1.5 SA event triggered reporting tests without gap under non-DRX with SSB index reading

##### A.6.6.1.5.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the FDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2.

##### A.6.6.1.5.2 Test parameters

Two cells are deployed in the test, which are FR1 PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for FDD PCell and neighbour cell are given in Table A.6.6.1.5.2-1 and A.6.6.1.5.2-2 below. In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.6.6.1.5.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations.

**Table A.6.6.1.5.2-2: General test parameters for SA intra-frequency event triggered reporting without gap for FDD PCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1	Cell 1	
Neighbour cell		1	Cell 2	Cell to be identified.
RF Channel Number		1	1: Cell 1 and Cell 2	
SSB configuration		1	SSB.1 FR1	
SMTC configuration		1	SMTC.2	
A3-Offset	dB	1	-4.5	
CP length		1	Normal	
Hysteresis	dB	1	0	
Time To Trigger	s	1	0	
Filter coefficient		1	0	L3 filtering is not used
DRX	ms	1		OFF
Time offset between PCell and PSCell		1	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
T1	s	1	5	
T2	s	1	5	

**Table A.6.6.1.5.2-3: NR Cell specific test parameters for SA intra-frequency event triggered reporting without gap for FDD PCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
TDD configuration		1		N/A		N/A
PDSCH RMC configuration		1		SR.1.1 FDD		N/A
RMSI CORESET RMC configuration		1		CR.1.1 FDD		CR.1.1 FDD
Dedicated CORESET RMC configuration		1		CCR.1.1 FDD		CCR.1.1 FDD
OCNG Patterns		1		OP.1		OP.1
TRS configuration		1		TRS.1.1 FDD		N/A
Initial BWP configuration		1		DLBWP.0,1 ULBWP.0,1		DLBWP.0,1 ULBWP.0,1
Active DL BWP configuration		1		DLBWP.1,1		DLBWP.1,1
Active UL BWP configuration		1		ULBWP.1,1		ULBWP.1,1
RLM-RS		1		SSB		SSB
$N_{oc}$ Note 2	dBm/SCS	1			-98	
$N_{oc}$ Note 2	dBm/15 KHz	1			-98	
$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity	-94
Io	dBm/9.36 MHz	1	-64.60	-62.25	--64.60	-62.25

Propagation Condition		1	AWGN
Note 1:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.		
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3:	SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

#### A.6.6.1.5.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is required to read the neighbour cell SSB index and report the acquired SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.6.6.1.6 SA event triggered reporting tests with per-UE gaps under non-DRX with SSB index reading

##### A.6.6.1.6.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the FDD intra-frequency cell search requirements in clause 9.2.6.2 and 9.2.6.3.

##### A.6.6.1.6.2 Test parameters

Two cells are deployed in the test, which are FR1 PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for FDD PCell and neighbour cell are given in Table A.6.6.1.6.2-1 and A.6.6.1.6.2-2 below. In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

There are two BWPs configured in Cell 1, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 1. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

**Table A.6.6.1.6.2-1: Supported test configurations**

Configuration	Description
1	15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.6.6.1.6.2-2: General test parameters for SA intra-frequency event triggered reporting with gap for FDD PCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Value	Comment
Active cell		1	Cell 1	
Neighbour cell		1	Cell 2	Cell to be identified.
RF Channel Number		1	1: Cell 1 and Cell 2	
Measurement gap type		1	Per-UE gaps	
Measurement gap repetition periodicity	ms	1	40	
Measurement gap length	ms	1	6	
Measurement gap offset	ms	1	39	
SSB configuration		1	SSB.1 FR1	
SMTS configuration		1	SMTS.2	
CSI-RS parameters		1	CSI-RS.1.2 FDD	
A3-Offset	dB	1	-4.5	
CP length		1	Normal	
Hysteresis	dB	1	0	
Time To Trigger	s	1	0	
Filter coefficient		1	0	L3 filtering is not used
DRX	ms	1		OFF
Time offset between PCell and PSCell		1	3 μs	Synchronous EN-DC
Time offset between serving and neighbour cells		1	3 ms	Asynchronous cells. The timing of Cell 3 is 3ms later than the timing of Cell 2.
T1	s	1	5	
T2	s	1	5	

**Table A.6.6.1.6.2-3: NR Cell specific test parameters for SA intra-frequency event triggered reporting with gap for FDD PCell in FR1 with SSB index reading**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
TDD configuration		1		N/A		N/A
PDSCH RMC configuration		1		SR.1.1 FDD		N/A
RMSI CORESET RMC configuration		1		CR.1.1 FDD		CR.1.1 FDD
Dedicated CORESET RMC configuration		1		CCR.1.1 FDD		CCR.1.1 FDD
OCNG Patterns		1		OP.1		OP.1
TRS configuration		1		TRS.1.1 FDD		N/A
Initial BWP configuration		1		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1		DLBWP.1.2		DLBWP.1.1
Active UL BWP configuration		1		ULBWP.1.1		ULBWP.1.1
RLM-RS		1		CSI-RS		SSB
$N_{oc}$ Note 2	dBm/SCS	1			-98	
$N_{oc}$ Note 2	dBm/15 KHz	1			-98	

$\hat{E}_s / I_{ot}$	dB	1	4	-1.46	-Infinity	-1.46				
$\hat{E}_s / N_{oc}$	dB	1	4	4	-Infinity	4				
SS-RSRP Note 3	dBm/SCS KHz	1	-94	-94	-Infinity	-94				
Io	dBm/9.36 MHz	1	-64.60	-62.25	--64.60	-62.25				
Propagation Condition		1	AWGN							
Note 1:	The resources for uplink transmission are assigned to the UE prior to the start of time period T2.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									

### A.6.6.1.6.3 Test Requirements

The UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE is required to read the neighbour cell SSB index and report the acquired SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

## A.6.6.2 Inter-frequency Measurements

### A.6.6.2.1 SA event triggered reporting tests for FR1 without SSB time index detection when DRX is not used

#### A.6.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 2. The test parameters are given in Tables A.6.6.2.1.1-1, A.6.6.2.1.1-2 and A.6.6.2.1.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.6.6.2.1.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.6.6.2.1.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.6.6.2.1.1-1: SA event triggered reporting tests without SSB index reading for FR1-FR1**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.6.6.2.1.1-2: General test parameters for SA inter-frequency event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
NR RF Channel Number		Config 1,2,3	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1,2,3	NR cell 1 (Pcell)		NR Cell 1 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3	NR cell2		NR cell 2 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3	0	4	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3	39	19	
SMTC-SSB parameters		Config 1	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3	SSB.2 FR1		As specified in clause A.3.10.1
A3-Offset	dB	Config 1,2,3	-6		
Hysteresis	dB	Config 1,2,3	0		
CP length		Config 1,2,3	Normal		
TimeToTrigger	s	Config 1,2,3	0		
Filter coefficient		Config 1,2,3	0		L3 filtering is not used
DRX		Config 1,2,3	OFF		DRX is not used
Time offset between serving and neighbour cells		Config 1	3ms		Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.
		Config 2,3	3μs		Synchronous cells.
T1	s	Config 1,2,3	5		
T2	s	Config 1,2,3	T1	T1	

**Table A.6.6.2.1.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3	1		2	
Duplex mode		Config 1	FDD			
		Config 2,3	TDD			

TDD configuration			Config 1	Not Applicable		
			Config 2	TDDConf.1.1		
			Config 3	TDDConf.2.1		
BW <sub>channel</sub>		MHz	Config 1,2	10: N <sub>RB,c</sub> = 52		
			Config 3	40: N <sub>RB,c</sub> = 106		
BWP BW		MHz	Config 1,2	10: N <sub>RB,c</sub> = 52		
			Config 3	40: N <sub>RB,c</sub> = 106		
BWP configuration	Initial DL BWP		Config 1, 2, 3	DLBWP.0.1	NA	
	Initial UL BWP			ULBWP.0.1	NA	
	Dedicated DL BWP			DLBWP.1.1	NA	
	Dedicated UL BWP			ULBWP.1.1	NA	
TRS configuration			Config 1	TRS.1.1 FDD	NA	
			Config 2	TRS.1.1 TDD	NA	
			Config 3	TRS.1.2 TDD	NA	
OCNG Patterns defined in A.3.2.1.1 (OP.1)			Config 1,2,3	OP.1	OP.1	
PDSCH Reference measurement channel			Config 1	SR.1.1 FDD	-	
			Config 2	SR.1.1 TDD		
			Config 3	SR2.1 TDD		
CORESET Reference Channel			Config 1	CR.1.1 FDD	-	
			Config 2	CR.1.1 TDD		
			Config 3	CR2.1 TDD		
SMTC configuration defined in A.3.11.1 and A.3.11.2			Config 1	SMTC.2		
			Config 2, 3	SMTC.1		
PDSCH/PDCCH subcarrier spacing		kHz	Config 1,2	15		
			Config 3	30		
EPRE ratio of PSS to SSS		Config 1,2,3	0	0		
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS(Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz		-98		-98	
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS	Config 1,2	-98		-98	
		Config 3	-95		-95	
SS-RSRP Note 3		dBm/S CS	Config 1,2	-94	-94	
			Config 3	-91	-91	
				-Infinity	-91	
				-Infinity	-88	
Ê <sub>s</sub> /I <sub>ot</sub>		dB	Config 1,2,3,4,5,6	4	4	
				-Infinity	7	

$\hat{E}_s / N_{oc}$	dB	Config 1,2,3	4	4	-Infinity	7
IoNote3	dBm/9. 36MHz	Config 1,2	-64.59	-64.59	-70.05	-62.26
	dBm/38 .16MHz	Config 3	-58.49	-58.49	-63.94	-56.15
Propagation Condition		Config 1,2,3	AWGN			AWGN
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p>						

#### A.6.6.2.1.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 760 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and 2 UE is not required to report SSB time index.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.6.6.2.2 SA event triggered reporting tests for FR1 without SSB time index detection when DRX is used

##### A.6.6.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 2. The test parameters are given in Tables A.6.6.2.2.1-1, A.6.6.2.2.1-2 and A.6.6.2.2.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.6.6.2.2.1-2 is provided for UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #4 as defined in Table A.6.6.2.2.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.6.6.2.2.1-1: SA event triggered reporting tests without SSB index reading for FR1-FR1**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.6.6.2.2.1-2: General test parameters for SA inter-frequency event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
NR RF Channel Number		Config 1,2,3	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2,3	NR cell 1 (Pcell)				NR Cell 1 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3	NR cell2				NR cell 2 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3	0	2			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3	39	39						
SMTC-SSB parameters		Config 1	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3	SSB.2 FR1				As specified in clause A.3.10.1			
A3-Offset	dB	Config 1,2,3	-6							
Hysteresis	dB	Config 1,2,3	0							
CP length		Config 1,2,3	Normal							
TimeToTrigger	s	Config 1,2,3	0							
Filter coefficient		Config 1,2,3	0				L3 filtering is not used			
DRX		Config 1,2,3	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between serving and neighbour cells		Config 1	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		Config 2,3	3μs				Synchronous cells.			
T1	s	Config 1,2,3	5							
T2	s	Config 1,2,3	T1.1	T11	T1.1	T11				

**Table A.6.6.2.2.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3	1		2	
Duplex mode		Config 1	FDD			

			Config 2,3	TDD				
TDD configuration			Config 1	Not Applicable				
			Config 2	TDDConf.1.1				
			Config 3	TDDConf.2.1				
BW <sub>channel</sub>		MHz	Config 1,2	10: N <sub>RB,c</sub> = 52				
			Config 3	40: N <sub>RB,c</sub> = 106				
BWP BW		MHz	Config 1,2	10: N <sub>RB,c</sub> = 52				
			Config 3	40: N <sub>RB,c</sub> = 106				
BWP configuration	Initial DL BWP		Config 1, 2, 3	DLBWP.0.1	NA			
	Initial UL BWP		Config 1, 2, 3	ULBWP.0.1	NA			
	Dedicated DL BWP			DLBWP.1.1	NA			
	Dedicated UL BWP			ULBWP.1.1	NA			
TRS configuration			Config 1	TRS.1.1 FDD	NA			
			Config 2	TRS.1.1 TDD	NA			
			Config 3	TRS.1.2 TDD	NA			
OCNG Patterns defined in A.3.2.1.1 (OP.1)			Config 1,2,3	OP.1	OP.1			
PDSCH Reference measurement channel			Config 1	SR.1.1 FDD	-			
			Config 2	SR.1.1 TDD				
			Config 3	SR2.1 TDD				
CORESET Reference Channel			Config 1	CR.1.1 FDD	-			
			Config 2	CR.1.1 TDD				
			Config 3	CR2.1 TDD				
SMTC configuration defined in A.3.11.1 and A.3.11.2			Config 1	SMTC.2				
			Config 2, 3	SMTC.1				
PDSCH/PDCCH subcarrier spacing		kHz	Config 1,2	15				
			Config 3	30				
EPRE ratio of PSS to SSS								
EPRE ratio of PBCH DMRS to SSS								
EPRE ratio of PBCH to PBCH DMRS								
EPRE ratio of PDCCH DMRS to SSS								
EPRE ratio of PDCCH to PDCCH DMRS								
EPRE ratio of PDSCH DMRS to SSS								
EPRE ratio of PDSCH to PDSCH								
EPRE ratio of OCNG DMRS to SSS(Note 1)								
EPRE ratio of OCNG to OCNG DMRS (Note 1)								
$N_{oc}^{Note2}$	dBm/15 kHz	Config 1,2,3	-98		-98			
$N_{oc}^{Note2}$	dBm/S CS	Config 1,2	-98		-98			
		Config 3	-95		-95			
SS-RSRP Note 3		Config 1,2	-94	-94	-Infinity	-91		

	dBm/S CS	Config 3	-91	-91	-Infinity	-88
$\hat{E}_s/I_{ot}$	dB	Config 1,2,3,4,5,6	4	4	-Infinity	7
$\hat{E}_s/N_{oc}$	dB	Config 1,2,3	4	4	-Infinity	7
IoNote3	dBm/9.36MHz	Config 1,2	-64.59	-64.59	-70.05	-62.2
	dBm/38.16MHz	Config 3	-58.49	-58.49	-63.94	-56.15
Propagation Condition		Config 1,2,3	AWGN	AWGN		
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

**Table A.6.6.2.2.1-4: DRX-Configuration for SA inter-frequency event triggered reporting without SSB time index detection**

Field	Test1&3	Test2&4	Comment
	Value	Value	
drx-onDurationTimer	ms1	ms1	As specified in clause 6.3.2 in TS 38.331 [2]
drx-InactivityTimer	ms1	ms1	
drx-RetransmissionTimerDL	sl1	sl1	
drx-RetransmissionTimerUL	sl1	sl1	
drx-LongCycleStartOffset	ms40	Ms640	
shortDRX	disable	disable	

**Table A.6.6.2.2.1-5: TimeAlignmentTimer -Configuration SA inter-frequency event triggered reporting without SSB time index detection**

Field	Value	Comment
TimeAlignmentTimer	ms500	As specified in clause 6.3.2 in TS 38.331 [2]

### A.6.6.2.2.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 1080 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 10240 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 1080 ms from the beginning of time period T2. The UE shall not send event triggered

measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 10240 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1, 2, 3 and 4 UE is not required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

A.6.6.2.3    Void

A.6.6.2.4    Void

A.6.6.2.5    SA event triggered reporting tests for FR1 with SSB time index detection when DRX is not used

#### A.6.6.2.5.1    Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 2. The test parameters are given in Tables A.6.6.2.5.1-1, A.6.6.2.5.1-2 and A.6.6.2.5.1-3. In test 1 measurement gap pattern configuration #0 as defined in Table A.6.6.2.5.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.6.6.2.5.1-2 is provided for UE that supports per-FR gap..

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.6.6.2.5.1-1: SA event triggered reporting tests with SSB index reading for FR1-FR1**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.6.6.2.5.1-2: General test parameters for SA inter-frequency event triggered reporting for FR1 with SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment		
			Test 1	Test 2			
NR RF Channel Number		Config 1,2,3	1, 2		Two FR1 NR carrier frequencies is used.		
Active cell		Config 1,2,3	NR cell 1 (Pcell)		NR Cell 1 is on NR RF channel number 1.		
Neighbour cell		Config 1,2,3	NR cell2		NR cell 2 is on NR RF channel number 2.		
Gap Pattern Id		Config 1,2,3	0	2	As specified in clause 9.1.2-1.		
Measurement gap offset		Config 1,2,3	39	39			
SMTC-SSB parameters	Config 1	SSB.1 FR1			As specified in clause A.3.10.1		
	Config 2	SSB.1 FR1			As specified in clause A.3.10.1		
	Config 3	SSB.2 FR1			As specified in clause A.3.10.1		
A3-Offset	dB	Config 1,2,3	-6				
Hysteresis	dB	Config 1,2,3	0				
CP length		Config 1,2,3	Normal				
TimeToTrigger	s	Config 1,2,3	0				
Filter coefficient		Config 1,2,3	0		L3 filtering is not used		
DRX		Config 1,2,3	OFF		DRX is not used		
Time offset between serving and neighbour cells	Config 1	3ms			Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.		
	Config 2,3	3μs			Synchronous cells.		
T1	s	Config 1,2,3	5				
T2	s	Config 1,2,3	1.1	1			

**Table A.6.6.2.5.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR1 with SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2		
			T1	T2	T1	T2	
NR RF Channel Number		Config 1,2,3	1		2		
Duplex mode	Config 1	FDD					
	Config 2,3	TDD					
TDD configuration	Config 1	Not Applicable					
	Config 2	TDDConf.1.1					
	Config 3	TDDConf.2.1					
BW <sub>channel</sub>	MHz	Config 1,2	10: N <sub>RB,c</sub> = 52				
		Config 3	40: N <sub>RB,c</sub> = 106				
BWP BW	MHz	Config 1,2	10: N <sub>RB,c</sub> = 52				
		Config 3	40: N <sub>RB,c</sub> = 106				
BWP configuration	Initial DL BWP	Config 1, 2, 3	DLBWP.0.1		NA		
	Initial UL BWP		ULBWP.0.1		NA		
	Dedicated DL BWP		DLBWP.1.1		NA		
	Dedicated UL BWP		ULBWP.1.1		NA		
TRS configuration		Config 1	TRS.1.1 FDD		NA		
		Config 2	TRS.1.1 TDD		NA		

		Config 3	TRS.1.2 TDD		NA			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3	OP.1		OP.1			
PDSCH Reference measurement channel		Config 1	SR.1.1 FDD		-			
		Config 2	SR.1.1 TDD					
		Config 3	SR2.1 TDD					
CORESET Reference Channel		Config 1	CR.1.1 FDD		-			
		Config 2	CR.1.1 TDD					
		Config 3	CR2.1 TDD					
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1	SMTC.2					
		Config 2, 3	SMTC.1					
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	15		0			
		Config 3	30					
EPRE ratio of PSS to SSS		Config 1,2,3	0	0				
EPRE ratio of PBCH DMRS to SSS								
EPRE ratio of PBCH to PBCH DMRS								
EPRE ratio of PDCCH DMRS to SSS								
EPRE ratio of PDCCH to PDCCH DMRS								
EPRE ratio of PDSCH DMRS to SSS								
EPRE ratio of PDSCH to PDSCH								
EPRE ratio of OCNG DMRS to SSS(Note 1)								
EPRE ratio of OCNG to OCNG DMRS (Note 1)								
$N_{oc}^{Note2}$	dBm/15 kHz		-98		-98			
$N_{oc}^{Note2}$	dBm/S CS	Config 1,2	-98		-98			
		Config 3	-95		-95			
SS-RSRP <sup>Note 3</sup>	dBm/S CS	Config 1,2	-94	-94	-Infinity	-91		
		Config 3	-91	-91	-Infinity	-88		
$\hat{E}_s/I_{ot}$	dB	Config 1,2,3	4	4	-Infinity	7		
$\hat{E}_s/N_{oc}$	dB	Config 1,2,3	4	4	-Infinity	7		
Io <sup>Note3</sup>	dBm/9.36MHz	Config 1,2	-64.59	-64.59	-70.05	-62.2		
	dBm/38.16MHz	Config 3	-58.4	-58.49	-63.94	-56.15		
Propagation Condition		Config 1,2,3	AWGN		AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p>								

### A.6.6.2.5.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 1040 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 880 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and 2 UE is required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.6.6.2.6 SA event triggered reporting tests for FR1 with SSB time index detection when DRX is used

#### A.6.6.2.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 2. The test parameters are given in Tables A.6.6.2.6.1-1, A.6.6.2.6.1-2 and A.6.6.2.6.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.6.6.2.6.1-2 is provided for UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #4 as defined in Table A.6.6.2.6.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

UE needs to be provided at least once every 500 ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.6.6.2.6.1-1: SA event triggered reporting tests with SSB index reading for FR1-FR1**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations  
Note 2: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.6.6.2.6.1-2: General test parameters for SA inter-frequency event triggered reporting for FR1 with SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
NR RF Channel Number		Config 1,2,3	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1,2,3	NR cell 1 (Pcell)				NR Cell 1 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3	NR cell2				NR cell 2 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3	0	2			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3	39	39						
SMTC-SSB parameters		Config 1	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3	SSB.2 FR1				As specified in clause A.3.10.1			
A3-Offset	dB	Config 1,2,3	-6							
Hysteresis	dB	Config 1,2,3	0							
CP length		Config 1,2,3	Normal							
TimeToTrigger	s	Config 1,2,3	0							
Filter coefficient		Config 1,2,3	0				L3 filtering is not used			
DRX		Config 1,2,3	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between serving and neighbour cells		Config 1	3 ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		Config 2,3	3 μs				Synchronous cells.			
T1	s	Config 1,2,3	5							
T2	s	Config 1,2,3	1.3	13.5	1.3	13.5				

**Table A.6.6.2.6.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR1 with SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2			
			T1	T2	T1	T2		
NR RF Channel Number		Config 1,2,3	1		2			
Duplex mode		Config 1	FDD					
		Config 2,3			TDD			
TDD configuration		Config 1	Not Applicable					
		Config 2	TDDConf.1.1					
		Config 3	TDDConf.2.1					
BW <sub>channel</sub>	MHz	Config 1,2	10: N <sub>RB,c</sub> = 52					
		Config 3	40: N <sub>RB,c</sub> = 106					
BWP BW	MHz	Config 1,2	10: N <sub>RB,c</sub> = 52					
		Config 3	40: N <sub>RB,c</sub> = 106					
BWP configuration	Initial DL BWP		Config 1, 2, 3	DLBWP.0.1		NA		
	Initial UL BWP			ULBWP.0.1		NA		
	Dedicated DL BWP			DLBWP.1.1		NA		
	Dedicated UL BWP			ULBWP.1.1		NA		

TRS configuration		Config 1	TRS.1.1 FDD		NA						
		Config 2	TRS.1.1 TDD		NA						
		Config 3	TRS.1.2 TDD		NA						
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3	OP.1		OP.1						
PDSCH Reference measurement channel		Config 1	SR.1.1 FDD	-							
		Config 2	SR.1.1 TDD								
		Config 3	SR2.1 TDD								
CORESET Reference Channel		Config 1	CR.1.1 FDD	-							
		Config 2	CR.1.1 TDD								
		Config 3	CR2.1 TDD								
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1	SMTC.2								
		Config 2, 3	SMTC.1								
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	15								
		Config 3	30								
EPRE ratio of PSS to SSS		Config 1,2,3	0	0							
EPRE ratio of PBCH DMRS to SSS											
EPRE ratio of PBCH to PBCH DMRS											
EPRE ratio of PDCCH DMRS to SSS											
EPRE ratio of PDCCH to PDCCH DMRS											
EPRE ratio of PDSCH DMRS to SSS											
EPRE ratio of PDSCH to PDSCH											
EPRE ratio of OCNG DMRS to SSS(Note 1)											
EPRE ratio of OCNG to OCNG DMRS (Note 1)											
$N_{oc}^{Note2}$	dBm/15 kHz		-98		-98						
$N_{oc}^{Note2}$	dBm/S CS	Config 1,2	-98		-98						
		Config 3	-95		-95						
SS-RSRP <sup>Note 3</sup>	dBm/S CS	Config 1,2	-94	-94	-Infinity	-91					
		Config 3	-91	-91	-Infinity	-88					
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3	4	4	-Infinity	7					
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3	4	4	-Infinity	7					
Io <sup>Note3</sup>	dBm/9.36MHz	Config 1,2	-64.59	-64.59	-70.05	-62.26					
	dBm/38.16MHz	Config 3	-58.49	-58.49	-63.94	-56.15					
Propagation Condition		Config 1,2,3	AWGN		AWGN						

- |         |  |
|---------|--|
| Note 1: | OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  |
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. |
| Note 3: | SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.   |
| Note 4: | SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  |

#### A.6.6.2.6.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 1280 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 13440 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-UE gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 1280 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than 13440 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1, 2, 3 and 4 UE is required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.6.6.3 Inter-RAT Measurements

##### A.6.6.3.1 SA NR - E-UTRAN event-triggered reporting in non-DRX in FR1

###### A.6.6.3.1.1 Test Purpose and Environment

The purpose of this set of tests is to verify that the UE makes correct event-triggered reporting of inter-RAT E-UTRAN measurements when operating in standalone (SA) operation with PCell in FR1. This test shall partly verify the cell search and measurement requirements in Clauses 9.4.2 and 9.4.3.

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an inter-RAT E-UTRAN inter-RAT neighbour cell. In the measurement control information from the PCell it is indicated to the UE that event-triggered reporting with Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2) is to be used. Each test consists of two consecutive time periods, with durations T1 and T2, respectively. Prior to the start of time duration T1, the UE shall be fully synchronized to Cell 1. During T1, the UE shall not have any information on Cell 2.

Supported test configurations are shown in table A.6.6.3.1.1-1. General test parameters are provided in Table A.6.6.3.1.1-2 below. Test parameters for Cell 1 and Cell 2, valid for both time duration T1 and T2, are provided in Tables A.6.6.3.1.1-3 and A.6.6.3.1.1-4, respectively.

**Table A.6.6.3.1.1-1: Supported test configurations in SA inter-RAT E-UTRAN event triggered reporting in non-DRX with PCell in FR1**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD
6	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.6.6.3.1.1-2: General test parameters for SA inter-RAT E-UTRAN event triggered reporting in non-DRX with PCell in FR1**

Parameter	Unit	Value	Comment
NR RF Channel Number		1	1 NR carrier frequency is used in the test
LTE RF Channel Number		1	1 LTE carrier frequency is used in the test
Channel Bandwidth	MHz	As specified in Tables A.6.6.3.1.1-2 and A.6.6.3.1.1-3.	
Active cell		Cell 1	Cell 1 is on RF channel number 1
Neighbour cell		Cell 2	Cell 2 is on RF channel number 2
Gap Pattern Id		0	As specified in Clause Table 9.1.2-1. Per-UE gap pattern.
NR measurement quantity		SS-RSRP	Measurement quantity for Cell 1
Inter-RAT E-UTRAN measurement quantity		RSRP	Measurement quantity for Cell 2
b2-Threshold1	dBm	Note 1	SS-RSRP threshold for SS-RSRP measurement on cell1 for event B2
b2-Threshold2EUTRA	dBm	-95	E-UTRAN RSRP threshold for SS-RSRP measurement on cell1 for event B2
Hysteresis	dB	0	
TimeToTrigger	s	0	
Filter coefficient		0	L3 filtering is not used
DRX		OFF	OFF
T1	s	5	
T2	s	5	
Note 1: Values are defined in Table A.6.6.3.1.1-3			

**Table A.6.6.3.1.1-3: PCell specific test parameters for SA inter-RAT E-UTRA event triggered reporting in non-DRX with PCell in FR1**

Parameter	Unit	Configuration	Cell 1	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD Configuration	SCS=15 KHz	2, 5	TDDConf.1.1	
	SCS=30 KHz	3, 6	TDDConf.1.2	
BW <sub>channel</sub>	MHz	1, 4	10: N <sub>RB,c</sub> = 52 (FDD)	
		2, 5	10: N <sub>RB,c</sub> = 52 (TDD)	
		3, 6	40: N <sub>RB,c</sub> = 106 (TDD)	
PDSCH reference measurement channel		1, 4	SR.1.1 FDD	
		2, 5	SR.1.1 TDD	
		3, 6	SR.2.1 TDD	
CORSET reference channel		1, 4	CR.1.1 FDD	
		2, 5	CR.1.1 TDD	

		3, 6	CR.2.1 TDD
BWP configurations	Initial DL BWP	1, 2, 3, 4, 5, 6	DLBWP.0.1
	Dedicated DL BWP	1, 2, 3, 4, 5, 6	DLBWP.1.1
	Initial UL BWP	1, 2, 3, 4, 5, 6	ULBWP.0.1
	Dedicated UL BWP	1, 2, 3, 4, 5, 6	ULBWP.1.1
OCNG pattern <sup>Note1</sup>		1, 2, 3, 4, 5, 6	OP.1
SMTC configuration		1, 2, 3, 4, 5, 6	SMTC.1
SSB configuration		1, 2, 4, 5	SSB.1 FR1
		3, 6	SSB.2 FR1
b2-Threshold1	dBm	1, 2, 4, 5	--96
		3, 6	--93
EPRE ratio of PSS to SSS	dB	1, 2, 3, 4, 5, 6	0
EPRE ratio of PBCH_DMRS to SSS			
EPRE ratio of PBCH to PBCH_DMRS			
EPRE ratio of PDCCCH_DMRS to SSS			
EPRE ratio of PDCCH to PDCCH_DMRS			
EPRE ratio of PDSCH_DMRS to SSS			
EPRE ratio of PDSCH to PDSCH_DMRS			
EPRE ratio of OCNG DMRS to SSS			
EPRE ratio of OCNG to OCNG DMRS			
$N_{oc}$ <sup>Note2</sup>	dBm/15 KHz	1, 2, 3, 4, 5, 6	-104
$N_{oc}$ <sup>Note2</sup>	dBm/SCS	1, 2, 4, 5	-104
		3, 6	-101
$\hat{E}_s/N_{oc}$	dB	1, 2, 3, 4, 5, 6	116
$\hat{E}_s/I_{tot}$ <sup>Note3</sup>	dB	1, 2, 3, 4, 5, 6	116
SS-RSRP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	--88
		3, 6	--85
SSB_RP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	--88
		3, 6	--85
Io <sup>Note3</sup>	dBm/9.36 MHz	1, 2, 4, 5	-59.94
	dBm/38.16 MHz	3, 6	-53.84
Propagation condition		1, 2, 3, 4, 5, 6	ETDLA30
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3:	$\hat{E}_s/I_{tot}$ , SS-RSRP, SSB_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table A.6.6.3.1.1-4: E-UTRAN neighbour cell specific test parameters for SA inter-RAT E-UTRAN event triggered reporting in non-DRX with PCell in FR1**

Parameter	Unit	Configuration	Cell 2	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6	6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6	1	

BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6	5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note2</sup>		1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD	
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
N <sub>oc</sub> <sup>Note4</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-104	
Ē <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
Ē <sub>s</sub> /I <sub>ot</sub> <sup>Note5</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
RSRP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
SCH_RP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
I <sub>o</sub> <sup>Note5</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-76.22+10log (N <sub>RB,c</sub> /50)	-59.13+10log (N <sub>RB,c</sub> /50)
Propagation Condition		1, 2, 3, 4, 5, 6	ETU70	
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low	

- Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  
 Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  
 Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
 Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
 Note 5: Ē<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

#### A.6.6.3.1.2 Test Requirements

The UE shall send one Event B2 triggered measurement report for Cell 2 to the PCell, with a measurement reporting delay less than 3.84s from the start of period T2. The measurement reporting delay is defined as the time from the beginning of time period T2 to the moment when the UE sends the measurement report on PUSCH.

The UE shall not send event-triggered measurement reports as long as the reporting criteria is not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.6.6.3.2 SA NR - E-UTRAN event-triggered reporting in DRX in FR1

#### A.6.6.3.2.1 Test Purpose and Environment

The purpose of this set of tests is to verify that the UE makes correct event-triggered reporting of inter-RAT E-UTRAN measurements when operating in standalone (SA) operation with PCell in FR1 when DRX is used. This test shall partly verify the cell search and measurement requirements in Clauses 9.4.2 and 9.4.3. There are two test cases. In test 1 the UE shall be configured with DRX cycle of 40 ms. In test 2 the UE shall be configured with DRX cycle of 640 ms.

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an inter-RAT E-UTRAN inter-RAT neighbour cell. In the measurement control information from the PCell it is indicated to the UE that event-triggered reporting with Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2) is to be used. Each test consists of two consecutive time periods, with durations T1 and T2, respectively. Prior to the start of time duration T1, the UE shall be fully synchronized to Cell 1. During T1, the UE shall not have any information on Cell 2.

In each test the UE shall be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore the UE shall be allocated with PUSCH resource at every DRX cycle.

Supported test configurations are shown in table A.6.6.3.2.1-1. General test parameters are provided in Table A.6.6.3.2.1-2 below. Test parameters for Cell 1 and Cell 2, valid for both time duration T1 and T2, are provided in Tables A.6.6.3.2.1-3 and A.6.6.3.2.1-4, respectively.

**Table A.6.6.3.2.1-1: Supported test configurations in SA inter-RAT E-UTRAN event triggered reporting in DRX with PCell in FR1**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD
6	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.6.3.2.1-2: General test parameters for SA inter-RAT E-UTRAN event triggered reporting in DRX with PCell in FR1**

Parameter	Unit	Test 1	Test 2	Comment
		Value		
NR RF Channel Number		1		1 NR carrier frequency is used in the test
LTE RF Channel Number		2		1 LTE carrier frequency is used in the test
Channel Bandwidth	MHz	As specified in Tables A.6.6.3.2.1-2 and A.6.6.3.2.1-3.		
Active cell		Cell 1		Cell 1 is on RF channel number 1
Neighbour cell		Cell 2		Cell 2 is on RF channel number 2
Gap Pattern Id		0		As specified in Clause Table 9.1.2-1. Per-UE gap pattern.
NR measurement quantity		SS-RSRP		Measurement quantity for Cell 1
Inter-RAT E-UTRAN measurement quantity		RSRP		Measurement quantity for Cell 2
b2-Threshold1	dBm	Note 1		SS-RSRP threshold for SS-RSRP measurement on cell1 for event B2
b2-Threshold2EUTRA	dBm	-95		E-UTRAN RSRP threshold for SS-RSRP measurement on cell1 for event B2
Hysteresis	dB	0		
TimeToTrigger	s	0		
Filter coefficient		0		L3 filtering is not used
DRX		DRX.1	DRX.2	DRX cycle configurations DRX.1 and DRX.2 are defined in Table A.3.3.1-1 and Table A.3.3.2-1 respectively.
T1	s	5		
T2	s	5	15	
Note 1: Values are defined in Table A.6.6.3.2.1-3				

**Table A.6.6.3.2.1-3: PCell specific test parameters for SA inter-RAT E-UTRA event triggered reporting in DRX with PCell in FR1**

Parameter	Unit	Configuration	Cell 1	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD Configuration	SCS=15 KHz	2, 5	TDDConf.1.1	
	SCS=30 KHz	3, 6	TDDConf.2.1	
BW <sub>channel</sub>	MHz	1, 4	10: N <sub>RB,c</sub> = 52 (FDD)	
		2, 5	10: N <sub>RB,c</sub> = 52 (TDD)	
		3, 6	40: N <sub>RB,c</sub> = 106 (TDD)	
PDSCH reference measurement channel		1, 4	SR.1.1 FDD	
		2, 5	SR.1.1 TDD	
		3, 6	SR.2.1 TDD	
CORSET reference channel		1, 4	CR.1.1 FDD	
		2, 5	CR.1.1 TDD	
		3, 6	CR.2.1 TDD	
BWP configurations	Initial DL BWP	1, 2, 3, 4, 5, 6	DLBWP.0.1	
	Dedicated DL BWP	1, 2, 3, 4, 5, 6	DLBWP.1.1	
	Initial UL BWP	1, 2, 3, 4, 5, 6	ULBWP.0.1	
	Dedicated UL BWP	1, 2, 3, 4, 5, 6	ULBWP.1.1	
OCNG pattern <sup>Note1</sup>		1, 2, 3, 4, 5, 6	OP.1	
SMTC configuration		1, 2, 3, 4, 5, 6	SMTC.1	
SSB configuration		1, 2, 4, 5	SSB.1 FR1	
		3, 6	SSB.2 FR1	
b2-Threshold1	dBm	1, 2, 4, 5	--96	

		3, 6	--93
EPRE ratio of PSS to SSS			
EPRE ratio of PBCH_DMRS to SSS			
EPRE ratio of PBCH to PBCH_DMRS			
EPRE ratio of PDCCH_DMRS to SSS			
EPRE ratio of PDCCH to PDCCH_DMRS			
EPRE ratio of PDSCH_DMRS to SSS			
EPRE ratio of PDSCH to PDSCH_DMRS			
EPRE ratio of OCNG DMRS to SSS			
EPRE ratio of OCNG to OCNG DMRS			
$N_{oc}^{Note2}$	dBm/15 KHz	1, 2, 3, 4, 5, 6	-104
$N_{oc}^{Note2}$	dBm/SCS	1, 2, 4, 5	-104
		3, 6	-101
$\hat{E}_s/N_{oc}$	dB	1, 2, 3, 4, 5, 6	116
$\hat{E}_s/I_{tot}^{Note3}$	dB	1, 2, 3, 4, 5, 6	116
SS-RSRP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	--88
		3, 6	--85
SSB_RP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	--88
		3, 6	--85
$I_o^{Note3}$	dBm/9.36 MHz	1, 2, 4, 5	--59.94
	dBm/38.16 MHz	3, 6	--53.84
Propagation condition		1, 2, 3, 4, 5, 6	ETDLA30
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.		
Note 3:	$\hat{E}_s/I_{tot}$ , SS-RSRP, SSB_RP and $I_o$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.		

**Table A.6.6.3.2.1-4: E-UTRAN neighbour cell specific test parameters for SA inter-RAT E-UTRAN event triggered reporting in DRX with PCell in FR1**

Parameter	Unit	Configuration	Cell 2	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		2
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6		6
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6		1
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6	5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	

PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD		
		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD		
OCNG Patterns <sup>Note2</sup>		1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD		
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD		
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0		
PBCH_RB					
PSS_RA					
SSS_RA					
PCFICH_RB					
PHICH_RA					
PHICH_RB					
PDCCH_RA					
PDCCH_RB					
PDSCH_RA					
PDSCH_RB					
OCNG_RA <sup>Note3</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-104		
OCNG_RB <sup>Note3</sup>					
N <sub>oc</sub> <sup>Note4</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6			
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	17	
Ê <sub>s</sub> /I <sub>ot</sub> <sup>Note5</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	17	
RSRP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87	
SCH_RP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87	
I <sub>o</sub> <sup>Note5</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-76.22+10log (N <sub>RB,c</sub> /50)	-59.13+10log (N <sub>RB,c</sub> /50)	
Propagation Condition <sup>Note6</sup>		1, 2, 3, 4, 5, 6	ETU70		
Antenna Configuration and Correlation Matrix <sup>Note6</sup>		1, 2, 3, 4, 5, 6	1x2 Low		

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  
Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  
Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
Note 5: Ê<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  
Note 6: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].

#### A.6.6.3.2.2 Test Requirements

In test 1, the UE shall send one Event B2 triggered measurement report for Cell 2 to the PCell, with a measurement reporting delay less than 3.84s from the start of period T2. The measurement reporting delay is defined as the time from the beginning of time period T2 to the moment when the UE sends the measurement report on PUSCH.

In test 2, the UE shall send one Event B2 triggered measurement report for Cell 2 to the PCell, with a measurement reporting delay less than 12.8s from the start of period T2. The measurement reporting delay is defined as the time from the beginning of time period T2 to the moment when the UE sends the measurement report on PUSCH.

The UE shall not send event-triggered measurement reports as long as the reporting criteria is not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.6.6.4 L1-RSRP measurement for beam reporting

#### A.6.6.4.1 SSB based L1-RSRP measurement when DRX is not used

Editor's Note: to be added based on A.4.6.3.1.

#### A.6.6.4.2 SSB based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.6.6.3.1.

#### A.6.6.4.3 CSI-RS based L1-RSRP measurement when DRX is not used

Editor's Note: to be added based on A.4.6.3.3.

#### A.6.6.4.4 CSI-RS based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.6.6.3.3.

## A.6.7 Measurement Performance requirements

### A.6.7.1 SS-RSRP

#### A.6.7.1.1 SA: intra-frequency case measurement accuracy with FR1 serving cell and FR1 target cell

##### A.6.7.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 10.1.2.1.1 and 10.1.2.1.2 for intra frequency measurements.

##### A.6.7.1.1.2 Test parameters

In this set of test cases all cells are on the same carrier frequency. Supported test configurations are shown in table A.6.7.1.1.2-1. Both absolute and relative accuracy of SS-RSRP intra frequency measurements are tested by using the parameters in A.6.7.1.1.2-2. In all test cases, Cell 1 is the PCell, and Cell 2 the target cell.

**Table A.6.7.1.1.2-1: SS-RSRP Intra frequency SS-RSRP supported test configurations**

Config	Description	
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.7.1.1.2-2: SS-RSRP Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
Cell ID		T489	T0	T489	T0	T489	T0
SSB ARFCN			freq1		freq1		freq1
Duplex mode	Config 1 Config 2,3			FDD			
				TDD			
TDD configuration	Config 1 Config 2 Config 3			Not Applicable			
				TDDConf.1.1			
				TDDConf.2.1			

BW <sub>channel</sub>	Config 1	MHz	10: N <sub>RB,c</sub> = 52					
	Config 2		10: N <sub>RB,c</sub> = 52					
	Config 3		40: N <sub>RB,c</sub> = 106					
BWP BW	Config 1		10: N <sub>RB,c</sub> = 52					
	Config 2		10: N <sub>RB,c</sub> = 52					
	Config 3		40: N <sub>RB,c</sub> = 106					
Downlink initial BWP configuration			DLBWP.0.1					
Downlink dedicated BWP configuration			DLBWP.1.1					
Uplink initial BWP configuration			ULBWP.0.1					
Uplink dedicated BWP configuration			ULBWP.1.1					
TRS configuration	Config 1		TRS.1. 1 FDD	NA	TRS.1. .1 FDD	NA	TRS.1. 1 FDD	NA
	Config 2		TRS.1. 1 TDD	NA	TRS.1. .1 TDD	NA	TRS.1. 1 TDD	NA
	Config 3		TRS.1. 2 TDD	NA	TRS.1. .2 TDD	NA	TRS.1. 2 TDD	NA
DRX Cycle		ms	Not Applicable					
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD	-	SR.1.1 FDD	-	SR.1.1 FDD	-
	Config 2		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD	
	Config 3		SR2.1 TDD		SR2.1 TDD		SR2.1 TDD	
RMSI CORESET Reference Channel	Config 1		CR.1.1 FDD	-	CR.1.1 FDD	-	CR.1.1 FDD	-
	Config 2		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD	
	Config 3		CR2.1 TDD		CR2.1 TDD		CR2.1 TDD	
Control channel RMC	Config 1		CCR.1. 1 FDD	-	CCR.1. 1 FDD	-	CCR.1. 1 FDD	-
	Config 2		CCR.1. 1 TDD		CCR.1. .1 TDD		CCR.1. .1 TDD	
	Config 3		CCR2.1 TDD		CCR2.1 TDD		CCR2.1 TDD	
SSB configuration	Config 1		SSB 1 FR1	-	SSB 1 FR1	-	SSB 1 FR1	-
	Config 2		SSB 1 FR1		SSB 1 FR1		SSB 1 FR1	
	Config 3		SSB 2 FR1		SSB 2 FR1		SSB 2 FR1	

SSB configuration	Config 1		SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1							
	Config 2		SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1	SSB.1 FR1							
	Config 3		SSB.2 FR1	SSB.2 FR1	SSB.2 FR1	SSB.2 FR1	SSB.2 FR1	SSB.2 FR1							
Time offset with Cell 2	Config 1	ms	-	3	-	3	-	3							
	Config 2,3	μs	-	3	-	3	-	3							
SMTc configuration	Config 1					SMTc.2									
	Config 2,3					SMTc.1									
OCNG Patterns						OCNG pattern 1									
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz				15 kHz									
	Config 3					30kHz									
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0							
EPRE ratio of PBCH DMRS to SSS															
EPRE ratio of PBCH to PBCH DMRS															
EPRE ratio of PDCCH DMRS to SSS															
EPRE ratio of PDCCH to PDCCH DMRS															
EPRE ratio of PDSCH DMRS to SSS															
EPRE ratio of PDSCH to PDSCH															
EPRE ratio of OCNG DMRS to SSS (Note 1)															
EPRE ratio of OCNG to OCNG DMRS (Note 1)															
$N_{oc}$ Note2	Config 1,2	NR_FDD_FR1_A, NR_TDD_FR1_A  NR_FDD_FR1_B  NR_TDD_FR1_C  NR_FDD_FR1_D, NR_TDD_FR1_D  NR_FDD_FR1_E, NR_TDD_FR1_E  NR_FDD_FR1_G  NR_FDD_FR1_H	dBm/15Khz	-106	-88										
						-116									
						-115.5									
						-115									
						-114.5									
						-114									
						-113									
						-112.5									
	Config 3	NR_FDD_FR1_A, NR_TDD_FR1_A  NR_FDD_FR1_B  NR_TDD_FR1_C  NR_FDD_FR1_D, NR_TDD_FR1_D  NR_FDD_FR1_E, NR_TDD_FR1_E  NR_FDD_FR1_G  NR_FDD_FR1_H	dBm/15Khz	-113	-94										
						-116									
						-115.5									
						-115									
						-114.5									
						-114									
						-113									
						-112.5									
$N_{oc}$ Note2	Config 1,2	NR_FDD_FR1_A, NR_TDD_FR1_A  NR_FDD_FR1_B  NR_TDD_FR1_C  NR_FDD_FR1_D, NR_TDD_FR1_D  NR_FDD_FR1_E, NR_TDD_FR1_E  NR_FDD_FR1_G  NR_FDD_FR1_H	dBm/SCS	-106	-88	Same as Noc/15kHz									
						-113									
						-112.5									
						-112									
						-111.5									
	Config 3	NR_FDD_FR1_A, NR_TDD_FR1_A  NR_FDD_FR1_B  NR_TDD_FR1_C  NR_FDD_FR1_D, NR_TDD_FR1_D  NR_FDD_FR1_E, NR_TDD_FR1_E  NR_FDD_FR1_G  NR_FDD_FR1_H	dBm/SCS	-110	-91										
						-111									
						-110									
						-109.5									

$\hat{E}_s/I_{ot}$			dB	2.5	-6	2.5	-6	0.46	-5.76				
$\hat{E}_s/N_{oc}$			dB	6	1	6	1	3	-1				
SS-RSRP <sup>Note 3</sup>	Config 1,2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SCS	-100	-105	-82	-87	-113	-117				
		NR_FDD_FR1_B						-112.5	-116.5				
		NR_TDD_FR1_C						-112	-116				
		NR_FDD_FR1_D, NR_TDD_FR1_D						-111.5	-115.5				
		NR_FDD_FR1_E, NR_TDD_FR1_E						-111	-115				
		NR_FDD_FR1_G						-110	-114				
		NR_FDD_FR1_H						-109.5	-113.5				
	Config 3	NR_FDD_FR1_A, NR_TDD_FR1_A		-106	-109	-85	-90	-110	-114				
		NR_FDD_FR1_B						-109.5	-113.5				
		NR_TDD_FR1_C						109	-113				
		NR_FDD_FR1_D, NR_TDD_FR1_D						-	108.55				
		NR_FDD_FR1_E, NR_TDD_FR1_E						-108	-112				
		NR_FDD_FR1_G						-107	-111				
		NR_FDD_FR1_H						-106.5	-110.5				
Io <sup>Note 3</sup>	Config 1,2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/ 9.36MHz	-70.09	-52.09	-82.26	-81.76	-82.26	-81.76				
		NR_FDD_FR1_B						-81.26	-81.26				
		NR_TDD_FR1_C						-80.76	-80.76				
		NR_FDD_FR1_D, NR_TDD_FR1_D						-80.26	-80.26				
		NR_FDD_FR1_E, NR_TDD_FR1_E						-79.26	-79.26				
		NR_FDD_FR1_G						-78.76	-78.76				
		NR_FDD_FR1_H						-78.26	-78.26				
	Config 3	NR_FDD_FR1_A, NR_TDD_FR1_A		-70.99	-51.99	-76.16	-75.66	-76.16	-75.66				
		NR_FDD_FR1_B						-75.16	-75.16				
		NR_TDD_FR1_C						-74.66	-74.66				
		NR_FDD_FR1_D, NR_TDD_FR1_D						-74.16	-74.16				
		NR_FDD_FR1_E, NR_TDD_FR1_E						-73.16	-73.16				
		NR_FDD_FR1_G						-72.66	-72.66				
		NR_FDD_FR1_H						-72.26	-72.26				
Propagation condition			-	AWGN									
Antenna configuration				1x2									
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.													

### A.6.7.1.1.3 Test Requirements

The SS-RSRP measurement accuracy for cell 1 and cell 2 shall fulfil absolute requirement in clause 10.1.2.1.1 and relative requirement in clause 10.1.2.1.2.

### A.6.7.1.2 SA inter-frequency case measurement accuracy with FR1 serving cell and FR1 target cell

#### A.6.7.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 10.1.4.1.1 and 10.1.4.1.2 for intra frequency measurements with the testing configurations for NR cells in Table A.6.7.1.2.1-1.

**Table A.6.7.1.2.1-1: Applicable NR configurations for FR1 inter-frequency SS-RSRP accuracy test**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

#### A.6.7.1.2.2 Test parameters

In this set of test cases there are two cells in the test, PCell (Cell 1) and a FR1 neighbour cell (Cell 2) on a different frequency than the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.6.7.1.2.2-1 below. Both absolute and relative accuracy of RSRP intra frequency measurements are tested by using the parameters in Table A.6.7.1.2.2-1. The inter frequency measurements are supported by a measurement gap.

**Table A.6.7.1.2.2-1: SS-RSRP inter-frequency test parameters**

Parameter	Config	Unit	Test 1		Test 2			
			Cell 1	Cell 2	Cell 1	Cell 2		
SSB ARFCN	1-3		freq1	freq2	freq1	freq2		
BW <sub>channel</sub>	1	MHz	10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52			
	2		10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52			
	3		40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106			
Duplex mode	1		FDD		FDD			
	2		TDD		TDD			
	3		TDD		TDD			
TDD configuration	1		N/A		N/A			
	2		TDDConf.1.1		TDDConf.1.1			
	3		TDDConf.2.1		TDDConf.2.1			
PDSCH Reference measurement channel	1		SR.1.1 FDD	-	SR.1.1 FDD	-		
	2		SR.1.1 TDD		SR.1.1 TDD			
	3		SR.2.1 FDD		SR.2.1 FDD			
RMSI CORESET Reference Channel	1		CR.1.1 FDD	-	CR.1.1 FDD	-		
	2		CR.1.1 TDD		CR.1.1 TDD			
	3		CR.2.1 FDD		CR.2.1 FDD			
Dedicated CORESET Reference Channel	1		CCR.1.1 FDD	-	CCR.1.1 FDD	-		
	2		CCR.1.1 TDD		CCR.1.1 TDD			
	3		CCR.2.1 TDD		CCR.2.1 TDD			
SSB configuration	1		SSB.1 FR1		SSB.1 FR1			
	2		SSB.1 FR1		SSB.1 FR1			
	3		SSB.2 FR1		SSB.2 FR1			
OCNG Patterns	1-3		OP.1		OP.1			
TRS configuration	1		TRS.1.1 FDD	-	TRS.1.1 FDD			
	2		TRS.1.1 TDD		TRS.1.1 TDD			

	3		TRS.1.2 TDD		TRS.1.2 TDD	
Initial BWP Configuration	1~3		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1	
Dedicated BWP configuration	1~3		DLBWP.1.1 ULBWP.1.1		DLBWP.1.1 ULBWP.1.1	
SMTC configuration	1~3		SMTC.1		SMTC.1	
Time offset between Cell 1 and Cell 2	1~3	μs	3		3	
EPRE ratio of PSS to SSS	1~3	dB	0	0	0	0
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH DMRS						
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>						
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>						
<i>N<sub>oc</sub></i> <sup>Note 2</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	1~3	dBm/15 kHz	-94.65	<i>(N<sub>oc</sub></i> for Channel 2 +8dB)	-117
	NR_FDD_FR1_B					-116.5
	NR_TDD_FR1_C					-116
	NR_FDD_FR1_D, NR_TDD_FR1_D					-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E					-115
	NR_FDD_FR1_G					-114
	NR_FDD_FR1_H					-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A					-117
<i>N<sub>oc</sub></i> <sup>Note 2</sup>	NR_FDD_FR1_B	1,2,4,5	dBm/SS B SCS	-94.65	<i>(N<sub>oc</sub></i> for Channel 2 +8dB)	-116.5
	NR_TDD_FR1_C					-116
	NR_FDD_FR1_D, NR_TDD_FR1_D					-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E					-115
	NR_FDD_FR1_G					-114
	NR_FDD_FR1_H					-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A					-114
	NR_FDD_FR1_B					-113.5
<i>N<sub>oc</sub></i>	NR_TDD_FR1_C	3	dBm/SCS	-91.65	<i>(N<sub>oc</sub></i> for Channel 2 +8dB)	-113
	NR_FDD_FR1_D, NR_TDD_FR1_D					-112.5
	NR_FDD_FR1_E, NR_TDD_FR1_E					-112
	NR_FDD_FR1_G					-111
	NR_FDD_FR1_H					-110.5
$\hat{E}_s / I_{ot}$		1~3	dB	10	10	13
SS-RSRP <sup>Note 3</sup>		1,2,4,5	dBm/SCS	-84.65	(RSRP for Cell 2 +25dB)	-121
						-120.5
						-120

	NR_FDD_FR1_D, NR_TDD_FR1_D NR_FDD_FR1_E, NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	3	-81.65	(RSRP for Cell 2 +25dB)	-119.5		
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A				-119		
	NR_FDD_FR1_B				-118		
	NR_TDD_FR1_C				-117.5		
	NR_FDD_FR1_D, NR_TDD_FR1_D				-124		
	NR_FDD_FR1_E, NR_TDD_FR1_E				-123.5		
	NR_FDD_FR1_G				-123		
	NR_FDD_FR1_H				-122.5		
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A				-122		
	NR_FDD_FR1_B				-121		
$I_o^{Note3}$	NR_TDD_FR1_C	1,2,4,5	dBm/ 9.36MHz z	-56.28	$I_o$ for Channel 2 +19.75dB) $T$	-87.76	
	NR_FDD_FR1_D, NR_TDD_FR1_D					-87.26	
	NR_FDD_FR1_E, NR_TDD_FR1_E					-86.76	
	NR_FDD_FR1_G					-86.26	
	NR_FDD_FR1_H					-85.76	
	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDL_FR1_A					-84.76	
	NR_FDD_FR1_B					-84.26	
	NR_TDD_FR1_C					-83.76	
	NR_FDD_FR1_D, NR_TDD_FR1_D					-83.26	
	NR_FDD_FR1_E, NR_TDD_FR1_E					-82.76	
$\hat{E}_s / N_{oc}$	NR_FDD_FR1_G	3	dBm/ 38.16M Hz	-50.19	$I_o$ for Channel 2 +19.75dB) $T$	-81.76	
	NR_FDD_FR1_H					-81.26	
$\hat{E}_s / N_{oc}$		1~3	dB	10	10	T13	T-4
Propagation condition		1~3	-	AWGN		AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: RSRP and <math>I_o</math> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p>							

#### A.6.7.1.2.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 1 and Cell 2 shall fulfil the Absolute requirement in clause 10.1.4.1.1 and Relative requirement in clause 10.1.4.1.2.

### A.6.7.1.3 Void

## A.6.7.2 SS-RSRQ

### A.6.7.2.1 SA: Intra-frequency measurement accuracy with FR1 serving cell and FR1 target cell

#### A.6.7.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in Clause 10.1.7.1.1.

#### A.6.7.2.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configuration are shown in Table A.6.7.2.1.2-1. The absolute accuracy of SS-RSRQ intra-frequency measurement is test by using the parameters in Table A.6.7.2.1.2-2. In all test cases, Cell 1 is the PCell and Cell 2 is the target cell.

**Table A.6.7.2.1.2-1: SS-RSRQ Intra frequency SS-RSRQ supported test configurations**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.7.2.1.2-2: SS-RSRQ Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3		
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2	
SSB ARFCN		freq1		freq1		freq1		
Duplex mode	Config 1		FDD		TDD			
	Config 2,3							
TDD configuration	Config 1		Not Applicable					
	Config 2		TDDConf.1.1					
	Config 3		TDDConf.2.1					
BW <sub>channel</sub>	Config 1	MHz	10: N <sub>RB,c</sub> = 52					
	Config 2		10: N <sub>RB,c</sub> = 52					
	Config 3		40: N <sub>RB,c</sub> = 106					
BWP configuration	Initial DL BWP		DLBWP.0.1					
	Dedicated DL BWP		DLBWP.1.1					
	Initial UL BWP		ULBWP.0.1					
	Dedicated UL BWP		ULBWP.1.1					
DRX Cycle		ms	Not Applicable					
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD		SR.1.1 FDD		SR.1.1 FDD	
	Config 2		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD	
	Config 3		SR2.1 TDD		SR2.1 TDD		SR2.1 TDD	

RMSI CORESET Reference Channel	Config 1		CR.1.1 FDD	-	CR.1.1 FDD	-	CR.1.1 FDD								
	Config 2		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD								
	Config 3		CR.2.1 TDD		CR.2.1 TDD		CR.2.1 TDD								
Control Channel RMC	Config 1		CCR.1.1 FDD	-	CCR.1.1 FDD	-	CCR.1.1 FDD	-							
	Config 2		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD								
	Config 3		CCR.2.1 TDD		CCR.2.1 TDD		CCR.2.1 TDD								
OCNG Patterns				OP. 1											
SS-RSSI-Measurement				Not Applicable											
SMTA configuration				SMTA.1											
SSB configuration	Config 1,2			SSB.1 FR1											
	Config 3			SSB.2 FR1											
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz		15 kHz											
	Config 3			30kHz											
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0							
EPRE ratio of PBCH DMRS to SSS															
EPRE ratio of PBCH to PBCH DMRS															
EPRE ratio of PDCCH DMRS to SSS															
EPRE ratio of PDCCH to PDCCH DMRS															
EPRE ratio of PDSCH DMRS to SSS															
EPRE ratio of PDSCH to PDSCH															
EPRE ratio of OCNG DMRS to SSS (Note 1)															
EPRE ratio of OCNG to OCNG DMRS (Note 1)															
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15kHz	-91	-91	-91	-91	-91	-91							
	NR_FDD_FR1_B														
	NR_TDD_FR1_C														
	NR_FDD_FR1_D, NR_TDD_FR1_D														
	NR_FDD_FR1_E, NR_TDD_FR1_E														
	NR_FDD_FR1_G														
	NR_FDD_FR1_H														
$N_{oc}$ Note2	Config 1,2	dBm/SCS	-91	-91	-91	-91	-91	-91							
	NR_FDD_FR1_A, NR_TDD_FR1_A														
	NR_FDD_FR1_B														
	NR_TDD_FR1_C														
	NR_FDD_FR1_D, NR_TDD_FR1_D														
	NR_FDD_FR1_E, NR_TDD_FR1_E														
	NR_FDD_FR1_G														
	NR_FDD_FR1_H														
$\hat{E}_s/I_{ot}$		dB	-1.76		-4.7		-5..46	-5.46							
$\hat{E}_s/N_{oc}$		dB	3	3	-2.9	-2.9	-4	-4							
	Config 1,2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SCS	-88	-88		[ -124]	[ -124]							

SS-RSRP <sup>Note3</sup>	Config 3	NR_FDD_FR1_B		-85	-85	[-112.95]	[-112.95]	[-123.5]	[-123.5]	
		NR_TDD_FR1_C						[-123]	[-123]	
		NR_FDD_FR1_D, NR_TDD_FR1_D						[-122.5]	[-122.5]	
		NR_FDD_FR1_E, NR_TDD_FR1_E						[-122]	[-122]	
		NR_FDD_FR1_G						[-121]	[-121]	
		NR_FDD_FR1_H						[-120.5]	[-120.5]	
		NR_FDD_FR1_A, NR_TDD_FR1_A						[-121]	[-121]	
		NR_FDD_FR1_B						[-120.5]	[-120.5]	
		NR_TDD_FR1_C						[-120]	[-120]	
	SS-RSRQ <sup>Note3</sup>	NR_FDD_FR1_D, NR_TDD_FR1_D	dB	-14.77	-14.77	[-109.95]	[-109.95]	[-119.5]	[-119.5]	
		NR_FDD_FR1_E, NR_TDD_FR1_E						[-119]	[-119]	
		NR_FDD_FR1_G						[-118]	[-118]	
		NR_FDD_FR1_H						[-117.5]	[-117.5]	
		NR_FDD_FR1_A, NR_TDD_FR1_A						[-17.34]	[-17.34]	
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D, NR_TDD_FR1_D								
Io <sup>Note3</sup>	Config 1,2	NR_FDD_FR1_E, NR_TDD_FR1_E	dBm/ 9.36MHz	-14.77	-14.77	[-16.76]	[-16.76]	[-17.34]	[-17.34]	
		NR_FDD_FR1_G								
		NR_FDD_FR1_H								
		NR_FDD_FR1_A, NR_TDD_FR1_A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D, NR_TDD_FR1_D								
	Config 3	NR_FDD_FR1_E, NR_TDD_FR1_E	dBm/ 38.16MHz	-50.00	-50.00	[-79]	[-79]	[-88]	[-88]	
		NR_FDD_FR1_G								
		NR_FDD_FR1_H								
		NR_FDD_FR1_A, NR_TDD_FR1_A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D, NR_TDD_FR1_D								
Propagation condition			-	AWGN	AWGN	AWGN	AWGN	AWGN	AWGN	
Antenna configuration				1x2	1x2	1x2	1x2	1x2	1x2	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										

Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.
Note 3:	SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.
Note 4:	SS-RSRQ, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.
Note 5:	NR operating band groups are as defined in clause 3.5.2.

#### A.6.7.2.1.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in clause 10.1.7.1.1.

#### A.6.7.2.2 SA Inter-frequency measurement accuracy with FR1 serving cell and FR1 target cell

##### A.6.7.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in Clause 10.1.9.1.1 and 10.1.9.1.2.

##### A.6.7.2.2.2 Test Parameters

In this test case the two cells (i.e., Cell 1 and Cell 2) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.6.7.2.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-RSRQ inter-frequency measurement are tested by using test parameters in Table A.6.7.2.2.2-2. In all test cases, Cell 1 is the PCell and Cell 2 is target cell.

**Table A.6.7.2.2.2-1: SS-RSRQ Inter frequency SS-RSRQ supported test configurations**

Config	Description					
	1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations						

**Table A.6.7.2.2.2-2: SS-RSRQ Inter frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN		freq1	freq2	freq1	freq2	freq1	freq2
Duplex mode		Config 1		FDD			
				TDD			
TDD configuration		Config 1		Not Applicable			
		Config 2		TDDConf.1.1			
		Config 3		TDDConf.2.1			
BW <sub>channel</sub>	MHz	Config 1		10: N <sub>RB,c</sub> = 52			
		Config 2		10: N <sub>RB,c</sub> = 52			
		Config 3		40: N <sub>RB,c</sub> = 106			
BWP BW		Config 1		10: N <sub>RB,c</sub> = 52			
		Config 2		10: N <sub>RB,c</sub> = 52			
		Config 3		40: N <sub>RB,c</sub> = 106			
DRX Cycle	ms	Not Applicable					

PDSCH Reference measurement channel	Config 1,4		SR.1.1 FDD	-	SR.1.1 FDD	-	SR.1.1 FDD	-							
	Config 2,5		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD								
	Config 3,6		SR2.1 TDD		SR2.1 TDD		SR2.1 TDD								
RMSI CORESET Reference Channel	Config 1		CR.1.1 FDD	-	R.1.1 FDD	-	CR.1.1 FDD	-							
	Config 2		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD								
	Config 3		CR2.1 TDD		CR2.1 TDD		CR2.1 TDD								
Dedicated CORESET Reference Channel	Config 1		CCR.1.1 FDD	-	CCR.1.1 FDD	-	CCR.1.1 FDD	-							
	Config 2		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD								
	Config 3		CCR2.1 TDD		CCR2.1 TDD		CCR2.1 TDD								
OCNG Patterns					OCNG pattern 1										
SMTC configuration	Config 1,2				SMTC pattern 1										
	Config 3				SMTC pattern 2										
SSB configuration	Config 1,2				SSB pattern 1 in FR1										
	Config 3				SSB pattern 2 in FR1										
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz			15 kHz										
	Config 3				30 kHz										
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0							
EPRE ratio of PBCH DMRS to SSS															
EPRE ratio of PBCH to PBCH DMRS															
EPRE ratio of PDCCH DMRS to SSS															
EPRE ratio of PDCCH to PDCCH DMRS															
EPRE ratio of PDSCH DMRS to SSS															
EPRE ratio of PDSCH to PDSCH															
EPRE ratio of OCNG DMRS to SSS (Note 1)															
EPRE ratio of OCNG to OCNG DMRS (Note 1)															
$N_{oc}$ Note2	Config 1,2	dBm/15kHz	-80.18	-106	-116										
					-115.5										
					-115										
					-114.5										
					-114										
					-113										
					-112.5										
					-116										
					-115.5										
$N_{oc}$ Note2	Config 3	dBm/15kHz	-86.27	-113	-115										
					-114.5										
					-114										
					-113										

		NR_FDD_FR1_H				-112.5	
$N_{oc}$ Note2	Config 1,2	NR_FDD_FR1_A	-80.18	-106		-116	
		NR_TDD_FR1_A				-115.5	
		NR SDL FR1 A				-115	
		NR_FDD_FR1_B				-114.5	
		NR_TDD_FR1_C				-114	
		NR_FDD_FR1_D				-113	
		NR_TDD_FR1_D				-112.5	
		NR_FDD_FR1_E				-112	
		NR_TDD_FR1_E				-111.5	
		NR_FDD_FR1_G				-111	
		NR_FDD_FR1_H				-110	
$\hat{E}_s / I_{ot}$	Config 3	NR_FDD_FR1_A	-83.27	-110		-109.5	
		NR_TDD_FR1_A				-113	
		NR SDL FR1 A				-112.5	
		NR_FDD_FR1_B				-112	
		NR_TDD_FR1_C				-111.5	
		NR_FDD_FR1_D				-111	
		NR_TDD_FR1_D				-110	
		NR_FDD_FR1_E				-109.5	
		NR_TDD_FR1_E				-109	
		NR_FDD_FR1_G				-108.5	
		NR_FDD_FR1_H				-108	
$\hat{E}_s / N_{oc}$			dB	-1.75	-1.75	3 -1.75	
$\hat{E}_s / N_{oc}$			dB	-1.75	-1.75	3 -1.75	
SS-RSRP <sup>Note3</sup>	Config 1,2	NR_FDD_FR1_A	-81.93	-81.93	-107.75	-107.75	
		NR_TDD_FR1_A					
		NR SDL FR1 A					
		NR_FDD_FR1_B					
		NR_TDD_FR1_C					
		NR_FDD_FR1_D					
		NR_TDD_FR1_D					
	Config 3	NR_FDD_FR1_E	-85.02	-85.02	-111.75	-111.75	
		NR_TDD_FR1_E					
		NR_FDD_FR1_G					
		NR_FDD_FR1_H					
		NR_FDD_FR1_A					
		NR_TDD_FR1_A					
		NR SDL FR1 A					

		NR_FDD_FR1_G						-107	111.7 5	
		NR_FDD_FR1_H						-106.5	111.2 5	
SS-RSRQ <sup>Note3</sup>	dB	NR_FDD_FR1_A	-14.77	-14.77	-40.59	-40.59	12.56T	14.76 T		
		NR_TDD_FR1_A								
		NR SDL FR1 A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D								
		NR_TDD_FR1_D								
		NR_FDD_FR1_E								
Io <sup>Note3</sup>	Config 1,2	NR_TDD_FR1_E	-50	-75.83				-83.28	- 85.83	
		NR_FDD_FR1_G						-82.78	- 85.33	
		NR_FDD_FR1_H						-82.28	- 84.83	
		NR_FDD_FR1_A						-81.78	- 84.33	
		NR_TDD_FR1_A						-81.28	- 83.83	
		NR SDL FR1 A						-80.28	- 82.83	
		NR_FDD_FR1_B						-79.78	- 82.33	
	Config 3	NR_FDD_FR1_A	-50	-76.73				-77.19	- 79.73	
		NR_TDD_FR1_A						-76.69	- 79.23	
		NR SDL FR1 A						-76.19	- 78.73	
		NR_FDD_FR1_B						-75.69	- 78.23	
		NR_TDD_FR1_C						-75.19	- 77.73	
		NR_FDD_FR1_D						-74.19	- 76.73	
		NR_TDD_FR1_D						-73.69	- 76.53	
Propagation condition			-	AWGN	AWGN	AWGN	AWGN	AWGN	AWGN	
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRQ, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: NR operating band groups are as defined in clause 3.5.2.</p>										

### A.6.7.2.2.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in clause 10.1.9.1.1 and 10.1.9.1.2.

## A.6.7.3 SS-SINR

### A.6.7.3.1 SA intra-frequency measurement accuracy with FR1 serving cell and FR1 target cell

#### A.6.7.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.12.1.1.

#### A.6.7.3.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configuration are shown in Table A.6.7.3.1.2-1. The absolute accuracy of SS-SINR intra-frequency measurement is test by using the parameters in Table A.6.7.3.1.2-2. In all test cases, Cell 1 is the PCell and Cell 2 is the target cell.

**Table A.6.7.3.1.2-1: SS-SINR Intra frequency SS-SINR supported test configurations**

Config	Description			
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode			
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode			
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode			
Note: The UE is only required to be tested in one of the supported test configurations				

**Table A.6.7.3.1.2-2: SS-SINR Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		
		Cell 1	Cell 2	Cell 1	Cell 2	
SSB ARFCN		freq1		freq1		
Duplex mode	Config 1			FDD		
	Config 2,3			TDD		
TDD configuration	Config 1			Not Applicable		
	Config 2			TDDConf.1.1		
	Config 3			TDDConf.2.1		
Downlink initial BWP configuration				DLBWP.0.1		
Downlink dedicated BWP configuration				DLBWP.1.1		
Uplink initial BWP configuration				ULBWP.0.1		
Uplink dedicated BWP configuration				ULBWP.1.1		
DRX Cycle configuration	ms			Not Applicable		
TRS configuration	Config 1			TRS.1.1 FDD		
	Config 2			TRS.1.1 TDD		
	Config 3			TRS.1.2 TDD		
PDSCH Reference measurement channel	Config 1			SR.1.1 FDD		
	Config 2			SR.1.1 TDD		
	Config 3			SR.2.1 TDD		

RMSI CORESET Reference Channel	Config 1		CR.1.1 FDD	-	CR.1.1 FDD						
	Config 2		CR.1.1 TDD		CR.1.1 TDD						
	Config 3		CR.2.1 TDD		CR.2.1 TDD						
Dedicated CORESET Reference Channel	Config 1		CCR.1.1 FDD	-	CCR.1.1 FDD						
	Config 2		CCR.1.1 TDD		CCR.1.1 TDD						
	Config 3		CCR.2.1 TDD		CCR.2.1 TDD						
OCNG Patterns			OP.1								
SS-RSSI-Measurement			Not Applicable								
SMTC configuration			SMTC.1								
SSB configuration	Config 1,2		SSB.1 FR1								
	Config 3		SSB.2 FR1								
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz	15								
	Config 3		30								
EPRE ratio of PSS to SSS		dB	0	0	0	0					
EPRE ratio of PBCH DMRS to SSS											
EPRE ratio of PBCH to PBCH DMRS											
EPRE ratio of PDCCH DMRS to SSS											
EPRE ratio of PDCCH to PDCCH DMRS											
EPRE ratio of PDSCH DMRS to SSS											
EPRE ratio of PDSCH to PDSCH											
EPRE ratio of OCNG DMRS to SSS (Note 1)											
EPRE ratio of OCNG to OCNG DMRS (Note 1)											
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15kHz	[-90]	[-116]							
	NR_FDD_FR1_B			[-115.5]							
	NR_TDD_FR1_C			[-115]							
	NR_FDD_FR1_D, NR_TDD_FR1_D			[-114.5]							
	NR_FDD_FR1_E, NR_TDD_FR1_E			[-114]							
	NR_FDD_FR1_G			[-113]							
	NR_FDD_FR1_H			[-112.5]							
$N_{oc}$ Note2	Config 1,2	dBm/SCS	[-90]		Same as Noc for 15 kHz						
	Config 3		[-113]		[-113]						
			[-112.5]		[-112.5]						
			[-112]		[-112]						
			[-111.5]		[-111.5]						
			[-111]		[-111]						
			[-110]		[-110]						
			[-109.5]		[-109.5]						
	$\hat{E}_s / I_{ot}$		dB	[0]	[-3.19]	[-5.46]					
	$\hat{E}_s / N_{oc}$		dB	[4.54]	[2.66]	[-4]					
	Config 1,2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/SCS	[-85.46]	[-87.34]	[-120]					
						[-120]					

SS- RSRP <sup>Note3</sup>	Config 3	NR_FDD_FR1_B	[-82.46]	[-84.34]	[-119.5]	[-119.5]				
		NR_TDD_FR1_C			[-119]	[-119]				
		NR_FDD_FR1_D, NR_TDD_FR1_D			[-118.5]	[-118.5]				
		NR_FDD_FR1_E, NR_TDD_FR1_E			[-118]	[-118]				
		NR_FDD_FR1_G			[-117]	[-117]				
		NR_FDD_FR1_H			[-116.5]	[-116.5]				
		NR_FDD_FR1_A, NR_TDD_FR1_A			[-117]	[-117]				
		NR_FDD_FR1_B			[-116.5]	[-116.5]				
SS-SINR <sup>Note3</sup>		NR_TDD_FR1_C			[-116]	[-116]				
		NR_FDD_FR1_D, NR_TDD_FR1_D			[-115.5]	[-115.5]				
		NR_FDD_FR1_E, NR_TDD_FR1_E			[-115]	[-115]				
		NR_FDD_FR1_G			[-114]	[-114]				
		NR_FDD_FR1_H			[-113.5]	[-113.5]				
Io <sup>Note3</sup>		NR_FDD_FR1_A, NR_TDD_FR1_A	dB	[0]	[-5.46]	[-5.46]				
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D, NR_TDD_FR1_D								
		NR_FDD_FR1_E, NR_TDD_FR1_E								
		NR_FDD_FR1_G								
		NR_FDD_FR1_H								
		NR_FDD_FR1_A, NR_TDD_FR1_A								
Config 1,2		NR_FDD_FR1_B	dBm/ 9.36MHz	[-54.5]	[-85.51]	[-85.01]				
		NR_TDD_FR1_C								
		NR_FDD_FR1_D, NR_TDD_FR1_D								
		NR_FDD_FR1_E, NR_TDD_FR1_E								
		NR_FDD_FR1_G								
		NR_FDD_FR1_H								
		NR_FDD_FR1_A, NR_TDD_FR1_A								
		NR_FDD_FR1_B								
Config 3		NR_TDD_FR1_C	dBm/ 38.16MHz	[-48.41]	[-84.01]	[-83.51]				
		NR_FDD_FR1_D, NR_TDD_FR1_D								
		NR_FDD_FR1_E, NR_TDD_FR1_E								
		NR_FDD_FR1_G								
		NR_FDD_FR1_H								
		NR_FDD_FR1_A, NR_TDD_FR1_A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
Propagation condition		-	AWGN							
Antenna configuration		-	1x2							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										

- |         |  |
|---------|--|
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. |
| Note 3: | SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.   |
| Note 4: | SS-SINR, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.   |
| Note 5: | NR operating band groups are as defined in clause 3.5.2.   |

#### A.6.7.3.1.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in clause 10.1.12.1.1.

#### A.6.7.3.2 SA Inter-frequency measurement accuracy with FR1 serving cell and FR1 target cell

##### A.6.7.3.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clauses 10.1.14.1.1 and 10.1.14.1.2.

##### A.6.7.3.2.2 Test Parameters

In this test case the two cells (i.e., Cell 1 and Cell 2) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.6.7.3.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-SINR inter-frequency measurement are tested by using test parameters in Table A.6.7.3.2.2-2. In all test cases, Cell 1 is the PCell and Cell 2 is target cell.

**Table A.6.7.3.2.2-1: SS-SINR Inter frequency SS-SINR supported test configurations**

Config	Description					
	Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode					
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode					
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode					

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.7.3.2.2-2: SS-SINR Inter frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN		freq1	freq2	freq1	freq2	freq1	freq2
Duplex mode	Config 1			FDD			
	Config 2,3			TDD			
TDD configuration	Config 1			Not Applicable			
	Config 2			TDDConf.1.1			
	Config 3			TDDConf.2.1			
Downlink initial BWP configuration				DLBWP.0.1			
Downlink dedicated BWP configuration				DLBWP.1.1			
Uplink initial BWP configuration				ULBWP.0.1			
Uplink dedicated BWP configuration				ULBWP.1.1			
DRX Cycle configuration	ms			Not Applicable			
TRS configuration	Config 1			TRS.1.1 FDD			
	Config 2			TRS.1.1 TDD			

		Config 3		TRS.1.2 TDD													
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD		SR.1.1 FDD		SR.1.1 FDD										
	Config 2		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD										
	Config 3		SR2.1 TDD		SR2.1 TDD		SR2.1 TDD										
RMSI CORESET Reference Channel	Config 1		CR.1.1 FDD	-	R.1.1 FDD	-	CR.1.1 FDD										
	Config 2		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD										
	Config 3		CR2.1 TDD		CR2.1 TDD		CR2.1 TDD										
Dedicated CORESET Reference Channel	Config 1		CCR.1.1 FDD		CCR.1.1 FDD		CCR.1.1 FDD										
	Config 2		CCR.1.1 TDD		CCR.1.1 TDD		CCR.1.1 TDD										
	Config 3		CCR2.1 TDD		CCR2.1 TDD		CCR2.1 TDD										
OCNG Patterns			OP.1														
SS-RSSI-Measurement			Not Applicable														
SMTC configuration			SMTC.1														
SSB configuration	Config 1,2		SSB.1 FR1														
	Config 3		SSB.2 FR1														
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz	15														
	Config 3		30														
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0	0								
EPRE ratio of PBCH DMRS to SSS																	
EPRE ratio of PBCH to PBCH DMRS																	
EPRE ratio of PDCCH DMRS to SSS																	
EPRE ratio of PDCCH to PDCCH DMRS																	
EPRE ratio of PDSCH DMRS to SSS																	
EPRE ratio of PDSCH to PDSCH																	
EPRE ratio of OCNG DMRS to SSS (Note 1)																	
EPRE ratio of OCNG to OCNG DMRS (Note 1)																	
$N_{oc}$ Note2	Config 1,2	dBm/15kHz	[-80]	[-108.5]	[-119.5]												
					[-119]												
					[-118.5]												
					[-118]												
					[-117.5]												
					[-116.5]												
					[-116]												

$N_{oc}$ Note2	Config 1,2 N		dBm/15kHz	[-80]		[-108.5]		Same as Noc for 15kHz T							
	Config 3			[-77]		[-105.5]		[-116.5]							
								[-116]							
								[-115.5]							
								[-115]							
								[-114.5]							
								[-114.5]							
								[-113]							
	$\hat{E}_s / I_{ot}$			dB	[-1.75]	[-1.75]	[20]	[20]	[-4.0] [-4.0]						
	$\hat{E}_s / N_{oc}$			dB	[-1.75]		[20]		[-4.0]						
SS- RSRP Note3	Config 1,2		dBm/SCS	[-81.75]		[-88.5]		[-123.5]							
								[-123]							
								[-122.5]							
								[-122]							
								[-121.5]							
								[-120.5]							
								[-120]							
								[-120.5]							
	Config 3			[-78.75]		[-85.5]		[-120]							
								[-119.5]							
								[-119]							
								[-118.5]							
								[-117.5]							
								[-117]							
	SS-SINR Note3								[-4.0]						
	[20]														
	[-1.75]														
	dB														

Io <sup>Note3</sup>	Config 1,2	NR_FDD_FR1_A	dBm/ 9.36MHz	[-49.83]	[-60.5]	[-90.09]			
		NR_TDD_FR1_A				[-89.59]			
		NR SDL FR1_A				[-89.09]			
		NR_FDD_FR1_B				[-88.59]			
		NR_TDD_FR1_C				[-88.09]			
		NR_FDD_FR1_D				[-87.09]			
		NR_TDD_FR1_D				[-86.59]			
		NR_FDD_FR1_E							
	Config 3	NR_TDD_FR1_E							
		NR_FDD_FR1_G							
		NR_FDD_FR1_H							
		NR_FDD_FR1_A	dBm/ 38.16MHz	[-43.73]	[-54.41]	[-84]			
		NR_TDD_FR1_A				[-83.5]			
		NR SDL FR1_A				[-83]			
		NR_FDD_FR1_B				[-82.5]			
		NR_TDD_FR1_C				[-82]			
		NR_FDD_FR1_D				[-81]			
		NR_TDD_FR1_D				[-80.5]			
Propagation condition		-	AWGN						
Antenna configuration		-	1x2						
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-SINR, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: NR operating band groups are as defined in clause 3.5.2.</p>									

#### A.6.7.3.2.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in clause 10.1.14.1.1 and 10.1.14.1.2.

#### A.6.7.4 L1-RSRP measurement for beam reporting

##### A.6.7.4.1 SSB based L1-RSRP measurement

###### A.6.7.4.1.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 9.5.2 and clause 10.1.19.1 for L1-RSRP measurements based on SSB with the testing configurations for NR cells in Table A.6.7.4.1.1-1.

**Table A.6.7.4.1.1-1: Applicable NR configurations for FR1 SSB based L1-RSRP test**

Config	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

#### A.6.7.4.1.2 Test parameters

In this set of test cases there one cell in the test, PCell (Cell 1). The test parameters for the Cell 1 are given in Table A.6.7.4.1.2-1 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.6.7.4.1.2-1.

There is no measurement gap configured in the test. Before the test, UE is configured one SSB resource set with two SSB resources. UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSB resources 0 and 1.

Table A.6.7.4.1.2-1: FR1 SSB based L1-RSRP test parameters

Parameter	Config	Unit	Test 1	Test 2
SSB GSCN	1~3		freq1	freq1
Duplex mode	1		FDD	FDD
	2		TDD	TDD
	3		TDD	TDD
TDD Configuration	1		N/A	N/A
	2		TDDConf.1.1	TDDConf.1.1
	3		TDDConf.2.1	TDDConf.2.1
BW <sub>channel</sub>	1	MHz	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	2		10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	3		40: N <sub>RB,c</sub> = 106	40: N <sub>RB,c</sub> = 106
PDSCH Reference measurement channel	1		SR.1.1 FDD	SR.1.1 FDD
	2		SR.1.1 TDD	SR.1.1 TDD
	3		SR.2.1 TDD	SR.2.1 TDD
RMSI CORESET Reference Channel	1		CR.1.1 FDD	CR.1.1 FDD
	2		CR.1.1 TDD	CR.1.1 TDD
	3		CR.2.1 TDD	CR.2.1 TDD
Dedicated CORESET Reference Channel	1		CCR.1.1 FDD	CCR.1.1 FDD
	2		CCR.1.1 TDD	CCR.1.1 TDD
	3		CCR.2.1 TDD	CCR.2.1 TDD
SSB configuration	1		SSB.3 FR1	SSB.3 FR1
	2		SSB.3 FR1	SSB.3 FR1
	3		SSB.4 FR1	SSB.4 FR1
OCNG Patterns	1~3		OP.1	OP.1
Initial BWP Configuration	1~3		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
TRS configuration	1		TRS.1.1 FDD	TRS.1.1 FDD
	2		TRS.1.1 TDD	TRS.1.1 TDD
	3		TRS.1.2 TDD	TRS.1.2 TDD
Dedicated BWP configuration	1~3		DLBWP.1.1 ULBWP.1.1	DLBWP.1.1 ULBWP.1.1
SMTC configuration	1~3		SMTC.1	SMTC.1
reportConfigType	1~3		periodic	periodic
reportQuantity	1~3		ssb-Index-RSRP	ssb-Index-RSRP
Number of reported RS	1~3		2	2
L1-RSRP reporting period	1~3		slot80	slot80
EPRE ratio of PSS to SSS	1~3	dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
<i>N<sub>oc</sub></i> Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	dBm/15kHz	-94.65	-117
	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E			-115
	NR_FDD_FR1_G			-114

	NR_FDD_FR1_H				-113.5
$N_{oc}$ Note2	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2	dBm/SSB SCS	-94.65	-117
	NR_FDD_FR1_B				-116.5
	NR_TDD_FR1_C				-116
	NR_FDD_FR1_D, NR_TDD_FR1_D				-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-115
	NR_FDD_FR1_G				-114
	NR_FDD_FR1_H				-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A				-114
	NR_FDD_FR1_B				-113.5
	NR_TDD_FR1_C				-114
$\hat{E}_s/I_{ot}$	NR_FDD_FR1_D, NR_TDD_FR1_D	3	dB	-91.65	-112.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-112
	NR_FDD_FR1_G				-111
	NR_FDD_FR1_H				-110.5
					-3
	NR_FDD_FR1_A, NR_TDD_FR1_A		1,2	-84.65	-120
	NR_FDD_FR1_B				-119.5
	NR_TDD_FR1_C				-119
	NR_FDD_FR1_D, NR_TDD_FR1_D				-118.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-118
SSB RSRP Note3	NR_FDD_FR1_G				-117
	NR_FDD_FR1_H				-116.5
	NR_FDD_FR1_A, NR_TDD_FR1_A	3	dBm/SSB SCS	-81.65	-117
	NR_FDD_FR1_B				-116.5
	NR_TDD_FR1_C				-116
	NR_FDD_FR1_D, NR_TDD_FR1_D				-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-115
	NR_FDD_FR1_G				-114
	NR_FDD_FR1_H				-113.5
Io Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2	dBm/9.36 MHz	-56.28	-87.28
	NR_FDD_FR1_B				-86.78
	NR_TDD_FR1_C				-86.28
	NR_FDD_FR1_D, NR_TDD_FR1_D				-85.78
	NR_FDD_FR1_E, NR_TDD_FR1_E				-85.28
	NR_FDD_FR1_G	3	dBm/38.16 MHz	-50.19	-84.28
	NR_FDD_FR1_H				-83.78
	NR_FDD_FR1_A, NR_TDD_FR1_A				-81.19
	NR_FDD_FR1_B				-80.69
	NR_TDD_FR1_C				-80.19
	NR_FDD_FR1_D, NR_TDD_FR1_D				-79.69

	NR_FDD_FR1_E, NR_TDD_FR1_E			-79.19
	NR_FDD_FR1_G			-78.19
	NR_FDD_FR1_H			-77.69
$\hat{E}_s / N_{oc}$	1~3	dB	10	-3
Propagation condition	1~3		AWGN	AWGN
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3:	RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			
Note 4:	RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.			

#### A.6.7.4.1.3 Test Requirements

For at least one reported L1-RSRP during 480ms, the accuracy for SSB#0 and SSB#1 of Cell 1 shall fulfil the requirements in clauses 10.1.19.1.

#### A.6.7.4.2 CSI-RS based L1-RSRP measurement on resource set with repetition off

##### A.6.7.4.2.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.5.3 and clause 10.1.19.2 for L1-RSRP measurements based on CSI-RS with the testing configurations for NR cells in Table A.6.7.4.2.1-1.

**Table A.6.7.4.2.1-1: Applicable NR configurations for FR1 CSI-RS based L1-RSRP test**

Config	Description
1	NR 15 kHz CSI-RS SCS, 10 MHz bandwidth, FDD duplex mode
2	NR 15 kHz CSI-RS SCS, 10 MHz bandwidth, TDD duplex mode
3	NR 30kHz CSI-RS SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

##### A.6.7.4.2.2 Test parameters

In this set of test cases there are one cell in the test, PCell (Cell 1). The test parameters for the Cell 1 are given in Table A.6.7.4.2.2-1 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.6.7.4.2.2-1.

There is no measurement gap configured in the test. Before the test, UE is configured one CSI-RS resource set with two CSI-RS resources. UE is configured to perform RLM and BFD based on SSB 0 and 1. CSI-RS is not transmitted in the same OFDM symbols as SSB.

**Table A.6.7.4.2.2-1: FR1 CSI-RS based L1-RSRP test parameters**

Parameter	Config	Unit	Test 1	Test 2
SSB GSCN	1~3		freq1	freq1
Duplex mode	1		FDD	FDD
	2		TDD	TDD
	3		TDD	TDD
TDD Configuration	1		N/A	N/A
	2		TDDConf.1.1	TDDConf.1.1
	3		TDDConf.2.1	TDDConf.2.1
BW <sub>channel</sub>	1	MHz	10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	2		10: N <sub>RB,c</sub> = 52	10: N <sub>RB,c</sub> = 52
	3		40: N <sub>RB,c</sub> = 106	40: N <sub>RB,c</sub> = 106
PDSCH Reference measurement channel	1		SR.1.1 FDD	SR.1.1 FDD
	2		SR.1.1 TDD	SR.1.1 TDD
	3		SR.2.1 TDD	SR.2.1 TDD
RMSI CORESET Reference Channel	1		CR.1.1 FDD	CR.1.1 FDD
	2		CR.1.1 TDD	CR.1.1 TDD
	3		CR.2.1 TDD	CR.2.1 TDD
Dedicated CORESET Reference Channel	1		CCR.1.1 FDD	CCR.1.1 FDD
	2		CCR.1.1 TDD	CCR.1.1 TDD
	3		CCR.2.1 TDD	CCR.2.1 TDD
SSB configuration	1		SSB.1 FR1	SSB.1 FR1
	2		SSB.1 FR1	SSB.1 FR1
	3		SSB.2 FR1	SSB.2 FR1
OCNG Patterns	1~3		OP.1	OP.1
TRS configuration	1		TRS.1.1 FDD	TRS.1.1 FDD
	2		TRS.1.1 TDD	TRS.1.1 TDD
	3		TRS.1.2 TDD	TRS.1.2 TDD
Initial BWP Configuration	1~3		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1~3		DLBWP.1.1 ULBWP.1.1	DLBWP.1.1 ULBWP.1.1
SMTC configuration	1~3		SMTC.1	SMTC.1
CSI-RS	1		CSI-RS 1.2 FDD	CSI-RS 1.2 FDD
	2		CSI-RS 1.2 TDD	CSI-RS 1.2 TDD
	3		CSI-RS 2.2 TDD	CSI-RS 2.2 FDD
reportConfigType	1~3		periodic	periodic
reportQuantity	1~3		cri-RSRP	cri-RSRP
Number of reported RS	1~3		2	2
L1-RSRP reporting period	1~3		slot80	slot80
EPRE ratio of PSS to SSS	1~3	dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
$N_{oc}$	NR_FDD_FR1_A, NR_TDD_FR1_A	1~3	dBm/15kHz	-117
Note2	NR_FDD_FR1_B			-116.5
	NR_TDD_FR1_C			-116
	NR_FDD_FR1_D, NR_TDD_FR1_D			-115.5

	NR_FDD_FR1_E, NR_TDD_FR1_E				-115
	NR_FDD_FR1_G				-114
	NR_FDD_FR1_H				-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A				-117
$N_{oc}$ Note2	NR_FDD_FR1_B	1,2	dBm/CSI-RS SCS	-94.65	-116.5
	NR_TDD_FR1_C				-116
	NR_FDD_FR1_D, NR_TDD_FR1_D				-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-115
	NR_FDD_FR1_G				-114
	NR_FDD_FR1_H				-113.5
	NR_FDD_FR1_A, NR_TDD_FR1_A			-91.65	-114
	NR_FDD_FR1_B				-113.5
	NR_TDD_FR1_C				-114
	NR_FDD_FR1_D, NR_TDD_FR1_D				-112.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-112
	NR_FDD_FR1_G				-111
	NR_FDD_FR1_H				-110.5
$\hat{E}_s/I_{ot}$	1~3		dB	10	-3
CSI-RS RSRP Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2	dBm/CSI-RS SCS	-84.65	-120
	NR_FDD_FR1_B				-119.5
	NR_TDD_FR1_C				-119
	NR_FDD_FR1_D, NR_TDD_FR1_D				-118.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-118
	NR_FDD_FR1_G				-117
	NR_FDD_FR1_H				-116.5
	NR_FDD_FR1_A, NR_TDD_FR1_A	3	dBm/CSI-RS SCS	-81.65	-117
	NR_FDD_FR1_B				-116.5
	NR_TDD_FR1_C				-116
	NR_FDD_FR1_D, NR_TDD_FR1_D				-115.5
	NR_FDD_FR1_E, NR_TDD_FR1_E				-115
	NR_FDD_FR1_G				-114
	NR_FDD_FR1_H				-113.5
Io Note3	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2	dBm/9.36 MHz	-56.28	-87.28
	NR_FDD_FR1_B				-86.78
	NR_TDD_FR1_C				-86.28
	NR_FDD_FR1_D, NR_TDD_FR1_D				-85.78
	NR_FDD_FR1_E, NR_TDD_FR1_E				-85.28
	NR_FDD_FR1_G				-84.28
	NR_FDD_FR1_H				-83.78
	NR_FDD_FR1_A, NR_TDD_FR1_A	3	dBm/38.16 MHz	-50.19	-81.19
	NR_FDD_FR1_B				-80.69
	NR_TDD_FR1_C				-80.19

	NR_FDD_FR1_D, NR_TDD_FR1_D			-79.69
	NR_FDD_FR1_E, NR_TDD_FR1_E			-79.19
	NR_FDD_FR1_G			-78.19
	NR_FDD_FR1_H			-77.69
$\hat{E}_s/N_{oc}$	1~3	dB	10	-3
Propagation condition	1~3		AWGN	AWGN
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3:	RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			
Note 4:	RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.			

#### A.6.7.4.2.3 Test Requirements

The L1-RSRP measurement accuracy for CSI-RS#0 and CSI-RS#1 of Cell 1 shall fulfil the requirements in clause 10.1.19.2.

### A.6.7.5 E-UTRAN RSRP

#### A.6.7.5.1 SA: inter-RAT measurement accuracy with FR1 serving cell

##### A.6.7.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the E-UTRAN RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.2.2 for SA inter-RAT E-UTRAN RSRP measurements.

##### A.6.7.5.1.2 Test parameters

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an E-UTRAN inter-RAT neighbour cell. Supported test configurations are shown in table A.6.7.5.1.2-1. The measurement accuracy of SA inter-RAT E-UTRAN RSRP are tested by using the parameters in A.6.7.5.1.2-2 and A.6.7.5.1.2-3.

**Table A.6.7.5.1.2-1: Inter-RAT E-UTRAN RSRP supported test configurations with FR1 serving cell**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN TDD
6	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN TDD
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.6.7.5.1.2-2: NR Cell specific test parameters for SA Inter-RAT E-UTRAN RSRP test parameters**

Parameter		Unit	Cell 1
NR RF channel number			1
Duplex mode	Config 1, 4		FDD
	Config 2, 3, 5, 6		TDD
TDD Configuration	Config 1, 4		N/A
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.1.2
BW <sub>channel</sub>	Config 1, 4	MHz	10: N <sub>RB,c</sub> = 52 (FDD)
	Config 2, 5		10: N <sub>RB,c</sub> = 52 (TDD)
	Config 3, 6		40: N <sub>RB,c</sub> = 106 (TDD)
Gap pattern Id			0
PDSCH reference measurement channel	Config 1, 4		SR.1.1 FDD
	Config 2, 5		SR.1.1 TDD
	Config 3, 6		SR.2.1 TDD
CORSET reference channel	Config 1, 4		CR.1.1 FDD
	Config 2, 5		CR.1.1 TDD
	Config 3, 6		CR.2.1 TDD
BWP configurations	Initial DL BWP		DLBWP.0.1
	Dedicated DL BWP		DLBWP.1.1
	Initial UL BWP		ULBWP.0.1
	Dedicated UL BWP		ULBWP.1.1
OCNG pattern <sup>Note1</sup>			OP.1
SMTC configuration			SMTC.1
SSB configuration	Config 1, 2, 4, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
EPRE ratio of PSS to SSS		dB	0
EPRE ratio of PBCH_DMRS to SSS			
EPRE ratio of PBCH to PBCH_DMRS			
EPRE ratio of PDCCH_DMRS to SSS			
EPRE ratio of PDCCH to PDCCH_DMRS			
EPRE ratio of PDSCH_DMRS to SSS			
EPRE ratio of PDSCH to PDSCH_DMRS			
EPRE ratio of OCNG DMRS to SSS			
EPRE ratio of OCNG to OCNG DMRS			
N <sub>oc</sub> <sup>Note2</sup>		dBm/15 KHz	-104
N <sub>oc</sub> <sup>Note2</sup>	Config 1, 2, 4, 5	dBm/SCS	-104
	Config 3, 6		-101
E <sub>s</sub> /N <sub>oc</sub>		dB	17
E <sub>s</sub> /I <sub>tot</sub> <sup>Note3</sup>		dB	17
SS-RSRP <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/SCS	-87
	Config 3, 6		-84
SSB_RP <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/SCS	-87
	Config 3, 6		-84
I <sub>o</sub> <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/9.36 MHz	-58.96
	Config 3, 6	dBm/38.16 MHz	-52.87
Propagation condition			AWGN
Antenna Configuration and Correlation Matrix			1x2
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.			
Note 3: E <sub>s</sub> /I <sub>tot</sub> , SS-RSRP, SSB_RP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table A.6.7.5.1.2-3: E-UTRAN Cell specific test parameters for SA Inter-RAT E-UTRAN RSRP test parameters**

Parameter	Unit	Cell 2		
		Test 1	Test 2	
E-UTRA RF channel number			1	
Duplex mode		FDD	TDD	
TDD special subframe configuration <sup>Note1</sup>		N/A	6	
TDD uplink-downlink configuration <sup>Note1</sup>		N/A	1	
BW <sub>channel</sub>	MHz	5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	-	
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>				
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>	Config 1, 2, 3  Config 4, 5, 6		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD  5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note2</sup>	Config 1, 2, 3  Config 4, 5, 6		5 MHz: OP.19 FDD 10 MHz: OP.6 FDD 20 MHz: OP.14 FDD  5 MHz: OP.10 TDD 10 MHz: OP.2 TDD 20 MHz: OP.8 TDD	
PBCH_RA PBCH_RB PSS_RA SSS_RA PCFICH_RB PHICH_RA PHICH_RB PDCCH_RA PDCCH_RB PDSCH_RA PDSCH_RB OCNG_RA <sup>Note3</sup> OCNG_RB <sup>Note3</sup>		dB	0	
N <sub>oc</sub> <sup>Note4</sup>	Bands FDD_A <sup>Note 9</sup> , TDD_A Bands FDD_B1, FDD_B2 <sup>Note 10</sup> Bands FDD_C, TDD_C Bands FDD_D Bands FDD_E, FDD_F Note 7, TDD_E Bands FDD_G <sup>Note 8</sup> Bands FDD_H	dBM/15kHz	-91.65	-117 -116.5 -116 -115.5 -115 -114 -113.5
$\hat{E}_s/N_{oc}$		dB	10	-4
$\hat{E}_s/I_{ot}$ <sup>Note5</sup>		dB	10	-4
RSRP <sup>Note5</sup>	Bands FDD_A <sup>Note 9</sup> , TDD_A Bands FDD_B1, FDD_B2 <sup>Note 10</sup> Bands FDD_C, TDD_C Bands FDD_D	dBM/15kHz	-81.65	-121 -120.5 -120 -119.5

	Bands FDD_E, FDD_F Note 7, TDD_E			-119
	Bands FDD_G Note 8			-118
	Bands FDD_H			-117.5
SCH_RPNote5	Bands FDD_A Note 9, TDD_A	dBm/15kHz	-81.65	-121
	Bands FDD_B1, FDD_B2 Note 10			-120.5
	Bands FDD_C, TDD_C			-120
	Bands FDD_D			-119.5
	Bands FDD_E, FDD_F Note 7, TDD_E			-119
	Bands FDD_G Note 8			-118
	Bands FDD_H			-117.5
	Bands FDD_A Note 9, TDD_A			-87.76 + $10\log(N_{RB,c}/50)$
Io <sup>Note 5</sup>	Bands FDD_B1, FDD_B2 Note 10	dBm/Ch BW	-53.45 + $10\log(N_{RB,c}/50)$	-87.26 + $10\log(N_{RB,c}/50)$
	Bands FDD_C, TDD_C			-86.76 + $10\log(N_{RB,c}/50)$
	Bands FDD_D			-86.26 + $10\log(N_{RB,c}/50)$
	Bands FDD_E, FDD_F Note 7, TDD_E			-85.76 + $10\log(N_{RB,c}/50)$
	Bands FDD_G Note 8			-84.76 + $10\log(N_{RB,c}/50)$
	Bands FDD_H			-84.26 + $10\log(N_{RB,c}/50)$
Propagation Condition				AWGN
Antenna Configuration and Correlation Matrix				1x2
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].</p> <p>Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.</p> <p>Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 5: <math>\hat{E}_s/I_{ot}</math>, RSRP, SCH_RP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 6: E-UTRA operating band groups are as defined in clause 3.5 of TS 36.133 [15].</p> <p>Note 7: For Band 26, the tests shall be performed with the carrier frequency of assigned E-UTRA channel bandwidth within 865-894 MHz.</p> <p>Note 8: Except Band 29.</p> <p>Note 9: Except Band 32, Band 75 and Band 76.</p> <p>Note 10: For Band 74, the tests shall be performed with the carrier frequency of the assigned E-UTRA channel bandwidth within 1475.9-1510.9 MHz.</p>				

### A.6.7.5.1.3 Test Requirements

The SA inter-RAT E-UTRAN RSRP measurement accuracy for cell 2 shall fulfil absolute requirement in clause 10.2.2.

## A.6.7.6 E-UTRAN RSRQ

### A.6.7.6.1 SA: inter-RAT measurement accuracy with FR1 serving cell

#### A.6.7.6.1.1 Test Purpose and Environment

The purpose of this test is to verify that the E-UTRAN RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.2.3 for SA inter-RAT E-UTRAN RSRQ measurements.

#### A.6.7.6.1.2 Test parameters

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an E-UTRAN inter-RAT neighbour cell. Supported test configurations are shown in table A.6.7.6.1.2-1. The measurement accuracy of SA inter-RAT E-UTRAN RSRQ are tested by using the parameters in A.6.7.6.1.2-2 and A.6.7.6.1.2-3.

**Table A.6.7.6.1.2-1: Inter-RAT E-UTRAN RSRQ supported test configurations with FR1 serving cell**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN TDD
6	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN TDD

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.6.7.6.1.2-2: NR Cell specific test parameters for SA Inter-RAT E-UTRAN RSRQ test parameters**

Parameter		Unit	Cell 1			
NR RF channel number			1			
Duplex mode	Config 1, 4		FDD			
	Config 2, 3, 5, 6		TDD			
TDD Configuration	Config 1, 4		N/A			
	Config 2, 5		TDDConf.1.1			
	Config 3, 6		TDDConf.1.2			
BW <sub>channel</sub>	Config 1, 4	MHz	10: N <sub>RB,c</sub> = 52 (FDD)			
	Config 2, 5		10: N <sub>RB,c</sub> = 52 (TDD)			
	Config 3, 6		40: N <sub>RB,c</sub> = 106 (TDD)			
Gap pattern Id			0			
PDSCH reference measurement channel	Config 1, 4		SR.1.1 FDD			
	Config 2, 5		SR.1.1 TDD			
	Config 3, 6		SR.2.1 TDD			
CORSET reference channel	Config 1, 4		CR.1.1 FDD			
	Config 2, 5		CR.1.1 TDD			
	Config 3, 6		CR.2.1 TDD			
BWP configurations	Initial DL BWP		DLBWP.0.1			
	Dedicated DL BWP		DLBWP.1.1			
	Initial UL BWP		ULBWP.0.1			
	Dedicated UL BWP		ULBWP.1.1			
OCNG pattern <sup>Note1</sup>			OP.1			
SMTC configuration			SMTC.1			
SSB configuration	Config 1, 2, 4, 5		SSB.1 FR1			
	Config 3, 6		SSB.2 FR1			
EPRE ratio of PSS to SSS		dB	0			
EPRE ratio of PBCH_DMRS to SSS						
EPRE ratio of PBCH to PBCH_DMRS						
EPRE ratio of PDCCH_DMRS to SSS						
EPRE ratio of PDCCH to PDCCH_DMRS						
EPRE ratio of PDSCH_DMRS to SSS						
EPRE ratio of PDSCH to PDSCH_DMRS						
EPRE ratio of OCNG DMRS to SSS						
EPRE ratio of OCNG to OCNG DMRS						
N <sub>oc</sub> <sup>Note2</sup>						
N <sub>oc</sub> <sup>Note2</sup>	Config 1, 2, 4, 5	dBm/SCS	-104			
	Config 3, 6		-101			
E <sub>s</sub> /N <sub>oc</sub>		dB	17	7		
E <sub>s</sub> /I <sub>tot</sub> <sup>Note3</sup>		dB	17	7		
SS-RSRQ <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/SCS	-87	-97		
	Config 3, 6		-84	-94		
SSB_RP <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/SCS	-87	-97		
	Config 3, 6		-84	-94		
I <sub>o</sub> <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/9.36 MHz	-58.96	-68.26		
	Config 3, 6	dBm/38.16 MHz	-52.87	-62.17		
Propagation condition			AWGN			
Antenna Configuration and Correlation Matrix			1x2			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.						
Note 3: E <sub>s</sub> /I <sub>tot</sub> , SS-RSRQ, SSB_RP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

**Table A.6.7.6.1.2-3: E-UTRAN Cell specific test parameters for SA Inter-RAT E-UTRAN RSRQ test parameters**

Parameter	Unit	Cell 2			
		Test 1	Test 2	Test 3	
E-UTRA RF channel number			1		
Duplex mode	Config 1, 2, 3		FDD		
	Config 4, 5, 6		TDD		
TDD special subframe configuration <sup>Note1</sup>	Config 1, 2, 3		N/A		
	Config 4, 5, 6		6		
TDD uplink-downlink configuration <sup>Note1</sup>	Config 1, 2, 3		N/A		
	Config 4, 5, 6		1		
BW <sub>channel</sub>	MHz		5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100		
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>			-		
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>	Config 1, 2, 3		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD		
	Config 4, 5, 6		5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD		
OCNG Patterns <sup>Note2</sup>	Config 1, 2, 3		5 MHz: OP.19 FDD 10 MHz: OP.6 FDD 20 MHz: OP.14 FDD		
	Config 4, 5, 6		5 MHz: OP.10 TDD 10 MHz: OP.2 TDD 20 MHz: OP.8 TDD		
PBCH_RA	dB	0			
PBCH_RB					
PSS_RA					
SSS_RA					
PCFICH_RB					
PHICH_RA					
PHICH_RB					
PDCCH_RA					
PDCCH_RB					
PDSCH_RA					
PDSCH_RB					
OCNG_RA <sup>Note3</sup>					
OCNG_RB <sup>Note3</sup>					
N <sub>oc</sub> <sup>Note4</sup>	Bands FDD_A <sup>Note 9</sup> , TDD_A	dBm/15kHz	-83	-104.70	
	Bands FDD_B1, FDD_B2 <sup>Note 10</sup>				
	Bands FDD_C, TDD_C				
	Bands FDD_D				
	Bands FDD_E, FDD_F Note 7, TDD_E				
	Bands FDD_G <sup>Note 8</sup>				
	Bands FDD_H				
$\hat{E}_s/N_{oc}$	dB		-1.75	-4.0	-4.0
$\hat{E}_s/I_{ot}$ <sup>Note5</sup>	dB		-1.75	-4.0	-4.0
RSRP <sup>Note5</sup>	Bands FDD_A <sup>Note 9</sup> , TDD_A	dBm/15kHz	-84.75	-108.70	-123.5
	Bands FDD_B1, FDD_B2 <sup>Note 10</sup>				-123
	Bands FDD_C, TDD_C				-122.5
	Bands FDD_D				-122

	Bands FDD_E, FDD_F Note 7, TDD_E				-121.5
	Bands FDD_G Note 8				-120.5
	Bands FDD_H				-120
RSRQ <sup>Note 5</sup>	Bands FDD_A Note 9, TDD_A	dB	-14.76	-16.25	-16.25
	Bands FDD_B1, FDD_B2 Note 10				
	Bands FDD_C, TDD_C				
	Bands FDD_D				
	Bands FDD_E, FDD_F Note 7, TDD_E				
	Bands FDD_G Note 8				
	Bands FDD_H				
Io <sup>Note 5</sup>	Bands FDD_A Note 9, TDD_A	dBm/Ch BW	-53 + 10log(N <sub>RB,c</sub> /50)	-75.46 + 10log(N <sub>RB,c</sub> /50)	-90.26 + 10log(N <sub>RB,c</sub> /50)
	Bands FDD_B1, FDD_B2 Note 10				-89.76 + 10log(N <sub>RB,c</sub> /50)
	Bands FDD_C, TDD_C				-89.26 + 10log(N <sub>RB,c</sub> /50)
	Bands FDD_D				-88.76 + 10log(N <sub>RB,c</sub> /50)
	Bands FDD_E, FDD_F Note 7, TDD_E				-88.26 + 10log(N <sub>RB,c</sub> /50)
	Bands FDD_G Note 8				-87.26 + 10log(N <sub>RB,c</sub> /50)
	Bands FDD_H				-86.76 + 10log(N <sub>RB,c</sub> /50)
Propagation Condition				AWGN	
Antenna Configuration and Correlation Matrix				1x2	
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].</p> <p>Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.</p> <p>Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.</p> <p>Note 5: E<sub>s</sub>/I<sub>ot</sub>, RSRP, RSRQ and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 6: E-UTRA operating band groups are as defined in clause 3.5 of TS 36.133 [15].</p> <p>Note 7: For Band 26, the tests shall be performed with the carrier frequency of assigned E-UTRA channel bandwidth within 865-894 MHz.</p> <p>Note 8: Except Band 29.</p> <p>Note 9: Except Band 32, Band 75 and Band 76.</p> <p>Note 10: For Band 74, the tests shall be performed with the carrier frequency of the assigned E-UTRA channel bandwidth within 1475.9-1510.9 MHz.</p>					

### A.6.7.6.1.3 Test Requirements

The SA inter-RAT E-UTRAN RSRQ measurement accuracy for cell 2 shall fulfil absolute requirement in clause 10.2.3.

## A.6.7.7 E-UTRAN RS-SINR

### A.6.7.7.1 SA: inter-RAT measurement accuracy with FR1 serving cell

#### A.6.7.7.1.1 Test Purpose and Environment

The purpose of this test is to verify that the E-UTRAN RS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.2.4 for SA inter-RAT E-UTRAN RS-SINR measurements.

#### A.6.7.7.1.2 Test parameters

In each test there are two cells: Cell 1 and Cell 2. Cell 1 is the NR PCell and Cell 2 is an E-UTRAN inter-RAT neighbour cell. Supported test configurations are shown in table A.6.7.7.1.2-1. The measurement accuracy of SA inter-RAT E-UTRAN RS-SINR are tested by using the parameters in A.6.7.7.1.2-2 and A.6.7.7.1.2-3.

**Table A.6.7.7.1.2-1: Inter-RAT E-UTRAN RS-SINR supported test configurations with FR1 serving cell**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, E-UTRAN TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, E-UTRAN TDD
6	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, E-UTRAN TDD
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.6.7.7.1.2-2: NR Cell specific test parameters for SA Inter-RAT E-UTRAN RS-SINR test parameters**

Parameter		Unit	Cell 1
NR RF channel number			1
Duplex mode	Config 1, 4		FDD
	Config 2, 3, 5, 6		TDD
TDD Configuration	Config 1, 4		N/A
	Config 2, 5		TDDConf.1.1
	Config 3, 6		TDDConf.1.2
BW <sub>channel</sub>	Config 1, 4	MHz	10: N <sub>RB,c</sub> = 52 (FDD)
	Config 2, 5		10: N <sub>RB,c</sub> = 52 (TDD)
	Config 3, 6		40: N <sub>RB,c</sub> = 106 (TDD)
Gap pattern Id			0
PDSCH reference measurement channel	Config 1, 4		SR.1.1 FDD
	Config 2, 5		SR.1.1 TDD
	Config 3, 6		SR.2.1 TDD
CORSET reference channel	Config 1, 4		CR.1.1 FDD
	Config 2, 5		CR.1.1 TDD
	Config 3, 6		CR.2.1 TDD
BWP configurations	Initial DL BWP		DLBWP.0.1
	Dedicated DL BWP		DLBWP.1.1
	Initial UL BWP		ULBWP.0.1
	Dedicated UL BWP		ULBWP.1.1
OCNG pattern <sup>Note1</sup>			OP.1
SMTc configuration			SMTc.1
SSB configuration	Config 1, 2, 4, 5		SSB.1 FR1
	Config 3, 6		SSB.2 FR1
EPRE ratio of PSS to SSS		dB	0
EPRE ratio of PBCH_DMRS to SSS			
EPRE ratio of PBCH to PBCH_DMRS			
EPRE ratio of PDCCH_DMRS to SSS			
EPRE ratio of PDCCH to PDCCH_DMRS			
EPRE ratio of PDSCH_DMRS to SSS			
EPRE ratio of PDSCH to PDSCH_DMRS			
EPRE ratio of OCNG DMRS to SSS			
EPRE ratio of OCNG to OCNG DMRS			
N <sub>oc</sub> <sup>Note2</sup>		dBm/15 KHz	-104
N <sub>oc</sub> <sup>Note2</sup>	Config 1, 2, 4, 5	dBm/SCS	-104
	Config 3, 6		-101
E <sub>s</sub> /N <sub>oc</sub>		dB	17
E <sub>s</sub> /I <sub>tot</sub> <sup>Note3</sup>		dB	17
SS-RS-SINR <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/SCS	-87
	Config 3, 6		-84
SSB_RP <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/SCS	-87
	Config 3, 6		-84
I <sub>o</sub> <sup>Note3</sup>	Config 1, 2, 4, 5	dBm/9.36 MHz	-58.96
	Config 3, 6	dBm/38.16 MHz	-52.87
Propagation condition			AWGN
Antenna Configuration and Correlation Matrix			1x2
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.			
Note 3: E <sub>s</sub> /I <sub>tot</sub> , SS-RS-SINR, SSB_RP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

**Table A.6.7.7.1.2-3: E-UTRAN Cell specific test parameters for SA Inter-RAT E-UTRAN RS-SINR test parameters**

Parameter	Unit	Cell 2		
		Test 1	Test 2	Test 3
E-UTRA RF channel number			1	
Duplex mode	Config 1, 2, 3		FDD	
	Config 4, 5, 6		TDD	
TDD special subframe configuration <sup>Note1</sup>	Config 1, 2, 3		N/A	
	Config 4, 5, 6		6	
TDD uplink-downlink configuration <sup>Note1</sup>	Config 1, 2, 3		N/A	
	Config 4, 5, 6		1	
BW <sub>channel</sub>	MHz		5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100	
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>			-	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>	Config 1, 2, 3		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
	Config 4, 5, 6		5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note2</sup>	Config 1, 2, 3		5 MHz: OP.19 FDD 10 MHz: OP.6 FDD 20 MHz: OP.14 FDD	
	Config 4, 5, 6		5 MHz: OP.10 TDD 10 MHz: OP.2 TDD 20 MHz: OP.8 TDD	
PBCH_RA	dB	0		
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
N <sub>oc1</sub> <sup>Note4</sup>	Bands FDD_A <sup>Note 9</sup> , TDD_A	dBm/15kHz	-88	-108.50
	Bands FDD_B1, FDD_B2 <sup>Note 10</sup>			
	Bands FDD_C, TDD_C			
	Bands FDD_D			
	Bands FDD_E, FDD_F Note 7, TDD_E			
	Bands FDD_G <sup>Note 8</sup>			
	Bands FDD_H			
N <sub>oc2</sub> <sup>Note4a</sup>	Bands FDD_A <sup>Note 9</sup> , TDD_A	dBm/15kHz	-82	-114.5
	Bands FDD_B1, FDD_B2 <sup>Note 10</sup>			
	Bands FDD_C, TDD_C			
	Bands FDD_D			
	Bands FDD_E, FDD_F Note 7, TDD_E			

	Bands FDD_G Note 8				-110.5			
	Bands FDD_H				-110			
CRS $\bar{E}_s/N_{oc1}$		dB	-1.75	-4.0	-4.0			
CRS $\bar{E}_s/I_{ot}$ Note 5		dB	-1.75	-4.0	-4.0			
RSRP Note 5	Bands FDD_A Note 9, TDD_A	dBm/15kHz	-89.75	-88.50	-123.5			
	Bands FDD_B1, FDD_B2 Note 10				-123			
	Bands FDD_C, TDD_C				-122.5			
	Bands FDD_D				-122			
	Bands FDD_E, FDD_F Note 7, TDD_E				-121.5			
	Bands FDD_G Note 8				-120.5			
	Bands FDD_H				-120			
RS-SINR Note 5	Bands FDD_A Note 9, TDD_A	dB	-1.75	20	-4.0			
	Bands FDD_B1, FDD_B2 Note 10							
	Bands FDD_C, TDD_C							
	Bands FDD_D							
	Bands FDD_E, FDD_F Note 7, TDD_E							
	Bands FDD_G Note 8							
	Bands FDD_H							
Io Note 5	Bands FDD_A Note 9, TDD_A	dBm/Ch BW	-53.79 + 10log(N <sub>RB,c</sub> /50)	-60.56 + 10log(N <sub>RB,c</sub> /50)	-93.48 + 10log(N <sub>RB,c</sub> /50)			
	Bands FDD_B1, FDD_B2 Note 10							
	Bands FDD_C, TDD_C							
	Bands FDD_D							
	Bands FDD_E, FDD_F Note 7, TDD_E							
	Bands FDD_G Note 8							
	Bands FDD_H							
Propagation Condition		AWGN						
Antenna Configuration and Correlation Matrix		1x2						
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].</p> <p>Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.</p> <p>Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over CRS subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc1</sub> to be fulfilled.</p> <p>Note 4a: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers other than CRS subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc2</sub> to be fulfilled.</p> <p>Note 5: CRS <math>\bar{E}_s/I_{ot}</math>, RSRP, RS-SINR and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 6: E-UTRA operating band groups are as defined in clause 3.5 of TS 36.133 [15].</p> <p>Note 7: For Band 26, the tests shall be performed with the carrier frequency of assigned E-UTRA channel bandwidth within 865-894 MHz.</p>								

- Note 8: Except Band 29.  
 Note 9: Except Band 32, Band 75 and Band 76.  
 Note 10: For Band 74, the tests shall be performed with the carrier frequency of the assigned E-UTRA channel bandwidth within 1475.9-1510.9 MHz.

### A.6.7.7.1.3 Test Requirements

The SA inter-RAT E-UTRAN RS-SINR measurement accuracy for cell 2 shall fulfil absolute requirement in clause 10.2.4.

## A.7 NR standalone tests with one or more NR cells in FR2

### A.7.1 SA: RRC\_IDLE state mobility

#### A.7.1.1 Cell re-selection to NR

##### A.7.1.1.1 Cell reselection to FR2 intra-frequency NR case

###### A.7.1.1.1.1 Test Purpose and Environment

This test is to verify the requirement for the intra frequency NR cell reselection requirements specified in clause 4.2.2.3.

###### A.7.1.1.1.2 Test Parameters

The test scenario comprises of 1 NR carrier and 2 cells as given in tables A.7.1.1.1.2-1, A.7.1.1.1.2-2 and A.7.1.1.1.2-3. The test consists of three successive time periods, with time duration of T1, T2, and T3 respectively. Only cell 1 is already identified by the UE prior to the start of the test. Cell 1 and cell 2 belong to different tracking areas. Furthermore, UE has not registered with network for the tracking area containing cell 2.

**Table A.7.1.1.1.2-1: Supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.7.1.1.2-2: General test parameters for intra frequency NR cell re-selection test case**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1, 2	Cell1	
	Neighbour cells		1, 2	Cell2	
T2 end condition	Active cell		1, 2	Cell2	
	Neighbour cells		1, 2	Cell1	
Final condition	Visited cell		1, 2	Cell1	
RF Channel Number			1, 2	1	
Time offset between cells			1, 2	3 µs	Synchronous cells
Access Barring Information	-		1, 2	Not Sent	No additional delays in random access procedure.
SSB configuration		1	SSB.1 FR2		
		2	SSB.2 FR2		
SMTC configuration		1, 2	SMTC pattern 1		
DRX cycle length	s	1, 2	1.28		The value shall be used for all cells in the test.
PRACH configuration index		1, 2	87		The detailed configuration is specified in TS 38.211 clause 6.3.3.2
rangeToBestCell		1, 2	Not configured		
T1	s	1, 2	>7		During T1, Cell 2 shall be powered off, and during the off time the physical cell identity shall be changed, The intention is to ensure that Cell 2 has not been detected by the UE prior to the start of period T2
T2	s	1, 2	135		T2 needs to be defined so that cell re-selection reaction time is taken into account.
T3	s	1, 2	35		T3 needs to be defined so that cell re-selection reaction time is taken into account.

**Table A.7.1.1.2-3: Cell specific test parameters for intra frequency NR cell re-selection test case in AWGN**

Parameter	Unit	Test configuration	Cell 1			Cell 2											
			T1	T2	T3	T1	T2	T3									
TDD configuration		1, 2	TDDConf.3.1			TDDConf.3.1											
PDSCH RMC configuration		1	SR.3.1 TDD			N/A											
RMSI CORESET RMC configuration		2	SR.3.1 TDD														
		1	CR.3.1 TDD			CR.3.1 TDD											
Dedicated CORESET RMC configuration		2	CR.3.1 TDD			CR.3.1 TDD											
		1	CCR.3.1 TDD			CCR.3.1 TDD											
OCNG Pattern		2	CCR.3.1 TDD			CCR.3.1 TDD											
		1, 2	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1											
Initial DL BWP configuration		1, 2	DLBWP.0.1			DLBWP.0.1											
Initial UL BWP configuration		1, 2	ULBWP.0.1			ULBWP.0.1											
RLM-RS		1, 2	SSB			SSB											
Qrxlevmin	dBm/SCS	1	-140			-140											
		2	-137			-137											
Pcompensation	dB	1, 2	0			0											
Qhyst <sub>s</sub>	dB	1, 2	0			0											
Qoffset <sub>s, n</sub>	dB	1, 2	0			0											
Cell_selection_and_reselection_quality_measurement		1, 2	SS-RSRP			SS-RSRP											
AoA setup		1, 2	Setup 1 defined in A.3.15.1			Setup 1 defined in A.3.15.1											
$\hat{E}_s / I_{ot}$	dB	1	17	-3.09	2.83	-infinity	2.83	-3.09									
		2															
$N_{oc}$ Note2	dBm/SCS	1	-98														
		2	-95														
$N_{oc}$ Note2	dBm/15 kHz	1	-107														
		2															
$\hat{E}_s / N_{oc}$	dB	1	17	14	17	-infinity	17	14									
		2															
SS-RSRP Note3	dBm/SCS	1	-81	-84	-81	-infinity	-81	-84									
		2	-78	-81	-78	-infinity	-78	-81									
Io	dBm/95.04 MHz	1	-51.93	-50.19	-50.19	-51.93	-50.19	-50.19									
		2	-51.93	-50.19	-50.19	-51.93	-50.19	-50.19									
Treselection	s	1, 2	0	0	0	0	0	0									
SintrasearchP	dB	1, 2	50			50											
Propagation Condition		1, 2	AWGN														
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																	
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.																	
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																	

### A.7.1.1.3 Test Requirements

The cell reselection delay to a newly detectable cell is defined as the time from the beginning of time period T2, to the moment when the UE camps on Cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on Cell 2.

The cell re-selection delay to a newly detectable cell shall be less than 130 s.

The cell reselection delay to an already detected cell is defined as the time from the beginning of time period T3, to the moment when the UE camps on cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 1.

The cell re-selection delay to an already detected cell shall be less than 27 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

**NOTE:** The cell re-selection delay to a newly detectable cell can be expressed as:  $T_{\text{detect, NR\_Intra}} + T_{\text{SI-NR}}$ , and to an already detected cell can be expressed as:  $T_{\text{evaluate, NR\_intra}} + T_{\text{SI-NR}}$ ,

Where:

$T_{\text{detect, NR\_Intra}}$  See Table 4.2.2.3-1 in clause 4.2.2.3

$T_{\text{evaluate, NR\_intra}}$  See Table 4.2.2.3-1 in clause 4.2.2.3

$T_{\text{SI-NR}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 129.28 s, allow 130 s for the cell re-selection delay to a newly detectable cell and 26.88 s for the cell re-selection delay to an already detected cell in the test case, which we allow 27 s.

### A.7.1.1.2 Cell reselection to FR2 inter-frequency NR case

#### A.7.1.1.2.1 Test Purpose and Environment

This test is to verify the requirement for the inter frequency NR cell reselection requirements specified in clause 4.2.2.4.

#### A.7.1.1.2.2 Test Parameters

The test scenario comprises of 2 cells on 2 different NR carriers respectively as given in tables A.7.1.1.2.2-1, A.7.1.1.2.2-2 and A.7.1.1.2.2-3. The test consists of three successive time periods, with time duration of T1, T2, and T3 respectively. Both cell 1 and cell 2 are already identified by the UE prior to the start of the test. Cell 1 and cell 2 belong to different tracking areas and cell 2 is of higher priority than cell 1. Furthermore, UE has not registered with network for the tracking area containing cell 2.

**Table A.7.1.1.2.2-1: Supported test configurations**

Configuration	Description for serving cell	Description for target cell
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.7.1.1.2.2-2: General test parameters for FR2 inter frequency NR cell re-selection test case**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1, 2	Cell2	The UE camps on cell 2 in the initial phase and during T1 period the UE reselects to cell 1
T1 end condition	Active cell		1, 2	Cell1	The UE shall perform reselection to cell 1 during T1
	Neighbour cells		1, 2	Cell2	
T3 end condition	Active cell		1, 2	Cell2	The UE shall perform reselection to cell 2 with higher priority during T3
RF Channel Number			1, 2	1, 2	
Time offset between cells			1, 2	3 $\mu$ s	Synchronous cells
Access Barring Information	-		1, 2	Not Sent	No additional delays in random access procedure.
SSB configuration		1	SSB.1 FR2		
		2	SSB.2 FR2		
SMTC configuration			1, 2	SMTC pattern 1	
DRX cycle length	s		1, 2	1.28	The value shall be used for all cells in the test.
PRACH configuration index			1, 2	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
rangeToBestCell			1, 2	Not configured	
T1	s		1, 2	35	T1 needs to be defined so that cell re-selection reaction time is taken into account.
T2	s		1, 2	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed. The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.
T3	s		1, 2	95	T3 needs to be defined so that cell re-selection reaction time is taken into account.

**Table A.7.1.1.2.2-3: Cell specific test parameters for FR2 inter frequency NR cell re-selection test case in AWGN**

Parameter	Unit	Test configuration	Cell 1			Cell 2								
			T1	T2	T3	T1	T2	T3						
TDD configuration		1, 2	TDDConf.3.1			TDDConf.3.1								
PDSCH RMC configuration		1, 2	SR.3.1 TDD			N/A								
RMSI CORESET parameters		1, 2	CR.3.1 TDD			CR.3.1 TDD								
RMSI CORESET RMC configuration		1, 2	CCR.3.1 TDD			CCR.3.1 TDD								
OCNG Pattern		1, 2	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1								
Initial DL BWP configuration		1, 2	DLBWP.0.1			DLBWP.0.1								
Initial UL BWP configuration		1, 2	ULBWP.0.1			ULBWP.0.1								
RLM-RS		1, 2	SSB			SSB								
Qrxlevmin	dBm/SCS	1	-140			-140								
		2	-137			-137								
Pcompensation	dB	1, 2	0			0								
Qhyst <sub>s</sub>	dB	1, 2	0			0								
Qoffset <sub>s, n</sub>	dB	1, 2	0			0								
Cell_selection_and_reselection_quality_measurement		1, 2	SS-RSRP			SS-RSRP								
AoA setup		1, 2	Setup 1 defined in A.3.15.1			Setup 1 defined in A.3.15.1								
$\hat{E}_s / I_{ot}$	dB	1	15	15	15	-3	-infinity	13						
		2												
$N_{oc}$ Note2	dBm/SCS	1	-98											
		2	-95											
$N_{oc}$ Note2	dBm/15 kHz	1	-107											
		2												
$\hat{E}_s / N_{oc}$	dB	1	15	15	15	-3	-infinity	13						
		2												
SS-RSRP Note3	dBm/SCS	1	-83	-83	-83	-101	-infinity	-85						
		2	-80	-80	-80	-98	-infinity	-82						
Io	dBm/95.04 MHz	1	-53.88	-53.88	-53.88	-67.25	-infinity	-55.80						
		2	-53.88	-53.88	-53.88	-67.25	-infinity	-55.80						
Treselection	s	1, 2	0	0	0	0	0	0						
SnointrasearchP	dB	1, 2	50			Not sent								
Thresh <sub>x, high</sub>	dB	1, 2	48			48								
Thresh <sub>serving, low</sub>	dB	1, 2	44			44								
Thresh <sub>x, low</sub>	dB	1, 2	50			50								
Propagation Condition		1, 2	AWGN											
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														

### A.7.1.1.2.3 Test Requirements

The cell reselection delay to a higher priority cell is defined as the time from the beginning of time period T3, to the moment when the UE camps again on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 2.

The cell re-selection delay to a higher priority cell shall be less than 87 s.

The cell reselection delay to a lower priority cell is defined as the time from the beginning of time period T1, to the moment when the UE camps on cell 1, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 1.

The cell re-selection delay to a lower priority cell shall be less than 27 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

**NOTE:** The cell re-selection delay to a higher priority cell can be expressed as:  $T_{\text{higher\_priority\_search}} + T_{\text{evaluate, NR\_inter}} + T_{\text{SI-NR}}$ , and to a lower priority cell can be expressed as:  $T_{\text{evaluate, NR\_inter}} + T_{\text{SI-NR}}$ ,

Where:

$T_{\text{higher\_priority\_search}}$  See clause 4.2.2.7

$T_{\text{evaluate, NR\_inter}}$  See Table 4.2.2.4-1 in clause 4.2.2.4

$T_{\text{SI-NR}}$  Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 86.88 s, allow 87 s for the cell re-selection delay to a higher priority cell and 26.88 s for the cell re-selection delay to a lower priority cell in the test case, which we allow 27 s.

## A.7.2 SA: RRC\_INACTIVE state mobility

### A.7.3 RRC\_CONNECTED state mobility

#### A.7.3.1 Handover

##### A.7.3.1.1 Inter-frequency handover from FR1 to FR2; unknown target cell

###### A.7.3.1.1.1 Test Purpose and Environment

This test is to verify the requirement for the NR FR1-NR FR2 inter frequency handover requirements specified in clause 6.1.1.5.

###### A.7.3.1.1.2 Test Parameters

Supported test configurations are shown in table A.7.3.1.2.2-1. Both handover delay and interruption length are tested by using the parameters in table A.7.3.1.1.2-2, and A.7.3.1.1.2-3.

The test scenario comprises of two carriers and one cell on each carrier. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1, T2 respectively. At the start of time duration T1, the UE does not have any timing information of cell 2. Starting T2, cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE.

**Table A.7.3.1.1.2-1: Inter-frequency handover from FR1 to FR2 test configurations**

<b>Config</b>	<b>Description</b>
1	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Target cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	Source cell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Target cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	Source cell: NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Target cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations

**Table A.7.3.1.1.2-2: General test parameters Inter-frequency handover from FR1 to FR2**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>	<b>Comment</b>
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
A4-Offset	dBm	[-120]	
Hysteresis	dB	0	
Time To Trigger	s	0	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
Time offset between cells		3 µs	Synchronous cells
T1	s	5	
T2	s	≤10	

**Table A.7.3.1.1.2-3: Cell specific test parameters for NR FR1-FR2 Inter frequency handover test case**

<b>Parameter</b>	<b>Unit</b>	<b>Cell 1</b>		<b>Cell 2</b>	
		<b>T1</b>	<b>T2</b>	<b>T1</b>	<b>T2</b>
NR RF Channel Number			1		2

Duplex mode	Config 1		FDD	TDD
	Config 2,3		TDD	TDD
TDD configuration	Config 1		Not Applicable	TDDConf.3.1
	Config 2		TDDConf.1.1	TDDConf.3.1
	Config 3		TDDConf.2.1	TDDConf.3.1
BW <sub>channel</sub>	Config 1	MHz	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
	Config 2		10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
	Config 3		40: N <sub>RB,c</sub> = 106	100: N <sub>RB,c</sub> = 66
BWP BW	Config 1	MHz	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
	Config 2		10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66
	Config 3		40: N <sub>RB,c</sub> = 106	100: N <sub>RB,c</sub> = 66
DRx Cycle		ms	Not Applicable	
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD	SR3.1 TDD
	Config 2		SR.1.1 TDD	SR3.1 TDD
	Config 3		SR2.1 TDD	SR3.1 TDD
CORESET Reference Channel	Config 1		CR.1.1 FDD	CR3.1 TDD
	Config 2		CR.1.1 TDD	CR3.1 TDD
	Config 3		CR2.1 TDD	CR3.1 TDD
OCNG Patterns			OCNG pattern 1	
SMTC configuration	Config 1,2		SMTC.1 FR1	SMTC.1 FR2
	Config 3		SMTC.2 FR1	SMTC.1 FR2
PDSCH/PDCCH subcarrier spacing	Config 1,2	kHz	15 kHz	120 kHz
	Config 3		30 kHz	120 kHz
PUCCH/PUSCH subcarrier spacing	Config 1,2	kHz	15 kHz	120 kHz
	Config 3		30 kHz	120 kHz
PRACH configuration			FR1 PRACH configuration	FR2 PRACH configuration
TRS configuration	Config 1		1	1
	Config 2		N/A	TRS.2.1 TDD
	Config 3		N/A	TRS.2.1 TDD
TCI configuration			N/A	CSI-RS.Config.0
BWP configuraiton	Initial DL BWP		DLBWP.0.1	DLBWP.0.1
	Dedicated DL BWP		DLBWP.1.1	DLBWP.1.1
	Initial UL BWP		ULBWP.0.1	ULBWP.0.1
	Dedicated UL BWP		ULBWP.1.1	ULBWP.1.1
EPRE ratio of PSS to SSS		dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				

EPRE ratio of OCNG DMRS to SSS(Note 1)										
EPRE ratio of OCNG to OCNG DMRS (Note 1)										
$N_{oc}$ <sup>Note2</sup>		dBm/15kHz	TBD		TBD					
$N_{oc}$ <sup>Note2</sup>	Config 1,2	dBm/SCS	TBD		TBD					
	Config 3		TBD		TBD					
$\hat{E}_s/I_{ot}$		dB	TBD	TBD	-Infinity	TBD				
$\hat{E}_s/N_{oc}$		dB	TBD	TBD	-Infinity	TBD				
$Io$ <sup>Note3</sup>	Config 1,2	dBm/BW	TBD	TBD	TBD	TBD				
	Config 3	dBm/BW	TBD	TBD	TBD	TBD				
Propagation condition		-	AWGN							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.										
Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.										
Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone										
Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone										

### A.7.3.1.1.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [722] ms from the beginning of time period T2. The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [10] ms and is specified in clause 12 in TS 38.331 [2].

$T_{interrupt}$  = [712] ms in the test.  $T_{interrupt}$  is defined in clause 6.1.1.5.2.

This gives a total of [722] ms.

### A.7.3.1.2 Intra-frequency handover from FR2 to FR2; unknown target cell

#### A.7.3.1.2.1 Test Purpose and Environment

This test is to verify the requirement for the NR FR2-NR FR2 intra frequency handover requirements specified in clause 6.1.1.4.

#### A.7.3.1.2.2 Test Parameters

Supported test configurations are shown in table A.7.3.1.2.2-1. Both handover delay and interruption length are tested by using the parameters in table A.7.3.1.2.2-2, and A.7.3.1.2.2-3.

The test scenario comprises of carriers and one cell on each carrier. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1, T2 respectively. At the start of time duration T1, the UE does not have any timing information of cell 2. Starting T2, cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE.

**Table A.7.3.1.2.2-1: Intra-frequency handover from FR2 to FR2 test configurations**

<b>Config</b>	<b>Description</b>
1	Source cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Target cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.7.3.1.2.2-2: General test parameters Intra-frequency handover from FR2 to FR2**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>	<b>Comment</b>
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
A4-Offset	dBm	[-120]	
Hysteresis	dB	0	
Time To Trigger	s	0	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
Time offset between cells		3 µs	Synchronous cells
T1	s	5	
T2	s	≤10	

**Table A.7.3.1.2.2-3: Cell specific test parameters for NR FR2-FR2 Intra frequency handover test case**

<b>Parameter</b>	<b>Unit</b>	<b>Cell 1</b>		<b>Cell 2</b>	
		<b>T1</b>	<b>T2</b>	<b>T1</b>	<b>T2</b>
NR RF Channel Number			1		1
Duplex mode				TDD	
TDD configuration				TDDConf.3.1	
BW <sub>channel</sub>	MHz			100: N <sub>RB,c</sub> = 66	
BWP BW	MHz			100: N <sub>RB,c</sub> = 66	
DRx Cycle	ms			Not Applicable	
PDSCH Reference measurement channel				SR3.1 TDD	
CORESET Reference Channel				CR3.1 TDD	
OCNG Patterns				OCNG pattern 1	
SMTC configuration				SMTC.1 FR2	
PDSCH/PDCCH subcarrier spacing	kHz			120 kHz	
PUCCH/PUSCH subcarrier spacing	kHz			120 kHz	
PRACH configuration				FR2 PRACH configuration 1	
TRS configuration				TRS.2.1 TDD	
TCI configuration				CSI-RS.Config.0	
BWP configuraiton	Initial DL BWP			DLBWP.0.1	
	Dedicated DL BWP			DLBWP.1.1	
	Initial UL BWP			ULBWP.0.1	
	Dedicated UL BWP			ULBWP.1.1	
EPRE ratio of PSS to SSS	dB	0	0	0	0
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS (Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					

$N_{oc}$ <sup>Note2</sup>	dBm/15kHz	TBD		TBD					
$N_{oc}$ <sup>Note2</sup>	Config 1,2	dBm/SCS	TBD		TBD				
	Config 3		TBD		TBD				
$\hat{E}_s/I_{ot}$	dB	TBD	TBD	TBD	TBD				
$\hat{E}_s/N_{oc}$	dB	TBD	TBD	TBD	TBD				
Io <sup>Note3</sup>	Config 1,2	dBm/BW	TBD	TBD	TBD				
	Config 3	dBm/BW	TBD	TBD	TBD				
Propagation condition	-	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.								
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.								
Note 3:	Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								
Note 4:	Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone								
Note 5:	As observed with 0 dBi gain antenna at the centre of the quiet zone								

#### A.7.3.1.2.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [382] ms from the beginning of time period T2. The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [10] ms and is specified in clause 12 in TS 38.331 [2].

$T_{interrupt}$  = [372] ms in the test.  $T_{interrupt}$  is defined in clause 6.1.1.4.2.

This gives a total of [382] ms.

#### A.7.3.1.3 Inter-frequency handover from FR2 to FR2; unknown target cell

##### A.7.3.1.3.1 Test Purpose and Environment

This test is to verify the requirement for the NR FR2-NR FR2 inter frequency handover requirements specified in clause 6.1.1.4.

##### A.7.3.1.3.2 Test Parameters

Supported test configurations are shown in table A.7.3.1.3.2-1. Both handover delay and interruption length are tested by using the parameters in table A.7.3.1.3.2-2, and A.7.3.1.3.2-3.

The test scenario comprises of carriers and one cell on each carrier. No gap patterns are configured in the test case. The test consists of two successive time periods, with time durations of T1, T2 respectively. At the start of time duration T1, the UE does not have any timing information of cell 2. Starting T2, cell 2 becomes detectable and the UE receives a RRC handover command from the network. The start of T2 is the instant when the last TTI containing the RRC message implying handover is sent to the UE.

**Table A.7.3.1.3.2-1: Inter-frequency handover from FR2 to FR2 test configurations**

Config	Description
1	Source cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Target cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.7.3.1.3.2-2: General test parameters Inter-frequency handover from FR2 to FR2**

Parameter	Unit	Value	Comment
Initial conditions	Active cell	Cell 1	
	Neighbouring cell	Cell 2	
Final condition	Active cell	Cell 2	
A4-Offset	dB	[−120]	
Hysteresis	dB	0	
Time To Trigger	s	0	
Filter coefficient		0	L3 filtering is not used
Access Barring Information	-	Not Sent	No additional delays in random access procedure.
Time offset between cells		3 μs	Synchronous cells
T1	s	5	
T2	s	≤10	

**Table A.7.3.1.3.2-3: Cell specific test parameters for NR FR2-FR2 Inter frequency handover test case**

Parameter	Unit	Cell 1		Cell 2			
		T1	T2	T1	T2		
NR RF Channel Number			1		2		
Duplex mode				TDD			
TDD configuration				TDDConf.3.1			
BW <sub>channel</sub>	MHz			100: N <sub>RB,c</sub> = 66			
BWP BW	MHz			100: N <sub>RB,c</sub> = 66			
DRx Cycle	ms			Not Applicable			
PDSCH Reference measurement channel				SR3.1 TDD			
CORESET Reference Channel				CR3.1 TDD			
OCNG Patterns				OCNG pattern 1			
SMTC configuration				SMTC.1 FR2			
PDSCH/PDCCH subcarrier spacing	kHz			120 kHz			
PUCCH/PUSCH subcarrier spacing	kHz			120 kHz			
PRACH configuration				FR2 PRACH configuration 1			
TRS configuration				TRS.2.1 TDD			
TCI configuration				CSI-RS.Config.0			
BWP configuraiton	Initial DL BWP			DLBWP.0.1			
	Dedicated DL BWP			DLBWP.1.1			
	Initial UL BWP			ULBWP.0.1			
	Dedicated UL BWP			ULBWP.1.1			
EPRE ratio of PSS to SSS	dB	0	0	0	0		
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH							
EPRE ratio of OCNG DMRS to SSS (Note 1)							
EPRE ratio of OCNG to OCNG DMRS (Note 1)							
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	TBD		TBD			
N <sub>oc</sub> <sup>Note2</sup>		TBD		TBD			
Config 1,2	TBD			TBD			
Config 3		TBD		TBD			
Ê <sub>s</sub> /I <sub>ot</sub>	dB	TBD	TBD	TBD	TBD		
Ê <sub>s</sub> /N <sub>oc</sub>	dB	TBD	TBD	TBD	TBD		
I <sub>o</sub> <sup>Note3</sup>	Config 1,2	dBm/	TBD	TBD	TBD		

	Config 3	BW dBm/ BW	TBD	TBD	TBD	TBD					
Propagation condition	-	AWGN									
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.											
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.											
Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.											
Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone											
Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone											

#### A.7.3.1.3.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [702] ms from the beginning of time period T2. The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [10] ms and is specified in clause 12 in TS 38.331 [2].

$T_{interrupt}$  = [692] ms in the test.  $T_{interrupt}$  is defined in clause 6.1.1.4.2.

This gives a total of [702] ms.

#### A.7.3.2 RRC Connection Mobility Control

##### A.7.3.2.1 SA: RRC Re-establishment

###### A.7.3.2.1.1 Intra-frequency RRC Re-establishment in FR2

###### A.7.3.2.1.1.1 Test Purpose and Environment

The purpose is to verify that the NR intra-frequency RRC re-establishment delay in FR2 without known target cell is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.7.3.2.1.1.1-1, table A.7.3.2.1.1.1-2 and table A.7.3.2.1.1.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, becomes inactive. The time period T3 starts after the occurrence of the radio link failure.

**Table A.7.3.2.1.1.1-1: Supported test configurations**

Config	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.7.3.2.1.1.1-2: General test parameters for NR intra-frequency RRC Re-establishment test case in FR2**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1	Cell1	
	Neighbour cells		1	Cell2	
Final condition	Active cell		1	Cell2	
RF Channel Number			1	1	
Time offset between cells			1	3 µs	Synchronous cells
N310	-		1	1	Maximum consecutive out-of-sync indications from lower layers
N311	-		1	1	Minimum consecutive in-sync indications from lower layers
T310	ms		1	0	Radio link failure timer; T310 is disabled
T311	ms		1	5000	RRC re-establishment timer
Access Barring Information	-		1	Not Sent	No additional delays in random access procedure.
SSB configuration			1	SSB.1 FR2	
SMTC configuration			1	SMTC pattern 1	
DRX cycle length	s		1	OFF	
PRACH configuration index			1	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
T1	s		1	5	
T2	ms		1	1600	Time for the UE to detect RLF
T3	s		1	3	

**Table A.7.3.2.1.1.1-3: Cell specific test parameters for NR intra-frequency RRC Re-establishment test case in FR2**

Parameter	Unit	Test configuration	Cell 1			Cell 2		
			T1	T2	T3	T1	T2	T3
TDD configuration		1	TDDConf.3.1			TDDConf.3.1		
PDSCH RMC configuration		1	SR.3.1 TDD			N/A		
RMSI CORESET RMC configuration		1	CR.3.1 TDD			CR.3.1 TDD		
Dedicated CORESET RMC configuration		1	CCR.3.1 TDD			CCR.3.1 TDD		
TRS configuration		1	TRS.2.1 TDD			N/A		
PDSCH/PDCCH TCI state		1	TCI.State.2			N/A		
OCNG Pattern		1	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1		
Initial DL BWP configuration		1	DLBWP.0.1			DLBWP.0.1		
Initial UL BWP configuration		1	ULBWP.0.1			ULBWP.0.1		
RLM-RS		1	SSB			SSB		
AoA setup		1	Setup 1 defined in A.3.15.1			Setup 1 defined in A.3.15.1		
$\hat{E}_s / I_{ot}$	dB	1	-3.07	-infinity	-infinity	-5.07	2	2
$N_{oc}$ Note2	dBm/15 kHz	1	-98					
$N_{oc}$ Note2	dBm/SCS	1	-89					
$\hat{E}_s / N_{oc}$	dB	1	4	-infinity	-infinity	2	2	2
SS-RSRP Note3	dBm/SCS	1	-85	-infinity	-infinity	-87	-87	-87
Io	dBm/95.04 MHz	1	-52.94	-55.89	-55.89	-52.94	-55.89	-55.89
Propagation Condition		1	AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								

### A.7.3.2.1.1.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR intra frequency cell shall be less than [3] s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{re-establish\_delay} = T_{UL\_grant} + T_{UE\_re-establish\_delay}.$$

Where:

$T_{UL\_grant}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{UL\_grant}$  is not used.

$$T_{UE\_re-establish\_delay} = 50 + T_{identify\_intra\_NR} + \sum_{i=1}^{N_{freq}-1} T_{identify\_inter\_NR,i} + T_{SI-NR} + T_{PRACH}$$

$N_{freq} = 1$

$T_{identify\_intra\_NR} = 1600$  ms

$T_{SI} = [1280]$  ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 for the target intra-frequency NR cell.

$T_{PRACH} = [15]$  ms; it is the additional delay caused by the random access procedure.

This gives a total of [2945] ms, allow [3] s in the test case.

#### A.7.3.2.1.2 Inter-frequency RRC Re-establishment in FR2

##### A.7.3.2.1.2.1 Test Purpose and Environment

The purpose is to verify that the NR inter-frequency RRC re-establishment delay in FR2 without known target cell is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.7.3.2.1.2.1-1, table A.7.3.2.1.2.1-2 and table A.7.3.2.1.2.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, becomes inactive. The time period T3 starts after the occurrence of the radio link failure. During T1, the UE shall be configured with the carrier frequency of cell 2 (with RF Channel Number #2) to ensure that the UE has the context of the carrier frequency of cell 2 by the end of T1.

**Table A.7.3.2.1.2.1-1: Supported test configurations**

Config	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.7.3.2.1.2.1-2: General test parameters for NR inter-frequency RRC Re-establishment test case in FR2**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1	Cell1	
	Neighbour cells		1	Cell2	
Final condition	Active cell		1	Cell2	
RF Channel Number			1	1, 2	
Time offset between cells			1	3 µs	Synchronous cells
N310	-	1	1	1	Maximum consecutive out-of-sync indications from lower layers
N311	-	1	1	1	Minimum consecutive in-sync indications from lower layers
T310	ms	1	0		Radio link failure timer; T310 is disabled
T311	ms	1	5000		RRC re-establishment timer
Access Barring Information	-	1	Not Sent		No additional delays in random access procedure.
SSB configuration		1	SSB.1 FR2		
		1	SMTC pattern 1		
DRX cycle length	s	1	OFF		
PRACH configuration index		1	87		The detailed configuration is specified in TS 38.211 clause 6.3.3.2
T1	s	1	5		
T2	ms	1	1600		Time for the UE to detect RLF
T3	s	1	6		

**Table A.7.3.2.1.2.1-3: Cell specific test parameters for NR inter-frequency RRC Re-establishment test case in FR2**

Parameter	Unit	Test configuration	Cell 1			Cell 2		
			T1	T2	T3	T1	T2	T3
TDD configuration		1	TDDConf.3.1			TDDConf.3.1		
PDSCH RMC configuration		1	SR.3.1 TDD			N/A		
RMSI CORESET RMC configuration		1	CR.3.1 TDD			CR.3.1 TDD		
Dedicated CORESET RMC configuration		1	CCR.3.1 TDD			CCR.3.1 TDD		
TRS configuration		1	TRS.2.1 TDD			N/A		
PDSCH/PDCCH TCI state		1	TCI.State.2			N/A		
OCNG Pattern		1	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1		
Initial DL BWP configuration		1	DLBWP.0.1			DLBWP.0.1		
Initial UL BWP configuration		1	ULBWP.0.1			ULBWP.0.1		
RLM-RS		1	SSB			SSB		
AoA setup		1	Setup 3 defined in A.3.15.3			Setup 3 defined in A.3.15.3		
$\hat{E}_s / I_{ot}$	dB	1	5	-infinity	-infinity	-infinity	-infinity	8
$N_{oc}$ Note2	dBm/15 kHz	1	-98					
$N_{oc}$ Note2	dBm/SCS	1	-89					
$\hat{E}_s / N_{oc}$	dB	1	5	-infinity	-infinity	-infinity	-infinity	8
SS-RSRP Note3	dBm/SCS	1	-84	-infinity	-infinity	-infinity	-infinity	-81
Io	dBm/95.04 MHz	1	-53.82	-infinity	-infinity	-infinity	-infinity	-51.37
Propagation Condition		1	AWGN					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								

### A.7.3.2.1.2.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR inter frequency cell shall be less than [6] s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{re-establish\_delay} = T_{UL\_grant} + T_{UE\_re-establish\_delay}.$$

Where:

$T_{UL\_grant}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{UL\_grant}$  is not used.

$$T_{UE\_re-establish\_delay} = 50 + T_{identify\_intra\_NR} + \sum_{i=1}^{N_{freq}-1} T_{identify\_inter\_NR,i} + T_{SI-NR} + T_{PRACH}$$

$N_{freq} = 2$

$T_{identify\_intra\_NR} = 1600$  ms

$T_{identify\_inter\_NR} = 2080$  ms

$T_{SI} = [1280]$  ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 for the target inter-frequency NR cell.

$T_{PRACH} = [15]$  ms; it is the additional delay caused by the random access procedure.

This gives a total of [5025] ms, allow [6] s in the test case.

#### A.7.3.2.1.3 Intra-frequency RRC Re-establishment in FR2 without serving cell timing

##### A.7.3.2.1.3.1 Test Purpose and Environment

The purpose is to verify that the NR intra-frequency RRC re-establishment delay in FR2 without serving cell timing is within the specified limits. These tests will verify the requirements in clause 6.2.1.

The test parameters are given in table A.7.3.2.1.3.1-1, table A.7.3.2.1.3.1-2 and table A.7.3.2.1.3.1-3 below. The test consists of 3 successive time periods, with time duration of T1, T2 and T3 respectively. At the start of time period T2, cell 1, which is the active cell, is deactivated. The time period T3 starts after the occurrence of the radio link failure.

**Table A.7.3.2.1.3.1-1: Supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.7.3.2.1.3.1-2: General test parameters for NR intra-frequency RRC Re-establishment test case in FR2**

Parameter		Unit	Test configuration	Value	Comment
Initial condition	Active cell		1	Cell1	
	Neighbour cells		1	Cell2	
Final condition	Active cell		1	Cell2	
RF Channel Number			1	1	
Time offset between cells			1	3 µs	Synchronous cells
N310	-		1	1	Maximum consecutive out-of-sync indications from lower layers
N311	-		1	1	Minimum consecutive in-sync indications from lower layers
T310	ms		1	6000	Radio link failure timer configured by <i>RLF-TimersAndConstants</i>
T311	ms		1	5000	RRC re-establishment timer
Access Barring Information	-		1	Not Sent	No additional delays in random access procedure.
SSB configuration			1	SSB.1 FR2	
SMTC configuration			1	SMTC pattern 1	
DRX cycle length	s		1	OFF	
PRACH configuration index			1	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
T1	s		1	5	
T2	s		1	6	Time for the UE to detect RLF
T3	s		1	5	

**Table A.7.3.2.1.3.1-3: Cell specific test parameters for NR intra-frequency RRC Re-establishment test case in FR2**

Parameter	Unit	Test configuration	Cell 1			Cell 2								
			T1	T2	T3	T1	T2	T3						
TDD configuration		1	TDDConf.3.1			TDDConf.3.1								
		1	SR.3.1 TDD			N/A								
RMSI CORESET RMC configuration		1	CR.3.1 FDD			CR.3.1 FDD								
Dedicated CORESET RMC configuration		1	CCR.3.1 FDD			CCR.3.1 FDD								
TRS configuration		1	TRS.2.1 TDD			N/A								
TCI state		1	CSI-RS.Config.0			N/A								
OCNG Pattern		1	OP.1 defined in A.3.2.1			OP.1 defined in A.3.2.1								
Initial DL BWP configuration		1	DLBWP.0.1			DLBWP.0.1								
Initial UL BWP configuration		1	ULBWP.0.1			ULBWP.0.1								
RLM-RS		1	SSB			SSB								
AoA setup		1	Setup 1 defined in A.3.15.1			Setup 1 defined in A.3.15.1								
$\hat{E}_s / I_{ot}$	dB	1	5	-infinity	-infinity	-infinity	-infinity	5						
$N_{oc}$ Note2	dBm/SCS	1	-98											
$N_{oc}$ Note2	dBm/15 kHz	1	-89											
$\hat{E}_s / N_{oc}$	dB	1	5	-infinity	-infinity	-infinity	-infinity	5						
SS-RSRP Note3	dBm/SCS	1	-93	-infinity	-infinity	-infinity	-infinity	-93						
Io	dBm/95.04 MHz	1	-62.82	-infinity	-infinity	-infinity	-infinity	-62.82						
Propagation Condition		1	AWGN											
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.														
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														

### A.7.3.2.1.3.2 Test Requirements

The RRC re-establishment delay is defined as the time from the start of time period T3, to the moment when the UE starts to send PRACH preambles to cell 2 for sending the *RRCReestablishmentRequest* message to cell 2.

The RRC re-establishment delay to an unknown NR intra frequency cell without serving cell timing shall be less than [5] s.

The rate of correct RRC re-establishments observed during repeated tests shall be at least 90%.

NOTE: The RRC re-establishment delay in the test is derived from the following expression:

$$T_{re-establish\_delay} = T_{UL\_grant} + T_{UE\_re-establish\_delay}.$$

Where:

$T_{UL\_grant}$  = It is the time required to acquire and process uplink grant from the target cell. The PRACH reception at the system simulator is used as a trigger for the completion of the test; hence  $T_{UL\_grant}$  is not used.

$$T_{UE\_re-establish\_delay} = 50 + T_{identify\_intra\_NR} + \sum_{i=1}^{N_{freq}-1} T_{identify\_inter\_NR,i} + T_{SI-NR} + T_{PRACH}$$

$N_{freq} = 1$

$T_{identify\_intra\_NR} = 3520$  ms

$T_{SI} = [1280]$  ms; it is the time required for receiving all the relevant system information as defined in TS 38.331 [2] for the target intra-frequency NR cell.

$T_{PRACH} = [15]$  ms; it is the additional delay caused by the random access procedure.

This gives a total of [4865] ms, allow [5] s in the test case.

### A.7.3.2.2 Random Access

#### A.7.3.2.2.1 Contention based random access test in FR2 for NR Standalone

##### A.7.3.2.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.2 and Clause 7.1.2 in an AWGN model.

For this test one cell is used, with the configuration of Cell 1 configured as PCell or SCell in FR2. Supported test parameters are shown in Table A.7.3.2.2.1.1-1. UE capable of SA with PCell or SCell in FR2 needs to be tested by using the parameters in Table A.7.3.2.2.1.1-2 and Table A.7.3.2.2.1.1-3.

**Table A.7.3.2.2.1.1-1: Supported test configurations for contention based random access test in FR2 for NR Standalone**

Config	Description
1	NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.7.3.2.2.1.1-2: General test parameters for contention based random access test in FR2 for NR Standalone**

<b>Parameter</b>		<b>Unit</b>	<b>Test-1</b>	<b>Comments</b>		
SSB Configuration	Config 1,2		SSB pattern 1 in FR2	As defined in A.3.10, except for number of SSBs per SS-burst and SS/PBCH block index as below		
Number of SSBs per SS-burst			2	Different from the definition in A.3.10		
SS/PBCH block index			0,1	Different from the definition in A.3.10		
Duplex Mode for Cell 2	Config 1,2		TDD			
TDD Configuration	Config 1,2		TDDConf.3.1			
OCNG Pattern <small>Note 1</small>			OCNG pattern 1	As defined in A.3.2.1.		
PDSCH parameters <small>Note 2</small>	Config 1,2		SR3.1 TDD	As defined in A.3.1.1.		
NR RF Channel Number			1			
EPRE ratio of PSS to SSS	dB		0			
EPRE ratio of PBCH_DMRS to SSS	dB					
EPRE ratio of PBCH to PBCH_DMRS	dB					
EPRE ratio of PDCCH_DMRS to SSS	dB					
EPRE ratio of PDCCH to PDCCH_DMRS	dB					
EPRE ratio of PDSCH_DMRS to SSS	dB					
EPRE ratio of PDSCH to PDSCH_DMRS	dB					
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.						
Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.						

**Table A.7.3.2.2.1.1-3: OTA-related test parameters for contention based random access test in FR2 for NR Standalone**

<b>Parameter</b>		<b>Unit</b>	<b>Test-1</b>	<b>Comments</b>
AoA setup			Setup 2b	As defined in A.3.15.2.2.
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	4	SSB with index 0 is signalled to be above configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	[10] dB larger than SSB_RP for SSB index 1	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	-6	SSB with index 1 is signalled to be below configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	Minimum SSB_RP value is dependent on band and power class as specified for spherical coverage AoA in Table B.2.2-2	
ss-PBCH-BlockPower		dBm/ SCS	TBD	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{C\text{MAX}, f, c}$ )		dBm	TBD	As defined in clause 6.2.4 in TS 38.101-2.
PRACH Configuration			FR2 PRACH configuration 1	As defined in A.3.8.3.
Propagation Condition		-	AWGN	
Note 1: void.				
Note 2: void.				

#### A.7.3.2.2.1.2 Test Requirements

Contention based random access is triggered by *not* explicitly assigning a random access preamble via dedicated signalling in the downlink.

##### A.7.3.2.2.1.2.1 Random Access Preamble Transmission

To test the UE behavior specified in Subclause 6.2.2.2.1.1 the System Simulator shall receive the Random Access Preamble which belongs to one of the Random Access Preambles associated with the SSB with index 0, which has SS-RSRP above the configured *rsrp-ThresholdSSB*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.7.3.2.2.1.2.2 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.1.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) and shall transmit the msg3 if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

##### A.7.3.2.2.1.2.3 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.1.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.7.3.2.2.1.2.4 Receiving an UL grant for msg3 retransmission

To test the UE behavior specified in subclause 6.2.2.2.1.4 the System Simulator shall provide an UL grant for msg3 retransmission following a successful Random Access Response.

The UE shall re-transmit the msg3 upon the reception of an UL grant for msg3 retransmission.

#### A.7.3.2.2.1.2.5 Reception of an Incorrect Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element *not* matching the CCCH SDU transmitted in msg3 uplink message.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires unless the received message includes a UE Contention Resolution Identity MAC control element and the UE Contention Resolution Identity included in the MAC control element matches the CCCH SDU transmitted in the uplink message.

#### A.7.3.2.2.1.2.6 Reception of a Correct Message over Temporary C-RNTI

To test the UE behavior specified in Subclause 6.2.2.2.1.5 the System Simulator shall send a message addressed to the temporary C-RNTI with a UE Contention Resolution Identity included in the MAC control element matching the CCCH SDU transmitted in the msg3 uplink message.

The UE shall send ACK if the Contention Resolution is successful.

#### A.7.3.2.2.1.2.7 Contention Resolution Timer expiry

To test the UE behavior specified in Subclause 6.2.2.2.1.6 the System Simulator shall *not* send a response to a msg3.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if the Contention Resolution Timer expires.

### A.7.3.2.2 Non-contention based random access test in FR2 for NR Standalone

#### A.7.3.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the behavior of the random access procedure is according to the requirements and that the PRACH power settings and timing are within specified limits. This test will verify the requirements in Clause 6.2.2.2 and Clause 7.1.2 in an AWGN model.

For this test one cell is used, with the configuration of Cell 1 configured as PCell or SCell in FR2. Supported test parameters are shown in Table A.7.3.2.2.1-1. UE capable of SA with PCell or SCell in FR2 needs to be tested by using the parameters in Table A.7.3.2.2.1-2 and Table A.7.3.2.2.1-3 for SSB-based non-contention based random access test (Test 1) and CSI-RS-based non-contention based random access test (Test 2).

**Table A.7.3.2.2.1-1: Supported test configurations for non-contention based random access test in FR2 for NR Standalone**

Config	Description
1	NR PSCell/SCell 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations depending on UE capability

**Table A.7.3.2.2.2.1-2: General test parameters for non-contention based random access test in FR2 for NR Standalone**

<b>Parameter</b>		<b>Unit</b>	<b>Test-1</b>	<b>Test-2</b>	<b>Comments</b>			
SSB Configuration	Config 1,2		SSB pattern 1 in FR2	SSB pattern 1 in FR2	As defined in A.3.10, except of Number of SSBs per SS-burst and SS/PBCH block index as below			
Number of SSBs per SS-burst			2	2	Different from the definition in A.3.10			
SS/PBCH block index			0,1	0,1	Different from the definition in A.3.10			
CSI-RS Configuration	Config 1,2		N/A	CSI-RS.3.1 TDD	As defined in A.3.1.4			
Duplex Mode for Cell 2	Config 1,2		TDD	TDD				
TDD Configuration	Config 1,2		TDDConf.3.1	TDDConf.3.1				
OCNG Pattern <sup>Note 1</sup>			OCNG pattern 1	OCNG pattern 1	As defined in A.3.2.1.			
PDSCH parameters <sup>Note 2</sup>	Config 1,2		SR3.1 TDD	SR3.1 TDD	As defined in A.3.1.1.			
NR RF Channel Number			1	1				
EPRE ratio of PSS to SSS	dB		0	0				
EPRE ratio of PBCH_DMRS to SSS	dB							
EPRE ratio of PBCH to PBCH_DMRS	dB							
EPRE ratio of PDCCH_DMRS to SSS	dB							
EPRE ratio of PDCCH to PDCCH_DMRS	dB							
EPRE ratio of PDSCH_DMRS to SSS	dB							
EPRE ratio of PDSCH to PDSCH_DMRS	dB							
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. The OCNG pattern is chosen during the test according to the presence of a DL reference measurement channel.								
Note 2: The DL PDSCH reference measurement channel is used in the test only when a downlink transmission dedicated to the UE under test is required.								

**Table A.7.3.2.2.2.1-3: OTA-related test parameters for non-contention based random access test in FR2 for NR Standalone**

Parameter		Unit	Test-1	Test-2	Comments
AoA setup			Setup 2b	Setup 2b	As defined in A.3.15.2.2.
SSB with index 0	$\hat{E}_s / I_{ot}$	dB	4	4	SSB with index 0 is signalled to be above configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	[10] dB larger than SSB_RP for SSB index 1	[10] dB larger than SSB_RP for SSB index 1	
SSB with index 1	$\hat{E}_s / I_{ot}$	dB	-6	-6	SSB with index 1 is signalled to be below configured $rsrp\text{-}ThresholdSSB$
	SSB_RP	dB	Minimum SSB_RP value is dependent on band and power class as specified for spherical coverage AoA in Table B.2.2-2	Minimum SSB_RP value is dependent on band and power class as specified for spherical coverage AoA in Table B.2.2-2	
ss-PBCH-BlockPower		dBm/SCS	TBD	TBD	As defined in clause 6.3.2 in TS 38.331 [2].
Configured UE transmitted power ( $P_{CMAX, f,c}$ )		dBm	TBD	TBD	As defined in clause 6.2.4 in TS 38.101-2.
PRACH Configuration		-	FR2 PRACH configuration 2	FR2 PRACH configuration 3	As defined in A.3.8.3.
Propagation Condition		-	AWGN	AWGN	
Note 1: void. Note 2: void.					

### A.7.3.2.2.2.2 Test Requirements

Non-Contention based random access is triggered by explicitly assigning a random access preamble via dedicated signalling in the downlink. In the test, the non-contention based random access procedure is not initialized for Other SI requested from UE or beam failure recovery.

#### A.7.3.2.2.2.1 SSB-based Random Access Preamble Transmission

In Test-1, to test the UE behavior specified in Subclause 6.2.2.2.2.1 for SSB-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with SSBS configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the SSB with index 0.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the SSB with index 0, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.7.3.2.2.2.2 CSI-RS-based Random Access Preamble Transmission

In Test-1, to test the UE behavior specified in Subclause 6.2.2.2.2.1 for CSI-RS-based Random Access Preamble transmission, with the contention-free Random Access Resources and the contention-free PRACH occasions associated with CSI-RSs configured, the System Simulator shall receive the Random Access Preamble which has the Preamble Index associated with the CSI-RS configured.

In addition, the System Simulator shall receive the Random Access Preamble on the PRACH occasion which belongs to the PRACH occasions corresponding to the CSI-RS configured, and the selected PRACH occasion shall belong to the PRACH occasions permitted by the restrictions given by the *ra-OccasionList*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.7.3.2.2.2.3 Random Access Response Reception

To test the UE behavior specified in Subclause 6.2.2.2.2 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. In response to the first 4 preambles, the System Simulator shall transmit a Random Access Response *not* corresponding to the transmitted Random Access Preamble.

The UE may stop monitoring for Random Access Response(s) if the Random Access Response contains a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power if all received Random Access Responses contain Random Access Preamble identifiers that do not match the transmitted Random Access Preamble.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

#### A.7.3.2.2.2.4 No Random Access Response Reception

To test the UE behavior specified in subclause 6.2.2.2.2.3 the System Simulator shall transmit a Random Access Response containing a Random Access Preamble identifier corresponding to the transmitted Random Access Preamble after 5 preambles have been received by the System Simulator. The System Simulator shall *not* respond to the first 4 preambles.

The UE shall again perform the Random Access Resource selection procedure specified in clause 5.1.2 in TS 38.321 [7], and transmit with the calculated PRACH transmission power when the backoff time expires if no Random Access Response is received within the RA Response window configured in *RACH-ConfigCommon*.

In addition, the power applied to all preambles shall be in accordance with what is specified in Subclause 6.2.2.2. The power of the first preamble shall be [TBD] dBm with an accuracy specified in clause 6.3.4.2 of TS 38.101-2 [19]. The relative power applied to additional preambles shall have an accuracy specified in clause 6.3.4.3 of TS 38.101-2 [19].

The transmit timing of all PRACH transmissions shall be within the accuracy specified in Subclause 7.1.2.

### A.7.3.2.3 SA: RRC Connection Release with Redirection

#### A.7.3.2.3.1 Redirection from NR in FR2 to NR in FR2

##### A.7.3.2.3.1.1 Test Purpose and Environment

This test is to verify RRC connection release with redirection from NR to NR requirements specified in clause 6.2.3.2.1.

##### A.7.3.2.3.1.2 Test Parameters

Supported test configurations are shown in table A.7.3.2.3.1.2-1. The time delay is tested by using the parameters in table A.7.3.2.3.1.2-2, and A.7.3.2.3.1.2-3.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. The “*RRCConnectionRelease*” message containing the relevant system information of Cell 2 shall be sent to the UE during period T1 and the start of T2 is the instant when the last TTI containing the RRC message is sent to the UE. Prior to time duration T2, the UE shall not have any timing information of Cell 2. Cell 2 is powered up at the beginning of the T2.

**Table A.7.3.2.3.1.2-1: Redirection from NR to NR test configurations**

Config	Description	
1	Source cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Target cell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode	

**Table A.7.3.2.3.1.2-2: General test parameters for Redirection from NR to NR test case**

Parameter	Unit	Value	Comment	
			T1	T2
Initial conditions			Cell 1	
Neighbouring cell			Cell 2	
Final condition	Active cell		Cell 2	
Filter coefficient		0	L3 filtering is not used	
Access Barring Information	-	Not Sent	No additional delays in random access procedure.	
Time offset between cells		3 µs	Synchronous cells	
T1	s	5		
T2	s	3		

**Table A.7.3.2.3.1.2-3: Cell specific test parameters for Redirection from NR to NR test case**

Parameter	Unit	Cell 1		Cell 2	
		T1	T2	T1	T2
NR RF Channel Number			1		2
Duplex mode				TDD	
TDD configuration				TDDConf.3.1	
BW <sub>channel</sub>	MHz			100: N <sub>RB,c</sub> = 66	
BWP BW	MHz			100: N <sub>RB,c</sub> = 66	
DRX Cycle	ms			Not Applicable	
PDSCH Reference measurement channel				SR3.1 TDD	
CORESET Reference Channel				CR3.1 TDD	
OCNG Patterns				OCNG pattern 1	
SMTC configuration				SMTC.1 FR2	
PDSCH/PDCCH subcarrier spacing	kHz			120 kHz	
PUCH/PUSCH subcarrier spacing	kHz			120 kHz	
PRACH configuration				FR2 PRACH configuration 1	
TRS configuration				TRS.2.1 TDD	

TCI configuration			CSI-RS.Config.0								
BWP configuraiton	Initial DL BWP		DLBWP.0.1								
	Dedicated DL BWP		DLBWP.1.1								
	Initial UL BWP		ULBWP.0.1								
	Dedicated UL BWP		ULBWP.1.1								
EPRE ratio of PSS to SSS		dB	0		0						
EPRE ratio of PBCH DMRS to SSS											
EPRE ratio of PBCH to PBCH DMRS											
EPRE ratio of PDCCH DMRS to SSS											
EPRE ratio of PDCCH to PDCCH DMRS											
EPRE ratio of PDSCH DMRS to SSS											
EPRE ratio of PDSCH to PDSCH											
EPRE ratio of OCNG DMRS to SSS(Note 1)											
EPRE ratio of OCNG to OCNG DMRS (Note 1)											
$N_{oc}$ Note2		dBm/15kHz	TBD		TBD						
$N_{oc}$ Note2	Config 1,2	dBm/SCS	TBD		TBD						
	Config 3		TBD		TBD						
$\hat{E}_s/I_{ot}$		dB	TBD	TBD	TBD	TBD					
$\hat{E}_s/N_{oc}$		dB	TBD	TBD	TBD	TBD					
Io Note3	Config 1,2	dBm/BW	TBD	TBD	TBD	TBD					
	Config 3	dBm/BW	TBD	TBD	TBD	TBD					
Propagation condition		-	AWGN								
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>											

#### A.7.3.2.3.1.3 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than [2040] ms from the beginning of time period T2.

The rate of correct RRC connection release redirection to NR observed during repeated tests shall be at least 90%.

NOTE: The redirection delay can be expressed as:

$$T_{connection\_release\_redirect\_NR} = T_{RRC\_procedure\_delay} + T_{identify-NR} + T_{SI-NR} + T_{RACH},$$

where:

$T_{RRC\_procedure\_delay} = [110]$  ms and is specified in clause 12 in TS 38.331 [2].

$T_{identify-NR} = [1760]$  ms in the test.

$T_{SI-NR} = 0$  ms is assumed, since the UE is provided with the SI (including MIB and all relevant SIBs) of the target NR cell before the RRC connection is released by the old NR cell.

$T_{RACH} = [170]$  ms in the test.

This gives a total of [2040] ms.

## A.7.4 Timing

### A.7.4.1 UE transmit timing

#### A.7.4.1.1 NR UE Transmit Timing Test for FR2

##### A.7.4.1.1.1 Test Purpose and environment

The purpose of this test is to verify that the UE can follow frame timing change of the connected gNodeb and that the UE initial transmit timing accuracy, maximum amount of timing change in one adjustment, minimum and maximum adjustment rate are within the specified limits. This test will verify the requirements in clause 7.1.2.

Supported test configurations are shown in Table 7.4.1.1.1-1.

**Table A.7.4.1.1.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	NR TDD, SSB SCS 240 kHz, data SCS 120 kHz, BW 100 MHz

For this test a single NR cell is used. Table A.7.4.1.1.1-2 defines the parameters to be configured and strength of the transmitted signals. The transmit timing is verified by the UE transmitting SRS using the configuration defined in Table A.7.4.1.1.1-3.

**Table A.7.4.1.1.1-2: Cell Specific Test Parameters for UL Transmit Timing test**

Parameter	Unit	Config	Test1	Test2
E-UTRA Channel Number		1	1	1
NR Channel Number		1	2	2
TDD configuration		1	TDDConf.1.2	
$BW_{channel}$	MHz	1	100: NRB,c = 66	
Initial BWP Configuration		1	DLBWP.0.1 ULBWP.0.1	
Dedicated BWP Configuration		1	DLBWP.1.1 ULBWP.1.1	
TRS Configuration		1	TRS.2.1 TDD	
TCI State		1	CSI-RS.Config.0	
DRx Cycle	ms	1	N/A	3DRX.5 <sup>Note5</sup>
PDSCH Reference measurement channel		1	SR.3.1 TDD	
RMSI CORESET Reference Channel		1	CR.3.1 TDD	

Dedicated CORESET Reference Channel		1	CCR.3.1 TDD	
OCNG Patterns		1	OP.1	
SSB Configuration		1	SSB.2 FR2	
TCI configuration		1	TBD	
TRS configuration		1	TBD	
EPRE ratio of PSS to SSS	dB	1	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
$N_{oc}^{Note2}$	dBm/15 kHz	1	TBD	TBD
$N_{oc}^{Note2}$	dBm/SCS	1	TBD	TBD
$\hat{E}_s/I_{ot}$		1	3	3
$\hat{E}_s/N_{oc}$		1	3	3
SS-RSRP <sup>Note3</sup>	dBm/SCS	1	TBD	TBD
Io <sup>Note3</sup>	dBm/95MHz	1	TBD	TBD
Propagation condition		1	AWGN	
SRS Config		1	Config1 <sup>Note6</sup>	Config2 <sup>Note6</sup>
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: DRx related parameters are given in Table A.3.3.5-1</p> <p>Note 6: SRS configs are given in Table A.7.4.1.1-3</p>				

**Table A.7.4.1.1.1-3: SRS Configuration for Timing Accuracy Test**

	<b>Field</b>	<b>Config1</b>	<b>Config 2</b>	<b>Comments</b>
SRS-ResourceSet	srs-ResourceSetId	0	0	
	srs-ResourceIdList	0	0	
	resourceType	Periodic	Periodic	
	Usage	Codebook	Codebook	
SRS-Resource	SRS-ResourceId	0	0	
	nrofSRS-Ports	Port1	Port1	
	transmissionComb	n2	n2	
	combOffset-n2	0	0	
	cyclicShift-n2	0	0	
	resourceMapping startPosition	0	0	
	resourceMapping nrofSymbols	n1	n1	
	resourceMapping repetitionFactor	n1	n1	
	freqDomainPosition	0	0	
	freqDomainShift	0	0	
	freqHopping c-SRS	sl1	sl1	
	freqHopping b-SRS	0	0	
	freqHopping b-hop	0	0	
	groupOrSequenceHopping	Neither	Neither	
periodicityAndOffset-p	resourceType	Periodic	Periodic	
	periodicityAndOffset-p	sl1, 0	sl640, 0	Offset to align with DRx periodicity
sequenceld		0	0	Any 10 bit number

**Table A.7.4.1.1.1-4: Void**

### A.7.4.1.1.2 Test requirements

The test sequence shall be carried out in RRC\_CONNECTED for every test case.

Following will be the test sequence for this test:

- 1) Setup NR PCell according to parameters given in Table A.7.4.1.1.1-1.
- 2) After connection set up with the cell, the test equipment will verify that the timing of the NR cell is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB.
  - a. The  $N_{TA}$  offset value (in  $T_c$  units) is 13792
  - b. The  $T_e$  values depend on the DL and UL SCS for which the test is being run and are given in Table 7.1.2-1
- 3) The test system shall adjust the timing of the DL path by values given in Table A.7.4.1.1.2-1

**Table A.7.4.1.1.2-1 Adjustment Value for DL Timing**

SCS of SSB signals (KHz)	Adjustment Value	
	Test1	Test2
240	+8*64T <sub>c</sub>	+4*64T <sub>c</sub>

- 4) The test system shall verify that the adjustment step size and the adjustment rate shall be according to requirements specified in clause 7.1.2 Table 7.1.2-3 until the UE transmit timing offset is within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  respective to the first detected path (in time) of DL SSB. Skip this step for test 2 with DRX configured.
- 5) The test system shall verify that the UE transmit timing offset stays within  $(N_{TA} + N_{TA\_offset}) \times T_c \pm T_e$  of the first detected path of DL SSB. For Test 2 the UE transmit timing offset shall be verified for the first transmission in the DRX cycle immediately after DL timing adjustment.

## A.7.4.2 UE timer accuracy

### A.7.4.3 Timing advance

#### A.7.4.3.1 SA FR2 timing advance adjustment accuracy

##### A.7.4.3.1.1 Test Purpose and Environment

The purpose of the test is to verify UE Timing Advance adjustment delay and accuracy requirement defined in clause 7.3.

##### A.7.4.3.1.2 Test Parameters

Supported test configurations are shown in table A.7.4.3.1.2-1. Both timing advance adjustment delay and accuracy are tested by using the parameters in table A.7.4.3.1.2-2, A.7.4.3.1.2-3 and A.7.4.3.1.2-4.

In all test cases, single cell is used. Each test consists of two successive time periods, with time duration of T1 and T2 respectively. In each time period, timing advance commands are sent to the UE and Sounding Reference Signals (SRS), as specified in table A.7.4.3.1.2-3, are sent from the UE and received by the test equipment. By measuring the reception of the SRS, the transmit timing, and hence the timing advance adjustment accuracy, can be measured.

During time period T1, the test equipment shall send one message with a Timing Advance Command MAC Control Element, as specified in clause 6.1.3.4 in TS 38.321 [7]. The Timing Advance Command value shall be set to 31, which according to clause 4.2 in TS 38.213 [3] results in zero adjustment of the Timing Advance. In this way, a reference value for the timing advance used by the UE is established.

During time period T2, the test equipment shall send a sequence of messages with Timing Advance Command MAC Control Elements, with Timing Advance Command value specified in table A.7.4.3.1.2-2. This value shall result in changes of the timing advance used by the UE, and the accuracy of the change shall then be measured, using the SRS sent from the UE.

As specified in Clause 7.3.2.1, the UE adjusts its uplink timing at slot n+k for a timing advance command received in slot n. This delay must be taken into account when measuring the timing advance adjustment accuracy, via the SRS sent from the UE.

The UE Time Alignment Timer, described in Clause 5.2 in TS 38.321 [7], shall be configured so that it does not expire in the duration of the test.

**Table A.7.4.3.1.2-1: Timing advance supported test configurations**

<b>Config</b>	<b>Description</b>
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.7.4.3.1.2-2: General test parameters for timing advance**

<b>Parameter</b>	<b>Unit</b>	<b>Value</b>	<b>Comment</b>
RF channel number		1	
Initial DL BWP		DLBWP.0.1	As specified in Table A.3.9.2.1-1
Dedicated DL BWP		DLBWP.1.1	As specified in Table A.3.9.2.2-1
Initial UL BWP		ULBWP.0.1	As specified in Table A.3.9.3.1-1
Dedicated UL BWP		ULBWP.1.1	As specified in Table A.3.9.3.2-1
Timing Advance Command ( $T_A$ ) value during T1		31	$N_{TA\_new} = N_{TA\_old}$ for the purpose of establishing a reference value from which the timing advance adjustment accuracy can be measured during T2
Timing Advance Command ( $T_A$ ) value during T2		39	$N_{TA\_new} = N_{TA\_old} + 8192 * T_c$ (based on equation in clause 4.2 of TS 38.213 [3])
T1	s	5	
T2	s	5	

**Table A.7.4.3.1.2-3: Cell specific test parameters for timing advance**

<b>Parameter</b>	<b>Unit</b>	<b>Test1</b>	
		<b>T1</b>	<b>T2</b>

Duplex mode		TDD
TDD configuration		TDDConf.3.1
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66
BWP BW	MHz	100: N <sub>RB,c</sub> = 66
DRx Cycle	ms	Not Applicable
PDSCH Reference measurement channel		SR.3.1 TDD
CORESET Reference Channel		CR.3.1 TDD
OCNG Patterns		OCNG pattern 1
TRS configuration		TRS.2.1 TDD
TCI configuration		CSI-RS.Config.0
SMTC configuration		SMTC.1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120 kHz
PUCCH/PUSCH subcarrier spacing	kHz	120 kHz
EPRE ratio of PSS to SSS	dB	0
EPRE ratio of PBCH DMRS to SSS		
EPRE ratio of PBCH to PBCH DMRS		
EPRE ratio of PDCCH DMRS to SSS		
EPRE ratio of PDCCH to PDCCH DMRS		
EPRE ratio of PDSCH DMRS to SSS		
EPRE ratio of PDSCH to PDSCH		
EPRE ratio of OCNG DMRS to SSS (Note 1)		
EPRE ratio of OCNG to OCNG DMRS (Note 1)		
UE orientation around TBD axis and TBD axis	degrees	TBD
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	TBD
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	TBD
$\hat{E}_s / I_{ot}$	dB	TBD
$\hat{E}_s / N_{oc}$	dB	TBD
I <sub>o</sub> <sup>Note3</sup>	dBm/95.04MHz	TBD
Propagation condition	-	AWGN
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.	
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.	
Note 3:	I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.	
Note 4:	Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone	
Note 5:	As observed with 0 dBi gain antenna at the centre of the quiet zone	

**Table A.7.4.3.1.2-4: Sounding Reference Symbol Configuration for timing advance**

Field	Value	Comment
c-SRS	16	Frequency hopping is disabled
b-SRS	0	
b-hop	0	
freqDomainPosition	0	Frequency domain position of SRS
freqDomainShift	0	
groupOrSequenceHopping	neither	No group or sequence hopping
SRS-PeriodicityAndOffset	s15=0	Once every 5 slots
pathlossReferenceRS	ssb-Index=0	SSB #0 is used for SRS path loss estimation
usage	nonCodebook	Non-codebook based UL transmission
startPosition	0	resourceMapping setting. SRS on last symbol of slot, and 1symbols for SRS without repetition.
nrofSymbols	n1	
repetitionFactor	n1	
combOffset-n2	0	transmissionComb setting
cyclicShift-n2	0	
nrofSRS-Ports	port1	Number of antenna ports used for SRS transmission

Note: For further information see clause 6.3.2 in TS 38.331 [2].

### A.7.4.3.1.3 Test Requirements

The UE shall apply the signalled Timing Advance value to the transmission timing at the designated activation time i.e.  $k+1$  slots after the reception of the timing advance command, where  $k = 24$ .

The Timing Advance adjustment accuracy shall be within the limits specified in clause 7.3.2.2.

The rate of correct Timing Advance adjustments observed during repeated tests shall be at least 90%.

## A.7.5 Signaling characteristics

### A.7.5.1 Radio link Monitoring

In the following clause, any uplink signal transmitted by the UE is used for detecting the In-/Out-of-Sync state of the UE. In terms of measurement, the uplink signal is verified on the basis of the UE output power:

*Editor note: The metric for the detection of the UE UL transmitted signal by the TE is FFS.*

#### A.7.5.1.1 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with SSB-based RLM RS in non-DRX mode

##### A.7.5.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.7.5.1.1.1-1. The test parameters are given in Tables A.7.5.1.1.1-2, A.7.5.1.1.1-3, and A.7.5.1.1.1-4 below. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.1.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic

CSI reporting with a reporting periodicity of 5 ms. The UE is configured to perform inter-frequency measurements using Gap Pattern ID #0 (40ms) in test 1.

**Table A.7.5.1.1.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz
Note:	The UE is only required to pass in one of the supported test configurations in FR2

**Table A.7.5.1.1.1-2: General test parameters for FR2 out-of-sync testing in non-DRX mode**

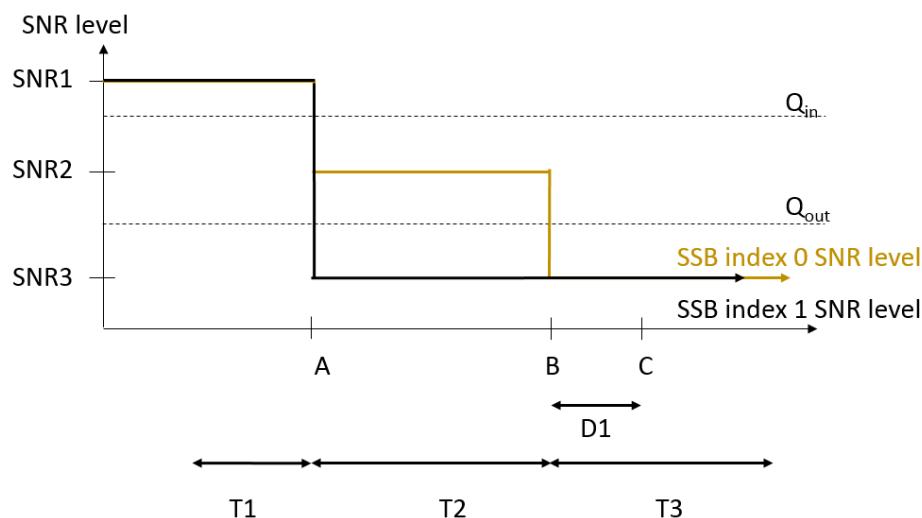
Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
BW <sub>channel</sub>	Config 1		100: N <sub>RB,c</sub> = 66
DL initial BWP configuration	Config 1		DLBWP.0.1
DL dedicated BWP configuration	Config 1		DLBWP.1.1
UL initial BWP configuration	Config 1		ULBWP.0.1
UL dedicated BWP configuration	Config 1		ULBWP.1.1
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTC Configuration	Config 1		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
PRACH Configuration	Config 1		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1		0,1
OCNG parameters			OP.2
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			gp0
Layer 3 filtering			Enabled
T310 timer		ms	0
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1		[TRS.2.1 TDD]
T1		s	[1]
T2		s	[10]
T3		s	[12]
D1		s	[9.64]
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			

**Table A.7.5.1.1.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for out-of-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1			
		T1	T2	T3	
AoA setup		Setup 3 defined in A.3.15			
EPRE ratio of PDCCH DMRS to SSS	dB		4		
EPRE ratio of PDCCH to PDCCH DMRS	dB		0		
EPRE ratio of PBCH DMRS to SSS	dB				
EPRE ratio of PBCH to PBCH DMRS	dB				
EPRE ratio of PSS to SSS	dB				
EPRE ratio of PDSCH DMRS to SSS	dB			0	
EPRE ratio of PDSCH to PDSCH DMRS	dB				
EPRE ratio of OCNG DMRS to SSS	dB				
EPRE ratio of OCNG to OCNG DMRS	dB				
ssb-Index 0 SNR	Config 1	dB	1	-7	-15
ssb-Index 1 SNR	Config 1		1	-15	-15
$N_{oc}$	Config 1	dBm/15 KHz	TBD		
Propagation condition			TDL-A 30ns 75Hz		
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.					
Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.					
Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.					

**Table A.7.5.1.1.1-4: Measurement gap configuration for out-of-sync tests in non-DRX mode**

Field	Test 1
	Value
gapOffset	[0]



**Figure A.7.5.1.1.1-1: SNR variation for out-of-sync testing**

### A.7.5.1.1.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

### A.7.5.1.2 Radio Link Monitoring In-sync Test for FR2 PCell configured with SSB-based RLM RS in non-DRX mode

#### A.7.5.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.7.5.1.2.1-1. The test parameters are given in Tables A.7.5.1.2.1-2, and A.7.5.1.2.1-3 below. There is one cell (Cell 1), which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.2.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms.

**Table A.7.5.1.2.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz
Note: The UE is only required to pass in one of the supported test configurations in FR2	

**Table A.7.5.1.2.1-2: General test parameters for FR2 in-sync testing in non-DRX mode**

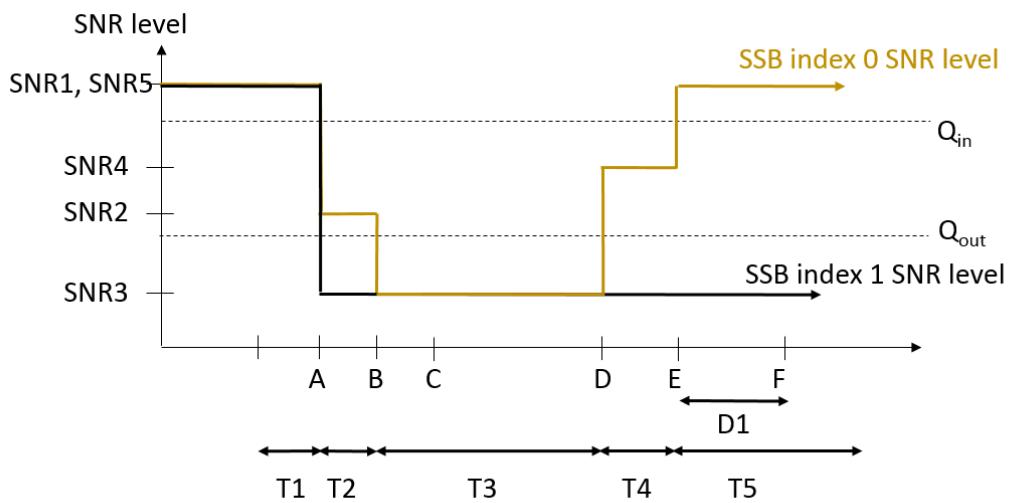
Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
BW <sub>channel</sub>	Config 1		100: N <sub>RB,C</sub> = 66
DL initial BWP configuration	Config 1		DLBWP.0.1
DL dedicated BWP configuration	Config 1		DLBWP.1.1
UL initial BWP configuration	Config 1		ULBWP.0.1
UL dedicated BWP configuration	Config 1		ULBWP.1.1
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTC Configuration	Config 1		MTC.3 (Note SMTC periodicity = 160 ms)
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
PRACH Configuration	Config 1		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1		0,1
OCNG parameters			OP.2
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer		ms	[6000]
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1		[TRS.2.1 TDD]
T1		s	[0.5]

T2	s	[2]
T3	s	[1.86]
T4	s	[0.02]
T5	s	[7]
D1	s	[6.5]
Note 1: All configurations are assigned to the UE prior to the start of time period T1.		
Note 2: UE-specific PDCCH is not transmitted after T1 starts.		

**Table A.7.5.1.2.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for in-sync radio link monitoring tests in non-DRX mode**

Parameter	Unit	Test 1					
		T1	T2	T3	T4	T5	
AoA setup		Setup 3 defined in A.3.15					
EPRE ratio of PDCCH DMRS to SSS	dB	4					
EPRE ratio of PDCCH to PDCCH DMRS	dB	0					
EPRE ratio of PBCH DMRS to SSS	dB						
EPRE ratio of PBCH to PBCH DMRS	dB						
EPRE ratio of PSS to SSS	dB						
EPRE ratio of PDSCH DMRS to SSS	dB						
EPRE ratio of PDSCH to PDSCH DMRS	dB						
EPRE ratio of OCNG DMRS to SSS	dB						
EPRE ratio of OCNG to OCNG DMRS	dB						
ssb-Index 0 SNR	Config 1	dB	1	-7	-15	-4.5	1
ssb-Index 1 SNR	Config 1		1	-15	-15	-15	-15
$N_{oc}$	Config 1	dBm/1 5KHz	TBD				
Propagation condition			TDL-A 30ns 75Hz				
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.							
Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.							
Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.							

**Table A.7.5.1.2.1-4: Void**



**Figure A.7.5.1.2.1-1: SNR variation for in-sync testing**

#### A.7.5.1.2.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.3 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with SSB-based RLM RS in DRX mode

##### A.7.5.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.7.5.1.3.1-1. The test parameters are given in Tables A.7.5.1.3.1-2, and A.7.5.1.3.1-3. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.3.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

**Table A.7.5.1.3.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz
Note:	The UE is only required to pass in one of the supported test configurations in FR2

**Table A.7.5.1.3.1-2: General test parameters for FR2 out-of-sync testing in DRX mode**

Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
BW <sub>channel</sub>	Config 1		100: N <sub>RB,C</sub> = 66
DL initial BWP configuration	Config 1		DLBWP.0.1
DL dedicated BWP configuration	Config 1		DLBWP.1.1
UL initial BWP configuration	Config 1		ULBWP.0.1
UL dedicated BWP configuration	Config 1		ULBWP.1.1
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTC Configuration	Config 1		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
PRACH Configuration	Config 1		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1		0,1
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			[DRX.3]
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		0
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1		[TRS.2.1 TDD]
T1	s		[4]
T2	s		[15]
T3	s		[15]
D1	s		[14.44]
Note 1: All configurations are assigned to the UE prior to the start of time period T1.			
Note 2: UE-specific PDCCH is not transmitted after T1 starts.			

**Table A.7.5.1.3.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for out-of-sync radio link monitoring tests in DRX mode**

Parameter	Unit	Test 1		
		T1	T2	T3
AoA setup		Setup 1 defined in A.3.15		
EPRE ratio of PDCCH DMRS to SSS	dB		4	
EPRE ratio of PDCCH to PDCCH DMRS	dB		0	
EPRE ratio of PBCH DMRS to SSS	dB			
EPRE ratio of PBCH to PBCH DMRS	dB			
EPRE ratio of PSS to SSS	dB			
EPRE ratio of PDSCH DMRS to SSS	dB			0
EPRE ratio of PDSCH to PDSCH DMRS	dB			
EPRE ratio of OCNG DMRS to SSS	dB			
EPRE ratio of OCNG to OCNG DMRS	dB			
ssb-Index 0 SNR	Config 1	dB	1	-7
ssb-Index 1 SNR	Config 1		1	-15
$N_{oc}$	Config 1	dBm/15K Hz	TBD	
Propagation condition			TDL-A 30ns 75Hz	

Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

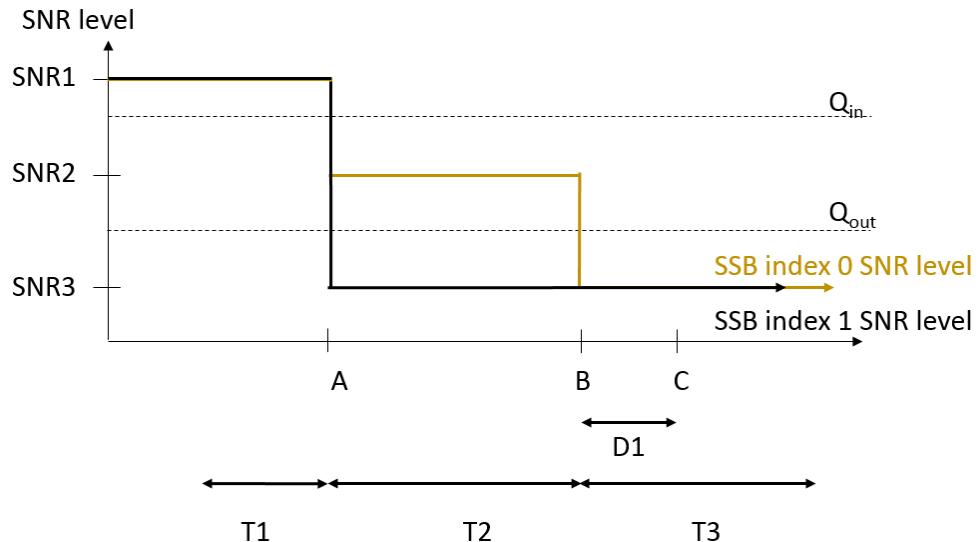
Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.

Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs.

Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.

**Table A.7.5.1.3.1-4: Void**

**Table A.7.5.1.3.1-5: Void**



**Figure A.7.5.1.3.1-1: SNR variation for out-of-sync testing**

### A.7.5.1.3.2 Test Requirements

The UE behavior in each test during time durations T1, T2 and T3 shall be as follows:

During the period from time point A to time point B the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The UE shall stop transmitting uplink signal no later than time point C (D1 second after the start of the time duration T3).

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.4 Radio Link Monitoring In-sync Test for FR2 PCell configured with SSB-based RLM RS in DRX mode

##### A.7.5.1.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync and in sync for the purpose of monitoring downlink radio link quality of the PCell when DRX is used. This test will partly verify the FR2 radio link monitoring requirements in clause 8.1.

Supported test configurations are shown in table A.7.5.1.4.1-1. The test parameters are given in Tables A.7.5.1.4.1-2, and A.7.5.1.4.1-3. There is one cell (Cell 1), which is the active NR cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.4.1-1 shows the variation of the downlink SNR in the active cell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to Cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of 5 ms. In the test, DRX configuration is enabled and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCCH and to send periodic CSI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test.

*Editor note: AoA setting needs to be updated.*

**Table A.7.5.1.4.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD, SSB SCS 120 KHz, data SCS 120KHz, BW 100 MHz
Note: The UE is only required to pass in one of the supported test configurations in FR2	

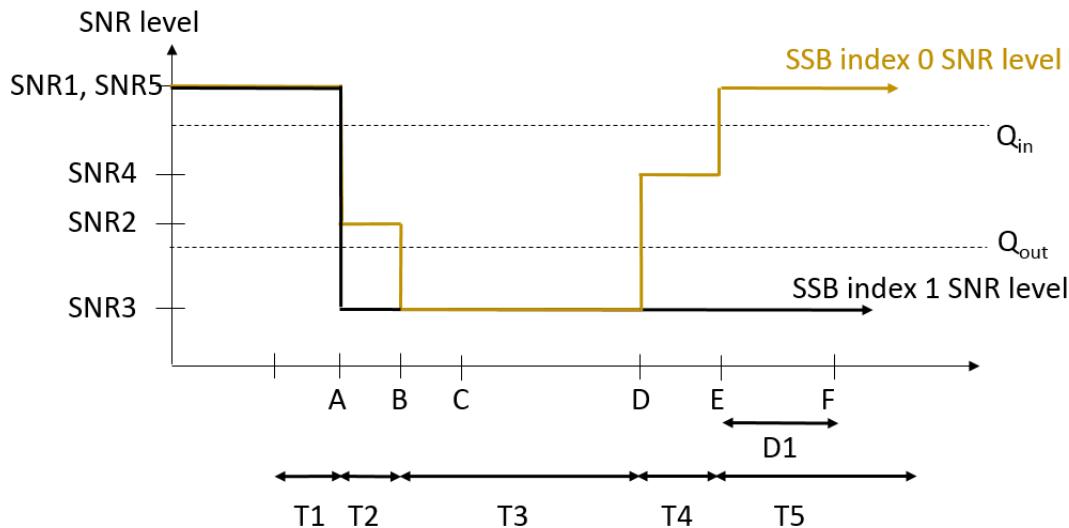
**Table A.7.5.1.4.1-2: General test parameters for FR2 in-sync testing in DRX mode**

Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
BW <sub>channel</sub>	Config 1		100: N <sub>RB,C</sub> = 66
DL initial BWP configuration	Config 1		DLBWP.0.1
DL dedicated BWP configuration	Config 1		DLBWP.1.1
UL initial BWP configuration	Config 1		ULBWP.0.1
UL dedicated BWP configuration	Config 1		ULBWP.1.1
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTS Configuration	Config 1		MTC.3(SMTS)
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
PRACH Configuration	Config 1		Table A.3.8.3.4
SSB index assigned as RLM RS	Config 1		0,1
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average SSS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX Configuration			[DRX.3]
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer	ms		[6000]
T311 timer	ms		1000
N310			1
N311			1
CSI-RS configuration	Config 1		[CSI-RS.3.3 TDD]
TCI states			[TCI.State.0]
CSI-RS for tracking	Config 1		[TRS.2.1 TDD]
T1	s		[4]
T2	s		[6]
T3	s		[5.54]
T4	s		[0.02]
T5	s		[7]

D1	s	[6.5]
Note 1: All configurations are assigned to the UE prior to the start of time period T1.		
Note 2: UE-specific PDCCH is not transmitted after T1 starts.		

**Table A.7.5.1.4.1-3: OTA related cell specific test parameters for FR2 (Cell 1) for in-sync radio link monitoring test in DRX mode**

Parameter	Unit	Test 1					
		T1	T2	T3	T4	T5	
AoA setup		Setup 1 defined in A.3.15					
EPRE ratio of PDCCH DMRS to SSS	dB	4					
EPRE ratio of PDCCH to PDCCH DMRS	dB	0					
EPRE ratio of PBCH DMRS to SSS	dB						
EPRE ratio of PBCH to PBCH DMRS	dB						
EPRE ratio of PSS to SSS	dB						
EPRE ratio of PDSCH DMRS to SSS	dB						
EPRE ratio of PDSCH to PDSCH DMRS	dB						
EPRE ratio of OCNG DMRS to SSS	dB						
EPRE ratio of OCNG to OCNG DMRS	dB						
ssb-Index 0 SNR	Config 1	dB	1	-7	-15	-4.5	1
ssb-Index 1 SNR	Config 1		1	-15	-15	-15	-15
$N_{oc}$	Config 1	dBm/1 5KHz	TBD				
Propagation condition			TDL-A 30ns 75Hz				
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: The signal contains PDCCH for UEs other than the device under test as part of OCNG.3 Note 3: SNR levels correspond to the signal to noise ratio over the SSS REs. Note 4: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is A.3.6.							

**Table A.7.5.1.4.1-4: Void****Table A.7.5.1.4.1-5: Void****Figure A.7.5.1.4.1-1: SNR variation for in-sync testing**

#### A.7.5.1.4.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (D1 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.5 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with CSI-RS-based RLM in non-DRX mode

##### A.7.5.1.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR2 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.5.1-1, A.7.5.1.5.1-2, A.7.5.1.5.1-3 and A.7.5.1.5.1-4 below. There is one cell, cell 1 which is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.5.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test.

**Table A.7.5.1.5.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.7.5.1.5.1-2: General test parameters for FR2 PCell for CSI-RS out-of-sync testing in non-DRX mode**

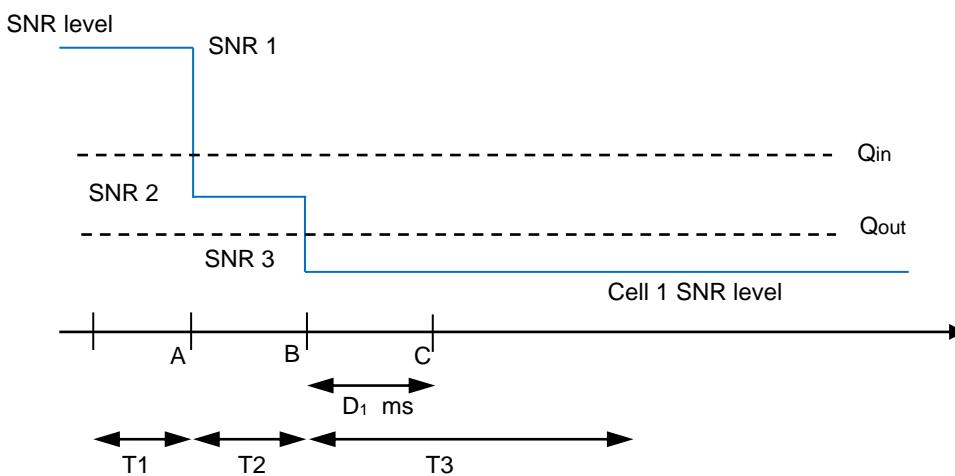
Parameter	Unit	Value
Test 1		
Active PCell		Cell 1
RF Channel Number		1
Duplex mode	Config 1	TDD
TDD Configuration	Config 1	TDDConf.3.1
CORESET	Config 1	CR.3.1 TDD
Reference Channel		
SSB Configuration	Config 1	SSB.1 FR2
SMTC Configuration	Config 1	SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1	120 KHz
csi-RS-Index assigned as RLM RS		[0]
TRS configuration		TRS.2.1 TDD
TCI configuration		CSI-RS.Config.0
OCNG parameters		OP.1
CP length		Normal
Correlation Matrix and Antenna Configuration		2x2 Low
Out of sync transmission parameters	DCI format	1-0
	Number of Control OFDM symbols	2
	Aggregation level	CCE
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB
	DMRS precoder granularity	REG bundle size
	REG bundle size	6
DRX		OFF
Gap pattern ID		*[gpo]
Layer 3 filtering		Enabled
T310 timer	ms	0
T311 timer	ms	1000
N310		1
N311		1
CSI-RS configuration	Config 1	CSI-RS.3.2 TDD
T1	s	1
T2	s	0.4
T3	s	[0.6]
D1	s	[0.44]
Note 1: UE-specific PDCCH is not transmitted after T1 starts.		

**Table A.7.5.1.5.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3		
PDCCH_beta	dB		4			
PDCCH_DMRS_beta	dB		4			
PBCH_beta	dB					
PSS_beta	dB					
SSS_beta	dB		0			
PDSCH_beta	dB					
OCNG_beta	dB					
SNR	Config 1	dB	[1]	[-7]		
$N_{oc}$	Config 1	dBm/15KHz		[-98]		
Propagation condition			[TDL-C 300ns 100Hz]			
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.						
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.						
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.						
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.						
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.						
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.						
Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.1.5.1-1.						
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].						

**Table A.7.5.1.5.1-4: Measurement gap configuration for FR2 CSI-RS out-of-sync radio link monitoring in non-DRX mode**

Field	Test 1
	Value
gapOffset	[0]
Note 1: RLM RS is partially overlapped with measurement gap	



**Figure A.7.5.1.5.1-1: SNR variation for CSI-RS out-of-sync testing**

### A.7.5.1.5.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 no later than time point C ( $D_1 = [TBD]$  ms after the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

### A.7.5.1.6 Radio Link Monitoring In-sync Test for FR2 PCell configured with CSI-RS-based RLM in non-DRX mode

#### A.7.5.1.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when no DRX is used. This test will partly verify the FR2 PCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.6.1-1, A.7.5.1.6.1-2 and A.7.5.1.6.1-3 below. There is one cells, cell 1 which is the PCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.6.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.7.5.1.6.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.7.5.1.6.1-2: General test parameters for FR2 PCell for CSI-RS in-sync testing in non-DRX mode**

Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTC Configuration	Config 1		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
csi-RS-Index assigned as RLM RS			[0]
TRS configuration			TRS.2.1 TDD
TCI configuration			CSI-RS.Config.0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			OFF
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer		ms	0
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD
T1		s	1
T2		s	0.4
T3		s	[0.6]
D1		s	[0.24]

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

**Table A.7.5.1.6.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1				
		T1	T2	T3	T4	T5
PDCCH_beta	dB			4		
PDCCH_DMRS_beta	dB			4		
PBCH_beta	dB					
PSS_beta	dB					
SSS_beta	dB			0		
PDSCH_beta	dB					
OCNG_beta	dB					
SNR	Config 1	dB	[1]	[-7]	[-15]	[-4.5]
$N_{oc}$	Config 1	dBm/15KHz			[-98]	
Propagation condition						[TDL-C 300ns 100Hz]

Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.

Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.

Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.

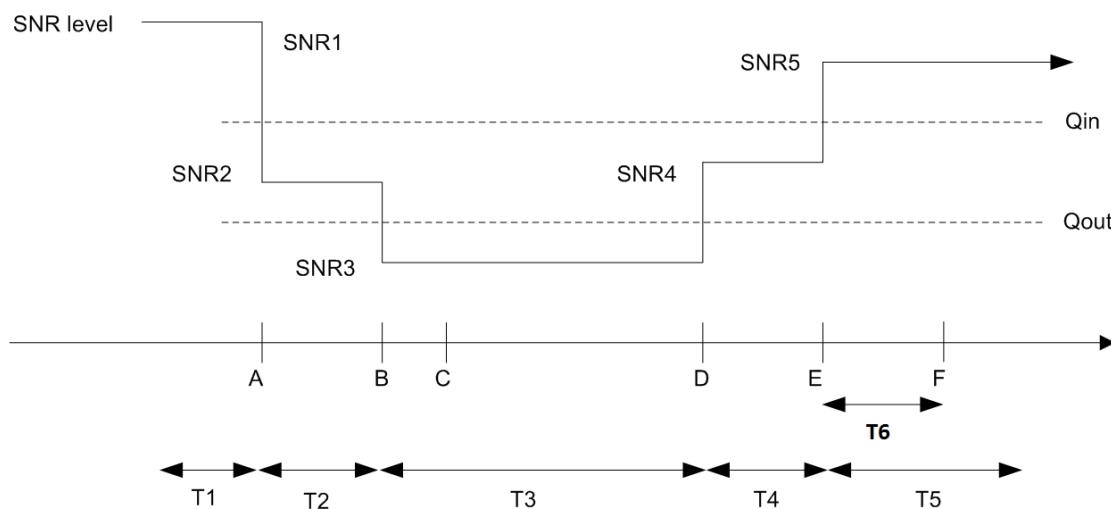
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.

Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.

Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.

Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.7.5.1.6.1-1.

Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].



**Figure A.7.5.1.6.1-1: SNR variation for CSI-RS in-sync testing**

### A.7.5.1.6.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.7 Radio Link Monitoring Out-of-sync Test for FR2 PCell configured with CSI-RS-based RLM in DRX mode

##### A.7.5.1.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the out of sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when DRX is used. This test will partly verify the FR2 PCell CSI-RS Out-of-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.7.1-1, A.7.5.1.7.1-2, and A.7.5.1.7.1-3 below. There is one cell, cell 1 is the PCell, in the test. The test consists of three successive time periods, with time duration of T1, T2 and T3 respectively. Figure A.7.5.1.7.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.7.5.1.7.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.7.5.1.7.1-2: General test parameters for FR2 PCell for CSI-RS out-of-sync testing in DRX mode**

Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTC Configuration	Config 1		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
csi-RS-Index assigned as RLM RS			[0]
TRS configuration			TRS.2.1 TDD
TCI configuration			CSI-RS.Config.0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			DRX.7
Gap pattern ID			N.A.
Layer 3 filtering			Enabled
T310 timer		ms	0
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD
T1		s	1
T2		s	0.4
T3		s	[0.6]
D1		s	[0.24]

Note 1: UE-specific PDCCH is not transmitted after T1 starts.

**Table A.7.5.1.7.1-3: Cell specific test parameters for FR2 for CSI-RS out-of-sync radio link monitoring in DRX mode**

Parameter	Unit	Test 1		
		T1	T2	T3
PDCCH_beta	dB		4	
PDCCH_DMRS_beta	dB		4	
PBCH_beta	dB			
PSS_beta	dB			
SSS_beta	dB		0	
PDSCH_beta	dB			
OCNG_beta	dB			
SNR	Config 1	dB	[1]	[-7]
$N_{oc}$	Config 1	dBm/15KHz		[-98]
Propagation condition			[TDL-C 300ns 100Hz]	

Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.

Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.

Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.

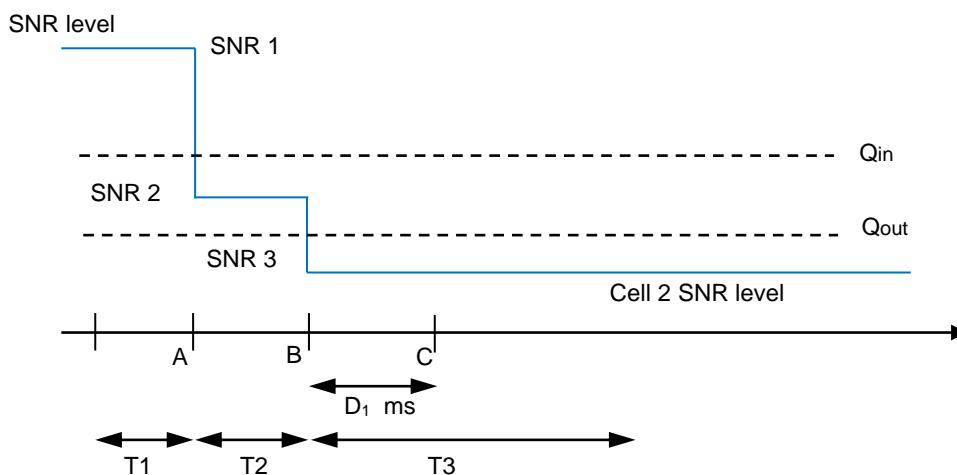
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.

Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.

Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.

Note 8: The SNR in time periods T1, T2 and T3 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.1.7.1-1.

Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].



**Figure A.7.5.1.7.1-1: SNR variation for CSI-RS out-of-sync testing**

#### A.7.5.1.7.2 Test Requirements

The UE behaviour during time durations T1, T2, and T3 shall be as follows:

During time durations T1, T2 and T3, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on PCell.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 (PCell) at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

The UE shall stop transmitting uplink signal in Cell 1 (PCell) no later than time point C ( $D_1 = [TBD]$  ms after the start of the time duration T3) on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.8 Radio Link Monitoring In-sync Test for FR2 PCell configured with CSI-RS-based RLM in DRX mode

##### A.7.5.1.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects the in sync for the purpose of monitoring downlink CSI-RS based radio link quality of the PCell when DRX is used. This test will partly verify the FR2 PCell CSI-RS In-sync radio link monitoring requirements in clause 8.1.

The test parameters are given in Tables A.7.5.1.8.1-1, A.7.5.1.8.1-2, A.7.5.1.8.1-3 and A.7.5.1.8.1-4 below. There is one cells, cell 1 which is the PCell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.1.8.1-1 shows the variation of the downlink SNR in the PCell to emulate out-of-sync and in-sync states. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [10] ms. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test.

**Table A.7.5.1.8.1-1: Supported test configurations for FR2 PSCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.7.5.1.8.1-2: General test parameters for FR2 PCell for CSI-RS in-sync testing in non-DRX mode**

Parameter		Unit	Value
			Test 1
Active PCell			Cell 1
RF Channel Number			1
Duplex mode	Config 1		TDD
TDD Configuration	Config 1		TDDConf.3.1
CORESET Reference Channel	Config 1		CR.3.1 TDD
SSB Configuration	Config 1		SSB.1 FR2
SMTC Configuration	Config 1		SMTC.1
PDSCH/PDCCH subcarrier spacing	Config 1		120 KHz
csi-RS-Index assigned as RLM RS			[0]
TRS configuration			TRS.2.1 TDD
TCI configuration			CSI-RS.Config.0
OCNG parameters			OP.1
CP length			Normal
Correlation Matrix and Antenna Configuration			2x2 Low
Out of sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	4
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	4
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
In sync transmission parameters	DCI format		1-0
	Number of Control OFDM symbols		2
	Aggregation level	CCE	4
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX			DRX.7
Gap pattern ID			*[gpo]
Layer 3 filtering			Enabled
T310 timer		ms	0
T311 timer		ms	1000
N310			1
N311			1
CSI-RS configuration	Config 1		CSI-RS.3.2 TDD
T1		s	1
T2		s	0.4
T3		s	[0.6]
D1		s	[0.44]

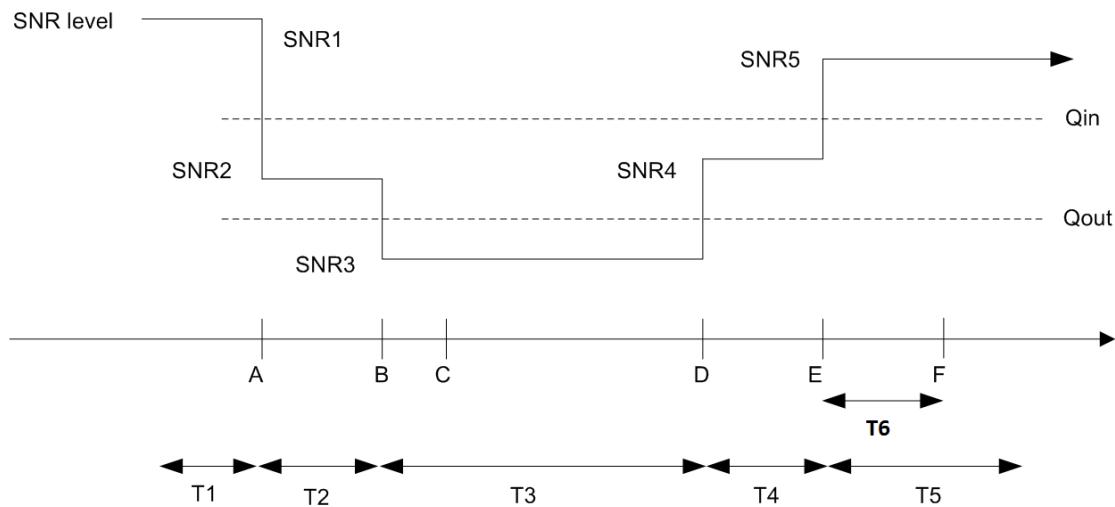
Note 1: UE-specific PDCCH is not transmitted after T1 starts.

**Table A.7.5.1.8.1-3: Cell specific test parameters for FR2 for CSI-RS in-sync radio link monitoring in non-DRX mode**

Parameter	Unit	Test 1					
		T1	T2	T3	T4	T5	
PDCCH_beta	dB			4			
PDCCH_DMRS_beta	dB			4			
PBCH_beta	dB						
PSS_beta	dB						
SSS_beta	dB			0			
PDSCH_beta	dB						
OCNG_beta	dB						
SNR	Config 1	dB	[1]	[-7]	[-15]	[-4.5]	
$N_{oc}$	Config 1	dBm/15KHz			[-98]		
Propagation condition			[TDL-C 300ns 100Hz]				
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.							
Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.							
Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.							
Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.							
Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.							
Note 7: SNR levels correspond to the signal to noise ratio over the SSS REs.							
Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2, SNR3, SNR4 and SNR5 respectively in figure A.7.5.1.8.1-1.							
Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].							

**Table A.7.5.1.8.1-4: Measurement gap configuration for FR2 CSI-RS in-sync radio link monitoring in non-DRX mode**

Field	Test 1
	Value
gapOffset	[0]
Note 1: RLM RS is partially overlapped with measurement gap	



**Figure A.7.5.1.8.1-1: SNR variation for CSI-RS in-sync testing**

#### A.7.5.1.8.2 Test Requirements

The UE behaviour in each test during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the period from time point A to time point F (T6 second after the start of time duration T5) the UE shall transmit uplink signal at least in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting on the PCell.

The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.1.9 UE Radio Link Monitoring Scheduling Restrictions on FR2

##### A.7.5.1.9.1 Test Purpose and Environment

The purpose is to verify that the NR UE correctly follows the RLM scheduling restrictions requirements defined in clause 8.1.7. This test verifies that the UE correctly receive the PDCCH scheduled on the symbols right before the RLM SSB symbols without overlap so that it sends ACK/NACK correctly, under the condition that the SSB is with different numerology as the PDCCH/PDSCH.

The test parameters are given in table A.7.5.1.9.1-1, table A.7.5.1.9.1-2 and table A.7.5.1.9.1-3 below. The UE is required during time period T1 to transmit ACK/NACK correctly upon scheduling of PDSCH.

**Table A.7.5.1.9.1-1: Supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 120 kHz RMC SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

**Table A.7.5.1.9.1-2: General test parameters for NR RLM scheduling restriction test case in FR2**

Parameter	Unit	Test configuration	Value	Comment
RF Channel Number		1	1	
SSB configuration		1	SSB.1 FR2	
SMTC configuration		1	SMTC pattern 1	
DRX cycle length	s	1	OFF	
T1	s	1	5	During T1 the UE is required to correctly transmit ACK/NACK

**Table A.7.5.1.9.1-3: Cell specific test parameters for NR RLM scheduling restriction test case in FR2**

Parameter	Unit	Test configuration	Cell 1	
			AoA1	AoA2
TDD configuration		1		TDDConf.3.1
PDSCH RMC configuration		1	SR.3.1 TDD	Not sent
RMSI CORESET RMC configuration		1	CR.3.1 TDD	Not sent
Dedicated CORESET RMC configuration		1	CCR.3.2 TDD	Not sent
TRS configuration		1	TRS.2.1 TDD	[TRS.2.2 TDD]
PDCCH/PDSCH TCI state		1	TCI.State.2	N/A
OCNG Pattern		1	OP.1 defined in A.3.2.1	Not sent
Initial DL BWP configuration		1	DLBWP.0.1	
Initial UL BWP configuration		1	ULBWP.0.1	
RLM-RS		1	TRS.2.1 TDD	[TRS.2.2 TDD]
AoA setup		1	Setup 3 defined in A.3.15.3	
$\hat{E}_s / I_{ot}$	dB	1	3	N/A
$N_{oc}^{Note2}$	dBm/SCS	1	-84.9	Not sent
$\hat{E}_s / N_{oc}$	dB	1	3	N/A
SS-RSRP <sup>Note3</sup>	dBm/SCS	1	-81.9	-81.9
Io	dBm/95.04 MHz	1	-51.15	-52.91
Propagation Condition		1	AWGN	

## A.7.5.1.9.2 Test Requirements

The UE behaviour follows the requirements defined in clause 8.1.7.3.

## A.7.5.2 Interruption

### A.7.5.2.1 Interruptions during measurements on deactivated NR SCC in FR2

#### A.7.5.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE missed ACK/NACK rate does not exceed the limits at NR PSCell interruptions during the measurement on the deactivated NR SCC. This test will verify the missed ACK/NACK rate for PCell in standalone NR specified in clause 8.2.2.2. Supported test configurations are shown in table A.7.5.2.1.1-1.

The general test parameters and NR cell specific test parameters are given in Table A.7.5.2.1.1-2 and A.7.5.2.1.1-3 below. In the test there are two cells: Cell1 and Cell2. Cell1 is PCell, Cell2 is an NR deactivated SCell. Cell1 shall be configured as PCell and Cell2 shall be configured as SCell.

The test consists of one time period, with duration of T1. Prior to the start of the time duration T1, the UE is connected to Cell1 and Cell2. The point in time at which the RRC message including *measCycleSCell* or *allowInterruptions* for the deactivated NR SCells is received at the UE antenna connector, defines the start of time period T1. During T1, PCell is continuously scheduled in DL.

**Table A.7.5.2.1.1-1: Interruptions during measurements on deactivated NR SCC supported test configurations**

Config	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD – TDD duplex mode
Note:	The UE is only required to be tested in one of the supported test configurations

**Table A.7.5.2.1.1-2: General test parameters for interruptions during measurements on deactivated NR SCC in standalone NR**

Parameter	Unit	Value	Comment
RF Channel Number		1, 2	Two NR RF channels
Active PCell		Cell1	PCell on NR RF channel number 1.
Configured deactivated SCell		Cell2	Deactivated SCell on NR RF channel number 2.
CP length		Normal	Applicable to Cell1 and Cell 2
DRX		OFF	
Measurement gap pattern Id		OFF	
SCell measurement cycle (measCycleSCell)	ms	640	
T1	s	10	

**Table A.7.5.2.1.1-3: NR cell specific test parameters for interruptions during measurements on deactivated NR SCC in standalone NR**

Parameter	Unit	Cell1	Cell2		
Frequency Range		FR2			
Duplex mode		TDD			
TDD configuration		TDDConf.3.1			
BW <sub>channel</sub>		100 MHz: N <sub>RB,c</sub> = 66			
Initial DL BWP Configuration		DLBWP.0.2 <sup>Note4</sup>			
Initial UL BWP Configuration		ULBWP.0.2 <sup>Note6</sup>			
PDSCH Reference measurement channel		SR.3.1 TDD			
RMSI CORESET parameters		CR.3.1 TDD			
Dedicated CORESET parameters		CCR.3.1 TDD			
OCNG Patterns		OP.1			
SMTC Configuration		SMTC.1			
SSB Configuration		SSB.1 FR2			
TCI State		TRS.2.1 TDD			
TRS Configuration		TCI.State.0			
Correlation Matrix and Antenna Configuration		1x2 Low			
EPRE ratio of PSS to SSS	dB	0	0		
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS(Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
E <sub>s</sub> /N <sub>oc</sub>	dB	17	17		
Time offset to cell1 <sup>Note 2</sup>	μs	33	33		
Time offset to cell2 <sup>Note 3</sup>	μs	-	3		
Propagation Condition		AWGN			
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2:	Receive time difference of signals received between subframe timing boundary of E-UTRA PCell and slot timing boundary of PSCell at the UE antenna connector including time alignment error between the two cells				
Note 3:	Receive time difference between slot boundaries of signals received from the two cells at the UE antenna connector including time alignment error between the two cells.				
Note 4:	For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2 defined in clause 12 of of TS 38.213 [3].				

**Table A.7.5.2.1.1-4: OTA related test parameters for interruptions during measurements on deactivated NR SCC in standalone NR**

Parameter	Unit	Cell 1	Cell 2
Angle of arrival configuration		According to table A.3.15	According to table A.3.15
	NR_TDD_FR2_A NR_TDD_FR2_B	dBm/15kHz	TBD

$N_{oc}$ Note1	NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y			
$N_{oc}$ Note1	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS	TBD	TBD
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/120KH z <sup>Note3</sup>	TBD	TBD
$\hat{E}_s/I_{ot}$		dB	TBD	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>				

#### A.7.5.2.1.2 Test Requirements

The UE shall be continuously scheduled on PCell during the entire length of T1. During the time duration T1 the UE shall transmit at least 99.5% of ACK/NACK on PCell. The UE is only allowed to cause interruptions immediately before and immediately after an SMTC. Each interruption on PCell shall not exceed the value defined in Table A.7.5.2.1.2-1 if the PCell is not in the same band as the deactivated SCell or Table A.7.5.2.1.2-2 if the PCell is in the same band as the deactivated SCell.

**Table A.7.5.2.1.2-1: Interruption duration if the PCell is not in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	4

**Table A.7.5.2.1.2-2: Interruption duration if the PCell is in the same band as the deactivated SCell**

$\mu$	NR Slot length (ms)	Interruption length
3	0.125	4 + SMTC duration

The rate of correct events observed during repeated tests shall be at least 90%.

### A.7.5.3 SCell Activation and Deactivation Delay

#### A.7.5.3.1 SCell Activation and deactivation for SCell in FR2 intra-band in non-DRX

##### A.7.5.3.1.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.6.5.3.1.1 except the PCell and SCell are in FR2 intra-band.

The supported test configurations are shown in table A.7.5.3.1.1-1 below. The general test parameters are the same as defined in Table A.6.5.3.1.1-2 except those described in Tables A.7.5.3.1.1-2, and cell specific test parameters are described in Tables A.7.5.3.1.1-3. OTA related test parameters are shown in table A.7.5.3.1.1-4 below.

**Table A.7.5.3.1.1-1: Supported test configurations for FR2 SCell activation case**

Configuration	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to pass in one of the supported test configurations	

**Table A.7.5.3.1.1-2: General test parameters for FR2 SCell activation case**

Parameter	Unit	Value	Comment
RF Channel Number		1	One NR radio channel is used for this test, cell 1 and cell2 use the same RF channel.

**Table A.7.5.3.1.1-3: Cell specific test parameters for FR2 SCell activation case**

Parameter <sup>Note 5</sup>	Unit	T1		T2		T3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2

SSB ARFCN		freq2	freq2	freq2
Duplex mode		TDD	TDD	TDD
TDD configuration		TDDConf.3.1	TDDConf.3.1	TDDConf.3.1
Downlink initial BWP Configuration		DLBWP.0.1	DLBWP.0.1	DLBWP.0.1
Downlink dedicated BWP Configuration		DLBWP.1.1	DLBWP.1.1	DLBWP.1.1
Uplink initial BWP configuration		ULBWP.0.1	ULBWP.0.1	ULBWP.0.1
Uplink dedicated BWP configuration		ULBWP.1.1	ULBWP.1.1	ULBWP.1.1
TRS configuration		TRS.2.1 TDD	TRS.2.1 TDD	TRS.2.1 TDD
TCI state		TCI.State.0	TCI.State.0	TCI.State.0
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD
RMSI CORESET Parameters		CR.3.1 TDD	-	CR.3.1 TDD
Dedicated CORESET Parameters		CCR.3. 1 TDD	-	CCR.3. 1 TDD
OCNG Patterns				OP.1
SSB Configuration				SSB.1 FR2
SMTC Configuration				SMTC.1
EPRE ratio of PSS to SSS	dB	0	0	0
EPRE ratio of PBCH_DMRS to SSS				
EPRE ratio of PBCH to PBCH_DMRS				
EPRE ratio of PDCCCH_DMRS to SSS				
EPRE ratio of PDCCH to PDCCH_DMRS				
EPRE ratio of PDSCH_DMRS to SSS				
EPRE ratio of PDSCH to PDSCH_DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
Propagation conditions				AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				
Note 3: SS-RSRP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.				
Note 5: All parameters apply for configuration 1 and 2				

Table A.7.5.3.1.1-4: OTA related test parameters for FR2 SCell activation case

Parameter	Unit	T1		T2		T3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
Angle of arrival configuration		Setup 1 defined in clause A.3.15.1		Setup 1 defined in clause A.3.15.1		Setup 1 defined in clause A.3.15.1	
$N_{oc}$ <sup>Note 1</sup>	dBm/15kHz	[-84.9]		[-84.9]		[-84.9]	
SS-RSRP <sup>Note 2</sup>	dBm/SCS <sup>Note 3</sup>	[0]	[0]	[0]	[0]	[0]	[0]
		[0]	[0]	[0]	[0]	[0]	[0]
		[0]	[0]	[0]	[0]	[0]	[0]
		[0]	[0]	[0]	[0]	[0]	[0]
		[0]	[0]	[0]	[0]	[0]	[0]
		[0]	[0]	[0]	[0]	[0]	[0]

$\hat{E}_s / I_{ot}$	dB	[0]	[0]	[0]	[0]	[0]	[0]							
$\hat{E}_s / N_{oc}$	dB	[0]	[0]	[0]	[0]	[0]	[0]							
$I_o^{Note2}$	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/95.04 MHz <sup>Note4</sup>	[-52.9]	[-52.9]	[-52.9]									
Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.														
Note 2: SS-RSRP and $I_o$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.														
Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.														
Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone														
Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone														

### A.7.5.3.1.2 Test Requirements

The test requirements defined in clause A.6.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value [ $T_{SMTC\_SCell} + 5ms$ ] as defined in clause 8.3.

### A.7.5.3.2 SCell Activation and deactivation for FR1+FR2 inter-band with target SCell in FR2 in non-DRX

#### A.7.5.3.2.1 Test Purpose and Environment

The purpose of this test case is the same as for the test defined in clause A.7.5.3.1.1 except the PCell is in FR1 and SCell is in FR2.

The supported test configurations are the same as defined in Table A.7.5.3.2.1-1. The general test parameters are the same as defined in Table A.6.5.3.1.1-2. And cell specific test parameters are described in Tables A.7.5.3.2.1-2. OTA related test parameters are the same as defined in Table A.7.5.3.1.1-4.

**Table A.7.5.3.2.1-1: Supported test configurations for FR2 SCell activation case**

Configuration	Description					
1	PCell: 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode Target SCell: 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode					
2	PCell: 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode Target SCell: 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode					
3	PCell: 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode Target SCell: 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode					
Note: The UE is only required to pass in one of the supported test configurations						

**Table A.7.5.3.2.1-2: Cell specific test parameters for FR2 SCell activation case**

Parameter <sup>Note 5</sup>	Unit	T1		T2		T3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN		Freq1	Freq2	Freq1	Freq2	Freq1	Freq2
Duplex mode	Config 1	FDD	TDD	FDD	TDD	FDD	TDD
	Config 2,3			TDD			
TDD configuration	Config 1		Not Applicable	TDDConf .3.1	Not Applicable	TDDCo nf.3.1	Not Applicable

	Config 2,3		TDDConf .1.1		TDDCo nf.1.1		TDDCo nf.1.1		
Downlink initial BWP Configuration	Config 1,2,3		DLBWP.0.1						
Downlink dedicated BWP Configuration	Config 1,2,3		DLBWP.1 .1	DLBWP. 1.1	DLBW P.1.1	DLBW P.1.1	DLBW P.1.1	DLBW P.1.1	
Uplink initial BWP configuration	Config 1,2,3		ULBWP.0 .1	ULBWP. 0.1	ULBW P.0.1	ULBW P.0.1	ULBW P.0.1	ULBW P.0.1	
Uplink dedicated BWP configuration	Config 1,2,3		ULBWP.1 .1	ULBWP. 1.1	ULBW P.1.1	ULBW P.1.1	ULBW P.1.1	ULBW P.1.1	
TRS configuration	Config 1,2,3		N/A	TRS.2.1 TDD	N/A	TRS.2. 1 TDD	N/A	TRS.2. 1 TDD	
TCI state	Config 1,2,3		TCI.State. 0	TCI.Stat e.0	TCI.Sta te.0	TCI.Sta te.0	TCI.Sta te.0	TCI.Sta te.0	
BW <sub>channel</sub>	Config 1,2	MHz	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66	
	Config 3		40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106		
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD	-	SR.1.1 FDD	-	SR.1.1 FDD	-	
	Config 2		SR.1.1 TDD		SR.1.1 TDD		SR.1.1 TDD		
	Config 3		SR.2.1 TDD		SR.2.1 TDD		SR.2.1 TDD		
RMSI CORESET Parameters	Config 1		CR.1.1 FDD	-	CR.1.1 FDD	-	CR.1.1 FDD	-	
	Config 2		CR.1.1 TDD		CR.1.1 TDD		CR.1.1 TDD		
	Config 3		CR.2.1 TDD		CR.2.1 TDD		CR.2.1 TDD		
Dedicated CORESET Parameters	Config 1		CCR.1.1 FDD	-	CCR.1. 1 FDD	-	CCR.1. 1 FDD	-	
	Config 2		CCR.1.1 TDD		CCR.1. 1 TDD		CCR.1. 1 TDD		
	Config 3		CCR.2.1 TDD		CCR.2. 1 TDD		CCR.2. 1 TDD		
OCNG Patterns							OP.1		
SSB configuration	Config 1,2		SSB.1 FR1	SSB.3 FR2	SSB.1 FR1	SSB.3 FR2	SSB.1 FR1	SSB.3 FR2	
	Config 3		SSB.2 FR1		SSB.2 FR1		SSB.2 FR1		
SMTC configuration							SMTC.1		
EPRE ratio of PSS to SSS		dB							
EPRE ratio of PBCH_DMRS to SSS									
EPRE ratio of PBCH to PBCH_DMRS									
EPRE ratio of PDCCH_DMRS to SSS									
EPRE ratio of PDCCH to PDCCH_DMRS									
EPRE ratio of PDSCH_DMRS to SSS									
EPRE ratio of PDSCH to PDSCH_DMRS									
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>									
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>									
Propagation conditions							AWGN		

- |         |  |
|---------|--|
| Note 1: | OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  |
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. |
| Note 3: | SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.   |
| Note 4: | SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  |
| Note 5: | All parameters apply for configuration 1 and 2   |

#### A.7.5.3.2.2 Test Requirements

The test requirements defined in clause A.7.5.3.1.2 shall apply to this test case, except  $T_{activation\_time}$  will be replaced with the value [TBD] as defined in clause 8.3.

#### A.7.5.4 UE UL carrier RRC reconfiguration Delay

#### A.7.5.5 Beam Failure Detection and Link recovery procedures

##### A.7.5.5.1 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with SSB-based BFD and LR in non-DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

###### A.7.5.5.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.1.1-1, A.7.5.5.1.1-2, A.7.5.5.1.1-3 and A.7.5.5.1.1-4 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.1.1-1 shows the variation of the downlink SNR of the SSB in set  $q_0$  in the active cell to emulate SSB based beam failure. Figure A.7.5.5.1.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.7.5.5.1.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth
2	TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR2

**Table A.7.5.5.1.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	

RF Channel Number		1	
Duplex mode	Config 1, 2	TDD	
BW <sub>channel</sub>	Config 1, 2	100: N <sub>RB,c</sub> = 66	
DL initial BWP configuration	Config 1, 2	DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2	DLBWP.1.1	
UL initial BWP configuration	Config 1, 2	ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2	ULBWP.1.1	
TDD Configuration	Config 1, 2	TDDConf.3.1	
CORESET Reference Channel	Config 1	CR. 3.1 TDD	
SSB Configuration	Config 1, 2	SSB.1 FR2	
SMTC Configuration	Config 1, 2	SMTC.1	
PDSCH/PDCC H subcarrier spacing	Config 1, 2	120 KHz	
PRACH Configuration	Config 1, 2	Table A.3.8.3.4	
SSB index assigned as BFD RS (q <sub>0</sub> )		0	
SSB index assigned as CBD RS (q <sub>1</sub> )		1	
TCI Configuration	Config 1, 2	TBD	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		OFF	
Gap pattern ID		gp0	

rlmInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB		dBm	[-94.5]	Threshold used for $Q_{out\_LR\_SSB}$
powerControlOffsetSS			db0	Used for deriving $rsrp\text{-}ThresholdCSI\text{-}RS$
beamFailureInstanceMaxCount			n2	see clause 5.17 of TS 38.321 [7]
beamFailureDetectionTimer			pbfd4	see clause 5.17 of TS 38.321 [7]
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]	
TCI states			[TCI.State.0]	
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]	
T1		s	1	During this time the the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		S	[0.4]	
T5		s	[1.4]	
D1		s	[0.44]	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.				
Note 2: UE-specific PDCCH is not transmitted after T1 starts.				

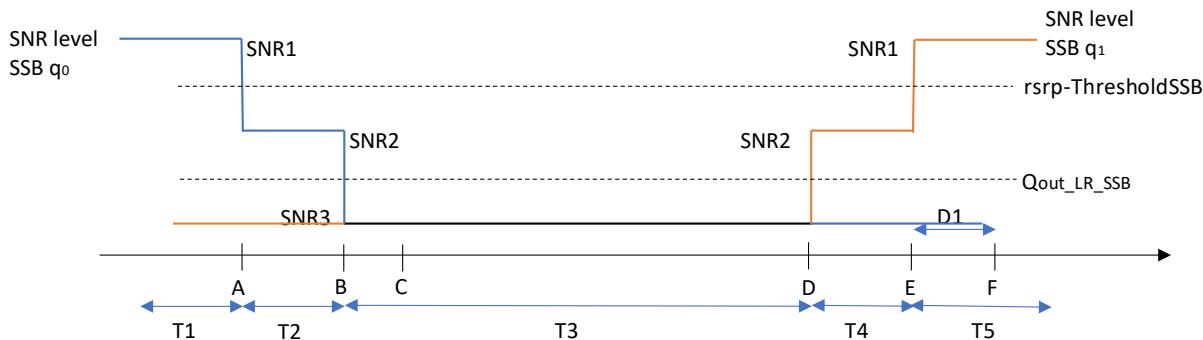
*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.7.5.5.1.1-3: Cell specific test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1													
		SSB of set q <sub>0</sub>					SSB of set q <sub>1</sub>													
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5									
EPRE ratio of PDCCH DMRS to SSS	dB																			
EPRE ratio of PDCCH to PDCCH DMRS	dB																			
EPRE ratio of PBCH DMRS to SSS	dB																			
EPRE ratio of PBCH to PBCH DMRS	dB																			
EPRE ratio of PSS to SSS	dB																			
EPRE ratio of PDSCH DMRS to SSS	dB																			
EPRE ratio of PDSCH to PDSCH DMRS	dB																			
EPRE ratio of OCNG DMRS to SSS	dB																			
SNR	Config 1, 2	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[10]									
N <sub>oc</sub>	Config 1, 2	dBm/1 5KHz	[-98]				[-98]													
Propagation condition			TDLA30-75					TDLA30-75												
Note 1:	OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																			
Note 2:	The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.																			
Note 3:	NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.																			
Note 4:	Measurement gap configuration is assigned to the UE prior to the start of time period T1.																			
Note 5:	The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.																			
Note 6:	The signal contains PDCCH for UEs other than the device under test as part of OCNG.																			
Note 7:	SNR levels correspond to the signal to noise ratio over the SSS REs.																			
Note 8:	The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.1.1-1.																			
Note 9:	The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].																			

**Table A.7.5.5.1.1-4: Measurement gap configuration for FR2 PCell for SSB-based beam failure detection and link recovery testing in non-DRX mode**

Field	Test 2
	Value
gapOffset	0



**Figure A.7.5.5.1.1-1: SNR variation SSB for SSB-based beam failure detection and link recovery testing in non-DRX mode**

#### A.7.5.5.1.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.5.2 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with SSB-based BFD and LR in DRX mode

*Editor's note: It is open whether BFD can be based on SSB. This test case will be updated accordingly.*

##### A.7.5.5.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects SSB-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct SSB-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the SSB based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.2.1-1, A.7.5.5.2.1-2, A.7.5.5.2.1-3, A.7.5.5.2.1-4 and A.7.5.5.2.1-5 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.2.1-1 shows the variation of the downlink SNR of the SSB in set  $q_0$  in the active cell to emulate SSB based beam failure. Figure A.7.5.5.2.1-1 additionally shows the variation of the downlink SNR of the SSB in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing

alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms) in test 2.

**Table A.7.5.5.2.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth
2	TDD duplex mode, 240 kHz SSB SCS, 100 MHz bandwidth

Note: The UE is only required to pass in one of the supported test configurations in FR2

**Table A.7.5.5.2.1-2: General test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1, 2	TDD	
BW <sub>channel</sub>	Config 1, 2	100: N <sub>RB,C</sub> = 66	
DL initial BWP configuration	Config 1, 2	DLBWP.0.1	
DL dedicated BWP configuration	Config 1, 2	DLBWP.1.1	
UL initial BWP configuration	Config 1, 2	ULBWP.0.1	
UL dedicated BWP configuration	Config 1, 2	ULBWP.1.1	
TDD Configuration	Config 1, 2	TDDConf.3.1	
CORESET Reference Channel	Config 1	CR. 3.1 TDD	
SSB Configuration	Config 1, 2	SSB.1 FR2	
SMTC Configuration	Config 1, 2	SMTC.1	
PDSCH/PDCCH subcarrier spacing	Config 1, 2	120 KHz	
PRACH Configuration	Config 1, 2	Table A.3.8.3.4	
SSB index assigned as BFD RS (q <sub>0</sub> )		0	
SSB index assigned as CBD RS (q <sub>1</sub> )		1	
TCI Configuration	Config 1, 2	TBD	
OCNG parameters		OP.1	
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0

	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0	
	DMRS precoder granularity		REG bundle size	
	REG bundle size		6	
DRX			DRX.7	A.3.3.7
Gap pattern ID			gp0	
rImInSyncOutOfSyncThreshold			absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm		[-94.5]	Threshold used for Q <sub>out_LR_SSB</sub>
powerControlOffsetSS			db0	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount			n2	see clause 5.17 of TS 38.321 [7]
beamFailureDetectionTimer			pbfd4	see clause 5.17 of TS 38.321 [7]
CSI-RS configuration	Config 1, 2		[CSI-RS.3.3 TDD]	
TCI states			[TCI.State.0]	
CSI-RS for tracking	Config 1, 2		[TRS.2.1 TDD]	
T1	s		1	During this time the the UE shall be fully synchronized to cell 1
T2	s		0.4	
T3	s		[0.6]	
T4	S		[0.4]	
T5	s		[1.4]	
D1	s		[0.44]	
Note 1: All configurations are assigned to the UE prior to the start of time period T1.				
Note 2: UE-specific PDCCH is not transmitted after T1 starts.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.7.5.5.2.1-3: Cell specific test parameters for FR2 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Test 1					Test 1				
		SSB of set $q_0$					SSB of set $q_1$				
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5
AoA setup		Setup 3 defined in A.3.15					Setup 3 defined in A.3.15				
EPRE ratio of PDCCH to DMRS to SSS	dB	0					0				
EPRE ratio of PDCCH to PDCCH DMRS	dB	0					0				
EPRE ratio of PBCH to PBCH DMRS	dB	0					0				
EPRE ratio of PSS to SSS	dB	0					0				
EPRE ratio of PDSCH to PDSCH DMRS	dB	0					0				
EPRE ratio of OCNG to DMRS to SSS	dB	0					0				
SNR	Config 1, 2	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-3]	[10]
$N_{oc}$	Config 1, 2	dBm/1 5KHz	[-98]					[-98]			
Propagation condition			TDLA30-75					TDLA30-75			

Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T1.

Note 3: NZP CSI-RS resource set configuration for CSI reporting are assigned to the UE prior to the start of time period T1.

Note 4: Measurement gap configuration is assigned to the UE prior to the start of time period T1.

Note 5: The timers and layer 3 filtering related parameters are configured prior to the start of time period T1.

Note 6: The signal contains PDCCH for UEs other than the device under test as part of OCNG.

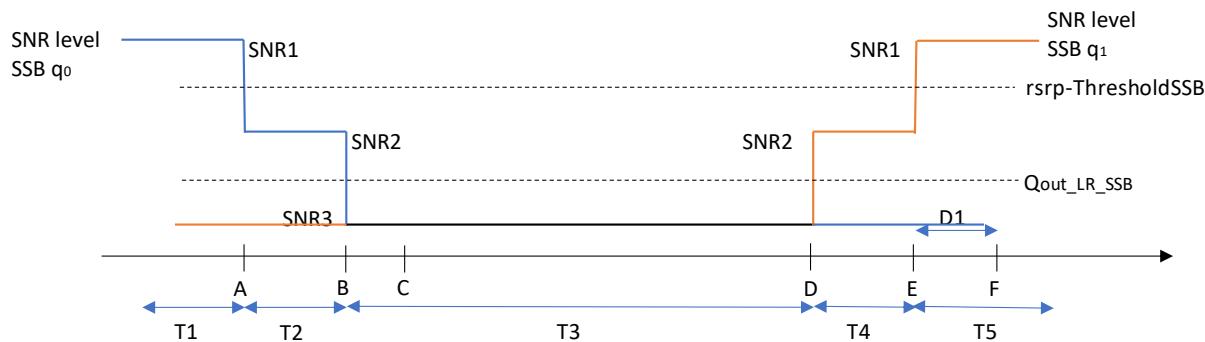
Note 7: SNR levels correspond to the signal to noise ratio over the SSS RES.

Note 8: The SNR in time periods T1, T2, T3, T4 and T5 is denoted as SNR1, SNR2 and SNR3 respectively in figure A.7.5.5.2.1-1.

Note 9: The SNR values are specified for testing a UE which supports 2RX on at least one band. For testing of a UE which supports 4RX on all bands, the SNR during T3 is [A.3.6].

**Table A.7.5.5.2.1-4: Measurement gap configuration for FR2 PCell for SSB-based beam failure detection and link recovery testing in DRX mode**

Field	Test 2
	Value
gapOffset	0

**Table A.7.5.5.2.1-5: Void****Figure A.7.5.5.2.1-1: SNR variation for SSB-based beam failure detection and link recovery testing in non-DRX mode**

### A.7.5.5.2.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the UE shall detect beam failure and initiate link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

### A.7.5.5.3 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with CSI-RS-based BFD and LR in non-DRX mode

#### A.7.5.5.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when no DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.3.1-1, A.7.5.5.3.1-2, and A.7.5.5.3.1-3 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.3.1-1 shows the variation of the downlink SNR of the CSI-RS in set  $q_0$  in the active cell to emulate CSI-RS based beam failure. Figure A.7.5.5.3.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is not enabled. The UE is configured to perform inter-frequency measurements without gaps.

**Table A.7.5.5.3.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.7.5.5.3.1-2: General test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

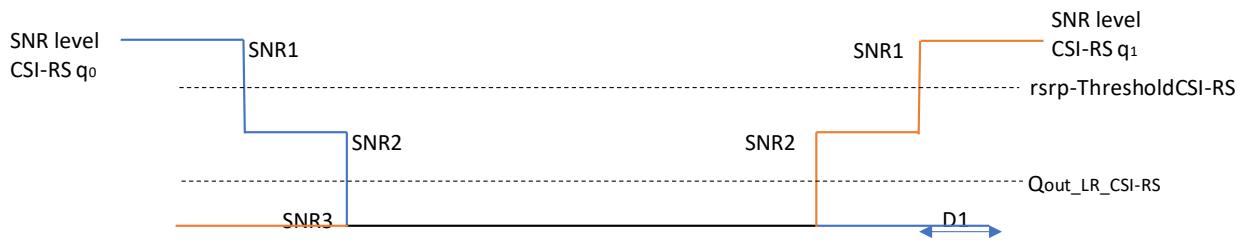
Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1	TDD	
TDD Configuration	Config 1	TDDConf.3.1	
CORESET Reference Channel	Config 1	CR.3.1 TDD	A.3.1.2
SSB Configuration	Config 1	SSB.1 FR2	A.3.10
SMTC Configuration	Config 1	SMTC.1	A.3.11
PDSCH/PDC CH subcarrier spacing	Config 1	120KHz	
csi-RS-Index assigned as beam failure detection RS in set $q_0$		[0]	
TRS configuration		TRS.2.1 TDD	
TCI configuration		CSI-RS.Config.0	
OCNG parameters		OP.1	A.3.2.1
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		OFF	
Gap pattern ID		N.A.	
csi-RS-Index assigned as candidate beam detection RS in set $q_1$		1	
rImInSyncOutOfSyncThreshold		absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm	[-94.5]	Threshold used for $Q_{in\_LR\_SSB}$
powerControlOffsetSS		NA	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount		[n2]	see clause 5.17 of TS 38.321 [7]
beamFailureDetectionTimer		[pbfd4]	see clause 5.17 of TS 38.321 [7]

CSI-RS configuration	Config 1		CSI-RS.3.2 TDD	A.3.14.2
T1		s	1	During this time the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.24]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.7.5.5.3.1-3: Cell specific test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in non-DRX mode**

Parameter	Unit	Test 1					Test 1									
		CSI-RS of set q <sub>0</sub>					CSI-RS of set q <sub>1</sub>									
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5					
EPRE ratio of PSS to SSS	dB															
EPRE ratio of PBCH DMRS to SSS	dB															
EPRE ratio of PBCH to PBCH DMRS	dB															
EPR ratio of PDCCH DMRS to SSS	dB															
EPR ratio of PDCCH to PDCCH DMRS	dB															
EPR ratio of PDSCH DMRS to SSS	dB															
EPR ratio of PDSCH to PDSCH DMRS	dB															
EPR ratio of OCNG DMRS to SSS <sup>(Note 1)</sup>	dB															
EPR ratio of OCNG to OCNG DMRS <sup>(Note 1)</sup>	dB															
SNR_C SI-RS	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]					
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]					
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]					
N <sub>oc</sub>	Config 1	dBm/ 15K Hz	[-98]					[-98]								
	Config 2		[-98]					[-98]								
	Config 3		[-98]					[-98]								
Propagation condition			[TDLA30-75]					[TDLA30-75]								
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																
Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																

**Table A.7.5.5.3.1-4: Void****Table A.7.5.5.3.1-5: Void****Figure A.7.5.5.3.1-1: SNR variation for CSI-RS based beam failure detection and link recovery testing in non-DRX mode**

### A.7.5.5.3.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

### A.7.5.5.4 Beam Failure Detection and Link Recovery Test for FR2 PCell configured with CSI-RS-based BFD and LR in DRX mode

#### A.7.5.5.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE properly detects CSI-RS-based beam failure in the set  $q_0$  configured for a serving cell and that the UE performs correct CSI-RS-based link recovery based on beam candidate set  $q_1$ . The purpose is to test the downlink monitoring for beam failure detection within the UEs active DL BWP, during the evaluation period, and link recovery, when DRX is used. This test will partly verify the CSI-RS based beam failure detection and link recovery for an FR2 serving cell requirements in clause 8.5.

The test parameters are given in Tables A.7.5.5.4.1-1, A.7.5.5.4.1-2, A.7.5.5.4.1-3, and A.7.5.5.4.1-4 below. There is one cell, cell 1 which is the active cell, in the test. The test consists of five successive time periods, with time duration of T1, T2, T3, T4 and T5 respectively. Figure A.7.5.5.4.1-1 shows the variation of the downlink SNR of the CSI-RS in set  $q_0$  in the active cell to emulate CSI-RS based beam failure. Figure A.7.5.5.4.1-1 additionally shows the variation of the downlink SNR of the CSI-RS in set  $q_1$  of the candidate beam used for link recovery. Prior to the start of the time duration T1, the UE shall be fully synchronized to cell 1. The UE shall be configured for periodic CSI reporting with a reporting periodicity of [2] ms. In the test, DRX configuration is enabled in PCell and DRX inactivity timer has already been expired, i.e. UE tries to decode PDCCH and to send periodic CQI during the period when On-duration timer is running. Time alignment timers shall be set to “infinity” so that UL timing alignment is maintained during the test. The UE is configured to perform inter-frequency measurements using GP ID #0 (40ms).

**Table A.7.5.5.4.1-1: Supported test configurations for FR2 PCell**

Configuration	Description
1	TDD duplex mode, 120 kHz SSB SCS, 100 MHz bandwidth

**Table A.4.5.1.1.1-2: General test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Parameter	Unit	Value	Comment
		Test 1	
Active PCell		Cell 1	
RF Channel Number		1	
Duplex mode	Config 1	TDD	
TDD Configuration	Config 1	TDDConf.3.1	
CORESET Reference Channel	Config 1	CR.3.1 TDD	A.3.1.2
SSB Configuration	Config 1	SSB.1 FR2	A.3.10
SMTC Configuration	Config 1	SMTC.1	A.3.11
PDSCH/PDC CH subcarrier spacing	Config 1	120 KHz	
csi-RS-Index assigned as beam failure detection RS in set $q_0$		[0]	
TRS configuration		TRS.2.1 TDD	
TCI configuration		CSI-RS.Config.0	
OCNG parameters		OP.1	A.3.2.1
CP length		Normal	
Correlation Matrix and Antenna Configuration		2x2 Low	
Beam failure detection transmission parameters	DCI format	1-0	
	Number of Control OFDM symbols	2	
	Aggregation level	CCE	8
	Ratio of hypothetical PDCCH RE energy to average CSI-RS RE energy	dB	0
	Ratio of hypothetical PDCCH DMRS energy to average CSI-RS RE energy	dB	0
	DMRS precoder granularity		REG bundle size
	REG bundle size		6
DRX		DRX.7	A.3.3.7
Gap pattern ID		*[gp0]	
csi-RS-Index assigned as candidate beam detection RS in set $q_1$		1	
rImInSyncOutOfSyncThreshold		absent	When the field is absent, the UE applies the value 0. (Table 8.1.1-1).
rsrp-ThresholdSSB	dBm	-94.5	Threshold used for $Q_{in\_LR\_SSB}$
powerControlOffsetSS		NA	Used for deriving rsrp-ThresholdCSI-RS
beamFailureInstanceMaxCount		[n2]	see clause 5.17 of TS 38.321 [7]
beamFailureDetectionTimer		[pbfd4]	see clause 5.17 of TS 38.321 [7]

CSI-RS configuration	Config 1		CSI-RS.3.2 TDD	A.3.14.2
T1		s	1	During this time the UE shall be fully synchronized to cell 1
T2		s	0.4	
T3		s	[0.6]	
T4		s	[0.4]	
T5		s	[1.4]	
D1		s	[0.44]	
Note 1: UE-specific PDCCH is not transmitted after T1 starts.				

*Editor's note: An additional RS for RLM, different from BFD-RS at constant high SNR shall be configured as part of the test configuration.*

**Table A.7.5.5.4.1-3: Cell specific test parameters for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

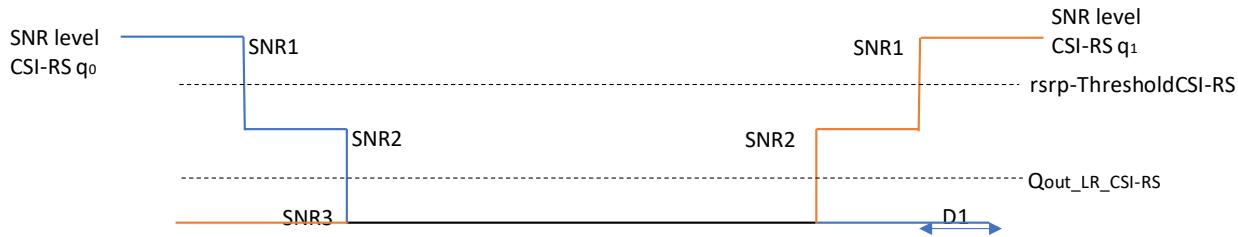
Parameter	Unit	Test 1					Test 1													
		CSI-RS of set q <sub>0</sub>					CSI-RS of set q <sub>1</sub>													
		T1	T2	T3	T4	T5	T1	T2	T3	T4	T5									
EPRE ratio of PSS to SSS	dB																			
EPRE ratio of PBCH DMRS to SSS	dB																			
EPRE ratio of PBCH to PBCH DMRS	dB																			
EPRE ratio of PDCCH DMRS to SSS	dB																			
EPRE ratio of PDCCH to PDCCH DMRS	dB																			
EPRE ratio of PDSCH DMRS to SSS	dB																			
EPRE ratio of PDSCH to PDSCH DMRS	dB																			
EPRE ratio of OCNG DMRS to SSS <sup>(Note 1)</sup>	dB																			
EPRE ratio of OCNG to OCNG DMRS <sup>(Note 1)</sup>	dB																			
SNR_C SI-RS	Config 1	dB	[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
	Config 2		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
	Config 3		[5]	[-3]	[-12]	[-12]	[-12]	[-12]	[-12]	[-12]	[10]									
N <sub>oc</sub>	Config 1	dBm/15K Hz			[-98]					[-98]										
	Config 2				[-98]					[-98]										
	Config 3				[-98]					[-98]										
Propagation condition			[TDLA30-75]					[TDLA30-75]												
Note 1: OCNG shall be used such that the resources in Cell 1 are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.																				
Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.																				

**Table A.7.5.5.4.1-4: Measurement gap configuration for FR2 PCell for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

Field	Test 1
Value	
gapOffset	[0]

**Table A.7.5.5.4.1-5: Void**

**Table A.7.5.5.4.1-6: Void**



**Figure A.7.5.5.4.1-1: SNR variation for CSI-RS-based beam failure detection and link recovery testing in DRX mode**

#### A.7.5.5.4.2 Test Requirements

The UE behaviour during time durations T1, T2, T3, T4 and T5 shall be as follows:

During the time duration T1 and T2, the UE shall transmit uplink signal at least in all subframes configured for CSI transmission on Cell 1.

During the period from time point A to time point B the UE shall transmit uplink signal in Cell 1 in all uplink slots configured for CSI transmission according to the configured periodic CSI reporting for Cell 1.

During T3 the shall detect beam failure and initiat link recovery. During T4 and T5 the UE measures and evaluate beam candidate from beam candidate set  $q_1$ .

No later than time point F occurring no later than  $D1 = [TBD]$  ms after the start of T5, the UE shall transmit preamble on a beam associated with the candidate beam set  $q_1$ .

Test is concluded once the test equipment has received the initial preamble transmission from the UE. The rate of correct events observed during repeated tests shall be at least 90%.

#### A.7.5.6 Active BWP switch delay

##### A.7.5.6.1 DCI-based and Timer-based Active BWP Switch

###### A.7.5.6.1.1 NR FR2- NR FR2 DL active BWP switch of PCell with non-DRX in SA

###### A.7.5.6.1.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirement on other active serving cell defined in clause 8.2.2.2.5.

The supported test configurations are shown in Table A.7.5.6.1.1-1 below. The test scenario comprises of one NR PCell (Cell 1) and one NR SCell (Cell 2) as given in Table A.7.5.6.1.1-2. NR Cell-specific parameters are specified in Table A.7.5.6.1.1-3 below. OTA related test parameters are shown in table A.7.5.6.1.1-4 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 1 and the time duration of T2.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 2) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (SCell) on radio channel 2 (SCC).

UE is configured with 2 different UE-specific bandwidth parts for PCell, BWP-1 and BWP-2, in Cell 1 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

UE is indicated in firstActiveDownlinkBWP-Id that the active DL BWP is BWP-1 in PCell.

UE is configured with a bwp-InactivityTimer timer value for PCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH no later than at PCell's slot  $(i+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the PCell no later than at slot  $(i+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on PCell's BWP-2 no later than slot  $(i+T_{BWPswitchDelay})$ .

The starting time of SCell (Cell 2) interruption due to BWP switch on PCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PCell(Cell 1).

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH no later than PCell's slot  $(j+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the PSCell at latest at slot  $(j+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on PSCell's BWP-1 no later than slot  $(j+T_{BWPswitchDelay})$ .

The starting time of SCell (Cell 2) interruption due to BWP switch of PCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to SCell is carried out in the correct time span by monitoring ACK/NACK sent in SCell during BWP switch of PCell, respectively.

**Table A.7.5.6.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD -TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations	

**Table A.7.5.6.1.1.1-2: General test parameters for DL BWP switch in SA**

Parameter	Unit	Value	Comment
NR RF Channel Number		2	Two NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active SCell		Cell 2	SCell on RF channel number 2.
CP length		Normal	
DRX		OFF	For both PCell and PSCell
<i>bwp-InactivityTimer</i>	ms	200	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCH.
Cell2 timing offset to cell1	μs	3	Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1.
T1	s	0.2	
T2	s	0.2	
T3	s	0.2	

**Table A7.5.6.1.1.1-3: NR Cell specific test parameters for DL BWP switch in SA**

Parameter	Unit	Cell 1	Cell2
Frequency Range		FR2	FR2
Duplex mode			TDD
TDD configuration			TDDConf.3.1
BW <sub>channel</sub>			100 MHz: N <sub>RB,C</sub> = 66
Active BWP ID		1, 2	3
Downlink initial BWP Configuration			DLBWP.0.2
Uplink initial BWP Configuration			ULBWP.0.2
Downlink active BWP-1 Configuration		DLBWP.1.3	-
Downlink active BWP-2 Configuration		DLBWP.1.3	-
Uplink active BWP-1 Configuration		ULBWP.1.3	-
Uplink active BWP-2 Configuration		ULBWP.1.3	-
PDSCH Reference measurement channel			SR.3.1 TDD
TRS configuration			TRS.2.1 TDD
TCI state			TCI.State.0
RMSI CORESET parameters			CR.3.1 TDD
Dedicated CORESET parameters			CCR.3.1 TDD
OCNG Patterns			OP.1
SSB Configuration			SSB.1 FR2
SMTc Configuration			SMTc.1
Correlation Matrix and Antenna Configuration			1x2 Low
EPRE ratio of PSS to SSS	dB	0	0
EPRE ratio of PBCH DMRS to SSS			
EPRE ratio of PBCH to PBCH DMRS			
EPRE ratio of PDCCH DMRS to SSS			
EPRE ratio of PDCCH to PDCCH DMRS			
EPRE ratio of PDSCH DMRS to SSS			
EPRE ratio of PDSCH to PDSCH			
EPRE ratio of OCNG DMRS to SSS(Note 1)			
EPRE ratio of OCNG to OCNG DMRS (Note 1)			
Propagation Condition		AWGN	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			

<b>Table A.7.5.6.1.1.1-4: OTA related test parameters for BWP switching test caseParameter</b>	<b>Unit</b>	<b>Cell 1</b>	<b>Cell 2</b>
Angle of arrival configuration		Setup 1 defined in clause A.3.15.1	Setup 1 defined in clause A.3.15.1
$N_{oc}$ <sup>Note1</sup>	dBm/15kHz	TBD	TBD
$N_{oc}$ <sup>Note1</sup>	dBm/SCS	TBD	TBD
SS-RSRP <sup>Note2</sup>	dBm/SCS <sup>Note3</sup>	TBD	TBD
$\hat{E}_s/I_{ot}$	dB	TBD	TBD
$\hat{E}_s/N_{oc}$	dB	TBD	TBD
$I_0$ <sup>Note4</sup>	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD
Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 2: SS-RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone.			

### A.7.5.6.1.1.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot ( $i+T_{BWPswitchDelay}+kI$ ).

During T3, the UE shall start to send the ACK for PSCell in a slot ( $j+T_{BWPswitchDelay}+kI$ ).

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1 and T3, the start time of SCell interruption during PCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of SCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.2.2.2.5.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i+Y1$ ), ( $j+Y2$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

### A.7.5.6.1.2 NR FR1- NR FR2 DL active BWP switch of PCell with non-DRX in SA

#### A.7.5.6.1.2.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6, and interruption requirement on other active serving cell defined in clause 8.2.2.2.5.

The supported test configurations are shown in Table A.7.5.6.1.2.1-1 below. The test scenario comprises of one NR PCell (Cell 1) and one NR SCell (Cell 2). The general parameters are given in Table A.7.5.6.1.2.1-2. NR Cell-specific parameters are specified in Table A.7.5.6.1.2.1-3 below. OTA related test parameters are shown in table A.7.5.6.1.2.1-4 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE would have ACK/NACK sending except for the time duration when BWP is switching on Cell 1 and the time duration of T2.

PDCCHs indicating new transmissions shall be sent continuously on SCell (Cell 2) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

UE is connected to Cell 1 (PCell) on radio channel 1 (PCC), and Cell 2 (SCell) on radio channel 2 (SCC).

UE is configured with 2 different UE-specific bandwidth parts for PCell, BWP-1 and BWP-2, in Cell 1 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.

UE is indicated in firstActiveDownlinkBWP-Id that the active DL BWP is BWP-1 in PCell.

UE is configured with a bwp-InactivityTimer timer value for PCell.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for PCell DL BWP switch, sent from the test equipment to the UE, is received at the UE side in PCell's slot # denoted  $i$ . The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH no later than at PCell's slot  $(i+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the PCell no later than at slot  $(i+T_{BWPswitchDelay}+kI)$ . The UE shall be continuously scheduled on PCell's BWP-2 no later than slot  $(i+T_{BWPswitchDelay})$ .

The starting time of SCell (Cell 2) interruption due to BWP switch on PCell shall occur within the BWP switch delay.

During T2, the test equipment won't transmit DCI format for PDSCH reception on PCell(Cell 1).

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH no later than PCell's slot  $(j+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the PSCell at latest at slot  $(j+T_{BWPswitchDelay}+kI)$ . The UE shall be continuously scheduled on PSCell's BWP-1 no later than slot  $(j+T_{BWPswitchDelay})$ .

The starting time of SCell (Cell 2) interruption due to BWP switch of PCell shall occur within the BWP switch delay.

The test equipment verifies the DL BWP switch time in PCell by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

The test equipment verifies that potential interruption to SCell is carried out in the correct time span by monitoring ACK/NACK sent in SCell during BWP switch of PCell, respectively.

**Table A.7.5.6.1.2.1-1: DL BWP switch supported test configurations**

Config	Description
1	PCell: NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode SCell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	PCell: NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode SCell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
3	PCell: NR 30 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode SCell: NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations

**Table A.7.5.6.1.2.1-2: General test parameters for DL BWP switch in SA**

Parameter	Unit	Value	Comment
NR RF Channel Number		2	Two NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
Active SCell		Cell 2	SCell on RF channel number 2.
CP length		Normal	
DRX		OFF	For both PCell and PSCell
<i>bwp-InactivityTimer</i>	ms	200	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
Cell-individual offset for cells on RF channel number 2	dB	0	Individual offset for cells on PSCC.
Cell2 timing offset to cell1	μs	3	Time alignment error as specified in TS 38.104 [13] clause 6.5.3.1.
T1	s	0.2	
T2	s	0.2	
T3	s	0.2	

**Table A6.5.6.1.2.1-3: NR Cell specific test parameters for DL BWP switch in SA**

Parameter		Unit	Cell 1	Cell2			
Frequency Range			FR1	FR2			
Duplex mode	Config 1		FDD	TDD			
	Config 2,3		TDD				
TDD configuration	Config 1		Not Applicable	TDDConf.3.1			
	Config 2		TDDConf.1.1				
	Config 3		TDDConf.2.1				
BW <sub>channel</sub>	Config 1,2	MHz	10 MHz: N <sub>RB,c</sub> = 52	100 MHz: N <sub>RB,c</sub> = 66			
	Config 3		40 MHz: N <sub>RB,c</sub> = 106				
Active BWP ID			1, 2	3			
Downlink initial BWP Configuration			DLBWP.0.2				
Uplink initial BWP Configuration			ULBWP.0.2				
Downlink active BWP-1 Configuration			DLBWP.1.3	-			
Downlink active BWP-2 Configuration			DLBWP.1.3	-			
Uplink active BWP-1 Configuration			DLBWP.1.3	-			
Uplink active BWP-2 Configuration			DLBWP.1.3	-			
PDSCH Reference measurement channel	Config 1		SR.1.1 FDD	SR.3.1 TDD			
	Config 2		SR.1.1 TDD				
	Config 3		SR.2.1 TDD				
RMSI CORESET parameters	Config 1		CR.1.1 FDD	CR.3.1 TDD			
	Config 2		CR.1.1 TDD				
	Config 3		CR.2.1 TDD				
Dedicated CORESET parameters	Config 1		CCR.1.1 FDD	CCR.3.1 TDD			
	Config 2		CCR.1.1 TDD				
	Config 3		CCR.2.1 TDD				
OCNG Patterns			OP.1				
SSB Configuration	Config 1,2		SSB.1 FR1	SSB.1 FR2			
	Config 3		SSB.2 FR1				
TRS configuration	Config 1,2,3		-	TRS.2.1 TDD			
TCI state	Config 1,2,3		TCI.State.0	TCI.State.0			
SMTC Configuration			SMTC.1				
Correlation Matrix and Antenna Configuration			1x2 Low				
EPRE ratio of PSS to SSS		dB	0	0			
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH							
EPRE ratio of OCNG DMRS to SSS (Note 1)							
EPRE ratio of OCNG to OCNG DMRS (Note 1)							
Propagation Condition			AWGN	AWGN			
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: SS-RSRP and SCH_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

**Table A.7.5.6.1.2.1-4: OTA related test parameters for BWP switching test case**

Parameter	Unit	Cell 1	Cell 2
Angle of arrival configuration		Setup 1 defined in clause A.3.15.1	Setup 1 defined in clause A.3.15.1
$N_{oc}$ <sup>Note1</sup>	dBm/15kHz	TBD	TBD
$N_{oc}$ <sup>Note1</sup>	dBm/SCS	TBD	TBD
SS-RSRP <sup>Note2</sup>	dBm/SCS <sup>Note3</sup>	TBD	TBD
$\hat{E}_s/I_{ot}$	dB	TBD	TBD
$\hat{E}_s/N_{oc}$	dB	TBD	TBD
$I_0$ <sup>Note4</sup>	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD
Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 2: SS-RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone.			

### A.7.5.6.1.2.2 Test Requirements

During T1, the UE shall start to send the ACK for PSCell in a slot ( $i+T_{BWPswitchDelay}+kI$ ).

During T3, the UE shall start to send the ACK for PSCell in a slot ( $j+T_{BWPswitchDelay}+kI$ ).

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

During T1 and T3, the start time of SCell interruption during PCell active BWP switch shall not happen outside the BWP switch delay.

The interruption of SCell shall not be longer than the interruption duration specified for active BWP switch in clause 8.2.2.2.5.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch interruption to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i+Y1$ ), ( $j+Y2$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

### A.7.5.6.1.3 NR FR2 DL active BWP switch with non-DRX in SA

#### A.7.5.6.1.3.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement defined in clause 8.6. Supported test configurations are shown in Table A.7.5.6.1.3.1-1.

The test scenario comprises of one NR cell (Cell 1) as given in Table A.7.5.6.1.3.1-2. Cell-specific parameters of NR PCell is specified in Table A.7.5.6.1.3.1-3 below. The OTA related test parameters for FR2 is shown in Table A.7.5.6.1.3.1-4.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 on radio channel 1.
- UE is configured with 2 different UE-specific bandwidth parts, BWP-1 and BWP-2 before starting the test. BWP-1 and BWP-2 always include bandwidth of the initial DL BWP and SSB.
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1.
- UE is configured with a *bwp-InactivityTimer* timer value for Cell1.

All cells have constant signal levels throughout the test.

The test consists of 3 successive time periods, with durations of T1, T2, and T3, respectively.

During T1,

Time period T1 starts when a DCI format 1\_1 command for DL BWP switch, sent from the test equipment to the UE, is received at the UE side in Cell 1's slot # denoted  $i$ . The UE should switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at Cell 1's slot  $(i+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the Cell 1 no later than at slot  $(i+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on Cell 1's BWP-2 starting from slot  $(i+T_{BWPswitchDelay})$ .

During T2, the test equipment won't transmit DCI format for PDSCH reception on Cell 1.

During T3,

The time period T3 starts from the slot # $j$  immediately after the slot wherein *bwp-InactivityTimer* timer expires. The UE should switch its bandwidth part from BWP-2 back to the default bandwidth part – BWP-1.

The UE shall be able to receive PDSCH at Cell 1's slot  $(j+T_{BWPswitchDelay})$  as defined in clause 8.6 and starts to report valid ACK/NACK for the Cell 1 at latest at slot  $(j+T_{BWPswitchDelay}+k1)$ . The UE shall be continuously scheduled on Cell 1's BWP-1 starting from slot  $(j+T_{BWPswitchDelay})$ .

The test equipment verifies the DL BWP switch time by counting the slots from the time when the BWP switch command is received or *bwp-InactivityTimer* timer expires till an ACK is received.

**Table A.7.5.6.1.3.1-1: DL BWP switch supported test configurations**

Config	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note 1:	The UE is only required to be tested in one of the supported test configurations
Note 2:	A UE which fulfills the requirements in test case A.7.5.6.1.1 or A.7.5.6.1.2 can skip the test cases in A.7.5.6.1.3.

**Table A.7.5.6.1.3.1-2: General test parameters for DL BWP switch in SA**

Parameter	Unit	Value	Comment
NR RF Channel Number		1	One NR radio channel is used for this test
Active Cell		Cell 1	Cell on RF channel number 1.
CP length		Normal	
DRX		OFF	For both PCell and PSCell
<i>bwp-InactivityTimer</i>	ms	[200]	
T1	s	[0.2]	
T2	s	[0.2]	
T3	s	[0.2]	

**Table A7.5.6.1.3.1-3: NR Cell specific test parameters for DL BWP switch in SA**

Parameter	Unit	Cell 1
Frequency Range		FR2
Duplex mode		TDD
TDD configuration		TDDConf.3.1
BW <sub>channel</sub>		100 MHz: N <sub>RB,C</sub> = 66
Active BWP ID		1, 2
Initial DL BWP Configuration		DLBWP.0.2 Note 2
Active DL BWP-1 Configuration		DLBWP.1.1 Note 2
Active DL BWP-2 Configuration		DLBWP.1.3 Note 2
Initial UL BWP Configuration		ULBWP.0.2 Note 2
Active UL BWP-1 Configuration		ULBWP.1.1 Note 2
Active UL BWP-2 Configuration		ULBWP.1.3 Note 2
PDSCH Reference measurement channel		SR.3.1 TDD
RMSI CORESET parameters		CR.3.1 TDD
Dedicated CORESET parameters		CCR.3.1 TDD
OCNG Patterns		OP.1
SSB Configuration		SSB.1 FR2
SMTC Configuration		SMTC.1
TCI State		TCI.State.0
TRS Configuration		TRS.2.1 TDD
Correlation Matrix and Antenna Configuration		1x2 Low
EPRE ratio of PSS to SSS	dB	0
EPRE ratio of PBCH DMRS to SSS		
EPRE ratio of PBCH to PBCH DMRS		
EPRE ratio of PDCCH DMRS to SSS		
EPRE ratio of PDCCH to PDCCH DMRS		
EPRE ratio of PDSCH DMRS to SSS		
EPRE ratio of PDSCH to PDSCH		
EPRE ratio of OCNG DMRS to SSS (Note 1)		
EPRE ratio of OCNG to OCNG DMRS (Note 1)		
Propagation Condition		AWGN
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.		
Note 2: For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].		

**Table A7.5.6.1.3.1-4: OTA related test parameters for DL BWP switch in SA**

Parameter	Unit	Cell 2
Angle of arrival configuration		Setup 1 defined in clause A.3.15.1
$N_{oc}^{Note\ 1}$	dBm/15 kHz	TBD
$N_{oc}^{Note\ 1}$	dBm/SCS	TBD
SS-RSRP Note 2	dBm/120 kHz <sup>Note3</sup>	TBD
$\hat{E}_s/I_{ot}$	dB	TBD
$I_0^{Note2}$	dBm/95.04 MHz <sup>Note4</sup>	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and <math>I_0</math> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone.</p>		

#### A.7.5.6.1.3.2 Test Requirements

During T1, the UE shall start to send the ACK for Cell 1 in a slot ( $i + T_{BWPswitchDelay} + kI$ ).

During T3, the UE shall start to send the ACK for Cell 1 in a slot ( $j + T_{BWPswitchDelay} + kI$ ).

Where,  $kI$  is the timing between DL data receiving and acknowledgement as specified in [7].

Depending on UE capability *bwp-SwitchingDelay* [2], UE shall finish BWP switch within the time duration  $T_{BWPswitchDelay}$  defined in Table 8.6.2-1.

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: During T1, T3 if there are no uplink resources for reporting the ACK in a slot ( $i + T_{BWPswitchDelay} + kI$ ), ( $j + T_{BWPswitchDelay} + kI$ ), then the UE shall use the next available uplink resource for reporting the corresponding ACK.

#### A.7.5.6.2 RRC-based Active BWP Switch

##### A.7.5.6.2.1 NR FR2- NR FR2 DL active BWP switch of PCell with non-DRX in SA

###### A.7.5.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify the DL BWP switch delay requirement for RRC-based BWP switch defined in clause 8.6.3. Supported test configurations are shown in Table A.7.5.6.2.1.1-1.

The test scenario comprises of one NR PCell (Cell 1) as given in Table A.7.5.6.2.1.1-2. Cell-specific parameters of NR PCell are specified in Table A.7.5.6.2.1.1-3 below.

PDCCHs indicating new transmissions shall be sent continuously on PCell (Cell 1) to ensure that the UE will have ACK/NACK sending.

Before the test starts,

- UE is connected to Cell 1 (PCell) on radio channel 1 (PCC).
- UE has bandwidth part BWP-1 in its RRC-configuration for Cell 1 (PCell).
- UE is indicated in *firstActiveDownlinkBWP-Id* that the active DL BWP is BWP-1 in PCell.

All cells have constant signal levels throughout the test.

The test consists of 1 time period, with duration of T1.

During T1,

Time period T1 starts when a *RRCReconfiguration* with bandwidth part configuration BWP-2, sent from the test equipment to the UE, is received at the UE side in PCell's slot # denoted *i*. The UE shall switch its bandwidth part from BWP-1 to BWP-2.

The UE shall be able to receive PDSCH at PCell's slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$ ) as defined in clause 8.6.3 and be ready for the reception of uplink grant for the PCell no later than at slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$ ). The UE shall be continuously scheduled on PCell's BWP-2 starting from slot ( $i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC}$ ).

$T_{RRCprocessingDelay}$  and  $T_{BWPswitchDelayRRC}$  are defined in clause 8.6.3.

The test equipment verifies the DL BWP switch time in PCell by counting the time from the time when the RRC Reconfiguration message including BWP switch command is sent till the time when RRC Reconfiguration Complete message is received.

**Table A.7.5.6.2.1.1-1: DL BWP switch supported test configurations**

Config	Description
1	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations	

**Table A.7.5.6.2.1.1-2: General test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Value	Comment
NR RF Channel Number		1	One NR radio channel is used for this test
Active PCell		Cell 1	PCell on RF channel number 1.
CP length		Normal	
DRX		OFF	
Cell-individual offset for cells on RF channel number 1	dB	0	Individual offset for cells on PCC.
T1	s	[0.2]	

**Table A.7.5.6.2.1.1-3: NR Cell specific test parameters for DL BWP switch in synchronous EN-DC**

Parameter	Unit	Cell 1
Frequency Range		FR2
Duplex mode		TDD
TDD configuration		TDDConf.3.1
BW <sub>channel</sub>		100 MHz: N <sub>RB,c</sub> = 66
Active BWP ID		1, 2
Initial DL BWP Configuration		DLBWP.0.2
Active DL BWP-1 Configuration		DLBWP.1.3
Active DL BWP-2 Configuration		DLBWP.1.1
Initial UL BWP Configuration		ULBWP.0.2
Active UL BWP-1 Configuration		NA
Active UL BWP-2 Configuration		ULBWP.1.3
'PDSCH Reference measurement channel		SR.3.1 TDD
RMSI CORESET parameters		CR.3.1 TDD
Dedicated CORESET parameters		CCR.3.1 TDD
OCNG Patterns		OP.1
SSB Configuration		SSB.1 FR2
SMTC Configuration		SMTC.1
TCI State		TCI.State.0
TRS Configuration		TRS.2.1 TDD
Antenna Configuration		1x2
Propagation Condition		AWGN
EPRE ratio of PSS to SSS	dB	0
EPRE ratio of PBCH DMRS to SSS		
EPRE ratio of PBCH to PBCH DMRS		
EPRE ratio of PDCCH DMRS to SSS		
EPRE ratio of PDCCH to PDCCH DMRS		
EPRE ratio of PDSCH DMRS to SSS		
EPRE ratio of PDSCH to PDSCH		
EPRE ratio of OCNG DMRS to SSS (Note 1)		
EPRE ratio of OCNG to OCNG DMRS (Note 1)		
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.	
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.	
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.	
Note 4:	For unpaired spectrum, a DL BWP is linked with an UL BWP. DLBWP.0.2 is linked with ULBWP.0.2; DLBWP.1.1 is linked with ULBWP.1.1; DLBWP.1.3 is linked with ULBWP.1.3 defined in clause 12 of TS 38.213 [3].	

**Table A.7.5.6.2.1.1-4: OTA related test parameters for BWP switching test case**

Parameter	Unit	Cell 2
Angle of arrival configuration		According to table A.3.15
N <sub>oc</sub> <sup>Note1</sup>	NR_TDD_FR2_A	TBD
	NR_TDD_FR2_B	
	NR_TDD_FR2_F	
	NR_TDD_FR2_G	
	NR_TDD_FR2_T	
	NR_TDD_FR2_Y	
N <sub>oc</sub> <sup>Note1</sup>	NR_TDD_FR2_A	TBD
	NR_TDD_FR2_B	
	NR_TDD_FR2_F	
	NR_TDD_FR2_G	
	NR_TDD_FR2_T	

	NR_TDD_FR2_Y		
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note3</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
	$\hat{E}_s / I_{ot}$		
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD
	NR_TDD_FR2_B		
	NR_TDD_FR2_F		
	NR_TDD_FR2_G		
	NR_TDD_FR2_T		
	NR_TDD_FR2_Y		
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p>			

#### A.7.5.6.2.1.2 Test Requirements

During T1, the UE shall be ready for the reception of uplink grant for PCell in a slot  $(i + T_{RRCprocessingDelay} + T_{BWPswitchDelayRRC})$ .

All of the above test requirements shall be fulfilled in order for the observed PCell active BWP switch delay to be counted as correct.

The rate of correct events observed during repeated tests shall be at least 90%.

The rate of correct events observed during repeated tests shall be at least 90%.

## A.7.6 Measurement procedure

### A.7.6.1 Intra-frequency Measurements

#### A.7.6.1.1 SA event triggered reporting test without gap under non-DRX

##### A.7.6.1.1.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.7.6.1.1.1-1.

**Table A.7.6.1.1.1-1: supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations.	

There are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.6.1.1.1-2, A.7.6.1.1.1-3 and A.7.6.1.1.1-4 below.

In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.7.6.1.1.1-2: General test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap without DRX**

Parameter	Unit	Config	Value	Comment
Active cell		1, 2	PCell (Cell 1)	
Neighbour cell		1, 2	Cell 2	Cell to be identified.
RF Channel Number		1, 2	1: Cell 1 and Cell 2	One TDD carrier frequency is used for the NR cells.
SMTC configuration		1, 2	SMTC.1	
A3-Offset	dB	1, 2	-6	
CP length		1, 2	Normal	
Hysteresis	dB	1, 2	0	
Time To Trigger	s	1, 2	0	
Filter coefficient		1, 2	0	L3 filtering is not used
DRX		1, 2	OFF	
Time offset between Cell 1 and Cell 2		1, 2	3 μs	Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1, 2	3 μs	Synchronous cells
T1	s	1, 2	5	
T2	s	1, 2	5	

**Table A.7.6.1.1.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap without DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2

TDD configuration		1, 2	TDDConf.3.1	TDDConf.3.1
Initial BWP configuration		1, 2	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1, 2	DLBWP.1.1	DLBWP.1.1
Active UL BWP configuration		1, 2	ULBWP.1.1	ULBWP.1.1
RLM-RS		1, 2	SSB	SSB
PDSCH RMC configuration		1, 2	SR.3.1 TDD	N/A
RMSI CORESET RMC configuration		1, 2	CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET RMC configuration		1, 2	CCR.3.1 TDD	CCR.3.1 TDD
TRS configuration		1, 2	TRS.2.1 TDD	N/A
PDSCH/PDCCH TCI states		1, 2	TCI.State.2	N/A
OCNG Patterns		1, 2	OP.1	OP.1
SSB		1	SSB.1 FR2	SSB.1 FR2
		2	SSB.2 FR2	SSB.2 FR2
Propagation Condition		1, 2	AWGN	

**Table A.7.6.1.1.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap without DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2
AoA setup		1, 2	Setup 3 defined in A.3.15.3			
$\hat{E}_s / I_{ot}$	dB	1, 2	TBD	TBD	TBD	TBD
$N_{oc}$ Note 2	dBm/15 KHz	1, 2	TBD			
$N_{oc}$ Note 2	dBm/SCS	1	TBD			
		2	TBD			
SS-RSRP	dBm/SCS	1	TBD	TBD	TBD	TBD
		2	TBD	TBD	TBD	TBD
$\hat{E}_s / N_{oc}$	dB	1, 2	TBD	TBD	TBD	TBD
$I_o$	dBm/95.04MHz	1, 2	TBD		TBD	
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

### A.7.6.1.1.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 2.4s for a UE supporting power class 1,
- 1.44s for a UE supporting power class 2 or 3,
- [1.44s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.7.6.1.2 SA event triggered reporting test without gap under DRX

##### A.7.6.1.2.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.7.6.1.2.1-1.

**Table A.7.6.1.2.1-1: supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

There are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.6.1.2.1-2 ~ 6.

In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.7.6.1.2.1-2: General test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap with DRX**

Parameter	Unit	Config	Value		Comment
			Test 1	Test 2	

Active cell		1, 2	PCell (Cell 1)	
Neighbour cell		1, 2	Cell 2	Cell to be identified.
RF Channel Number		1, 2	1: Cell 1 and Cell 2	One TDD carrier frequency is used for the NR cells.
SMTC configuration		1, 2	SMTC.1	
A3-Offset	dB	1, 2	-6	
CP length		1, 2	Normal	
Hysteresis	dB	1, 2	0	
Time To Trigger	s	1, 2	0	
Filter coefficient		1, 2	0	L3 filtering is not used
DRX		1, 2	DRX.1	DRX related parameters are defined in Table A.7.6.1.2.1-5
Time offset between Cell 1 and Cell 2		1, 2	3 μs	Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1, 2	3 μs	Synchronous cells
T1	s	1, 2	5	
T2	s	1, 2	10	52

**Table A.7.6.1.2.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap with DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2
TDD configuration		1, 2	TDDConf.3.1		TDDConf.3.1	
Initial BWP configuration		1, 2	DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1	
Active DL BWP configuration		1, 2	DLBWP.1.1		DLBWP.1.1	
Active UL BWP configuration		1, 2	ULBWP.1.1		ULBWP.1.1	
RLM-RS		1, 2	SSB		SSB	
PDSCH RMC configuration		1, 2	SR.3.1 TDD		N/A	
RMSI CORESET RMC configuration		1, 2	CR.3.1 TDD		CR.3.1 TDD	
Dedicated CORESET RMC configuration		1, 2	CCR.3.1 TDD		CCR.3.1 TDD	
TRS configuration		1, 2	TRS.2.1 TDD		N/A	
PDSCH/PDCCH TCI states		1, 2	TCI.State.2		N/A	
OCNG Patterns		1, 2	OP.1		OP.1	
SSB		1	SSB.1 FR2		SSB.1 FR2	
		2	SSB.2 FR2		SSB.2 FR2	
Propagation Condition		1, 2	AWGN			

**Table A.7.6.1.2.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 without gap with DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2
AoA setup		1, 2	Setup 1 defined in A.3.15.1			
$\hat{E}_s / I_{ot}$	dB	1, 2	4	-1.46	-Infinity	-1.46
$N_{oc}$ Note 2	dBm/15 KHz	1, 2	-98			
$N_{oc}$ Note 2	dBm/SCS	1	-89			
		2	-86			
SS-RSRP	dBm/SCS	1	-85	-85	-Infinity	-85
		2	-82	-82	-Infinity	-82
$\hat{E}_s / N_{oc}$	dB	1, 2	4	4	-Infinity	4
$I_o$	dBm/95.04MHz	1	-54.56	-52.21	-54.56	-52.21
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2.						
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

**Table A.7.6.1.2.1-5: Void****Table A.7.6.1.2.1-6: Void**

### A.7.6.1.2.2 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 7.2s for a UE supporting power class 1,
- 4.32s for a UE supporting power class 2 or 3,
- [4.32s] for a UE supporting power class 4

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 51.2s for a UE supporting power class 1,
- 30.72s for a UE supporting power class 2 or 3,
- [30.72s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.7.6.1.3 SA event triggered reporting test with per-UE gaps under non-DRX

#### A.7.6.1.3.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.7.6.1.3.1-1.

**Table A.7.6.1.3.1-1: supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations.	

There are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.6.1.3.1-2 ~ 4 below.

There are two BWPs configured in Cell 1, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 1. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.7.6.1.3.1-2: General test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps without DRX**

Parameter	Unit	Config	Value	Comment
Active cell		1, 2	PCell (Cell 1)	
Neighbour cell		1, 2	Cell 2	Cell to be identified.
RF Channel Number		1, 2	1: Cell 1 and Cell 2	One TDD carrier frequency is used for the NR cells.
Gap type		1, 2	Per-UE gaps	
Measurement gap repetition periodicity	ms	1, 2	40	
Measurement gap length	ms	1, 2	6	
Measurement gap offset	ms	1, 2	39	
SMTC configuration		1, 2	SMTC.1	
CSI-RS parameters		1, 2	CSI-RS.3.2 TDD	
A3-Offset	dB	1, 2	-6	
CP length		1, 2	Normal	
Hysteresis	dB	1, 2	0	
Time To Trigger	s	1, 2	0	
Filter coefficient		1, 2	0	L3 filtering is not used
DRX		1, 2	OFF	
Time offset between Cell 1 and Cell 2		1, 2	3 µs	Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1, 2	3 µs	Synchronous cells
T1	s	1, 2	5	
T2	s	1, 2	5	

**Table A.7.6.1.3.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps without DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2

TDD configuration		1, 2	TDDConf.3.1	TDDConf.3.1
Initial BWP configuration		1, 2	DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1, 2	DLBWP.1.2	DLBWP.1.1
Active UL BWP configuration		1, 2	ULBWP.1.2	ULBWP.1.1
RLM-RS		1, 2	CSI-RS	SSB
PDSCH RMC configuration		1, 2	SR.3.1 TDD	N/A
RMSI CORESET RMC configuration		1, 2	CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET RMC configuration		1, 2	CCR.3.1 TDD	CCR.3.1 TDD
TRS configuration		1, 2	TRS.2.1 TDD	N/A
PDSCH/PDCCH TCI states		1, 2	TCI.State.2	N/A
OCNG Patterns		1, 2	OP.1	OP.1
SSB		1	SSB.1 FR2	SSB.1 FR2
		2	SSB.2 FR2	SSB.2 FR2
Propagation Condition		1, 2	AWGN	

**Table A.7.6.1.3.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps without DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2
AoA setup		1, 2	Setup 3 defined in A.3.15.3			
$\hat{E}_s / I_{ot}$	dB	1, 2	TBD	TBD	TBD	TBD
$N_{oc}$ Note 2	dBm/15 KHz	1, 2	TBD			
$N_{oc}$ Note 2	dBm/SCS	1	TBD			
		2	TBD			
SS-RSRP	dBm/SCS	1	TBD	TBD	TBD	TBD
		2	TBD	TBD	TBD	TBD
$\hat{E}_s / N_{oc}$	dB	1, 2	TBD	TBD	TBD	TBD
$I_o$	dBm/95.04MHz	1, 2	TBD		TBD	
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

### A.7.6.1.3.2 Test Requirements

In the test, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 3.2s for a UE supporting power class 1,
- 1.92s for a UE supporting power class 2 or 3,
- [1.92s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.7.6.1.4 SA event triggered reporting test with per-UE gaps under DRX

##### A.7.6.1.4.1 Test purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the TDD intra-frequency cell search requirements in clause 9.2.5.1 and 9.2.5.2. Supported test configurations are shown in table A.7.6.1.4.1-1.

**Table A.7.6.1.4.1-1: supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations.

There are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on the same frequency as the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.6.1.4.1-2, A.7.6.1.4.1-3 and A.7.6.1.4.1-4 below.

There are two BWPs configured in Cell 1, BWP1 which contains the cell defining SSB, and BWP2 which does not contain any SSB of Cell 1. During the whole test, BWP2 is always scheduled as the active BWP for the UE.

In the measurement control information a measurement object is configured for the frequency of the PCell, and it is indicated to the UE that event-triggered reporting with Event A3 is used.

The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of Cell 2.

**Table A.7.6.1.4.1-2: General test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps with DRX**

Parameter	Unit	Config	Value		Comment
			Test 1	Test 2	

Active cell		1, 2	PCell (Cell 1)		
Neighbour cell		1, 2	Cell 2		Cell to be identified.
RF Channel Number		1, 2	1: Cell 1 and Cell 2		One TDD carrier frequency is used for the NR cells.
Gap type		1, 2	Per-UE gaps		
Measurement gap repetition periodicity	ms	1, 2	40		
Measurement gap length	ms	1, 2	6		
Measurement gap offset	ms	1, 2	39		
SMTC configuration		1, 2	SMTC.1		
CSI-RS parameters		1, 2	CSI-RS.3.2 TDD		
A3-Offset	dB	1, 2	-6		
CP length		1, 2	Normal		
Hysteresis	dB	1, 2	0		
Time To Trigger	s	1, 2	0		
Filter coefficient		1, 2	0		L3 filtering is not used
DRX		1, 2	DRX.1	DRX.2	DRX related parameters are defined in Table A.7.6.1.2.1-5
Time offset between Cell 1 and Cell 2		1, 2	3 μs		Synchronous EN-DC
Time offset between Cell 2 and Cell 3		1, 2	3 μs		Synchronous cells
T1	s	1, 2	5		
T2	s	1, 2	10	52	

**Table A.7.6.1.4.1-3: NR Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps with DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2
TDD configuration		1, 2			TDDConf.3.1	TDDConf.3.1
Initial BWP configuration		1, 2			DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Active DL BWP configuration		1, 2			DLBWP.1.2	DLBWP.1.1
Active UL BWP configuration		1, 2			ULBWP.1.2	ULBWP.1.1
RLM-RS		1, 2			SCSI-RS	SSB
PDSCH RMC configuration		1, 2			SR.3.1 TDD	N/A
RMSI CORESET RMC configuration		1, 2			CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET RMC configuration		1, 2			CCR.3.1 TDD	CCR.3.1 TDD
TRS configuration		1, 2			TRS.2.1 TDD	N/A
TCI state		1, 2			CSI-RS.Config.0	N/A
OCNG Patterns		1, 2			OP.1	OP.1
SSB		1			SSB.1 FR2	SSB.1 FR2
		2			SSB.2 FR2	SSB.2 FR2
Propagation Condition		1, 2			AWGN	

**Table A.7.6.1.4.1-4: NR OTA Cell specific test parameters for intra-frequency event triggered reporting for SA with TDD PCell in FR2 with per-UE gaps with DRX**

Parameter	Unit	Config	Cell 1		Cell 2	
			T1	T2	T1	T2
AoA setup		1, 2	Setup 1 defined in A.3.15.1			
$\hat{E}_s / I_{ot}$	dB	1, 2	4	-1.46	-Infinity	-1.46
$N_{oc}$ Note 2	dBm/15 KHz	1, 2	-98			
$N_{oc}$ Note 2	dBm/SCS	1	-89			
		2	-86			
SS-RSRP	dBm/SCS	1	-85	-85	-Infinity	-85
		2	-82	-82	-Infinity	-82
$\hat{E}_s / N_{oc}$	dB	1, 2	4	4	-Infinity	4
$I_o$	dBm/95.04MHz	1	-54.56	-52.21	-54.56	-52.21
Note 1: The resources for uplink transmission are assigned to the UE prior to the start of time period T2. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. Note 3: SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						

**Table A.7.6.1.4.1-5: Void****Table A.7.6.1.4.1-6:Void**

#### A.7.6.1.4.2 Test Requirements

In test 1, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 7.2s for a UE supporting power class 1,
- 4.32s for a UE supporting power class 2 or 3,
- 4.32s for a UE supporting power class 4

In test 2, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

- 51.2s for a UE supporting power class 1,
- 30.72s for a UE supporting power class 2 or 3,
- [30.72s] for a UE supporting power class 4

The UE is not required to read the neighbour cell SSB index in this test.

The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled.

The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

## A.7.6.2 Inter-frequency Measurements

### A.7.6.2.1 SA event triggered reporting tests For FR2 without SSB time index detection when DRX is not used (PCell in FR2)

#### A.7.6.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR2 on NR RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.1.1-1, A.7.6.2.1.1-2, and A.7.6.2.1.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.1.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.7.6.2.1.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

Supported test configurations are shown in table A.7.6.2.1.1-1.

**Table A.7.6.2.1.1-1 SA event triggered reporting tests without SSB index reading for FR2-FR2**

Config	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Note 1: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.7.6.2.1.1-1: General test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
NR RF Channel Number		Config 1	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1	NR cell 1 (Pcell)		NR Cell 1 is on NR RF channel number 1.
Neighbour cell		Config 1	NR cell 2		NR cell 2 is on NR RF channel number 2.
Gap Pattern Id		Config 1	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1	39	39	
SMTC-SSB parameters		Config 1	SSB.3 FR2		As specified in clause A.3.10.2
A3-Offset	dB	Config 1	-6		
Hysteresis	dB	Config 1	0		
CP length		Config 1	Normal		
TimeToTrigger	s	Config 1	0		
Filter coefficient		Config 1	0		L3 filtering is not used
DRX		Config 1	OFF		DRX is not used
Time offset between serving and neighbour cells		Config 1	3μs		Synchronous cells.
T1	s	Config 1	5		
T2	s	Config 1	5.2 for PC1; 3.5 for other PC	5.2 for PC1; 3.5 for other PC	

**Table A.7.6.2.1.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 1	
			T1	T2	T1	T2
NR RF Channel Number		Config 1	1		2	
Duplex mode		Config 1	TDD		TDD	
TDD configuration		Config 1	TDDConf.3.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	Config 1	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
BWP configuration	Initial DL BWP	Config 1	DLBWP.0.1		N/A	
	Initial UL BWP		ULBWP.0.1		N/A	
	Dedicated DL BWP		DLBWP.1.1		N/A	
	Dedicated UL BWP		ULBWP.1.1		N/A	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1	OP.1		OP.1	
PDSCH Reference measurement channel		Config 1	SR.3.1 TDD		-	
CORESET Reference Channel		Config 1	CR.3.1 TDD		-	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1	SMTC.1		SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1	120		120	
TRS configuration		Config 1	TRS.2.1 TDD		N/A	
TCI configuration		Config 1	CSI-RS.Config.0		N/A	
EPRE ratio of PSS to SSS		Config 1	0		0	
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS(Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
UE orientation around TBD axis and TBD axis	degrees	Config 1	NA		TBD	
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1	NA		NA	TBD
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz Note5		-98		-98	
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS Note4	Config 1	-89		-89	
SS-RSRP <sup>Note 3</sup>	dBm/S CS Note5	Config 1	-85	-85	-Infinity	-82

$\hat{E}_s / I_{ot}$	dB	Config 1	4	4	-Infinity	7
$\hat{E}_s / N_{oc}$	dB	Config 1	4	4	-Infinity	7
Io <sup>Note3</sup>  Note5	dBm/95 .04 MHz	Config 1	-57.55	-57.55	-Infinity	-56.00
Propagation Condition		Config 1	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>						

### A.7.6.2.1.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

5120 for UE supporting power class 1, or

3200 for UE supporting other power class.

In test 1 and 2 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.7.6.2.2 SA event triggered reporting tests For FR2 without SSB time index detection when DRX is used (PCell in FR2)

#### A.7.6.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR2 on NR RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.2.1-1, A.7.6.2.2.1-2, and A.7.6.2.2.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.2.1-2 is provided for UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.7.6.2.2.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

Supported test configurations are shown in table A.7.6.2.2.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.7.6.2.2.1-1: SA event triggered reporting tests without SSB index reading for FR2-FR2**

Config	Description			
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode			
Note 1: target NR cell has the same SCS, BW and duplex mode as NR serving cell				

**Table A.7.6.2.2.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
NR RF Channel Number		Config 1	1, 2				Two FR1 NR carrier frequencies is used.			
Active cell		Config 1	NR cell 1 (Pcell)				NR Cell 1 is on NR RF channel number 1.			
Neighbour cell		Config 1	NR cell 2				NR cell 2 is on NR RF channel number 2.			
Gap Pattern Id		Config 1	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1	39	39						
SMTC-SSB parameters		Config 1	SSB.3 FR2				As specified in clause A.3.10.2			
A3-Offset	dB	Config 1	-6							
Hysteresis	dB	Config 1	0							
CP length		Config 1	Normal							
TimeToTrigger	s	Config 1	0							
Filter coefficient		Config 1	0				L3 filtering is not used			
DRX		Config 1	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between serving and neighbour cells		Config 1	3μs				Synchronous cells.			
T1	s	Config 1	5							
T2	s	Config 1	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC				

**Table A.7.6.2.2.1-3: Cell specific test parameters for CA inter-frequency event triggered reporting without SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1	1		2	
TDD configuration		Config 1	TDDConf.3.1		TDDConf.3.1	

Duplex mode			Config 1	TDD		TDD			
BW <sub>channel</sub>		MHz	Config 1	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66			
BWP BW		MHz	Config 1	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66			
BWP configuration	Initial DL BWP		Config 1	DLBWP.0.1		N/A			
	Initial UL BWP			ULBWP.0.1		N/A			
	Dedicated DL BWP			DLBWP.1.1		N/A			
	Dedicated UL BWP			ULBWP.1.1		N/A			
OCNG Patterns defined in A.3.2.1.1 (OP.1)			Config 1	OP.1		OP.1			
PDSCH Reference measurement channel			Config 1	SR.3.1 TDD		-			
CORESET Reference Channel			Config 1	CR.3.1 TDD		-			
SMTC configuration defined in A.3.11.1 and A.3.11.2			Config 1	SMTC.1		SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	Config 1		120		120			
TRS configuration		Config 1		TRS.2.1 TDD		N/A			
TCI configuration		Config 1		CSI-RS.Config.0		N/A			
EPRE ratio of PSS to SSS		Config 1	0						
EPRE ratio of PBCH DMRS to SSS									
EPRE ratio of PBCH to PBCH DMRS									
EPRE ratio of PDCCH DMRS to SSS									
EPRE ratio of PDCCH to PDCCH DMRS									
EPRE ratio of PDSCH DMRS to SSS									
EPRE ratio of PDSCH to PDSCH									
EPRE ratio of OCNG DMRS to SSS(Note 1)									
EPRE ratio of OCNG to OCNG DMRS (Note 1)									
UE orientation around TBD axis and TBD axis	degrees	Config 1		NA		TBD			
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1		NA		NA	TBD		
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz Note5			-98		-98			
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS Note4	Config 1		-89		-89			
SS-RSRP <sup>Note 3</sup>	dBm/S CS Note5	Config 1		-85	-85	-Infinity	-82		
$\hat{E}_s / I_{ot}$	dB	Config 1		4	4	-Infinity	7		
$\hat{E}_s / N_{oc}$	dB	Config 1		4	4	-Infinity	7		

Io <sup>Note3</sup>	dBm/95 .04 MHz <sup>Note5</sup>	Config 1	-57.55	-57.55	-Infinity	-56.00				
Propagation Condition		Config 1	AWGN							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.										
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.										
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.										
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.										
Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone										
Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone										

### A.7.6.2.2.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

7680 for UE supporting power class 1, or

4800 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

81920 for UE supporting power class 1, or

1200 for UE supporting other power class. In test 1, 2 , 3 and 4 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCC</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.7.6.2.3 SA event triggered reporting tests For FR2 with SSB time index detection when DRX is not used (PCell in FR2)

#### A.7.6.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR2 on NR RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.3.1-1, A.7.6.2.3.1-2, and A.7.6.2.3.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.3.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.7.6.2.3.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

Supported test configurations are shown in table A.7.6.2.3.1-1.

**Table A.7.6.2.3.1-1: SA event triggered reporting tests with SSB index reading for FR2-FR2**

Config	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode Note 1: target NR cell has the same SCS, BW and duplex mode as NR serving cell

**Table A.7.6.2.3.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
NR RF Channel Number		Config 1	1, 2		Two FR1 NR carrier frequencies is used.
Active cell		Config 1	NR cell 1 (Pcell)		NR Cell 1 is on NR RF channel number 1.
Neighbour cell		Config 1	NR cell 2		NR cell 2 is on NR RF channel number 2.
Gap Pattern Id		Config 1	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1	39	39	
SMTC-SSB parameters		Config 1	SSB.3 FR2		As specified in clause A.3.10.2
A3-Offset	dB	Config 1	-6		
Hysteresis	dB	Config 1	0		
CP length		Config 1	Normal		
TimeToTrigger	s	Config 1	0		
Filter coefficient		Config 1	0		L3 filtering is not used
DRX		Config 1	OFF		DRX is not used
Time offset between serving and neighbour cells		Config 1	3μs		Synchronous cells.
T1	s	Config 1	5		
T2	s	Config 1	7 for PC1; 4.5 for other PC	7 for PC1; 4.5 for other PC	

**Table A.7.6.2.3.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1		1		2
Duplex mode		Config 1		TDD		TDD
TDD configuration		Config 1		TDDConf.3.1		TDDConf.3.1
BW <sub>channel</sub>	MHz	Config 1		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66
BWP BW	MHz	Config 1		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66
BWP configuration	Initial DL BWP	Config 1		DLBWP.0.1		N/A
	Initial UL BWP			ULBWP.0.1		N/A
	Dedicated DL BWP			DLBWP.1.1		N/A
	Dedicated UL BWP			ULBWP.1.1		N/A
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1		OP.1		OP.1
PDSCH Reference measurement channel		Config 1		SR.3.1 TDD		-
CORESET Reference Channel		Config 1		CR.3.1 TDD		-
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1		SMTC.1		SMTC.1
PDSCH/PDCCH subcarrier spacing	kHz	Config 1		120		120
TRS configuration		Config 1		TRS.2.1 TDD		N/A
TCI configuration		Config 1		CSI-RS.Config.0		N/A
EPRE ratio of PSS to SSS		Config 1	0	0	0	0
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS(Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
UE orientation around TBD axis and TBD axis	degrees	Config 1		NA		TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1		NA	NA	TBD
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz Note5			-98		-98
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS Note4	Config 1		-89		-89
SS-RSRP <sup>Note 3</sup>	dBm/S CS Note5	Config 1	-85	-85	-Infinity	-82

$\hat{E}_s / I_{ot}$	dB	Config 1	4	4	-Infinity	7
$\hat{E}_s / N_{oc}$	dB	Config 1	4	4	-Infinity	7
Io <sup>Note3</sup>  Note5	dBm/95 .04 MHz	Config 1	-57.55	-57.55	-Infinity	-56.00
Propagation Condition		Config 1	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>						

#### A.7.6.2.3.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

6720 for UE supporting power class 1, or

160 for UE supporting other power class. In test 1 and 2 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.7.6.2.4 SA event triggered reporting tests For FR2 with SSB time index detection when DRX is used (PCell in FR2)

##### A.7.6.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR2 on NR RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.4.1-1, A.7.6.2.4.1-2, and A.7.6.2.4.1-3. The TCI status for cell2 is defined in table [TBD] and TRS configuration for cell2 is defined in table [TBD].

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.4.1-2 is provided for UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.7.6.2.4.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

Supported test configurations are shown in table A.7.6.2.4.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.7.6.2.4.1-1: SA event triggered reporting tests with SSB index reading for FR2-FR2**

Config	Description			
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode			
Note 1: target NR cell has the same SCS, BW and duplex mode as NR serving cell				

**Table A.7.6.2.4.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment
			Test 1	Test 2	Test 3	Test 4	
NR RF Channel Number		Config 1	1, 2				Two FR1 NR carrier frequencies is used.
Active cell		Config 1	NR cell 1 (Pcell)				NR Cell 1 is on NR RF channel number 1.
Neighbour cell		Config 1	NR cell 2				NR cell 2 is on NR RF channel number 2.
Gap Pattern Id		Config 1	0	13			As specified in clause 9.1.2-1.
Measurement gap offset		Config 1	39	39			
SMTC-SSB parameters		Config 1	SSB.3 FR2				As specified in clause A.3.10.2
A3-Offset	dB	Config 1	-6				
Hysteresis	dB	Config 1	0				
CP length		Config 1	Normal				
TimeToTrigger	s	Config 1	0				
Filter coefficient		Config 1	0				L3 filtering is not used
DRX		Config 1	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3
Time offset between serving and neighbour cells		Config 1	3µs				Synchronous cells.
T1	s	Config 1	5				
T2	s	Config 1	11 for PC1; 6.5 for other PC	108 for PC1; 67 for other PC	11 for PC1; 6.5 for other PC	108 for PC1; 67 for other PC	

**Table A.7.6.2.4.1-3: Cell specific test parameters for CA inter-frequency event triggered reporting with SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1	1		2	
Duplex mode		Config 1	TDD		TDD	
TDD configuration		Config 1	TDDConf.3.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	Config 1	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
BWP BW	MHz	Config 1	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
BWP configuration	Initial DL BWP	Config 1	DLBWP.0.1		N/A	

	Initial UL BWP			ULBWP.0.1	N/A	
	Dedicated DL BWP			DLBWP.1.1	N/A	
	Dedicated UL BWP			ULBWP.1.1	N/A	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1		OP.1	OP.1	
PDSCH Reference measurement channel		Config 1		SR.3.1 TDD	-	
CORESET Reference Channel		Config 1		CR.3.1 TDD	-	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1		SMTC.1	SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1		120	120	
TRS configuration		Config 1		TRS.2.1 TDD	N/A	
TCI configuration		Config 1		CSI-RS.Config.0	N/A	
EPRE ratio of PSS to SSS		Config 1		0	0	
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS(Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
UE orientation around TBD axis and TBD axis	degrees	Config 1		NA	TBD	
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1		NA	NA	TBD
$N_{oc}$ Note2	dBm/15 kHz Note5			-98	-98	
$N_{oc}$ Note2	dBm/S CS Note4	Config 1		-89	-89	
SS-RSRP Note 3	dBm/S CS Note5	Config 1	-85	-85	-Infinity	-82
$\hat{E}_s / I_{ot}$	dB	Config 1	4	4	-Infinity	7
$\hat{E}_s / N_{oc}$	dB	Config 1	4	4	-Infinity	7
$I_0$ Note3	dBm/95 .04 MHz Note5	Config 1	-57.55	-57.55	-Infinity	-56.00
Propagation Condition		Config 1	AWGN			

- |         |  |
|---------|--|
| Note 1: | OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  |
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. |
| Note 3: | SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.   |
| Note 4: | SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  |
| Note 5: | Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone  |
| Note 6: | As observed with 0 dBi gain antenna at the centre of the quiet zone  |

#### A.7.6.2.4.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

10080 for UE supporting power class 1, or

6240 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

107520 for UE supporting power class 1, or

6560 for UE supporting other power class. In test 1, 2 , 3 and 4 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.7.6.2.5 SA event triggered reporting tests for FR2 without SSB time index detection when DRX is not used (PCell in FR1)

##### A.7.6.2.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 2 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.5.1-1, A.7.6.2.5.1-2, and A.7.6.2.5.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.5.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.7.6.2.5.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

Supported test configurations are shown in table A.7.6.2.5.1-1.

**Table A.7.6.2.5.1-1 SA event triggered reporting tests without SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS,
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	100 MHz bandwidth, TDD
3	NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	duplex mode
Note: The UE is only required to be tested in one of the supported test configurations		

**Table A.7.6.2.5.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
NR RF Channel Number		Config 1,2,3	1, 2		Two NR carrier frequencies is used.
Active cell		Config 1,2,3	NR cell 1 (Pcell)		NR Cell 1 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3	NR cell 2		NR cell 2 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3	39	39	
SMTC-SSB parameters on NR RF Channel 1		Config 1	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3	SSB.2 FR1		As specified in clause A.3.10.1
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3	SSB.3 FR2		As specified in clause A.3.10.2
offsetMO	dB	Config 1,2,3	6		
Hysteresis	dB	Config 1,2,3	0		
a4-Threshold	dBm	Config 1,2,3	TBD		
CP length		Config 1,2,3	Normal		
TimeToTrigger	s	Config 1,2,3	0		
Filter coefficient		Config 1,2,3	0		L3 filtering is not used
DRX		Config 1,2,3	OFF		DRX is not used
Time offset between serving and neighbour cells		Config 1	3ms		Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.
		Config 2,3	3μs		Synchronous cells.
T1	s	Config 1,2,3	5		
T2	s	Config 1,2,3	5.2 for PC1; 3.5 for other PC	5.2 for PC1; 3.5 for other PC	

**Table A.7.6.2.5.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3	1		2	
Duplex mode		Config 1	FDD		TDD	
		Config 2,3	TDD		TDD	
TDD configuration		Config 1	Not Applicable		TDDConf.3.1	
		Config 2	TDDConf.1.1		TDDConf.3.1	

			Config 3	TDDConf.2.1	TDDConf.3.1		
BW <sub>channel</sub>		MHz	Config 1	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66		
			Config 2	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66		
			Config 3	40: N <sub>RB,c</sub> = 106	100: N <sub>RB,c</sub> = 66		
BWP BW		MHz	Config 1	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66		
			Config 2	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66		
			Config 3	40: N <sub>RB,c</sub> = 106	100: N <sub>RB,c</sub> = 66		
BWP configuration	Initial DL BWP		Config 1,2,3	DLBWP.0.1	N/A		
	Initial UL BWP			ULBWP.0.1	N/A		
	Dedicated DL BWP			DLBWP.1.1	N/A		
	Dedicated UL BWP			ULBWP.1.1	N/A		
OCNG Patterns defined in A.3.2.1.1 (OP.1)			Config 1,2,3	OP.1	OP.1		
PDSCH Reference measurement channel			Config 1	SR.1.1 FDD	-		
			Config 2	SR.1.1 TDD			
			Config 3	SR2.1 TDD			
CORESET Reference Channel			Config 1	CR.1.1 FDD	-		
			Config 2	CR.1.1 TDD			
			Config 3	CR2.1 TDD			
SMTC configuration defined in A.3.11.1 and A.3.11.2			Config 1	SMTC.2	SMTC.2		
			Config 2,3	SMTC.1	SMTC.1		
PDSCH/PDCCH subcarrier spacing		kHz	Config 1,2	15	120		
			Config 3	30	120		
EPRE ratio of PSS to SSS			Config 1,2,3	0	0		
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH							
EPRE ratio of OCNG DMRS to SSS(Note 1)							
EPRE ratio of OCNG to OCNG DMRS (Note 1)							
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3	NA		TBD		
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3	NA		NA	TBD	
N <sub>oc</sub> <sup>Note2</sup>	dBm/15 kHz Note5		NA		TBD		
N <sub>oc</sub> <sup>Note2</sup>	dBm/S CS Note4	Config 1,2	NA		NA		
		Config 3	NA		NA		
SS-RSRP <sup>Note 3</sup>		Config 1,2	NA	NA	TBD	TBD	

	dBm/S CS Note5	Config 3	NA	NA	TBD	TBD				
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3	NA	NA	TBD	TBD				
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3	NA	NA	TBD	TBD				
Io <sup>Note3</sup>	dBm/9. 36MHz	Config 1,2	NA	NA	-	-				
	dBm/38 .16MHz	Config 3	NA	NA	-	-				
	dBm/95 .04 MHz Note5	Config 1,2,3	-	-	TBD	TBD				
Propagation Condition		Config 1,2,3	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.									
Note 5:	Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone									
Note 6:	As observed with 0 dBi gain antenna at the centre of the quiet zone									

### A.7.6.2.5.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A4 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

5120 for UE supporting power class 1, or

200 for UE supporting other power class.

In test 1 and 2 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.7.6.2.6 SA event triggered reporting tests for FR2 without SSB time index detection when DRX is used (PCell in FR1)

#### A.7.6.2.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 2 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.6.1-1, A.7.6.2.6.1-2, and A.7.6.2.6.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.6.1-2 is provided for a UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.7.6.2.6.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

Supported test configurations are shown in table A.7.6.2.6.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.7.6.2.6.1-1: SA event triggered reporting tests without SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS,
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	100 MHz bandwidth, TDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.7.6.2.6.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
NR RF Channel Number		Config 1,2,3	1, 2				Two NR carrier frequencies is used.			
Active cell		Config 1,2,3	NR cell 1 (Pcell)				NR Cell 1 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3	NR cell 2				NR cell 2 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3	39	39						
SMTC-SSB parameters on NR RF Channel 1		Config 1	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3	SSB.2 FR1				As specified in clause A.3.10.1			
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3	SSB.3 FR2				As specified in clause A.3.10.2			
offsetMO	dB	Config 1,2,3	6							
Hysteresis	dB	Config 1,2,3	0							
a4-Threshold	dBm	Config 1,2,3	TBD							
CP length		Config 1,2,3	Normal							
TimeToTrigger	s	Config 1,2,3	0							
Filter coefficient		Config 1,2,3	0				L3 filtering is not used			
DRX		Config 1,2,3	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between serving and neighbour cells		Config 1	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		Config 2,3	3μs				Synchronous cells.			
T1	s	Config 1,2,3	5							
T2	s	Config 1,2,3	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC	8 for PC1; 5 for other PC	82 for PC1; 52 for other PC				

**Table A.7.6.2.6.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3	1		2	
Duplex mode		Config 1	FDD		TDD	
		Config 2,3	TDD		TDD	
TDD configuration		Config 1	Not Applicable		TDDConf.3.1	
		Config 2	TDDConf.1.1		TDDConf.3.1	
		Config 3	TDDConf.2.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	Config 1	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 2	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	
		Config 3	40: N <sub>RB,c</sub> = 106		100: N <sub>RB,c</sub> = 66	

BWP BW	MHz	Config 1	10: $N_{RB,c} = 52$	100: $N_{RB,c} = 66$	
		Config 2	10: $N_{RB,c} = 52$	100: $N_{RB,c} = 66$	
		Config 3	40: $N_{RB,c} = 106$	100: $N_{RB,c} = 66$	
BWP configuration	Initial DL BWP	Config 1,2,3	DLBWP.0.1	N/A	
	Initial UL BWP		ULBWP.0.1	N/A	
	Dedicated DL BWP		DLBWP.1.1	N/A	
	Dedicated UL BWP		ULBWP.1.1	N/A	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3	OP.1	OP.1	
PDSCH Reference measurement channel		Config 1	SR.1.1 FDD	-	
		Config 2	SR.1.1 TDD		
		Config 3	SR2.1 TDD		
CORESET Reference Channel		Config 1	CR.1.1 FDD	-	
		Config 2	CR.1.1 TDD		
		Config 3	CR2.1 TDD		
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1	SMTC.2	SMTC.2	
		Config 2,3	SMTC.1	SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	15	120	
		Config 3	30	120	
EPRE ratio of PSS to SSS		Config 1,2,3	0	0	
EPRE ratio of PBCH DMRS to SSS					
EPRE ratio of PBCH to PBCH DMRS					
EPRE ratio of PDCCH DMRS to SSS					
EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH					
EPRE ratio of OCNG DMRS to SSS (Note 1)					
EPRE ratio of OCNG to OCNG DMRS (Note 1)					
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3	NA	TBD	
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3	NA	NA	TBD
$N_{oc}$ Note2	dBm/15 kHz Note5		NA	TBD	
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2	NA	TBD	
		Config 3	NA	TBD	
SS-RSRP Note3	dBm/S CS Note5	Config 1,2	NA	TBD	TBD
		Config 3	NA	TBD	TBD
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3	NA	NA	TBD
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3	NA	NA	TBD

Io <sup>Note3</sup>	dBm/9. 36MHz	Config 1,2	NA	NA	-	-
	dBm/38 .16MHz	Config 3	NA	NA	-	-
	dBm/95 .04 MHz Note5	Config 1,2,3	-	-	TBD	TBD
Propagation Condition		Config 1,2,3	AWGN			
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>						

#### A.7.6.2.6.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

7680 for UE supporting power class 1, or

4800 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

81920 for UE supporting power class 1, or

51200 for UE supporting other power class.

In test 1, 2 , 3 and 4 UE is not required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.7.6.2.7 SA event triggered reporting tests for FR2 with SSB time index detection when DRX is not used (PCell in FR1)

##### A.7.6.2.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 2 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.7.1-1, A.7.6.2.7.1-2, and A.7.6.2.7.1-3.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.7.1-2 is provided for a UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #13 as defined in Table A.7.6.2.7.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

Supported test configurations are shown in table A.7.6.2.7.1-1.

**Table A.7.6.2.7.1-1: SA event triggered reporting tests with SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.7.6.2.7.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
NR RF Channel Number		Config 1,2,3	1, 2		Two NR carrier frequencies is used.
Active cell		Config 1,2,3	NR cell 1 (Pcell)		NR Cell 1 is on NR RF channel number 1.
Neighbour cell		Config 1,2,3	NR cell 2		NR cell 2 is on NR RF channel number 2.
Gap Pattern Id		Config 1,2,3	0	13	As specified in clause 9.1.2-1.
Measurement gap offset		Config 1,2,3	39	39	
SMTC-SSB parameters on NR RF Channel 1		Config 1	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3	SSB.2 FR1		As specified in clause A.3.10.1
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3	SSB.3 FR2		As specified in clause A.3.10.2
offsetMO	dB	Config 1,2,3	6		
Hysteresis	dB	Config 1,2,3	0		
a4-Threshold	dBm	Config 1,2,3,4,5,6	TBD		
CP length		Config 1,2,3	Normal		
TimeToTrigger	s	Config 1,2,3	0		
Filter coefficient		Config 1,2,3	0		L3 filtering is not used
DRX		Config 1,2,3	OFF		DRX is not used
Time offset between serving and neighbour cells		Config 1	3ms		Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.
		Config 2,3	3μs		Synchronous cells.
T1	s	Config 1,2,3	5		
T2	s	Config 1,2,3	7 for PC1; 4.5 for other PC	7 for PC1; 4.5 for other PC	

**Table A.7.6.2.7.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3	1		2	
Duplex mode		Config 1	FDD		TDD	
		Config 2,3	TDD		TDD	
TDD configuration		Config 1	Not Applicable		TDDConf.3.1	
		Config 2	TDDConf.1.1		TDDConf.3.1	
		Config 3	TDDConf.2.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	Config 1	10: N <sub>RBC</sub> = 52		100: N <sub>RBC</sub> = 66	
		Config 2	10: N <sub>RBC</sub> = 52		100: N <sub>RBC</sub> = 66	
		Config 3	40: N <sub>RBC</sub> = 106		100: N <sub>RBC</sub> = 66	
BWP BW	MHz	Config 1	10: N <sub>RBC</sub> = 52		100: N <sub>RBC</sub> = 66	
		Config 2	10: N <sub>RBC</sub> = 52		100: N <sub>RBC</sub> = 66	
		Config 3	40: N <sub>RBC</sub> = 106		100: N <sub>RBC</sub> = 66	
BWP configuration	Initial DL BWP	Config 1,2,3	DLBWP.0.1		N/A	
	Initial UL BWP		ULBWP.0.1		N/A	
	Dedicated DL BWP		DLBWP.1.1		N/A	
	Dedicated UL BWP		ULBWP.1.1		N/A	
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3	OP.1		OP.1	
PDSCH Reference measurement channel		Config 1	SR.1.1 FDD		-	
		Config 2	SR.1.1 TDD		-	
		Config 3	SR.2.1 TDD		-	
CORESET Reference Channel		Config 1	CR.1.1 FDD		-	
		Config 2	CR.1.1 TDD		-	
		Config 3	CR.2.1 TDD		-	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1	SMTC.2		SMTC.2	
		Config 2,3	SMTC.1		SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	15		120	
		Config 3	30		120	
EPRE ratio of PSS to SSS		Config 1,2,3	0		0	
EPRE ratio of PBCH DMRS to SSS			0		0	
EPRE ratio of PBCH to PBCH DMRS			0		0	
EPRE ratio of PDCCH DMRS to SSS			0		0	
EPRE ratio of PDCCH to PDCCH DMRS			0		0	
EPRE ratio of PDSCH DMRS to SSS			0		0	
EPRE ratio of PDSCH to PDSCH			0		0	
EPRE ratio of OCNG DMRS to SSS (Note 1)			0		0	
EPRE ratio of OCNG to OCNG DMRS (Note 1)			0		0	

UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3	NA	TBD	
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3	NA	NA	TBD
$N_{oc}$ Note2	dBm/15 kHz Note5		NA	NA	
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2	NA	NA	
		Config 3	NA	NA	
SS-RSRP Note 3	dBm/S CS Note5	Config 1,2	NA	NA	TBD
		Config 3	NA	NA	TBD
$\hat{E}_s / I_{ot}$	dB	Config 1,2,3	NA	NA	TBD
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3	NA	NA	TBD
Io Note3	dBm/9.36MHz	Config 1,2	NA	NA	-
	dBm/38.16MHz	Config 3	NA	NA	-
	dBm/95.04 MHz Note5	Config 1,2,3	-	-	TBD
Propagation Condition		Config 1,2,3	AWGN		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 6: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>					

### A.7.6.2.7.2 Test Requirements

In test 1 with per-UE gap and in test 2 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X ms from the beginning of time period T2, where X is

6720 for UE supporting power class 1, or

4160 for UE supporting other power class.

In test 1 and 2 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**A.7.6.2.8 SA event triggered reporting tests for FR2 with SSB time index detection when DRX is used (PCell in FR1)**

**A.7.6.2.8.1 Test Purpose and Environment**

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR1 on NR RF channel 2 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.8.1-1, A.7.6.2.8.1-2, and A.7.6.2.8.1-3.

In test 1&2 measurement gap pattern configuration # 0 as defined in Table A.7.6.2.8.1-2 is provided for a UE that does not support per-FR gap and in test 3&4 measurement gap pattern configuration #13 as defined in Table A.7.6.2.8.1-2 is provided for UE that support per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 3.

Supported test configurations are shown in table A.7.6.2.8.1-1.

UE needs to be provided at least once every 500ms with new Timing Advance Command MAC control element to restart the Time alignment timer to keep UE uplink time alignment. Furthermore UE is allocated with PUSCH resource at every DRX cycle.

**Table A.7.6.2.8.1-1: SA event triggered reporting tests with SSB index reading for FR1-FR2**

Config	Description of serving cell	Description of target cell
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS,
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	100 MHz bandwidth, TDD duplex mode
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.7.6.2.8.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
NR RF Channel Number		Config 1,2,3	1, 2				Two NR carrier frequencies is used.			
Active cell		Config 1,2,3	NR cell 1 (Pcell)				NR Cell 1 is on NR RF channel number 1.			
Neighbour cell		Config 1,2,3	NR cell 2				NR cell 2 is on NR RF channel number 2.			
Gap Pattern Id		Config 1,2,3	0	13			As specified in clause 9.1.2-1.			
Measurement gap offset		Config 1,2,3	39	39						
SMTC-SSB parameters on NR RF Channel 1		Config 1	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 2	SSB.1 FR1				As specified in clause A.3.10.1			
		Config 3	SSB.2 FR1				As specified in clause A.3.10.1			
SMTC-SSB parameters on NR RF Channel 2		Config 1,2,3	SSB.3 FR2				As specified in clause A.3.10.2			
offsetMO	dB	Config 1,2,3	6							
Hysteresis	dB	Config 1,2,3	0							
a4-Threshold	dBm	Config 1,2,3	TBD							
CP length		Config 1,2,3	Normal							
TimeToTrigger	s	Config 1,2,3	0							
Filter coefficient		Config 1,2,3	0				L3 filtering is not used			
DRX		Config 1,2,3	DRX .1	DRX .2	DRX .1	DRX .2	As specified in clause A.3.3			
Time offset between serving and neighbour cells		Config 1	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		Config 2,3	3μs				Synchronous cells.			
T1	s	Config 1,2,3	5							
T2	s	Config 1,2,3	11 for PC1; 6.5 for other PCT BD	108 for PC1; 67 for other PCT BD	11 for PC1; 6.5 for other PCT BD	108 for PC1; 67 for other PCT BD				

**Table A.7.6.2.8.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 with SSB time index detection**

Parameter	Unit	Test configuration	Cell 1		Cell 2	
			T1	T2	T1	T2
NR RF Channel Number		Config 1,2,3	1		2	
Duplex mode		Config 1	FDD		TDD	
		Config 2,3	TDD		TDD	
TDD configuration		Config 1	Not Applicable		TDDConf.3.1	
		Config 2	TDDConf.1.1		TDDConf.3.1	
		Config 3	TDDConf.2.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	Config 1	10: N <sub>RB,c</sub> = 52		100: N <sub>RB,c</sub> = 66	

		Config 2	10: $N_{RB,c} = 52$	100: $N_{RB,c} = 66$
		Config 3	40: $N_{RB,c} = 106$	100: $N_{RB,c} = 66$
BWP BW	MHz	Config 1	10: $N_{RB,c} = 52$	100: $N_{RB,c} = 66$
		Config 2	10: $N_{RB,c} = 52$	100: $N_{RB,c} = 66$
		Config 3	40: $N_{RB,c} = 106$	100: $N_{RB,c} = 66$
BWP configuration	Initial DL BWP	Config 1,2,3	DLBWP.0.1	N/A
	Initial UL BWP		ULBWP.0.1	N/A
	Dedicated DL BWP		DLBWP.1.1	N/A
	Dedicated UL BWP		ULBWP.1.1	N/A
OCNG Patterns defined in A.3.2.1.1 (OP.1)		Config 1,2,3	OP.1	OP.1
PDSCH Reference measurement channel		Config 1	SR.1.1 FDD	-
		Config 2	SR.1.1 TDD	
		Config 3	SR2.1 TDD	
CORESET Reference Channel		Config 1	CR.1.1 FDD	-
		Config 2	CR.1.1 TDD	
		Config 3	CR2.1 TDD	
SMTC configuration defined in A.3.11.1 and A.3.11.2		Config 1	SMTC.2	SMTC.2
		Config 2,3	SMTC.1	SMTC.1
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2	15	120
		Config 3	30	120
EPRE ratio of PSS to SSS		Config 1,2,3	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS(Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
UE orientation around TBD axis and TBD axis	degrees	Config 1,2,3	NA	TBD
Relative difference in angle of arrival of cell 3 relative to cell 2	degrees	Config 1,2,3	NA	NA
$N_{oc}$ Note2	dBm/15 kHz Note5		NA	NA
$N_{oc}$ Note2	dBm/S CS Note4	Config 1,2	NA	NA
		Config 3	NA	NA
SS-RSRP Note3	dBm/S CS Note5	Config 1,2	NA	TBD
		Config 3	NA	TBD

$\hat{E}_s / I_{ot}$	dB	Config 1,2,3	NA	NA	TBD	TBD				
$\hat{E}_s / N_{oc}$	dB	Config 1,2,3	NA	NA	TBD	TBD				
Io <sup>Note3</sup>	dBm/9. 36MHz	Config 1,2	NA	NA	-	-				
	dBm/38 .16MHz	Config 3	NA	NA	-	-				
	dBm/95 .04 MHz Note5	Config 1,2,3	-	-	TBD	TBD				
Propagation Condition		Config 1,2,3	AWGN							
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.									
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.									
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.									
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.									
Note 5:	Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone									
Note 6:	As observed with 0 dBi gain antenna at the centre of the quiet zone									

### A.7.6.2.8.2 Test Requirements

In test 1 with per-UE gap and in test 3 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X1 ms from the beginning of time period T2, where X1 is

10080 for UE supporting power class 1, or

6240 for UE supporting other power class.

In test 2 with per-UE gap and in test 4 with per-FR gap, the UE shall send one Event A3 triggered measurement report, with a measurement reporting delay less than X2 ms from the beginning of time period T2, where X2 is

107520 for UE supporting power class 1, or

66560 for UE supporting other power class.

In test 1, 2, 3 and 4 UE is required to report SSB time index. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to 2xTTI<sub>DCCH</sub> higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.7.6.3 L1-RSRP measurement for beam reporting

#### A.7.6.3.1 SSB based L1-RSRP measurement when DRX is not used

Editor's Note: to be added based on A.5.6.3.1.

#### A.7.6.3.2 SSB based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.7.6.3.1.

### A.7.6.3.3 CSI-RS based L1-RSRP measurement when DRX is not used

Editor's Note: to be added based on A.5.6.3.3.

### A.7.6.3.4 CSI-RS based L1-RSRP measurement when DRX is used

Editor's Note: to be added based on A.7.6.3.3.

## A.7.7 Measurement Performance requirements

### A.7.7.1 SS-RSRP

#### A.7.7.1.1 SA intra-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

##### A.7.7.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 10.1.2.1.1 and 10.1.2.1.1 for intra-frequency measurements.

##### A.7.7.1.1.2 Test parameters

In this set of test cases all cells are on the same carrier frequency. Supported test configurations are shown in table A.7.7.1.1.2-1. Both absolute and relative accuracy of SS-RSRP intra frequency measurements are tested by using the parameters in Table A.7.7.1.1.2-2 and A.7.7.1.1.2-3. In all test cases, Cell 1 is the PCell and Cell 2 the target cell. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.7.7.1.1.2-1: SS-RSRP Intra frequency SS-RSRP supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.7.7.1.1.2-2: SS-RSRP Intra frequency general test parameters**

Parameter	Unit	Test 1		Test 2		Test 3							
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2						
Cell ID		TBD	TBD	TBD	TBD	TBD	TBD						
SSB ARFCN		freq1		freq1		freq1							
Duplex mode		TDD		TDD		TDD							
TDD configuration		TDDConf.3.1		TDDConf.3.1		TDDConf.3.1							
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66							
Downlink initial BWP configuration		DLBW P.0.1	-	DLBW P.0.1	-	DLBW P.0.1	-						
Downlink dedicated BWP configuration		DLBW P.1.1	-	DLBW P.1.1	-	DLBW P.1.1	-						
Uplink initial BWP configuration		ULBW P.0.1	-	ULBW P.0.1	-	ULBW P.0.1	-						
Uplink dedicated BWP configuration		ULBW P.1.1	-	ULBW P.1.1	-	ULBW P.1.1	-						
DRX cycle configuration		Not applicable	-	Not applicable	-	Not applicable	-						
TRS configuration		TRS.2.1 TDD	-	TRS.2.1 TDD	-	TRS.2.1 TDD	-						
TCI state		TCI.State.0	-	TCI.State.0	-	TCI.State.0	-						
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD	-	SR.3.1 TDD	-						
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-						
Control channel RMC		CCR.3.1 TDD	-	CCR.3.1 TDD	-	CCR.3.1 TDD	-						
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1						
SSB configuration		SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB.1 FR2						
SMTC configuration		SMTC.1	SMTC.1	SMTC.1	SMTC.1	SMTC.1	SMTC.1						
Time offset with Cell 1	μs	-	3	-	3	-	3						
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120						
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0						
EPRE ratio of PBCH_DMRS to SSS													
EPRE ratio of PBCH to PBCH_DMRS													
EPRE ratio of PDCCH_DMRS to SSS													
EPRE ratio of PDCCCH to PDCCH_DMRS													
EPRE ratio of PDSCH_DMRS to SSS													
EPRE ratio of PDSCH to PDSCH_DMRS													
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>													
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>													
$\hat{E}_s / N_{oc}$	dB	6	1	6	1	3	-1						
Propagation conditions		AWGN											
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.												
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.												
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.												
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.												

**Table A.7.7.1.1.2-3: SS-RSRP Intra frequency OTA related test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
Angle of arrival configuration		According to section TBD		According to section TBD		According to section TBD	
$N_{oc}$ <sup>Note1</sup>	dBm/15kHz <sup>N</sup> <sub>ote4</sub>	TBD	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
$N_{oc}$ <sup>Note1</sup>	dBm/SCS <sup>Note</sup> <sub>3</sub>	TBD	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
SS-RSRP <sup>Note2</sup>	dBm/SCS <sup>Note4</sup>	TBD	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
$\hat{E}_s/I_{ot}$	dB	TBD	TBD	TBD	TBD	TBD	TBD
$Io$ <sup>Note2</sup>	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD	TBD	TBD	TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
						TBD	TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p>							

### A.7.7.1.1.3 Test Requirements

The SS-RSRP measurement accuracy shall fulfil the absolute accuracy requirements in clauses 10.1.3.1.1 and relative accuracy requirements in clause 10.1.3.1.2.

### A.7.7.1.2 SA inter-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

#### A.7.7.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 10.1.5.1.1 and 10.1.5.1.2 for intra frequency measurements with the testing configurations for NR cells in Table A.7.7.1.2.1-1.

**Table A.7.7.1.2.1-1: Applicable NR configurations for FR2 inter-frequency SS-RSRP accuracy test**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

### A.7.7.1.2.2 Test parameters

In this set of test cases there are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on a different frequency than the PCell. The test parameters and applicability for Cell 1 are defined in A.3.7.2. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.7.1.2.2-1 and Table A.7.7.1.2.2-2 below. Both absolute and relative accuracy of RSRP intra frequency measurements are tested by using the parameters in Table A.7.7.1.2.2-1 and Table A.7.7.1.2.2-1. The inter frequency measurements are supported by a measurement gap.

**Table A.7.7.1.2.2-1: SS-RSRP inter-frequency test parameters**

Parameter	Config	Unit	Test 1		Test 2	
			Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN	1~2		freq1	freq2	freq1	freq2
BW <sub>channel</sub>	1~2		100: $N_{RB,c} = 66$		100: $N_{RB,c} = 66$	
Duplex mode	1~2		TDD	TDD	TDD	TDD
TDD configuration	1~2		TDDConf.3.1		TDDConf.3.1	
PDSCH Reference measurement channel	1~2		SR.3.1 TDD	-	SR.3.1 TDD	-
RMSI CORESET Reference Channel	1~2		CR.3.1 TDD	-	CR.3.1 TDD	-
Dedicated CORESET Reference Channel	1~2		CCR.3.1 TDD	-	CCR.3.1 TDD	-
SSB configuration	1		SSB.1 FR2		SSB.1 FR2	
	2		SSB.2 FR2		SSB.2 FR2	
OCNG Patterns	1~2		OP.1		OP.1	
Initial BWP Configuration	1~2		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1	
Dedicated BWP configuration	1~2		DLBWP.1.3 ULBWP.1.3		DLBWP.1.3 ULBWP.1.3	
TRS Configuration	1~2		TRS.2.1 TDD		TRS.2.1 TDD	
PDCCH/PDSCH TCI Configuration	1~2		TCI.State.2		TCI.State.2	
SMTC configuration	1~2		SMTC.1		SMTC.1	
Time offset between Cell 2 and Cell 3	1~2	μs	3		3	
EPRE ratio of PSS to SSS	1~2	dB	0	0	0	0
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH DMRS						
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>						
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>						

Propagation condition	1~2	-	AWGN	AWGN
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				

**Table A.7.7.1.2.2-2: SS-RSRP inter-frequency OTA test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>			
			Cell 1	Cell 2	Cell 1	Cell 2		
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.			
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.			
	3,4		TBD		n.a.			
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.			
SS-RSRP <sup>Note 1</sup>	1,2	dBm/SCS	TBD		As in Table B.2.3-2			
	3,4		TBD		As in Table B.2.3-2			
$I_0^{Note 1}$	1~4	dBm/95.04 MHz	TBD		SS-RSRP+28.98			
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.			
Note 1: RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								
Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.								
Note 3: No additional noise is added by the test system in Test 2.								

### A.7.7.1.2.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 1 and Cell 2 shall fulfil the Abosute requirements in clauses 10.1.5.1.1 and Relative requirements in clause 10.1.5.1.2.

### A.7.7.1.3 SA inter-frequency measurement accuracy with FR1 serving cell and FR2 target cell

#### A.7.7.1.3.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 10.1.5.1.1 for inter-frequency measurements with the testing configurations in Table A.7.7.1.3.1-1.

**Table A.7.7.1.3.1-1: Applicable NR configurations for FR1 inter-frequency SS-RSRP accuracy test**

Config	Description of serving cell	Description of target cell
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode	
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode	

### A.7.7.1.3.2 Test parameters

In this set of test cases there are two cells in the test, PCell (Cell 1) and a FR2 neighbour cell (Cell 2) on a different frequency than the PCell. The test parameters for the Cell 1 and Cell 2 are given in Table A.7.7.1.3.2-1 and Table A.7.7.1.3.2-2 below. Both absolute and relative accuracy of RSRP intra frequency measurements are tested by using the parameters in Table A.7.7.1.3.2-1 and Table A.7.7.1.3.2-2. The inter frequency measurements are supported by a measurement gap.

**Table A.7.7.1.3.2-1: SS-RSRP inter-frequency test parameters**

Parameter	Config	Unit	Test 1		Test 2			
			Cell 1	Cell 2	Cell 1	Cell 2		
SSB ARFCN	1~3		freq1	freq2	freq1	freq2		
BW <sub>channel</sub>	1	MHz	10: N <sub>RB,c</sub> = 52	N <sub>RB,c</sub> = 66	10: N <sub>RB,c</sub> = 52	100: N <sub>RB,c</sub> = 66		
	2		10: N <sub>RB,c</sub> = 52		10: N <sub>RB,c</sub> = 52			
	3		40: N <sub>RB,c</sub> = 106		40: N <sub>RB,c</sub> = 106			
Duplex mode	1		FDD	TDD	FDD	TDD		
	2		TDD		TDD			
	3		TDD		TDD			
TDD configuration	1		N/A	TDDConf. 3.1	N/A	TDDConf. 3.1		
	2		TDDConf. 1.1		TDDConf. 1.1			
	3		TDDConf. 2.1		TDDConf. 2.1			
PDSCH Reference measurement channel	1		SR.1.1 FDD	-	SR.1.1 FDD	-		
	2		SR.1.1 TDD		SR.1.1 TDD			
	3		SR.2.1 FDD		SR.2.1 FDD			
RMSI CORESET Reference Channel	1		CR.1.1 FDD	-	CR.1.1 FDD	-		
	2		CR.1.1 TDD		CR.1.1 TDD			
	3		CR.2.1 FDD		CR.2.1 FDD			
Dedicated CORESET Reference Channel	1		CCR.1.1 FDD	-	CCR.1.1 FDD	-		
	2		CCR.1.1 TDD		CCR.1.1 TDD			
	3		CCR.2.1 TDD		CCR.2.1 TDD			
SSB configuration	1		SSB.1 FR1	SSB.1 FR2	SSB.1 FR1	SSB.1 FR2		
	2		SSB.1 FR1		SSB.1 FR1			
	3		SSB.2 FR1		SSB.2 FR1			
OCNG Patterns	1~3		OP.1		OP.1			
Initial BWP Configuration	1~3		DLBWP.0.1 ULBWP.0.1		DLBWP.0.1 ULBWP.0.1			
Dedicated BWP configuration	1~3		DLBWP.1.3 ULBWP.1.3		DLBWP.1.3 ULBWP.1.3			
TRS Configuration	1~3		TRS.2.1 TDD		TRS.2.1 TDD			
PDCCH/PDSCH TCI Configuration	1~3		TCI.State.2		TCI.State.2			
SMTC configuration	1~3		SMTC.1		SMTC.1			
Time offset between Cell 2 and Cell 3	1~3	μs	3		3			
EPRE ratio of PSS to SSS	1~3	dB	0	0	0	0		
EPRE ratio of PBCH DMRS to SSS								
EPRE ratio of PBCH to PBCH DMRS								
EPRE ratio of PDCCH DMRS to SSS								

EPRE ratio of PDCCH to PDCCH DMRS					
EPRE ratio of PDSCH DMRS to SSS					
EPRE ratio of PDSCH to PDSCH DMRS					
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>					
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>					
Propagation condition	1~3	-	AWGN	AWGN	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					

**Table A.7.7.1.3.2-2: SS-RSRP inter-frequency OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>	
			Cell 1	Cell 2	Cell 1	Cell 2
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.	
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.	
	3,4		TBD		n.a.	
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.	
SS-RSRP <sup>Note 1</sup>	1,2	dBm/SC S	TBD		As in Table B.2.3-2	
	3,4		TBD		As in Table B.2.3-2	
$I_0$ <sup>Note 1</sup>	1~4	dBm/ 95.04M Hz	TBD		SS-RSRP+28.98	
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.	
Note 1: RSRP and $I_0$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port. Note 3: No additional noise is added by the test system in Test 2.						

### A.7.7.1.3.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 2 shall fulfil the Absolute requirement in clause 10.1.5.1.1.

## A.7.7.2 SS-RSRQ

### A.7.7.2.1 SA intra-frequency measurement accuracy with FR2 serving cell and FR2 target cell

#### A.7.7.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in Clause 10.1.8.1.1.

### A.7.7.2.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configurations are shown in Table A.7.7.2.1.2-1. . The absolute accuracy of SS-RSRQ intra-frequency measurement is test by using the parameters in Table A.7.7.2.1.2-2 and Table A.7.7.2.1.2-3. In all test cases, Cell 1 is the PCell and Cell 2 the target cell.

**Table A.7.7.2.1.2-1: SS-RSRQ Intra frequency SS-RSRQ supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.7.7.2.1.2-2: SS-RSRQ Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN		Freq1		Freq1		Freq1	

Duplex mode			TDD		TDD		TDD								
TDD configuration			TDDConf.3.1		TDDConf.3.1		TDDConf.3.1								
BW <sub>channel</sub>		MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66								
BWP configuration	Initial DL BWP		DLBWP.0.1		DLBWP.1.1		ULBWP.0.1								
	Dedicated DL BWP		ULBWP.1.1												
	Initial UL BWP														
	Dedicated UL BWP														
TRS configuration			TRS.2. 1 TDD		TRS.2. 1 TDD		TRS.2. 1 TDD								
TCI state			TCI.Sta te.0		TCI.Sta te.0		TCI.Sta te.0								
PDSCH Reference measurement channel			SR.3.1 TDD		SR.3.1 TDD		SR.3.1 TDD								
RMSI CORESET Reference Channel			CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD								
Control channel RMC			CCR.3. 1 TDD	-	CCR.3. 1 TDD	-	CCR.3. 1 TDD	-							
OCNG Patterns			OP.1	OP.1	OP.1	OP.1	OP.1	OP.1							
SMTC configuration			SMTC.1												
SSB configuration			SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	SSB. 1 FR2	SSB.1 FR2	SSB.1 FR2							
PDSCH/PDCCH subcarrier spacing		kHz	120	120	120	120	120	120							
SS-RSSI-Measurement			Not Applicable												
EPRE ratio of PSS to SSS		dB	0	0	0	0	0	0							
EPRE ratio of PBCH_DMRS to SSS															
EPRE ratio of PBCH to PBCH_DMRS															
EPRE ratio of PDCCH_DMRS to SSS															
EPRE ratio of PDCCH to PDCCH_DMRS															
EPRE ratio of PDSCH_DMRS to SSS															
EPRE ratio of PDSCH to PDSCH_DMRS															
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>															
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>															
$\hat{E}_s/N_{oc}$		dB	3	3	-2.9	-2.9	-4	-4							
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p>															

Table A.7.7.2.1.2-3: SS-RSRQ Intra frequency OTA related test parameters

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
Angle of arrival configuration		According to clause A.3.15.1		According to clause A.3.15.1		According to clause A.3.15.1	
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz <sub>ote4</sub>	-95	-100		-118	
	NR_TDD_FR2_B					-117.5	
	NR_TDD_FR2_F					-115.5	
	NR_TDD_FR2_G					-115	
	NR_TDD_FR2_T					-109	
	NR_TDD_FR2_Y					-106	
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/SCS <sub>ote3</sub>	-86	-91		-109	
	NR_TDD_FR2_B					-108.5	
	NR_TDD_FR2_F					-106.5	

	NR_TDD_FR2_G					-106		
	NR_TDD_FR2_T					-100		
	NR_TDD_FR2_Y					-97		
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS Note4	-83	-83	-94	-94	-113	
	NR_TDD_FR2_B						-112.5	
	NR_TDD_FR2_F						-110.5	
	NR_TDD_FR2_G						-110	
	NR_TDD_FR2_T						-104	
	NR_TDD_FR2_Y						-101	
SS-RSRQ <sup>Note2</sup>	NR_TDD_FR2_A	dB	-14.77	-14.77	-16.76	-16.76	17.34T BD	
	NR_TDD_FR2_B						17.34T BD	
	NR_TDD_FR2_F							
	NR_TDD_FR2_G							
	NR_TDD_FR2_T							
	NR_TDD_FR2_Y							
$\hat{E}_s / I_{ot}$		dB	-1.76	-1.76	-4.7	-4.7	-5.46	-5.46
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	-50	-59			-77.47	
	NR_TDD_FR2_B						-76.97	
	NR_TDD_FR2_F						-74.97	
	NR_TDD_FR2_G						-74.47	
	NR_TDD_FR2_T						-68.47	
	NR_TDD_FR2_Y						-65.47	
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in clause 3.5.2.</p>								

#### A.7.7.2.1.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in clause 10.1.8.1.1.

#### A.7.7.2.2 SA Inter-frequency measurement accuracy with FR2 serving cell and FR2 TDD target cell

##### A.7.7.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 10.1.9.1.1 and 10.1.9.1.2 for inter-frequency measurement.

##### A.7.7.2.2.2 Test Parameters

In this test case the two cells (i.e., Cell 1 and Cell 2) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.7.7.2.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-RSRQ inter-frequency measurement are tested by using test parameters in Table A.7.7.2.2.2-2 and Table A.7.7.2.2.2-3.. In all test cases, Cell 1 is the PCell and Cell 2 is target cell.

**Table A.5.7.2.2.2-1: SS-RSRQ Inter frequency SS-RSRQ supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.5.7.2.2.2-2: SS-RSRQ Inter frequency general test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN		freq1	freq2	freq1	freq2	freq1	freq2
Duplex mode		TDD		TDD		TDD	
TDD configuration			TDDConf.3.1		TDDConf.3.1		TDDConf.3.1
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD	-	SR.3.1 TDD	-
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1
SMTC configuration		SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0
EPRE ratio of PBCH_DMRS to SSS							
EPRE ratio of PBCH to PBCH_DMRS							
EPRE ratio of PDCCH_DMRS to SSS							
EPRE ratio of PDCCH to PDCCH_DMRS							
EPRE ratio of PDSCH_DMRS to SSS							
EPRE ratio of PDSCH to PDSCH_DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
$\hat{E}_s / N_{oc}$	dB	-1.75	-1.75	-1.75	-1.75	3	-1.75
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3:	SS-RSRQ, SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						
Note 4:	SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.						

**Table A.5.7.2.2.2-3: SS-RSRQ Inter frequency OTA related test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
AoA setup		Setup 1		Setup 1		Setup 1	
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	NA	0	NA	0	NA	0
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/15kHz <sup>Note 4</sup>	-95	-100			-118
							-117.5
							-115.5
							-115
							-109
							-106
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS <sup>Note 3</sup>	-86	-91			-109
							-108.5
							-106.5
							-106
							-100
							-97
SS-RSRP <sup>Note 2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F	dBm/SCS <sup>Note 4</sup>	-83	-83	-94	-94	-113
							-112.5
							-110.5

	NR_TDD_FR2_G					-110	-110	
	NR_TDD_FR2_T					-104	-104	
	NR_TDD_FR2_Y					-101	-101	
SS-RSRQ <sup>Note2</sup>	NR_TDD_FR2_A	dB	TBD	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B							
	NR_TDD_FR2_F							
	NR_TDD_FR2_G							
	NR_TDD_FR2_T							
	NR_TDD_FR2_Y							
$\hat{E}_s/I_{ot}$		dB	-1.75	-1.75	-1.75	-1.75	3	-1.75
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	-50	-59			-77.47	
	NR_TDD_FR2_B						-76.97	
	NR_TDD_FR2_F						-74.97	
	NR_TDD_FR2_G						-74.47	
	NR_TDD_FR2_T						-68.47	
	NR_TDD_FR2_Y						-65.47	
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in clause 3.5.2.</p>								

#### A.7.7.2.2.3 Test Requirements

The SS-RSRQ measurement accuracy shall fulfil the requirements in clause 10.1.9.1.1 and 10.1.9.1.2.

### A.7.7.3 SS-SINR

#### A.7.7.3.1 SA intra-frequency case measurement accuracy with FR2 serving cell and FR2 target cell

##### A.7.7.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in Clause 10.1.13.1.1.

##### A.7.7.3.1.2 Test Parameters

In this test case all cells are on the same carrier frequency. Supported test configurations are shown in Table A.7.7.3.1.2-1.. The absolute accuracy of SS-SINR intra-frequency measurement is test by using the parameters in Table A.7.7.3.1.2-2 and Table A.7.7.3.1.2-3. In all test cases, Cell 1 is the PCell and Cell 2 the target cell. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.7.7.3.1.2-1: SS-SINR Intra frequency SS-SINR supported test configurations**

Configuration	Description
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.7.7.3.1.2-2: SS-SINR Intra frequency test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2

SSB ARFCN		Freq2	Freq2	Freq2	
Duplex mode		TDD	TDD	TDD	
TDD configuration		TDDConf.3.1	TDDConf.3.1	TDDConf.3.1	
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66	
Downlink initial BWP configuration		DLBWP.0.1			
Downlink dedicated BWP configuration		DLBWP.1.1			
Uplink initial BWP configuration		ULBWP.0.1			
Uplink dedicated BWP configuration		ULBWP.1.1			
DRX cycle configuration	ms	Not applicable			
TRS configuration		TRS.2.1 TDD			
TCI state		TCI.State.0			
AoA setup		Setup 3 defined in A.3.15			
PDSCH Reference measurement channel		SR.3.1 TDD	SR.3.1 TDD	SR.3.1 TDD	
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	
Dedicated RMSI CORESET Reference Channel		CCR.3. .1 TDD	-	CCR.3. 1 TDD	
OCNG Patterns		OP.1	OP.1	OP.1	
SMTC configuration		SMTC.1			
SSB configuration		SSB.1 FR2	SSB.1 FR2	SSB.1 FR2	
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	
SS-RSSI-Measurement		Not Applicable			
EPRE ratio of PSS to SSS	dB	0	0	0	
EPRE ratio of PBCH_DMRS to SSS					
EPRE ratio of PBCH to PBCH_DMRS					
EPRE ratio of PDCCH_DMRS to SSS					
EPRE ratio of PDCCH to PDCCH_DMRS					
EPRE ratio of PDSCH_DMRS to SSS					
EPRE ratio of PDSCH to PDSCH_DMRS					
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>					
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>					
$\hat{E}_s / N_{oc}$	dB	TBD	TBD	TBD	
		TBD	TBD	TBD	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.					
Note 3: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

Table A.7.7.3.1.2-3: SS-SINR Intra frequency OTA related test parameters

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
Angle of arrival configuration		According to clause A.3.8.X		According to clause A.3.8.X		According to clause A.3.8.X	
$N_{oc}$ <sup>Note 1</sup>	NR_TDD_FR2_A	dBm/15kHz Note4	TBD	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD	TBD
	NR_TDD_FR2_F					TBD	TBD
	NR_TDD_FR2_G					TBD	TBD
	NR_TDD_FR2_T					TBD	TBD
	NR_TDD_FR2_Y					TBD	TBD
	NR_TDD_FR2_A		TBD		TBD		TBD

$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_B	dBm/SCS Note3			TBD	
	NR_TDD_FR2_F				TBD	
	NR_TDD_FR2_G				TBD	
	NR_TDD_FR2_T				TBD	
	NR_TDD_FR2_Y				TBD	
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS Note4	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD
	NR_TDD_FR2_F					TBD
	NR_TDD_FR2_G					TBD
	NR_TDD_FR2_T					TBD
	NR_TDD_FR2_Y					TBD
SS-SINR <sup>Note2</sup>	NR_TDD_FR2_A	dB	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD
	NR_TDD_FR2_F					TBD
	NR_TDD_FR2_G					TBD
	NR_TDD_FR2_T					TBD
	NR_TDD_FR2_Y					TBD
$\hat{E}_s/I_{ot}$		dB	TBD	TBD	TBD	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz Note4	TBD	TBD	TBD	TBD
	NR_TDD_FR2_B					TBD
	NR_TDD_FR2_F					TBD
	NR_TDD_FR2_G					TBD
	NR_TDD_FR2_T					TBD
	NR_TDD_FR2_Y					TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in clause 3.5.2.</p>						

#### A.7.7.3.1.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in clause 10.1.13.1.1.

#### A.7.7.3.2 SA Inter-frequency measurement accuracy with FR2 serving cell and FR2 TDD target cell

##### A.7.7.3.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-SINR measurement accuracy is within the specified limits. This test will verify the requirements in Clause 10.1.15.1.1 and 10.1.15.1.2 for inter-frequency measurement.

##### A.7.7.3.2.2 Test Parameters

In this test case the two cells (i.e., Cell 1 and Cell 2) are on different carrier frequencies and measurement gaps are provided. Supported test configurations are shown in Table A.7.7.3.2.2-1. Both absolute accuracy and relative accuracy requirements of SS-SINR inter-frequency measurement are tested by using test parameters in Table A.7.7.3.2.2-2 and Table A.7.7.3.2.2-3. In all test cases, Cell 1 is the PCell and Cell 2 is target cell. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.7.7.3.2.2-1: SS-SINR Inter frequency SS-SINR supported test configurations**

Configuration	Description					
1	120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode					

**Table A.7.7.3.2.2-2: SS-SINR Inter frequency general test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
SSB ARFCN		freq1	freq2	freq1	freq2	freq1	freq2
Duplex mode		TDD		TDD		TDD	
TDD configuration		TDDConf.3.1		TDDConf.3.1		TDDConf.3.1	
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66		100: N <sub>RB,c</sub> = 66	
Downlink initial BWP configuration				DLBWP.0.1			
Downlink dedicated BWP configuration				DLBWP.1.1			
Uplink initial BWP configuration				ULBWP.0.1			
Uplink dedicated BWP configuration				ULBWP.1.1			
DRX cycle configuration	ms			Not applicable			
TRS configuration				TRS.2.1 TDD			
TCI state				TCI.State.0			
AoA setup				Setup 3 defined in A.3.15			
PDSCH Reference measurement channel		SR.3.1 TDD	-	SR.3.1 TDD	-	SR.3.1 TDD	-
RMSI CORESET Reference Channel		CR.3.1 TDD	-	CR.3.1 TDD	-	CR.3.1 TDD	-
OCNG Patterns		OP.1	OP.1	OP.1	OP.1	OP.1	OP.1
SMTC configuration		SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2	SMTC. 1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0	0	0	0
EPRE ratio of PBCH_DMRS to SSS							
EPRE ratio of PBCH to PBCH_DMRS							
EPRE ratio of PDCCH_DMRS to SSS							
EPRE ratio of PDCCH to PDCCH_DMRS							
EPRE ratio of PDSCH_DMRS to SSS							
EPRE ratio of PDSCH to PDSCH_DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
$\hat{E}_s / N_{oc}$	dB	TBD	TBD	TBD	TBD	TBD	TBD
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 3:	SS-SINR, SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						
Note 4:	SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.						

**Table A.7.7.3.2.2-3: SS-SINR Inter frequency OTA related test parameters**

Parameter	Unit	Test 1		Test 2		Test 3	
		Cell 1	Cell 2	Cell 1	Cell 2	Cell 1	Cell 2
UE orientation around TBD axis and TBD axis	degrees	TBD		TBD		TBD	
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	NA	TBD	NA	0	NA	0
$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A	dBm/15kHz Note4	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	
$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A	dBm/SCS Note3	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS Note4	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	
SS-SINR <sup>Note2</sup>	NR_TDD_FR2_A	dB	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	
$\hat{E}_s/I_{ot}$	dB	TBD	TBD	TBD	TBD	TBD	TBD
$I_0$ <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz Note4	TBD	TBD	TBD	TBD	
	NR_TDD_FR2_B					TBD	
	NR_TDD_FR2_F					TBD	
	NR_TDD_FR2_G					TBD	
	NR_TDD_FR2_T					TBD	
	NR_TDD_FR2_Y					TBD	

- Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.
- Note 2: SS-SINR, SS-RSRP, and  $I_0$  levels have been derived from other parameters for information purposes. They are not settable parameters themselves.
- Note 3: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.
- Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone
- Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone
- Note 6: NR operating band groups are as defined in clause 3.5.2.

### A.7.7.3.2.3 Test Requirements

The SS-SINR measurement accuracy shall fulfil the requirements in clause 10.1.15.1.1 and 10.1.15.1.2.

### A.7.7.4 L1-RSRP measurement for beam reporting

#### A.7.7.4.1 SSB based L1-RSRP measurement

##### A.7.7.4.1.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 9.5.2 and clause 10.1.20.1 for L1-RSRP measurements based on SSB with the testing configurations for NR cells in Table A.7.7.4.1.1-1.

The AoA setup for this test is Setup 1 as defined in clause A.3.15.

**Table A.7.7.4.1.1-1: Applicable NR configurations for FR1 SSB based L1-RSRP test**

Config	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE FDD, NR 240 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

##### A.7.7.4.1.2 Test parameters

In this set of test cases there are two cells in the test, PCell (Cell 1). The test parameters for the Cell 1 are given in Table A.7.7.4.1.2-1 and Table A.7.7.4.1.2-2 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.7.7.4.1.2-1 and Table A.7.7.4.1.2-2.

here is no measurement gap configured in the test. Before the test, UE is configured one SSB resource set with two SSB resources. UE is configured to perform RLM, BFD and L1-RSRP measurement based on the SSB resources 0 and 1.

**Table A.7.7.4.1.2-1: FR2 SSB based L1-RSRP general test parameters**

Parameter	Config	Unit	Test 1	Test 2			
SSB GSCN	1~2		freq1	freq1			
Duplex mode	1~2		TDD	TDD			
TDD Configuration	1~2		TDDConf.3.1	TDDConf.3.1			
BW <sub>channel</sub>	1~2	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66			
PDSCH Reference measurement channel	1~2		SR.3.1 TDD	SR.3.1 TDD			
RMSI CORESET Reference Channel	1~2		CR.3.1 TDD	CR.3.1 TDD			
Dedicated CORESET Reference Channel	1~2		CCR.3.1 TDD	CCR.3.1 TDD			
SSB configuration	1 2		SSB.1 FR2 SSB.2 FR2	SSB.1 FR2 SSB.2 FR2			
OCNG Patterns	1~2		OP.1	OP.1			
Initial BWP Configuration	1~2		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1			
Dedicated BWP configuration	1~2		DLBWP.1.3 ULBWP.1.3	DLBWP.1.3 ULBWP.1.3			
TRS Configuration	1~2		TRS.2.1 TDD	TRS.2.1 TDD			
PDCCH/PDSCH TCI Configuration	1~2		TCI.State.2	TCI.State.2			
SMTC configuration	1~2		SMTC.1	SMTC.1			
reportConfigType	1~2		periodic	periodic			
reportQuantity	1~2		ssb-Index-RSRP	ssb-Index-RSRP			
Number of reported RS	1~2		2	2			
L1-RSRP reporting period	1~2		Tslot640	Tslot640			
Propagation condition	1~2		AWGN	AWGN			
EPRE ratio of PSS to SSS	1~2	dB	0	0			
EPRE ratio of PBCH DMRS to SSS							
EPRE ratio of PBCH to PBCH DMRS							
EPRE ratio of PDCCH DMRS to SSS							
EPRE ratio of PDCCH to PDCCH DMRS							
EPRE ratio of PDSCH DMRS to SSS							
EPRE ratio of PDSCH to PDSCH DMRS							
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>							
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>							
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							

**Table A.7.7.4.1.2-2: FR2 SSB based L1-RSRP OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>	
			SSB0	SSB1	SSB0	SSB1
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.	
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.	
	3,4		TBD		n.a.	
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.	
SS-RSRP <sup>Note1</sup>	1,2	dBm/SCS	TBD		As in Table B.2.4-2	
	3,4		TBD		As in Table B.2.4-2	
$I_0^{Note1}$	1~4	dBm/95.04MHz	TBD		SS-RSRP+28.98	
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.	

Note 1: RSRP and  $I_0$  levels have been derived from other parameters for information purposes.  
They are not settable parameters themselves.

Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

Note 3: No additional noise is added by the test system in Test 2.

### A.7.7.4.1.3 Test Requirements

For at least one reported L1-RSRP during 480ms, the accuracy for SSB#0 and SSB#1 of Cell 1 shall fulfil the requirements in clauses 10.1.20.1. The reported L1-RSRP value shall include the Rx antenna gain in the range of TBD.

### A.7.7.4.2 CSI-RS based L1-RSRP measurement on resource set with repetition off

#### A.7.7.4.2.1 Test Purpose and Environment

The purpose of this test is to verify that the L1-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clauses 9.5.3 and clause 10.1.20.2 for L1-RSRP measurements based on CSI-RS with the testing configurations for NR cells in Table A.7.7.4.2.1-1.

The AoA setup for this test is Setup 1 as defined in clause A.3.15.

**Table A.7.7.4.2.1-1: Applicable NR configurations for FR1 CSI-RS based L1-RSRP test**

Config	Description
1	NR 120 kHz CSI-RS SCS, 100 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

#### A.7.7.4.2.2 Test parameters

In this set of test cases there are one cell in the test, PCell (Cell 1). The test parameters for the Cell 1 are given in Table A.7.7.4.2.2-1 and Table A.7.7.4.2.2-2 below. The absolute and relative accuracy of L1-RSRP measurements are tested by using the parameters in Table A.7.7.4.2.2-1 and Table A.7.7.4.2.2-2.

There is no measurement gap configured in the test. Before the test, UE is configured one CSI-RS resource set with two CSI-RS resources. UE is configured to perform RLM and BFD based on SSB 0 and 1. CSI-RS is not transmitted in the same OFDM symbols as SSB.

**Table A.7.7.4.2.2-1: FR2 CSI-RS based L1-RSRP general test parameters**

Parameter	Config	Unit	Test 1	Test 2
SSB GSCN	1		freq1	freq1
Duplex mode	1		TDD	TDD
TDD Configuration	1		TDDConf.3.1	TDDConf.3.1
BW <sub>channel</sub>	1	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
PDSCH Reference measurement channel	1		SR.3.1 TDD	SR.3.1 TDD
RMSI CORESET Reference Channel	1		CR.3.1 TDD	CR.3.1 TDD
Dedicated CORESET Reference Channel	1		CCR.3.1 TDD	CCR.3.1 TDD
SSB configuration	1		SSB.1 FR2	SSB.1 FR2
OCNG Patterns	1		OP.1	OP.1
Initial BWP Configuration	1		DLBWP.0.1 ULBWP.0.1	DLBWP.0.1 ULBWP.0.1
Dedicated BWP configuration	1		DLBWP.1.3 ULBWP.1.3	DLBWP.1.3 ULBWP.1.3
TRS Configuration	1		TRS.2.1 TDD	TRS.2.1 TDD
PDCCH/PDSCH TCI Configuration	1		TCI.State.2	TCI.State.2
SMTC configuration	1		SMTC.1	SMTC.1
CSI-RS	1		CSI-RS.3.2 TDD	CSI-RS.3.2 TDD
reportConfigType	1		periodic	periodic
reportQuantity	1		cri-RSRP	cri-RSRP
Number of reported RS	1		2	2
L1-RSRP reporting period	1		slot80	slot80
Propagation condition	1		AWGN	AWGN
EPRE ratio of PSS to SSS	1	dB	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p>				

**Table A.7.7.4.2.2-2: FR2 CSI-RS based L1-RSRP OTA related test parameters**

Parameter	Config	Unit	Test 1		Test 2 <sup>NOTE 3</sup>	
			SSB0	SSB1	SSB0	SSB1
$N_{oc}$	1~4	dBm/15 kHz	TBD		n.a.	
$N_{oc}$	1,2	dBm/SS B SCS	TBD		n.a.	
	3,4		TBD		n.a.	
$\hat{E}_s / I_{ot}$	1~4	dB	TBD	TBD	n.a.	
SS-RSRP <sup>Note1</sup>	1,2	dBm/SC S	TBD		As in Table B.2.4-2	
	3,4		TBD		As in Table B.2.4-2	
$I_0^{Note1}$	1~4	dBm/ 95.04M Hz	TBD		SS-RSRP+28.98	
$\hat{E}_s / N_{oc}$	1~4	dB	TBD	TBD	n.a.	

Note 1: RSRP and  $I_0$  levels have been derived from other parameters for information purposes.  
They are not settable parameters themselves.

Note 2: RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

Note 3: No additional noise is added by the test system in Test 2.

### A.7.7.4.2.3 Test Requirements

The L1-RSRP measurement accuracy for CSI-RS#0 and CSI-RS#1 of Cell 1 shall fulfil the requirements in clause 10.1.20.2. The reported L1-RSRP value shall include the Rx antenna gain in the range of TBD.

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## A.8 E-UTRA standalone tests for NR RRM

*Editor notes: All NR RRM tests under E-UTRA standalone operations are included in this Annex. All EN-DC related NR RRM tests are in A.6*

### A.8.1 Void

### A.8.2 RRC\_IDLE state mobility

#### A.8.2.1 Inter-RAT NR Cell re-selection

##### A.8.2.1.1 E-UTRA Cell reselection to higher priority NR target Cell in FR1

###### A.8.2.1.1.1 Test Purpose and Environment

This test is to verify the requirement for the E-UTRAN to NR inter-RAT cell reselection requirements specified in clause 4.2.2.5.6 in TS 36.133 [15].

The test scenario comprises of 1 E-UTRA cell and 1 NR cell as given in tables A.8.2.1.1.1-1, A.8.2.1.1.1-2, A.8.2.1.1.1-3 and A.8.2.1.1.1-4. The test consists of three successive time periods, with time duration of T1, T2, and T3 respectively. E-UTRA cell 1 is already identified by the UE prior to the start of the test. Cell 2 is of higher priority than cell 1.

**Table A.8.2.1.1.1-1: Supported test configurations**

<b>Configuration</b>	<b>Description</b>
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.2.1.1.1-2: General test parameters for E-UTRA cell re-selection FR1 NR cell test case**

<b>Parameter</b>		<b>Unit</b>	<b>Test configuration</b>	<b>Value</b>	<b>Comment</b>
Initial condition			1, 2, 3, 4, 5, 6	Cell1	The UE camps on cell 1 in the initial phase and during T3 period the UE reselects to cell 2
T3 end condition			1, 2, 3, 4, 5, 6	Cell2	The UE shall perform reselection to cell 2 during T3
			1, 2, 3, 4, 5, 6	Cell1	
			1, 2, 3, 4, 5, 6	Cell2	
RF Channel Number			1, 2, 3, 4, 5, 6	1, 2	E-UTRAN radio channel (1) and NR radio channel (2) are used for this test
Time offset between cells			1, 4	3 ms	Asynchronous cells
			2, 5	3 µs	Synchronous cells
			3, 6	3 µs	Synchronous cells
Access Barring Information		-	1, 2, 3, 4, 5, 6	Not Sent	No additional delays in random access procedure.
DRX cycle length		s	1, 2, 3, 4, 5, 6	1.28	The value shall be used for all cells in the test.
NR PRACH configuration index			1, 2, 3, 4, 5, 6	87	The detailed configuration is specified in TS 38.211 clause 6.3.3.2
T1		s	1, 2, 3, 4, 5, 6	15	T1 needs to be defined so that cell re-selection reaction time is taken into account.
T2		s	1, 2, 3, 4, 5, 6	>7	During T2, cell 2 shall be powered off, and during the off time the physical cell identity shall be changed. The intention is to ensure that cell 2 has not been detected by the UE prior to the start of period T3.
T3		s	1, 2, 3, 4, 5, 6	75	T3 needs to be defined so that cell re-selection reaction time is taken into account.

**Table A.8.2.1.1.1-3: Cell specific test parameters for NR cell 2**

<b>Parameter</b>	<b>Unit</b>	<b>Test configuration</b>	<b>Cell 2</b>		
			<b>T1</b>	<b>T2</b>	<b>T3</b>
TDD configuration		1, 4		N/A	
		2, 5		TDDConf.1.1	
		3, 6		TDDConf.2.1	
PDSCH Reference measurement channel		1, 4		SR.1.1 FDD	
		2, 5		SR.1.1 TDD	
		3, 6		SR.2.1 TDD	
RMSI CORESET Reference Channel		1, 4		CR.1.1 FDD	
		2, 5		CR.1.1 TDD	
		3, 6		CR.2.1 TDD	

RMC CORESET Reference Channel		1, 4	CCR.1.1 FDD					
		2, 5	CCR.1.1 TDD					
		3, 6	CCR.2.1 TDD					
OCNG Patterns		1, 2, 3, 4, 5, 6	OP.1					
SMTc configuration		1, 2, 3, 4, 5, 6	SMTc.1					
SSB configuration		1, 4	SSB.1 FR1					
		2, 5	SSB.1 FR1					
		3, 6	SSB.2 FR1					
Initial DL BWP configuration		1, 2, 3, 4, 5, 6	DLBWP.0.1					
Initial UL BWP configuration		1, 2, 3, 4, 5, 6	ULBWP.0.1					
RLM-RS		1, 2, 3, 4, 5, 6	SSB					
Qrxlevmin	dBm/SCS	1, 2, 4, 5	-140					
		3, 6	-137					
Pcompensation	dB	1, 2, 3, 4, 5, 6	0					
Qhysts	dB	1, 2, 3, 4, 5, 6	0					
Qoffsets, n	dB	1, 2, 3, 4, 5, 6	0					
Cell_selection_and_ reselection_quality_m easurement		1, 2, 3, 4, 5, 6	SS-RSRP					
$\hat{E}_s / I_{ot}$	dB	1, 4	-4	-infinity	12			
		2, 5						
		3, 6						
$N_{oc}$ Note2	dBm/SCS	1, 4	-98					
		2, 5	-98					
		3, 6	-95					
$N_{oc}$ Note2	dBm/15 kHz	1, 4	-98					
		2, 5						
		3, 6						
$\hat{E}_s / N_{oc}$	dB	1, 4	-4	-infinity	12			
		2, 5						
		3, 6						
SS-RSRP Note3	dBm/SCS	1, 4	-102	-infinity	-86			
		2, 5	-102	-infinity	-86			
		3, 6	-99	-infinity	-83			
Io	dBm/9.36 MHz	1, 4	-68.60	-infinity	-57.78			
		2, 5	-68.60	-infinity	-57.78			
		3, 6	-62.50	-infinity	-51.69			
Treselection	s	1, 2, 3, 4, 5, 6	0	0	0			
Snonintrasearch	dB	1, 2, 3, 4, 5, 6	Not sent					
Thresh <sub>x, high</sub>	dB	1, 2, 3, 4, 5, 6	48					
Thresh <sub>serving, low</sub>	dB	1, 2, 3, 4, 5, 6	44					
Thresh <sub>x, low</sub>	dB	1, 2, 3, 4, 5, 6	50					
Propagation Condition		1, 2, 3, 4, 5, 6	AWGN					
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3:	SS-RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							

**Table A.8.2.1.1-4: Cell specific test parameters for E-UTRA cell 1**

Parameter	Unit	Cell 1		
		T1	T2	T3
E-UTRA RF Channel number			1	
BW <sub>channel</sub>	MHz		10	
OCNG Patterns defined in TS 36.133 [15] clause A.3.2			OP.2 TDD for test configuration 1, 2, 3; OP.2 FDD for test configuration 4, 5, 6	
PBCH_RA	dB			
PBCH_RB	dB			
PSS_RA	dB			
SSS_RA	dB			
PCFICH_RB	dB			
PHICH_RA	dB			
PHICH_RB	dB			
PDCCH_RA	dB			
PDCCH_RB	dB			
PDSCH_RA	dB			
PDSCH_RB	dB			
OCNG_RA <sup>Note 1</sup>	dB			
OCNG_RB <sup>Note 1</sup>	dB			
Qrxlevmin	dBm		-140	
$N_{oc}$ <sup>Note 2</sup>	dBm/15 kHz		-98	
RSRP <sup>Note 3</sup>	dBm/15 KHz	-84	-84	-84
$\hat{E}_s/I_{ot}$	dB	14	14	14
$\hat{E}_s/N_{oc}$	dB	14	14	14
Treselection <sub>EUTRAN</sub>	S		0	
Snonintrasearch	dB		50	
Thresh <sub>x, high</sub>	dB		48	
Thresh <sub>serving, low</sub>	dB		44	
Thresh <sub>x, low</sub>	dB		50	
Propagation Condition			AWGN	
Note 1:	OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.			
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.			
Note 3:	RSRP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.			

### A.8.2.1.1.2 Test Requirements

The cell reselection delay to a higher priority NR cell is defined as the time from the beginning of time period T3, to the moment when the UE camps on cell 2, and starts to send preambles on the PRACH for sending the RRC CONNECTION REQUEST message to perform a Tracking Area Update procedure on cell 2.

The cell re-selection delay to a higher priority cell shall be less than 68 s.

The rate of correct cell reselections observed during repeated tests shall be at least 90%.

NOTE: The cell re-selection delay to a higher priority cell can be expressed as:  $T_{higher\_priority\_search} + T_{evaluate, NR} + T_{SI-NR}$ , and to a lower priority cell can be expressed as:  $T_{evaluate, NR} + T_{SI-NR}$ ,

Where:

$T_{\text{higher\_priority\_search}}$	See clause 4.2.2 in TS 36.133 [15]
$T_{\text{evaluate, NR}}$	See Table 4.2.2.5.6-1 in clause 4.2.2.5.6 in TS 36.133 [15]
$T_{\text{SI-NR}}$	Maximum repetition period of relevant system info blocks that needs to be received by the UE to camp on a cell; 1280 ms is assumed in this test case.

This gives a total of 67.68 s, allow 68 s for the cell re-selection delay to a higher priority NR cell and 7.68 s for the cell re-selection delay to a lower priority cell in the test case, which we allow 8 s.

## A.8.3 RRC\_CONNECTED state mobility

### A.8.3.1 Handover

#### A.8.3.1.1 E-UTRAN - NR handover in FR1

##### A.8.3.1.1.1 Test Purpose and Environment

This test shall verify the E-UTRAN to NR FR1 handover requirements as specified in clause 6.1.2.1 specified in clause 5.3.4 in TS 36.133 [15].

The test comprises of one E-UTRA carrier and one NR carrier. There are two cells and one cell on each carrier. Cell 1 is the E-UTRAN and Cell 2 is an inter-RAT NR neighbour cell. The test consists of three successive time periods, with time durations of T1, T2 and T3 respectively. At the start of time duration T1, the UE does not have any timing information of Cell 2. Starting T2, Cell 2 becomes detectable and the UE is expected to detect and send a measurement report. Gap pattern configuration with id #0 as specified in Table 8.1.2.1-1 of TS 36.133 [15] is configured before T2 begins to enable inter-RAT frequency monitoring.

A RRC message implying handover shall be sent to the UE during period T2 after the UE has reported Event B2. The start of T3 is the instant when the last TTI containing the RRC message implying handover is sent to the UE. The handover message shall contain Cell 2 as the target cell.

Supported test configurations are shown in table A.8.3.1.1-1. General test parameters are provided in Table A.8.3.1.1-2. Cell specific test parameters for Cell 1 and Cell 2 are provided in Tables A.8.3.1.1-3 and A.8.3.1.1-4 respectively.

**Table A.8.3.1.1-1: Supported test configurations for E-UTRAN inter-RAT NR handover**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note: The UE is only required to be tested in one of the supported test configurations	

**Table A.8.3.1.1-2: General test parameters for E-UTRAN inter-RAT NR handover**

Parameter		Unit	Value	Comment
NR RF Channel Number			1	1 NR carrier frequency is used in the test
LTE RF Channel Number			2	1 E-UTRAN carrier frequency is used in the test
Initial conditions	Active cell		Cell 1	E-UTRAN cell
	Neighbouring cell		Cell 2	NR cell
Final condition	Active cell		Cell 2	
NR measurement quantity			SS-RSRP	
E-UTRAN measurement quantity			RSRP	
b2-Threshold1	dBm		-84	Absolute E-UTRAN RSRP threshold for event B2
b2-Threshold2NR	dBm		As specified in Table A.8.3.1.1-4	Absolute NR SS-RSRP threshold for event B2
Hysteresis	dB		0	
TimeToTrigger	s		0	
Filter coefficient			0	L3 filtering is not used
DRX			OFF	Non-DRX test
Access Barring Information	-		Not sent	No additional delays in random access procedure
Time offset between cells			3 ms	Asynchronous cells
Gap pattern configuration Id			0	As specified in Table 8.1.2.1-1 started before T2 starts [15]
T1	s		5	
T2	s		≤5	
T3	s		1	

**Table A.8.3.1.1-3: Cell specific test parameters for E-UTRAN inter-RAT NR handover (Cell 1)**

Parameter	Unit	Configuration	Cell 1		
			T1	T2	T3
RF channel number		1, 2, 3, 4, 5, 6		2	
Duplex mode		1, 2, 3		FDD	
		4, 5, 6		TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6		6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6		1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6		5 MHz: N <sub>RB,C</sub> = 25 10 MHz: N <sub>RB,C</sub> = 50 20 MHz: N <sub>RB,C</sub> = 100	
PRACH Configuration <sup>Note2</sup>		1, 2, 3		4	
		4, 5, 6		53	
PDSCH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3		5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6		5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note3</sup>		1, 2, 3		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
		4, 5, 6		5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note3</sup>		1, 2, 3		5 MHz: OP.20 FDD 10 MHz: OP.10 FDD	

			20 MHz: OP.17 FDD		
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD		
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0		
PBCH_RB					
PSS_RA					
SSS_RA					
PCFICH_RB					
PHICH_RA					
PHICH_RB					
PDCCH_RA					
PDCCH_RB					
PDSCH_RA					
PDSCH_RB					
OCNG_RA <sup>Note4</sup>					
OCNG_RB <sup>Note4</sup>					
N <sub>oc</sub> <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-98		
E <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	7	7	7
E <sub>s</sub> /I <sub>ot</sub> <sup>Note6</sup>	dB	1, 2, 3, 4, 5, 6	7	7	7
RSRP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-91	-91	-91
SCH_RP <sup>Note6</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-91	-91	-91
I <sub>o</sub> <sup>Note6</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-62.43	-62.43	-62.43
Propagation Condition		1, 2, 3, 4, 5, 6	AWGN		
Antenna Configuration and Correlation Matrix <sup>Note7</sup>		1, 2, 3, 4, 5, 6	1x2 Low		
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].</p> <p>Note 2: PRACH configurations are specified in table 5.7.1-2 and table 5.7.1-3 in TS 36.211 [23].</p> <p>Note 3: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.</p> <p>Note 4: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 5: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.</p> <p>Note 6: E<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 7: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].</p>					

**Table A.8.3.1.1-4: Cell specific test parameters E-UTRAN inter-RAT NR handover (Cell 2)**

Parameter	Unit	Configuration	Cell 2		
			T1	T2	T3
RF channel number		1, 2, 3, 4, 5, 6	1		
Duplex mode		1, 4	FDD		
		2, 3, 5, 6	TDD		
TDD Configuration		2, 5	TDDConf.1.1		
		3, 6	TDDConf.1.2		
BW <sub>channel</sub>	MHz	1, 4	10: N <sub>RB,c</sub> = 52 (FDD)		
		2, 5	10: N <sub>RB,c</sub> = 52 (TDD)		
		3, 6	40: N <sub>RB,c</sub> = 106 (TDD)		
PDSCH reference measurement channel		1, 4	SR.1.1 FDD		
		2, 5	SR.1.1 TDD		
		3, 6	SR.2.1 TDD		
CORSET reference channel		1, 4	CR.1.1 FDD		
		2, 5	CR.1.1 TDD		
		3, 6	CR.2.1 TDD		
OCNG pattern <sup>Note1</sup>		1, 2, 3, 4, 5, 6	OP.1		
BWP	Initial DL BWP	1, 2, 3, 4, 5, 6	DLBWP.0.1		

Dedicated DL BWP			DLBWP.1.1					
Initial UL BWP			ULBWP.0.1					
Dedicated UL BWP			ULBWP.1.1					
SMTC configuration		1, 2, 3, 4, 5, 6	SMTC.1					
SSB configuration		1, 2, 4, 5	SSB.1 FR1					
		3, 6	SSB.2 FR1					
b2-Threshold2NR	dBm	1, 2, 4, 5	-105					
		3, 6	-103					
EPRE ratio of PSS to SSS	dB	1, 2, 3, 4, 5, 6	0					
EPRE ratio of PBCH_DMRS to SSS								
EPRE ratio of PBCH to PBCH_DMRS								
EPRE ratio of PDCCH_DMRS to SSS								
EPRE ratio of PDCCH to PDCCH_DMRS								
EPRE ratio of PDSCH_DMRS to SSS								
EPRE ratio of PDSCH to PDSCH_DMRS								
EPRE ratio of OCNG DMRS to SSS								
EPRE ratio of OCNG to OCNG DMRS								
$N_{oc}^{Note2}$	dBm/15 KHz	1, 2, 3, 4, 5, 6	-98					
$N_{oc}^{Note2}$	dBm/SCS	1, 2, 4, 5	-98					
		3, 6	-95					
$\bar{E}_s/N_{oc}$	dB	1, 2, 3, 4, 5, 6	-inifinit	0	0			
$\bar{E}_s/I_{tot}^{Note3}$	dB	1, 2, 3, 4, 5, 6	-inifinit	0	0			
SS-RSRP <sup>Note3</sup>	dBm/SCS	1, 2, 4, 5	-inifinit	-98	-98			
		3, 6	-inifinit	-95	-95			
$I_{tot}^{Note3}$	dBm/9.36 MHz	1, 2, 4, 5	-70.05	-67.04	-67.04			
	dBm/38.16 MHz	3, 6	-63.96	-60.94	-60.94			
Propagation condition		1, 2, 3, 4, 5, 6	AWGN					
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low					
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.								
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.								
Note 3: $\bar{E}_s/I_{tot}$ , SS-RSRP, and $I_{tot}$ levels have been derived from other parameters for information purposes. They are not settable parameters themselves.								

### A.8.3.1.1.2 Test Requirements

The UE shall start to transmit the PRACH to Cell 2 less than 85 ms from the beginning of time period T3.

The rate of correct handovers observed during repeated tests shall be at least 90%.

NOTE: The handover delay can be expressed as: RRC procedure delay +  $T_{interrupt}$ , where:

RRC procedure delay = [50] ms and is specified in TS36.331.

$T_{interrupt}$  = [210] ms in the test;  $T_{interrupt}$  is defined in TS36.133 clause 5.3.4.3.

This gives a total of [260] ms.

## A.8.4 Measurement procedure

### A.8.4.1 E-UTRA – NR Inter-RAT SFTD Measurement Delay

#### A.8.4.1.1 E-UTRA – NR Inter-RAT SFTD Measurement Delay in non-DRX

##### A.8.4.1.1.1 Test Purpose and Environment

The purpose of this test is to partly verify that measurement reporting delay for SFTD between E-UTRA PCell and inter-RAT NR neighbour cell in FR1 is within the requirements stated in clauses 8.1.2.4.25 and 8.1.2.4.26 of TS 36.133 [15] for E-UTRA FDD and TDD, respectively, when no measurement gaps are provided and no DRX is configured.

The tests consist of a single time period of duration T1. Two carriers are used in the tests: one E-UTRA carrier with the PCell (Cell 1), and one NR carrier with the NR neighbour cell (Cell 2).

Prior to the start of time duration T1, the UE is connected to Cell 1 and configured to carry out intra-frequency measurements only. The point in time at which the UE receives, at the UE antenna connector(s), a RRC message containing a measurement configuration for SFTD measurements on RF channel 2 defines the start of time duration T1. Following the start of T1 the UE shall detect Cell 2, determine the SFN and frame time difference of Cell 2 relative to Cell 1, and send a measurement report.

The supported test configurations are listed in Table A.8.4.1.1.1-1 below. Test parameters and cell-specific parameters for the NR cell are provided in Tables A.8.4.1.1.1-2 and A.8.4.1.1.1-3 below, respectively. Cell-specific parameters for the E-UTRA cell are provided in Table A.3.7.2.1-1 in clause A.3.7.2.1.

**Table A.8.4.1.1.1-1: Applicable E-UTRA and NR configurations for inter-RAT SFTD measurement delay test**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.4.1.1.1-2: Applicable E-UTRA and NR configurations for inter-RAT SFTD measurement delay test**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1		One NR FR1 carrier frequencies is used.
Active cell		Config 1,2,3,4,5,6	Cell 1		Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Config 1,2,3,4,5,6	Cell 2		Cell 2 is on NR RF channel number 1.
SMTC-SSB parameters		Config 1,4	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2,5	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3,6	SSB.2 FR1		As specified in clause A.3.10.1
CP length		Config 1,2,3,4,5,6	Normal		Applicable to both cells.
DRX		Config 1,2,3,4,5,6	OFF		DRX is not used
Frame time offset between serving and neighbour cells	ms	Config 1,2,3,4	3	7	Asynchronous cells. The timing of Cell 2 relative to the timing of Cell 1.
	μs	Config 5,6	3		Synchronous cells.
SFN offset between serving and neighbour cells		Config 1,2,3,4,5,6	0	1	SFN of Cell 2 relative to SFN of Cell 1.
T1	s	Config 1,2,3,4,5,6	1		

**Table A.8.4.1.1.1-3: Cell specific test parameters for Cell 2 in inter-RAT SFTD measurement delay test**

Parameter	Unit	Test configuration	Cell 2
NR RF Channel Number		Config 1,2,3,4,5,6	1
Duplex mode		Config 1,4	FDD
		Config 2,3,5,6	TDD
BW <sub>channel</sub>	MHz	Config 1,4	10: N <sub>RB,c</sub> = 52
		Config 2,5	10: N <sub>RB,c</sub> = 52
		Config 3,6	40: N <sub>RB,c</sub> = 106
TDD configuration		Config 2,5	TDDConf.1.1
		Config 3,6	TDDConf.2.1
OCNG Pattern defined in A.3.2.1.1		Config 1,2,3,4,5,6	OP.1
SMTC configuration defined in A.3.2.11.1 and A.3.2.11.2		Config 1,4	SMTC.2
		Config 2,3,5,6	SMTC.1
PDSCH/PDCCH subcarrier spacing	kHz	Config 1,2,4,5	15
		Config 3,6	30
EPRE ratio of PSS to SSS	dB	Config 1,2,3,4,5,6	0
EPRE ratio of PBCH DMRS to SSS	dB		
EPRE ratio of PBCH to PBCH DMRS	dB		
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>	dB		
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>	dB		
N <sub>oc</sub> <sup>Note 2</sup>	dBm/15kHz		-98
N <sub>oc</sub> <sup>Note 2</sup>	dBm/SCS	Config 1,2,4,5	-98
		Config 3,6	-95
SS-RSRP <sup>Note 3, 4</sup>	dBm/SCS	Config 1,2,4,5	-94
		Config 3,6	-91
Ê <sub>s</sub> /I <sub>ot</sub>	dB	Config 1,2,3,4,5,6	4
Ê <sub>s</sub> /N <sub>oc</sub>	dB	Config 1,2,3,4,5,6	4
I <sub>o</sub> <sup>Note 3</sup>	dBm/9.36MHz	Config 1,2,4,5	-67.11
	dBm/38.16MHz	Config 3,6	-62.27
Propagation Condition		Config 1,2,3,4,5,6	AWGN
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled. Note 3: SS-RSRP and I <sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.			

### A.8.4.1.1.2 Test Requirements

Following the start of T1, the UE shall detect Cell 2 and determine the relative time difference between Cell 1 and Cell 2. At latest at  $T_{RRC\_procedure\_delay} + T_{measure\_SFTD1}$  after the beginning of time duration T1, the UE shall send a measurement report on SFTD between Cell 1 and Cell 2.

The observed rate of successful SFTD reports in repeated tests shall be at least 90%.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  longer than the measurement reporting delays above due to TTI insertion uncertainty of the measurement report in DCCH.

### A.8.4.1.2 E-UTRA – NR Inter-RAT SFTD Measurement Delay in DRX

#### A.8.4.1.2.1 Test Purpose and Environment

The purpose of this test is to partly verify that measurement reporting delay for SFTD between E-UTRA PCell and inter-RAT NR neighbour cell in FR1 is within the requirements stated in clauses 8.1.2.4.25 and 8.1.2.4.26 of TS 36.133 [15] for E-UTRA FDD and TDD, respectively, when no measurement gaps are provided and DRX is configured.

The tests consist of a single time period of duration T1. Two carriers are used in the tests: one E-UTRA carrier with the PCell (Cell 1), and one NR carrier with the NR neighbour cell (Cell 2).

Prior to the start of time duration T1, the UE is connected to Cell 1 and configured to carry out intra-frequency measurements only. The point in time at which the UE receives, at the UE antenna connector(s), a RRC message containing a measurement configuration for SFTD measurements on RF channel 2 defines the start of time duration T1. Following the start of T1 the UE shall detect Cell 2, determine the SFN and frame time difference of Cell 2 relative to Cell 1, and send a measurement report.

The supported test configurations are listed in Table A.8.4.1.2.1-1 below. Test parameters are provided in Tables A.8.4.1.2.1-2 below. Cell-specific parameters for the E-UTRA and NR cells are provided in Table A.3.7.2.1-1 in clause A.3.7.2.1, and Table A.8.4.1.1.1-3 in clause A.8.4.1.1.1, respectively.

**Table A.8.4.1.2.1-1: Applicable E-UTRA and NR configurations for inter-RAT SFTD measurement delay test in DRX**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.4.1.2.1-2: Applicable E-UTRA and NR configurations for inter-RAT SFTD measurement delay test in DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
E-UTRA RF Channel Number		Config 1,2,3,4,5,6	1		One E-UTRAN TDD carrier frequencies is used.
NR RF Channel Number		Config 1,2,3,4,5,6	1		One NR FR1 carrier frequencies is used.
Active cell		Config 1,2,3,4,5,6	Cell 1		Cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		Config 1,2,3,4,5,6	Cell 2		Cell 2 is on NR RF channel number 1.
SMTC-SSB parameters		Config 1,4	SSB.1 FR1		As specified in clause A.3.10.1
		Config 2,5	SSB.1 FR1		As specified in clause A.3.10.1
		Config 3,6	SSB.2 FR1		As specified in clause A.3.10.1
CP length		Config 1,2,3,4,5,6	Normal		Applicable to both cells.
DRX		Config 1,2,3,4,5,6	DRX.4		DRX configuration as specified in clause A.3.3.4
Frame time offset between serving and neighbour cells	ms	Config 1,2,3,4	3	7	Asynchronous cells. The timing of Cell 2 relative to the timing of Cell 1.
	μs	Config 5,6	3		Synchronous cells.
SFN offset between serving and neighbour cells		Config 1,2,3,4,5,6	0	1	SFN of Cell 2 relative to SFN of Cell 1.
T1	s	Config 1,2,3,4,5,6	1		

### A.8.4.1.2.2 Test Requirements

Following the start of T1, the UE shall detect Cell 2 and determine the relative time difference between Cell 1 and Cell 2. At latest at the earliest DRX activity time following upon  $T_{RRC\_procedure\_delay} + T_{measure\_SFTD1}$  from the beginning of time duration T1, the UE shall send a measurement report on SFTD between Cell 1 and Cell 2.

The observed rate of successful SFTD reports in repeated tests shall be at least 90%.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  longer than the measurement reporting delays above due to TTI insertion uncertainty of the measurement report in DCCH.

## A.8.4.2 E-UTRA – NR Inter-RAT Measurements

### A.8.4.2.1 NR Inter-RAT event triggered reporting tests for FR1 without SSB time index detection when DRX is not used

#### A.8.4.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.1.1-1, A.8.4.2.1.1-2, A.8.4.2.1.1-3 and A.8.4.2.1.1-4.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.8.4.2.1.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.8.4.2.1.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2) [16] is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.8.4.2.1.1-1: NR inter-RAT event triggered reporting tests without SSB index reading for FR1**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.1.1-2: General test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
RF Channel Numbers		1, 2, 3, 4, 5, 6	2		One LTE and one FR1 NR carrier frequencies are used.
Active cell		1, 2, 3, 4, 5, 6	E-UTRA cell 1 (PCell)		E-UTRA cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		1, 2, 3, 4, 5, 6	NR cell 2		NR cell 2 is on NR RF channel number 1.
Gap Pattern Id		1, 2, 3, 4, 5, 6	0	4	As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].
Measurement gap offset		1, 2, 3, 4, 5, 6	39	19	As specified in TS 36.331 [16].
b2-Threshold1	dBm	1, 2, 3, 4, 5, 6	Note 1		E-UTRA RSRP threshold for E-UTRA RSRP measurement on cell 1 for event B2 [16]
b2-Threshold2NR	dBm	1, 2, 3, 4, 5, 6	Note 2		SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B2 [16]
Hysteresis	dB	1, 2, 3, 4, 5, 6	0		
CP length		1, 2, 3, 4, 5, 6	Normal		
TimeToTrigger	s	1, 2, 3, 4, 5, 6	0		
Filter coefficient		1, 2, 3, 4, 5, 6	0		L3 filtering is not used
DRX		1, 2, 3, 4, 5, 6	OFF		DRX is not used
Time offset between serving and neighbour cells		1, 4	3ms		Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.
		2, 3, 5, 6	3μs		Synchronous cells.
T1	s	1, 2, 3, 4, 5, 6	5		
T2	s	1, 2, 3, 4, 5, 6	1	1	
Note 1: The value of b2-Threshold1 is defined in Table A.8.4.2.1.1-3					
Note 2: The value of b2-Threshold2NR is defined in Table A.8.4.2.1.1-4					

**Table A.8.4.2.1.1-3: E-UTRAN PCell specific test parameters for NR inter-RAT event triggered reporting in non-DRX with NR neighbour cell in FR1 without SSB time index detection**

Parameter	Unit	Configuration	Cell 1	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6	6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6	1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,C</sub> = 25 10 MHz: N <sub>RB,C</sub> = 50 20 MHz: N <sub>RB,C</sub> = 100	
PDSCH parameters:		1, 2, 3	5 MHz: R.7 FDD 10 MHz: R.3 FDD	

DL Reference Measurement Channel <sup>Note2</sup>		4, 5, 6	20 MHz: R.6 FDD 5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
			1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
			1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD
OCNG Patterns <sup>Note2</sup>		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
b2-Threshold1	dBm	1, 2, 3, 4, 5, 6	-79	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
N <sub>oc</sub> <sup>Note4</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-104	
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
Ê <sub>s</sub> /I <sub>ot</sub> <sup>Note5</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
RSRP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
SCH_RP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
I <sub>o</sub> <sup>Note5</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-76.22+10log (N <sub>RB,c</sub> /50)	-59.13+10log (N <sub>RB,c</sub> /50)
Propagation Condition <sup>Note6</sup>		1, 2, 3, 4, 5, 6	ETU70	
Antenna Configuration and Correlation Matrix <sup>Note6</sup>		1, 2, 3, 4, 5, 6	1x2 Low	
<p>Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].</p> <p>Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.</p> <p>Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.</p> <p>Note 5: Ê<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 6: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].</p>				

**Table A.8.4.2.1.1-4: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2, 3, 4, 5, 6	1			
Duplex mode		1, 4	FDD			
		2, 3, 5, 6	TDD			
TDD configuration		2, 5	TDDConf.1.1			
		3, 6	TDDConf.2.1			
BW <sub>channel</sub>	MHz	1, 2, 4, 5	10: N <sub>RB,c</sub> = 52			
		3, 6	40: N <sub>RB,c</sub> = 106			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		1, 2, 3, 4, 5, 6	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1, 4	SMTC.2			
		2, 3, 5, 6	SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2, 4, 5	15			
		3, 6	30			
b2-Threshold2NR	dBm/SCS	1, 2, 4, 5	-99			
		3, 6	-96			
EPRE ratio of PSS to SSS		1, 2, 3, 4, 5, 6	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-98			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2, 4, 5	-98			
		3, 6	-95			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2, 4, 5	-Infinity	-91		
		3, 6	-Infinity	-88		
$\hat{E}_s/I_{\text{ot}}$	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
$\hat{E}_s/N_{\text{oc}}$	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
Io <sup>Note3</sup>	dBm/9.36MHz	1, 2, 4, 5	-Infinity	-65.38		
		3, 6	-Infinity	-61.06		
Propagation Condition		1, 2, 3, 4, 5, 6	ETU70			
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low			
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

### A.8.4.2.1.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE shall not send event triggered

measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 800 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and test 2, the UE is not required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.8.4.2.2 NR Inter-RAT event triggered reporting tests for FR1 without SSB time index detection when DRX is used

##### A.8.4.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.2.1-1, A.8.4.2.2.1-2, A.8.4.2.2.1-3 and A.8.4.2.2.1-4.

In tests 1 and 2, measurement gap pattern configuration # 0 as defined in Table A.8.4.2.2.1-2 is provided for UE that does not support per-FR gap and in tests 3 and 4, measurement gap pattern configuration #4 as defined in Table A.8.4.2.2.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2) [16] is used. In the measurement configuration the UE shall be indicated to report the SSB index of the identified NR cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.8.4.2.2.1-1: NR inter-RAT event triggered reporting tests without SSB index reading for FR1**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.2.1-2: General test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 2	Test 4				
RF Channel Number		1, 2, 3, 4, 5, 6	2				One LTE and 1 FR1 NR carrier frequencies are used.			
Active cell		1, 2, 3, 4, 5, 6	E-UTRA cell 1 (PCell)				E-UTRA cell 1 is on E-UTRA RF channel number 1.			
Neighbour cell		1, 2, 3, 4, 5, 6	NR cell 2				NR cell 2 is on NR RF channel number 1.			
Gap Pattern Id		1, 2, 3, 4, 5, 6	0	4			As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].			
Measurement gap offset		1, 2, 3, 4, 5, 6	39	19			As specified in TS 36.331 [16].			
b2-Threshold1	dB m	1, 2, 3, 4, 5, 6	Note 1				E-UTRA RSRP threshold for E-UTRA RSRP measurement on cell 1 for event B2 [16]			
b2-Threshold2NR	dB m	1, 2, 3, 4, 5, 6	Note 2				SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B2 [16]			
Hysteresis	dB	1, 2, 3, 4, 5, 6	0							
CP length		1, 2, 3, 4, 5, 6	Normal							
TimeToTrigger	s	1, 2, 3, 4, 5, 6	0							
Filter coefficient		1, 2, 3, 4, 5, 6	0				L3 filtering is not used			
DRX		1, 2, 3, 4, 5, 6	DRX.9	DRX.10	DRX.9	DRX.10	As specified in clause A.3.3			
Time offset between serving and neighbour cells		1, 4	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		2, 3, 5, 6	3μs				Synchronous cells.			
T1	s	1, 2, 3, 4, 5, 6	5							
T2	s	1, 2, 3, 4, 5, 6	2	11	2	11				
Note 1: The value of b2-Threshold1 is defined in Table A.8.4.2.2.1-3										
Note 2: The value of b2-Threshold2NR is defined in Table A.8.4.2.2.1-4										

**Table A.8.4.2.2.1-3: E-UTRAN PCell specific test parameters for NR inter-RAT event triggered reporting in non-DRX with NR neighbour cell in FR1 without SSB time index detection**

Parameter	Unit	Configuration	Cell 1	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6	6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6	1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,C</sub> = 25 10 MHz: N <sub>RB,C</sub> = 50 20 MHz: N <sub>RB,C</sub> = 100	
PDSCH parameters:		1, 2, 3	5 MHz: R.7 FDD	

DL Reference Measurement Channel <sup>Note2</sup>			10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6	5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note2</sup>		1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD	
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
b2-Threshold1	dBm	1, 2, 3, 4, 5, 6	-79	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
N <sub>oc</sub> <sup>Note4</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-104	
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
Ê <sub>s</sub> /I <sub>ot</sub> <sup>Note5</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
RSRP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
SCH_RP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
I <sub>o</sub> <sup>Note5</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-76.22+10log (N <sub>RB,c</sub> /50)	-59.13+10log (N <sub>RB,c</sub> /50)
Propagation Condition <sup>Note6</sup>		1, 2, 3, 4, 5, 6	ETU70	
Antenna Configuration and Correlation Matrix <sup>Note6</sup>		1, 2, 3, 4, 5, 6	1x2 Low	

- Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  
 Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  
 Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
 Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
 Note 5: Ê<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  
 Note 6: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].

**Table A.8.4.2.2.1-4: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2, 3, 4, 5, 6	1			
Duplex mode		1, 4	FDD			
		2, 3, 5, 6	TDD			
TDD configuration		2, 5	TDDConf.1.1			
		3, 6	TDDConf.2.1			
BW <sub>channel</sub>	MHz	1, 2, 4, 5	10: N <sub>RB,c</sub> = 52			
		3, 6	40: N <sub>RB,c</sub> = 106			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		1, 2, 3, 4, 5, 6	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1, 4	SMTC.2			
		2, 3, 5, 6	SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2, 4, 5	15			
		3, 6	30			
b2-Threshold2NR	dBm/SCS	1, 2, 4, 5	-99			
		3, 6	-96			
EPRE ratio of PSS to SSS		1, 2, 3, 4, 5, 6	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-98			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2, 4, 5	-98			
		3, 6	-95			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2, 4, 5	-Infinity	-91		
		3, 6	-Infinity	-88		
Ê <sub>s</sub> /I <sub>ot</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
Io <sup>Note3</sup>	dBm/9.36MHz	1, 2, 4, 5	-Infinity	-65.38		
	dBm/38.16MHz	3, 6	-Infinity	-61.06		
Propagation Condition		1, 2, 3, 4, 5, 6	ETU70			
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low			
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

### A.8.4.2.2.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 1080 ms from the beginning of time period T2. The UE shall not send event triggered

measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 10240 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 1080 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 10240 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In tests 1, 2, 3 and 4, the UE is not required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.8.4.2.3 NR Inter-RAT event triggered reporting tests for FR1 with SSB time index detection when DRX is not used

##### A.8.4.2.3.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.3.1-1, A.8.4.2.3.1-2, A.8.4.2.3.1-3 and A.8.4.2.3.1-4.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.8.4.2.3.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.8.4.2.3.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2) [16] is used. In the measurement configuration the UE shall be indicated to report the SSB index of the identified NR cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.8.4.2.3.1-1: NR inter-RAT event triggered reporting tests without SSB index reading for FR1**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
Note 1: The UE is only required to be tested in one of the supported test configurations.	

**Table A.8.4.2.3.1-2: General test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
RF Channel Numbers		1, 2, 3, 4, 5, 6	2		One LTE and one FR1 NR carrier frequencies are used.
Active cell		1, 2, 3, 4, 5, 6	E-UTRA cell 1 (PCell)		E-UTRA cell 1 is on E-UTRA RF channel number 1.
Neighbour cell		1, 2, 3, 4, 5, 6	NR cell 2		NR cell 2 is on NR RF channel number 1.
Gap Pattern Id		1, 2, 3, 4, 5, 6	0	4	As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].
Measurement gap offset		1, 2, 3, 4, 5, 6	39	19	As specified in TS 36.331 [16].
b2-Threshold1	dBm	1, 2, 3, 4, 5, 6	Note 1		E-UTRA RSRP threshold for E-UTRA RSRP measurement on cell 1 for event B2 [16]
b2-Threshold2NR	dBm	1, 2, 3, 4, 5, 6	Note 2		SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B2 [16]
Hysteresis	dB	1, 2, 3, 4, 5, 6	0		
CP length		1, 2, 3, 4, 5, 6	Normal		
TimeToTrigger	s	1, 2, 3, 4, 5, 6	0		
Filter coefficient		1, 2, 3, 4, 5, 6	0		L3 filtering is not used
DRX		1, 2, 3, 4, 5, 6	OFF		DRX is not used
Time offset between serving and neighbour cells		1, 4	3ms		Asynchronous cells. The timing of Cell 2 is 3 ms later than the timing of Cell 1.
		2, 3, 5, 6	3μs		Synchronous cells.
T1	s	1, 2, 3, 4, 5, 6	5		
T2	s	1, 2, 3, 4, 5, 6	2	1	
Note 1: The value of b2-Threshold1 is defined in Table A.8.4.2.3.1-3					
Note 2: The value of b2-Threshold2NR is defined in Table A.8.4.2.3.1-4					

**Table A.8.4.2.3.1-3: E-UTRAN PCell specific test parameters for NR inter-RAT event triggered reporting in non-DRX with NR neighbour cell in FR1 without SSB time index detection**

Parameter	Unit	Configuration	Cell 1	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3		FDD
		4, 5, 6		TDD
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6		6
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6		1
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,C</sub> = 25 10 MHz: N <sub>RB,C</sub> = 50 20 MHz: N <sub>RB,C</sub> = 100	
PDSCH parameters:		1, 2, 3	5 MHz: R.7 FDD	

DL Reference Measurement Channel <sup>Note2</sup>			10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6	5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note2</sup>		1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD	
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
b2-Threshold1	dBm	1, 2, 3, 4, 5, 6	-79	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
N <sub>oc</sub> <sup>Note4</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-104	
Ē <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
Ē <sub>s</sub> /I <sub>ot</sub> <sup>Note5</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
RSRP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
SCH_RP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
I <sub>o</sub> <sup>Note5</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-76.22+10log (N <sub>RB,c</sub> /50)	-59.13+10log (N <sub>RB,c</sub> /50)
Propagation Condition <sup>Note6</sup>		1, 2, 3, 4, 5, 6	ETU70	
Antenna Configuration and Correlation Matrix <sup>Note6</sup>		1, 2, 3, 4, 5, 6	1x2 Low	

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  
Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  
Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
Note 5: Ē<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  
Note 6: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].

**Table A.8.4.2.3.1-4: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2, 3, 4, 5, 6	1			
Duplex mode		1, 4	FDD			
		2, 3, 5, 6	TDD			
TDD configuration		2, 5	TDDConf.1.1			
		3, 6	TDDConf.2.1			
BW <sub>channel</sub>	MHz	1, 2, 4, 5	10: N <sub>RB,c</sub> = 52			
		3, 6	40: N <sub>RB,c</sub> = 106			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		1, 2, 3, 4, 5, 6	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1, 4	SMTC.2			
		2, 3, 5, 6	SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2, 4, 5	15			
		3, 6	30			
b2-Threshold2NR	dBm/SCS	1, 2, 4, 5	-99			
		3, 6	-96			
EPRE ratio of PSS to SSS		1, 2, 3, 4, 5, 6	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-98			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2, 4, 5	-98			
		3, 6	-95			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2, 4, 5	-Infinity	-91		
		3, 6	-Infinity	-88		
$\hat{E}_s/I_{\text{ot}}$	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
$\hat{E}_s/N_{\text{oc}}$	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
Io <sup>Note3</sup>	dBm/9.36MHz	1, 2, 4, 5	-Infinity	-65.38		
		3, 6	-Infinity	-61.06		
Propagation Condition		1, 2, 3, 4, 5, 6	ETU70			
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low			
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

### A.8.4.2.3.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 1040 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 920 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and test 2, the UE is required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

### A.8.4.2.4 NR Inter-RAT event triggered reporting tests for FR1 with SSB time index detection when DRX is used

#### A.8.4.2.4.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.4.1-1, A.8.4.2.4.1-2, A.8.4.2.4.1-3 and A.8.4.2.4.1-4.

In tests 1 and 2, measurement gap pattern configuration # 0 as defined in Table A.8.4.2.4.1-2 is provided for UE that does not support per-FR gap and in tests 3 and 4, measurement gap pattern configuration #4 as defined in Table A.8.4.2.4.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2) [16] is used. In the measurement configuration the UE shall be indicated to report the SSB index of the identified NR cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.8.4.2.4.1-1: NR inter-RAT event triggered reporting tests without SSB index reading for FR1**

Configuration	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.4.1-2: General test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 2	Test 4				
RF Channel Number		1, 2, 3, 4, 5, 6	2				One LTE and 1 FR1 NR carrier frequencies are used.			
Active cell		1, 2, 3, 4, 5, 6	E-UTRA cell 1 (PCell)				E-UTRA cell 1 is on E-UTRA RF channel number 1.			
Neighbour cell		1, 2, 3, 4, 5, 6	NR cell 2				NR cell 2 is on NR RF channel number 1.			
Gap Pattern Id		1, 2, 3, 4, 5, 6	0	4			As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].			
Measurement gap offset		1, 2, 3, 4, 5, 6	39	19			As specified in TS 36.331 [16].			
b2-Threshold1	dB m	1, 2, 3, 4, 5, 6	Note 1				E-UTRA RSRP threshold for E-UTRA RSRP measurement on cell 1 for event B2 [16]			
b2-Threshold2NR	dB m	1, 2, 3, 4, 5, 6	Note 2				SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B2 [16]			
Hysteresis	dB	1, 2, 3, 4, 5, 6	0							
CP length		1, 2, 3, 4, 5, 6	Normal							
TimeToTrigger	s	1, 2, 3, 4, 5, 6	0							
Filter coefficient		1, 2, 3, 4, 5, 6	0				L3 filtering is not used			
DRX		1, 2, 3, 4, 5, 6	DRX.9	DRX.10	DRX.9	DRX.10	As specified in clause A.3.3			
Time offset between serving and neighbour cells		1, 4	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		2, 3, 5, 6	3μs				Synchronous cells.			
T1	s	1, 2, 3, 4, 5, 6	5							
T2	s	1, 2, 3, 4, 5, 6	2	13	2	13				
Note 1: The value of b2-Threshold1 is defined in Table A.8.4.2.4.1-3										
Note 2: The value of b2-Threshold2NR is defined in Table A.8.4.2.4.1-4										

**Table A.8.4.2.4.1-3: E-UTRAN PCell specific test parameters for NR inter-RAT event triggered reporting in non-DRX with NR neighbour cell in FR1 without SSB time index detection**

Parameter	Unit	Configuration	Cell 1	
			T1	T2
RF channel number		1, 2, 3, 4, 5, 6		1
Duplex mode		1, 2, 3	FDD	
		4, 5, 6	TDD	
TDD special subframe configuration <sup>Note1</sup>		4, 5, 6	6	
TDD uplink-downlink configuration <sup>Note1</sup>		4, 5, 6	1	
BW <sub>channel</sub>	MHz	1, 2, 3, 4, 5, 6	5 MHz: N <sub>RB,C</sub> = 25 10 MHz: N <sub>RB,C</sub> = 50 20 MHz: N <sub>RB,C</sub> = 100	
PDSCH parameters:		1, 2, 3	5 MHz: R.7 FDD	

DL Reference Measurement Channel <sup>Note2</sup>			10 MHz: R.3 FDD 20 MHz: R.6 FDD	
		4, 5, 6	5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD	
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		1, 2, 3	5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD	
		4, 5, 6	5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD	
OCNG Patterns <sup>Note2</sup>		1, 2, 3	5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD	
		4, 5, 6	5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD	
b2-Threshold1	dBm	1, 2, 3, 4, 5, 6	-79	
PBCH_RA	dB	1, 2, 3, 4, 5, 6	0	
PBCH_RB				
PSS_RA				
SSS_RA				
PCFICH_RB				
PHICH_RA				
PHICH_RB				
PDCCH_RA				
PDCCH_RB				
PDSCH_RA				
PDSCH_RB				
OCNG_RA <sup>Note3</sup>				
OCNG_RB <sup>Note3</sup>				
N <sub>oc</sub> <sup>Note4</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-104	
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
Ê <sub>s</sub> /I <sub>ot</sub> <sup>Note5</sup>	dB	1, 2, 3, 4, 5, 6	-Infinity	17
RSRP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
SCH_RP <sup>Note5</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-Infinity	-87
I <sub>o</sub> <sup>Note5</sup>	dBm/9MHz	1, 2, 3, 4, 5, 6	-76.22+10log (N <sub>RB,c</sub> /50)	-59.13+10log (N <sub>RB,c</sub> /50)
Propagation Condition <sup>Note6</sup>		1, 2, 3, 4, 5, 6	ETU70	
Antenna Configuration and Correlation Matrix <sup>Note6</sup>		1, 2, 3, 4, 5, 6	1x2 Low	

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].  
Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.  
Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  
Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.  
Note 5: Ê<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.  
Note 6: Propagation condition and correlation matrix are defined in clause B.2 in TS 36.101 [25].

**Table A.8.4.2.4.1-4: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR1 without SSB time index detection**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2, 3, 4, 5, 6	1			
Duplex mode		1, 4	FDD			
		2, 3, 5, 6	TDD			
TDD configuration		2, 5	TDDConf.1.1			
		3, 6	TDDConf.2.1			
BW <sub>channel</sub>	MHz	1, 2, 4, 5	10: N <sub>RB,c</sub> = 52			
		3, 6	40: N <sub>RB,c</sub> = 106			
OCNG Patterns defined in A.3.2.1.1 (OP.1)		1, 2, 3, 4, 5, 6	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1, 4	SMTC.2			
		2, 3, 5, 6	SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2, 4, 5	15			
		3, 6	30			
b2-Threshold2NR	dBm/SCS	1, 2, 4, 5	-99			
		3, 6	-96			
EPRE ratio of PSS to SSS		1, 2, 3, 4, 5, 6	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2, 3, 4, 5, 6	-98			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2, 4, 5	-98			
		3, 6	-95			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2, 4, 5	-Infinity	-91		
		3, 6	-Infinity	-88		
Ê <sub>s</sub> /I <sub>ot</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2, 3, 4, 5, 6	-Infinity	7		
Io <sup>Note3</sup>	dBm/9.36MHz	1, 2, 4, 5	-Infinity	-65.38		
	dBm/38.16MHz	3, 6	-Infinity	-61.06		
Propagation Condition		1, 2, 3, 4, 5, 6	ETU70			
Antenna Configuration and Correlation Matrix		1, 2, 3, 4, 5, 6	1x2 Low			
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

#### A.8.4.2.4.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 1280 ms from the beginning of time period T2. The UE shall not send event triggered

measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 12160 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 1280 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than 12160 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In tests 1, 2, 3 and 4, the UE is required to report SSB time index.

**NOTE:** The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.8.4.2.5 NR Inter-RAT event triggered reporting tests for FR2 without SSB time index detection when DRX is not used

##### A.8.4.2.5.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.5.1-1, A.8.4.2.5.1-2 and A.8.4.2.5.1-3.

The cell specific test parameters for E-UTRA cell1 as PCell are defined in clause A.3.7.2.2.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.8.4.2.5.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.8.4.2.5.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B1 (Inter RAT neighbour becomes better than threshold) [16] is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have timing information of NR cell 2.

**Table A.8.4.2.5.1-1: NR inter-RAT event triggered reporting tests without SSB index reading for FR2 in non-DRX**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.5.1-2: General test parameters for NR inter-RAT event triggered reporting for FR2 without SSB time index detection in non-DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
RF Channel Numbers		1, 2	2		One LTE and one FR2 NR carrier frequencies are used.
Active cell		1, 2	E-UTRA cell 1 (PCell)		E-UTRA cell 1 is on E-UTRA RF channel number 1 as defined in clause A.3.7.2.2.
Neighbour cell		1, 2	NR cell 2		NR cell 2 is on NR RF channel number 1.
Gap Pattern Id		1, 2	0	4	As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].
Measurement gap offset		1, 2	39	19	As specified in TS 36.331 [16].
b1-ThresholdNR	dBm	1, 2	Note 1		SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B1 [16]
Hysteresis	dB	1, 2	0		
CP length		1, 2	Normal		
TimeToTrigger	s	1, 2	0		
Filter coefficient		1, 2	0		L3 filtering is not used
DRX		1, 2	OFF		DRX is not used
Time offset between serving and neighbour cells		1	3ms		Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.
		2	3μs		Synchronous cells.
T1	s	1, 2	10		
T2	s	1, 2	6	3	

Note 1: The value of b1-ThresholdNR is defined in Table A.8.4.2.5.1-3

**Table A.8.4.2.5.1-3: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR2 without SSB time index detection in non-DRX**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2	1			
Duplex mode		1, 2	TDD			
TDD configuration		1, 2	TDDConf.3.1			
BW <sub>channel</sub>	MHz	1, 2	100: N <sub>RB,c</sub> = 66			
OCNG patterns defined in A.3.2.1.1 (OP.1)		1, 2	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1 2	SMTC.2 SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2	120			
b1-ThresholdNR   UE power class 3	dBm/SCS	1, 2	-108			
EPRE ratio of PSS to SSS		1, 2	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
AoA setup defined in A.3.15.2.1		1, 2	Setup 2a			
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2	-111			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2	-102			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2	-Infinity	-88		
Ê <sub>s</sub> /I <sub>ot</sub>	dB	1, 2	-Infinity	14		
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2	-Infinity	14		
Io <sup>Note3</sup>	dBm/95.04MHz	1, 2	-Infinity	-58.84		
Propagation Condition		1, 2	AWGN			
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.						
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.						
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.						

### A.8.4.2.5.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B1 triggered measurement report, with a measurement reporting delay less than D1 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event B1 triggered measurement report, with a measurement reporting delay less than D2 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and test 2, the UE is not required to report SSB time index.

**Table A.8.4.2.5.2-1: Test requirements for NR inter-RAT event triggered reporting for FR2 without SSB time index detection in non-DRX**

Test case	Measurement reporting delay (ms)	
	Test 1: D1 ms	Test 2: D2 ms
UE power class 3	3200	1600

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.8.4.2.6 NR Inter-RAT event triggered reporting tests for FR2 without SSB time index detection when DRX is used

##### A.8.4.2.6.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.6.1-1, A.8.4.2.6.1-2 and A.8.4.2.6.1-3.

The cell specific test parameters for E-UTRA cell1 as PCell are defined in clause A.3.7.2.2.

In tests 1 and 2, measurement gap pattern configuration # 0 as defined in Table A.8.4.2.6.1-2 is provided for UE that does not support per-FR gap and in tests 3 and 4, measurement gap pattern configuration #4 as defined in Table A.8.4.2.6.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B1 (Inter RAT neighbour becomes better than threshold) [16] is used. In the measurement configuration the UE shall be indicated to report the SSB index of the identified NR cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have timing information of NR cell 2.

**Table A.8.4.2.6.1-1: NR inter-RAT event triggered reporting tests without SSB index reading for FR2 in DRX**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.6.1-2: General test parameters for NR inter-RAT event triggered reporting for FR2 without SSB time index detection in DRX**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
RF Channel Number		1, 2	2				One LTE and 1 FR2 NR carrier frequencies are used.			
Active cell		1, 2, 3, 4, 5, 6	E-UTRA cell 1 (PCell)				E-UTRA cell 1 is on E-UTRA RF channel number 1 as defined in clause A.3.7.2.2.			
Neighbour cell		1, 2, 3, 4, 5, 6	NR cell 2				NR cell 2 is on NR RF channel number 1.			
Gap Pattern Id		1, 2, 3, 4, 5, 6	0	4			As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].			
Measurement gap offset		1, 2, 3, 4, 5, 6	39	19			As specified in TS 36.331 [16].			
b1-ThresholdNR	dB m	1, 2	Note 1				SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B1 [16]			
Hysteresis	dB	1, 2, 3, 4, 5, 6	0							
CP length		1, 2, 3, 4, 5, 6	Normal							
TimeToTrigger	s	1, 2, 3, 4, 5, 6	0							
Filter coefficient		1, 2, 3, 4, 5, 6	0				L3 filtering is not used			
DRX		1, 2, 3, 4, 5, 6	DRX. 9	DRX.10 9	DRX. 9	DRX.10	As specified in clause A.3.3			
Time offset between serving and neighbour cells		1	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		2	3μs				Synchronous cells.			
T1	s	1, 2, 3, 4, 5, 6	5							
T2	s	1, 2, 3, 4, 5, 6	6	83	6	83				

Note 1: The value of b1-ThresholdNR is defined in Table A.8.4.2.5.1-3

**Table A.8.4.2.6.1-3: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR2 without SSB time index detection in DRX**

Parameter	Unit	Test configuration	Cell 2	
			T1	T2
NR RF Channel Number		1, 2	1	
Duplex mode		1, 2	TDD	
TDD configuration		1, 2	TDDConf.3.1	
BW <sub>channel</sub>	MHz	1, 2	100: N <sub>RB,c</sub> = 66	
OCNG patterns defined in A.3.2.1.1 (OP.1)		1, 2	OP.1	
SMTC configuration defined in A.3.11.1 and A.3.11.2		1 2	SMTC.2 SMTC.1	
PDSCH/PDCCH subcarrier spacing	kHz	1, 2	120	
b1-ThresholdNR   UE power class 3	dBm/SCS	1, 2	-96	
EPRE ratio of PSS to SSS		1, 2	0	
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				
EPRE ratio of OCNG to OCNG DMRS (Note 1)				
AoA setup defined in A.3.15.1		1, 2		
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2	-111	
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2	-102	
SS-RSRP Note 3	dBm/SCS	1, 2	-Infinity	-88
Ê <sub>s</sub> /I <sub>ol</sub>	dB	1, 2	-Infinity	14
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2	-Infinity	14
Io <sup>Note3</sup>	dBm/95.04MHz	1, 2	-Infinity	-58.84
Propagation Condition		1, 2	AWGN	
Note 1: OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols. Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled. Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves. Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.				

## A.8.4.2.6.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B1 triggered measurement report, with a measurement reporting delay less than D1 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-UE gap, the UE shall send one Event B1 triggered measurement report, with a measurement reporting delay less than D2 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-FR gap, the UE shall send one Event B1 triggered measurement report, with a measurement reporting delay less than D3 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event B1 triggered measurement report, with a measurement reporting delay less than D4 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In tests 1, 2, 3 and 4, the UE is not required to report SSB time index.

**Table A.8.4.2.6.2-1: Test requirements for NR inter-RAT event triggered reporting for FR2 without SSB time index detection in DRX**

Test case	Measurement reporting delay (ms)			
	Test 1: D1 ms	Test 2: D2 ms	Test 3: D3 ms	Test 4: D4 ms
UE power class 3	4800	51200	4800	51200

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

#### A.8.4.2.7 NR Inter-RAT event triggered reporting tests for FR2 with SSB time index detection when DRX is not used

##### A.8.4.2.7.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.7.1-1, A.8.4.2.7.1-2 and A.8.4.2.7.1-3.

The cell specific test parameters for E-UTRA cell1 as PCell are defined in clause A.3.7.2.2.

In test 1 measurement gap pattern configuration # 0 as defined in Table A.8.4.2.7.1-2 is provided for UE that does not support per-FR gap and in test 2 measurement gap pattern configuration #4 as defined in Table A.8.4.2.7.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B1 (Inter RAT neighbour becomes better than threshold) [16] is used. In the measurement configuration the UE shall be indicated to report the SSB index of the identified NR cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.8.4.2.7.1-1: NR inter-RAT event triggered reporting tests with SSB index reading for FR2 in non-DRX**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.7.1-2: General test parameters for NR inter-RAT event triggered reporting for FR2 with SSB time index detection in non-DRX**

Parameter	Unit	Test configuration	Value		Comment
			Test 1	Test 2	
RF Channel Numbers		1, 2	2		One LTE and one FR2 NR carrier frequencies are used.
Active cell		1, 2	E-UTRA cell 1 (PCell)		E-UTRA cell 1 is on E-UTRA RF channel number 1 as defined in clause A.3.7.2.2.
Neighbour cell		1, 2	NR cell 2		NR cell 2 is on NR RF channel number 1.
Gap Pattern Id		1, 2	0	4	As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].
Measurement gap offset		1, 2	39	19	As specified in TS 36.331 [16].
b1-ThresholdNR	dBm	1, 2	Note 1		SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B1 [16]
Hysteresis	dB	1, 2	0		
CP length		1, 2	Normal		
TimeToTrigger	s	1, 2	0		
Filter coefficient		1, 2	0		L3 filtering is not used
DRX		1, 2	OFF		DRX is not used
Time offset between serving and neighbour cells		1	3ms		Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.
		2	3μs		Synchronous cells.
T1	s	1, 2	5		
T2	s	1, 2	5	3	

Note 1: The value of b1-ThresholdNR is defined in Table A.8.4.2.5.1-3

**Table A.8.4.2.7.1-3: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR2 with SSB time index detection in non-DRX**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2	1			
Duplex mode		1, 2	TDD			
TDD configuration		1, 2	TDDConf.3.1			
BW <sub>channel</sub>	MHz	1, 2	100: N <sub>RB,c</sub> = 66			
OCNG patterns defined in A.3.2.1.1 (OP.1)		1, 2	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1 2	SMTC.2 SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2	120			
b1-ThresholdNR   UE power class 3	dBm/SCS	1, 2	-96			
EPRE ratio of PSS to SSS		1, 2	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
AoA setup defined in A.3.15.1		1, 2				
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2	-111			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2	-102			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2	-Infinity	-88		
Ê <sub>s</sub> /I <sub>ot</sub>	dB	1, 2	-Infinity	14		
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2	-Infinity	14		
Io <sup>Note3</sup>	dBm/95.04MHz	1, 2	-Infinity	-58.84		
Propagation Condition		1, 2	AWGN			
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

## A.8.4.2.7.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than D1 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than D2 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 1 and test 2, the UE is required to report SSB time index.

NOTE: The actual overall delays measured in the test may be up to  $2 \times TTI_{DCCH}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

**Table A.8.4.2.7.2-1: Test requirements for NR inter-RAT event triggered reporting for FR2 with SSB time index detection in non-DRX**

Test case	Measurement reporting delay (ms)	
	Test 1: D1 ms	Test 2: D2 ms
UE power class 3	4160	2080

#### A.8.4.2.8 NR Inter-RAT event triggered reporting tests for FR1 with SSB time index detection when DRX is used

##### A.8.4.2.8.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the NR inter-RAT cell search requirements in clause 8.1.2.4.21 of TS 36.133 [15] for E-UTRAN FDD-NR measurements and clause 8.1.2.4.22 of TS 36.133 [15] for E-UTRAN TDD-NR measurements.

In this test, there are two cells: E-UTRA cell 1 as PCell on E-UTRA RF channel 1 and NR cell 2 as neighbour cell in FR1 on NR RF channel 1. The test parameters are given in Tables A.8.4.2.8.1-1, A.8.4.2.8.1-2 and A.8.4.2.8.1-3.

The cell specific test parameters for E-UTRA cell1 as PCell are defined in clause A.3.7.2.2.

In tests 1 and 2, measurement gap pattern configuration # 0 as defined in Table A.8.4.2.8.1-2 is provided for UE that does not support per-FR gap and in tests 3 and 4, measurement gap pattern configuration #4 as defined in Table A.8.4.2.8.1-2 is provided for UE that supports per-FR gap.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event B1 (Inter RAT neighbour becomes better than threshold) [16] is used. In the measurement configuration the UE shall be indicated to report the SSB index of the identified NR cell. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

**Table A.8.4.2.8.1-1: NR inter-RAT event triggered reporting tests with SSB index reading for FR1 in DRX**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

Note 1: The UE is only required to be tested in one of the supported test configurations.

**Table A.8.4.2.8.1-2: General test parameters for NR inter-RAT event triggered reporting for FR1 with SSB time index detection in DRX**

Parameter	Unit	Test configuration	Value				Comment			
			Test 1	Test 2	Test 3	Test 4				
RF Channel Number		1, 2	2				One LTE and 1 FR1 NR carrier frequencies are used.			
Active cell		1, 2	E-UTRA cell 1 (PCell)				E-UTRA cell 1 is on E-UTRA RF channel number 1 as defined in clause A.3.7.2.2.			
Neighbour cell		1, 2	NR cell 2				NR cell 2 is on NR RF channel number 1.			
Gap Pattern Id		1, 2	0	4			As specified in clause Table 8.1.2.1-1 of TS 36.133 [15].			
Measurement gap offset		1, 2	39	19			As specified in TS 36.331 [16].			
b1-ThresholdNR	dBm	1, 2	Note 1				SS-RSRP threshold for SS-RSRP measurement on cell 2 for event B1 [16]			
Hysteresis	dB	1, 2	0							
CP length		1, 2	Normal							
TimeToTrigger	s	1, 2	0							
Filter coefficient		1, 2	0				L3 filtering is not used			
DRX			DRX.9	DRX.10	DRX.9	DRX.10	As specified in clause A.3.3			
Time offset between serving and neighbour cells		1	3ms				Asynchronous cells. The timing of Cell 2 is 3ms later than the timing of Cell 1.			
		2	3μs				Synchronous cells.			
T1	s	1, 2	5							
T2	s	1, 2	7	70	7	70				

Note 1: The value of b1-ThresholdNR is defined in Table A.8.4.2.5.1-3

**Table A.8.4.2.8.1-3: NR neighbour cell specific test parameters for NR inter-RAT event triggered reporting for FR1 with SSB time index detection**

Parameter	Unit	Test configuration	Cell 2			
			T1	T2		
NR RF Channel Number		1, 2	1			
Duplex mode		1, 2	TDD			
TDD configuration		1, 2	TDDConf.3.1			
BW <sub>channel</sub>	MHz	1, 2	100: N <sub>RB,c</sub> = 66			
OCNG patterns defined in A.3.2.1.1 (OP.1)		1, 2	OP.1			
SMTC configuration defined in A.3.11.1 and A.3.11.2		1 2	SMTC.2 SMTC.1			
PDSCH/PDCCH subcarrier spacing	kHz	1, 2	120			
b1-ThresholdNR   UE power class 3	dBm/SCS	1, 2	-96			
EPRE ratio of PSS to SSS		1, 2	0			
EPRE ratio of PBCH DMRS to SSS						
EPRE ratio of PBCH to PBCH DMRS						
EPRE ratio of PDCCH DMRS to SSS						
EPRE ratio of PDCCH to PDCCH DMRS						
EPRE ratio of PDSCH DMRS to SSS						
EPRE ratio of PDSCH to PDSCH						
EPRE ratio of OCNG DMRS to SSS (Note 1)						
EPRE ratio of OCNG to OCNG DMRS (Note 1)						
AoA setup defined in A.3.15.1		1, 2				
N <sub>oc</sub> <sup>Note2</sup>	dBm/15kHz	1, 2	-111			
N <sub>oc</sub> <sup>Note2</sup>	dBm/SCS	1, 2	-102			
SS-RSRP <sup>Note 3</sup>	dBm/SCS	1, 2	-Infinity	-88		
Ê <sub>s</sub> /I <sub>ot</sub>	dB	1, 2	-Infinity	14		
Ê <sub>s</sub> /N <sub>oc</sub>	dB	1, 2	-Infinity	14		
Io <sup>Note3</sup>	dBm/95.04MHz	1, 2	-Infinity	-58.84		
Propagation Condition		1, 2	AWGN			
Note 1:	OCNG shall be used such that the cell is fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.					
Note 2:	Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N <sub>oc</sub> to be fulfilled.					
Note 3:	SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.					
Note 4:	SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.					

### A.8.4.2.8.2 Test Requirements

In test 1 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than D1 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 2 with per-UE gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than D2 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 3 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than D3 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In test 4 with per-FR gap, the UE shall send one Event B2 triggered measurement report, with a measurement reporting delay less than D4 ms from the beginning of time period T2. The UE shall not send event triggered measurement reports, as long as the reporting criteria are not fulfilled. The rate of correct events observed during repeated tests shall be at least 90%.

In tests 1, 2, 3 and 4, the UE is required to report SSB time index.

**Table A.8.4.2.8.2-1: Test requirements for NR inter-RAT event triggered reporting for FR2 with SSB time index detection in DRX**

Test case	Measurement reporting delay (ms)			
	Test 1: D1 ms	Test 2: D2 ms	Test 3: D3 ms	Test 4: D4 ms
UE power class 3	6240	66560	6240	66560

NOTE: The actual overall delays measured in the test may be up to  $2 \times \text{TTI}_{\text{DCCH}}$  higher than the measurement reporting delays above because of TTI insertion uncertainty of the measurement report in DCCH.

## A.8.5 Measurement performance

### A.8.5.1 SFTD accuracy

#### A.8.5.1.1 SFTD accuracy

##### A.8.5.1.1.1 Test Purpose

The purpose of this set of tests is to verify that the SFTD measurement accuracy is within the specified limits. This test will verify the requirements as specified in clause 9.1.27 in TS 36.133 [15] for inter-RAT FR1 SFTD measurements.

##### A.8.5.1.1.2 Test Environment

Supported test configurations are shown in Table A.8.5.1.1.2-1. In this set of test cases there are two cells on different carriers. Cell 1 is E-UTRAN PCell and Cell 2 is inter-RAT NR FR1 target cell. The test parameters of cell 1 are given in clause A.8.5.1.1.2-2. The test parameters of cell 2 are given in Table A.8.5.1.1.2-3. The SFTD between PCell and target cell shall be set by the test equipment to one of the time differences in Table A.8.5.1.1.2-4.

**Table A.8.5.1.1.2-1: Supported test configurations for SFTD accuracy**

Configuration	Description
1	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE FDD
2	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE FDD
3	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE FDD
4	NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode, LTE TDD
5	NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode, LTE TDD
6	NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode, LTE TDD

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.5.1.1.2-2: Test parameters for SFTD accuracy (Cell 1)**

Parameter	Unit	Test 1
E-UTRA RF Channel Number		1
Duplex mode		FDD or TDD

TDD special subframe configuration <sup>Note1</sup>		6
TDD uplink-downlink configuration <sup>Note1</sup>		1
BW <sub>channel</sub>		5 MHz: N <sub>RB,c</sub> = 25 10 MHz: N <sub>RB,c</sub> = 50 20 MHz: N <sub>RB,c</sub> = 100
PDSCH parameters: DL Reference Measurement Channel <sup>Note2</sup>		5 MHz: R.7 FDD 10 MHz: R.3 FDD 20 MHz: R.6 FDD 5 MHz: R.4 TDD 10 MHz: R.0 TDD 20 MHz: R.3 TDD
PCFICH/PDCCH/PHICH parameters: DL Reference Measurement Channel <sup>Note2</sup>		5 MHz: R.11 FDD 10 MHz: R.6 FDD 20 MHz: R.10 FDD 5 MHz: R.11 TDD 10 MHz: R.6 TDD 20 MHz: R.10 TDD
OCNG Patterns <sup>Note2</sup>		5 MHz: OP.20 FDD 10 MHz: OP.10 FDD 20 MHz: OP.17 FDD 5 MHz: OP.9 TDD 10 MHz: OP.1 TDD 20 MHz: OP.7 TDD
PBCH_RA	dB	0
PBCH_RB	dB	
PSS_RA	dB	
SSS_RA	dB	
PCFICH_RB	dB	
PHICH_RA	dB	
PHICH_RB	dB	
PDCCH_RA	dB	
PDCCH_RB	dB	
PDSCH_RA	dB	
PDSCH_RB	dB	
OCNG_RA <sup>Note3</sup>	dB	
OCNG_RB <sup>Note3</sup>	dB	
N <sub>oc</sub> <sup>Note4</sup>	dBm/15 kHz	-104
E <sub>s</sub> /N <sub>oc</sub>	dB	-3
E <sub>s</sub> /I <sub>ot</sub>	dB	-3
RSRP <sup>Note5</sup>	dBm/15 kHz	-107
SCH_RP <sup>Note5</sup>	dBm/15 kHz	-107
I <sub>o</sub> <sup>Note5</sup>	dBm/Ch BW	-74.45 +10log (N <sub>RB,c</sub> /50)
Propagation Condition		AWGN
Antenna Configuration		1x2

Note 1: Special subframe and uplink-downlink configurations are specified in table 4.2-1 in TS 36.211 [23].

Note 2: DL RMCs and OCNG patterns are specified in clauses A 3.1 and A 3.2 of TS 36.133 [15] respectively.

Note 3: OCNG shall be used such that all cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 4: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for N<sub>oc</sub> to be fulfilled.

Note 5: E<sub>s</sub>/I<sub>ot</sub>, RSRP, SCH\_RP and I<sub>o</sub> levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

**Table A.8.5.1.1.2-3: Test parameters for SFTD accuracy (Cell 2)**

Parameter	Config	Unit	Test 1	
SSB GSCN	1~6		freq1	
Duplex mode	1,4		FDD	
	2,5		TDD	
	3,6		TDD	
TDD Configuration	1,4		N/A	
	2,5		TDDConf.1.1	
	3,6		TDDConf.2.1	
BW <sub>channel</sub>	1,4	MHz	10: N <sub>RB,c</sub> = 52	
	2,5		10: N <sub>RB,c</sub> = 52	
	3,6		40: N <sub>RB,c</sub> = 106	
PDSCH Reference measurement channel	1,4		SR.1.1 FDD	
	2,5		SR.1.1 TDD	
	3,6		SR.2.1 TDD	
RMSI CORESET Reference Channel	1,4		CR.1.1 FDD	
	2,5		CR.1.1 TDD	
	3,6		CR.2.1 TDD	
RMC CORESET Reference Channel	1,4		CCR.1.1 FDD	
	2,5		CCR.1.1 TDD	
	3,6		CCR.2.1 TDD	
SSB configuration	1,4		SSB.1 FR1	
	2,5		SSB.1 FR1	
	3,6		SSB.2 FR1	
SMTC configuration	1~6		SMTC.1	
DL BWP configuration	1~6		DLBWP.1.1	
UL BWP configuration	1~6		ULBWP.1.1	
OCNG Patterns	1~6		OP.1	
EPRE ratio of PSS to SSS	1~6	dB	0	
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
EPRE ratio of OCNG to OCNG DMRS <sup>Note 1</sup>				
$N_{oc}$ <sup>Note2</sup>	NR_FDD_FR1_A, NR_TDD_FR1_A	1~6	dBm/15kHz	-104
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			
	NR_FDD_FR1_E, NR_TDD_FR1_E			
	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
	NR_FDD_FR1_A, NR_TDD_FR1_A			
$N_{oc}$ <sup>Note2</sup>	NR_FDD_FR1_B	1,2,4,5	dBm/SSB SCS	-104
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			
	NR_FDD_FR1_E, NR_TDD_FR1_E			

	NR_FDD_FR1_G	3,6	dBm/SCS	
	NR_FDD_FR1_H			
	NR_FDD_FR1_A, NR_TDD_FR1_A			
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			-101
	NR_FDD_FR1_E, NR_TDD_FR1_E			
	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
	$\hat{E}_s/I_{ot}$	1~6	dB	-3
SS-RSRP Note3	$\hat{E}_s/N_{oc}$	1~6	dB	-3
	NR_FDD_FR1_A, NR_TDD_FR1_A	1,2,4,5	dBm/SCS	
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			-107
	NR_FDD_FR1_E, NR_TDD_FR1_E	3,6	dBm/SCS	
	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
	NR_FDD_FR1_A, NR_TDD_FR1_A			
	NR_FDD_FR1_B			
Io Note3	NR_TDD_FR1_C	1,2,4,5	dBm/9.36 MHz	
	NR_FDD_FR1_D, NR_TDD_FR1_D			-104
	NR_FDD_FR1_E, NR_TDD_FR1_E			
	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
	NR_FDD_FR1_A, NR_TDD_FR1_A	3,6	dBm/38.16 MHz	
	NR_FDD_FR1_B			
	NR_TDD_FR1_C			
	NR_FDD_FR1_D, NR_TDD_FR1_D			-74.28
	NR_FDD_FR1_E, NR_TDD_FR1_E			
Propagation condition	NR_FDD_FR1_G			
	NR_FDD_FR1_H			
Antenna configuration		1~6		AWGN
		1~6		1x2

- |         |  |
|---------|--|
| Note 1: | OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.  |
| Note 2: | Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled. |
| Note 3: | SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.   |
| Note 4: | SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.  |

**Table A.8.5.1.1.2-4: Timing offsets for SFTD accuracy test**

Configuration	SFN offset between PCell and PSCell	Frame boundary offset between PCell and PSCell (Ts)
1	100	-122000
2	300	-60540
3	500	1000
4	700	62540
5	900	124000

#### A.8.5.1.1.3 Test Requirements

The SFTD reported by the UE consists of 2 elements, SFN offset and frame boundary offset between PCell and inter-RAT NR target cell. The reported SFTD accuracy shall fulfil the requirement in clause 9.1.27 in TS 36.133 [15].

### A.8.5.2 E-UTRA – NR Inter-RAT Measurement Performance requirements

#### A.8.5.2.1 SS-RSRP

##### A.8.5.2.1.1 E-UTRAN – NR inter-RAT measurements with FR1 target cell

###### A.8.5.2.1.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.11.1 in TS 36.133 [15] for inter-RAT FR1 SS-RSRP measurements.

###### A.8.5.2.1.1.2 Test Parameters

Supported test configurations are shown in Table A.8.5.2.1.1.2-1. In this test case there are two cells on different carriers. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. Cell 2 is the inter-RAT NR FR1 target cell. The absolute accuracy requirements of SS-RSRP inter-RAT measurement is tested by using test parameters in Table A.8.5.2.1.1.2-2.

**Table A.8.5.2.1.1.2-1: SS-RSRP Inter-RAT SS-RSRP supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30 kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.5.2.1.1.2-2: SS-RSRP inter-RAT test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
SSB ARFCN		freq1	freq1	freq1
Duplex mode	Config 1,4		FDD	
	Config 2,3,5,6		TDD	
TDD configuration	Config 1,4		Not Applicable	
	Config 2,5		TDDConf.1.1	
	Config 3,6		TDDConf.2.1	
Downlink initial BWP configuration			DLBWP.0.1	
Downlink dedicated BWP configuration			DLBWP.1.1	
Uplink initial BWP configuration			ULBWP.0.1	
Uplink dedicated BWP configuration			ULBWP.1.1	
DRX Cycle configuration	ms		Not Applicable	
TRS configuration	Config 1,4		TRS.1.1 FDD	
	Config 2,5		TRS.1.1 TDD	
	Config 3,6		TRS.1.2 TDD	
PDSCH Reference measurement channel	Config 1,4		-	-
	Config 2,5		-	
	Config 3,6		-	
RMSI CORESET Reference Channel	Config 1,4		-	-
	Config 2,5		-	
	Config 3,6		-	
Dedicated CORESET Reference Channel	Config 1,4		-	-
	Config 2,5		-	
	Config 3,6		-	
OCNG Patterns			OP.1	
SS-RSSI-Measurement			Not Applicable	
SMTA configuration			SMTA.1	
SSB configuration	Config 1,2,4,5		SSB.1 FR1	
	Config 3,6		SSB.2 FR1	
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	15	
	Config 3,6		30	
EPRE ratio of PSS to SSS	dB	0	0	0
EPRE ratio of PBCH DMRS to SSS			0	
EPRE ratio of PBCH to PBCH DMRS			0	
EPRE ratio of PDCCH DMRS to SSS			0	
EPRE ratio of PDCCH to PDCCH DMRS			0	
EPRE ratio of PDSCH DMRS to SSS			0	
EPRE ratio of PDSCH to PDSCH			0	

EPRE ratio of OCNG DMRS to SSS(Note 1) EPRE ratio of OCNG to OCNG DMRS (Note 1)									
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/15kHz	-94.65	TBD	TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5		dBm/SCS	-94.65	TBD	Same as Noc for 15kHz			
	Config 3,6	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H		-91.65	TBD	TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
$\hat{E}_s/I_{ot}$			dB	10	TBD	TBD			
$\hat{E}_s/N_{oc}$			dB	10	TBD	TBD			
$SS- RSRP^{Note3}$	Config 1,2,4,5	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/SCS	-84.65	TBD	TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
$Io^{Note3}$	Config 1,2,4,5	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/9.36MHz	-56.28	TBD	TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
						TBD			
	Config 3,6	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B	dBm/38.16MHz	-50.19	TBD	TBD			
						TBD			
						TBD			

		NR_TDD_FR1_C			TBD
		NR_FDD_FR1_D			TBD
		NR_TDD_FR1_D			
		NR_FDD_FR1_E			TBD
		NR_TDD_FR1_E			
		NR_FDD_FR1_G			TBD
		NR_FDD_FR1_H			TBD
Propagation condition		-	AWGN		
Antenna configuration		-	1x2		
<p>Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.</p> <p>Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 3: SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 5: NR operating band groups are as defined in clause 3.5.2.</p>					

#### A.8.5.2.1.1.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 2 shall fulfil the requirement in clause 9.11.1 in TS 36.133 [15].

#### A.8.5.2.1.2 E-UTRAN – NR inter-RAT measurements with FR2 target cell

##### A.8.5.2.1.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRP measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.11.1 in TS 36.133 [15] for inter-RAT FR2 SS-RSRP measurements.

##### A.8.5.2.1.2.2 Test Parameters

Supported test configurations are shown in Table A.8.5.2.1.2.2-1. In this test case there are two cells on different carriers. Both absolute accuracy and relative accuracy requirements of SS-RSRP inter-RAT measurement are tested by using test setup in Table A.8.5.2.1.2.2-2 and Table A.8.5.2.1.2.2-3. In all test cases, Cell 2 is target cell. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.8.5.2.1.2.2-1: SS-RSRP Inter-RAT SS-RSRP supported test configurations**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.8.5.2.1.2.2-2: SS-RSRP Inter-RAT general test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
SSB ARFCN		Freq1	freq1	freq1
Duplex mode		TDD	TDD	TDD
TDD configuration		TDDConf.3.1	TDDConf.3.1	TDDConf.3.1
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
Downlink initial BWP configuration			DLBWP.0.1	
Downlink dedicated BWP configuration			DLBWP.1.1	
Uplink initial BWP configuration			ULBWP.0.1	
Uplink dedicated BWP configuration			ULBWP.1.1	
DRX cycle configuration	ms		Not applicable	
TRS configuration			TRS.2.1 TDD	
TCI state			TCI.State.0	
AoA setup			Setup 3 defined in A.3.15	
PDSCH Reference measurement channel		-	-	-
RMSI CORESET Reference Channel		-	-	-
OCNG Patterns		OP.1	OP.1	OP.1
SMTC configuration		SMTC.1 FR2	SMTC.1 FR2	SMTC.1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0
EPRE ratio of PBCH_DMRS to SSS				
EPRE ratio of PBCH to PBCH_DMRS				
EPRE ratio of PDCCH_DMRS to SSS				
EPRE ratio of PDCCH to PDCCH_DMRS				
EPRE ratio of PDSCH_DMRS to SSS				
EPRE ratio of PDSCH to PDSCH_DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
$\hat{E}_s / N_{oc}$	dB	TBD	TBD	TBD
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.				
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.				
Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.				
Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.				

**Table A.8.5.2.1.2.2-3: SS-RSRP Inter-RAT OTA related test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
UE orientation around TBD axis and TBD axis	degrees	TBD	TBD	TBD
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	TBD	0	0
$N_{oc}$ Note1	dBm/15kHz Note4	TBD	TBD	TBD
				TBD
	dBm/SCS Note3	TBD	TBD	TBD
				TBD
				TBD

$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_G				TBD
	NR_TDD_FR2_T				TBD
	NR_TDD_FR2_Y				TBD
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS Note4	TBD	TBD	TBD
	NR_TDD_FR2_B				TBD
	NR_TDD_FR2_F				TBD
	NR_TDD_FR2_G				TBD
	NR_TDD_FR2_T				TBD
	NR_TDD_FR2_Y				TBD
$\hat{E}_s/I_{ot}$		dB	TBD	TBD	TBD
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD	TBD
	NR_TDD_FR2_B				TBD
	NR_TDD_FR2_F				TBD
	NR_TDD_FR2_G				TBD
	NR_TDD_FR2_T				TBD
	NR_TDD_FR2_Y				TBD
<p>Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for <math>N_{oc}</math> to be fulfilled.</p> <p>Note 2: SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.</p> <p>Note 3: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.</p> <p>Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone</p> <p>Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone</p> <p>Note 6: NR operating band groups are as defined in clause 3.5.2.</p>					

#### A.8.5.2.1.2.3 Test Requirements

The SS-RSRP measurement accuracy for Cell 2 shall fulfil the requirement in clause 9.11.1 in TS 36.133 [15].

#### A.8.5.2.2 SS-RSRQ

##### A.8.5.2.2.1 E-UTRAN – NR inter-RAT measurements with FR1 target cell

###### A.8.5.2.2.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.11.2 in TS 36.133 [15] for inter-RAT FR1 SS-RSRQ measurements.

###### A.8.5.2.2.1.2 Test Parameters

Supported test configurations are shown in Table A.8.5.2.2.1.2-1. In this test case there are two cells on different carriers. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. Cell 2 is the inter-RAT NR FR1 target cell. The absolute accuracy requirements of SS-RSRP inter-RAT measurement is tested by using test parameters in Table A.8.5.2.2.1.2-2.

**Table A.8.5.2.2.1.2-1: SS-RSRQ Inter-RAT SS-RSRQ supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.5.2.2.1.2-2: SS-RSRQ inter-RAT test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
SSB ARFCN		freq1	freq1	freq1
Duplex mode	Config 1,4		FDD	
	Config 2,3,5,6		TDD	
TDD configuration	Config 1,4		Not Applicable	
	Config 2,5		TDDConf.1.1	
	Config 3,6		TDDConf.2.1	
Downlink initial BWP configuration			DLBWP.0.1	
Downlink dedicated BWP configuration			DLBWP.1.1	
Uplink initial BWP configuration			ULBWP.0.1	
Uplink dedicated BWP configuration			ULBWP.1.1	
DRX Cycle configuration	ms		Not Applicable	
TRS configuration	Config 1,4		TRS.1.1 FDD	
	Config 2,5		TRS.1.1 TDD	
	Config 3,6		TRS.1.2 TDD	
PDSCH Reference measurement channel	Config 1,4			
	Config 2,5			
	Config 3,6			
RMSI CORESET Reference Channel	Config 1,4			
	Config 2,5			
	Config 3,6			
Dedicated CORESET Reference Channel	Config 1,4			
	Config 2,5			
	Config 3,6			
OCNG Patterns			OP.1	
SS-RSSI-Measurement			Not Applicable	
SMTC configuration			SMTC.1	
SSB configuration	Config 1,2,4,5		SSB.1 FR1	
	Config 3,6		SSB.2 FR1	
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5	kHz	15	
	Config 3,6		30	
EPRE ratio of PSS to SSS	dB	0	0	0
EPRE ratio of PBCH DMRS to SSS				
EPRE ratio of PBCH to PBCH DMRS				
EPRE ratio of PDCCH DMRS to SSS				
EPRE ratio of PDCCH to PDCCH DMRS				
EPRE ratio of PDSCH DMRS to SSS				
EPRE ratio of PDSCH to PDSCH				
EPRE ratio of OCNG DMRS to SSS (Note 1)				

EPRE ratio of OCNG to OCNG DMRS (Note 1)								
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5	NR_FDD_FR1_A	dBm/15kHz	-80.18	TBD	TBD		
		NR_TDD_FR1_A				TBD		
		NR_FDD_FR1_B				TBD		
		NR_TDD_FR1_C				TBD		
		NR_FDD_FR1_D				TBD		
		NR_TDD_FR1_D				TBD		
		NR_FDD_FR1_E				TBD		
		NR_TDD_FR1_E				TBD		
		NR_FDD_FR1_G				TBD		
		NR_FDD_FR1_H				TBD		
$N_{oc}$ <sup>Note2</sup>	Config 1,2,4,5		dBm/SCS	-80.18	TBD	Same as Noc for 15kHz		
	Config 3,6	NR_FDD_FR1_A		-83.27	TBD	TBD		
		NR_TDD_FR1_A				TBD		
		NR_FDD_FR1_B				TBD		
		NR_TDD_FR1_C				TBD		
		NR_FDD_FR1_D				TBD		
		NR_TDD_FR1_D				TBD		
		NR_FDD_FR1_E				TBD		
		NR_TDD_FR1_E				TBD		
$\hat{E}_s/I_{ot}$			dB	-1.75	TBD	TBD		
$\hat{E}_s/N_{oc}$			dB	-1.75	TBD	TBD		
$SS- RSRP^{Not e3}$	Config 1,2,4,5	NR_FDD_FR1_A	dBm/SCS	-81.93	TBD	TBD		
		NR_TDD_FR1_A				TBD		
		NR_FDD_FR1_B				TBD		
		NR_TDD_FR1_C				TBD		
		NR_FDD_FR1_D				TBD		
		NR_TDD_FR1_D				TBD		
		NR_FDD_FR1_E				TBD		
		NR_TDD_FR1_E				TBD		
		NR_FDD_FR1_G				TBD		
		NR_FDD_FR1_H				TBD		
$SS-RSRQ^{Note3}$	Config 3,6	NR_FDD_FR1_A	dB	-85.02	TBD	TBD		
		NR_TDD_FR1_A				TBD		
		NR_FDD_FR1_B				TBD		
		NR_TDD_FR1_C				TBD		
		NR_FDD_FR1_D				TBD		
		NR_TDD_FR1_D				TBD		
		NR_FDD_FR1_E				TBD		
		NR_TDD_FR1_E				TBD		
		NR_FDD_FR1_G				TBD		
$Io^{Note3}$	Config 1,2,4,5	NR_FDD_FR1_A	dBm/9.36MHz	-50	TBD	TBD		
		NR_TDD_FR1_A				TBD		
		NR_FDD_FR1_B				TBD		
		NR_TDD_FR1_C				TBD		

		NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				TBD TBD TBD TBD
Config 3,6		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/ 38.16MH z	-50	TBD	TBD TBD TBD TBD TBD TBD TBD TBD TBD
		Propagation condition				AWGN
		Antenna configuration				1x2
		Note 1:				OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.
		Note 2:				Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.
		Note 3:				SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.
		Note 4:				SS-RSRQ minimum requirements are specified assuming independent interference and noise at each receiver antenna port.
		Note 5:				NR operating band groups are as defined in clause 3.5.2.

#### A.8.5.2.2.1.3 Test Requirements

The SS-RSRQ measurement accuracy for Cell 2 shall fulfil the requirement in clause 9.11.2 in TS 36.133 [15].

#### A.8.5.2.2.2 E-UTRAN – NR inter-RAT measurements with FR2 target cell

##### A.8.5.2.2.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS-RSRQ measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.11.2 in TS 36.133 [15] for inter-RAT FR2 SS-RSRQ measurements.

##### A.8.5.2.2.2.2 Test Parameters

Supported test configurations are shown in Table A.8.5.2.2.2-1. In this test case there are two cells on different carriers. Both absolute accuracy and relative accuracy requirements of SS-RSRQ inter-RAT measurement are tested by using test setup in Table A.8.5.2.2.2-2 and Table A.8.5.2.2.2-3. In all test cases, Cell 2 is target cell. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.8.5.2.2.2-1: SS-RSRQ Inter-RAT SS-RSRQ supported test configurations**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.8.5.2.2.2-2: SS-RSRQ Inter-RAT general test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
SSB ARFCN		Freq1	freq1	freq1
Duplex mode		TDD	TDD	TDD
TDD configuration		TDDConf.3.1	TDDConf.3.1	TDDConf.3.1
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
Downlink initial BWP configuration			DLBWP.0.1	
Downlink dedicated BWP configuration			DLBWP.1.1	
Uplink initial BWP configuration			ULBWP.0.1	
Uplink dedicated BWP configuration			ULBWP.1.1	
DRX cycle configuration	ms		Not applicable	
TRS configuration			TRS.2.1 TDD	
TCI state			TCI.State.0	
AoA setup			Setup 3 defined in A.3.15	
PDSCH Reference measurement channel		-	-	-
RMSI CORESET Reference Channel		-	-	-
OCNG Patterns		OP.1	OP.1	OP.1
SMTC configuration		SMTC.1 FR2	SMTC.1 FR2	SMTC.1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0
EPRE ratio of PBCH_DMRS to SSS				
EPRE ratio of PBCH to PBCH_DMRS				
EPRE ratio of PDCCH_DMRS to SSS				
EPRE ratio of PDCCH to PDCCH_DMRS				
EPRE ratio of PDSCH_DMRS to SSS				
EPRE ratio of PDSCH to PDSCH_DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
$\hat{E}_s / N_{oc}$	dB	TBD	TBD	TBD

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 3: SS-SINR, SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

**Table A.8.5.2.2.2.2-3: SS-RSRQ Inter-RAT OTA related test parameters**

<b>Parameter</b>	<b>Unit</b>	<b>Test 1</b>	<b>Test 2</b>	<b>Test 3</b>
		<b>Cell 2</b>	<b>Cell 2</b>	<b>Cell 2</b>
UE orientation around TBD axis and TBD axis	degrees	TBD	TBD	TBD
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	TBD	0	0
$N_{oc}$ Note1	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/15kHz <sup>N<sub>ote4</sub></sup>	TBD	TBD
	NR_TDD_FR2_A			TBD
	NR_TDD_FR2_B			TBD
	NR_TDD_FR2_F			TBD
	NR_TDD_FR2_G			TBD
	NR_TDD_FR2_T			TBD
$N_{oc}$ Note1	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS <sup>Note3</sup>	TBD	TBD
	NR_TDD_FR2_A			TBD
	NR_TDD_FR2_B			TBD
	NR_TDD_FR2_F			TBD
	NR_TDD_FR2_G			TBD
	NR_TDD_FR2_T			TBD
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A NR_TDD_FR2_B NR_TDD_FR2_F NR_TDD_FR2_G NR_TDD_FR2_T NR_TDD_FR2_Y	dBm/SCS <sup>Note4</sup>	TBD	TBD
	NR_TDD_FR2_A			TBD
	NR_TDD_FR2_B			TBD
	NR_TDD_FR2_F			TBD
	NR_TDD_FR2_G			TBD
	NR_TDD_FR2_T			TBD
$\hat{E}_s / I_{ot}$		dB	TBD	TBD
	NR_TDD_FR2_A			TBD
	NR_TDD_FR2_B			TBD
	NR_TDD_FR2_F			TBD
	NR_TDD_FR2_G			TBD
	NR_TDD_FR2_T			TBD
$I_o$ Note2	NR_TDD_FR2_Y	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD
	NR_TDD_FR2_A			TBD
	NR_TDD_FR2_B			TBD
	NR_TDD_FR2_F			TBD
	NR_TDD_FR2_G			TBD
	NR_TDD_FR2_T			TBD

Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 2: SS-RSRQ, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 3: SS-RSRQ and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone

Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone

Note 6: NR operating band groups are as defined in clause 3.5.2.

**A.8.5.2.2.2.3****Test Requirements**

The SS-RSRQ measurement accuracy for Cell 2 shall fulfil the requirement in clause 9.11.2 in TS 36.133 [15].

In this test case there are two cells on different carriers and measurement gaps are provided

### A.8.5.2.3 SS-SINR

#### A.8.5.2.3.1 E-UTRAN – NR inter-RAT measurements with FR1 target cell

##### A.8.5.2.3.1.1 Test Purpose and Environment

The purpose of this test is to verify that the SS- SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.11.3 in TS 36.133 [15] for inter-RAT FR1 SS-SINR measurements.

##### A.8.5.2.3.1.2 Test Parameters

Supported test configurations are shown in Table A.8.5.2.3.1.2-1. In this test case there are two cells on different carriers. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. Cell 2 is the inter-RAT NR FR1 target cell. The absolute accuracy requirements of SS-RSRP inter-RAT measurement is tested by using test parameters in Table A.8.5.2.3.1.2-2.

**Table A.8.5.2.3.1.2-1: SS- SINR Inter-RAT SS- SINR supported test configurations**

Config	Description
1	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
2	LTE FDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
3	LTE FDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode
4	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, FDD duplex mode
5	LTE TDD, NR 15 kHz SSB SCS, 10 MHz bandwidth, TDD duplex mode
6	LTE TDD, NR 30kHz SSB SCS, 40 MHz bandwidth, TDD duplex mode

Note: The UE is only required to be tested in one of the supported test configurations

**Table A.8.5.2.3.1.2-2: SS-SINR inter-RAT test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
SSB ARFCN		freq1	freq1	freq1
Duplex mode	Config 1,4		FDD	
	Config 2,3,5,6		TDD	
TDD configuration	Config 1,4		Not Applicable	
	Config 2,5		TDDConf.1.1	
	Config 3,6		TDDConf.2.1	
Downlink initial BWP configuration			DLBWP.0.1	
Downlink dedicated BWP configuration			DLBWP.1.1	
Uplink initial BWP configuration			ULBWP.0.1	
Uplink dedicated BWP configuration			ULBWP.1.1	
DRX Cycle configuration	ms		Not Applicable	
TRS configuration	Config 1,4		TRS.1.1 FDD	
	Config 2,5		TRS.1.1 TDD	
	Config 3,6		TRS.1.2 TDD	
PDSCH Reference measurement channel	Config 1,4	-	-	-
	Config 2,5			
	Config 3,6			
RMSI CORESET Reference Channel	Config 1,4	-	-	-

		Config 2,5								
		Config 3,6								
Dedicated CORESET Reference Channel		Config 1,4		-	-	-				
		Config 2,5		-	-	-				
		Config 3,6		-	-	-				
	OCNG Patterns					OP.1				
SS-RSSI-Measurement						Not Applicable				
SMTTC configuration						SMTTC.1				
SSB configuration	Config 1,2,4,5		kHz			SSB.1 FR1				
	Config 3,6					SSB.2 FR1				
PDSCH/PDCCH subcarrier spacing	Config 1,2,4,5		dB			15				
	Config 3,6					30				
EPRE ratio of PSS to SSS		dB	0							
EPRE ratio of PBCH DMRS to SSS										
EPRE ratio of PBCH to PBCH DMRS										
EPRE ratio of PDCCH DMRS to SSS										
EPRE ratio of PDCCH to PDCCH DMRS										
EPRE ratio of PDSCH DMRS to SSS										
EPRE ratio of PDSCH to PDSCH										
EPRE ratio of OCNG DMRS to SSS (Note 1)										
EPRE ratio of OCNG to OCNG DMRS (Note 1)										
$N_{oc}$ Note2	Config 1,2,4,5	NR_FDD_FR1_A	dBm/15k Hz	[-80]	[-108.5]	[-119.5]				
		NR_TDD_FR1_A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D								
		NR_TDD_FR1_D								
		NR_FDD_FR1_E								
		NR_TDD_FR1_E								
		NR_FDD_FR1_G								
$N_{oc}$ Note2	Config 1,2,4,5	NR_FDD_FR1_H								
			dBm/SC S	[-80]	[-108.5]	Same as Noc for 15kHz				
		NR_FDD_FR1_A								
		NR_TDD_FR1_A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								
		NR_FDD_FR1_D								
		NR_TDD_FR1_D								
		NR_FDD_FR1_E								
$\hat{E}_s/I_{ot}$		NR_TDD_FR1_E		[-77]	[-105.5]	[-115]				
		NR_FDD_FR1_G								
		NR_FDD_FR1_H								
			dB							
$\hat{E}_s/N_{oc}$			[-1.75]	[20]	[-4.0]					
SS-RSRP <sup>Note e3</sup>	Config 1,2,4,5	NR_FDD_FR1_A	dBm/SC S	[-81.75]	[-88.5]	[-123.5]				
		NR_TDD_FR1_A								
		NR_FDD_FR1_B								
		NR_TDD_FR1_C								

		NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -122]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -121.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -120.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -120]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -120.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -120]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -119.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -119]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -118.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -117.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -117]	
SS-SINR <sup>Note3</sup>		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dB	[ -1.75]	[ 20]	[ -4.0]	
Io <sup>Note3</sup>	Config 1,2,4,5	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/ 9.36MHz	[ -49.83]	[ -60.5]	[ -90.09]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -89.59]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -89.09]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -88.59]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -88.09]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -87.09]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -86.59]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -84]	
	Config 3,6	NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H	dBm/ 38.16MHz	[ -43.73]	[ -54.41]	[ -83.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -83]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -82.5]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -82]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -81]	
		NR_FDD_FR1_A NR_TDD_FR1_A NR_FDD_FR1_B NR_TDD_FR1_C NR_FDD_FR1_D NR_TDD_FR1_D NR_FDD_FR1_E NR_TDD_FR1_E NR_FDD_FR1_G NR_FDD_FR1_H				[ -80.5]	
Propagation condition		-				AWGN	
Antenna configuration		-				1x2	
Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.							
Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.							
Note 3: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.							
Note 4: SS-SINR, SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.							
Note 5: NR operating band groups are as defined in clause 3.5.2.							

#### A.8.5.2.3.1.3 Test Requirements

The SS-SINR measurement accuracy for Cell 2 shall fulfil the requirement in clause 9.11.3 in TS 36.133 [15].

#### A.8.5.2.3.2 E-UTRAN – NR inter-RAT measurements with FR2 target cell

##### A.8.5.2.3.2.1 Test Purpose and Environment

The purpose of this test is to verify that the SS- SINR measurement accuracy is within the specified limits. This test will verify the requirements in clause 9.11.3 in TS 36.133 [15] for inter-RAT FR2 SS-SINR measurements.

##### A.8.5.2.3.2.2 Test Parameters

Supported test configurations are shown in Table A.8.5.2.3.2.2-1. In this test case there are two cells on different carriers. Both absolute accuracy and relative accuracy requirements of SS-SINR inter-RAT measurement are tested by using test setup in Table A.8.5.2.3.2.2-2 and A.8.5.2.3.2.2-3. In all test cases, Cell 2 is target cell. Cell 1 is the E-UTRA cell which specific test parameters for this test case are specified in Table A.3.7.2.1-1. The TCI status for Cell 1 is defined in Table [TBD] and TRS configuration for Cell 1 is defined in Table [TBD].

**Table A.8.5.2.3.2.2-1: SS-SINR Inter-RAT SS-SINR supported test configurations**

Configuration	Description
1	LTE FDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode
2	LTE TDD, NR 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode

**Table A.8.5.2.3.2.2-2: SS-SINR Inter-RAT general test parameters**

Parameter	Unit	Test 1	Test 2	Test 3
		Cell 2	Cell 2	Cell 2
SSB ARFCN		Freq1	freq1	freq1
Duplex mode		TDD	TDD	TDD
TDD configuration		TDDConf.3.1	TDDConf.3.1	TDDConf.3.1
BW <sub>channel</sub>	MHz	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66	100: N <sub>RB,c</sub> = 66
Downlink initial BWP configuration			DLBWP.0.1	
Downlink dedicated BWP configuration			DLBWP.1.1	
Uplink initial BWP configuration			ULBWP.0.1	
Uplink dedicated BWP configuration			ULBWP.1.1	
DRX cycle configuration	ms		Not applicable	
TRS configuration			TRS.2.1 TDD	
TCI state			TCI.State.0	
AoA setup			Setup 3 defined in A.3.15	
PDSCH Reference measurement channel		-	-	-
RMSI CORESET Reference Channel		-	-	-
OCNG Patterns		OP.1	OP.1	OP.1
SMTC configuration		SMTC.1 FR2	SMTC.1 FR2	SMTC.1 FR2
PDSCH/PDCCH subcarrier spacing	kHz	120	120	120
EPRE ratio of PSS to SSS	dB	0	0	0
EPRE ratio of PBCH_DMRS to SSS				
EPRE ratio of PBCH to PBCH_DMRS				
EPRE ratio of PDCCH_DMRS to SSS				
EPRE ratio of PDCCH to PDCCH_DMRS				
EPRE ratio of PDSCH_DMRS to SSS				
EPRE ratio of PDSCH to PDSCH_DMRS				
EPRE ratio of OCNG DMRS to SSS <sup>Note 1</sup>				
$\hat{E}_s / N_{oc}$	dB	TBD	TBD	TBD

Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.

Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  $N_{oc}$  to be fulfilled.

Note 3: SS-SINR, SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.

Note 4: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.

**Table A.8.5.2.3.2.2-3: SS-SINR Inter-RAT OTA related test parameters**

Parameter	Unit	Test 1	Test 2	Test 3		
		Cell 2	Cell 2	Cell 2		
UE orientation around TBD axis and TBD axis	degrees	TBD	TBD	TBD		
Relative difference in angle of arrival of cell 2 relative to cell 1	degrees	TBD	0	0		
$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A	dBm/15kHz <sup>Note4</sup>	TBD	TBD		
	NR_TDD_FR2_B			TBD		
	NR_TDD_FR2_F			TBD		
	NR_TDD_FR2_G			TBD		
	NR_TDD_FR2_T			TBD		
	NR_TDD_FR2_Y			TBD		
$N_{oc}$ <sup>Note1</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note3</sup>	TBD	TBD		
	NR_TDD_FR2_B			TBD		
	NR_TDD_FR2_F			TBD		
	NR_TDD_FR2_G			TBD		
	NR_TDD_FR2_T			TBD		
	NR_TDD_FR2_Y			TBD		
SS-RSRP <sup>Note2</sup>	NR_TDD_FR2_A	dBm/SCS <sup>Note4</sup>	TBD	TBD		
	NR_TDD_FR2_B			TBD		
	NR_TDD_FR2_F			TBD		
	NR_TDD_FR2_G			TBD		
	NR_TDD_FR2_T			TBD		
	NR_TDD_FR2_Y			TBD		
SS-SINR <sup>Note2</sup>	NR_TDD_FR2_A	dB	TBD	TBD		
	NR_TDD_FR2_B			TBD		
	NR_TDD_FR2_F			TBD		
	NR_TDD_FR2_G			TBD		
	NR_TDD_FR2_T			TBD		
	NR_TDD_FR2_Y			TBD		
$\hat{E}_s / I_{ot}$	dB	TBD	TBD	TBD		
Io <sup>Note2</sup>	NR_TDD_FR2_A	dBm/95.04 MHz <sup>Note4</sup>	TBD	TBD		
	NR_TDD_FR2_B			TBD		
	NR_TDD_FR2_F			TBD		
	NR_TDD_FR2_G			TBD		
	NR_TDD_FR2_T			TBD		
	NR_TDD_FR2_Y			TBD		
Note 1: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for $N_{oc}$ to be fulfilled.						
Note 2: SS-SINR, SS-RSRP, and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.						
Note 3: SS-SINR and SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.						
Note 4: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zone						
Note 5: As observed with 0 dBi gain antenna at the centre of the quiet zone						
Note 6: NR operating band groups are as defined in clause 3.5.2.						

#### A.8.5.2.3.2.3

#### Test Requirements

The SS-SINR measurement accuracy for Cell 2 shall fulfil the requirement in clause 9.11.3 in TS 36.133 [15].

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## Annex B (normative): Conditions for RRM requirements applicability for operating bands

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### B.1 Conditions for NR RRC\_IDLE state mobility

#### B.1.1 Introduction

In Annex B.1, the following conditions are specified:

- UE conditions which shall apply for UE intra-frequency measurements procedures and requirements in clause 4,
- UE conditions which shall apply for UE inter-frequency measurements procedures and requirements in clause 4.

#### B.1.2 Conditions for measurements on NR intra-frequency cells for cell re-selection

This clause defines the following conditions for NR intra-frequency measurements performed based on SSBs for cell re-selection: SSB\_RP and SSB\_Es/Iot, applicable for a corresponding operating band.

The conditions are defined in Table B.1.2-1 for FR1 NR cells.

The conditions are defined in Table B.1.2-2 for FR2 NR cells.

**Table B.1.2-1: Conditions for intra-frequency cell re-selection in FR1**

Parameter	NR operating band groups <sup>Note1</sup>	Minimum SSB_RP		SSB_Es/Iot dB	
		dBm / SCS <sub>SSB</sub>			
		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A	-124	-121	$\geq -4$	
	NR_FDD_FR1_B	-123.5	-120.5		
	NR_TDD_FR1_C	-123	-120		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-122.5	-119.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-122	-119		
	NR_FDD_FR1_G	-121	-118		
	NR_FDD_FR1_H	-120.5	-117.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

**Table B.1.2-2: Conditions for intra-frequency cell re-selection in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum SSB_RP Note 2, Note 3				SSB Es/Iot dB	
			dBm / SCS <sub>SSB</sub>					
			SCS <sub>SSB</sub> = 120 kHz			SCS <sub>SSB</sub> = 240 kHz		
			UE Power class				UE Power class	
			1	2	3	4	1, 2, 3, 4	
Conditions	Rx Beam Peak	n257	- 125.3+Y <sub>1</sub>	- 122.3+Y <sub>2</sub>	-109.1	- 124.8+Y <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	≥-4
		n258	- 125.3+Y <sub>1</sub>	- 122.3+Y <sub>2</sub>	-109.1	- 124.8+Y <sub>4</sub>		
		n260	- 122.3+Y <sub>1</sub>		-106.5	- 122.8+Y <sub>4</sub>		
		n261	- 125.3+Y <sub>1</sub>	- 122.3+Y <sub>2</sub>	-109.1	- 124.8+Y <sub>4</sub>		
	Spherical coverage Note 1	n257	- 117.3+Z <sub>1</sub>	- 111.3+Z <sub>2</sub>	- 105.2+Z <sub>3</sub>	- 115.8+Z <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	≥-4
		n258	- 117.3+Z <sub>1</sub>	- 111.3+Z <sub>2</sub>	- 105.2+Z <sub>3</sub>	- 115.8+Z <sub>4</sub>		
		n260	- 114.3+Z <sub>1</sub>		- 100.9+Z <sub>3</sub>	- 110.8+Z <sub>4</sub>		
		n261	- 117.3+Z <sub>1</sub>	- 111.3+Z <sub>2</sub>	- 105.2+Z <sub>3</sub>	- 115.8+Z <sub>4</sub>		

Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.

Note 2: Values specified at the Reference point to give minimum SSB Es/Iot, with no applied noise.

Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by  $\Sigma MB_P$  and Spherical coverage values are increased by  $\Sigma MB_S$ , the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].

*Editor's notes for Table B.1.2-2:*

- The value of Y for Power classes 1, 2 and 4 is FFS, where  $Y_1$ ,  $Y_2$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1, 2 and 4 respectively
- The value of Z for Power classes 1, 2, 3 and 4 is FFS, where  $Z_1$ ,  $Z_2$ ,  $Z_3$ , and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1, 2, 3 and 4 respectively

### B.1.3 Conditions for measurements on NR inter-frequency cells for cell re-selection

This clause defines the following conditions for NR inter-frequency measurements performed based on SSBs for cell re-selection: SSB\_RP and SSB Es/Iot, applicable for a corresponding operating band.

The conditions defined in Table B.1.2-1 for FR1 NR intra-frequency cell re-selection shall also apply for FR1 NR inter-frequency cells in this clause.

The conditions defined in Table B.1.2-2 for FR2 NR intra-frequency cell re-selection shall also apply for FR2 NR inter-frequency cells in this clause.

## B.2 Conditions for UE measurements procedures and performance requirements in RRC\_CONNECTED state

### B.2.1 Introduction

#### B.2.1.1 General

In Annex B.2, the following conditions are specified:

- UE conditions which shall apply for UE intra-frequency measurements procedures and requirements in clause 9,
- UE conditions which shall apply for UE inter-frequency measurements procedures and requirements in clause 9,
- UE conditions which shall apply for UE intra-frequency measurements performance requirements in clause 10,
- UE conditions which shall apply for UE inter-frequency measurements performance requirements in clause 10.
- UE conditions which shall apply for UE inter-frequency measurements performance requirements in clause 10,
- The conditions for RRC connection release with redirection to NR requirements in clause 6.2.3.2.1,
- The conditions for UE transmit timing adjustment in clause 7.1.

#### B.2.1.2 Derivation of Minimum SSB\_RP values for FR1

[FFS]

#### B.2.1.3 Derivation of Minimum SSB\_RP values for FR2

##### B.2.1.3.1 Minimum SSB\_RP values for Rx Beam Peak angle of arrival

Minimum SSB\_RP values in Tables B.2.2-2 and B.2.3-2 are based on Reference sensitivity for the Operating band and for the UE Power class, and taking a baseline of UE Power class 3 in Band n260 with 50 MHz channel bandwidth.

Minimum SSB\_RP = Reference sensitivity  $PC_{3,n260,50MHz} + Y - 10\log_{10}(PRB_{Refsens} \times 12) - SNR_{Refsens} + SSB \hat{E}s/Iot + \Sigma MB_P$

where:

EIS Reference sensitivity  $PC_{3,n260,50MHz}$  is the Reference sensitivity value in dBm specified for Power Class 3 in Band n260 for 50MHz Channel bandwidth in Table 7.3.2.3-1 of TS 38.101-2 [19].

$Y$  is the gain difference between fine and rough beams, and is 7dB for a Power Class 3 UE. The value for other Power Classes is defined in Table B.2.1.3.1-1.

**Table B.2.1.3.1-1: Gain difference Y between fine and rough beams, Rx beam peak direction**

Value "Y" in dB, for each UE Power class			
1	2	3	4
FFS	[9.0]	7.0	FFS

$PRB_{Refsens}$  is  $N_{RB}$  associated with subcarrier spacing 120 kHz for 50MHz in TS 38.101-2 [19] Table 5.3.2-1, and is 32.

12 is the number of subcarriers in a PRB.

$SNR_{Refsens}$  is the SNR used for simulation of Refsens and EIS spherical coverage, and is -1dB.

$SSB \hat{E}s/Iot$  is the minimum value required by the UE to perform measurements, and is -6dB for intra-frequency measurements and -4dB for inter-frequency measurements. The only contribution to Iot is the UE internal noise.

$\Sigma MB_P$  is the UE multi-band relaxation factor value in dB specified in TS 38.101-2 [19] clause 6.2.1.

The calculated Minimum SSB\_RP value for the baseline of UE Power class 3 in Band n260 is  $(-109.5 + \Sigma MB_P)$  dBm/120kHz for intra-frequency measurements and  $(-107.5 + \Sigma MB_P)$  dBm/120kHz for inter-frequency measurements.

The following methodology to define the Minimum SSB\_RP level for power class X (PC\_X) and operating band Y (Band\_Y) is used:

For Intra-frequency: Minimum SSB\_RP (PC\_X, Band\_Y) =  $-109.5$  dBm/120kHz + Refsens<sub>PC\_X, Band\_Y, 50MHz</sub> - Refsens<sub>PC3, n260, 50MHz</sub> +  $Y_{PC_X} - Y_{PC3} + \Sigma MB_P$

For Inter-frequency: Minimum SSB\_RP (PC\_X, Band\_Y) =  $-107.5$  dBm/120kHz + Refsens<sub>PC\_X, Band\_Y, 50MHz</sub> - Refsens<sub>PC3, n260, 50MHz</sub> +  $Y_{PC_X} - Y_{PC3} + \Sigma MB_P$

*Editor's notes:*

- May need to change Power Class and Band terminology (to A, B?) to avoid confusion with Y, Z dB values
- If changed, would also need to align TS 38.101-4 clause 4.5.3.3, and also TR 38.810

### B.2.1.3.2 Minimum SSB\_RP values for angle of arrival within Spherical coverage

Minimum SSB\_RP values in Tables B.2.2-2 and B.2.3-2 are based on EIS Spherical coverage for the Operating band and for the UE Power class, and taking a baseline of UE Power class 3 in Band n260 with 50 MHz channel bandwidth.

Minimum SSB\_RP = EIS spherical coverage<sub>PC3, n260, 50MHz</sub> +  $Z \cdot 10 \log_{10}(PRB_{Refsens} \times 12) - SNR_{Refsens} + SSB \hat{E}s/Iot + \Sigma MBs$

where:

EIS spherical coverage<sub>PC3, n260, 50MHz</sub> is the EIS spherical coverage value in dBm specified for Power Class 3 in Band n260 for 50MHz Channel bandwidth in TS 38.101-2 [19] Table 7.3.4.3-1.

$Z$  is the gain difference between fine and rough beams, and is [FFS]dB for a Power Class 3 UE. The value for other Power Classes is defined in Table B.2.1.3.2-1.

**Table B.2.1.3.2-1: Gain difference Z between fine and rough beams, Spherical coverage directions**

Value "Z" in dB, for each UE Power class			
1	2	3	4
FFS	[9.0]	FFS	FFS

$PRB_{Refsens}$  is  $N_{RB}$  associated with subcarrier spacing 120 kHz for 50MHz in TS 38.101-2 [19] Table 5.3.2-1, and is 32.

12 is the number of subcarriers in a PRB.

$SNR_{Refsens}$  is the SNR used for simulation of Refsens and EIS spherical coverage, and is -1dB.

$SSB \hat{E}s/Iot$  is the minimum value required by the UE to perform measurements, and is -6dB for intra-frequency measurements and -4dB for inter-frequency measurements. The only contribution to Iot is the UE internal noise.

$\Sigma MBs$  is the UE multi-band relaxation factor value in dB specified in TS 38.101-2 [19] clause 6.2.1.

The calculated Minimum SSB\_RP value for the baseline of UE Power class 3 in Band n260 is  $(-103.9 + \Sigma MBs + Z)$  dBm/120kHz for intra-frequency measurements and is  $(-101.9 + \Sigma MBs + Z)$  dBm/120kHz for inter-frequency measurements.

The following methodology to define the Minimum SSB\_RP level for power class X (PC\_X) and operating band Y (Band\_Y) is used:

For Intra-frequency: Minimum SSB\_RP (PC\_X, Band\_Y) =  $(-103.9 + \Sigma MBs + Z)$  dBm/120kHz + Refsens<sub>PC\_X, Band\_Y, 50MHz</sub> - Refsens<sub>PC3, n260, 50MHz</sub> +  $Z_{PC_X} - Z_{PC3} + \Sigma MBs$

For Inter-frequency: Minimum SSB\_RP (PC\_X, Band\_Y) =  $(-101.9 + \Sigma MBs + Z)$  dBm/120kHz + Refsens<sub>PC\_X, Band\_Y, 50MHz</sub> - Refsens<sub>PC3, n260, 50MHz</sub> +  $Z_{PC_X} - Z_{PC3} + \Sigma MBs$

*Editor's notes:*

- May need to change Power Class and Band terminology (to A, B?) to avoid confusion with Y, Z dB values
- If changed, would also need to align TS 38.101-4 clause 4.5.3.3, and also TR 38.810

## B.2.2 Conditions for NR intra-frequency measurements

This clause defines the following conditions for NR intra-frequency measurements and corresponding procedures performed based on SSBs: SSB\_RP and SSB\_Es/Iot, applicable for a corresponding operating band.

The conditions are defined in Table B.2.2-1 for FR1 NR cells.

The conditions are defined in Table B.2.2-2 for FR2 NR cells.

**Table B.2.2-1: Conditions for intra-frequency measurements in FR1**

Parameter	NR operating band groups <sup>Note 1</sup>	Minimum SSB_RP		SSB_Es/Iot	
		dBm / SCS <sub>SSB</sub>			
		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-127	-124	$\geq -6$	
	NR_FDD_FR1_B	-126.5	-123.5		
	NR_TDD_FR1_C	-126	-123		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-125.5	-122.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-125	-122		
	NR_FDD_FR1_G	-124	-121		
	NR_FDD_FR1_H	-123.5	-120.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

**Table B.2.2-2: Conditions for intra-frequency measurements in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum SSB_RP Note 2, Note 3				SSB_Es/Iot	
			dBm / SCS <sub>SSB</sub>					
			SCS <sub>SSB</sub> = 120 kHz					
			UE Power class					
			1	2	3	4		
Conditions	Rx Beam Peak	n257	- 128.3+Y <sub>1</sub>	[ -113.8 ]	-112.1	- 127.8+Y <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	
		n258	- 128.3+Y <sub>1</sub>	[ -113.8 ]	-112.1	- 127.8+Y <sub>4</sub>		
		n260	- 125.3+Y <sub>1</sub>		-109.5	- 125.8+Y <sub>4</sub>		
		n261	- 128.3+Y <sub>1</sub>	[ -113.8 ]	-112.1	- 127.8+Y <sub>4</sub>		
Conditions	Spherical coverage <sup>Note 1</sup>	n257	- 120.3+Z <sub>1</sub>	[ -102.8 ]	- 108.2+Z <sub>3</sub>	- 118.8+Z <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	
		n258	- 120.3+Z <sub>1</sub>	[ -102.8 ]	- 108.2+Z <sub>3</sub>	- 118.8+Z <sub>4</sub>		
		n260	- 117.3+Z <sub>1</sub>		- 103.9+Z <sub>3</sub>	- 113.8+Z <sub>4</sub>		
		n261	- 120.3+Z <sub>1</sub>	[ -102.8 ]	- 108.2+Z <sub>3</sub>	- 118.8+Z <sub>4</sub>		

Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.

Note 2: Values specified at the Reference point to give minimum SSB\_Es/Iot, with no applied noise.

Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by  $\Sigma MB_P$  and Spherical coverage values are increased by  $\Sigma MB_S$ , the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].

*Editor's notes for Table B.2.2-2:*

- The value of  $Y$  for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1 and 4 respectively
- The value of  $Z$  for Power classes 1, 3 and 4 is FFS, where  $Z_1$ ,  $Z_3$ , and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1, 3 and 4 respectively

### B.2.3 Conditions for NR inter-frequency measurements

This clause defines the following conditions for NR inter-frequency measurements and corresponding procedures performed based on SSBs: SSB\_RP and SSB\_Es/Iot, applicable for a corresponding operating band.

The conditions are defined in Table B.2.3-1 for FR1 NR cells.

The conditions are defined in Table B.2.3-2 for FR2 NR cells.

**Table B.2.3-1: Conditions for inter-frequency measurements in FR1**

Parameter	NR operating band groups <sup>Note 1</sup>	Minimum SSB_RP		SSB_Es/Iot dB	
		dBm / SCS <sub>SSB</sub>			
		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-125	-122	$\geq -4$	
	NR_FDD_FR1_B	-124.5	-121.5		
	NR_TDD_FR1_C	-124	-121		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-124.5	-120.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-123	-120		
	NR_FDD_FR1_G	-122	-119		
	NR_FDD_FR1_H	-121.5	-118.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

**Table B.2.3-2: Conditions for inter-frequency measurements in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum SSB_RP Note 2, Note 3				SSB_Es/Iot dB	
			dBm / SCS <sub>SSB</sub>					
			SCS <sub>SSB</sub> = 120 kHz					
			UE Power class					
			1	2	3	4		
Conditions	Rx Beam Peak	n257	- 126.3+Y <sub>1</sub>	[ -111.8 ]	-110.1	- 125.8+Y <sub>4</sub>	$(\text{Value for } \text{SCS}_{\text{SSB}} = 120 \text{ kHz}) + 3\text{dB}$	
		n258	- 126.3+Y <sub>1</sub>	[ -111.8 ]	-110.1	- 125.8+Y <sub>4</sub>		
		n260	- 123.3+Y <sub>1</sub>		-107.5	- 123.8+Y <sub>4</sub>		
		n261	- 126.3+Y <sub>1</sub>	[ -111.8 ]	-110.1	- 125.8+Y <sub>4</sub>		
	Spherical coverage <sup>Note 1</sup>	n257	- 118.3+Z <sub>1</sub>	[ -100.8 ]	- 106.2+Z <sub>3</sub>	- 116.8+Z <sub>4</sub>	$(\text{Value for } \text{SCS}_{\text{SSB}} = 120 \text{ kHz}) + 3\text{dB}$	
		n258	- 118.3+Z <sub>1</sub>	[ -100.8 ]	- 106.2+Z <sub>3</sub>	- 116.8+Z <sub>4</sub>		
		n260	- 115.3+Z <sub>1</sub>		- 101.9+Z <sub>3</sub>	- 111.8+Z <sub>4</sub>		
		n261	- 118.3+Z <sub>1</sub>	[ -100.8 ]	- 106.2+Z <sub>3</sub>	- 116.8+Z <sub>4</sub>		

Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.

Note 2: Values specified at the Reference point to give minimum SSB\_Es/Iot, with no applied noise.

Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by  $\Sigma MB_P$  and Spherical coverage values are increased by  $\Sigma MB_S$ , the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].

*Editor's notes for Table B.2.3-2:*

- The value of Y for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1 and 4 respectively
- The value of Z for Power classes 1, 3 and 4 is FFS, where  $Z_1$ ,  $Z_3$ , and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1, 3 and 4 respectively

## B.2.4 Conditions for NR L1-RSRP reporting

### B.2.4.1 Conditions for SSB based L1-RSRP reporting

This clause defines the following conditions for NR L1-RSRP measurement reporting and corresponding procedures performed based on SSBs: SSB\_RP and SSB\_Es/Iot, applicable for a corresponding operating band.

The conditions are defined in Table B.2.4.1-1 for FR1 NR cells.

The conditions are defined in Table B.2.4.1-2 for FR2 NR cells.

**Table B.2.4.1-1: Conditions for SSB based L1-RSRP measurements in FR1**

Parameter	NR operating band groups <sup>Note1</sup>	Minimum SSB_RP		SSB_Es/Iot dB	
		dBm / SCS <sub>SSB</sub>			
		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDK_FR1_A	-124	-121	$\geq -3$	
	NR_FDD_FR1_B	-123.5	-120.5		
	NR_TDD_FR1_C	-123	-120		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-122.5	-119.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-122	-119		
	NR_FDD_FR1_G	-121	-118		
	NR_FDD_FR1_H	-120.5	-117.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

**Table B.2.4.1-2: Conditions for SSB based L1-RSRP measurements in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum SSB_RP Note 2, Note 3				SSB_Es/Iot dB	
			dBm / SCS <sub>SSB</sub>					
			SCS <sub>SSB</sub> = 120 kHz					
			UE Power class					
			1	2	3	4		
Conditions	Rx Beam Peak	n257	- 125.3+Y <sub>1</sub>	-113.3	-109.1	- 124.8+Y <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	
		n258	- 125.3+Y <sub>1</sub>	-113.3	-109.1	- 124.8+Y <sub>4</sub>		
		n260	- 122.3+Y <sub>1</sub>		-106.5	- 122.8+Y <sub>4</sub>		
		n261	- 125.3+Y <sub>1</sub>	-113.3	-109.1	- 124.8+Y <sub>4</sub>		
	Spherical coverage <sup>Note 1</sup>	n257	- 117.3+Z <sub>1</sub>	-102.3	-98.2	- 115.8+Z <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	
		n258	- 117.3+Z <sub>1</sub>	-102.3	-98.2	- 115.8+Z <sub>4</sub>		
		n260	- 114.3+Z <sub>1</sub>		-93.9	- 110.8+Z <sub>4</sub>		
		n261	- 117.3+Z <sub>1</sub>	-102.3	-98.2	- 115.8+Z <sub>4</sub>		

Note 1:	Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.
Note 2:	Values specified at the Reference point to give minimum SSB $\hat{E}$ s/I <sub>ot</sub> , with no applied noise.
Note 3:	For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by $\Sigma MB_P$ and Spherical coverage values are increased by $\Sigma MB_S$ , the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].

*Editor's notes for Table B.2.4.1-2:*

- The value of Y for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1 and 4 respectively
- The value of Z for Power classes 1 and 4 is FFS, where  $Z_1$  and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1 and 4 respectively

## B.2.4.2 Conditions for CSI-RS based L1-RSRP reporting

This clause defines the following conditions for NR L1-RSRP measurement reporting and corresponding procedures performed based on CSI-RS: CSI-RS\_RP and CSI-RS  $\hat{E}$ s/I<sub>ot</sub>, applicable for a corresponding operating band.

The conditions are defined in Table B.2.4.2-1 for FR1 NR cells.

The conditions are defined in Table B.2.4.2-2 for FR2 NR cells.

**Table B.2.4.2-1: Conditions for CSI-RS based L1-RSRP measurements in FR1**

Parameter	NR operating band groups <sup>Note1</sup>	Minimum CSI-RS_RP			CSI-RS $\hat{E}$ s/I <sub>ot</sub> dB	
		dBm / SCS <sub>CSI-RS</sub>				
		SCS <sub>CSI-RS</sub> = 15 kHz	SCS <sub>CSI-RS</sub> = 30 kHz	SCS <sub>CSI-RS</sub> = 60 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL FR1_A	-124	-121	-118	$\geq -3$	
	NR_FDD_FR1_B	-123.5	-120.5	-117.5		
	NR_TDD_FR1_C	-123	-120	-117		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-122.5	-119.5	-116.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-122	-119	-116		
	NR_FDD_FR1_G	-121	-118	-115		
	NR_FDD_FR1_H	-120.5	-117.5	-114.5		
	NOTE 1: NR operating band groups are defined in clause 3.5.2.					

**Table B.2.4.2-2: Conditions for CSI-RS based L1-RSRP measurements in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum CSI-RS_RP Note 2, Note 3				CSI-RS $\hat{E}$ s/I <sub>ot</sub> dB	
			dBm / SCS <sub>CSI-RS</sub>					
			SCS <sub>CSI-RS</sub> = 60 kHz			SCS <sub>CSI-RS</sub> = 120 kHz		
			UE Power class			UE Power class		
			1	2	3	4	1, 2, 3, 4	
Conditions	Rx Beam Peak	n257	- 128.3+Y <sub>1</sub>	-116.3	-112.1	- 127.8+Y <sub>4</sub>	(Value for SCS <sub>CSI-RS</sub> = 60 kHz) +3dB	
		n258	- 128.3+Y <sub>1</sub>	-116.3	-112.1	- 127.8+Y <sub>4</sub>		
		n260	- 125.3+Y <sub>1</sub>		-109.5	- 125.8+Y <sub>4</sub>		
		n261	- 128.3+Y <sub>1</sub>	-116.3	-112.1	- 127.8+Y <sub>4</sub>		
Conditions	Spherical coverage Note 1	n257	- 120.3+Z <sub>1</sub>	-105.3	-101.2	- 118.8+Z <sub>4</sub>	(Value for SCS <sub>CSI-RS</sub> = 60 kHz) +3dB	
		n258	- 120.3+Z <sub>1</sub>	-105.3	-101.2	- 118.8+Z <sub>4</sub>		

		n260	- 117.3+Z <sub>1</sub>		-96.9	- 113.8+Z <sub>4</sub>		
		n261	- 120.3+Z <sub>1</sub>	-105.3	-101.2	- 118.8+Z <sub>4</sub>		
Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.								
Note 2: Values specified at the Reference point to give minimum CSI-RS Es/Iot, with no applied noise.								
Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by $\Sigma MB_P$ and Spherical coverage values are increased by $\Sigma MB_S$ , the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].								

*Editor's notes for Table B.2.4.2-2:*

- The value of Y for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1 and 4 respectively
- The value of Z for Power classes 1 and 4 is FFS, where  $Z_1$  and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1 and 4 respectively

## B.2.5 Conditions for RRC connection release with redirection to NR

This clause defines the following conditions for RRC connection release with redirection to NR: SSB\_RP and SSB Es/Iot, applicable for a corresponding operating band.

The conditions are defined in Table B.2.5-1 for FR1 NR cells.

The conditions are defined in Table B.2.5-2 for FR2 NR cells.

**Table B.2.5-1: Conditions for RRC connection release with redirection to NR in FR1**

Parameter	NR operating band groups <sup>Note1</sup>	Minimum SSB_RP		SSB Es/Iot dB	
		dBm / SCS <sub>SSB</sub>			
		SCS <sub>SSB</sub> = 15 kHz	SCS <sub>SSB</sub> = 30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A	-125	-122	$\geq -4$	
	NR_FDD_FR1_B	-124.5	-121.5		
	NR_TDD_FR1_C	-124	-121		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-124.5	-120.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-123	-120		
	NR_FDD_FR1_G	-122	-119		
	NR_FDD_FR1_H	-121.5	-118.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

**Table B.2.5-2: Conditions for RRC connection release with redirection to NR in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum SSB_RP Note 2, Note 3				SSB Es/lot dB	
			dBm / SCS <sub>SSB</sub>					
			SCS <sub>SSB</sub> = 120 kHz			SCS <sub>SSB</sub> = 240 kHz		
			UE Power class			UE Power class		
			1	2	3	4	1, 2, 3, 4	
Conditions	Rx Beam Peak	n257	- 126.3+Y <sub>1</sub>	-114.3	-110.1	- 125.8+Y <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	≥-4
		n258	- 126.3+Y <sub>1</sub>	-114.3	-110.1	- 125.8+Y <sub>4</sub>		
		n260	- 123.3+Y <sub>1</sub>		-107.5	- 123.8+Y <sub>4</sub>		
		n261	- 126.3+Y <sub>1</sub>	-114.3	-110.1	- 125.8+Y <sub>4</sub>		
	Spherical coverage Note 1	n257	- 118.3+Z <sub>1</sub>	-103.3	-99.2	- 116.8+Z <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	≥-4
		n258	- 118.3+Z <sub>1</sub>	-103.3	-99.2	- 116.8+Z <sub>4</sub>		
		n260	- 115.3+Z <sub>1</sub>		-94.9	- 111.8+Z <sub>4</sub>		
		n261	-114.3	-103.3	-99.2	- 116.8+Z <sub>4</sub>		
<p>Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.</p> <p>Note 2: Values specified at the Reference point to give minimum SSB Es/lot, with no applied noise.</p> <p>Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by <math>\Sigma MB_P</math> and Spherical coverage values are increased by <math>\Sigma MB_S</math>, the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].</p>								

*Editor's notes for Table B.2.5.2-2:*

- The value of Y for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1 and 4 respectively
- The value of Z for Power classes 1 and 4 is FFS, where  $Z_1$  and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1 and 4 respectively

## B.2.6 Conditions for UE transmit timing

### B.2.6.1 Conditions for SSB based UE transmit timing

This clause defines the following conditions for UE transmit timing adjustment performed based on SSBs: SSB\_RP and SSB Es/Iot and applicable for a corresponding operating band.

The conditions are defined in Table B.2.6.1-1 for FR1 SSB.

**Table B.2.6.1-1: Conditions for SSB based UE transmit timing in FR1**

Parameter	NR operating band groups <sup>Note1</sup>	Minimum SSB_RP		SSB $\hat{E}$ s/lot	
		dBm / SCS <sub>SSB</sub>			
		SCS <sub>SSB</sub> =15 kHz	SCS <sub>SSB</sub> =30 kHz		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A, NR_SDK_FR1_A	-124	-121	$\geq [-3]$	
	NR_FDD_FR1_B	-123.5	-120.5		
	NR_TDD_FR1_C	-123	-120		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-122.5	-119.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-122	-119		
	NR_FDD_FR1_G	-121	-118		
	NR_FDD_FR1_H	-120.5	-117.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

The conditions are defined in Table B.2.6.1-2 for FR2 SSB.

**Table B.2.6.1-2: Conditions for SSB based UE transmit timing in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum SSB_RP Note 2, Note 3				SSB $\hat{E}$ s/lot	
			dBm / SCS <sub>SSB</sub>					
			SCS <sub>SSB</sub> = 120 kHz					
			UE Power class					
			1	2	3	4	1, 2, 3, 4	
Conditions	Rx Beam Peak	n257	- 125.3+Y <sub>1</sub>	-113.3	-109.1	- 124.8+Y <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	
		n258	- 125.3+Y <sub>1</sub>	-113.3	-109.1	- 124.8+Y <sub>4</sub>		
		n260	- 122.3+Y <sub>1</sub>		-106.5	- 122.8+Y <sub>4</sub>		
		n261	- 125.3+Y <sub>1</sub>	-113.3	-109.1	- 124.8+Y <sub>4</sub>		
	Spherical coverage Note 1	n257	- 117.3+Z <sub>1</sub>	-102.3	-98.2	- 115.8+Z <sub>4</sub>	(Value for SCS <sub>SSB</sub> = 120 kHz) +3dB	
		n258	- 117.3+Z <sub>1</sub>	-102.3	-98.2	- 115.8+Z <sub>4</sub>		
		n260	- 114.3+Z <sub>1</sub>		-93.9	- 110.8+Z <sub>4</sub>		
		n261	- 117.3+Z <sub>1</sub>	-102.3	-98.2	- 115.8+Z <sub>4</sub>		

Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.

Note 2: Values specified at the Reference point to give minimum SSB  $\hat{E}$ s/lot, with no applied noise.Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by  $\Sigma MB_P$  and Spherical coverage values are increased by  $\Sigma MB_S$ , the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].*Editor's notes for Table B.2.6.1-2:*

- The value of Y for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1 and 4 respectively
- The value of Z for Power classes 1 and 4 is FFS, where  $Z_1$  and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1 and 4 respectively

## B.2.6.2 Conditions for CSI-RS based UE transmit timing

This clause defines the following conditions for UE transmit timing adjustment based on CSI-RS: CSI-RS\_RP and CSI-RS  $\hat{E}$ s/lot and applicable for a corresponding operating band.

The conditions are defined in Table B.2.6.2-1 for FR1 CSI-RS.

**Table B.2.6.2-1: Conditions for CSI-RS based UE transmit timing in FR1**

Parameter	NR operating band groups <sup>Note1</sup>	Minimum CSI-RS RSRP			CSI-RS $\hat{E}_{\text{S/lot}}$ dB	
		dBm / $SCS_{\text{CSI-RS}}$				
		$SCS_{\text{CSI-RS}}=15 \text{ kHz}$	$SCS_{\text{CSI-RS}}=30 \text{ kHz}$	$SCS_{\text{CSI-RS}}=60 \text{ kHz}$		
Conditions	NR_FDD_FR1_A, NR_TDD_FR1_A, NR SDL_FR1_A	-124	-121	-118	$\geq [-3]$	
	NR_FDD_FR1_B	-123.5	-120.5	-117.5		
	NR_TDD_FR1_C	-123	-120	-117		
	NR_FDD_FR1_D, NR_TDD_FR1_D	-122.5	-119.5	-116.5		
	NR_FDD_FR1_E, NR_TDD_FR1_E	-122	-119	-116		
	NR_FDD_FR1_G	-121	-118	-115		
	NR_FDD_FR1_H	-120.5	-117.5	-114.5		

NOTE 1: NR operating band groups are defined in clause 3.5.2.

The conditions are defined in Table B.2.6.2-2 for FR2 CSI-RS.

**Table B.2.6.2-2: Conditions for CSI-RS based UE transmit timing in FR2**

Parameter	Angle of arrival	NR operating bands	Minimum CSI-RS_RP <sup>Note 2, Note 3</sup>				CSI-RS $\hat{E}_{\text{S/lot}}$ dB	
			dBm / $SCS_{\text{CSI-RS}}$					
			$SCS_{\text{CSI-RS}} = 60 \text{ kHz}$			$SCS_{\text{CSI-RS}} = 120 \text{ kHz}$		
			1	2	3	4	1, 2, 3, 4	
Conditions	Rx Beam Peak	n257	- 128.3+Y <sub>1</sub>	-116.3	-112.1	- 127.8+Y <sub>4</sub>	(Value for $SCS_{\text{CSI-RS}} = 60 \text{ kHz}$ ) +3dB	
		n258	- 128.3+Y <sub>1</sub>	-116.3	-112.1	- 127.8+Y <sub>4</sub>		
		n260	- 125.3+Y <sub>1</sub>		-109.5	- 125.8+Y <sub>4</sub>		
		n261	- 128.3+Y <sub>1</sub>	-116.3	-112.1	- 127.8+Y <sub>4</sub>		
	Spherical coverage <sup>Note 1</sup>	n257	- 120.3+Z <sub>1</sub>	-105.3	-101.2	- 118.8+Z <sub>4</sub>	(Value for $SCS_{\text{CSI-RS}} = 60 \text{ kHz}$ ) +3dB	
		n258	- 120.3+Z <sub>1</sub>	-105.3	-101.2	- 118.8+Z <sub>4</sub>		
		n260	- 117.3+Z <sub>1</sub>		-96.9	- 113.8+Z <sub>4</sub>		
		n261	- 120.3+Z <sub>1</sub>	-105.3	-101.2	- 118.8+Z <sub>4</sub>		

Note 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.

Note 2: Values specified at the Reference point to give minimum CSI-RS  $\hat{E}_{\text{S/lot}}$ , with no applied noise.

Note 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by  $\Sigma M_{\text{B}}$  and Spherical coverage values are increased by  $\Sigma M_{\text{B}}$ s, the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].

*Editor's notes for Table B.2.6.2-2:*

- The value of Y for Power classes 1 and 4 is FFS, where  $Y_1$  and  $Y_4$  are the rough/fine beam gain differences in Rx beam peak direction for Power classes 1, 2 and 4 respectively
- The value of Z for Power classes 1 and 4 is FFS, where  $Z_1$  and  $Z_4$  are the rough/fine beam gain differences in spherical coverage directions for Power classes 1, 2, 3 and 4 respectively

## B.3 RRM Requirements Exceptions

### B.3.1 Introduction

Annex B.3 covers exceptions for side conditions based on receiver sensitivity for CA, DC, and SUL.

### B.3.2 Receiver sensitivity relaxation for CA

#### B.3.2.1 Receiver sensitivity relaxation for UE supporting CA in FR1

For a UE supporting inter-band carrier aggregation configuration with uplink in NR band, if there is a relaxation of receiver sensitivity  $\Delta R_{IB,c} > 0$  dB as defined in clause 7.3A.3 of TS 38.101-1 [18], the relevant side conditions specifying received power levels (SSB\_RP and Io) shall be increased by the amount  $\Delta = \Delta R_{IB,c}$  defined for the corresponding downlink NR bands.

For a UE supporting CA configuration in FR1, the requirement in this clause applies for both SC and CA operation.

#### B.3.2.2 Receiver sensitivity relaxation for UE configured with CA in FR1

##### B.3.2.2.1 Inter-band carrier aggregation

For a UE configured with inter-band carrier aggregation with active uplink in NR band, if there is a relaxation of receiver sensitivity  $\Delta R_{IB,c} > 0$  dB as defined in clause 7.3A.3 of TS 38.101-1 [18], the relevant side conditions specifying received power levels (SSB\_RP and Io) shall be increased by the amount  $\Delta = \Delta R_{IB,c}$  defined for the corresponding downlink NR bands.

If the relaxation  $\Delta$  specified in this clause applies, then the relaxation specified in clause B.3.2.1 should not be applied.

##### B.3.2.2.2 Reference sensitivity exceptions due to UL harmonic interference for CA

In this clause, requirements exceptions are described for the UE configured with a band in FR1 when it is impacted by UL harmonic interference from another band in FR1 of the same CA configuration.

A relevant side condition (SSB\_RP and Io) in a requirement shall be increased by the amount  $\Delta = L2 - L1$ , where L1 is the reference sensitivity level specified in clause 7.3.2 of TS 38.101-1 [18], and L2 is the reference sensitivity level based on the requirements in clause 7.3A.4 of TS 38.101-1 [18], when the following conditions are fulfilled,

- corresponding downlink component carriers on different NR bands are configured with CA and active,
- the uplink is configured in the NR low operating band and is active,
- the uplink configuration is as specified in clause 7.3A.4 of TS 38.101-1 [18], and
- the exception requirements specified in clause 7.3A.4 of TS 38.101-1 [18] apply.

If the relaxation  $\Delta$  specified in this clause applies, then the relaxation specified in clause B.3.2.1 should not be applied.

##### B.3.2.2.3 Reference sensitivity exceptions due to intermodulation interference due to 2UL CA

In this clause, requirements exceptions are described for the UE with an inter-band carrier aggregation with uplink assigned to two NR bands.

A relevant side condition (SSB\_RP and Io) in a requirement shall be increased by the amount  $\Delta = L2 - L1$ , where L1 is the reference sensitivity level specified in clause 7.3.2 of TS 38.101-1 [18], and L2 is the reference sensitivity level based on the requirements in clause 7.3A.5 of TS 38.101-1 [18], when the following conditions are fulfilled,

- corresponding downlink component carriers on different bands are configured with CA and active,
- uplinks are assigned to two NR bands,
- the exception requirements specified in clause 7.3A.5 of TS 38.101-1 [18] apply.

If the relaxation  $\Delta$  specified in this clause applies, then the relaxation specified in clause B.3.2.1 should not be applied.

### B.3.2.3 Receiver sensitivity relaxation for UE supporting CA in FR2

*Editor's note: TBD*

#### B.3.2.4 Receiver sensitivity relaxation for UE configured with CA in FR2

##### B.3.2.4.1 Intra-band contiguous carrier aggregation

For a UE configured with intra-band contiguous carrier aggregation in NR band in FR2, if there is a relaxation of receiver sensitivity  $\Delta R_{IB} > 0$  dB as defined in clause 7.3A.2.1 of TS 38.101-2 [19] depending on the aggregated channel bandwidth, the relevant side conditions specifying received power levels (SSB\_RP and Io) shall be increased by the amount  $\Delta = \Delta R_{IB}$  defined for the corresponding downlink NR bands.

##### B.3.2.4.2 Intra-band non-contiguous carrier aggregation

For a UE configured with intra-band non-contiguous carrier aggregation in NR band in FR2, if there is a relaxation of receiver sensitivity  $\Delta R_{IB} > 0$  dB as defined in clause 7.3A.2.1 of TS 38.101-2 [19] depending on the aggregated channel bandwidth, the relevant side conditions specifying received power levels (SSB\_RP and Io) shall be increased by the amount  $\Delta = \Delta R_{IB}$  defined for the corresponding downlink NR bands.

### B.3.3 Receiver sensitivity relaxation for DC

*Editor's note: TBD*

### B.3.4 Receiver sensitivity relaxation for SUL

#### B.3.4.1 Receiver sensitivity relaxation for UE supporting SUL in FR1

For a UE supporting a SUL configuration in FR1, if there is a relaxation of receiver sensitivity  $\Delta R_{IB,c} > 0$  dB as defined in clause 7.3C.3 of TS 38.101-1 [18], the relevant side conditions specifying received power levels (SSB\_RP and Io) shall be increased by the amount  $\Delta = \Delta R_{IB,c}$  defined for the corresponding downlink NR bands.

For a UE supporting a SUL configuration in FR1, the requirement in this clause applies for both SC and SUL operation.

#### B.3.4.2 Receiver sensitivity relaxation for UE configured with SUL in FR1

##### B.3.4.2.1 Reference sensitivity exceptions due to UL harmonic interference for SUL

In this clause, requirements exceptions are described for the UE with a band in FR1 when it is impacted by UL harmonic interference from another band in FR1 of the same SUL configuration.

A relevant side condition (SSB\_RP and Io) in a requirement shall be increased by the amount  $\Delta = L_2 - L_1$ , where  $L_1$  is the reference sensitivity level specified in clause 7.3.2 of TS 38.101-1 [18], and  $L_2$  is the reference sensitivity level based on the requirements in clause 7.3C.2 of TS 38.101-1 [18], when the following conditions are fulfilled,

- a downlink component carrier is configured in NR band and is active,
- the uplink is configured in the NR low operating band and is active,
- the uplink configuration is as specified in clause 7.3C.2 of TS 38.101-1 [18], and
- the exception requirements specified in clause 7.3C.2 of TS 38.101-1 [18] apply.

If the relaxation  $\Delta$  specified in this clause applies, then the relaxation specified in clause B.3.4.1 should not be applied.

## Annex C (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2017-05	RAN4#83	R4-1706324				Specification skeleton	0.0.1
2017-09						Email approved	0.1.0
2017-09	RAN4-NR AH #3	R4-1709413				Capture TPs approved in the meeting	0.2.0
2017-10	RAN4#84 -Bis	R4-1711985				Capture TPs approved in the meeting	0.3.0
2017-12	RAN4#85	R4-1714546				Capture TPs approved in RAN4#85	0.4.0
2017-12	RAN#78	RP-172407				v1.0.0 submitted for plenary approval	1.0.0
2017-12	RAN#78					Approved by plenary – Rel-15 spec under change control	15.0.0
2018-03	RAN#79	RP-180264	0032		B	CR to TS38.133	15.1.0
2018-06	RAN#80	RP-181075	0037		B	CR to TS 38.133: Implementation of endorsed draft CRs from RAN4 #86bis and RAN4 #87	15.2.0
2018-09	RAN#81	RP-181896	0043		B	CR to TS 38.133: Implementation of endorsed draft CRs from RAN4-AH-1807 and RAN4 #88	15.3.0
2018-12	RAN#82	RP-182763	0057	3	B	CR to TS 38.133: Implementation of endorsed draft CRs from RAN4-88bis and RAN4-89	15.4.0
2019-03	RAN#83	RP-190569	0064	1	B	CR to TS 38.133: Implementation of endorsed draft CRs from RAN4#90	15.5.0
2019-06	RAN#84	RP-191240	0072	1	F	CR to TS 38.133: Implementation of endorsed draft CRs from RAN4#90bis and RAN4#91	15.6.0