In the name of God

Unsupervised Machine Learning, K-means & DBSCAN

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Abstract

In this article, the types of artificial intelligence learning methods are first examined.

Then we provide a summary of our assumptions. These assumptions are not the main purpose of the article but help us as a road map to reach the main title of the article.

In the main part of the article, Unsupervised Learning in AI[1] is explained by explaining K-means and DB Scan algorithms.

In conclusion, a complete research will be presented to you by describing the strengths and weaknesses of the algorithms.

Defaults

In the realm of machine learning and data science, predictive modeling plays a crucial role in deriving insights from data. Among the various algorithms available, Linear Regression, Decision Trees, and Random Forests stand out due to their effectiveness and versatility. Each of these methods has unique characteristics, strengths, and applications, making them suitable for different types of problems.

Introduction

IDK

[1] Artificial intelligence

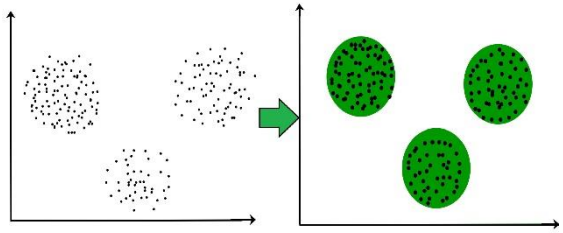
Unsupervised learning

1. Unsupervised Learning in Artificial Intelligence

Unsupervised learning is a critical branch of machine learning where an AI system learns to identify patterns, relationships, and structures in data without the need for labeled input. Unlike supervised learning, where the model is trained on a dataset with input-output pairs (i.e., labels), unsupervised learning deals with data that does not have any associated labels. The primary goal of unsupervised learning is to infer the natural structure present in a set of data points.

2. Key Concepts in Unsupervised Learning

2.1 Clustering: Clustering is one of the most common techniques in unsupervised learning. It involves grouping a set of objects in such a way that objects in the same group (called a cluster) are more similar to each other than to those in other groups. Popular clustering algorithms include K-means and DBSCAN.



2.2 Dimensionality Reduction: This involves reducing the number of random variables under consideration. It is especially useful when dealing with high-dimensional data. Techniques such as Principal Component Analysis (PCA) and t-Distributed Stochastic Neighbor Embedding (t-SNE) help in simplifying the data while retaining its essential characteristics.

2.3 Anomaly Detection: Anomaly detection, or outlier detection, involves identifying rare items, events, or observations that raise suspicions by differing significantly from the majority of the data. This is particularly useful in fraud detection, network security, and other areas where the identification of unusual patterns is crucial.

3. Applications of Unsupervised Learning

Customer Segmentation, Image and Speech Recognition, Recommender Systems, Genomics and Healthcare.

4. Transition to Discussing K-means, DBSCAN, and Segmentation

In the realm of unsupervised learning, several powerful algorithms and techniques stand out for their ability to uncover hidden patterns and structures within data. Among these, clustering methods such as K-means and DBSCAN are particularly noteworthy. These algorithms excel at grouping data points based on their inherent similarities, enabling a deeper understanding of the underlying data distribution. This process of grouping or clustering is fundamental in tasks such as data segmentation, where the goal is to divide a dataset into distinct and meaningful subgroups. Understanding how K-means, DBSCAN, and segmentation operate not only illustrates the core principles of unsupervised learning but also highlights their practical applications across various domains, from customer segmentation to anomaly detection.

A. Understanding the K-means Algorithm: A Comprehensive Guide

1. How K-Means Works

The K-means algorithm aims to divide a dataset into K clusters, where each data point is assigned to the cluster with the nearest centroid, known as the cluster center. The algorithm operates iteratively and consists of the following steps:

1.1 Initialization: The algorithm begins by selecting K initial centroids randomly from the dataset. These centroids represent the initial guess for the centers of the clusters. Sometimes, if special points are chosen, the results may be unacceptable so there are lots of algorithms that try to choose better that random as k-means++. We will explain this later.

1.2 Assignment Step: Each data point is assigned to the nearest centroid based on the Euclidean distance. This step effectively divides the dataset into K clusters.

1.3 Update Step: The algorithm recalculates the centroid of each cluster by taking the mean of all data points assigned to that cluster. These new centroids are then used in the next iteration.

1.4 End of the process: The termination of the process can be determined by several methods. Below, we mention two of them:

- **Convergence**: The assignment and update steps are repeated until the centroids no longer change significantly, indicating that the algorithm has converged. At this point, the clusters are stable, and each data point belongs to the nearest centroid's cluster.
- **Number of iterations**: We limit number of iterations if the algorithm converges before reaching this limit, that result is accepted. If the iteration limit is reached without convergence, the results achieved up to that point are considered final.

2. Key Concepts and Considerations

- **Choosing K point**: One of the most critical aspects of K-means is determining the appropriate number of clusters, K. This choice often requires domain knowledge or experimentation, as an inappropriate K can lead to poor clustering results. Techniques such as the Elbow Method, where the sum of squared distances from each point to its assigned centroid is plotted against different values of K, can help in selecting an optimal number of clusters.
- **Distance Metric**: While Euclidean distance is the most commonly used metric in K-means, other distance measures (like Manhattan distance) can be employed depending on the nature of the data. The choice of distance metric can significantly affect the clustering results.
- **Cluster Shape**: K-means assumes that clusters are roughly spherical and equally sized. It may not perform well if the data contains clusters of varying shapes and sizes, or if the clusters are not linearly separable.
- **Scalability**: K-means is computationally efficient and scales well with large datasets. However, it can be sensitive to the initialization of centroids. To mitigate this, methods such as K-means++ are used to initialize centroids in a more informed manner, improving convergence and clustering quality.

3. Strengths and Limitations

Strengths:

- Simplicity: K-means is easy to understand and implement, making it a popular choice for clustering tasks.
- Efficiency: The algorithm is computationally efficient, especially with large datasets.
- Versatility: K-means can be adapted to different types of data by modifying the distance metric or using techniques like K-means++.

Limitations:

- **Sensitivity to Initial Centroids**: Poor initialization can lead to suboptimal clusters or slower convergence. K-means++ helps mitigate this issue, but it doesn't eliminate it entirely.
- **Difficulty with Complex Clusters**: K-means may struggle with clusters that are not spherical or have different sizes. It also assumes clusters are linearly separable, which may not always be the case.
- **Determining K**: Choosing the right number of clusters can be challenging and often requires experimentation.

5. How to implement K-means in this research

Now that we've learned about K-means, let's tackle a more realistic problem.

Imagine an image composed of millions of colors. We want to map all pixels in image to only 16 unique colors with an iteration limit of 100. You might ask, 'Why do we need to do this?' In response, I would say that reducing the number of colors can help decrease the image's file size, making it easier to store and transmit.

5.1 User Inputs: Can you guess what our inputs are? Yes, in this example, the number of clusters is 16, and the iteration limit is set to 100.

5.2 Choose centroids: We can use various methods to select initial centroids, such as random selection, which is simple and

effective. However, let's use K-means++. So we choose first random. Then, we will loop 15 more times to choose the remaining centroids. Each time, we will calculate the minimum distance from each point to the centroids selected so far. After that, we will randomly select the next centroid, weighted by the distance to the nearest centroid.

5.3 Clustering: Now that we have selected the centroids, it's time to map all points to their nearest centroid.

5.4 Update centroids: We should update each centroid to the mean of its assigned cluster before proceeding to the next iteration. Don't forget to increment the iteration count after each complete pass.

5.5 Check if process ends: Before continuing to the next iteration, check if the iteration limit has been reached or if convergence has occurred. If either condition is met, terminate the process.

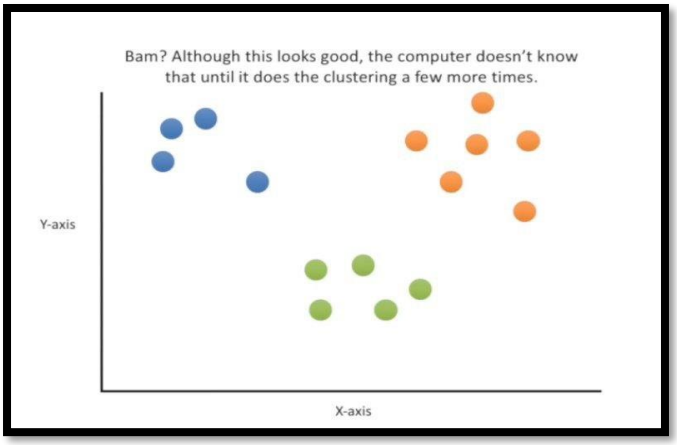
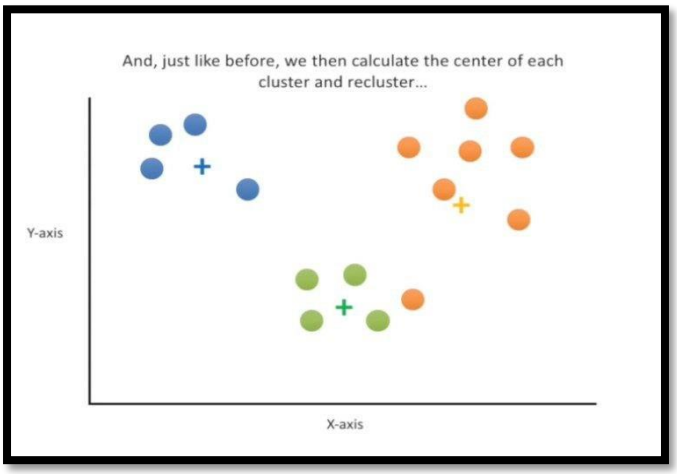


Figure 1



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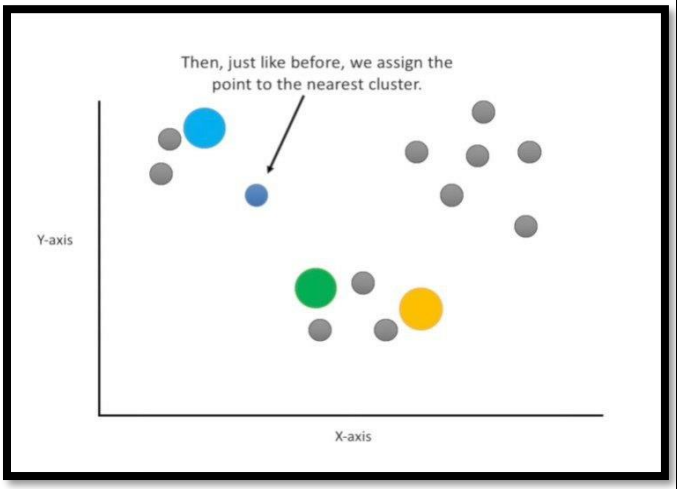

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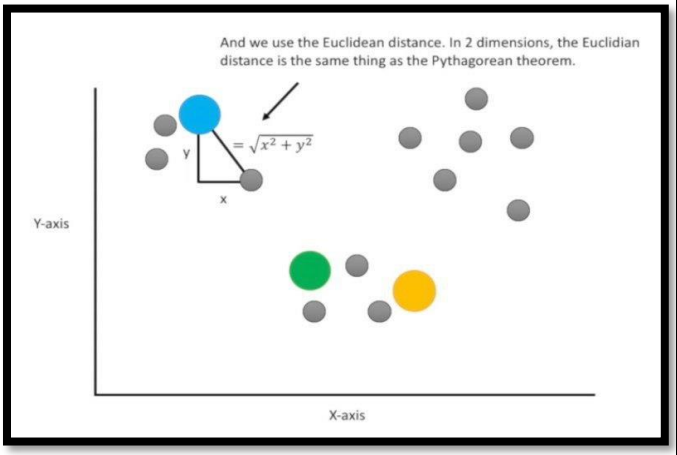

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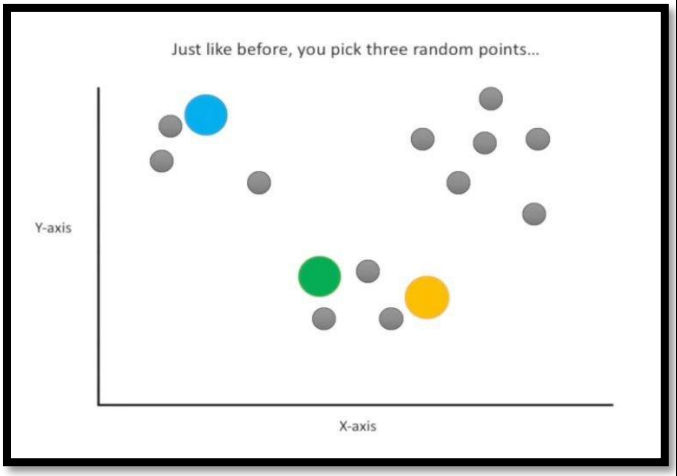

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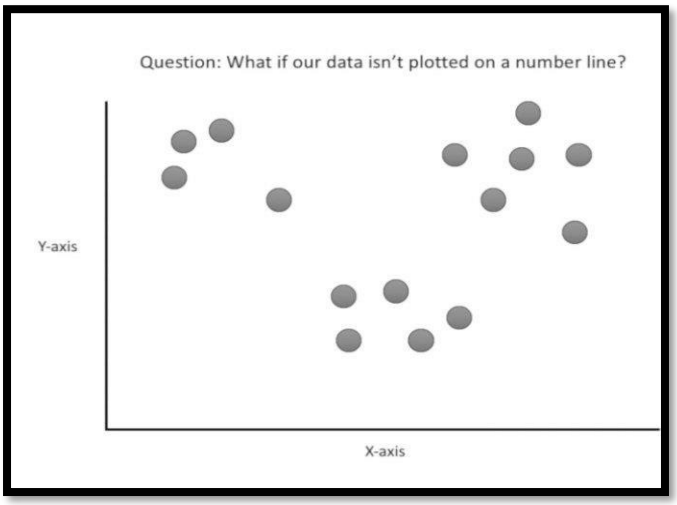


Figure 6

B. Understanding the DBSCAN Algorithm: A Comprehensive Guide

DBSCAN (Density-Based Spatial Clustering of Applications with Noise) is a powerful and versatile clustering algorithm, particularly well-suited for identifying clusters of arbitrary shapes in large datasets and dealing with noise or outliers. Unlike K-means, which assumes a fixed number of clusters and tends to work best with spherical cluster shapes, DBSCAN focuses on the density of data points to find clusters. This detailed guide will explore the workings of DBSCAN, its advantages, limitations, and its wide-ranging applications.

1. How DBSCAN Works

DBSCAN clusters data points based on their density. The key idea is that a cluster is a dense region of data points, which is separated by lower-density regions from other clusters. DBSCAN requires two main parameters: ***epsilon (ε)*** and ***minPts***.

- **Epsilon (ε)**: This is the radius that defines the neighborhood around a data point. In simpler terms, it's the maximum distance between two points for them to be considered as part of the same cluster.
- **minPts**: This is the minimum number of points required within the ε-radius to consider a point as a core point of a cluster.

2. The DBSCAN Algorithm Steps:

2.1 Core Points: Points that have at least minPts within their ε-radius are considered core points. These points are the backbone of clusters.

2.2 Directly Density-Reachable Points: A point p is directly density-reachable from point q if p is within the ε-radius of q and q is a core point.

Density-Reachable Points: A point p is density-reachable from q if there is a chain of points $p_1, p_2, \ldots, p_n$ where each p_{i+1} is directly density-reachable from p_i starting from q.

2.3 Border Points: Points that are within the ε-radius of a core point but do not have enough neighboring points to be considered core points themselves.

2.4 Noise Points: Points that are not within the ε-radius of any core points and cannot be linked to any cluster. These are considered outliers.

3. Clustering Process

- The algorithm starts with an arbitrary point. If it has at least minPts within its ε-radius, it becomes a core point, and a cluster is initiated.
- The cluster is then expanded by recursively including all points density-reachable from the core point.
- If a point cannot be density-reached from any other point, it is labeled as noise.
- The process continues until all points are processed.

4. Advantages of DBSCAN

4.1 Ability to Find Arbitrary Shaped Clusters: DBSCAN can identify clusters of various shapes, including those that are not linearly separable. Unlike K-means, which assumes spherical clusters, DBSCAN's density-based approach allows it to handle complex cluster structures.

4.2 Handling of Noise and Outliers: DBSCAN effectively identifies and isolates noise points (outliers) that do not belong to any cluster. This makes it particularly useful in real-world datasets where noise is common.

4.3 No Need to Specify the Number of Clusters: DBSCAN does not require the user to specify the number of clusters in advance. The algorithm determines the number of clusters based on the data distribution and the chosen ε and minPts parameters.

4.4 Scalability with Large Datasets: DBSCAN is efficient for large datasets and can scale well, especially with indexing techniques like k-d trees that optimize neighborhood searches.

5. Limitations of DBSCAN

5.1 Choice of Parameters: The effectiveness of DBSCAN heavily depends on the choice of ε and minPts. An inappropriate choice of these parameters can lead to poor clustering results. For example, a very small ε might treat too many points as noise, while a very large ε could merge distinct clusters.

5.2 Difficulty with Varying Densities: DBSCAN assumes that the clusters have similar densities. If the dataset contains clusters of varying densities, DBSCAN might struggle to separate them correctly, either splitting a cluster into several or merging different clusters.

5.3 Computational Complexity: Although DBSCAN is scalable, its performance can degrade on very high-dimensional data where distance calculations become more complex. In such cases, dimensionality reduction techniques like PCA might be necessary before applying DBSCAN.

6. Applications of DBSCAN

Geospatial Data Analysis, Image Processing, Anomaly Detection, Market Segmentation.

7. Best Practices for Using DBSCAN

7.1 Parameter Tuning: Experiment with different values of ε and minPts to find the optimal clustering. The selection of ε can be guided by techniques like the k-distance graph, where the optimal ε is chosen at the “elbow” point.

7.2 Normalization: Ensure that the data is normalized, especially if different features have different scales. This is important because DBSCAN relies on distance measures, which can be biased by the scale of the features.

7.3 Dimensionality Reduction: For high-dimensional data, consider reducing the dimensionality using methods like PCA or t-SNE before applying DBSCAN. This can improve the performance and accuracy of the clustering.

7.4 Combine with Other Methods: Sometimes, combining DBSCAN with other clustering methods (like K-means) can yield better results, especially when dealing with complex datasets that contain both well-separated and dense regions.

8. How to implement DBSCAN in this research

Now that we are quite familiar with DBSCAN, how can we implement it? How to utilize it's power to find outliers (noise pixels) in our images?

8.1 First step: understanding user inputs

Unlike K-means, we need to input two inputs:

8.1.1 Epsilon

8.1.2 min points

So what does they mean? Why is number of clusters not an input like k-means?

As pinpointed before, DBSCAN needs 2 user inputs:

- **Epsilon**: minimum distance between two points in order to consider them "neighbors."
- **minPts**: minimum number of neighbors a point must have in order to be called core-point.

The expansion of a cluster continues until the reach the last radius of core-points. Then their non-core-point neighbors are added and the expansion stops.

So we may conclude that the number of clusters is not user-defined. And it is true!

The epsilon and minPts determines the number of clusters. Also unlike k-means, we have outliers or noise pixels. They are pixels that do not belong to any cluster.

But what does distance mean in a picture with pixels? The pixels have a consistent distance?

Redefinition of "Distance"

Computer works with numbers right? So we need to see colors as bunch of numbers; as they actually are! They are just bunches of matrices with several dimensions based on their color mode. For example RGB has 3 parameters, grayscale has only 1 parameter, CMYK has 4 parameters. So it is safe to say that we can consider these dimensions as parameters of distance because the amount of difference between color parameters makes the colors more different and distinguishable.

So if we're dealing with an RGB image, we have 5 parameters that contribute to distance:

X, Y, R, G, B .

So a pixel can be next to another pixel but be considered noise because of distant R, G, B parameters.

How the code works?

After traditional steps like putting the image into an array, we defined a DBSCAN class that calculated the distance of each pixel from each other.

The path of optimization!

In *legacy_dbscan.ipynb*, we used a nested loop which had the time complexity of O(n^2). It limited our algorithm to only process small, grayscale and low-resolution images within a reasonable time. So it was time we changed our approach from a simple nested loop to a more advanced move. We became acquaint with KDtree, which is binary tree including matrix nodes. It reduced the time complexity to O(n*log(n)) which changes the computation time drastically in large images.

So it's important to maintain an optimized approach because DBSCAN takes much larger time to calculate in comparison to k-means.

8.2 Selection of eps and minPts:

It depends on the sensitivity of noise detection.

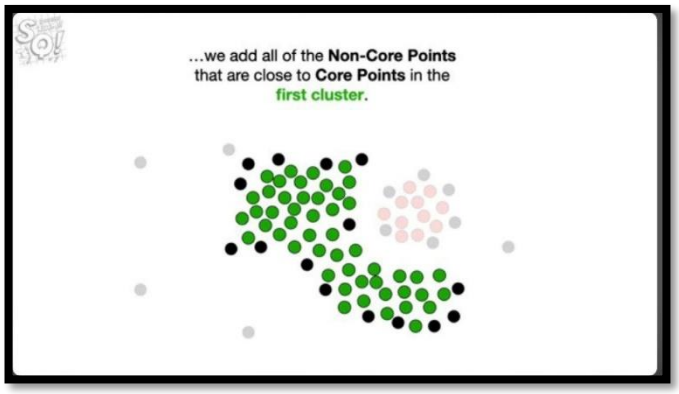
The more sensitive you tune it, the more noises it marks (can be with black color).

We set noise cluster IDs to -1 and other clusters to other IDs, so outliers are distinguishable.

8.3 Expanding it one step further:

One if we wanted to fix the noise? In other words, is morphing it into a near cluster possible?

In *fixer_dbscan.ipynb*, all the pixels with -1 will obtain the id of a nearby cluster. So they are fixed! Morphed into a cluster.



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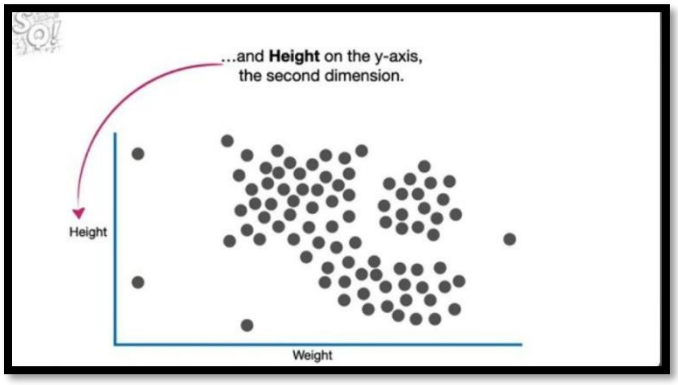

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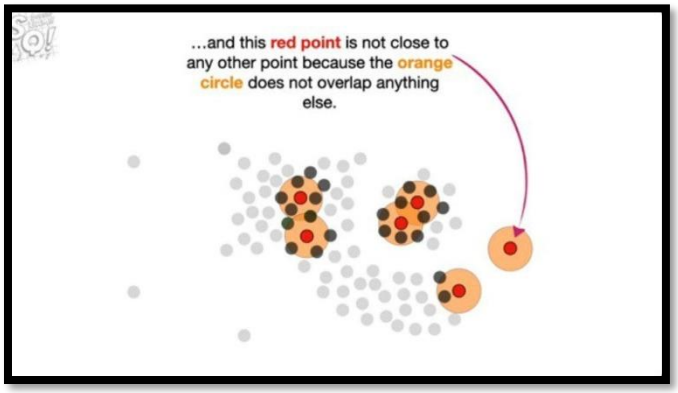

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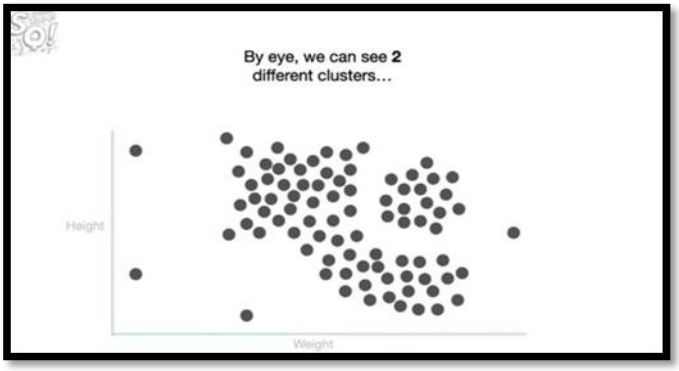

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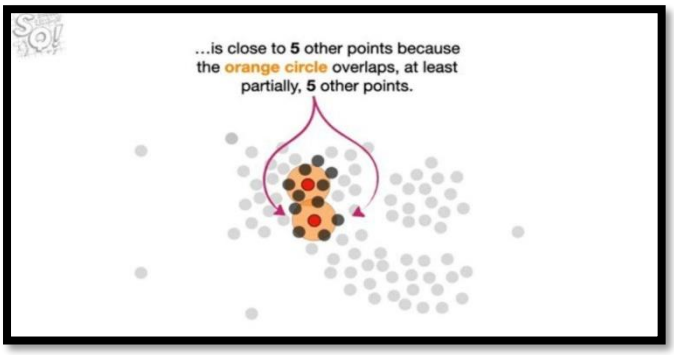

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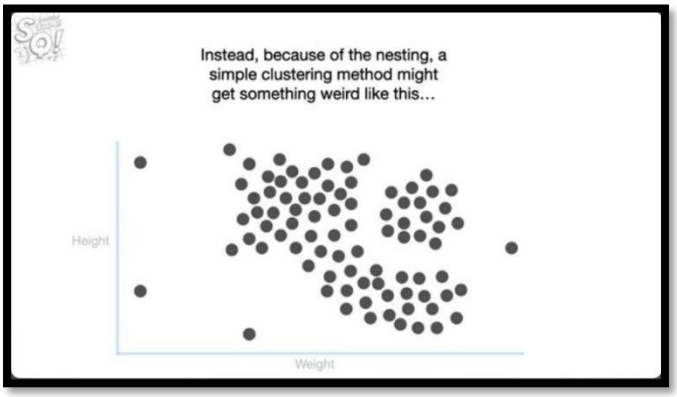

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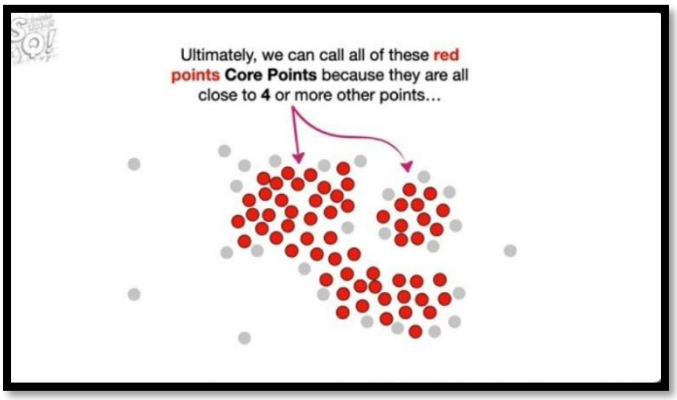

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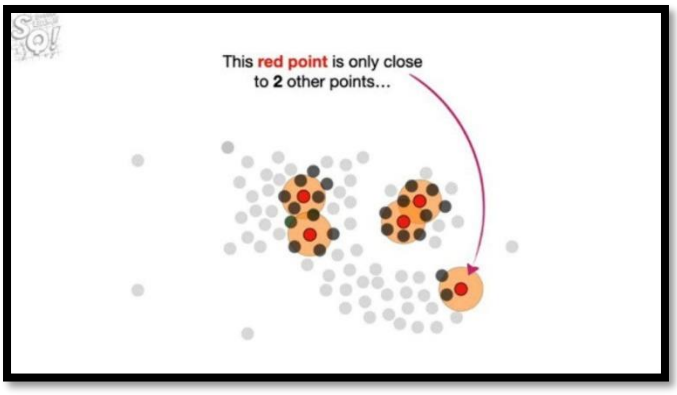

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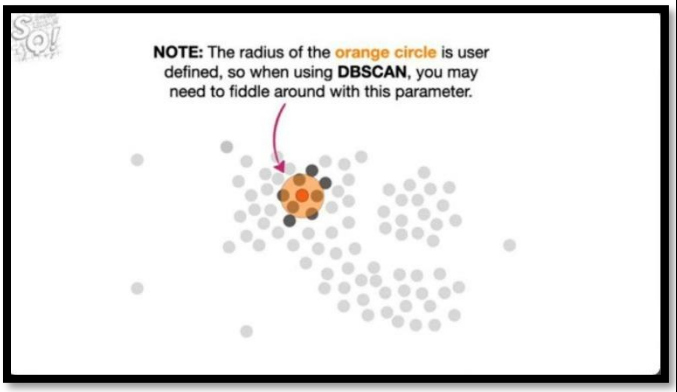

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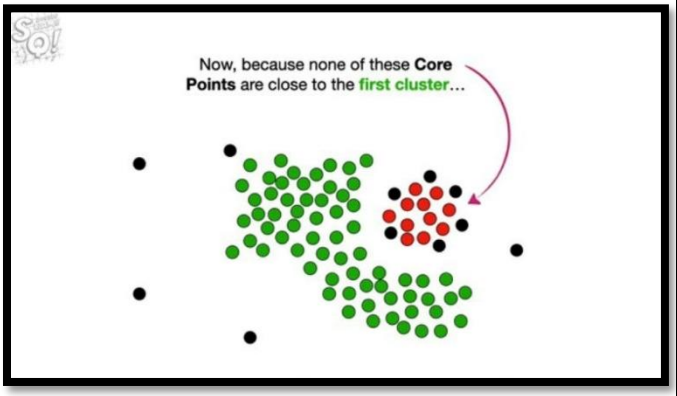

Step 10

C. Understanding Image Segmentation: A Comprehensive Guide

Image segmentation is a fundamental concept in computer vision and image processing that involves dividing an image into meaningful parts, or segments, to simplify its analysis or to extract specific features. It is a crucial step in various applications, such as object recognition, image editing, medical imaging, and autonomous vehicles. This guide provides an in-depth look at image segmentation, covering its principles, techniques, applications, and challenges.

1. What is Image Segmentation?

Image segmentation is the process of partitioning an image into multiple segments, typically to isolate objects or boundaries (lines, curves, etc.). Each segment or region represents a meaningful part of the image, like an object or a region of interest (ROI). The goal is to simplify or change the representation of an image into something that is more meaningful and easier to analyze.

2. Key Objectives of Image Segmentation

2.1 Object Detection and Recognition: Segmenting objects from the background or from other objects in an image.

2.2 Feature Extraction: Isolating specific features or regions within an image for further analysis.

2.3 Image Compression: Reducing the amount of data required to store or transmit an image by focusing on key segments.

2.4 Image Editing: Manipulating specific regions of an image without affecting the entire image.

3. Techniques in Image Segmentation

There are several approaches to image segmentation, each with its strengths and weaknesses. The choice of technique often depends on the specific application and the characteristics of the image.

3.1 Thresholding

- **Global Thresholding**: This is one of the simplest techniques, where a single threshold value is used to classify pixels. For example, all pixels with intensities above a certain value are classified as one segment (e.g., foreground), and all others as another segment (e.g., background).
- **Adaptive Thresholding**: Unlike global thresholding, adaptive thresholding uses different thresholds for different regions of the image, making it more effective for images with varying lighting conditions.

3.2 Edge-Based Segmentation

- **Edge Detection**: This technique focuses on identifying boundaries within an image by detecting edges where there is a sharp change in intensity. Common algorithms include the Canny edge detector, Sobel operator, and Prewitt operator.
- **Active Contours (Snakes)**: This method involves deformable models that iteratively adjust to fit the shape of an object within an image, effectively outlining the boundaries of the object.

3.3 Region-Based Segmentation

- **Region Growing**: This technique starts with seed points in the image and expands regions by adding neighboring pixels that have similar properties, such as intensity or color.
- **Watershed Algorithm**: This approach treats the image like a topographic surface and identifies ridges and valleys, which are then used to segment the image into distinct regions.

3.4 Clustering-Based Segmentation

- **K-means Clustering**: This popular method partitions the image into K clusters based on pixel intensity, color, or texture. Each pixel is assigned to the nearest cluster centroid, resulting in segmentation.
- **Mean Shift**: An iterative process that clusters data points based on their density in the feature space. It is particularly effective for segmenting images with complex structures.

3.5 Neural Network-Based Segmentation

- **Convolutional Neural Networks (CNNs):** CNNs are widely used in modern image segmentation tasks, especially for semantic segmentation, where each pixel is classified into a category. Notable architectures include U-Net, SegNet, and Fully Convolutional Networks (FCNs).
- **Instance Segmentation**: This advanced technique, often based on Mask R-CNN, not only classifies each pixel but also differentiates between individual objects of the same category.

4. Applications of Image Segmentation

Medical Imaging, Autonomous Vehicles, Satellite Imaging, Object Recognition and Detection, Image Editing and Restoration.

5. Challenges in Image Segmentation

Despite its wide applicability, image segmentation poses several challenges:

5.1 Complexity of Natural Images: Natural images often have complex textures, lighting variations, and overlapping objects, making segmentation difficult.

5.2 Noise and Artifacts: Images may contain noise, shadows, or other artifacts that can interfere with accurate segmentation.

5.3 Real-Time Processing: For applications like autonomous vehicles, image segmentation must be performed in real-time, requiring efficient algorithms.

5.4 Accuracy vs. Speed: High-accuracy segmentation methods, such as those using deep learning, can be computationally

intensive, posing a trade-off between accuracy and processing speed.

5.5 Lack of Data: For neural network-based segmentation, a large and diverse set of labeled data is required for training, which is often challenging to obtain.

6. Best Practices for Image Segmentation

6.1 Preprocessing: Preprocessing steps like noise reduction, contrast enhancement, and normalization can improve segmentation results, especially for traditional methods.

6.2 Parameter Tuning: Carefully tune parameters like thresholds, region-growing criteria, and clustering parameters to suit the specific characteristics of your image.

6.3 Use of Combined Methods: Combining different segmentation methods can often yield better results. For instance, edge detection can be combined with region growing to improve boundary accuracy.

6.4 Leverage Deep Learning: For complex tasks, consider using pre-trained deep learning models for segmentation. Fine-tuning these models on your specific dataset can lead to significant improvements.

6.5 Validation and Testing: Always validate your segmentation results against ground truth data, especially when using machine learning-based methods, to ensure accuracy and reliability.

7. How to implement segmention in this research:

In our current segmentation laboratory, we aim to enhance our image segmentation technique by combining *Region Growing* and *Mean-Shift Clustering* methods. This hybrid approach will leverage the strengths of both techniques to achieve more accurate segmentation results.

7.1 Method Overview

- **Region Growing:** This pixel-based segmentation method begins with seed points and expands regions by adding neighboring pixels that meet specific color or intensity criteria. This iterative process continues until no additional pixels can be incorporated, resulting in distinct segments.
- **Mean-Shift Clustering:** A non-parametric clustering technique that identifies dense regions in feature space by iteratively shifting data points towards the mean of their neighbors. This method adapts to varying cluster shapes and sizes without requiring a predefined number of clusters.

7.2 Input Parameters:

- Radius of Pixels: Defines a square area around the current pixel, allowing us to examine neighboring pixels.
- Radius of Colors: Used to compare the color distance between the center pixel and its neighbors.

7.3 Initialization:

We start with empty clusters. Select the first pixel from the image array and add it to an empty cluster.

7.4 Pixel Processing:

Loop through a rectangular area defined by the radius of pixels, centered on the current pixel. For each pixel in this area, check if it has been added to any cluster. If not, calculate the color distance between the center pixel and the neighboring pixel. If this distance is less than or equal to the radius of colors, add the neighboring pixel to the current cluster. Recursively apply this process, updating the center to the newly added pixel, until no more pixels can be added.

7.5 Iterative Expansion:

Once a cluster is fully formed, move to the next unprocessed pixel in the image and repeat the process until all pixels have been evaluated.

Conclusion: Unsupervised Learning, K-means, DBSCAN, and Image Segmentation

Unsupervised learning is a powerful approach in machine learning that uncovers hidden patterns in unlabelled data. Through algorithms like K-means, DBSCAN, and image segmentation techniques, we can effectively group data, detect anomalies, and isolate key features in images without prior knowledge of the data's structure.

K-means clustering provides a straightforward method for partitioning data into clusters based on similarity, making it useful for large datasets with distinct groups.

However, it requires pre-defining the number of clusters and may struggle with complex shapes. DBSCAN, on the other hand, excels at identifying clusters of varying shapes and densities, and is particularly robust against noise and outliers. This makes DBSCAN a preferred choice in situations where the data distribution is unknown or irregular.

Image segmentation takes these clustering principles into the domain of computer vision, allowing us to break down images into meaningful segments. Techniques like thresholding, edge detection, and deep learning-based methods like CNNs enable precise segmentation of images, which is crucial in applications ranging from medical imaging to autonomous vehicles.

In summary, the combination of unsupervised learning algorithms like K-means and DBSCAN, along with advanced image segmentation techniques, provides a versatile toolkit for analyzing complex datasets and images. These methods are essential for extracting meaningful insights from unlabelled data, enabling more informed decisions in fields as diverse as data analysis, computer vision, and beyond.