

This manual compiles documentation for ns-3 models and supporting software that enable users to construct network

simulations. It is important to distinguish between modules and models :

- ns-3 software is organized into separate modules that are each built as a separate software library. Individual

ns-3 programs can link the modules (libraries) they need to conduct their simulation.

- ns-3 models are abstract representations of real-world objects, protocols, devices, etc.

An ns-3 module may consist of more than one model (for instance, the internet module contains models for both

TCP and UDP). In general, ns-3 models do not span multiple software modules, however.

This manual provides documentation about the models of ns-3. It complements two other sources of documentation

concerning models:

- the model APIs are documented, from a programming perspective, using Doxygen. Doxygen for ns-3 models is

available on the project web server.

- the ns-3 core is documented in the developer's manual. ns-3 models make use of the facilities of the core, such

as attributes, default values, random numbers, test frameworks, etc. Consult the main web site to find copies of

the manual.

Finally, additional documentation about various aspects of ns-3 may exist on the project wiki.

A sample outline of how to write model library documentation can be found by executing the create-module.py

program and looking at the template created in the file new-module/doc/new-module.rst .

```
$ cd src
```

```
$ ./create-module.py new-module
```

The remainder of this document is organized alphabetically by module name.

If you are new to ns-3, you might first want to read below about the network module, which contains some fundamental

models for the simulator. The packet model, models for different address formats, and abstract base classes for objects

such as nodes, net devices, channels, sockets, and applications are discussed there.

Animation is an important tool for network simulation. While ns-3 does not contain a default graphical animation

tool, we currently have two ways to provide animation, namely using the PyViz method or the NetAnim method. The

PyViz method is described in <http://www.nsnam.org/wiki/PyViz>.

We will describe the NetAnim method briefly here.

NetAnim is a standalone, Qt5-based software executable that uses a trace file generated during an ns-3 simulation to

display the topology and animate the packet flow between nodes.

In addition, NetAnim also provides useful features such as tables to display meta-data of packets like the image below

A way to visualize the trajectory of a mobile node

A way to display the routing-tables of multiple nodes at various points in time

A way to display counters associated with multiple nodes as a chart or a table

A way to view the timeline of packet transmit and receive events

The class ns3::AnimationInterface is responsible for the creation the trace XML file.

AnimationInterface uses the

tracing infrastructure to track packet flows between nodes. AnimationInterface registers itself as a

trace hook for tx and rx events before the simulation begins. When a packet is scheduled for transmission or reception, the corresponding tx and rx trace hooks in AnimationInterface are called. When the rx hooks are called, AnimationInterface will be aware of the two endpoints between which a packet has flowed, and adds this information to the trace file, in XML format along with the corresponding tx and rx timestamps. The XML format will be discussed in a later section. It is important to note that AnimationInterface records a packet only if the rx trace hooks are called. Every tx event must be matched by an rx event.

If NetAnim is not already available in the ns-3 package you downloaded, you can do the following: The latest version of NetAnim can be downloaded using git with the following command:

```
$ git clone https://gitlab.com/nsnam/netanim.git
```

Prerequisites

Qt5 (5.4 and over) is required to build NetAnim. The ns-3 Installation Guide lists some packages to install for some

Linux systems, for macOS <<https://www.nsnam.org/docs/installation/html/macos.html#optional>> , and for Windows.

The Qt site also provides download options.

Build steps

To build NetAnim use the following commands:

```
$ cd netanim
```

```
$ make clean
```

```
$ qmake NetAnim.pro
```

```
$ make
```

Note: qmake could be “qmake-qt5” in some systems

This should create an executable named “NetAnim” in the same directory:

```
$ ls -l NetAnim
```

```
-rwxr-xr-x 1 john john 390395 2012-05-22 08:32 NetAnim
```

Using NetAnim is a two-step process

Step 1:Generate the animation XML trace file during simulation using “ns3::AnimationInterface” in the ns-3 code base.

Step 2:Load the XML trace file generated in Step 1 with the offline Qt4-based animator named NetAnim.

Step 1: Generate XML animation trace file

The class “AnimationInterface” under “src/netanim” uses underlying ns-3 trace sources to construct a timestamped

ASCII file in XML format.

Examples are found under src/netanim/examples Example:

```
$ ./ns3 configure -d debug --enable-examples
```

```
$ ./ns3 run "dumbbell-animation"
```

The above will create an XML file dumbbell-animation.xml

Mandatory

1. Ensure that your program’s CMakeLists.txt includes the “netanim” module. An example of such a CMake-

Lists.txt is at src/netanim/examples/CMakeLists.txt.

2. Include the header [#include “ns3/netanim-module.h”] in your test program

3. Add the statement

AnimationInterface anim("animation.xml"); // where "animation.xml" is any arbitrary

,!filename

[for versions before ns-3.13 you also have to use the line "anim.SetXMLOutput() to set the XML mode and also use

anim.StartAnimation();]

Optional

The following are optional but useful steps:

anim.SetMobilityPollInterval(Seconds(1));

AnimationInterface records the position of all nodes every 250 ms by default. The statement above sets the periodic

interval at which AnimationInterface records the position of all nodes. If the nodes are expected to move very little, it

is useful to set a high mobility poll interval to avoid large XML files.

anim.SetConstantPosition(Ptr< Node > n, double x, double y);

AnimationInterface requires that the position of all nodes be set. In ns-3 this is done by setting an associated Mobili-

tyModel. "SetConstantPosition" is a quick way to set the x-y coordinates of a node which is stationary.

anim.SetStartTime(Seconds(150)); and anim.SetStopTime(Seconds(150));

AnimationInterface can generate large XML files. The above statements restricts the window between which Ani-

mationInterface does tracing. Restricting the window serves to focus only on relevant portions of the simulation and

creating manageably small XML files

AnimationInterface anim("animation.xml", 50000);

Using the above constructor ensures that each animation XML trace file has only 50000 packets. For example, if

AnimationInterface captures 150000 packets, using the above constructor splits the capture into 3 files

- animation.xml - containing the packet range 1-50000
- animation.xml-1 - containing the packet range 50001-100000
- animation.xml-2 - containing the packet range 100001-150000

anim.EnablePacketMetadata(true);

With the above statement, AnimationInterface records the meta-data of each packet in the xml trace file. Metadata

can be used by NetAnim to provide better statistics and filter, along with providing some brief information about the

packet such as TCP sequence number or source & destination IP address during packet animation.

CAUTION: Enabling this feature will result in larger XML trace files. Please do NOT enable this feature when using

Wimax links.

anim.UpdateNodeDescription(5, "Access-point");

With the above statement, AnimationInterface assigns the text "Access-point" to node 5.

anim.UpdateNodeSize(6, 1.5, 1.5);

With the above statement, AnimationInterface sets the node size to scale by 1.5. NetAnim automatically scales the

graphics view to fit the boundaries of the topology. This means that NetAnim, can abnormally scale a node's size too

high or too low. Using AnimationInterface::UpdateNodeSize allows you to overwrite the default scaling in NetAnim

and use your own custom scale.

`anim.UpdateNodeCounter(89, 7, 3.4);`

With the above statement, AnimationInterface sets the counter with `Id == 89`, associated with Node 7 with the value

3.4. The counter with `Id 89` is obtained using `AnimationInterface::AddNodeCounter`. An example usage for this is in

`src/netanim/examples/resource-counters.cc`.

Step 2: Loading the XML in NetAnim

1. Assuming NetAnim was built, use the command `“./NetAnim”` to launch NetAnim. Please review the section

“Building NetAnim” if NetAnim is not available.

2. When NetAnim is opened, click on the File open button at the top-left corner, select the XML file generated during Step 1.

3. Hit the green play button to begin animation.

Here is a video illustrating this [http://www.youtube.com/watch?v=tz\\_hUuNwFDs](http://www.youtube.com/watch?v=tz_hUuNwFDs)

For detailed instructions on installing “NetAnim”, F.A.Qs and loading the XML trace file (mentioned earlier) using

NetAnim please refer: <http://www.nsnam.org/wiki/NetAnim>

The Antenna module provides:

1. a class (`Angles`) and utility functions to deal with angles
2. a base class (`AntennaModel`) that provides an interface for the modeling of the radiation pattern of an antenna;
3. a set of classes derived from this base class that each models the radiation pattern of different types of antennas;
4. a base class (`PhasedArrayModel`) that provides a flexible interface for modeling a number of Phase Antenna

Array (PAA) models

5. a class (`UniformPlanarArray`) derived from this base class, implementing a Uniform Planar Array (UPA) supporting both rectangular and linear lattices

The `Angles` class holds information about an angle in 3D space using spherical coordinates in radian units. Specifically,

it uses the azimuth-inclination convention, where

- Inclination is the angle between the zenith direction (positive z-axis) and the desired direction.

It is included in

the range  $[0, \pi]$  radians.

- Azimuth is the signed angle measured from the positive x-axis, where a positive direction goes towards the

positive y-axis. It is included in the range  $[-\pi, \pi]$  radians.

Multiple constructors are present, supporting the most common ways to encode information on a direction. A static

boolean variable allows the user to decide whether angles should be printed in radian or degree units.

A number of angle-related utilities are offered, such as radians/degree conversions, for both scalars and vectors, and angle wrapping.

The `AntennaModel` uses the coordinate system adopted in [Balanis] and depicted in Figure Coordinate system of the

`AntennaModel`. This system is obtained by translating the Cartesian coordinate system used by the ns-3 `MobilityModel`

into the new origin which is the location of the antenna, and then transforming the coordinates of

every generic

point of the space from Cartesian coordinates  $(x; y; z)$  into spherical coordinates  $(r; \theta; \phi)$ . The

antenna model

neglects the radial component  $r$ , and only considers the angle components  $(\theta; \phi)$ . An antenna radiation pattern is

then expressed as a mathematical function  $g(\theta; \phi)$  that returns the gain (in dB) for each possible direction of

transmission/reception. All angles are expressed in radians.

In this section we describe the antenna radiation pattern models that are included within the antenna module.

**IsotropicAntennaModel**

This antenna radiation pattern model provides a unitary gain (0 dB) for all direction.

**CosineAntennaModel**

This is the cosine model described in [Chunjian]: the antenna gain is determined as:

$$2\theta$$

where  $\theta$  is the azimuthal orientation of the antenna (i.e., its direction of maximum gain) and the exponential

$$n = 3$$

$$20 \log_{10}$$

$$\cos$$
 3dB

$$4$$

determines the desired 3dB beamwidth  $\theta_{3dB}$ . Note that this radiation pattern is independent of the inclination angle  $\phi$ .

A major difference between the model of [Chunjian] and the one implemented in the class

**CosineAntennaModel** is that

only the element factor (i.e., what described by the above formulas) is considered. In fact,

[Chunjian] also considered

an additional antenna array factor. The reason why the latter is excluded is that we expect that the average user would

desire to specify a given beamwidth exactly, without adding an array factor at a latter stage which would in practice

alter the effective beamwidth of the resulting radiation pattern.

**ParabolicAntennaModel**

This model is based on the parabolic approximation of the main lobe radiation pattern. It is often used in the context

of cellular system to model the radiation pattern of a cell sector, see for instance [R4-092042a]

and [Calcev]. The

antenna gain in dB is determined as:

$$g_{dB}(\theta; \phi) = g_{min}$$

$$3dB$$

$$; A_{max}$$

where  $\theta$  is the azimuthal orientation of the antenna (i.e., its direction of maximum gain),  $\theta_{3dB}$  is its 3 dB beamwidth,

and  $A_{max}$  is the maximum attenuation in dB of the antenna. Note that this radiation pattern is independent of the

inclination angle  $\phi$ .

**ThreeGppAntennaModel**

This model implements the antenna element described in 38901. Parameters are fixed from the technical report, thus no

attributes nor setters are provided. The model is largely based on the **ParabolicAntennaModel**.

The class **PhasedArrayModel** has been created with flexibility in mind. It abstracts the basic idea of

a Phased Antenna

Array (PAA) by removing any constraint on the position of each element, and instead generalizes the concept of

steering and beamforming vectors, solely based on the generalized location of the antenna elements.

For details on

Phased Array Antennas see for instance [Mailloux].

Derived classes must implement the following functions:

- **GetNumberOfElements**: returns the number of antenna elements
- **GetElementLocation**: returns the location of the antenna element with the specified index, normalized with respect to the wavelength
- **GetElementFieldPattern**: returns the horizontal and vertical components of the antenna element field pattern at the specified direction. Same polarization (configurable) for all antenna elements of the array is considered.

The class **PhasedArrayModel** also assumes that all antenna elements are equal, a typical key assumption which allows

to model the PAA field pattern as the sum of the array factor, given by the geometry of the location of the antenna

elements, and the element field pattern. Any class derived from **AntennaModel** is a valid antenna element for the

**PhasedArrayModel**, allowing for a great flexibility of the framework.

**UniformPlanarArray**

The class **UniformPlanarArray** is a generic implementation of Uniform Planar Arrays (UPAs), supporting rectangular

and linear regular lattices. It loosely follows the implementation described in the 3GPP TR 38.90138901, considering

only a single a single panel, i.e.,  $N_g=M_g= 1$ .

By default, the array is orthogonal to the x-axis, pointing towards the positive direction, but the orientation can be

changed through the attributes “**BearingAngle**”, which adjusts the azimuth angle, and “**DowntiltAngle**”, which adjusts

the elevation angle. The slant angle is instead fixed and assumed to be 0.

The number of antenna elements in the vertical and horizontal directions can be configured through the attributes

“**NumRows**” and “**NumColumns**”, while the spacing between the horizontal and vertical elements can be configured

through the attributes “**AntennaHorizontalSpacing**” and “**AntennaVerticalSpacing**”.

389013GPP. 2018. TR 38.901, Study on channel model for frequencies from 0.5 to 100 GHz, V15.0.0. (2018-06).

The polarization of each antenna element in the array is determined by the polarization slant angle through the attribute

“**PolSlantAngle**”, as described in 38901 (i.e., [38901]).

The antenna modeled can be used with all the wireless technologies and physical layer models that support it. Cur-

rently, this includes the physical layer models based on the **SpectrumPhy**. Please refer to the documentation of each of

these models for details.

In this section we describe the test suites included with the antenna module that verify its correct functionality.

The unit test suite **angles** verifies that the **Angles** class is constructed properly by correct

conversion from 3D Cartesian coordinates according to the available methods (construction from a single vector and from a pair of vectors). For each method, several test cases are provided that compare the values (■,■) determined by the constructor to known reference values. The test passes if for each case the values are equal to the reference up to a tolerance of  $10^{-10}$  which accounts for numerical errors.

The unit test suite degrees-radians verifies that the methods DegreesToRadians and RadiansToDegrees work properly by comparing with known reference values in a number of test cases. Each test case passes if the comparison is equal up to a tolerance of  $10^{-10}$  which accounts for numerical errors.

The unit test suite isotropic-antenna-model checks that the IsotropicAntennaModel class works properly, i.e., returns always a 0dB gain regardless of the direction.

The unit test suite cosine-antenna-model checks that the CosineAntennaModel class works properly. Several test cases are provided that check for the antenna gain value calculated at different directions and for different values of the orientation, the reference gain and the beamwidth. The reference gain is calculated by hand. Each test case passes if the reference gain in dB is equal to the value returned by CosineAntennaModel within a tolerance of 0.001, which accounts for the approximation done for the calculation of the reference values.

The unit test suite parabolic-antenna-model checks that the ParabolicAntennaModel class works properly. Several test cases are provided that check for the antenna gain value calculated at different directions and for different values of the orientation, the maximum attenuation and the beamwidth. The reference gain is calculated by hand. Each test case passes if the reference gain in dB is equal to the value returned by ParabolicAntennaModel within a tolerance of 0.001, which accounts for the approximation done for the calculation of the reference values.

### AD HOC ON-DEMAND DISTANCE VECTOR (AODV)

This model implements the base specification of the Ad Hoc On-Demand Distance Vector (AODV) protocol. The implementation is based on RFC 3561 .

The model was written by Elena Buchatskaia and Pavel Boyko of ITTP RAS, and is based on the ns-2 AODV model developed by the CMU/MONARCH group and optimized and tuned by Samir Das and Mahesh Marina, University of Cincinnati, and also on the AODV-UU implementation by Erik Nordström of Uppsala University. The source code for the AODV model lives in the directory src/aodv .

Class ns3::aodv::RoutingProtocol implements all functionality of service packet exchange and inherits from ns3::Ipv4RoutingProtocol . The base class defines two virtual functions for packet routing and forwarding. The first one, ns3::aodv::RouteOutput , is used for locally originated packets, and the second one,

ns3::aodv::RouteInput , is used for forwarding and/or delivering received packets.

Protocol operation depends on many adjustable parameters. Parameters for this functionality are attributes

of ns3::aodv::RoutingProtocol . Parameter default values are drawn from the RFC and allow the enabling/disabling protocol features, such as broadcasting HELLO messages, broadcasting data packets and so on.

AODV discovers routes on demand. Therefore, the AODV model buffers all packets while a route request packet (RREQ) is disseminated. A packet queue is implemented in aodv-rqueue.cc. A smart pointer to the packet, ns3::Ipv4RoutingProtocol::ErrorCallback , ns3::Ipv4RoutingProtocol::UnicastForwardCallback , and the IP header are stored in this queue. The packet queue implements garbage collection of old packets and a queue size limit. The routing table implementation supports garbage collection of old entries and state machine, defined in the standard.

It is implemented as a STL map container. The key is a destination IP address.

Some elements of protocol operation aren't described in the RFC. These elements generally concern cooperation of

different OSI model layers. The model uses the following heuristics:

- This AODV implementation can detect the presence of unidirectional links and avoid them if necessary. If the node the model receives an RREQ for is a neighbor, the cause may be a unidirectional link. This heuristic is taken from AODV-UU implementation and can be disabled.
- Protocol operation strongly depends on broken link detection mechanism. The model implements two such heuristics. First, this implementation support HELLO messages. However HELLO messages are not a good way to perform neighbor sensing in a wireless environment (at least not over 802.11). Therefore, one may experience bad performance when running over wireless. There are several reasons for this: 1) HELLO messages are broadcasted. In 802.11, broadcasting is often done at a lower bit rate than unicasting, thus HELLO messages can travel further than unicast data. 2) HELLO messages are small, thus less prone to bit errors than data transmissions, and 3) Broadcast transmissions are not guaranteed to be bidirectional, unlike unicast transmissions.

Second, we use layer 2 feedback when possible. Link are considered to be broken if frame transmission results

in a transmission failure for all retries. This mechanism is meant for active links and works faster than the first method.

The layer 2 feedback implementation relies on the TxErrHeader trace source, currently supported in AdhocWifiMac only.

The model is for IPv4 only. The following optional protocol optimizations are not implemented:

1. Local link repair.
2. RREP, RREQ and HELLO message extensions.

These techniques require direct access to IP header, which contradicts the assertion from the AODV RFC that AODV

works over UDP. This model uses UDP for simplicity, hindering the ability to implement certain protocol optimiza-

tions. The model doesn't use low layer raw sockets because they are not portable.



No announced plans.

The model is a part of the applications library. The HTTP model is based on a commonly used 3GPP model in standardization [4].

This traffic generator simulates web browsing traffic using the Hypertext Transfer Protocol (HTTP).

It consists of

models a web browser which requests web pages to the server. The server is then responsible to serve the web pages as

requested. Please refer to `ThreeGppHttpClientHelper` and `ThreeGppHttpServerHelper` for usage instructions.

Technically speaking, the client transmits request objects to demand a service from the server.

Depending on the type

of request received, the server transmits either:

- a main object , i.e., the HTML file of the web page; or
- an embedded object , e.g., an image referenced by the HTML file.

The main and embedded object sizes are illustrated in figures 3GPP HTTP main object size histogram and 3GPP

HTTP embedded object size histogram .

A major portion of the traffic pattern is reading time , which does not generate any traffic.

Because of this, one may need

to simulate a good number of clients and/or sufficiently long simulation duration in order to generate any significant

traffic in the system. Reading time is illustrated in 3GPP HTTP reading time histogram .

3GPP HTTP server description

3GPP HTTP server is a model application which simulates the traffic of a web server. This application works in

conjunction with `ThreeGppHttpClient` applications.

The application works by responding to requests. Each request is a small packet of data which contains

`ThreeGppHttpHeader` . The value of the content type field of the header determines the type of object that the

client is requesting. The possible type is either a main object or an embedded object .

The application is responsible to generate the right type of object and send it back to the client.

The size of each object

to be sent is randomly determined (see `ThreeGppHttpVariables` ). Each object may be sent as multiple packets due

to limited socket buffer space.

To assist with the transmission, the application maintains several instances of

`ThreeGppHttpServerTxBuffer` .

Each instance keeps track of the object type to be served and the number of bytes left to be sent.

The application accepts connection request from clients. Every connection is kept open until the client disconnects.

Maximum transmission unit (MTU) size is configurable in `ThreeGppHttpServer` or in `ThreeGppHttpVariables` .

By default, the low variant is 536 bytes and high variant is 1460 bytes. The default values are set with the intention of

having a TCP header (size of which is 40 bytes) added in the packet in such way that lower layers can avoid splitting

packets. The change of MTU sizes affects all TCP sockets after the server application has started.

It is mainly visible

in sizes of packets received by `ThreeGppHttpClient` applications.

### 3GPP HTTP client description

3GPP HTTP client is a model application which simulates the traffic of a web browser. This application works in conjunction with an `ThreeGppHttpServer` application.

In summary, the application works as follows.

1. Upon start, it opens a connection to the destination web server (`ThreeGppHttpServer`).
2. After the connection is established, the application immediately requests a main object from the server by sending a request packet.
3. After receiving a main object (which can take some time if it consists of several packets), the application “parses” the main object. Parsing time is illustrated in figure 3GPP HTTP parsing time histogram .
4. The parsing takes a short time (randomly determined) to determine the number of embedded objects (also randomly determined) in the web page. Number of embedded object is illustrated in 3GPP HTTP number of embedded objects histogram .
  - If at least one embedded object is determined, the application requests the first embedded object from the server. The request for the next embedded object follows after the previous embedded object has been completely received.
  - If there is no more embedded object to request, the application enters the reading time .
5. Reading time is a long delay (again, randomly determined) where the application does not induce any network traffic, thus simulating the user reading the downloaded web page.
6. After the reading time is finished, the process repeats to step #2.

The client models HTTP persistent connection , i.e., HTTP 1.1, where the connection to the server is maintained and used for transmitting and receiving all objects.

Each request by default has a constant size of 350 bytes. A `ThreeGppHttpRequest` is attached to each request packet.

The header contains information such as the content type requested (either main object or embedded object) and the timestamp when the packet is transmitted (which will be used to compute the delay and RTT of the packet).

Many aspects of the traffic are randomly determined by `ThreeGppHttpVariables` . A separate instance of this object is used by the HTTP server and client applications. These characteristics are based on a legacy 3GPP specification.

The description can be found in the following references:

- [1] 3GPP TR 25.892, “Feasibility Study for Orthogonal Frequency Division Multiplexing (OFDM) for UTRAN enhancement”
- [2] IEEE 802.16m, “Evaluation Methodology Document (EMD)”, IEEE 802.16m-08/004r5, July 2008.
- [3] NGMN Alliance, “NGMN Radio Access Performance Evaluation Methodology”, v1.0, January 2008.
- [4] 3GPP2-TSGC5, “HTTP, FTP and TCP models for 1xEV-DV simulations”, 2001.

The three-gpp-http-example can be referenced to see basic usage of the HTTP applications. In summary,

using the `ThreeGppHttpServerHelper` and `ThreeGppHttpClientHelper` allow the user to easily install `ThreeGppHttpServer` and `ThreeGppHttpClient` applications to nodes. The helper objects can be used to configure

attribute values for the client and server objects, but not for the `ThreeGppHttpVariables`

object. Configuration

of variables is done by modifying attributes of `ThreeGppHttpVariables`, which should be done prior to helpers

installing applications to nodes.

The client and server provide a number of ns-3 trace sources such as "Tx", "Rx", "RxDelay", and "State-

Transition" on the server side, and a large number on the client side ("ConnectionEstablished", "Connection-

Closed", "TxMainObjectRequest", "TxEmbeddedObjectRequest", "RxMainObjectPacket", "RxMainObject", "Rx-EmbeddedObjectPacket", "RxEmbeddedObject", "Rx", "RxDelay", "RxRtt", "StateTransition").

Building the applications does not require any special steps to be taken. It suffices to enable the applications module.

For an example demonstrating HTTP applications run:

```
$ ./ns3 run 'three-gpp-http-example'
```

By default, the example will print out the web page requests of the client and responses of the server and client

receiving content packets by using `LOG_INFO` of `ThreeGppHttpServer` and `ThreeGppHttpClient`.

For testing HTTP applications, `three-gpp-http-client-server-test` is provided. Run:

```
$ ./test.py -s three-gpp-http-client-server-test
```

The test consists of simple Internet nodes having HTTP server and client applications installed.

Multiple variant

scenarios are tested: delay is 3ms, 30ms or 300ms, bit error rate 0 or  $5.0 \times 10^{-6}$ , MTU size 536 or 1460 bytes and

either IPV4 or IPV6 is used. A simulation with each combination of these parameters is run multiple times to verify

functionality with different random variables.

Test cases themselves are rather simple: test verifies that HTTP object packet bytes sent match total bytes received by

the client, and that `ThreeGppHttpHeader` matches the expected packet.

Some examples of the use of Bridge NetDevice can be found in `examples/csma/` directory.

This model implements an interface to BRITE, the Boston university Representative Internet Topology generator<sup>1</sup>.

BRITE is a standard tool for generating realistic internet topologies. The ns-3 model, described herein, provides

a helper class to facilitate generating ns-3 specific topologies using BRITE configuration files.

BRITE builds the

original graph which is stored as nodes and edges in the ns-3 `BriteTopolgyHelper` class. In the ns-3 integration of

BRITE, the generator generates a topology and then provides access to leaf nodes for each AS generated. ns-3 users

can then attach custom topologies to these leaf nodes either by creating them manually or using topology generators

provided in ns-3.

There are three major types of topologies available in BRITE: Router, AS, and Hierarchical which is a combination of

AS and Router. For the purposes of ns-3 simulation, the most useful are likely to be Router and Hierarchical. Router

level topologies be generated using either the Waxman model or the Barabasi-Albert model. Each model has different

parameters that effect topology creation. For flat router topologies, all nodes are considered to be in the same AS.

BRITE Hierarchical topologies contain two levels. The first is the AS level. This level can be also be created by using either the Waxman model or the Barabasi-Albert model. Then for each node in the AS topology, a router level topology is constructed. These router level topologies can again either use the Waxman model or the Barabasi-Albert model. BRITE interconnects these separate router topologies as specified by the AS level topology. Once the hierarchical topology is constructed, it is flattened into a large router level topology. Further information can be found in the BRITE user manual: <http://www.cs.bu.edu/brite/publications/usermanual.pdf>

The model relies on building an external BRITE library, and then building some ns-3 helpers that call out to the library. The source code for the ns-3 helpers lives in the directory `src/brite/helper`. To generate the BRITE topology, ns-3 helpers call out to the external BRITE library, and using a standard BRITE configuration file, the BRITE code builds a graph with nodes and edges according to this configuration file. Please see the BRITE documentation or the example configuration files in `src/brite/examples/conf_files` to get a better grasp of BRITE configuration options. The graph built by BRITE is returned to ns-3, and a ns-3 implementation of the graph is built. Leaf nodes for each AS are available for the user to either attach custom topologies or install ns-3 applications directly.

1Alberto Medina, Anukool Lakhina, Ibrahim Matta, and John Byers. BRITE: An Approach to Universal Topology Generation. In Proceedings of the International Workshop on Modeling, Analysis and Simulation of Computer and Telecommunications Systems- MASCOTS '01, Cincinnati, Ohio, August 2001.

The `brite-generic-example` can be referenced to see basic usage of the BRITE interface. In summary, the `BriteTopologyHelper` is used as the interface point by passing in a BRITE configuration file. Along with the configuration file a BRITE formatted random seed file can also be passed in. If a seed file is not passed in, the helper will create a seed file using ns-3's `UniformRandomVariable`. Once the topology has been generated by BRITE, `BuildBriteTopology()` is called to create the ns-3 representation. Next IP Address can be assigned to the topology using either `AssignIpv4Addresses()` or `AssignIpv6Addresses()`. It should be noted that each point-to-point link in the topology will be treated as a new network therefore for IPV4 a /30 subnet should be used to avoid wasting a large amount of the available address space.

Example BRITE configuration files can be found in `/src/brite/examples/conf_files/`. `ASBarabasi` and `ASWaxman` are examples of AS only topologies. The `RTBarabasi` and `RTWaxman` files are examples of router only topologies. Finally the `TD_ASBarabasi_RTWaxman` configuration file is an example of a Hierarchical topology that uses the Barabasi-

Albert model for the AS level and the Waxman model for each of the router level topologies.

Information on the

BRITE parameters used in these files can be found in the BRITE user manual.

The first step is to download and build the ns-3 specific BRITE repository:

```
$ hg clone http://code.nsnam.org/BRITE
```

```
$ cd BRITE
```

```
$ make
```

This will build BRITE and create a library, libbrite.so, within the BRITE directory.

Once BRITE has been built successfully, we proceed to configure ns-3 with BRITE support. Change to your ns-3

directory:

```
$ ./ns3 configure --with-brite=/your/path/to/brite/source --enable-examples
```

Make sure it says 'enabled' beside 'BRITE Integration'. If it does not, then something has gone wrong. Either you

have forgotten to build BRITE first following the steps above, or ns-3 could not find your BRITE directory.

Next, build ns-3:

```
$ ./ns3
```

For an example demonstrating BRITE integration run:

```
$ ./ns3 run 'brite-generic-example'
```

By enabling the verbose parameter, the example will print out the node and edge information in a similar format

to standard BRITE output. There are many other command-line parameters including confFile, tracing, and nix,

described below:

confFile A BRITE configuration file. Many different BRITE configuration file examples exist in the src/brite/examples/conf\_files directory, for example, RTBarabasi20.conf and RTWaxman.conf.

Please refer to the conf\_files directory for more examples.

tracing Enables ascii tracing.

nix Enables nix-vector routing. Global routing is used by default.

The generic BRITE example also support visualization using pyviz, assuming python bindings in ns-3 are enabled:

```
$ ./ns3 run brite-generic-example --vis
```

Simulations involving BRITE can also be used with MPI. The total number of MPI instances is passed to the BRITE

topology helper where a modulo divide is used to assign the nodes for each AS to a MPI instance. An example can be

found in src/brite/examples:

```
$ mpirun -np 2 ./ns3 run brite-MPI-example
```

Please see the ns-3 MPI documentation for information on setting up MPI with ns-3.

```
cd .. include:: replace.txt
```

The Buildings module provides:

1. a new class ( Building ) that models the presence of a building in a simulation scenario;

2. a new class ( MobilityBuildingInfo ) that allows to specify the location, size and characteristics of buildings

present in the simulated area, and allows the placement of nodes inside those buildings;

3. a container class with the definition of the most useful pathloss models and the correspondent variables called

BuildingsPropagationLossModel .

4. a new propagation model ( HybridBuildingsPropagationLossModel ) working with the mobility model just introduced, that allows to model the phenomenon of indoor/outdoor propagation in the presence

of buildings.

5. a simplified model working only with Okumura Hata ( `OhBuildingsPropagationLossModel` ) considering the phenomenon of indoor/outdoor propagation in the presence of buildings.

6. a channel condition model ( `BuildingsChannelConditionModel` ) which determined the LOS/NLOS channel

condition based on the Building objects deployed in the scenario.

7. hybrid channel condition models ( `ThreeGppV2vUrbanChannelConditionModel` and `ThreeGppV2vHighwayChannelConditionModel` ) specifically designed to model vehicular environments (more information can be found in the documentation of the propagation module )

The models have been designed with LTE in mind, though their implementation is in fact independent from any

LTE-specific code, and can be used with other ns-3 wireless technologies as well (e.g., wifi, wimax).

The `HybridBuildingsPropagationLossModel` pathloss model included is obtained through a combination of several well known pathloss models in order to mimic different environmental scenarios such as urban, suburban and

open areas. Moreover, the model considers both outdoor and indoor indoor and outdoor communication has to be

included since HeNB might be installed either within building and either outside. In case of indoor communication,

the model has to consider also the type of building in outdoor <-> indoor communication according to some general

criteria such as the wall penetration losses of the common materials; moreover it includes some general configuration

for the internal walls in indoor communications.

The `OhBuildingsPropagationLossModel` pathloss model has been created for simplifying the previous one re-

moving the thresholds for switching from one model to other. For doing this it has been used only one propagation

model from the one available (i.e., the Okumura Hata). The presence of building is still considered in the model; there-

fore all the considerations of above regarding the building type are still valid. The same consideration can be done for

what concern the environmental scenario and frequency since both of them are parameters of the model considered.

The model includes a specific class called `Building` which contains a ns3 `Boxclass` for defining the dimension of the

building. In order to implements the characteristics of the pathloss models included, the `Building` class supports the

following attributes:

- building type:
  - Residential (default value)
  - Office
  - Commercial
- external walls type
  - Wood
  - ConcreteWithWindows (default value)
  - ConcreteWithoutWindows
  - StoneBlocks
- number of floors (default value 1, which means only ground-floor)
- number of rooms in x-axis (default value 1)

- number of rooms in y-axis (default value 1)

The Building class is based on the following assumptions:

- a building is represented as a rectangular parallelepiped (i.e., a box)
- the walls are parallel to the x, y, and z axis
- a building is divided into a grid of rooms, identified by the following parameters:
  - number of floors
  - number of rooms along the x-axis
  - number of rooms along the y-axis
- the z axis is the vertical axis, i.e., floor numbers increase for increasing z axis values
- the x and y room indices start from 1 and increase along the x and y axis respectively
- all rooms in a building have equal size

The MobilityBuildingInfo class, which inherits from the ns3 class Object, is in charge of maintaining information

about the position of a node with respect to building. The information managed by

MobilityBuildingInfo

is:

- whether the node is indoor or outdoor
- if indoor:
  - in which building the node is
  - in which room the node is positioned (x, y and floor room indices)

The class MobilityBuildingInfo is used by BuildingsPropagationLossModel class, which inherits from the ns3 class PropagationLossModel and manages the pathloss computation of the single components and their

composition according to the nodes' positions. Moreover, it implements also the shadowing, that is the loss due to

obstacles in the main path (i.e., vegetation, buildings, etc.).

It is to be noted that, MobilityBuildingInfo can be used by any other propagation model. However, based on the

information at the time of this writing, only the ones defined in the building module are designed for considering the

constraints introduced by the buildings.

This class implements a building-dependent indoor propagation loss model based on the ITU P.1238 model, which

includes losses due to type of building (i.e., residential, office and commercial). The analytical expression is given in

the following.

$$L_{\text{total}} = 20 \log f + N \log d + L_f(n) \quad [dB]$$

where:

<

:28residential

30office

22commercial: power loss coefficient [dB]

$L_f = 8$

<

:4n residential

$15 + 4(n-1)$ office

$6 + 3(n-1)$ commercial

n: number of floors between base station and mobile ( $n \geq 1$ )

f: frequency [MHz]

d: distance (where  $d > 1$ ) [m]

The BuildingsPropagationLossModel provides an additional set of building-dependent pathloss model

elements that

are used to implement different pathloss logics. These pathloss model elements are described in the following subsections.

#### External Wall Loss (EWL)

This component models the penetration loss through walls for indoor to outdoor communications and vice-versa. The values are taken from the [cost231] model.

- Wood ~ 4 dB
- Concrete with windows (not metallized) ~ 7 dB
- Concrete without windows ~ 15 dB (spans between 10 and 20 in COST231)
- Stone blocks ~ 12 dB

#### Internal Walls Loss (IWL)

This component models the penetration loss occurring in indoor-to-indoor communications within the same build-

ing. The total loss is calculated assuming that each single internal wall has a constant penetration loss  $L_{siw}$ , and

approximating the number of walls that are penetrated with the manhattan distance (in number of rooms) between the

transmitter and the receiver. In detail, let  $x_1, y_1, x_2, y_2$  denote the room number along the x and y axis respectively

for user 1 and 2; the total loss  $L_{IWL}$  is calculated as

$$L_{IWL} = L_{siw}(|x_1 - x_2| + |y_1 - y_2|)$$

#### Height Gain Model (HG)

This component models the gain due to the fact that the transmitting device is on a floor above the ground. In the

literature [turkmani] this gain has been evaluated as about 2 dB per floor. This gain can be applied to all the indoor to

outdoor communications and vice-versa.

#### Shadowing Model

The shadowing is modeled according to a log-normal distribution with variable standard deviation as function of the

relative position (indoor or outdoor) of the MobilityModel instances involved. One random value is drawn for each

pair of MobilityModels, and stays constant for that pair during the whole simulation. Thus, the model is appropriate

for static nodes only.

The model considers that the mean of the shadowing loss in dB is always 0. For the variance, the model considers

- outdoor (  $m\_shadowingSigmaOutdoor$  , default value of 7 dB)  $\sigma_{out}^2$
- indoor (  $m\_shadowingSigmaIndoor$  , default value of 10 dB)  $\sigma_{in}^2$
- external walls penetration (  $m\_shadowingSigmaExtWalls$  , default value 5 dB)  $\sigma_{ext}^2$

The simulator generates a shadowing value per each active link according to nodes' position the first time the link

is used for transmitting. In case of transmissions from outdoor nodes to indoor ones, and vice-versa, the standard

deviation ( $\sigma_{IO}$ ) has to be calculated as the square root of the sum of the quadratic values of the standard deviation in

case of outdoor nodes and the one for the external walls penetration. This is due to the fact that that the components

producing the shadowing are independent of each other; therefore, the variance of a distribution



resulting from the  
sum of two independent normal ones is the sum of the variances.

$X \sim N(\mu, \sigma^2)$  and  $Y \sim N(\mu, \sigma^2)$   
 $\sigma^2_{IO} = \sigma^2$

In the following we describe the different pathloss logic that are implemented by inheriting from  
BuildingsPropagationLossModel.

HybridBuildingsPropagationLossModel

The HybridBuildingsPropagationLossModel pathloss model included is obtained through a combination of  
several well known pathloss models in order to mimic different outdoor and indoor scenarios, as well  
as indoor-to-

outdoor and outdoor-to-indoor scenarios. In detail, the class HybridBuildingsPropagationLossModel  
integrates

the following pathloss models:

- OkumuraHataPropagationLossModel (OH) (at frequencies > 2.3 GHz substituted by  
Kun2600MhzPropagationLossModel)
- ItuR1411LosPropagationLossModel and ItuR1411NlosOverRooftopPropagationLossModel (I1411)
- ItuR1238PropagationLossModel (I1238)
- the pathloss elements of the BuildingsPropagationLossModel (EWL, HG, IWL)

The following pseudo-code illustrates how the different pathloss model elements described above are  
integrated in

HybridBuildingsPropagationLossModel :

```

if(txNode isoutdoor)
then
  if(rxNode isoutdoor)
  then
    if(distance > 1 km)
    then
      if(rxNode or txNode isbelow the rooftop)
      then
        else
        else
        else(rxNode isindoor)
        if(distance > 1 km)
        then
          if(rxNode or txNode isbelow the rooftop)
          L = I1411 + EWL + HG
          else
          L = OH + EWL + HG
          else
          L = I1411 + EWL + HG
        else(txNode isindoor)
        if(rxNode isindoor)
        then
          if(same building)
          then
            L = I1238 + IWL
          else
            L = I1411 + 2 * EWL
          else(rxNode isoutdoor)

```

```

if(distance > 1 km)
then
if(rxNode or txNode is below the rooftop)
then
L = I1411 + EWL + HG
else
L = OH + EWL + HG
else
L = I1411 + EWL

```

km, we still consider the I1411 model, since OH is specifically designed for macro cells and therefore for antennas above the roof-top level.

For the ITU-R P.1411 model we consider both the LOS and NLoS versions. In particular, we consider the LoS

propagation for distances that are shorter than a tunable threshold ( `m_itu1411NlosThreshold` ). In case on NLoS

propagation, the over the roof-top model is taken in consideration for modeling both macro BS and SC. In case on

NLoS several parameters scenario dependent have been included, such as average street width, orientation, etc. The

values of such parameters have to be properly set according to the scenario implemented, the model does not calculate

natively their values. In case any values is provided, the standard ones are used, apart for the height of the mobile and

BS, which instead their integrity is tested directly in the code (i.e., they have to be greater than zero). In the following

we give the expressions of the components of the model.

We also note that the use of different propagation models (OH, I1411, I1238 with their variants) in HybridBuild-

ingsPropagationLossModel can result in discontinuities of the pathloss with respect to distance. A proper tuning of

the attributes (especially the distance threshold attributes) can avoid these discontinuities.

However, since the behavior

of each model depends on several other parameters (frequency, node height, etc), there is no default value of these

thresholds that can avoid the discontinuities in all possible configurations. Hence, an appropriate tuning of these

parameters is left to the user.

`OhBuildingsPropagationLossModel`

The `OhBuildingsPropagationLossModel` class has been created as a simple means to solve the discontinuity

problems of `HybridBuildingsPropagationLossModel` without doing scenario-specific parameter tuning. The

solution is to use only one propagation loss model (i.e., Okumura Hata), while retaining the structure of the pathloss

logic for the calculation of other path loss components (such as wall penetration losses). The result is a model that is

free of discontinuities (except those due to walls), but that is less realistic overall for a generic scenario with buildings

and outdoor/indoor users, e.g., because Okumura Hata is not suitable neither for indoor communications nor for

outdoor communications below rooftop level.

In detail, the class OhBuildingsPropagationLossModel integrates the following pathloss models:

- OkumuraHataPropagationLossModel (OH)
- the pathloss elements of the BuildingsPropagationLossModel (EWL, HG, IWL)

The following pseudo-code illustrates how the different pathloss model elements described above are integrated in

OhBuildingsPropagationLossModel :

```
if(txNode isoutdoor)
then
if(rxNode isoutdoor)
then
else(rxNode isindoor)
L = OH + EWL
else(txNode isindoor)
if(rxNode isindoor)
then
if(same building)
then
L = OH + IWL
else
L = OH + 2 *EWL
else(rxNode isoutdoor)
L = OH + EWL
```

We note that OhBuildingsPropagationLossModel is a significant simplification with respect to HybridBuildingsPropagationLossModel, due to the fact that OH is used always. While this gives a less accurate model in some scenarios (especially below rooftop and indoor), it effectively avoids the issue of pathloss discontinuities that affects HybridBuildingsPropagationLossModel.

In this section we explain the basic usage of the buildings model within a simulation program.

Include the headers

Add this at the beginning of your simulation program:

```
#include <ns3/buildings-module.h>
```

Create a building

As an example, let's create a residential 10 x 20 x 10 building:

```
double x_min = 0.0;
double x_max = 10.0;
double y_min = 0.0;
double y_max = 20.0;
double z_min = 0.0;
double z_max = 10.0;
Ptr<Building> b = CreateObject<Building>();
b->SetBoundaries(Box(x_min, x_max, y_min, y_max, z_min, z_max));
b->SetBuildingType(Building::Residential);
b->SetExtWallsType(Building::ConcreteWithWindows);
b->SetNFloors(3);
b->SetNRoomsX(3);
b->SetNRoomsY(2);
```

This building has three floors and an internal 3 x 2 grid of rooms of equal size.

The helper class GridBuildingAllocator is also available to easily create a set of buildings with

identical characteristics

placed on a rectangular grid. Here's an example of how to use it:

```
Ptr<GridBuildingAllocator> gridBuildingAllocator;  
gridBuildingAllocator = CreateObject<GridBuildingAllocator>();  
gridBuildingAllocator->SetAttribute("GridWidth", UIntegerValue(3));  
gridBuildingAllocator->SetAttribute("LengthX", DoubleValue(7));  
gridBuildingAllocator->SetAttribute("LengthY", DoubleValue(13));  
gridBuildingAllocator->SetAttribute("DeltaX", DoubleValue(3));  
gridBuildingAllocator->SetAttribute("DeltaY", DoubleValue(3));  
gridBuildingAllocator->SetAttribute("Height", DoubleValue(6));  
gridBuildingAllocator->SetBuildingAttribute("NRoomsX", UIntegerValue(2));  
gridBuildingAllocator->SetBuildingAttribute("NRoomsY", UIntegerValue(4));  
gridBuildingAllocator->SetBuildingAttribute("NFloors", UIntegerValue(2));  
gridBuildingAllocator->SetAttribute("MinX", DoubleValue(0));  
gridBuildingAllocator->SetAttribute("MinY", DoubleValue(0));  
gridBuildingAllocator->Create(6);
```

This will create a 3x2 grid of 6 buildings, each 7 x 13 x 6 m with 2 x 4 rooms inside and 2 floors;  
the buildings are

spaced by 3 m on both the x and the y axis.

Setup nodes and mobility models

Nodes and mobility models are configured as usual, however in order to use them with the buildings model you need

an additional call to BuildingsHelper::Install() , so as to let the mobility model include the information on

their position w.r.t. the buildings. Here is an example:

```
MobilityHelper mobility;  
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");  
ueNodes.Create(2);  
mobility.Install(ueNodes);  
BuildingsHelper::Install(ueNodes);
```

It is to be noted that any mobility model can be used. However, the user is advised to make sure that the behavior

of the mobility model being used is consistent with the presence of Buildings. For example, using a simple random

mobility over the whole simulation area in presence of buildings might easily results in node moving in and out of

buildings, regardless of the presence of walls.

to the RandomWalk2dMobilityModel but avoids placing the trajectory on a path that would intersect a building wall.

If a boundary is encountered (either the bounding box or a building wall), the model rebounds with a random direction

and speed that ensures that the trajectory stays outside the buildings. An example program that demonstrates the use

of this model is the src/buildings/examples/outdoor-random-walk-example.cc which has an associated shell script to plot the traces generated. Another example program demonstrates how this outdoor mobility model

can be used as the basis of a group mobility model, with the outdoor buildings-aware model serving as the parent

or reference mobility model, and with additional nodes defining a child mobility model providing the offset from

the reference mobility model. This example, src/buildings/example/outdoor-group-mobility-example.

cc, also has an associated shell script ( outdoor-group-mobility-animate.sh ) that can be used to generate an animated GIF of the group's movement.

Place some nodes

You can place nodes in your simulation using several methods, which are described in the following.

Legacy positioning methods

Any legacy ns-3 positioning method can be used to place node in the simulation. The important additional step is to

For example, you can place nodes manually like this:

```
Ptr<ConstantPositionMobilityModel> mm0 = enbNodes.Get(0)->GetObject
```

```
,!<ConstantPositionMobilityModel>();
```

```
Ptr<ConstantPositionMobilityModel> mm1 = enbNodes.Get(1)->GetObject
```

```
,!<ConstantPositionMobilityModel>();
```

```
mm0->SetPosition(Vector(5.0, 5.0, 1.5));
```

```
mm1->SetPosition(Vector(30.0, 40.0, 1.5));
```

```
MobilityHelper mobility;
```

```
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
```

```
ueNodes.Create(2);
```

```
mobility.Install(ueNodes);
```

```
BuildingsHelper::Install(ueNodes);
```

```
mm0->SetPosition(Vector(5.0, 5.0, 1.5));
```

```
mm1->SetPosition(Vector(30.0, 40.0, 1.5));
```

Alternatively, you could use any existing PositionAllocator class. The coordinates of the node will determine whether

it is placed outdoor or indoor and, if indoor, in which building and room it is placed.

Building-specific positioning methods

The following position allocator classes are available to place node in special positions with respect to buildings:

- RandomBuildingPositionAllocator : Allocate each position by randomly choosing a building from the list

of all buildings, and then randomly choosing a position inside the building.

- RandomRoomPositionAllocator : Allocate each position by randomly choosing a room from the list of rooms in all buildings, and then randomly choosing a position inside the room.

- SameRoomPositionAllocator : Walks a given NodeContainer sequentially, and for each node allocate a new

position randomly in the same room of that node.

- FixedRoomPositionAllocator : Generate a random position uniformly distributed in the volume of a chosen

room inside a chosen building.

Making the Mobility Model Consistent for a node

Initially, a mobility model of a node is made consistent when a node is initialized, which eventually trig-

gers a call to the DoInitialize method of the MobilityBuildingInfo' class. In particular, it calls the

MakeMobilityModelConsistent method, which goes through the lists of all buildings, determine if the node is

indoor or outdoor, and if indoor it also determines the building in which the node is located and

the corresponding

floor number inside the building. Moreover, this method also caches the position of the node, which is used to make

the mobility model consistent for a moving node whenever the IsInside method of MobilityBuildingInfo

class

is called.

#### Building-aware pathloss model

After you placed buildings and nodes in a simulation, you can use a building-aware pathloss model in a simulation

exactly in the same way you would use any regular path loss model. How to do this is specific for the wireless

module that you are considering (lte, wifi, wimax, etc.), so please refer to the documentation of that model for specific

instructions.

#### Building-aware channel condition models

The class `BuildingsChannelConditionModel` implements a channel condition model which determines the LOS/NLOS

channel state based on the buildings deployed in the scenario. In addition, based on the wall material of the building,

low/high building penetration losses are considered, as defined in 3GPP TS 38.901 7.4.3.1. In particular, for O2I

condition, in case of Wood or ConcreteWithWindows material, low losses are considered in the pathloss calculation.

In case the material has been set to ConcreteWithoutWindows or StoneBlocks, high losses are considered. Notice

that in certain corner cases, such as the I2O2I interference, the model underestimates losses by applying either low or

high losses based on the wall material of the involved nodes. For a more accurate estimation the model can be further

extended.

The classes `ThreeGppV2vUrbanChannelConditionModel` and `ThreeGppV2vHighwayChannelConditionModel` implement hybrid channel condition models, specifically designed to model vehicular environments.

More informa-

tion can be found in the documentation of the propagation module .

The `Building` class has the following configurable parameters:

- building type: Residential, Office and Commercial.
- external walls type: Wood, ConcreteWithWindows, ConcreteWithoutWindows and StoneBlocks.
- building bounds: a `Box` class with the building bounds.
- number of floors.
- number of rooms in x-axis and y-axis (rooms can be placed only in a grid way).

The `BuildingMobilityLossModel` parameter configurable with the ns3 attribute system is represented by the

bound (string `Bounds` ) of the simulation area by providing a `Box` class with the area bounds.

Moreover, by means of

its methods the following parameters can be configured:

- the number of floor the node is placed (default 0).
- the position in the rooms grid.

The `BuildingPropagationLossModel` class has the following configurable parameters configurable with the at-

tribute system:

- Frequency : reference frequency (default 2160 MHz), note that by setting the frequency the wavelength is set

accordingly automatically and vice-versa).

- Lambda : the wavelength (0.139 meters, considering the above frequency).

- ShadowSigmaOutdoor : the standard deviation of the shadowing for outdoor nodes (default 7.0).

- ShadowSigmaIndoor : the standard deviation of the shadowing for indoor nodes (default 8.0).
- ShadowSigmaExtWalls : the standard deviation of the shadowing due to external walls penetration for outdoor to indoor communications (default 5.0).
- RooftopLevel : the level of the rooftop of the building in meters (default 20 meters).
- Los2NlosThr : the value of distance of the switching point between line-of-sight and non-line-of-sight propagation model in meters (default 200 meters).
- ITU1411DistanceThr : the value of distance of the switching point between short range (ITU 1211) communications and long range (Okumura Hata) in meters (default 200 meters).
- MinDistance : the minimum distance in meters between two nodes for evaluating the pathloss (considered neglectible before this threshold) (default 0.5 meters).
- Environment : the environment scenario among Urban, SubUrban and OpenAreas (default Urban).
- CitySize : the dimension of the city among Small, Medium, Large (default Large).

In order to use the hybrid mode, the class to be used is the HybridBuildingMobilityLossModel , which allows the solution has the problem that the pathloss model switching points might present discontinuities due to the different characteristics of the model. This implies that according to the specific scenario, the threshold used for switching have to be properly tuned. The simple OhBuildingMobilityLossModel overcome this problem by using only the Okumura Hata model and the wall penetration losses.

To test and validate the ns-3 Building Pathloss module, some test suites is provided which are integrated with the ns-3

test framework. To run them, you need to have configured the build of the simulator in this way:

```
$ ./ns3 configure --enable-tests --enable-modules=buildings
```

```
$ ./test.py
```

The above will run not only the test suites belonging to the buildings module, but also those belonging to all the other

ns-3 modules on which the buildings module depends. See the ns-3 manual for generic information on the testing framework.

You can get a more detailed report in HTML format in this way:

```
$ ./test.py -w results.html
```

After the above command has run, you can view the detailed result for each test by opening the file results.html

with a web browser.

You can run each test suite separately using this command:

```
$ ./test.py -s test-suite-name
```

For more details about test.py and the ns-3 testing framework, please refer to the ns-3 manual.

### BuildingsHelper test

The test suite buildings-helper checks that the method BuildingsHelper::MakeAllInstancesConsistent ()works properly, i.e., that the BuildingsHelper is successful in locating if nodes are outdoor or indoor, and if indoor

that they are located in the correct building, room and floor. Several test cases are provided with different buildings

(having different size, position, rooms and floors) and different node positions. The test passes if each every node is

located correctly.

#### BuildingPositionAllocator test

The test suite building-position-allocator feature two test cases that check that respectively

#### RandomRoom-

PositionAllocator and SameRoomPositionAllocator work properly. Each test cases involves a single 2x3x2 room

building (total 12 rooms) at known coordinates and respectively 24 and 48 nodes. Both tests check that the number of

nodes allocated in each room is the expected one and that the position of the nodes is also correct.

#### Buildings Pathloss tests

The test suite buildings-pathloss-model provides different unit tests that compare the expected results of the

buildings pathloss module in specific scenarios with pre calculated values obtained offline with an Octave script

(test/reference/buildings-pathloss.m). The tests are considered passed if the two values are equal up to a tolerance

of 0.1, which is deemed appropriate for the typical usage of pathloss values (which are in dB).

In the following we detailed the scenarios considered, their selection has been done for covering the wide set of

possible pathloss logic combinations. The pathloss logic results therefore implicitly tested.

#### Test #1 Okumura Hata

In this test we test the standard Okumura Hata model; therefore both eNB and UE are placed outside at a distance

of 2000 m. The frequency used is the E-UTRA band #5, which correspond to 869 MHz (see table 5.5-1 of 36.101).

The test includes also the validation of the areas extensions (i.e., urban, suburban and open-areas) and of the city size

(small, medium and large).

#### Test #2 COST231 Model

This test is aimed at validating the COST231 model. The test is similar to the Okumura Hata one, except that the

frequency used is the EUTRA band #1 (2140 MHz) and that the test can be performed only for large and small cities

in urban scenarios due to model limitations.

#### Test #3 2.6 GHz model

This test validates the 2.6 GHz Kun model. The test is similar to Okumura Hata one except that the frequency is the

EUTRA band #7 (2620 MHz) and the test can be performed only in urban scenario.

#### Test #4 ITU1411 LoS model

This test is aimed at validating the ITU1411 model in case of line of sight within street canyons transmissions. In this

case the UE is placed at 100 meters far from the eNB, since the threshold for switching between LoS and NLoS is left

to default one (i.e., 200 m.).

#### Test #5 ITU1411 NLoS model

This test is aimed at validating the ITU1411 model in case of non line of sight over the rooftop transmissions. In this

case the UE is placed at 900 meters far from the eNB, in order to be above the threshold for switching between LoS

and NLoS is left to default one (i.e., 200 m.).

#### Test #6 ITUP1238 model



This test is aimed at validating the ITUP1238 model in case of indoor transmissions. In this case both the UE and the eNB are placed in a residential building with walls made of concrete with windows. Ue is placed at the second floor and distances 30 meters far from the eNB, which is placed at the first floor.

Test #7 Outdoor -> Indoor with Okumura Hata model

This test validates the outdoor to indoor transmissions for large distances. In this case the UE is placed in a residential building with wall made of concrete with windows and distances 2000 meters from the outdoor eNB.

Test #8 Outdoor -> Indoor with ITU1411 model

This test validates the outdoor to indoor transmissions for short distances. In this case the UE is placed in a residential building with walls made of concrete with windows and distances 100 meters from the outdoor eNB.

Test #9 Indoor -> Outdoor with ITU1411 model

This test validates the outdoor to indoor transmissions for very short distances. In this case the eNB is placed in the second floor of a residential building with walls made of concrete with windows and distances 100 meters from the outdoor UE (i.e., LoS communication). Therefore the height gain has to be included in the pathloss evaluation.

Test #10 Indoor -> Outdoor with ITU1411 model

This test validates the outdoor to indoor transmissions for short distances. In this case the eNB is placed in the second floor of a residential building with walls made of concrete with windows and distances 500 meters from the outdoor UE (i.e., NLoS communication). Therefore the height gain has to be included in the pathloss evaluation.

Buildings Shadowing Test

The test suite buildings-shadowing-test is a unit test intended to verify the statistical distribution of the shadowing model implemented by BuildingsPathlossModel . The shadowing is modeled according to a normal distribution with mean  $\mu = 0$  and variable standard deviation  $\sigma$ , according to models commonly used in liter-

ature. Three test cases are provided, which cover the cases of indoor, outdoor and indoor-to-outdoor communi-

cations. Each test case generates 1000 different samples of shadowing for different pairs of MobilityModel in-

stances in a given scenario. Shadowing values are obtained by subtracting from the total loss value returned by

HybridBuildingsPathlossModel the path loss component which is constant and pre-determined for each test

case. The test verifies that the sample mean and sample variance of the shadowing values fall within the 99% confi-

dence interval of the sample mean and sample variance. The test also verifies that the shadowing values returned at

successive times for the same pair of MobilityModel instances is constant.

Buildings Channel Condition Model Test

The BuildingsChannelConditionModelTestSuite tests the class BuildingsChannelConditionModel. It checks if the

channel condition between two nodes is correctly determined when a building is deployed.

CLICK MODULAR ROUTER INTEGRATION

Click is a software architecture for building configurable routers. By using different combinations of packet processing units called elements, a Click router can be made to perform a specific kind of functionality. This flexibility provides a good platform for testing and experimenting with different protocols.

The source code for the Click model lives in the directory `src/click`.

ns-3's design is well suited for an integration with Click due to the following reasons:

- Packets in ns-3 are serialised/deserialised as they move up/down the stack. This allows ns-3 packets to be passed to and from Click as they are.
- This also means that any kind of ns-3 traffic generator and transport should work easily on top of Click.
- By striving to implement click as an `Ipv4RoutingProtocol` instance, we can avoid significant changes to the LL and MAC layer of the ns-3 code.

The design goal was to make the ns-3-click public API simple enough such that the user needs to merely add an `Ipv4ClickRouting` instance to the node, and inform each Click node of the Click configuration file (.click file) that it is to use.

This model implements the interface to the Click Modular Router and provides the `Ipv4ClickRouting` class to allow a node to use Click for external routing. Unlike normal `Ipv4RoutingProtocol` sub types, `Ipv4ClickRouting` doesn't use a `RouteInput()` method, but instead, receives a packet on the appropriate interface and processes it accordingly. Note that you need to have a routing table type element in your Click graph to use Click for external routing. This is needed by the `RouteOutput()` function inherited from `Ipv4RoutingProtocol`. Furthermore, a Click based node uses a different kind of L3 in the form of `Ipv4L3ClickProtocol`, which is a trimmed down version of `Ipv4L3Protocol`. `Ipv4L3ClickProtocol` passes on packets passing through the stack to `Ipv4ClickRouting` for processing.

Developing a Simulator API to allow ns-3 to interact with Click

Much of the API is already well defined, which allows Click to probe for information from the simulator (like a Node's ID, an Interface ID and so forth). By retaining most of the methods, it should be possible to write new implementations specific to ns-3 for the same functionality.

Hence, for the Click integration with ns-3, a class named `Ipv4ClickRouting` will handle the interaction with Click. The

code for the same can be found in `src/click/model/ipv4-click-routing.{cc,h}`.

Packet hand off between ns-3 and Click

There are four kinds of packet hand-offs that can occur between ns-3 and Click.

- L4 to L3
- L3 to L4
- L3 to L2
- L2 to L3

To overcome this, we implement `Ipv4L3ClickProtocol`, a stripped down version of `Ipv4L3Protocol`. `Ipv4L3ClickProtocol` passes packets to and from `Ipv4ClickRouting` appropriately to perform routing.

- In its current state, the NS-3 Click Integration is limited to use only with L3, leaving NS-3 to

handle L2. We are

currently working on adding Click MAC support as well. See the usage section to make sure that you design

your Click graphs accordingly.

- Furthermore, ns-3-click will work only with userlevel elements. The complete list of elements are available at

<https://web.archive.org/web/20171003052722/http://read.cs.ucla.edu/click/elements>. Elements that have 'all',

'userlevel' or 'ns' mentioned beside them may be used.

- As of now, the ns-3 interface to Click is Ipv4 only. We will be adding Ipv6 support in the future.

- Eddie Kohler, Robert Morris, Benjie Chen, John Jannotti, and M. Frans Kaashoek. The click modular router.

ACM Transactions on Computer Systems 18(3), August 2000, pages 263-297.

- Lalith Suresh P., and Ruben Merz. Ns-3-click: click modular router integration for ns-3. In Proc. of 3rd

International ICST Workshop on NS-3 (WNS3), Barcelona, Spain. March, 2011.

- Michael Neufeld, Ashish Jain, and Dirk Grunwald. Nsclick: bridging network simulation and deployment.

MSWiM '02: Proceedings of the 5th ACM international workshop on Modeling analysis and simulation of wireless and mobile systems, 2002, Atlanta, Georgia, USA. <http://doi.acm.org/10.1145/570758.570772>

The first step is to clone Click from the github repository and build it:

```
$ git clone https://github.com/kohler/click
```

```
$ cd click/
```

```
$ ./configure --disable-linuxmodule --enable-nsclick --enable-wifi
```

```
$ make
```

The --enable-wifi flag may be skipped if you don't intend on using Click with Wifi. \* Note: You don't need to do a

'make install'.

Once Click has been built successfully, change into the ns-3 directory and configure ns-3 with Click Integration

support:

```
$ ./ns3 configure --enable-examples --enable-tests --with-nsclick=/path/to/click/
```

```
!source
```

Hint: If you have click installed one directory above ns-3 (such as in the ns-3-allinone directory), and the name of

the directory is 'click' (or a symbolic link to the directory is named 'click'), then the --with-nsclick specifier is not

necessary; the ns-3 build system will successfully find the directory.

If it says 'enabled' beside 'NS-3 Click Integration Support', then you're good to go. Note: If running modular ns-3,

the minimum set of modules required to run all ns-3-click examples is wifi, csma and config-store.

Next, try running one of the examples:

```
$ ./ns3 run nsclick-simple-lan
```

You may then view the resulting .pcap traces, which are named nsclick-simple-lan-0-0.pcap and nsclick-simple-lan-0-

1.pcap.

The following should be kept in mind when making your Click graph:

- Only userlevel elements can be used.

- You will need to replace FromDevice and ToDevice elements with FromSimDevice and ToSimDevice elements.

- Packets to the kernel are sent up using ToSimDevice(tap0,IP).

- For any node, the device which sends/receives packets to/from the kernel, is named 'tap0'. The remaining interfaces should be named eth0, eth1 and so forth (even if you're using wifi). Please note that the device numbering should begin from 0. In future, this will be made flexible so that users can name devices in their Click file as they wish.

- A routing table element is a mandatory. The OUTports of the routing table element should correspond to the interface number of the device through which the packet will ultimately be sent out. Violating this rule will lead to really weird packet traces. This routing table element's name should then be passed to the Ipv4ClickRouting protocol object as a simulation parameter. See the Click examples for details.

- The current implementation leaves Click with mainly L3 functionality, with ns-3 handling L2. We will soon begin working to support the use of MAC protocols on Click as well. This means that as of now, Click's Wifi specific elements cannot be used with ns-3.

From any point within a Click graph, you may use the Print

(<https://web.archive.org/web/20171003052722/http://read>.

generate pcap traces of packets flowing through a Click graph by using the ToDump

(<https://web.archive.org/web/20171003052722/http://read.cs.ucla.edu/click/elements/todump>) element as well. For instance:

myarpquerier

```
-> Print(fromarpquery,64)
```

```
-> ToDump(out_arpquery,PER_NODE 1)
```

```
-> ethout;
```

have a suffix 'out\_arpquery', for each node using the Click file, before pushing packets onto 'ethout'.

To have a node run Click, the easiest way would be to use the ClickInternetStackHelper class in your simulation script.

For instance:

```
ClickInternetStackHelper click;
```

```
click.SetClickFile(myNodeContainer, "nsclick-simple-lan.click");
```

```
click.SetRoutingTableElement(myNodeContainer, "u/r");
```

```
click.Install(myNodeContainer);
```

The example scripts inside src/click/examples/ demonstrate the use of Click based nodes in different scenarios.

The helper source can be found inside src/click/helper/click-internet-stack-helper.{h,cc}

The following examples have been written, which can be found in src/click/examples/ :

- nsclick-simple-lan.cc and nsclick-raw-wlan.cc: A Click based node communicating with a normal ns-3 node

without Click, using Csma and Wifi respectively. It also demonstrates the use of TCP on top of Click, something

which the original nsclick implementation for NS-2 couldn't achieve.

- nsclick-udp-client-server-csma.cc and nsclick-udp-client-server-wifi.cc: A 3 node LAN (Csma and Wifi respec-

tively) wherein 2 Click based nodes run a UDP client, that sends packets to a third Click based node running a

UDP server.

- nsclick-routing.cc: One Click based node communicates to another via a third node that acts as an IP router

(using the IP router Click configuration). This demonstrates routing using Click.

Scripts are available within <click-dir>/conf/ that allow you to generate Click files for some common scenarios.

The IP Router used in nsclick-routing.cc was generated from the make-ip-conf.pl file and slightly adapted to

work with ns-3-click.

This model has been tested as follows:

- Unit tests have been written to verify the internals of Ipv4ClickRouting. This can be found in src/click/

ipv4-click-routing-test.cc . These tests verify whether the methods inside Ipv4ClickRouting which

deal with Device name to ID, IP Address from device name and Mac Address from device name bindings work

as expected.

- The examples have been used to test Click with actual simulation scenarios. These can be found in src/click/

examples/ . These tests cover the following: the use of different kinds of transports on top of Click, TCP/UDP,

whether Click nodes can communicate with non-Click based nodes, whether Click nodes can communicate with

each other, using Click to route packets using static routing.

- Click has been tested with Csma, Wifi and Point-to-Point devices. Usage instructions are available in the pre-

ceding section.

Thens-3 CSMA device models a simple bus network in the spirit of Ethernet. Although it does not model any real

physical network you could ever build or buy, it does provide some very useful functionality.

Typically when one thinks of a bus network Ethernet or IEEE 802.3 comes to mind. Ethernet uses CSMA/CD (Car-

rier Sense Multiple Access with Collision Detection with exponentially increasing backoff to contend for the shared

transmission medium. The ns-3 CSMA device models only a portion of this process, using the nature of the globally

available channel to provide instantaneous (faster than light) carrier sense and priority-based collision “avoidance.”

Collisions in the sense of Ethernet never happen and so the ns-3 CSMA device does not model collision detection, nor

will any transmission in progress be “jammed.”

There are a number of conventions in use for describing layered communications architectures in the literature and in

textbooks. The most common layering model is the ISO seven layer reference model. In this view the CsmaNetDevice

and CsmaChannel pair occupies the lowest two layers – at the physical (layer one), and data link (layer two) positions.

Another important reference model is that specified by RFC 1122, “Requirements for Internet Hosts – Communication

Layers.” In this view the CsmaNetDevice and CsmaChannel pair occupies the lowest layer – the link layer. There is

also a seemingly endless litany of alternative descriptions found in textbooks and in the literature. We adopt the naming

conventions used in the IEEE 802 standards which speak of LLC, MAC, MII and PHY layering. These acronyms are defined as:

- LLC: Logical Link Control;
- MAC: Media Access Control;
- MII: Media Independent Interface;
- PHY: Physical Layer.

In this case the LLC and MAC are sublayers of the OSI data link layer and the MII and PHY are sublayers of the OSI

physical layer.

The “top” of the CSMA device defines the transition from the network layer to the data link layer.

This transition is

performed by higher layers by calling either `CsmaNetDevice::Send` or `CsmaNetDevice::SendFrom`.

In contrast to the IEEE 802.3 standards, there is no precisely specified PHY in the CSMA model in the sense of wire

types, signals or pinouts. The “bottom” interface of the `CsmaNetDevice` can be thought of as as a kind of Media

Independent Interface (MII) as seen in the “Fast Ethernet” (IEEE 802.3u) specifications. This MII interface fits into a

corresponding media independent interface on the `CsmaChannel`. You will not find the equivalent of a 10BASE-T or

a 1000BASE-LX PHY .

The `CsmaNetDevice` calls the `CsmaChannel` through a media independent interface. There is a method defined to tell

the channel when to start “wiggling the wires” using the method `CsmaChannel::TransmitStart`, and a method to tell

the channel when the transmission process is done and the channel should begin propagating the last bit across the

“wire”: `CsmaChannel::TransmitEnd`.

When the `TransmitEnd` method is executed, the channel will model a single uniform signal propagation delay in the

medium and deliver copies of the packet to each of the devices attached to the packet via the

`CsmaNetDevice::Receive` method.

There is a “pin” in the device media independent interface corresponding to “COL” (collision). The state of the channel

may be sensed by calling `CsmaChannel::GetState`. Each device will look at this “pin” before starting a send and will

perform appropriate backoff operations if required.

Properly received packets are forwarded up to higher levels from the `CsmaNetDevice` via a callback mechanism.

The callback function is initialized by the higher layer (when the net device is attached) using `CsmaNetDe-`

`vice::SetReceiveCallback` and is invoked upon “proper” reception of a packet by the net device in order to forward

the packet up the protocol stack.

The class `CsmaChannel` models the actual transmission medium. There is no fixed limit for the number of devices

connected to the channel. The `CsmaChannel` models a data rate and a speed-of-light delay which can be accessed via

the attributes “DataRate” and “Delay” respectively. The data rate provided to the channel is used to

set the data rates

used by the transmitter sections of the CSMA devices connected to the channel. There is no way to independently set

data rates in the devices. Since the data rate is only used to calculate a delay time, there is no limitation (other than

by the data type holding the value) on the speed at which CSMA channels and devices can operate; and no restriction

based on any kind of PHY characteristics.

The `CsmaChannel` has three states, `IDLE`, `TRANSMITTING` and `PROPAGATING`. These three states are “seen” instantly-

aneously by all devices on the channel. By this we mean that if one device begins or ends a simulated transmission, all

devices on the channel are immediately aware of the change in state. There is no time during which one device may see

an `IDLE` channel while another device physically further away in the collision domain may have begun transmitting

with the associated signals not propagated down the channel to other devices. Thus there is no need for collision

detection in the `CsmaChannel` model and it is not implemented in any way.

We do, as the name indicates, have a Carrier Sense aspect to the model. Since the simulator is single threaded, access

to the common channel will be serialized by the simulator. This provides a deterministic mechanism for contending

for the channel. The channel is allocated (transitioned from state `IDLE` to state `TRANSMITTING`) on a first-come

first-served basis. The channel always goes through a three state process:

`IDLE -> TRANSMITTING -> PROPAGATING -> IDLE`

The `TRANSMITTING` state models the time during which the source net device is actually wiggling the signals on the

wire. The `PROPAGATING` state models the time after the last bit was sent, when the signal is propagating down the

wire to the “far end.”

The transition to the `TRANSMITTING` state is driven by a call to `CsmaChannel::TransmitStart` which is called by the

net device that transmits the packet. It is the responsibility of that device to end the transmission with a call to

`CsmaChannel::TransmitEnd` at the appropriate simulation time that reflects the time elapsed to put all of the packet

bits on the wire. When `TransmitEnd` is called, the channel schedules an event corresponding to a single speed-of-

light delay. This delay applies to all net devices on the channel identically. You can think of a symmetrical hub in

which the packet bits propagate to a central location and then back out equal length cables to the other devices on the

channel. The single “speed of light” delay then corresponds to the time it takes for: 1) a signal to propagate from one

`CsmaNetDevice` through its cable to the hub; plus 2) the time it takes for the hub to forward the packet out a port; plus

3) the time it takes for the signal in question to propagate to the destination net device.

The `CsmaChannel` models a broadcast medium so the packet is delivered to all of the devices on the channel (including

the source) at the end of the propagation time. It is the responsibility of the sending device to determine whether or not it receives a packet broadcast over the channel.

The CsmaChannel provides following Attributes:

- DataRate: The bitrate for packet transmission on connected devices;
- Delay: The speed of light transmission delay for the channel.

The CSMA network device appears somewhat like an Ethernet device. The CsmaNetDevice provides following Attributes:

- Address: The Mac48Address of the device;
- SendEnable: Enable packet transmission if true;
- ReceiveEnable: Enable packet reception if true;
- EncapsulationMode: Type of link layer encapsulation to use;
- RxErrorModel: The receive error model;
- TxQueue: The transmit queue used by the device;
- InterframeGap: The optional time to wait between “frames”;
- Rx: A trace source for received packets;
- Drop: A trace source for dropped packets.

The CsmaNetDevice supports the assignment of a “receive error model.” This is an ErrorModel object that is used to simulate data corruption on the link.

Packets sent over the CsmaNetDevice are always routed through the transmit queue to provide a trace hook for packets

sent out over the network. This transmit queue can be set (via attribute) to model different queuing strategies.

Also configurable by attribute is the encapsulation method used by the device. Every packet gets an EthernetHeader

that includes the destination and source MAC addresses, and a length/type field. Every packet also gets an Ethernet-

Trailer which includes the FCS. Data in the packet may be encapsulated in different ways.

By default, or by setting the “EncapsulationMode” attribute to “Dix”, the encapsulation is according to the DEC,

Intel, Xerox standard. This is sometimes called EthernetII framing and is the familiar destination MAC, source MAC, EtherType, Data, CRC format.

If the “EncapsulationMode” attribute is set to “Llc”, the encapsulation is by LLC SNAP. In this case, a SNAP header

is added that contains the EtherType (IP or ARP).

The other implemented encapsulation modes are IP\_ARP (set “EncapsulationMode” to “IpArp”) in which the length

type of the Ethernet header receives the protocol number of the packet; or ETHERNET\_V1 (set “EncapsulationMode”

to “EthernetV1”) in which the length type of the Ethernet header receives the length of the packet.

A “Raw” encapsu-

lation mode is defined but not implemented – use of the RAW mode results in an assertion.

Note that all net devices on a channel must be set to the same encapsulation mode for correct results. The encapsulation

mode is not sensed at the receiver.

The CsmaNetDevice implements a random exponential backoff algorithm that is executed if the channel is determined

to be busy ( TRANSMITTING or PPROPAGATING ) when the device wants to start propagating. This results



in a random

delay of up to  $\text{pow}(2, \text{retries}) - 1$  microseconds before a retry is attempted. The default maximum number of retries is 1000.

The CSMA net devices and channels are typically created and configured using the associated `CsmaHelper` object.

The various ns-3 device helpers generally work in a similar way, and their use is seen in many of our example programs.

The conceptual model of interest is that of a bare computer “husk” into which you plug net devices.

The bare computers

are created using a `NodeContainer` helper. You just ask this helper to create as many computers (we call them `Nodes` )

as you need on your network:

```
NodeContainer csmaNodes;  
csmaNodes.Create(nCsmaNodes);
```

Once you have your nodes, you need to instantiate a `CsmaHelper` and set any attributes you may want to change.:

```
CsmaHelper csma;  
csma.SetChannelAttribute("DataRate", StringValue("100Mbps"));  
csma.SetChannelAttribute("Delay", TimeValue(NanoSeconds(6560)));  
csma.SetDeviceAttribute("EncapsulationMode", StringValue("Dix"));  
csma.SetDeviceAttribute("FrameSize", UIntegerValue(2000));
```

Once the attributes are set, all that remains is to create the devices and install them on the required nodes, and to

connect the devices together using a CSMA channel. When we create the net devices, we add them to a container to

allow you to use them in the future. This all takes just one line of code.:

```
NetDeviceContainer csmaDevices = csma.Install(csmaNodes);
```

We recommend thinking carefully about changing these `Attributes`, since it can result in behavior that surprises users.

We allow this because we believe flexibility is important. As an example of a possibly surprising effect of changing

`Attributes`, consider the following:

The `Mtu` Attribute indicates the Maximum Transmission Unit to the device. This is the size of the largest Protocol Data

Unit (PDU) that the device can send. This Attribute defaults to 1500 bytes and corresponds to a number found in RFC

894, “A Standard for the Transmission of IP Datagrams over Ethernet Networks.” The number is actually derived from

the maximum packet size for 10Base5 (full-spec Ethernet) networks – 1518 bytes. If you subtract DIX encapsulation

overhead for Ethernet packets (18 bytes) you will end up with a maximum possible data size (MTU) of 1500 bytes.

adds an extra eight bytes of overhead to the packet. In both cases, the underlying network hardware is limited to 1518

bytes, but the MTU is different because the encapsulation is different.

If one leaves the `Mtu` Attribute at 1500 bytes and changes the encapsulation mode Attribute to `Llc`, the result will be a

network that encapsulates 1500 byte PDUs with LLC/SNAP framing resulting in packets of 1526 bytes. This would

be illegal in many networks, but we allow you do do this. This results in a simulation that quite

subtly does not reflect

what you might be expecting since a real device would balk at sending a 1526 byte packet.

There also exist jumbo frames ( $1500 < \text{MTU} \leq 9000$  bytes) and super-jumbo ( $\text{MTU} > 9000$  bytes) frames that

are not officially sanctioned by IEEE but are available in some high-speed (Gigabit) networks and NICs. In the

CSMA model, one could leave the encapsulation mode set to Dix, and set the Mtu to 64000 bytes – even though an

associated CsmaChannel DataRate was left at 10 megabits per second (certainly not Gigabit Ethernet).

This would

essentially model an Ethernet switch made out of vampire-tapped 1980s-style 10Base5 networks that support super-

jumbo datagrams, which is certainly not something that was ever made, nor is likely to ever be made; however it is

quite easy for you to configure.

Be careful about assumptions regarding what CSMA is actually modelling and how configuration (Attributes) may

allow you to swerve considerably away from reality.

Like all ns-3 devices, the CSMA Model provides a number of trace sources. These trace sources can be hooked using

your own custom trace code, or you can use our helper functions to arrange for tracing to be enabled on devices you

specify.

From the point of view of tracing in the net device, there are several interesting points to insert trace hooks. A con-

vention inherited from other simulators is that packets destined for transmission onto attached networks pass through

a single “transmit queue” in the net device. We provide trace hooks at this point in packet flow, which corresponds

(abstractly) only to a transition from the network to data link layer, and call them collectively the device MAC hooks.

When a packet is sent to the CSMA net device for transmission it always passes through the transmit queue. The

transmit queue in the CsmaNetDevice inherits from Queue, and therefore inherits three trace sources:

- An Enqueue operation source (see Queue::m\_traceEnqueue);
- A Dequeue operation source (see Queue::m\_traceDequeue);
- A Drop operation source (see Queue::m\_traceDrop).

The upper-level (MAC) trace hooks for the CsmaNetDevice are, in fact, exactly these three trace sources on the single

transmit queue of the device.

The m\_traceEnqueue event is triggered when a packet is placed on the transmit queue. This happens at the time that

CsmaNetDevice::Send or CsmaNetDevice::SendFrom is called by a higher layer to queue a packet for transmission.

The m\_traceDequeue event is triggered when a packet is removed from the transmit queue. Dequeues from the trans-

mit queue can happen in three situations: 1) If the underlying channel is idle when the

CsmaNetDevice::Send or

CsmaNetDevice::SendFrom is called, a packet is dequeued from the transmit queue and immediately transmitted; 2)

If the underlying channel is idle, a packet may be dequeued and immediately transmitted in an

internal TransmitCom-

pleteEvent that functions much like a transmit complete interrupt service routine; or 3) from the random exponential

backoff handler if a timeout is detected.

Case (3) implies that a packet is dequeued from the transmit queue if it is unable to be transmitted according to the

backoff rules. It is important to understand that this will appear as a Dequeued packet and it is easy to incorrectly

assume that the packet was transmitted since it passed through the transmit queue. In fact, a packet is actually dropped

by the net device in this case. The reason for this behavior is due to the definition of the Queue Drop event. The

m\_traceDrop event is, by definition, fired when a packet cannot be enqueued on the transmit queue because it is full.

This event only fires if the queue is full and we do not overload this event to indicate that the CsmaChannel is “full.”

Similar to the upper level trace hooks, there are trace hooks available at the lower levels of the net device. We call

these the PHY hooks. These events fire from the device methods that talk directly to the CsmaChannel.

The trace source m\_dropTrace is called to indicate a packet that is dropped by the device. This happens in two cases:

First, if the receive side of the net device is not enabled (see CsmaNetDevice::m\_receiveEnable and the associated attribute “ReceiveEnable”).

The m\_dropTrace is also used to indicate that a packet was discarded as corrupt if a receive error model is used (see

CsmaNetDevice::m\_receiveErrorModel and the associated attribute “ReceiveErrorModel”).

The other low-level trace source fires on reception of an accepted packet (see

CsmaNetDevice::m\_rxTrace). A packet

is accepted if it is destined for the broadcast address, a multicast address, or to the MAC address assigned to the net device.

The ns3 CSMA model is a simplistic model of an Ethernet-like network. It supports a Carrier-Sense function and

allows for Multiple Access to a shared medium. It is not physical in the sense that the state of the medium is instan-

taneously shared among all devices. This means that there is no collision detection required in this model and none

is implemented. There will never be a “jam” of a packet already on the medium. Access to the shared channel is on

a first-come first-served basis as determined by the simulator scheduler. If the channel is determined to be busy by

looking at the global state, a random exponential backoff is performed and a retry is attempted.

Ns-3 Attributes provide a mechanism for setting various parameters in the device and channel such as addresses,

encapsulation modes and error model selection. Trace hooks are provided in the usual manner with a set of upper level

hooks corresponding to a transmit queue and used in ASCII tracing; and also a set of lower level hooks used in pcap

tracing.

Although the ns-3 CsmaChannel and CsmaNetDevice does not model any kind of network you could build or buy, it does provide us with some useful functionality. You should, however, understand that it is explicitly not Ethernet or any flavor of IEEE 802.3 but an interesting subset.

Destination-Sequenced Distance Vector (DSDV) routing protocol is a pro-active, table-driven routing protocol for

MANETs developed by Charles E. Perkins and Pravin Bhagwat in 1994. It uses the hop count as metric in route selection.

This model was developed by the ResiliNets research group at the University of Kansas. A paper on this model exists at this URL.

DSDV Routing Table: Every node will maintain a table listing all the other nodes it has known either directly or through some neighbors. Every node has a single entry in the routing table. The entry will have information about

the node's IP address, last known sequence number and the hop count to reach that node. Along with these details the for that node.

The DSDV update message consists of three fields, Destination Address, Sequence Number and Hop Count.

Each node uses 2 mechanisms to send out the DSDV updates. They are,

1.Periodic Updates Periodic updates are sent out after every `m_periodicUpdateInterval`(default:15s).

In this up-

date the node broadcasts out its entire routing table.

2.Trigger Updates Trigger Updates are small updates in-between the periodic updates. These updates are sent

out whenever a node receives a DSDV packet that caused a change in its routing table. The original paper

did not clearly mention when for what change in the table should a DSDV update be sent out. The current

implementation sends out an update irrespective of the change in the routing table.

The updates are accepted based on the metric for a particular node. The first factor determining the acceptance of an

update is the sequence number. It has to accept the update if the sequence number of the update message is higher

irrespective of the metric. If the update with same sequence number is received, then the update with least metric

(hopCount) is given precedence.

In highly mobile scenarios, there is a high chance of route fluctuations, thus we have the concept of weighted settling

time where an update with change in metric will not be advertised to neighbors. The node waits for the settling time

to make sure that it did not receive the update from its old neighbor before sending out that update.

The current implementation covers all the above features of DSDV . The current implementation also has a request

queue to buffer packets that have no routes to destination. The default is set to buffer up to 5 packets per destination.

Link to the Paper: <http://portal.acm.org/citation.cfm?doid=190314.190336>

Dynamic Source Routing (DSR) protocol is a reactive routing protocol designed specifically for use in multi-hop

wireless ad hoc networks of mobile nodes.

This model was developed by the ResiliNets research group at the University of Kansas.

This model implements the base specification of the Dynamic Source Routing (DSR) protocol.

Implementation is

based on RFC 4728, with some extensions and modifications to the RFC specifications.

DSR operates on an on-demand behavior. Therefore, our DSR model buffers all packets while a route request packet

(RREQ) is disseminated. We implement a packet buffer in `dsr-rsendbuff.cc`. The packet queue implements garbage

collection of old packets and a queue size limit. When the packet is sent out from the send buffer, it will be queued in

maintenance buffer for next hop acknowledgment.

The maintenance buffer then buffers the already sent out packets and waits for the notification of packet delivery.

Protocol operation strongly depends on broken link detection mechanism. We implement the three heuristics recom-

mended based on the RFC as follows:

First, we use link layer feedback when possible, which is also the fastest mechanism of these three to detect link errors.

A link is considered to be broken if frame transmission results in a transmission failure for all retries. This mechanism

is meant for active links and works much faster than in its absence. DSR is able to detect the link layer transmission

failure and notify that as broken. Recalculation of routes will be triggered when needed. If user does not want to use

link layer acknowledgment, it can be tuned by setting "LinkAcknowledgment" attribute to false in "dsr-routing.cc".

Second, passive acknowledgment should be used whenever possible. The node turns on "promiscuous" receive mode,

in which it can receive packets not destined for itself, and when the node assures the delivery of that data packet to its

destination, it cancels the passive acknowledgment timer.

Last, we use a network layer acknowledge scheme to notify the receipt of a packet. Route request packet will not be

acknowledged or retransmitted.

The Route Cache implementation supports garbage collection of old entries and state machine, as defined in the stan-

dard. It implements as a STL map container. The key is the destination IP address.

DSR operates with direct access to IP header, and operates between network and transport layer. When packet is sent

out from transport layer, it passes itself to DSR and DSR header is appended.

We have two caching mechanisms: path cache and link cache. The path cache saves the whole path in the cache. The

paths are sorted based on the hop count, and whenever one path is not able to be used, we change to the next path.

The link cache is a slightly better design in the sense that it uses different subpaths and uses Implemented Link Cache

using Dijkstra algorithm, and this part is implemented by Song Luan <lsuper@mail.ustc.edu.cn>.

The following optional protocol optimizations aren't implemented:

- Flow state
- First Hop External (F), Last Hop External (L) flags
- Handling unknown DSR options
- Two types of error headers:

1. flow state not supported option
2. unsupported option (not going to happen in simulation)

We originally used "TxErrHeader" in Ptr<WifiMac> to indicate the transmission error of a specific packet in link layer,

however, it was not working quite correctly since even when the packet was dropped, this header was not recorded in

the trace file. We used to a different path on implementing the link layer notification mechanism.

We look into the

trace file by finding packet receive event. If we find one receive event for the data packet, we count that as the indicator

for successful data delivery.

+-----+-----+-----+		
Parameter	Description	Default
+=====+=====+=====+		
MaxSendBuffLen	Maximum number of packets that can be stored in send buffer	64
+-----+-----+-----+		
MaxSendBuffTime	Maximum time packets can be queued in the send buffer	Seconds(30)
+-----+-----+-----+		
MaxMaintLen	Maximum number of packets that can be stored in maintenance buffer	50
+-----+-----+-----+		
MaxMaintTime	Maximum time packets can be queued in maintenance buffer	Seconds(30)
+-----+-----+-----+		
MaxCacheLen	Maximum number of route entries that can be stored in route cache	64
+-----+-----+-----+		
RouteCacheTimeout	Maximum time the route cache can be queued in route cache	Seconds(300)
+-----+-----+-----+		
RreqRetries	Maximum number of retransmissions for request discovery of a route	16
+-----+-----+-----+		
CacheType	Use Link Cache or use Path Cache	"LinkCache"
+-----+-----+-----+		
LinkAcknowledgment	Enable Link layer acknowledgment mechanism	True

- The DsrFsHeader has added 3 fields: message type, source id, destination id, and these changes only for post-processing
- 1. Message type is used to identify the data packet from control packet
- 2. source id is used to identify the real source of the data packet since we have to deliver the packet hop-by-hop and the Ipv4Header is

not carrying the real source and destination ip address as needed 3. destination id is for same reason of above

- Route Reply header is not word-aligned in DSR RFC, change it to word-aligned in implementation
- DSR works as a shim header between transport and network protocol, it needs its own forwarding mechanism,

we are changing the packet transmission to hop-by-hop delivery, so we added two fields in dsr fixed header to

notify packet delivery

This implementation used “path cache”, which is simple to implement and ensures loop-free paths:

- the path cache has automatic expire policy
- the cache saves multiple route entries for a certain destination and sort the entries based on hop counts
- the MaxEntriesEachDst can be tuned to change the maximum entries saved for a single destination
- when adding multiple routes for one destination, the route is compared based on hop-count and expire time, the
- Future implementation may include “link cache” as another possibility

The following should be kept in mind when running DSR as routing protocol:

- NodeTraversalTime is the time it takes to traverse two neighboring nodes and should be chosen to fit the transmission range
- PassiveAckTimeout is the time a packet in maintenance buffer wait for passive acknowledgment, normally set as two times of NodeTraversalTime
- RouteCacheTimeout should be set smaller value when the nodes' velocity become higher. The default value is 300s.

To have a node run DSR, the easiest way would be to use the DsrHelper and DsrMainHelpers in your simulation script.

For instance:

```
DsrHelper dsr;  
DsrMainHelper dsrMain;  
dsrMain.Install(dsr, adhocNodes);
```

The example scripts inside src/dsr/examples/ demonstrate the use of DSR based nodes in different scenarios.

The helper source can be found inside src/dsr/helper/dsr-main-helper.{h,cc} and src/dsr/helper/dsr-helper.{h,cc}

The example can be found in src/dsr/examples/ :

- dsr.cc use DSR as routing protocol within a traditional MANETs environment[3].

DSR is also built in the routing comparison case in examples/routing/ :

- manet-routing-compare.cc is a comparison case with built in MANET routing protocols and can generate its own results.

This model has been tested as follows:

- Unit tests have been written to verify the internals of DSR. This can be found in src/dsr/test/dsr-test-suite.cc . These tests verify whether the methods inside DSR module which deal with packet buffer, headers work correctly.
- Simulation cases similar to [3] have been tested and have comparable results.
- manet-routing-compare.cc has been used to compare DSR with three of other routing protocols.

A paper was presented on these results at the Workshop on ns-3 in 2011.

The model is not fully compliant with RFC 4728 . As an example, Dsr fixed size header has been extended and it is

Wireshark.

The model full compliance with the RFC is planned for the future.

[1] Original paper:

<https://web.archive.org/web/20150430233910/http://www.monarch.cs.rice.edu/monarch-papers/>

[2] RFC 4728 <https://datatracker.ietf.org/doc/html/rfc4728>

[3] Broch's comparison paper:

<https://web.archive.org/web/20150725135435/http://www.monarch.cs.rice.edu/monarch-papers/mobicom98.ps>

ns-3 has been designed for integration into testbed and virtual machine environments. We have addressed this need by

providing two kinds of net devices. The first kind of device is a file descriptor net device ( `FdNetDevice` ), which is

a generic device type that can read and write from a file descriptor. By associating this file descriptor with different

things on the host system, different capabilities can be provided. For instance, the `FdNetDevice` can be associated with

an underlying packet socket to provide emulation capabilities. This allows ns-3 simulations to send data on a “real”

network. The second kind, called a `TapBridge NetDevice` allows a “real” host to participate in an ns-3 simulation

as if it were one of the simulated nodes. An ns-3 simulation may be constructed with any combination of simulated or emulated devices.

Note: Prior to ns-3.17, the emulation capability was provided by a special device called an `Emu NetDevice`; the `Emu`

`NetDevice` has been replaced by the `FdNetDevice` .

802.11 radio nodes. We integrate with ORBIT by using their “imaging” process to load and run ns-3 simulations on

the ORBIT array. We can use our `EmuFdNetDevice` to drive the hardware in the testbed and we can accumulate

results either using the ns-3 tracing and logging functions, or the native ORBIT data gathering techniques. See [http:](http://www.orbit-lab.org/)

[//www.orbit-lab.org/](http://www.orbit-lab.org/) for details on the ORBIT testbed.

A simulation of this kind is shown in the following figure:

You can see that there are separate hosts, each running a subset of a “global” simulation. Instead of an ns-3 channel

connecting the hosts, we use real hardware provided by the testbed. This allows ns-3 applications and protocol stacks

attached to a simulation node to communicate over real hardware.

We expect the primary use for this configuration will be to generate repeatable experimental results in a real-world

network environment that includes all of the ns-3 tracing, logging, visualization and statistics gathering tools.

In what can be viewed as essentially an inverse configuration, we allow “real” machines running native applications

and protocol stacks to integrate with an ns-3 simulation. This allows for the simulation of large networks connected to

a real machine, and also enables virtualization. A simulation of this kind is shown in the following figure:

Here, you will see that there is a single host with a number of virtual machines running on it. An ns-3 simulation is



shown running in the virtual machine shown in the center of the figure. This simulation has a number of nodes with associated ns-3 applications and protocol stacks that are talking to an ns-3 channel through native simulated ns-3 net devices.

There are also two virtual machines shown at the far left and far right of the figure. These VMs are running native (Linux) applications and protocol stacks. The VM is connected into the simulation by a Linux Tap net device. The

user-mode handler for the Tap device is instantiated in the simulation and attached to a proxy node that represents the native VM in the simulation. These handlers allow the Tap devices on the native VMs to behave as if they were ns-3

net devices in the simulation VM. This, in turn, allows the native software and protocol suites in the native VMs to

believe that they are connected to the simulated ns-3 channel.

We expect the typical use case for this environment will be to analyze the behavior of native applications and protocol

suites in the presence of large simulated ns-3 networks.

The basic testbed mode of emulation uses raw sockets. Two other variants (netmap-based and DPDK-based emulation)

have been recently added; these make use of more recent network interface cards that make use of directly-mapped

memory capabilities to improve packet processing efficiency.

For more details:

The `src/fd-net-device` module provides the `FdNetDevice` class, which is able to read and write traffic using a

file descriptor provided by the user. This file descriptor can be associated to a TAP device, to a raw socket, to a user

space process generating/consuming traffic, etc. The user has full freedom to define how external traffic is generated

and ns-3 traffic is consumed.

Different mechanisms to associate a simulation to external traffic can be provided through helper classes. Two specific

helpers are provided:

- `EmuFdNetDeviceHelper` (to associate the ns-3 device with a physical device in the host machine)
- `TapFdNetDeviceHelper` (to associate the ns-3 device with the file descriptor from a tap device in the host machine)

The source code for this module lives in the directory `src/fd-net-device`.

The `FdNetDevice` is a special type of ns-3 `NetDevice` that reads traffic to and from a file descriptor. That is, unlike pure

simulation `NetDevice` objects that write frames to and from a simulated channel, this `FdNetDevice` directs frames out

of the simulation to a file descriptor. The file descriptor may be associated to a Linux TUN/TAP device, to a socket,

or to a user-space process.

It is up to the user of this device to provide a file descriptor. The type of file descriptor being provided determines

what is being modelled. For instance, if the file descriptor provides a raw socket to a WiFi card on the host machine,

the device being modelled is a WiFi device.

From the conceptual “top” of the device looking down, it looks to the simulated node like a device supporting a 48-bit

IEEE MAC address that can be bridged, supports broadcast, and uses IPv4 ARP or IPv6 Neighbor Discovery, although

these attributes can be tuned on a per-use-case basis.

Design

The FdNetDevice implementation makes use of a reader object, extended from the FdReader class in the ns-3src/

core module, which manages a separate thread from the main ns-3 execution thread, in order to read traffic from the

file descriptor.

Upon invocation of the StartDevice method, the reader object is initialized and starts the reading thread. Before

device start, a file descriptor must be previously associated to the FdNetDevice with the SetFileDescriptor invocation.

The creation and configuration of the file descriptor can be left to a number of helpers, described in more detail below.

When this is done, the invocation of SetFileDescriptor is responsibility of the helper and must not be directly invoked by the user.

Upon reading an incoming frame from the file descriptor, the reader will pass the frame to the ReceiveCallback

method, whose task it is to schedule the reception of the frame by the device as a ns-3 simulation event. Since the new

frame is passed from the reader thread to the main ns-3 simulation thread, thread-safety issues are avoided by using

theScheduleWithContext call instead of the regular Schedule call.

In order to avoid overwhelming the scheduler when the incoming data rate is too high, a counter is kept with the

number of frames that are currently scheduled to be received by the device. If this counter reaches the value given by

theRxQueueSize attribute in the device, then the new frame will be dropped silently.

The actual reception of the new frame by the device occurs when the scheduled ForwardUp method is invoked by

the simulator. This method acts as if a new frame had arrived from a channel attached to the device.

The device

then decapsulates the frame, removing any layer 2 headers, and forwards it to upper network stack layers of the node.

TheForwardUp method will remove the frame headers, according to the frame encapsulation type defined by the

EncapsulationMode attribute, and invoke the receive callback passing an IP packet.

An extra header, the PI header, can be present when the file descriptor is associated to a TAP device that was created

without setting the IFF\_NO\_PI flag. This extra header is removed if EncapsulationMode is set to DIXPI value.

In the opposite direction, packets generated inside the simulation that are sent out through the device, will be passed

to theSend method, which will in turn invoke the SendFrom method. The latter method will add the necessary layer

2 headers, and simply write the newly created frame to the file descriptor.

### Scope and Limitations

Users of this device are cautioned that there is no flow control across the file descriptor boundary, when using in emulation mode. That is, in a Linux system, if the speed of writing network packets exceeds the ability of the underlying physical device to buffer the packets, backpressure up to the writing application will be applied to avoid local packet loss. No such flow control is provided across the file descriptor interface, so users must be aware of this limitation.

As explained before, the RxQueueSize attribute limits the number of packets that can be pending to be received by the device. Frames read from the file descriptor while the number of pending packets is in its maximum will be silently dropped.

The mtu of the device defaults to the Ethernet II MTU value. However, helpers are supposed to set the mtu to the right value to reflect the characteristics of the network interface associated to the file descriptor. If no helper is used, then the responsibility of setting the correct mtu value for the device falls back to the user. The size of the read buffer on the file descriptor reader is set to the mtu value in the StartDevice method.

The FdNetDevice class currently supports three encapsulation modes, DIX for Ethernet II frames, LLC for 802.2

LLC/SNAP frames, and DIXPI for Ethernet II frames with an additional TAP PI header. This means that traffic traversing the file descriptor is expected to be Ethernet II compatible. IEEE 802.1q (VLAN) tagging is not supported.

Attaching an FdNetDevice to a wireless interface is possible as long as the driver provides Ethernet II frames to the socket API. Note that to associate a FdNetDevice to a wireless card in ad-hoc mode, the MAC address of the device must be set to the real card MAC address, else any incoming traffic a fake MAC address will be discarded by the driver.

As mentioned before, three helpers are provided with the fd-net-device module. Each individual helper (file descriptor type) may have platform limitations. For instance, threading, real-time simulation mode, and the ability to create

TUN/TAP devices are prerequisites to using the provided helpers. Support for these modes can be found in the output

of thens3 configure step, e.g.:

Threading Primitives : enabled

Real Time Simulator : enabled

Emulated Net Device : enabled

Tap Bridge : enabled

It is important to mention that while testing the FdNetDevice we have found an upper bound limit for TCP throughput

when using 1Gb Ethernet links of 60Mbps. This limit is most likely due to the processing power of the computers

involved in the tests.

The usage pattern for this type of device is similar to other net devices with helpers that install to node pointers or node containers. When using the base FdNetDeviceHelper the user is responsible for creating and setting the file descriptor by himself.

```
FdNetDeviceHelper fd;
```

```
NetDeviceContainer devices = fd.Install(nodes);
```

```
// file descriptor generation
```

```
...
```

```
device->SetFileDescriptor(fd);
```

Most commonly a FdNetDevice will be used to interact with the host system. In these cases it is almost certain that

the user will want to run in real-time emulation mode, and to enable checksum computations. The typical program

statements are as follows:

```
GlobalValue::Bind("SimulatorImplementationType", StringValue(
```

```
,"ns3::RealtimeSimulatorImpl"));
```

```
GlobalValue::Bind("ChecksumEnabled", BooleanValue(true));
```

The easiest way to set up an experiment that interacts with a Linux host system is to use the Emu and Tap helpers.

Perhaps the most unusual part of these helper implementations relates to the requirement for executing some of the

code with super-user permissions. Rather than force the user to execute the entire simulation as root, we provide a

small “creator” program that runs as root and does any required high-permission sockets work. The easiest way to

set the right privileges for the “creator” programs, is by enabling the --enable-sudo flag when performing ns3

configure .

We do a similar thing for both the Emu and the Tap devices. The high-level view is that the

CreateFileDescriptor

method creates a local interprocess (Unix) socket, forks, and executes the small creation program.

The small program,

which runs as suid root, creates a raw socket and sends back the raw socket file descriptor over the Unix socket that

is passed to it as a parameter. The raw socket is passed as a control message (sometimes called ancillary data) of type

Helpers

EmuFdNetDeviceHelper

The EmuFdNetDeviceHelper creates a raw socket to an underlying physical device, and provides the socket descriptor

to the FdNetDevice. This allows the ns-3 simulation to read frames from and write frames to a network device on the

host.

The emulation helper permits to transparently integrate a simulated ns-3 node into a network composed of real nodes.

```
+-----+ +-----+
```

```
| host 1 | | host 2 |
```

```
+-----+ +-----+
```

```
| ns-3 simulation | |
```

```

+-----+ | Linux |
(continues on next page)
(continued from previous page)
| ns-3 Node | | Network Stack | | | | |
| +-----+ | | +-----+ |
| | ns-3 TCP | | | TCP | |
| +-----+ | | +-----+ |
| | ns-3 IP | | | IP | |
| +-----+ | | +-----+ |
| | FdNetDevice | | | |
| | 10.1.1.1 | | | |
| +-----+ | | + ETHERNET + |
| | raw socket | | | |
| --+-----+-- | | +-----+ |
| | eth0 | | | | eth0 | |
+-----+-----+-----+ +-----+-----+-----+
10.1.1.11 10.1.1.12
| |
+-----+

```

This helper replaces the functionality of the EmuNetDevice found in ns-3 prior to ns-3.17, by bringing this type of device into the common framework of the FdNetDevice. The EmuNetDevice was deprecated in favor of this new helper.

The device is configured to perform MAC spoofing to separate simulation network traffic from other network traffic

that may be flowing to and from the host.

of interest which drives the testbed hardware. You would also need to set this specific interface into promiscuous mode

and provide an appropriate device name to the ns-3 simulation. Additionally, hardware offloading of segmentation and

checksums should be disabled.

The helper only works if the underlying interface is up and in promiscuous mode. Packets will be sent out over the

device, but we use MAC spoofing. The MAC addresses will be generated (by default) using the Organizationally

Unique Identifier (OUI) 00:00:00 as a base. This vendor code is not assigned to any organization and so should not

conflict with any real hardware.

It is always up to the user to determine that using these MAC addresses is okay on your network and won't conflict

with anything else (including another simulation using such devices) on your network. If you are using the emulated

FdNetDevice configuration in separate simulations, you must consider global MAC address assignment issues and

ensure that MAC addresses are unique across all simulations. The emulated net device respects the MAC address

provided in the Address attribute so you can do this manually. For larger simulations, you may want to set the OUI

in the MAC address allocation function.

Before invoking the Install method, the correct device name must be configured on the helper using

the  
SetDeviceName method. The device name is required to identify which physical device should be used  
to open  
the raw socket.

```
EmuFdNetDeviceHelper emu;
emu.SetDeviceName(deviceName);
NetDeviceContainer devices = emu.Install(node);
Ptr<NetDevice> device = devices.Get(0);
device->SetAttribute("Address", Mac48AddressValue(Mac48Address::Allocate()));
TapFdNetDeviceHelper
```

A Tap device is a special type of Linux device for which one end of the device appears to the kernel  
as a virtual

net\_device, and the other end is provided as a file descriptor to user-space. This file descriptor  
can be passed to the

FdNetDevice. Packets forwarded to the TAP device by the kernel will show up in the FdNetDevice in  
ns-3.

Users should note that this usage of TAP devices is different than that provided by the TapBridge  
NetDevice found in

src/tap-bridge . The model in this helper is as follows:

```
+-----+
| host |
+-----+
| ns-3 simulation | |
+-----+ |
| ns-3 Node | | | |
| +-----+ | |
| | ns-3 TCP | | |
| +-----+ | |
| | ns-3 IP | | |
| +-----+ | |
| | FdNetDevice | | |
|--+-----+--+ +-----+ |
| | TAP | | eth0 | |
| +-----+ +-----+ |
| 192.168.0.1 | |
+-----+-----+-----+
|
|
----- (Internet) -----
```

In the above, the configuration requires that the host be able to forward traffic generated by the  
simulation to the  
Internet.

The model in TapBridge (in another module) is as follows:

```
+-----+
| Linux |
| host | +-----+
| ----- | | ghost |
| apps | | node |
| ----- | | ----- |
| stack | | IP | +-----+
| ----- | | stack | | node |
```

```

| TAP | |=====| | ----- |
| device | <----- IPC -----> | tap | | IP |
+-----+ | bridge | | stack |
| ----- | | ----- |
| ns-3 | | ns-3 |
| net | | net |
| device | | device |
+-----+ +-----+
|| ||
+-----+
| ns-3 channel |
+-----+

```

In the above, packets instead traverse ns-3 NetDevices and Channels.

The usage pattern for this example is that the user sets the MAC address and either (or both) the IPv4 and IPv6

addresses and masks on the device, and the PI header if needed. For example:

```

TapFdNetDeviceHelper helper;
helper.SetDeviceName(deviceName);
helper.SetModePi(modePi);
helper.SetTapIpv4Address(tapIp);
helper.SetTapIpv4Mask(tapMask);

```

...

```

helper.Install(node);

```

#### Attributes

TheFdNetDevice provides a number of attributes:

- Address : The MAC address of the device
- Start : The simulation start time to spin up the device thread
- Stop : The simulation start time to stop the device thread
- EncapsulationMode : Link-layer encapsulation format
- RxQueueSize : The buffer size of the read queue on the file descriptor thread (default of 1000 packets)

Start and Stop do not normally need to be specified unless the user wants to limit the time during which this device

is active. Address needs to be set to some kind of unique MAC address if the simulation will be interacting with other

real devices somehow using real MAC addresses. Typical code:

```

device->SetAttribute("Address", Mac48AddressValue(Mac48Address::Allocate()));

```

#### Output

Ascii and PCAP tracing is provided similar to the other ns-3 NetDevice types, through the helpers, such as (e.g.):

```

::EmuFdNetDeviceHelper emu; NetDeviceContainer devices = emu.Install(node); . . .

```

```

emu.EnablePcap("emu-ping",
device, true);

```

The standard set of Mac-level NetDevice trace sources is provided.

- MaxTx : Trace source triggered when ns-3 provides the device with a new frame to send
- MaxTxDrop : Trace source if write to file descriptor fails
- MaxPromiscRx : Whenever any valid Mac frame is received
- MaxRx : Whenever a valid Mac frame is received for this device
- Sniffer : Non-promiscuous packet sniffer
- PromiscSniffer : Promiscuous packet sniffer (for tcpdump-like traces)

#### Examples

Several examples are provided:

- `dummy-network.cc` : This simple example creates two nodes and interconnects them with a Unix pipe by passing the file descriptors from the socketpair into the `FdNetDevice` objects of the respective nodes.
- `realtime-dummy-network.cc` : Same as `dummy-network.cc` but uses the real time simulator implementation instead of the default one.
- `fd2fd-onoff.cc` : This example is aimed at measuring the throughput of the `FdNetDevice` in a pure simulation.

For this purpose two `FdNetDevices`, attached to different nodes but in a same simulation, are connected using a socket pair. TCP traffic is sent at a saturating data rate.

- `fd-emu-onoff.cc` : This example is aimed at measuring the throughput of the `FdNetDevice` when using the `EmuFdNetDeviceHelper` to attach the simulated device to a real device in the host machine. This is achieved by saturating the channel with TCP traffic.
- `fd-emu-ping.cc` : This example uses the `EmuFdNetDeviceHelper` to send ICMP traffic over a real channel.
- `fd-emu-udp-echo.cc` : This example uses the `EmuFdNetDeviceHelper` to send UDP traffic over a real channel.
- `fd-tap-ping.cc` : This example uses the `TapFdNetDeviceHelper` to send ICMP traffic over a real channel.

The `fd-net-device` module provides the `NetmapNetDevice` class, a class derived from the `FdNetDevice` which

is able to read and write traffic using a netmap file descriptor. This netmap file descriptor must be associated to

a real ethernet device in the host machine. The `NetmapNetDeviceHelper` class supports the configuration of a `NetmapNetDevice`.

netmap is a fast packet processing capability that bypasses the host networking stack and gains direct access to network

device. netmap was developed by Luigi Rizzo [Rizzo2012] and is maintained as an open source project on GitHub at

<https://github.com/luigirizzo/netmap>.

The `NetmapNetDevice` for `ns-3` [Imputato2019] was developed by Pasquale Imputato in the 2017-19 timeframe.

The use of `NetmapNetDevice` requires that the host system has netmap support (and for best performance, the drivers

must support netmap and must be using a netmap-enabled device driver). Users can expect that emulation support

using `Netmap` will support higher packets per second than emulation using `FdNetDevice` with raw sockets (which pass

through the Linux networking kernel).

## Design

Because netmap uses file descriptor based communication to interact with the real device, the straightforward approach

to design a new `NetDevice` around netmap is to have it inherit from the existing `FdNetDevice` and implement a

specialized version of the operations specific to netmap. The operations that require a specialized implementation are



the initialization, because the NIC has to be put in netmap mode, and the read/write methods, which have to make use of the netmap API to coordinate the exchange of packets with the netmap rings.

In the initialization stage, the network device is switched to netmap mode, so that ns-3 is able to send/receive packets to/from the real network device by writing/reading them to/from the netmap rings. Following the design of the `FdNetDevice`, a separate reading thread is started during the initialization. The task of the reading thread is to wait for new incoming packets in the netmap receiver rings, in order to schedule the events of packet reception. In the initialization of the `NetmapNetDevice`, an additional thread, the sync thread, is started. The sync thread is required because, in order to reduce the cost of the system calls, netmap does not automatically transfer a packet written to a slot of the netmap ring to the transmission ring or to the installed qdisc. It is up to the user process to periodically request a synchronization of the netmap ring. Therefore, the purpose of the sync thread is to periodically make a `TXSYNC` ioctl request, so that pending packets in the netmap ring are transferred to the transmission ring, if in native mode, or to the installed qdisc, if in generic mode. Also, as described further below, the sync thread is exploited to perform flow control and notify the BQL library about the amount of bytes that have been transferred to the network device.

The read method is called by the reading thread to retrieve new incoming packets stored in the netmap receiver ring and pass them to the appropriate ns-3 protocol handler for further processing within the simulator's network stack.

After retrieving packets, the reading thread also synchronizes the netmap receiver ring, so that the retrieved packets can be removed from the netmap receiver ring.

The `NetmapNetDevice` also specializes the write method, i.e., the method used to transmit a packet received from the upper layer (the ns-3 traffic control layer). The write method uses the netmap API to write the packet to a free slot in the netmap transmission ring. After writing a packet, the write method checks whether there is enough room in the netmap transmission ring for another packet. If not, the `NetmapNetDevice` stops its queue so that the ns-3 traffic control layer does not attempt to send a packet that could not be stored in the netmap transmission ring.

A stopped `NetmapNetDevice` queue needs to be restarted as soon as some room is made in the netmap transmission ring. The sync thread can be exploited for this purpose, given that it periodically synchronizes the netmap transmission ring. In particular, the sync thread also checks the number of free slots in the netmap transmission ring in case the `NetmapNetDevice` queue is stopped. If the number of free slots exceeds a configurable value, the sync thread restarts the `NetmapNetDevice` queue and wakes the associated ns-3 qdisc. The `NetmapNetDevice` also supports BQL:

the

write method notifies the BQL library of the amount of bytes that have been written to the netmap transmission

ring, while the sync thread notifies the BQL library of the amount of bytes that have been removed from the netmap

transmission ring and transferred to the NIC since the previous notification.

### Scope and Limitations

The main scope of NetmapNetDevice is to support the flow-control between the physical device and the upper layer

and using at best the computational resources to process packets. However, the (Linux) system and network device

must support netmap to make use of this feature.

The installation of netmap itself on a host machine is out of scope for this document. Refer to the netmap GitHub

README for instructions.

Thens-3 netmap code has only been tested on Linux; it is not clear whether other operating systems can be supported.

Ifns-3 is able to detect the presence of netmap on the system, it will report that:

Netmap emulation FdNetDevice : not enabled

If not, it will report:

Netmap emulation FdNetDevice : not enabled (needs net/netmap\_user.h)

To run FdNetDevice-enabled simulations, one must pass the --enable-sudo option to ./ns3 configure , or else

run the simulations with root privileges.

### Helpers

ns-3 netmap support uses a NetMapNetDeviceHelper helper object to install the NetmapNetDevice . In other

respects, the API and use is similar to that of the EmuFdNetDeviceHelper .

### Attributes

There is one attribute specialized to NetmapNetDevice , namedSyncAndNotifyQueuePeriod . This value takes an

integer number of microseconds, and is used as the period of time after which the device syncs the netmap ring and

notifies queue status. The value should be close to the interrupt coalescence period of the real device. Users may want

to tune this parameter for their own system; it should be a compromise between CPU usage and accuracy in the ring

sync (if it is too high, the device goes into starvation and lower throughput occurs).

### Output

TheNetmapNetDevice does not provide any specialized output, but supports the FdNetDevice output and traces

(such as a promiscuous sniffer trace).

### Examples

Several examples are provided:

- fd-emu-onoff.cc : This example is aimed at measuring the throughput of the NetmapNetDevice when using

theNetmapNetDeviceHelper to attach the simulated device to a real device in the host machine. This is

achieved by saturating the channel with TCP or UDP traffic.

- fd-emu-ping.cc : This example uses the NetmapNetDevice to send ICMP traffic over a real device.

- fd-emu-tc.cc : This example configures a router on a machine with two interfaces in emulated mode

through netmap. The aim is to explore different qdiscs behaviours on the backlog of a device emulated bottleneck side.

•fd-emu-send.cc : This example builds a node with a device in emulation mode through netmap. The aim is to measure the maximum transmit rate in packets per second (pps) achievable with NetmapNetDevice on a specific machine.

Note that all the examples run in emulation mode through netmap (with NetmapNetDevice ) and raw socket (with FdNetDevice ).

Data Plane Development Kit (DPDK) is a library hosted by The Linux Foundation to accelerate packet processing workloads (<https://www.dpdk.org/>).

TheDpdkNetDevice class provides the implementation of a network device which uses DPDK's fast packet processing abilities and bypasses the kernel. This class is included in the src/fd-net-device model . The

DpdkNetDevice class inherits the FdNetDevice class and overrides the functions which are required by ns-3 to interact with DPDK environment.

TheDpdkNetDevice for ns-3 [Patel2019] was developed by Harsh Patel, Hrishikesh Hiraskar and Mohit P. Tahiliani.

They were supported by Intel Technology India Pvt. Ltd., Bangalore for this work.

DpdkNetDevice is a network device which provides network emulation capabilities i.e. to allow simulated nodes to

interact with real hosts and vice versa. The main feature of the DpdkNetDevice is that it uses the Environment Abstraction Layer (EAL) provided by DPDK to perform fast packet processing. EAL hides the device specific attributes

from the applications and provides an interface via which the applications can interact directly with the Network In-

terface Card (NIC). This allows ns-3 to send/receive packets directly to/from the NIC without the kernel involvement.

Design

DpdkNetDevice is designed to act as an interface between ns-3 and DPDK environment. There are 3 main phases in

the life cycle of DpdkNetDevice :

- Initialization
- Packet Transfer - Read and Write
- Termination

Initialization

DpdkNetDeviceHelper model is responsible for the initialization of DpdkNetDevice . After this, the EAL is ini-

tialized, a memory pool is allocated, access to the Ethernet port is obtained and it is initialized, reception (Rx) and

transmission (Tx) queues are set up on the port, Rx and Tx buffers are set up and LaunchCore method is called which

will launch the HandleRx method to handle reading of packets in burst.

Packet Transfer

DPDK interacts with packet in the form of mbuf, a data structure provided by it, while ns-3 interacts with packets

in the form of raw buffer. The packet transfer functions take care of converting DPDK mbufs to ns-3 buffers. The

functions are read and write.

- Read:HandleRx method takes care of reading the packets from NIC and transferring them to ns-3 Internet

Stack. This function is called by LaunchCore method which is launched during initialization. It continuously

polls the NIC using DPDK API for packets to read. It reads the mbuf packets in burst from NIC Rx ring, which

are placed into Rx buffer upon read. For each mbuf packet in Rx buffer, it then converts it to ns-3 raw buffer and

then forwards the packet to ns-3 Internet Stack.

- Write:Write method handles transmission of packets. ns-3 provides this packet in the form of a buffer, which

is converted to packet mbuf and then placed in the Tx buffer. These packets are then transferred to NIC Tx ring

when the Tx buffer is full, from where they will be transmitted by the NIC. However, there might be a scenario

where there are not enough packets to fill the Tx buffer. This will lead to stale packet mbufs in buffer. In such

cases, theWrite function schedules a manual flush of these stale packet mbufs to NIC Tx ring, which will occur

upon a certain timeout period. The default value of this timeout is set to 2 ms .

Termination

When ns-3 is done using DpdkNetDevice , theDpdkNetDevice will stop polling for Rx, free the allocated mbuf

packets and then the mbuf pool. Lastly, it will stop the Ethernet device and close the port.

Scope and Limitations

The current implementation supports only one NIC to be bound to DPDK with single Rx and Tx on the NIC. This can

be extended to support multiple NICs and multiple Rx/Tx queues simultaneously. Currently there is no support for

Jumbo frames, which can be added. Offloading, scheduling features can also be added. Flow control and support for

qdisc can be added to provide a more extensive model for network testing.

This section contains information on downloading DPDK source code and setting up DPDK for DpdkNetDevice to

work.

Is my NIC supported by DPDK?

Check Supported Devices.

Not supported? Use Virtual Machine instead

Install Oracle VM VirtualBox. Create a new VM and install Ubuntu on it. Open settings, create a network adapter

with following configuration:

- Attached to: Bridged Adapter
- Name: The host network device you want to use
- In Advanced

–Adapter Type: Intel PRO/1000 MT Server (82545EM) or any other DPDK supported NIC

–Promiscuous Mode: Allow All

–Select Cable Connected

Then rest of the steps are same as follows.

DPDK can be installed in 2 ways:

- Install DPDK on Ubuntu
- Compile DPDK from source

Install DPDK on Ubuntu

To install DPDK on Ubuntu, run the following command:

```
apt-get install dpdk dpdk-dev libdpdk-dev dpdk-igb-uio-dkms
```

Ubuntu 20.04 has packaged DPDK v19.11 LTS which is tested with this module and DpdkNetDevice will only be

enabled if this version is available.

Compile from Source

To compile DPDK from source, you need to perform the following 4 steps:

1. Download the source

Visit the DPDK Downloads page to download the latest stable source. (This module has been tested with version

2. Configure DPDK as a shared library

In the DPDK directory, edit the config/common\_base file to change the following line to compile DPDK as a shared

library:

```
# Compile to share library
```

```
CONFIG_RTE_BUILD_SHARED_LIB=y
```

3. Install the source

Refer to Installation for detailed instructions.

For a 64 bit linux machine with gcc, run:

```
make install T=x86_64-native-linuxapp-gcc DESTDIR=install
```

4. Export DPDK Environment variables

Export the following environment variables:

- RTE\_SDK as the your DPDK source folder.
- RTE\_TARGET as the build target directory.

For example:

```
export RTE_SDK=/home/username/dpdk/dpdk-stable-19.11.1
```

```
export RTE_TARGET=x86_64-native-linuxapp-gcc
```

(Note: In case DPDK is moved, ns-3 needs to be reconfigured using ./ns3 configure [options] )

It is advisable that you export these variables in .bashrc or similar for reusability.

Load DPDK Drivers to kernel

Execute the following:

```
sudo modprobe uio_pci_generic
```

```
sudo modprobe uio
```

```
sudo modprobe vfio-pci
```

```
sudo modprobe igb_uio # for ubuntu package
```

```
sudo insmod $RTE_SDK/$RTE_TARGET/kmod/igb_uio.ko # for dpdk source
```

These should be done every time you reboot your system.

Configure hugepages

Refer System Requirements for detailed instructions.

To allocate hugepages at runtime, write a value such as '256' to the following:

```
echo 256 > /sys/kernel/mm/hugepages/hugepages-2048kB/nr_hugepages
```

To allocate hugepages at boot time, edit /etc/default/grub , and following to

```
GRUB_CMDLINE_LINUX_DEFAULT :
```

```
hugepages=256
```

Gbps NIC.) You can use any number of hugepages based on your system capacity and application requirements.

Then update the grub configurations using:

```
sudo update-grub
```

```
sudo update-grub2
```

You will need to reboot your system in order to see these changes.

To check allocation of hugepages, run:

```
cat /proc/meminfo | grep HugePages
```

You will see the number of hugepages allocated, they should be equal to the number you used above.

Once the hugepage memory is reserved (at either runtime or boot time), to make the memory available for DPDK use,

perform the following steps:

```
sudo mkdir /mnt/huge
```

```
sudo mount -t hugetlbfs nodev /mnt/huge
```

The mount point can be made permanent across reboots, by adding the following line to the `/etc/fstab` file:

The status of DPDK support is shown in the output of `./ns3 configure`. If it is found, a user should see:

```
DPDK NetDevice : enabled
```

```
DpdkNetDeviceHelper class supports the configuration of DpdkNetDevice .
```

```
+-----+
```

```
| host 1 |
```

```
+-----+
```

```
| ns-3 simulation |
```

```
+-----+
```

```
| ns-3 Node |
```

```
| +-----+ |
```

```
| | ns-3 TCP | |
```

```
| +-----+ |
```

```
| | ns-3 IP | |
```

```
| +-----+ |
```

```
| | DpdkNetDevice | |
```

```
| | 10.1.1.1 | |
```

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```
| +-----+ |
```

```
| | raw socket | |
```

```
| --+-----+--|
```

```
| | eth0 | |
```

```
+-----+-----+
```

```
10.1.1.11
```

```
|
```

```
+----- ( Internet ) ----
```

Initialization of DPDK driver requires initialization of EAL. EAL requires PMD (Poll Mode Driver)

Library for using

NIC. DPDK supports multiple Poll Mode Drivers and you can use one that works for your NIC. PMD Library can be

set via `DpdkNetDeviceHelper::SetPmdLibrary`, as follows:

```
DpdkNetDeviceHelper *dppk = new DpdkNetDeviceHelper();
```

```
dppk->SetPmdLibrary("librte_pmd_e1000.so");
```

Also, NIC should be bound to DPDK Driver in order to be used with EAL. The default driver used is `uio_pci_generic` which supports most of the NICs. You can change it using

`DpdkNetDeviceHelper::SetDpdkDriver`, as follows:

```
DpdkNetDeviceHelper *dppk = new DpdkNetDeviceHelper();
```

```
dpdk->SetDpdkDriver("igb_uio");
```

## Attributes

TheDpdkNetDevice provides a number of attributes:

- TxTimeout - The time to wait before transmitting burst from Tx Buffer (in us). (default - 2000 )

This attribute

is only used to flush out buffer in case it is not filled. This attribute can be decrease for low data rate traffic. For

high data rate traffic, this attribute needs no change.

- MaxRxBurst - Size of Rx Burst. (default - 64) This attribute can be increased for higher data rates.

- MaxTxBurst - Size of Tx Burst. (default - 64) This attribute can be increased for higher data rates.

- MempoolCacheSize - Size of mempool cache. (default - 256) This attribute can be increased for higher data rates.

- NbRxDesc - Number of Rx descriptors. (default - 1024 ) This attribute can be increased for higher data rates.

- NbTxDesc - Number of Tx descriptors. (default - 1024 ) This attribute can be increased for higher data rates.

Note: Default values work well with 1Gbps traffic.

## Output

AsDpdkNetDevice is inherited from FdNetDevice , all the output methods provided by FdNetDevice can be used directly.

## Examples

The following examples are provided:

- fd-emu-ping.cc : This example can be configured to use the DpdkNetDevice to send ICMP traffic bypassing

the kernel over a real channel.

- fd-emu-onoff.cc : This example can be configured to measure the throughput of the DpdkNetDevice by sending traffic from the simulated node to a real device using the ns3::OnOffApplication while leveraging

DPDK's fast packet processing abilities. This is achieved by saturating the channel with TCP/UDP traffic.

The Tap NetDevice can be used to allow a host system or virtual machines to interact with a simulation.

The Tap Bridge is designed to integrate “real” internet hosts (or more precisely, hosts that support Tun/Tap devices)

into ns-3 simulations. The goal is to make it appear to a “real” host node in that it has an ns-3 net device as a local

device. The concept of a “real host” is a bit slippery since the “real host” may actually be virtualized using readily

available technologies such as VMware, VirtualBox or OpenVZ.

Since we are, in essence, connecting the inputs and outputs of an ns-3 net device to the inputs and outputs of a Linux

Tap net device, we call this arrangement a Tap Bridge.

There are three basic operating modes of this device available to users. Basic functionality is essentially identical, but

the modes are different in details regarding how the arrangement is created and configured; and what devices can live

on which side of the bridge.

We call these three modes the ConfigureLocal, UseLocal and UseBridge modes. The first “word” in the camel case

mode identifier indicates who has the responsibility for creating and configuring the taps. For example, the “Configure”

in ConfigureLocal mode indicates that it is the TapBridge that has responsibility for configuring the tap. In UseLocal

mode and UseBridge modes, the “Use” prefix indicates that the TapBridge is asked to “Use” an existing configuration.

In other words, in ConfigureLocal mode, the TapBridge has the responsibility for creating and configuring the TAP

devices. In UseBridge or UseLocal modes, the user provides a configuration and the TapBridge adapts to that config-

uration.

#### TapBridge ConfigureLocal Mode

In the ConfigureLocal mode, the configuration of the tap device is ns-3 configuration-centric.

Configuration informa-

tion is taken from a device in the ns-3 simulation and a tap device matching the ns-3 attributes is automatically created.

In this case, a Linux computer is made to appear as if it was directly connected to a simulated ns-3 network.

This is illustrated below:

```
+-----+
| Linux |
| host | +-----+
| ----- | | ghost |
| apps | | node |
| ----- | | ----- |
| stack | | IP | +-----+
| ----- | | stack | | node |
| TAP | |=====| | ----- |
| device | <----- IPC -----> | tap | | IP |
+-----+ | bridge | | stack |
| ----- | | ----- |
(continues on next page)
(continued from previous page)
| ns-3 | | ns-3 |
| net | | net |
| device | | device |
+-----+ +-----+
|| ||
+-----+
| ns-3 channel |
+-----+
```

In this case, the “ns-3 net device” in the “ghost node” appears as if it were actually replacing the TAP device in the

Linux host. The ns-3 simulation creates the TAP device on the underlying Linux OS and configures the IP and MAC

addresses of the TAP device to match the values assigned to the simulated ns-3 net device. The “IPC” link shown

above is the network tap mechanism in the underlying OS. The whole arrangement acts as a conventional bridge; but



a bridge between devices that happen to have the same shared MAC and IP addresses. Here, the user is not required to provide any configuration information specific to the tap. A tap device will be created and configured by ns-3 according to its defaults, and the tap device will have its name assigned by the underlying operating system according to its defaults.

If the user has a requirement to access the created tap device, he or she may optionally provide a “DeviceName”

attribute. In this case, the created OS tap device will be named accordingly.

The ConfigureLocal mode is the default operating mode of the Tap Bridge.

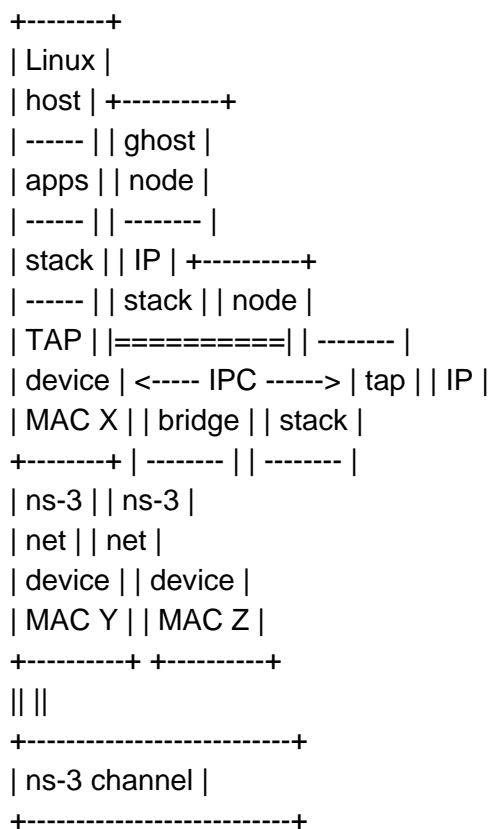
TapBridge UseLocal Mode

The UseLocal mode is quite similar to the ConfigureLocal mode. The significant difference is, as the mode name

implies, the TapBridge is going to “Use” an existing tap device previously created and configured by the user. This

mode is particularly useful when a virtualization scheme automatically creates tap devices and ns-3 is used to provide

simulated networks for those devices.



In this case, the pre-configured MAC address of the “Tap device” (MAC X) will not be the same as that of the bridged

“ns-3 net device” (MAC Y) shown in the illustration above. In order to bridge to ns-3 net devices which do not support

SendFrom() (especially wireless STA nodes) we impose a requirement that only one Linux device (with one unique

MAC address – here X) generates traffic that flows across the IPC link. This is because the MAC addresses of traffic

across the IPC link will be “spoofed” or changed to make it appear to Linux and ns-3 that they have the same address.

That is, traffic moving from the Linux host to the ns-3 ghost node will have its MAC address changed from X to Y

and traffic from the ghost node to the Linux host will have its MAC address changed from Y to X.

Since there is a

means that Linux bridges with more than one net device added are incompatible with UseLocal mode.

In UseLocal mode, the user is expected to create and configure a tap device completely outside the scope of the ns-3

simulation using something like:

```
$ sudo ip tuntap add mode tap tap0
```

```
$ sudo ip address add 10.1.1.1/24 dev tap0
```

```
$ sudo ip link set dev tap0 address 08:00:2e:00:00:01 up
```

To tell the TapBridge what is going on, the user will set either directly into the TapBridge or via the TapBridgeHelper,

the “DeviceName” attribute. In the case of the configuration above, the “DeviceName” attribute would be set to “tap0”

and the “Mode” attribute would be set to “UseLocal”.

“Hardware Node” and move it into a Virtual Private Server. If the TapBridge is able to use an existing tap device it is

then possible to avoid the overhead of an OS bridge in that environment.

TapBridge UseBridge Mode

The simplest mode for those familiar with Linux networking is the UseBridge mode. Again, the “Use” prefix indicates

that the TapBridge is going to Use an existing configuration. In this case, the TapBridge is going to logically extend a

Linux bridge into ns-3.

This is illustrated below:

```
+-----+
| Linux | +-----+
| ----- | | ghost |
| apps | | node |
| ----- | | ----- |
| stack | | IP | +-----+
| ----- | +-----+ | stack | | node | | |
| Virtual | | TAP | |=====| | ----- |
| Device | | Device | <---- IPC ----> | tap | | IP |
+-----+ +-----+ | bridge | | stack |
|| || | ----- | | ----- |
+-----+ | ns-3 | | ns-3 |
| OS Bridge | | net | | net |
+-----+ | device | | device |
+-----+ +-----+
|| ||
+-----+
| ns-3 channel |
+-----+
```

In this case, a computer running Linux applications, protocols, etc., is connected to a ns-3 simulated network in such

a way as to make it appear to the Linux host that the TAP device is a real network device participating in the Linux bridge.

In the ns-3 simulation, a TapBridge is created to match each TAP Device. The name of the TAP Device is assigned to

the Tap Bridge using the “DeviceName” attribute. The TapBridge then logically extends the OS bridge

to encompass

the ns-3 net device.

Since this mode logically extends an OS bridge, there may be many Linux net devices on the non-ns-3 side of the

bridge. Therefore, like a net device on any bridge, the ns-3 net device must deal with the possibly of many source

addresses. Thus, ns-3 devices must support SendFrom() (NetDevice::SupportsSendFrom() must return true) in order

to be configured for use in UseBridge mode.

It is expected that the user will do something like the following to configure the bridge and tap completely outside

ns-3:

```
$ sudo ip link add mybridge type bridge
```

```
$ sudo ip address add 10.1.1.1/24 dev mybridge
```

```
$ sudo ip tuntap add mode tap mytap
```

```
$ sudo ip link set dev mytap address 00:00:00:00:00:01 up
```

```
$ sudo ip link set dev mytap master mybridge
```

```
$ sudo ip link set dev ... master mybridge
```

```
$ sudo ip link set dev mybridge up
```

To tell the TapBridge what is going on, the user will set either directly into the TapBridge or via the TapBridgeHelper,

the “DeviceName” attribute. In the case of the configuration above, the “DeviceName” attribute would be set to

“mytap” and the “Mode” attribute would be set to “UseBridge”.

This mode is especially useful in the case of virtualization where the configuration of the virtual hosts may be dictated

by another system and not be changeable to suit ns-3. For example, a particular VM scheme may create virtual “vethx”

or “vmnetx” devices that appear local to virtual hosts. In order to connect to such systems, one would need to manually

create TAP devices on the host system and bridge these TAP devices to the existing (VM) virtual devices. The job of

the Tap Bridge in this case is to extend the bridge to join a ns-3 net device.

TapBridge ConfigureLocal Operation

In ConfigureLocal mode, the TapBridge and therefore its associated ns-3 net device appears to the Linux host computer

as a network device just like any arbitrary “eth0” or “ath0” might appear. The creation and configuration of the TAP

device is done by the ns-3 simulation and no manual configuration is required by the user. The IP addresses, MAC

addresses, gateways, etc., for created TAP devices are extracted from the simulation itself by querying the configuration

of the ns-3 device and the TapBridge Attributes.

Since the MAC addresses are identical on the Linux side and the ns-3 side, we can use Send() on the ns-3 device

which is available on all ns-3 net devices. Since the MAC addresses are identical there is no requirement to hook the

promiscuous callback on the receive side. Therefore there are no restrictions on the kinds of net device that are usable

in ConfigureLocal mode.

The TapBridge appears to an ns-3 simulation as a channel-less net device. This device must not have

an IP address

associated with it, but the bridged (ns-3) net device must have an IP address. Be aware that this is the inverse of an

ns-3 BridgeNetDevice (or a conventional bridge in general) which demands that its bridge ports not have IP addresses,

but allows the bridge device itself to have an IP address.

The host computer will appear in a simulation as a “ghost” node that contains one TapBridge for each NetDevice

that is being bridged. From the perspective of a simulation, the only difference between a ghost node and any other

node will be the presence of the TapBridge devices. Note however, that the presence of the TapBridge does affect the

connectivity of the net device to the IP stack of the ghost node.

Configuration of address information and the ns-3 devices is not changed in any way if a TapBridge is present. A

TapBridge will pick up the addressing information from the ns-3 net device to which it is connected (its “bridged” net

device) and use that information to create and configure the TAP device on the real host.

The end result of this is a situation where one can, for example, use the standard ping utility on a real host to ping a

simulated ns-3 node. If correct routes are added to the internet host (this is expected to be done automatically in future

ns-3 releases), the routing systems in ns-3 will enable correct routing of the packets across simulated ns-3 networks.

For an example of this, see the example program, tap-wifi-dumbbell.cc in the ns-3 distribution.

The Tap Bridge lives in a kind of a gray world somewhere between a Linux host and an ns-3 bridge device. From the

Linux perspective, this code appears as the user mode handler for a TAP net device. In

ConfigureLocal mode, this Tap

device is automatically created by the ns-3 simulation. When the Linux host writes to one of these automatically cre-

ated /dev/tap devices, the write is redirected into the TapBridge that lives in the ns-3 world; and from this perspective,

the packet write on Linux becomes a packet read in the Tap Bridge. In other words, a Linux process writes a packet to

a tap device and this packet is redirected by the network tap mechanism to an ns-3 process where it is received by the

TapBridge as a result of a read operation there. The TapBridge then writes the packet to the ns-3 net device to which it

is bridged; and therefore it appears as if the Linux host sent a packet directly through an ns-3 net device onto an ns-3

network.

In the other direction, a packet received by the ns-3 net device connected to the Tap Bridge is sent via a receive callback

to the TapBridge. The TapBridge then takes that packet and writes it back to the host using the network tap mechanism.

This write to the device will appear to the Linux host as if a packet has arrived on a net device; and therefore as if a

packet received by the ns-3 net device during a simulation has appeared on a real Linux net device.

The upshot is that the Tap Bridge appears to bridge a tap device on a Linux host in the “real world” to an ns-3 net

device in the simulation. Because the TAP device and the bridged ns-3 net device have the same MAC address and the network tap IPC link is not externalized, this particular kind of bridge makes it appear that a ns-3 net device is actually installed in the Linux host.

In order to implement this on the ns-3 side, we need a “ghost node” in the simulation to hold the bridged ns-3 net device and the TapBridge. This node should not actually do anything else in the simulation since its job is simply to

make the net device appear in Linux. This is not just arbitrary policy, it is because:

- Bits sent to the TapBridge from higher layers in the ghost node (using the TapBridge Send method) are com-

pletely ignored. The TapBridge is not, itself, connected to any network, neither in Linux nor in ns-3. You can

never send nor receive data over a TapBridge from the ghost node.

- The bridged ns-3 net device has its receive callback disconnected from the ns-3 node and reconnected to the Tap

Bridge. All data received by a bridged device will then be sent to the Linux host and will not be received by the

node. From the perspective of the ghost node, you can send over this device but you cannot ever receive.

Of course, if you understand all of the issues you can take control of your own destiny and do whatever you want –

we do not actively prevent you from using the ghost node for anything you decide. You will be able to perform typical

ns-3 operations on the ghost node if you so desire. The internet stack, for example, must be there and functional on

that node in order to participate in IP address assignment and global routing. However, as mentioned above, interfaces

talking to any TapBridge or associated bridged net devices will not work completely. If you understand exactly what

you are doing, you can set up other interfaces and devices on the ghost node and use them; or take advantage of the

operational send side of the bridged devices to create traffic generators. We generally recommend that you treat this

node as a ghost of the Linux host and leave it to itself, though.

#### TapBridge UseLocal Mode Operation

As described in above, the TapBridge acts like a bridge from the “real” world into the simulated ns-3 world. In the

case of the ConfigureLocal mode, life is easy since the IP address of the Tap device matches the IP address of the ns-3

device and the MAC address of the Tap device matches the MAC address of the ns-3 device; and there is a one-to-one

relationship between the devices.

Things are slightly complicated when a Tap device is externally configured with a different MAC address than the ns-3

net device. The conventional way to deal with this kind of difference is to use promiscuous mode in the bridged device

to receive packets destined for the different MAC address and forward them off to Linux. In order to move packets

the other way, the conventional solution is SendFrom() which allows a caller to “spoof” or change

the source MAC

address to match the different Linux MAC address.

We do have a specific requirement to be able to bridge Linux Virtual Machines onto wireless STA nodes. Unfortunately,

the 802.11 spec doesn't provide a good way to implement `SendFrom()`, so we have to work around that problem.

To this end, we provided the `UseLocal` mode of the Tap Bridge. This mode allows you approach the problem as if

you were creating a bridge with a single net device. A single allowed address on the Linux side is remembered in

the TapBridge, and all packets coming from the Linux side are repeated out the ns-3 side using the ns-3 device MAC

source address. All packets coming in from the ns-3 side are repeated out the Linux side using the remembered MAC

address. This allows us to use `Send()` on the ns-3 device side which is available on all ns-3 net devices.

`UseLocal` mode is identical to the `ConfigureLocal` mode except for the creation and configuration of the tap device and

the MAC address spoofing.

TapBridge UseBridge Operation

As described in the `ConfigureLocal` mode section, when the Linux host writes to one of the `/dev/tap` devices, the write

is redirected into the TapBridge that lives in the ns-3 world. In the case of the `UseBridge` mode, these packets will

need to be sent out on the ns-3 network as if they were sent on a device participating in the Linux bridge. This means

calling the `SendFrom()` method on the bridged device and providing the source MAC address found in the packet.

In the other direction, a packet received by an ns-3 net device is hooked via callback to the TapBridge. This must be

done in promiscuous mode since the goal is to bridge the ns-3 net device onto the OS bridge of which the TAP device

is a part.

For these reasons, only ns-3 net devices that support `SendFrom()` and have a hookable promiscuous receive callback

are allowed to participate in `UseBridge` mode TapBridge configurations.

There is no channel model associated with the Tap Bridge. In fact, the intention is make it appear that the real internet

host is connected to the channel of the bridged net device.

Unlike most ns-3 devices, the TapBridge does not provide any standard trace sources. This is because the bridge is an

intermediary that is essentially one function call away from the bridged device. We expect that the trace hooks in the

bridged device will be sufficient for most users,

We expect that most users will interact with the TapBridge device through the TapBridgeHelper. Users of other helper

classes, such as CSMA or Wifi, should be comfortable with the idioms used there.

Energy is a key issue for wireless devices, and network researchers often need to investigate the energy consumption

at a node or in the overall network while running network simulations in ns-3. This requires ns-3 to

support an energy

framework. Further, as concepts such as fuel cells and energy scavenging are becoming viable for low power wireless

devices, incorporating the effect of these emerging technologies into simulations requires support for modeling diverse

energy models in ns-3. The ns-3 energy framework provides the basis for energy storing consumption and harvesting.

The framework is implemented into the src/energy/ folder.

The ns-3 energy framework is composed of 3 essential parts:

- Energy source models. Represent storing energy sources such as batteries or capacitors.
- Energy consumption models. Represent a portion of a device that draws energy from energy sources.

Exam-

ples of this include sensors, radio transceivers, vehicles, UAV, etc.

- Energy harvesting models. Represent devices that provide energy to energy sources. For example, solar panels and chargers.

An energy source represents a power supply. In ns-3, nodes can have one or more energy sources.

Likewise, energy

sources can be connected to multiple energy consumption models (Device energy models). Connecting an energy

source to a device energy model implies that the corresponding device draws power from the source.

When energy is

completely drained from the energy source, it notifies to the device energy models on the node such that each device

energy model can react to this event. Further, each node can access the energy source objects for information such as

remaining capacity, voltage or state of charge (SoC). This enables the implementation of energy aware protocols in

ns-3.

In order to model a wide range of power supplies such as batteries, the energy source must be able to capture characteristics of these supplies. There are 2 important characteristics or effects related to practical

batteries:

- Rate Capacity Effect. Decrease of battery lifetime when the current draw is higher than the rated value of the battery.
- Recovery Effect. Increase of battery lifetime when the battery is alternating between discharge and idle states.

In order to incorporate the Rate Capacity Effect, the Energy Source uses current draw from all the devices on the same

node to calculate energy consumption. Moreover, multiple Energy Harvesters can be connected to the Energy Source

in order to replenish its energy. The Energy Source periodically polls all the devices and energy harvesters on the

same node to calculate the total current drain and hence the energy consumption. When a device changes state, its

corresponding Device Energy Model will notify the Energy Source of this change and new total current draw will be

calculated. Similarly, every Energy Harvester update triggers an update to the connected Energy Source.

TheEnergySource base class keeps a list of devices ( DeviceEnergyModel objects) and energy

harvesters

(EnergyHarvester objects) that are using the particular Energy Source as power supply. When energy is completely drained, the Energy Source will notify all devices on this list. Each device can then handle this event independently, based on the desired behavior that should be followed in case of power outage.

#### Generic Battery Model

The Generic battery model is able to represent 4 basic types of batteries chemistries: Lithium-Ion (LiIon) or Lithium

Polymer (LiPo), Nickel Cadmium (NiCd), Lead Acid, and Nickel-metal hydride (NiMH). The main difference between

these batteries is the shape of the discharge curves when using constant discharge current and that NiCd and NiMH

batteries hysteresis phenomenon is also modeled. Peurket effect, aging, temperature and variable battery impedance

basic arquetotipes must be chosen.

The Generic Battery Model is directly based by the works of Trembley et al. Tremblay's model on itself is based on a

popular battery model created by Shepherd. Tremblay's model consist in visually identify a set of points from batteries

manufacturers' discharge curves datasheets.

The 3 basic set of points that require identification in a datasheet are:

- Vfull:The full battery voltage
- Q:The maximum battery capacity
- Vexp:The voltage at the end of the exponential zone
- Qexp:The capacity at the end of the exponential zone
- Vnom:The voltage at the end of the exponential zone
- Qnom:The capacity at the end of the exponential zone

Additionally, it is also necessary to set the values of:

- R:The battery impedance (The battery internal resistance)
- ityypical :The typical current value used to discharge the battery, this value is used to calculate some of the constants used in the model.

•cutoffVoltage :Required if we desired to inform connected energy consumption models that the battery has reached its discharged point.

•i:The discharge current used to discharge the battery. This value is provided by the energy consumption model attached to the battery.

The value of R is typically included in the datatsheets, however, because Rvariability is not modeled in ns-3 (The

resistance is fixed), it is necessary to discretely adjust its value to obtain the desired discharge curves. The value

ityypical can be obtained by inferring its value from the discharged curves shown in datasheets. When modeling the

behavior of a new battery, it is important to chose values that satisfies more than one curve, trial an error adjustments

might be necessary to obtain the desired results.

Attributes:

- FullVoltage : Represents the Vfullvalue.
- MaxCapacity : Represents the Qvalue.



- ExponentialVoltage : Represents the Vexpvalue.
  - ExponentialCapacity : Represents the Qexpvalue.
  - NominalVoltage : Represents the Vnom value.
  - NominalCapacity : Represents the Qnom value.
  - InternalResistance : Represents the Rvalue.
  - TypicalDischargeCurrent : Represents the itypical value.
  - CutoffVoltage : The voltage where the battery is considered depleted.
  - BatteryType : Indicates the battery type used.
  - PeriodicEnergyUpdateInterval : Indicates how often the update values are obtained.
  - LowBatteryThreshold : Additional voltage threshold to indicate when the battery has low energy.
- The process described above can be simplified by installing batteries presents of previously tested batteries using helpers. Details on helpers usage are detailed in the following sections.

#### RV Battery Model

Attributes:

- RvBatteryModelPeriodicEnergyUpdateInterval : RV battery model sampling interval.
- RvBatteryModelOpenCircuitVoltage : RV battery model open circuit voltage.
- RvBatteryModelCutoffVoltage : RV battery model cutoff voltage.
- RvBatteryModelAlphaValue : RV battery model alpha value.
- RvBatteryModelBetaValue : RV battery model beta value.
- RvBatteryModelNumOfTerms : The number of terms of the infinite sum for estimating battery level.

#### Basic Energy Source

Attributes:

- BasicEnergySourceInitialEnergyJ : Initial energy stored in basic energy source.
- BasicEnergySupplyVoltageV : Initial supply voltage for basic energy source.
- PeriodicEnergyUpdateInterval : Time between two consecutive periodic energy updates.

ADeviceEnergyModel is the energy consumption model of a device installed on the node. It is designed to be a state based model where each device is assumed to have a number of states, and each state is associated with a power consumption value. Whenever the state of the device changes, the corresponding DeviceEnergyModel will notify the associated EnergySourceModel of the new current draw of the device. The EnergySourceModel will then calculate the new total current draw and update the remaining energy. A DeviceEnergyModel can also be used for devices that do not have finite number of states. For example, in an electric vehicle, the current draw of the motor is determined by its speed. Since the vehicle's speed can take continuous values within a certain range, it is infeasible to define a set of discrete states of operation. However, by converting the speed value into current draw directly, the same set of DeviceEnergyModel APIs can still be used.

#### WiFi Radio Energy Model

The WiFi Radio Energy Model is the energy consumption model of a Wifi net device. It provides a state for each of the available states of the PHY layer: Idle, CcaBusy, Tx, Rx, ChannelSwitch, Sleep, Off. Each of such states is associated with a value (in Ampere) of the current draw (see below for the corresponding attribute names). A Wifi Radio Energy Model PHY Listener is registered to the Wifi PHY in order to be notified of every Wifi PHY state

transition. At every

transition, the energy consumed in the previous state is computed and the energy source is notified in order to update its remaining energy.

The Wifi Tx Current Model gives the possibility to compute the current draw in the transmit state as a function

of the nominal tx power (in dBm), as observed in several experimental measurements. To this purpose, the Wifi

Radio Energy Model PHY Listener is notified of the nominal tx power used to transmit the current frame and passes

such a value to the Wifi Tx Current Model which takes care of updating the current draw in the Tx state. Hence,

the energy consumption is correctly computed even if the Wifi Remote Station Manager performs per-frame power

control. Currently, a Linear Wifi Tx Current Model is implemented which computes the tx current as a linear function

(according to parameters that can be specified by the user) of the nominal tx power in dBm.

The Wifi Radio Energy Model offers the possibility to specify a callback that is invoked when the energy source is

depleted. If such a callback is not specified when the Wifi Radio Energy Model Helper is used to install the model on

a device, a callback is implicitly made so that the Wifi PHY is put in the OFF mode (hence no frame is transmitted nor

received afterwards) when the energy source is depleted. Likewise, it is possible to specify a callback that is invoked

when the energy source is recharged (which might occur in case an energy harvester is connected to the energy source).

If such a callback is not specified when the Wifi Radio Energy Model Helper is used to install the model on a device, a

callback is implicitly made so that the Wifi PHY is resumed from the OFF mode when the energy source is recharged.

Attributes

- IdleCurrentA : The default radio Idle current in Ampere.
- CcaBusyCurrentA : The default radio CCA Busy State current in Ampere.
- TxCurrentA : The radio Tx current in Ampere.
- RxCurrentA : The radio Rx current in Ampere.
- SwitchingCurrentA : The default radio Channel Switch current in Ampere.
- SleepCurrentA : The radio Sleep current in Ampere.
- TxCurrentModel : A pointer to the attached tx current model.

The energy harvester represents the elements that supply energy from the environment and recharge an energy source

to which it is connected. The energy harvester includes the complete implementation of the actual energy harvesting

device (e.g., a solar panel) and the environment (e.g., the solar radiation). This means that in implementing an energy

harvester, the energy contribution of the environment and the additional energy requirements of the energy harvesting

device such as the conversion efficiency and the internal power consumption of the device needs to be jointly modeled.

The main way that ns-3 users will typically interact with the Energy Framework is through the helper API and through

the publicly visible attributes of the framework. The helper API is defined in src/energy/helper/\*.h.

In order to use the energy framework, the user must install an Energy Source for the node of interest, the corresponding

Device Energy Model for the network devices and, if necessary, the one or more Energy Harvester. Energy Source

(objects) are aggregated onto each node by the Energy Source Helper. In order to allow multiple energy sources per

node, we aggregate an Energy Source Container rather than directly aggregating a source object.

The Energy Source object keeps a list of Device Energy Model and Energy Harvester objects using the source as

power supply. Device Energy Model objects are installed onto the Energy Source by the Device Energy Model Helper,

while Energy Harvester object are installed by the Energy Harvester Helper. User can access the Device Energy Model

objects through the Energy Source object to obtain energy consumption information of individual devices. Moreover,

the user can access to the Energy Harvester objects in order to gather information regarding the current harvestable

power and the total energy harvested by the harvester.

Energy Source Helper:

Base helper class for Energy Source objects, this helper Aggregates Energy Source object onto a node. Child imple-

mentation of this class creates the actual Energy Source object.

Device Energy Model Helper:

Base helper class for Device Energy Model objects, this helper attaches Device Energy Model objects onto Energy

Source objects. Child implementation of this class creates the actual Device Energy Model object.

Energy Harvesting Helper:

Base helper class for Energy Harvester objects, this helper attaches Energy Harvester objects onto Energy Source

objects. Child implementation of this class creates the actual Energy Harvester object.

Generic Battery Model Helper:

TheGenericBatteryModelHelper can be used to easily install an energy source into a node or node container of

represent an specific battery.

GenericBatteryModelHelper batteryHelper;

EnergySourceContainer

energySourceContainer = batteryHelper.Install(nodeContainer,

batteryHelper.SetCellPack(energySourceContainer,2,2);

In the previous example, the GenericBatteryModelHelper was used to install a Panasonic CGR18650DA Li-Ion

battery. Likewise, the helper is used to define a cell pack of 4 batteries. 2 batteries connected in series and 2 connected

in parallel (2S,2P).

Another option is to manually configure the values that makes the preset:

```
autonode = CreateObject<Node>();
```

```
autodevicesEnergyModel = CreateObject<SimpleDeviceEnergyModel>();
```

```
batteryModel = CreateObject<GenericBatteryModel>();
```

```
batteryModel->SetAttribute("FullVoltage", DoubleValue(1.39)); // Qfull
```

```
batteryModel->SetAttribute("MaxCapacity", DoubleValue(7.0)); // Q
```

```

batteryModel->SetAttribute("NominalVoltage", DoubleValue(1.18)); // Vnom
batteryModel->SetAttribute("NominalCapacity", DoubleValue(6.25)); // QNom
batteryModel->SetAttribute("ExponentialVoltage", DoubleValue(1.28)); // Vexp
batteryModel->SetAttribute("ExponentialCapacity", DoubleValue(1.3)); // Qexp
batteryModel->SetAttribute("InternalResistance", DoubleValue(0.0046)); // R
batteryModel->SetAttribute("TypicalDischargeCurrent", DoubleValue(1.3)); // i typical
batteryModel->SetAttribute("CutoffVoltage", DoubleValue(1.0)); // End of
,!charge.
batteryModel->SetAttribute("BatteryType", EnumValue(NIMH_NICD)); // General
,!battery type
batteryModel = DynamicCast<GenericBatteryModel>
(batteryHelper.Install(node,PANASONIC_HHR650D_NIMH));
devicesEnergyModel->SetEnergySource(batteryModel);
batteryModel->AppendDeviceEnergyModel(devicesEnergyModel);
devicesEnergyModel->SetNode(node);
Usage of both of these type of configurations are shown in generic-battery-discharge-example.cc .
The

```

following table is a list of the available presents in ns-3:

Preset Name Description

PANASONIC\_CGR18650DA\_LION Panasonic Li-Ion (3.6V , 2450Ah, Size A)  
PANASONIC\_HHR650D\_NIMH Panasonic NiMh HHR550D (1.2V 6.5Ah, Size D)  
CSB\_GP1272\_LEADACID CSB Lead Acid GP1272 (12V ,7.2Ah)  
PANASONIC\_N700AAC\_NICD Panasonic NiCd N-700AAC (1.2V 700mAh, Size: AA)  
RSPRO\_LGP12100\_LEADACID Rs Pro Lead Acid LGP12100 (12V , 100Ah)

Traced values differ between Energy Sources, Devices Energy Models and Energy Harvesters implementations, please

look at the specific child class for details.

Basic Energy Source

- RemainingEnergy : Remaining energy at BasicEnergySource.

RV Battery Model

- RvBatteryModelBatteryLevel : RV battery model battery level.
- RvBatteryModelBatteryLifetime : RV battery model battery lifetime.

WiFi Radio Energy Model

- TotalEnergyConsumption : Total energy consumption of the radio device.

Basic Energy Harvester

- HarvestedPower : Current power provided by the BasicEnergyHarvester.
- TotalEnergyHarvested : Total energy harvested by the BasicEnergyHarvester.

The following examples have been written.

Examples in src/energy/examples :

- basic-energy-model-test.cc : Demonstrates the use of a Basic energy source with a Wifi radio model.
- generic-battery-discharge-example.cc : Demonstrates the installation of battery energy sources. The output of this example shows the discharge curve of 5 different batteries.
- generic-battery-discharge-example.py : A simplified version of the previous example but using python bindings.
- generic-battery-wifiradio-example.cc : Demonstrates the use and installation of the Generic Battery Model with the WifiRadio model.
- rv-battery-model-test.cc : Discharge example of a RV energy source model.

Examples in examples/energy :

- energy-model-example.cc
- energy-model-with-harvesting-example.cc : Shows the harvesting model usage. Only usable with

basicEnergySources.

The following tests have been written, which can be found in src/energy/tests/ :

The RV battery model is validated by comparing results with what was presented in the original RV battery model

paper. The generic battery model is validated by superimposing the obtained discharge curves with manufacturers's

datasheets plots. The following shows the results of the generic-battery-discharge-example.cc superim-

posed to manufacturer's datasheets charts:

- In theGenericBatteryModel charging behavior (voltage as a function of SoC) is included but is not been thoroughly tested. Testing requires the implementation of a harvesting device (A charger) capable of providing a CCCV charging method typically used in batteries.
- In theGenericBatteryModel impedance (battery resistance) is constant, battery aging or temperature effects are not considered.
- The Rv battery model has some reported issues (See: issue #164)
- The harvesting mode can only be used with basic energy sources because it does not consider the current capacity or voltage of the battery.
- Support of device energy models for PHY layers (Ir-wpan, WiMax, etc) and other pieces of hardware (UA V , sensors, CPU).
- Support for realistical charging batteries in the GenericBatteryModule .
- Support for device capable of charging batteries (e.g. chargers with CCCV capabilities).
- Implement an energy harvester that recharges the energy sources according to the power levels defined in a user customizable dataset of real measurements.

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The source code for the module lives in the directory `src/flow-monitor` .

The Flow Monitor module goal is to provide a flexible system to measure the performance of network protocols. The

module uses probes, installed in network nodes, to track the packets exchanged by the nodes, and it will measure a

number of parameters. Packets are divided according to the flow they belong to, where each flow is defined according

to the probe's characteristics (e.g., for IP, a flow is defined as the packets with the same

{protocol, source (IP, port),

destination (IP, port)} tuple.

The statistics are collected for each flow can be exported in XML format. Moreover, the user can access the probes

directly to request specific stats about each flow.

Flow Monitor module is designed in a modular way. It can be extended by subclassing `ns3::FlowProbe` and

`ns3::FlowClassifier` . Typically, a subclass of `ns3::FlowProbe` works by listening to the appropriate class

Traces, and then uses its own `ns3::FlowClassifier` subclass to classify the packets passing through each node.

Each Probe can try to listen to other classes traces (e.g., `ns3::Ipv4FlowProbe` will try to use any `ns3::NetDevice`

trace named `TxQueue/Drop` ) but this is something that the user should not rely into blindly, because the

trace is not guaranteed to be in every type of `ns3::NetDevice` . As an example, `CsmaNetDevice` and `PointToPointNetDevice` have a `TxQueue/Drop` trace, while `WiFiNetDevice` does not.

The full module design is described in [FlowMonitor]

At the moment, probes and classifiers are available only for IPv4 and IPv6.

IPv4 and IPv6 probes will classify packets in four points:

- When a packet is sent (`SendOutgoing IPv[4,6]` traces)
- When a packet is forwarded (`UnicastForward IPv[4,6]` traces)
- When a packet is received (`LocalDeliver IPv[4,6]` traces)
- When a packet is dropped (`Drop IPv[4,6]` traces)

Since the packets are tracked at IP level, any retransmission caused by L4 protocols (e.g., TCP) will be seen by the

probe as a new packet.

A Tag will be added to the packet ( ns3::Ipv4FlowProbeTag ). The tag will carry basic packet's data, useful

for the packet's classification.

It must be underlined that only L4 (TCP, UDP) packets are, so far, classified. Moreover, only unicast packets will be classified. These limitations may be removed in the future.

The data collected for each flow are:

- timeFirstTxPacket: when the first packet in the flow was transmitted;
- timeLastTxPacket: when the last packet in the flow was transmitted;
- timeFirstRxPacket: when the first packet in the flow was received by an end node;
- timeLastRxPacket: when the last packet in the flow was received;
- delaySum: the sum of all end-to-end delays for all received packets of the flow;
- jitterSum: the sum of all end-to-end delay jitter (delay variation) values for all received packets of the flow, as defined in RFC 3393 ;
- txBytes, txPackets: total number of transmitted bytes / packets for the flow;
- rxBytes, rxPackets: total number of received bytes / packets for the flow;
- lostPackets: total number of packets that are assumed to be lost (not reported over 10 seconds);
- timesForwarded: the number of times a packet has been reportedly forwarded;
- delayHistogram, jitterHistogram, packetSizeHistogram: histogram versions for the delay, jitter, and packet sizes, respectively;
- packetsDropped, bytesDropped: the number of lost packets and bytes, divided according to the loss reason code (defined in the probe).

It is worth pointing out that the probes measure the packet bytes including IP headers. The L2 headers are not included in the measure.

These stats will be written in XML form upon request (see the Usage section).

Due to the above design, FlowMonitor can not generate statistics when used with DSR routing protocol (because DSR forwards packets using broadcast addresses)

The “lost” packets problem

At the end of a simulation, Flow Monitor could report about “lost” packets, i.e., packets that Flow Monitor have lost track of.

It is important to keep in mind that Flow Monitor records the packets statistics by intercepting them at a given network

level - let's say at IP level. When the simulation ends, any packet queued for transmission below the IP level will be considered as lost.

It is strongly suggested to consider this point when using Flow Monitor. The user can choose to:

- Ignore the lost packets (if their number is a statistically irrelevant quantity), or
- Stop the Applications before the actual Simulation End time, leaving enough time between the two for the queued packets to be processed.

The second method is the suggested one. Usually a few seconds are enough (the exact value depends on the network type).

It is important to stress that “lost” packets could be anywhere in the network, and could count

toward the received

packets or the dropped ones. Ideally, their number should be zero or a minimal fraction of the other ones, i.e., they

should be "statistically irrelevant".

The module usage is extremely simple. The helper will take care of about everything.

The typical use is:

```
// Flow monitor
```

```
Ptr<FlowMonitor> flowMonitor;
```

```
FlowMonitorHelper flowHelper;
```

```
flowMonitor = flowHelper.InstallAll();
```

```
-yourApplicationsContainer-.Stop(Seconds(stop_time));;
```

```
Simulator::Stop(Seconds(stop_time+cleanup_time));
```

```
Simulator::Run();
```

```
flowMonitor->SerializeToXmlFile("NameOfFile.xml", true, true);
```

theSerializeToXmlFile() function 2nd and 3rd parameters are used respectively to activate/deactivate the his-

tograms and the per-probe detailed stats. Other possible alternatives can be found in the Doxygen documentation,

whilecleanup\_time is the time needed by in-flight packets to reach their destinations.

The helper API follows the pattern usage of normal helpers. Through the helper you can install the monitor in the

nodes, set the monitor attributes, and print the statistics.

The module provides the following attributes in ns3::FlowMonitor :

- MaxPerHopDelay (Time, default 10s): The maximum per-hop delay that should be considered;
- StartTime (Time, default 0s): The time when the monitoring starts;
- DelayBinWidth (double, default 0.001): The width used in the delay histogram;
- JitterBinWidth (double, default 0.001): The width used in the jitter histogram;
- PacketSizeBinWidth (double, default 20.0): The width used in the packetSize histogram;
- FlowInterruptionsBinWidth (double, default 0.25): The width used in the flowInterruptions histogram;
- FlowInterruptionsMinTime (double, default 0.5): The minimum inter-arrival time that is considered a flow interruption.

The main model output is an XML formatted report about flow statistics. An example is:

```
<?xml version="1.0" ?>
```

```
<FlowMonitor>
```

```
<FlowStats>
```

```
<Flow flowId="1" timeFirstTxPacket="+0.0ns" timeFirstRxPacket="+20067198.0ns"
```

```
,!timeLastTxPacket="+2235764408.0ns" timeLastRxPacket="+2255831606.0ns" delaySum=
```

```
,!" +138731526300.0ns" jitterSum="+1849692150.0ns" lastDelay="+20067198.0ns" txBytes=
```

```
,!"2149400" rxBytes="2149400" txPackets="3735" rxPackets="3735" lostPackets="0"
```

```
,!timesForwarded="7466">
```

```
</Flow>
```

```
</FlowStats>
```

```
<Ipv4FlowClassifier>
```

```
<Flow flowId="1" sourceAddress="10.1.3.1" destinationAddress="10.1.2.2" protocol="6
```

```
,!" sourcePort="49153" destinationPort="50000" />
```

```
</Ipv4FlowClassifier>
```

```
<Ipv6FlowClassifier>
```

```
</Ipv6FlowClassifier>
```

```
<FlowProbes>
```



```

<FlowProbe index="0">
<FlowStats flowId="1" packets="3735" bytes="2149400" delayFromFirstProbeSum="+0.
,!0ns" >
</FlowStats>
</FlowProbe>
<FlowProbe index="2">
<FlowStats flowId="1" packets="7466" bytes="2224020" delayFromFirstProbeSum=
,!"+199415389258.0ns" >
</FlowStats>
</FlowProbe>
<FlowProbe index="4">
<FlowStats flowId="1" packets="3735" bytes="2149400" delayFromFirstProbeSum=
,!"+138731526300.0ns" >
</FlowStats>
</FlowProbe>
</FlowProbes>
</FlowMonitor>

```

The output was generated by a TCP flow from 10.1.3.1 to 10.1.2.2.

It is worth noticing that the index 2 probe is reporting more packets and more bytes than the other probes. That's a

perfectly normal behaviour, as packets are fragmented at IP level in that node.

It should also be observed that the receiving node's probe (index 4) doesn't count the fragments, as the reassembly is

done before the probing point.

The examples are located in `src/flow-monitor/examples`.

Moreover, the following examples use the flow-monitor module:

- `examples/matrix-topology/matrix-topology.cc`
- `examples/routing/manet-routing-compare.cc`
- `examples/routing/simple-global-routing.cc`
- `examples/tcp/tcp-variants-comparison.cc`
- `examples/wireless/wifi-multirate.cc`
- `examples/wireless/wifi-hidden-terminal.cc`

Do not define more than one `ns3::FlowMonitorHelper` in the simulation.

The paper in the references contains a full description of the module validation against a test network.

Tests are provided to ensure the Histogram correct functionality.

## INTERNET MODELS (IP, TCP, ROUTING, UDP)

A bare class `Node` is not very useful as-is; other objects must be aggregated to it to provide useful node functionality.

Thens-3 source code directory `src/internet` provides implementation of TCP/IPv4- and IPv6-related components.

These include IPv4, ARP, UDP, TCP, IPv6, Neighbor Discovery, and other related protocols.

Internet Nodes are not subclasses of class `Node`; they are simply `Nodes` that have had a bunch of IP-related objects

aggregated to them. They can be put together by hand, or via a helper function

`InternetStackHelper::Install`

( ) which does the following to all nodes passed in as arguments:

void

`InternetStackHelper::Install(Ptr<Node> node) const`

{

`if(m_ipv4Enabled)`

```

{
/*IPv4 stack */
if(node->GetObject<Ipv4>() != 0)
{
NS_FATAL_ERROR("InternetStackHelper::Install(): Aggregating "
"an InternetStack to a node with an existing Ipv4 object");
return;
}
CreateAndAggregateObjectFromTypeId(node, "ns3::ArpL3Protocol");
CreateAndAggregateObjectFromTypeId(node, "ns3::Ipv4L3Protocol");
CreateAndAggregateObjectFromTypeId(node, "ns3::Icmpv4L4Protocol");
// Set routing
Ptr<Ipv4> ipv4 = node->GetObject<Ipv4>();
Ptr<Ipv4RoutingProtocol> ipv4Routing = m_routing->Create(node);
ipv4->SetRoutingProtocol(ipv4Routing);
}
if(m_ipv6Enabled)
{
/*IPv6 stack */
if(node->GetObject<Ipv6>() != 0)
{
NS_FATAL_ERROR("InternetStackHelper::Install(): Aggregating "
"an InternetStack to a node with an existing Ipv6 object");
return;
}
(continues on next page)
(continued from previous page)
}
CreateAndAggregateObjectFromTypeId(node, "ns3::Ipv6L3Protocol");
CreateAndAggregateObjectFromTypeId(node, "ns3::Icmpv6L4Protocol");
// Set routing
Ptr<Ipv6> ipv6 = node->GetObject<Ipv6>();
Ptr<Ipv6RoutingProtocol> ipv6Routing = m_routingv6->Create(node);
ipv6->SetRoutingProtocol(ipv6Routing);
/*register IPv6 extensions and options */
ipv6->RegisterExtensions();
ipv6->RegisterOptions();
}
if(m_ipv4Enabled || m_ipv6Enabled)
{
/*UDP and TCP stacks */
CreateAndAggregateObjectFromTypeId(node, "ns3::UdpL4Protocol");
node->AggregateObject(m_tcpFactory.Create<Object>());
Ptr<PacketSocketFactory> factory = CreateObject<PacketSocketFactory>();
node->AggregateObject(factory);
}
}

```

Where multiple implementations exist in ns-3 (TCP, IP routing), these objects are added by a factory object (TCP) or

by a routing helper (m\_routing).

Note that the routing protocol is configured and set outside this function. By default, the following protocols are added:

```

void InternetStackHelper::Initialize()
{
    SetTcp("ns3::TcpL4Protocol");
    Ipv4StaticRoutingHelper staticRouting;
    Ipv4GlobalRoutingHelper globalRouting;
    Ipv4ListRoutingHelper listRouting;
    Ipv6ListRoutingHelper listRoutingv6;
    Ipv6StaticRoutingHelper staticRoutingv6;
    listRouting.Add(staticRouting, 0);
    listRouting.Add(globalRouting, -10);
    listRoutingv6.Add(staticRoutingv6, 0);
    SetRoutingHelper(listRouting);
    SetRoutingHelper(listRoutingv6);
}

```

By default, IPv4 and IPv6 are enabled.

Internet Node structure

An IP-capable Node (an ns-3 Node augmented by aggregation to have one or more IP stacks) has the following internal structure.

Layer-3 protocols

At the lowest layer, sitting above the NetDevices, are the “layer 3” protocols, including IPv4, IPv6, ARP and so

on. The class Ipv4L3Protocol is an implementation class whose public interface is typically class Ipv4 , but the

Ipv4L3Protocol public API is also used internally at present.

In class Ipv4L3Protocol, one method described below is Receive () :

```

/**
 *Lower layer calls this method after calling L3Demux::Lookup
 *The ARP subclass needs to know from which NetDevice this
 *packet is coming to:
 *- implement a per-NetDevice ARP cache
 *- send back arp replies on the right device
 */

```

```

void Receive( Ptr<NetDevice> device, Ptr< const Packet> p, uint16_t protocol,
const Address &from, const Address &to, NetDevice::PacketType packetType);

```

First, note that the Receive () function has a matching signature to the ReceiveCallback in the class Node . This

function pointer is inserted into the Node’s protocol handler when AddInterface () is called. The actual registration

is done with a statement such as follows:

```

RegisterProtocolHandler( MakeCallback(&Ipv4Protocol::Receive, ipv4),
Ipv4L3Protocol::PROT_NUMBER, 0);

```

The Ipv4L3Protocol object is aggregated to the Node; there is only one such Ipv4L3Protocol object.

Higher-layer

protocols that have a packet to send down to the Ipv4L3Protocol object can call

```

GetObject<Ipv4L3Protocol>()

```

to obtain a pointer, as follows:

```

Ptr<Ipv4L3Protocol> ipv4 = m_node->GetObject<Ipv4L3Protocol>();
if(ipv4 != 0)
{
    ipv4->Send(packet, saddr, daddr, PROT_NUMBER);
}

```

```
}
```

This class nicely demonstrates two techniques we exploit in ns-3 to bind objects together: callbacks, and object aggregation.

Once IPv4 routing has determined that a packet is for the local node, it forwards it up the stack. This is done with the following function:

```
void
```

```
Ipv4L3Protocol::LocalDeliver(Ptr< constPacket> packet, Ipv4Header const&ip, uint32_t ,Iif)
```

The first step is to find the right Ipv4L4Protocol object, based on IP protocol number. For instance, TCP is registered in the demux as protocol number 6. Finally, the Receive() function on the Ipv4L4Protocol (such as

TcpL4Protocol::Receive is called.

We have not yet introduced the class Ipv4Interface. Basically, each NetDevice is paired with an IPv4 representation of

such device. In Linux, this class Ipv4Interface roughly corresponds to the struct in\_device ; the main purpose

is to provide address-family specific information (addresses) about an interface.

All the classes have appropriate traces in order to track sent, received and lost packets. The users is encouraged to

use them so to find out if (and where) a packet is dropped. A common mistake is to forget the effects of local queues

when sending packets, e.g., the ARP queue. This can be particularly puzzling when sending jumbo packets or packet

bursts using UDP. The ARP cache pending queue is limited (3 datagrams) and IP packets might be fragmented, easily

overflowing the ARP cache queue size. In those cases it is useful to increase the ARP cache pending size to a proper

value, e.g.:

```
Config::SetDefault("ns3::ArpCache::PendingQueueSize", UintegerValue(MAX_BURST_SIZE/
```

The IPv6 implementation follows a similar architecture. Dual-stacked nodes (one with support for both IPv4 and IPv6)

will allow an IPv6 socket to receive IPv4 connections as a standard dual-stacked system does. A socket bound and

listening to an IPv6 endpoint can receive an IPv4 connection and will return the remote address as an IPv4-mapped

address. Support for the IPV6\_V6ONLY socket option does not currently exist.

Layer-4 protocols and sockets

We next describe how the transport protocols, sockets, and applications tie together. In summary, each transport

protocol implementation is a socket factory. An application that needs a new socket

For instance, to create a UDP socket, an application would use a code snippet such as the following:

```
Ptr<Udp> udpSocketFactory = GetNode()->GetObject<Udp>();
```

```
Ptr<Socket> m_socket = socketFactory->CreateSocket();
```

```
m_socket->Bind(m_local_address);
```

```
...
```

The above will query the node to get a pointer to its UDP socket factory, will create one such socket, and will use

the socket with an API similar to the C-based sockets API, such as Connect() and Send() . The address

passed to

theBind() ,Connect() , orSend() functions may be a Ipv4Address ,Ipv6Address , orAddress . If aAddress

is passed in and contains anything other than a Ipv4Address orIpv6Address , these functions will return an error.

TheBind() andBind6() functions bind to “0.0.0.0” and “::” respectively.

The socket can also be bound to a specific NetDevice though the BindToNetDevice(Ptr<NetDevice> netdevice) function. BindToNetDevice(Ptr<NetDevice> netdevice) will bind the socket to “0.0.0.0” and “::”(equivalent to calling Bind() andBind6() , unless the socket has been already bound to a specific address. Sum-

marizing, the correct sequence is:

```
Ptr<Udp> udpSocketFactory = GetNode()->GetObject<Udp>();
Ptr<Socket> m_socket = socketFactory->CreateSocket();
m_socket->BindToNetDevice(n_netDevice);
```

...

or:

```
Ptr<Udp> udpSocketFactory = GetNode()->GetObject<Udp>();
Ptr<Socket> m_socket = socketFactory->CreateSocket();
m_socket->Bind(m_local_address);
m_socket->BindToNetDevice(n_netDevice);
```

...

The following raises an error:

```
Ptr<Udp> udpSocketFactory = GetNode()->GetObject<Udp>();
Ptr<Socket> m_socket = socketFactory->CreateSocket();
m_socket->BindToNetDevice(n_netDevice);
m_socket->Bind(m_local_address);
```

...

We have described so far a socket factory (e.g. class Udp ) and a socket, which may be specialized (e.g., class

UdpSocket ). There are a few more key objects that relate to the specialized task of demultiplexing a packet to one or

more receiving sockets. The key object in this task is class Ipv4EndPointDemux . This demultiplexer stores objects of

classIpv4EndPoint . This class holds the addressing/port tuple (local port, local address, destination port, destination

address) associated with the socket, and a receive callback. This receive callback has a receive function registered by

the socket. The Lookup() function to Ipv4EndPointDemux returns a list of Ipv4EndPoint objects(there may be a list

since more than one socket may match the packet). The layer-4 protocol copies the packet to each Ipv4EndPoint and

calls itsForwardUp() method, which then calls the Receive() function registered by the socket.

An issue that arises when working with the sockets API on real systems is the need to manage the reading from a

socket, using some type of I/O (e.g., blocking, non-blocking, asynchronous, . . . ). ns-3 implements an asynchronous

model for socket I/O; the application sets a callback to be notified of received data ready to be read, and the callback

is invoked by the transport protocol when data is available. This callback is specified as follows:

```
voidSocket::SetRecvCallback(Callback< void, Ptr<Socket>,
Ptr<Packet>,
```

```
constAddress&> receivedData);
```

The data being received is conveyed in the Packet data buffer. An example usage is in class PacketSink :

```
m_socket->SetRecvCallback(MakeCallback(&PacketSink::HandleRead, this));
```

To summarize, internally, the UDP implementation is organized as follows:

- aUdpImpl class that implements the UDP socket factory functionality
- aUdpL4Protocol class that implements the protocol logic that is socket-independent
- aUdpSocketImpl class that implements socket-specific aspects of UDP
- a class called Ipv4EndPoint that stores the addressing tuple (local port, local address, destination port, destination address) associated with the socket, and a receive callback for the socket.

IP-capable node interfaces

Many of the implementation details, or internal objects themselves, of IP-capable Node objects are not exposed at the

simulator public API. This allows for different implementations; for instance, replacing the native ns-3 models with

ported TCP/IP stack code.

The C++ public APIs of all of these objects is found in the src/network directory, including principally:

- address.h
- socket.h
- node.h
- packet.h

These are typically base class objects that implement the default values used in the implementation, implement access

methods to get/set state variables, host attributes, and implement publicly-available methods exposed to clients such

asCreateSocket .

Example path of a packet

These two figures show an example stack trace of how packets flow through the Internet Node objects.

In order to use IPv4 on a network, the first thing to do is assigning IPv4 addresses.

Any IPv4-enabled ns-3 node will have at least one NetDevice: the ns3::LoopbackNetDevice . The loopback device

address is 127.0.0.1 . All the other NetDevices will have one (or more) IPv4 addresses.

Note that, as today, ns-3 does not have a NAT module, and it does not follow the rules about filtering private addresses

(RFC 1918 ): 10.0.0.0/8, 172.16.0.0/12, and 192.168.0.0/16. These addresses are routed as any other address. This

behaviour could change in the future.

IPv4 global addresses can be:

- manually assigned
- assigned through DHCP

ns-3 can use both methods, and it's quite important to understand the implications of both.

Manually assigned IPv4 addresses

This is probably the easiest and most used method. As an example:

```
Ptr<Node> n0 = CreateObject<Node>();
```

```
Ptr<Node> n1 = CreateObject<Node>();
```

```
NodeContainer net(n0, n1);
```

```
CsmaHelper csma;
```

```
NetDeviceContainer ndc = csma.Install(net);
```

```
NS_LOG_INFO("Assign IPv4 Addresses.");
```

```
Ipv4AddressHelper ipv4;  
ipv4.SetBase(Ipv4Address("192.168.1.0"), NetMask("/24"));  
Ipv4InterfaceContainer ic = ipv4.Assign(ndc);
```

This method will add two global IPv4 addresses to the nodes.

Note that the addresses are assigned in sequence. As a consequence, the first Node / NetDevice will have

"192.168.1.1", the second "192.168.1.2" and so on.

It is possible to repeat the above to assign more than one address to a node. However, due to the Ipv4AddressHelper

singleton nature, one should first assign all the addresses of a network, then change the network base ( SetBase ), then do a new assignment.

Alternatively, it is possible to assign a specific address to a node:

```
Ptr<Node> n0 = CreateObject<Node>();  
NodeContainer net(n0);  
CsmaHelper csma;  
NetDeviceContainer ndc = csma.Install(net);  
NS_LOG_INFO("Specifically Assign an IPv4 Address.");  
Ipv4AddressHelper ipv4;  
Ptr<NetDevice> device = ndc.Get(0);  
Ptr<Node> node = device->GetNode();  
Ptr<Ipv4> ipv4proto = node->GetObject<Ipv4>();  
int32_t ifIndex = 0;  
ifIndex = ipv4proto->GetInterfaceForDevice(device);  
Ipv4InterfaceAddress ipv4Addr = Ipv4InterfaceAddress(Ipv4Address("192.168.1.42"),  
,!NetMask("/24"));  
ipv4proto->AddAddress(ifIndex, ipv4Addr);
```

DHCP assigned IPv4 addresses

DHCP is available in the internet-apps module. In order to use DHCP you have to have a DhcpServer application in

a node (the DHC server node) and a DhcpClient application in each of the nodes. Note that it not necessary that all

the nodes in a subnet use DHCP. Some nodes can have static addresses.

All the DHCP setup is performed though the DhcpHelper class. A complete example is in src/internet-apps/

examples/dhcp-example.cc .

Further info about the DHCP functionalities can be found in the internet-apps model documentation.

The internet stack provides a number of trace sources in its various protocol implementations. These trace sources can

be hooked using your own custom trace code, or you can use our helper functions in some cases to arrange for tracing

to be enabled.

Tracing in ARP

ARP provides two trace hooks, one in the cache, and one in the layer three protocol. The trace accessor in the cache

is given the name "Drop." When a packet is transmitted over an interface that requires ARP, it is first queued for

transmission in the ARP cache until the required MAC address is resolved. There are a number of retries that may be

done trying to get the address, and if the maximum retry count is exceeded the packet in question is dropped by ARP.

The single trace hook in the ARP cache is called,

- If an outbound packet is placed in the ARP cache pending address resolution and no resolution can be made within the maximum retry count, the outbound packet is dropped and this trace is fired;  
A second trace hook lives in the ARP L3 protocol (also named “Drop”) and may be called for a number of reasons.
- If an ARP reply is received for an entry that is not waiting for a reply, the ARP reply packet is dropped and this trace is fired;
- If an ARP reply is received for a non-existent entry, the ARP reply packet is dropped and this trace is fired;
- If an ARP cache entry is in the DEAD state (has timed out) and an ARP reply packet is received, the reply packet is dropped and this trace is fired.
- Each ARP cache entry has a queue of pending packets. If the size of the queue is exceeded, the outbound packet is dropped and this trace is fired.

#### Tracing in IPv4

The IPv4 layer three protocol provides three trace hooks. These are the “Tx”

(`ns3::Ipv4L3Protocol::m_txTrace`), “Rx”

(`ns3::Ipv4L3Protocol::m_rxTrace`) and “Drop” (`ns3::Ipv4L3Protocol::m_dropTrace`) trace sources.

The “Tx” trace is fired in a number of situations, all of which indicate that a given packet is about to be sent down to a given `ns3::Ipv4Interface`.

- In the case of a packet destined for the broadcast address, the `Ipv4InterfaceList` is iterated and for every interface that is up and can fragment the packet or has a large enough MTU to transmit the packet, the trace is hit. See `ns3::Ipv4L3Protocol::Send`.

- In the case of a packet that needs routing, the “Tx” trace may be fired just before a packet is sent to the interface

appropriate to the default gateway. See `ns3::Ipv4L3Protocol::SendRealOut`.

- Also in the case of a packet that needs routing, the “Tx” trace may be fired just before a packet is sent to the

outgoing interface appropriate to the discovered route. See `ns3::Ipv4L3Protocol::SendRealOut`.

The “Rx” trace is fired when a packet is passed from the device up to the

`ns3::Ipv4L3Protocol::Receive` function.

- In the receive function, the `Ipv4InterfaceList` is iterated, and if the `Ipv4Interface` corresponding to the receiving device is found to be in the UP state, the trace is fired.

The “Drop” trace is fired in any case where the packet is dropped (in both the transmit and receive paths).

- In the `ns3::Ipv4Interface::Receive` function, the packet is dropped and the drop trace is hit if the interface corre-

sponding to the receiving device is in the DOWN state.

- Also in the `ns3::Ipv4Interface::Receive` function, the packet is dropped and the drop trace is hit if the checksum

is found to be bad.

- In `ns3::Ipv4L3Protocol::Send`, an outgoing packet bound for the broadcast address is dropped and the “Drop”

trace is fired if the “don’t fragment” bit is set and fragmentation is available and required.



- Also in `ns3::Ipv4L3Protocol::Send`, an outgoing packet destined for the broadcast address is dropped and the “Drop” trace is hit if fragmentation is not available and is required ( $MTU < \text{packet size}$ ).
- In the case of a broadcast address, an outgoing packet is cloned for each outgoing interface. If any of the interfaces is in the DOWN state, the “Drop” trace event fires with a reference to the copied packet.
- In the case of a packet requiring a route, an outgoing packet is dropped and the “Drop” trace event fires if no route to the remote host is found.
- In `ns3::Ipv4L3Protocol::SendRealOut`, an outgoing packet being routed is dropped and the “Drop” trace is fired if the “don’t fragment” bit is set and fragmentation is available and required.
- Also in `ns3::Ipv4L3Protocol::SendRealOut`, an outgoing packet being routed is dropped and the “Drop” trace is hit if fragmentation is not available and is required ( $MTU < \text{packet size}$ ).
- An outgoing packet being routed is dropped and the “Drop” trace event fires if the required `Ipv4Interface` is in the DOWN state.
- If a packet is being forwarded, and the TTL is exceeded (see `ns3::Ipv4L3Protocol::DoForward`), the packet is dropped and the “Drop” trace event is fired.
- In IPv4, ECN bits are the last 2 bits in TOS field and occupy 14th and 15th bits in the header.
- The IPv4 header class defines an `EcnType` enum with all four ECN codepoints (`ECN_NotECT`, `ECN_ECT1`, `ECN_ECT0`, `ECN_CE`) mentioned in RFC 3168, and also a setter and getter method to handle ECN values in the TOS field.

The traffic control sublayer in ns-3 handles objects of class `QueueDiscItem` which are used to hold an `ns3::Packet` and

an `ns3::Header`. This is done to facilitate the marking of packets for Explicit Congestion Notification. The `Mark ()`

method is implemented in `Ipv4QueueDiscItem`. It returns true if marking the packet is successful, i.e., it successfully

sets the CE bit in the IPv4 header. The `Mark ()` method will return false, however, if the IPv4 header indicates the `ECN_NotECT` codepoint.

To support mesh network protocols over broadcast-capable networks (e.g. Wi-Fi), it is useful to have support for

duplicate packet detection and filtering, since nodes in a network may receive multiple copies of flooded multicast

packets arriving on different paths. The `Ipv4L3Protocol` model in ns-3 has a model for hash-based duplicate packet

detection (DPD) based on Section 6.2.2 of ( RFC 6621 ). The model, disabled by default, must be enabled by setting

`EnableRFC6621` to true. A second attribute, `DuplicateExpire` , sets the expiration delay for erasing the cache entry

of a packet in the duplicate cache; the delay value defaults to 1ms.

`NeighborCacheHelper` provides a way to generate ARP cache automatically. It generates needed ARP cache before

simulation start to avoid the delay and message overhead of address resolution in simulations that are focused on other

performance aspects. The state of entries which are generated by `NeighborCacheHelper` is `STATIC_AUTOGENERATED` ,

which is similar to PERMANENT , but they are not manually added or removed by user, they will be managed by NeighborCacheHelper when user need pre-generate cache. When user is generating neighbor caches globally, neighbor caches will update dynamically when IPv4 addresses are removed or added; when user is generating neighbor caches partially, NeighborCacheHelper will take care of address removal, for adding address user may rerun a reduced-scope PopulateNeighbor() again to pick up the new IP address or manually add an entry to keep the neighbor cache up-to-date, the reason is that: when PopulateNeighborCache() has previously been run with a scope less than global, the code does not know whether it was previously run with a scope of Channel, NetDeviceContainer, or Ip interface container.

The source code for NeighborCache is located in src/internet/helper/neighbor-cache-helper A complete example is in src/internet/examples/neighbor-cache-example.cc .

#### Usage

The typical usages are:

\*Populate neighbor ARP caches for all devices:

```
NeighborCacheHelper neighborCache;
neighborCache.PopulateNeighborCache();
```

• Populate neighbor ARP caches for a given channel:

```
NeighborCacheHelper neighborCache;
neighborCache.PopulateNeighborCache(channel); // channel is the Ptr<Channel> want
, !to generate ARP caches
```

• Populate neighbor ARP caches for devices in a given NetDeviceContainer:

```
NeighborCacheHelper neighborCache;
neighborCache.PopulateNeighborCache(netDevices); // netDevices is the
, !NetDeviceContainer want to generate ARP caches
```

• Populate neighbor ARP caches for a given Ipv4InterfaceContainer:

```
NeighborCacheHelper neighborCache;
neighborCache.PopulateNeighborCache(interfaces); // interfaces is the
, !Ipv4InterfaceContainer want to generate ARP caches
```

The IPv6 model is loosely patterned after the Linux implementation; the implementation is not complete as some

features of IPv6 are not of much interest to simulation studies, and some features of IPv6 are simply not modeled yet

inns-3.

The base class Ipv6 defines a generic API, while the class Ipv6L3Protocol is the actual class implementing the

protocol. The actual classes used by the IPv6 stack are located mainly in the directory src/internet

.

The implementation of IPv6 is contained in the following files:

```
src/internet/model/icmpv6-header.{cc,h}
src/internet/model/icmpv6-l4-protocol.{cc,h}
src/internet/model/ipv6.{cc,h}
src/internet/model/ipv6-address-generator.{cc,h}
src/internet/model/ipv6-autoconfigured-prefix.{cc,h}
src/internet/model/ipv6-end-point.{cc,h}
src/internet/model/ipv6-end-point-demux.{cc,h}
src/internet/model/ipv6-extension.{cc,h}
```

```
src/internet/model/ipv6-extension-demux.{cc,h}
src/internet/model/ipv6-extension-header.{cc,h}
src/internet/model/ipv6-header.{cc,h}
src/internet/model/ipv6-interface.{cc,h}
src/internet/model/ipv6-interface-address.{cc,h}
src/internet/model/ipv6-l3-protocol.{cc,h}
src/internet/model/ipv6-list-routing.{cc,h}
src/internet/model/ipv6-option.{cc,h}
src/internet/model/ipv6-option-demux.{cc,h}
src/internet/model/ipv6-option-header.{cc,h}
src/internet/model/ipv6-packet-info-tag.{cc,h}
src/internet/model/ipv6-pmtu-cache.{cc,h}
src/internet/model/ipv6-raw-socket-factory.{cc,h}
src/internet/model/ipv6-raw-socket-factory-impl.{cc,h}
src/internet/model/ipv6-raw-socket-impl.{cc,h}
src/internet/model/ipv6-route.{cc,h}
src/internet/model/ipv6-routing-protocol.{cc,h}
src/internet/model/ipv6-routing-table-entry.{cc,h}
src/internet/model/ipv6-static-routing.{cc,h}
src/internet/model/ndisc-cache.{cc,h}
src/network/utils/inet6-socket-address.{cc,h}
src/network/utils/ipv6-address.{cc,h}
```

Also some helpers are involved with IPv6:

```
src/internet/helper/internet-stack-helper.{cc,h}
src/internet/helper/ipv6-address-helper.{cc,h}
src/internet/helper/ipv6-interface-container.{cc,h}
src/internet/helper/ipv6-list-routing-helper.{cc,h}
src/internet/helper/ipv6-routing-helper.{cc,h}
src/internet/helper/ipv6-static-routing-helper.{cc,h}
```

The model files can be roughly divided into:

- protocol models (e.g., ipv6, ipv6-l3-protocol, icmpv6-l4-protocol, etc.)
- routing models (i.e., anything with 'routing' in its name)
- sockets and interfaces (e.g., ipv6-raw-socket, ipv6-interface, ipv6-end-point, etc.)
- address-related things
- headers, option headers, extension headers, etc.
- accessory classes (e.g., ndisc-cache)

The following description is based on using the typical helpers found in the example code.

IPv6 does not need to be activated in a node. it is automatically added to the available protocols once the Internet Stack is installed.

In order to not install IPv6 along with IPv4, it is possible to use ns3::InternetStackHelper method SetIpv6StackInstall (bool enable) before installing the InternetStack in the nodes.

Note that to have an IPv6-only network (i.e., to not install the IPv4 stack in a node) one should use

ns3::InternetStackHelper method SetIpv4StackInstall (bool enable) before the stack installation.

As an example, in the following code node 0 will have both IPv4 and IPv6, node 1 only IPv6 and node 2 only

IPv4:

```
NodeContainer n;
n.Create(3);
InternetStackHelper internet;
```

```

InternetStackHelper internetV4only;
InternetStackHelper internetV6only;
internetV4only.SetIpv6StackInstall(false);
internetV6only.SetIpv4StackInstall(false);
internet.Install(n.Get(0));
internetV6only.Install(n.Get(1));
internetV4only.Install(n.Get(2));

```

IPv6 addresses assignment

In order to use IPv6 on a network, the first thing to do is assigning IPv6 addresses.

Any IPv6-enabled ns-3 node will have at least one NetDevice: the ns3::LoopbackNetDevice . The loopback device

address is ::1. All the other NetDevices will have one or more IPv6 addresses:

- One link-local address: fe80::interface ID , where interface ID is derived from the NetDevice MAC address.

- Zero or more global addresses, e.g., 2001:db8::1 .

Typically the first address on an interface will be the link-local one, with the global address(es) being the following

ones.

IPv6 global addresses might be:

- manually assigned
- auto-generated

ns-3 can use both methods, and it's quite important to understand the implications of both.

Manually assigned IPv6 addresses

This is probably the easiest and most used method. As an example:

```

Ptr<Node> n0 = CreateObject<Node>();
Ptr<Node> n1 = CreateObject<Node>();
NodeContainer net(n0, n1);
CsmaHelper csma;
NetDeviceContainer ndc = csma.Install(net);
NS_LOG_INFO("Assign IPv6 Addresses.");
Ipv6AddressHelper ipv6;
ipv6.SetBase(Ipv6Address("2001:db8::"), Ipv6Prefix(64));
Ipv6InterfaceContainer ic = ipv6.Assign(ndc);

```

This method will add two global IPv6 addresses to the nodes. Note that, as usual for IPv6, all the nodes will also have

a link-local address. Typically the first address on an interface will be the link-local one, with the global address(es)

being the following ones.

Note that the global addresses will be derived from the MAC address. As a consequence, expect to have addresses

similar to 2001:db8::200:ff:fe00:1 .

It is possible to repeat the above to assign more than one global address to a node. However, due to the

Ipv6AddressHelper singleton nature, one should first assign all the addresses of a network, then change the network

base (SetBase ), then do a new assignment.

Alternatively, it is possible to assign a specific address to a node:

```

Ptr<Node> n0 = CreateObject<Node>();
NodeContainer net(n0);
CsmaHelper csma;
NetDeviceContainer ndc = csma.Install(net);

```

```

NS_LOG_INFO("Specifically Assign an IPv6 Address.");
Ipv6AddressHelper ipv6;
Ptr<NetDevice> device = ndc.Get(0);
Ptr<Node> node = device->GetNode();
Ptr<Ipv6> ipv6proto = node->GetObject<Ipv6>();
int32_t ifIndex = 0;
ifIndex = ipv6proto->GetInterfaceForDevice(device);
Ipv6InterfaceAddress ipv6Addr = Ipv6InterfaceAddress(Ipv6Address(
,!"2001:db8:f00d:cafe::42"), Ipv6Prefix(64));
ipv6proto->AddAddress(ifIndex, ipv6Addr);
Auto-generated IPv6 addresses

```

This is accomplished by relying on the RADVD protocol, implemented by the class Radvd . A helper class is avail-

able, which can be used to ease the most common tasks, e.g., setting up a prefix on an interface, if it is announced

periodically, and if the router is the default router for that interface.

A fine grain configuration is possible though the RadvdInterface class, which allows to setup every parameter of

the announced router advertisement on a given interface.

It is worth mentioning that the configurations must be set up before installing the application in the node.

Upon using this method, the nodes will acquire dynamically (i.e., during the simulation) one (or more) global ad-

dress(es) according to the RADVD configuration. These addresses will be bases on the RADVD announced prefix and

the node's EUI-64.

Examples of RADVD use are shown in `examples/ipv6/radvd.cc` and `examples/ipv6/radvd-two-prefix.cc`.

Note that the router (i.e., the node with Radvd ) will have to have a global address, while the nodes us-

ing the auto-generated addresses (SLAAC) will have to have a link-local address. This is accomplished using

```

Ipv6AddressHelper::AssignWithoutAddress , e.g.:
Ipv6AddressHelper ipv6;
NetDeviceContainer tmp;
tmp.Add (d1.Get(0)); /*n0*/
Ipv6InterfaceContainer iic1 = ipv6.AssignWithoutAddress(tmp); /*n0 interface */

```

Random-generated IPv6 addresses

While IPv6 real nodes will use randomly generated addresses to protect privacy, ns-3 does NOT have this capability.

This feature haven't been so far considered as interesting for simulation.

Networks with and without the onlink property

IPv6 adds the concept of "on-link" for addresses and prefixes. Simplifying the concept, a network with the on-link

property behaves roughly as IPv4: a node will assume that any address belonging to the on-link prefix is reachable on

the link, so it uses Neighbor Discovery Protocol (NDP) to find the MAC address corresponding to the IPv6 address. If

the prefix is not marked as "on-link", then any packet is sent to the default router.

Radvd can announce prefixes that have the on-link flag not set. Moreover, it is possible to add an address

to a node without setting the on-link property for the prefix used in the address. The function to use is

`Ipv6AddressHelper::AssignWithoutOnLink`.

Duplicate Address Detection (DAD)

Nodes will perform DAD (it can be disabled using an `Ipv6L4Protocol` attribute). Upon receiving a DAD, how-

ever, nodes will not react to it. As is: DAD reaction is incomplete so far. The main reason relies on the missing

random-generated address capability. Moreover, since ns-3 nodes will usually be well-behaving, there shouldn't be

any Duplicate Address. This might be changed in the future, so as to avoid issues with real-world integrated simulations.

Explicit Congestion Notification (ECN) bits in IPv6

- In IPv6, ECN bits are the last 2 bits of the Traffic class and occupy 10th and 11th bit in the header.
- The IPv6 header class defines an `EcnType` enum with all four ECN codepoints (`ECN_NotECT`, `ECN_ECT1`, `ECN_ECT0`, `ECN_CE`) mentioned in RFC 3168, and also a setter and getter method to handle ECN values in the Traffic Class field.

The traffic control sublayer in ns-3 handles objects of class `QueueDiscItem` which are used to hold an `ns3::Packet` and

an `ns3::Header`. This is done to facilitate the marking of packets for Explicit Congestion Notification. The `Mark()`

method is implemented in `Ipv6QueueDiscItem`. It returns true if marking the packet is successful, i.e., it successfully

sets the CE bit in the IPv6 header. The `Mark()` method will return false, however, if the IPv6 header indicates the `ECN_NotECT` codepoint.

Host and Router behaviour in IPv6 and ns-3

In IPv6 there is a clear distinction between routers and hosts. As one might expect, routers can forward packets from

an interface to another interface, while hosts drop packets not directed to them.

Unfortunately, forwarding is not the only thing affected by this distinction, and forwarding itself might be fine-tuned,

e.g., to forward packets incoming from an interface and drop packets from another interface.

In ns-3 a node is configured to be an host by default. There are two main ways to change this behaviour:

- Using `ns3::Ipv6InterfaceContainer::SetForwarding(uint32_t i, bool router)` where `i` is the interface index in the container.

- Changing the `ns3::Ipv6::Attribute::IpForward`.

Either one can be used during the simulation.

A fine-grained setup can be accomplished by using `ns3::Ipv6Interface::SetForwarding(bool forward)`; which

allows to change the behaviour on a per-interface-basis.

Note that the node-wide configuration only serves as a convenient method to enable/disable the `ns3::Ipv6Interface` specific setting. An `Ipv6Interface` added to a node with forwarding enabled will be

set to be forwarding as well. This is really important when a node has interfaces added during the simulation.

According to the `ns3::Ipv6Interface` forwarding state, the following happens:

- Forwarding OFF
- The node will NOT reply to Router Solicitation
- The node will react to Router Advertisement
- The node will periodically send Router Solicitation
- Routing protocols MUST DROP packets not directed to the node
- Forwarding ON
- The node will reply to Router Solicitation
- The node will NOT react to Router Advertisement
- The node will NOT send Router Solicitation
- Routing protocols MUST forward packets

The behaviour is matching ip-sysctl.txt (<http://www.kernel.org/doc/Documentation/networking/ip-sysctl.txt>) with the

difference that it's not possible to override the behaviour using esoteric settings (e.g., forwarding but accept router

advertisements, accept\_ra=2, or forwarding but send router solicitations forwarding=2).

Consider carefully the implications of packet forwarding. As an example, a node will NOT send ICMPv6 PACKET\_TOO\_BIG messages from an interface with forwarding off. This is completely normal, as the Routing

protocol will drop the packet before attempting to forward it.

Helpers

Typically the helpers used in IPv6 setup are:

- ns3::InternetStackHelper
- ns3::Ipv6AddressHelper
- ns3::Ipv6InterfaceContainer

The use is almost identical to the corresponding IPv4 case, e.g.:

NodeContainer n;

n.Create(4);

NS\_LOG\_INFO("Create IPv6 Internet Stack");

InternetStackHelper internetv6;

internetv6.Install(n);

NS\_LOG\_INFO("Create channels.");

CsmaHelper csma;

NetDeviceContainer d = csma.Install(n);

NS\_LOG\_INFO("Create networks and assign IPv6 Addresses.");

Ipv6AddressHelper ipv6;

ipv6.SetBase(Ipv6Address("2001:db8::"), Ipv6Prefix(64));

Ipv6InterfaceContainer iic = ipv6.Assign(d);

Additionally, a common task is to enable forwarding on one of the nodes and to setup a default route toward it in the

other nodes, e.g.:

iic.SetForwarding(0, true);

iic.SetDefaultRouteInAllNodes(0);

This will enable forwarding on the node 0 and will setup a default route in ns3::Ipv6StaticRouting on all the

other nodes. Note that this requires that Ipv6StaticRouting is present in the nodes.

The IPv6 routing helpers enable the user to perform specific tasks on the particular routing algorithm and to print the routing tables.

Attributes

Many classes in the ns-3 IPv6 implementation contain attributes. The most useful ones are:

- ns3::Ipv6

- `IpForward` , boolean, default false. Globally enable or disable IP forwarding for all current and future IPv6 devices.
  - `MtuDiscover` , boolean, default true. If disabled, every interface will have its MTU set to 1280 bytes.
  - `ns3::Ipv6L3Protocol`
  - `DefaultTtl` , `uint8_t`, default 64. The TTL value set by default on all outgoing packets generated on this node.
  - `SendIcmpv6Redirect` , boolean, default true. Send the ICMPv6 Redirect when appropriate.
  - `ns3::Icmpv6L4Protocol`
  - `DAD` , boolean, default true. Always do DAD (Duplicate Address Detection) check.
  - `ns3::NdiscCache`
  - `UnresolvedQueueSize` , `uint32_t`, default 3. Size of the queue for packets pending an NA reply.
- Output

The IPv6 stack provides some useful trace sources:

- `ns3::Ipv6L3Protocol`
- Tx, Send IPv6 packet to outgoing interface.
- Rx, Receive IPv6 packet from incoming interface.
- Drop , Drop IPv6 packet.
- `ns3::Ipv6Extension`
- Drop , Drop IPv6 packet.

The latest trace source is generated when a packet contains an unknown option blocking its processing.

Mind that `ns3::NdiscCache` could drop packets as well, and they are not logged in a trace source (yet). This might generate some confusion in the sent/received packets counters.

#### Advanced Usage

IPv6 maximum transmission unit (MTU) and fragmentation

ns-3 NetDevices define the MTU according to the L2 simulated Device. IPv6 requires that the minimum MTU is 1280

bytes, so all NetDevices are required to support at least this MTU. This is the link-MTU.

In order to support different MTUs in a source-destination path, ns-3 IPv6 model can perform fragmentation. This

can be either triggered by receiving a packet bigger than the link-MTU from the L4 protocols (UDP, TCP, etc.), or

by receiving an ICMPv6 `PACKET_TOO_BIG` message. The model mimics RFC 1981, with the following notable exceptions:

- L4 protocols are not informed of the Path MTU change
- TCP can not change its Segment Size according to the Path-MTU.

Both limitations are going to be removed in due time.

The Path-MTU cache is currently based on the source-destination IPv6 addresses. Further classifications (e.g., flow label) are possible but not yet implemented.

The Path-MTU default validity time is 10 minutes. After the cache entry expiration, the Path-MTU information is

removed and the next packet will (eventually) trigger a new ICMPv6 `PACKET_TOO_BIG` message. Note that 1) this

is consistent with the RFC specification and 2) L4 protocols are responsible for retransmitting the packets.

#### Examples

The examples for IPv6 are in the directory `examples/ipv6` . These examples focus on the most



interesting IPv6

peculiarities, such as fragmentation, redirect and so on.

Moreover, most TCP and UDP examples located in `examples/udp`, `examples/tcp`, etc. have a command-line option to use IPv6 instead of IPv4.

Troubleshooting

There are just a few pitfalls to avoid while using ns-3 IPv6.

Routing loops

Since the only (so far) routing scheme available for IPv6 is `ns3::Ipv6StaticRouting`, default router have to be

setup manually. When there are two or more routers in a network (e.g., node A and node B), avoid using the helper

function `SetDefaultRouteInAllNodes` for more than one router.

The consequence would be to install a default route to B in A and a default route pointing to A in B, generating a loop.

Global address leakage

Remember that addresses in IPv6 are global by definition. When using IPv6 with an emulation ns-3 capability, avoid

at all costs address leakage toward the global Internet. It is advisable to setup an external firewall to prevent leakage.

2001:DB8::/32 addresses

IPv6 standard (RFC 3849) defines the 2001:DB8::/32 address class for the documentation. This manual uses this

convention. The addresses in this class are, however, only usable in a document, and routers should discard them.

The IPv6 protocols has not yet been extensively validated against real implementations. The actual tests involve mainly

performing checks of the .pcap trace files with Wireshark, and the results are positive.

`NeighborCacheHelper` provides a way to generate NDISC cache automatically. It generates needed NDISC cache

before simulation start to avoid the delay and message overhead of neighbor discovery in simulations that are focused

on other performance aspects. The state of entries generate by `NeighborCacheHelper` is `STATIC_AUTOGENERATED`,

which is similar to `PERMANENT`, but they are not manually added or removed by user, they will be managed by `Neigh-`

`borCacheHelper` when user need pre-generate cache. When user is generating neighbor caches globally, neighbor

caches will update dynamically when IPv6 addresses are removed or added; when user is generating neighbor caches

partially, `NeighborCacheHelper` will take care of address removal, for adding address user may rerun a reduced-scope

`PopulateNeighbor()` again to pick up the new IP address or manually add an entry to keep the neighbor cache up-to-

date, the reason is that: when `PopulateNeighborCache()` has previously been run with a scope less than global, the code

does not know whether it was previously run with a scope of `Channel`, `NetDeviceContainer`, or `Ip` interface container.

The source code for `NeighborCache` is located in `src/internet/helper/neighbor-cache-helper`. A complete example is in `src/internet/examples/neighbor-cache-example.cc`.

Usage

The usages for generating NDISC cache is almost the same as generating ARP cache, see

src/internet/doc/

ipv4.rst

- Populate neighbor ARP caches for a given Ipv6InterfaceContainer:

```
NeighborCacheHelper neighborCache;
```

```
neighborCache.PopulateNeighborCache(interfaces); // interfaces is the
```

```
Ipv6InterfaceContainer want to generate ARP caches
```

ns-3 is intended to support traditional routing approaches and protocols, support ports of open source routing imple-

mentations, and facilitate research into unorthodox routing techniques. The overall routing architecture is described

below in Routing architecture . Users who wish to just read about how to configure global routing for wired topologies

can read Global centralized routing . Unicast routing protocols are described in Unicast routing .

Multicast routing is

documented in Multicast routing .

Overview of routing shows the overall routing architecture for Ipv4. The key objects are

Ipv4L3Protocol,

Ipv4RoutingProtocol(s) (a class to which all routing/forwarding has been delegated from

Ipv4L3Protocol), and

Ipv4Route(s).

Ipv4L3Protocol must have at least one Ipv4RoutingProtocol added to it at simulation setup time. This is done explicitly

by calling Ipv4::SetRoutingProtocol ().

The abstract base class Ipv4RoutingProtocol () declares a minimal interface, consisting of two methods: RouteOutput

() and RouteInput (). For packets traveling outbound from a host, the transport protocol will query Ipv4 for the

Ipv4RoutingProtocol object interface, and will request a route via Ipv4RoutingProtocol::RouteOutput (). A Ptr to

Ipv4Route object is returned. This is analogous to a dst\_cache entry in Linux. The Ipv4Route is carried down to the

Ipv4L3Protocol to avoid a second lookup there. However, some cases (e.g. Ipv4 raw sockets) will require a call to

RouteOutput() directly from Ipv4L3Protocol.

For packets received inbound for forwarding or delivery, the following steps occur.

Ipv4L3Protocol::Receive() calls

Ipv4RoutingProtocol::RouteInput(). This passes the packet ownership to the Ipv4RoutingProtocol object. There are

- LocalDeliver
- UnicastForward
- MulticastForward
- Error

The Ipv4RoutingProtocol must eventually call one of these callbacks for each packet that it takes responsibility for.

This is basically how the input routing process works in Linux.

This overall architecture is designed to support different routing approaches, including (in the future) a Linux-like

policy-based routing implementation, proactive and on-demand routing protocols, and simple routing protocols for

when the simulation user does not really care about routing.

Ipv4Routing specialization. illustrates how multiple routing protocols derive from this base class.

A class

Ipv4ListRouting (implementation class Ipv4ListRoutingImpl) provides the existing list routing approach in ns-3.

Its API is the same as base class Ipv4Routing except for the ability to add multiple prioritized routing protocols

(Ipv4ListRouting::AddRoutingProtocol(), Ipv4ListRouting::GetRoutingProtocol()).

The details of these routing protocols are described below in Unicast routing . For now, we will first start with a basic

unicast routing capability that is intended to globally build routing tables at simulation time  $t=0$  for simulation users

who do not care about dynamic routing.

The following unicast routing protocols are defined for IPv4 and IPv6:

- classes Ipv4ListRouting and Ipv6ListRouting (used to store a prioritized list of routing protocols)
- classes Ipv4StaticRouting and Ipv6StaticRouting (covering both unicast and multicast)
- class Ipv4GlobalRouting (used to store routes computed by the global route manager, if that is used)
- class Ipv4NixVectorRouting (a more efficient version of global routing that stores source routes in a packet header field)
- class Rip - the IPv4 RIPv2 protocol ( RFC 2453 )
- class RipNg - the IPv6 RIPng protocol ( RFC 2080 )
- IPv4 Optimized Link State Routing (OLSR) (a MANET protocol defined in RFC 3626 )
- IPv4 Ad Hoc On Demand Distance Vector (AODV) (a MANET protocol defined in RFC 3561 )
- IPv4 Destination Sequenced Distance Vector (DSDV) (a MANET protocol)
- IPv4 Dynamic Source Routing (DSR) (a MANET protocol)

In the future, this architecture should also allow someone to implement a Linux-like implementation with routing

cache, or a Click modular router, but those are out of scope for now.

Ipv[4,6]ListRouting

This section describes the current default ns-3 Ipv[4,6]RoutingProtocol. Typically, multiple routing protocols are sup-

ported in user space and coordinate to write a single forwarding table in the kernel. Presently in ns-3, the implementa-

tion instead allows for multiple routing protocols to build/keep their own routing state, and the IP implementation will

query each one of these routing protocols (in some order determined by the simulation author) until a route is found.

We chose this approach because it may better facilitate the integration of disparate routing approaches that may be

difficult to coordinate the writing to a single table, approaches where more information than destination IP address

(e.g., source routing) is used to determine the next hop, and on-demand routing approaches where packets must be cached.

Ipv[4,6]ListRouting::AddRoutingProtocol

Classes Ipv4ListRouting and Ipv6ListRouting provides a pure virtual function declaration for the method that allows

```
voidAddRoutingProtocol(Ptr<Ipv4RoutingProtocol> routingProtocol,  
int16_t priority);
```

```
voidAddRoutingProtocol(Ptr<Ipv6RoutingProtocol> routingProtocol,
```

int16\_t priority);

These methods are implemented respectively by class Ipv4ListRoutingImpl and by class Ipv6ListRoutingImpl in the internet module.

The priority variable above governs the priority in which the routing protocols are inserted. Notice that it is a

signed int. By default in ns-3, the helper classes will instantiate a Ipv[4,6]ListRoutingImpl object, and add to it

an Ipv[4,6]StaticRoutingImpl object at priority zero. Internally, a list of Ipv[4,6]RoutingProtocols is stored, and

the routing protocols are each consulted in decreasing order of priority to see whether a match is found. Therefore,

if you want your Ipv4RoutingProtocol to have priority lower than the static routing, insert it with priority less than 0;

e.g.:

```
Ptr<MyRoutingProtocol> myRoutingProto = CreateObject<MyRoutingProtocol>();
```

```
listRoutingPtr->AddRoutingProtocol(myRoutingProto, -10);
```

Upon calls to RouteOutput() or RouteInput(), the list routing object will search the list of routing protocols, in priority

order, until a route is found. Such routing protocol will invoke the appropriate callback and no further routing protocols

will be searched.

### Global centralized routing

Global centralized routing is sometimes called “God” routing; it is a special implementation that walks the simulation

topology and runs a shortest path algorithm, and populates each node’s routing tables. No actual protocol overhead

(on the simulated links) is incurred with this approach. It does have a few constraints:

- Wired only: It is not intended for use in wireless networks.
- Unicast only: It does not do multicast.
- Scalability: Some users of this on large topologies (e.g. 1000 nodes) have noticed that the current implementation is not very scalable. The global centralized routing will be modified in the future to reduce computations and runtime performance.

Presently, global centralized IPv4 unicast routing over both point-to-point and shared (CSMA) links is supported.

By default, when using the ns-3 helper API and the default InternetStackHelper, global routing capability will be added

to the node, and global routing will be inserted as a routing protocol with lower priority than the static routes (i.e.,

users can insert routes via Ipv4StaticRouting API and they will take precedence over routes found by global routing).

### Global Unicast Routing API

The public API is very minimal. User scripts include the following:

```
#include "ns3/internet-module.h"
```

If the default InternetStackHelper is used, then an instance of global routing will be aggregated to each node. After IP

addresses are configured, the following function call will cause all of the nodes that have an Ipv4 interface to receive

forwarding tables entered automatically by the GlobalRouteManager:

```
Ipv4GlobalRoutingHelper::PopulateRoutingTables();
```

Note: A reminder that the wifi NetDevice will work but does not take any wireless effects into account. For wireless, we recommend OLSR dynamic routing described below.

It is possible to call this function again in the midst of a simulation using the following additional public function:

```
Ipv4GlobalRoutingHelper::RecomputeRoutingTables();
```

which flushes the old tables, queries the nodes for new interface information, and rebuilds the routes.

For instance, this scheduling call will cause the tables to be rebuilt at time 5 seconds:

```
Simulator::Schedule(Seconds(5),  
&Ipv4GlobalRoutingHelper::RecomputeRoutingTables);
```

There are two attributes that govern the behavior. The first is

`Ipv4GlobalRouting::RandomEcmpRouting`. If set to true, packets are randomly routed across equal-cost multipath routes. If set to false (default), only one route is consistently

used. The second is `Ipv4GlobalRouting::RespondToInterfaceEvents`. If set to true, dynamically recompute the global

routes upon Interface notification events (up/down, or add/remove address). If set to false (default), routing may break

unless the user manually calls `RecomputeRoutingTables()` after such events. The default is set to false to preserve

legacy ns-3 program behavior.

#### Global Routing Implementation

This section is for those readers who care about how this is implemented. A singleton object (`GlobalRouteManager`)

is responsible for populating the static routes on each node, using the public Ipv4 API of that node. It queries each

node in the topology for a “globalRouter” interface. If found, it uses the API of that interface to obtain a “link

state advertisement (LSA)” for the router. Link State Advertisements are used in OSPF routing, and we follow their formatting.

It is important to note that all of these computations are done before packets are flowing in the network. In particular,

there are no overhead or control packets being exchanged when using this implementation. Instead, this global route

manager just walks the list of nodes to build the necessary information and configure each node's routing table.

The `GlobalRouteManager` populates a link state database with LSAs gathered from the entire topology. Then, for

each router in the topology, the `GlobalRouteManager` executes the OSPF shortest path first (SPF) computation on the

database, and populates the routing tables on each node.

The quagga (<http://www.quagga.net>) OSPF implementation was used as the basis for the routing computation logic.

ments for all common types of network links:

- point-to-point (serial links)
- point-to-multipoint (Frame Relay, ad hoc wireless)
- non-broadcast multiple access (ATM)
- broadcast (Ethernet)

Therefore, we think that enabling these other link types will be more straightforward now that the underlying OSPF

SPF framework is in place.

Presently, we can handle IPv4 point-to-point, numbered links, as well as shared broadcast (CSMA) links. Equal-cost

multipath is also supported. Although wireless link types are supported by the implementation, note that due to the

nature of this implementation, any channel effects will not be considered and the routing tables will assume that every

node on the same shared channel is reachable from every other node (i.e. it will be treated like a broadcast CSMA

link).

The GlobalRouteManager first walks the list of nodes and aggregates a GlobalRouter interface to each one as follows:

```
typedef std::vector<Ptr<Node>>::iterator Iterator;
for(Iterator i = NodeList::Begin(); i != NodeList::End(); i++)
{
    Ptr<Node> node = *i;
    Ptr<GlobalRouter> globalRouter = CreateObject<GlobalRouter>(node);
    node->AggregateObject(globalRouter);
}
```

This interface is later queried and used to generate a Link State Advertisement for each router, and this link state

database is fed into the OSPF shortest path computation logic. The Ipv4 API is finally used to populate the routes

themselves.

RIP and RIPng

The RIPv2 protocol for IPv4 is described in the RFC 2453 , and it consolidates a number of improvements over the

base protocol defined in RFC 1058 .

This IPv6 routing protocol ( RFC 2080 ) is the evolution of the well-known RIPv1 (see RFC 1058 and RFC 1723 )

routing protocol for IPv4.

The protocols are very simple, and are normally suitable for flat, simple network topologies.

RIPv1, RIPv2, and RIPng have the very same goals and limitations. In particular, RIP considers any route with a

metric equal or greater than 16 as unreachable. As a consequence, the maximum number of hops is the network must

be less than 15 (the number of routers is not set). Users are encouraged to read RFC 2080 and RFC 1058 to fully

understand RIP behaviour and limitations.

Routing convergence

RIP uses a Distance-Vector algorithm, and routes are updated according to the Bellman-Ford algorithm (sometimes

known as Ford-Fulkerson algorithm). The algorithm has a convergence time of  $O(|V|*|E|)$  where  $|V|$  and  $|E|$  are the

number of vertices (routers) and edges (links) respectively. It should be stressed that the convergence time is the

number of steps in the algorithm, and each step is triggered by a message. Since Triggered Updates (i.e., when a route

is changed) have a 1-5 seconds cooldown, the topology can require some time to be stabilized.

Users should be aware that, during routing tables construction, the routers might drop packets. Data traffic should be sent only after a time long enough to allow RIP to build the network topology. Usually 80 seconds should be enough to have a suboptimal (but working) routing setup. This includes the time needed to propagate the routes to the most distant router (16 hops) with Triggered Updates.

If the network topology is changed (e.g., a link is broken), the recovery time might be quite high, and it might be even higher than the initial setup time. Moreover, the network topology recovery is affected by the Split Horizing strategy.

The examples [examples/routing/ripng-simple-network.cc](#) and [examples/routing/rip-simple-network.cc](#) shows both the network setup and network recovery phases.

Split Horizing

Split Horizon is a strategy to prevent routing instability. Three options are possible:

- No Split Horizon
- Split Horizon
- Poison Reverse

In the first case, routes are advertised on all the router's interfaces. In the second case, routers will not advertise a route on the interface from which it was learned. Poison Reverse will advertise the route on the interface from which it was learned, but with a metric of 16 (infinity). For a full analysis of the three techniques, see RFC 1058 , section 2.2.

The examples are based on the network topology described in the RFC, but it does not show the effect described there.

The reason are the Triggered Updates, together with the fact that when a router invalidates a route, it will immediately

propagate the route unreachability, thus preventing most of the issues described in the RFC.

However, with complex topologies, it is still possible to have route instability phenomena similar to the one described

in the RFC after a link failure. As a consequence, all the considerations about Split Horizon remains valid.

Default routes

RIP protocol should be installed only on routers. As a consequence, nodes will not know what is the default router.

To overcome this limitation, users should either install the default route manually (e.g., by resorting to

`Ipv4StaticRouting` or `Ipv6StaticRouting`), or by using RADVD (in case of IPv6). RADVD is available in ns-3 in

the Applications module, and it is strongly suggested.

Protocol parameters and options

The RIP ns-3 implementations allow to change all the timers associated with route updates and routes lifetime.

Moreover, users can change the interface metrics on a per-node basis.

The type of Split Horizing (to avoid routes back-propagation) can be selected on a per-node basis, with the choices

being “no split horizon”, “split horizon” and “poison reverse”. See RFC 2080 for further details, and RFC 1058 for a

complete discussion on the split horizing strategies.

Moreover, it is possible to use a non-standard value for Link Down Value (i.e., the value after which a link is considered down). The default value is 16.

#### Limitations

There is no support for the Next Hop option ( RFC 2080 , Section 2.1.1). The Next Hop option is useful when RIP is not being run on all of the routers on a network. Support for this option may be considered in the future.

There is no support for CIDR prefix aggregation. As a result, both routing tables and route advertisements may be larger than necessary. Prefix aggregation may be added in the future.

#### Other routing protocols

Other routing protocols documentation can be found under the respective modules sections, e.g.:

- Click
- NixVectorRouting
- etc.

The following function is used to add a static multicast route to a node:

```
void  
Ipv4StaticRouting::AddMulticastRoute(Ipv4Address origin,  
Ipv4Address group,  
uint32_t inputInterface,  
std::vector< uint32_t > outputInterfaces);
```

A multicast route must specify an origin IP address, a multicast group and an input network interface index as conditions and provide a vector of output network interface indices over which packets matching the conditions are sent.

Typically there are two main types of multicast routes:

- Routes used during forwarding, and
- Routes used in the originator node.

In the first case all the conditions must be explicitly provided.

In the second case, the route is equivalent to a unicast route, and must be added through `Ipv4StaticRouting::AddHostRouteTo` .

Another command sets the default multicast route:

```
void  
Ipv4StaticRouting::SetDefaultMulticastRoute( uint32_t outputInterface);
```

This is the multicast equivalent of the unicast version `SetDefaultRoute`. We tell the routing system what to do in the

case where a specific route to a destination multicast group is not found. The system forwards packets out the specified

interface in the hope that “something out there” knows better how to route the packet. This method is only used in

initially sending packets off of a host. The default multicast route is not consulted during forwarding – exact routes

must be specified using `AddMulticastRoute` for that case.

Since we’re basically sending packets to some entity we think may know better what to do, we don’t pay attention

to “subtleties” like origin address, nor do we worry about forwarding out multiple interfaces. If the default multicast

route is set, it is returned as the selected route from `LookupStatic` irrespective of origin or

multicast group if another

specific route is not found.



Finally, a number of additional functions are provided to fetch and remove multicast routes:

```
uint32_t GetNMulticastRoutes() const;
```

```
Ipv4MulticastRoute *GetMulticastRoute( uint32_t i) const;
```

```
Ipv4MulticastRoute *GetDefaultMulticastRoute() const;
```

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```
bool RemoveMulticastRoute(Ipv4Address origin,
```

```
Ipv4Address group,
```

```
uint32_t inputInterface);
```

```
void RemoveMulticastRoute( uint32_t index);
```

ns-3 was written to support multiple TCP implementations. The implementations inherit from a few common header

classes in the src/network directory, so that user code can swap out implementations with minimal changes to the

scripts.

There are three important abstract base classes:

- classTcpSocket : This is defined in src/internet/model/tcp-socket.{cc,h} . This class exists for hosting TcpSocket attributes that can be reused across different implementations. For instance, the attribute

InitialCwnd can be used for any of the implementations that derive from class TcpSocket .

- classTcpSocketFactory : This is used by the layer-4 protocol instance to create TCP sockets of the right type.

- classTcpCongestionOps : This supports different variants of congestion control– a key topic of simulation-based TCP research.

There are presently two active implementations of TCP available for ns-3.

- a natively implemented TCP for ns-3

- support for kernel implementations via Direct Code Execution (DCE)

Direct Code Execution is limited in its support for newer kernels; at present, only Linux kernel 4.4 is supported.

However, the TCP implementations in kernel 4.4 can still be used for ns-3 validation or for specialized simulation use cases.

It should also be mentioned that various ways of combining virtual machines with ns-3 makes available also some

## 16.5.2 ns-3 TCP

In brief, the native ns-3 TCP model supports a full bidirectional TCP with connection setup and close logic. Several

congestion control algorithms are supported, with CUBIC the default, and NewReno, Westwood, Hybla, HighSpeed,

Vegas, Scalable, Veno, Binary Increase Congestion Control (BIC), Yet Another HighSpeed TCP (YeAH), Illinois, H-

TCP, Low Extra Delay Background Transport (LEDBAT), TCP Low Priority (TCP-LP), Data Center TCP (DCTCP)

and Bottleneck Bandwidth and RTT (BBR) also supported. The model also supports Selective Acknowledgements

(SACK), Proportional Rate Reduction (PRR) and Explicit Congestion Notification (ECN). Multipath-TCP is not yet

supported in the ns-3 releases.

## Model history

Until the ns-3.10 release, ns-3 contained a port of the TCP model from GTNetS, developed initially

by George Riley

and ported to ns-3 by Raj Bhattacharjea. This implementation was substantially rewritten by Adriam Tam for ns-

3.10. In 2015, the TCP module was redesigned in order to create a better environment for creating and carrying out

automated tests. One of the main changes involves congestion control algorithms, and how they are implemented.

Before the ns-3.25 release, a congestion control was considered as a stand-alone TCP through an inheritance rela-

tion: each congestion control (e.g. TcpNewReno) was a subclass of TcpSocketBase, reimplementing some inherited

methods. The architecture was redone to avoid this inheritance, by making each congestion control a separate class,

and defining an interface to exchange important data between TcpSocketBase and the congestion modules. The Linux

tcp\_congestion\_ops interface was used as the design reference.

Along with congestion control, Fast Retransmit and Fast Recovery algorithms have been modified; in previous re-

leases, these algorithms were delegated to TcpSocketBase subclasses. Starting from ns-3.25, they have been merged

inside TcpSocketBase. In future releases, they can be extracted as separate modules, following the congestion control

design.

segment). This aligns with current Linux default, and is discussed further in RFC 6928 .

In the ns-3.32 release, the default recovery algorithm was set to Proportional Rate Reduction (PRR) from the classic

ack-clocked Fast Recovery algorithm.

In the ns-3.34 release, the default congestion control algorithm was set to CUBIC from NewReno.

Acknowledgments

As mentioned above, ns-3 TCP has had multiple authors and maintainers over the years. Several publications exist on

aspects of ns-3 TCP, and users of ns-3 TCP are requested to cite one of the applicable papers when publishing new

work.

A general reference on the current architecture is found in the following paper:

- Maurizio Casoni, Natale Patriciello, Next-generation TCP for ns-3 simulator, Simulation Modelling Practice and Theory, Volume 66, 2016, Pages 81-93.

(<http://www.sciencedirect.com/science/article/pii/>

For an academic peer-reviewed paper on the SACK implementation in ns-3, please refer to:

- Natale Patriciello. 2017. A SACK-based Conservative Loss Recovery Algorithm for ns-3 TCP: a Linux-inspired

Proposal. In Proceedings of the Workshop on ns-3 (WNS3 '17). ACM, New York, NY , USA, 1-8. (<https://dl.acm.org/citation.cfm?id=3067666>)

Usage

In many cases, usage of TCP is set at the application layer by telling the ns-3 application which kind of socket factory

to use.

Using the helper functions defined in src/applications/helper and src/network/helper , here is how one

would create a TCP receiver:

```
// Create a packet sink on the star "hub" to receive these packets
```

```

uint16_t port = 50000;
Address sinkLocalAddress(InetSocketAddress(Ipv4Address::GetAny(), port));
PacketSinkHelper sinkHelper("ns3::TcpSocketFactory", sinkLocalAddress);
ApplicationContainer sinkApp = sinkHelper.Install(serverNode);
(continues on next page)
(continued from previous page)
sinkApp.Start(Seconds(1.0));
sinkApp.Stop(Seconds(10.0));

```

Similarly, the below snippet configures OnOffApplication traffic source to use TCP:

```

// Create the OnOff applications to send TCP to the server
OnOffHelper clientHelper("ns3::TcpSocketFactory", Address());

```

The careful reader will note above that we have specified the TypeId of an abstract base class TcpSocketFactory .

How does the script tell ns-3 that it wants the native ns-3 TCP vs. some other one? Well, when internet stacks are

added to the node, the default TCP implementation that is aggregated to the node is the ns-3 TCP.

So, by default, when

using the ns-3 helper API, the TCP that is aggregated to nodes with an Internet stack is the native ns-3 TCP.

To configure behavior of TCP, a number of parameters are exported through the ns-3 attribute system. These are

documented in the Doxygen for class TcpSocket . For example, the maximum segment size is a settable attribute.

To set the default socket type before any internet stack-related objects are created, one may put the following statement

at the top of the simulation program:

```

Config::SetDefault("ns3::TcpL4Protocol::SocketType", StringValue("ns3::TcpNewReno"));

```

For users who wish to have a pointer to the actual socket (so that socket operations like Bind(), setting socket options,

etc. can be done on a per-socket basis), Tcp sockets can be created by using the Socket::CreateSocket() method.

The TypeId passed to CreateSocket() must be of type ns3::SocketFactory , so configuring the underlying socket

type must be done by twiddling the attribute associated with the underlying TcpL4Protocol object.

The easiest way to

get at this would be through the attribute configuration system. In the below example, the Node container “n0n1” is

accessed to get the zeroth element, and a socket is created on this node:

```

// Create and bind the socket...

```

```

TypeId tid = TypeId::LookupByName("ns3::TcpNewReno");

```

```

Config::Set("/NodeList/ */$ns3::TcpL4Protocol/SocketType", TypeIdValue(tid));

```

```

Ptr<Socket> localSocket =

```

```

Socket::CreateSocket(n0n1.Get(0), TcpSocketFactory::GetTypeId());

```

Above, the “\*” wild card for node number is passed to the attribute configuration system, so that all future sockets on

all nodes are set to NewReno, not just on node ‘n0n1.Get (0)’. If one wants to limit it to just the specified node, one

would have to do something like:

```

// Create and bind the socket...

```

```

TypeId tid = TypeId::LookupByName("ns3::TcpNewReno");

```

```

std::stringstream nodeId;

```

```
nodeId << n0n1.Get(0)->GetId();
```

```
std::string specificNode = "/NodeList/" + nodeId.str() + "/"$ns3::TcpL4Protocol/  
,!SocketType";
```

```
Config::Set(specificNode, TypeIdValue(tid));
```

```
Ptr<Socket> localSocket =
```

```
Socket::CreateSocket(n0n1.Get(0), TcpSocketFactory::GetTypeId());
```

Once a TCP socket is created, one will want to follow conventional socket logic and either connect() and send() (for a

TCP client) or bind(), listen(), and accept() (for a TCP server). Please note that applications usually create the sockets

they use automatically, and so is not straightforward to connect directly to them using pointers.

Please refer to the

source code of your preferred application to discover how and when it creates the socket.

TCP Socket interaction and interface with Application layer

In the following there is an analysis on the public interface of the TCP socket, and how it can be used to interact

with the socket itself. An analysis of the callback fired by the socket is also carried out. Please note that, for the

sake of clarity, we will use the terminology “Sender” and “Receiver” to clearly divide the functionality of the socket.

However, in TCP these two roles can be applied at the same time (i.e. a socket could be a sender and a receiver at the

same time): our distinction does not lose generality, since the following definition can be applied to both sockets in

case of full-duplex mode.

TCP state machine (for commodity use)

In ns-3 we are fully compliant with the state machine depicted in Figure TCP State machine .

Public interface for receivers (e.g. servers receiving data)

Bind() Bind the socket to an address, or to a general endpoint. A general endpoint is an endpoint with an ephemeral

port allocation (that is, a random port allocation) on the 0.0.0.0 IP address. For instance, in current applications,

data senders usually binds automatically after a Connect() over a random port. Consequently, the connection

will start from this random port towards the well-defined port of the receiver. The IP 0.0.0.0 is then translated

by lower layers into the real IP of the device.

Bind6() Same as Bind() , but for IPv6.

BindToNetDevice() Bind the socket to the specified NetDevice, creating a general endpoint.

Listen() Listen on the endpoint for an incoming connection. Please note that this function can be called only in the

TCP CLOSED state, and transit in the LISTEN state. When an incoming request for connection is detected

(i.e. the other peer invoked Connect() ) the application will be signaled with the callback

NotifyConnectionRe-

quest (set in SetAcceptCallback() beforehand). If the connection is accepted (the default behavior, when the

associated callback is a null one) the Socket will fork itself, i.e. a new socket is created to handle the incoming

data/connection, in the state SYN\_RCVD. Please note that this newly created socket is not connected anymore

to the callbacks on the “father” socket (e.g. DataSent, Recv); the pointer of the newly created socket is provided

in the Callback NotifyNewConnectionCreated (set beforehand in SetAcceptCallback ), and should be used to

connect new callbacks to interesting events (e.g. Recv callback). After receiving the ACK of the SYN-ACK,

the socket will set the congestion control, move into ESTABLISHED state, and then notify the application with

NotifyNewConnectionCreated .

ShutdownSend() Signal a termination of send, or in other words prevents data from being added to the buffer. After

this call, if buffer is already empty, the socket will send a FIN, otherwise FIN will go when buffer empties.

Please note that this is useful only for modeling “Sink” applications. If you have data to transmit, please refer

to the Send() /Close() combination of API.

GetRxAvailable() Get the amount of data that could be returned by the Socket in one or multiple call to Recv or

RecvFrom. Please use the Attribute system to configure the maximum available space on the receiver buffer

(property “RcvBufSize”).

Recv() Grab data from the TCP socket. Please remember that TCP is a stream socket, and it is allowed to concatenate

multiple packets into bigger ones. If no data is present (i.e. GetRxAvailable returns 0) an empty packet is re-

turned. Set the callback RecvCallback through SetRecvCallback() in order to have the application automatically

notified when some data is ready to be read. It’s important to connect that callback to the newly created socket

in case of forks.

RecvFrom() Same as Recv, but with the source address as parameter.

Public interface for senders (e.g. clients uploading data)

Connect() Set the remote endpoint, and try to connect to it. The local endpoint should be set before this call, or

otherwise an ephemeral one will be created. The TCP then will be in the SYN\_SENT state. If a SYN-ACK is

received, the TCP will setup the congestion control, and then call the callback ConnectionSucceeded

.

GetTxAvailable() Return the amount of data that can be stored in the TCP Tx buffer. Set this property through the

Attribute system (“SndBufSize”).

Send() Send the data into the TCP Tx buffer. From there, the TCP rules will decide if, and when, this data will be

transmitted. Please note that, if the tx buffer has enough data to fill the congestion (or the receiver) window,

dynamically varying the rate at which data is injected in the TCP buffer does not have any noticeable effect on

the amount of data transmitted on the wire, that will continue to be decided by the TCP rules.

SendTo() Same as Send() .

Close() Terminate the local side of the connection, by sending a FIN (after all data in the tx buffer has been trans-

mitted). This does not prevent the socket in receiving data, and employing retransmit mechanism if losses are detected. If the application calls Close() with unread data in its rx buffer, the socket will send a reset. If the socket is in the state SYN\_SENT, CLOSING, LISTEN, FIN\_WAIT\_2, or LAST\_ACK, after that call the application will be notified with NotifyNormalClose(). In other cases, the notification is delayed (see NotifyNormalClose()).

#### Public callbacks

These callbacks are called by the TCP socket to notify the application of interesting events. We will refer to these with the protected name used in socket.h, but we will provide the API function to set the pointers to these callback as well.

NotifyConnectionSucceeded :SetConnectCallback , 1st argument Called in the SYN\_SENT state, before moving to ESTABLISHED. In other words, we have sent the SYN, and we received the SYN-ACK: the socket prepares the sequence numbers, sends the ACK for the SYN-ACK, tries to send out more data (in another segment) and then invokes this callback. After this callback, it invokes the NotifySend callback.

NotifyConnectionFailed :SetConnectCallback , 2nd argument Called after the SYN retransmission count goes to 0.

SYN packet is lost multiple times, and the socket gives up.

NotifyNormalClose :SetCloseCallbacks , 1st argument A normal close is invoked. A rare case is when we receive

an RST segment (or a segment with bad flags) in normal states. All other cases are: - The application tries to

Connect() over an already connected socket - Received an ACK for the FIN sent, with or without the FIN bit

set (we are in LAST\_ACK) - The socket reaches the maximum amount of retries in retransmitting the SYN

(\*) - We receive a timeout in the LAST\_ACK state - Upon entering the TIME\_WAIT state, before waiting the

2\*Maximum Segment Lifetime seconds to finally deallocate the socket.

NotifyErrorClose :SetCloseCallbacks , 2nd argument Invoked when we send an RST segment (for whatever reason)

or we reached the maximum amount of data retries.

NotifyConnectionRequest :SetAcceptCallback , 1st argument Invoked in the LISTEN state, when we receive a SYN.

The return value indicates if the socket should accept the connection (return true) or should ignore it (return false).

NotifyNewConnectionCreated :SetAcceptCallback , 2nd argument Invoked when from SYN\_RCVD the socket passes to ESTABLISHED, and after setting up the congestion control, the sequence numbers, and process-

ing the incoming ACK. If there is some space in the buffer, NotifySend is called shortly after this callback. The

Socket pointer, passed with this callback, is the newly created socket, after a Fork().

NotifyDataSent :SetDataSentCallback The Socket notifies the application that some bytes have been transmitted on

the IP level. These bytes could still be lost in the node (traffic control layer) or in the network.

NotifySend :SetSendCallback Invoked if there is some space in the tx buffer when entering the ESTABLISHED state

(e.g. after the ACK for SYN-ACK is received), after the connection succeeds (e.g. after the SYN-ACK is

received) and after each new ACK (i.e. that advances SND.UNA).

NotifyDataRecv :SetRecvCallback Called when in the receiver buffer there are in-order bytes, and when in

FIN\_WAIT\_1 or FIN\_WAIT\_2 the socket receive a in-sequence FIN (that can carry data).

Congestion Control Algorithms

Here follows a list of supported TCP congestion control algorithms. For an academic paper on many of these conges-

tion control algorithms, see <http://dl.acm.org/citation.cfm?id=2756518> .

NewReno

NewReno algorithm introduces partial ACKs inside the well-established Reno algorithm. This and other modifications

are described in RFC 6582. We have two possible congestion window increment strategy: slow start and congestion

avoidance. Taken from RFC 5681:

During slow start, a TCP increments cwnd by at most SMSS bytes for each ACK received that cumulatively acknowledges new data. Slow start ends when cwnd exceeds ssthresh (or, optionally, when it reaches it, as noted above) or when congestion is observed. While traditionally TCP implementations have increased cwnd by precisely SMSS bytes upon receipt of an ACK covering new data, we RECOMMEND that TCP implementations increase cwnd, per Equation (16.1), where N is the number of previously unacknowledged bytes acknowledged in the incoming ACK.

$$cwnd += \min(N; SMSS) \quad (16.1)$$

During congestion avoidance, cwnd is incremented by roughly 1 full-sized segment per round-trip time (RTT), and for

each congestion event, the slow start threshold is halved.

CUBIC (class TcpCubic ) is the default TCP congestion control in Linux, macOS (since 2014), and Microsoft Win-

dows (since 2017). CUBIC has two main differences with respect to a more classic TCP congestion control such as

NewReno. First, during the congestion avoidance phase, the window size grows according to a cubic function (con-

cave, then convex) with the latter convex portion designed to allow for bandwidth probing. Second, a hybrid slow start

(HyStart) algorithm uses observations of delay increases in the slow start phase of window growth to try to exit slow

start before window growth causes queue overflow.

CUBIC is documented in RFC 8312 , and the ns-3 implementation is based on the RFC more so than the Linux

implementation, although the Linux 4.4 kernel implementation (through the Direct Code Execution environment) has

been used to validate the behavior and is fairly well aligned (see below section on validation).

Linux Reno

TCP Linux Reno (class TcpLinuxReno ) is designed to provide a Linux-like implementation of TCP NewReno. The

implementation of class TcpNewReno in ns-3 follows RFC standards, and increases cwnd more conservatively than

does Linux Reno. Linux Reno modifies slow start and congestion avoidance algorithms to increase cwnd based on the

number of bytes being acknowledged by each arriving ACK, rather than by the number of ACKs that arrive. Another

major difference in implementation is that Linux maintains the congestion window in units of segments, while the

RFCs define the congestion window in units of bytes.

In slow start phase, on each incoming ACK at the TCP sender side cwnd is increased by the number of previously unacknowledged bytes ACKed by the incoming acknowledgment. In contrast, in ns-3 NewReno, cwnd is increased

by one segment per acknowledgment. In standards terminology, this difference is referred to as Appropriate Byte

Counting (RFC 3465); Linux follows Appropriate Byte Counting while ns-3 NewReno does not.

$cwnd += segAcked \cdot segmentSize$  (16.2)

$cwnd += segmentSize$  (16.3)

In congestion avoidance phase, the number of bytes that have been ACKed at the TCP sender side are stored in a

'bytes\_acked' variable in the TCP control block. When 'bytes\_acked' becomes greater than or equal to the value of

the cwnd, 'bytes\_acked' is reduced by the value of cwnd. Next, cwnd is incremented by a full-sized segment (SMSS).

In contrast, in ns-3 NewReno, cwnd is increased by  $(1/cwnd)$  with a rounding off due to type casting into int.

Listing 1: Linux Reno cwnd update

```
if(m_cWndCnt >= w)
{
    uint32_t delta = m_cWndCnt / w;
    (continues on next page)
    (continued from previous page)
    m_cWndCnt -= delta * w;
    tcb->m_cWnd += delta * tcb->m_segmentSize;
    NS_LOG_DEBUG("Subtracting delta * w from m_cWndCnt " << delta * w);
}
```

Listing 2: New Reno cwnd update

```
if(segmentsAked > 0)
{
    double adder = static_cast <double>(tcb->m_segmentSize * tcb->m_segmentSize) / tcb->
    ,!m_cWnd.Get();
    adder = std::max(1.0, adder);
    tcb->m_cWnd += static_cast <uint32_t >(adder);
    NS_LOG_INFO("In CongAvoid, updated to cwnd " << tcb->m_cWnd <<
    " ssthresh " << tcb->m_ssThresh);
}
```

So, there are two main difference between the TCP Linux Reno and TCP NewReno in ns-3: 1) In TCP Linux Reno,

delayed acknowledgement configuration does not affect congestion window growth, while in TCP NewReno, delayed

acknowledgments cause a slower congestion window growth. 2) In congestion avoidance phase, the arithmetic for

counting the number of segments acked and deciding when to increment the cwnd is different for TCP Linux Reno

and TCP NewReno.

Following graphs shows the behavior of window growth in TCP Linux Reno and TCP NewReno with delayed ac-



knowledge of 2 segments:

#### HighSpeed

TCP HighSpeed is designed for high-capacity channels or, in general, for TCP connections with large congestion windows. Conceptually, with respect to the standard TCP, HighSpeed makes the cWnd grow faster during the probing phases and accelerates the cWnd recovery from losses. This behavior is executed only when the window grows beyond a certain threshold, which allows TCP HighSpeed to be friendly with standard TCP in environments with heavy congestion, without introducing new dangers of congestion collapse.

Mathematically:

$$cW_{nd} = cW_{nd} + a(cW_{nd})$$

$$cW_{nd}(16.4)$$

The function  $a()$  is calculated using a fixed RTT the value 100 ms (the lookup table for this function is taken from RFC

3649). For each congestion event, the slow start threshold is decreased by a value that depends on the size of the slow

start threshold itself. Then, the congestion window is set to such value.

$$cW_{nd} = (1 - b(cW_{nd})) \cdot cW_{nd} \quad (16.5)$$

The lookup table for the function  $b()$  is taken from the same RFC. More information at:

[http://dl.acm.org/citation.cfm?](http://dl.acm.org/citation.cfm?id=2756518)

[id=2756518](http://dl.acm.org/citation.cfm?id=2756518)

#### Hybla

The key idea behind TCP Hybla is to obtain for long RTT connections the same instantaneous transmission rate of

a reference TCP connection with lower RTT. With analytical steps, it is shown that this goal can be achieved by

modifying the time scale, in order for the throughput to be independent from the RTT. This independence is obtained

through the use of a coefficient  $\rho$ .

This coefficient is used to calculate both the slow start threshold and the congestion window when in slow start and in

congestion avoidance, respectively.

More information at: <http://dl.acm.org/citation.cfm?id=2756518>

#### Westwood

Westwood and Westwood+ employ the AIAD (Additive Increase/Adaptive Decrease) congestion control paradigm.

When a congestion episode happens, instead of halving the cwnd, these protocols try to estimate the network's band-

width and use the estimated value to adjust the cwnd. While Westwood performs the bandwidth sampling every ACK

reception, Westwood+ samples the bandwidth every RTT.

The TCP Westwood model has been removed in ns-3.38 due to bugs that are impossible to fix without modifying

the original Westwood model as presented in the published papers. For further info refer to

<https://gitlab.com/nsnam/>

[ns-3-dev/-/issues/579](https://gitlab.com/nsnam/ns-3-dev/-/issues/579)

The Westwood+ model does not have such issues, and is still available.

WARNING: this TCP model lacks validation and regression tests; use with caution.

More information at: <http://dl.acm.org/citation.cfm?id=381704> and

<http://dl.acm.org/citation.cfm?id=2512757>

## Vegas

TCP Vegas is a pure delay-based congestion control algorithm implementing a proactive scheme that tries to prevent packet drops by maintaining a small backlog at the bottleneck queue. Vegas continuously samples the RTT and com-

putes the actual throughput a connection achieves using Equation (16.6) and compares it with the expected throughput

calculated in Equation (16.7). The difference between these 2 sending rates in Equation (16.8) reflects the amount of

extra packets being queued at the bottleneck.

actual =  $cW_{nd}$

expected =  $cW_{nd}$

BaseRTT (16.7)

diff = expected - actual (16.8)

To avoid congestion, Vegas linearly increases/decreases its congestion window to ensure the diff value falls between

the two predefined thresholds, alpha and beta. diff and another threshold, gamma, are used to determine when Vegas

should change from its slow-start mode to linear increase/decrease mode. Following the implementation of Vegas in

Linux, we use 2, 4, and 1 as the default values of alpha, beta, and gamma, respectively, but they can be modified

through the Attribute system.

More information at: <http://dx.doi.org/10.1109/49.464716>

## Scalable

Scalable improves TCP performance to better utilize the available bandwidth of a highspeed wide area network by

altering NewReno congestion window adjustment algorithm. When congestion has not been detected, for each ACK

received in an RTT, Scalable increases its cwnd per:

$cwnd = cwnd + 0.01$  (16.9)

Following Linux implementation of Scalable, we use 50 instead of 100 to account for delayed ACK.

On the first detection of congestion in a given RTT, cwnd is reduced based on the following equation:

$cwnd = cwnd \cdot \text{ceil}(0.125 \cdot cwnd)$  (16.10)

More information at: <http://dl.acm.org/citation.cfm?id=956989>

## Veno

TCP Veno enhances Reno algorithm for more effectively dealing with random packet loss in wireless access networks

by employing Vegas's method in estimating the backlog at the bottleneck queue to distinguish between congestive and non-congestive states.

The backlog (the number of packets accumulated at the bottleneck queue) is calculated using Equation (16.11):

$N = \text{Actual} \cdot (RTT - \text{BaseRTT})$

$= \text{Diff} \cdot \text{BaseRTT}$  (16.11)

where:

$\text{Diff} = \text{Expected} - \text{Actual}$

$= cW_{nd}$

$\text{BaseRTT} = cW_{nd}$

Veno makes decision on cwnd modification based on the calculated  $N$  and its predefined threshold  $\beta$ .

Specifically, it refines the additive increase algorithm of Reno so that the connection can stay longer in the stable

state by incrementing cwnd by  $1/\text{cwnd}$  for every other new ACK received after the available bandwidth has been fully

utilized, i.e. when  $N$  exceeds  $\beta$ . Otherwise, Veno increases its cwnd by  $1/\text{cwnd}$  upon every new ACK receipt as in

Reno.

In the multiplicative decrease algorithm, when Veno is in the non-congestive state, i.e. when  $N$  is less than  $\beta$ ,

Veno decrements its cwnd by only  $1/5$  because the loss encountered is more likely a corruption-based loss than a

congestion-based. Only when  $N$  is greater than  $\beta$ , Veno halves its sending rate as in Reno.

More information at: <http://dx.doi.org/10.1109/JSAC.2002.807336>

BIC (class TcpBic) is a predecessor of TCP CUBIC. In TCP BIC the congestion control problem is viewed as a search

problem. Taking as a starting point the current window value and as a target point the last maximum window value

(i.e. the cWnd value just before the loss event) a binary search technique can be used to update the cWnd value at the

midpoint between the two, directly or using an additive increase strategy if the distance from the current window is

too large.

This way, assuming a no-loss period, the congestion window logarithmically approaches the maximum value of cWnd

until the difference between it and cWnd falls below a preset threshold. After reaching such a value (or the maximum

window is unknown, i.e. the binary search does not start at all) the algorithm switches to probing the new maximum

window with a 'slow start' strategy.

If a loss occur in either these phases, the current window (before the loss) can be treated as the new maximum, and the

reduced (with a multiplicative decrease factor  $\beta$ ) window size can be used as the new minimum.

More information at: <http://ieeexplore.ieee.org/xpl/articleDetails.jsp?arnumber=1354672>

YeAH

YeAH-TCP (Yet Another HighSpeed TCP) is a heuristic designed to balance various requirements of a state-of-the-art

congestion control algorithm:

1. fully exploit the link capacity of high BDP networks while inducing a small number of congestion events
2. compete friendly with Reno flows
3. achieve intra and RTT fairness
4. robust to random losses
5. achieve high performance regardless of buffer size

YeAH operates between 2 modes: Fast and Slow mode. In the Fast mode when the queue occupancy is small and the

network congestion level is low, YeAH increments its congestion window according to the aggressive HSTCP rule.

When the number of packets in the queue grows beyond a threshold and the network congestion level is high, YeAH

enters its Slow mode, acting as Reno with a decongestion algorithm. YeAH employs Vegas' mechanism for calculating the backlog as in Equation (16.13). The estimation of the network congestion level is shown in Equation (16.14).

$$Q = (RTT - \text{BaseRTT}) \cdot cWnd$$

$$L = RTT - \text{BaseRTT}$$

$$\text{BaseRTT} \quad (16.14)$$

To ensure TCP friendliness, YeAH also implements an algorithm to detect the presence of legacy Reno flows. Upon

the receipt of 3 duplicate ACKs, YeAH decreases its slow start threshold according to Equation (16.15) if it's not

competing with Reno flows. Otherwise, the ssthresh is halved as in Reno:

$$\text{ssthresh} = \min(\max(cWnd$$

$$8; Q); cWnd$$

$$2) \quad (16.15)$$

More information: [http://www.csc.lsu.edu/~sjpark/cs7601/4-YeAH\\_TCP.pdf](http://www.csc.lsu.edu/~sjpark/cs7601/4-YeAH_TCP.pdf)

Illinois

TCP Illinois is a hybrid congestion control algorithm designed for high-speed networks. Illinois implements a

Concave-AIMD (or C-AIMD) algorithm that uses packet loss as the primary congestion signal to determine the direc-

tion of window update and queueing delay as the secondary congestion signal to determine the amount of change.

The additive increase and multiplicative decrease factors (denoted as alpha and beta, respectively) are functions of the

current average queueing delay  $d_a$  as shown in Equations (16.16) and (16.17). To improve the protocol robustness

against sudden fluctuations in its delay sampling, Illinois allows the increment of alpha to

alphaMax only if  $d_a$  stays

below  $d_1$  for a some ( $\theta$ ) amount of time.

$$\alpha = ($$

$$\alpha_{\text{Max}} \text{ if } d_a \leq d_1$$

$$k_1 = (k_2 + d_a) \text{ otherwise} \quad (16.16)$$

$$\beta = 8$$

$$> <$$

$$> : \beta_{\text{Min}} \text{ if } d_a \leq d_2$$

$$\beta_{\text{Max}} \text{ otherwise} \quad (16.17)$$

where the calculations of  $k_1$ ,  $k_2$ ,  $k_3$ , and  $k_4$  are shown in the following:

$$k_1 = (d_m - d_1) \cdot \alpha_{\text{Min}} \cdot \alpha_{\text{Max}}$$

$$\alpha_{\text{Max}} \cdot \alpha_{\text{Min}} \quad (16.18)$$

$$k_2 = (d_m - d_1) \cdot \alpha_{\text{Min}}$$

$$\alpha_{\text{Max}} \cdot \alpha_{\text{Min}} \cdot d_1 \quad (16.19)$$

$$k_3 = \alpha_{\text{Min}} \cdot d_3 \cdot \alpha_{\text{Max}} \cdot d_2$$

$$d_3 \cdot d_2 \quad (16.20)$$

$$k_4 = \alpha_{\text{Max}} \cdot \alpha_{\text{Min}}$$

$$d_3 \cdot d_2 \quad (16.21)$$

Other parameters include  $d_a$  (the current average queueing delay), and  $T_a$  (the average RTT, calculated as  $\text{sumRtt} /$

$\text{cntRtt}$  in the implementation) and  $T_{\text{min}}$  ( $\text{baseRtt}$  in the implementation) which is the minimum RTT ever seen.  $d_m$  is

the maximum (average) queueing delay, and  $T_{\text{max}}$  ( $\text{maxRtt}$  in the implementation) is the maximum RTT

ever seen.

$\alpha = \alpha_{\min} + (\alpha_{\max} - \alpha_{\min}) \cdot \frac{cwnd - winThresh}{T_{max} - T_{min}}$  (16.22)

$\beta = \beta_{\min} + (\beta_{\max} - \beta_{\min}) \cdot \frac{cwnd - winThresh}{T_{max} - T_{min}}$  (16.23)

$\eta = \eta_1 + (\eta_2 - \eta_1) \cdot \frac{cwnd - winThresh}{T_{max} - T_{min}}$  (16.24)

Illinois only executes its adaptation of alpha and beta when cwnd exceeds a threshold called winThresh. Otherwise, it sets alpha and beta to the base values of 1 and 0.5, respectively.

Following the implementation of Illinois in the Linux kernel, we use the following default parameter settings:

- alphaMin = 0.3 (0.1 in the Illinois paper)
- alphaMax = 10.0
- betaMin = 0.125
- betaMax = 0.5
- winThresh = 15 (10 in the Illinois paper)
- eta1 = 0.01
- eta2 = 0.1
- eta3 = 0.8

More information: <http://www.doi.org/10.1145/1190095.1190166>

H-TCP has been designed for high BDP (Bandwidth-Delay Product) paths. It is a dual mode protocol. In normal

conditions, it works like traditional TCP with the same rate of increment and decrement for the congestion window.

However, in high BDP networks, when it finds no congestion on the path after delta seconds, it increases the

window size based on the alpha function in the following:

$\alpha(\delta) = 1 + 10(\delta - \delta_{\text{th}}) + 0.5(\delta - \delta_{\text{th}})^2$  (16.25)

where  $\delta_{\text{th}}$  is a threshold in seconds for switching between the modes and  $\delta$  is the elapsed time from the last

congestion. During congestion, it reduces the window size by multiplying by beta function provided in the reference

paper. The calculated throughput between the last two consecutive congestion events is considered for beta calculation.

The transport TcpHtcp can be selected in the program examples/tcp/tcp-variants-comparison.cc to perform an experiment with H-TCP, although it is useful to increase the bandwidth in this example (e.g. to 20 Mb/s) to

create a higher BDP link, such as

```
./ns3 run "tcp-variants-comparison --transport_prot=TcpHtcp --bandwidth=20Mbps --  
!,duration=10"
```

More information (paper): <http://www.hamilton.ie/net/htcp3.pdf>

More information (Internet Draft): <https://tools.ietf.org/html/draft-leith-tcp-htcp-06>

Low Extra Delay Background Transport (LEDBAT) is an experimental delay-based congestion control algorithm that

seeks to utilize the available bandwidth on an end-to-end path while limiting the consequent increase in queueing delay

on that path. LEDBAT uses changes in one-way delay measurements to limit congestion that the flow itself induces in

the network.

As a first approximation, the LEDBAT sender operates as shown below:

On receipt of an ACK:

$current\_delay = acknowledgement\_delay$

$based\_delay = \min(based\_delay, current\_delay)$

$\text{queuingdelay} = \text{currentdelay} - \text{basedelay}$

$\text{offtarget} = (\text{TARGET} - \text{queuingdelay}) / \text{TARGET}$

$\text{cWnd} += \text{GAIN} * \text{offtarget} * \text{bytesnewlyacked} * \text{MSS} / \text{cWnd}$

TARGET is the maximum queueing delay that LEDBAT itself may introduce in the network, and GAIN determines

the rate at which the cwnd responds to changes in queueing delay; offtarget is a normalized value representing

the difference between the measured current queueing delay and the predetermined TARGET delay.

offtarget can be

positive or negative; consequently, cwnd increases or decreases in proportion to offtarget.

Following the recommendation of RFC 6817, the default values of the parameters are:

To enable LEDBAT on all TCP sockets, the following configuration can be used:

```
Config::SetDefault("ns3::TcpL4Protocol::SocketType",  
,!TypeValue(TcpLedbat::GetTypeId()));
```

To enable LEDBAT on a chosen TCP socket, the following configuration can be used:

```
Config::Set("$ns3::NodeListPriv/NodeList/1/$ns3::TcpL4Protocol/SocketType",  
,!TypeValue(TcpLedbat::GetTypeId()));
```

The following unit tests have been written to validate the implementation of LEDBAT:

- LEDBAT should operate same as NewReno during slow start
- LEDBAT should operate same as NewReno if timestamps are disabled
- Test to validate cwnd increment in LEDBAT

In comparison to RFC 6817, the scope and limitations of the current LEDBAT implementation are:

- It assumes that the clocks on the sender side and receiver side are synchronised
- In line with Linux implementation, the one-way delay is calculated at the sender side by using the timestamps

option in TCP header

- Only the MIN function is used for noise filtering

More information about LEDBAT is available in RFC 6817: <https://tools.ietf.org/html/rfc6817>

TCP-Low Priority (TCP-LP) is a delay based congestion control protocol in which the low priority data utilizes only

the excess bandwidth available on an end-to-end path. TCP-LP uses one way delay measurements as an indicator of

congestion as it does not influence cross-traffic in the reverse direction.

On receipt of an ACK:

$\text{Onewaydelay} = \text{Receivertimestamp} - \text{Receivertimestamp} \text{echo} \text{reply} \text{Smoothed} \text{onewaydelay} =$

$7/8 \times \text{OldSmoothedonewaydelay} + 1/8 \times \text{onewaydelay}$  if  $\text{smoothedonewaydelay} > \text{owdMin} + 15 \times (\text{owdMax} - \text{owdMin})$   
 $= 100$  if  $\text{LP\_WITHIN\_INF}$   $\text{cwnd} = 1$  else  $\text{cwnd} = 2$  if  $\text{inference timer}$  is set

where owdMin and owdMax are the minimum and maximum one way delays experienced throughout the connection,

LP\_WITHIN\_INF indicates if TCP-LP is in inference phase or not

More information (paper): <http://cs.northwestern.edu/~akuzma/rice/doc/TCP-LP.pdf>

Data Center TCP (DCTCP)

DCTCP, specified in RFC 8257 and implemented in Linux, is a TCP congestion control algorithm for data center

networks. It leverages Explicit Congestion Notification (ECN) to provide more fine-grained congestion feedback to

the end hosts, and is intended to work with routers that implement a shallow congestion marking threshold (on the order

of a few milliseconds) to achieve high throughput and low latency in the datacenter. However, because DCTCP does

not react in the same way to notification of congestion experienced, there are coexistence

(fairness) issues between

it and legacy TCP congestion controllers, which is why it is recommended to only be used in controlled networking

environments such as within data centers.

DCTCP extends the Explicit Congestion Notification signal to estimate the fraction of bytes that encounter congestion,

rather than simply detecting that the congestion has occurred. DCTCP then scales the congestion window based on

this estimate. This approach achieves high burst tolerance, low latency, and high throughput with shallow-buffered switches.

- Receiver functionality: If CE is observed in the IP header of an incoming packet at the TCP receiver, the receiver sends congestion notification to the sender by setting ECE in TCP header. This processing is different from standard receiver ECN processing which sets and holds the ECE bit for every ACK until it observes a CWR signal from the TCP sender.

- Sender functionality: The sender makes use of the modified receiver ECE semantics to maintain an estimate of the fraction of packets marked ( ) by using the exponential weighted moving average (EWMA) as shown below:

$$= (1-g)F + gF$$

In the above EWMA:

- gis the estimation gain (between 0 and 1)

- Fis the fraction of packets marked in current RTT.

For send windows in which at least one ACK was received with ECE set, the sender should respond by reducing the

congestion window as follows, once for every window of data:

$$cwnd = cwnd / (1 + 2)$$

Following the recommendation of RFC 8257, the default values of the parameters are:

$$g = 0.0625 \text{ (i.e.; } 1/16)$$

To enable DCTCP on all TCP sockets, the following configuration can be used:

```
Config::SetDefault("ns3::TcpL4Protocol::SocketType",  
,!TypeIdValue(TcpDctcp::GetTypeId()));
```

To enable DCTCP on a selected node, one can set the "SocketType" attribute on the TcpL4Protocol object of that node to the TcpDctcp TypeId.

The ECN is enabled automatically when DCTCP is used, even if the user has not explicitly enabled it. DCTCP depends on a simple queue management algorithm in routers / switches to mark packets. The current im-

plementation of DCTCP in ns-3 can use RED with a simple configuration to achieve the behavior of desired queue management algorithm.

To configure RED router for DCTCP:

```
Config::SetDefault("ns3::RedQueueDisc::UseEcn", BooleanValue(true));
```

```
Config::SetDefault("ns3::RedQueueDisc::QW", DoubleValue(1.0));
```

```
Config::SetDefault("ns3::RedQueueDisc::MinTh", DoubleValue(16));
```

```
Config::SetDefault("ns3::RedQueueDisc::MaxTh", DoubleValue(16));
```

There is also the option, when running CoDel or FqCoDel, to enable ECN on the queue and to set the

“CeThreshold”

value to a low value such as 1ms. The following example uses CoDel:

```
Config::SetDefault("ns3::CoDelQueueDisc::UseEcn", BooleanValue(true));
```

```
Config::SetDefault("ns3::CoDelQueueDisc::CeThreshold", TimeValue(MilliSeconds(1)));
```

The following unit tests have been written to validate the implementation of DCTCP:

- ECT flags should be set for SYN, SYN+ACK, ACK and data packets for DCTCP traffic
- ECT flags should not be set for SYN, SYN+ACK and pure ACK packets, but should be set on data packets for

ECN enabled traditional TCP flows

- ECE should be set only when CE flags are received at receiver and even if sender doesn't send CWR, receiver

should not send ECE if it doesn't receive packets with CE flags

An example program, `examples/tcp/tcp-validation.cc`, can be used to experiment with DCTCP for long-running flows with different bottleneck link bandwidth, base RTTs, and queuing disciplines. A

variant of this program

has also been run using the ns-3 Direct Code Execution environment using DCTCP from Linux kernel 4.4, and the

results were compared against ns-3 results.

An example program based on an experimental topology found in the original DCTCP SIGCOMM paper is provided in

`examples/tcp/dctcp-example.cc`. This example uses a simple topology consisting of forty DCTCP senders and

receivers and two ECN-enabled switches to examine throughput, fairness, and queue delay properties of the network.

This implementation was tested extensively against a version of DCTCP in the Linux kernel version 4.4 using the ns-3

direct code execution (DCE) environment. Some differences were noted:

- Linux maintains its congestion window in segments and not bytes, and the arithmetic is not floating point, so

small differences in the evolution of congestion window have been observed.

- Linux uses pacing, where packets to be sent are paced out at regular intervals. However, if at any instant the

number of segments that can be sent are less than two, Linux does not pace them and instead sends them back-

to-back. Currently, ns-3 paces out all packets eligible to be sent in the same manner.

More information about DCTCP is available in the RFC 8257: <https://tools.ietf.org/html/rfc8257>

BBR (class `TcpBbr`) is a congestion control algorithm that regulates the sending rate by deriving an estimate of

the bottleneck's available bandwidth and RTT of the path. It seeks to operate at an optimal point where sender

experiences maximum delivery rate with minimum RTT. It creates a network model comprising maximum delivery

rate with minimum RTT observed so far, and then estimates BDP (maximum bandwidth \* minimum RTT) to control

the maximum amount of inflight data. BBR controls congestion by limiting the rate at which packets are sent. It caps

the cwnd to one BDP and paces out packets at a rate which is adjusted based on the latest estimate of delivery rate.

BBR algorithm is agnostic to packet losses and ECN marks.

`pacing_gain` controls the rate of sending data and `cwnd_gain` controls the amount of data to send.

The following is a high level overview of BBR congestion control algorithm:



On receiving an ACK:

```
rtt = now - packet.sent_time
```

```
update_minimum_rtt(rtt)
```

```
delivery_rate = estimate_delivery_rate(packet)
```

```
update_maximum_bandwidth(delivery_rate)
```

After transmitting a data packet:

```
bdp = max_bandwidth * min_rtt
```

```
if(cwnd*bdp < inflight)
```

```
return
```

```
if(now > nextSendTime)
```

```
{
```

```
transmit(packet)
```

```
nextSendTime = now + packet.size / (pacing_gain * max_bandwidth)
```

```
}
```

```
else
```

```
return
```

```
Schedule(nextSendTime, Send)
```

To enable BBR on all TCP sockets, the following configuration can be used:

```
Config::SetDefault("ns3::TcpL4Protocol::SocketType",
```

```
,!TypeIdValue(TcpBbr::GetTypeId()));
```

To enable BBR on a chosen TCP socket, the following configuration can be used (note that an appropriate Node ID

must be used instead of 1):

```
Config::Set("$ns3::NodeListPriv/NodeList/1/$ns3::TcpL4Protocol/SocketType",
```

```
,!TypeIdValue(TcpBbr::GetTypeId()));
```

The ns-3 implementation of BBR is based on its Linux implementation. Linux 5.4 kernel implementation has been

used to validate the behavior of ns-3 implementation of BBR (See below section on Validation).

In addition, the following unit tests have been written to validate the implementation of BBR in ns-3:

- BBR should enable (if not already done) TCP pacing feature.
- Test to validate the values of pacing\_gain and cwnd\_gain in different phases of BBR.

An example program, examples/tcp/tcp-bbr-example.cc, is provided to experiment with BBR for one long running

flow. This example uses a simple topology consisting of one sender, one receiver and two routers to examine congestion window, throughput and queue control. A program similar to this has been run using the Network

Stack Tester

(NeST) using BBR from Linux kernel 5.4, and the results were compared against ns-3 results.

More information about BBR is available in the following Internet Draft:

[https://tools.ietf.org/html/](https://tools.ietf.org/html/draft-cardwell-iccr-g-bbr-congestion-control-00)

draft-cardwell-iccr-g-bbr-congestion-control-00

More information about Delivery Rate Estimation is in the following draft:

[https://tools.ietf.org/html/](https://tools.ietf.org/html/draft-cheng-iccr-g-delivery-rate-estimation-00)

draft-cheng-iccr-g-delivery-rate-estimation-00

For an academic peer-reviewed paper on the BBR implementation in ns-3, please refer to:

- Vivek Jain, Viyom Mittal and Mohit P. Tahirani. "Design and Implementation of TCP BBR in ns-3."

In Proceed-

ings of the 10th Workshop on ns-3, pp. 16-22. 2018.

(<https://dl.acm.org/doi/abs/10.1145/3199902.3199911>)

Support for Explicit Congestion Notification (ECN)

ECN provides end-to-end notification of network congestion without dropping packets. It uses two bits in the IP

header: ECN Capable Transport (ECT bit) and Congestion Experienced (CE bit), and two bits in the TCP header:

Congestion Window Reduced (CWR) and ECN Echo (ECE).

More information is available in RFC 3168: <https://tools.ietf.org/html/rfc3168>

The following ECN states are declared in `src/internet/model/tcp-socket-state.h`

```
typedef enum
```

```
{
    ECN_DISABLED = 0, //!< ECN disabled traffic
    ECN_IDLE, //!< ECN is enabled but currently there is no action pertaining
    ,!to ECE or CWR to be taken
    ECN_CE_RCVD, //!< Last packet received had CE bit set in IP header
    ECN_SENDING_ECE, //!< Receiver sends an ACK with ECE bit set in TCP header
    ECN_ECE_RCVD, //!< Last ACK received had ECE bit set in TCP header
    ECN_CWR_SENT //!< Sender has reduced the congestion window, and sent a
    ,!packet with CWR bit set in TCP header. This is used for tracing.
} EcnStates_t;
```

Current implementation of ECN is based on RFC 3168 and is referred as Classic ECN.

The following enum represents the mode of ECN:

```
typedef enum
```

```
{
    ClassicEcn, //!< ECN functionality as described in RFC 3168.
    DctcpEcn, //!< ECN functionality as described in RFC 8257. Note: this mode is
    ,!specific to DCTCP.
} EcnMode_t;
```

The following are some important ECN parameters:

```
// ECN parameters
```

```
EcnMode_t m_ecnMode {ClassicEcn}; //!< ECN mode
```

```
UseEcn_t m_useEcn {Off}; //!< Socket ECN capability
```

Enabling ECN

By default, support for ECN is disabled in TCP sockets. To enable, change the value of the attribute `ns3::TcpSocketBase::UseEcn` to `On`. Following are supported values for the same, this functionality is aligned

with Linux: <https://www.kernel.org/doc/Documentation/networking/ip-sysctl.txt>

```
typedef enum
```

```
{
    Off = 0, //!< Disable
    On = 1, //!< Enable
    AcceptOnly = 2, //!< Enable only when the peer endpoint is ECN capable
} UseEcn_t;
```

For example:

```
Config::SetDefault("ns3::TcpSocketBase::UseEcn", StringValue("On"))
```

ECN negotiation

ECN capability is negotiated during the three-way TCP handshake:

1. Sender sends SYN + CWR + ECE

```
if(m_useEcn == UseEcn_t::On)
```

```
{
    SendEmptyPacket(TcpHeader::SYN | TcpHeader::ECE | TcpHeader::CWR);
}
```

```
else
```

```

{
  SendEmptyPacket(TcpHeader::SYN);
}
m_ecnState = ECN_DISABLED;
2. Receiver sends SYN + ACK + ECE
if(m_useEcn != UseEcn_t::Off && (tcpHeader.GetFlags() & (TcpHeader::CWR |
,!TcpHeader::ECE)) == (TcpHeader::CWR | TcpHeader::ECE))
{
  SendEmptyPacket(TcpHeader::SYN | TcpHeader::ACK | TcpHeader::ECE);
  m_ecnState = ECN_IDLE;
}
else
{
  SendEmptyPacket(TcpHeader::SYN | TcpHeader::ACK);
  m_ecnState = ECN_DISABLED;
}
3. Sender sends ACK
if(m_useEcn != UseEcn_t::Off && (tcpHeader.GetFlags() & (TcpHeader::CWR |
,!TcpHeader::ECE)) == (TcpHeader::ECE))
{
  m_ecnState = ECN_IDLE;
}
else
{
  m_ecnState = ECN_DISABLED;
}

```

Once the ECN-negotiation is successful, the sender sends data packets with ECT bits set in the IP header.

Note: As mentioned in Section 6.1.1 of RFC 3168, ECT bits should not be set during ECN negotiation. The ECN

negotiation implemented in ns-3 follows this guideline.

#### ECN State Transitions

1. Initially both sender and receiver have their m\_ecnState set as ECN\_DISABLED
2. Once the ECN negotiation is successful, their states are set to ECN\_IDLE
3. The receiver's state changes to ECN\_CE\_RCVD when it receives a packet with CE bit set. The state then moves to ECN\_SENDING\_ECE when the receiver sends an ACK with ECE set. This state is retained until a CWR is received, following which, the state changes to ECN\_IDLE.
4. When the sender receives an ACK with ECE bit set from receiver, its state is set as ECN\_ECE\_RCVD
5. The sender's state changes to ECN\_CWR\_SENT when it sends a packet with CWR bit set. It remains in this state until an ACK with valid ECE is received (i.e., ECE is received for a packet that belongs to a new window), following which, its state changes to ECN\_ECE\_RCVD.

#### RFC 3168 compliance

Based on the suggestions provided in RFC 3168, the following behavior has been implemented:

1. Pure ACK packets should not have the ECT bit set (Section 6.1.4).
2. In the current implementation, the sender only sends ECT(0) in the IP header.
3. The sender should reduce the congestion window only once in each window (Section 6.1.2).
4. The receiver should ignore the CE bits set in a packet arriving out of window (Section 6.1.5).

5. The sender should ignore the ECE bits set in the packet arriving out of window (Section 6.1.2).

#### Open issues

The following issues are yet to be addressed:

1. Retransmitted packets should not have the CWR bit set (Section 6.1.5).

2. Despite the congestion window size being 1 MSS, the sender should reduce its congestion window by half when

it receives a packet with the ECE bit set. The sender must reset the retransmit timer on receiving the ECN-Echo

packet when the congestion window is one. The sending TCP will then be able to send a new packet only when

the retransmit timer expires (Section 6.1.2).

3. Support for separately handling the enabling of ECN on the incoming and outgoing TCP sessions (e.g. a TCP

may perform ECN echoing but not set the ECT codepoints on its outbound data segments).

#### Support for Dynamic Pacing

TCP pacing refers to the sender-side practice of scheduling the transmission of a burst of eligible TCP segments across

a time interval such as a TCP RTT, to avoid or reduce bursts. Historically, TCP used the natural ACK clocking mech-

anism to pace segments, but some network paths introduce aggregation (bursts of ACKs arriving) or ACK thinning,

either of which disrupts ACK clocking. Some latency-sensitive congestion controls under development (Prague, BBR)

require pacing to operate effectively.

Until recently, the state of the art in Linux was to support pacing in one of two ways:

1) fq/pacing with sch\_fq

2) TCP internal pacing

The presentation by Dumazet and Cheng at IETF 88 summarizes:

<https://www.ietf.org/proceedings/88/slides/slides-88-tcpm-9.pdf>

The first option was most often used when offloading (TSO) was enabled and when the sch\_fq scheduler was used at

the traffic control (qdisc) sublayer. In this case, TCP was responsible for setting the socket pacing rate, but the qdisc

sublayer would enforce it. When TSO was enabled, the kernel would break a large burst into smaller chunks, with

dynamic sizing based on the pacing rate, and hand off the segments to the fq qdisc for pacing.

The second option was used if sch\_fq was not enabled; TCP would be responsible for internally pacing.

In 2018, Linux switched to an Early Departure Model (EDM): <https://lwn.net/Articles/766564/>.

TCP pacing in Linux was added in kernel 3.12, and authors chose to allow a pacing rate of 200% against the current

rate, to allow probing for optimal throughput even during slow start phase. Some refinements were added in <https://git.kernel.org/pub/scm/linux/kernel/git/torvalds/linux.git/commit/?id=43e122b014c9>, in which

Google reported that

it was better to apply a different ratio (120%) in Congestion Avoidance phase. Furthermore, authors found that after

cwnd reduction, it was helpful to become more conservative and switch to the conservative ratio (120%) as soon as

cwnd >= ssthresh/2, as the initial ramp up (when ssthresh is infinite) still allows doubling cwnd

every other RTT. Linux

also does not pace the initial window (IW), typically 10 segments in practice.

Linux has also been observed to not pace if the number of eligible segments to be sent is exactly two; they will be sent

back to back. If three or more, the first two are sent immediately, and additional segments are paced at the current  
pacing rate.

In ns-3, the model is as follows. There is no TSO/sch\_fq model; only internal pacing according to current Linux  
policy.

Pacing may be enabled for any TCP congestion control, and a maximum pacing rate can be set. Furthermore, dynamic

pacing is enabled for all TCP variants, according to the following guidelines.

- Pacing of the initial window (IW) is not done by default but can be separately enabled.
- Pacing of the initial slow start, after IW, is done according to the pacing rate of 200% of the current rate, to  
allow for window growth This pacing rate can be configured to a different value than 200%.
- Pacing of congestion avoidance phase is done at a pacing rate of 120% of current rate. This can be configured  
to a different value than 120%.
- Pacing of subsequent slow start is done according to the following heuristic. If  $cwnd < ssthresh/2$ , such as after  
a timeout or idle period, pace at the slow start rate (200%). Otherwise, pace at the congestion avoidance rate.

Dynamic pacing is demonstrated by the example program `examples/tcp/tcp-pacing.cc`.

Validation

The following tests are found in the `src/internet/test` directory. In general, TCP tests inherit from a class called

`TcpGeneralTest`, which provides common operations to set up test scenarios involving TCP objects. For more

information on how to write new tests, see the section below on Writing TCP tests.

- `tcp`: Basic transmission of string of data from client to server
- `tcp-bytes-in-flight-test`: TCP correctly estimates bytes in flight under loss conditions
- `tcp-cong-avoid-test`: TCP congestion avoidance for different packet sizes
- `tcp-datasentcb`: Check TCP's 'data sent' callback
- `tcp-endpoint-bug2211-test`: A test for an issue that was causing stack overflow
- `tcp-fast-retr-test`: Fast Retransmit testing
- `tcp-header`: Unit tests on the TCP header
- `tcp-highspeed-test`: Unit tests on the HighSpeed congestion control
- `tcp-htcp-test`: Unit tests on the H-TCP congestion control
- `tcp-hybla-test`: Unit tests on the Hybla congestion control
- `tcp-vegas-test`: Unit tests on the Vegas congestion control
- `tcp-veno-test`: Unit tests on the Veno congestion control
- `tcp-scalable-test`: Unit tests on the Scalable congestion control
- `tcp-bic-test`: Unit tests on the BIC congestion control
- `tcp-yeah-test`: Unit tests on the YeAH congestion control
- `tcp-illinois-test`: Unit tests on the Illinois congestion control
- `tcp-ledbat-test`: Unit tests on the LEDBAT congestion control
- `tcp-lp-test`: Unit tests on the TCP-LP congestion control
- `tcp-dctcp-test`: Unit tests on the DCTCP congestion control
- `tcp-bbr-test`: Unit tests on the BBR congestion control

- tcp-option: Unit tests on TCP options
- tcp-pkts-acked-test: Unit test the number of time that PktsAcks is called
- tcp-rto-test: Unit test behavior after a RTO occurs
- tcp-rtt-estimation-test: Check RTT calculations, including retransmission cases
- tcp-slow-start-test: Check behavior of slow start
- tcp-timestamp: Unit test on the timestamp option
- tcp-wscaling: Unit test on the window scaling option
- tcp-zero-window-test: Unit test persist behavior for zero window conditions
- tcp-close-test: Unit test on the socket closing: both receiver and sender have to close their socket when all bytes are transferred

- tcp-ecn-test: Unit tests on Explicit Congestion Notification

- tcp-pacing-test: Unit tests on dynamic TCP pacing rate

Several tests have dependencies outside of the internet module, so they are located in a system test directory called `src/test/ns3tcp`.

- ns3-tcp-loss: Check behavior of ns-3 TCP upon packet losses

- ns3-tcp-no-delay: Check that ns-3 TCP Nagle's algorithm works correctly and that it can be disabled

- ns3-tcp-socket: Check that ns-3 TCP successfully transfers an application data write of various sizes

- ns3-tcp-state: Check the operation of the TCP state machine for several cases

Several TCP validation test results can also be found in the wiki page describing this implementation.

The ns-3 implementation of TCP Linux Reno was validated against the NewReno implementation of Linux kernel

4.4.0 using ns-3 Direct Code Execution (DCE). DCE is a framework which allows the users to run kernel space

protocol inside ns-3 without changing the source code.

In this validation, cwnd traces of DCE Linux reno were compared to those of ns-3 Linux Reno and NewReno for

a delayed acknowledgement configuration of 1 segment (in the ns-3 implementation; Linux does not allow direct

configuration of this setting). It can be observed that cwnd traces for ns-3 Linux Reno are closely overlapping with

DCEreno, while for ns-3 NewReno there was deviation in the congestion avoidance phase.

The difference in the cwnd in the early stage of this flow is because of the way cwnd is plotted. As ns-3 provides a

trace source for cwnd, an ns-3 Linux Reno cwnd sample is obtained every time the cwnd value changes, whereas for

DCE Linux Reno, the kernel does not have a corresponding trace source. Instead, we use the "ss" command of the

Linux kernel to obtain cwnd values. The "ss" samples cwnd at an interval of 0.5 seconds.

Figure DCTCP throughput for 10ms/50Mbps bottleneck, 1ms CE threshold shows a long-running file transfer using

DCTCP over a 50 Mbps bottleneck (running CoDel queue disc with a 1ms CE threshold setting) with a 10 ms base

RTT. The figure shows that DCTCP reaches link capacity very quickly and stays there for the duration with minimal

change in throughput. In contrast, Figure DCTCP throughput for 80ms/50Mbps bottleneck, 1ms CE threshold plots

the throughput for the same configuration except with an 80 ms base RTT. In this case, the DCTCP

exits slow start

early and takes a long time to build the flow throughput to the bottleneck link capacity. DCTCP is not intended to be used at such a large base RTT, but this figure highlights the sensitivity to RTT (and can be reproduced using the Linux implementation).

Similar to DCTCP, TCP CUBIC has been tested against the Linux kernel version 4.4 implementation. Figure CUBIC

cwnd evolution for 50ms/50Mbps bottleneck, no ECN compares the congestion window evolution between ns-3 and

Linux for a single flow operating over a 50 Mbps link with 50 ms base RTT and the CoDel AQM. Some differences can

be observed between the peak of slow start window growth (ns-3 exits slow start earlier due to its HyStart implemen-

tation), and the window growth is a bit out-of-sync (likely due to different implementations of the algorithm), but the

cubic concave/convex window pattern, and the signs of TCP CUBIC fast convergence algorithm (alternating patterns

Time (s)01020304050Throughput (Mb/s)TCP throughput (sampled every 200ms)

Time (s)01020304050Throughput (Mb/s)TCP throughput (sampled every 200ms)

of cubic and concave window growth) can be observed. The ns-3 congestion window is maintained in bytes (unlike

Linux which uses segments) but has been normalized to segments for these plots. Figure CUBIC cwnd evolution for

50ms/50Mbps bottleneck, with ECN displays the outcome of a similar scenario but with ECN enabled throughout.

Time (s)0100200300400500600cwnd (segments)

CUBIC cwnd, CoDel, 50 ms RTT

ns-3

TCP ECN operation is tested in the ARED and RED tests that are documented in the traffic-control module documentation.

Like DCTCP and TCP CUBIC, the ns-3 implementation of TCP BBR was validated against the BBR implementation

of Linux kernel 5.4 using Network Stack Tester (NeST). NeST is a python package which allows the users to emulate

kernel space protocols using Linux network namespaces. Figure Congestion window evolution: ns-3 BBR vs. Linux

BBR (using NeST) compares the congestion window evolution between ns-3 and Linux for a single flow operating over

a 10 Mbps link with 10 ms base RTT and FIFO queue discipline.

It can be observed that the congestion window traces for ns-3 BBR closely overlap with Linux BBR.

The periodic

drops in congestion window every 10 seconds depict the PROBE\_RTT phase of the BBR algorithm. In this phase,

BBR algorithm keeps the congestion window fixed to 4 segments.

The example program, examples/tcp-bbr-example.cc has been used to obtain the congestion window curve shown in

Figure Congestion window evolution: ns-3 BBR vs. Linux BBR (using NeST) . The detailed instructions to reproduce

ns-3 plot and NeST plot can be found at: <https://github.com/mohittahiliani/BBR-Validation>

Writing a new congestion control algorithm

Writing (or porting) a congestion control algorithm from scratch (or from other systems) is a process completely

separated from the internals of TcpSocketBase.

All operations that are delegated to a congestion control are contained in the class

TcpCongestionOps. It mimics the

structure tcp\_congestion\_ops of Linux, and the following operations are defined:

Time (s) 0.100200300400500600 cwnd (segments)

CUBIC cwnd, ECN-enabled CoDel, 50 ms RTT

ns-3

```
virtual std::string GetName() const;
```

```
virtual uint32_t GetSsThresh(Ptr< constTcpSocketState> tcb, uint32_t bytesInFlight);
```

```
virtual void IncreaseWindow(Ptr<TcpSocketState> tcb, uint32_t segmentsAacked);
```

```
virtual void PktsAacked(Ptr<TcpSocketState> tcb, uint32_t segmentsAacked, constTime&  
,!rtt);
```

```
virtual Ptr<TcpCongestionOps> Fork();
```

```
virtual void CwndEvent(Ptr<TcpSocketState> tcb, constTcpSocketState::TcpCaEvent_t  
,!event);
```

The most interesting methods to write are GetSsThresh and IncreaseWindow. The latter is called when TcpSocketBase

decides that it is time to increase the congestion window. Much information is available in the Transmission Control

Block, and the method should increase cWnd and/or ssThresh based on the number of segments acked.

GetSsThresh is called whenever the socket needs an updated value of the slow start threshold. This happens after a

loss; congestion control algorithms are then asked to lower such value, and to return it.

PktsAacked is used in case the algorithm needs timing information (such as RTT), and it is called each time an ACK is received.

CwndEvent is used in case the algorithm needs the state of socket during different congestion window event.

### TCP SACK and non-SACK

To avoid code duplication and the effort of maintaining two different versions of the TCP core, namely RFC 6675

(TCP-SACK) and RFC 5681 (TCP congestion control), we have merged RFC 6675 in the current code base.

If the

receiver supports the option, the sender bases its retransmissions over the received SACK information. However, in

the absence of that option, the best it can do is to follow the RFC 5681 specification (on Fast Retransmit/Recovery)

and employing NewReno modifications in case of partial ACKs.

A similar concept is used in Linux with the function tcp\_add\_reno\_sack. Our implementation resides in the Tcp-

TxBuffer class that implements a scoreboard through two different lists of segments. TcpSocketBase actively uses the

API provided by TcpTxBuffer to query the scoreboard; please refer to the Doxygen documentation (and to in-code

comments) if you want to learn more about this implementation.

For an academic peer-reviewed paper on the SACK implementation in ns-3, please refer to

[https://dl.acm.org/citation.](https://dl.acm.org/citation.cfm?id=3067666)

[cfm?id=3067666](https://dl.acm.org/citation.cfm?id=3067666).



## Loss Recovery Algorithms

The following loss recovery algorithms are supported in ns-3 TCP. The current default (as of ns-3.32 release) is

Proportional Rate Reduction (PRR), while the default for ns-3.31 and earlier was Classic Recovery.

### Classic Recovery

Classic Recovery refers to the combination of NewReno algorithm described in RFC 6582 along with SACK based

loss recovery algorithm mentioned in RFC 6675. SACK based loss recovery is used when sender and receiver support

SACK options. In the case when SACK options are disabled, the NewReno modification handles the recovery.

At the start of recovery phase the congestion window is reduced differently for NewReno and SACK based recovery.

For NewReno the reduction is done as given below:

$cwnd = ssThresh$

For SACK based recovery, this is done as follows:

$cwnd = ssThresh + (dupAckCount \times segmentSize)$

While in the recovery phase, the congestion window is inflated by segmentSize on arrival of every ACK when

NewReno is used. The congestion window is kept same when SACK based loss recovery is used.

### Proportional Rate Reduction

Proportional Rate Reduction (PRR) is a loss recovery algorithm described in RFC 6937 and currently used in Linux.

The design of PRR helps in avoiding excess window adjustments and aims to keep the congestion window as close as

possible to ssThresh.

PRR updates the congestion window by comparing the values of bytesInFlight and ssThresh. If the value of bytesIn-

Flight is greater than ssThresh, congestion window is updated as shown below:

$sndcnt = \lceil (prDelivered - ssThresh) \times prOut \rceil$

$cwnd = pipe + sndcnt$

where RecoverFS is the value of bytesInFlight at the start of recovery phase, prDelivered is the total bytes

delivered during recovery phase, prOut is the total bytes sent during recovery phase and sndcnt represents the

number of bytes to be sent in response to each ACK.

Otherwise, the congestion window is updated by either using Conservative Reduction Bound (CRB) or Slow Start Re-

duction Bound (SSRB) with SSRB being the default Reduction Bound. Each Reduction Bound calculates a maximum

data sending limit. For CRB, the limit is calculated as shown below:

$limit = prDelivered - prout$

For SSRB, it is calculated as:

$limit = \max(prDelivered - prOut, DeliveredData) + MSS$

where DeliveredData represents the total number of bytes delivered to the receiver as indicated by the current ACK

and MSS is the maximum segment size.

After limit calculation, the cwnd is updated as given below:

$sndcnt = \min(ssThresh - pipe, limit)$

$cwnd = pipe + sndcnt$

More information (paper): <https://dl.acm.org/citation.cfm?id=2068832>

More information (RFC): <https://tools.ietf.org/html/rfc6937>

Adding a new loss recovery algorithm in ns-3

Writing (or porting) a loss recovery algorithms from scratch (or from other systems) is a process completely separated

from the internals of TcpSocketBase.

All operations that are delegated to a loss recovery are contained in the class TcpRecoveryOps and are given below:

```
virtual std::string GetName() const;
virtual void EnterRecovery(Ptr< constTcpSocketState> tcb, uint32_t unAckDataCount,
boolisSackEnabled, uint32_t dupAckCount,
uint32_t bytesInFlight, uint32_t lastDeliveredBytes);
virtual void DoRecovery(Ptr< constTcpSocketState> tcb, uint32_t unAckDataCount,
boolisSackEnabled, uint32_t dupAckCount,
uint32_t bytesInFlight, uint32_t lastDeliveredBytes);
virtual void ExitRecovery(Ptr<TcpSocketState> tcb, uint32_t bytesInFlight);
virtual void UpdateBytesSent( uint32_t bytesSent);
virtual Ptr<TcpRecoveryOps> Fork();
```

EnterRecovery is called when packet loss is detected and recovery is triggered. While in recovery phase, each time

when an ACK arrives, DoRecovery is called which performs the necessary congestion window changes as per the

recovery algorithm. ExitRecovery is called just prior to exiting recovery phase in order to perform the required

congestion window adjustments. UpdateBytesSent is used to keep track of bytes sent and is called whenever a data

packet is sent during recovery phase.

Delivery Rate Estimation

Current TCP implementation measures the approximate value of the delivery rate of inflight data based on Delivery

Rate Estimation.

As high level idea, keep in mind that the algorithm keeps track of 2 variables:

1.delivered : Total amount of data delivered so far.

2.deliveredStamp : Last time delivered was updated.

When a packet is transmitted, the value of delivered (d0) anddeliveredStamp (t0) is stored in its respective TcpTxItem.

When an acknowledgement comes for this packet, the value of delivered anddeliveredStamp is updated to d1andt1

in the same TcpTxItem.

After processing the acknowledgement, the rate sample is calculated and then passed to a congestion avoidance algorithm:

$deliveryrate = (d1 - d0) / (t1 - t0)$

The implementation to estimate delivery rate is a joint work between TcpTxBuffer and TcpRateOps. For more infor-

mation, please take a look at their Doxygen documentation.

The implementation follows the Internet draft (Delivery Rate Estimation):

<https://tools.ietf.org/html/>

draft-cheng-iccr-g-delivery-rate-estimation-00

Current limitations

- TcpCongestionOps interface does not contain every possible Linux operation

Writing TCP tests

The TCP subsystem supports automated test cases on both socket functions and congestion control algorithms. To

show how to write tests for TCP, here we explain the process of creating a test case that reproduces the Bug #1571.

The bug concerns the zero window situation, which happens when the receiver cannot handle more data. In this case,

it advertises a zero window, which causes the sender to pause transmission and wait for the receiver to increase the window.

The sender has a timer to periodically check the receiver's window: however, in modern TCP implementations, when

the receiver has freed a "significant" amount of data, the receiver itself sends an "active" window update, meaning that

the transmission could be resumed. Nevertheless, the sender timer is still necessary because window updates can be lost.

Note: During the text, we will assume some knowledge about the general design of the TCP test infrastructure, which

is explained in detail into the Doxygen documentation. As a brief summary, the strategy is to have a class that sets

up a TCP connection, and that calls protected members of itself. In this way, subclasses can implement the necessary

members, which will be called by the main TcpGeneralTest class when events occur. For example, after processing

an ACK, the method ProcessedAck will be invoked. Subclasses interested in checking some particular things which

must have happened during an ACK processing, should implement the ProcessedAck method and check the interesting

values inside the method. To get a list of available methods, please check the Doxygen documentation.

We describe the writing of two test cases, covering both situations: the sender's zero-window probing and the receiver

"active" window update. Our focus will be on dealing with the reported problems, which are:

- an ns-3 receiver does not send "active" window update when its receive buffer is being freed;
- even if the window update is artificially crafted, the transmission does not resume.

However, other things should be checked in the test:

- Persistent timer setup
- Persistent timer teardown if rWnd increases

To construct the test case, one first derives from the TcpGeneralTest class:

The code is the following:

```
TcpZeroWindowTest::TcpZeroWindowTest( conststd::string &desc)
: TcpGeneralTest(desc)
{
}
```

Then, one should define the general parameters for the TCP connection, which will be one-sided (one node is acting

as SENDER, while the other is acting as RECEIVER):

- Application packet size set to 500, and 20 packets in total (meaning a stream of 10k bytes)
- Segment size for both SENDER and RECEIVER set to 500 bytes
- Initial slow start threshold set to UINT32\_MAX
- Initial congestion window for the SENDER set to 10 segments (5000 bytes)

- Congestion control: NewReno

We have also to define the link properties, because the above definition does not work for every combination of propagation delay and sender application behavior.

- Link one-way propagation delay: 50 ms
- Application packet generation interval: 10 ms
- Application starting time: 20 s after the starting point

To define the properties of the environment (e.g. properties which should be set before the object creation, such as

propagation delay) one next implements the method `ConfigureEnvironment`:

void

```
TcpZeroWindowTest::ConfigureEnvironment()
```

```
{
```

```
TcpGeneralTest::ConfigureEnvironment();
```

```
SetAppPktCount(20);
```

```
SetMTU(500);
```

```
SetTransmitStart(Seconds(2.0));
```

```
SetPropagationDelay(MilliSeconds(50));
```

```
}
```

For other properties, set after the object creation, one can use `ConfigureProperties` (). The difference is that some

values, such as initial congestion window or initial slow start threshold, are applicable only to a single instance, not to

every instance we have. Usually, methods that requires an id and a value are meant to be called inside `ConfigureProp-`

erties (). Please see the Doxygen documentation for an exhaustive list of the tunable properties.

void

```
TcpZeroWindowTest::ConfigureProperties()
```

```
{
```

```
TcpGeneralTest::ConfigureProperties();
```

```
SetInitialCwnd(SENDER, 10);
```

```
}
```

To see the default value for the experiment, please see the implementation of both methods inside `TcpGeneralTest`

class.

Note: If some configuration parameters are missing, add a method called “`SetSomeValue`” which takes as input the

value only (if it is meant to be called inside `ConfigureEnvironment`) or the socket and the value (if it is meant to be

called inside `ConfigureProperties`).

To define a zero-window situation, we choose (by design) to initiate the connection with a 0-byte rx buffer. This implies

that the RECEIVER, in its first SYN-ACK, advertises a zero window. This can be accomplished by implementing the

method `CreateReceiverSocket`, setting an Rx buffer value of 0 bytes (at line 6 of the following code):

```
1Ptr<TcpSocketMsgBase>
```

```
2TcpZeroWindowTest::CreateReceiverSocket(Ptr<Node> node)
```

```
3{
```

```
4Ptr<TcpSocketMsgBase> socket = TcpGeneralTest::CreateReceiverSocket(node);
```

```
5socket->SetAttribute("RcvBufSize", UIntegerValue(0));
```

```

7 Simulator::Schedule(Seconds(10.0),
8 &TcpZeroWindowTest::IncreaseBufSize, this);
10 return socket;
11 }

```

and 8, scheduling the function IncreaseBufSize.

void

```

TcpZeroWindowTest::IncreaseBufSize()
{

```

```

    SetRcvBufSize(RECEIVER, 2500);
}

```

Which utilizes the SetRcvBufSize method to edit the RxBuffer object of the RECEIVER. As said before, check the

Doxygen documentation for class TcpGeneralTest to be aware of the various possibilities that it offers.

Note: By design, we choose to maintain a close relationship between TcpSocketBase and TcpGeneralTest: they are

connected by a friendship relation. Since friendship is not passed through inheritance, if one discovers that one needs

to access or to modify a private (or protected) member of TcpSocketBase, one can do so by adding a method in the

class TcpGeneralSocket. An example of such method is SetRcvBufSize, which allows TcpGeneralSocket subclasses

to forcefully set the RxBuffer size.

void

```

TcpGeneralTest::SetRcvBufSize(SocketWho who, uint32_t size)
{

```

```

    if(who == SENDER)
    {

```

```

        m_senderSocket->SetRcvBufSize(size);
    }

```

```

    else if (who == RECEIVER)
    {

```

```

        m_receiverSocket->SetRcvBufSize(size);
    }

```

```

    else
    {

```

```

        NS_FATAL_ERROR("Not defined");
    }
}

```

Next, we can start to follow the TCP connection:

1. At time 0.0 s the connection is opened sender side, with a SYN packet sent from SENDER to RECEIVER

2. At time 0.05 s the RECEIVER gets the SYN and replies with a SYN-ACK

3. At time 0.10 s the SENDER gets the SYN-ACK and replies with a SYN.

While the general structure is defined, and the connection is started, we need to define a way to check the rWnd field

on the segments. To this aim, we can implement the methods Rx and Tx in the TcpGeneralTest subclass, checking

each time the actions of the RECEIVER and the SENDER. These methods are defined in TcpGeneralTest, and they

are attached to the Rx and Tx traces in the TcpSocketBase. One should write small tests for every

detail that one wants

to ensure during the connection (it will prevent the test from changing over the time, and it ensures that the behavior will stay consistent through releases). We start by ensuring that the first SYN-ACK has 0 as advertised window size:

void

TcpZeroWindowTest::Tx( constPtr< constPacket> p, constTcpHeader &h, SocketWho who)

{

...

else if (who == RECEIVER)

{

NS\_LOG\_INFO(" \tRECEIVER TX " << h << " size " << p->GetSize());

if(h.GetFlags() & TcpHeader::SYN)

{

NS\_TEST\_ASSERT\_MSG\_EQ(h.GetWindowSize(), 0,

"RECEIVER window size is not 0 in the SYN-ACK");

}

}

....

}

Practically, we are checking that every SYN packet sent by the RECEIVER has the advertised window set to 0. The

same thing is done also by checking, in the Rx method, that each SYN received by SENDER has the advertised

window set to 0. Thanks to the log subsystem, we can print what is happening through messages. If we run the

experiment, enabling the logging, we can see the following:

./ns3 shell

gdb --args ./build/utils/ns3-dev-test-runner-debug --test-name=tcp-zero-window-test --

,!stop-on-failure --fullness=QUICK --assert-on-failure --verbose

(gdb) run

0.00s TcpZeroWindowTestSuite:Tx(): 0.00 SENDER TX 49153 > 4477 [SYN] Seq=0 Ack=0

0.05s TcpZeroWindowTestSuite:Rx(): 0.05 RECEIVER RX 49153 > 4477 [SYN] Seq=0 Ack=0

,!Win=32768 ns3::TcpOptionWinScale(2) ns3::TcpOptionTS(0;0) ns3::TcpOptionEnd(EOL)

0.05s TcpZeroWindowTestSuite:Tx(): 0.05 RECEIVER TX 4477 > 49153 [SYN|ACK] Seq=0

0.10s TcpZeroWindowTestSuite:Rx(): 0.10 SENDER RX 4477 > 49153 [SYN|ACK] Seq=0 Ack=1

0.10s TcpZeroWindowTestSuite:Tx(): 0.10 SENDER TX 49153 > 4477 [ACK] Seq=1 Ack=1

0.15s TcpZeroWindowTestSuite:Rx(): 0.15 RECEIVER RX 49153 > 4477 [ACK] Seq=1 Ack=1

(...)

The output is cut to show the three-way handshake. As we can see from the headers, the rWnd of RECEIVER is set

to 0, and thankfully our tests are not failing. Now we need to test for the persistent timer, which should be started by

the SENDER after it receives the SYN-ACK. Since the Rx method is called before any computation on the received

packet, we should utilize another method, namely ProcessedAck, which is the method called after each processed

ACK. In the following, we show how to check if the persistent event is running after the processing of the SYN-ACK:

void

TcpZeroWindowTest::ProcessedAck( constPtr< constTcpSocketState> tcb,

```

constTcpHeader& h, SocketWho who)
{
if(who == SENDER)
{
if(h.GetFlags() & TcpHeader::SYN)
{
EventId persistentEvent = GetPersistentEvent(SENDER);
NS_TEST_ASSERT_MSG_EQ(persistentEvent.IsRunning(), true,
"Persistent event not started");
}
}
}

```

Since we programmed the increase of the buffer size after 10 simulated seconds, we expect the persistent timer to fire

before any rWnd changes. When it fires, the SENDER should send a window probe, and the receiver should reply

reporting again a zero window situation. At first, we investigate on what the sender sends:

```

1if(Simulator::Now().GetSeconds() <= 6.0)
2{
3NS_TEST_ASSERT_MSG_EQ(p->GetSize() - h.GetSerializedSize(), 0,
4 "Data packet sent anyway");
5}
6else if (Simulator::Now().GetSeconds() > 6.0 &&
7 Simulator::Now().GetSeconds() <= 7.0)
8{
9NS_TEST_ASSERT_MSG_EQ(m_zeroWindowProbe, false, "Sent another probe");
11 if(! m_zeroWindowProbe)
12 {
13 NS_TEST_ASSERT_MSG_EQ(p->GetSize() - h.GetSerializedSize(), 1,
14 "Data packet sent instead of window probe");
15 NS_TEST_ASSERT_MSG_EQ(h.GetSequenceNumber(), SequenceNumber32(1),
16 "Data packet sent instead of window probe");
17 m_zeroWindowProbe = true;
18 }
19}

```

We divide the events by simulated time. At line 1, we check everything that happens before the 6.0 seconds mark; for

instance, that no data packets are sent, and that the state remains OPEN for both sender and receiver.

Since the persist timeout is initialized at 6 seconds (exercise left for the reader: edit the test, getting this value from

the Attribute system), we need to check (line 6) between 6.0 and 7.0 simulated seconds that the probe is sent. Only

```

1if(Simulator::Now().GetSeconds() > 6.0 &&
2Simulator::Now().GetSeconds() <= 7.0)
3{
4NS_TEST_ASSERT_MSG_EQ(h.GetSequenceNumber(), SequenceNumber32(1),
5 "Data packet sent instead of window probe");
6NS_TEST_ASSERT_MSG_EQ(h.GetWindowSize(), 0,
7 "No zero window advertised by RECEIVER");
8}

```

For the RECEIVER, the interval between 6 and 7 seconds is when the zero-window segment is sent. Other checks are redundant; the safest approach is to deny any other packet exchange between the 7 and 10 seconds

mark.

```
elseif (Simulator::Now().GetSeconds() > 7.0 &&
```

```
Simulator::Now().GetSeconds() < 10.0)
```

```
{
NS_FATAL_ERROR("No packets should be sent before the window update");
}
```

The state checks are performed at the end of the methods, since they are valid in every condition:

```
NS_TEST_ASSERT_MSG_EQ(GetCongStateFrom(GetTcb(SENDER)), TcpSocketState::CA_OPEN,
"Sender State is not OPEN");
```

```
NS_TEST_ASSERT_MSG_EQ(GetCongStateFrom(GetTcb(RECEIVER)), TcpSocketState::CA_OPEN,
"Receiver State is not OPEN");
```

Now, the interesting part in the Tx method is to check that after the 10.0 seconds mark (when the RECEIVER sends

the active window update) the value of the window should be greater than zero (and precisely, set to 2500):

```
elseif (Simulator::Now().GetSeconds() >= 10.0)
```

```
{
NS_TEST_ASSERT_MSG_EQ(h.GetWindowSize(), 2500,
"Receiver window not updated");
}
```

To be sure that the sender receives the window update, we can use the Rx method:

```
1if(Simulator::Now().GetSeconds() >= 10.0)
```

```
2{
3NS_TEST_ASSERT_MSG_EQ(h.GetWindowSize(), 2500,
4 "Receiver window not updated");
5m_windowUpdated = true;
6}
```

We check every packet after the 10 seconds mark to see if it has the window updated. At line 5, we also set to true a

boolean variable, to check that we effectively reach this test.

Last but not least, we implement also the NormalClose() method, to check that the connection ends with a success:

```
void
```

```
TcpZeroWindowTest::NormalClose(SocketWho who)
```

```
{
if(who == SENDER)
{
m_senderFinished = true;
}
else if (who == RECEIVER)
{
m_receiverFinished = true;
}
}
```

The method is called only if all bytes are transmitted successfully. Then, in the method

FinalChecks(), we check all

variables, which should be true (which indicates that we have perfectly closed the connection).

```
void
```



```

TcpZeroWindowTest::FinalChecks()
{
NS_TEST_ASSERT_MSG_EQ(m_zeroWindowProbe, true,
(continues on next page)
(continued from previous page)
"Zero window probe not sent");
NS_TEST_ASSERT_MSG_EQ(m_windowUpdated, true,
"Window has not updated during the connection");
NS_TEST_ASSERT_MSG_EQ(m_senderFinished, true,
"Connection not closed successfully(SENDER)");
NS_TEST_ASSERT_MSG_EQ(m_receiverFinished, true,
"Connection not closed successfully(RECEIVER)");
}

```

To run the test, the usual way is

```
./test.py -s tcp-zero-window-test
```

PASS: TestSuite tcp-zero-window-test

1 of 1 tests passed (1 passed, 0 skipped, 0 failed, 0 crashed, 0 valgrind errors)

To see INFO messages, use a combination of ./ns3 shell and gdb (really useful):

```
./ns3 shell && gdb --args ./build/utils/ns3-dev-test-runner-debug --test-name=tcp-
!zero-window-test --stop-on-failure --fullness=QUICK --assert-on-failure --verbose
```

and then, hit “Run”.

Note: This code magically runs without any reported errors; however, in real cases, when you discover a bug you

should expect the existing test to fail (this could indicate a well-written test and a bad-written model, or a bad-written

test; hopefully the first situation). Correcting bugs is an iterative process. For instance, commits created to make this

test case running without errors are 11633:6b74df04cf44, (others to be merged).

ns-3 supports a native implementation of UDP. It provides a connectionless, unreliable datagram packet service. Pack-

ets may be reordered or duplicated before they arrive. UDP calculates and checks checksums to catch transmission errors.

This implementation inherits from a few common header classes in the src/network directory, so that user code can

swap out implementations with minimal changes to the scripts.

Here are the important abstract base classes:

- classUdpSocket : This is defined in: src/internet/model/udp-socket.{cc,h} This is an abstract base class of all UDP sockets. This class exists solely for hosting UdpSocket attributes that can be reused across

different implementations, and for declaring UDP-specific multicast API.

- classUdpSocketImpl : This class subclasses UdpSocket , and provides a socket interface to ns-3's implemen-  
tation of UDP.

- classUdpSocketFactory : This is used by the layer-4 protocol instance to create UDP sockets.

- classUdpSocketFactoryImpl : This class is derived from SocketFactory and implements the API for cre-  
ating UDP sockets.

- classUdpHeader : This class contains fields corresponding to those in a network UDP header (port numbers,

payload size, checksum) as well as methods for serialization to and deserialization from a byte

buffer.

- classUdpL4Protocol : This is a subclass of IpL4Protocol and provides an implementation of the UDP protocol.

### 16.6.2 ns-3 UDP

This is an implementation of the User Datagram Protocol described in RFC 768. UDP uses a simple connectionless

communication model with a minimum of protocol mechanism. The implementation provides checksums for data

integrity, and port numbers for addressing different functions at the source and destination of the datagram. It has no

handshaking dialogues, and thus exposes the user's data to any unreliability of the underlying network. There is no

guarantee of data delivery, ordering, or duplicate protection.

#### Usage

In many cases, usage of UDP is set at the application layer by telling the ns-3 application which kind of socket factory

to use.

Using the helper functions defined in src/applications/helper , here is how one would create a UDP receiver:

```
// Create a packet sink on the receiver
```

```
uint16_t port = 50000;
```

```
Address sinkLocalAddress(InetSocketAddress(Ipv4Address::GetAny(), port));
```

```
PacketSinkHelper sinkHelper("ns3::UdpSocketFactory", sinkLocalAddress);
```

```
ApplicationContainer sinkApp = sinkHelper.Install(serverNode);
```

```
sinkApp.Start(Seconds(1.0));
```

```
sinkApp.Stop(Seconds(10.0));
```

Similarly, the below snippet configures OnOffApplication traffic source to use UDP:

```
// Create the OnOff applications to send data to the UDP receiver
```

```
OnOffHelper clientHelper("ns3::UdpSocketFactory", Address());
```

```
clientHelper.SetAttribute("Remote", remoteAddress);
```

```
ApplicationContainer clientApps =(clientHelper.Install(clientNode);
```

```
clientApps.Start(Seconds(2.0));
```

```
clientApps.Stop(Seconds(9.0));
```

For users who wish to have a pointer to the actual socket(so that socket operations like Bind() , setting socket options,

etc. can be done on a per-socket basis), UDP sockets can be created by using the

Socket::CreateSocket() method

as given below:

```
Ptr<Node> node = CreateObject<Node>();
```

```
InternetStackHelper internet;
```

```
internet.Install(node);
```

```
Ptr<SocketFactory> socketFactory = node->GetObject<UdpSocketFactory>();
```

```
Ptr<Socket> socket = socketFactory->CreateSocket();
```

```
socket->Bind(InetSocketAddress(Ipv4Address::GetAny(), 80));
```

Once a UDP socket is created, we do not need an explicit connection setup before sending and receiving data. Being a

connectionless protocol, all we need to do is to create a socket and bind it to a known port. For a client, simply create

a socket and start sending data. The Bind() call allows an application to specify a port number and an address on the

local machine. It allocates a local IPv4 endpoint for this socket.

At the end of data transmission, the socket is closed using the `Socket::Close()` . It returns a 0 on success and -1 on failure.

Please note that applications usually create the sockets automatically. Please refer to the source code of your preferred

application to discover how and when it creates the socket.

UDP Socket interaction and interface with Application layer

The following is the description of the public interface of the UDP socket, and how the interface is used to interact

with the socket itself.

Socket APIs for UDP connections :

`Connect()` This is called when `Send()` is used instead of `SendTo()` by the user. It sets the address of the remote

endpoint which is used by `Send()` . If the remote address is valid, this method makes a callback to `Connection-`

`Succeeded` .

`Bind()` Bind the socket to an address, or to a general endpoint. A general endpoint is an endpoint with an ephemeral

port allocation (that is, a random port allocation) on the 0.0.0.0 IP address. For instance, in current applications,

data senders usually bind automatically after a `Connect()` over a random port. Consequently, the connection

will start from this random port towards the well-defined port of the receiver. The IP 0.0.0.0 is then translated

by lower layers into the real IP of the device.

`Bind6()` Same as `Bind()` , but for IPv6.

`BindToNetDevice()` Bind the socket to the specified `NetDevice` . If set on a socket, this option will force packets to

leave the bound device regardless of the device that IP routing would naturally choose. In the receive direction,

only packets received from the bound interface will be delivered.

`ShutdownSend()` Signals the termination of send, or in other words, prevents data from being added to the buffer.

`Recv()` Grabs data from the UDP socket and forwards it to the application layer. If no data is present (i.e.

`m_deliveryQueue.empty()` returns 0), an empty packet is returned.

`RecvFrom()` Same as `Recv()` , but with the source address as parameter.

`SendTo()` The `SendTo()` API is the UDP counterpart of the TCP API `Send()` . It additionally specifies the address

to which the message is to be sent because no prior connection is established in UDP communication. It returns

the number of bytes sent or -1 in case of failure.

`Close()` The close API closes a socket and terminates the connection. This results in freeing all the data structures

previously allocated.

Public callbacks

These callbacks are called by the UDP socket to notify the application of interesting events. We will refer to these

with the protected name used in `socket.h` , but we will provide the API function to set the pointers to these callback

as well.

NotifyConnectionSucceeded :SetConnectCallback , 1st argument Called when the Connect() succeeds and the re-

mote address is validated.

NotifyConnectionFailed :SetConnectCallback , 2nd argument Called in Connect() when the the remote address

validation fails.

NotifyDataSent :SetDataSentCallback The socket notifies the application that some bytes have been transmitted at

the IP layer. These bytes could still be lost in the node (traffic control layer) or in the network.

NotifySend :SetSendCallback Invoked to get the space available in the tx buffer when a packet (that carries data) is sent.

NotifyDataRecv :SetRecvCallback Called when the socket receives a packet (that carries data) in the receiver buffer.

Validation

The following test cases have been provided for UDP implementation in the src/internet/test/udp-test.cc

file.

- UdpSocketImplTest: Checks data received via UDP Socket over IPv4.
- UdpSocketLoopbackTest: Checks data received via UDP Socket Loopback over IPv4.
- Udp6SocketImplTest : Checks data received via UDP Socket over IPv6.
- Udp6SocketLoopbackTest : Checks data received via UDP Socket Loopback over IPv6 Test.

Limitations

- UDP\_CORK is presently not the part of this implementation.
- NotifyNormalClose , NotifyErrorClose , NotifyConnectionRequest and NotifyNewConnectionCreated socket API callbacks are not supported.

## INTERNET APPLICATIONS MODULE DOCUMENTATION

The goal of this module is to hold all the Internet-specific applications, and most notably some very specific appli-

cations (e.g., ping) or daemons (e.g., radvd). Other non-Internet-specific applications such as packet generators are contained in other modules.

The source code for the new module lives in the directory src/internet-apps .

Each application has its own goals, limitations and scope, which are briefly explained in the following.

All the applications are extensively used in the top-level examples directories. The users are encouraged to check the

scripts therein to have a clear overview of the various options and usage tricks.

ThePing application supports both IPv4 and IPv6 and replaces earlier ns-3 implementations called v4Ping and

Ping6 that were address family dependent. Ping was introduced in the ns-3.38 release cycle.

This application behaves similarly to the Unix ping application, although with fewer options supported. Ping sends

ICMP Echo Request messages to a remote address, and collects statistics and reports on the ICMP Echo Reply re-

sponses that are received. The application can be used to send ICMP echo requests to unicast, broadcast, and multicast

IPv4 and IPv6 addresses. The application can produce a verbose output similar to the real application, and can also

export statistics and results via trace sources. The following can be controlled via attributes of this class:

- Destination address
- Local address (sender address)
- Packet size (default 56 bytes)
- Packet interval (default 1 second)
- Timeout value (default 1 second)
- The count, or maximum number of packets to send
- Verbose mode

In practice, the real-world ping application behavior varies slightly depending on the operating system (Linux, macOS, Windows, etc.). Most implementations also support a very large number of options. The ns-3 model is intended to handle the most common use cases of testing for reachability.

#### Design

The aim of ns-3 Ping application is to mimic the built-in application found in most operating systems. In practice, ping is usually used to check reachability of a destination, but additional options have been added over time and the tool can be used in different ways to gather statistics about reachability and round trip times (RTT). Since ns-3 is mainly used for performance studies and not for operational forensics, some options of real ping implementations may not be useful for simulations. However, the ns-3 application can deliver output and RTT samples similar to how the real application operates.

Ping is usually installed on a source node and does not require any ns-3 application installation on the destination node. Ping is an Application that can be started and stopped using the base class Application APIs.

#### Behavior

The behavior of real ping applications varies across operating systems. For example, on Linux, the first ICMP sequence number sent is one, while on macOS, the first sequence number is zero. The behavior when pinging non-existent hosts also can differ (Linux is quiet while macOS is verbose). Windows and other operating systems like Cisco routers also can behave slightly differently.

This implementation tries to generally follow the Linux behavior, except that it will print out a verbose 'request timed out' message when an echo request is sent and no reply arrives in a timely manner. The timeout value (time that ping waits for a response to return) defaults to one second, but once there are RTT samples available, the timeout is set to twice the observed RTT. In contrast to Linux (but aligned with macOS), the first sequence number sent is zero.

#### Scope and Limitations

ping implementations have a lot of command-line options. The ns-3 implementation only supports a few of the most commonly-used options; patches to add additional options would be welcome. At the present time, fragmentation (sending an ICMP Echo Request larger than the path MTU) is not handled correctly during Echo Response reassembly.

Users may create and install Ping applications on nodes on a one-by-one basis using CreateObject or

by using the

PingHelper . ForCreateObject , the following can be used:

```
Ptr<Node> n = ...;
```

```
Ptr<Ping> ping = CreateObject<Ping> ();
```

```
// Configure ping as needed...
```

```
n->AddApplication (ping);
```

Users should be aware of how this application stops. For most ns-3 applications, StopApplication() should

be called before the simulation is stopped. If the Count attribute of this application is set to a positive in-

teger, the application will stop (and a report will be printed) either when Count responses have been re-

ceived or when StopApplication() is called, whichever comes first. If Count is zero, meaning infinite

pings, then StopApplication() should be used to eventually stop the application and generate the report. If

StopApplication() is called while a packet (echo request) is in-flight, the response cannot be received and the

packet will be treated as lost in the report— real ping applications work this way as well. To avoid this, it is recom-

mended to call StopApplication() at a time when an Echo Request or Echo Response packet is not expected to be

in flight.

Helpers

ThePingHelper supports the typical Install usage pattern in ns-3. The following sample code is from the program

examples/tcp/tcp-validation.cc .

```
PingHelper pingHelper(Ipv4Address("192.168.1.2"));
```

```
pingHelper.SetAttribute("Interval", TimeValue(pingInterval));
```

```
pingHelper.SetAttribute("Size", UIntegerValue(pingSize));
```

```
pingHelper.SetAttribute("VerboseMode", EnumValue(Ping::VerboseMode::SILENT));
```

```
ApplicationContainer pingContainer = pingHelper.Install(pingServer);
```

```
Ptr<Ping> ping = pingContainer.Get(0)->GetObject<Ping>();
```

```
ping->TraceConnectWithoutContext("Rtt", MakeBoundCallback(&TracePingRtt, &  
!,pingOfStream));
```

```
pingContainer.Start(Seconds(1));
```

```
pingContainer.Stop(stopTime - Seconds(1));
```

The first statement sets the remote address (destination) for all application instances created with this helper. The

second and third statements perform further configuration. The fourth statement configures the verbosity to be totally

silent. The fifth statement is a typical Install() method that returns an ApplicationContainer (in this case, of size 1).

The sixth and seventh statements fetch the application instance created and configure a trace sink ( TracePingRtt )

for theRtttrace source. The eighth and ninth statements configure the start and stop time, respectively.

The helper is most useful when there are many similarly configured applications to install on a collection of nodes

(a NodeContainer). When there is only one Ping application to configure in a program, or when the configuration

between different instances is different, it may be more straightforward to directly create the Ping applications without the PingHelper.

#### Attributes

The following attributes can be configured:

- Destination : The IPv4 or IPv6 address of the machine we want to ping
- VerboseMode : Configure verbose, quiet, or silent output
- Interval : Time interval between sending each packet
- Size : The number of data bytes to be sent, before ICMP and IP headers are added
- Count : The maximum number of packets the application will send
- InterfaceAddress : Local address of the sender
- Timeout : Time to wait for response if no RTT samples are available

#### Output

If VerboseMode mode is set to VERBOSE , ping will output the results of ICMP Echo Reply responses to std::cout

output stream. If the mode is set to QUIET , only the initial statement and summary are printed. If the mode is set to

SILENT , no output will be printed to std::cout . These behavioral differences can be seen with the ping-example.

ccas follows:

```
$ ./ns3 run --no-build 'ping-example --ns3::Ping::VerboseMode=Verbose'
```

```
$ ./ns3 run --no-build 'ping-example --ns3::Ping::VerboseMode=Quiet'
```

```
$ ./ns3 run --no-build 'ping-example --ns3::Ping::VerboseMode=Silent'
```

Additional output can be gathered by using the four trace sources provided by Ping:

- Tx: This trace executes when a new packet is sent, and returns the sequence number and full packet (including ICMP header).
- Rtt: Each time an ICMP echo reply is received, this trace is called and reports the sequence number and RTT.
- Drop : If an ICMP error is returned instead of an echo reply, the sequence number and reason for reported drop are returned.
- Report : When ping completes and exits, it prints output statistics to the terminal. These values are copied to a struct PingReport and returned in this trace source.

#### Example

A basicping-example.cc program is provided to highlight the following usage. The topology has three nodes

interconnected by two point-to-point links. Each link has 5 ms one-way delay, for a round-trip propagation delay of

20 ms. The transmission rate on each link is 100 Mbps. The routing between links is enabled by ns-3's NixVector

routing.

By default, this program will send 5 pings from node A to node C. When using the default IPv6, the output will look

like this:

The example program will also produce four pcap traces (one for each NetDevice in the scenario) that can be viewed

using tcpdump or Wireshark.

Other program options include options to change the destination and source addresses, number of packets (count),

packet size, interval, and whether to enable logging (if logging is enabled in the build). These program options will

override any corresponding attribute settings.

Finally, the program has some code that can be enabled to selectively force packet drops to check such behavior.

The following test cases have been added for regression testing:

1. Unlimited pings, no losses, StopApplication () with no packets in flight
2. Unlimited pings, no losses, StopApplication () with one packet in flight
3. Test for operation of count attribute and exit time after all pings are received, for IPv4”
4. Test the operation of interval attribute, for IPv4
5. Test for behavior of pinging an unreachable host when the network does not send an ICMP unreachable message
6. Test ping to IPv4 broadcast address and IPv6 all nodes multicast address
7. Test behavior of first reply lost in a count-limited configuration
8. Test behavior of second reply lost in a count-limited configuration
9. Test behavior of last reply lost in a count-limited configuration.

This app mimics a “RADVD” daemon. I.e., the daemon responsible for IPv6 routers advertisements. All the IPv6

routers should have a RADVD daemon installed.

The configuration of the Radvd application mimics the one of the radvd Linux program.

Thens-3 implementation of Dynamic Host Configuration Protocol (DHCP) follows the specifications of RFC 2131

and RFC 2132 .

The source code for DHCP is located in src/internet-apps/model and consists of the following 6 files:

- dhcp-server.h,
- dhcp-server.cc,
- dhcp-client.h,
- dhcp-client.cc,
- dhcp-header.h and
- dhcp-header.cc

The following two files have been added to src/internet-apps/helper for DHCP:

- dhcp-helper.h and
- dhcp-helper.cc

The tests for DHCP can be found at src/internet-apps/test/dhcp-test.cc

The examples for DHCP can be found at src/internet-apps/examples/dhcp-example.cc

The server should be provided with a network address, mask and a range of address for the pool. One client application

can be installed on only one netdevice in a node, and can configure address for only that netdevice.

The following five basic DHCP messages are supported:

Also, the following eight options of BootP are supported:

- 1 (Mask)
- 50 (Requested Address)
- 51 (Address Lease Time)
- 53 (DHCP message type)
- 54 (DHCP server identifier)
- 58 (Address renew time)
- 59 (Address rebind time)
- 255 (end)

The client identifier option (61) can be implemented in near future.

In the current implementation, a DHCP client can obtain IPv4 address dynamically from the DHCP



server, and can

renew it within a lease time period.

Multiple DHCP servers can be configured, but the implementation does not support the use of a DHCP Relay yet.

Documentation is missing for this application.

PageBreak

LOW-RATE WIRELESS PERSONAL AREA NETWORK (LR-WPAN)

as specified by IEEE standard 802.15.4 (2003,2006,2011).

The model design closely follows the standard from an architectural standpoint.

The grey areas in the figure (adapted from Fig 3. of IEEE Std. 802.15.4-2006) show the scope of the model.

The Spectrum NetDevice from Nicola Baldo is the basis for the implementation.

The implementation also borrows some ideas from the ns-2 models developed by Zheng and Lee.

APIs

The APIs closely follow the standard, adapted for ns-3 naming conventions and idioms. The APIs are organized around

the concept of service primitives as shown in the following figure adapted from Figure 14 of IEEE Std. 802.15.4-2006.

The APIs are organized around four conceptual services and service access points (SAP):

- MAC data service (MCPS)
- MAC management service (MLME)
- PHY data service (PD)
- PHY management service (PLME)

In general, primitives are standardized as follows (e.g. Sec 7.1.1.1.1 of IEEE 802.15.4-2006)::

MCPS-DATA.request(  
SrcAddrMode,  
DstAddrMode,  
DstPANId,  
DstAddr,  
msduLength,  
msdu,  
msduHandle,  
TxOptions,  
SecurityLevel,  
KeyIdMode,  
KeySource,  
KeyIndex  
)

This maps to ns-3 classes and methods such as::

struct McpsDataRequestParameters

{

uint8\_t m\_srcAddrMode;

uint8\_t m\_dstAddrMode;

...

};

void

LrWpanMac::McpsDataRequest(McpsDataRequestParameters params)

{

(continues on next page)

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...

}

The primitives currently supported by the ns-3 model are:

#### MAC Primitives

- MCPS-DATA.Request
- MCPS-DATA.Confirm
- MCPS-DATA.Indication
- MLME-START.Request
- MLME-START.Confirm
- MLME-SCAN.Request
- MLME-SCAN.Confirm
- MLME-BEACON-NOFIFY .Indication
- MLME-ASSOCIATE.Request
- MLME-ASSOCIATE.Confirm
- MLME-ASSOCIATE.Response
- MLME-ASSOCIATE.Indication
- MLME-POLL.Confirm
- MLME-COMM-STATUS.Indication
- MLME-SYNC.Request
- MLME-SYNC-LOSS.Indication

#### PHY Primitives

- PLME-CCA.Request
- PLME-CCA.Confirm
- PD-DATA.Request
- PD-DATA.Confirm
- PD-DATA.Indication
- PLME-SET-TRX-STATE.Request
- PLME-SET-TRX-STATE.Confirm

For more information on primitives, See IEEE 802.15.4-2011, Table 8.

The MAC at present implements both, the unslotted CSMA/CA (non-beacon mode) and the slotted CSMA/CA (beacon-enabled mode). The beacon-enabled mode supports only direct transmissions. Indirect transmissions and

Guaranteed Time Slots (GTS) are currently not supported.

The present implementation supports a single PAN coordinator, support for additional coordinators is under consideration for future releases.

The implemented MAC is similar to Contiki's NullMAC, i.e., a MAC without sleep features. The radio is assumed

to be always active (receiving or transmitting), or completely shut down. Frame reception is not disabled while performing the CCA.

The main API supported is the data transfer API (McpaDataRequest/Indication/Confirm). CSMA/CA according to Std

802.15.4-2006, section 7.5.1.4 is supported. Frame reception and rejection according to Std 802.15.4-2006, section

7.5.6.2 is supported, including acknowledgements. Only short addressing completely implemented.

#### Various trace

sources are supported, and trace sources can be hooked to sinks.

The implemented ns-3 MAC supports scanning. Typically, a scanning request is preceded by an association request

but these can be used independently. IEEE 802.15.4 supports 4 types of scanning:

- Energy Detection (ED) Scan: In an energy scan, a device or a coordinator scan a set number of

channels looking

for traces of energy. The maximum energy registered during a given amount of time is stored. Energy scan is

typically used to measure the quality of a channel at any given time. For this reason, coordinators often use this

scan before initiating a PAN on a channel.

- Active Scan: A device sends beacon request commands on a set number of channels looking for a PAN coordinator. The receiving coordinator must be configured on non-beacon mode. Coordinators on beacon-mode

ignore these requests. The coordinators who accept the request, respond with a beacon. After an active scan take

place, during the association process devices extract the information in the PAN descriptors from the collected

beacons and based on this information (e.g. channel, LQI level), choose a coordinator to associate with.

- Passive Scan: In a passive scan, no beacon requests commands are sent. Devices scan a set number of channels looking for beacons currently being transmitted (coordinators in beacon-mode). Like in the active

scan, the information from beacons is stored in PAN descriptors and used by the device to choose a coordinator

to associate with.

- Orphan Scan: Orphan scan is used typically by device as a result of repeated communication failure attempts

with a coordinator. In other words, an orphan scan represents the intent of a device to relocate its coordinator. In

some situations, it can be used by devices higher layers to not only rejoin a network but also join a network for the

first time. In an orphan scan, a device send a orphan notification command to a given list of channels. If a

coordinator receives this notification, it responds to the device with a coordinator realignment command .

In active and passive scans, the link quality indicator (LQI) is the main parameter used to determine the optimal

coordinator. LQI values range from 0 to 255. Where 255 is the highest quality link value and 0 the lowest. Typically,

a link lower than 127 is considered a link with poor quality.

In LR-WPAN, association is used to join or leave PANs. All devices in LR-WPAN must belong to a PAN to

communicate. ns-3 uses a classic association procedure described in the standard. The standard also covers a

more effective association procedure known as fast association (See IEEE 802.15.4-2015, fastA) but this asso-

ciation is currently not supported by ns-3. Alternatively, ns-3 can do a “quick and dirty” association using ei-

ther`LrWpanHelper::AssociateToPan` or`LrWpanHelper::AssociateToBeaconPan`. These functions are used when a preset association can be done. For example, when the relationships between existing nodes and coor-

dinators are known and can be set before the beginning of the simulation. In other situations, like in many networks

in real deployments or in large networks, it is desirable that devices “associate themselves” with the best possible

available coordinator candidates. This is a process known as bootstrap, and simulating this process makes it possible

to demonstrate the kind of situations a node would face in which large networks to associate in real environment.

Bootstrap (a.k.a. network initialization) is possible with a combination of scan and association MAC primitives. De-

tails on the general process for this network initialization is described in the standard. Bootstrap is a complex process

that not only requires the scanning networks, but also the exchange of command frames and the use of a pending

transaction list (indirect transmissions) in the coordinator to store command frames. The following summarizes the

whole process:

Bootstrap as whole depends on procedures that also take place on higher layers of devices and coordinators. These

procedures are briefly described in the standard but out of its scope (See IEE 802.15.4-2011 Section 5.1.3.1.). However,

these procedures are necessary for a “complete bootstrap” process. In the examples in ns-3, these high layer procedures

are only briefly implemented to demonstrate a complete example that shows the use of scan and association. A full

high layer (e.g. such as those found in Zigbee and Thread protocol stacks) should complete these procedures more

robustly.

MAC queues

By default, Tx queue and Ind Tx queue (the pending transaction list) are not limited but they can configure to drop

packets after they reach a limit of elements (transaction overflow). Additionally, the Ind Tx queue drop packets

when the packet has been longer than `macTransactionPersistenceTime` (transaction expiration). Expiration

of packets in the Tx queue is not supported. Finally, packets in the Tx queue may be dropped due to excessive

transmission retries or channel access failure.

The physical layer components consist of a Phy model, an error rate model, and a loss model. The PHY state transitions

are roughly model after ATMEL's AT86RF233.

The error rate model presently models the error rate for IEEE 802.15.4 2.4 GHz AWGN channel for OQPSK; the

model description can be found in IEEE Std 802.15.4-2006, section E.4.1.7. The Phy model is based on `SpectrumPhy`

and it follows specification described in section 6 of IEEE Std 802.15.4-2006. It models PHY service specifications,

PPDU formats, PHY constants and PIB attributes. It currently only supports the transmit power spectral density mask

specified in 2.4 GHz per section 6.5.3.1. The noise power density assumes uniformly distributed thermal noise across

the frequency bands. The loss model can fully utilize all existing simple (non-spectrum phy) loss models. The Phy

model uses the existing single spectrum channel model. The physical layer is modeled on packet level, that is, no

preamble/SFD detection is done. Packet reception will be started with the first bit of the preamble (which is not modeled), if the SNR is more than -5 dB, see IEEE Std 802.15.4-2006, appendix E, Figure E.2. Reception of the packet will finish after the packet was completely transmitted. Other packets arriving during reception will add up to the interference/noise.

Rx sensitivity is defined as the weakest possible signal point at which a receiver can receive and decode a packet with a high success rate. According to the standard (IEEE Std 802.15.4-2006, section 6.1.7), this corresponds to the point where the packet error rate is under 1% for 20 bytes PSDU reference packets (11 bytes MAC header + 7 bytes payload (MSDU) + FCS 2 bytes). Setting low Rx sensitivity values (increasing the radio hearing capabilities) have the effect to receive more packets (and at a greater distance) but it raises the probability to have dropped packets at the MAC layer or the probability of corrupted packets. By default, the receiver sensitivity is set to the maximum theoretical possible value of -106.58 dBm for the supported IEEE 802.15.4 O-QPSK 250kps. This rx sensitivity is set for the “perfect radio” which only considers the floor noise, in essence, this do not include the noise factor (noise introduced by imperfections in the demodulator chip or external factors). The receiver sensitivity can be changed to different values using SetRxSensitivity function in the PHY to simulate the hearing capabilities of different compliant radio transceivers (the standard minimum compliant Rx sensitivity is -85 dBm).:

NoiseFloor Max Sensitivity Min Sensitivity  
-106.987dBm -106.58dBm -85dBm

```
|-----|-----|
| <----->|
```

Acceptable sensitivity range

The example `lr-wpan-per-plot.cc` shows that at given Rx sensitivity, packets are dropped regardless of their theoretical error probability. This program outputs a file named `802.15.4-per-vs-rxSignal.plt`. Loading this file into gnuplot yields a file `802.15.4-per-vs-rsSignal`.

eps, which can be converted to pdf or other formats. Packet payload size, Tx power and Rx sensitivity can be configured. The point where the blue line crosses with the PER indicates the Rx sensitivity. The default output is shown below.

-110 -108 -106 -104 -102 -100 -98 -96 -94 -92 -90 -88 -86 -84 -82 Packet Error Rate (%)  
Rx signal (dBm) Pkt Payload (MSDU) size = 7 bytes | Tx power = 0 dBm | Rx Sensitivity (Theo) = -106.58 dBm

Experimental

Theoretical

NetDevice

Although it is expected that other technology profiles (such as 6LoWPAN and ZigBee) will write their own NetDevice

classes, a basic LrWpanNetDevice is provided, which encapsulates the common operations of creating a generic

LrWpan device and hooking things together.

MAC addresses

Contrary to other technologies, a IEEE 802.15.4 has 2 different kind of addresses:

- Long addresses (64 bits)
- Short addresses (16 bits)

The 64-bit addresses are unique worldwide, and set by the device vendor (in a real device). The 16-bit addresses

are not guaranteed to be unique, and they are typically either assigned during the devices deployment, or assigned dynamically during the device bootstrap.

The other relevant “address” to consider is the PanId (16 bits), which represents the PAN the device is attached to.

Due to the limited number of available bytes in a packet, IEEE 802.15.4 tries to use short addresses instead of long

addresses, even though the two might be used at the same time.

For the sake of communicating with the upper layers, and in particular to generate auto-configured IPv6 addresses,

each NetDevice must identify itself with a MAC address. The MAC addresses are also used during packet reception,

so it is important to use them consistently.

Focusing on IPv6 Stateless address autoconfiguration (SLAAC), there are two relevant RFCs to consider: RFC 4944

and RFC 6282, and the two differ on how to build the IPv6 address given the NetDevice address.

RFC 4944 mandates that the IID part of the IPv6 address is calculated as YYYY:00ff:fe00:XXXX , while RFC 6282

mandates that the IID part of the IPv6 address is calculated as 0000:00ff:fe00:XXXX whereXXXX is the device

short address, and YYYY is the PanId. In both cases the U/L bit must be set to local, so in the RFC 4944 the PanId

might have one bit flipped.

In order to facilitate interoperability, and to avoid unwanted module dependencies, the ns-3 implementation moves the

IID calculation in the LrWpanNetDevice::GetAddress () , which will return an Address formatted properly, i.e.:

- The Long address (a Mac64Address ) if the Short address has not been set, or
- A properly formatted 48-bit pseudo-address (a Mac48Address ) if the short address has been set.

The 48-bit pseudo-address is generated according to either RFC 4944 or RFC 6282 depending on the configuration of

an Attribute ( PseudoMacAddressMode ).

The default is to use RFC 6282 style addresses.

Note that, on reception, a packet might contain either a short or a long address. This is reflected in the upper-layer

notification callback, which can contain either the pseudo-address (48 bits) or the long address (64 bit) of the sender.

Note also that RFC 4944 or RFC 6282 are the RFCs defining the IPv6 address compression formats (HC1 and IPHC

respectively). It is definitely not a good idea to either mix devices using different pseudo-address format or compression

types in the same network. This point is further discussed in the sixlowpan module documentation.

Future versions of this document will contain a PICS proforma similar to Appendix D of IEEE 802.15.4-2006. The current emphasis is on direct transmissions running on both, slotted and unslotted mode (CSMA/CA) of 802.15.4 operation for use in Zigbee.

- Indirect data transmissions are not supported but planned for a future update.
- Devices are capable of associating with a single PAN coordinator. Interference is modeled as AWGN but this is currently not thoroughly tested.
- The standard describes the support of multiple PHY band-modulations but currently, only 250kbps O-QPSK (channel page 0) is supported.
- Active and passive MAC scans are able to obtain a LQI value from a beacon frame, however, the scan primitives assumes LQI is correctly implemented and does not check the validity of its value.
- Configuration of the ED thresholds are currently not supported.
- Coordinator realignment command is only supported in orphan scans.
- Disassociation primitives are not supported.
- Security is not supported.
- Beacon enabled mode GTS are not supported.
- Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for Low-Rate Wireless Personal Area Networks (WPANs), IEEE Computer Society, IEEE Std 802.15.4-2006, 8 September 2006.
- IEEE Standard for Local and metropolitan area networks—Part 15.4: Low-Rate Wireless Personal Area Networks (LR-WPANs),” in IEEE Std 802.15.4-2011 (Revision of IEEE Std 802.15.4-2006) , vol., no., pp.1-314, 5 Sept.

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- J. Zheng and Myung J. Lee, “A comprehensive performance study of IEEE 802.15.4,” Sensor Network Op-
- Alberto Gallegos Ramonet and Taku Noguchi. 2020. LR-WPAN: Beacon Enabled Direct Transmissions on Ns-3. In 2020 the 6th International Conference on Communication and Information Processing (ICCIP 2020). Association for Computing Machinery, New York, NY , USA, 115–122.  
<https://doi.org/10.1145/3442555.3442574>.

- Gallegos Ramonet, A.; Noguchi, T. Performance Analysis of IEEE 802.15.4 Bootstrap Process. Electronics 2022, 11, 4090. <https://doi.org/10.3390/electronics11244090>.  
Addlr-wpan to the list of modules built with ns-3.

The helper is patterned after other device helpers. In particular, tracing (ascii and pcap) is enabled similarly, and enabling of all lr-wpan log components is performed similarly. Use of the helper is exemplified in examples/  
lr-wpan-data.cc . For ascii tracing, the transmit and receive traces are hooked at the Mac layer. The default propagation loss model added to the channel, when this helper is used, is the LogDistancePropagation-LossModel with default parameters.

The following examples have been written, which can be found in src/lr-wpan/examples/ :

- lr-wpan-data.cc : A simple example showing end-to-end data transfer.
- lr-wpan-error-distance-plot.cc : An example to plot variations of the packet success ratio as a function

of distance.

- lr-wpan-per-plot.cc : An example to plot the theoretical and experimental packet error rate (PER) as a function of receive signal.

- lr-wpan-error-model-plot.cc : An example to test the phy.

- lr-wpan-packet-print.cc : An example to print out the MAC header fields.

- lr-wpan-phy-test.cc : An example to test the phy.

- lr-wpan-ed-scan.cc : Simple example showing the use of energy detection (ED) scan in the MAC.

- lr-wpan-active-scan.cc : A simple example showing the use of an active scan in the MAC.

- lr-wpan-mlme.cc : Demonstrates the use of Ir-wpan beacon mode. Nodes use a manual association (i.e. No

bootstrap) in this example.

- lr-wpan-bootstrap.cc : Demonstrates the use of scanning and association working together to initiate a

- lr-wpan-orphan-scan.cc : Demonstrates the use of an orphan scanning in a simple network joining procedure.

In particular, the module enables a very simplified end-to-end data transfer scenario, implemented in lr-wpan-data.

cc. The figure shows a sequence of events that are triggered when the MAC receives a DataRequest from the higher

layer. It invokes a Clear Channel Assessment (CCA) from the PHY , and if successful, sends the frame down to the

PHY where it is transmitted over the channel and results in a DataIndication on the peer node.

The example lr-wpan-error-distance-plot.cc plots the packet success ratio (PSR) as a function of distance,

using the default LogDistance propagation loss model and the 802.15.4 error model. The channel (default 11), packet

size (default PSDU 20 bytes = 11 bytes MAC header + data payload), transmit power (default 0 dBm) and Rx sensi-

tivity (default -106.58 dBm) can be varied by command line arguments. The program outputs a file named 802.15.

4-psr-distance.plt . Loading this file into gnuplot yields a file 802.15.4-psr-distance.eps , which can be

converted to pdf or other formats. The following image shows the output of multiple runs using different Rx sensitivity

values. A higher Rx sensitivity (lower dBm) results in a increased communication distance but also makes the radio

susceptible to more interference from surrounding devices.

The following tests have been written, which can be found in src/lr-wpan/tests/ :

- lr-wpan-ack-test.cc : Check that acknowledgments are being used and issued in the correct order.

- lr-wpan-collision-test.cc : Test correct reception of packets with interference and collisions.

- lr-wpan-error-model-test.cc : Check that the error model gives predictable values.

- lr-wpan-packet-test.cc : Test the 802.15.4 MAC header/trailer classes

- lr-wpan-pd-plme-sap-test.cc : Test the PLME and PD SAP per IEEE 802.15.4

- lr-wpan-spectrum-value-helper-test.cc : Test that the conversion between power (expressed as a scalar quantity) and spectral power, and back again, falls within a 25% tolerance across the range of possi-

ble channels and input powers.

- lr-wpan-ifs-test.cc : Check that the Intraframe Spaces (IFS) are being used and issued in the correct order.



00.20.40.60.81

0 20 40 60 80 100 120 140 160 180 200 Packet Success Rate (PSR)

distance (m)-85

-90

-95

-100

-105

-106.58

•lr-wpan-slotted-csmaca-test.cc : Test the transmission and deferring of data packets in the Contention

Access Period (CAP) for the slotted CSMA/CA (beacon-enabled mode).

The model has not been validated against real hardware. The error model has been validated against the data in IEEE

Std 802.15.4-2006, section E.4.1.7 (Figure E.2). The MAC behavior (CSMA backoff) has been validated by hand

against expected behavior. The below plot is an example of the error model validation and can be reproduced by

runninglr-wpan-error-model-plot.cc :

-10 -5 0 5 10 15 Bit Error Rate (BER)

SNR (dB)802.15.4

An overview of the LTE-EPC simulation model is depicted in the figure Overview of the LTE-EPC simulation model .

There are two main components:

- the LTE Model. This model includes the LTE Radio Protocol stack (RRC, PDCP, RLC, MAC, PHY). These entities reside entirely within the UE and the eNB nodes.

- the EPC Model. This model includes core network interfaces, protocols and entities. These entities and protocols

reside within the SGW, PGW and MME nodes, and partially within the eNB nodes.

LTE Model

The LTE model has been designed to support the evaluation of the following aspects of LTE systems:

- Radio Resource Management
- QoS-aware Packet Scheduling
- Inter-cell Interference Coordination
- Dynamic Spectrum Access

In order to model LTE systems to a level of detail that is sufficient to allow a correct evaluation of the above mentioned

aspects, the following requirements have been considered:

1. At the radio level, the granularity of the model should be at least that of the Resource Block (RB). In fact,

this is the fundamental unit being used for resource allocation. Without this minimum level of granularity, it is

not possible to model accurately packet scheduling and inter-cell-interference. The reason is that, since packet

scheduling is done on a per-RB basis, an eNB might transmit on a subset only of all the available RBs, hence

interfering with other eNBs only on those RBs where it is transmitting. Note that this requirement rules out the

adoption of a system level simulation approach, which evaluates resource allocation only at the granularity of

call/bearer establishment.

2. The simulator should scale up to tens of eNBs and hundreds of User Equipment (UEs). This rules

out the use of

a link level simulator, i.e., a simulator whose radio interface is modeled with a granularity up to the symbol level.

This is because to have a symbol level model it is necessary to implement all the PHY layer signal processing,

whose huge computational complexity severely limits simulation. In fact, link-level simulators are normally

limited to a single eNB and one or a few UEs.

3. It should be possible within the simulation to configure different cells so that they use different carrier frequen-

cies and system bandwidths. The bandwidth used by different cells should be allowed to overlap, in order to

support dynamic spectrum licensing solutions such as those described in [Ofcom2600MHz] and [RealWireless].

The calculation of interference should handle appropriately this case.

4. To be more representative of the LTE standard, as well as to be as close as possible to real-world implemen-

tations, the simulator should support the MAC Scheduler API published by the FemtoForum [FFAPI]. This

interface is expected to be used by femtocell manufacturers for the implementation of scheduling and Radio

Resource Management (RRM) algorithms. By introducing support for this interface in the simulator, we make

it possible for LTE equipment vendors and operators to test in a simulative environment exactly the same algo-

rithms that would be deployed in a real system.

5. The LTE simulation model should contain its own implementation of the API defined in [FFAPI].

Neither

binary nor data structure compatibility with vendor-specific implementations of the same interface are expected;

hence, a compatibility layer should be interposed whenever a vendor-specific MAC scheduler is to be used with

the simulator. This requirement is necessary to allow the simulator to be independent from vendor-specific

implementations of this interface specification. We note that [FFAPI] is a logical specification only, and its

implementation (e.g., translation to some specific programming language) is left to the vendors.

6. The model is to be used to simulate the transmission of IP packets by the upper layers. With this respect, it

shall be considered that in LTE the Scheduling and Radio Resource Management do not work with IP packets

directly, but rather with RLC PDUs, which are obtained by segmentation and concatenation of IP packets done

by the RLC entities. Hence, these functionalities of the RLC layer should be modeled accurately.

EPC Model

The main objective of the EPC model is to provides means for the simulation of end-to-end IP connectivity over the

LTE model. To this aim, it supports for the interconnection of multiple UEs to the Internet, via a radio access network

of multiple eNBs connected to the core network, as shown in Figure Overview of the LTE-EPC simulation model .

The following design choices have been made for the EPC model:

1. The Packet Data Network (PDN) type supported is both IPv4 and IPv6. In other words, the end-to-end connections between the UEs and the remote hosts can be IPv4 and IPv6. However, the networks between the core network elements (MME, SGWs and PGWs) are IPv4-only.
2. The SGW and PGW functional entities are implemented in different nodes, which are hence referred to as the SGW node and PGW node, respectively.
3. The MME functional entities is implemented as a network node, which is hence referred to as the MME node.
4. The scenarios with inter-SGW mobility are not of interest. But several SGW nodes may be present in simulations scenarios.
5. A requirement for the EPC model is that it can be used to simulate the end-to-end performance of realistic applications. Hence, it should be possible to use with the EPC model any regular ns-3 application working on top of TCP or UDP.
6. Another requirement is the possibility of simulating network topologies with the presence of multiple eNBs, some of which might be equipped with a backhaul connection with limited capabilities. In order to simulate such scenarios, the user data plane protocols being used between the eNBs and the SGW should be modeled accurately.
7. It should be possible for a single UE to use different applications with different QoS profiles. Hence, multiple EPS bearers should be supported for each UE. This includes the necessary classification of TCP/UDP traffic over IP done at the UE in the uplink and at the PGW in the downlink.
8. The initial focus of the EPC model is mainly on the EPC data plane. The accurate modeling of the EPC control plane is, for the time being, not a requirement; however, the necessary control plane interactions among the different network nodes of the core network are realized by implementing control protocols/messages among them. Direct interaction among the different simulation objects via the provided helper objects should be avoided as much as possible.
9. The focus of the EPC model is on simulations of active users in ECM connected mode. Hence, all the functionality that is only relevant for ECM idle mode (in particular, tracking area update and paging) are not modeled at all.
10. The model should allow the possibility to perform an X2-based handover between two eNBs.

#### LTE Model

##### UE architecture

The architecture of the LTE radio protocol stack model of the UE is represented in the figures LTE radio protocol stack

architecture for the UE on the data plane and LTE radio protocol stack architecture for the UE on the

control plane

which highlight respectively the data plane and the control plane.

The architecture of the PHY/channel model of the UE is represented in figure PHY and channel model architecture

for the UE .

eNB architecture

The architecture of the LTE radio protocol stack model of the eNB is represented in the figures LTE radio protocol

stack architecture for the eNB on the data plane and LTE radio protocol stack architecture for the eNB on the control

plane which highlight respectively the data plane and the control plane.

The architecture of the PHY/channel model of the eNB is represented in figure PHY and channel model architecture

for the eNB .

EPC Model

EPC data plane

In Figure LTE-EPC data plane protocol stack , we represent the end-to-end LTE-EPC data plane protocol stack as it is

modeled in the simulator. The figure shows all nodes in the data path, i.e. UE, eNB, SGW, PGW and a remote host in

the Internet. All protocol stacks (S5 protocol stack, S1-U protocol stack and the LTE radio protocol stack) specified

by 3GPP are present.

EPC control plane

The architecture of the implementation of the control plane model is shown in figure LTE-EPC control plane protocol

stack . The control interfaces that are modeled explicitly are the S1-MME, the S11, and the S5 interfaces. The X2

interface is also modeled explicitly and it is described in more detail in section X2

The S1-MME, the S11 and the S5 interfaces are modeled using protocol data units sent over its respective links. These

interfaces use the SCTP protocol as transport protocol but currently, the SCTP protocol is not modeled in the ns-3

simulator, so the UDP protocol is used instead of the SCTP protocol.

For channel modeling purposes, the LTE module uses the SpectrumChannel interface provided by the spectrum module. At the time of this writing, two implementations of such interface are available:

SingleModelSpectrumChannel and MultiModelSpectrumChannel , and the LTE module requires the use of the MultiModelSpectrumChannel in order to work properly. This is because of the need to support different fre-

quency and bandwidth configurations. All the propagation models supported by

MultiModelSpectrumChannel can

be used within the LTE module.

Use of the Buildings model with LTE

The recommended propagation model to be used with the LTE module is the one provided by the Buildings mod-

ule, which was in fact designed specifically with LTE (though it can be used with other wireless technologies as

well). Please refer to the documentation of the Buildings module for generic information on the propagation model it

provides.

In this section we will highlight some considerations that specifically apply when the Buildings

module is used together with the LTE module.

The naming convention used in the following will be:

- User equipment: UE
- Macro Base Station: MBS
- Small cell Base Station (e.g., pico/femtocell): SC

The LTE module considers FDD only, and implements downlink and uplink propagation separately. As a consequence,

the following pathloss computations are performed

- MBS <-> UE (indoor and outdoor)
- SC (indoor and outdoor) <-> UE (indoor and outdoor)

The LTE model does not provide the following pathloss computations:

- UE <-> UE
- MBS <-> MBS
- MBS <-> SC
- SC <-> SC

The Buildings model does not know the actual type of the node; i.e., it is not aware of whether a transmitter node is a

UE, a MBS, or a SC. Rather, the Buildings model only cares about the position of the node: whether it is indoor and

outdoor, and what is its z-axis respect to the rooftop level. As a consequence, for an eNB node that is placed outdoor

and at a z-coordinate above the rooftop level, the propagation models typical of MBS will be used by the Buildings

module. Conversely, for an eNB that is placed outdoor but below the rooftop, or indoor, the propagation models typical of pico and femtocells will be used.

For communications involving at least one indoor node, the corresponding wall penetration losses will be calculated

by the Buildings model. This covers the following use cases:

- MBS <-> indoor UE
- outdoor SC <-> indoor UE
- indoor SC <-> indoor UE
- indoor SC <-> outdoor UE

Please refer to the documentation of the Buildings module for details on the actual models used in each case.

#### Fading Model

The LTE module includes a trace-based fading model derived from the one developed during the GSoC 2010

[Piro2011]. The main characteristic of this model is the fact that the fading evaluation during simulation run-time

is based on pre-calculated traces. This is done to limit the computational complexity of the simulator. On the other

hand, it needs huge structures for storing the traces; therefore, a trade-off between the number of possible parameters

and the memory occupancy has to be found. The most important ones are:

- users' speed: relative speed between users (affects the Doppler frequency, which in turns affects the time-variance property of the fading)
- number of taps (and relative power): number of multiple paths considered, which affects the frequency property

of the fading.

- time granularity of the trace: sampling time of the trace.
- frequency granularity of the trace: number of values in frequency to be evaluated.
- length of trace: ideally large as the simulation time, might be reduced by windowing mechanism.
- number of users: number of independent traces to be used (ideally one trace per user).

With respect to the mathematical channel propagation model, we suggest the one provided by the `rayleighchan`

function of Matlab, since it provides a well accepted channel modelization both in time and frequency domain. For

more information, the reader is referred to [mathworks].

The simulator provides a matlab script ( `src/lte/model/fading-traces/fading-trace-generator.m` ) for generating traces based on the format used by the simulator. In detail, the channel object created with the `rayleighchan`

function is used for filtering a discrete-time impulse signal in order to obtain the channel impulse response. The fil-

tering is repeated for different TTI, thus yielding subsequent time-correlated channel responses (one per TTI). The

channel response is then processed with the `pwelch` function for obtaining its power spectral density values, which

are then saved in a file with the proper format compatible with the simulator model.

Since the number of variable it is pretty high, generate traces considering all of them might produce a high number

of traces of huge size. On this matter, we considered the following assumptions of the parameters based on the 3GPP

fading propagation conditions (see Annex B.2 of [TS36104]):

- users' speed: typically only a few discrete values are considered, i.e.:

–0 and 3 kmph for pedestrian scenarios

–30 and 60 kmph for vehicular scenarios

–0, 3, 30 and 60 for urban scenarios

- channel taps: only a limited number of sets of channel taps are normally considered, for example three models

are mentioned in Annex B.2 of [TS36104].

- time granularity: we need one fading value per TTI, i.e., every 1 ms (as this is the granularity in time of the ns-3

LTE PHY model).

- frequency granularity: we need one fading value per RB (which is the frequency granularity of the spectrum

model used by the ns-3 LTE model).

- length of the trace: the simulator includes the windowing mechanism implemented during the GSoC 2011,

which consists of picking up a window of the trace each window length in a random fashion.

- per-user fading process: users share the same fading trace, but for each user a different starting point in the trace

is randomly picked up. This choice was made to avoid the need to provide one fading trace per user.

According to the parameters we considered, the following formula express in detail the total size Straces of the fading

traces:

$$\text{Straces} = \text{Ssample} \cdot \text{NRB} \cdot \text{Ttrace}$$

$$\text{Tsample} \cdot \text{Nscenarios}$$
 [bytes]

where `Ssample` is the size in bytes of the sample (e.g., 8 in case of double precision, 4 in case of float precision), `NRB`

is the number of RB or set of RBs to be considered,  $T_{\text{trace}}$  is the total length of the trace,  $T_{\text{sample}}$  is the time resolution of the trace (1 ms), and  $N_{\text{scenarios}}$  is the number of fading scenarios that are desired (i.e., combinations of different sets of channel taps and user speed values). We provide traces for 3 different scenarios one for each taps configuration defined in Annex B.2 of [TS36104]:

- Pedestrian: with nodes' speed of 3 kmph.
- Vehicular: with nodes' speed of 60 kmph.
- Urban: with nodes' speed of 3 kmph.

hence  $N_{\text{scenarios}} = 3$ . All traces have  $T_{\text{trace}} = 10$  s and  $R_{\text{BNUM}} = 100$ . This results in a total 24 MB bytes of traces.

## Antennas

Being based on the SpectrumPhy, the LTE PHY model supports antenna modeling via the ns-3 AntennaModel

class. Hence, any model based on this class can be associated with any eNB or UE instance. For instance, the use of

theCosineAntennaModel associated with an eNB device allows to model one sector of a macro base station. By

default, the IsotropicAntennaModel is used for both eNBs and UEs.

## Overview

The physical layer model provided in this LTE simulator is based on the one described in [Piro2011], with the following

modifications. The model now includes the inter cell interference calculation and the simulation of uplink traffic,

including both packet transmission and CQI generation.

## Subframe Structure

The subframe is divided into control and data part as described in Figure LTE subframe division. .

Considering the granularity of the simulator based on RB, the control and the reference signaling have to be conse-

quently modeled considering this constraint. According to the standard [TS36211], the downlink control frame starts

at the beginning of each subframe and lasts up to three symbols across the whole system bandwidth, where the actual

duration is provided by the Physical Control Format Indicator Channel (PCFICH). The information on the alloca-

tion are then mapped in the remaining resource up to the duration defined by the PCFICH, in the so called Physical

Downlink Control Channel (PDCCH). A PDCCH transports a single message called Downlink Control Information

(DCI) coming from the MAC layer, where the scheduler indicates the resource allocation for a specific user. The PC-

FICH and PDCCH are modeled with the transmission of the control frame of a fixed duration of 3/14 of milliseconds

spanning in the whole available bandwidth, since the scheduler does not estimate the size of the control region. This

implies that a single transmission block models the entire control frame with a fixed power (i.e., the one used for the

PDSCH) across all the available RBs. According to this feature, this transmission represents also a valuable support

for the Reference Signal (RS). This allows of having every TTI an evaluation of the interference scenario since all the eNB are transmitting (simultaneously) the control frame over the respective available bandwidths. We note that, the model does not include the power boosting since it does not reflect any improvement in the implemented model of the channel estimation.

The Sounding Reference Signal (SRS) is modeled similar to the downlink control frame. The SRS is periodically placed in the last symbol of the subframe in the whole system bandwidth. The RRC module already includes an algorithm for dynamically assigning the periodicity as function of the actual number of UEs attached to a eNB according to the UE-specific procedure (see Section 8.2 of [TS36213]).

#### MAC to Channel delay

To model the latency of real MAC and PHY implementations, the PHY model simulates a MAC-to-channel delay in multiples of TTIs (1ms). The transmission of both data and control packets are delayed by this amount.

#### CQI feedback

The generation of CQI feedback is done accordingly to what specified in [FFAPI]. In detail, we considered the

generation of periodic wideband CQI (i.e., a single value of channel state that is deemed representative of all RBs in

use) and inband CQIs (i.e., a set of value representing the channel state for each RB).

The CQI index to be reported is obtained by first obtaining a SINR measurement and then passing this SINR measure-

ment to the Adaptive Modulation and Coding module which will map it to the CQI index.

In downlink, the SINR used to generate CQI feedback can be calculated in two different ways:

1. Ctrlmethod: SINR is calculated combining the signal power from the reference signals (which in the simulation

is equivalent to the PDCCH) and the interference power from the PDCCH. This approach results in considering

any neighboring eNB as an interferer, regardless of whether this eNB is actually performing any PDSCH

transmission, and regardless of the power and RBs used for eventual interfering PDSCH transmissions.

2. Mixed method: SINR is calculated combining the signal power from the reference signals (which in the simulation

is equivalent to the PDCCH) and the interference power from the PDSCH. This approach results in

considering as interferers only those neighboring eNBs that are actively transmitting data on the PDSCH, and

allows to generate inband CQIs that account for different amounts of interference on different RBs according

to the actual interference level. In the case that no PDSCH transmission is performed by any eNB, this method

considers that interference is zero, i.e., the SINR will be calculated as the ratio of signal to noise only.

To switch between this two CQI generation approaches, `LteHelper::UsePdschForCqiGeneration` needs to be

configured: false for first approach and true for second approach (true is default value):



```
Config::SetDefault("ns3::LteHelper::UsePdschForCqiGeneration", BooleanValue(true));
```

In uplink, two types of CQIs are implemented:

- SRS based, periodically sent by the UEs.
- PUSCH based, calculated from the actual transmitted data.

The scheduler interface includes an attribute system called `UICqiFilter` for managing the filtering of the CQIs according to their nature, in detail:

- `SRS_UL_CQI` for storing only SRS based CQIs.
- `PUSCH_UL_CQI` for storing only PUSCH based CQIs.

It has to be noted that, the `FfMacScheduler` provides only the interface and it is matter of the actual scheduler

implementation to include the code for managing these attributes (see scheduler related section for more information

on this matter).

#### Interference Model

The PHY model is based on the well-known Gaussian interference models, according to which the powers of interfering signals (in linear units) are summed up together to determine the overall interference power.

The sequence diagram of Figure Sequence diagram of the PHY interference calculation procedure shows how inter-

fering signals are processed to calculate the SINR, and how SINR is then used for the generation of CQI feedback.

LTE Spectrum Model

#### LTE Spectrum Model

The usage of the radio spectrum by eNBs and UEs in LTE is described in [TS36101]. In the simulator, radio spectrum

usage is modeled as follows. Let  $f_c$  denote the LTE Absolute Radio Frequency Channel Number, which identifies the

carrier frequency on a 100 kHz raster; furthermore, let  $B$  be the Transmission Bandwidth Configuration in number of

Resource Blocks. For every pair  $(f_c; B)$  used in the simulation we define a corresponding `SpectrumModel` using the

functionality provided by the Spectrum Module . model using the Spectrum framework described in [Baldo2009].  $f_c$

and  $B$  can be configured for every eNB instantiated in the simulation; hence, each eNB can use a different spectrum

model. Every UE will automatically use the spectrum model of the eNB it is attached to. Using the `MultiModelSpec-`

`trumChannel` described in [Baldo2009], the interference among eNBs that use different spectrum models is properly

accounted for. This allows to simulate dynamic spectrum access policies, such as for example the spectrum licensing

policies that are discussed in [Ofcom2600MHz].

#### Data PHY Error Model

The simulator includes an error model of the data plane (i.e., PDSCH and PUSCH) according to the standard link-

to-system mapping (LSM) techniques. The choice is aligned with the standard system simulation methodology of

OFDMA radio transmission technology. Thanks to LSM we are able to maintain a good level of accuracy and at the

same time limiting the computational complexity increase. It is based on the mapping of single link layer performance

obtained by means of link level simulators to system (in our case network) simulators. In particular link the layer simulator is used for generating the performance of a single link from a PHY layer perspective, usually in terms of code block error rate (BLER), under specific static conditions. LSM allows the usage of these parameters in more complex scenarios, typical of system/network simulators, where we have more links, interference and “colored” channel propagation phenomena (e.g., frequency selective fading). To do this the Vienna LTE Simulator [ViennaLteSim] has been used for what concerns the extraction of link layer performance and the Mutual Information Based Effective SINR (MIESM) as LSM mapping function using part of the work recently published by the Signet Group of University of Padua [PaduaPEM]. The specific LSM method adopted is the one based on the usage of a mutual information metric, commonly referred to as the mutual information per per coded bit (MIB or MMIB when a mean of multiples MIBs is involved). Another option would be represented by the Exponential ESM (EESM); however, recent studies demonstrate that MIESM outperforms EESM in terms of accuracy [LozanoCost]. The mutual information (MI) is dependent on the constellation mapping and can be calculated per transport block (TB) basis, by evaluating the MI over the symbols and the subcarrier. However, this would be too complex for a network simulator. Hence, in our implementation a flat channel response within the RB has been considered; therefore the overall MI of a TB is calculated averaging the MI evaluated per each RB used in the TB. In detail, the implemented

```

Scheduler
SpectrumChannel
LteSpectrumPhy
LteInterference
LteCqiSinrChunkProcess
or
LtePhy
at 0.001s: StartRx(signal1)
StartRx(interferer)
AddSignal()
at 0.001s: StartRx(signal2)
at 0.001s: StartRx(signal2)
AddSignal(signal2)
StartRx(signal2)
Schedule (EndRx)
at 0.001s: StartRx(signal3)
StartRx(signal3)
AddSignal(signal3)
at 0.002s: EndRx()
EndRx()
EvaluateSinrChunk()
End()

```

GenerateCqiFeedback(SINR of signal2)

0 1 2 3 4 5 6 7 8 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

TB = 160 (AWGN)

TB = 160 (estimated)

-20 -15 -10 -5 0 5 10 15 20 25 30RBIR

Signal-to-noise ratio SNR [dB]QPSK

4 4.5 5 5.5 6 6.5 7 7.5 8 8.5 9 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

4 4.5 5 5.5 6 6.5 7 7.5 8 8.5 9 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)SINR#1

I#3Modulation Model

Information

collection & correction

MI–metricMI

TB length MCS ECR

Performance

(tables)Coding Model

## I#N64QAMVienna LTE Simulator Mapping

scheme is depicted in Figure MIESM computational procedure diagram , where we see that the model starts by evalu-

ating the MI value for each RB, represented in the figure by the SINR samples. Then the equivalent MI is evaluated

per TB basis by averaging the MI values. Finally, a further step has to be done since the link level simulator returns

the performance of the link in terms of block error rate (BLER) in a additive white gaussian noise (AWGN) channel,

where the blocks are the code blocks (CBs) independently encoded/decoded by the turbo encoder. On this matter the

standard 3GPP segmentation scheme has been used for estimating the actual CB size (described in section 5.1.2 of

[TS36212]). This scheme divides the TB in  $NK$  blocks of size  $K$  and  $NK+$  blocks of size  $K+$ . Therefore the overall TB BLER (TBLER) can be expressed as

$$i=1(1-CBLER_i)$$

where the  $CBLER_i$  is the BLER of the CB i obtained according to the link level simulator CB BLER curves.

For

estimating the  $CBLER_i$ , the MI evaluation has been implemented according to its numerical approximation defined

in [wimaxEmd]. Moreover, for reducing the complexity of the computation, the approximation has been converted

into lookup tables. In detail, Gaussian cumulative model has been used for approximating the AWGN BLER curves

with three parameters which provides a close fit to the standard AWGN performances, in formula:

$$CBLER_i = 1 -$$

$$2 \cdot$$

$$1 - \text{erf} \left( x \cdot \sqrt{b \cdot ECR_p} \right)$$

$$2 \cdot c \cdot ECR$$

where  $x$  is the MI of the TB,  $b \cdot ECR$  represents the “transition center” and  $c \cdot ECR$  is related to the “transition width”

of the Gaussian cumulative distribution for each Effective Code Rate (ECR) which is the actual transmission rate

according to the channel coding and MCS. For limiting the computational complexity of the model we considered

only a subset of the possible ECRs in fact we would have potentially 5076 possible ECRs (i.e., 27 MCSs and 188 CB

sizes). On this respect, we will limit the CB sizes to some representative values (i.e., 40, 140, 160, 256, 512, 1024,

2048, 4032, 6144), while for the others the worst one approximating the real one will be used (i.e., the smaller CB

size value available respect to the real one). This choice is aligned to the typical performance of turbo codes, where

the CB size is not strongly impacting on the BLER. However, it is to be notes that for CB sizes lower than 1000 bits

the effect might be relevant (i.e., till 2 dB); therefore, we adopt this unbalanced sampling interval for having more

precision where it is necessary. This behaviour is confirmed by the figures presented in the Annexes Section.

### BLER Curves

On this respect, we reused part of the curves obtained within [PaduaPEM]. In detail, we introduced

the CB size depen-

dency to the CB BLER curves with the support of the developers of [PaduaPEM] and of the LTE Vienna Simulator.

In fact, the module released provides the link layer performance only for what concerns the MCSs (i.e, with a given

fixed ECR). In detail the new error rate curves for each has been evaluated with a simulation campaign with the link

layer simulator for a single link with AWGN noise and for CB size of 104, 140, 256, 512, 1024, 2048, 4032 and

6144. These curves has been mapped with the Gaussian cumulative model formula presented above for obtaining the

correspondents bECR and cECR parameters.

The BLER performance of all MCS obtained with the link level simulator are plotted in the following figures (blue

lines) together with their correspondent mapping to the Gaussian cumulative distribution (red dashed lines).

Integration of the BLER curves in the ns-3 LTE module

The model implemented uses the curves for the LSM of the recently LTE PHY Error Model released in the ns3 com-

munity by the Signet Group [PaduaPEM] and the new ones generated for different CB sizes. The LteSpectrumPhy

class is in charge of evaluating the TB BLER thanks to the methods provided by the LteMiErrorModel class, which

is in charge of evaluating the TB BLER according to the vector of the perceived SINR per RB, the MCS and the size in

order to proper model the segmentation of the TB in CBs. In order to obtain the vector of the perceived SINRs for data

and control signals, two instances of LteChunkProcessor (dedicated to evaluate the SINR for obtaining physical

error performance) have been attached to UE downlink and eNB uplink LteSpectrumPhy modules for evaluating the

error model distribution of PDSCH (UE side) and UL SCH (eNB side).

The model can be disabled for working with a zero-losses channel by setting the

DataErrorModelEnabled attribute

of the LteSpectrumPhy class (by default is active). This can be done according to the standard ns3 attribute system

procedure, that is:

```
Config::SetDefault("ns3::LteSpectrumPhy::DataErrorModelEnabled", BooleanValue(false));
```

Control Channels PHY Error Model

The simulator includes the error model for downlink control channels (PCFICH and PDCCH), while in uplink it is

assumed an ideal error-free channel. The model is based on the MIESM approach presented before for considering

the effects of the frequency selective channel since most of the control channels span the whole available bandwidth.

PCFICH + PDCCH Error Model

The model adopted for the error distribution of these channels is based on an evaluation study carried out in the RAN4

of 3GPP, where different vendors investigated the demodulation performance of the PCFICH jointly with PDCCH.

This is due to the fact that the PCFICH is the channel in charge of communicating to the UEs the

actual dimension of the PDCCH (which spans between 1 and 3 symbols); therefore the correct decodification of the DCIs depends on the correct interpretation of both ones. In 3GPP this problem have been evaluated for improving the cell-edge performance [FujitsuWhitePaper], where the interference among neighboring cells can be relatively high due to signal degradation. A similar problem has been notices in femto-cell scenario and, more in general, in HetNet scenarios the bottleneck has been detected mainly as the PCFICH channel [Bharucha2011], where in case of many eNBs are deployed in the same service area, this channel may collide in frequency, making impossible the correct detection of the PDCCH channel, too.

In the simulator, the SINR perceived during the reception has been estimated according to the MIESM model presented above in order to evaluate the error distribution of PCFICH and PDCCH. In detail, the SINR samples of all the RBs are included in the evaluation of the MI associated to the control frame and, according to this values, the effective SINR (eSINR) is obtained by inverting the MI evaluation process. It has to be noted that, in case of MIMO transmission, both PCFICH and the PDCCH use always the transmit diversity mode as defined by the standard. According to the eSINR perceived the decodification error probability can be estimated as function of the results presented in [R4-081920].

In case an error occur, the DCIs discarded and therefore the UE will be not able to receive the correspondent Tbs, therefore resulting lost.

–9 –8.5 –8 –7.5 –7 –6.5 –6 –5.5 –5 –4.5 –4 –3.5 10–310–210–1100

SNR [dB]BLER

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

TB = 160 (AWGN)

TB = 160 (estimated)

TB = 104 (AWGN)

TB = 104 (estimated)

TB = 40 (AWGN)

TB = 40 (estimated)

–8 –7.5 –7 –6.5 –6 –5.5 –5 –4.5 –4 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

TB = 160 (AWGN)

TB = 160 (estimated)

TB = 104 (AWGN)

TB = 104 (estimated)

-7 -6.5 -6 -5.5 -5 -4.5 -4 -3.5 10-410-310-210-1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

TB = 160 (AWGN)

TB = 160 (estimated)

TB = 104 (AWGN)

TB = 104 (estimated)

-7 -6.5 -6 -5.5 -5 -4.5 -4 -3.5 -3 -2.5 -210-410-310-210-1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

TB = 160 (AWGN)

TB = 160 (estimated)

TB = 104 (AWGN)

TB = 104 (estimated)a) MCS1 b) MCS2

c) MCS3 d) MCS4

-5 -4.5 -4 -3.5 -3 -2.5 -210-310-210-1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)  
TB = 256 (AWGN)  
TB = 256 (estimated)  
-5 -4.5 -4 -3.5 -3 -2.5 -2 -1.5 -1 -0.5 10-310-210-1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)  
TB = 256 (AWGN)  
TB = 256 (estimated)  
TB = 160 (AWGN)  
TB = 160 (estimated)  
-5 -4.5 -4 -3.5 -3 -2.5 -2 -1.5 -1 -0.5 0 10-410-310-210-1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)  
TB = 256 (AWGN)  
TB = 256 (estimated)  
-2 -1.5 -1 -0.5 0 0.5 1 10-310-210-1100  
TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)



TB = 256 (AWGN)  
 TB = 256 (estimated)a) MCS5 b) MCS6  
 c) MCS7 d) MCS8  
 -2 -1.5 -1 -0.5 0 0.5 1 1.5 2 2.510-310-210-1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 TB = 1024 (AWGN)  
 TB = 1024 (estimated)  
 TB = 512 (AWGN)  
 TB = 512 (estimated)  
 TB = 256 (AWGN)  
 TB = 256 (estimated)  
 -2 -1.5 -1 -0.5 0 0.5 1 1.5 2 2.510-210-1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 TB = 1024 (AWGN)  
 TB = 1024 (estimated)  
 TB = 512 (AWGN)  
 TB = 512 (estimated)  
 2 2.2 2.4 2.6 2.8 3 3.2 3.4 3.6 3.8 410-210-1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 TB = 1024 (AWGN)  
 TB = 1024 (estimated)  
 TB = 512 (AWGN)  
 TB = 512 (estimated)  
 2 2.5 3 3.5 4 4.510-210-1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 TB = 1024 (AWGN)  
 TB = 1024 (estimated)  
 TB = 512 (AWGN)  
 TB = 512 (estimated)a) MCS9 b) MCS10  
 c) MCS11 d) MCS12  
 2 2.5 3 3.5 4 4.5 5 5.510-210-1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)  
2 2.5 3 3.5 4 4.5 5 5.5 6 6.5 10–310–210–1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)  
5 5.5 6 6.5 7 7.5 10–310–210–1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)  
TB = 512 (AWGN)  
TB = 512 (estimated)  
5 5.5 6 6.5 7 7.5 8 10–210–1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)b) MCS14  
c) MCS15 d) MCS16a) MCS13  
7 7.5 8 8.5 9 9.5 10–310–210–1100

TB = 6000 (AWGN)  
TB = 6000 (estimated)  
TB = 4000 (AWGN)  
TB = 4000 (estimated)  
TB = 2560 (AWGN)  
TB = 2560 (estimated)  
TB = 1024 (AWGN)  
TB = 1024 (estimated)

8 8.5 9 9.5 10 10.5 10–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

8 8.5 9 9.5 10 10.5 11 11.5 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

8 8.5 9 9.5 10 10.5 11 11.5 12 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)b) MCS18

c) MCS19 d) MCS20a) MCS17

10 10.5 11 11.5 12 12.5 13 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

10 10.5 11 11.5 12 12.5 13 13.5 14 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

12 12.5 13 13.5 14 14.5 15 10–310–210–1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 TB = 1024 (AWGN)  
 TB = 1024 (estimated)  
 12 12.5 13 13.5 14 14.5 15 15.5 16 10–310–210–1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 TB = 1024 (AWGN)  
 TB = 1024 (estimated)  
 d) MCS24a) MCS21 b) MCS22  
 c) MCS23  
 14 14.5 15 15.5 16 16.5 10–310–210–1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 14 14.5 15 15.5 16 16.5 17 17.5 10–310–210–1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 16 16.5 17 17.5 18 18.5 10–310–210–1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 16 16.5 17 17.5 18 18.5 19 19.5 10–310–210–1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)  
 TB = 2560 (estimated)  
 d) MCS28b) MCS26  
 c) MCS27a) MCS25  
 16 16.5 17 17.5 18 18.5 19 19.5 20 20.5 10–310–210–1100  
 TB = 6000 (AWGN)  
 TB = 6000 (estimated)  
 TB = 4000 (AWGN)  
 TB = 4000 (estimated)  
 TB = 2560 (AWGN)

TB = 2560 (estimated)

a) MCS29

MIMO Model

The use of multiple antennas both at transmitter and receiver side, known as multiple-input and multiple-output

(MIMO), is a problem well studied in literature during the past years. Most of the work concentrate on evaluating

analytically the gain that the different MIMO schemes might have in term of capacity; however someones provide also

information of the gain in terms of received power [CatreuxMIMO].

According to the considerations above, a model more flexible can be obtained considering the gain that MIMO schemes

bring in the system from a statistical point of view. As highlighted before, [CatreuxMIMO] presents the statistical gain

of several MIMO solutions respect to the SISO one in case of no correlation between the antennas. In the work the

gain is presented as the cumulative distribution function (CDF) of the output SINR for what concern SISO, MIMO-

Alamouti, MIMO-MMSE, MIMO-OSIC-MMSE and MIMO-ZF schemes. Elaborating the results, the output SINR distribution can be approximated with a log-normal one with different mean and variance as function of the scheme

considered. However, the variances are not so different and they are approximately equal to the one of the SISO mode

already included in the shadowing component of the BuildingsPropagationLossModel , in detail:

- SISO:  $\sigma^2 = 13.5$  and  $\sigma^2 = 20$  [dB].
- MIMO-Alamouti:  $\sigma^2 = 17.7$  and  $\sigma^2 = 11.1$  [dB].
- MIMO-MMSE:  $\sigma^2 = 10.7$  and  $\sigma^2 = 16.6$  [dB].
- MIMO-OSIC-MMSE:  $\sigma^2 = 12.6$  and  $\sigma^2 = 15.5$  [dB].
- MIMO-ZF:  $\sigma^2 = 10.3$  and  $\sigma^2 = 12.6$  [dB].

Therefore the PHY layer implements the MIMO model as the gain perceived by the receiver when using a MIMO

scheme respect to the one obtained using SISO one. We note that, these gains referred to a case where there is no

correlation between the antennas in MIMO scheme; therefore do not model degradation due to paths correlation.

UE PHY Measurements Model

According to [TS36214], the UE has to report a set of measurements of the eNBs that the device is able to perceive:

the reference signal received power (RSRP) and the reference signal received quality (RSRQ). The former is a measure

of the received power of a specific eNB, while the latter includes also channel interference and thermal noise. The UE

has to report the measurements jointly with the physical cell identity (PCI) of the cell. Both the RSRP and RSRQ mea-

surements are performed during the reception of the RS, while the PCI is obtained with the Primary Synchronization

Signal (PSS). The PSS is sent by the eNB each 5 subframes and in detail in the subframes 1 and 6. In real systems,

only 504 distinct PCIs are available, and hence it could occur that two nearby eNBs use the same PCI; however, in the

simulator we model PCIs using simulation metadata, and we allow up to 65535 distinct PCIs, thereby

avoiding PCI

collisions provided that less than 65535 eNBs are simulated in the same scenario.

According to [TS36133] sections 9.1.4 and 9.1.7, RSRP is reported by PHY layer in dBm while RSRQ in dB. The values of RSRP and RSRQ are provided to higher layers through the C-PHY SAP (by means of UeMeasurementsParameters struct) every 200 ms as defined in [TS36331]. Layer 1 filtering is performed by

averaging the all the measurements collected during the last window slot. The periodicity of reporting can be adjusted

for research purposes by means of the LteUePhy::UeMeasurementsFilterPeriod attribute.

The formulas of the RSRP and RSRQ can be simplified considering the assumption of the PHY layer that the channel

is flat within the RB, the finest level of accuracy. In fact, this implies that all the REs within a RB have the same power,

therefore:

$$k=0 \text{PM} \blacksquare 1$$

$$m=0(P(k;m))$$

$$k=0(M \blacksquare P(k))$$

$$k=0(P(k))$$

where  $P(k;m)$  represents the signal power of the RE  $m$  within the RB  $k$ , which, as observed before, is constant within

the same RB and equal to  $P(k)$ ,  $M$  is the number of REs carrying the RS in a RB and  $K$  is the number of RBs. It is

to be noted that  $P(k)$ , and in general all the powers defined in this section, is obtained in the simulator from the PSD

of the RB (which is provided by the LteInterferencePowerChunkProcessor ), in detail:

$$P(k) = \text{PSDRB}(k) \blacksquare 180000 = 12$$

where  $\text{PSDRB}(k)$  is the power spectral density of the RB  $k$ , 180000 is the bandwidth in Hz of the RB and 12 is the

number of REs per RB in an OFDM symbol. Similarly, for RSSI we have

$$k=0 \text{PS} \blacksquare 1$$

$$s=0 \text{PR} \blacksquare 1$$

$$r=0(P(k;s;r) + I(k;s;r) + N(k;s;r))$$

where  $S$  is the number of OFDM symbols carrying RS in a RB and  $R$  is the number of REs carrying a RS in a OFDM symbol (which is fixed to 2) while  $P(k;s;r)$ ,  $I(k;s;r)$  and  $N(k;s;r)$  represent respectively the perceived

power of the serving cell, the interference power and the noise power of the RE  $r$  in symbols. As for RSRP, the

measurements within a RB are always equals among each others according to the PHY model; therefore  $P(k;s;r) =$

$P(k)$ ,  $I(k;s;r) = I(k)$  and  $N(k;s;r) = N(k)$ , which implies that the RSSI can be calculated as:

$$k=0 S \blacksquare 2 \blacksquare (P(k) + I(k) + N(k))$$

$$k=0 2 \blacksquare (P(k) + I(k) + N(k))$$

Considering the constraints of the PHY reception chain implementation, and in order to maintain the level of

computational complexity low, only RSRP can be directly obtained for all the cells. This is due to the fact that

LteSpectrumPhy is designed for evaluating the interference only respect to the signal of the serving eNB. This im-

plies that the PHY layer is optimized for managing the power signals information with the serving eNB as a reference.

However, RSRP and RSRQ of neighbor cell can be extracted by the current information available of

the serving cell

as detailed in the following:

$$RSRP_i = PK_{i=1}$$

$$k = 0(P_i(k))$$

$$RSSI_i = RSSI_j = K_{i=1} X$$

$$k = 02(I_j(k) + P_j(k) + N_j(k))$$

$$RSRQ_j$$

$$i = K_{i=1} RSRP_i = RSSI_j$$

where  $RSRP_i$  is the RSRP of the neighbor cell  $i$ ,  $P_i(k)$  is the power perceived at any RE within the RB  $k$ ,  $K$  is

the total number of RBs,  $RSSI_i$  is the RSSI of the neighbor cell  $i$  when the UE is attached to cell  $j$  (which, since

it is the sum of all the received powers, coincides with  $RSSI_j$ ),  $I_j(k)$  is the total interference perceived by UE in

any RE of RB  $k$  when attached to cell  $i$  (obtained by the `LteInterferencePowerChunkProcessor`),  $P_j(k)$  is the

power perceived of cell  $j$  in any RE of the RB  $k$  and  $N$  is the power noise spectral density in any RE. The sample is

considered as valid in case of the RSRQ evaluated is above the `LteUePhy::RsrqUeMeasThreshold` attribute.

The HARQ scheme implemented is based on an incremental redundancy (IR) solution combined with multiple stop-

and-wait processes for enabling a continuous data flow. In detail, the solution adopted is the soft combining hybrid

IR Full incremental redundancy (also called IR Type II), which implies that the retransmissions contain only new

information respect to the previous ones. The resource allocation algorithm of the HARQ has been implemented within

the respective scheduler classes (i.e., `RrFfMacScheduler` and `PfFfMacScheduler`, refer to their correspondent

sections for more info), while the decodification part of the HARQ has been implemented in the `LteSpectrumPhy`

and `LteHarqPhy` classes which will be detailed in this section.

According to the standard, the UL retransmissions are synchronous and therefore are allocated 7 ms after the original

transmission. On the other hand, for the DL, they are asynchronous and therefore can be allocated in a more flexible

way starting from 7 ms and it is a matter of the specific scheduler implementation. The HARQ processes behavior is

depicted in Figure:ref: fig-harq-processes-scheme .

At the MAC layer, the HARQ entity residing in the scheduler is in charge of controlling the 8 HARQ processes for

generating new packets and managing the retransmissions both for the DL and the UL. The scheduler collects the

HARQ feedback from eNB and UE PHY layers (respectively for UL and DL connection) by means of the FF API

primitives `SchedUITriggerReq` and `SchedUITriggerReq` . According to the HARQ feedback and the RLC buffers

status, the scheduler generates a set of DCIs including both retransmissions of HARQ blocks received erroneous and

new transmissions, in general, giving priority to the former. On this matter, the scheduler has to

take into consideration

the first transmission attempt (i.e., QPSK for MCS 2[0::9], 16QAM for MCS 2[10::16] and 64QAM for MCS 2[17::28]). This restriction comes from the specification of the rate matcher in the 3GPP standard [TS36212],

where the algorithm fixes the modulation order for generating the different blocks of the redundancy versions.

The PHY Error Model model (i.e., the `LteMiErrorModel` class already presented before) has been extended for

considering IR HARQ according to [wimaxEmd], where the parameters for the AWGN curves mapping for MIESM

mapping in case of retransmissions are given by:

$$R_{\text{eff}} = X$$
$$q_P$$
$$i=1 C_i$$
$$M_{\text{leff}} = q_P$$
$$i=1 C_i M_i$$
$$q_P$$
$$i=1 C_i$$

where  $X$  is the number of original information bits,  $C_i$  are number of coded bits,  $M_i$  are the mutual information per

HARQ block received on the total number of  $q$  retransmissions. Therefore, in order to be able to return the error

probability with the error model implemented in the simulator evaluates the  $R_{\text{eff}}$  and the  $M_{\text{leff}}$  and return the

value of error probability of the ECR of the same modulation with closest lower rate respect to the  $R_{\text{eff}}$ . In order

to consider the effect of HARQ retransmissions a new sets of curves have been integrated respect to the standard one

used for the original MCS. The new curves are intended for covering the cases when the most conservative MCS of a

modulation is used which implies the generation of  $R_{\text{eff}}$  lower respect to the one of standard MCSs. On this matter

the curves for 1, 2 and 3 retransmissions have been evaluated for 10 and 17. For MCS 0 we considered only the first

retransmission since the produced code rate is already very conservative (i.e., 0.04) and returns an error rate enough

robust for the reception (i.e., the downturn of the BLER is centered around -18 dB). It is to be noted that, the size of

first TB transmission has been assumed as containing all the information bits to be coded; therefore  $X$  is equal to the

size of the first TB sent of a HARQ process. The model assumes that the eventual presence of parity bits in the

codewords is already considered in the link level curves. This implies that as soon as the minimum  $R_{\text{eff}}$  is reached

the model is not including the gain due to the transmission of further parity bits.

The part of HARQ devoted to manage the decodification of the HARQ blocks has been implemented in the `LteHarqPhy` and `LteSpectrumPhy` classes. The former is in charge of maintaining the HARQ information for each

active process. The latter interacts with `LteMiErrorModel` class for evaluating the correctness of the blocks received

and includes the messaging algorithm in charge of communicating to the HARQ entity in the scheduler



the result of the decodings. These messages are encapsulated in the `dlInfoListElement` for DL and `ulInfoListElement` for UL and sent through the PUCCH and the PHICH respectively with an ideal error free model according to the assumptions in their implementation. A sketch of the iteration between HARQ and LTE protocol stack is represented in

Figure:ref: fig-harq-architecture .

Finally, the HARQ engine is always active both at MAC and PHY layer; however, in case of the scheduler does not support HARQ the system will continue to work with the HARQ functions inhibited (i.e., buffers are filled but not used). This implementation characteristic gives backward compatibility with schedulers implemented before HARQ integration.

#### Resource Allocation Model

We now briefly describe how resource allocation is handled in LTE, clarifying how it is modeled in the simulator. The scheduler is in charge of generating specific structures called Data Control Indication (DCI) which are then transmitted by the PHY of the eNB to the connected UEs, in order to inform them of the resource allocation on a per subframe basis. In doing this in the downlink direction, the scheduler has to fill some specific fields of the DCI structure with all the information, such as: the Modulation and Coding Scheme (MCS) to be used, the MAC Transport Block (TB) size, and the allocation bitmap which identifies which RBs will contain the data transmitted by the eNB to each user.

For the mapping of resources to physical RBs, we adopt a localized mapping approach (see [Sesia2009], Section 9.2.2.1); hence in a given subframe each RB is always allocated to the same user in both slots. The allocation bitmap can be coded in different formats; in this implementation, we considered the Allocation Type 0 defined in [TS36213], according to which the RBs are grouped in Resource Block Groups (RBG) of different size determined as a function of the Transmission Bandwidth Configuration in use.

For certain bandwidth values not all the RBs are usable, since the group size is not a common divisor of the group.

This is for instance the case when the bandwidth is equal to 25 RBs, which results in a RBG size of 2 RBs, and

therefore 1 RB will result not addressable. In uplink the format of the DCIs is different, since only adjacent RBs can be used because of the SC-FDMA modulation. As a consequence, all RBs can be allocated by the eNB regardless of the bandwidth configuration.

#### Adaptive Modulation and Coding

The simulator provides two Adaptive Modulation and Coding (AMC) models: one based on the GSoC model [Piro2011] and one based on the physical error model (described in the following sections).

The former model is a modified version of the model described in [Piro2011], which in turn is inspired from [Seo2004].

Our version is described in the following. Let  $u$  denote the generic user, and let  $\gamma_u$  be its SINR. We get the spectral efficiency  $\eta_u$  of user  $u$  using the following equations:

$$\eta_u = \ln(5 \cdot \text{BER})$$

$$1.5$$

$$\eta_u = \log_2 \left( \frac{1}{1 + \gamma_u} \right)$$

$$1 + \gamma_u$$

$$\eta_u$$

The procedure described in [R1-081483] is used to get the corresponding MCS scheme. The spectral efficiency is quantized based on the channel quality indicator (CQI), rounding to the lowest value, and is mapped to the corresponding MCS scheme.

Finally, we note that there are some discrepancies between the MCS index in [R1-081483] and that indicated by the standard: [TS36213] Table 7.1.7.1-1 says that the MCS index goes from 0 to 31, and 0 appears to be a valid MCS

scheme (TB size is not 0) but in [R1-081483] the first useful MCS index is 1. Hence to get the value as intended by

the standard we need to subtract 1 from the index reported in [R1-081483].

The alternative model is based on the physical error model developed for this simulator and explained in the following

subsections. This scheme is able to adapt the MCS selection to the actual PHY layer performance according to

the specific CQI report. According to their definition, a CQI index is assigned when a single PDSCH TB with the

modulation coding scheme and code rate correspondent to that CQI index in table 7.2.3-1 of [TS36213] can be received

with an error probability less than 0.1. In case of wideband CQIs, the reference TB includes all the RBGs available in

order to have a reference based on the whole available resources; while, for subband CQIs, the reference TB is sized

as the RBGs.

Transport Block model

The model of the MAC Transport Blocks (TBs) provided by the simulator is simplified with respect to the 3GPP

specifications. In particular, a simulator-specific class (PacketBurst) is used to aggregate MAC SDUs in order to

achieve the simulator's equivalent of a TB, without the corresponding implementation complexity. The multiplexing

of different logical channels to and from the RLC layer is performed using a dedicated packet tag (LteRadioBearerTag),

which performs a functionality which is partially equivalent to that of the MAC headers specified by 3GPP.

The FemtoForum MAC Scheduler Interface

This section describes the ns-3 specific version of the LTE MAC Scheduler Interface Specification published by the

FemtoForum [FFAPI].

We implemented the ns-3 specific version of the FemtoForum MAC Scheduler Interface [FFAPI] as a set of C++

abstract classes; in particular, each primitive is translated to a C++ method of a given class. The

term implemented

here is used with the same meaning adopted in [FFAPI], and hence refers to the process of translating the logical

interface specification to a particular programming language. The primitives in [FFAPI] are grouped in two groups:

the CSCHED primitives, which deal with scheduler configuration, and the SCHED primitives, which deal with the

execution of the scheduler. Furthermore, [FFAPI] defines primitives of two different kinds: those of type REQ go

from the MAC to the Scheduler, and those of type IND/CNF go from the scheduler to the MAC. To translate these

characteristics into C++, we define the following abstract classes that implement Service Access Points (SAPs) to be

used to issue the primitives:

- the `FfMacSchedSapProvider` class defines all the C++ methods that correspond to SCHED primitives of type
- the `FfMacSchedSapUser` class defines all the C++ methods that correspond to SCHED primitives of type
- the `FfMacCschedSapProvider` class defines all the C++ methods that correspond to CSCHED primitives of
- type REQ;
- the `FfMacCschedSapUser` class defines all the C++ methods that correspond to CSCHED primitives of type

There are 3 blocks involved in the MAC Scheduler interface: Control block, Subframe block and Scheduler block.

Each of these blocks provide one part of the MAC Scheduler interface. The figure below shows the relationship

between the blocks and the SAPs defined in our implementation of the MAC Scheduler Interface.

In addition to the above principles, the following design choices have been taken:

- The definition of the MAC Scheduler interface classes follows the naming conventions of the ns-3 Coding

Style. In particular, we follow the CamelCase convention for the primitive names. For example, the primitive

CSCHED\_CELL\_CONFIG\_REQ is translated to `CschedCellConfigReq` in the ns-3 code.

- The same naming conventions are followed for the primitive parameters. As the primitive parameters are mem-

ber variables of classes, they are also prefixed with a `m_`.

- regarding the use of vectors and lists in data structures, we note that [FFAPI] is a pretty much C-oriented API.

However, considered that C++ is used in ns-3, and that the use of C arrays is discouraged, we used STL vectors

(`std::vector`) for the implementation of the MAC Scheduler Interface, instead of using C arrays as implicitly

suggested by the way [FFAPI] is written.

- In C++, members with constructors and destructors are not allowed in unions. Hence all those data structures

that are said to be unions in [FFAPI] have been defined as structs in our code.

The figure below shows how the MAC Scheduler Interface is used within the eNB.

The User side of both the CSCHED SAP and the SCHED SAP are implemented within the eNB MAC, i.e., in the file

`lte-enb-mac.cc`. The eNB MAC can be used with different scheduler implementations without modifications. The

same figure also shows, as an example, how the Round Robin Scheduler is implemented: to interact with the MAC of the eNB, the Round Robin scheduler implements the Provider side of the SCHED SAP and CSCHED SAP interfaces. A similar approach can be used to implement other schedulers as well. A description of each of the scheduler implementations that we provide as part of our LTE simulation module is provided in the following subsections.

#### Round Robin (RR) Scheduler

The Round Robin (RR) scheduler is probably the simplest scheduler found in the literature. It works by dividing the available resources among the active flows, i.e., those logical channels which have a non-empty RLC queue. If the number of RBGs is greater than the number of active flows, all the flows can be allocated in the same subframe. Otherwise, if the number of active flows is greater than the number of RBGs, not all the flows can be scheduled in a given subframe; then, in the next subframe the allocation will start from the last flow that was not allocated. The MCS to be adopted for each user is done according to the received wideband CQIs. For what concern the HARQ, RR implements the non adaptive version, which implies that in allocating the retransmission attempts RR uses the same allocation configuration of the original block, which means maintaining the same RBGs and MCS. UEs that are allocated for HARQ retransmissions are not considered for the transmission of new data in case they have a transmission opportunity available in the same TTI. Finally, HARQ can be disabled with ns3

attribute system for maintaining backward compatibility with old test cases and code, in detail:

```
Config::SetDefault("ns3::RrFfMacScheduler::HarqEnabled", BooleanValue(false));
```

The scheduler implements the filtering of the uplink CQIs according to their nature with UICqiFilter attribute, in detail:

- SRS\_UL\_CQI : only SRS based CQI are stored in the internal attributes.
- PUSCH\_UL\_CQI : only PUSCH based CQI are stored in the internal attributes.

#### Proportional Fair (PF) Scheduler

The Proportional Fair (PF) scheduler [Sesia2009] works by scheduling a user when its instantaneous channel quality is high relative to its own average channel condition over time. Let  $i, j$  denote generic users; let  $t$  be the subframe index, and  $k$  be the resource block index; let  $M_{i,k}(t)$  be MCS usable by user  $i$  on resource block  $k$  according to what reported by the AMC model (see Adaptive Modulation and Coding ); finally, let  $S(M;B)$  be the TB size in bits as defined in [TS36213] for the case where a number  $B$  of resource blocks is used. The achievable rate  $R_i(k;t)$  in bit/s for user  $i$  on resource block group  $k$  at subframe  $t$  is defined as

$$R_i(k;t) = S(M_{i,k}(t);1)$$

where  $T$  is the TTI duration. At the start of each subframe  $t$ , each RBG is assigned to a certain user. In detail, the index  $b_i(k;t)$  to which RBG  $k$  is assigned at time  $t$  is determined as

$b_{ik}(t) = \arg\max$

$j=1; \dots; N \quad R_j(k;t)$

$T_j(t)$

where  $T_j(t)$  is the past throughput performance perceived by the user  $j$ . According to the above scheduling algorithm,

a user can be allocated to different RBGs, which can be either adjacent or not, depending on the current condition of

the channel and the past throughput performance  $T_j(t)$ . The latter is determined at the end of the subframe using the

following exponential moving average approach:

$T_j(t) = (1 - \frac{1}{b_{Tj}(t)})$

$T_j(t-1) + \frac{1}{b_{Tj}(t)}$

where

is the time constant (in number of subframes) of the exponential moving average, and  $b_{Tj}(t)$  is the actual

throughput achieved by the user  $i$  in the subframe  $t$ .  $b_{Tj}(t)$  is measured according to the following procedure. First we

determine the MCS  $c_{Mj}(t)$  actually used by user  $j$ :

$c_{Mj}(t) = \min$

$k: b_{ik}(t) = j_{Mj}(k;t)$

then we determine the total number  $b_{Bj}(t)$  of RBGs allocated to user  $j$ :

$b_{Bj}(t) = \sum_{k: b_{ik}(t) = j} 1$

where  $j$  indicates the cardinality of the set; finally,

$b_{Tj}(t) = \frac{S}{c_{Mj}(t) \cdot b_{Bj}(t)}$

For what concern the HARQ, PF implements the non adaptive version, which implies that in allocating the retrans-

mission attempts the scheduler uses the same allocation configuration of the original block, which means maintaining

the same RBGs and MCS. UEs that are allocated for HARQ retransmissions are not considered for the transmission

of new data in case they have a transmission opportunity available in the same TTI. Finally, HARQ can be disabled

with ns3 attribute system for maintaining backward compatibility with old test cases and code, in detail:

`Config::SetDefault("ns3::PffMacScheduler::HarqEnabled", BooleanValue(false));`

Maximum Throughput (MT) Scheduler

The Maximum Throughput (MT) scheduler [FCapo2012] aims to maximize the overall throughput of eNB. It allo-

cates each RB to the user that can achieve the maximum achievable rate in the current TTI.

Currently, MT scheduler in

NS-3 has two versions: frequency domain (FDMT) and time domain (TDMT). In FDMT, every TTI, MAC scheduler

allocates RBGs to the UE who has highest achievable rate calculated by subband CQI. In TDMT, every TTI, MAC

scheduler selects one UE which has highest achievable rate calculated by wideband CQI. Then MAC scheduler allo-

cates all RBGs to this UE in current TTI. The calculation of achievable rate in FDMT and TDMT is as same as the

MCS usable by user on resource block according to what reported by the AMC model (see Adaptive Modulation

and Coding ); finally, let  $S(M;B)$  be the TB size in bits as defined in [TS36213] for the case where a number  $B_{of}$

resource blocks is used. The achievable rate  $R_i(k;t)$  in bit/s for user  $i$  on resource block  $k$  at subframe  $t$  is defined as

$$R_i(k;t) = S(M_i; k(t); 1)$$

where  $T$  is the TTI duration. At the start of each subframe  $t$ , each RB is assigned to a certain user.

In detail, the index

$b_i(k;t)$  to which RB  $k$  is assigned at time  $t$  is determined as

$$b_i(k;t) = \arg\max_{j=1, \dots, N} R_j(k;t)$$

$$j=1, \dots, N(R_j(k;t))$$

When there are several UEs having the same achievable rate, current implementation always selects the first UE created

in script. Although MT can maximize cell throughput, it cannot provide fairness to UEs in poor channel condition.

Throughput to Average (TTA) Scheduler

The Throughput to Average (TTA) scheduler [FCapo2012] can be considered as an intermediate between MT and PF.

The metric used in TTA is calculated as follows:

$$b_i(k;t) = \arg\max_{j=1, \dots, N} R_j(k;t)$$

$$j=1, \dots, N(R_j(k;t))$$

$$R_j(t)$$

Here,  $R_i(k;t)$  in bit/s represents the achievable rate for user  $i$  on resource block  $k$  at subframe  $t$ . The calculation

method already is shown in MT and PF. Meanwhile,  $R_i(t)$  in bit/s stands for the achievable rate for  $i$  at subframe  $t$ .

The difference between those two achievable rates is how to get MCS. For  $R_i(k;t)$ , MCS is calculated by subband

CQI while  $R_i(t)$  is calculated by wideband CQI. TTA scheduler can only be implemented in frequency domain (FD)

because the achievable rate of particular RBG is only related to FD scheduling.

Blind Average Throughput Scheduler

The Blind Average Throughput scheduler [FCapo2012] aims to provide equal throughput to all UEs under eNB. The

metric used in TTA is calculated as follows:

$$b_i(k;t) = \arg\max_{j=1, \dots, N} T_j(t)$$

$$j=1, \dots, N$$

$$T_j(t)$$

where  $T_j(t)$  is the past throughput performance perceived by the user  $j$  and can be calculated by the same method

in PF scheduler. In the time domain blind average throughput (TD-BET), the scheduler selects the UE with largest

priority metric and allocates all RBGs to this UE. On the other hand, in the frequency domain blind average throughput

(FD-BET), every TTI, the scheduler first selects one UE with lowest pastAverageThroughput (largest priority metric).

Then scheduler assigns one RBG to this UE, it calculates expected throughput of this UE and uses it to compare with

past average throughput  $T_j(t)$  of other UEs. The scheduler continues to allocate RBG to this UE until its expected

throughput is not the smallest one among past average throughput  $T_j(t)$  of all UE. Then the scheduler will use the same

way to allocate RBG for a new UE which has the lowest past average throughput  $T_j(t)$  until all RBGs are allocated to

UEs. The principle behind this is that, in every TTI, the scheduler tries the best to achieve the equal throughput among all UEs.

#### Token Bank Fair Queue Scheduler

Token Bank Fair Queue (TBFQ) is a QoS aware scheduler which derives from the leaky-bucket mechanism. In TBFQ,

a traffic flow of user  $i$  is characterized by following parameters:

- $\lambda_i$ : packet arrival rate (byte/sec)
- $\mu_i$ : token generation rate (byte/sec)
- $\rho_i$ : token pool size (byte)
- $E_i$ : counter that records the number of token borrowed from or given to the token bank by flow  $i$ ;  $E_i$  can be

smaller than zero

Each  $K$  bytes data consumes  $k$  tokens. Also, TBFQ maintains a shared token bank ( $B$ ) so as to balance

the traffic

between different flows. If token generation rate  $\mu_i$  is bigger than packet arrival rate  $\lambda_i$ , then tokens overflowing from

token pool are added to the token bank, and  $E_i$  is increased by the same amount. Otherwise, flow  $i$  needs to withdraw

tokens from token bank based on a priority metric  $\frac{\lambda_i}{\mu_i}$ , and  $E_i$  is decreased. Obviously, the user contributes

more on token bank has higher priority to borrow tokens; on the other hand, the user borrows more tokens from bank

has lower priority to continue to withdraw tokens. Therefore, in case of several users having the same token generation

rate, traffic rate and token pool size, user suffers from higher interference has more opportunity to borrow tokens from

bank. In addition, TBFQ can police the traffic by setting the token generation rate to limit the throughput. Additionally,

TBFQ also maintains following three parameters for each flow:

- Debt limit  $d_i$ : if  $E_i$  belows this threshold, user  $i$  cannot further borrow tokens from bank. This is for preventing malicious UE to borrow too much tokens.
- Credit limit  $c_i$ : the maximum number of tokens UE  $i$  can borrow from the bank in one time.
- Credit threshold  $C$ : once  $E_i$  reaches debt limit, UE  $i$  must store  $C$  tokens to bank in order to further borrow token from bank.

LTE in NS-3 has two versions of TBFQ scheduler: frequency domain TBFQ (FD-TBFQ) and time domain TBFQ (TD-

TBFQ). In FD-TBFQ, the scheduler always select UE with highest metric and allocates RBG with highest subband

CQI until there are no packets within UE's RLC buffer or all RBGs are allocated [FABokhari2009]. In TD-TBFQ,

after selecting UE with maximum metric, it allocates all RBGs to this UE by using wideband CQI [WKWong2004].

#### Priority Set Scheduler

Priority set scheduler (PSS) is a QoS aware scheduler which combines time domain (TD) and frequency domain (FD)

packet scheduling operations into one scheduler [GMonghal2008]. It controls the fairness among UEs

by a specified

Target Bit Rate (TBR).

In TD scheduler part, PSS first selects UEs with non-empty RLC buffer and then divide them into two sets based on

the TBR:

- set 1: UE whose past average throughput is smaller than TBR; TD scheduler calculates their priority metric in

Blind Equal Throughput (BET) style:

$bik(t) = \arg\max$

$j=1; \dots; N$

$T_j(t)$

- set 2: UE whose past average throughput is larger (or equal) than TBR; TD scheduler calculates their priority

metric in Proportional Fair (PF) style:

$bik(t) = \arg\max$

$j=1; \dots; N$

$T_j(t)$

UEs belonged to set 1 have higher priority than ones in set 2. Then PSS will select  $N_{\text{mux}}$  UEs with highest metric in

chosen metric. Two PF schedulers are used in PF scheduler:

- Proportional Fair scheduled (PFsch)

$\backslash Msch_k(t) = \arg\max$

$j=1; \dots; N$

$Tsch_j(t)$

- Carrier over Interference to Average (Colta)

$\backslash Mcoik(t) = \arg\max$

$j=1; \dots; N$

$Col[j; k]$

$k=0$

where  $Tsch_j(t)$  is similar past throughput performance perceived by the user  $j$ , with the difference that it is updated

only when the  $i$ -th user is actually served.  $Col[j; k]$  is an estimation of the SINR on the RBG  $k$  of UE  $j$ .

Both PFsch

and Colta is for decoupling FD metric from TD scheduler. In addition, PSS FD scheduler also provide a weight metric

$W[n]$  for helping controlling fairness in case of low number of UEs.

$W[n] = \max(1, TBR$

$T_j(t))$

where  $T_j(t)$  is the past throughput performance perceived by the user  $j$ . Therefore, on RBG  $k$ , the FD scheduler

selects the UE  $j$  that maximizes the product of the frequency domain metric (  $Msch_j, MCol_j$  ) by weight  $W[n]$ . This

strategy will guarantee the throughput of lower quality UE tend towards the TBR.

Config::SetDefault("ns3::PffMacScheduler::HarqEnabled", BooleanValue(false));

The scheduler implements the filtering of the uplink CQIs according to their nature with UICqiFilter attribute, in

detail:

- SRS\_UL\_CQI : only SRS based CQI are stored in the internal attributes.

- PUSCH\_UL\_CQI : only PUSCH based CQI are stored in the internal attributes.

Channel and QoS Aware Scheduler

The Channel and QoS Aware (CQA) Scheduler [Bbojovic2014] is an LTE MAC downlink scheduling algorithm



that

considers the head of line (HOL) delay, the GBR parameters and channel quality over different subbands. The CQA

scheduler is based on joint TD and FD scheduling.

In the TD (at each TTI) the CQA scheduler groups users by priority. The purpose of grouping is to enforce the FD

scheduling to consider first the flows with highest HOL delay. The grouping metric  $m_{td}$  for user  $j = 1, \dots, N$  is

defined in the following way:

$m_j$

$td(t) = d_j$

$hol(t)$

$g$ ;

where  $d_j$

$hol(t)$  is the current value of HOL delay of flow  $j$ , and  $g$  is a grouping parameter that determines granularity

of the groups, i.e. the number of the flows that will be considered in the FD scheduling iteration.

The groups of flows selected in the TD iteration are forwarded to the FD scheduling starting from the flows with

the highest value of the  $m_{td}$  metric until all RBGs are assigned in the corresponding TTI. In the FD, for each RBG

$k = 1, \dots, K$ , the CQA scheduler assigns the current RBG to the user  $j$  that has the maximum value of the FD metric

which we define in the following way:

$m(k; j)$

$fd(t) = d_j$

$HOL(t) \cdot m_j$

$GBR(t) \cdot m_{k; j}$

$ca(t)$ ;

where  $m_j$

$GBR(t)$  is calculated as follows:

$m_j$

$GBR(t) = GBR_j$

$R_j(t) = GBR_j$

$(1 - \alpha) \cdot R_j(t-1) + \alpha r_j(t)$ ;

where  $GBR_j$  is the bit rate specified in EPS bearer of the flow  $j$ ,  $R_j(t)$  is the past averaged throughput that is calculated

with a moving average,  $r_j(t)$  is the throughput achieved at the time  $t$ , and  $\alpha$  is a coefficient such that  $0 \leq \alpha \leq 1$ .

$Form(k; j)$

$ca(t)$  we consider two different metrics:  $m(k; j)$

$pf(t)$  and  $m(k; j)$

$ff(t)$ .  $mpf$  is the Proportional Fair metric which

is defined as follows:

$m(k; j)$

$pf(t) = R(k; j)$

$e$

$R_j(t)$ ;

where  $R(k; j)$

$e(t)$  is the estimated achievable throughput of user  $j$  over RBG  $k$  calculated by the Adaptive Modulation and Coding (AMC) scheme that maps the channel quality indicator (CQI) value to the transport block

size in bits.

The other channel awareness metric that we consider is  $\alpha$  which is proposed in [GMonghal2008] and it represents

the frequency selective fading gains over RBG  $k$  for user  $j$  and is calculated in the following way:

$m(k;j)$

$\alpha(t) = CQI(k;j)(t) \cdot P_k$

$k = 1 \dots K$   $CQI(t)(k;j)$ ;

where  $CQI(k;j)(t)$  is the last reported CQI value from user  $j$  for the  $k$ -th RBG.

The user can select whether  $\alpha$  is used by setting the attribute

`ns3::CqaFfMacScheduler::CqaMetric`

respectively to "CqaPf" or "CqaFf" .

## Random Access

The LTE model includes a model of the Random Access procedure based on some simplifying assumptions, which

are detailed in the following for each of the messages and signals described in the specs [TS36321].

- Random Access (RA) preamble : in real LTE systems this corresponds to a Zadoff-Chu (ZC) sequence using

PRACH Configuration Index 14 is assumed, i.e., preambles can be sent on any system frame number and subframe number. The RA preamble is modeled using the `LteControlMessage` class, i.e., as an ideal message

that does not consume any radio resources. The collision of preamble transmission by multiple UEs in the

same cell are modeled using a protocol interference model, i.e., whenever two or more identical preambles are

transmitted in same cell at the same TTI, no one of these identical preambles will be received by the eNB. Other

than this collision model, no error model is associated with the reception of a RA preamble.

- Random Access Response (RAR) : in real LTE systems, this is a special MAC PDU sent on the DL-SCH. Since MAC control elements are not accurately modeled in the simulator (only RLC and above PDUs are), the

RAR is modeled as an `LteControlMessage` that does not consume any radio resources. Still, during the RA

procedure, the `LteEnbMac` will request to the scheduler the allocation of resources for the RAR using the `FF`

MAC Scheduler primitive `SCHED_DL_RACH_INFO_REQ`. Hence, an enhanced scheduler implementation (not available at the moment) could allocate radio resources for the RAR, thus modeling the consumption of

Radio Resources for the transmission of the RAR.

- Message 3 : in real LTE systems, this is an RLC TM SDU sent over resources specified in the UL Grant in the

RAR. In the simulator, this is modeled as a real RLC TM RLC PDU whose UL resources are allocated by the

scheduler upon call to `SCHED_DL_RACH_INFO_REQ`.

- Contention Resolution (CR) : in real LTE system, the CR phase is needed to address the case where two or

more UE sent the same RA preamble in the same TTI, and the eNB was able to detect this preamble in spite of

the collision. Since this event does not occur due to the protocol interference model used for the reception of

RA preambles, the CR phase is not modeled in the simulator, i.e., the CR MAC CE is never sent by the eNB and

the UEs consider the RA to be successful upon reception of the RAR. As a consequence, the radio resources

consumed for the transmission of the CR MAC CE are not modeled.

Figure Sequence diagram of the Contention-based MAC Random Access procedure and Sequence diagram of the Non-

contention-based MAC Random Access procedure shows the sequence diagrams of respectively the contention-based

and non-contention-based MAC random access procedure, highlighting the interactions between the MAC and the

other entities.

UeRrc

UeMac

UePhy

EnbRrc

EnbPhy

EnbMac

FfSched

StartContentionBasedRandomAccessPro  
cedure

Select random pream

ble in  $0, 1, \dots, 63-N_{cf}$

start RAR timeout

SendRachPreamble

RachPreambleLteControlMessage

ReceiveRachPreamble (this UE)

add to list of received

Rach preamble

ReceiveRachPreamble (other UE collidin  
g)

add to list of received

Rach preamble

SubframeIndication

discard collided prea  
mbles

RAR timeout expires

Select random pream

ble in  $0, 1, \dots, 63-N_{cf}$

start RAR timeout

SendRachPreamble

RachPreambleLteControlMessage

ReceiveRachPreamble (this UE)

add to list of received

Rach preamble

SubframeIndication

AllocateTemporaryCellRnti

AddUe

ConfigureUe (C-RNTI = T-C-RNTI)

TI list)

SCHED\_DL\_CONFIG\_IND (RAR list with

UL grant per RNTI)

build RARs

SendLteControlMessage (RARs)  
 RARs as RarLteControlMessage  
 ReceiveLteControlMessage (RARs)  
 RecvRaResponse  
 NotifyRandomAccessSuccessful  
 UeRrc  
 UeMac  
 UePhy  
 EnbRrc  
 EnbPhy  
 EnbMac  
 FfSched  
 AddUe  
 ConfigureUe (C-RNTI = T-C-RNTI)  
 AllocateNcRaPreamble (T-C-RNTI)  
 rach preamble id  
 rach preamble id (e.g., within handoverCommand inside X2 HO REQ ACK)  
 StartNonContentionBasedRandom  
 AccessProcedure  
 start RAR timeout  
 SendRachPreamble  
 RachPreambleLteControlMessage  
 ReceiveRachPreamble (this UE)  
 add to list of received  
 Rach preamble  
 SubframeIndication  
 preamble matches kn  
 own T-C-RNTI  
 RNTI list)  
 SCHED\_DL\_CONFIG\_IND (RAR list  
 with UL grant per RNTI)  
 build RARs

SendLteControlMessage (RARs)  
 RARs as RarLteControlMessage  
 ReceiveLteControlMessage (RARs)  
 RecvRaResponse  
 NotifyRandomAccessSuccessful

#### Overview

The RLC entity is specified in the 3GPP technical specification [TS36322], and comprises three different types of RLC:

Transparent Mode (TM), Unacknowledged Mode (UM) and Acknowledged Mode (AM). The simulator includes one

model for each of these entities

The RLC entities provide the RLC service interface to the upper PDCP layer and the MAC service interface to the

lower MAC layer. The RLC entities use the PDCP service interface from the upper PDCP layer and the MAC service

interface from the lower MAC layer.

Figure Implementation Model of PDCP , RLC and MAC entities and SAPs shows the implementation model of the RLC

entities and its relationship with all the other entities and services in the protocol stack.

## Service Interfaces

### RLC Service Interface

The RLC service interface is divided into two parts:

- theRlcSapProvider part is provided by the RLC layer and used by the upper PDCP layer and
- theRlcSapUser part is provided by the upper PDCP layer and used by the RLC layer.

Both the UM and the AM RLC entities provide the same RLC service interface to the upper PDCP layer.

### RLC Service Primitives

The following list specifies which service primitives are provided by the RLC service interfaces:

- RlcSapProvider::TransmitPdcPdu

–The PDCP entity uses this primitive to send a PDCP PDU to the lower RLC entity in the transmitter peer

- RlcSapUser::ReceivePdcPdu

–The RLC entity uses this primitive to send a PDCP PDU to the upper PDCP entity in the receiver peer

### MAC Service Interface

The MAC service interface is divided into two parts:

- theMacSapProvider part is provided by the MAC layer and used by the upper RLC layer and
- theMacSapUser part is provided by the upper RLC layer and used by the MAC layer.

### MAC Service Primitives

The following list specifies which service primitives are provided by the MAC service interfaces:

- MacSapProvider::TransmitPdu

–The RLC entity uses this primitive to send a RLC PDU to the lower MAC entity in the transmitter peer

- MacSapProvider::ReportBufferStatus

–The RLC entity uses this primitive to report the MAC entity the size of pending buffers in the transmitter peer

- MacSapUser::NotifyTxOpportunity

–The MAC entity uses this primitive to notify the RLC entity a transmission opportunity

- MacSapUser::ReceivePdu

–The MAC entity uses this primitive to send an RLC PDU to the upper RLC entity in the receiver peer

The processing of the data transfer in the Acknowledge Mode (AM) RLC entity is explained in section 5.1.3 of

[TS36322]. In this section we describe some details of the implementation of the RLC entity.

### Buffers for the transmit operations

Our implementation of the AM RLC entity maintains 3 buffers for the transmit operations:

- Transmission Buffer : it is the RLC SDU queue. When the AM RLC entity receives a SDU in the TransmitPd-

cpPdu service primitive from the upper PDCP entity, it enqueues it in the Transmission Buffer. We put a limit

on the RLC buffer size and the LteRlc TxDrop trace source is called when a drop due to a full buffer occurs.

- Transmitted PDUs Buffer : it is the queue of transmitted RLC PDUs for which an ACK/NACK has not been

received yet. When the AM RLC entity sends a PDU to the MAC entity, it also puts a copy of the transmitted

PDU in the Transmitted PDUs Buffer.

- Retransmission Buffer : it is the queue of RLC PDUs which are considered for retransmission (i.e., they have

been NACKed). The AM RLC entity moves this PDU to the Retransmission Buffer, when it retransmits a PDU

from the Transmitted Buffer.

Transmit operations in downlink

The following sequence diagram shows the interactions between the different entities (RRC, PDCP, AM RLC, MAC

and MAC scheduler) of the eNB in the downlink to perform data communications.

Figure Sequence diagram of data PDU transmission in downlink shows how the upper layers send data PDUs and how

the data flow is processed by the different entities/services of the LTE protocol stack.

The PDCP entity calls the Transmit\_PDCP\_PDU service primitive in order to send a data PDU. The AM RLC entity processes this service primitive according to the AM data transfer procedures defined in section 5.1.3

of [TS36322].

When the Transmit\_PDCP\_PDU service primitive is called, the AM RLC entity performs the following operations:

- Put the data SDU in the Transmission Buffer.
- Compute the size of the buffers (how the size of buffers is computed will be explained afterwards).
- Call the Report\_Buffer\_Status service primitive of the eNB MAC entity in order to notify to the eNB MAC

entity the sizes of the buffers of the AM RLC entity. Then, the eNB MAC entity updates the buffer status in the

MAC scheduler using the SchedDIRlcBufferReq service primitive of the FF MAC Scheduler API.

Afterwards, when the MAC scheduler decides that some data can be sent, the MAC entity notifies it to the RLC entity,

i.e. it calls the Notify\_Tx\_Opportunity service primitive, then the AM RLC entity does the following:

- Create a single data PDU by segmenting and/or concatenating the SDUs in the Transmission Buffer.
- Move the data PDU from the Transmission Buffer to the Transmitted PDUs Buffer.
- Update state variables according section 5.1.3.1.1 of [TS36322].
- Call the Transmit\_PDU primitive in order to send the data PDU to the MAC entity.

Retransmission in downlink

The sequence diagram of Figure Sequence diagram of data PDU retransmission in downlink shows the interactions

between the different entities (AM RLC, MAC and MAC scheduler) of the eNB in downlink when data PDUs must

be retransmitted by the AM RLC entity.

The transmitting AM RLC entity can receive STATUS PDUs from the peer AM RLC entity. STATUS PDUs are sent

according section 5.3.2 of [TS36322] and the processing of reception is made according section 5.2.1 of [TS36322].

When a data PDUs is retransmitted from the Transmitted PDUs Buffer, it is also moved to the Retransmission Buffer.

Transmit operations in uplink

The sequence diagram of Figure Sequence diagram of data PDU transmission in uplink shows the interactions between

the different entities of the UE (RRC, PDCP, RLC and MAC) and the eNB (MAC and Scheduler) in uplink when data

PDUs are sent by the upper layers.

It is similar to the sequence diagram in downlink; the main difference is that in this case the Report\_Buffer\_Status is

sent from the UE MAC to the MAC Scheduler in the eNB over the air using the control channel.

Retransmission in uplink

The sequence diagram of Figure Sequence diagram of data PDU retransmission in uplink shows the interactions between the different entities of the UE (AM RLC and MAC) and the eNB (MAC) in uplink when data PDUs must be retransmitted by the AM RLC entity.

#### Calculation of the buffer size

The Transmission Buffer contains RLC SDUs. A RLC PDU is one or more SDU segments plus an RLC header. The

size of the RLC header of one RLC PDU depends on the number of SDU segments the PDU contains. The 3GPP standard (section 6.1.3.1 of [TS36321]) says clearly that, for the uplink, the RLC and MAC headers are not

considered in the buffer size that is to be report as part of the Buffer Status Report. For the downlink, the behavior is

not specified. Neither [FFAPI] specifies how to do it. Our RLC model works by assuming that the calculation of the

buffer size in the downlink is done exactly as in the uplink, i.e., not considering the RLC and MAC header size.

We note that this choice affects the interoperation with the MAC scheduler, since, in response to the

Notify\_Tx\_Opportunity service primitive, the RLC is expected to create a PDU of no more than the size re-

quested by the MAC, including RLC overhead. Hence, unneeded fragmentation can occur if (for example) the MAC

notifies a transmission exactly equal to the buffer size previously reported by the RLC. We assume that it is left to

the Scheduler to implement smart strategies for the selection of the size of the transmission opportunity, in order to

eventually avoid the inefficiency of unneeded fragmentation.

#### Concatenation and Segmentation

The AM RLC entity generates and sends exactly one RLC PDU for each transmission opportunity even if it is smaller

than the size reported by the transmission opportunity. So for instance, if a STATUS PDU is to be sent, then only this

PDU will be sent in that transmission opportunity.

The segmentation and concatenation for the SDU queue of the AM RLC entity follows the same philosophy as the

same procedures of the UM RLC entity but there are new state variables (see [TS36322] section 7.1) only present in

the AM RLC entity.

It is noted that, according to the 3GPP specs, there is no concatenation for the Retransmission Buffer.

#### Re-segmentation

The current model of the AM RLC entity does not support the re-segmentation of the retransmission buffer. Rather,

the AM RLC entity just waits to receive a big enough transmission opportunity.

#### Unsupported features

We do not support the following procedures of [TS36322] :

- “Send an indication of successful delivery of RLC SDU” (See section 5.1.3.1.1)
- “Indicate to upper layers that max retransmission has been reached” (See section 5.2.1)
- “SDU discard procedures” (See section 5.3)
- “Re-establishment procedure” (See section 5.4)

We do not support any of the additional primitives of RLC SAP for AM RLC entity. In particular:

- no SDU discard notified by PDCP
- no notification of successful / failed delivery by AM RLC entity to PDCP entity

In this section we describe the implementation of the Unacknowledged Mode (UM) RLC entity.

Transmit operations in downlink

The transmit operations of the UM RLC are similar to those of the AM RLC previously described in Section Transmit

operations in downlink , with the difference that, following the specifications of [TS36322], retransmission are not

performed, and there are no STATUS PDUs.

Transmit operations in uplink

The transmit operations in the uplink are similar to those of the downlink, with the main difference that the Re-

port\_Buffer\_Status is sent from the UE MAC to the MAC Scheduler in the eNB over the air using the control channel.

Calculation of the buffer size

The calculation of the buffer size for the UM RLC is done using the same approach of the AM RLC, please refer to

section Calculation of the buffer size for the corresponding description.

In this section we describe the implementation of the Transparent Mode (TM) RLC entity.

Transmit operations in downlink

In the simulator, the TM RLC still provides to the upper layers the same service interface provided by the AM and

UM RLC entities to the PDCP layer; in practice, this interface is used by an RRC entity (not a PDCP entity) for the

transmission of RLC SDUs. This choice is motivated by the fact that the services provided by the TM RLC to the

upper layers, according to [TS36322], is a subset of those provided by the UM and AM RLC entities to the PDCP

layer; hence, we reused the same interface for simplicity.

The transmit operations in the downlink are performed as follows. When the Transmit\_PDCP\_PDU service primitive is called by the upper layers, the TM RLC does the following:

- put the SDU in the Transmission Buffer
- compute the size of the Transmission Buffer
- call theReport\_Buffer\_Status service primitive of the eNB MAC entity

Afterwards, when the MAC scheduler decides that some data can be sent by the logical channel to which the TM RLC

entity belongs, the MAC entity notifies it to the TM RLC entity by calling the Notify\_Tx\_Opportunity service

primitive. Upon reception of this primitive, the TM RLC entity does the following:

- if the TX opportunity has a size that is greater than or equal to the size of the head-of-line SDU in the Transmis-

sion Buffer

–dequeue the head-of-line SDU from the Transmission Buffer

–create one RLC PDU that contains entirely that SDU, without any RLC header

–Call theTransmit\_PDU primitive in order to send the RLC PDU to the MAC entity.

Transmit operations in uplink

The transmit operations in the uplink are similar to those of the downlink, with the main difference that a transmission

opportunity can also arise from the assignment of the UL GRANT as part of the Random Access procedure, without



an explicit Buffer Status Report issued by the TM RLC entity.

#### Calculation of the buffer size

As per the specifications [TS36322], the TM RLC does not add any RLC header to the PDUs being transmitted.

Because of this, the buffer size reported to the MAC layer is calculated simply by summing the size of all packets in

the transmission buffer, thus notifying to the MAC the exact buffer size.

In addition to the AM, UM and TM implementations that are modeled after the 3GPP specifications, a simplified RLC

model is provided, which is called Saturation Mode (SM) RLC. This RLC model does not accept PDUs from any above

layer (such as PDCP); rather, the SM RLC takes care of the generation of RLC PDUs in response to the notification

of transmission opportunities notified by the MAC. In other words, the SM RLC simulates saturation conditions, i.e.,

it assumes that the RLC buffer is always full and can generate a new PDU whenever notified by the scheduler.

The SM RLC is used for simplified simulation scenarios in which only the LTE Radio model is used, without the EPC

and hence without any IP networking support. We note that, although the SM RLC is an unrealistic traffic model,

it still allows for the correct simulation of scenarios with multiple flows belonging to different (non real-time) QoS

classes, in order to test the QoS performance obtained by different schedulers. This can be done since it is the task of

the Scheduler to assign transmission resources based on the characteristics (e.g., Guaranteed Bit Rate) of each Radio

Bearer, which are specified upon the definition of each Bearer within the simulation program.

As for schedulers designed to work with real-time QoS traffic that has delay constraints, the SM RLC is probably

not an appropriate choice. This is because the absence of actual RLC SDUs (replaced by the artificial generation of

Buffer Status Reports) makes it not possible to provide the Scheduler with meaningful head-of-line-delay information,

which is often the metric of choice for the implementation of scheduling policies for real-time traffic flows. For the

simulation and testing of such schedulers, it is advisable to use either the UM or the AM RLC models instead.

#### PDCP Model Overview

The reference document for the specification of the PDCP entity is [TS36323]. With respect to this specification, the

PDCP model implemented in the simulator supports only the following features:

- transfer of data (user plane or control plane);
- maintenance of PDCP SNs;
- transfer of SN status (for use upon handover);

The following features are currently not supported:

- header compression and decompression of IP data flows using the ROHC protocol;
- in-sequence delivery of upper layer PDUs at re-establishment of lower layers;
- duplicate elimination of lower layer SDUs at re-establishment of lower layers for radio bearers mapped on RLC
- ciphering and deciphering of user plane data and control plane data;

- integrity protection and integrity verification of control plane data;
- timer based discard;
- duplicate discarding.

#### PDCP Service Interface

The PDCP service interface is divided into two parts:

- thePdcSapProvider part is provided by the PDCP layer and used by the upper layer and
- thePdcSapUser part is provided by the upper layer and used by the PDCP layer.

#### PDCP Service Primitives

The following list specifies which service primitives are provided by the PDCP service interfaces:

- PdcSapProvider::TransmitPdcSdu

–The RRC entity uses this primitive to send an RRC PDU to the lower PDCP entity in the transmitter peer

- PdcSapUser::ReceivePdcSdu

–The PDCP entity uses this primitive to send an RRC PDU to the upper RRC entity in the receiver peer

#### Features

The RRC model implemented in the simulator provides the following functionality:

- generation (at the eNB) and interpretation (at the UE) of System Information (in particular the Master Informa-

tion Block and, at the time of this writing, only System Information Block Type 1 and 2)

- initial cell selection
- RRC connection establishment procedure
- RRC reconfiguration procedure, supporting the following use cases: + reconfiguration of the SRS configuration

index + reconfiguration of the PHY TX mode (MIMO) + reconfiguration of UE measurements + data radio bearer setup + handover

- RRC connection re-establishment, supporting the following use cases: + handover

#### Architecture

The RRC model is divided into the following components:

- the RRC entities LteUeRrc andLteEnbRrc , which implement the state machines of the RRC entities respectively at the UE and the eNB;
- the RRC SAPs LteUeRrcSapProvider ,LteUeRrcSapUser ,LteEnbRrcSapProvider ,LteEnbRrcSapUser , which allow the RRC entities to send and receive RRC messages and information elmenents;
- the RRC protocol classes LteUeRrcProtocolIdeal ,LteEnbRrcProtocolIdeal ,LteUeRrcProtocolReal ,LteEnbR-

rcProtocolReal , which implement two different models for the transmission of RRC messages.

Additionally, the RRC components use various other SAPs in order to interact with the rest of the protocol stack. A

representation of all the SAPs that are used is provided in the figures LTE radio protocol stack architecture for the UE

on the data plane ,LTE radio protocol stack architecture for the UE on the control plane ,LTE radio protocol stack

architecture for the eNB on the data plane andLTE radio protocol stack architecture for the eNB on the control plane .

#### UE RRC State Machine

In Figure UE RRC State Machine we represent the state machine as implemented in the RRC UE entity. All the states are transient, however, the UE in “CONNECTED\_NORMALLY” state will only switch to the IDLE

state if the downlink SINR is below a defined threshold, which would lead to radio link failure

Radio Link Failure .

#### ENB RRC State Machine

The eNB RRC maintains the state for each UE that is attached to the cell. From an implementation point of view, the state of each UE is contained in an instance of the UeManager class. The state machine is represented in Figure ENB RRC State Machine for each UE .

Initial Cell Selection

Initial cell selection is an IDLE mode procedure, performed by UE when it has not yet camped or attached to an eNodeB. The objective of the procedure is to find a suitable cell and attach to it to gain access to the cellular network.

IDLE\_CELL\_SEARCHautomatic attachment  
by cell selection

IDLE\_WAIT\_MIBmanual  
attachment

IDLE\_WAIT\_MIB\_SIB1synchronized to a cell

IDLE\_WAIT\_SIB1rx MIB

IDLE\_CAMPED\_NORMALLYrx MIBrx SIB1 and  
cell selection failed

rx SIB1 and

cell selection successful

IDLE\_WAIT\_SIB2connection request  
by upper layer

IDLE\_RANDOM\_ACCESSrx SIB2random access  
failure

IDLE\_CONNECTINGRandom access  
successfulT300 expiry or

rx RRC CONN

CONNECTED\_NORMALLYrx RRC CONN SETUP

CONNECTED\_PHY\_PROBLEMT300 expiration  
counter limit

reachedN311 in-synch  
received

CONNECTED\_HANDOVERrx RRC CONN RECONF  
with MobilityCtrlInfoT310 expiredrandom access  
successfulNAS call to Disconnect

no context

INITIAL\_RANDOM\_ACCESSrx RA  
preamble

HANDOVER\_JOININGrx X2 HANDOVER REQ,  
Admit = true

CONNECTION\_SETUPrx RRC CONN REQ,  
Admit = true

CONNECTION\_REJECTEDrx RRC CONN REQ,  
Admit = false

context destroyedconnection

timeoutCONNECTED\_NORMALLYrx RRC CONN SETUP  
connection

rejected

timeoutCONNECTION\_RECONFIGURATIONreconfiguration  
trigger

HANDOVER\_PREPARATIONhandover

triggerrx RRC CONN RECONF  
COMPLETEDrx X2 HO PREP  
HANDOVER\_LEAVINGrx X2 HO REQ ACKHANDOVER\_PATH\_SWITCHrx RRC CONN RECONF  
handover  
joining  
timeoutrx S1 PATH SWITCH REQ ACK  
rx X2 UE CONTEXT RELEASEhandover  
leaving  
timeout

It is typically done at the beginning of simulation, as depicted in Figure Sample runs of initial cell selection in UE and timing of related events below. The time diagram on the left side is illustrating the case where initial cell selection succeed on first try, while the diagram on the right side is for the case where it fails on the first try and succeed on the second try. The timing assumes the use of real RRC protocol model (see RRC protocol models ) and no transmission error.

The functionality is based on 3GPP IDLE mode specifications, such as in [TS36300], [TS36304], and [TS36331].

However, a proper implementation of IDLE mode is still missing in the simulator, so we reserve several simplifying assumptions:

- multiple carrier frequency is not supported;
- multiple Public Land Mobile Network (PLMN) identities (i.e. multiple network operators) is not supported;
- RSRQ measurements are not utilized;
- stored information cell selection is not supported;
- “Any Cell Selection” state and camping to an acceptable cell is not supported;
- marking a cell as barred or reserved is not supported;
- Idle cell reselection is not supported, hence it is not possible for UE to camp to a different cell after the initial camp has been placed; and
- UE's Closed Subscriber Group (CSG) white list contains only one CSG identity.

Also note that initial cell selection is only available for EPC-enabled simulations. LTE-only simulations must use the manual attachment method. See section Network Attachment of the User Documentation for more information on their differences in usage.

The next subsections cover different parts of initial cell selection, namely cell search ,broadcast of system information , andcell selection evaluation .

#### Cell Search

Cell search aims to detect surrounding cells and measure the strength of received signal from each of these cells. One

of these cells will become the UE's entry point to join the cellular network.

The measurements are based on the RSRP of the received PSS, averaged by Layer 1 filtering, and performed by the

PHY layer, as previously described in more detail in section UE PHY Measurements Model . PSS is transmitted by

eNodeB over the central 72 sub-carriers of the DL channel (Section 5.1.7.3 [TS36300]), hence we

model cell search

to operate using a DL bandwidth of 6 RBs. Note that measurements of RSRQ are not available at this point of time in simulation. As a consequence, the `LteUePhy::RsrqUeMeasThreshold` attribute does not apply during cell search.

By using the measured RSRP, the PHY entity is able to generate a list of detected cells, each with its corresponding cell ID and averaged RSRP. This list is periodically pushed via CPHY SAP to the RRC entity as a measurement report.

The RRC entity inspects the report and simply choose the cell with the strongest RSRP, as also indicated in Section

5.2.3.1 of [TS36304]. Then it instructs back the PHY entity to synchronize to this particular cell.

The actual operating

bandwidth of the cell is still unknown at this time, so the PHY entity listens only to the minimum bandwidth of 6 RBs.

Nevertheless, the PHY entity will be able to receive system broadcast message from this particular eNodeB, which is

the topic of the next subsection.

**Broadcast of System Information**

System information blocks are broadcasted by eNodeB to UEs at predefined time intervals, adapted from Section

5.2.1.2 of [TS36331]. The supported system information blocks are:

- Master Information Block (MIB) Contains parameters related to the PHY layer, generated during cell configuration and broadcasted every 10 ms at the beginning of radio frame as a control message.
- System Information Block Type 1 (SIB1) Contains information regarding network access, broadcasted every 20 ms at the middle of radio frame as a control message. Not used in manual attachment method. UE must have decoded MIB before it can receive SIB1.
- System Information Block Type 2 (SIB2) Contains UL- and RACH-related settings, scheduled to transmit via RRC protocol at 16 ms after cell configuration, and then repeats every 80 ms (configurable through `LteEnbRrc::SystemInformationPeriodicity` attribute. UE must be camped to a cell in order to be able to receive its SIB2.

Reception of system information is fundamental for UE to advance in its lifecycle. MIB enables the UE to increase the

initial DL bandwidth of 6 RBs to the actual operating bandwidth of the network. SIB1 provides information necessary

for cell selection evaluation (explained in the next section). And finally SIB2 is required before the UE is allowed to

switch to CONNECTED state.

**Cell Selection Evaluation**

UE RRC reviews the measurement report produced in Cell Search and the cell access information provided by SIB1.

Once both information is available for a specific cell, the UE triggers the evaluation process. The purpose of this

process is to determine whether the cell is a suitable cell to camp to.

The evaluation process is a slightly simplified version of Section 5.2.3.2 of [TS36304]. It consists

of the following  
criteria:

- Rx level criterion; and
- closed subscriber group (CSG) criterion.

The first criterion, Rx level, is based on the cell's measured RSRP  $Q_{rxlevmeas}$ , which has to be higher than a required minimum  $Q_{rxlevmin}$  in order to pass the criterion:

$$Q_{rxlevmeas} - Q_{rxlevmin} > 0$$

where  $Q_{rxlevmin}$  is determined by each eNodeB and is obtainable by UE from SIB1.

The last criterion, CSG, is a combination of a true-or-false parameter called CSG indication and a simple number

CSG identity. The basic rule is that UE shall not camp to eNodeB with a different CSG identity. But this rule is only

enforced when CSG indication is valued as true. More details are provided in Section Network Attachment of the User

Documentation.

When the cell passes all the above criteria, the cell is deemed as suitable. Then UE camps to it (IDLE\_CAMPED\_NORMALLY state).

After this, upper layer may request UE to enter CONNECTED mode. Please refer to section RRC connection establishment for details on this.

On the other hand, when the cell does not pass the CSG criterion, then the cell is labeled as acceptable (Section

10.1.1.1 [TS36300]). In this case, the RRC entity will tell the PHY entity to synchronize to the second strongest cell

and repeat the initial cell selection procedure using that cell. As long as no suitable cell is found, the UE will repeat

these steps while avoiding cells that have been identified as acceptable.

Radio Admission Control

Radio Admission Control is supported by having the eNB RRC reply to an RRC CONNECTION REQUEST message

sent by the UE with either an RRC CONNECTION SETUP message or an RRC CONNECTION REJECT message depending on whether the new UE is to be admitted or not. In the current implementation, the behavior is deter-

mined by the boolean attribute `ns3::LteEnbRrc::AdmitRrcConnectionRequest`. There is currently no Radio

Admission Control algorithm that dynamically decides whether a new connection shall be admitted or not.

Radio Bearer Configuration

Some implementation choices have been made in the RRC regarding the setup of radio bearers:

- three Logical Channel Groups (out of four available) are configured for uplink buffer status report purposes,

according to the following policy:

- LCG 0 is for signaling radio bearers
- LCG 1 is for GBR data radio bearers
- LCG 2 is for Non-GBR data radio bearers

Radio Link Failure

In real LTE networks, Radio link failure (RLF) can happen due to several reasons. It can be triggered if a UE is

unable to decode PDCCH due to poor signal quality, upon maximum RLC retransmissions, RACH problems and

other reasons. 3GPP only specifies guidelines to detect RLF at the UE side, in [TS36331] and [TS36133]. On the

other hand, the eNB implementation is expected to be vendor specific. To implement the RLF functionality in ns-3,

we have assumed the following simplifications:

- The RLF detection procedure at eNodeB is not implemented. Instead, a direct function call by using the SAP

between UE and eNB RRC (for both ideal and real RRC) is used to notify the eNB about the RLF .

- No RRC connection re-establishment procedure is implemented, thus, the UE directly goes to the IDLE state

upon RLF. This is in fact as per the standard [TS36331] sec 5.3.11.3, since, at this stage the LTE module does

not support the Access Stratum (AS) security.

The above mentioned RLF specifications can be divided into the following two categories:

1. RLF detection

2. Actions upon RLF detection

In the following, we will explain the RLF implementation in context of these two categories.

RLF detection implementation

The RLF detection at the UE is implemented as per [TS36133], i.e., by monitoring the radio link quality based on the

reference signals (which in the simulation is equivalent to the PDCCH) in the downlink. Thus, it is independent of

the method used for the downlink CQI computation, i.e., CQIMethod and Mixed method . Moreover, when using FFR,

especially for hard-FFR, and CQIs based on Mixed method , UEs might experience relatively good performance and

RLF simultaneously. This is due to the fact that the interference in PDSCH is affected by the actual data transmissions

on the specific RBs and the power control. Therefore, UEs might experience good SINR in PDSCH, while bad SINR

in PDCCH channel. For more details about these methods please refer to CQI feedback . Also, it does not matter if

the DL control error model is disabled, a UE can still detect the RLF since the SINR based on the control channel is

reported to the LteUePhy class, using a callback hooked in LteHelper while installing a UE device.

The RLF detection starts once the RRC connection is established between UE and eNodeB, i.e., UE is in "CONNECTED\_NORMALLY" state; upon which the RLF parameters are configured (see LteUePhy::DoConfigureRadioLinkFailureDetection ). In real networks, these parameters are transmitted by the eNB using IE UE-TimersAndConstants or RLF-TimersAndConstants. However, for the sake of simpli-

fication, in the simulator they are presented as the attributes of the LteUePhy and LteUeRrc classes. More-

over, what concerns the carrier aggregation, i.e., when a UE is configured with multiple component carri-

ers, the RLF detection is only performed by the primary component carrier, i.e. component carrier id 0 (see

LteUePhy::DoNotifyConnectionSuccessful ). In LteUePhy class, CQI calculation is triggered for every down-

link subframe received, and the average SINR value is measured across all resource blocks. For the RLF detec-

tion, these SINR values are averaged over a downlink frame and if the result is less than a defined

threshold  $Q_{out}$

(default: -5dB), the frame cannot be decoded (see "LteUePhy::RadioLinkFailureDetection"). The  $Q_{out}$  threshold

corresponds to 10% block error rate (BLER) of a hypothetical PDCCH transmission taking into account the PC-

FICH errors [R4-081920] (also refer to Control Channels PHY Error Model ). Once, the UE is unable to decode

20 consecutive frames, i.e., the  $Q_{out}$  evaluation period (200ms) is reached, an out-of-sync indication is sent to the

UE RRC layer (see LteUeRrc::DoNotifyOutOfSync ). Else, the counter for the unsuccessfully decoded frames is

reset to zero. At the LteUeRrc, when the number of consecutive out-of-sync indications matches with the value of

N310 parameter, the T310 timer is started and LteUePhy is notified to start measuring for in-sync indications (see

LteUePhy::DoStartInSyncDetection ). We note that, the UE RRC state is not changed till the expiration of

T310 timer. If the resultant SINR values averaged over a downlink frame is greater than a defined threshold  $Q_{in}$

(default: -3.8dB), the frame is considered to be successfully decoded.  $Q_{in}$  corresponds to 2% BLER [R4-081920]

consecutive frames, an in-sync indication is sent to the UE RRC layer (see LteUeRrc::DoNotifyInSync ). Else,

the counter for the successfully decoded frames is reset to zero. If prior to the T310 timer expiry, the number of

consecutive in-sync indications matches with N311 parameter of LteUeRRC, the UE is considered back in-sync.

At this stage, the related parameters are reset to initiate the radio link failure detection from the beginning (see

LteUePhy::DoConfigureRadioLinkFailureDetection ). On the other hand, If the T310 timer expires, the UE

considers that a RLF has occurred (see LteUeRrc::RadioLinkFailureDetected ).

Actions upon RLF

Once the T310 timer is expired, a UE is considered to be in RLF; upon which the UE RRC:

- Sends a request to the eNB RRC to remove the UE context
- Moves to "CONNECTED\_PHY\_PROBLEM" state
- Notifies the UE NAS layer about the release of RRC connection.

Then, after getting the notification from the UE RRC the NAS does the following:

- Delete all the TFTs
- Reset the bearer counter
- Restore the bearer list, which is used to activate the bearers for the next RRC connection. This restoration of

the bearers is achieved by maintaining an additional list, i.e.,

m\_bearersToBeActivatedListForReconnection in

EpcUeNas class

- Switch the NAS state to OFF by calling EpcUeNas::Disconnect
- Tells the UE RRC to disconnect

The UE RRC, upon receiving the call to disconnect from the EpcUeNas class, performs the action as speci-

fied by [TS36331] 5.3.11.3, and finally leaves the connected state, i.e., its RRC state is changed from "CON-



NECTED\_PHY\_PROBLEM” to “IDLE\_START” to perform cell selection as shown in figures UE RRC State Machine

and UE procedures after radio link failure .

At this stage, the LTE module does not support the paging functionality, therefore, to allow a UE to read SIB2 message

after camping on a suitable cell after RLF, a work around is used in

LteUeRrc::EvaluateCellForSelection

method. As per this workaround, the UE RRC invokes the call to LteUeRrc::DoConnect method, which enables

the UE to switch its state from “IDLE\_CAMPED\_NORMALLY” to “IDLE\_WAIT\_SIB2”, thus, allowing it to perform

the random access.

The eNB RRC, after receiving the notification from the UE RRC starts the procedure of UE context deletion, which

also involves the deletion of the UE context removal from the EPC UE context removal from EPC and the eNB stack

UE context removal from eNB stack . We note that, the UE context at the MME is not removed since, bearers are

only added at the start of a simulation in MME, and cannot be added again unless scheduled for addition during a

simulation.

UE RRC Measurements Model

UE RRC measurements support

The UE RRC entity provides support for UE measurements; in particular, it implements the procedures described in

Section 5.5 of [TS36331], with the following simplifying assumptions:

- only E-UTRA intra-frequency measurements are supported, which implies:
  - only one measurement object is used during the simulation;
  - measurement gaps are not needed to perform the measurements;
  - Event B1 and B2 are not implemented;
- only reportStrongestCells purpose is supported, while reportCGI and reportStrongestCellsForSON purposes are not supported;
- s-Measure is not supported;
- carrier aggregation is now supported in the LTE module - Event A6 is not implemented;
- speed dependent scaling of time-to-trigger (Section 5.5.6.2 of [TS36331]) is not supported.

Overall design

The model is based on the concept of UE measurements consumer , which is an entity that may request an eNodeB

RRC entity to provide UE measurement reports. Consumers are, for example, Handover algorithm , which compute

handover decision based on UE measurement reports. Test cases and user's programs may also become consumers.

Figure Relationship between UE measurements and its consumers depicts the relationship between these entities.

The whole UE measurements function at the RRC level is divided into 4 major parts:

1. Measurement configuration (handled by LteUeRrc::ApplyMeasConfig )
2. Performing measurements (handled by LteUeRrc::DoReportUeMeasurements )
3. Measurement report triggering (handled by LteUeRrc::MeasurementReportTriggering )
4. Measurement reporting (handled by LteUeRrc::SendMeasurementReport )

The following sections will describe each of the parts above.

## Measurement configuration

An eNodeB RRC entity configures UE measurements by sending the configuration parameters to the UE RRC entity. This set of parameters are defined within the MeasConfig Information Element (IE) of the RRC Connection

Reconfiguration message ( RRC connection reconfiguration ).

EpcUeNas

LteUeRrc

LteUeMac (all MACs)

LteUePhy (All Phys)

LteUeComponentCarrier

Manager

LteEnbRrc

SwitchToState (CON

NotifyConnectionReleased ()

SendIdealUeContext

RemoveRequest(rnti)

Disconnect ()

SwitchToState (OFF)

Disconnect ()

LeaveConnectedM

ode ()

VarMeasReportListCl

ear (measId)

CancelEnteringTrigg

er (measId, cellId)

Reset ()

Reset ()

Reset ()

SwitchToState (IDLE

DoStartCellSelection

(dlEarfcn)

Only primary carrier PHY

StartCellSearch (dlEarfcn)

SwitchToState (IDLE

StorePrevious

CellId (cellId)

DoSetTemporaryCell

Rnti (0)

LteEnbRrc

UeManager

EpcEnbApplication

EpcMmeApplication

EpcSgwApplication

EpcPgwApplication

UeInfo

RecvIdealUeContext

RemoveRequest (rnti)

DoSendReleaseIndication

(Imsi, rnti, bearerId)

ErabReleaseIndication

(imsi, rnti, erabToBeReleaseIndication)

DeleteBearerCommand

DeleteBearerCommand

DeleteBearerRequest

DeleteBearerRequest

DeleteBearerResponse

DeleteBearerResponse

RemoveBearer (epsBearerId)

LteEnbRrc

UeManager

EpcEnbApplication

LteUeMac (All MACs)

Scheduler

LteUePhy (All Phys)

LteSpectrumPhy

LteEnbComponentCarrierManager

RrcProtocol

RrcProtocol

CancelPendingEvents ()

RemoveUe (rnti)

CschedUeReleaseReq (params)

RemoveUe (rnti)

RemoveExpectedTb (rnti)

UeContextRelease (rnti)

RemoveUe (rnti)

RemoveUe (rnti)

The eNodeB RRC entity implements the configuration parameters and procedures described in Section 5.5.2 of

[TS36331], with the following simplifying assumption:

- configuration (i.e. addition, modification, and removal) can only be done before the simulation begins;

- all UEs attached to the eNodeB will be configured the same way, i.e. there is no support for configuring specific measurement for specific UE; and

- it is assumed that there is a one-to-one mapping between the PCI and the E-UTRAN Global Cell Identifier

(EGCI). This is consistent with the PCI modeling assumptions described in UE PHY Measurements Model

The eNodeB RRC instance here acts as an intermediary between the consumers and the attached UEs. At the beginning

of simulation, each consumer provides the eNodeB RRC instance with the UE measurements configuration that it

requires. After that, the eNodeB RRC distributes the configuration to attached UEs.

Users may customize the measurement configuration using several methods. Please refer to Section Configure UE

measurements of the User Documentation for the description of these methods.

Performing measurements

UE RRC receives both RSRP and RSRQ measurements on periodical basis from UE PHY , as described in UE PHY

Measurements Model .Layer 3 filtering will be applied to these received measurements. The

implementation of the filtering follows Section 5.5.3.2 of [TS36331]:

$$F_n = (1 - a) F_{n-1} + a M_n$$

where:

- $M_n$  is the latest received measurement result from the physical layer;
- $F_n$  is the updated filtered measurement result;
- $F_{n-1}$  is the old filtered measurement result, where  $F_0 = M_1$  (i.e. the first measurement is not filtered); and

$$a = (1 - 2^{-k})$$

where

$k$  is the configurable filterCoefficient provided by the QuantityConfig ;

$k = 4$  is the default value, but can be configured by setting the RsrpFilterCoefficient

and RsrqFilterCoefficient at-

tributes in LteEnbRrc .

Therefore  $k = 0$  will disable Layer 3 filtering. On the other hand, past measurements can be granted more influence

on the filtering results by using larger value of  $k$ .

Measurement reporting triggering

In this part, UE RRC will go through the list of active measurement configuration and check whether the triggering

condition is fulfilled in accordance with Section 5.5.4 of [TS36331]. When at least one triggering condition from all the

active measurement configuration is fulfilled, the measurement reporting procedure (described in the next subsection)

will be initiated.

3GPP defines two kinds of triggerType :periodical and event-based . At the moment, only event-based criterion is

supported. There are various events that can be selected, which are briefly described in the table below:

Name	Description
Event A1	Serving cell becomes better than threshold
Event A2	Serving cell becomes worse than threshold
Event A3	Neighbour becomes offset dB better than serving cell
Event A4	Neighbour becomes better than threshold
Event A5	Serving becomes worse than threshold1 AND neighbour becomes better than threshold2

More details on these two can be found in Section 5.5.4 of [TS36331].

An event-based trigger can be further configured by introducing hysteresis and time-to-trigger.

Hysteresis (Hys )

defines the distance between the entering and leaving conditions in dB. Similarly, time-to-trigger introduces delay to

both entering and leaving conditions, but as a unit of time.

The periodical type of reporting trigger is not supported, but its behavior can be easily obtained by using an event-

based trigger. This can be done by configuring the measurement in such a way that the entering condition is always

fulfilled, for example, by setting the threshold of Event A1 to zero (the minimum level). As a result, the mea-

surement reports will always be triggered at every certain interval, as determined by the reportInterval field within

LteRrcSap::ReportConfigEutra , therefore producing the same behaviour as periodical reporting.

As a limitation with respect to 3GPP specifications, the current model does not support any cell-

specific configuration.

These configuration parameters are defined in measurement object. As a consequence, incorporating a list of black

cells into the triggering process is not supported. Moreover, cell-specific offset (i.e.,

OcnandOcpin Event A3, A4,

and A5) are not supported as well. The value equal to zero is always assumed in place of them.

Measurement reporting

This part handles the submission of measurement report from the UE RRC entity to the serving eNodeB entity via

RRC protocol. Several simplifying assumptions have been adopted:

- reportAmount is not applicable (i.e. always assumed to be infinite);
- in measurement reports, the reportQuantity is always assumed to be BOTH , i.e., both RSRP and RSRQ are always reported, regardless of the triggerQuantity .

Handover

The RRC model supports UE mobility in CONNECTED mode by invoking the X2-based handover procedure.

The

model is intra-EUTRAN and intra-frequency, as based on Section 10.1.2.1 of [TS36300].

This section focuses on the process of triggering a handover. The handover execution procedure itself is covered in

Section X2.

There are two ways to trigger the handover procedure:

- explicitly (or manually) triggered by the simulation program by scheduling an execution of the method

LteEnbRrc::SendHandoverRequest ; or

- automatically triggered by the eNodeB RRC entity based on UE measurements and according to the selected

handover algorithm.

Section X2-based handover of the User Documentation provides some examples on using both explicit and automatic

handover triggers in simulation. The next subsection will take a closer look on the automatic method, by describing

the design aspects of the handover algorithm interface and the available handover algorithms.

Handover algorithm

Handover in 3GPP LTE has the following properties:

- UE-assisted The UE provides input to the network in the form of measurement reports. This is handled by the

UE RRC Measurements Model .

- Network-controlled The network (i.e. the source eNodeB and the target eNodeB) decides when to trigger the

handover and oversees its execution.

The handover algorithm operates at the source eNodeB and is responsible in making handover decisions in an “au-

tomatic” manner. It interacts with an eNodeB RRC instance via the Handover Management SAP interface. These

relationships are illustrated in Figure Relationship between UE measurements and its consumers from the previous

section.

The handover algorithm interface consists of the following methods:

- AddUeMeasReportConfigForHandover (Handover Algorithm -> eNodeB RRC) Used by the handover algorithm to request measurement reports from the eNodeB RRC entity, by passing the desired reporting

configuration. The configuration will be applied to all future attached UEs.

- ReportUeMeas (eNodeB RRC -> Handover Algorithm) Based on the UE measurements configured earlier in AddUeMeasReportConfigForHandover , UE may submit measurement reports to the eNodeB. The eNodeB RRC entity uses the ReportUeMeas interface to forward these measurement reports to the handover algorithm.

- TriggerHandover (Handover Algorithm -> eNodeB RRC) After examining the measurement reports (but not necessarily), the handover algorithm may declare a handover. This method is used to notify the eNodeB

RRC entity about this decision, which will then proceed to commence the handover procedure.

tity) of the newly created measurement configuration. Typically a handover algorithm would store this unique number.

It may be useful in the ReportUeMeas method, for example when more than one configuration has been requested

and the handover algorithm needs to differentiate incoming reports based on the configuration that triggered them.

A handover algorithm is implemented by writing a subclass of the LteHandoverAlgorithm abstract superclass and

implementing each of the above mentioned SAP interface methods. Users may develop their own handover algorithm

this way, and then use it in any simulation by following the steps outlined in Section X2-based handover of the User

Documentation.

Alternatively, users may choose to use one of the 3 built-in handover algorithms provided by the LTE module: no-op,

A2-A4-RSRQ, and strongest cell handover algorithm. They are ready to be used in simulations or can be taken as an

example of implementing a handover algorithm. Each of these built-in algorithms is covered in each of the following subsections.

No-op handover algorithm

The no-op handover algorithm (NoOpHandoverAlgorithm class) is the simplest possible implementation of han-

dover algorithm. It basically does nothing, i.e., does not call any of the Handover Management SAP interface methods.

Users may choose this handover algorithm if they wish to disable automatic handover trigger in their simulation.

A2-A4-RSRQ handover algorithm

The A2-A4-RSRQ handover algorithm provides the functionality of the default handover algorithm originally included

in LENA M6 (ns-3.18), ported to the Handover Management SAP interface as the

A2A4RsrqHandoverAlgorithm class.

As the name implies, the algorithm utilizes the Reference Signal Received Quality (RSRQ) measurements acquired

from Event A2 and Event A4. Thus, the algorithm will add 2 measurement configuration to the corresponding eNodeB

RRC instance. Their intended use are described as follows:

- Event A2 (serving cell's RSRQ becomes worse than threshold ) is leveraged to indicate that the UE is experienc-

ing poor signal quality and may benefit from a handover.

- Event A4 (neighbour cell's RSRQ becomes better than threshold ) is used to detect neighbouring

cells and acquire

their corresponding RSRQ from every attached UE, which are then stored internally by the algorithm.

By

default, the algorithm configures Event A4 with a very low threshold, so that the trigger criteria are always true.

Figure A2-A4-RSRQ handover algorithm below summarizes this procedure.

eNodeB receives measurement reports from UE

(Event A2 and A4)

serving cell RSRQ

$\leq$  ServingCellThreshold?false

Look for neighbour cell with the best RSRQtrue

(best neighbour RSRQ - serving cell RSRQ)

$\geq$  NeighbourCellOffset?false

Trigger handover procedure for this UE

to the best neighbourtrue

- ServingCellThreshold Thethreshold for Event A2, i.e. a UE must have an RSRQ lower than this threshold

to be considered for a handover.

- NeighbourCellOffset Theoffset that aims to ensure that the UE would receive better signal quality after the

handover. A neighbouring cell is considered as a target cell for the handover only if its RSRQ is higher

than the serving cell's RSRQ by the amount of this offset .

The value of both attributes are expressed as RSRQ range (Section 9.1.7 of [TS36133]), which is an integer between

0 and 34, with 0 as the lowest RSRQ.

Strongest cell handover algorithm

Thestrongest cell handover algorithm , or also sometimes known as the traditional power budget (PBGT) algorithm ,

is developed using [Dimou2009] as reference. The idea is to provide each UE with the best possible Reference Signal

Received Power (RSRP). This is done by performing a handover as soon as a better cell (i.e. with stronger RSRP) is detected.

Event A3 (neighbour cell's RSRP becomes better than serving cell's RSRP) is chosen to realize this concept. The

A3RsrpHandoverAlgorithm class is the result of the implementation. Handover is triggered for the UE to the best

cell in the measurement report.

A simulation which uses this algorithm is usually more vulnerable to ping-pong handover (consecutive handover to

the previous source eNodeB within short period of time), especially when the Fading Model is enabled. This problem

is typically tackled by introducing a certain delay to the handover. The algorithm does this by including hysteresis and

time-to-trigger parameters (Section 6.3.5 of [TS36331]) to the UE measurements configuration.

Hysteresis (a.k.a. handover margin) delays the handover in regard of RSRP. The value is expressed in dB, ranges

between 0 to 15 dB, and have a 0.5 dB accuracy, e.g., an input value of 2.7 dB is rounded to 2.5 dB.

On the other hand, time-to-trigger delays the handover in regard of time. 3GPP defines 16 valid values for time-to-

trigger (all in milliseconds): 0, 40, 64, 80, 100, 128, 160, 256, 320, 480, 512, 640, 1024, 1280, 2560, and 5120.

The difference between hysteresis and time-to-trigger is illustrated in Figure Effect of hysteresis and time-to-trigger in strongest cell handover algorithm below, which is taken from the lna-x2-handover-measures example. It depicts the perceived RSRP of serving cell and a neighbouring cell by a UE which moves pass the border of the cells.

By default, the algorithm uses a hysteresis of 3.0 dB and time-to-trigger of 256 ms. These values can be tuned through theHysteresis andTimeToTrigger attributes of the A3RsrpHandoverAlgorithm class.

#### Neighbour Relation

LTE module supports a simplified Automatic Neighbour Relation (ANR) function. This is handled by the LteAnr

class, which interacts with an eNodeB RRC instance through the ANR SAP interface.

#### Neighbour Relation Table

The ANR holds a Neighbour Relation Table (NRT), similar to the description in Section 22.3.2a of [TS36300]. Each

entry in the table is called a Neighbour Relation (NR) and represents a detected neighbouring cell, which contains the

following boolean fields:

- No Remove Indicates that the NR shall notbe removed from the NRT. This is trueby default for user-provided

NR and false otherwise.

- No X2 Indicates that the NR shall notuse an X2 interface in order to initiate procedures towards the eNodeB

parenting the target cell. This is false by default for user-provided NR, and trueotherwise.

- No HO Indicates that the NR shall notbe used by the eNodeB for handover reasons. This is truein most cases,

except when the NR is both user-provided and network-detected.

Each NR entry may have at least one of the following properties:

event A3

and handover start

time-to-trigger

neighbour cell becomes

better than serving cell

hysteresishysteresis condition met

- User-provided This type of NR is created as instructed by the simulation user. For example, a NR is cre-

ated automatically upon a user-initiated establishment of X2 connection between 2 eNodeBs, e.g. as described in Section X2-based handover . Another way to create a user-provided NR is to call the AddNeighbourRelation function explicitly.

- Network-detected This type of NR is automatically created during the simulation as a result of the discovery

of a nearby cell.

In order to automatically create network-detected NR, ANR utilizes UE measurements. In other words, ANR is a

consumer of UE measurements, as depicted in Figure Relationship between UE measurements and its consumers .

RSRQ and Event A4 (neighbour becomes better than threshold ) are used for the reporting configuration. The default



Event A4 threshold is set to the lowest possible, i.e., maximum detection capability, but can be changed by setting the Threshold attribute of `LteAnr` class. Note that the A2-A4-RSRQ handover algorithm also utilizes a similar reporting configuration. Despite the similarity, when both ANR and this handover algorithm are active in the eNodeB, they use separate reporting configuration.

Also note that automatic setup of X2 interface is not supported. This is the reason why the `No X2` and `No HO` fields are true in a network-detected but not user-detected NR.

#### Role of ANR in Simulation

The ANR SAP interface provides the means of communication between ANR and eNodeB RRC. Some interface functions are used by eNodeB RRC to interact with the NRT, as shown below:

- `AddNeighbourRelation` (eNodeB RRC -> ANR) Add a new user-provided NR entry into the NRT.
- `GetNoRemove` (eNodeB RRC -> ANR) Get the value of No Remove field of an NR entry of the given cell ID.
- `GetNoHo` (eNodeB RRC -> ANR) Get the value of No HO field of an NR entry of the given cell ID.
- `GetNoX2` (eNodeB RRC -> ANR) Get the value of No X2 field of an NR entry of the given cell ID.

Other interface functions exist to support the role of ANR as a UE measurements consumer, as listed below:

- `AddUeMeasReportConfigForAnr` (ANR -> eNodeB RRC) Used by the ANR to request measurement reports from the eNodeB RRC entity, by passing the desired reporting configuration. The configuration will be applied to all future attached UEs.
- `ReportUeMeas` (eNodeB RRC -> ANR) Based on the UE measurements configured earlier in `AddUeMeasReportConfigForAnr`, UE may submit measurement reports to the eNodeB. The eNodeB RRC entity uses the `ReportUeMeas` interface to forward these measurement reports to the ANR. Please refer to the corresponding API documentation for `LteAnrSap` class for more details on the usage and the required parameters.

The ANR is utilized by the eNodeB RRC instance as a data structure to keep track of the situation of nearby neighbouring cells. The ANR also helps the eNodeB RRC instance to determine whether it is possible to execute a handover

procedure to a neighbouring cell. This is realized by the fact that eNodeB RRC will only allow a handover procedure

to happen if the NR entry of the target cell has both `No HO` and `No X2` fields set to false .

ANR is enabled by default in every eNodeB instance in the simulation. It can be disabled by setting the `AnrEnabled` attribute in `LteHelper` class to false .

#### RRC sequence diagrams

In this section we provide some sequence diagrams that explain the most important RRC procedures being modeled.

##### RRC connection establishment

Figure Sequence diagram of the RRC Connection Establishment procedure shows how the RRC Connection Estab-

lishment procedure is modeled, highlighting the role of the RRC layer at both the UE and the eNB, as well as the interaction with the other layers.

AsSap

UeRrc

CmacSap  
 RrcSap  
 EnbRrc  
 Connect  
 StartContentionBasedRandomAccessPro  
 cedure  
 SetTemporaryCellRnti  
 send RRC CONNECTION REQU ...  
 recv RRC CONNECTION REQUE ...  
 send RRC CONNECTION SETUP  
 recv RRC CONNECTION SETUP  
 send RRC CONNECTION SETUP COMPL ...  
 recv RRC CONNECTION SETUP COMP

UE sends RA preamble and receives RAR with T-C-RNTI

There are several timeouts related to this procedure, which are listed in the following Table Timers in RRC connection

establishment procedure . If any of these timers expired, the RRC connection establishment procedure is terminated in

failure. At the UE side, if T300 timer has expired a consecutive connEstFailCount times on the same cell it performs

the cell selection again [TS36331]. Else, the upper layer (UE NAS) will immediately attempt to retry the procedure.

Name Loca-

tionTimer starts Timer stops Default

durationWhen

timer

expired

Connection re-

quest timeouteN-

odeB

RRCNew UE context

addedReceive RRC CONNEC-

TION REQUEST15 ms

(Max)Remove

UE context

Connection time-

out (T300 timer)UE

RRCSend RRC CON-

QUESTReceive RRC CONNEC-

TION SETUP or REJECT100 ms Reset UE

Connection setup

timeouteN-

odeB

RRCSend RRC CON-

NECTION SETUPReceive RRC CONNEC-

TION SETUP COMPLETE100 ms Remove

UE context

Connection re-

jected timeouteN-

odeB

RRCSend RRC CON-

NECTION REJECTNever 30 ms Remove

UE context

Note: The value of connection request timeout timer at the eNB RRC should not be higher than the T300 timer at UE

RRC. It is to make sure that the UE context is already removed at the eNB, once the UE will perform cell selection upon

reaching the connEstFailCount count. Moreover, at the time of writing this document the Cell Selection Evaluation

does not include the Qoffsettemp parameter, thus, it is not applied while selecting the same cell again.

Name Lo-

ca-

tionMsg Mon-

i-

tored

byDe-

fault

valueLimit not reached Limit reached

ConnEst-

Fail-

CounteNB

MACRachConfigCom-

mon in SIB2, HO

REQ and HO AckUE

RRC1 Increment the local counter. In-

validated the prev SIB2 msg, and try

random access with the same cell.Reset the lo-

cal counter and

perform cell

selection.

RRC connection reconfiguration

Figure Sequence diagram of the RRC Connection Reconfiguration procedure shows how the RRC Connection Re-

configuration procedure is modeled for the case where MobilityControllInfo is not provided, i.e.,

handover is not

performed.

Figure Sequence diagram of the RRC Connection Reconfiguration procedure for the handover case shows how the

RRC Connection Reconfiguration procedure is modeled for the case where MobilityControllInfo is provided, i.e.,

handover is to be performed. As specified in [TS36331], After receiving the handover message, the UE attempts to

access the target cell at the first available RACH occasion according to Random Access resource selection defined in

[TS36321], i.e. the handover is asynchronous. Consequently, when allocating a dedicated preamble for the random

access in the target cell, E-UTRA shall ensure it is available from the first RACH occasion the UE may use. Upon

successful completion of the handover, the UE sends a message used to confirm the handover. Note that the random

access procedure in this case is non-contention based, hence in a real LTE system it differs

slightly from the one used

in RRC connection established. Also note that the RA Preamble ID is signaled via the Handover Command included

in the X2 Handover Request ACK message sent from the target eNB to the source eNB; in particular, the preamble is

included in the RACH-ConfigDedicated IE which is part of MobilityControlInfo.

EnbRrc

EnbRlcAm

UeRlcAm

UeRrc

UeMac

SendPdu (RrcConnectionReconfiguration)

AM transfer of RLC SDU over DCC

RecvPdu (RrcConnectionReconfiguration)

perform reconfiguration

SendPdu (RrcConnectionReconfigurationCompleted)

AM transfer of RLC SDU over DCC

RecvPdu (RrcConnectionReconfigurationCompleted)

sourceEnbRrc

UeRrc

UeMac

UePhy

EnbPhy

EnbMac

FfSched

EnbRrc

X2: HO req

HandoverRequest (newly allocated C-RNTI + LC list)

CSCHED\_LC\_CONFIG\_REQ (all active DRBs)

HandoverConfirm (PRACH ID in 63-Ncf,..., 63 + PRACH mask

X2: HO ack incl. MobilityControlInfo

RrcConnectionReconfiguration with MobilityControlInfo (incl. RACH-ConfigDedicated over SRB1

Handover (new C-RNTI, PRACH ID+Mask)

SendOverCch (RrcConnectionReconfigurationRequest)

SendRachPreamble (PRACH ID)

RachPreamble over RACH

NotifyRxRachPreamble (PRACH ID)

)

LC list)

SubframeIndication

SCHED\_DL\_CONFIG\_IND (RAR list with UL grant per RNTI))

determine RA-RNTI from PRACH ID  
Send RAR with RA-RNTI identifying preambleID  
RAR over PDSCH  
Rx RAR

NotifyRandomAccessProcedureEndOk

SendOverUtsch (RrcConnectionReconfigurationCompleted)

TX over PUSCH

RxOverUtsch (RrcConnectionReconfigurationCompleted)

RxOverCcch (RrcConnectionReconfigurationCompleted)

start non-contention based MAC Random Access Procedure

end non-contention based MAC Random Access Procedure

RRC protocol models

As previously anticipated, we provide two different models for the transmission and reception of RRC messages: Ideal

andReal. Each of them is described in one of the following subsections.

Ideal RRC protocol model

According to this model, implemented in the classes andLteUeRrcProtocolIdeal andLteEnbRrcProtocolIdeal, all

RRC messages and information elements are transmitted between the eNB and the UE in an ideal fashion, without

consuming radio resources and without errors. From an implementation point of view, this is achieved by passing the

RRC data structure directly between the UE and eNB RRC entities, without involving the lower layers (PDCP, RLC,

MAC, scheduler).

Real RRC protocol model

This model is implemented in the classes LteUeRrcProtocolReal andLteEnbRrcProtocolReal and aims at modeling

the transmission of RRC PDUs as commonly performed in real LTE systems. In particular:

- for every RRC message being sent, a real RRC PDUs is created following the ASN.1 encoding of RRC PDUs

and information elements (IEs) specified in [TS36331]. Some simplification are made with respect to the IEs

included in the PDU, i.e., only those IEs that are useful for simulation purposes are included. For a detailed list,

please see the IEs defined in lte-rrc-sap.h and compare with [TS36331].

- the encoded RRC PDUs are sent on Signaling Radio Bearers and are subject to the same transmission modeling

used for data communications, thus including scheduling, radio resource consumption, channel errors, delays,

retransmissions, etc.

Signaling Radio Bearer model

We now describe the Signaling Radio Bearer model that is used for the Real RRC protocol model.

- SRB0 messages (over CCCH):

- RrcConnectionRequest : in real LTE systems, this is an RLC TM SDU sent over resources specified in the UL Grant in the RAR (not in UL DCIs); the reason is that C-RNTI is not known yet at this stage.

In the

simulator, this is modeled as a real RLC TM RLC PDU whose UL resources are allocated by the scheduler

upon call to SCHED\_DL\_RACH\_INFO\_REQ.

– RrcConnectionSetup : in the simulator this is implemented as in real LTE systems, i.e., with an RLC TM SDU sent over resources indicated by a regular UL DCI, allocated with SCHED\_DL\_RLC\_BUFFER\_REQ triggered by the RLC TM instance that is mapped to LCID 0 (the

•SRB1 messages (over DCCH):

–All the SRB1 messages modeled in the simulator (e.g., RrcConnectionCompleted ) are implemented as in

real LTE systems, i.e., with a real RLC SDU sent over RLC AM using DL resources allocated via Buffer Status Reports. See the RLC model documentation for details.

•SRB2 messages (over DCCH):

–According to [TS36331], “ SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel ”, whereas

“SRB2 is for NAS messages, using DCCH logical channel ” and “ SRB2 has a lower-priority than SRB1 and

is always configured by E-UTRAN after security activation ”. Modeling security-related aspects is not a

requirement of the LTE simulation model, hence we always use SRB1 and never activate SRB2.

ASN.1 encoding of RRC IE's

The messages defined in RRC SAP, common to all Ue/Enb SAP Users/Providers, are transported in a transparent

container to/from a Ue/Enb. The encoding format for the different Information Elements are specified in [TS36331],

using ASN.1 rules in the unaligned variant. The implementation in Ns3/Lte has been divided in the following classes:

- Asn1Header : Contains the encoding / decoding of basic ASN types
- RrcAsn1Header : Inherits Asn1Header and contains the encoding / decoding of common IE's defined in
- Rrc specific messages/IEs classes : A class for each of the messages defined in RRC SAP header
- Asn1Header class - Implementation of base ASN.1 types

This class implements the methods to Serialize / Deserialize the ASN.1 types being used in [TS36331], according to

the packed encoding rules in ITU-T X.691. The types considered are:

- Boolean : a boolean value uses a single bit (1=true, 0=false).
- Integer : a constrained integer (with min and max values defined) uses the minimum amount of bits to encode its range (max-min+1).
- Bitstring : a bistring will be copied bit by bit to the serialization buffer.
- Octetstring : not being currently used.
- Sequence : the sequence generates a preamble indicating the presence of optional and default fields. It also adds a bit indicating the presence of extension marker.
- Sequence. . . Of : the sequence. . . of type encodes the number of elements of the sequence as an integer (the subsequent elements will need to be encoded afterwards).
- Choice : indicates which element among the ones in the choice set is being encoded.
- Enumeration : is serialized as an integer indicating which value is used, among the ones in the enumeration, with the number of elements in the enumeration as upper bound.

- Null : the null value is not encoded, although its serialization function is defined to provide a clearer map

between specification and implementation.

The class inherits from ns-3 Header, but Deserialize() function is declared pure virtual, thus inherited classes having to

implement it. The reason is that deserialization will retrieve the elements in RRC messages, each of them containing

different information elements.

Additionally, it has to be noted that the resulting byte length of a specific type/message can vary, according to the

presence of optional fields, and due to the optimized encoding. Hence, the serialized bits will be processed using

PreSerialize() function, saving the result in m\_serializationResult Buffer. As the methods to read/write in a ns3 buffer

are defined in a byte basis, the serialization bits are stored into m\_serializationPendingBits attribute, until the 8 bits are

attribute will be copied to Buffer::Iterator parameter

RrcAsn1Header : Common IEs

As some Information Elements are being used for several RRC messages, this class implements the following common

IE's:

- SrbToAddModList
- DrbToAddModList
- LogicalChannelConfig
- RadioResourceConfigDedicated
- PhysicalConfigDedicated
- SystemInformationBlockType1
- SystemInformationBlockType2
- RadioResourceConfigCommonSIB

Rrc specific messages/IEs classes

The following RRC SAP have been implemented:

- RrcConnectionRequest
- RrcConnectionSetup
- RrcConnectionSetupCompleted
- RrcConnectionReconfiguration
- RrcConnectionReconfigurationCompleted
- HandoverPreparationInfo
- RrcConnectionReestablishmentRequest
- RrcConnectionReestablishment
- RrcConnectionReestablishmentComplete
- RrcConnectionReestablishmentReject
- RrcConnectionRelease

The focus of the LTE-EPC model is on the NAS Active state, which corresponds to EMM Registered, ECM connected,

and RRC connected. Because of this, the following simplifications are made:

- EMM and ECM are not modeled explicitly; instead, the NAS entity at the UE will interact directly with the

MME to perform actions that are equivalent (with gross simplifications) to taking the UE to the states EMM

Connected and ECM Connected;

- the NAS also takes care of multiplexing uplink data packets coming from the upper layers into the

appropriate

EPS bearer by using the Traffic Flow Template classifier (TftClassifier).

- the NAS does not support PLMN and CSG selection
- the NAS does not support any location update/paging procedure in idle mode

Figure Sequence diagram of the attach procedure shows how the simplified NAS model implements the attach procedure.

Note that both the default and eventual dedicated EPS bearers are activated as part of this procedure.

EpcUeNas

LteUeRrc

LteEnbRrc

EpcEnbApplication

EpcSgwPgwApplication

EpcMme

ForceCampedOnEnb (CellId)

Connect

RRC Connection Request

initial UE message

S1-AP INITIAL UE MESSAGE

store IMSI->eNB UE

id (RNTI) mapping

setup S1-U bearers

S11 CREATE SESSION RESPO ...

S1-AP INITIAL CONTEXT SETUP (bearers to be created)

S1-AP INITIAL CONTEXT SETUP RESPONSE

setup S1-U bearers

DataRadioBearerSetupRequest

setup data radio bear

ers

RRC Connection Reconfiguration

setup data radio bear

ers

RRC Connection Reconfiguration Comple

ted

S1-U and S5 (user plane)

The S1-U and S5 interfaces are modeled in a realistic way by encapsulating data packets over GTP/UDP/IP, as done

in real LTE-EPC systems. The corresponding protocol stack is shown in Figure LTE-EPC data plane protocol stack .

As shown in the figure, there are two different layers of IP networking. The first one is the end-to-end layer, which

provides end-to-end connectivity to the users; this layer involves the UEs, the PGW and the remote host (including

eventual internet routers and hosts in between), but does not involve the eNB and the SGW. In this version of LTE, the

EPC supports both IPv4 and IPv6 type users. The 3GPP unique 64 bit IPv6 prefix allocation process for each UE and

PGW is followed here. Each EPC is assigned a unique 16 bit IPv4 and a 48 bit IPv6 network address from the pool

of 7.0.0.0/8 and 7777:f00d::/32 respectively. In the end-to-end IP connection between UE and PGW, all addresses are



configured using these prefixes. The PGW's address is used by all UEs as the gateway to reach the internet.

The second layer of IP networking is the EPC local area network. This involves all eNB nodes, SGW nodes and PGW

nodes. This network is implemented as a set of point-to-point links which connect each eNB with its corresponding

SGW node and a point-to-point link which connect each SGW node with its corresponding PGW node; thus, each

SGW has a set of point-to-point devices, each providing connectivity to a different eNB. By default, a 10.x.y.z/30

subnet is assigned to each point-to-point link (a /30 subnet is the smallest subnet that allows for two distinct host addresses).

As specified by 3GPP, the end-to-end IP communications is tunneled over the local EPC IP network using

GTP/UDP/IP. In the following, we explain how this tunneling is implemented in the EPC model. The explanation

is done by discussing the end-to-end flow of data packets.

To begin with, we consider the case of the downlink, which is depicted in Figure Data flow in the downlink between the

internet and the UE . Downlink IPv4/IPv6 packets are generated from a generic remote host, and addressed to one of the

UE device. Internet routing will take care of forwarding the packet to the generic NetDevice of the PGW node which

is connected to the internet (this is the Gi interface according to 3GPP terminology). The PGW has a VirtualNetDevice

which is assigned the base IPv4 address of the EPC network; hence, static routing rules will cause the incoming packet

from the internet to be routed through this VirtualNetDevice. In case of IPv6 address as destination, a manual route

towards the VirtualNetDevice is inserted in the routing table, containing the 48 bit IPv6 prefix from which all the IPv6

addresses of the UEs and PGW are configured. Such device starts the GTP/UDP/IP tunneling procedure, by forwarding

the packet to a dedicated application in the PGW node which is called EpcPgwApplication. This application does the

following operations:

1. it determines the SGW node to which it must route the traffic for this UE, by looking at the IP destination address

(which is the address of the UE);

2. it classifies the packet using Traffic Flow Templates (TFTs) to identify to which EPS Bearer it belongs. EPS

bearers have a one-to-one mapping to S5 Bearers, so this operation returns the GTP-U Tunnel Endpoint Identifier

(TEID) to which the packet belongs;

3. it adds the corresponding GTP-U protocol header to the packet;

4. finally, it sends the packet over a UDP socket to the S5 point-to-point NetDevice, addressed to the appropriate

As a consequence, the end-to-end IP packet with newly added IP, UDP and GTP headers is sent through one of the

S5 links to the SGW, where it is received and delivered locally (as the destination address of the

outermost IP header

matches the SGW IP address). The local delivery process will forward the packet, via an UDP socket, to a dedicated

application called EpcSgwApplication. This application then performs the following operations:

1. it determines the eNB node to which the UE is attached, by looking at the S5 TEID;
2. it maps the S5 TEID to get the S1 TEID. EPS bearers have a one-to-one mapping to S1-U Bearers, so this

operation returns the S1 GTP-U Tunnel Endpoint Identifier (TEID) to which the packet belongs;

3. it adds a new GTP-U protocol header to the packet;

4. finally, it sends the packet over a UDP socket to the S1-U point-to-point NetDevice, addressed to the eNB to

which the UE is attached.

Finally, the end-to-end IP packet with newly added IP, UDP and GTP headers is sent through one of the S1 links to

the eNB, where it is received and delivered locally (as the destination address of the outermost IP header matches the

eNB IP address). The local delivery process will forward the packet, via an UDP socket, to a dedicated application

called EpcEnbApplication. This application then performs the following operations:

1. it removes the GTP header and retrieves the S1 TEID which is contained in it;
2. leveraging on the one-to-one mapping between S1-U bearers and Radio Bearers (which is a 3GPP requirement),

it determines the Bearer ID (BID) to which the packet belongs;

3. it records the BID in a dedicated tag called EpsBearerTag, which is added to the packet;

4. it forwards the packet to the LteEnbNetDevice of the eNB node via a raw packet socket

Note that, at this point, the outmost header of the packet is the end-to-end IP header, since the IP/UDP/GTP headers

of the S1 protocol stack have already been stripped. Upon reception of the packet from the EpcEnbApplication, the

LteEnbNetDevice will retrieve the BID from the EpsBearerTag, and based on the BID will determine the Radio Bearer

instance (and the corresponding PDCP and RLC protocol instances) which are then used to forward the packet to the

UE over the LTE radio interface. Finally, the LteUeNetDevice of the UE will receive the packet, and delivery it locally

to the IP protocol stack, which will in turn delivery it to the application of the UE, which is the end point of the

downlink communication.

The case of the uplink is depicted in Figure Data flow in the uplink between the UE and the internet

. Uplink IP packets

are generated by a generic application inside the UE, and forwarded by the local TCP/IP stack to the LteUeNetDevice

of the UE. The LteUeNetDevice then performs the following operations:

1. it classifies the packet using TFTs and determines the Radio Bearer to which the packet belongs (and the corresponding RBID);

2. it identifies the corresponding PDCP protocol instance, which is the entry point of the LTE Radio Protocol stack

for this packet;

3. it sends the packet to the eNB over the LTE Radio Protocol stack.

The eNB receives the packet via its LteEnbNetDevice. Since there is a single PDCP and RLC protocol

instance for

each Radio Bearer, the `LteEnbNetDevice` is able to determine the BID of the packet. This BID is then recorded onto an

`EpsBearerTag`, which is added to the packet. The `LteEnbNetDevice` then forwards the packet to the `EpcEnbApplication`

via a raw packet socket.

Upon receiving the packet, the `EpcEnbApplication` performs the following operations:

1. it retrieves the BID from the `EpsBearerTag` in the packet;
2. it determines the corresponding EPS Bearer instance and GTP-U TEID by leveraging on the one-to-one mapping

between S1-U bearers and Radio Bearers;

3. it adds a GTP-U header on the packet, including the TEID determined previously;

4. it sends the packet to the SGW node via the UDP socket connected to the S1-U point-to-point net device.

At this point, the packet contains the S1-U IP, UDP and GTP headers in addition to the original end-to-end IP header.

When the packet is received by the corresponding S1-U point-to-point `NetDevice` of the SGW node, it is delivered

locally (as the destination address of the outmost IP header matches the address of the point-to-point net device). The

local delivery process will forward the packet to the `EpcSgwApplication` via the corresponding UDP socket. The

`EpcSgwApplication` then performs the following operations:

1. it removes the GTP header and retrieves the S1-U TEID;
2. it maps the S1-U TEID to get the S5 TEID to which the packet belongs;
3. it determines the PGW to which it must send the packet from the TEID mapping;
4. it add a new GTP-U protocol header to the packet;
5. finally, it sends the packet over a UDP socket to the S5 point-to-point `NetDevice`, addressed to the corresponding

At this point, the packet contains the S5 IP, UDP and GTP headers in addition to the original end-to-end IP header.

When the packet is received by the corresponding S5 point-to-point `NetDevice` of the PGW node, it is delivered

locally (as the destination address of the outmost IP header matches the address of the point-to-point net device). The

local delivery process will forward the packet to the `EpcPgwApplication` via the corresponding UDP socket. The

`EpcPgwApplication` then removes the GTP header and forwards the packet to the `VirtualNetDevice`. At this point,

the outmost header of the packet is the end-to-end IP header. Hence, if the destination address within this header

is a remote host on the internet, the packet is sent to the internet via the corresponding `NetDevice` of the PGW. In

the event that the packet is addressed to another UE, the IP stack of the PGW will redirect the packet again to the

`VirtualNetDevice`, and the packet will go through the downlink delivery process in order to reach its destination UE.

Note that the EPS Bearer QoS is not enforced on the S1-U and S5 links, it is assumed that the overprovisioning of the

link bandwidth is sufficient to meet the QoS requirements of all bearers.

The S1-AP interface provides control plane interaction between the eNB and the MME. In the

simulator, this interface

is modeled in a realistic fashion transmitting the encoded S1AP messages and information elements specified in

[TS36413] on the S1-MME link.

The S1-AP primitives that are modeled are:

- INITIAL UE MESSAGE
- INITIAL CONTEXT SETUP REQUEST
- INITIAL CONTEXT SETUP RESPONSE
- PATH SWITCH REQUEST
- PATH SWITCH REQUEST ACKNOWLEDGE

S5 and S11

The S5 interface provides control plane interaction between the SGW and the PGW. The S11 interface provides control

plane interaction between the SGW and the MME. Both interfaces use the GPRS Tunneling Protocol (GTPv2-C) to

tunnel signalling messages [TS29274] and use UDP as transport protocol. In the simulator, these interfaces and

protocol are modeled in a realistic fashion transmitting the encoded GTP-C messages.

The GTPv2-C primitives that are modeled are:

- CREATE SESSION REQUEST
- CREATE SESSION RESPONSE
- MODIFY BEARER REQUEST
- MODIFY BEARER RESPONSE
- DELETE SESSION REQUEST
- DELETE SESSION RESPONSE
- DELETE BEARER COMMAND
- DELETE BEARER REQUEST
- DELETE BEARER RESPONSE

Of these primitives, the first two are used upon initial UE attachment for the establishment of the S1-U and S5 bearers.

Section NAS shows the implementation of the attach procedure. The other primitives are used during the handover to

switch the S1-U bearers from the source eNB to the target eNB as a consequence of the reception by the MME of a

PATH SWITCH REQUEST S1-AP message.

The X2 interface interconnects two eNBs [TS36420]. From a logical point of view, the X2 interface is a point-to-point

interface between the two eNBs. In a real E-UTRAN, the logical point-to-point interface should be feasible even in

the absence of a physical direct connection between the two eNBs. In the X2 model implemented in the simulator, the

X2 interface is a point-to-point link between the two eNBs. A point-to-point device is created in both eNBs and the

For a representation of how the X2 interface fits in the overall architecture of the LENA simulation model, the reader

is referred to the figure Overview of the LTE-EPC simulation model .

The X2 interface implemented in the simulator provides detailed implementation of the following elementary proce-

dures of the Mobility Management functionality [TS36423]:

- Handover Request procedure
- Handover Request Acknowledgement procedure

- SN Status Transfer procedure
- UE Context Release procedure

These procedures are involved in the X2-based handover. You can find the detailed description of the handover in section 10.1.2.1 of [TS36300]. We note that the simulator model currently supports only the seamless handover as

defined in Section 2.6.3.1 of [Sesia2009]; in particular, lossless handover as described in Section 2.6.3.2 of [Sesia2009]

is not supported at the time of this writing.

Figure Sequence diagram of the X2-based handover below shows the interaction of the entities of the X2 model in the simulator. The shaded labels indicate the moments when the UE or eNodeB transition to another RRC state.

The figure also shows two timers within the handover procedure: the handover leaving timer is maintained by the source eNodeB, while the handover joining timer by the target eNodeB. The duration of the timers can be configured in the `HandoverLeavingTimeoutDuration` and `HandoverJoiningTimeoutDuration` attributes of the respective `LteEnbRrc` instances. When one of these timers expire, the handover procedure is considered as failed.

However, there is no proper handling of handover failure in the current version of LTE module. Users should tune

the simulation properly in order to avoid handover failure, otherwise unexpected behaviour may occur. Please refer to

Section Tuning simulation with handover of the User Documentation for some tips regarding this matter.

The X2 model is an entity that uses services from:

- the X2 interfaces,
    - They are implemented as Sockets on top of the point-to-point devices.
    - They are used to send/receive X2 messages through the X2-C and X2-U interfaces (i.e. the point-to-point device attached to the point-to-point link) towards the peer eNB.
  - the S1 application.
    - Currently, it is the `EpcEnbApplication`.
    - It is used to get some information needed for the Elementary Procedures of the X2 messages.
- and it provides services to:
- the RRC entity (X2 SAP)
    - to send/receive RRC messages. The X2 entity sends the RRC message as a transparent container in the X2 message. This RRC message is sent to the UE.

Figure Implementation Model of X2 entity and SAPs shows the implementation model of the X2 entity and its rela-

tionship with all the other entities and services in the protocol stack.

The RRC entity manages the initiation of the handover procedure. This is done in the Handover Management sub-

module of the eNB RRC entity. The target eNB may perform some Admission Control procedures. This is done in the

Admission Control submodule. Initially, this submodule will accept any handover request.

X2 interfaces

The X2 model contains two interfaces:

- the X2-C interface. It is the control interface and it is used to send the X2-AP PDUs (i.e. the

elementary  
procedures).

- the X2-U interface. It is used to send the bearer data when there is DL forwarding .

Figure X2 interface protocol stacks shows the protocol stacks of the X2-U interface and X2-C interface modeled in the simulator.

The X2-C interface is the control part of the X2 interface and it is used to send the X2-AP PDUs (i.e. the elementary procedures).

In the original X2 interface control plane protocol stack, SCTP is used as the transport protocol but currently, the SCTP protocol is not modeled in the ns-3 simulator and its implementation is out-of-scope of the project. The UDP protocol is used as the datagram oriented protocol instead of the SCTP protocol.

The X2-U interface is used to send the bearer data when there is DL forwarding during the execution of the X2-based

handover procedure. Similarly to what done for the S1-U interface, data packets are encapsulated over GTP/UDP/IP

when being sent over this interface. Note that the EPS Bearer QoS is not enforced on the X2-U links, it is assumed

that the overprovisioning of the link bandwidth is sufficient to meet the QoS requirements of all bearers.

#### X2 Service Interface

The X2 service interface is used by the RRC entity to send and receive messages of the X2 procedures. It is divided into two parts:

- theEpcX2SapProvider part is provided by the X2 entity and used by the RRC entity and
- theEpcX2SapUser part is provided by the RRC entity and used by the RRC entity.

The primitives that are supported in our X2-C model are described in the following subsections.

#### X2-C primitives for handover execution

The following primitives are used for the X2-based handover:

- HANDOVER REQUEST ACK
- HANDOVER PREPARATION FAILURE
- SN STATUS STRANSFER
- UE CONTEXT RELEASE

all the above primitives are used by the currently implemented RRC model during the preparation and execution of

the handover procedure. Their usage interacts with the RRC state machine; therefore, they are not meant to be used

for code customization, at least unless it is desired to modify the RRC state machine.

#### X2-C SON primitives

The following primitives can be used to implement Self-Organized Network (SON) functionalities:

- RESOURCE STATUS UPDATE

note that the current RRC model does not actually use these primitives, they are included in the model just to make it

possible to develop SON algorithms included in the RRC logic that make use of them.

As a first example, we show here how the load information primitive can be used. We assume that the LteEnbRrc has

been modified to include the following new member variables:

```
std::vector<EpcX2Sap::UllInterferenceOverloadIndicationItem>  
m_currentUllInterferenceOverloadIndicationList;
```

```
std::vector<EpcX2Sap::UIHighInterferenceInformationItem>
```

```
m_currentUIHighInterferenceInformationList;
```

```
EpcX2Sap::RelativeNarrowbandTxBand m_currentRelativeNarrowbandTxBand;
```

for a detailed description of the type of these variables, we suggest to consult the file `epc-x2-sap.h`, the correspond-

ing doxygen documentation, and the references therein to the relevant sections of 3GPP TS 36.423.

Now, assume that

at run time these variables have been set to meaningful values following the specifications just mentioned. Then, you

can add the following code in the `LteEnbRrc` class implementation in order to send a load information primitive:

```
EpcX2Sap::CellInformationItem cii;
```

```
cii.sourceCellId = m_cellId;
```

```
cii.ulInterferenceOverloadIndicationList = m_
```

```
,!currentUIInterferenceOverloadIndicationList;
```

```
cii.ulHighInterferenceInformationList = m_currentUIHighInterferenceInformationList;
```

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```
cii.relativeNarrowbandTxBand = m_currentRelativeNarrowbandTxBand;
```

```
EpcX2Sap::LoadInformationParams params;
```

```
params.targetCellId = cellId;
```

```
params.cellInformationList.push_back(cii);
```

```
m_x2SapProvider->SendLoadInformation(params);
```

The above code allows the source eNB to send the message. The method

`LteEnbRrc::DoRecvLoadInformation`

will be called when the target eNB receives the message. The desired processing of the load information should

therefore be implemented within that method.

In the following second example we show how the resource status update primitive is used. We assume that the

`LteEnbRrc` has been modified to include the following new member variable:

```
EpcX2Sap::CellMeasurementResultItem m_cmri;
```

similarly to before, we refer to `epc-x2-sap.h` and the references therein for detailed information about this variable

type. Again, we assume that the variable has been already set to a meaningful value. Then, you can add the following

code in order to send a resource status update:

```
EpcX2Sap::ResourceStatusUpdateParams params;
```

```
params.targetCellId = cellId;
```

```
params.cellMeasurementResultList.push_back(m_cmri);
```

```
m_x2SapProvider->SendResourceStatusUpdate(params);
```

The method `eEnbRrc::DoRecvResourceStatusUpdate` will be called when the target eNB receives the resource

status update message. The desired processing of this message should therefore be implemented within that method.

Finally, we note that the setting and processing of the appropriate values for the variable passed to the above de-

scribed primitives is deemed to be specific of the SON algorithm being implemented, and hence is not covered by this

documentation.

Unsupported primitives

Mobility Robustness Optimization primitives such as Radio Link Failure indication and Handover Report are not supported at this stage.

The S11 interface provides control plane interaction between the SGW and the MME using the GTPv2-C protocol

specified in [TS29274]. In the simulator, this interface is modeled in an ideal fashion, with direct interaction between

the SGW and the MME objects, without actually implementing the encoding of the messages and without actually

transmitting any PDU on any link.

The S11 primitives that are modeled are:

- CREATE SESSION REQUEST
- CREATE SESSION RESPONSE
- MODIFY BEARER REQUEST
- MODIFY BEARER RESPONSE

Of these primitives, the first two are used upon initial UE attachment for the establishment of the S1-U bearers; the

other two are used during handover to switch the S1-U bearers from the source eNB to the target eNB as a consequence

of the reception by the MME of a PATH SWITCH REQUEST S1-AP message.

This section describes the ns-3 implementation of Downlink and Uplink Power Control.

#### Downlink Power Control

Since some of Frequency Reuse Algorithms require Downlink Power Control, this feature was also implemented in

ns-3.

Figure Sequence diagram of Downlink Power Control shows the sequence diagram of setting downlink P<sub>A</sub> value for

UE, highlighting the interactions between the RRC and the other entities. FR algorithm triggers RRC to change P<sub>A</sub>

values for UE. Then RRC starts RrcConnectionReconfiguration function to inform UE about new configuration. After

successful RrcConnectionReconfiguration, RRC can set P<sub>A</sub> value for UE by calling function SetPa from CphySap,

value is saved in new map m<sub>pa</sub>Map which contain P<sub>A</sub> values for each UE served by eNb.

When LteEnbPhy starts new subframe, DCI control messages are processed to get vector of used RBs.

Now also

GeneratePowerAllocationMap(uint16\_t rnti, int rblid) function is also called. This function check P<sub>A</sub> value for UE,

generate power for each RB and store it in m<sub>dl</sub>PowerAllocationMap. Then this map is used by CreateTxPowerSpec-

tralDensityWithPowerAllocation function to create Ptr<SpectrumValue> txPsd.

PdschConfigDedicated (TS 36.331, 6.3.2 PDSCH-Config) was added in LteRrcSap::PhysicalConfigDedicated struct,

which is used in RrcConnectionReconfiguration process.

#### Uplink Power Control

Uplink power control controls the transmit power of the different uplink physical channels. This functionality is

described in 3GPP TS 36.213 section 5.

Uplink Power Control is enabled by default, and can be disabled by attribute system:

Config::SetDefault("ns3::LteUePhy::EnableUplinkPowerControl", BooleanValue(false));

- Open Loop Uplink Power Control: the UE transmission power depends on estimation of the downlink



path-loss

and channel configuration

- Closed Loop Uplink Power Control: as in Open Loop, in addition eNB can control the UE transmission power

by means of explicit Transmit Power Control TPC commands transmitted in the downlink.

To switch between these two mechanism types, one should change parameter:

Config::SetDefault("ns3::LteUePowerControl::ClosedLoop", BooleanValue(true));

By default, Closed Loop Power Control is enabled.

- Absolute mode: TxPower is computed with absolute TPC values
- Accumulative mode: TxPower is computed with accumulated TPC values

To switch between these two modes, one should change parameter:

Config::SetDefault("ns3::LteUePowerControl::AccumulationEnabled", BooleanValue(true));

By default, Accumulation Mode is enabled and TPC commands in DL-DCI are set by all schedulers to 1, what is

mapped to value of 0 in Accumulation Mode.

Uplink Power Control for PUSCH

The setting of the UE Transmit power for a Physical Uplink Shared Channel (PUSCH) transmission is defined as

follows:

- If the UE transmits PUSCH without a simultaneous PUCCH for the serving cell  $c$ , then the UE transmit power

$PPUSCH;c(i)$  for PUSCH transmission in subframe  $i$  for the serving cell  $c$  is given by:

$PPUSCH;c(i) = \min \left[ PCMAX;c(i) \right]$

$10 \log_{10}(MPUSCH;c(i) + PO\_PUSCH;c(j) + c(j) \cdot PLc + TF;c(i) + fc(i))$

[dBm]

- If the UE transmits PUSCH simultaneous with PUCCH for the serving cell  $c$ , then the UE transmit power

$PPUSCH;c(i)$  for the PUSCH transmission in subframe  $i$  for the serving cell  $c$  is given by:

$PPUSCH;c(i) = \min \left[ \right]$

$10 \log_{10}(\hat{PCMAX;c(i)} \cdot \hat{PPUCCH(i)})$

$10 \log_{10}(MPUSCH;c(i) + PO\_PUSCH;c(j) + c(j) \cdot PLc + TF;c(i) + fc(i))$

[dBm]

Since Uplink Power Control for PUCCH is not implemented, this case is not implemented as well.

- If the UE is not transmitting PUSCH for the serving cell  $c$ , for the accumulation of TPC command received with

DCI format 3/3A for PUSCH, the UE shall assume that the UE transmit power  $PPUSCH;c(i)$  for the PUSCH transmission in subframe  $i$  for the serving cell  $c$  is computed by

$PPUSCH;c(i) = \min \left[ PCMAX;c(i) \right]$

$PO\_PUSCH;c(1) + c(1) \cdot PLc + fc(i)$

[dBm]

where:

- $PCMAX;c(i)$  is the configured UE transmit power defined in 3GPP 36.101. Table 6.2.2-1 in subframe  $i$  for

dBm

- $MPUSCH;c(i)$  is the bandwidth of the PUSCH resource assignment expressed in number of resource blocks valid for subframe  $i$  and serving cell  $c$ .

- $PO\_PUSCH;c(j)$  is a parameter composed of the sum of a component  $PO\_NOMINAL\_PUSCH;c(j)$  provided from higher layers for  $j=0;1$  and a component  $PO\_UE\_PUSCH;c(j)$  provided by higher layers for  $j=0;1$  for serving cell  $c$ . SIB2 message needs to be extended to carry these two components, but currently they can be set via attribute system:

Config::SetDefault("ns3::LteUePowerControl::PoNominalPusch", IntegerValue(-

,!90));

Config::SetDefault("ns3::LteUePowerControl::PoUePusch", IntegerValue(7));

•  $c(j)$  is a 3-bit parameter provided by higher layers for serving cell  $c$ . For  $j = 0; 1$ ,  $c_2$  for  $j = 0; 4; 0; 5; 0; 6; 0; 7; 0; 8; 0; 9$ ; 1g For  $j = 2$ ,  $c(j) = 1$ . This parameter is configurable by attribute system:

Config::SetDefault("ns3::LteUePowerControl::Alpha", DoubleValue(0.8));

•  $PL_c$  is the downlink pathloss estimate calculated in the UE for serving cell  $c$  in dB and  $PL_c = \text{referenceSignalPower} - \text{higherLayerFilteredRSRP}$ , where  $\text{referenceSignalPower}$  is provided by higher layers and RSRP.  $\text{referenceSignalPower}$  is provided in SIB2 message

•  $\Delta_{TF,c}(i) = 10 \log_{10}((2BP_{RE} - K_s - 1) \cdot P_{USCH} \text{ offset})$  for  $K_s = 1; 25$  and  $\Delta_{TF,c}(i) = 0$  for  $K_s = 0$ . Only second case is implemented.

•  $f_c(i)$  is component of Closed Loop Power Control. It is the current PUSCH power control adjustment state for serving cell  $c$ .

If Accumulation Mode is enabled  $f_c(i)$  is given by:

$$f_c(i) = f_c(i-1) + \Delta_{PUSCH,c}(i) \cdot K_{PUSCH}$$

where:  $\Delta_{PUSCH,c}$  is a correction value, also referred to as a TPC command and is included in PDCCH with DCI;  $\Delta_{PUSCH,c}(i) \cdot K_{PUSCH}$  was signalled on PDCCH/EPDCCH with DCI for serving cell  $c$  on subframe  $(i) \cdot K_{PUSCH}$ ;  $K_{PUSCH} = 4$  for FDD.

If UE has reached  $PC_{MAX,c}(i)$  for serving cell  $c$ , positive TPC commands for serving cell  $c$  are not be accumulated. If UE has reached minimum power, negative TPC commands are not be accumulated. Minimum UE power is defined in TS36.101 section 6.2.3. Default value is -40 dBm.

If Accumulation Mode is not enabled  $f_c(i)$  is given by:

$$f_c(i) = \Delta_{PUSCH,c}(i) \cdot K_{PUSCH}$$

where:  $\Delta_{PUSCH,c}$  is a correction value, also referred to as a TPC command and is included in PDCCH with DCI;  $\Delta_{PUSCH,c}(i) \cdot K_{PUSCH}$  was signalled on PDCCH/EPDCCH with DCI for serving cell  $c$  on subframe  $(i) \cdot K_{PUSCH}$ ;  $K_{PUSCH} = 4$  for FDD.

Mapping of TPC Command Field in DCI format 0/3/4 to absolute and accumulated  $\Delta_{PUSCH,c}$  values is defined in TS36.231 section 5.1.1.1 Table 5.1.1.1-2

Uplink Power Control for PUCCH

Since all uplink control messages are an ideal messages and do not consume any radio resources, Uplink Power Control

for PUCCH is not needed and it is not implemented.

Uplink Power Control for SRS

The setting of the UE Transmit power  $PSRS$  for the SRS transmitted on subframe  $i$  for serving cell  $c$  is defined by

$$P_{PUSCH,c}(i) = \min(P_{C_{MAX,c}}(i)$$

$$PSRS\_OFFSET_c(m) + 10 \log_{10}(M_{SRS,c}) + PO_{PUSCH,c}(j) + c(j) \cdot PL_c + f_c(i)$$

[dBm]

where:

•  $P_{C_{MAX,c}}(i)$  is the configured UE transmit power defined in 3GPP 36.101. Table 6.2.2-1. Default value for  $P_{C_{MAX,c}}(i)$  is 23 dBm

•  $PSRS\_OFFSET_c(m)$  is semi-statically configured by higher layers for  $m = 0; 1$  for serving cell  $c$ . For SRS transmission given trigger type 0 then  $m = 0; 1$  and for SRS transmission given trigger type 1 then  $m = 1$ . For  $K_s = 0$   $P_{Srs\_Offset\_Value}$  is computed with equation:

$$PSRS\_OFFSET_c(m) \text{ value} = 10.5 + PSRS\_OFFSET_c(m) \cdot 1.5 \text{ [dBm]}$$

This parameter is configurable by attribute system:

Config::SetDefault("ns3::LteUePowerControl::PsrsOffset", IntegerValue(7));

•  $M_{SRS,c}$  is the bandwidth of the SRS transmission in subframe  $i$  for serving cell  $c$  expressed in number of

resource blocks. In current implementation SRS is sent over entire UL bandwidth.

•  $f_c(i)$  is the current PUSCH power control adjustment state for serving cell  $c$ , as defined in Uplink

Power

Control for PUSCH

• $PO_{PUSCH,c(j)}$  and  $c(j)$  are parameters as defined in Uplink Power Control for PUSCH, where  $j = 1$ .

Overview

This section describes the ns-3 support for Fractional Frequency Reuse algorithms. All implemented algorithms are

described in [ASHamza2013]. Currently 7 FR algorithms are implemented:

- `ns3::LteFrNoOpAlgorithm`
- `ns3::LteFrHardAlgorithm`
- `ns3::LteFrStrictAlgorithm`
- `ns3::LteFrSoftAlgorithm`
- `ns3::LteFfrSoftAlgorithm`
- `ns3::LteFfrEnhancedAlgorithm`
- `ns3::LteFfrDistributedAlgorithm`

New `LteFfrAlgorithm` class was created and it is an abstract class for Frequency Reuse algorithms implementation.

Also, two new SAPs between FR-Scheduler and FR-RRC were added.

Figure Sequence diagram of Scheduling with FR algorithm shows the sequence diagram of scheduling process with

FR algorithm. In the beginning of scheduling process, scheduler asks FR entity for available RBGs.

According to

implementation FR returns all RBGs available in cell or filter them based on its policy. Then when trying to assign

some RBG to UE, scheduler asks FR entity if this RBG is allowed for this UE. When FR returns true, scheduler can

assign this RBG to this UE, if not scheduler is checking another RBG for this UE. Again, FR response depends on

implementation and policy applied to UE.

Supported FR algorithms

No Frequency Reuse

The NoOp FR algorithm (`LteFrNoOpAlgorithm` class) is implementation of Full Frequency Reuse scheme, that means

no frequency partitioning is performed between eNBs of the same network (frequency reuse factor, FRF equals 1).

eNBs use entire system bandwidth and transmit with uniform power over all RBGs. It is the simplest scheme and is

the basic way of operating an LTE network. This scheme allows for achieving the high peak data rate.

But from the

other hand, due to heavy interference levels from neighbouring cells, cell-edge users performance is greatly limited.

Figure Full Frequency Reuse scheme below presents frequency and power plan for Full Frequency Reuse scheme.

In ns-3, the NoOp FR algorithm always allows scheduler to use full bandwidth and allows all UEs to use any RBG. It

simply does nothing new (i.e. it does not limit eNB bandwidth, FR algorithm is disabled), it is the simplest implemen-

tation of `FrAlgorithm` class and is installed in eNB by default.

Hard Frequency Reuse

The Hard Frequency Reuse algorithm provides the simplest scheme which allows to reduce inter-cell interference

level. In this scheme whole frequency bandwidth is divided into few (typically 3, 4, or 7) disjoint

sub-bands. Adjacent

eNBs are allocated with different sub-band. Frequency reuse factor equals the number of sub-bands.

This scheme

allows to significantly reduce ICI at the cell edge, so the performance of cell-users is improved.

But due to the fact,

that each eNB uses only one part of whole bandwidth, peak data rate level is also reduced by the factor equal to the

reuse factor.

Figure Hard Frequency Reuse scheme below presents frequency and power plan for Hard Frequency Reuse scheme.

In our implementation, the Hard FR algorithm has only vector of RBGs available for eNB and pass it to MAC Sched-

uler during scheduling functions. When scheduler ask, if RBG is allowed for specific UE it always return true.

Strict Frequency Reuse

Strict Frequency Reuse scheme is combination of Full and Hard Frequency Reuse schemes. It consists of dividing

the system bandwidth into two parts which will have different frequency reuse. One common sub-band of the system

bandwidth is used in each cell interior (frequency reuse-1), while the other part of the bandwidth is divided among the

neighboring eNBs as in hard frequency reuse (frequency reuse-N,  $N > 1$ ), in order to create one sub-band with a low

inter-cell interference level in each sector. Center UEs will be granted with the fully-reused frequency chunks, while

cell-edge UEs with orthogonal chunks. It means that interior UEs from one cell do not share any spectrum with edge

sub-bands, and allows to achieve RFR in the middle between 1 and 3.

Figure Strict Frequency Reuse scheme below presents frequency and power plan for Strict Frequency Reuse scheme

with a cell-edge reuse factor of  $N = 3$ .

In our implementation, Strict FR algorithm has two maps, one for each sub-band. If UE can be served within private

sub-band, its RNTI is added to `m_privateSubBandUe` map. If UE can be served within common sub-band, its RNTI

is added to `m_commonSubBandUe` map. Strict FR algorithm needs to decide within which sub-band UE should be

served. It uses UE measurements provided by RRB and compare them with signal quality threshold (this parameter

can be easily tuned by attribute mechanism). Threshold has influence on interior to cell radius ratio.

Soft Frequency Reuse

In Soft Frequency Reuse (SFR) scheme each eNb transmits over the entire system bandwidth, but there are two sub-

bands, within UEs are served with different power level. Since cell-center UEs share the bandwidth with neighboring

cells, they usually transmit at lower power level than the cell-edge UEs. SFR is more bandwidth efficient than Strict

FR, because it uses entire system bandwidth, but it also results in more interference to both cell interior and edge users.

There are two possible versions of SFR scheme:

- In first version, the sub-band dedicated for the cell-edge UEs may also be used by the cell-center UEs but with reduced power level and only if it is not occupied by the cell-edge UEs. Cell-center sub-band is available to the centre UEs only. Figure Soft Frequency Reuse scheme version 1 below presents frequency and power plan for this version of Soft Frequency Reuse scheme.
- In second version, cell-center UEs do not have access to cell-edge sub-band. In this way, each cell can use the whole system bandwidth while reducing the interference to the neighbors cells. From the other hand, lower ICI level at the cell-edge is achieved at the expense of lower spectrum utilization. Figure Soft Frequency Reuse scheme version 2 below presents frequency and power plan for this version of Soft Frequency Reuse scheme.

SFR algorithm maintain two maps. If UE should be served with lower power level, its RNTI is added to m\_lowPowerSubBandUe map. If UE should be served with higher power level, its RNTI is added to m\_highPowerSubBandUe map. To decide with which power level UE should be served SFR algorithm utilize UE measurements, and compares them to threshold. Signal quality threshold and PdschConfigDedicated (i.e. P<sub>A</sub> value) for inner and outer area can be configured by attributes system. SFR utilizes Downlink Power Control described here.

### Soft Fractional Frequency Reuse

Soft Fractional Frequency Reuse (SFFR) is an combination of Strict and Soft Frequency Reuse schemes. While Strict FR do not use the subbands allocated for outer region in the adjacent cells, soft FFR uses these subbands for the inner UEs with low transmit power. As a result, the SFFR, like SFR, use the subband with high transmit power level and with low transmit power level. Unlike the Soft FR and like Strict FR, the Soft FFR uses the common sub-band which can enhance the throughput of the inner users.

Figure Soft Fractional Frequency Reuse scheme below presents frequency and power plan for Soft Fractional Frequency Reuse.

### Enhanced Fractional Frequency Reuse

Enhanced Fractional Frequency Reuse (EFFF) described in [ZXie2009] defines 3 cell-types for directly neighboring cells in a cellular system, and reserves for each cell-type a part of the whole frequency band named Primary Segment , which among different type cells should be orthogonal. The remaining subchannels constitute the Secondary Segment . The Primary Segment of a cell-type is at the same time a part of the Secondary Segments belonging to the other two cell-types. Each cell can occupy all subchannels of its Primary Segment at will, whereas only a part of subchannels in the Secondary Segment can be used by this cell in an interference-aware manner. The Primary Segment of each cell is divided into a reuse-3 part and reuse-1 part. The reuse-1 part can be reused by all types of cells in the system, whereas

reuse-3 part can only be exclusively reused by other same type cells( i.e. the reuse-3 subchannels cannot be reused

by directly neighboring cells). On the Secondary Segment cell acts as a guest, and occupying secondary subchannels

is actually reuse the primary subchannels belonging to the directly neighboring cells, thus reuse on the Secondary

Segment by each cell should conform to two rules:

- monitor before use
- resource reuse based on SINR estimation

Each cell listens on every secondary subchannel all the time. And before occupation, it makes SINR evaluation

according to the gathered channel quality information (CQI) and chooses resources with best estimation values for

reuse. If CQI value for RBG is above configured threshold for some user, transmission for this user can be performed

using this RBG.

In [ZXie2009] scheduling process is described, it consist of three steps and two scheduling polices.

Since none of

currently implemented schedulers allow for this behaviour, some simplification were applied. In our implementation

reuse-1 subchannels can be used only by cell center users. Reuse-3 subchannels can be used by edge users, and only

if there is no edge user, transmission for cell center users can be served in reuse-3 subchannels.

Figure Enhanced Fractional Fractional Frequency Reuse scheme below presents frequency and power plan for En-

hanced Fractional Frequency Reuse.

Distributed Fractional Frequency Reuse

This Distributed Fractional Frequency Reuse Algorithm was presented in [DKimura2012]. It

automatically optimizes

cell-edge sub-bands by focusing on user distribution (in particular, receive-power distribution).

This algorithm adap-

tively selects RBs for cell-edge sub-band on basis of coordination information from adjacent cells and notifies the base

stations of the adjacent cells, which RBs it selected to use in edge sub-band. The base station of each cell uses the

received information and the following equation to compute cell-edge-band metric  $A_k$  for each RB.

$A_k = X$

$j \sum_{j \in J} w_j X_{j,k}$

where  $J$  is a set of neighbor cells,  $X_{j,k} = \begin{cases} 1 & \text{if } k \text{ is the RNTP from the } j\text{-th neighbor cell. It takes a value of 1 when} \end{cases}$

the  $k$ -th RB in the  $j$ -th neighbor cell is used as a cell-edge sub-band and 0 otherwise. The symbol  $w_j$  denotes weight

with respect to adjacent cell  $j$ , that is, the number of users for which the difference between the power of the signal

received from the serving cell  $i$  and the power of the signal received from the adjacent cell  $j$  is less than a threshold

value (i.e., the number of users near the cell edge in the service cell). A large received power difference means that

cell-edge users in the  $i$ -th cell suffer strong interference from the  $j$ -th cell.

The RB for which metric  $A_k$  is smallest is considered to be least affected by interference from another cell. Serving

cell selects a configured number of RBs as cell-edge sub-band in ascending order of  $A_k$ . As a result, the RBs in which a small number of cell-edge users receive high interference from adjacent base stations are selected.

The updated RNTP is then sent to all the neighbor cells. In order to avoid the meaningless oscillation of cell-edge-band selection, a base station ignores an RNTP from another base station that has larger cell ID than the base station.

Repeating this process across all cells enables the allocation of RBs to cell-edge areas to be optimized over the system and to be adjusted with changes in user distribution.

Figure Sequence diagram of Distributed Frequency Reuse Scheme below presents sequence diagram of Distributed

Fractional Frequency Reuse Scheme.

Overview

This section describes the ns-3 support for Carrier Aggregation. The references in the standard are [TS36211], [TS36213] and [TS36331].

Note: Carrier Aggregation was introduced in release 3.27 and currently, only works in downlink. 3GPP standardizes, in release R10, the Carrier Aggregation (CA) technology.

This technology consists of the possibility, to aggregate radio resources belonging to different carriers, in order to

have more bandwidth available, and to achieve a higher throughput. Carrier Aggregation as defined by 3GPP can be

used with both TDD and FDD. Since ns-3 only supports FDD LTE implementation, we will consider only this case

in this section. Each aggregated carrier is referred to as a component carrier, CC. The component carrier can have a

bandwidth of 1.4, 3, 5, 10, 15 or 20 MHz and a maximum of five component carriers can be aggregated, hence the

maximum aggregated bandwidth is 100 MHz. In FDD the number of aggregated carriers can be different in DL and

UL. However, the number of UL component carriers is always equal to or lower than the number of DL component

carriers. The individual component carriers can also be of different bandwidths. When carrier aggregation is used

there are a number of serving cells, one for each component carrier. The coverage of the serving cells may differ, for

example due to that CCs on different frequency bands will experience different pathloss. The RRC connection is only

handled by one cell, the Primary serving cell, served by the Primary component carrier (DL and UL PCC). It is also

on the DL PCC that the UE receives NAS information, such as security parameters.

3GPP defines three different CA bandwidth classes in releases 10 and 11 (where ATBC is Aggregated Transmission

Bandwidth Configuration):

Figure CA impact on different layers of LTE protocol stack (from 3gpp.org) (from 3gpp.org) shows the main impact

of CA technology on the different layers of the LTE protocol stack. Introduction of carrier aggregation influences

mainly the MAC and new RRC messages are introduced. In order to keep R8/R9 compatibility the

protocol changes

will be kept to a minimum. Basically each component carrier is treated as an R8 carrier. However some changes are required, such as new RRC messages in order to handle the secondary component carrier (SCC), and MAC must be able to handle scheduling on a number of CCs. In the following we describe the impact of the carrier aggregation

implementation on the different layers of the LTE protocol stack in ns-3.

Impact on RRC layer

The main impacts on the RRC layer are related to secondary carrier configuration and measurements reporting. To

enable these features we have enhanced the already existing procedures for the RRC Connection Reconfiguration and

UE RRC Measurements Model.

The carrier aggregation enabling procedure is shown in figure A schematic overview of the secondary carrier

enabling procedure . As per 3GPP definition, the secondary cell is a cell, operating on a secondary frequency, which

may be configured once an RRC connection is established and which may be used to provide additional radio

resources. Hence, the procedure starts when the UE is in the CONNECTED\_NORMALLY state (see the RRC state

machine description). This part of the procedure is the same as in the previous architecture. In

order to simplify

the implementation, the UE Capability Inquiry and UE Capability Information are not implemented. This implies

to assume that each UE can support the carrier aggregation, and any specific configuration provided by the eNB to

which is attached. The eNB RRC sends to the UE the secondary carrier configuration parameters through the RRC

Connection Reconfiguration procedure. This procedure may be used for various purposes related to modifications

of the RRC connection, e.g. to establish, modify or release RBs, to perform handover, to setup, modify or release

measurements, to add, modify and release secondary cells (SCells). At UE side, the RRC is extended to configure

the lower layers, in such a way that the SCell(s) are considered. Once the carriers are configured, the Reconfiguration

Completed message is sent back to the eNB RRC, informing the eNB RRC and CCM that the secondary carriers

have been properly configured. The RRC layer at both the UE and the eNB sides is extended to allow measurement

reporting for the secondary carriers. Finally, in order to allow the procedures for configuration and measurement

reporting, the RRC is enhanced to support serialization and deserialization of RRC message structures that carry

information related to the secondary carriers, e.g., if the RRCConnectionReconfiguration message includes

sCellToAddModList structure, SCell addition or modification will be performed, or, if it contains measConfig the

measurement reporting will be configured. To allow transmission of this information the following



structures are im-

plemented for the sCell: `RadioResourceConfigCommonSCell`, `RadioResourceConfigDedicatedSCell` and `PhysicalConfigDedicatedSCell` and `NonCriticalExtensionConfiguration`.

`RadioResourceConfigCommonSCell` and `RadioResourceConfigDedicatedSCell` are used for SCell addition and modification (see TS 36.331, 5.3.10.3b). `PhysicalConfigDedicatedSCell` is used for physical channel

reconfiguration (see TS 36.331, 5.3.10.6). Finally, `NonCriticalExtensionConfiguration` is used to carry

information of `sCellToAddModList` and `sCellToReleaseList`, which is a modified structure comparing to TS 36.331, 6.6.2, according to which these are directly in the root of `RRCConnectionReconfiguration` message.

Measurement reporting is extended with `measResultSCell` structure to include RSRP and RSRQ measurements for

each configured SCell. However, the measurement report triggering event A6 (neighbour becomes offset better than

SCell) is not implemented yet.

Handover is possible between different component carriers on the same eNB (i.e., intra-eNB handover from one

frequency to another) and between carriers on different eNB (i.e., inter-eNB). One constraint for inter-eNB handovers

is that both eNB must have the same number of component carriers.

Impact on PDCP layer

There is no impact on PDCP layer.

Impact on RLC layer

The impact on the RLC layer is relatively small. There is some impact on configuration of the buffer and the usage of

SAP interfaces between RLC and MAC. Since the capacity of the lower layers increases with the carrier aggregation

it is necessary to accordingly adjust the size of the RLC buffer. The impact on the implementation of the RLC layer

is very small thanks to the design choice that allows the CCM manager to serve the different RLC instances through

the `LteMacSapProvider` interface. Thanks to this design choice, the RLC is using the same interface as in the

earlier LTE module architecture, the `LteMacSapProvider`, but the actual SAP provider in the new architecture is the

CCM (some class that inherits `LteEnbComponentCarrierManager`). The CCM acts as a proxy, it receives function

calls that are meant for the MAC, and forwards them to the MAC of the different component carriers.

Additionally, it

uses the information of the UEs and the logical channels for its own functionalities.

Impact on MAC layer

The impact on the MAC layer depends on the CA scheduling scheme in use. Two different scheduling schemes are

proposed in R10 and are shown in figure CA scheduling schemes (from 3gpp.org).

The CIF (Carrier Indicator Field) on PDCCH (represented by the red area) indicates on which carrier the scheduled

resource is located. In the following we describe both the schemes:

a) scheduling grant and resources on the same carrier. One PDCCH is supported per carrier.

b) cross-carrier scheduling: it is used to schedule resources on the secondary carrier without PDCCH.

Current implementation covers only option 1, so there is no cross-carrier scheduling. The MAC layer of the eNodeB has suffered minor changes and they are mainly related to addition of component carrier information in message exchange between layers.

#### Impact on PHY layer

The impact on PHY layer is minor. There is an instance of PHY layer per each component carrier and the SAP interface functions remain unchanged. As shown in CA scheduling schemes (from 3gpp.org) the difference is that since there are multiple PHY instances, there are also multiple instances of PDCCH, HARQ, ACK/NACK and CSI per carrier. So, at the eNB PHY, the changes are related to the addition of the component carrier id information, while at the UE PHY the information of the Component Carrier is used for some functionalities that depend on the Component Carrier to which the PHY instance belongs. For example, the UE PHY is extended to allow disabling of the sounding reference signal (SRS) at the secondary carriers. This is necessary because there is one UE PHY instance per component carrier, but according to CA scheduling schemes (from 3gpp.org), only a single carrier is used and the uplink traffic is transmitted only over the primary carrier.

#### Code Structure Design

This section briefly introduces the software design and implementation of the carrier aggregation functionality.

Both `LteEnbNetDevice` and `LteUeNetDevice` are created by the `LteHelper` using the method `InstallSingleEnbDevice` and `InstallSingleUeDevice`. These functions are now extended to allow the carrier aggregation configuration. In the following we explain the main differences comparing to the previous architecture.

Figure Changes in `LteEnbNetDevice` to support CA shows the attributes and associations of the `LteEnbNetDevice`

that are affected by the implementation, or are created in order to support the carrier aggregation function-

ality. Since `LteEnbNetDevice` may have several component carriers, the attributes that were formerly part

of the `LteEnbNetDevice` and are carrier specific are migrated to the `ComponentCarrier` class, e.g. phys-

ical layer configuration parameters. The attributes that are specific for the eNB component carrier are mi-

grated to `ComponentCarrierEnb`, e.g. pointers to MAC, PHY, scheduler, fractional frequency reuse instances.

`LteEnbNetDevice` can contain pointers to several `ComponentCarrierEnb` instances. This architecture allows that

each CC may have its own configuration for PHY, MAC, scheduling algorithm and fractional frequency reuse algo-

rithm. These attributes are currently maintained also in the `LteEnbNetDevice` for backward compatibility purpose.

By default the `LteEnbNetDevice` attributes are the same as the primary carrier attributes.

Figure Changes in `LteUeNetDevice` to support CA shows the attributes and associations of

LteUeNetDevice that are affected by the carrier aggregation implementation. Similarly, to the changes in LteEnbNetDevice , pointers that are specific to UE component carrier are migrated to the ComponentCarrierUe class. LteUeNetDevice has maintained m\_dIEarfcn for initial cell selection purposes.

### CA impact on data plane of eNodeB

Figure eNB Data Plane Architecture shows the class diagram of the data plane at the eNB. The main impact is the insertion of the LteEnbComponentCarrierManager class in the middle of the LTE protocol stack. During the design phase it was decided to keep the same SAP interfaces design that existed between MAC and RLC in order to avoid unnecessary changes in these parts of protocol stack. To achieve this theLteEnbComponentCarrierManager implements all functions that were previously exposed by RLC to MAC throughLteMacSapUser interface. It also implements functions that were previously exposed by MAC to RLC through the LteMacSapProvider interface. In this way, the carrier aggregation is transparent to upper and lower layers. The only difference is that the MAC instance sees now only one LteMacSapUser , whereas formerly it was seeing only one LteMacSapUser per RLC instance. TheLteEnbComponentCarrierManager is responsible for the forwarding messages in both directions. In the current implementation, a PDCP and a RLC instances are activated each time a new data radio bearer is configured. The correspondence between a new data radio bearer and a RLC instance is one to one. In order to maintain the same behavior, when a new logical channel is activated, the logical channel configurations is propagated to each MAC layer object in “as is” fashion.

Figure Sequence Diagram of downlink buffer status reporting (BSR) with CA shows a sequence diagram of downlink buffer status reporting with a carrier aggregation implementation of only one secondary carrier. Each time that an RLC instance sends a buffer status report (BSR), the LteEnbComponentCarrierManager propagates the BSR to the MAC instances. The LteEnbComponentCarrierManager may modify a BSR before sending it to the MAC instances. This modification depends on the traffic split algorithm implemented in CCM class that inherits LteEnbComponentCarrierManager .

### LtePdcp at eNb

### LteRlc at eNb

### LteEnbComponentCarrierManager

### LteEnbMac0

### LteEnbMac1

### DoTransmitPdcpPdu ()

### ReportBufferStatus ()

### ReportBufferStatus (x%)

ReportBufferStatus ((100-x)%)

NotifyTxOpportunity ()

NotifyTxOpportunity ()

NotifyTx Opportunity (Mac0)

NotifyTxOpportunity (Mac1)

TransmitPdu ()

TransmitPdu ()

TransmitPdu ()

TransmitPdu ()

CA impact on control plane of eNodeB

Figure eNB Control Plane Architecture shows the class diagram of the control plane at the eNB.

During the design

phase it was decided to maintain the same hooks as in the former architecture. To do so, at each component carrier the

PHY and the MAC are directly associated to the RRC instance. However, the RRC instance is additionally connected

to theLteEnbComponentCarrierManager , which is responsible for enabling and disabling the component carriers.

When the simulation starts, the number of component carrier is fixed, but only the primary carrier component is

enabled. Depending on the LteEnbComponentCarrierManager algorithm the other carrier components could be

activated or not.

Figure Sequence Diagram of Data Radio Bearer Setup shows how the Radio Bearer are configured.

CA impact on data plane of UE

Figure UE Data Plane Architecture shows the relation between the different classes related to the UE data plane. The

UE data plane architecture is similar to the eNB data plane implementation. The

LteUeComponentCarrierManager

is responsible to (re)map each MacSapUserProvider to the corresponding RLC instance or to the proper MAC in-

stance. The channel remapping depends on algorithm used as LteUeComponentCarrierManager . A particular case

is represented by the UE buffer status report (BSR) to eNB. Since, i) the standard does not specify how the BSR has

to be reported on each component carrier and ii) it is decided to map one-to-one the logical channel to each MAC

layer, the only way to send BSRs to the eNB is through the primary carrier. Figure Uplink buffer status reporting with

CAs shows the sequence diagram. Each time a BSR is generated, the LteUeComponentCarrierManager sends it

through the primary carrier component. When the primary component carrier at the eNB receives the BSR, it sends it

toLteEnbComponentCarrierManager . The latter, according to algorithm dependent policies, forwards a BSR to

component carriers. The communication between the LteEnbMac and theLteEnbComponentCarrierManager is done through a specific set of SAP functions which are implemented in the LteUICcmRrcSapUser and the

LteUICcmRrcSapProvider .

CA impact on control plane of UE

Figure UE Control Plane Architecture shows the relation between the different classes associated to

the UE control

plane. The control plane implementation at the UE is basically the same as the eNB control plane implementation.

Each component carrier control SAP (both for PHY and MAC layer objects) is linked in a one-to-one fashion directly

to the RRC instance. The Ue RRC instance is then connected to the LteUeComponentCarrierManager in the same

way as in the eNB.

CCHelper is the class that is implemented to help the configuration of the physical layer parameters, such as uplink and downlink, bandwidth and EARFCN of each carrier.

CCM RRC MAC interfaces

The Component carrier manager (CCM) is also developed by using the SAP interface design. The following SAP

interfaces are implemented for CCM and MAC:

- theLteCcmMacSapUser part is provided by MAC and is used by the CCM

LteEpc

LteEnbRrc

LteEnbComponentCarrierManager

LteEnbMac0

LteEnbMac1

SetupDataRadioBearer ()

SetupDataRadioBearer ()

AddLc (std::vector<LcConfiguration  
n>)

AddLc ()

AddLc ()

MeasurementReport ()

Decide Activation

AddLc (std::vector<LcConfiguration  
n>)

AddLc ()

AddLc ()

- theLteCcmMacSapProvider part is provided by CCM and is used by the MAC layer

When the primary component carrier receives an uplink BSR it uses the LteCcmMacSapUser to forward it to the CCM, which should decide how to split the traffic corresponding to this BSR among carriers.

Once this decision is made, the CCM uses the LteCcmMacSapProvider interface to send back an uplink BSR to some of the MAC instances. Additionally, the LteCcmMacSapUser can be used by the MAC to notify about the PRB occupancy in the downlink to the CCM. This information may be used by the CCM to decide how to split the traffic and whether to use the secondary carriers.

CCM RRC SAP interfaces

The following SAP interfaces are implemented for CCM and RRC:

- theLteCcmRrcSapProvider is provided by the CCM and is used by the RRC layer
- theLteCcmRrcSapUser is provided by RRC and is used by the CCM

By using the LteCcmRrcSapUser the CCM may request a specific measurement reporting configuration to be fulfilled

by the UEs attached to the eNB. When a UE measurement report is received, as a result of this configuration, the

eNB RRC entity shall forward this report to the CCM through the LteCcmRrcSapProvider::ReportUeMeas

SAP

function. Additionally, the `LteCcmRrcSapProvider` offers different functions to the RRC that can be used to add

and remove of UEs, setup or release of radio bearer, configuration of the signalling bearer, etc.

Component carrier managers

Currently, there are two component carrier manager implementations available. The first one is the `NoOpComponentCarrierManager`, which is the default CCM choice. When this CCM is used the carrier aggregation feature is disabled. This CCM forwards all traffic, the uplink and the downlink, over the

primary carrier, and

does not use secondary carriers. Another implementation is the `RrComponentCarrierManager`, which splits the

traffic equally among carriers, by dividing the buffer status report among different carriers. SRB0 and SRB1 flows will

be forwarded only over primary carrier.

- `LteHelper`, which takes care of the configuration of the LTE radio access network, as well as of coordinating

the setup and release of EPS bearers. The `LteHelper` class provides both the API definition and its implementation.

- `EpcHelper`, which takes care of the configuration of the Evolved Packet Core. The `EpcHelper` class is an

abstract base class, which only provides the API definition; the implementation is delegated to the child classes

in order to allow for different EPC network models.

A third helper object is used to configure the Carrier Aggregation functionality:

- `CcHelper`, which takes care of the configuration of the `LteEnbComponentCarrierMap`, basically, it creates

a user specified number of `LteEnbComponentCarrier`. `LteUeComponentCarrierMap` is currently created starting from the `LteEnbComponentCarrierMap`. `LteHelper::InstallSingleUeDevice`, in this implementation, is needed to invoke after the `LteHelper::InstallSingleEnbDevice` to ensure that the `LteEnbComponentCarrierMap` is properly initialized.

It is possible to create a simple LTE-only simulations by using the `LteHelper` alone, or to create complete LTE-EPC

simulations by using both `LteHelper` and `EpcHelper`. When both helpers are used, they interact in a master-slave

fashion, with the `LteHelper` being the Master that interacts directly with the user program, and the `EpcHelper` working

“under the hood” to configure the EPC upon explicit methods called by the `LteHelper`. The exact interactions

are displayed in the Figure Sequence diagram of the interaction between `LteHelper` and `EpcHelper`.

We assume the reader is already familiar with how to use the ns-3 simulator to run generic simulation programs. If

this is not the case, we strongly recommend the reader to consult [ns3tutorial].

The ns-3 LTE model is a software library that allows the simulation of LTE networks, optionally including the Evolved

Packet Core (EPC). The process of performing such simulations typically involves the following steps:

1. Define the scenario to be simulated

2. Write a simulation program that recreates the desired scenario topology/architecture. This is done accessing the

ns-3 LTE model library using the ns3::LteHelper API defined in src/lte/helper/lte-helper.h .

3.Specify configuration parameters of the objects that are being used for the simulation. This can be done using

input files (via the ns3::ConfigStore ) or directly within the simulation program.

4.Configure the desired output to be produced by the simulator

5.Run the simulation.

All these aspects will be explained in the following sections by means of practical examples.

Here is the minimal simulation program that is needed to do an LTE-only simulation (without EPC).

SimProgram

LteHelper

EpcHelper

create

create

create MME and SG

InstallEnbDevice

install protocol stack

on eNB

AddEnb

setup S1-U, S1-AP

and S11

InstallUeDevice

install protocol stack

on UE

Attach (UE, eNB)

tell UE NAS to start c

onnection

ActivateEpsBearer (default)

tell MME to activate

bearer when UE con

nects

ActivateDedicatedEpsBearer

ActivateEpsBearer

tell MME to activate

bearer when UE con

nects

1. Initial boilerplate:

```
#include <ns3/core-module.h>
```

```
#include <ns3/network-module.h>
```

```
#include <ns3/mobility-module.h>
```

```
#include <ns3/lte-module.h>
```

```
using namespace ns3;
```

```
int main(int argc, char *argv[])
```

```
{
```

```
// the rest of the simulation program follows
```

2. Create an LteHelper object:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
```

This will instantiate some common objects (e.g., the Channel object) and provide the methods to add eNBs and

UEs and configure them.

3. Create Node objects for the eNB(s) and the UEs:

```
NodeContainer enbNodes;
```

```
enbNodes.Create(1);
```

```
NodeContainer ueNodes;
```

```
ueNodes.Create(2);
```

Note that the above Node instances at this point still don't have an LTE protocol stack installed; they're just empty nodes.

4. Configure the Mobility model for all the nodes:

```
MobilityHelper mobility;
```

```
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
```

```
mobility.Install(enbNodes);
```

```
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
```

```
mobility.Install(ueNodes);
```

The above will place all nodes at the coordinates (0,0,0). Please refer to the documentation of the ns-3 mobility

model for how to set your own position or configure node movement.

5. Install an LTE protocol stack on the eNB(s):

```
NetDeviceContainer enbDevs;
```

```
enbDevs = lteHelper->InstallEnbDevice(enbNodes);
```

6. Install an LTE protocol stack on the UEs:

```
NetDeviceContainer ueDevs;
```

```
ueDevs = lteHelper->InstallUeDevice(ueNodes);
```

7. Attach the UEs to an eNB. This will configure each UE according to the eNB configuration, and create an RRC

connection between them:

```
lteHelper->Attach(ueDevs, enbDevs.Get(0));
```

8. Activate a data radio bearer between each UE and the eNB it is attached to:

```
enum EpsBearer::Qci q = EpsBearer::GBR_CONV_VOICE;
```

```
EpsBearer bearer(q);
```

```
lteHelper->ActivateDataRadioBearer(ueDevs, bearer);
```

this method will also activate two saturation traffic generators for that bearer, one in uplink and one in downlink.

9. Set the stop time:

```
Simulator::Stop(Seconds(0.005));
```

This is needed otherwise the simulation will last forever, because (among others) the start-of-subframe event is

scheduled repeatedly, and the ns-3 simulator scheduler will hence never run out of events.

10. Run the simulation:

```
Simulator::Run();
```

11. Cleanup and exit:

```
Simulator::Destroy();
```

```
return 0;
```

```
}
```

For how to compile and run simulation programs, please refer to [ns3tutorial].

All the relevant LTE model parameters are managed through the ns-3 attribute system. Please refer to the [ns3tutorial]

and [ns3manual] for detailed information on all the possible methods to do it (environmental variables, C++ API,

GtkConfigStore. . . ).

In the following, we just briefly summarize how to do it using input files together with the ns-3 ConfigStore. First of

all, you need to put the following in your simulation program, right after main () starts:



```
CommandLine cmd(__FILE__);
cmd.Parse(argc, argv);
ConfigStore inputConfig;
inputConfig.ConfigureDefaults();
// parse again so you can override default values from the command line
cmd.Parse(argc, argv);
for the above to work, make sure you also #include "ns3/config-store.h" . Now create a text file
named (for
example)input-defaults.txt specifying the new default values that you want to use for some
attributes:
```

```
default ns3::LteHelper::Scheduler "ns3::PffMacScheduler"
default ns3::LteHelper::PathlossModel "ns3::FriisSpectrumPropagationLossModel"
default ns3::LteEnbNetDevice::UllBandwidth "25"
default ns3::LteEnbNetDevice::DlBandwidth "25"
default ns3::LteEnbNetDevice::DlEarfcn "100"
default ns3::LteEnbNetDevice::UllEarfcn "18100"
default ns3::LteUePhy::TxPower "10"
default ns3::LteUePhy::NoiseFigure "9"
default ns3::LteEnbPhy::TxPower "30"
default ns3::LteEnbPhy::NoiseFigure "5"
```

Supposing your simulation program is called src/lte/examples/lte-sim-with-input , you can now pass these

settings to the simulation program in the following way:

```
./ns3 run src/lte/examples/lte-sim-with-input
--command-template="%s --ns3::ConfigStore::Filename=input-defaults.txt
--ns3::ConfigStore::Mode=Load --ns3::ConfigStore::FileFormat=RawText"
```

Furthermore, you can generate a template input file with the following command:

```
./ns3 run src/lte/examples/lte-sim-with-input
--command-template="%s --ns3::ConfigStore::Filename=input-defaults.txt
--ns3::ConfigStore::Mode=Save --ns3::ConfigStore::FileFormat=RawText"
```

note that the above will put in the file input-defaults.txt all the default values that are registered in your particular

build of the simulator, including lots of non-LTE attributes.

There are several types of LTE MAC scheduler user can choose here. User can use following codes to define scheduler

type:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
lteHelper->SetSchedulerType("ns3::FdmMacScheduler"); // FD-MT scheduler
lteHelper->SetSchedulerType("ns3::TdmMacScheduler"); // TD-MT scheduler
lteHelper->SetSchedulerType("ns3::TtaMacScheduler"); // TTA scheduler
lteHelper->SetSchedulerType("ns3::FdbMacScheduler"); // FD-BET scheduler
lteHelper->SetSchedulerType("ns3::TdbMacScheduler"); // TD-BET scheduler
lteHelper->SetSchedulerType("ns3::FdtbfMacScheduler"); // FD-TBFQ scheduler
lteHelper->SetSchedulerType("ns3::TdtbfMacScheduler"); // TD-TBFQ scheduler
lteHelper->SetSchedulerType("ns3::PssMacScheduler"); // PSS scheduler
```

TBFQ and PSS have more parameters than other schedulers. Users can define those parameters in following way:

\*TBFQ scheduler::

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
lteHelper->SetSchedulerAttribute("DebtLimit", IntegerValue(yourvalue)); // default
,!value -625000 bytes(-5Mb)
```

```

lteHelper->SetSchedulerAttribute("CreditLimit", UIntegerValue(yourvalue)); //
,!default value 625000 bytes(5Mb)
lteHelper->SetSchedulerAttribute("TokenPoolSize", UIntegerValue(yourvalue)); //
,!default value 1 byte
lteHelper->SetSchedulerAttribute("CreditableThreshold", UIntegerValue(yourvalue)); //
*PSS scheduler::
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
lteHelper->SetSchedulerAttribute("nMux", UIntegerValue(yourvalue)); // the maximum
,!number of UE selected by TD scheduler
lteHelper->SetSchedulerAttribute("PssFdSchedulerType", StringValue("ColtA")); // PF
,!scheduler type in PSS
In TBFQ, default values of debt limit and credit limit are set to -5Mb and 5Mb respectively based on
paper
[FABokhari2009]. Current implementation does not consider credit threshold ( C= 0). In PSS, if user
does not
define nMux, PSS will set this value to half of total UE. The default FD scheduler is PFsch.
In addition, token generation rate in TBFQ and target bit rate in PSS need to be configured by
Guarantee Bit Rate
(GBR) or Maximum Bit Rate (MBR) in epc bearer QoS parameters. Users can use following codes to
define GBR and
MBR in both downlink and uplink:
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
enum EpsBearer::Qci q = EpsBearer::yourvalue; // define Qci type
GbrQosInformation qos;
qos.gbrDL = yourvalue; // Downlink GBR
qos.gbrUL = yourvalue; // Uplink GBR
qos.mbrDL = yourvalue; // Downlink MBR
qos.mbrUL = yourvalue; // Uplink MBR
EpsBearer bearer(q, qos);
lteHelper->ActivateDedicatedEpsBearer(ueDevs, bearer, EpcTft::Default());
In PSS, TBR is obtained from GBR in bearer level QoS parameters. In TBFQ, token generation rate is
obtained from
the MBR setting in bearer level QoS parameters, which therefore needs to be configured consistently.
For constant bit
rate (CBR) traffic, it is suggested to set MBR to GBR. For variance bit rate (VBR) traffic, it is
suggested to set MBR
k times larger than GBR in order to cover the peak traffic rate. In current implementation, k is set
to three based on
paper [FABokhari2009]. In addition, current version of TBFQ does not consider RLC header and PDCP
header length
in MBR and GBR. Another parameter in TBFQ is packet arrival rate. This parameter is calculated
within scheduler
and equals to the past average throughput which is used in PF scheduler.
Many useful attributes of the LTE-EPC model will be described in the following subsections. Still,
there are many
attributes which are not explicitly mentioned in the design or user documentation, but which are
clearly documented
using the ns-3 attribute system. You can easily print a list of the attributes of a given object
together with their
description and default value passing --PrintAttributes= to a simulation program, like this:
./ns3 run lena-simple --command-template="%s --PrintAttributes=ns3::LteHelper"

```

You can try also with other LTE and EPC objects, like this:

```
./ns3 run lENA-simple --command-template="%s --PrintAttributes=ns3::LteEnbNetDevice"  
./ns3 run lENA-simple --command-template="%s --PrintAttributes=ns3::LteEnbMac"  
./ns3 run lENA-simple --command-template="%s --PrintAttributes=ns3::LteEnbPhy"  
./ns3 run lENA-simple --command-template="%s --PrintAttributes=ns3::LteUePhy"  
./ns3 run lENA-simple --command-template="%s --  
!PrintAttributes=ns3::PointToPointEpcHelper"
```

The ns-3 LTE model currently supports the output to file of PHY , MAC, RLC and PDCP level Key Performance

Indicators (KPIs). You can enable it in the following way:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
```

```
// configure all the simulation scenario here...
```

```
lteHelper->EnablePhyTraces();
```

```
lteHelper->EnableMacTraces();
```

```
lteHelper->EnableRlcTraces();
```

```
lteHelper->EnablePdcPTraces();
```

```
Simulator::Run();
```

RLC and PDCP KPIs are calculated over a time interval and stored on ASCII files, two for RLC KPIs and two for

PDCP KPIs, in each case one for uplink and one for downlink. The time interval duration can be controlled using the

```
attributens3::RadioBearerStatsCalculator::EpochDuration .
```

The columns of the RLC KPI files is the following (the same for uplink and downlink):

1. start time of measurement interval in seconds since the start of simulation
2. end time of measurement interval in seconds since the start of simulation
3. Cell ID
4. unique UE ID (IMSI)
5. cell-specific UE ID (RNTI)
6. Logical Channel ID
7. Number of transmitted RLC PDUs
8. Total bytes transmitted.
9. Number of received RLC PDUs
10. Total bytes received
11. Average RLC PDU delay in seconds
12. Standard deviation of the RLC PDU delay
13. Minimum value of the RLC PDU delay
14. Maximum value of the RLC PDU delay
15. Average RLC PDU size, in bytes
16. Standard deviation of the RLC PDU size
17. Minimum RLC PDU size
18. Maximum RLC PDU size

Similarly, the columns of the PDCP KPI files is the following (again, the same for uplink and downlink):

1. start time of measurement interval in seconds since the start of simulation
2. end time of measurement interval in seconds since the start of simulation
3. Cell ID
4. unique UE ID (IMSI)
5. cell-specific UE ID (RNTI)
6. Logical Channel ID
7. Number of transmitted PDCP PDUs
8. Total bytes transmitted.

9. Number of received PDCP PDUs
10. Total bytes received
11. Average PDCP PDU delay in seconds
12. Standard deviation of the PDCP PDU delay
13. Minimum value of the PDCP PDU delay
14. Maximum value of the PDCP PDU delay
15. Average PDCP PDU size, in bytes
16. Standard deviation of the PDCP PDU size
17. Minimum PDCP PDU size
18. Maximum PDCP PDU size

Note: The PDCP traces for data radio bearers are not generated when SM RLC is used.

MAC KPIs are basically a trace of the resource allocation reported by the scheduler upon the start of every subframe.

They are stored in ASCII files. For downlink MAC KPIs the format is the following:

1. Simulation time in seconds at which the allocation is indicated by the scheduler
2. Cell ID
3. unique UE ID (IMSI)
4. Frame number
5. Subframe number
6. cell-specific UE ID (RNTI)
9. MCS of TB 2 (0 if not present)
10. size of TB 2 (0 if not present)

while for uplink MAC KPIs the format is:

1. Simulation time in seconds at which the allocation is indicated by the scheduler
2. Cell ID
3. unique UE ID (IMSI)
4. Frame number
5. Subframe number
6. cell-specific UE ID (RNTI)
7. MCS of TB
8. size of TB

The names of the files used for MAC KPI output can be customized via the ns-3 attributes `ns3::MacStatsCalculator::DlOutputFilename` and `ns3::MacStatsCalculator::UlOutputFilename`.

PHY KPIs are distributed in seven different files, configurable through the attributes

1. `ns3::PhyStatsCalculator::DirSrpSinrFilename`
2. `ns3::PhyStatsCalculator::UeSinrFilename`
3. `ns3::PhyStatsCalculator::InterferenceFilename`
4. `ns3::PhyStatsCalculator::DlTxOutputFilename`
5. `ns3::PhyStatsCalculator::UlTxOutputFilename`
6. `ns3::PhyStatsCalculator::DirRxOutputFilename`
7. `ns3::PhyStatsCalculator::UlrRxOutputFilename`

In the RSRP/SINR file, the following content is available:

1. Simulation time in seconds at which the allocation is indicated by the scheduler
  2. Cell ID
  3. unique UE ID (IMSI)
  5. Linear average over all RBs of the downlink SINR in linear units
1. Simulation time in seconds at which the allocation is indicated by the scheduler
  2. Cell ID
  3. unique UE ID (IMSI)
  4. uplink SINR in linear units for the UE

In the interference filename the content is:

1. Simulation time in seconds at which the allocation is indicated by the scheduler
2. Cell ID
3. List of interference values per RB

In UL and DL transmission files the parameters included are:

1. Simulation time in milliseconds
2. Cell ID
3. unique UE ID (IMSI)
5. Layer of transmission
7. size of the TB

8. Redundancy version
9. New Data Indicator flag

And finally, in UL and DL reception files the parameters included are:

1. Simulation time in milliseconds
2. Cell ID
3. unique UE ID (IMSI)
5. Transmission Mode
6. Layer of transmission
8. size of the TB
9. Redundancy version
10. New Data Indicator flag
11. Correctness in the reception of the TB

Note: The traces generated by simulating the scenarios involving the RLF will have a discontinuity in time from the

moment of the RLF event until the UE connects again to an eNB.

In this section we will describe how to use fading traces within LTE simulations.

#### Fading Traces Generation

It is possible to generate fading traces by using a dedicated matlab script provided with the code ( /lte/model/

fading-traces/fading-trace-generator.m ). This script already includes the typical taps configurations for

also introduce their specific configurations. The list of the configurable parameters is provided in the following:

- fc: the frequency in use (it affects the computation of the doppler speed).
- v\_kmh : the speed of the users
- traceDuration : the duration in seconds of the total length of the trace.
- numRBs : the number of the resource block to be evaluated.
- tag: the tag to be applied to the file generated.

The file generated contains ASCII-formatted real values organized in a matrix fashion: every row corresponds to a

different RB, and every column correspond to a different temporal fading trace sample.

It has to be noted that the ns-3 LTE module is able to work with any fading trace file that complies with the above

described ASCII format. Hence, other external tools can be used to generate custom fading traces, such as for example

other simulators or experimental devices.

#### Fading Traces Usage

When using a fading trace, it is of paramount importance to specify correctly the trace parameters in the simulation,

so that the fading model can load and use it correctly. The parameters to be configured are:

- TraceFilename : the name of the trace to be loaded (absolute path, or relative path w.r.t. the path from where

the simulation program is executed);

- TraceLength : the trace duration in seconds;
- SamplesNum : the number of samples;
- WindowSize : the size of the fading sampling window in seconds;

It is important to highlight that the sampling interval of the fading trace has to be 1 ms or greater, and in the latter case

it has to be an integer multiple of 1 ms in order to be correctly processed by the fading module.

The default configuration of the matlab script provides a trace 10 seconds long, made of 10,000 samples (i.e., 1 sample

per TTI=1ms) and used with a windows size of 0.5 seconds amplitude. These are also the default values of the

parameters above used in the simulator; therefore their settag can be avoided in case the fading trace respects them.

In order to activate the fading module (which is not active by default) the following code should be included in the

simulation program:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
```

```
lteHelper->SetFadingModel("ns3::TraceFadingLossModel");
```

And for setting the parameters:

```
lteHelper->SetFadingModelAttribute("TraceFilename", StringValue("src/lte/model/fading-  
traces/fading_trace_EPA_3kmph.fad"));
```

```
lteHelper->SetFadingModelAttribute("TraceLength", TimeValue(Seconds(10.0)));
```

```
lteHelper->SetFadingModelAttribute("SamplesNum", UIntegerValue(10000));
```

```
lteHelper->SetFadingModelAttribute("WindowSize", TimeValue(Seconds(0.5)));
```

```
lteHelper->SetFadingModelAttribute("RbNum", UIntegerValue(100));
```

It has to be noted that, TraceFilename does not have a default value, therefore it has to be always set explicitly.

The simulator provides natively three fading traces generated according to the configurations defined in Annex B.2

of [TS36104]. These traces are available in the folder src/lte/model/fading-traces/. An excerpt from these

traces is represented in the following figures.

050100-50-40-30-20-10010

time [ms]RB indexAmplitude [dB]

We now explain by examples how to use the buildings model (in particular, the MobilityBuildingInfo and the

BuildingPropagationModel classes) in an ns-3 simulation program to setup an LTE simulation scenario that in-

cludes buildings and indoor nodes.

1. Header files to be included:

```
#include <ns3/mobility-building-info.h>
```

```
#include <ns3/buildings-propagation-loss-model.h>
```

```
#include <ns3/building.h>
```

050100-60-40-20020

time [ms]RB indexAmplitude [dB]

050100-50-40-30-20-10010

time [ms]RB indexAmplitude [dB]

2. Pathloss model selection:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
```

```
lteHelper->SetAttribute("PathlossModel", StringValue(  
"ns3::BuildingsPropagationLossModel"));
```

### 3. EUTRA Band Selection

The selection of the working frequency of the propagation model has to be done with the standard ns-3 attribute

system as described in the correspond section ("Configuration of LTE model parameters") by means of the DLEarfcn

and ULEarfcn parameters, for instance:

```
lteHelper->SetEnbDeviceAttribute("DLEarfcn", UIntegerValue(100));
```

```
lteHelper->SetEnbDeviceAttribute("ULEarfcn", UIntegerValue(18100));
```

It is to be noted that using other means to configure the frequency used by the propagation model (i.e., configuring

the corresponding BuildingsPropagationLossModel attributes directly) might generates conflicts in the frequencies

definition in the modules during the simulation, and is therefore not advised.

#### 1. Mobility model selection:

MobilityHelper mobility;

```
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
```

It is to be noted that any mobility model can be used.

#### 2. Building creation:

```
double x_min = 0.0;
```

```
double x_max = 10.0;
```

```
double y_min = 0.0;
```

```
double y_max = 20.0;
```

```
double z_min = 0.0;
```

```
double z_max = 10.0;
```

```
Ptr<Building> b = CreateObject<Building>();
```

```
b->SetBoundaries(Box(x_min, x_max, y_min, y_max, z_min, z_max));
```

```
b->SetBuildingType(Building::Residential);
```

```
b->SetExtWallsType(Building::ConcreteWithWindows);
```

```
b->SetNFloors(3);
```

```
b->SetNRoomsX(3);
```

```
b->SetNRoomsY(2);
```

This will instantiate a residential building with base of 10 x 20 meters and height of 10 meters whose external

walls are of concrete with windows; the building has three floors and has an internal 3 x 2 grid of rooms of equal

size.

#### 3. Node creation and positioning:

```
ueNodes.Create(2);
```

```
mobility.Install(ueNodes);
```

```
BuildingsHelper::Install(ueNodes);
```

```
NetDeviceContainer ueDevs;
```

```
ueDevs = lteHelper->InstallUeDevice(ueNodes);
```

```
Ptr<ConstantPositionMobilityModel> mm0 = enbNodes.Get(0)->GetObject
```

```
,!<ConstantPositionMobilityModel>();
```

```
Ptr<ConstantPositionMobilityModel> mm1 = enbNodes.Get(1)->GetObject
```

```
,!<ConstantPositionMobilityModel>();
```

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```
mm0->SetPosition(Vector(5.0, 5.0, 1.5));
```

```
mm1->SetPosition(Vector(30.0, 40.0, 1.5));
```

#### 4. Finalize the building and mobility model configuration:

BuildingsHelper::MakeMobilityModelConsistent());

See the documentation of the buildings module for more detailed information.

The Physical error model consists of the data error model and the downlink control error model, both of them active

by default. It is possible to deactivate them with the ns3 attribute system, in detail:

```
Config::SetDefault("ns3::LteSpectrumPhy::CtrlErrorModelEnabled", BooleanValue(false));
```

```
Config::SetDefault("ns3::LteSpectrumPhy::DataErrorModelEnabled", BooleanValue(false));
```

In this subsection we illustrate how to configure the MIMO parameters. LTE defines 7 types of transmission modes:

- Transmission Mode 1: SISO.
- Transmission Mode 2: MIMO Tx Diversity.
- Transmission Mode 3: MIMO Spatial Multiplexity Open Loop.
- Transmission Mode 4: MIMO Spatial Multiplexity Closed Loop.
- Transmission Mode 5: MIMO Multi-User.
- Transmission Mode 6: Closer loop single layer precoding.
- Transmission Mode 7: Single antenna port 5.

According to model implemented, the simulator includes the first three transmission modes types. The default one

is the Transmission Mode 1 (SISO). In order to change the default Transmission Mode to be used, the attribute

DefaultTransmissionMode of theLteEnbRrc can be used, as shown in the following:

```
Config::SetDefault("ns3::LteEnbRrc::DefaultTransmissionMode", UIntegerValue(0)); //
```

```
Config::SetDefault("ns3::LteEnbRrc::DefaultTransmissionMode", UIntegerValue(1)); //
```

,!MIMO Tx diversity(1 layer)

```
Config::SetDefault("ns3::LteEnbRrc::DefaultTransmissionMode", UIntegerValue(2)); //
```

,!MIMO Spatial Multiplexity(2 layers)

For changing the transmission mode of a certain user during the simulation a specific interface has been implemented

in both standard schedulers:

```
void TransmissionModeConfigurationUpdate(uint16_t rnti, uint8_t txMode);
```

This method can be used both for developing transmission mode decision engine (i.e., for optimizing the transmission

mode according to channel condition and/or user's requirements) and for manual switching from simulation script. In

the latter case, the switching can be done as shown in the following:

```
Ptr<LteEnbNetDevice> lteEnbDev = enbDevs.Get(0)->GetObject<LteEnbNetDevice>();
```

```
PointerValue ptrval;
```

```
enbNetDev->GetAttribute("FfMacScheduler", ptrval);
```

```
Ptr<RrFfMacScheduler> rrsched = ptrval.Get<RrFfMacScheduler>();
```

```
Simulator::Schedule(Seconds(0.2), &
```

```
,!RrFfMacScheduler::TransmissionModeConfigurationUpdate, rrsched, rnti, 1);
```

Finally, the model implemented can be reconfigured according to different MIMO models by updating the gain values

(the only constraints is that the gain has to be constant during simulation run-time and common for the layers). The gain

of each Transmission Mode can be changed according to the standard ns3 attribute system, where the attributes are:

TxMode1Gain ,TxMode2Gain ,TxMode3Gain ,TxMode4Gain ,TxMode5Gain ,TxMode6Gain andTxMode7Gain .

By default only TxMode1Gain ,TxMode2Gain andTxMode3Gain have a meaningful value, that are the ones derived

by \_[CatreuxMIMO] (i.e., respectively 0.0, 4.2 and -2.8 dB).



We now show how associate a particular AntennaModel with an eNB device in order to model a sector of a macro

eNB. For this purpose, it is convenient to use the CosineAntennaModel provided by the ns-3 antenna module. The

configuration of the eNB is to be done via the LteHelper instance right before the creation of the EnbNetDevice ,

as shown in the following:

```
LteHelper->SetEnbAntennaModelType("ns3::CosineAntennaModel");
```

```
LteHelper->SetEnbAntennaModelAttribute("Orientation", DoubleValue(0));
```

```
LteHelper->SetEnbAntennaModelAttribute("Beamwidth", DoubleValue(60));
```

```
LteHelper->SetEnbAntennaModelAttribute("MaxGain", DoubleValue(0.0));
```

the above code will generate an antenna model with a 60 degrees beamwidth pointing along the X axis.

The orientation

is measured in degrees from the X axis, e.g., an orientation of 90 would point along the Y axis, and

an orientation

degree beamwidth the antenna gain at an angle of 30degrees from the direction of orientation is -3 dB.

To create a multi-sector site, you need to create different ns-3 nodes placed at the same position, and to configure

separateEnbNetDevice with different antenna orientations to be installed on each node.

By using the class RadioEnvironmentMapHelper it is possible to output to a file a Radio Environment Map (REM),

i.e., a uniform 2D grid of values that represent the Signal-to-noise ratio in the downlink with respect to the eNB that

has the strongest signal at each point. It is possible to specify if REM should be generated for data or control channel.

Also user can set the Rbld, for which REM will be generated. Default Rbld is -1, what means that REM will generated

with averaged Signal-to-noise ratio from all RBs.

To do this, you just need to add the following code to your simulation program towards the end, right before the call

to Simulator::Run():

```
Ptr<RadioEnvironmentMapHelper> remHelper = CreateObject<RadioEnvironmentMapHelper>();
```

```
remHelper->SetAttribute("Channel", PointerValue(LteHelper->
```

```
!GetDownlinkSpectrumChannel()));
```

```
remHelper->SetAttribute("OutputFile", StringValue("rem.out"));
```

```
remHelper->SetAttribute("XMin", DoubleValue(-400.0));
```

```
remHelper->SetAttribute("XMax", DoubleValue(400.0));
```

```
remHelper->SetAttribute("XRes", UIntegerValue(100));
```

```
remHelper->SetAttribute("YMin", DoubleValue(-300.0));
```

```
remHelper->SetAttribute("YMax", DoubleValue(300.0));
```

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```
remHelper->SetAttribute("YRes", UIntegerValue(75));
```

```
remHelper->SetAttribute("Z", DoubleValue(0.0));
```

```
remHelper->SetAttribute("UseDataChannel", BooleanValue(true));
```

```
remHelper->SetAttribute("Rbld", IntegerValue(10));
```

```
remHelper->Install();
```

By configuring the attributes of the RadioEnvironmentMapHelper object as shown above, you can tune the param-

eters of the REM to be generated. Note that each RadioEnvironmentMapHelper instance can generate

only one

REM; if you want to generate more REMs, you need to create one separate instance for each REM.

Note that the REM generation is very demanding, in particular:

- the run-time memory consumption is approximately 5KB per pixel. For example, a REM with a resolution of 500x500 would need about 1.25 GB of memory, and a resolution of 1000x1000 would need needs about 5 GB (too much for a regular PC at the time of this writing). To overcome this issue, the REM is generated

at successive steps, with each step evaluating at most a number of pixels determined by the value of the the

attribute `RadioEnvironmentMapHelper::MaxPointsPerIteration` .

- if you generate a REM at the beginning of a simulation, it will slow down the execution of the rest of the

simulation. If you want to generate a REM for a program and also use the same program to get simulation result,

it is recommended to add a command-line switch that allows to either generate the REM or run the complete

simulation. For this purpose, note that there is an attribute

`RadioEnvironmentMapHelper::StopWhenDone`

(default: true) that will force the simulation to stop right after the REM has been generated.

The REM is stored in an ASCII file in the following format:

- column 1 is the x coordinate
- column 2 is the y coordinate
- column 3 is the z coordinate
- column 4 is the SINR in linear units

A minimal gnuplot script that allows you to plot the REM is given below:

```
set view map;  
set xlabel "X"  
set ylabel "Y"  
set clabel "SINR (dB)"  
unset key  
plot "rem.out" using ($1):($2):(10 *log10($4)) with image
```

As an example, here is the REM that can be obtained with the example program `lena-dual-stripe`, which shows a three-

sector LTE macrocell in a co-channel deployment with some residential femtocells randomly deployed in two blocks

of apartments.

Note that the `lena-dual-stripe` example program also generate gnuplot-compatible output files containing information

about the positions of the UE and eNB nodes as well as of the buildings, respectively in the files `ues.txt` , `enbs.txt`

and `buildings.txt` . These can be easily included when using gnuplot. For example, assuming that your gnuplot

script (e.g., the minimal gnuplot script described above) is saved in a file named `my_plot_script` , running the

following command would plot the location of UEs, eNBs and buildings on top of the REM:

```
gnuplot -p enbs.txt ues.txt buildings.txt my_plot_script
```

-60 -40 -20 0 20 40 60 80 100y-coordinate (m)

x-coordinate (m)

SINR (dB)

The simulator provides two possible schemes for what concerns the selection of the MCSs and

correspondingly the generation of the CQIs. The first one is based on the GSoC module [Piro2011] and works per RB basis. This model

can be activated with the ns3 attribute system, as presented in the following:

```
Config::SetDefault("ns3::LteAmc::AmcModel", EnumValue(LteAmc::PiroEW2010));
```

While, the solution based on the physical error model can be controlled with:

```
Config::SetDefault("ns3::LteAmc::AmcModel", EnumValue(LteAmc::MiErrorModel));
```

Finally, the required efficiency of the PiroEW2010 AMC module can be tuned thanks to the Ber attribute(), for

instance:

```
Config::SetDefault("ns3::LteAmc::Ber", DoubleValue(0.00005));
```

We now explain how to write a simulation program that allows to simulate the EPC in addition to the LTE radio access

network. The use of EPC allows to use IPv4 and IPv6 networking with LTE devices. In other words, you will be able

to use the regular ns-3 applications and sockets over IPv4 and IPv6 over LTE, and also to connect an LTE network to

any other IPv4 and IPv6 network you might have in your simulation.

First of all, in addition to LteHelper that we already introduced in Basic simulation program , you need to use an

additional EpcHelper class, which will take care of creating the EPC entities and network topology.

Note that you

can't use EpcHelper directly, as it is an abstract base class; instead, you need to use one of its child classes, which

provide different EPC topology implementations. In this example we will consider

PointToPointEpcHelper , which

implements an EPC based on point-to-point links. To use it, you need first to insert this code in your simulation

program:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
```

```
Ptr<PointToPointEpcHelper> epcHelper = CreateObject<PointToPointEpcHelper>();
```

Then, you need to tell the LTE helper that the EPC will be used:

```
lteHelper->SetEpcHelper(epcHelper);
```

the above step is necessary so that the LTE helper will trigger the appropriate EPC configuration in correspondence

with some important configuration, such as when a new eNB or UE is added to the simulation, or an EPS bearer is

created. The EPC helper will automatically take care of the necessary setup, such as S1 link creation and S1 bearer

setup. All this will be done without the intervention of the user.

Calling lteHelper->SetEpcHelper(epcHelper) enables the use of EPC, and has the side effect that any new

LteEnbRrc that is created will have the EpsBearerToRlcMapping attribute set to RLC\_UM\_ALWAYS instead of

RLC\_SM\_ALWAYS if the latter was the default; otherwise, the attribute won't be changed (e.g., if you changed the

default to RLC\_AM\_ALWAYS , it won't be touched).

It is to be noted that the EpcHelper will also automatically create the PGW node and configure it so that it can

properly handle traffic from/to the LTE radio access network. Still, you need to add some explicit code to connect

the PGW to other IPv4/IPv6 networks (e.g., the internet, another EPC). Here is a very simple example about how to

connect a single remote host (IPv4 type) to the PGW via a point-to-point link:

```
Ptr<Node> pgw = epcHelper->GetPgwNode();
// Create a single RemoteHost
NodeContainer remoteHostContainer;
remoteHostContainer.Create(1);
Ptr<Node> remoteHost = remoteHostContainer.Get(0);
InternetStackHelper internet;
internet.Install(remoteHostContainer);
// Create the internet
PointToPointHelper p2ph;
p2ph.SetDeviceAttribute("DataRate", DataRateValue(DataRate("100Gb/s")));
p2ph.SetDeviceAttribute("Mtu", UIntegerValue(1500));
p2ph.SetChannelAttribute("Delay", TimeValue(Seconds(0.010)));
NetDeviceContainer internetDevices = p2ph.Install(pgw, remoteHost);
Ipv4AddressHelper ipv4h;
ipv4h.SetBase("1.0.0.0", "255.0.0.0");
Ipv4InterfaceContainer internetIplfacs = ipv4h.Assign(internetDevices);
// interface 0 is localhost, 1 is the p2p device
Ipv4Address remoteHostAddr = internetIplfacs.GetAddress(1);
Ipv4StaticRoutingHelper ipv4RoutingHelper;
Ptr<Ipv4StaticRouting> remoteHostStaticRouting;
remoteHostStaticRouting = ipv4RoutingHelper.GetStaticRouting(remoteHost->GetObject
, !<Ipv4>());
remoteHostStaticRouting->AddNetworkRouteTo(epcHelper->GetEpcIpv4NetworkAddress(),
Ipv4Mask("255.255.0.0"), 1);
```

Now, you should go on and create LTE eNBs and UEs as explained in the previous sections. You can of course

configure other LTE aspects such as pathloss and fading models. Right after you created the UEs, you should also

configure them for IP networking. This is done as follows. We assume you have a container for UE and eNodeB nodes

like this:

```
NodeContainer ueNodes;
NodeContainer enbNodes;
to configure an LTE-only simulation, you would then normally do something like this:
NetDeviceContainer ueLteDevs = lteHelper->InstallUeDevice(ueNodes);
lteHelper->Attach(ueLteDevs, enbLteDevs.Get(0));
in order to configure the UEs for IP networking, you just need to additionally do like this:
// we install the IP stack on the UEs
InternetStackHelper internet;
internet.Install(ueNodes);
// assign IP address to UEs
for (uint32_t u = 0; u < ueNodes.GetN(); ++u)
{
Ptr<Node> ue = ueNodes.Get(u);
Ptr<NetDevice> ueLteDevice = ueLteDevs.Get(u);
Ipv4InterfaceContainer uelplface;
uelplface = epcHelper->AssignUeIpv4Address(NetDeviceContainer(ueLteDevice));
// set the default gateway for the UE
```

```

Ptr<Ipv4StaticRouting> ueStaticRouting;
ueStaticRouting = ipv4RoutingHelper.GetStaticRouting(ue->GetObject<Ipv4>());
ueStaticRouting->SetDefaultRoute(epcHelper->GetUeDefaultGatewayAddress(), 1);
}

```

The activation of bearers is done in a slightly different way with respect to what done for an LTE-only simulation.

First, the method `ActivateDataRadioBearer` is not to be used when the EPC is used. Second, when EPC is used,

the default EPS bearer will be activated automatically when you call `LteHelper::Attach()`. Third, if you want

to setup dedicated EPS bearer, you can do so using the method

`LteHelper::ActivateDedicatedEpsBearer()`.

This method takes as a parameter the Traffic Flow Template(TFT), which is a struct that identifies the type of traffic

that will be mapped to the dedicated EPS bearer. Here is an example for how to setup a dedicated bearer for an

application at the UE communicating on port 1234:

```

Ptr<EpcTft> tft = Create<EpcTft>();
EpcTft::PacketFilter pf;
pf.localPortStart = 1234;
pf.localPortEnd = 1234;
tft->Add(pf);
lteHelper->ActivateDedicatedEpsBearer(ueLteDevs,
EpsBearer(EpsBearer::NGBR_VIDEO_TCP_DEFAULT),
tft);

```

you can of course use custom `EpsBearer` and `EpcTft` configurations, please refer to the doxygen documentation for

how to do it.

Finally, you can install applications on the LTE UE nodes that communicate with remote applications over the internet.

This is done following the usual ns-3 procedures. Following our simple example with a single `remoteHost`, here is

how to setup downlink communication, with an `UdpClient` application on the remote host, and a `PacketSink` on the

LTE UE (using the same variable names of the previous code snippets)

```

uint16_t dlPort = 1234;
PacketSinkHelper packetSinkHelper("ns3::UdpSocketFactory",
InetSocketAddress(Ipv4Address::GetAny(), dlPort));
ApplicationContainer serverApps = packetSinkHelper.Install(ue);
serverApps.Start(Seconds(0.01));

```

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```

UdpClientHelper client(ueIpIface.GetAddress(0), dlPort);
ApplicationContainer clientApps = client.Install(remoteHost);
clientApps.Start(Seconds(0.01));

```

That's all! You can now start your simulation as usual:

```

Simulator::Stop(Seconds(10.0));

```

```

Simulator::Run();

```

In the previous section we used PointToPoint links for the connection between the eNBs and the SGW (S1-U interface)

and among eNBs (X2-U and X2-C interfaces). The LTE module supports using emulated links instead of

PointToPoint

links. This is achieved by just replacing the creation of LteHelper and EpcHelper with the following code:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();  
Ptr<EmuEpcHelper> epcHelper = CreateObject<EmuEpcHelper>();  
lteHelper->SetEpcHelper(epcHelper);  
epcHelper->Initialize();
```

The attributes ns3::EmuEpcHelper::sgwDeviceName and ns3::EmuEpcHelper::enbDeviceName are used to set the name of the devices used for transporting the S1-U, X2-U and X2-C interfaces at the SGW and

eNB, respectively. We will now show how this is done in an example where we execute the example program

lena-simple-epc-emu using two virtual ethernet interfaces.

First of all we build ns-3 appropriately:

```
# configure  
./ns3 configure --enable-sudo --enable-modules=lte,fd-net-device --enable-examples  
# build  
./ns3
```

Then we setup two virtual ethernet interfaces, and start wireshark to look at the traffic going through:

```
# note: you need to be root  
# create two paired veth devices  
ip link add name veth0 type veth peer name veth1  
ip link show  
# enable promiscuous mode  
ip link set veth0 promisc on  
ip link set veth1 promisc on  
# bring interfaces up  
ip link set veth0 up  
ip link set veth1 up  
# start wireshark and capture on veth0  
wireshark &
```

We can now run the example program with the simulated clock:

```
./ns3 run lena-simple-epc-emu --command="%s --ns3::EmuEpcHelper::sgwDeviceName=veth0  
--ns3::EmuEpcHelper::enbDeviceName=veth1"
```

Using wireshark, you should see ARP resolution first, then some GTP packets exchanged both in uplink and downlink.

The default setting of the example program is 1 eNB and 1 UE. You can change this via command line parameters,

e.g.:

```
./ns3 run lena-simple-epc-emu --command="%s --ns3::EmuEpcHelper::sgwDeviceName=veth0  
--ns3::EmuEpcHelper::enbDeviceName=veth1 --nEnbs=2 --nUesPerEnb=2"
```

To get a list of the available parameters:

```
./ns3 run lena-simple-epc-emu --command="%s --PrintHelp"
```

To run with the realtime clock: it turns out that the default debug build is too slow for realtime.

Softening the real time

constraints with the BestEffort mode is not a good idea: something can go wrong (e.g., ARP can fail) and, if so, you

won't get any data packets out. So you need a decent hardware and the optimized build with statically linked modules:

```
./ns3 configure -d optimized --enable-static --enable-modules=lte --enable-examples
```

--enable-sudo

Then run the example program like this:

```
./ns3 run lena-simple-epc-emu --command="%s --ns3::EmuEpcHelper::sgwDeviceName=veth0  
--ns3::EmuEpcHelper::enbDeviceName=veth1  
--SimulatorImplementationType=ns3::RealtimeSimulatorImpl  
--ns3::RealtimeSimulatorImpl::SynchronizationMode=HardLimit"
```

note the HardLimit setting, which will cause the program to terminate if it cannot keep up with real time.

The approach described in this section can be used with any type of net device. For instance, [Baldo2014] describes

how it was used to run an emulated LTE-EPC network over a real multi-layer packet-optical transport network.

In the previous sections, Evolved Packet Core (EPC) , we explained how to write a simulation program using EPC

with a predefined backhaul network between the RAN and the EPC. We used the PointToPointEpcHelper . This

EpcHelper creates point-to-point links between the eNBs and the SGW.

We now explain how to write a simulation program that allows the simulator user to create any kind of backhaul

network in the simulation program.

First of all, in addition to LteHelper , you need to use the NoBackhaulEpcHelper class, which implements an EPC

but without connecting the eNBs with the core network. It just creates the network elements of the core network:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();  
Ptr<NoBackhaulEpcHelper> epcHelper = CreateObject<NoBackhaulEpcHelper>();
```

Then, as usual, you need to tell the LTE helper that the EPC will be used:

```
lteHelper->SetEpcHelper(epcHelper);
```

Now, you should create the backhaul network. Here we create point-to-point links as it is done by the

PointToPointEpcHelper . We assume you have a container for eNB nodes like this:

```
NodeContainer enbNodes;
```

We get the SGW node:

```
Ptr<Node> sgw = epcHelper->GetSgwNode();
```

And we connect every eNB from the container with the SGW with a point-to-point link. We also assign IPv4 addresses

to the interfaces of eNB and SGW with s1ulpv4AddressHelper.Assign(sgwEnbDevices) and finally we tell the EpcHelper that this enb has a new S1 interface with epcHelper->AddS1Interface(enb, enbS1uAddress,

sgwS1uAddress) , where enbS1uAddress and sgwS1uAddress are the IPv4 addresses of the eNB and the SGW, respectively:

```
Ipv4AddressHelper s1ulpv4AddressHelper;
```

```
// Create networks of the S1 interfaces
```

```
s1ulpv4AddressHelper.SetBase("10.0.0.0", "255.255.255.252");
```

```
for (uint16_t i = 0; i < enbNodes.GetN(); ++i)
```

```
{
```

```
Ptr<Node> enb = enbNodes.Get(i);
```

```
// Create a point to point link between the eNB and the SGW with
```

```
// the corresponding new NetDevices on each side
```

```
PointToPointHelper p2ph;
```

```
DataRate s1uLinkDataRate = DataRate("10Gb/s");
```

```

uint16_t s1uLinkMtu = 2000;
Time s1uLinkDelay = Time(0);
p2ph.SetDeviceAttribute("DataRate", DataRateValue(s1uLinkDataRate));
p2ph.SetDeviceAttribute("Mtu", UIntegerValue(s1uLinkMtu));
p2ph.SetChannelAttribute("Delay", TimeValue(s1uLinkDelay));
NetDeviceContainer sgwEnbDevices = p2ph.Install(sgw, enb);
Ipv4InterfaceContainer sgwEnblplfaces = s1ulpv4AddressHelper.
,Assign(sgwEnbDevices);
s1ulpv4AddressHelper.NewNetwork();
Ipv4Address sgwS1uAddress = sgwEnblplfaces.GetAddress(0);
Ipv4Address enbS1uAddress = sgwEnblplfaces.GetAddress(1);
// Create S1 interface between the SGW and the eNB
epcHelper->AddS1Interface(enb, enbS1uAddress, sgwS1uAddress);
}

```

This is just an example how to create a custom backhaul network. In this other example, we connect all eNBs and the

SGW to the same CSMA network:

```

// Create networks of the S1 interfaces
s1ulpv4AddressHelper.SetBase("10.0.0.0", "255.255.255.0");
NodeContainer sgwEnbNodes;
sgwEnbNodes.Add(sgw);
sgwEnbNodes.Add(enbNodes);
CsmaHelper csmah;
NetDeviceContainer sgwEnbDevices = csmah.Install(sgwEnbNodes);
Ptr<NetDevice> sgwDev = sgwEnbDevices.Get(0);
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Ipv4InterfaceContainer sgwEnblplfaces = s1ulpv4AddressHelper.Assign(sgwEnbDevices);
Ipv4Address sgwS1uAddress = sgwEnblplfaces.GetAddress(0);
for (uint16_t i = 0; i < enbNodes.GetN(); ++i)
{
Ptr<Node> enb = enbNodes.Get(i);
Ipv4Address enbS1uAddress = sgwEnblplfaces.GetAddress(i + 1);
// Create S1 interface between the SGW and the eNB
epcHelper->AddS1Interface(enb, enbS1uAddress, sgwS1uAddress);
}

```

As you can see, apart from how you create the backhaul network, i.e. the point-to-point links or the CSMA network,

the important point is to tell the EpcHelper that aneNBhas a new S1 interface.

Now, you should continue configuring your simulation program as it is explained in Evolved Packet Core (EPC)

subsection. This configuration includes: the internet, installing the LTE eNBs and possibly configuring other LTE

aspects, installing the LTE UEs and configuring them as IP nodes, activation of the dedicated EPS bearers and installing

applications on the LTE UEs and on the remote hosts.

As shown in the basic example in section Basic simulation program , attaching a UE to an eNodeB is done by calling

LteHelper::Attach function.

There are 2 possible ways of network attachment. The first method is the “manual” one, while the second one has a



more “automatic” sense on it. Each of them will be covered in this section.

#### Manual attachment

This method uses the `LteHelper::Attach` function mentioned above. It has been the only available network attach-

ment method in earlier versions of LTE module. It is typically invoked before the simulation begins:

```
LteHelper->Attach(ueDevs, enbDev); // attach one or more UEs to a single eNodeB
```

`LteHelper::InstallEnbDevice` and `LteHelper::InstallUeDevice` functions must have been called before attaching. In an EPC-enabled simulation, it is also required to have IPv4/IPv6 properly pre-installed in the UE.

This method is very simple, but requires you to know exactly which UE belongs to to which eNodeB before the

simulation begins. This can be difficult when the UE initial position is randomly determined by the simulation script.

quite simple (at least from the simulator’s point of view) and sometimes practical. But it is

important to note that

sometimes distance does not make a single correct criterion. For instance, the eNodeB antenna directivity should be

considered as well. Besides that, one should also take into account the channel condition, which might be fluctuating

if there is fading or shadowing in effect. In these kind of cases, network attachment should not be based on distance

alone.

In real life, UE will automatically evaluate certain criteria and select the best cell to attach to, without manual in-

tervention from the user. Obviously this is not the case in this `LteHelper::Attach` function. The other network

attachment method uses more “automatic” approach to network attachment, as will be described next.

#### Automatic attachment using Idle mode cell selection procedure

The strength of the received signal is the standard criterion used for selecting the best cell to attach to. The use of this

criterion is implemented in the initial cell selection process, which can be invoked by calling another version of the

`LteHelper::Attach` function, as shown below:

```
LteHelper->Attach(ueDevs); // attach one or more UEs to a strongest cell
```

The difference with the manual method is that the destination eNodeB is not specified. The procedure will find the

best cell for the UEs, based on several criteria, including the strength of the received signal (RSRP).

After the method is called, the UE will spend some time to measure the neighbouring cells, and then attempt to attach

to the best one. More details can be found in section Initial Cell Selection of the Design Documentation.

It is important to note that this method only works in EPC-enabled simulations. LTE-only simulations must resort to

manual attachment method.

#### Closed Subscriber Group

An interesting use case of the initial cell selection process is to setup a simulation environment with Closed Subscriber

Group (CSG).

For example, a certain eNodeB, typically a smaller version such as femtocell, might belong to a private owner (e.g. a

household or business), allowing access only to some UEs which have been previously registered by the owner. The eNodeB and the registered UEs altogether form a CSG. The access restriction can be simulated by “labeling” the CSG members with the same CSG ID. This is done through

the attributes in both eNodeB and UE, for example using the following LteHelper functions:

```
// label the following eNodeBs with CSG identity of 1 and CSG indication enabled
```

```
LteHelper->SetEnbDeviceAttribute("CsgId", UIntegerValue(1));
```

```
LteHelper->SetEnbDeviceAttribute("CsgIndication", BooleanValue(true));
```

```
LteHelper->SetUeDeviceAttribute("CsgId", UIntegerValue(1));
```

```
// install the eNodeBs and UEs
```

```
NetDeviceContainer csgEnbDevs = LteHelper->InstallEnbDevice(csgEnbNodes);
```

```
NetDeviceContainer csgUeDevs = LteHelper->InstallUeDevice(csgUeNodes);
```

Then enable the initial cell selection procedure on the UEs:

```
LteHelper->Attach(csgUeDevs);
```

This is necessary because the CSG restriction only works with automatic method of network attachment, but not in the manual method.

Note that setting the CSG indication of an eNodeB as false (the default value) will disable the restriction, i.e., any UEs can connect to this eNodeB.

The active UE measurement configuration in a simulation is dictated by the selected so called “consumers”, such as

handover algorithm. Users may add their own configuration into action, and there are several ways to do so:

1. direct configuration in eNodeB RRC entity;
2. configuring existing handover algorithm; and
3. developing a new handover algorithm.

This section will cover the first method only. The second method is covered in Automatic handover trigger , while the

third method is explained in length in Section Handover algorithm of the Design Documentation.

Direct configuration in eNodeB RRC works as follows. User begins by creating a new LteRrcSap::ReportConfigEutra instance and pass it to the LteEnbRrc::AddUeMeasReportConfig function. The function will return the measId (measurement identity) which is a unique reference of the con-

figuration in the eNodeB instance. This function must be called before the simulation begins. The measurement

configuration will be active in all UEs attached to the eNodeB throughout the duration of the simulation. Dur-

ing the simulation, user can capture the measurement reports produced by the UEs by listening to the existing

LteEnbRrc::RecvMeasurementReport trace source.

The structure ReportConfigEutra is in accord with 3GPP specification. Definition of the structure and each member

field can be found in Section 6.3.5 of [TS36331].

The code sample below configures Event A1 RSRP measurement to every eNodeB within the container devs :

```
LteRrcSap::ReportConfigEutra config;
```

```
config.eventId = LteRrcSap::ReportConfigEutra::EVENT_A1;
```

```
config.threshold1.choice = LteRrcSap::ThresholdEutra::THRESHOLD_RSRP;
```

```
config.threshold1.range = 41;
```

```

config.triggerQuantity = LteRrcSap::ReportConfigEutra::RSRP;
config.reportInterval = LteRrcSap::ReportConfigEutra::MS480;
std::vector<uint8_t> measIdList;
NetDeviceContainer::Iterator it;
for (it = devs.Begin(); it != devs.End(); it++)
{
Ptr<NetDevice> dev = *it;
Ptr<LteEnbNetDevice> enbDev = dev->GetObject<LteEnbNetDevice>();
Ptr<LteEnbRrc> enbRrc = enbDev->GetRrc();
uint8_t measId = enbRrc->AddUeMeasReportConfig(config);
measIdList.push_back(measId); // remember the measId created
enbRrc->TraceConnect("RecvMeasurementReport",
"context",
MakeCallback(&RecvMeasurementReportCallback));
}

```

Note that thresholds are expressed as range. In the example above, the range 41 for RSRP corresponds to -

100 dBm. The conversion from and to the range format is due to Section 9.1.4 and 9.1.7 of [TS36133].

The

EutranMeasurementMapping class has several static functions that can be used for this purpose.

The corresponding callback function would have a definition similar as below:

void

```

RecvMeasurementReportCallback(std::string context,
uint64_t imsi,
uint16_t cellId,
uint16_t rnti,
LteRrcSap::MeasurementReport measReport);

```

This method will register the callback function as a consumer of UE measurements. In the case where there are

more than one consumers in the simulation (e.g. handover algorithm), the measurements intended for other con-

sumers will also be captured by this callback function. Users may utilize the the measId field, contained within the

LteRrcSap::MeasurementReport argument of the callback function, to tell which measurement configuration has triggered the report.

In general, this mechanism prevents one consumer to unknowingly intervene with another consumer's reporting configuration.

Note that only the reporting configuration part (i.e. LteRrcSap::ReportConfigEutra ) of the UE measurements

parameter is open for consumers to configure, while the other parts are kept hidden. The intra-frequency limitation is

the main motivation behind this API implementation decision:

- there is only one, unambiguous and definitive measurement object , thus there is no need to configure it;
- measurement identities are kept hidden because of the fact that there is one-to-one mapping between reporting configuration and measurement identity, thus a new measurement identity is set up automatically when a new reporting configuration is created;

- quantity configuration is configured elsewhere, see Performing measurements ; and
- measurement gaps are not supported, because it is only applicable for inter-frequency settings;

As defined by 3GPP, handover is a procedure for changing the serving cell of a UE in CONNECTED mode. The two

eNodeBs involved in the process are typically called the source eNodeB and the target eNodeB . In order to enable the execution of X2-based handover in simulation, there are two requirements that must be met.

Firstly, EPC must be enabled in the simulation (see Evolved Packet Core (EPC) ).

Secondly, an X2 interface must be configured between the two eNodeBs, which needs to be done explicitly within the simulation program:

```
LteHelper->AddX2Interface(enbNodes);
```

where enbNodes is a NodeContainer that contains the two eNodeBs between which the X2 interface is to be

configured. If the container has more than two eNodeBs, the function will create an X2 interface between every pair of eNodeBs in the container.

Lastly, the target eNodeB must be configured as “open” to X2 HANDOVER REQUEST. Every eNodeB is open by default, so no extra instruction is needed in most cases. However, users may set the eNodeB to “closed” by

setting the boolean attribute `LteEnbRrc::AdmitHandoverRequest` to false . As an example, you can run the

lena-x2-handover program and setting the attribute in this way:

```
NS_LOG=EpcX2:LteEnbRrc ./ns3 run lena-x2-handover --command="%s --  
,!ns3::LteEnbRrc::AdmitHandoverRequest=false"
```

After the above three requirements are fulfilled, the handover procedure can be triggered manually or automatically.

Each will be presented in the following subsections.

#### Manual handover trigger

Handover event can be triggered “manually” within the simulation program by scheduling an explicit handover event.

The `LteHelper` object provides a convenient method for the scheduling of a handover event. As an example, let

us assume that `ueLteDevs` is a `NetDeviceContainer` that contains the UE that is to be handed over, and that

`enbLteDevs` is another `NetDeviceContainer` that contains the source and the target eNB. Then, a handover at 0.1s

can be scheduled like this:

```
LteHelper->HandoverRequest(Seconds(0.100),  
ueLteDevs.Get(0),  
enbLteDevs.Get(0),  
enbLteDevs.Get(1));
```

Note that the UE needs to be already connected to the source eNB, otherwise the simulation will terminate with an error message.

For an example with full source code, please refer to the lena-x2-handover example program.

#### Automatic handover trigger

Handover procedure can also be triggered “automatically” by the serving eNodeB of the UE. The logic behind the

trigger depends on the handover algorithm currently active in the eNodeB RRC entity. Users may select and configure

the handover algorithm that will be used in the simulation, which will be explained shortly in this section. Users may also opt to write their own implementation of handover algorithm, as described in Section Handover algorithm of the Design Documentation.

Selecting a handover algorithm is done via the `LteHelper` object and its `SetHandoverAlgorithmType` method as shown below:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
lteHelper->SetHandoverAlgorithmType("ns3::A2A4RsrqHandoverAlgorithm");
```

The selected handover algorithm may also provide several configurable attributes, which can be set as follows:

```
lteHelper->SetHandoverAlgorithmAttribute("ServingCellThreshold",
    UIntegerValue(30));
lteHelper->SetHandoverAlgorithmAttribute("NeighbourCellOffset",
    UIntegerValue(1));
```

`ns3::A2A4RsrqHandoverAlgorithm` is the default option, and the usage has already been shown above.

Another option is the strongest cell handover algorithm (named as `ns3::A3RsrpHandoverAlgorithm`), which can

be selected and configured by the following code:

```
lteHelper->SetHandoverAlgorithmType("ns3::A3RsrpHandoverAlgorithm");
lteHelper->SetHandoverAlgorithmAttribute("Hysteresis",
    DoubleValue(3.0));
lteHelper->SetHandoverAlgorithmAttribute("TimeToTrigger",
    TimeValue(MilliSeconds(256)));
```

The last option is a special one, called the no-op handover algorithm, which basically disables automatic handover

trigger. This is useful for example in cases where manual handover trigger need an exclusive control of all handover

decision. It does not have any configurable attributes. The usage is as follows:

```
lteHelper->SetHandoverAlgorithmType("ns3::NoOpHandoverAlgorithm");
```

For more information on each handover algorithm's decision policy and their attributes, please refer to their respective

subsections in Section Handover algorithm of the Design Documentation.

Finally, the `InstallEnbDevice` function of `LteHelper` will instantiate one instance of the selected handover algo-

rithm for each eNodeB device. In other words, make sure to select the right handover algorithm before finalizing it in

the following line of code:

```
NetDeviceContainer enbLteDevs = lteHelper->InstallEnbDevice(enbNodes);
```

Example with full source code of using automatic handover trigger can be found in the `lena-x2-handover-measures` example program.

### Tuning simulation with handover

As mentioned in the Design Documentation, the current implementation of handover model may produce unpredicted

behaviour when handover failure occurs. This subsection will focus on the steps that should be taken into account by

users if they plan to use handover in their simulations.

The major cause of handover failure that we will tackle is the error in transmitting handover-related signaling mes-

sages during the execution of a handover procedure. As apparent from the Figure Sequence diagram of the X2-based

handover from the Design Documentation, there are many of them and they use different interfaces and protocols.

For the sake of simplicity, we can safely assume that the X2 interface (between the source eNodeB and the target

eNodeB) and the S1 interface (between the target eNodeB and the SGW/PGW) are quite stable. Therefore we will

focus our attention to the RRC protocol (between the UE and the eNodeBs) and the Random Access procedure, which

are normally transmitted through the air and susceptible to degradation of channel condition.

A general tips to reduce transmission error is to ensure high enough SINR level in every UE. This can be done by a

proper planning of the network topology that minimizes network coverage hole . If the topology has a known coverage

hole, then the UE should be configured not to venture to that area.

Another approach to keep in mind is to avoid too-late handovers . In other words, handover should happen before the

UE's SINR becomes too low, otherwise the UE may fail to receive the handover command from the source eNodeB.

Handover algorithms have the means to control how early or late a handover decision is made. For example, A2-A4-

RSRQ handover algorithm can be configured with a higher threshold to make it decide a handover earlier. Similarly,

smaller hysteresis and/or shorter time-to-trigger in the strongest cell handover algorithm typically results in earlier

handovers. In order to find the right values for these parameters, one of the factors that should be considered is the UE

movement speed. Generally, a faster moving UE requires the handover to be executed earlier. Some research work

have suggested recommended values, such as in [Lee2010].

The above tips should be enough in normal simulation uses, but in the case some special needs arise then an extreme

measure can be taken into consideration. For instance, users may consider disabling the channel error models . This

will ensure that all handover-related signaling messages will be transmitted successfully,

regardless of distance and

channel condition. However, it will also affect all other data or control packets not related to handover, which may be

an unwanted side effect. Otherwise, it can be done as follows:

```
Config::SetDefault("ns3::LteSpectrumPhy::CtrlErrorModelEnabled", BooleanValue(false));
```

```
Config::SetDefault("ns3::LteSpectrumPhy::DataErrorModelEnabled", BooleanValue(false));
```

By using the above code, we disable the error model in both control and data channels and in both directions (downlink

and uplink). This is necessary because handover-related signaling messages are transmitted using these channels. An

exception is when the simulation uses the ideal RRC protocol. In this case, only the Random Access procedure is left

to be considered. The procedure consists of control messages, therefore we only need to disable the control channel's

error model.

## Handover traces

The RRC model, in particular the `LteEnbRrc` and `LteUeRrc` objects, provide some useful traces which can be hooked

up to some custom functions so that they are called upon start and end of the handover execution phase at both the UE

and eNB side. As an example, in your simulation program you can declare the following methods:

```
void
NotifyHandoverStartUe(std::string context,
uint64_t imsi,
uint16_t cellId,
uint16_t rnti,
uint16_t targetCellId)
{
std::cout << Simulator::Now().GetSeconds() << " " << context
<< " UE IMSI " << imsi
<< ": previously connected to CellId " << cellId
<< " with RNTI " << rnti
<< ", doing handover to CellId " << targetCellId
<< std::endl;
}

void
NotifyHandoverEndOkUe(std::string context,
uint64_t imsi,
uint16_t cellId,
uint16_t rnti)
{
std::cout << Simulator::Now().GetSeconds() << " " << context
<< " UE IMSI " << imsi
<< ": successful handover to CellId " << cellId
<< " with RNTI " << rnti
<< std::endl;
}

void
NotifyHandoverStartEnb(std::string context,
uint64_t imsi,
uint16_t cellId,
uint16_t rnti,
uint16_t targetCellId)
{
std::cout << Simulator::Now().GetSeconds() << " " << context
<< " eNB CellId " << cellId
<< ": start handover of UE with IMSI " << imsi
<< " RNTI " << rnti
<< " to CellId " << targetCellId
<< std::endl;
}

void
NotifyHandoverEndOkEnb(std::string context,
uint64_t imsi,
uint16_t cellId,
uint16_t rnti)
```

```

{
std::cout << Simulator::Now().GetSeconds() << " " << context
<< " eNB CellId " << cellId
<< ": completed handover of UE with IMSI " << imsi
<< " RNTI " << rnti
<< std::endl;
}

```

Then, you can hook up these methods to the corresponding trace sources like this:

```

Config::Connect("/NodeList/ */DeviceList/ */LteEnbRrc/HandoverStart",
MakeCallback(&NotifyHandoverStartEnb));
Config::Connect("/NodeList/ */DeviceList/ */LteUeRrc/HandoverStart",
MakeCallback(&NotifyHandoverStartUe));
Config::Connect("/NodeList/ */DeviceList/ */LteEnbRrc/HandoverEndOk",
MakeCallback(&NotifyHandoverEndOkEnb));
Config::Connect("/NodeList/ */DeviceList/ */LteUeRrc/HandoverEndOk",
MakeCallback(&NotifyHandoverEndOkUe));

```

Handover failure events can also be traced by trace sink functions with a similar signature as above (including IMSI,

cell ID, and RNTI). Four different failure events are traced:

1. HandoverFailureNoPreamble: Handover failure due to non allocation of non-contention-based preamble at eNB
2. HandoverFailureMaxRach: Handover failure due to maximum RACH attempts
3. HandoverFailureLeaving: Handover leaving timeout at source eNB
4. HandoverFailureJoining: Handover joining timeout at target eNB

Similarly, one can hook up methods to the corresponding trace sources like this:

```

Config::Connect("/NodeList/ */DeviceList/ */LteEnbRrc/HandoverFailureNoPreamble",
MakeCallback(&NotifyHandoverFailureNoPreamble));
Config::Connect("/NodeList/ */DeviceList/ */LteEnbRrc/HandoverFailureMaxRach",
MakeCallback(&NotifyHandoverFailureMaxRach));
Config::Connect("/NodeList/ */DeviceList/ */LteEnbRrc/HandoverFailureLeaving",
MakeCallback(&NotifyHandoverFailureLeaving));
Config::Connect("/NodeList/ */DeviceList/ */LteEnbRrc/HandoverFailureJoining",
MakeCallback(&NotifyHandoverFailureJoining));

```

The example program `src/lte/examples/lena-x2-handover.cc` illustrates how the above instructions can be

integrated in a simulation program. You can run the program like this:

```
./ns3 run lena-x2-handover
```

and it will output the messages printed by the custom handover trace hooks. In order to additionally print out some

meaningful logging information, you can run the program like this:

```
NS_LOG=LteEnbRrc:LteUeRrc:EpcX2 ./ns3 run lena-x2-handover
```

In this section we will describe how to use Frequency Reuse Algorithms in eNB within LTE simulations. There are two

possible ways of configuration. The first approach is the “manual” one, it requires more parameters to be configured,

but allow user to configure FR algorithm as he/she needs. The second approach is more “automatic”. It is very

convenient, because is the same for each FR algorithm, so user can switch FR algorithm very quickly by changing

only type of FR algorithm. One drawback is that “automatic” approach uses only limited set of configurations for each



algorithm, what make it less flexible, but is sufficient for most of cases.

These two approaches will be described more in following sub-section.

If user do not configure Frequency Reuse algorithm, default one (i.e. `LteFrNoOpAlgorithm`) is installed in eNb. It acts

as if FR algorithm was disabled.

equal than 15 RBs. This limitation is caused by requirement that at least three continuous RBs have to be assigned to

UE for transmission.

Manual configuration

Frequency reuse algorithm can be configured “manually” within the simulation program by setting type of FR algo-

ri thm and all its attributes. Currently, seven FR algorithms are implemented:

- `ns3::LteFrNoOpAlgorithm`
- `ns3::LteFrHardAlgorithm`
- `ns3::LteFrStrictAlgorithm`
- `ns3::LteFrSoftAlgorithm`
- `ns3::LteFfrSoftAlgorithm`
- `ns3::LteFfrEnhancedAlgorithm`
- `ns3::LteFfrDistributedAlgorithm`

Selecting a FR algorithm is done via the `LteHelper` object and its `SetFfrAlgorithmType` method as shown below:

```
Ptr<LteHelper> lteHelper = CreateObject<LteHelper>();
```

```
lteHelper->SetFfrAlgorithmType("ns3::LteFrHardAlgorithm");
```

Each implemented FR algorithm provide several configurable attributes. Users do not have to care about UL and DL

bandwidth configuration, because it is done automatically during cell configuration. To change bandwidth for FR

algorithm, configure required values for `LteEnbNetDevice` :

```
uint8_t bandwidth = 100;
```

```
lteHelper->SetEnbDeviceAttribute("DlBandwidth", IntegerValue(bandwidth));
```

```
lteHelper->SetEnbDeviceAttribute("UlBandwidth", IntegerValue(bandwidth));
```

Now, each FR algorithms configuration will be described.

Hard Frequency Reuse Algorithm

As described in Section Hard Frequency Reuse of the Design Documentation `ns3::LteFrHardAlgorithm` uses one

sub-band. To configure this sub-band user need to specify offset and bandwidth for DL and UL in number of RBs.

Hard Frequency Reuse Algorithm provides following attributes:

- `DISubBandOffset` : Downlink Offset in number of Resource Block Groups
- `DISubBandwidth` : Downlink Transmission SubBandwidth Configuration in number of Resource Block Groups
- `UISubBandOffset` : Uplink Offset in number of Resource Block Groups
- `UISubBandwidth` : Uplink Transmission SubBandwidth Configuration in number of Resource Block Groups

Example configuration of `LteFrHardAlgorithm` can be done in following way:

```
lteHelper->SetFfrAlgorithmType("ns3::LteFrHardAlgorithm");
```

```
lteHelper->SetFfrAlgorithmAttribute("DISubBandOffset", IntegerValue(8));
```

```
lteHelper->SetFfrAlgorithmAttribute("DISubBandwidth", IntegerValue(8));
```

```
lteHelper->SetFfrAlgorithmAttribute("UISubBandOffset", IntegerValue(8));
```

```
lteHelper->SetFfrAlgorithmAttribute("UISubBandwidth", IntegerValue(8));
```

```
NetDeviceContainer enbDevs = lteHelper->InstallEnbDevice(enbNodes.Get(0));
```

Above example allow eNB to use only RBs from 8 to 16 in DL and UL, while entire cell bandwidth is

25.

### Strict Frequency Reuse Algorithm

Strict Frequency Reuse Algorithm uses two sub-bands: one common for each cell and one private. There is also RSRQ

threshold, which is needed to decide within which sub-band UE should be served. Moreover the power transmission

in these sub-bands can be different.

Strict Frequency Reuse Algorithm provides following attributes:

- UICommonSubBandwidth : Uplink Common SubBandwidth Configuration in number of Resource Block Groups
- UIEdgeSubBandOffset : Uplink Edge SubBand Offset in number of Resource Block Groups
- UIEdgeSubBandwidth : Uplink Edge SubBandwidth Configuration in number of Resource Block Groups
- DCommonSubBandwidth : Downlink Common SubBandwidth Configuration in number of Resource Block Groups
- DIEdgeSubBandOffset : Downlink Edge SubBand Offset in number of Resource Block Groups
- DIEdgeSubBandwidth : Downlink Edge SubBandwidth Configuration in number of Resource Block Groups
- RsrqThreshold : If the RSRQ of is worse than this threshold, UE should be served in edge sub-band
- CenterPowerOffset : PdschConfigDedicated::Pa value for center sub-band, default value dB0
- EdgePowerOffset : PdschConfigDedicated::Pa value for edge sub-band, default value dB0
- CenterAreaTpc : TPC value which will be set in DL-DCI for UEs in center area, Absolute mode is used,

default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

- EdgeAreaTpc : TPC value which will be set in DL-DCI for UEs in edge area, Absolute mode is used, default

value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

Example below allow eNB to use RBs from 0 to 6 as common sub-band and from 12 to 18 as private sub-band in DL

and UL, RSRQ threshold is 20 dB, power in center area equals  $\text{LteEnbPhy::TxPower} - 3\text{dB}$  , power in edge area

equals  $\text{LteEnbPhy::TxPower} + 3\text{dB}$  :

```
IteHelper->SetFfrAlgorithmType("ns3::LteFrStrictAlgorithm");
IteHelper->SetFfrAlgorithmAttribute("DCommonSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("UICommonSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("DIEdgeSubBandOffset", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("DIEdgeSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("UIEdgeSubBandOffset", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("UIEdgeSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("RsrqThreshold", UIntegerValue(20));
IteHelper->SetFfrAlgorithmAttribute("CenterPowerOffset",
UIntegerValue(LteRrcSap::PdschConfigDedicated::dB_
,!3));
IteHelper->SetFfrAlgorithmAttribute("EdgePowerOffset",
,!UIntegerValue(LteRrcSap::PdschConfigDedicated::dB3));
IteHelper->SetFfrAlgorithmAttribute("CenterAreaTpc", UIntegerValue(1));
IteHelper->SetFfrAlgorithmAttribute("EdgeAreaTpc", UIntegerValue(2));
NetDeviceContainer enbDevs = IteHelper->InstallEnbDevice(enbNodes.Get(0));
```

### Soft Frequency Reuse Algorithm

With Soft Frequency Reuse Algorithm, eNB uses entire cell bandwidth, but there are two sub-bands, within UEs are

served with different power level.

Soft Frequency Reuse Algorithm provides following attributes:

- **UEdgeSubBandOffset** : Uplink Edge SubBand Offset in number of Resource Block Groups
- **UEdgeSubBandwidth** : Uplink Edge SubBandwidth Configuration in number of Resource Block Groups
- **DEdgeSubBandOffset** : Downlink Edge SubBand Offset in number of Resource Block Groups
- **DEdgeSubBandwidth** : Downlink Edge SubBandwidth Configuration in number of Resource Block Groups
- **AllowCenterUeUseEdgeSubBand** : If true center UEs can receive on edge sub-band RBGs, otherwise edge sub-band is allowed only for edge UEs, default value is true
- **RsrqThreshold** : If the RSRQ of is worse than this threshold, UE should be served in edge sub-band
- **CenterPowerOffset** : PdschConfigDedicated::Pa value for center sub-band, default value dB0
- **EdgePowerOffset** : PdschConfigDedicated::Pa value for edge sub-band, default value dB0
- **CenterAreaTpc** : TPC value which will be set in DL-DCI for UEs in center area, Absolute mode is used,

default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

- **EdgeAreaTpc** : TPC value which will be set in DL-DCI for UEs in edge area, Absolute mode is used, default

value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

Example below configures RBs from 8 to 16 to be used by cell edge UEs and this sub-band is not available for cell

center users. RSRQ threshold is 20 dB, power in center area equals `LteEnbPhy::TxPower` , power in edge area

equals `LteEnbPhy::TxPower + 3dB` :

```
lteHelper->SetFfrAlgorithmType("ns3::LteFrSoftAlgorithm");
lteHelper->SetFfrAlgorithmAttribute("DEdgeSubBandOffset", UintegerValue(8));
lteHelper->SetFfrAlgorithmAttribute("DEdgeSubBandwidth", UintegerValue(8));
lteHelper->SetFfrAlgorithmAttribute("UEdgeSubBandOffset", UintegerValue(8));
lteHelper->SetFfrAlgorithmAttribute("UEdgeSubBandwidth", UintegerValue(8));
lteHelper->SetFfrAlgorithmAttribute("AllowCenterUeUseEdgeSubBand",
,!BooleanValue(false));
lteHelper->SetFfrAlgorithmAttribute("RsrqThreshold", UintegerValue(20));
lteHelper->SetFfrAlgorithmAttribute("CenterPowerOffset",
,!UintegerValue(LteRrcSap::PdschConfigDedicated::dB0));
lteHelper->SetFfrAlgorithmAttribute("EdgePowerOffset",
,!UintegerValue(LteRrcSap::PdschConfigDedicated::dB3));
NetDeviceContainer enbDevs = lteHelper->InstallEnbDevice(enbNodes.Get(0));
```

Soft Fractional Frequency Reuse Algorithm

Soft Fractional Frequency Reuse (SFFR) uses three sub-bands: center, medium (common) and edge. User have to

configure only two of them: common and edge. Center sub-band will be composed from the remaining bandwidth.

Each sub-band can be served with different transmission power. Since there are three sub-bands, two RSRQ thresholds

needs to be configured.

Soft Fractional Frequency Reuse Algorithm provides following attributes:

- **UCommonSubBandwidth** : Uplink Common SubBandwidth Configuration in number of Resource Block Groups
- **UEdgeSubBandOffset** : Uplink Edge SubBand Offset in number of Resource Block Groups
- **UEdgeSubBandwidth** : Uplink Edge SubBandwidth Configuration in number of Resource Block Groups
- **DCommonSubBandwidth** : Downlink Common SubBandwidth Configuration in number of Resource Block Groups
- **DEdgeSubBandOffset** : Downlink Edge SubBand Offset in number of Resource Block Groups
- **DEdgeSubBandwidth** : Downlink Edge SubBandwidth Configuration in number of Resource Block Groups
- **CenterRsrqThreshold** : If the RSRQ of is worse than this threshold, UE should be served in medium

sub-band

- EdgeRsrqThreshold : If the RSRQ of is worse than this threshold, UE should be served in edge sub-band
- CenterAreaPowerOffset : PdschConfigDedicated::Pa value for center sub-band, default value dB0
- MediumAreaPowerOffset : PdschConfigDedicated::Pa value for medium sub-band, default value dB0
- EdgeAreaPowerOffset : PdschConfigDedicated::Pa value for edge sub-band, default value dB0
- CenterAreaTpc : TPC value which will be set in DL-DCI for UEs in center area, Absolute mode is used,

default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

- MediumAreaTpc : TPC value which will be set in DL-DCI for UEs in medium area, Absolute mode is used,

default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

- EdgeAreaTpc : TPC value which will be set in DL-DCI for UEs in edge area, Absolute mode is used, default

value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

In example below RBs from 0 to 6 will be used as common (medium) sub-band, RBs from 6 to 12 will be used as

edge sub-band and RBs from 12 to 24 will be used as center sub-band (it is composed with remaining RBs). RSRQ

threshold between center and medium area is 28 dB, RSRQ threshold between medium and edge area is 18 dB. Power

in center area equals  $\text{LteEnbPhy::TxPower} - 3\text{dB}$  , power in medium area equals  $\text{LteEnbPhy::TxPower} + 3\text{dB}$  ,

power in edge area equals  $\text{LteEnbPhy::TxPower} + 3\text{dB}$  :

```
IteHelper->SetFfrAlgorithmType("ns3::LteFfrSoftAlgorithm");
IteHelper->SetFfrAlgorithmAttribute("UICommonSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("DICommonSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("DIEdgeSubBandOffset", UIntegerValue(0));
IteHelper->SetFfrAlgorithmAttribute("DIEdgeSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("UIEdgeSubBandOffset", UIntegerValue(0));
IteHelper->SetFfrAlgorithmAttribute("UIEdgeSubBandwidth", UIntegerValue(6));
IteHelper->SetFfrAlgorithmAttribute("CenterRsrqThreshold", UIntegerValue(28));
IteHelper->SetFfrAlgorithmAttribute("EdgeRsrqThreshold", UIntegerValue(18));
IteHelper->SetFfrAlgorithmAttribute("CenterAreaPowerOffset",
UIntegerValue(LteRrcSap::PdschConfigDedicated::dB_
,!3));
```

```
IteHelper->SetFfrAlgorithmAttribute("MediumAreaPowerOffset",
,!UIntegerValue(LteRrcSap::PdschConfigDedicated::dB0));
IteHelper->SetFfrAlgorithmAttribute("EdgeAreaPowerOffset",
,!UIntegerValue(LteRrcSap::PdschConfigDedicated::dB3));
```

```
NetDeviceContainer enbDevs = IteHelper->InstallEnbDevice(enbNodes.Get(0));
```

Enhanced Fractional Frequency Reuse Algorithm

cell types and each one gets 1/3 of system bandwidth). Then part of this subbandwidth it used as Primary Segment

with reuse factor 3 and as Secondary Segment with reuse factor 1. User has to configure (for DL and UL) offset of the

cell subbandwidth in number of RB, number of RB which will be used as Primary Segment and number of RB which

will be used as Secondary Segment .Primary Segment is used by cell at will, but RBs from Secondary Segment can be

assigned to UE only is CQI feedback from this UE have higher value than configured CQI threshold. UE is considered

as edge UE when its RSRQ is lower than RsrqThreshold .

Since each eNb needs to know where are Primary and Secondary of other cell types, it will calculate them assuming

configuration is the same for each cell and only subbandwidth offsets are different. So it is important to divide available

system bandwidth equally to each cell and apply the same configuration of Primary and Secondary Segments to them.

Enhanced Fractional Frequency Reuse Algorithm provides following attributes:

- UISubBandOffset : Uplink SubBand Offset for this cell in number of Resource Block Groups
- UIReuse3SubBandwidth : Uplink Reuse 3 SubBandwidth Configuration in number of Resource Block Groups
- UIReuse1SubBandwidth : Uplink Reuse 1 SubBandwidth Configuration in number of Resource Block Groups
- DISubBandOffset : Downlink SubBand Offset for this cell in number of Resource Block Groups
- DIReuse3SubBandwidth : Downlink Reuse 3 SubBandwidth Configuration in number of Resource Block Groups
- DIReuse1SubBandwidth : Downlink Reuse 1 SubBandwidth Configuration in number of Resource Block Groups
- RsrqThreshold : If the RSRQ of is worse than this threshold, UE should be served in edge sub-band
- CenterAreaPowerOffset : PdschConfigDedicated::Pa value for center sub-band, default value dB0
- EdgeAreaPowerOffset : PdschConfigDedicated::Pa value for edge sub-band, default value dB0
- DICqiThreshold : If the DL-CQI for RBG of is higher than this threshold, transmission on RBG is possible
- UICqiThreshold : If the UL-CQI for RBG of is higher than this threshold, transmission on RBG is possible
- CenterAreaTpc : TPC value which will be set in DL-DCI for UEs in center area, Absolute mode is used, default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2
- EdgeAreaTpc : TPC value which will be set in DL-DCI for UEs in edge area, Absolute mode is used, default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

In example below offset in DL and UL is 0 RB, 4 RB will be used in Primary Segment and Secondary Segment . RSRQ

threshold between center and edge area is 25 dB. DL and UL CQI thresholds are set to value of 10. Power in center

area equals LteEnbPhy::TxPower - 6dB , power in edge area equals LteEnbPhy::TxPower + 0dB :

```
LteHelper->SetFfrAlgorithmType("ns3::LteFfrEnhancedAlgorithm");
LteHelper->SetFfrAlgorithmAttribute("RsrqThreshold", UintegerValue(25));
LteHelper->SetFfrAlgorithmAttribute("DICqiThreshold", UintegerValue(10));
LteHelper->SetFfrAlgorithmAttribute("UICqiThreshold", UintegerValue(10));
LteHelper->SetFfrAlgorithmAttribute("CenterAreaPowerOffset",
UintegerValue(LteRrcSap::PdschConfigDedicated::dB_
,!6));
LteHelper->SetFfrAlgorithmAttribute("EdgeAreaPowerOffset",
,!UintegerValue(LteRrcSap::PdschConfigDedicated::dB0));
LteHelper->SetFfrAlgorithmAttribute("UISubBandOffset", UintegerValue(0));
LteHelper->SetFfrAlgorithmAttribute("UIReuse3SubBandwidth", UintegerValue(4));
LteHelper->SetFfrAlgorithmAttribute("UIReuse1SubBandwidth", UintegerValue(4));
LteHelper->SetFfrAlgorithmAttribute("DISubBandOffset", UintegerValue(0));
LteHelper->SetFfrAlgorithmAttribute("DIReuse3SubBandwidth", UintegerValue(4));
LteHelper->SetFfrAlgorithmAttribute("DIReuse1SubBandwidth", UintegerValue(4));
```

## Distributed Fractional Frequency Reuse Algorithm

Distributed Fractional Frequency Reuse requires X2 interface between all eNB to be installed. X2 interfaces can be

installed only when EPC is configured, so this FFR scheme can be used only with EPC scenarios.

With Distributed Fractional Frequency Reuse Algorithm, eNB uses entire cell bandwidth and there can be two sub-

bands: center sub-band and edge sub-band . Within these sub-bands UEs can be served with different power level.

Algorithm adaptively selects RBs for cell-edge sub-band on basis of coordination information (i.e. RNTP) from

adjacent cells and notifies the base stations of the adjacent cells, which RBs it selected to use in edge sub-band. If

there are no UE classified as edge UE in cell, eNB will not use any RBs as edge sub-band.

Distributed Fractional Frequency Reuse Algorithm provides following attributes:

- CalculationInterval : Time interval between calculation of Edge sub-band, Default value 1 second
- RsrqThreshold : If the RSRQ of is worse than this threshold, UE should be served in edge sub-band
- RsrpDifferenceThreshold : If the difference between the power of the signal received by UE from the serving cell and the power of the signal received from the adjacent cell is less than a RsrpDifferenceThreshold

value, the cell weight is incremented

- CenterPowerOffset : PdschConfigDedicated::Pa value for edge sub-band, default value dB0

- EdgePowerOffset : PdschConfigDedicated::Pa value for edge sub-band, default value dB0

- EdgeRbNum : Number of RB that can be used in edge sub-band

- CenterAreaTpc : TPC value which will be set in DL-DCI for UEs in center area, Absolute mode is used,

default value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

- EdgeAreaTpc : TPC value which will be set in DL-DCI for UEs in edge area, Absolute mode is used, default

value 1 is mapped to -1 according to TS36.213 Table 5.1.1.1-2

In example below calculation interval is 500 ms. RSRQ threshold between center and edge area is 25. RSRP Difference

Threshold is set to be 5. In DL and UL 6 RB will be used by each cell in edge sub-band. Power in center area equals

LteEnbPhy::TxPower - 0dB , power in edge area equals LteEnbPhy::TxPower + 3dB :

```
LteHelper->SetFfrAlgorithmType("ns3::LteFfrDistributedAlgorithm");
```

```
LteHelper->SetFfrAlgorithmAttribute("CalculationInterval",  
,!TimeValue(MilliSeconds(500)));
```

```
LteHelper->SetFfrAlgorithmAttribute("RsrqThreshold", UIntegerValue(25));
```

```
LteHelper->SetFfrAlgorithmAttribute("RsrpDifferenceThreshold", UIntegerValue(5));
```

```
LteHelper->SetFfrAlgorithmAttribute("EdgeRbNum", UIntegerValue(6));
```

```
LteHelper->SetFfrAlgorithmAttribute("CenterPowerOffset",  
,!UIntegerValue(LteRrcSap::PdschConfigDedicated::dB0));
```

```
LteHelper->SetFfrAlgorithmAttribute("EdgePowerOffset",  
,!UIntegerValue(LteRrcSap::PdschConfigDedicated::dB3));
```

Automatic configuration

Frequency Reuse algorithms can also be configured in more “automatic” way by setting only the bandwidth and

FrCellTypeId. During initialization of FR instance, configuration for set bandwidth and FrCellTypeId will be taken

from configuration table. It is important that only sub-bands will be configured, thresholds and transmission power

will be set to default values. If one wants, he/she can change thresholds and transmission power as show in previous sub-section.

There are three FrCellTypeId : 1, 2, 3 , which correspond to three different configurations for each bandwidth.

needs to have more different configuration for neighbouring cells, he/she need to use manual configuration.

Example below show automatic FR algorithm configuration:

```
lteHelper->SetFfrAlgorithmType("ns3::LteFfrSoftAlgorithm");
```

```
lteHelper->SetFfrAlgorithmAttribute("FrCellTypeId", UIntegerValue(1));
```

```
NetDeviceContainer enbDevs = lteHelper->InstallEnbDevice(enbNodes.Get(0));
```

Uplink Power Control functionality is enabled by default. User can disable it by setting the boolean attribute

ns3::LteUePhy::EnableUplinkPowerControl to true.

User can switch between Open Loop Power Control and Closed Loop Power Control mechanisms by setting the

boolean attribute ns3::LteUePowerControl::ClosedLoop . By default Closed Loop Power Control with Accu-

mulation Mode is enabled.

Path-loss is key component of Uplink Power Control. It is computed as difference between filtered RSRP and Refer-

enceSignalPower parameter. ReferenceSignalPower is sent with SIB2.

Attributes available in Uplink Power Control:

- ClosedLoop : if true Closed Loop Uplink Power Control mode is enabled and Open Loop Power Control otherwise, default value is false

- AccumulationEnabled : if true Accumulation Mode is enabled and Absolute mode otherwise, default value

is false

- Alpha : the path loss compensation factor, default value is 1.0

- Pcmin : minimal UE TxPower, default value is -40 dBm

- Pcmax : maximal UE TxPower, default value is 23 dBm

- PoNominalPusch : this parameter should be set by higher layers, but currently it needs to be configured by

attribute system, possible values are integers in range (-126 . . . 24), Default value is -80

- PoUePusch : this parameter should be set by higher layers, but currently it needs to be configured by attribute

- PsrsOffset : this parameter should be set by higher layers, but currently it needs to be configured by attribute

Traced values in Uplink Power Control:

- ReportPuschTxPower : Current UE TxPower for PUSCH

- ReportPucchTxPower : Current UE TxPower for PUCCH

- ReportSrsTxPower : Current UE TxPower for SRS

Example configuration is presented below:

```
Config::SetDefault("ns3::LteUePhy::EnableUplinkPowerControl", BooleanValue(true));
```

```
Config::SetDefault("ns3::LteEnbPhy::TxPower", DoubleValue(30));
```

```
Config::SetDefault("ns3::LteUePowerControl::ClosedLoop", BooleanValue(true));
```

```
Config::SetDefault("ns3::LteUePowerControl::AccumulationEnabled", BooleanValue(true));
```

As an example, user can take a look and run the lene-uplink-power-control program.

The directory src/lte/examples/ contains some example simulation programs that show how to simulate different

LTE scenarios.

There is a vast amount of reference LTE simulation scenarios which can be found in the literature. Here we list some of them:

- The system simulation scenarios mentioned in section A.2 of [TR36814].
- The dual stripe model [R4-092042], which is partially implemented in the example program `src/lte/examples/lena-dual-stripe.cc`. This example program features a lot of configurable parameters which can be customized by changing the corresponding global variables. To get a list of all these global variables,

you can run this command:

```
./ns3 run lena-dual-stripe --command-template="%s --PrintGlobals"
```

The following subsection presents an example of running a simulation campaign using this example program.

#### Handover simulation campaign

In this subsection, we will demonstrate an example of running a simulation campaign using the LTE module of ns-3.

The objective of the campaign is to compare the effect of each built-in handover algorithm of the LTE module.

The campaign will use the `lena-dual-stripe` example program. First, we have to modify the example program

to produce the output that we need. In this occasion, we want to produce the number of handovers, user average

throughput, and average SINR.

The number of handovers can be obtained by counting the number of times the `HandoverEndOk` Handover traces is

fired. Then the user average throughput can be obtained by enabling the `RLC Simulation Output`.

Finally, SINR can

be obtained by enabling the `PHY` simulation output. The following sample code snippet shows one possible way to

obtain the above:

```
void
```

```
NotifyHandoverEndOkUe(std::string context, uint64_t imsi,
```

```
uint16_t cellId, uint16_t rnti)
```

```
{
```

```
std::cout << "Handover IMSI " << imsi << std::endl;
```

```
}
```

```
int
```

```
main(int argc, char *argv[])
```

```
{
```

```
Config::Connect("/NodeList/ */DeviceList/ */LteUeRrc/HandoverEndOk",
```

```
MakeCallback(&NotifyHandoverEndOkUe));
```

```
LteHelper->EnablePhyTraces();
```

```
LteHelper->EnableRlcTraces();
```

```
Ptr<RadioBearerStatsCalculator> rlcStats = LteHelper->GetRlcStats();
```

```
rlcStats->SetAttribute("StartTime", TimeValue(Seconds(0)));
```

```
rlcStats->SetAttribute("EpochDuration", TimeValue(Seconds(simTime)));
```

```
(continues on next page)
```

```
(continued from previous page)
```

```
Simulator::Run();
```

```
Simulator::Destroy();
```

```
return 0;
```

```
}
```



Then we have to configure the parameters of the program to suit our simulation needs. We are looking for the following

assumptions in our simulation:

- 7 sites of tri-sector macro eNodeBs (i.e. 21 macrocells) deployed in hexagonal layout with 500 m inter-site distance.
- Although lENA-dual-stripe is originally intended for a two-tier (macrocell and femtocell) simulation, we will simplify our simulation to one-tier (macrocell) simulation only.
- UEs are randomly distributed around the sites and attach to the network automatically using Idle mode cell selection. After that, UE will roam the simulation environment with 60 kmph movement speed.
- 50 seconds simulation duration, so UEs would have traveled far enough to trigger some handovers.
- 46 dBm macrocell Tx power and 10 dBm UE Tx power.
- EPC mode will be used because the X2 handover procedure requires it to be enabled.
- Full-buffer downlink and uplink traffic, both in 5 MHz bandwidth, using TCP protocol and Proportional Fair scheduler.
- Ideal RRC protocol.

of lENA-dual-stripe to achieve the above assumptions.

campaign

Parameter name	Value	Description
----------------	-------	-------------

simTime	50	50 seconds simulation duration
---------	----	--------------------------------

nBlocks	0	Disabling apartment buildings and femtocells
---------	---	--

nMacroEnbSites	7	Number of macrocell sites (each site has 3 cells)
----------------	---	---

nMacroEnbSitesX	2	The macrocell sites will be positioned in a 2-3-2 formation
-----------------	---	---

interSiteDistance	500	500 m distance between adjacent macrocell sites
-------------------	-----	---

macroEnbTxPowerDbm	46	46 dBm Tx power for each macrocell
--------------------	----	------------------------------------

epc	1	Enable EPC mode
-----	---	-----------------

epcDL	1	Enable full-buffer DL traffic
-------	---	-------------------------------

epcUL	1	Enable full-buffer UL traffic
-------	---	-------------------------------

useUdp	0	Disable UDP traffic and enable TCP instead
--------	---	--

macroUeDensity	0.00002	Determines number of UEs (translates to 48 UEs in our simulation)
----------------	---------	---

outdoorUeMinSpeed	16.6667	Minimum UE movement speed in m/s (60 kmph)
-------------------	---------	--

outdoorUeMaxSpeed	16.6667	Maximum UE movement speed in m/s (60 kmph)
-------------------	---------	--

macroEnbBandwidth	25	5 MHz DL and UL bandwidth
-------------------	----	---------------------------

generateRem	1	(Optional) For plotting the Radio Environment Map
-------------	---	---

Some of the required assumptions are not available as parameters of lENA-dual-stripe . In this case, we override

the default attributes, as shown in Table Overriding default attributes for handover campaign below.

Default value	name	Value	Description
---------------	------	-------	-------------

ns3::LteHelper::HandoverAlgorithm	ns3::NoOpHandoverAlgorithm	,
-----------------------------------	----------------------------	---

ns3::A3RsrpHandoverAlgorithm	,	or
------------------------------	---	----

ns3::A2A4RsrqHandoverAlgorithm	Choice of handover algorithm
--------------------------------	------------------------------

ns3::LteHelper::Scheduler	ns3::PfFfMacScheduler	Proportional Fair scheduler
---------------------------	-----------------------	-----------------------------

ns3::LteHelper::UseIdealRrc	1	Ideal RRC protocol
-----------------------------	---	--------------------

ns3::RadioBearerStatsCalculator::DIRlcOutputFilename	<run>	-DIRlcStats.txt	File name for DL RLC trace output
--	-------	-----------------	-----------------------------------

ns3::RadioBearerStatsCalculator::UIRlcOutputFilename	<run>	-UIRlcStats.txt	File name for UL RLC
--	-------	-----------------	----------------------

trace output

ns3::PhyStatsCalculator::DIRsrpSinrFilename <run> -DIRsrpSinrStats.txt File name for DL PHY RSRP/SINR trace output

ns3::PhyStatsCalculator::UISinrFilename <run> -UISinrStats.txt File name for UL PHY SINR trace output

ns-3 provides many ways for passing configuration values into a simulation. In this example, we will use the command

line arguments. It is basically done by appending the parameters and their values to the ns3 call when starting each

individual simulation. So the ns3 calls for invoking our 3 simulations would look as below:

```
$ ./ns3 run "lena-dual-stripe
```

```
--simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
```

```
--epc=1 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
```

```
--ns3::LteHelper::HandoverAlgorithm=ns3::NoOpHandoverAlgorithm
```

```
--ns3::RadioBearerStatsCalculator::DIRIcOutputFilename=no-op-DIRIcStats.txt
```

```
--ns3::RadioBearerStatsCalculator::UIRIcOutputFilename=no-op-UIRIcStats.txt
```

```
--ns3::PhyStatsCalculator::DIRsrpSinrFilename=no-op-DIRsrpSinrStats.txt
```

```
--ns3::PhyStatsCalculator::UISinrFilename=no-op-UISinrStats.txt
```

```
--RngRun=1" > no-op.txt
```

```
$ ./ns3 run "lena-dual-stripe
```

```
--simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
```

```
--epc=1 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
```

```
--ns3::LteHelper::HandoverAlgorithm=ns3::A3RsrpHandoverAlgorithm
```

```
--ns3::RadioBearerStatsCalculator::DIRIcOutputFilename=a3-rsrp-DIRIcStats.txt
```

```
--ns3::RadioBearerStatsCalculator::UIRIcOutputFilename=a3-rsrp-UIRIcStats.txt
```

```
--ns3::PhyStatsCalculator::DIRsrpSinrFilename=a3-rsrp-DIRsrpSinrStats.txt
```

```
--ns3::PhyStatsCalculator::UISinrFilename=a3-rsrp-UISinrStats.txt
```

```
--RngRun=1" > a3-rsrp.txt
```

```
$ ./ns3 run "lena-dual-stripe
```

```
--simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
```

```
--epc=1 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
```

```
--ns3::LteHelper::HandoverAlgorithm=ns3::A2A4RsrqHandoverAlgorithm
```

```
--ns3::RadioBearerStatsCalculator::DIRIcOutputFilename=a2-a4-rsrq-DIRIcStats.txt
```

```
--ns3::RadioBearerStatsCalculator::UIRIcOutputFilename=a2-a4-rsrq-UIRIcStats.txt
```

```
--ns3::PhyStatsCalculator::DIRsrpSinrFilename=a2-a4-rsrq-DIRsrpSinrStats.txt
```

```
--ns3::PhyStatsCalculator::UISinrFilename=a2-a4-rsrq-UISinrStats.txt
```

```
--RngRun=1" > a2-a4-rsrq.txt
```

Some notes on the execution:

- Notice that some arguments are not specified because they are already the same as the default values. We also

keep the handover algorithms on each own default settings.

- Note the file names of simulation output, e.g. RLC traces and PHY traces, because we have to make sure that

they are not overwritten by the next simulation run. In this example, we specify the names one by one using the

command line arguments.

- The--RngRun=1 argument at the end is used for setting the run number used by the random number generator

used in the simulation. We re-run the same simulations with different RngRun values, hence creating several

independent replications of the same simulations. Then we average the results obtained from these

replications

to achieve some statistical confidence.

- We can add a `--generateRem=1` argument to generate the files necessary for generating the Radio Environment

Map (REM) of the simulation. The result is Figure REM obtained from a simulation in handover campaign

below, which can be produced by following the steps described in Section Radio Environment Maps .

This figure

also shows the position of eNodeBs and UEs at the beginning of a simulation using `RngRun = 1` . Other values

of `RngRun` may produce different UE position.

After hours of running, the simulation campaign will eventually end. Next we will perform some post-processing on

the produced simulation output to obtain meaningful information out of it.

In this example, we use GNU Octave to assist the processing of throughput and SINR data, as demonstrated in a

sample GNU Octave script below:

```
% RxBytes is the 10th column
```

```
DIRxBytes = load ("no-op-DIRIcStats.txt") (:,10);
```

```
% RxBytes is the 10th column
```

```
UIRxBytes = load ("no-op-UIRIcStats.txt") (:,10);
```

```
(continues on next page)
```

```
(continued from previous page)
```

```
% Sinr is the 6th column
```

```
DISinr = load ("no-op-DIRsrpSinrStats.txt") (:,6);
```

```
% eliminate NaN values
```

```
idx = isnan (DISinr);
```

```
DISinr (idx) = 0;
```

```
DIAverageSinrDb = 10 *log10 (mean (DISinr)) % convert to dB
```

```
% Sinr is the 5th column
```

```
UISinr = load ("no-op-UISinrStats.txt") (:,5);
```

```
% eliminate NaN values
```

```
idx = isnan (UISinr);
```

```
UISinr (idx) = 0;
```

```
UIAverageSinrDb = 10 *log10 (mean (UISinr)) % convert to dB
```

As for the number of handovers, we can use simple shell scripting to count the number of occurrences of string

"Handover" in the log file:

```
$ grep "Handover" no-op.txt | wc -l
```

every individual simulation run. The values shown are the average of the results obtained from `RngRun` of 1, 2, 3, and

4.

Statistics No-op A2-A4-RSRQ Strongest cell

Average DL system throughput 6 615 kbps 20 509 kbps 19 709 kbps

Average UL system throughput 4 095 kbps 5 705 kbps 6 627 kbps

Average DL SINR -0.10 dB 5.19 dB 5.24 dB

Average UL SINR 9.54 dB 81.57 dB 79.65 dB

Number of handovers per UE per second 0 0.05694 0.04771

The results show that having a handover algorithm in a mobility simulation improves both user throughput and SINR

significantly. There is little difference between the two handover algorithms in this campaign

scenario. It would be

interesting to see their performance in different scenarios, such as scenarios with home eNodeBs deployment.

#### Frequency Reuse examples

There are two examples showing Frequency Reuse Algorithms functionality.

lena-frequency-reuse is simple example with 3 eNBs in triangle layout. There are 3 cell edge UEs, which are

located in the center of this triangle and 3 cell center UEs (one near each eNB). User can also specify the number of

randomly located UEs. FR algorithm is installed in eNBs and each eNB has different FrCellTypeId, what means each

eNB uses different FR configuration. User can run lena-frequency-reuse with 6 different FR algorithms: NoOp,

Hard FR, Strict FR, Soft FR, Soft FFR and Enhanced FFR. To run scenario with Distributed FFR algorithm, user

should use lena-distributed-ffr . These two examples are very similar, but they were split because Distributed

FFR requires EPC to be used, and other algorithms do not.

To run lena-frequency-reuse with different Frequency Reuse algorithms, user needs to specify FR algorithm by overriding the default attribute ns3::LteHelper::FfrAlgorithm . Example command to run lena-frequency-reuse with Soft FR algorithm is presented below:

```
$ ./ns3 run "lena-frequency-reuse --  
,!ns3::LteHelper::FfrAlgorithm=ns3::LteFrSoftAlgorithm"
```

In these examples functionality to generate REM and spectrum analyzer trace was added. User can enable generation

of it by setting generateRem and generateSpectrumTrace attributes.

Command to generate REM for RB 1 in data channel from lena-frequency-reuse scenario with Soft FR algorithm

is presented below:

```
$ ./ns3 run "lena-frequency-reuse --  
,!ns3::LteHelper::FfrAlgorithm=ns3::LteFrSoftAlgorithm  
--generateRem=true --remRbId=1"
```

Radio Environment Map for Soft FR is presented in Figure REM for RB 1 obtained from lena-frequency-reuse example

with Soft FR algorithm enabled .

Command to generate spectrum trace from lena-frequency-reuse scenario with Soft FFR algorithm is presented

below (Spectrum Analyzer position needs to be configured inside script):

```
$ ./ns3 run "lena-frequency-reuse --  
,!ns3::LteHelper::FfrAlgorithm=ns3::LteFfrSoftAlgorithm  
--generateSpectrumTrace=true"
```

Example spectrum analyzer trace is presented in figure Spectrum Analyzer trace obtained from lena-frequency-reuse

example with Soft FFR algorithm enabled. Spectrum Analyzer was located near eNB with FrCellTypeId 2.

. As can be

seen, different data channel subbands are sent with different power level (according to configuration), while control

channel is transmitted with uniform power along entire system bandwidth.

lena-dual-stripe can be also run with Frequency Reuse algorithms installed in all macro eNB. User needs to

specify FR algorithm by overriding the default attribute ns3::LteHelper::FfrAlgorithm . Example

command to

runlena-dual-stripe with Hard FR algorithm is presented below:

Spectrum Analyzer was located near eNB with FrCellTypeId 2.

```
$ ./ns3 run "lena-dual-stripe
--simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
--epc=1 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
--ns3::LteHelper::HandoverAlgorithm=ns3::NoOpHandoverAlgorithm
--ns3::LteHelper::FfrAlgorithm=ns3::LteFrHardAlgorithm
--ns3::RadioBearerStatsCalculator::DIRIcOutputFilename=no-op-DIRIcStats.txt
--ns3::RadioBearerStatsCalculator::UIRlcOutputFilename=no-op-UIRlcStats.txt
--ns3::PhyStatsCalculator::DIRsrpSinrFilename=no-op-DIRsrpSinrStats.txt
--ns3::PhyStatsCalculator::UISinrFilename=no-op-UISinrStats.txt
--RngRun=1" > no-op.txt
```

Example command to generate REM for RB 1 in data channel from lena-dual-stripe scenario with Hard FR algorithm is presented below:

```
$ ./ns3 run "lena-dual-stripe
--simTime=50 --nBlocks=0 --nMacroEnbSites=7 --nMacroEnbSitesX=2
--epc=0 --useUdp=0 --outdoorUeMinSpeed=16.6667 --outdoorUeMaxSpeed=16.6667
--ns3::LteHelper::HandoverAlgorithm=ns3::NoOpHandoverAlgorithm
--ns3::LteHelper::FfrAlgorithm=ns3::LteFrHardAlgorithm
--ns3::RadioBearerStatsCalculator::DIRIcOutputFilename=no-op-DIRIcStats.txt
--ns3::RadioBearerStatsCalculator::UIRlcOutputFilename=no-op-UIRlcStats.txt
--ns3::PhyStatsCalculator::DIRsrpSinrFilename=no-op-DIRsrpSinrStats.txt
--ns3::PhyStatsCalculator::UISinrFilename=no-op-UISinrStats.txt
--RngRun=1 --generateRem=true --remRbId=1" > no-op.txt
```

Radio Environment Maps for RB 1, 10 and 20 generated from lena-dual-stripe scenario with Hard Frequency

Reuse algorithm are presented in the figures below. These RB were selected because each one is used by different FR cell type.

The carrier aggregation feature is not enabled by default. The user can enable it by setting the boolean at-

tributens3::LteHelper::UseCa to true. The number of component carriers to be used in carrier aggregation

can be configured by setting the attribute ns3::LteHelper::NumberOfComponentCarriers . Currently

the maximum number is 5. Additionally, the component carrier manager needs to be configured. By default the

NoOpComponentCarrierManager is selected, which means that only the primary carrier is enabled. The Component

carrier manager (CCM) implementation that uses all the available carriers is RrComponentCarrierManager .

The CCM can be configured by using the attribute LteHelper::EnbComponentCarrierManager .

An example configuration is presented below:

```
Config::SetDefault("ns3::LteHelper::UseCa", BooleanValue(useCa));
Config::SetDefault("ns3::LteHelper::NumberOfComponentCarriers", UintegerValue(2));
Config::SetDefault("ns3::LteHelper::EnbComponentCarrierManager", StringValue(
,! "ns3::RrComponentCarrierManager"));
```

As an example, the user can take a look and run the lena-simple and lena-simple-epc programs and enable LTE

traces to check the performance. A new column is added to PHY and MAC traces to indicate the

component carrier.

The test suite lte-carrier-aggregation is also a test program that can be used as an example as it can be run in

a mode to write results to output files by setting the s\_writeResults boolean static variable to true. The test can be

run by using a test-runner :

```
./ns3 run 'test-runner --suite=lte-carrier-aggregation'
```

To plot the test results, a file has to be created in the root folder of the ns-3 repository, and added to it with the following

content :

```
set terminal png set xlabel "Number of users" set ylabel "Throughput per UE [Mbps]" set key top right
```

```
downlink_results="carrier_aggregation_results_dl.txt"
```

```
uplink_results="carrier_aggregation_results_ul.txt"
```

```
set output "ca-test-example-dl.png" set title "Downlink performance"
```

```
plot downlink_results using 1:($2==1 ? $3/1000000 [1/0] w lp t 'NO SDL', \ downlink_results using 1:($2==2 ? $3/1000000 : 1/0) w lp t 'RR SDL 1', downlink_results using 1:($2==3 ? $3/1000000 : 1/0) w lp t 'RR SDL 2'
```

```
set output "ca-test-example-ul.png" set title "Uplink performance"
```

```
plot uplink_results using 1:($2==1 ? $3/1000000 [1/0] w lp t 'NO SDL', \ uplink_results using 1:($2==2 ? $3/1000000 : 1/0) w lp t 'RR SDL 1', uplink_results using 1:($2==3 ? $3/1000000 : 1/0) w lp t 'RR SDL 2'
```

gnuplot can be run by providing the file name, so that in the ns-3 root directory figures are generated. An example

to run this test suite is shown in figures: fig-ca-test-example-ul and fig-ca-test-example-dl .

The example lna-radio-link-failure.cc is an example to simulate the RLF functionality. In particular, it simulates only

scenarios shown in Scenario A: Radio link failure example with one eNB and Scenario B: Radio link failure example

with two eNBs

We note that, the RLF detection is enabled by default, which can be disabled by configuring the LteUePhy::EnableRlfDetection to false, e.g.,:

```
Config::SetDefault("ns3::LteUePhy::EnableRlfDetection", BooleanValue(false));
```

In this example, to study the impact of a RLF on the user's quality of experience, we compute an instantaneous (i.e.,

every 200 ms) DL throughput of the UE, and writes it into a file for plotting purposes. For example, to simulate the

"Scenario A" with Ideal and Real RRC protocol a user can use the following commands:

Ideal RRC:

```
./ns3 run "lna-radio-link-failure
--numberOfEnbs=1 --useIdealRrc=1
--interSiteDistance=1200 --n310=1 --n311=1
--t310=1 --enableCtrlErrorModel=1
--enableDataErrorModel=1 --simTime=25"
```

Real RRC:

```
./ns3 run "lna-radio-link-failure
--numberOfEnbs=1 --useIdealRrc=0
--interSiteDistance=1200 --n310=1 --n311=1
--t310=1 --enableCtrlErrorModel=1
--enableDataErrorModel=1 --simTime=25"
```

After running the above two commands, we can use a simple gnuplot script to plot the throughput as

shown in the

Figure Downlink instantaneous throughput of UE in scenario A , e.g.,

set terminal png

set output "lena-radio-link-failure-one-enb-thrput.png"

set multiplot

set xlabel "Time [s]"

set ylabel "Instantaneous throughput UE [Mbps]"

set grid

set title "LTE RLF example 1 eNB DL instantaneous throughput"

plot "rlf\_dl\_thrput\_1\_eNB\_ideal\_rrc" using (\$1):(\$2) with linespoints

title 'Ideal RRC' linestyle 1 lw 2 lc rgb 'blue', "rlf\_dl\_thrput\_1\_eNB\_real\_rrc"

using (\$1):(\$2) with linespoints title 'Real RRC' linestyle 2 lw 2 lc rgb 'red'

unset multiplot

In the simulator, a UE can synchronize (i.e., start reading system information) with an eNB at a low RSRP level, which

defaults to -140 dBm (see QRxLevMin attribute of eNB RRC). It enables the UE to start the random access procedure

with the eNB. In this scenario, when using the Ideal RRC the UE after the RLF will connect and disconnect from the

eNB several times. This is because in the Ideal RRC mode, once the UE is able to receive Random Access Response

(RAR) from the eNB, it can complete the RRC connection establishment procedure ( RRC connection establishment )

without any errors, since all the RRC messages are exchanged ideally between the eNB and the UE.

However, soon

after the connection establishment, it ends up in RLF due to the poor channel quality. On the other hand, with the Real

RRC the UE after the RLF will not be able to complete the RRC connection establishment procedure due to the loss

of RRC messages. Thus, it will not be able to establish the connection with the eNB. Therefore, in both the cases the

UE throughput drops to zero as shown in the Figure Downlink instantaneous throughput of UE in scenario A . It is also

worthwhile to mention that towards the end of the simulation (using Ideal orReal RRC) there are occasions where

RAR timer at the UE MAC would timeout due to the increased distance between the eNB and the UE, which causes

errors while decoding this message at the UE (Note: the downlink control error model is enabled by default).

Similarly, to simulate the “Scenario B” with Ideal andReal RRC protocol following commands can be used:

Ideal RRC:

```
./ns3 run "lena-radio-link-failure
```

```
--numberOfEnbs=2 --useIdealRrc=1
```

```
--interSiteDistance=1200 --n310=1 --n311=1
```

```
--t310=1 --enableCtrlErrorModel=1
```

```
--enableDataErrorModel=1 --simTime=25"
```

Real RRC:

```
./ns3 run "lena-radio-link-failure
```

```
--numberOfEnbs=2 --useIdealRrc=0
```

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```
--interSiteDistance=1200 --n310=1 --n311=1
```

```
--t310=1 --enableCtrlErrorModel=1
```

```
--enableDataErrorModel=1 --simTime=25"
```

Figure Downlink instantaneous throughput of UE in scenario B , shows the throughput in "Scenario B".

We note that

in this scenario the handover algorithm is not used. As expected, with Ideal RRC protocol the UE after the RLF

can complete the random access procedure with the second eNB. Interestingly, the DL SINR after the connection

establishment is not low enough to trigger the RLF, but it is low enough to impact the DL control reception for some

TBs, which in turn causes loss of data. It can be observed from the slightly unstable throughput of the UE after

connecting to the second eNB. On the other hand, with Real RRC the UE faces problems in connection establishment

phase due to the loss of RRC messages, in particular, the RRC connection request from the UE. This is the reason why

the UE throughput after the RLF remains zero for a more extended period as compared to the ideal RRC protocol.

Many users post on the ns-3-users mailing list asking, for example, why they do not get any traffic in their simulation,

or maybe only uplink but no downlink traffic is generated, etc. In most of the cases, this is a bug in the user simulation

program. Here the reader can find some tips to debug the program and find out the cause of the problem.

The general approach is to selectively and incrementally enable the logging of relevant LTE module components,

verifying upon each activation that the output is as expected. In detail:

- first check the control plane, in particular the RRC connection establishment procedure, by enabling the log

components LteUeRrc and LteEnbRrc

- then check packet transmissions on the data plane, starting by enabling the log components LteUeNetDevice and

the EpcSgwApplication, EpcPgwApplication and EpcEnbApplication, then moving down the LTE radio stack (PDCP, RLC, MAC, and finally PHY). All this until you find where packets stop being processed / forwarded.

To test and validate the ns-3 LTE module, several test suites are provided which are integrated with the ns-3 test

framework. To run them, you need to have configured the build of the simulator in this way:

```
$ ./ns3 configure --enable-tests --enable-modules=lte --enable-examples
```

```
$ ./test.py
```

The above will run not only the test suites belonging to the LTE module, but also those belonging to all the other ns-3

modules on which the LTE module depends. See the ns-3 manual for generic information on the testing framework.

You can get a more detailed report in HTML format in this way:

```
$ ./test.py -w results.html
```

After the above command has run, you can view the detailed result for each test by opening the file results.html

with a web browser.



You can run each test suite separately using this command:

```
$ ./test.py -s test-suite-name
```

For more details about test.py and the ns-3 testing framework, please refer to the ns-3 manual.

## Unit Tests

### SINR calculation in the Downlink

The test suite `lte-downlink-sinr` checks that the SINR calculation in downlink is performed correctly. The SINR

in the downlink is calculated for each RB assigned to data transmissions by dividing the power of the intended signal

from the considered eNB by the sum of the noise power plus all the transmissions on the same RB coming from other

eNBs (the interference signals):

$$= \frac{P_{\text{signal}}}{P_{\text{noise}} + P_{\text{interference}}}$$

In general, different signals can be active during different periods of time. We define a chunk as

the time interval

between any two events of type either start or end of a waveform. In other words, a chunk identifies a time interval

during which the set of active waveforms does not change. Let  $i$  be the generic chunk,  $T_i$  its duration and  $\text{SINR}_i$

its SINR, calculated with the above equation. The calculation of the average SINR to be used for CQI feedback

reporting uses the following formula:

$$\bar{\text{SINR}} = \frac{1}{N} \sum_{i=1}^N \text{SINR}_i$$

The test suite checks that the above calculation is performed correctly in the simulator. The test

vectors are obtained

offline by an Octave script that implements the above equation, and that recreates a number of random transmitted

signals and interference signals that mimic a scenario where an UE is trying to decode a signal from an eNB while

facing interference from other eNBs. The test passes if the calculated values are equal to the test vector within a

tolerance of  $10^{-7}$ . The tolerance is meant to account for the approximation errors typical of floating point arithmetic.

### SINR calculation in the Uplink

The test suite `lte-uplink-sinr` checks that the SINR calculation in uplink is performed correctly.

This test suite is

identical to `lte-downlink-sinr` described in the previous section, with the difference that both the signal and the

interference now refer to transmissions by the UEs, and reception is performed by the eNB. This test suite recreates a

number of random transmitted signals and interference signals to mimic a scenario where an eNB is trying to decode

the signal from several UEs simultaneously (the ones in the cell of the eNB) while facing

interference from other UEs

(the ones belonging to other cells).

The test vectors are obtained by a dedicated Octave script. The test passes if the calculated values are equal to the

test vector within a tolerance of  $10^{-7}$  which, as for the downlink SINR test, deals with floating point arithmetic

approximation issues.

#### E-UTRA Absolute Radio Frequency Channel Number (EARFCN)

The test suite `lte-earfcn` checks that the carrier frequency used by the `LteSpectrumValueHelper` class (which implements the LTE spectrum model) is done in compliance with [TS36101], where the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) is defined. The test vector for this test suite comprises a set of EARFCN values and the corresponding carrier frequency calculated by hand following the specification of [TS36101]. The test passes if the carrier frequency returned by `LteSpectrumValueHelper` is the same as the known value for each element in the test vector.

#### System Tests

##### Dedicated Bearer Deactivation Tests

The test suite '`lte-test-deactivate-bearer`' creates test case with single ENodeB and Three UE's.

Each UE consists of

as follows: Attach UE -> Create Default+Dedicated Bearer -> Deactivate one of the Dedicated bearer

Test case further deactivates dedicated bearer having bearer ID 2(LCID=BearerId+2) of First UE (UE\_ID=1) User can

schedule bearer deactivation after specific time delay using `Simulator::Schedule ()` method.

Once the test case execution ends it will create `DIRlcStats.txt` and `UIRlcStats.txt` . Key fields that need to be

checked in statistics are:

|Start | end | Cell ID | IMSI | RNTI | LCID | TxBytes | RxBytes |

Test case executes in three epochs:

1. In first Epoch (0.04s-1.04s) All UE's and corresponding bearers gets attached and packet flow over the dedicated bearers activated.

2. In second Epoch (1.04s-2.04s), bearer deactivation is instantiated, hence User can see relatively less number of

TxBytes on UE\_ID=1 and LCID=4 as compared to other bearers.

3. In third Epoch (2.04s-3.04s) since bearer deactivation of UE\_ID=1 and LCID=4 is completed, user will not see

any logging related to LCID=4.

Test case passes if and only if

1. IMSI=1 and LCID=4 completely removed in third epoch

2. No packets seen in TxBytes and RxBytes corresponding to IMSI=1 and LCID=4

If above criteria do not match, the test case is considered to be failed

##### Adaptive Modulation and Coding Tests

The test suite `lte-link-adaptation` provides system tests recreating a scenario with a single eNB and a single UE.

Different test cases are created corresponding to different SNR values perceived by the UE. The aim of the test is to

check that in each test case the chosen MCS corresponds to some known reference values. These reference values are

obtained by re-implementing in Octave (see `src/lte/test/reference/lte_amc.m` ) the model described in Section Adaptive

Modulation and Coding for the calculation of the spectral efficiency, and determining the corresponding MCS index

by manually looking up the tables in [R1-081483]. The resulting test vector is represented in Figure

Test vector for

Adaptive Modulation and Coding .

The MCS which is used by the simulator is measured by obtaining the tracing output produced by the scheduler after

4ms (this is needed to account for the initial delay in CQI reporting). The SINR which is calculated by the simulator is

also obtained using the LteChunkProcessor interface. The test passes if both the following conditions are satisfied:

1. the SINR calculated by the simulator correspond to the SNR of the test vector within an absolute tolerance of

10■7;

2. the MCS index used by the simulator exactly corresponds to the one in the test vector.

-5 0 5 10 15 20 25 30MCS index

SINR [dB]QPSK

Inter-cell Interference Tests

The test suite lte-interference provides system tests recreating an inter-cell interference scenario with two eNBs, each

having a single UE attached to it and employing Adaptive Modulation and Coding both in the downlink and in the

uplink. The topology of the scenario is depicted in Figure Topology for the inter-cell interference test . The d1param-

eter represents the distance of each UE to the eNB it is attached to, whereas the d2parameter represent the interferer

distance. We note that the scenario topology is such that the interferer distance is the same for uplink and downlink;

still, the actual interference power perceived will be different, because of the different propagation loss in the uplink

and downlink bands. Different test cases are obtained by varying the d1andd2parameters.

The test vectors are obtained by use of a dedicated octave script (available in src/lte/test/reference/lte\_link\_budget\_interference.m ), which does the link budget calculations (including inter-

ference) corresponding to the topology of each test case, and outputs the resulting SINR and spectral efficiency. The

latter is then used to determine (using the same procedure adopted for Adaptive Modulation and Coding Tests . We

note that the test vector contains separate values for uplink and downlink.

UE Measurements Tests

The test suite lte-ue-measurements provides system tests recreating an inter-cell interference scenario identical of the

RSRQ measurements performed by the UE in two different points of the stack: the source, which is UE PHY layer,

and the destination, that is the eNB RRC.

The test vectors are obtained by the use of a dedicated octave script (available in src/lte/test/reference/lte-ue-

measurements.m ), which does the link budget calculations (including interference) corresponding to the topology

of each test case, and outputs the resulting RSRP and RSRQ. The obtained values are then used for checking the cor-

rectness of the UE Measurements at PHY layer. After that, they have to be converted according to 3GPP formatting

for the purpose of checking their correctness at eNB RRC level.

## UE measurement configuration tests

Besides the previously mentioned test suite, there are 3 other test suites for testing UE measurements: lte-ue-measurements-piecewise-1, lte-ue-measurements-piecewise-2, and lte-ue-measurements-handover. These test suites

are more focused on the reporting trigger procedure, i.e. the correctness of the implementation of the event-based

triggering criteria is verified here.

In more specific, the tests verify the timing and the content of each measurement reports received by eNodeB. Each test

case is an stand-alone LTE simulation and the test case will pass if measurement report(s) only occurs at the prescribed

time and shows the correct level of RSRP (RSRQ is not verified at the moment).

### Piecewise configuration

The piecewise configuration aims to test a particular UE measurements configuration. The simulation script will setup

the corresponding measurements configuration to the UE, which will be active throughout the simulation.

Since the reference values are precalculated by hands, several assumptions are made to simplify the simulation. Firstly,

the channel is only affected by path loss model (in this case, Friis model is used). Secondly, the ideal RRC protocol is

used, and layer 3 filtering is disabled. Finally, the UE moves in a predefined motion pattern between 4 distinct spots,

as depicted in Figure UE movement trace throughout the simulation in piecewise configuration below.

Therefore the

fluctuation of the measured RSRP can be determined more easily.

The motivation behind the “teleport” between the predefined spots is to introduce drastic change of RSRP level, which

will guarantee the triggering of entering or leaving condition of the tested event. By performing drastic changes, the

test can be run within shorter amount of time.

Figure Measured RSRP trace of an example Event A1 test case in piecewise configuration below shows the measured

filtering is disabled, these are the exact values used by the UE RRC instance to evaluate reporting trigger procedure.

Notice that the values are refreshed every 200 ms, which is the default filtering period of PHY layer measurements

report. The figure also shows the time when entering and leaving conditions of an example instance of Event A1

(serving cell becomes better than threshold) occur during the simulation.

Each reporting criterion is tested several times with different threshold/offset parameters. Some test scenarios also

take hysteresis and time-to-trigger into account. Figure Measured RSRP trace of an example Event A1 with hysteresis

test case in piecewise configuration depicts the effect of hysteresis in another example of Event A1 test.

Piecewise configuration is used in two test suites of UE measurements. The first one is lte-ue-measurements-piecewise-

1, henceforth Piecewise test #1, which simulates 1 UE and 1 eNodeB. The other one is lte-ue-measurements-piecewise-

2, which has 1 UE and 2 eNodeBs in the simulation.

Piecewise test #1 is intended to test the event-based criteria which are not dependent on the existence of a neighboring cell. These criteria include Event A1 and A2. The other events are also briefly tested to verify that they are still working correctly (albeit not reporting anything) in the absence of any neighboring cell. Table UE measurements test

scenarios using piecewise configuration #1 below lists the scenarios tested in piecewise test #1.

#1  
Test # Reporting Criteria Threshold/Offset Hysteresis Time-to-Trigger

- 1 Event A1 Low No No
- 2 Event A1 Normal No No
- 3 Event A1 Normal No Short
- 4 Event A1 Normal No Long
- 5 Event A1 Normal No Super
- 6 Event A1 Normal Yes No
- 7 Event A1 High No No
- 8 Event A2 Low No No
- 9 Event A2 Normal No No
- 10 Event A2 Normal No Short
- 11 Event A2 Normal No Long
- 12 Event A2 Normal No Super
- 13 Event A2 Normal Yes No
- 14 Event A2 High No No
- 15 Event A3 Zero No No
- 16 Event A4 Normal No No
- 17 Event A5 Normal-Normal No No

Other events such as Event A3, A4, and A5 depend on measurements of neighbouring cell, so they are more thoroughly

tested in Piecewise test #2. The simulation places the nodes on a straight line and instruct the UE to “jump” in a similar

manner as in Piecewise test #1. Handover is disabled in the simulation, so the role of serving and neighbouring cells

do not switch during the simulation. Table UE measurements test scenarios using piecewise configuration #2 below

lists the scenarios tested in Piecewise test #2.

#2

Test # Reporting Criteria Threshold/Offset Hysteresis Time-to-Trigger

- 1 Event A1 Low No No
- 2 Event A1 Normal No No
- 3 Event A1 Normal Yes No
- 4 Event A1 High No No
- 5 Event A2 Low No No
- 6 Event A2 Normal No No
- 7 Event A2 Normal Yes No
- 8 Event A2 High No No
- 9 Event A3 Positive No No
- 10 Event A3 Zero No No
- 11 Event A3 Zero No Short
- 12 Event A3 Zero No Super
- 13 Event A3 Zero Yes No

14 Event A3 Negative No No  
15 Event A4 Low No No  
16 Event A4 Normal No No  
17 Event A4 Normal No Short  
18 Event A4 Normal No Super

Continued on next page

Test # Reporting Criteria Threshold/Offset Hysteresis Time-to-Trigger

19 Event A4 Normal Yes No  
20 Event A4 High No No  
21 Event A5 Low-Low No No  
22 Event A5 Low-Normal No No  
23 Event A5 Low-High No No  
24 Event A5 Normal-Low No No  
25 Event A5 Normal-Normal No No  
26 Event A5 Normal-Normal No Short  
27 Event A5 Normal-Normal No Super  
28 Event A5 Normal-Normal Yes No  
29 Event A5 Normal-High No No  
30 Event A5 High-Low No No  
31 Event A5 High-Normal No No  
32 Event A5 High-High No No

than report interval), long (shorter than the filter measurement period of 200 ms), and super (longer than 200 ms). The

first two ensure that time-to-trigger evaluation always use the latest measurement reports received from PHY layer.

While the last one is responsible for verifying time-to-trigger cancellation, for example when a measurement report from PHY shows that the entering/leaving condition is no longer true before the first trigger is fired.

Handover configuration

The purpose of the handover configuration is to verify whether UE measurement configuration is updated properly

after a successful handover takes place. For this purpose, the simulation will construct 2 eNodeBs with different UE

measurement configuration, and the UE will perform handover from one cell to another. The UE will be located on a

seconds (except the last test case) and the handover is triggered exactly at halfway of simulation.

The lte-ue-measurements-handover test suite covers various types of configuration differences. The first one is the

difference in report interval, e.g. the first eNodeB is configured with 480 ms report interval, while the second eNodeB

is configured with 240 ms report interval. Therefore, when the UE performed handover to the second cell, the new

report interval must take effect. As in piecewise configuration, the timing and the content of each measurement report

received by the eNodeB will be verified.

Other types of differences covered by the test suite are differences in event and differences in threshold/offset. Table

UE measurements test scenarios using handover configuration below lists the tested scenarios.

Test # Test Subject Initial Configuration Post-Handover Configuration

1 Report interval 480 ms 240 ms

2 Report interval 120 ms 640 ms

3 Event Event A1 Event A2

4 Event Event A2 Event A1

5 Event Event A3 Event A4

6 Event Event A4 Event A3

7 Event Event A2 Event A3

8 Event Event A3 Event A2

9 Event Event A4 Event A5

10 Event Event A5 Event A4

11 Threshold/offset RSRP range 52 (Event A1) RSRP range 56 (Event A1)

12 Threshold/offset RSRP range 52 (Event A2) RSRP range 56 (Event A2)

13 Threshold/offset A3 offset -30 (Event A3) A3 offset +30 (Event A3)

14 Threshold/offset RSRP range 52 (Event A4) RSRP range 56 (Event A4)

15 Threshold/offset RSRP range 52-52 (Event A5) RSRP range 56-56 (Event A5)

16 Time-to-trigger 1024 ms 100 ms

17 Time-to-trigger 1024 ms 640 ms

Round Robin scheduler performance

The test suite lte-rr-ff-mac-scheduler creates different test cases with a single eNB and several UEs, all having

the same Radio Bearer specification. In each test case, the UEs see the same SINR from the eNB; different test cases

are implemented by using different distance among UEs and the eNB (i.e., therefore having different SINR values)

and different numbers of UEs. The test consists on checking that the obtained throughput performance is equal among

users and matches a reference throughput value obtained according to the SINR perceived within a given tolerance.

The test vector is obtained according to the values of transport block size reported in table

7.1.7.2.1-1 of [TS36213],

as defined in Section 7.1.6.1 of [TS36213]. Let  $T$  be the TTI duration,  $N$  be the number of UEs,  $B$  the transmission

bandwidth configuration in number of RBs,  $G$  the RBG size,  $M$  the modulation and coding scheme in use at the given

SINR and  $S(M;B)$  be the transport block size in bits as defined by 3GPP TS 36.213. We first calculate the number  $L$

of RBGs allocated to each user as

The reference throughput  $T_{in}$  bit/s achieved by each UE is then calculated as

The test passes if the measured throughput matches with the reference throughput within a relative tolerance of 0.1.

This tolerance is needed to account for the transient behavior at the beginning of the simulation (e.g., CQI feedback is

only available after a few subframes) as well as for the accuracy of the estimator of the average throughput performance

over the chosen simulation time (0.4s). This choice of the simulation time is justified by the need to follow the ns-3

guidelines of keeping the total execution time of the test suite low, in spite of the high number of test cases. In any

case, we note that a lower value of the tolerance can be used when longer simulations are run.

In Figure fig-lenaThrTestCase1, the curves labeled "RR" represent the test values calculated for the RR scheduler test,

as a function of the number of UEs and of the MCS index being used in each test case.

0.1110100

1 2 3 4 5 6 7 8 9 10 Throughput [Mbps]

Proportional Fair scheduler performance

The test suite lte-pf-ff-mac-scheduler creates different test cases with a single eNB, using the Proportional Fair

(PF) scheduler, and several UEs, all having the same Radio Bearer specification. The test cases are grouped in two

categories in order to evaluate the performance both in terms of the adaptation to the channel condition and from a

fairness perspective.

In the first category of test cases, the UEs are all placed at the same distance from the eNB, and hence all placed in

order to have the same SINR. Different test cases are implemented by using a different SINR value and a different

number of UEs. The test consists on checking that the obtained throughput performance matches with the known

reference throughput up to a given tolerance. The expected behavior of the PF scheduler when all UEs have the same

SNR is that each UE should get an equal fraction of the throughput obtainable by a single UE when using all the

resources. We calculate the reference throughput value by dividing the throughput achievable by a single UE at the

given SNR by the total number of UEs. Let  $T_{ref}$  be the TTI duration,  $B$  the transmission bandwidth configuration in

number of RBs,  $M$  the modulation and coding scheme in use at the given SINR and  $S(M;B)$  be the transport block

size as defined in [TS36213]. The reference throughput  $T_{ref}$  in bit/s achieved by each UE is calculated as

The curves labeled "PF" in Figure fig-lenaThrTestCase1 represent the test values calculated for the PF scheduler tests

of the first category, that we just described.

The second category of tests aims at verifying the fairness of the PF scheduler in a more realistic simulation scenario

where the UEs have a different SINR (constant for the whole simulation). In these conditions, the PF scheduler will

give to each user a share of the system bandwidth that is proportional to the capacity achievable by a single user

alone considered its SINR. In detail, let  $M_i$  be the modulation and coding scheme being used by each UE (which is a

deterministic function of the SINR of the UE, and is hence known in this scenario). Based on the MCS, we determine

the achievable rate  $R_i$  for each user using the procedure described in Section~ref{sec:pfs}. We then define the

achievable rate ratio  $R_i/R_j$  of each user  $i$  as

$$R_i/R_j = R_i/P_N$$

$$j=1 \dots N$$

Let now  $T_i$  be the throughput actually achieved by the UE  $i$ , which is obtained as part of the simulation output. We

define the obtained throughput ratio  $T_i/T_j$  of UE  $i$  as

$$T_i/T_j = T_i/P_N$$

$$j=1 \dots N$$



The test consists of checking that the following condition is verified:

$$R_i = T_i$$

if so, it means that the throughput obtained by each UE over the whole simulation matches with the steady-state

throughput expected by the PF scheduler according to the theory. This test can be derived from [Holtzman2000] as

follows. From Section 3 of [Holtzman2000], we know that

$T_i = c R_i$   
where  $c$  is a constant. By substituting the above into the definition of  $T_i$  given previously, we get

$$T_i = c R_i$$

$$T_i = c R_i$$

$$T_i = c R_i$$

$$T_i = c R_i$$

$$T_i = c R_i$$

$$T_i = c R_i$$

$$T_i = c R_i$$

which is exactly the expression being used in the test.

Figure Throughput ratio evaluation for the PF scheduler in a scenario where the UEs have MCS index 28, 24, 16, 12,

6 presents the results obtained in a test case with UEs  $i = 1, \dots, 5$  that are located at a distance from the base station

such that they will use respectively the MCS index 28, 24, 16, 12, 6. From the figure, we note that, as expected, the

obtained throughput is proportional to the achievable rate. In other words, the PF scheduler assigns more resources to

the users that use a higher MCS index.

Maximum Throughput scheduler performance

Test suites `lte-fdmt-ff-mac-scheduler` and `lte-tdmt-ff-mac-scheduler` create different test cases with a

single eNB and several UEs, all having the same Radio Bearer specification, using the Frequency Domain Maximum

Throughput (FDMT) scheduler and Time Domain Maximum Throughput (TDMT) scheduler respectively. In other

words, UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SNR.

Different test cases are implemented by using different SNR values and a different number of UEs.

The test consists

on checking that the obtained throughput performance matches with the known reference throughput up to a given tol-

erance. The expected behavior of both FDMT and TDMT scheduler when all UEs have the same SNR is that scheduler

allocates all RBGs to the first UE defined in script. This is because the current FDMT and TDMT implementation

always selects the first UE to serve when there are multiple UEs having the same SNR value. We calculate the ref-

erence throughput value for the first UE by the throughput achievable of a single UE at the given SNR, while reference

throughput value for other UEs by zero. Let  $T$  be the TTI duration,  $B$  the transmission bandwidth configuration in

number of RBs,  $M$  the modulation and coding scheme in use at the given SNR and  $S(M;B)$  be the transport block

size as defined in [TS36213]. The reference throughput  $T_{in}$  bit/s achieved by each UE is calculated as

0.010.11

1 2 3 4 5 Throughput Ratio

User index  $i$  measured ( $\rho_T, i$ )

expected ( $\rho_R, i$ )

Throughput to Average scheduler performance

Test suites `lte-tta-ff-mac-scheduler` create different test cases with a single eNB and several UEs, all having

the same Radio Bearer specification using TTA scheduler. Network topology and configurations in TTA test case are

as the same as the test for MT scheduler. More complex test case needs to be developed to show the fairness feature

of TTA scheduler.

Blind Average Throughput scheduler performance

Test suites `lte-tdbet-ff-mac-scheduler` and `lte-fdbet-ff-mac-scheduler` create different test cases with

a single eNB and several UEs, all having the same Radio Bearer specification.

In the first test case of `lte-tdbet-ff-mac-scheduler` and `lte-fdbet-ff-mac-scheduler`, the UEs are all placed at the same distance from the eNB, and hence all placed in order to have the same SNR.

Different test cases

are implemented by using a different SNR value and a different number of UEs. The test consists on checking that the

obtained throughput performance matches with the known reference throughput up to a given tolerance.

In long term,

the expected behavior of both TD-BET and FD-BET when all UEs have the same SNR is that each UE should get an

equal throughput. However, the exact throughput value of TD-BET and FD-BET in this test case is not the same.

When all UEs have the same SNR, TD-BET can be seen as a specific case of PF where achievable rate equals to

1. Therefore, the throughput obtained by TD-BET is equal to that of PF. On the other hand, FD-BET performs very

similar to the round robin (RR) scheduler in case of that all UEs have the same SNR and the number of UE (or RBG)

is an integer multiple of the number of RBG (or UE). In this case, FD-BET always allocate the same number of RBGs

to each UE. For example, if eNB has 12 RBGs and there are 6 UEs, then each UE will get 2 RBGs in each TTI. Or

if eNB has 12 RBGs and there are 24 UEs, then each UE will get 2 RBGs per two TTIs. When the number of UE

(RBG) is not an integer multiple of the number of RBG (UE), FD-BET will not follow the RR behavior because it will

assigned different number of RBGs to some UEs, while the throughput of each UE is still the same.

The second category of tests aims at verifying the fairness of the both TD-BET and FD-BET schedulers in a more

realistic simulation scenario where the UEs have a different SNR (constant for the whole simulation). In this case,

both scheduler should give the same amount of averaged throughput to each user.

Specifically, for TD-BET, let  $F_i$  be the fraction of time allocated to user  $i$  in total simulation time,  $R_i$  be the full bandwidth achievable rate for user  $i$  and  $T_i$  be the achieved throughput of user  $i$ . Then we have:

$$T_i = F_i R_i$$

In TD-BET, the sum of  $F_i$  for all user equals one. In long term, all UE has the same  $T_i$  so that we replace  $T_i$  by  $T$ .

Then we have:

$$T = \frac{1}{N} \sum_{i=1}^N R_i$$

$$R_i = \frac{1}{N} \sum_{i=1}^N R_i$$

$$T = \frac{1}{N} \sum_{i=1}^N R_i$$

Token Band Fair Queue scheduler performance

Test suites `lte-fdtbfq-ff-mac-scheduler` and `lte-tdtbfq-ff-mac-scheduler` create different test cases for

testing three key features of TBFQ scheduler: traffic policing, fairness and traffic balance.

Constant Bit Rate UDP

traffic is used in both downlink and uplink in all test cases. The packet interval is set to 1ms to keep the RLC buffer

non-empty. Different traffic rate is achieved by setting different packet size. Specifically, two classes of flows are

created in the test suites:

- Homogeneous flow: flows with the same token generation rate and packet arrival rate
- Heterogeneous flow: flows with different packet arrival rate, but with the same token generation rate

In test case 1 verifies traffic policing and fairness features for the scenario that all UEs are placed at the same distance

from the eNB. In this case, all Ues have the same SNR value. Different test cases are implemented by using a different

SNR value and a different number of UEs. Because each flow have the same traffic rate and token generation rate,

TBFQ scheduler will guarantee the same throughput among UEs without the constraint of token generation rate. In

addition, the exact value of UE throughput is depended on the total traffic rate:

- If total traffic rate  $\leq$  maximum throughput, UE throughput = traffic rate
- If total traffic rate  $>$  maximum throughput, UE throughput = maximum throughput / N

Here, N is the number of UE connected to eNodeB. The maximum throughput in this case equals to the rate that

all RBGs are assigned to one UE(e.g., when distance equals 0, maximum throughput is 2196000 byte/sec). When

the traffic rate is smaller than max bandwidth, TBFQ can police the traffic by token generation rate so that the UE

throughput equals its actual traffic rate (token generation rate is set to traffic generation rate);

On the other hand, when

total traffic rate is bigger than the max throughput, eNodeB cannot forward all traffic to UEs.

Therefore, in each TTI,

TBFQ will allocate all RBGs to one UE due to the large packets buffered in RLC buffer. When a UE is scheduled

in current TTI, its token counter is decreased so that it will not be scheduled in the next TTI.

Because each UE has

the same traffic generation rate, TBFQ will serve each UE in turn and only serve one UE in each TTI

(both in TD

TBFQ and FD TBFQ). Therefore, the UE throughput in the second condition equals to the evenly share of maximum throughput.

Test case 2 verifies traffic policing and fairness features for the scenario that each UE is placed at the different distance

from the eNB. In this case, each UE has the different SNR value. Similar to test case 1, UE throughput in test case

2 is also depended on the total traffic rate but with a different maximum throughput. Suppose all UEs have a high

traffic load. Then the traffic will saturate the RLC buffer in eNodeB. In each TTI, after selecting one UE with highest

metric, TBFQ will allocate all RBGs to this UE due to the large RLC buffer size. On the other hand, once RLC buffer

is saturated, the total throughput of all UEs cannot increase any more. In addition, as we discussed in test case 1,

for homogeneous flows which have the same  $t_i$  and  $r_i$ , each UE will achieve the same throughput in long term.

Therefore, we can use the same method in TD BET to calculate the maximum throughput:

$i=11$

$R_{fb}$

$i$

Here,  $T_i$  is the maximum throughput.  $R_{fb}$

is the full bandwidth achievable rate for user  $i$ .  $N$  is the number of UE.

When the total traffic rate is bigger than  $T$ , the UE throughput equals to  $T$

$N$ . Otherwise, UE throughput equals to its traffic generation rate.

In test case 3, three flows with different traffic rate are created. Token generation rate for each flow is the same and

equals to the average traffic rate of three flows. Because TBFQ use a shared token bank, tokens contributed by UE with

lower traffic load can be utilized by UE with higher traffic load. In this way, TBFQ can guarantee the traffic rate for

each flow. Although we use heterogeneous flow here, the calculation of maximum throughput is as same as that in test

case 2. In calculation max throughput of test case 2, we assume that all UEs suffer high traffic load so that scheduler

always assign all RBGs to one UE in each TTI. This assumes is also true in heterogeneous flow case. In other words,

whether those flows have the same traffic rate and token generation rate, if their traffic rate is bigger enough, TBFQ

performs as same as it in test case 2. Therefore, the maximum bandwidth in test case 3 is as same as it in test case 2.

In test case 3, in some flows, token generate rate does not equal to MBR, although all flows are CBR traffic. This is

not accorded with our parameter setting rules. Actually, the traffic balance feature is used in VBR traffic. Because

different UE's peak rate may occur in different time, TBFQ use shared token bank to balance the traffic among those

VBR traffics. Test case 3 use CBR traffic to verify this feature. But in the real simulation, it is recommended to set

token generation rate to MBR.

Priority Set scheduler performance

Test suites lte-pss-ff-mac-scheduler create different test cases with a single eNB and several UEs.

In all test

cases, we select PFsch in FD scheduler. Same testing results can also be obtained by using ColtA scheduler. In

addition, all test cases do not define nMux so that TD scheduler in PSS will always select half of total UE.

In the first class test case of lte-pss-ff-mac-scheduler, the UEs are all placed at the same distance from the

eNB, and hence all placed in order to have the same SNR. Different test cases are implemented by using a different

TBR for each UEs. In each test cases, all UEs have the same Target Bit Rate configured by GBR in EPS bear setting.

The expected behavior of PSS is to guarantee that each UE's throughput at least equals its TBR if the total flow rate is

below maximum throughput. Similar to TBFQ, the maximum throughput in this case equals to the rate that all RBGs

are assigned to one UE. When the traffic rate is smaller than max bandwidth, the UE throughput equals its actual traffic

rate; On the other hand, UE throughput equals to the evenly share of the maximum throughput.

In the first class of test cases, each UE has the same SNR. Therefore, the priority metric in PF scheduler will be

determined by past average throughput  $T_j(t)$  because each UE has the same achievable throughput  $R_j(k;t)$  in PFsch

or sameCol[k;n] in ColtA. This means that PSS will perform like a TD-BET which allocates all RBGs to one UE

in each TTI. Then the maximum value of UE throughput equals to the achievable rate that all RBGs are allocated to

this UE.

In the second class of test case of lte-pss-ff-mac-scheduler, the UEs are all placed at the same distance from

the eNB, and hence all placed in order to have the same SNR. Different TBR values are assigned to each UE. There

also exist an maximum throughput in this case. Once total traffic rate is bigger than this threshold, there will be

some UEs that cannot achieve their TBR. Because there is no fading, subband CQIs for each RBGs frequency are the

same. Therefore, in FD scheduler, in each TTI, priority metrics of UE for all RBGs are the same. This means that FD

scheduler will always allocate all RBGs to one user. Therefore, in the maximum throughput case, PSS performs like a

TD-BET. Then we have:

$i=1$

$R_{fb}$

$i$

Here,  $T_i$  is the maximum throughput.  $R_{fb}$

is the full bandwidth achievable rate for user  $i$ .  $N$  is the number of UE.

Channel and QoS aware scheduler performance

The performance of the Channel and QoS aware scheduler can be tested in the similar way to performance of Priority

Set scheduler when GBR flows are not delay sensitive by measuring if the achieved throughput at RLC layer is close to the TBR. Having this in mind, the performance of the CQA scheduler is tested by using the same test cases as thelte-pss-ff-mac-scheduler . Additionally, in [Bbojovic2014] can be found performance evaluation of CQA scheduler when the GBR flows are delay sensitive by considering different QoE metrics.

#### Building Propagation Loss Model

The aim of the system test is to verify the integration of the BuildingPathlossModel with the lte module. The test exploits a set of three pre calculated losses for generating the expected SINR at the receiver counting the transmission and the noise powers. These SINR values are compared with the results obtained from a LTE simulation that uses the BuildingPathlossModel. The reference loss values are calculated off-line with an Octave script (/test/reference/lte\_pathloss.m). Each test case passes if the reference loss value is equal to the value calculated by the simulator within a tolerance of 0.001dB, which accounts for numerical errors in the calculations.

#### Physical Error Model

The test suite lte-phy-error-model generates different test cases for evaluating both data and control error models.

For what concern the data, the test consists of six test cases with single eNB and a various number of UEs, all having

the same Radio Bearer specification. Each test is designed for evaluating the error rate perceived by a specific TB size

in order to verify that it corresponds to the expected values according to the BLER generated for CB size analog to

the TB size. This means that, for instance, the test will check that the performance of a TB of Nbits is analogous to

the one of a CB size of Nbits by collecting the performance of a user which has been forced the generation of a such

TB size according to the distance to eNB. In order to significantly test the BLER at MAC level, we configured the

Adaptive Modulation and Coding (AMC) module, the LteAmc class, for making it less robust to channel conditions

by using the PiroEW2010 AMC model and configuring it to select the MCS considering a target BER of 0.03 (instead

of the default value of 0.00005). We note that these values do not reflect the actual BER, since they come from an

analytical bound which does not consider all the transmission chain aspects; therefore the BER and BLER actually

experienced at the reception of a TB is in general different.

The parameters of the six test cases are reported in the following:

1. 4 UEs placed 1800 meters far from the eNB, which implies the use of MCS 2 (SINR of -5.51 dB) and a TB of

256 bits, that in turns produce a BLER of 0.33 (see point A in figure BLER for tests 1, 2, 3. ).

2. 2 UEs placed 1800 meters far from the eNB, which implies the use of MCS 2 (SINR of -5.51 dB) and a TB of

528 bits, that in turns produce a BLER of 0.11 (see point B in figure BLER for tests 1, 2, 3. ).

3. 1 UE placed 1800 meters far from the eNB, which implies the use of MCS 2 (SINR of -5.51 dB) and a

TB of

1088 bits, that in turns produce a BLER of 0.02 (see point C in figure BLER for tests 1, 2, 3. ).

4. 1 UE placed 600 meters far from the eNB, which implies the use of MCS 12 (SINR of 4.43 dB) and a TB of

4800 bits, that in turns produce a BLER of 0.3 (see point D in figure BLER for tests 4, 5. ).

5. 3 UEs placed 600 meters far from the eNB, which implies the use of MCS 12 (SINR of 4.43 dB) and a TB of

1632 bits, that in turns produce a BLER of 0.55 (see point E in figure BLER for tests 4, 5. ).

6. 1 UE placed 470 meters far from the eNB, which implies the use of MCS 16 (SINR of 8.48 dB) and a TB of

7272 bits (segmented in 2 CBs of 3648 and 3584 bits), that in turns produce a BLER of 0.14, since each CB has

CBLER equal to 0.075 (see point F in figure BLER for test 6. ).

The test condition verifies that in each test case the expected number of packets received correctly corresponds to a

Bernoulli distribution with a confidence interval of 99%, where the probability of success in each trail is  $p = 1 - \text{BLER}$

and  $n$  is the total number of packets sent.

-7 -6.5 -6 -5.5 -5 -4.5 -4 -3.5 10-410-310-210-1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)

TB = 256 (AWGN)

TB = 256 (estimated)

TB = 160 (AWGN)

TB = 160 (estimated)

TB = 104 (AWGN)

TB = 104 (estimated)A

2 2.5 3 3.5 4 4.5 5 5.5 10-210-1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

TB = 512 (AWGN)

TB = 512 (estimated)E

7 7.5 8 8.5 9 9.5 10-310-210-1100

TB = 6000 (AWGN)

TB = 6000 (estimated)

TB = 4000 (AWGN)

TB = 4000 (estimated)

TB = 2560 (AWGN)

TB = 2560 (estimated)

TB = 1024 (AWGN)

TB = 1024 (estimated)

The error model of PCFICH-PDCCH channels consists of 4 test cases with a single UE and several eNBs, where the

UE is connected to only one eNB in order to have the remaining acting as interfering ones. The errors on data are

disabled in order to verify only the ones due to erroneous decodification of PCFICH-PDCCH. As before, the system

has been forced on working in a less conservative fashion in the AMC module for appreciating the results in border

situations. The parameters of the 4 tests cases are reported in the following:

1. 2 eNBs placed 1078 meters far from the UE, which implies a SINR of -2.00 dB and a TB of 217 bits, that in turns produce a BLER of 0.007.

2. 3 eNBs placed 1040 meters far from the UE, which implies a SINR of -4.00 dB and a TB of 217 bits, that in turns produce a BLER of 0.045.

3. 4 eNBs placed 1250 meters far from the UE, which implies a SINR of -6.00 dB and a TB of 133 bits, that in turns produce a BLER of 0.206.

4. 5 eNBs placed 1260 meters far from the UE, which implies a SINR of -7.00 dB and a TB of 81 bits, that in turns produce a BLER of 0.343.

The test condition verifies that in each test case the expected number of packets received correct corresponds to a

Bernoulli distribution with a confidence interval of 99.8%, where the probability of success in each trail is  $p =$

$1 - \text{BLER}$  and  $n$  is the total number of packet sent. The larger confidence interval is due to the errors that might be produced in quantizing the MI and the error curve.

#### HARQ Model

The test suite lte-harq includes two tests for evaluating the HARQ model and the related extension in the error

model. The test consists on checking whether the amount of bytes received during the simulation corresponds to the

expected ones according to the values of transport block and the HARQ dynamics. In detail, the test checks whether

the throughput obtained after one HARQ retransmission is the expected one. For evaluating the expected throughput

the expected TB delivering time has been evaluated according to the following formula:

$$s = \frac{1}{P_1 + P_2}$$

$$s = \frac{1}{P_2 + (1 - P_2)}$$

$$s = \frac{1}{P_3}$$

where  $P_i$

is the probability of receiving with success the HARQ block at the attempt  $i$  (i.e., the RV with 3GPP naming).

According to the scenarios, in the test we always have  $P_1$

sequal to 0.0, while  $P_2$

svaries in the two tests, in detail:



$T_{test1} = 0:0 \blacksquare 1 + 0:926 \blacksquare 2 + 0:074 \blacksquare 3 = 2:074$

$T_{test2} = 0:0 \blacksquare 1 + 0:752 \blacksquare 2 + 0:248 \blacksquare 3 = 2:248$

The expected throughput is calculated by counting the number of transmission slots available during the simulation

(e.g., the number of TTIs) and the size of the TB in the simulation, in detail:

$Thr_{testi} = TTINUM$

$T_{testi} \cdot TBsize = 8$

>><

>>:1000

2:07466 = 31822 bps for test-1

2:248472 = 209964 bps for test-2

where TTINUM is the total number of TTIs in 1 second. The test is performed both for Round Robin scheduler. The

test passes if the measured throughput matches with the reference throughput within a relative tolerance of 0.1. This

tolerance is needed to account for the transient behavior at the beginning of the simulation and the on-fly blocks at the end of the simulation.

MIMO Model

The test suite lte-mimo aims at verifying both the effect of the gain considered for each

Transmission Mode on

the system performance and the Transmission Mode switching through the scheduler interface. The test consists on

checking whether the amount of bytes received during a certain window of time (0.1 seconds in our case) corresponds

to the expected ones according to the values of transport block size reported in table 7.1.7.2.1-1 of [TS36213], similarly

to what done for the tests of the schedulers.

The test is performed both for Round Robin and Proportional Fair schedulers. The test passes if the measured throughput

matches with the reference throughput within a relative tolerance of 0.1. This tolerance is needed to account for

the transient behavior at the beginning of the simulation and the transition phase between the Transmission Modes.

Antenna Model integration

The test suite lte-antenna checks that the AntennaModel integrated with the LTE model works correctly. This test

suite recreates a simulation scenario with one eNB node at coordinates (0,0,0) and one UE node at coordinates (x,y,0).

The eNB node is configured with an CosineAntennaModel having given orientation and beamwidth. The UE instead

uses the default IsotropicAntennaModel. The test checks that the received power both in uplink and downlink account

for the correct value of the antenna gain, which is determined offline; this is implemented by comparing the uplink and

downlink SINR and checking that both match with the reference value up to a tolerance of  $10^{-6}$  which accounts for

numerical errors. Different test cases are provided by varying the x and y coordinates of the UE, and the beamwidth

and the orientation of the antenna of the eNB.

RLC implementation work correctly. Both these suites work by testing RLC instances connected to

special test entities

that play the role of the MAC and of the PDCP, implementing respectively the LteMacSapProvider and LteRlcSapUser

interfaces. Different test cases (i.e., input test vector consisting of series of primitive calls by the MAC and the PDCP)

are provided that check the behavior in the following cases:

1. one SDU, one PDU: the MAC notifies a TX opportunity causes the creation of a PDU which exactly contains a whole SDU
2. segmentation: the MAC notifies multiple TX opportunities that are smaller than the SDU size stored in the transmission buffer, which is then to be fragmented and hence multiple PDUs are generated;
3. concatenation: the MAC notifies a TX opportunity that is bigger than the SDU, hence multiple SDUs are concatenated in the same PDU
4. buffer status report: a series of new SDUs notifications by the PDCP is interleaved with a series of TX opportunity notification in order to verify that the buffer status report procedure is correct.

In all these cases, an output test vector is determined manually from knowledge of the input test vector and knowledge

of the expected behavior. These test vectors are specialized for UM RLC and AM RLC due to their different behavior.

Each test case passes if the sequence of primitives triggered by the RLC instance being tested is exactly equal to the

output test vector. In particular, for each PDU transmitted by the RLC instance, both the size and the content of the

PDU are verified to check for an exact match with the test vector.

The AM RLC implementation features an additional test suite, lte-rlc-am-e2e, which tests the correct retransmis-

sion of RLC PDUs in presence of channel losses. The test instantiates an RLC AM transmitter and a receiver, and

interposes a channel that randomly drops packets according to a fixed loss probability. Different test cases are instan-

tiated using different RngRun values and different loss probability values. Each test case passes if at the end of the

simulation all SDUs are correctly delivered to the upper layers of the receiving RLC AM entity.

The test suite lte-rrc tests the correct functionality of the following aspects:

1. MAC Random Access
2. RRC System Information Acquisition
3. RRC Connection Establishment
4. RRC Reconfiguration

The test suite considers a type of scenario with four eNBs aligned in a square layout with 100-meter edges. Multiple

UEs are located at a specific spot on the diagonal of the square and are instructed to connect to the first eNB. Each test

case implements an instance of this scenario with specific values of the following parameters:

- number of UEs
- number of Data Radio Bearers to be activated for each UE
- time etc

at which the first UE is instructed to start connecting to the eNB

- time interval between the start of connection of UE n and UE n+1; the time at which user

nconnects is thus  
determined as  $t_c$   
 $n = t_c$   
 $0 + n_{disf}$

- the relative position of the UEs on the diagonal of the square, where higher values indicate larger distance from the serving eNodeB, i.e., higher interference from the other eNodeBs

- a boolean flag indicating whether the ideal or the real RRC protocol model is used

Each test case passes if a number of test conditions are positively evaluated for each UE after a delay defined as

time it started connecting to the eNB. The delay is determined as

$d_e = d_{si} + d_{ra} + d_{ce} + d_{cr}$

where:

- $d_{si}$  is the max delay necessary for the acquisition of System Information. We set it to 90ms accounting for 10ms

for the MIB acquisition and 80ms for the subsequent SIB2 acquisition

- $d_{ra}$  is the delay for the MAC Random Access (RA) procedure. This depends on preamble collisions as well as on

the availability of resources for the UL grant allocation. The total amount of necessary RA attempts depends on

preamble collisions and failures to allocate the UL grant because of lack of resources. The number of collisions

depends on the number of UEs that try to access simultaneously; we estimated that for a 0.99RA success probability, 5 attempts are sufficient for up to 20 UEs, and 10 attempts for up to 50 UEs. For the UL

grant, considered

the system bandwidth and the default MCS used for the UL grant (MCS 0), at most 4 UL grants can be assigned

in a TTI; so for  $n$  UEs trying to do RA simultaneously the max number of attempts due to the UL grant issue is

$d_n = 4e$ . The time for a RA attempt is determined by 3ms + the value of

`LteEnbMac::RaResponseWindowSize`,

which defaults to 3ms, plus 1ms for the scheduling of the new transmission.

- $d_{ce}$  is the delay required for the transmission of RRC CONNECTION SETUP + RRC CONNECTION SETUP COMPLETED. We consider a round trip delay of 10ms plus  $d_n = 4e$  considering that 2 RRC packets have to be

transmitted and that at most 4 such packets can be transmitted per TTI. In cases where interference is high, we

accommodate one retry attempt by the UE, so we double the  $d_{ce}$  value and then add  $d_{si}$  on top of it (because the

timeout has reset the previously received SIB2).

- $d_{cr}$  is the delay required for eventually needed RRC CONNECTION RECONFIGURATION transactions. The number of transactions needed is 1 for each bearer activation. Similarly to what done for  $d_{ce}$ , for each transaction

we consider a round trip delay of 10ms plus  $d_n = 4e$ . delay of 20ms.

The base version of the test `LteRrcConnectionEstablishmentTestCase` tests for correct RRC connection es-

tablishment in absence of channel errors. The conditions that are evaluated for this test case to pass are, for each

- the RRC state at the UE is `CONNECTED_NORMALLY`

- the UE is configured with the `CellId`, `DLBandwidth`, `ULBandwidth`, `DLEarfcn` and `ULEarfcn` of the eNB

- the IMSI of the UE stored at the eNB is correct
- the number of active Data Radio Bearers is the expected one, both at the eNB and at the UE
- for each Data Radio Bearer, the following identifiers match between the UE and the eNB: EPS bearer id, DRB id, LCID

The test variant `LteRrcConnectionEstablishmentErrorTestCase` is similar except for the presence of errors in

the transmission of a particular RRC message of choice during the first connection attempt. The error is obtained by

temporarily moving the UE to a far away location; the time of movement has been determined empirically for each

instance of the test case based on the message that it was desired to be in error. The test case checks that at least one

of the following conditions is false at the time right before the UE is moved back to the original location:

- the RRC state at the UE is `CONNECTED_NORMALLY`
- the UE context at the eNB is present
- the RRC state of the UE Context at the eNB is `CONNECTED_NORMALLY`

Initial cell selection

The test suite `lte-cell-selection` is responsible for verifying the Initial Cell Selection procedure.

The test is a simulation

of a small network of 2 non-CSG cells and 2 non-CSG cells. Several static UEs are then placed at predefined locations.

The UEs enter the simulation without being attached to any cell. Initial cell selection is enabled for these UEs, so each

UE will find the best cell and attach to it by themselves.

At predefined check points time during the simulation, the test verifies that every UE is attached to the right cell.

Moreover, the test also ensures that the UE is properly connected, i.e., its final state is `CONNECTED_NORMALLY`.

Figure Sample result of cell selection test depicts the network layout and the expected result. When a UE is depicted

as having 2 successful cell selections (e.g., UE #3 and #4), any of them is accepted by the test case.

The figure shows that CSG members may attach to either CSG or non-CSG cells, and simply choose the stronger one.

On the other hand, non-members can only attach to non-CSG cells, even when they are actually receiving stronger signal from a CSG cell.

For reference purpose, Table UE error rate in Initial Cell Selection test shows the error rate of each UE when receiving

transmission from the control channel. Based on this information, the check point time for UE #3 is done at a later

time than the others to compensate for its higher risk of failure.

UE # Error rate

1 0.00%

2 1.44%

3 12.39%

4 0.33%

5 0.00%

6 0.00%

The test uses the default Friis path loss model and without any channel fading model.

#### Secondary cell selection

The unit test `lte-secondary-cell-selection`` tests that a UE can attach to any component carrier (not just the

0-th one) during initial cell selection.

#### Handover with multiple component carriers

The unit test suite `lte-primary-cell-change` tests a number of handover cases between different component

carriers, for both the Ideal RRC and the Real RRC. The following handover cases are tested:

- (inter-eNB) eNB to eNB with one component carrier
- (inter-eNB) handover between the first carrier of two eNBs
- (inter-eNB) handover between the second carrier of one eNB to the first carrier of another eNB
- (inter-eNB) handover between the second carrier of one eNB to the second carrier of another eNB
- (intra-eNB) three handover cases between component carriers of the same eNB

#### GTP-U protocol

The unit test suite `epc-gtpu` checks that the encoding and decoding of the GTP-U header is done correctly. The test

fills in a header with a set of known values, adds the header to a packet, and then removes the header from the packet.

The test fails if, upon removing, any of the fields in the GTP-U header is not decoded correctly.

This is detected by

comparing the decoded value from the known value.

#### S1-U interface

works correctly in isolation. This is achieved by creating a set of simulation scenarios where the EPC model alone is

used, without the LTE model (i.e., without the LTE radio protocol stack, which is replaced by simple CSMA devices).

This checks that the interoperation between multiple `EpcEnbApplication` instances in multiple eNBs and the `EpcSg-`

`wPgwApplication` instance in the SGW/PGW node works correctly in a variety of scenarios, with varying numbers

of end users (nodes with a CSMA device installed), eNBs, and different traffic patterns (packet sizes and number of

total packets). Each test case works by injecting the chosen traffic pattern in the network (at the considered UE or at

the remote host for in the uplink or the downlink test suite respectively) and checking that at the receiver (the remote

host or each considered UE, respectively) that exactly the same traffic patterns is received. If any mismatch in the

transmitted and received traffic pattern is detected for any UE, the test fails.

#### TFT classifier

The test suite `epc-tft-classifier` checks in isolation that the behavior of the `EpcTftClassifier` class is correct.

This is performed by creating different classifier instances where different TFT instances are activated, and testing for

each classifier that an heterogeneous set of packets (including IP and TCP/UDP headers) is classified correctly. Several

test cases are provided that check the different matching aspects of a TFT (e.g. local/remote IP address, local/remote

port) both for uplink and downlink traffic. Each test case corresponds to a specific packet and a specific classifier

instance with a given set of TFTs. The test case passes if the bearer identifier returned by the classifier exactly matches

with the one that is expected for the considered packet.

End-to-end LTE-EPC data plane functionality

The test suite `lte-epc-e2e-data` ensures the correct end-to-end functionality of the LTE-EPC data plane. For each

test case in this suite, a complete LTE-EPC simulation scenario is created with the following characteristics:

- a given number of eNBs
- for each eNB, a given number of UEs
- for each UE, a given number of active EPS bearers
- for each active EPS bearer, a given traffic pattern (number of UDP packets to be transmitted and packet size)

Each test is executed by transmitting the given traffic pattern both in the uplink and in the downlink, at subsequent

time intervals. The test passes if all the following conditions are satisfied:

- for each active EPS bearer, the transmitted and received traffic pattern (respectively at the UE and the remote host for uplink, and vice versa for downlink) is exactly the same
- for each active EPS bearer and each direction (uplink or downlink), exactly the expected number of packet flows over the corresponding RadioBearer instance

X2 handover

The test suite `lte-x2-handover` checks the correct functionality of the X2 handover procedure. The scenario being

tested is a topology with two eNBs connected by an X2 interface. Each test case is a particular instance of this scenario

defined by the following parameters:

- the number of UEs that are initially attached to the first eNB
- the number of EPS bearers activated for each UE
- a list of handover events to be triggered, where each event is defined by: + the start time of the handover trigger  
+ the index of the UE doing the handover + the index of the source eNB + the index of the target eNB
- a boolean flag indicating whether the target eNB admits the handover or not
- a boolean flag indicating whether the ideal RRC protocol is to be used instead of the real RRC protocol
- the type of scheduler to be used (RR or PF)

Each test case passes if the following conditions are true:

- at time 0.06s, the test `CheckConnected` verifies that each UE is connected to the first eNB
- for each event in the handover list:
  - at the indicated event start time, the indicated UE is connected to the indicated source eNB
  - 0.1s after the start time, the indicated UE is connected to the indicated target eNB
  - 0.6s after the start time, for each active EPS bearer, the uplink and downlink sink applications of the indicated UE have achieved a number of bytes which is at least half the number of bytes transmitted by the corresponding source applications

The condition “UE is connected to eNB” is evaluated positively if and only if all the following conditions are met:

- the eNB has the context of the UE (identified by the RNTI value retrieved from the UE RRC)
- the RRC state of the UE at the eNB is `CONNECTED_NORMALLY`

- the RRC state at the UE is CONNECTED\_NORMALLY
- the UE is configured with the CellId, DIBandwidth, UIBandwidth, DIEarfcn and UIEarfcn of the eNB
- the IMSI of the UE stored at the eNB is correct
- the number of active Data Radio Bearers is the expected one, both at the eNB and at the UE
- for each Data Radio Bearer, the following identifiers match between the UE and the eNB: EPS bearer id, DRB id, LCID

#### Automatic X2 handover

The test suite lte-x2-handover-measures checks the correct functionality of the handover algorithm.

The scenario

being tested is a topology with two, three or four eNBs connected by an X2 interface. The eNBs are located in a straight

line in the X-axes. A UE moves along the X-axes going from the neighborhood of one eNB to the next eNB. Each test

case is a particular instance of this scenario defined by the following parameters:

- the number of eNBs in the X-axes
- the number of UEs
- the number of EPS bearers activated for the UE
- a list of check point events to be triggered, where each event is defined by: + the time of the first check point event + the time of the last check point event + interval time between two check point events + the index of the UE doing the handover + the index of the eNB where the UE must be connected
- a boolean flag indicating whether UDP traffic is to be used instead of TCP traffic
- the type of scheduler to be used
- the type of handover algorithm to be used
- a boolean flag indicating whether handover is admitted by default
- a boolean flag indicating whether the ideal RRC protocol is to be used instead of the real RRC protocol

The test suite consists of many test cases. In fact, it has been one of the most time-consuming test suite in ns-3. The

test cases run with some combination of the following variable parameters:

- number of eNBs: 2, 3, 4;
- number of EPS bearers: 0, 1, 2;
- RRC: ideal, real (see RRC protocol models );
- MAC scheduler: round robin, proportional fair (see The FemtoForum MAC Scheduler Interface ); and
- handover algorithm: A2-A4-RRS, strongest cell (see Handover algorithm ).

Each test case passes if the following conditions are true:

- at time 0.08s, the test CheckConnected verifies that each UE is connected to the first eNB
- for each event in the check point list:
  - at the indicated check point time, the indicated UE is connected to the indicated eNB
  - 0.5s after the check point, for each active EPS bearer, the uplink and downlink sink applications of the UE have achieved a number of bytes which is at least half the number of bytes transmitted by the corresponding source applications

The condition “UE is connected to eNB” is evaluated positively if and only if all the following conditions are met:

- the eNB has the context of the UE (identified by the RNTI value retrieved from the UE RRC)
- the RRC state of the UE at the eNB is CONNECTED\_NORMALLY
- the RRC state at the UE is CONNECTED\_NORMALLY

- the UE is configured with the CellId, DIBandwidth, UIBandwidth, DLEarfcn and ULEarfcn of the eNB
- the IMSI of the UE stored at the eNB is correct
- the number of active Data Radio Bearers is the expected one, both at the eNB and at the UE
- for each Data Radio Bearer, the following identifiers match between the UE and the eNB: EPS bearer id, DRB id, LCID

Handover delays

Handover procedure consists of several message exchanges between UE, source eNodeB, and target eNodeB over both

RRC protocol and X2 interface. Test suite lte-handover-delay verifies that this procedure consistently spends the same amount of time.

The test suite will run several handover test cases. Each test case is an individual simulation featuring a handover at a specified time in simulation. For example, the handover in the first test case is invoked at time +0.100s, while in the second test case it is at +0.101s. There are 10 test cases, each testing a different subframe in LTE. Thus the last test case has the handover at +0.109s.

The simulation scenario in the test cases is as follow:

- EPC is enabled
- 2 eNodeBs with circular (isotropic) antenna, separated by 1000 meters
- 1 static UE positioned exactly in the center between the eNodeBs
- no application installed
- no channel fading
- default path loss model (Friis)
- 0.300s simulation duration

The test case runs as follow. At the beginning of the simulation, the UE is attached to the first eNodeB. Then at the time specified by the test case input argument, a handover request will be explicitly issued to the second eNodeB. The test case will then record the starting time, wait until the handover is completed, and then record the completion time.

If the difference between the completion time and starting time is less than a predefined threshold, then the test passes.

A typical handover in the current ns-3 implementation takes 4.2141 ms when using Ideal RRC protocol model, and

19.9283 ms when using Real RRC protocol model. Accordingly, the test cases use 5 ms and 20 ms as the maximum

threshold values. The test suite runs 10 test cases with Ideal RRC protocol model and 10 test cases with Real RRC

protocol model. More information regarding these models can be found in Section RRC protocol models

The motivation behind using subframes as the main test parameters is the fact that subframe index is one of the factors

for calculating RA-RNTI, which is used by Random Access during the handover procedure. The test cases verify

this computation, utilizing the fact that the handover will be delayed when this computation is broken. In the default

simulation configuration, the handover delay observed because of a broken RA-RNTI computation is typically 6 ms.



## Handover failure

The test suite `lte-handover-failure` tests the proper operation of a number of handover failure cases by inducing

the conditions leading to the following eight failure modes:

1. Maximum number of RACH transmissions exceeded from UE to target eNB
2. Non-allocation of non-contention-based preamble at the target eNB, due to the maximum number reached
3. HANDOVER JOINING timeout before reception of RRC CONNECTION RECONFIGURATION at source eNB
4. HANDOVER JOINING timeout before completion of non-contention RACH process to target eNB
5. HANDOVER JOINING timeout before reception of RRC CONNECTION RECONFIGURATION COMPLETE at target eNB
6. HANDOVER LEAVING timeout before reception of RRC CONNECTION RECONFIGURATION at source eNB
7. HANDOVER LEAVING timeout before completion of non-contention RACH process to target eNB
8. HANDOVER LEAVING timeout before reception of RRC CONNECTION RECONFIGURATION COMPLETE at target eNB

Both Ideal and Real RRC models are checked by this test suite.

### Selection of target cell in handover algorithm

eNodeB may utilize Handover algorithm to automatically create handover decisions during simulation.

The decision

includes the UE which should do the handover and the target cell where the UE should perform handover to.

The test suite `lte-handover-target` verifies that the handover algorithm is making the right decision, in particular,

in choosing the right target cell. It consists of several short test cases for different network topology (2x2 grid and 3x2 grid) and types of handover algorithm (the A2-A4-RSRQ handover algorithm and the strongest cell handover algorithm).

Each test case is a simulation of a micro-cell environment with the following parameter:

- EPC is enabled
- several circular (isotropic antenna) micro-cell eNodeBs in a rectangular grid layout, with 130 m distance between each adjacent point
- 1 static UE, positioned close to and attached to the source cell
- no control channel error model
- no application installed
- no channel fading
- default path loss model (Friis)
- 1s simulation duration

To trigger a handover, the test case “shutdowns” the source cell at +0.5s simulation time. Figure `lte-handover-target`

test scenario in a 2x2 grid below illustrates the process. This is done by setting the source cell's Tx power to a very

low value. As a result, the handover algorithm notices that the UE deserves a handover and several neighboring cells

become candidates of target cell at the same time.

The test case then verifies that the handover algorithm, when faced with more than one options of target cells, is able

to choose the right one.

## Downlink Power Control

The test suite `lte-downlink-power-control` checks correctness of Downlink Power Control in three different ways:

- `LteDownlinkPowerControlSpectrumValue` test case check if `LteSpectrumValueHelper::CreateTxPowerSpectralDensity` is creating correct spectrum value for PSD for downlink transmission. The test vector contain EARFCN, system bandwidth, TX power, TX power for each RB, active RBs, and expected TxPSD. The test passes if TxPDS generated by `LteSpectrumValueHelper::CreateTxPowerSpectralDensity` is equal to expected TxPSD.
- `LteDownlinkPowerControlTestCase` test case check if TX power difference between data and control channel is equal to configured `PdschConfigDedicated::P_A` value. TX power of control channel is measured by `LteTestSinrChunkProcessor` added to `RsPowerChunkProcessor` list in UE `DownlinkSpectrumPhy`. Tx power of data channel is measured in similar way, but it had to be implemented. Now `LteTestSinrChunkProcessor` is added to `DataPowerChunkProcessor` list in UE `DownlinkSpectrumPhy`. Test vector contain a set of all available `P_A` values. Test pass if power difference equals `P_A` value.
- `LteDownlinkPowerControlRrcConnectionReconfiguration` test case check if `RrcConnectionReconfiguration` is performed correctly. When FR entity gets UE measurements, it immediately calls function to change `P_A` value for this UE and also triggers callback connected with this event. Then, test check if UE gets `RrcConnectionReconfiguration` message (it trigger callback). Finally, it checks if eNB receive `RrcConnectionReconfigurationCompleted` message, what also trigger callback. The test passes if all event have occurred. The test is performed two times, with `IdealRrcProtocol` and with `RealRrcProtocol`.

## Uplink Power Control Tests

UE uses Uplink Power Control to automatically change Tx Power level for Uplink Physical Channels. Tx Power is computed based on path-loss, number of RB used for transmission, some configurable parameters and TPC command from eNB.

The test suite `lte-uplink-power-control` verifies if Tx Power is computed correctly. There are three different test cases:

- `LteUplinkOpenLoopPowerControlTestCase` test case checks Uplink Power Control functionality in Open Loop mechanism. UE is attached to eNB and is transmitting data in Downlink and Uplink. Uplink Power Control with Open Loop mechanism is enabled and UE changes position each 100 ms. In each position Uplink Power Control entity is calculating new Tx Power level for all uplink channels. These values are traced and test passes if Uplink Tx Power for PUSCH, PUCCH and SRS in each UE position are equal to expected values.
- `LteUplinkClosedLoopPowerControlAbsoluteModeTestCase` test case checks Uplink Power Control functional-ity with Closed Loop mechanism and Absolute Mode enabled. UE is attached to eNB and is transmitting

data in

Downlink and Uplink. Uplink Power Control with Closed Loop mechanism and Absolute Mode is enabled. UE

is located 100 m from eNB and is not changing its position. LteFfrSimple algorithm is used on eNB side to set

TPC values in DL-DCI messages. TPC configuration in eNB is changed every 100 ms, so every 100 ms Uplink

Power Control entity in UE should calculate different Tx Power level for all uplink channels. These values are

traced and test passes if Uplink Tx Power for PUSCH, PUCCH and SRS computed with all TCP values are equal to expected values.

- LteUplinkClosedLoopPowerControlAccumulatedModeTestCase test case checks Closed Loop Uplink Power Control functionality with Closed Loop mechanism and Accumulative Mode enabled. UE is attached to eNB

and is transmitting data in Downlink and Uplink. Uplink Power Control with Closed Loop mechanism and Accumulative Mode is enabled. UE is located 100 m from eNB and is not changing its position. As in above

test case, LteFfrSimple algorithm is used on eNB side to set TPC values in DL-DCI messages, but in this case

TPC command are set in DL-DCI only configured number of times, and after that TPC is set to be 1, what is

mapped to value of 0 in Accumulative Mode (TS36.213 Table 5.1.1.1-2). TPC configuration in eNB is changed

every 100 ms. UE is accumulating these values and calculates Tx Power levels for all uplink channels based on

accumulated value. If computed Tx Power level is lower than minimal UE Tx Power, UE should transmit with

its minimal Tx Power. If computed Tx Power level is higher than maximal UE Tx Power, UE should transmit

with its maximal Tx Power. Tx Power levels for PUSCH, PUCCH and SRS are traced and test passes if they are

equal to expected values.

Frequency Reuse Algorithms

The test suite lte-frequency-reuse contain two types of test cases.

First type of test cases check if RBGs are used correctly according to FR algorithm policy. We are testing if scheduler

use only RBGs allowed by FR configuration. To check which RBGs are used LteSimpleSpectrumPhy is attached to

Downlink Channel. It notifies when data downlink channel transmission has occurred and pass signal TxPsd spectrum

value to check which RBs were used for transmission. The test vector comprise a set of configuration for Hard and

Strict FR algorithms (there is no point to check other FR algorithms in this way because they use entire cell bandwidth).

Test passes if none of not allowed RBGs are used.

Second type of test cases check if UE is served within proper sub-band and with proper transmission power. In

this test scenario, there are two eNBs. There are also two UEs and each eNB is serving one. One uses Frequency

Reuse algorithm and second one does not. Second eNB is responsible for generating interferences in whole system

bandwidth. UE served by first eNB is changing position each few second (rather slow because time is needed to report new UE Measurements). To check which RBGs are used for this UE `LteSimpleSpectrumPhy` is attached to Downlink Channel. It notifies when data downlink channel transmission in cell 1 has occurred and pass signal TxPsd spectrum value to check which RBs were used for transmission and their power level. The same approach is applied in Uplink direction and second `LteSimpleSpectrumPhy` is attached to Uplink Channel. Test passes if UE served by eNB with FR algorithm is served in DL and UL with expected RBs and with expected power level. Test vector comprise a configuration for Strict FR, Soft FR, Soft FFR, Enhanced FFR. Each FR algorithm is tested with all schedulers, which support FR (i.e. PF, PSS, CQA, TD-TBFQ, FD-TBFQ). (Hard FR do not use UE measurements, so there is no point to perform this type of test for Hard FR). Test case for Distributed FFR algorithm is quite similar to above one, but since eNBs need to exchange some information, scenario with EPC enabled and X2 interfaces is considered. Moreover, both eNB are using Distributed FFR algorithm. There are 2 UE in first cell, and 1 in second cell. Position of each UE is changed (rather slow because time is needed to report new UE Measurements), to obtain different result from calculation in Distributed FFR algorithm entities. To check which RBGs are used for UE transmission `LteSimpleSpectrumPhy` is attached to Downlink Channel. It notifies when data downlink channel transmission has occurred and pass signal TxPsd spectrum value to check which RBs were used for transmission and their power level. The same approach is applied in Uplink direction and second `LteSimpleSpectrumPhy` is attached to Uplink Channel. Test passes if UE served by eNB in cell 2, is served in DL and UL with expected RBs and with expected power level. Test vector comprise a configuration for Distributed FFR. Test is performed with all schedulers, which support FR (i.e. PF, PSS, CQA, TD-TBFQ, FD-TBFQ).

#### Inter-cell Interference with FR algorithms Tests

The test suite `lte-interference-fr` is very similar to `lte-interference`. Topology (Figure Topology for the inter-cell interference test) is the same and test checks interference level. The difference is that, in this test case Frequency Reuse algorithms are enabled and we are checking interference level on different RBGs (not only on one). For example, when we install Hard FR algorithm in eNBs, and first half of system bandwidth is assigned to one eNB, and second half to second eNB, interference level should be much lower compared to legacy scenario. The test vector comprise a set of configuration for all available Frequency Reuse Algorithms. Test passes if calculated SINR on specific RBs is equal to these obtained by Octave script.

## Carrier aggregation test

The test suite `lte-carrier-aggregation` is a system test program that creates different test cases with a single

eNB and several UEs, all having the same radio bearer specification. Different test cases are implemented by using

different SINR values and different numbers of UEs. eNBs and UEs are configured to use the secondary carrier and the

component carrier manager is configured to split the data uniformly between primary and secondary carrier. The test

consists of checking that the throughput obtained over the different carriers are equal considering a given tolerance.

For more details about this test, see the section `Carrier aggregation usage example`.

## Carrier aggregation test for eNB and UE configuration

The test suite `carrier-aggregation-config-test` is a system test program, which verifies the following two

cases:

- When carrier aggregation is enabled and UE carriers configuration is different than the default configuration

done in `LteHelper`, we check that the UE(s) is configured properly once it receives RRC Connection Reconfig-

uration message from eNB.

- A user can configure 2 or more eNBs and UEs with different configuration parameters, i.e., each eNB and UE

can have different EARFCN and Bandwidths and a UE connects to an eNB with similar DL EARFCN. In this test, we check with CA enabled but the end results will be the same if carrier aggregation is not enabled and we

have more than one eNBs and UEs with different configurations.

Since, we do not need EPC to test the configuration, this test only simulates the LTE radio access with RLC SM. There

are two test cases, Test 1 tests that the UE is configured properly after receiving RRC Connection Reconfiguration

message from the eNB, which will overwrite UE default configuration done in `LteHelper` for the sake of creating PHY

and MAC instances equal to the number of component carriers. Test 2 tests that every eNB or UE in a simulation

scenario can be configured with different EARFCNs and Bandwidths. For both test cases, it also counts the number

of times the hooked trace source `SCarrierConfigured` get triggered. As, it reflects how many UEs got attached to

their respective eNB. If the count is not equal to the number of UEs in the scenario, the test

fails, which could be the

result of improper UE configuration.

## Radio link failure Test

The test suite `lte-radio-link-failure` is a system test, which tests the radio link failure functionality using Ideal

and Real RRC protocols. In particular, it tests the following to verify the Radio link Failure (RLF) implementation.

1. The state and the configuration of the UE while it is connected to the eNB.
2. The state of the UE while T310 timer is running at the UE.
3. The number of out-of-sync and in-synch indications received.
4. The state of the UE before the simulation end.

5. The UE context existence at the eNB before the simulation end.

This test simulates only one static UE with EPC performing downlink and uplink communication in the following two scenarios:

In this scenario, the UE is initially placed near to the eNB, and on the following instances above conditions are verified against the expected outcome.

At 0.3 sec: It verifies that the UE is well connected, i.e., it is in "CONNECTED\_NORMALLY" state, and is attached

to the eNB with cell id 1. It also checks for the match between the configuration of the UE and the UE context at the

eNB, e.g., IMSI, bandwidth, D/UL EARFCN, number of bearers and the bearer IDs. The miss match would result in

the test suite failure.

At 0.4 sec: The UE jumps far away from the eNB, which causes the DL SINR at the UE to fall below -5 dB. In result,

the UE PHY after monitoring the SINR for 20 consecutive frames will send a notification to the UE RRC. In this test,

the N310 counter is set to 1; thus, the UE RRC will start the T310 (set to 1 sec) timer upon the first notification from

the PHY layer.

At 1 sec: At this stage, it is expected that the T310 timer is still running, and the UE is connected to the eNB.

Upon RLF: It is expected that the UE RRC will start the T310 timer upon reaching the configured, i.e., N310 =

1 number of notification from the eNB. The RRC will receive no in-sync indication since the UE stays at far away

position.

Before the end of simulation: The expected behavior is that the UE state will be in

"IDLE\_CELL\_SEARCH" since

there is no eNB available where it has jumped. Moreover, the deletion of the UE context from the eNB is also verified.

In this scenario, the only difference is the addition of a second eNB near the position where the UE jumps away.

Therefore, except the outcome before the end of the simulation, all the outcomes are similar to that we expected in the

first scenario.

Before the end of simulation: It is expected that the UE after the RLF will connect to the second eNB, i.e., it will be

in "CONNECTED\_NORMALLY" state, and its context exists in the second eNB.

The main objective of the profiling carried out is to assess the simulator performance on a broad set of scenarios. This

evaluation provides reference values for simulation running times and memory consumption figures. It also helps to

identify potential performance improvements and to check for scalability problems when increasing the number of

eNodeB and UEs attached to those.

In the following sections, a detailed description of the general profiling framework employed to perform the study is

introduced. It also includes details on the main performed tests and its results evaluation.

Simulation scripts

The simulation script used for all the E-UTRAN results showed in this documentation is located at `src/lte/examples/lena-profiling.cc` . It uses the complete PHY and MAC UE/eNodeB implementation with a simplified RLC implementation on top. This script generates a squared grid topology, placing a eNodeB at the centre of each square. UEs attached to this node are scattered randomly across the square (using a random uniform distribution along X and Y axis). If `BuildingPropagationModel` is used, the squares are replaced by rooms. To generate the UL and DL traffic, the RLC implementation always report data to be transferred. For the EPC results, the script is `src/lte/examples/lena-simple-epc.cc` . It uses a complete E-UTRAN implementation (PHY+MAC+RLC/UM+PDCP) and the most relevant EPC user plane entities the PGW and SGW, including GTP-U tunneling. This script generates a given number of eNodeBs, distributed across a line and attaches a single UE to every eNodeB. It also creates an EPC network and an external host connected to it through the Internet. Each UE sends and receives data to and from the remote host. In addition, each UE is also sending data to the UE camped in the adjacent eNodeB. RLC and MAC traces are enabled for all UEs and all eNodeBs and those traces are written to disk directly. The MAC scheduler used is round robin .

Simulation input parameters

Thelena-profiling simulation script accepts the following input parameters:

- simTime : time to simulate (in seconds)
- nUe: number of UEs attached to each eNodeB
- nEnb : number of eNodeB composing the grid per floor
- nFloors : number of floors, 0 for Friis propagation model (no walls), 1 or greater for Building propagation model generating a nFloors-storey building.
- traceDirectory : destination directory where simulation traces will be stored

Thelena-simple-epc script accepts those other parameters:

- simTime : time to simulate (in seconds)
- numberOfNodes : number of eNodeB + UE pairs created

Time measurement

Running time is measured using default Linux shell command `time` . This command counts how much user time the execution of a program takes.

Perl script

To simplify the process of running the profiling script for a wide range of values and collecting its timing data, a simple Perl script to automate the complete process is provided. It is placed in `src/lte/test/lte-test-run-time.pl` forlena-profiling and `insrc/lte/epc-test-run-time.pl` forlena-simple-epc . It simply runs a batch of simulations with a range of parameters and stores the timing results in a CSV file called `lteTimes.csv` and `epcTimes.csv` respectively. The range of values each parameter sweeps can be modified editing the corresponding script.

## Requirements

The following Perl modules are required to use the provided script, all of them available from CPAN:

- IO::CaptureOutput
- Statistics::Descriptive

For installing the modules, simply use the following command:

```
perl -MCPAN -e 'install moduleName'
```

## Plotting results

To plot the results obtained from running the Perl scripts, two gnuplot scripts are provided, in `src/lte/test/`

`lte-test-run-plot` and `src/lte/test/epc-test-run-plot`. Most of the plots available in this documentation can be reproduced with those, typing the commands `gnuplot < src/lte/test/lte-test-run-plot` and `gnuplot < src/lte/test/epc-test-run-plot`.

## Reference software and equipment

All timing tests had been run in a Intel Pentium IV 3.00 GHz machine with 512 Mb of RAM memory running Fedora

Core 10 with a 2.6.27.41-170.2.117 kernel, storing the traces directly to the hard disk.

Also, as a reference configuration, the build has been configured static and optimized. The exact `ns3` command issued

is:

```
CXXFLAGS="-O3 -w" ./ns3 configure -d optimized --enable-static --enable-examples  
--enable-modules=lte
```

The following results and figures had been obtained with LENA changeset 2c5b0d697717.

## Running time

This scenario, evaluates the running time for a fixed simulation time (10s) and Friis propagation mode increasing the

number of UEs attached to each eNodeB and the number of planted eNodeBs in the scenario.

0 500 1000 1500 2000 2500 3000 3500 4000

0 5 10 15 20 25 30 Running time [s]

Number of UEs per eNodeB Simulation time = 10 s - Friis Model

1 eNodeB

2 eNodeB

4 eNodeB

6 eNodeB

8 eNodeB

12 eNodeB

14 eNodeB

18 eNodeB

22 eNodeB

The figure shows the expected behaviour, since it increases linearly respect the number of UEs per eNodeB and

quadratically respect the total number of eNodeBs.

## Propagation model

The objective of this scenario is to evaluate the impact of the propagation model complexity in the overall run time

figures. Therefore, the same scenario is simulated twice: once using the more simple Friis model, once with the more

complex Building model. The rest of the parameters (e.g. number of eNodeB and of UE attached per eNodeB) were

maintained. The timing results for both models are compared in the following figure.

In this situation, results are also coherent with what is expected. The more complex the model, the higher the running



time. Moreover, as the number of computed path losses increases (i.e. more UEs per eNodeB or more eNodeBs) the

extra complexity of the propagation model drives the running time figures further apart.

0 1000 2000 3000 4000 5000 6000

0 5 10 15 20 25 30Running time [s]

Number of UEs per eNodeBSimulation time = 10 s

4 eNodeB - Friis

4 eNodeB - Building

8 eNodeB - Friis

8 eNodeB - Building

12 eNodeB - Friis

12 eNodeB - Building

14 eNodeB - Friis

14 eNodeB - Building

18 eNodeB - Friis

18 eNodeB - Building

22 eNodeB - Friis

22 eNodeB - Building

Simulation time

In this scenario, for a fixed set of UEs per eNodeB, different simulation times had been run. As the simulation time

increases, running time should also increase linearly, i.e. for a given scenario, simulate four seconds should take twice

times what it takes to simulate two seconds. The slope of this line is a function of the complexity of the scenario: the

more eNodeB / UEs placed, the higher the slope of the line.

Memory usage

Massif tool to profile memory consumption

The following results and figures had been obtained with LENA changeset e8b3ccdf6673 . The rationale behind the

Running time

Running time evolution is quadratic since we increase at the same time the number of eNodeB and the number of UEs.

To estimate the additional complexity of the upper LTE Radio Protocol Stack model and the EPC model, we compare

EPC and no ns-3 applications) against the complete E-UTRAN + EPC (with UM RLC, PDCP, end-to-end IP network-

ing and regular ns-3 applications). Both configuration have been tested with the same number of UEs per eNodeB, the

same number of eNodeBs, and approximately the same volume of transmitted data (an exact match was not possible

due to the different ways in which packets are generated in the two configurations).

0 500 1000 1500 2000 2500 3000

0 2 4 6 8 10 12 14 16Running time [s]

Simulation timeFriis propagation model 15 UE per eNodeB

1 eNodeB

2 eNodeB

4 eNodeB

6 eNodeB

8 eNodeB

12 eNodeB

14 eNodeB  
 18 eNodeB  
 22 eNodeB  
 0 200 400 600 800 1000 1200 1400 1600 1800 2000  
 2 3 4 5 6 7 8 9 10 Memory (KiB)  
 Number of UEs Peak Memory (Simulation Time = 1s)  
 Number of eNBs  
 2 - Friis  
 2 - Building  
 0 5 10 15 20 Running time [s]  
 Number eNodeB Simulation time = 5 s - 1 UE per eNodeB  
 0 5 10 15 20 Running time [s]  
 Number eNodeB Simulation time = 5 s - 1 UE per eNodeB

From the figure, it is evident that the additional complexity of using the upper LTE stack plus the EPC model translates approximately into a doubling of the execution time of the simulations. We believe that, considered all the new features that have been added, this figure is acceptable.

Simulation time  
 Finally, again the linearity of the running time as the simulation time increases gets validated through a set of experiments, as the following figure shows.

0 2 4 6 8 10 Running time [s]  
 Simulation time [s] 1 UE per eNodeB  
 8 eNodeBs

## WI-FI MESH MODULE DOCUMENTATION

Thens-3 mesh module extends the ns-3 wifi module to provide mesh networking capabilities according to the IEEE 802.11s standard [ieee80211s].

The basic purpose of IEEE 802.11s is to define a mode of operation for Wi-Fi that permits frames to be forwarded over multiple radio hops transparent to higher layer protocols such as IP. To accomplish this, mesh-capable stations form a Mesh Basic Service Set (MBSS) by running a pair-wise peering protocol to establish forwarding associations, and by running a routing protocol to find paths through the network. A special gateway device called a mesh gate allows a MBSS to interconnect with a Distribution System (DS).

The basic enhancements defined by IEEE 802.11s include:

- discovery services
- peering management
- security
- beaconing and synchronization
- the Mesh Coordination Function (MCF)
- power management
- channel switching
- extended frame formats
- path selection and forwarding
- interworking (proxy mesh gateways)
- intra-mesh congestion control, and
- emergency service support.

Thens-3 models implement only a subset of the above service extensions, focusing mainly on those items related to

peering and routing/forwarding of data frames through the mesh.

The Mesh NetDevice based on 802.11s D3.0 draft standard was added in ns-3.6 and includes the Mesh Peering

Management Protocol and HWMP (routing) protocol implementations. An overview presentation by Kirill Andreev

was published at the Workshop on ns-3 in 2009 [And09]. An overview paper is available at [And10].

As of ns-3.23 release, the model has been updated to the 802.11s-2012 standard [ieee80211s] with regard to packet

formats, based on the contribution in [Hep15].

These changes include:

- Category codes and the categories compliant to IEEE-802.11-2012 Table 8-38—Category values.
- Information Elements (An adjustment of the element ID values was needed according to Table 8-54 of IEEE-802.11-2012).

- Mesh Peering Management element format changed according to IEEE-802.11-2012 Figure 8-370.

- Mesh Configuration element format changed according to IEEE-802.11-2012 Figure 8-363.

- PERR element format changed according to IEEE-802.11-2012 Figure 8-394.

With these changes the messages of the Peering Management Protocol and Hybrid Wireless Mesh Protocol will be

transmitted compliant to IEEE802.11-2012 and the resulting pcap trace files can be analyzed by Wireshark.

The multi-interface mesh points are supported as an extension of IEEE draft version 3.0. Note that corresponding ns-3

mesh device helper creates a single interface station by default.

Overview of IEEE 802.11s

The implementation of the 802.11s extension consists of two main parts: the Peer Management Protocol (PMP) and

Hybrid Wireless Mesh Protocol (HWMP).

The tasks of the peer management protocol are the following:

- opening links, detecting beacons, and starting peer link finite state machine, and
- closing peer links due to transmission failures or beacon loss.

If a peer link between the sender and receiver does not exist, a frame will be dropped. So, the plug-in to the peer

management protocol (PMP) is the first in the list of ns3::MeshWifiInterfaceMacPlugins to be used.

Peer management protocol

The peer management protocol consists of three main parts:

- the protocol itself, ns3::dot11s::PeerManagementProtocol , which keeps all active peer links on inter-

faces, handles all changes of their states and notifies the routing protocol about link failures.

- the MAC plug-in, ns3::dot11s::PeerManagementProtocolMac , which drops frames if there is no peer link, and peeks all needed information from management frames and information elements from beacons.

- the peer link, ns3::dot11s::PeerLink , which keeps finite state machine of each peer link, keeps beacon loss

counter and counter of successive transmission failures.

The procedure of closing a peer link is not described in detail in the standard, so in the model the link may be closed

by:

- beacon loss (see an appropriate attribute of ns3::dot11s::PeerLink class)
- transmission failure – when a predefined number of successive packets have failed to transmit, the

link will be closed.

The peer management protocol is also responsible for beacon collision avoidance, because it keeps beacon timing elements from all neighbours. Note that the PeerManagementProtocol is not attached to the MeshPointDevice as a routing protocol, but the structure is similar: the upper tier of the protocol is

ns3::dot11s::PeerManagementProtocol and its plug-in is ns3::dot11s::PeerManagementProtocolMac . Hybrid Wireless Mesh Protocol

HWMP is implemented in both modes, reactive and proactive, although path maintenance is not implemented (so

active routes may time out and need to be rebuilt, causing packet loss). Also the model implements an ability to

transmit broadcast data and management frames as unicasts (see appropriate attributes). This feature is disabled at a

station when the number of neighbors of the station is more than a threshold value.

Forwarding delay

Previous versions of this model have had issues with collisions due to the lack of a model for forwarding delay, and

lack of clarity about whether backoff should be invoked when forwarding a broadcast frame. These issues become

problematic for mesh, because in a topology in which multiple nodes within radio range of the next hop node decide

to forward a received frame at the same time, they will repeatedly collide (if no backoff is triggered). Past contributors

have argued for the triggering of backoff when forwarding a frame (e.g., [Hep16]), but current wifi module maintainers

concluded that the standard does not call for backoff in this case. This was also privately confirmed with a Wi-Fi

vendor. Issue 478 in the GitLab.com tracker has more discussion on this point.

In practice, it is assumed that collisions can be avoided due to the fact that each mesh node will take slightly different

times to process and forward the frame, and one will go first and trigger a channel busy detection on the other nodes.

To accomplish this in ns-3, we must include a model for forwarding delay that includes some randomness.

The class ns3::MeshPointDevice is responsible for forwarding unicast frames, and the class ns3::dot11s::HwmpProtocol is responsible for forwarding management frames when HWMP is used.

ns3::MeshPointDevice has an attribute called ForwardingDelay that configures a random variable (units of mi-

croseconds) from which a forwarding delay value is drawn for each frame forwarding event. The default configuration

of this attribute is a uniform random variable between 300 and 400 microseconds. The mean value was chosen based

on the measurement results reported in [Hep16] which measured and derived an average 350 microsecond delay in

forwarding frames on real mesh devices. The range of this variable is somewhat arbitrary and determined by some

simulation testing to provide a generally low probability of collision; the 100 microsecond range is roughly 11 slot

times. The HWMP protocol can also access this random variable to create forwarding delay for the

forwarding of

management frames. Users may substitute other random variable configurations as desired.

Supported features

- Peering Management Protocol (PMP), including link close heuristics and beacon collision avoidance.
- Hybrid Wireless Mesh Protocol (HWMP), including proactive and reactive modes, unicast/broadcast propagation of management traffic, multi-radio extensions.
- 802.11e compatible airtime link metric.

Verification

- Comes with the custom Wireshark dissector.
- Linux kernel mac80211 layer compatible message formats.

Unsupported features

- Mesh Coordinated Channel Access (MCCA).
- Internetworking: mesh access point and mesh portal.
- Security.
- Power save.
- Path maintenance (sending PREQ proactively before a path expires)
- Though multi-radio operation is supported, no channel assignment protocol is proposed for now.

(Correct

channel switching is not implemented)

Models yet to be created

- Mesh access point (QoS + non-QoS?)
- Mesh portal (QoS + non-QoS?)

Open issues

Users should be aware that the mesh module has not been actively maintained for several years and that there may be

some performance and standards-alignment issues with the current code. Below is a listing of possible (confirmed and unconfirmed) issues.

A bug was previously reported in the Wi-Fi module that manifests itself as performance degradation in large mesh

networks, due to incorrect duplicate frame detection for QoS data frames

([https://www.nsnam.org/bugzilla/show\\_bug.cgi?id=2326](https://www.nsnam.org/bugzilla/show_bug.cgi?id=2326)).

Mesh does not work for 802.11n/ac/ax stations (<https://gitlab.com/nsnam/ns-3-dev/-/issues/176>).

Mesh PCAP is not decoded properly by Wireshark

([https://www.nsnam.org/bugzilla/show\\_bug.cgi?id=2880](https://www.nsnam.org/bugzilla/show_bug.cgi?id=2880)).

Energy module can not be used on mesh devices ([https://www.nsnam.org/bugzilla/show\\_bug.cgi?id=2265](https://www.nsnam.org/bugzilla/show_bug.cgi?id=2265)).

IE11S\_MESH\_PEERING\_PROTOCOL\_VERSION should be removed as per standard. Protocol ID should actually be part of the Mesh Peering Management IE ([https://www.nsnam.org/bugzilla/show\\_bug.cgi?id=2600](https://www.nsnam.org/bugzilla/show_bug.cgi?id=2600)).

MeshInformationElementVector printing error ([https://www.nsnam.org/bugzilla/show\\_bug.cgi?id=2728](https://www.nsnam.org/bugzilla/show_bug.cgi?id=2728)).

Mesh is not compatible with IPv6 ([https://www.nsnam.org/bugzilla/show\\_bug.cgi?id=2881](https://www.nsnam.org/bugzilla/show_bug.cgi?id=2881)).

Mesh is forwarding multicast frames as unicast rather than as group-addressed frames (<https://gitlab.com/nsnam/ns-3-dev/-/issues/485>).

Mesh group addresses are not being set correctly for multicast frames (<https://gitlab.com/nsnam/ns-3-dev/-/issues/476>).

476).

MPI FOR DISTRIBUTED SIMULATION

Parallel and distributed discrete event simulation allows the execution of a single simulation program on multiple

processors. By splitting up the simulation into logical processes, LPs, each LP can be executed by a different processor. This simulation methodology enables very large-scale simulations by leveraging increased processing power and memory availability. In order to ensure proper execution of a distributed simulation, message passing between LPs is required. To support distributed simulation in ns-3, the standard Message Passing Interface (MPI) is used, along with a new distributed simulator class. Currently, dividing a simulation for distributed purposes in ns-3 can only occur across point-to-point links.

During the course of a distributed simulation, many packets must cross simulator boundaries. In other words, a packet that originated on one LP is destined for a different LP, and in order to make this transition, a message containing the packet must be sent and received as normal. The process of sending and receiving messages between LPs is handled easily by the new MPI interface in ns-3.

Along with simple message passing between LPs, a distributed simulator is used on each LP to determine which events to process. It is important to process events in time-stamped order to ensure proper simulation execution. If a LP receives a message containing an event from the past, clearly this is an issue, since this event could change other events which have already been executed. To address this problem, two conservative synchronization algorithms with lookahead are used in ns-3. For more information on different synchronization approaches and parallel and distributed simulation in general, please refer to "Parallel and Distributed Simulation Systems" by Richard Fujimoto.

The default parallel synchronization strategy implemented in the `DistributedSimulatorImpl` class is based on a globally synchronized algorithm using an MPI collective operation to synchronize simulation time across all LPs. A second synchronization strategy based on local communication and null messages is implemented in the `NullMessageSimulatorImpl` class. For the null message strategy the global all to all gather is not required; LPs only need to communicate with LPs that have shared point-to-point links. The algorithm to use is controlled by which the ns-3 global value `SimulatorImplementationType`.

The best algorithm to use is dependent on the communication and event scheduling pattern for the application. In general, null message synchronization algorithms will scale better due to local communication scaling better than a global all-to-all gather that is required by `DistributedSimulatorImpl`. There are two known cases where the global synchronization performs better. The first is when most LPs have point-to-point link with most other LPs, in other words the LPs are nearly fully connected. In this case the null message algorithm will generate more message passing

traffic than the all-to-all gather. A second case where the global all-to-all gather is more efficient is when there are long periods of simulation time when no events are occurring. The all-to-all gather algorithm is able to quickly determine then next event time globally. The nearest neighbor behavior of the null message algorithm will require more communications to propagate that knowledge; each LP is only aware of neighbor next event times. As described in the introduction, dividing a simulation for distributed purposes in ns-3 currently can only occur across point-to-point links; therefore, the idea of remote point-to-point links is very important for distributed simulation in ns-3. When a point-to-point link is installed, connecting two nodes, the point-to-point helper checks the system id, or rank, of both nodes. The rank should be assigned during node creation for distributed simulation and is intended to signify on which LP a node belongs. If the two nodes are on the same rank, a regular point-to-point link is created. If, however, the two nodes are on different ranks, then these nodes are intended for different LPs, and a remote point-to-point link is used. If a packet is to be sent across a remote point-to-point link, MPI is used to send the message to the remote LP.

Currently, the full topology is created on each rank, regardless of the individual node system ids.

Only the applica-

tions are specific to a rank. For example, consider node 1 on LP 1 and node 2 on LP 2, with a traffic generator on

node 1. Both node 1 and node 2 will be created on both LP1 and LP2; however, the traffic generator will only be

installed on LP1. While this is not optimal for memory efficiency, it does simplify routing, since all current routing

implementations in ns-3 will work with distributed simulation.

Ensure that MPI is installed, as well as mpic++. In Ubuntu repositories, these are openmpi-bin, openmpi-common,

openmpi-doc, libopenmpi-dev. In Fedora, these are openmpi and openmpi-devel.

Note:

There is a conflict on some Fedora systems between libotf and openmpi. A possible “quick-fix” is to yum remove

libotf before installing openmpi. This will remove conflict, but it will also remove emacs.

Alternatively, these steps

could be followed to resolve the conflict:

1) Rename the tiny otfdump which emacs says it needs:

```
$ mv /usr/bin/otfdump /usr/bin/otfdump.emacs-version
```

2) Manually resolve openmpi dependencies:

```
$ sudo yum install libgfortran libtorque numactl
```

3) Download rpm packages:

```
openmpi-1.3.1-1.fc11.i586.rpm
```

```
openmpi-devel-1.3.1-1.fc11.i586.rpm
```

```
openmpi-libs-1.3.1-1.fc11.i586.rpm
```

```
openmpi-vt-1.3.1-1.fc11.i586.rpm
```

from <http://mirrors.kernel.org/fedora/releases/11/Everything/i386/os/Packages/>

4) Force the packages in:

```
$ sudo rpm -ivh --force \  
openmpi-1.3.1-1.fc11.i586.rpm \  
openmpi-libs-1.3.1-1.fc11.i586.rpm \  
(continues on next page)  
(continued from previous page)  
openmpi-devel-1.3.1-1.fc11.i586.rpm \  
openmpi-vt-1.3.1-1.fc11.i586.rpm
```

Also, it may be necessary to add the openmpi bin directory to PATH in order to execute mpic++ and mpirun from the

command line. Alternatively, the full path to these executables can be used. Finally, if openmpi complains about the

inability to open shared libraries, such as libmpi\_cxx.so.0, it may be necessary to add the openmpi lib directory to

Here is an example of setting up PATH and LD\_LIBRARY\_PATH using a bash shell:

- For a 32-bit Linux distribution:

```
$ export PATH=$PATH:/usr/lib/openmpi/bin
```

```
$ export LD_LIBRARY_PATH=$LD_LIBRARY_PATH:/usr/lib/openmpi/lib
```

For a 64-bit Linux distribution:

```
$ export PATH=$PATH:/usr/lib64/openmpi/bin
```

```
$ export LD_LIBRARY_PATH=$LD_LIBRARY_PATH:/usr/lib64/openmpi/lib
```

These lines can be added into ~/.bash\_profile or ~/.bashrc to avoid having to retype them when a new shell is opened.

Note 2: There is a separate issue on recent Fedora distributions, which is that the libraries are built with A VX instruc-

tions. On older machines or some virtual machines, this results in an illegal instruction being thrown. This is not an

ns-3 issue, a simple MPI test case will also fail. The A VX instructions are being called during initialization.

The symptom of this is that attempts to run an ns-3 MPI program will fail with the error: terminated with signal

SIGILL . To check if this is the problem, run:

```
$ grep avx /proc/cpuinfo
```

and it will not return anything if A VX is not present.

If A VX is not supported, it is recommended to switch to a different MPI implementation such as MPICH:

```
$ dnf remove openmpi openmpi-devel
```

```
$ dnf install mpich mpich-devel environment-modules
```

```
$ module load mpi/mpich-x86_64
```

If you already built ns-3 without MPI enabled, you must re-build:

```
$ ./ns3 distclean
```

Configure ns-3 with the --enable-mpi option:

```
$ ./ns3 configure -d debug --enable-examples --enable-tests --enable-mpi
```

Ensure that MPI is enabled by checking the optional features shown from the output of configure.

Next, build ns-3:

```
$ ./ns3
```

After building ns-3 with mpi enabled, the example programs are now ready to run with mpiexec . It is advised to avoid

running ns3 directly with mpiexec ; two options that should be more robust are to either use the --command-template

way of running the mpiexec program, or to use ./ns3 shell and run the executables directly on the command line. Here



are a few examples (from the root ns-3 directory):

```
$ ./ns3 run simple-distributed --command-template="mpiexec -np 2 %s"
```

```
$ ./ns3 run nms-p2p-nix-distributed --command-template="mpiexec -np 2 -machinefile  
!,mpihosts %s --nix=0"
```

An example using the null message synchronization algorithm:

```
$ ./ns3 run simple-distributed --command-template="mpiexec -np 2 %s --nullmsg"
```

The np switch is the number of logical processors to use. The machinefile switch is which machines to use. In order

to use machinefile, the target file must exist (in this case mpihosts). This can simply contain something like:

```
localhost
```

```
localhost
```

```
localhost
```

```
...
```

Or if you have a cluster of machines, you can name them.

The other alternative to command-template is to use ./ns3 shell . Here are the equivalent examples to the above (assuming

optimized build profile):

```
$ ./ns3 shell
```

```
$ cd build/src/mpi/examples
```

```
$ mpiexec -np 2 ns3-dev-simple-distributed-optimized
```

```
$ mpiexec -np 2 -machinefile mpihosts ns3-dev-nms-p2p-nix-distributed-optimized --  
!,nix=0
```

```
$ mpiexec -np 2 ns3-dev-simple-distributed-optimized --nullmsg
```

The global value SimulatorImplementationType is used to set the synchronization algorithm to use.

This value must

be set before the MpiInterface::Enable method is invoked if the default DistributedSimulatorImpl is not used. Here

is an example code snippet showing how to add a command line argument to control the synchronization algorithm

choice::

```
cmd.AddValue("nullmsg", "Enable the use of null-message synchronization", nullmsg);
```

```
if(nullmsg)
```

```
{
```

```
GlobalValue::Bind("SimulatorImplementationType",
```

```
StringValue("ns3::NullMessageSimulatorImpl"));
```

```
}
```

```
else
```

```
{
```

```
GlobalValue::Bind("SimulatorImplementationType",
```

```
StringValue("ns3::DistributedSimulatorImpl"));
```

```
}
```

```
// Enable parallel simulator with the command line arguments
```

```
MpiInterface::Enable(&argc, &argv);
```

The example programs in src/mpi/examples give a good idea of how to create different topologies for distributed

simulation. The main points are assigning system ids to individual nodes, creating point-to-point links where the

simulation should be divided, and installing applications only on the LP associated with the target node.

Assigning system ids to nodes is simple and can be handled two different ways. First, a

NodeContainer can be used to  
create the nodes and assign system ids:

```
NodeContainer nodes;  
nodes.Create(5, 1); // Creates 5 nodes with system id 1.
```

Alternatively, nodes can be created individually, assigned system ids, and added to a NodeContainer.  
This is useful if

a NodeContainer holds nodes with different system ids:

```
NodeContainer nodes;  
nodes.Add(node1);  
nodes.Add(node2);
```

Next, where the simulation is divided is determined by the placement of point-to-point links. If a point-to-point link is created between two nodes with different system ids, a remote point-to-point link is created, as described in Current

Implementation Details .

Finally, installing applications only on the LP associated with the target node is very important.

For example, if a

traffic generator is to be placed on node 0, which is on LP0, only LP0 should install this

application. This is easily

accomplished by first checking the simulator system id, and ensuring that it matches the system id of the target node

before installing the application.

Depending on the system id (rank) of the simulator, the information traced will be different, since traffic originating

on one simulator is not seen by another simulator until it reaches nodes specific to that simulator.

The easiest way to

keep track of different traces is to just name the trace files or pcaps differently, based on the system id of the simulator.

For example, something like this should work well, assuming all of these local variables were previously defined:

```
if(MpiInterface::GetSystemId() == 0)  
{  
    pointToPoint.EnablePcapAll("distributed-rank0");  
    phy.EnablePcap("distributed-rank0", apDevices.Get(0));  
    csma.EnablePcap("distributed-rank0", csmaDevices.Get(0), true);  
}  
else if (MpiInterface::GetSystemId() == 1)  
{  
    pointToPoint.EnablePcapAll("distributed-rank1");  
    phy.EnablePcap("distributed-rank1", apDevices.Get(0));  
    csma.EnablePcap("distributed-rank1", csmaDevices.Get(0), true);  
}
```

The mobility support in ns-3 includes:

- a set of mobility models which are used to track and maintain the current cartesian position and speed of an object.
- a “course change notifier” trace source which can be used to register listeners to the course changes of a mobility model
- a number of helper classes which are used to place nodes and setup mobility models (including parsers for some

mobility definition formats).

The source code for mobility lives in the directory `src/mobility` .

The design includes mobility models, position allocators, and helper functions.

Inns-3, 'MobilityModel' objects track the evolution of position with respect to a (cartesian) coordinate system. The

mobility model is typically aggregated to an `ns3::Node` object and queried using

`GetObject<MobilityModel>`

(`Get`). The base class `ns3::MobilityModel` is subclassed for different motion behaviors.

The initial position of objects is typically set with a `PositionAllocator`. These types of objects will lay out the position

on a notional canvas. Once the simulation starts, the position allocator may no longer be used, or it may be used to

pick future mobility “waypoints” for such mobility models.

Most users interact with the mobility system using mobility helper classes. The `MobilityHelper` combines a mobility

model and position allocator, and can be used with a node container to install a similar mobility capability on a set of

nodes.

We first describe the coordinate system and issues surrounding multiple coordinate systems.

**Coordinate system**

There are many possible coordinate systems and possible translations between them. ns-3 uses the Cartesian coordinate

system only, at present.

The question has arisen as to how to use the mobility models (supporting Cartesian coordinates) with different coord-

inate systems. This is possible if the user performs conversion between the ns-3 Cartesian and the other coordinate

system. One possible library to assist is the proj4 library for projections and reverse projections.

If we support converting between coordinate systems, we must adopt a reference. It has been suggested to use the

geocentric Cartesian coordinate system as a reference. Contributions are welcome in this regard.

The question has arisen about adding a new mobility model whose motion is natively implemented in a different

coordinate system (such as an orbital mobility model implemented using spherical coordinate system).

We advise

to create a subclass with the APIs desired (such as `Get/SetSphericalPosition`), and new position allocators, and im-

plement the motion however desired, but must also support the conversion to cartesian (by supporting the cartesian

`Get/SetPosition`).

**Coordinates**

The base class for a coordinate is called `ns3::Vector` . While positions are normally described as coordinates and

not vectors in the literature, it is possible to reuse the same data structure to represent position (x,y,z) and velocity

(magnitude and direction from the current position). ns-3 uses class `Vector` for both.

There are also some additional related structures used to support mobility models.

- `Rectangle`
- `Box`
- `Waypoint`

`MobilityModel`

Describe base class

- GetPosition ()
- Position and Velocity attributes
- GetDistanceFrom ()
- CourseChangeNotification

MobilityModel Subclasses

- ConstantPosition
- ConstantVelocity
- ConstantAcceleration
- GaussMarkov
- Hierarchical
- RandomDirection2D
- RandomWalk2D
- RandomWaypoint
- SteadyStateRandomWaypoint
- Waypoint

PositionAllocator

Position allocators usually used only at beginning, to lay out the nodes initial position. However, some mobility models

(e.g. RandomWaypoint) will use a position allocator to pick new waypoints.

- ListPositionAllocator
- GridPositionAllocator
- RandomRectanglePositionAllocator
- RandomBoxPositionAllocator
- RandomDiscPositionAllocator
- UniformDiscPositionAllocator

Helper

A special mobility helper is provided that is mainly aimed at supporting the installation of mobility to a Node container

(when using containers at the helper API level). The MobilityHelper class encapsulates a MobilityModel factory object

and a PositionAllocator used for initial node layout.

Group mobility is also configurable via a GroupMobilityHelper object. Group mobility reuses the HierarchicalMobil-

ityModel allowing one to define a reference (parent) mobility model and child (member) mobility models, with the

position being the vector sum of the two mobility model positions (i.e., the child position is defined as an offset to

the parent position). In the GroupMobilityHelper, the parent mobility model is not associated with any node, and is

used as the parent mobility model for all (distinct) child mobility models. The reference point group mobility model

[Camp2002] is the basis for this ns-3 model.

ns-2 MobilityHelper

The ns-2 mobility format is a widely used mobility trace format. The documentation is available at: <http://www.isi.edu/nsnam/ns/doc/node172.html>

Valid trace files use the following ns-2 statements:

\$node set X\_ x1

\$node set Y\_ y1

\$node set Z\_ z1

\$ns at \$time \$node setdest x2 y2 speed

\$ns at \$time \$node set X\_ x1

\$ns at \$time \$node set Y\_ Y1

\$ns at \$time \$node set Z\_ Z1

In the above, the initial positions are set using the set statements. Also, this set can be specified for a future time,

such as in the last three statements above.

The command setdest instructs the simulation to start moving the specified node towards the coordinate (x2, y2) at the specified time. Note that the node may never get to the destination, but will proceed towards the destination at the

specified speed until it either reaches the destination (where it will pause), is set to a new position (via set), or sent

on another course change (via setdest ).

Note that in ns-3, movement along the Z dimension is not supported.

Some examples of external tools that can export in this format include:

- BonnMotion

- Installation instructions and

- Documentation for using BonnMotion with ns-3

- TraNS

- ns-2 setdest utility

A special Ns2MobilityHelper object can be used to parse these files and convert the statements into ns-3 mobility

events. The underlying ConstantVelocityMobilityModel is used to model these movements.

See below for additional usage instructions on this helper.

- only cartesian coordinates are presently supported

Most ns-3 program authors typically interact with the mobility system only at configuration time.

However, various

ns-3 objects interact with mobility objects repeatedly during runtime, such as a propagation model trying to determine

the path loss between two mobile nodes.

A typical usage pattern can be found in the third.cc program in the tutorial.

First, the user instantiates a MobilityHelper object and sets some Attributes controlling the “position allocator”

functionality.

MobilityHelper mobility;

mobility.SetPositionAllocator("ns3::GridPositionAllocator",

"MinX", DoubleValue(0.0),

"MinY", DoubleValue(0.0),

"DeltaX", DoubleValue(5.0),

"DeltaY", DoubleValue(10.0),

"GridWidth", UIntegerValue(3),

"LayoutType", StringValue("RowFirst"));

This code tells the mobility helper to use a two-dimensional grid to initially place the nodes. The first argument is an

ns-3 TypeId specifying the type of mobility model; the remaining attribute/value pairs configure this position allocator.

Next, the user typically sets the MobilityModel subclass; e.g.:

mobility.SetMobilityModel("ns3::RandomWalk2dMobilityModel",

"Bounds", RectangleValue(Rectangle(-50, 50, -50, 50)));

Once the helper is configured, it is typically passed a container, such as:

mobility.Install(wifiStaNodes);

A MobilityHelper object may be reconfigured and reused for different NodeContainers during the configuration of an ns-3 scenario.

- ns2-mobility-trace.cc
- bonnmotion-ns2-example.cc

ns2-mobility-trace

The ns2-mobility-trace.cc program is an example of loading an ns-2 trace file that specifies the movements of

The program behaves as follows:

- a Ns2MobilityHelper object is created, with the specified trace file.
- A log file is created, using the log file name argument.
- A node container is created with the number of nodes specified in the command line. For this particular trace file, specify the value 2 for this argument.
- the Install() method of Ns2MobilityHelper to set mobility to nodes. At this moment, the file is read line by line, and the movement is scheduled in the simulator.

- A callback is configured, so each time a node changes its course a log message is printed.

The example prints out messages generated by each read line from the ns2 movement trace file. For each line, it shows

if the line is correct, or if it has errors and in this case it will be ignored.

Example usage:

```
$ ./ns3 run "ns2-mobility-trace \  
--traceFile=src/mobility/examples/default.ns_movements \  
--nodeNum=2 \  
--duration=100.0 \  
--logFile=ns2-mob.log"
```

Sample log file output:

```
+0.0ns POS: x=150, y=93.986, z=0; VEL:0, y=50.4038, z=0  
+0.0ns POS: x=195.418, y=150, z=0; VEL:50.1186, y=0, z=0  
+104727357.0ns POS: x=200.667, y=150, z=0; VEL:50.1239, y=0, z=0  
+204480076.0ns POS: x=205.667, y=150, z=0; VEL:0, y=0, z=0
```

bonnmotion-ns2-example

The bonnmotion-ns2-example.cc program, which models the movement of a single mobile node for 1000 seconds

of simulation time, has a few associated files:

- bonnmotion.ns\_movements is the ns-2-formatted mobility trace
- bonnmotion.params is a BonnMotion-generated file with some metadata about the mobility trace
- bonnmotion.ns\_params is another BonnMotion-generated file with ns-2-related metadata.

Neither of the latter two files is used by ns-3, although they are generated as part of the BonnMotion process to output ns-2-compatible traces.

The program bonnmotion-ns2-example.cc will output the following to stdout:

```
At 0.00 node 0: Position(329.82, 66.06, 0.00); Speed(0.53, -0.22, 0.00)  
At 100.00 node 0: Position(378.38, 45.59, 0.00); Speed(0.00, 0.00, 0.00)  
At 200.00 node 0: Position(304.52, 123.66, 0.00); Speed(-0.92, 0.97, 0.00)  
At 300.00 node 0: Position(274.16, 131.67, 0.00); Speed(-0.53, -0.46, 0.00)  
At 400.00 node 0: Position(202.11, 123.60, 0.00); Speed(-0.98, 0.35, 0.00)  
At 500.00 node 0: Position(104.60, 158.95, 0.00); Speed(-0.98, 0.35, 0.00)  
At 600.00 node 0: Position(31.92, 183.87, 0.00); Speed(0.76, -0.51, 0.00)
```

At 700.00 node 0: Position(107.99, 132.43, 0.00); Speed(0.76, -0.51, 0.00)

At 800.00 node 0: Position(184.06, 80.98, 0.00); Speed(0.76, -0.51, 0.00)

At 900.00 node 0: Position(250.08, 41.76, 0.00); Speed(0.60, -0.05, 0.00)

The motion of the mobile node is sampled every 100 seconds, and its position and speed are printed out. This output

may be compared to the output of a similar ns-2 program (found in the ns-2tcl/ex/ directory of ns-2) running from

the same mobility trace.

The next file is generated from ns-2 (users will have to download and install ns-2 and run this Tcl program to see

this output). The output of the ns-2bonnmotion-example.tcl program is shown below for comparison (file

bonnmotion-example.tr ):

M 0.00000 0 (329.82, 66.06, 0.00), (378.38, 45.59), 0.57

M 100.00000 0 (378.38, 45.59, 0.00), (378.38, 45.59), 0.57

M 119.37150 0 (378.38, 45.59, 0.00), (286.69, 142.52), 1.33

M 200.00000 0 (304.52, 123.66, 0.00), (286.69, 142.52), 1.33

M 276.35353 0 (286.69, 142.52, 0.00), (246.32, 107.57), 0.70

M 300.00000 0 (274.16, 131.67, 0.00), (246.32, 107.57), 0.70

M 354.65589 0 (246.32, 107.57, 0.00), (27.38, 186.94), 1.04

M 400.00000 0 (202.11, 123.60, 0.00), (27.38, 186.94), 1.04

M 500.00000 0 (104.60, 158.95, 0.00), (27.38, 186.94), 1.04

M 594.03719 0 (27.38, 186.94, 0.00), (241.02, 42.45), 0.92

M 600.00000 0 (31.92, 183.87, 0.00), (241.02, 42.45), 0.92

M 700.00000 0 (107.99, 132.43, 0.00), (241.02, 42.45), 0.92

M 800.00000 0 (184.06, 80.98, 0.00), (241.02, 42.45), 0.92

M 884.77399 0 (241.02, 42.45, 0.00), (309.59, 37.22), 0.60

M 900.00000 0 (250.08, 41.76, 0.00), (309.59, 37.22), 0.60

The output formatting is slightly different, and the course change times are additionally plotted, but it can be seen that

the position vectors are the same between the two traces at intervals of 100 seconds.

The mobility computations performed on the ns-2 trace file are slightly different in ns-2 and ns-3, and floating-point

arithmetic is used, so there is a chance that the position in ns-2 may be slightly different than the respective position

when using the trace file in ns-3.

A typical use case is to evaluate protocols on a mobile topology that involves some randomness in the motion or initial

position allocation. To obtain random motion and positioning that is not affected by the configuration of the rest of the

scenario, it is recommended to use the "AssignStreams" facility of the random number system.

ClassMobilityModel and classPositionAllocator both have public API to assign streams to underlying random

variables:

/\*\*

\*Assign a fixed random variable stream number to the random variables

\*used by this model. Return the number of streams (possibly zero) that

\*have been assigned.

\*

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```
*/param stream first stream index to use
*/return the number of stream indices assigned by this model
*/
```

```
int64_t AssignStreams( int64_t stream);
```

The class `MobilityHelper` also provides this API. The typical usage pattern when using the helper is:

```
int64_t streamIndex = /*some positive integer */
```

```
MobilityHelper mobility;
```

```
... (configure mobility)
```

```
mobility.Install(wifiStaNodes);
```

```
int64_t streamsUsed = mobility.AssignStreams(wifiStaNodes, streamIndex);
```

If `AssignStreams` is called before `Install`, it will not have any effect.

A number of external tools can be used to generate traces read by the `Ns2MobilityHelper`.

ns-2 scengen

BonnMotion

<http://net.cs.uni-bonn.de/wg/cs/applications/bonnmotion/>

[http://sourceforge.net/apps/mediawiki/sumo/index.php?title=Main\\_Page](http://sourceforge.net/apps/mediawiki/sumo/index.php?title=Main_Page)

TraNS

<http://trans.epfl.ch/>

- `main-random-topology.cc`
- `main-random-walk.cc`
- `main-grid-topology.cc`
- `ns2-mobility-trace.cc`
- `ns2-bonnmotion.cc`

`reference-point-group-mobility-example.cc`

The reference point group mobility model ([Camp2002]) is demonstrated in the example program

`reference-point-`

`group-mobility-example.cc` . This example runs a short simulation that illustrates a parent

`WaypointMobilityModel`

traversing a rectangular course within a bounding box, and three member nodes independently execute a two-

dimensional random walk around the parent position, within a small bounding box. The example illustrates configura-

tion using the `GroupMobilityHelper` and manual configuration without a helper; the configuration option is selectable

by command-line argument.

The example outputs two mobility trace files, a course change trace and a time-series trace of node position. The

latter trace file can be parsed by a Bash script ( `reference-point-group-mobility-animate.sh` ) to create PNG images at

a basic animated gif of the mobility. The example and animation program files have further instructions on how to run them.

The design of the Packet framework of ns was heavily guided by a few important use-cases:

- avoid changing the core of the simulator to introduce new types of packet headers or trailers
- maximize the ease of integration with real-world code and systems
- make it easy to support fragmentation, defragmentation, and, concatenation which are important, especially in wireless systems.

- make memory management of this object efficient

- allow actual application data or dummy application bytes for emulated applications

Each network packet contains a byte buffer, a set of byte tags, a set of packet tags, and metadata.



The byte buffer stores the serialized content of the headers and trailers added to a packet. The serialized representation of these headers is expected to match that of real network packets bit for bit (although nothing forces you to do this) which means that the content of a packet buffer is expected to be that of a real packet. Fragmentation and defragmentation are quite natural to implement within this context: since we have a buffer of real bytes, we can split it in multiple fragments and re-assemble these fragments. We expect that this choice will make it really easy to wrap our Packet data structure within Linux-style skb or BSD-style mbuf to integrate real-world kernel code in the simulator. We also expect that performing a real-time plug of the simulator to a real-world network will be easy.

packet metadata describes the type of the headers and trailers which were serialized in the byte buffer. The maintenance of metadata is optional and disabled by default. To enable it, you must call `Packet::EnablePrinting()` and this will allow you to get non-empty output from `Packet::Print` and `Packet::Print`. Also, developers often want to store data in packet objects that is not found in the real packets (such as timestamps or flow-ids). The Packet class deals with this requirement by storing a set of tags (class Tag). We have found two classes of use cases for these tags, which leads to two different types of tags. So-called 'byte' tags are used to tag a subset of the bytes in the packet byte buffer while 'packet' tags are used to tag the packet itself. The main difference between these two kinds of tags is what happens when packets are copied, fragmented, and reassembled: 'byte' tags follow bytes while 'packet' tags follow packets. Another important difference between these two kinds of tags is that byte tags cannot be removed and are expected to be written once, and read many times, while packet tags are expected to be written once, read many times, and removed exactly once. An example of a 'byte' tag is a FlowIdTag which contains a flow id and is set by the application generating traffic. An example of a 'packet' tag is a cross-layer QoS class id set by an application and processed by a lower-level MAC layer.

Memory management of Packet objects is entirely automatic and extremely efficient: memory for the application-level payload can be modeled by a virtual buffer of zero-filled bytes for which memory is never allocated unless explicitly requested by the user or unless the packet is fragmented or serialized out to a real network device. Furthermore, copying, adding, and, removing headers or trailers to a packet has been optimized to be virtually free through a technique known as Copy On Write.

Packets (messages) are fundamental objects in the simulator and their design is important from a performance and resource management perspective. There are various ways to design the simulation packet, and tradeoffs among the

different approaches. In particular, there is a tension between ease-of-use, performance, and safe interface design.

Unlike ns-2, in which Packet objects contain a buffer of C++ structures corresponding to protocol headers, each

network packet in ns-3 contains a byte Buffer, a list of byte Tags, a list of packet Tags, and a PacketMetadata object:

- The byte buffer stores the serialized content of the chunks added to a packet. The serialized representation of these chunks is expected to match that of real network packets bit for bit (although nothing forces you to do this) which means that the content of a packet buffer is expected to be that of a real packet.

Packets can also be

created with an arbitrary zero-filled payload for which no real memory is allocated.

- Each list of tags stores an arbitrarily large set of arbitrary user-provided data structures in the packet. Each Tag is uniquely identified by its type; only one instance of each type of data structure is allowed in a list of tags.

These tags typically contain per-packet cross-layer information or flow identifiers (i.e., things that you wouldn't find in the bits on the wire).

Figure Implementation overview of Packet class. is a high-level overview of the Packet implementation; more detail on

the byte Buffer implementation is provided later in Figure Implementation overview of a packet's byte Buffer. . In ns-3,

the Packet byte buffer is analogous to a Linux skbuff or BSD mbuf; it is a serialized representation of the actual data

in the packet. The tag lists are containers for extra items useful for simulation convenience; if a Packet is converted to

an emulated packet and put over an actual network, the tags are stripped off and the byte buffer is copied directly into a real packet.

Packets are reference counted objects. They are handled with smart pointer (Ptr) objects like many of the objects in

ns-3 system. One small difference you will see is that class Packet does not inherit from class Object or class

RefCountBase, and implements the Ref() and Unref() methods directly. This was designed to avoid the overhead of a

vtable in class Packet.

The Packet class is designed to be copied cheaply; the overall design is based on Copy on Write (COW). When there

are multiple references to a packet object, and there is an operation on one of them, only so-called "dirty" operations

will trigger a deep copy of the packet:

- ns3::Packet::AddHeader()
- ns3::Packet::AddTrailer()
- both versions of ns3::Packet::AddAtEnd()
- Packet::RemovePacketTag()

The fundamental classes for adding to and removing from the byte buffer are class Header and class Trailer .

Headers are more common but the below discussion also largely applies to protocols using trailers.

Every protocol

header that needs to be inserted and removed from a Packet instance should derive from the abstract Header base class

and implement the private pure virtual methods listed below:

- ns3::Header::SerializeTo()
- ns3::Header::DeserializeFrom()
- ns3::Header::GetSerializedSize()
- ns3::Header::PrintTo()

Basically, the first three functions are used to serialize and deserialize protocol control information to/from a Buffer.

For example, one may define class TCPHeader : public Header . The TCPHeader object will typically consist

of some private data (like a sequence number) and public interface access functions (such as checking the bounds of

an input). But the underlying representation of the TCPHeader in a Packet Buffer is 20 serialized bytes (plus TCP

options). The TCPHeader::SerializeTo() function would therefore be designed to write these 20 bytes properly into

the packet, in network byte order. The last function is used to define how the Header object prints itself onto an output stream.

Similarly, user-defined Tags can be appended to the packet. Unlike Headers, Tags are not serialized into a contiguous

buffer but are stored in lists. Tags can be flexibly defined to be any type, but there can only be one instance of any

particular object type in the Tags buffer at any time.

This section describes how to create and use the ns3::Packet object.

Creating a new packet

The following command will create a new packet with a new unique Id.:

```
Ptr<Packet> pkt = Create<Packet>();
```

What is the Uid (unique Id)? It is an internal id that the system uses to identify packets. It can be fetched via the

following method:

```
uint32_t uid = pkt->GetUid();
```

But please note the following. This uid is an internal uid and cannot be counted on to provide an accurate counter of

how many “simulated packets” of a particular protocol are in the system. It is not trivial to make this uid into such a

counter, because of questions such as what should the uid be when the packet is sent over broadcast media, or when

fragmentation occurs. If a user wants to trace actual packet counts, he or she should look at e.g. the IP ID field or

transport sequence numbers, or other packet or frame counters at other protocol layers.

We mentioned above that it is possible to create packets with zero-filled payloads that do not actually require a memory

allocation (i.e., the packet may behave, when delays such as serialization or transmission delays are computed, to have

a certain number of payload bytes, but the bytes will only be allocated on-demand when needed). The command to do

this is, when the packet is created:

```
Ptr<Packet> pkt = Create<Packet>(N);
```

where N is a positive integer.

The packet now has a size of N bytes, which can be verified by the GetSize() method:

```
/**
```

```
*\returns the size in bytes of the packet (including the zero-filled  
* initial payload)
```

```
*/
```

```
uint32_t GetSize() const;
```

You can also initialize a packet with a character buffer. The input data is copied and the input buffer is untouched. The constructor applied is:

```
Packet( uint8_t const *buffer, uint32_t size);
```

Here is an example:

```
Ptr<Packet> pkt1 = Create<Packet>( reinterpret_cast <const uint8_t *>("hello"), 5);
```

Packets are freed when there are no more references to them, as with all ns-3 objects referenced by the Ptr class.

Adding and removing Buffer data

After the initial packet creation (which may possibly create some fake initial bytes of payload), all subsequent buffer

data is added by adding objects of class Header or class Trailer. Note that, even if you are in the application layer,

handling packets, and want to write application data, you write it as an ns3::Header or ns3::Trailer. If you add a

Header, it is prepended to the packet, and if you add a Trailer, it is added to the end of the packet. If you have no data

in the packet, then it makes no difference whether you add a Header or Trailer. Since the APIs and classes for header

and trailer are pretty much identical, we'll just look at class Header here.

The first step is to create a new header class. All new Header classes must inherit from class Header, and implement

the following methods:

- Serialize ()
- Deserialize ()
- GetSerializedSize ()
- Print ()

To see a simple example of how these are done, look at the UdpHeader class headers src/internet/model/udp-header.cc.

There are many other examples within the source code.

Once you have a header (or you have a preexisting header), the following Packet API can be used to add or remove

such headers.:

```
/**
```

```
*Add header to this packet. This method invokes the  
*Header::GetSerializedSize and Header::Serialize  
*methods to reserve space in the buffer and request the  
*header to serialize itself in the packet buffer.
```

```
*
```

```
*\param header a reference to the header to add to this packet.
```

```
*/
```

```
voidAddHeader( constHeader & header);
```

```
/**
```

```
*Deserialize and remove the header from the internal buffer.
```

```
*
```

\*This method invokes Header::Deserialize(begin) and should be used for  
\*fixed-length headers.

\*

\*\param header a reference to the header to remove from the internal buffer.

\*\returns the number of bytes removed from the packet.

\*/

uint32\_t RemoveHeader(Header &header);

/\*\*

\*Deserialize but does not remove the header from the internal buffer.

\*This method invokes Header::Deserialize.

\*

\*\param header a reference to the header to read from the internal buffer.

\*\returns the number of bytes read from the packet.

\*/

uint32\_t PeekHeader(Header &header) const;

For instance, here are the typical operations to add and remove a UDP header.:

// add header

Ptr<Packet> packet = Create<Packet>();

UdpHeader udpHeader;

// Fill out udpHeader fields appropriately

packet->AddHeader(udpHeader);

...

// remove header

UdpHeader udpHeader;

packet->RemoveHeader(udpHeader);

// Read udpHeader fields as needed

If the header is variable-length, then another variant of RemoveHeader() is needed:

/\*\*

\*\brief Deserialize and remove the header from the internal buffer.

\*

\*This method invokes Header::Deserialize(begin, end) and should be

\*used for variable-length headers (where the size is determined somehow

\*by the caller).

\*

\*\param header a reference to the header to remove from the internal buffer.

\*\param size number of bytes to deserialize

\*\returns the number of bytes removed from the packet.

\*/

uint32\_t RemoveHeader(Header &header, uint32\_t size);

In this case, the caller must figure out and provide the right 'size' as an argument (the  
Deserialization routine may not

know when to stop). An example of this type of header would be a series of Type-Length-Value (TLV)  
information

elements, where the ending point of the series of TLVs can be deduced from the packet length.

Adding and removing Tags

There is a single base class of Tag that all packet tags must derive from. They are used in two  
different tag lists in the

packet; the lists have different semantics and different expected use cases.

As the names imply, ByteTags follow bytes and PacketTags follow packets. What this means is that  
when operations

are done on packets, such as fragmentation, concatenation, and appending or removing headers, the

byte tags keep

track of which packet bytes they cover. For instance, if a user creates a TCP segment, and applies a ByteTag to the

segment, each byte of the TCP segment will be tagged. However, if the next layer down inserts an IPv4 header, this

ByteTag will not cover those bytes. The converse is true for the PacketTag; it covers a packet despite the operations

on it.

Each tag type must subclass ns3::Tag , and only one instance of each Tag type may be in each tag list. Here are a

few differences in the behavior of packet tags and byte tags.

- Fragmentation: As mentioned above, when a packet is fragmented, each packet fragment (which is a new

packet) will get a copy of all packet tags, and byte tags will follow the new packet boundaries

(i.e. if the

fragmented packets fragment across a buffer region covered by the byte tag, both packet fragments will still

have the appropriate buffer regions byte tagged).

- Concatenation: When packets are combined, two different buffer regions will become one. For byte tags, the

byte tags simply follow the respective buffer regions. For packet tags, only the tags on the first packet survive

the merge.

- Finding and Printing: Both classes allow you to iterate over all of the tags and print them.

- Removal: Users can add and remove the same packet tag multiple times on a single packet

(AddPacketTag()

and RemovePacketTag()). The packet However, once a byte tag is added, it can only be removed by stripping

all byte tags from the packet. Removing one of possibly multiple byte tags is not supported by the current API.

If a user wants to take an existing packet object and reuse it as a new packet, he or she should remove all byte tags and

packet tags before doing so. An example is the UdpEchoServer class, which takes the received packet and “turns it

around” to send back to the echo client.

The Packet API for byte tags is given below.:

```
/**
```

```
 * \param tag the new tag to add to this packet
```

```
 *
```

```
 * Tag each byte included in this packet with the
```

```
 * new tag.
```

```
 *
```

```
 * Note that adding a tag is a const operation which is pretty
```

```
 * un-intuitive. The rationale is that the content and behavior of
```

```
 * a packet is not changed when a tag is added to a packet: any
```

```
 * code which was not aware of the new tag is going to work just
```

```
 * the same if the new tag is added. The real reason why adding a
```

```
 * tag was made a const operation is to allow a trace sink which gets
```

```
 * a packet to tag the packet, even if the packet is const (and most
```

```
 * trace sources should use const packets because it would be
```

```
 * totally evil to allow a trace sink to modify the content of a
```

```

*packet).
*/
voidAddByteTag( constTag &tag) const;
/**
*\returns an iterator over the set of byte tags included in this packet.
(continues on next page)
(continued from previous page)
*/
ByteTagIterator GetByteTagIterator() const;
/**
*\param tag the tag to search in this packet
*\returns true if the requested tag type was found, false otherwise.
*
*If the requested tag type is found, it is copied in the user's
*provided tag instance.
*/
boolFindFirstMatchingByteTag(Tag &tag) const;
/**
*Remove all the tags stored in this packet.
*/
voidRemoveAllByteTags();
/**
*\param os output stream in which the data should be printed.
*
*Iterate over the tags present in this packet, and
*invoke the Print method of each tag stored in the packet.
*/
voidPrintByteTags(std::ostream &os) const;
The Packet API for packet tags is given below.:
/**
*\param tag the tag to store in this packet
*
*Add a tag to this packet. This method calls the
*Tag::GetSerializedSize and, then, Tag::Serialize.
*
*Note that this method is const, that is, it does not
*modify the state of this packet, which is fairly
*un-intuitive.
*/
voidAddPacketTag( constTag &tag) const;
/**
*\param tag the tag to remove from this packet
*\returns true if the requested tag is found, false
* otherwise.
*
*Remove a tag from this packet. This method calls
*Tag::Deserialize if the tag is found.
*/
boolRemovePacketTag(Tag &tag);
/**
*\param tag the tag to search in this packet

```

\*\returns true if the requested tag is found, false

\* otherwise.

\*

\*Search a matching tag and call Tag::Deserialize if it is found.

\*/

bool PeekPacketTag(Tag &tag) const;

/\*\*

\*Remove all packet tags.

\*/

(continues on next page)

(continued from previous page)

void RemoveAllPacketTags();

/\*\*

\*\param os the stream in which we want to print data.

\*

\*Print the list of 'packet' tags.

\*

\*\sa Packet::AddPacketTag, Packet::RemovePacketTag, Packet::PeekPacketTag,

\*Packet::RemoveAllPacketTags

\*/

void PrintPacketTags(std::ostream &os) const;

/\*\*

\*\returns an object which can be used to iterate over the list of

\*packet tags.

\*/

PacketTagIterator GetPacketTagIterator() const;

Here is a simple example illustrating the use of tags from the code in src/internet/model/udp-socket-impl.

cc:

Ptr<Packet> p; // pointer to a pre-existing packet

SocketIpTtlTag tag

tag.SetTtl(m\_ipMulticastTtl); // Convey the TTL from UDP layer to IP layer

p->AddPacketTag(tag);

This tag is read at the IP layer, then stripped ( src/internet/model/ipv4-l3-protocol.cc ):

uint8\_t ttl = m\_defaultTtl;

SocketIpTtlTag tag;

bool found = packet->RemovePacketTag(tag);

if(found)

{

ttl = tag.GetTtl();

}

Fragmentation and concatenation

Packets may be fragmented or merged together. For example, to fragment a packet of 90 bytes into two packets, one

containing the first 10 bytes and the other containing the remaining 80, one may call the following code:

Ptr<Packet> frag0 = p->CreateFragment(0, 10);

Ptr<Packet> frag1 = p->CreateFragment(10, 90);

As discussed above, the packet tags from p will follow to both packet fragments, and the byte tags will follow the byte

ranges as needed.



Now, to put them back together:

```
frag0->AddAtEnd(frag1);
```

Now frag0 should be equivalent to the original packet p. If, however, there were operations on the fragments before

being reassembled (such as tag operations or header operations), the new packet will not be the same.

### Enabling metadata

We mentioned above that packets, being on-the-wire representations of byte buffers, present a problem to print out

in a structured way unless the printing function has access to the context of the header. For instance, consider a

To enable this usage, packets may have metadata enabled (disabled by default for performance reasons). This class is

used by the Packet class to record every operation performed on the packet's buffer, and provides an implementation

of Packet::Print () method that uses the metadata to analyze the content of the packet's buffer.

The metadata is also used to perform extensive sanity checks at runtime when performing operations on a Packet. For

example, this metadata is used to verify that when you remove a header from a packet, this same header was actually

present at the front of the packet. These errors will be detected and will abort the program.

To enable this operation, users will typically insert one or both of these statements at the beginning of their programs:

```
Packet::EnablePrinting();
```

```
Packet::EnableChecking();
```

```
Seesrc/network/examples/main-packet-header.cc andsrc/network/examples/main-packet-tag.cc.
```

### Private member variables

A Packet object's interface provides access to some private data:

```
Buffer m_buffer;
```

```
ByteTagList m_byteTagList;
```

```
PacketTagList m_packetTagList;
```

```
PacketMetadata m_metadata;
```

```
mutable uint32_t m_refCount;
```

```
static uint32_t m_globalUid;
```

Each Packet has a Buffer and two Tags lists, a PacketMetadata object, and a ref count. A static member variable keeps

track of the UIDs allocated. The actual uid of the packet is stored in the PacketMetadata.

Note: that real network packets do not have a UID; the UID is therefore an instance of data that normally would be

stored as a Tag in the packet. However, it was felt that a UID is a special case that is so often used in simulations that

it would be more convenient to store it in a member variable.

### Buffer implementation

Class Buffer represents a buffer of bytes. Its size is automatically adjusted to hold any data prepended or appended

by the user. Its implementation is optimized to ensure that the number of buffer resizes is minimized, by creating new

Buffers of the maximum size ever used. The correct maximum size is learned at runtime during use by recording the

maximum size of each packet.

Authors of new Header or Trailer classes need to know the public API of the Buffer class. (add summary here)

The byte buffer is implemented as follows:

```
structBufferData {  
    uint32_t m_count;  
    uint32_t m_size;  
    uint32_t m_initialStart;  
    uint32_t m_dirtyStart;  
    uint32_t m_dirtySize;  
    uint8_t m_data[1];  
};
```

```
structBufferData *m_data;  
uint32_t m_zeroAreaSize;  
uint32_t m_start;  
uint32_t m_size;
```

- BufferData::m\_count : reference count for BufferData structure
- BufferData::m\_size : size of data buffer stored in BufferData structure
- BufferData::m\_initialStart : offset from start of data buffer where data was first inserted
- BufferData::m\_dirtyStart : offset from start of buffer where every Buffer which holds a reference to this

BufferData instance have written data so far

- BufferData::m\_dirtySize : size of area where data has been written so far
- BufferData::m\_data : pointer to data buffer
- Buffer::m\_zeroAreaSize : size of zero area which extends before m\_initialStart
- Buffer::m\_start : offset from start of buffer to area used by this buffer
- Buffer::m\_size : size of area used by this Buffer in its BufferData structure

This data structure is summarized in Figure Implementation overview of a packet's byte Buffer. .

Each Buffer holds

a pointer to an instance of a BufferData. Most Buffers should be able to share the same underlying BufferData and

thus simply increase the BufferData's reference count. If they have to change the content of a BufferData inside the

Dirty Area, and if the reference count is not one, they first create a copy of the BufferData and then complete their state-changing operation.

Tags implementation

(XXX revise me)

Tags are implemented by a single pointer which points to the start of a linked list of TagData data structures. Each

TagData structure points to the next TagData in the list (its next pointer contains zero to indicate the end of the linked

list). Each TagData contains an integer unique id which identifies the type of the tag stored in the TagData.:

```
structTagData {  
    structTagData *m_next;  
    uint32_t m_id;  
    uint32_t m_count;  
    uint8_t m_data[Tags::SIZE];  
};
```

```
class Tags {  
    structTagData *m_next;
```

```
};
```

Adding a tag is a matter of inserting a new TagData at the head of the linked list. Looking at a tag requires you to find

the relevant TagData in the linked list and copy its data into the user data structure. Removing a tag and updating the

content of a tag requires a deep copy of the linked list before performing this operation. On the other hand, copying a

Packet and its tags is a matter of copying the TagData head pointer and incrementing its reference count.

Tags are found by the unique mapping between the Tag type and its underlying id. This is why at most one instance

of any Tag can be stored in a packet. The mapping between Tag type and underlying id is performed by a registration

as follows:

```
/*A sample Tag implementation  
*/
```

```
structMyTag {  
    uint16_t m_streamId;  
};
```

Memory management

Describe dataless vs. data-full packets.

Copy-on-write semantics

The current implementation of the byte buffers and tag list is based on COW (Copy On Write). An introduction to

COW can be found in Scott Meyer's "More Effective C++", items 17 and 29). This design feature and aspects of

the public interface borrows from the packet design of the Georgia Tech Network Simulator. This implementation of

COW uses a customized reference counting smart pointer class.

What COW means is that copying packets without modifying them is very cheap (in terms of CPU and memory usage)

and modifying them can be also very cheap. What is key for proper COW implementations is being able to detect

when a given modification of the state of a packet triggers a full copy of the data prior to the modification: COW

systems need to detect when an operation is "dirty" and must therefore invoke a true copy.

Dirty operations:

- ns3::Packet::AddHeader
- ns3::Packet::AddTrailer
- both versions of ns3::Packet::AddAtEnd
- ns3::Packet::RemovePacketTag

Non-dirty operations:

- ns3::Packet::AddPacketTag
- ns3::Packet::PeekPacketTag
- ns3::Packet::RemoveAllPacketTags
- ns3::Packet::AddByteTag
- ns3::Packet::FindFirstMatchingByteTag
- ns3::Packet::RemoveAllByteTags
- ns3::Packet::RemoveHeader
- ns3::Packet::RemoveTrailer
- ns3::Packet::CreateFragment

- ns3::Packet::RemoveAtStart
- ns3::Packet::RemoveAtEnd
- ns3::Packet::CopyData

Dirty operations will always be slower than non-dirty operations, sometimes by several orders of magnitude. How-

ever, even the dirty operations have been optimized for common use-cases which means that most of the time, these

operations will not trigger data copies and will thus be still very fast.

This section documents a few error model objects, typically associated with NetDevice models, that are maintained as part of the network module:

- RateErrorModel
- ListErrorModel
- ReceiveListErrorModel
- BurstErrorModel

Error models are used to indicate that a packet should be considered to be errored, according to the underlying (possibly stochastic or empirical) error model.

The source code for error models live in the directory src/packet/utils .

according to underlying random variable distributions. An example of this is the RateErrorModel .

The other type of

model is a deterministic or empirical model, in which packets are errored according to a particular prescribed pattern.

An example is the ListErrorModel that allows users to specify the list of packets to be errored, by listing the specific packet UIDs.

Thens3::RateErrorModel errors packets according to an underlying random variable distribution, which is by

default a UniformRandomVariable distributed between 0.0 and 1.0. The error rate and error units (bit, byte, or packet)

are set by the user. For instance, by setting ErrorRate to 0.1 and ErrorUnit to "Packet", in the long run, around 10% of the packets will be lost.

Design

Error models are ns-3 objects and can be created using the typical pattern of CreateObject<>() .

They have configuration attributes.

An ErrorModel can be applied anywhere, but are commonly deployed on NetDevice models so that artificial losses (mimicking channel losses) can be induced.

Scope and Limitations

to the byte buffers). This type of operation will likely be performance-expensive, and existing Packet APIs may not easily support it.

Thens-3 spectrum model and devices that derive from it (e.g. LTE) have their own error model base class, found in

References

The initial ns-3 error models were ported from ns-2 (queue/errmodel.{cc,h})

The base class API is as follows:

- bool ErrorModel::IsCorrupt (Ptr<Packet> pkt) : Evaluate the packet and return true or false whether bit buffer.

- void ErrorModel::Reset () : Reset any state.
- void ErrorModel::Enable () : Enable the model
- void ErrorModel::Disable () : Disable the model; IsCorrupt() will always return false.
- bool ErrorModel::IsEnabled () const : Return the enabled state

Many ns-3 NetDevices contain attributes holding pointers to error models. The error model is applied in the notional

physical layer processing chain of the device, and drops should show up on the PhyRxDrop trace source of the device.

The following are known to include an attribute with a pointer available to hold this type of error model:

- SimpleNetDevice
- PointToPointNetDevice
- CsmaNetDevice
- VirtualNetDevice

However, the ErrorModel could be used anywhere where packets are used

Helpers

This model is typically not used with helpers.

Attributes

TheRateErrorModel contains the following attributes:

Output

What kind of data does the model generate? What are the key trace sources? What kind of logging output can be enabled?

Examples

Error models are used in the tutorial fifth and sixth programs.

The directory examples/error-model/ contains an example simple-error-model.cc that exercises the Rate

and List error models.

The TCP example examples/tcp/tcp-nsc-lfn.cc uses the Rate error model.

Troubleshooting

No known issues.

The error-model unit test suite provides a single test case of a particular combination of ErrorRate and ErrorUnit

for theRateErrorModel applied to a SimpleNetDevice .

The basic ErrorModel, RateErrorModel, and ListErrorModel classes were ported from ns-2 to ns-3 in 2007. The

ReceiveListErrorModel was added at that time.

The burst error model is due to Truc Anh N. Nguyen at the University of Kansas (James P.G. Sterbenz <jpgs@itcc.ku.edu>, director, ResiliNets Research Group (<https://resilinet.org/>), Information and Telecommunica-

tion Technology Center (ITTC) and Department of Electrical Engineering and Computer Science, The University of

Kansas Lawrence, KS USA). Work supported in part by NSF FIND (Future Internet Design) Program under grant

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Kansas.

Internet-based Node.

In ns-3, nodes are instances of ns3::Node . This class may be subclassed, but instead, the conceptual model is that

we aggregate or insert objects to it rather than define subclasses.

innards including the protocols and applications. High-level node architecture illustrates that

ns3::Node objects

contain a list of ns3::Application instances (initially, the list is empty), a list of

ns3::NetDevice instances

(initially, the list is empty), a list of ns3::Node::ProtocolHandler instances, a unique integer ID,

and a system

ID (for distributed simulation).

The design tries to avoid putting too many dependencies on the class ns3::Node , ns3::Application , or

ns3::NetDevice for the following:

- IP version, or whether IP is at all even used in the ns3::Node .
- implementation details of the IP stack.

From a software perspective, the lower interface of applications corresponds to the C-based sockets API. The upper

interface of ns3::NetDevice objects corresponds to the device independent sublayer of the Linux stack. Everything

in between can be aggregated and plumbed together as needed.

Let's look more closely at the protocol demultiplexer. We want incoming frames at layer-2 to be delivered to the right

layer-3 protocol such as IPv4. The function of this demultiplexer is to register callbacks for receiving packets. The

callbacks are indexed based on the EtherType in the layer-2 frame.

Many different types of higher-layer protocols may be connected to the NetDevice, such as IPv4, IPv6, ARP, MPLS,

IEEE 802.1x, and packet sockets. Therefore, the use of a callback-based demultiplexer avoids the need to use a

common base class for all of these protocols, which is problematic because of the different types of objects (including

packet sockets) expected to be registered there.

The sockets API is a long-standing API used by user-space applications to access network services in the kernel. A

socket is an abstraction, like a Unix file handle, that allows applications to connect to other Internet hosts and exchange

reliable byte streams and unreliable datagrams, among other services.

ns-3 provides two types of sockets APIs, and it is important to understand the differences between them. The first is a

native ns-3 API, while the second uses the services of the native API to provide a POSIX-like API as part of an overall

application process. Both APIs strive to be close to the typical sockets API that application writers on Unix systems

are accustomed to, but the POSIX variant is much closer to a real system's sockets API.

#### 23.4.1 ns-3 sockets API

The native sockets API for ns-3 provides an interface to various types of transport protocols (TCP, UDP) as well as to

packet sockets and, in the future, Netlink-like sockets. However, users are cautioned to understand that the semantics

are not the exact same as one finds in a real system (for an API which is very much aligned to real systems, see the

next section).

ns3::Socket is defined in src/network/model/socket.h . Readers will note that many public member

functions

are aligned with real sockets function calls, and all other things being equal, we have tried to align with a Posix sockets

API. However, note that:

- ns-3 applications handle a smart pointer to a Socket object, not a file descriptor;
- there is no notion of synchronous API or a blocking API; in fact, the model for interaction between application and socket is one of asynchronous I/O, which is not typically found in real systems (more on this below);
- the C-style socket address structures are not used;
- the API is not a complete sockets API, such as supporting all socket options or all function variants;
- many calls use ns3::Packet class to transfer data between application and socket. This may seem peculiar to pass Packets across a stream socket API, but think of these packets as just fancy byte buffers at this level (more on this also below).

Basic operation and calls

Creating sockets

An application that wants to use sockets must first create one. On real systems using a C-based API, this is accom-

plished by calling socket()

intsocket( intdomain, inttype, intprotocol);

which creates a socket in the system and returns an integer descriptor.

In ns-3, we have no equivalent of a system call at the lower layers, so we adopt the following model. There are certain

factory objects that can create sockets. Each factory is capable of creating one type of socket, and if sockets of a

particular type are able to be created on a given node, then a factory that can create such sockets must be aggregated

to the Node:

```
staticPtr<Socket> CreateSocket(Ptr<Node> node, TypeId tid);
```

Examples of TypeIds to pass to this method are ns3::TcpSocketFactory , ns3::PacketSocketFactory , and ns3::UdpSocketFactory .

This method returns a smart pointer to a Socket object. Here is an example:

```
Ptr<Node> n0;
```

```
// Do some stuff to build up the Node's internet stack
```

```
Ptr<Socket> localSocket =
```

```
Socket::CreateSocket(n0, TcpSocketFactory::GetTypeId());
```

In some ns-3 code, sockets will not be explicitly created by user's main programs, if an ns-3 application does it. For in-

stance, for ns3::OnOffApplication , the function ns3::OnOffApplication::StartApplication() performs the socket creation, and the application holds the socket pointer.

Using sockets

Below is a typical sequence of socket calls for a TCP client in a real implementation:

```
sock = socket(PF_INET, SOCK_STREAM, IPPROTO_TCP);
```

```
bind(sock, ...);
```

```
connect(sock, ...);
```

```
send(sock, ...);
```

```
recv(sock, ...);
```

```
close(sock);
```

There are analogs to all of these calls in ns-3, but we will focus on two aspects here. First, most usage of sockets in real systems requires a way to manage I/O between the application and kernel. These models include blocking sockets , signal-based I/O , and non-blocking sockets with polling. In ns-3, we make use of the callback mechanisms to support a fourth mode, which is analogous to POSIX asynchronous I/O .

In this model, on the sending side, if the send() call were to fail because of insufficient buffers, the applica-

tion suspends the sending of more data until a function registered at the

ns3::Socket::SetSendCallback()

callback is invoked. An application can also ask the socket how much space is available by calling ns3::Socket::GetTxAvailable() . A typical sequence of events for sending data (ignoring connection setup) might be:

SetSendCallback(MakeCallback(&HandleSendCallback));

Send();

Send();

...

// Send fails because buffer is full

// Wait until HandleSendCallback is called

// HandleSendCallback is called by socket, since space now available

Send(); // Start sending again

Similarly, on the receive side, the socket user does not block on a call to recv() . Instead, the application sets a

callback with ns3::Socket::SetRecvCallback() in which the socket will notify the application when (and how

much) there is data to be read, and the application then calls ns3::Socket::Recv() to read the data until no more

can be read.

There are two basic variants of Send() and Recv() supported:

virtual int Send(Ptr<Packet> p) = 0;

int Send( const uint8\_t \*buf, uint32\_t size);

Ptr<Packet> Recv();

int Recv( uint8\_t\*buf, uint32\_t size);

The non-Packet variants are provided for legacy API reasons. When calling the raw buffer variant of ns3::Socket::Send() , the buffer is immediately written into a Packet and the packet variant is invoked.

Users may find it semantically odd to pass a Packet to a stream socket such as TCP. However, do not let the name

bother you; think of ns3::Packet to be a fancy byte buffer. There are a few reasons why the Packet variants are

more likely to be preferred in ns-3:

- Users can use the Tags facility of packets to, for example, encode a flow ID or other helper data at the application layer.

- Users can exploit the copy-on-write implementation to avoid memory copies (on the receive side, the conversion

- back to auint8\_t\*buf may sometimes incur an additional copy).

- Use of Packet is more aligned with the rest of the ns-3 API

Sometimes, users want the simulator to just pretend that there is an actual data payload in the



packet (e.g. to calculate transmission delay) but do not want to actually produce or consume the data. This is straightforward to support in ns-

3; have applications call `Create<Packet> (size)`; instead of `Create<Packet> (buffer, size)`; .

Similarly,

passing in a zero to the pointer argument in the raw buffer variants has the same effect. Note that, if some subsequent

code tries to read the Packet data buffer, the fake buffer will be converted to a real (zeroed)

buffer on the spot, and the

efficiency will be lost there.

There are two variants of methods used to send data to the socket:

```
virtual int Send(Ptr<Packet> p, uint32_t flags) = 0;
```

```
virtual int SendTo(Ptr<Packet> p, uint32_t flags,
```

```
const Address &toAddress) = 0;
```

The first method is used if the socket has already been connected ( `Socket::Connect()` ) to a peer address. In the

case of stream-based sockets like TCP, the connect call is required to bind the socket to a peer address, and thereafter,

`Send()` is typically used. In the case of datagram-based sockets like UDP, the socket is not required to be connected

to a peer address before sending, and the socket may be used to send data to different destination addresses; in this

case, the `SendTo()` method is used to specify the destination address for the datagram.

ToS (Type of Service)

The native sockets API for ns-3 provides two public methods (of the `Socket` base class):

```
void SetIpTos( uint8_t ipTos);
```

```
uint8_t GetIpTos() const;
```

to set and get, respectively, the type of service associated with the socket. These methods are equivalent to using the

`IP_TOS` option of BSD sockets. Clearly, setting the type of service only applies to sockets using the IPv4 protocol.

However, users typically do not set the type of service associated with a socket through

```
ns3::Socket::SetIpTos()
```

because sockets are normally created by application helpers and users cannot get a pointer to the sockets. Instead, users

can create an address of type `ns3::InetSocketAddress` with the desired type of service value and pass it to the

application helpers:

```
InetSocketAddress destAddress(ipv4Address, udpPort);
```

```
destAddress.SetTos(tos);
```

```
OnOffHelper onoff("ns3::UdpSocketFactory", destAddress);
```

For this to work, the application must eventually call the `ns3::Socket::Connect()` method to connect to the

provided `destAddress` and the `Connect` method of the particular socket type must support setting the type of service

associated with a socket (by using the `ns3::Socket::SetIpTos()` method). Currently, the socket types that support

setting the type of service in such a way are `ns3::UdpSocketImpl` and `ns3::TcpSocketBase` .

The type of service associated with a socket is then used to determine the value of the Type of Service field (renamed

as Differentiated Services field by RFC 2474) of the IPv4 header of the packets sent through that

socket, as detailed in the next sections.

#### Setting the ToS with UDP sockets

For IPv4 packets, the ToS field is set according to the following rules:

- If the socket is connected, the ToS field is set to the ToS value associated with the socket.
- If the socket is not connected, the ToS field is set to the value specified in the destination address (of type

`ns3::InetSocketAddress` ) passed to `ns3::Socket::SendTo()` , and the ToS value associated with the socket is ignored.

#### Setting the ToS with TCP sockets

For IPv4 packets, the ToS field is set to the ToS value associated with the socket.

#### Priority

The native sockets API for ns-3 provides two public methods (of the `Socket` base class):

```
void SetPriority( uint8_t priority);
```

```
uint8_t GetPriority() const;
```

to set and get, respectively, the priority associated with the socket. These methods are equivalent to using the

`SO_PRIORITY` option of BSD sockets. Only values in the range 0..6 can be set through the above method.

Note that setting the type of service associated with a socket (by calling `ns3::Socket::SetIpTos()` ) also sets the

priority for the socket to the value that the `ns3::Socket::IpTos2Priority()` function returns when it is passed

the type of service value. This function is implemented after the Linux `rt_tos2priority` function, which takes an 8-bit

value as input and returns a value which is a function of bits 3-6 (where bit 0 is the most significant bit) of the input

value:

Bits 3-6 Priority

0 to 3 0 (Best Effort)

4 to 7 2 (Bulk)

8 to 11 6 (Interactive)

12 to 15 4 (Interactive Bulk)

The rationale is that bits 3-6 of the Type of Service field were interpreted as the TOS subfield by (the obsolete) RFC

1349. Readers can refer to the doxygen documentation of `ns3::Socket::IpTos2Priority()` for more informa-

tion, including how DSCP values map onto priority values.

The priority set for a socket (as described above) is then used to determine the priority of the packets sent through

that socket, as detailed in the next sections. Currently, the socket types that support setting the packet priority are

`ns3::UdpSocketImpl` , `ns3::TcpSocketBase` and `ns3::PacketSocket` . The packet priority is used, e.g., by queuing disciplines such as the default `PfifoFastQueueDisc` to classify packets into distinct queues.

#### Setting the priority with UDP sockets

If the packet is an IPv4 packet and the value to be inserted in the ToS field is not null, then the packet is assigned a

priority based on such ToS value (according to the `ns3::Socket::IpTos2Priority()` function).

Otherwise, the

priority associated with the socket is assigned to the packet.

#### Setting the priority with TCP sockets

Every packet is assigned a priority equal to the priority associated with the socket.

Setting the priority with packet sockets

Every packet is assigned a priority equal to the priority associated with the socket.

to be completed

to be completed

This section documents the queue object, which is typically used by NetDevices and QueueDiscs to store packets.

Packets stored in a queue can be managed according to different policies. Currently, only the DropTail policy is

available.

The source code for the new module lives in the directory src/network/Utils .

ns3::Queue has been redesigned as a template class object to allow us to instantiate queues storing different types of

items. The unique template type parameter specifies the type of items stored in the queue. The only requirement on

the item type is that it must provide a GetSize () method which returns the size of the packet included in the item.

Currently, queue items can be objects of the following classes:

- Packet
- QueueItem and subclasses (e.g., QueueDiscItem)
- WifiMacQueueItem

The internal queues of the queue discs are of type Queue<QueueDiscItem> (an alias of which being InternalQueue).

A number of network devices (SimpleNetDevice, PointToPointNetDevice, CsmaNetDevice) use a Queue<Packet> to

store packets to be transmitted. WifiNetDevices use instead queues of type WifiMacQueue, which is a subclass of

Queue storing objects of type WifiMacQueueItem. Other devices, such as WiMax and LTE, use specialized queues.

Design

The Queue class derives from the QueueBase class, which is a non-template class providing all the methods that are

independent of the type of the items stored in the queue. The Queue class provides instead all the operations that

depend on the item type, such as enqueue, dequeue, peek and remove. The Queue class also provides the ability to

trace certain queue operations such as enqueueing, dequeueing, and dropping.

Queue is an abstract base class and is subclassed for specific scheduling and drop policies.

Subclasses need to define

the following public methods:

- bool Enqueue (Ptr<Item> item) : Enqueue a packet
- Ptr<Item> Dequeue () : Dequeue a packet
- Ptr<Item> Remove () : Remove a packet
- Ptr<const Item> Peek () : Peek a packet

The Enqueue method does not allow to store a packet if the queue capacity is exceeded. Subclasses may also define

specialized public methods. For instance, the WifiMacQueue class provides a method to dequeue a packet based on

its tid and MAC address.

There are five trace sources that may be hooked:

- Enqueue

- Dequeue
- Drop
- DropBeforeEnqueue
- DropAfterDequeue

Also, the QueueBase class defines two additional trace sources:

- PacketsInQueue
- BytesInQueue

#### DropTail

This is a basic first-in-first-out (FIFO) queue that performs a tail drop when the queue is full.

The DropTailQueue class defines one attribute:

- MaxSize : the maximum queue size

#### Helpers

A typical usage pattern is to create a device helper and to configure the queue type and attributes from the helper, such

as this example:

```
PointToPointHelper p2p;
p2p.SetQueue("ns3::DropTailQueue");
p2p.SetDeviceAttribute("DataRate", StringValue("10Mbps"));
p2p.SetChannelAttribute("Delay", StringValue("2ms"));
NetDeviceContainer devn0n2 = p2p.Install(n0n2);
p2p.SetQueue("ns3::DropTailQueue");
p2p.SetDeviceAttribute("DataRate", StringValue("10Mbps"));
p2p.SetChannelAttribute("Delay", StringValue("3ms"));
NetDeviceContainer devn1n2 = p2p.Install(n1n2);
p2p.SetQueue("ns3::DropTailQueue",
"MaxSize", StringValue("50p"));
p2p.SetDeviceAttribute("DataRate", StringValue(linkDataRate));
p2p.SetChannelAttribute("Delay", StringValue(linkDelay));
NetDeviceContainer devn2n3 = p2p.Install(n2n3);
```

Please note that the SetQueue method of the PointToPointHelper class allows to specify

“ns3::DropTailQueue” in-

stead of “ns3::DropTailQueue<Packet>”. The same holds for CsmaHelper, SimpleNetDeviceHelper and TrafficControlHelper.

#### Output

The ns-3 ascii trace helpers used by many of the NetDevices will hook the Enqueue, Dequeue, and Drop traces of

these queues and print out trace statements, such as the following from examples/udp/udp-echo.cc :

```
+ 2 /NodeList/0/DeviceList/1/$ns3::CsmaNetDevice/TxQueue/Enqueue ns3::EthernetHeader
( length/type=0x806, source=00:00:00:00:00:01, destination=ff:ff:ff:ff:ff:ff)
ns3::ArpHeader (request source mac: 00-06-00:00:00:00:00:01 source ipv4: 10.1.1.1
dest ipv4: 10.1.1.2) Payload (size=18) ns3::EthernetTrailer (fcs=0)
- 2 /NodeList/0/DeviceList/1/$ns3::CsmaNetDevice/TxQueue/Dequeue ns3::EthernetHeader
( length/type=0x806, source=00:00:00:00:00:01, destination=ff:ff:ff:ff:ff:ff)
ns3::ArpHeader (request source mac: 00-06-00:00:00:00:00:01 source ipv4: 10.1.1.1
dest ipv4: 10.1.1.2) Payload (size=18) ns3::EthernetTrailer (fcs=0)
```

which shows an enqueue “+” and dequeue “-” event at time 2 seconds.

Users are, of course, free to define and hook their own trace sinks to these trace sources.

#### Examples

The drop-tail queue is used in several examples, such as examples/udp/udp-echo.cc .

This section documents the queue limits model, which is used by the traffic control to limit the

NetDevices queueing

delay. It operates on the transmission path of the network node.

The reduction of the NetDevices queueing delay is essential to improve the effectiveness of Active Queue Management

(AQM) algorithms. Careful assessment of the queueing delay includes a byte-based measure of the NetDevices queue

length. In this design, traffic control can use different byte-based schemes to limit the queueing delay. Currently the

only available scheme is DynamicQueueLimits, which is modelled after the dynamic queue limit library of Linux.

The source code for the model lives in the directory src/network/Utils .

The model allows a byte-based measure of the netdevice queue. The byte-based measure more accurately approximates

the time required to empty the queue than a packet-based measure.

To inform the upper layers about the transmission of packets, NetDevices can call a couple of functions:

- void NotifyQueuedBytes (uint32\_t bytes) : Report the number of bytes queued to the device queue
- void NotifyTransmittedBytes (uint32\_t bytes) : Report the number of bytes transmitted by device

Based on this information, the QueueLimits object can stop the transmission queue.

In case of multiqueue NetDevices this mechanism is available for each queue.

The QueueLimits model can be used on any NetDevice modelled in ns-3.

Design

An abstract base class, class QueueLimits, is subclassed for specific byte-based limiting strategies.

Common operations provided by the base class QueueLimits include:

- void Reset () : Reset queue limits state
- void Completed (uint32\_t count) : Record the number of completed bytes and recalculate the limit
- int32\_t Available () const : Return how many bytes can be queued
- void Queued (uint32\_t count) : Record number of bytes queued

DynamicQueueLimits

Dynamic queue limits (DQL) is a basic library implemented in the Linux kernel to limit the Ethernet queueing delay.

DQL is a general purpose queue length controller. The goal of DQL is to calculate the limit as the minimum number

of bytes needed to prevent starvation.

- HoldTime : The DQL algorithm hold time
- MaxLimit : Maximum limit
- MinLimit : Minimum limit

The DQL algorithm hold time is 1 s. Reducing the HoldTime increases the responsiveness of DQL with consequent

greater number of limit variation events. Conversely, increasing the HoldTime decreases the responsiveness of DQL

with a minor number of limit variation events. The limit calculated by DQL is in the range from MinLimit to MaxLimit.

The default values are respectively 0 and DQL\_MAX\_LIMIT. Increasing the MinLimit is recommended in case of

higher NetDevice transmission rate (e.g. 1 Gbps) while reducing the MaxLimit is recommended in case of lower

NetDevice transmission rate (e.g. 500 Kbps).

There is one trace source in DynamicQueueLimits class that may be hooked:

- Limit : Limit value calculated by DQL

## Helpers

A typical usage pattern is to create a traffic control helper and configure the queue limits type and attributes from the helper, such as this example:

```
TrafficControlHelper tch;  
uint32_t handle = tch.SetRootQueueDisc("ns3::PfifoFastQueueDisc", "Limit",  
,!UIntegerValue(1000));  
tch.SetQueueLimits("ns3::DynamicQueueLimits", "HoldTime", StringValue("4ms"));  
then install the configuration on a NetDevices container  
tch.Install (devices);
```

Nix-vector routing is a simulation specific routing protocol and is intended for large network topologies. The on-demand nature of this protocol as well as the low-memory footprint of the nix-vector provides improved performance

in terms of memory usage and simulation run time when dealing with a large number of nodes.

The source code for the NixVectorRouting module lives in the directory `src/nix-vector-routing`.

ns-3 nix-vector-routing performs on-demand route computation using a breadth-first search and an efficient route-

storage data structure known as a nix-vector.

When a packet is generated at a node for transmission, the route is calculated, and the nix-vector is built.

How is the Nix-Vector calculated? The nix-vector stores an index for each hop along the path, which corresponds to

the neighbor-index. This index is used to determine which net-device and gateway should be used.

How does the routing take place? To route a packet, the nix-vector must be transmitted with the packet. At each

hop, the current node extracts the appropriate neighbor-index from the nix-vector and transmits the packet through the

corresponding net-device. This continues until the packet reaches the destination.

Note: Nix-Vector routing does not use any routing metrics (interface metrics) during the calculation of nix-vector. It

is only based on the shortest path calculated according to BFS.

How does Nix decide between two equally short path from source to destination? It depends on how the topology

is constructed i.e., the order in which the net-devices are added on a node and net-devices added on the channels

associated with current node's net-devices. Please check the `nix-simple.cc` example below to understand how

nix-vectors are calculated.

How does Nix reacts to topology changes? Routes in Nix are specific to a given network topology, and are cached

by the sender node. Nix monitors the following events: Interface up/down, Route add/removal, Address add/removal

to understand if the cached routes are valid or if they have to be purged.

If the topology changes while the packet is "in flight", the associated NixVector is invalid, and have to be rebuilt by

an intermediate node. This is possible because the NixVecor carries an "Epoch", i.e., a counter indicating when the

NixVector has been created. If the topology changes, the Epoch is globally updated, and any outdated NixVector is

rebuilt.

ns-3 supports IPv4 as well as IPv6 Nix-Vector routing.

Currently, the ns-3 model of nix-vector routing supports IPv4 and IPv6 p2p links, CSMA links and multiple WiFi

networks with the same channel object. It does not (yet) provide support for efficient adaptation to link failures. It

simply flushes all nix-vector routing caches.

NixVectorRouting performs a subnet matching check, but it does not check entirely if the addresses have been appro-

priately assigned. In other terms, using Nix-Vector routing, it is possible to have a working network that violates some

good practices in IP address assignments.

In case of IPv6, Nix assumes the link-local addresses assigned are unique. When using the IPv6 stack, the link-local

address allocation is unique by default over the entire topology. However, if the link-local addresses are assigned

manually, the user must ensure uniqueness of link-local addresses.

NixVectorRouting supports routes to IPv4 and IPv6 loopback addresses on localhost. Although it is not really intended

to route to these addresses, it can do so.

The usage pattern is the one of all the Internet routing protocols. Since NixVectorRouting is not installed by default in the Internet stack, it is necessary to set it in the Internet Stack helper by

using `InternetStackHelper::SetRoutingHelper`.

Remember to include the header file `ns3/nix-vector-routing-module.h` to use IPv4 or IPv6 Nix-Vector

routing.

Note: The previous header files `ns3/ipv4-nix-vector-helper.h` and `ns3/ipv4-nix-vector-routing.h` are deprecated and will be removed in the future. These files are replaced with more generic (having IPv6 capabilities)

`ns3/nix-vector-helper.h` and `ns3/nix-vector-routing.h` respectively.

- Using IPv4 Nix-Vector Routing:

```
Ipv4NixVectorHelper nixRouting;
```

```
InternetStackHelper stack;
```

```
stack.SetRoutingHelper(nixRouting); // has effect on the next Install()
```

```
stack.Install(allNodes); // allNodes is the NodeContainer
```

- Using IPv6 Nix-Vector Routing:

```
Ipv6NixVectorHelper nixRouting;
```

```
InternetStackHelper stack;
```

```
stack.SetRoutingHelper(nixRouting); // has effect on the next Install()
```

```
stack.Install(allNodes); // allNodes is the NodeContainer
```

Note: The NixVectorHelper helper class helps to use NixVectorRouting functionality. The NixVectorRouting model

class can also be used directly to use Nix-Vector routing. `ns3/nix-vector-routing-module.h` contains the

header files for both the classes.

The examples for the NixVectorRouting module lives in the directory `src/nix-vector-routing/examples`

.

There are examples which use both IPv4 and IPv6 networking.

1. `nix-simple.cc`

```
/*
```

```
*/
```

```
*
```

```
*/\
*n0 -- n1 -- n2 -- n3
*
*n0 IP: 10.1.1.1, 10.1.4.1
*n1 IP: 10.1.1.2, 10.1.2.1
*n2 IP: 10.1.2.2, 10.1.3.1, 10.1.4.2
*n3 IP: 10.1.3.2
*/
```

In this topology, we install Nix-Vector routing between source n0 and destination n3. The shortest possible

route will be n0 -> n2 -> n3.

Let's see how the nix-vector will be generated for this path:

n0 has 2 neighbors i.e. n1 and n3. n0 is connected to both using separate net-devices. But the net-device

for n0 – n1 p2p link was created before the netdevice for n0 – n2 p2p link. Thus, n2 has neighbor-index

of 1 (n1 has 0) with respect to n0.

n2 has 3 neighbors i.e. n1, n3 and n0. The n2 net-device for n1 – n2 p2p link was created before the n2 net-device for n2 – n3 p2p link which was before the n2 netdevice for n0 – n2 p2p link. This, n3

has neighbor-index of 01 (n1 has 00 and n0 has 10) with respect to n2.

Thus, the nix-vector for the path from n0 to n3 is 101.

Note: This neighbor-index or nix-index has total number of bits equal to minimum number of bits required to represent all the neighbors in their binary form.

Note: If there are multiple netdevices connected to the current netdevice on the channel then it depends

on which order netdevices were added to the channel.

a. Using IPv4:

# By default IPv4 network is selected

./ns3 run nix-simple

b. Using IPv6:

# Use the --useIPv6 flag

./ns3 run "nix-simple --useIPv6"

2. nms-p2p-nix.cc

This example demonstrates the advantage of Nix-Vector routing as Nix performs source-based routing (BFS) to

have faster routing.

a. Using IPv4:

# By default IPv4 network is selected

./ns3 run nms-p2p-nix

b. Using IPv6:

# Use the --useIPv6 flag

./ns3 run "nms-p2p-nix --useIPv6"

3. nix-simple-multi-address.cc

This is an IPv4 example demonstrating multiple interface addresses. This example also shows how address

assignment in between the simulation causes the all the route caches and Nix caches to flush.

# By default IPv4 network is selected

./ns3 run nix-simple-multi-address

4. nix-double-wifi.cc

This example demonstrates the working of Nix with two Wifi networks operating on the same Wifi



channel

object. The example uses ns3::YansWifiChannel for both the wifi networks.

a. Using IPv4:

# By default IPv4 network is selected

./ns3 run nix-double-wifi

(continues on next page)

(continued from previous page)

# Use the --enableNixLog to enable NixVectorRouting logging.

./ns3 run "nix-double-wifi --enableNixLog"

b. Using IPv6:

# Use the --useIPv6 flag

./ns3 run "nix-double-wifi --useIPv6"

# Use the --enableNixLog to enable NixVectorRouting logging.

./ns3 run "nix-double-wifi --useIPv6 --enableNixLog"

## OPTIMIZED LINK STATE ROUTING (OLSR)

This model implements the base specification of the Optimized Link State Routing (OLSR) protocol, which is a dy-

namic mobile ad hoc unicast routing protocol. It has been developed at the University of Murcia (Spain) by Francisco

J. Ros for NS-2, and was ported to NS-3 by Gustavo Carneiro at INESC Porto (Portugal).

(RFC 7181 [rfc7181]) or any of the Version 2 extensions.

The source code for the OLSR model lives in the directory src/olsr . As stated before, the model is based on RFC 3626

([rfc3626]). Moreover, many design choices are based on the previous ns2 model.

The model is for IPv4 only.

- Mostly compliant with OLSR as documented in RFC 3626 ([rfc3626]),
- The use of multiple interfaces was not supported by the NS-2 version, but is supported in NS-3;
- OLSR does not respond to the routing event notifications corresponding to dynamic interface up and down ( ns3::RoutingProtocol::NotifyInterfaceUp and ns3::RoutingProtocol::NotifyInterfaceDown ) or address insertion/removal ( ns3::RoutingProtocol::NotifyAddAddress and ns3::RoutingProtocol::NotifyRemoveAddress ).
- Unlike the NS-2 version, does not yet support MAC layer feedback as described in RFC 3626 ([rfc3626]);

Host Network Association (HNA) is supported in this implementation of OLSR. Refer to examples/olsr-hna.cc

to see how the API is used.

The usage pattern is the one of all the Internet routing protocols. Since OLSR is not installed by default in the Internet

stack, it is necessary to set it in the Internet Stack helper by using

InternetStackHelper::SetRoutingHelper

Typically, OLSR is enabled in a main program by use of an OlsrHelper class that installs OLSR into an

Ipv4ListRoutingProtocol object. The following sample commands will enable OLSR in a simulation using this helper

class along with some other routing helper objects. The setting of priority value 10, ahead of the staticRouting priority

of 0, means that OLSR will be consulted for a route before the node's static routing table.:

NodeContainer c:

...

// Enable OLSR

NS\_LOG\_INFO("Enabling OLSR Routing.");

```

OlsrHelper olsr;
Ipv4StaticRoutingHelper staticRouting;
Ipv4ListRoutingHelper list;
list.Add(staticRouting, 0);
list.Add(olsr, 10);
InternetStackHelper internet;
internet.SetRoutingHelper(list);
internet.Install(c);

```

Once installed, the OLSR “main interface” can be set with the `SetMainInterface()` command. If the user does not

specify a main address, the protocol will select the first primary IP address that it finds, starting first the loopback interface and then the next non-loopback interface found, in order of Ipv4 interface index. The loopback address of 127.0.0.1 is not selected. In addition, a number of protocol constants are defined in `olsr-routing-protocol.cc`.

Olsr is started at time zero of the simulation, based on a call to `Object::Start()` that eventually calls `OlsrRoutingProtocol::DoStart()`. Note: a patch to allow the user to start and stop the protocol at other times would be welcome.

The examples are in the `src/olsr/examples/` directory. However, many other examples exist in the general

examples directory, e.g., `examples/routing/manet-routing-compare.cc`.

For specific examples of the HNA feature, see the examples in `src/olsr/examples/`.

A helper class for OLSR has been written. After an IPv4 topology has been created and unique IP addresses as-

signed to each node, the simulation script writer can call one of three overloaded functions with different scope to

```

enable OLSR: ns3::OlsrHelper::Install (NodeContainer container) ; ns3::OlsrHelper::Install
(Ptr<Node> node) ; or ns3::OlsrHelper::InstallAll ()

```

In addition, the behavior of OLSR can be modified by changing certain attributes. The method `ns3::OlsrHelper::Set ()` can be used to set OLSR attributes. These include `HelloInterval`, `TcInterval`, `Mid-`

`Interval`, `Willingness`. Other parameters are defined as macros in `olsr-routing-protocol.cc`.

The list of configurable attributes is:

- `HelloInterval` (time, default 2s), HELLO messages emission interval.
- `TcInterval` (time, default 5s), TC messages emission interval.
- `MidInterval` (time, default 5s), MID messages emission interval.
- `HnaInterval` (time, default 5s), HNA messages emission interval.
- `Willingness` (enum, default `olsr::Willingness::DEFAULT`), Willingness of a node to carry and forward traffic for other nodes.

The available traces are:

- Rx: Receive OLSR packet.
- Tx: Send OLSR packet.
- `RoutingTableChanged`: The OLSR routing table has changed.

Presently, OLSR is limited to use with an `Ipv4ListRouting` object, and does not respond to dynamic changes to a

device's IP address or link up/down notifications; i.e. the topology changes are due to loss/gain of connectivity over a wireless channel.

The code does not present any known issue.

The code validation has been done through Wireshark message compliance and unit testings.

ns-3 simulations can use OpenFlow switches (McKeown et al.1), widely used in research. OpenFlow switches are con-

figurable via the OpenFlow API, and also have an MPLS extension for quality-of-service and service-level-agreement

support. By extending these capabilities to ns-3 for a simulated OpenFlow switch that is both configurable and can

use the MPLS extension, ns-3 simulations can accurately simulate many different switches.

The OpenFlow software implementation distribution is hereby referred to as the OFSID. This is a demonstration of

running OpenFlow in software that the OpenFlow research group has made available. There is also an OFSID that

Ericsson researchers created to add MPLS capabilities; this is the OFSID currently used with ns-3.

The design will

allow the users to, with minimal effort, switch in a different OFSID that may include more efficient code than a

previous OFSID.

The model relies on building an external OpenFlow switch library (OFSID), and then building some ns-3 wrappers

that call out to the library. The source code for the ns-3 wrappers lives in the directory `src/openflow/model`.

The OpenFlow module presents a `OpenFlowSwitchNetDevice` and a `OpenFlowSwitchHelper` for installing it on nodes.

Like the Bridge module, it takes a collection of `NetDevices` to set up as ports, and it acts as the intermediary between

them, receiving a packet on one port and forwarding it on another, or all but the received port when flooding. Like

an OpenFlow switch, it maintains a configurable flow table that can match packets by their headers and do different

actions with the packet based on how it matches. The module's understanding of OpenFlow configuration messages

are kept the same format as a real OpenFlow-compatible switch, so users testing Controllers via ns-3 won't have to

rewrite their Controller to work on real OpenFlow-compatible switches.

The ns-3 OpenFlow switch device models an OpenFlow-enabled switch. It is designed to express basic use of the

OpenFlow protocol, with the maintaining of a virtual Flow Table and TCAM to provide OpenFlow-like results.

The functionality comes down to the Controllers, which send messages to the switch that configure its flows, pro-

ducing different effects. Controllers can be added by the user, under the `ofi` namespace extending `ofi::Controller`. To

demonstrate this, a `DropController`, which creates flows for ignoring every single packet, and `LearningController`,

which effectively makes the switch a more complicated `BridgeNetDevice`. A user versed in a standard OFSID, and/or

OF protocol, can write virtual controllers to create switches of all kinds of types.

1McKeown, N.; Anderson, T.; Balakrishnan, H.; Parulkar, G.; Peterson, L.; Rexford, J.; Shenker, S.;

Turner, J.; OpenFlow: enabling innovation

in campus networks, ACM SIGCOMM Computer Communication Review, Vol. 38, Issue 2, April 2008.

## OpenFlow switch Model

The OpenFlow switch device behaves somewhat according to the diagram setup as a classical OFSID switch, with a few modifications made for a proper simulation environment.

Normal OF-enabled Switch:

| Secure Channel | <--OF Protocol--> | Controller is external |  
| Hardware or Software Flow Table |

ns-3 OF-enabled Switch (module):

| m\_controller->ReceiveFromSwitch() | <--OF Protocol--> | Controller is internal |  
| Software Flow Table, virtual TCAM |

In essence, there are two differences:

1) No SSL, Embedded Controller: Instead of a secure channel and connecting to an outside location for the Controller

program/machine, we currently only allow a Controller extended from `ofi::Controller`, an extension of an `ns3::Object`.

This means ns-3 programmers cannot model the SSL part of the interface or possibility of network failure. The

connection to the `OpenFlowSwitch` is local and there aren't any reasons for the channel/connection to break down.

<<This difference may be an option in the future. Using `EmuNetDevices`, it should be possible to engage an external

Controller program/machine, and thus work with controllers designed outside of the ns-3 environment, that simply use

the proper OF protocol when communicating messages to the switch through a tap device.>>

2) Virtual Flow Table, TCAM: Typical OF-enabled switches are implemented on a hardware TCAM. The OFSID

we turn into a library includes a modelled software TCAM, that produces the same results as a hardware TCAM.

We include an attribute `FlowTableLookupDelay`, which allows a simple delay of using the TCAM to be modelled.

We don't endeavor to make this delay more complicated, based on the tasks we are running on the TCAM, that is a possible future improvement.

The `OpenFlowSwitch` network device is aimed to model an OpenFlow switch, with a TCAM and a connection to a

controller program. With some tweaking, it can model every switch type, per OpenFlow's extensibility. It outsources

the complexity of the switch ports to `NetDevices` of the user's choosing. It should be noted that these `NetDevices` must

behave like practical switch ports, i.e. a Mac Address is assigned, and nothing more. It also must support a `SendFrom`

function so that the `OpenFlowSwitch` can forward across that port.

All MPLS capabilities are implemented on the OFSID side in the `OpenFlowSwitchNetDevice`, but ns-3-mpls hasn't

been integrated, so ns-3 has no way to pass in proper MPLS packets to the `OpenFlowSwitch`. If it did, one would only

need to make `BufferFromPacket` pick up the `MplsLabelStack` or whatever the MPLS header is called on the Packet,

and build the MPLS header into the `ofpbuf`.

The OFSID requires `libxml2` (for MPLS FIB xml file parsing), and `libdl` (for address fault checking).

In order to use the `OpenFlowSwitch` module, you must create and link the OFSID (OpenFlow Software

## Implementation

Distribution) to ns-3. To do this:

1. Obtain the OFSID code. An ns-3 specific OFSID branch is provided to ensure operation with ns-3.

Use mercur-

ial to download this branch and ns3 to build the library:

```
$ hg clone http://code.nsnam.org/openflow
```

```
$ cd openflow
```

From the "openflow" directory, run:

```
$ ./waf configure
```

```
$ ./waf build
```

2. Your OFSID is now built into a libopenflow.a library! To link to an ns-3 build with this OpenFlow switch

module, run from the ns-3-dev (or whatever you have named your distribution):

```
$ ./ns3 configure --enable-examples --enable-tests --with-openflow=path/to/
```

```
./openflow
```

3. Under---- Summary of optional NS-3 features: you should see:

```
"NS-3 OpenFlow Integration : enabled"
```

indicating the library has been linked to ns-3. Run:

```
$ ./ns3 build
```

to build ns-3 and activate the OpenFlowSwitch module in ns-3.

For an example demonstrating its use in a simple learning controller/switch, run:

```
$ ./ns3 run openflow-switch
```

To see it in detailed logging, run:

```
$ ./ns3 run "openflow-switch -v"
```

The SwitchNetDevice provides following Attributes:

- FlowTableLookupDelay: This time gets run off the clock when making a lookup in our Flow Table.

- Flags: OpenFlow specific configuration flags. They are defined in the ofp\_config\_flags enum.

Choices include:

OFPC\_SEND\_FLOW\_EXP (Switch notifies controller when a flow has expired),

OFPC\_FRAG\_NORMAL (Match fragment against Flow table), OFPC\_FRAG\_DROP (Drop fragments),

OFPC\_FRAG\_REASM (Reassemble only if OFPC\_IP\_REASM set, which is currently impossible,

because switch implementation does not support IP reassembly) OFPC\_FRAG\_MASK (Mask Fragments)

- FlowTableMissSendLength: When the packet doesn't match in our Flow Table, and we forward to the controller,

this sets # of bytes forwarded (packet is not forwarded in its entirety, unless specified).

Note: TODO

Note: TODO

Note: TODO

Note: TODO

This model has one test suite which can be run as follows:

```
$ ./test.py --suite=openflow
```

Thens-3 point-to-point model is of a very simple point to point data link connecting exactly two PointToPointNetDe-

vice devices over an PointToPointChannel. This can be viewed as equivalent to a full duplex RS-232 or RS-422 link

with null modem and no handshaking.

Data is encapsulated in the Point-to-Point Protocol (PPP – RFC 1661), however the Link Control Protocol (LCP) and

associated state machine is not implemented. The PPP link is assumed to be established and authenticated at all times.

Data is not framed, therefore Address and Control fields will not be found. Since the data is not

framed, there is no need to provide Flag Sequence and Control Escape octets, nor is a Frame Check Sequence appended. All that is required to implement non-framed PPP is to prepend the PPP protocol number for IP Version 4 which is the sixteen-bit number 0x21 (see <http://www.iana.org/assignments/ppp-numbers>).

The `PointToPointNetDevice` provides following Attributes:

- Address: The `ns3::Mac48Address` of the device (if desired);
- DataRate: The data rate (`ns3::DataRate`) of the device;
- TxQueue: The transmit queue (`ns3::Queue`) used by the device;
- InterframeGap: The optional `ns3::Time` to wait between “frames”;
- Rx: A trace source for received packets;
- Drop: A trace source for dropped packets.

The `PointToPointNetDevice` models a transmitter section that puts bits on a corresponding channel “wire.” The

`DataRate` attribute specifies the number of bits per second that the device will simulate sending over the channel.

In reality no bits are sent, but an event is scheduled for an elapsed time consistent with the number of bits in each

packet and the specified `DataRate`. The implication here is that the receiving device models a receiver section that can

receive any any data rate. Therefore there is no need, nor way to set a receive data rate in this model. By setting the

`DataRate` on the transmitter of both devices connected to a given `PointToPointChannel` one can model a symmetric

channel; or by setting different `DataRates` one can model an asymmetric channel (e.g., ADSL).

The `PointToPointNetDevice` supports the assignment of a “receive error model.” This is an `ErrorModel` object that is

used to simulate data corruption on the link.

The point to point net devices are connected via an `PointToPointChannel`. This channel models two wires transmitting

bits at the data rate specified by the source net device. There is no overhead beyond the eight bits per byte of the packet

sent. That is, we do not model Flag Sequences, Frame Check Sequences nor do we “escape” any data.

The `PointToPointChannel` provides following Attributes:

- Delay: An `ns3::Time` specifying the propagation delay for the channel.

The `PointToPoint` net devices and channels are typically created and configured using the associated `PointToPointHelper` object. The various `ns3` device helpers generally work in a similar way, and their use is

seen in many of our example programs and is also covered in the ns-3 tutorial.

The conceptual model of interest is that of a bare computer “husk” into which you plug net devices.

The bare computers

are created using a `NodeContainer` helper. You just ask this helper to create as many computers (we call them Nodes )

as you need on your network:

```
NodeContainer nodes;
```

```
nodes.Create(2);
```

Once you have your nodes, you need to instantiate a `PointToPointHelper` and set any attributes you may want to

change. Note that since this is a point-to-point(as compared to a point-to-multipoint) there may only be two nodes

with associated net devices connected by a `PointToPointChannel`:

```
PointToPointHelper pointToPoint;
```

```
pointToPoint.SetDeviceAttribute("DataRate", StringValue("5Mbps"));
```

```
pointToPoint.SetChannelAttribute("Delay", StringValue("2ms"));
```

Once the attributes are set, all that remains is to create the devices and install them on the required nodes, and to connect

the devices together using a `PointToPoint` channel. When we create the net devices, we add them to a container to

allow you to use them in the future. This all takes just one line of code.:

```
NetDeviceContainer devices = pointToPoint.Install(nodes);
```

Like all ns-3 devices, the `Point-to-Point Model` provides a number of trace sources. These trace sources can be hooked

using your own custom trace code, or you can use our helper functions to arrange for tracing to be enabled on devices

you specify.

From the point of view of tracing in the net device, there are several interesting points to insert trace hooks. A con-

vention inherited from other simulators is that packets destined for transmission onto attached networks pass through

a single “transmit queue” in the net device. We provide trace hooks at this point in packet flow, which corresponds

(abstractly) only to a transition from the network to data link layer, and call them collectively the device MAC hooks.

When a packet is sent to the `Point-to-Point` net device for transmission it always passes through the transmit queue.

The transmit queue in the `PointToPointNetDevice` inherits from `Queue`, and therefore inherits three trace sources:

- An Enqueue operation source (see `ns3::Queue::m_traceEnqueue`);
- A Dequeue operation source (see `ns3::Queue::m_traceDequeue`);
- A Drop operation source (see `ns3::Queue::m_traceDrop`).

The upper-level (MAC) trace hooks for the `PointToPointNetDevice` are, in fact, exactly these three trace sources on

the single transmit queue of the device.

The `m_traceEnqueue` event is triggered when a packet is placed on the transmit queue. This happens at the time that

`ns3::PointToPointNetDevice::Send` or `ns3::PointToPointNetDevice::SendFrom` is called by a higher layer to queue a

packet for transmission. An Enqueue trace event firing should be interpreted as only indicating that a higher level

protocol has sent a packet to the device.

The `m_traceDequeue` event is triggered when a packet is removed from the transmit queue. Dequeues from the

transmit queue can happen in two situations: 1) If the underlying channel is idle when `PointToPointNetDevice::Send`

is called, a packet is dequeued from the transmit queue and immediately transmitted; 2) a packet may be dequeued and

immediately transmitted in an internal `TransmitCompleteEvent` that functions much like a transmit complete interrupt

service routine. An Dequeue trace event firing may be viewed as indicating that the

`PointToPointNetDevice` has begun

transmitting a packet.

Similar to the upper level trace hooks, there are trace hooks available at the lower levels of the net device. We call

these the PHY hooks. These events fire from the device methods that talk directly to the PointToPointChannel.

The trace source m\_dropTrace is called to indicate a packet that is dropped by the device. This happens when a packet is discarded as corrupt due to a receive error model indication (see ns3::ErrorModel and the associated attribute "ReceiveErrorModel").

The other low-level trace source fires on reception of a packet (see ns3::PointToPointNetDevice::m\_rxTrace) from the PointToPointChannel.

The ns-3propagation module defines two generic interfaces, namely PropagationLossModel and PropagationDelayModel, to model respectively the propagation loss and the propagation delay. Propagation loss models calculate the Rx signal power considering the Tx signal power and the mutual Rx and Tx antennas positions.

A propagation loss model can be "chained" to another one, making a list. The final Rx power takes into account all

the chained models. In this way one can use a slow fading and a fast fading model (for example), or model separately different fading effects.

The following propagation loss models are implemented:

- Cost231PropagationLossModel
- FixedRssLossModel
- FriisPropagationLossModel
- ItuR1411LosPropagationLossModel
- ItuR1411NlosOverRooftopPropagationLossModel
- JakesPropagationLossModel
- Kun2600MhzPropagationLossModel
- LogDistancePropagationLossModel
- MatrixPropagationLossModel
- NakagamiPropagationLossModel
- OkumuraHataPropagationLossModel
- RandomPropagationLossModel
- RangePropagationLossModel
- ThreeLogDistancePropagationLossModel
- TwoRayGroundPropagationLossModel
- ThreeGppPropagationLossModel
- ThreeGppRMaPropagationLossModel
- ThreeGppUMaPropagationLossModel
- ThreeGppUmiStreetCanyonPropagationLossModel
- ThreeGppIndoorOfficePropagationLossModel

Other models could be available thanks to other modules, e.g., the building module.

Each of the available propagation loss models of ns-3 is explained in one of the following subsections.

This model implements the Friis propagation loss model. This model was first described in [friis].

The original

equation was described as:

$P_r$

$P_t = A_r A_t$



$d^2 \lambda^2$

with the following equation for the case of an isotropic antenna with no heat loss:

$A_{\text{isotr}} = \lambda^2$

$4\pi$

The final equation becomes:

$P_r$

$P_t = \lambda^2$

$(4\pi d)^2$

Modern extensions to this original equation are:

$P_r = P_t G_t G_r \lambda^2$

$(4\pi d)^2 L$

With:

$P_t$ : transmission power (W)

$P_r$ : reception power (W)

$G_t$ : transmission gain (unit-less)

$G_r$ : reception gain (unit-less)

$\lambda$ : wavelength (m)

$d$ : distance (m)

$L$ : system loss (unit-less)

In the implementation,  $\lambda$  is calculated as  $c/f$

where  $c = 299792458$  m/s is the speed of light in vacuum, and  $f$  is the

frequency in Hz which can be configured by the user via the Frequency attribute.

The Friis model is valid only for propagation in free space within the so-called far field region, which can be considered

approximately as the region for  $d > 3\lambda$ . The model will still return a value for  $d < 3\lambda$ , as doing so (rather than

triggering a fatal error) is practical for many simulation scenarios. However, we stress that the values obtained in such

conditions shall not be considered realistic.

Related with this issue, we note that the Friis formula is undefined for  $d = 0$ , and results in

$P_r > P_t$  for  $d < \lambda/2$ .

Both these conditions occur outside of the far field region, so in principle the Friis model shall not be used in these

conditions. In practice, however, Friis is often used in scenarios where accurate propagation modeling is not deemed

important, and values of  $d = 0$  can occur.

To allow practical use of the model in such scenarios, we have to 1) return some value for  $d = 0$ , and 2) avoid large

discontinuities in propagation loss values (which could lead to artifacts such as bogus capture effects which are much

worse than inaccurate propagation loss values). The two issues are conflicting, as, according to the Friis formula,

$\lim_{d \rightarrow 0} P_r = +\infty$ ; so if, for  $d = 0$ , we use a fixed loss value, we end up with an infinitely large discontinuity, which

as we discussed can cause undesirable simulation artifacts.

To avoid these artifact, this implementation of the Friis model provides an attribute called MinLoss which allows

to specify the minimum total loss (in dB) returned by the model. This is used in such a way that  $P_r$  continuously

increases for  $d \neq 0$ , until MinLoss is reached, and then stay constant; this allow to return a value for  $d = 0$  and at

the same time avoid discontinuities. The model won't be much realistic, but at least the simulation artifacts discussed

before are avoided. The default value of MinLoss is 0 dB, which means that by default the model will return  $P_r = P_t$

for  $d \leq d_0 = 2\pi \frac{H_t H_r}{\lambda}$ . We note that this value of  $d$  is outside of the far field region, hence the validity of the model in the

far field region is not affected.

This model implements a Two-Ray Ground propagation loss model ported from NS2

The Two-ray ground reflection model uses the formula

$$P_r = P_t \frac{G_t G_r}{4\pi^2} \left( \frac{H_t^2}{d^4} + \frac{H_r^2}{d^4} \right)$$

for

$d > d_0$

where

The original equation in Rappaport's book assumes  $L = 1$ . To be consistent with the free space equation,  $L$  is added here.

$H_t$  and  $H_r$  are set at the respective nodes z-coordinate plus a model parameter set via `SetHeightAboveZ`.

The two-ray model does not give a good result for short distances, due to the oscillation caused by constructive and

destructive combination of the two rays. Instead the Friis free-space model is used for small distances.

The crossover distance, below which Friis is used, is calculated as follows:

$$d_{\text{Cross}} = \left( \frac{4\pi^2 H_t H_r}{\lambda} \right)^{1/2}$$

where

In the implementation,  $d_{\text{Cross}}$  is calculated as

$d_{\text{Cross}} = \frac{c}{f} \sqrt{\frac{H_t H_r}{\lambda}}$ , where  $c = 299792458$  m/s is the speed of light in vacuum, and  $f$  is the

frequency in Hz which can be configured by the user via the Frequency attribute.

This model implements a log distance propagation model.

The reception power is calculated with a so-called log-distance propagation model:

$$L = L_0 + 10n \log_{10} \left( \frac{d}{d_0} \right)$$

where

$n$ :

the path loss distance exponent

$d_0$ : reference distance (m)

$L_0$ : path loss at reference distance (dB)

$d$ : distance (m)

$L$ : path loss (dB)

When the path loss is requested at a distance smaller than the reference distance, the tx power is returned.

This model implements a log distance path loss propagation model with three distance fields. This model is the same

as `ns3::LogDistancePropagationLossModel` except that it has three distance fields: near, middle and far with different exponents.

Within each field the reception power is calculated using the log-distance propagation equation:

$$L = L_0 + 10n \log_{10} \left( \frac{d}{d_0} \right)$$

where

Each field begins where the previous ends and all together form a continuous function.

There are three valid distance fields: near, middle, far. Actually four: the first from 0 to the reference distance is invalid

and returns `txPowerDbm`.

$L = L_0 + 10n_0 \log_{10}(d/d_0) + 10n_1 \log_{10}(d_1/d_0) + 10n_2 \log_{10}(d_2/d_0)$   
 $L_0$ : path loss at reference distance (dB)  
 $d$ : distance (m)  
 $L$ : path loss (dB)

Complete formula for the path loss in dB:

$L = L_0 + 10n_0 \log_{10}(d/d_0) + 10n_1 \log_{10}(d_1/d_0) + 10n_2 \log_{10}(d_2/d_0)$   
 $L_0$ : path loss at reference distance (dB)  
 $d$ : distance (m)  
 $L$ : path loss (dB)

where:

$d_0, d_1, d_2$ : three distance fields (m)  
 $n_0, n_1, n_2$ : path loss distance exponent for each field (unitless)  
 $L_0$ : path loss at reference distance (dB)  
 $d$ : distance (m)  
 $L$ : path loss (dB)

When the path loss is requested at a distance smaller than the reference distance  $d_0$ , the tx power (with no path loss) is returned. The reference distance defaults to 1m and reference loss defaults to FriisPropagationLossModel with  
 To Do

The propagation loss is totally random, and it changes each time the model is called. As a consequence, all the packets (even those between two fixed nodes) experience a random propagation loss.

This propagation loss model implements the Nakagami-m fast fading model, which accounts for the variations in signal strength due to multipath fading. The model does not account for the path loss due to the distance traveled by the signal, hence for typical simulation usage it is recommended to consider using it in combination with other models that take into account this aspect.

The Nakagami-m distribution is applied to the power level. The probability density function is defined as

$p(x; m, \bar{P}) = \frac{m^m}{\Gamma(m)} x^{m-1} \bar{P}^{-m} e^{-mx/\bar{P}}$   
 $\Gamma(m)$ : gamma function  
 $\bar{P}$ : average received power

with  $m$  the fading depth parameter and  $\bar{P}$  the average received power.

It is implemented by either a GammaRandomVariable or a ErlangRandomVariable random variable. The implementation of the model allows to specify different values of the  $m$  parameter (and hence different fast fading profiles) for three different distance ranges:

$L = L_0 + 10n_0 \log_{10}(d/d_0) + 10n_1 \log_{10}(d_1/d_0) + 10n_2 \log_{10}(d_2/d_0)$   
 $L_0$ : path loss at reference distance (dB)  
 $d$ : distance (m)  
 $L$ : path loss (dB)

Form= 1 the Nakagami-m distribution equals the Rayleigh distribution. Thus this model also implements Rayleigh

distribution based fast fading.

This model sets a constant received power level independent of the transmit power.

The received power is constant independent of the transmit power; the user must set received power level. Note that if

this loss model is chained to other loss models, it should be the first loss model in the chain.

Else it will disregard the

losses computed by loss models that precede it in the chain.

The propagation loss is fixed for each pair of nodes and doesn't depend on their actual positions.

This model should

be useful for synthetic tests. Note that by default the propagation loss is assumed to be symmetric.

This propagation loss depends only on the distance (range) between transmitter and receiver.

The single MaxRange attribute (units of meters) determines path loss. Receivers at or within

MaxRange meters receive

the transmission at the transmit power level. Receivers beyond MaxRange receive at power -1000 dBm (effectively zero).

This model is used to model open area pathloss for long distance (i.e., > 1 Km). In order to include all the possible

frequencies usable by LTE we need to consider several variants of the well known Okumura Hata model.

In fact, the

original Okumura Hata model [hata] is designed for frequencies ranging from 150 MHz to 1500 MHz, the COST231

[cost231] extends it for the frequency range from 1500 MHz to 2000 MHz. Another important aspect is the scenarios

considered by the models, in fact the all models are originally designed for urban scenario and then only the stan-

dard one and the COST231 are extended to suburban, while only the standard one has been extended to open areas.

Therefore, the model cannot cover all scenarios at all frequencies. In the following we detail the models adopted.

The pathloss expression of the COST231 OH is:

$$L = 46.3 + 33.9 \log f + 13.82 \log h_b + (44.9 - 6.55 \log h_b) \log d + F(h_M) + C$$

where

$$F(h_M) = \begin{cases} (1.1 \log(f) - 0.7) h_M^{1.56} \log(f)^{0.8} & \text{for medium and small size cities} \\ 3.2 (\log(11.75 h_M))^2 & \text{for large cities} \end{cases}$$

$$C = \begin{cases} 0 \text{ dB} & \text{for medium-size cities and suburban areas} \\ 3 \text{ dB} & \text{for large cities} \end{cases}$$

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$$C = \begin{cases} 0 \text{ dB} & \text{for medium-size cities and suburban areas} \\ 3 \text{ dB} & \text{for large cities} \end{cases}$$

and

f: frequency [MHz]

h<sub>b</sub>: eNB height above the ground [m]

h<sub>M</sub>: UE height above the ground [m]

d: distance [km]

log: is a logarithm in base 10 (this for the whole document)

This model is only for urban scenarios.

The pathloss expression of the standard OH in urban area is:

$$L = 69.55 + 26.16 \log f + 13.82 \log h_b + (44.9 - 6.55 \log h_b) \log d + C_H$$

where for small or medium sized city

$$C_H = 0.8 + (1.1 \log f - 0.7) h_M^{1.56} \log f$$

and for large cities

$$C_H = \begin{cases} 8.29 (\log(1.54 h_M))^2 - 1.1 & \text{if } 150 \leq f \leq 200 \\ 3.2 (\log(11.75 h_M))^2 - 4.97 & \text{if } 200 < f \leq 1500 \end{cases}$$

$$C_H = \begin{cases} 8.29 (\log(1.54 h_M))^2 - 1.1 & \text{if } 150 \leq f \leq 200 \\ 3.2 (\log(11.75 h_M))^2 - 4.97 & \text{if } 200 < f \leq 1500 \end{cases}$$

There extension for the standard OH in suburban is

$\log f$

$28 \lambda^{0.2}$

$\lambda^{0.5:4}$

where

LU: pathloss in urban areas

The extension for the standard OH in open area is

$LO = LU \lambda^{0.4:70} (\log f)^2 + 18.33 \log f \lambda^{0.40:94}$

The literature lacks of extensions of the COST231 to open area (for suburban it seems that we can just impose  $C = 0$ );

therefore we consider it a special case for the suburban one.

To Do

This model is designed for Line-of-Sight (LoS) short range outdoor communication in the frequency range 300 MHz

to 100 GHz. This model provides an upper and lower bound respectively according to the following formulas

$LLoS; l = Lbp +$

$20 \log d$

$R_{bp} \text{ford} \lambda^{0.5:4} R_{bp}$

$40 \log d$

$R_{bp} \text{ford} > R_{bp}$

$LLoS; u = Lbp + 20 +$

$25 \log d$

$R_{bp} \text{ford} \lambda^{0.5:4} R_{bp}$

$40 \log d$

$R_{bp} \text{ford} > R_{bp}$

where the breakpoint distance is given by

$R_{bp} \lambda^{0.5:4} h_b h_m$

$\lambda$

and the above parameters are

$\lambda$ : wavelength [m]

$h_b$ : eNB height above the ground [m]

$h_m$ : UE height above the ground [m]

$d$ : distance [m]

and  $L_{bp}$  is the value for the basic transmission loss at the break point, defined as:

$L_{bp} = 20 \log \lambda^{0.5:4}$

$8 \lambda^{0.5:4} h_b h_m$

The value used by the simulator is the average one for modeling the median pathloss.

This model is designed for Non-Line-of-Sight (NLoS) short range outdoor communication over rooftops in the frequency

range 300 MHz to 100 GHz. This model includes several scenario-dependent parameters, such as average street width,

orientation, etc. It is advised to set the values of these parameters manually (using the ns-3 attribute system) according

to the desired scenario.

In detail, the model is based on [walfisch] and [ikegami], where the loss is expressed as the sum of free-space loss

( $L_{bf}$ ), the diffraction loss from rooftop to street ( $L_{rts}$ ) and the reduction due to multiple screen diffraction past rows

of building ( $L_{msd}$ ). The formula is:

$LNLOS = L_{bf} + L_{rts} + L_{msd}$  for  $L_{rts} + L_{msd} > 0$

Lbf for Lrts + Lmsd = 0

The free-space loss is given by:

$$Lbf = 32.4 + 20 \log(d=1000) + 20 \log(f)$$

where:

f: frequency [MHz]

d: distance (where  $d > 1$ ) [m]

The term Lrts takes into account the width of the street and its orientation, according to the formulas

$$Lrts = 8.2 + 10 \log(w) + 10 \log(f) + 20 \log(hm) + L_{ori}$$

$$L_{ori} = 8$$

<

$$: 10 + 0.354' \text{ for } 0' < \theta < 35'$$

$$2.5 + 0.075(\theta - 35) \text{ for } 35' < \theta < 55'$$

$$4.0 + 0.114(\theta - 55) \text{ for } 55' < \theta < 90'$$

$$\theta_{hm} = \theta_{hr} \theta_{hm}$$

where:

hr: is the height of the rooftop [m]

hm: is the height of the mobile [m]

' : is the street orientation with respect to the direct path (degrees)

The multiple screen diffraction loss depends on the BS antenna height relative to the building height and on the

incidence angle. The former is selected as the higher antenna in the communication link. Regarding the latter, the

“settled field distance” is used for select the proper model; its value is given by

$$ds = d^2$$

$$h^2$$

b

with

$$h_b = h_b \theta_{hm}$$

Therefore, in case of  $l > ds$  (where  $l$  is the distance over which the building extend), it can be evaluated according to

$$L_{msd} = L_{bsh} + k_a + k_d \log(d=1000) + k_f \log(f) + 9 \log(b)$$

$$L_{bsh} = 18 \log(1 + h_b) \text{ for } h_b > h_r$$

$$0 \text{ for } h_b \leq h_r$$

$$k_a = 8$$

>><

$$>: 71.4 \text{ for } h_b > h_r \text{ and } f > 2000 \text{ MHz}$$

$$54 \text{ for } h_b > h_r \text{ and } f \leq 2000 \text{ MHz}$$

$$54 + 0.8(h_b - h_r) \text{ for } h_b \leq h_r \text{ and } d \leq 500 \text{ m}$$

$$54 + 1.6(h_b - h_r) \text{ for } h_b \leq h_r \text{ and } d > 500 \text{ m}$$

$$k_d = 18 \text{ for } h_b > h_r$$

$$18 + 15 \Delta h_b$$

$$h_r \text{ for } h_b \leq h_r$$

$$k_f = 8$$

<

$$: 8 \text{ for } f > 2000 \text{ MHz}$$

$$4 + 0.7(f - 925)^{1/4} \text{ for medium city and suburban centres and } f \leq 2000 \text{ MHz}$$

$$4 + 1.5(f - 925)^{1/4} \text{ for metropolitan centres and } f \leq 2000 \text{ MHz}$$

Alternatively, in case of  $l < ds$ , the formula is:

$$L_{msd} = 10 \log$$

where

```
>>><
>>>:2:35■
Δhb
dq
b
■■0:9
```

```
forhb>hr
b
dforhb■hr
b
2■dq
■
■■
■■1
```

```
2■+■■
forhb<hr
where:
```

```
■=arctan■■hb
b■
■=q
■h2
b+b2
```

This is the empirical model for the pathloss at 2600 MHz for urban areas which is described in [kun2600mhz]. The model is as follows. Let  $d$  be the distance between the transmitter and the receiver in meters; the pathloss  $L$  in dB is calculated as:

$$L = 36 + 26 \log d$$

The base class `ThreeGppPropagationLossModel` and its derived classes implement the path loss and shadow fading models described in 3GPP TR 38.90138901. 3GPP TR 38.901 includes multiple scenarios modeling different propagation environments, i.e., indoor, outdoor urban and rural, for frequencies between 0.5 and 100 GHz.

Implemented features:

- Path loss and shadowing models (3GPP TR 38.901, Sec. 7.4.1)
- Autocorrelation of shadow fading (3GPP TR 38.901, Sec. 7.4.4)
- Channel condition models (3GPP TR 38.901, Sec. 7.4.2)
- O2I Low/High Building penetration losses (3GPP TR 38.901, Sec. 7.4.3.1). The Low/High Building penetration

losses can be enabled for UEs that are in O2I channel condition state. For determining the O2O/O2I state in ns-3

there are two possible scenario setups: 1) ns-3 buildings and `BuildingsChannelConditionModel` are used.

Then the O2I condition is calculated based on the buildings in the scenario. In this case losses are considered

by default, however there is the option to disable them by setting the `ThreeGppPropagationLossModel` attribute `BuildingPenetrationLossesEnabled` to false. 2) ns-3 buildings are not used, instead one of the

3GPP stochastic channel condition models is used, such as: `ThreeGppRmaChannelConditionModel`, `ThreeGppUmaChannelConditionModel`, `ThreeGppUmiStreetCanyonChannelConditionModel`. These

models are extended to calculate the O2O/O2I state probabilistically. Additionally, it is possible to configure to calculate O2I condition deterministically based on the UE height. In both cases, the O2O/O2I is updated at the same time as LOS/nLOS, i.e. with the same periodicity. For other 3GPP channel condition models in ns-3 there are no O2I losses because both TX and RX, are either indoor or outdoor, such as in the case of indoor or V2V scenarios. For this case, to consider O2I Low/High Losses, the attribute of the ChannelCondition O2iThreshold (that indicates the ratio between O2O and O2I states) must be set to a value different from 0.

Possible values are from 0 to 1, with 1 corresponding to 100% O2I conditions.

To be implemented:

- O2I Car penetration losses (3GPP TR 38.901, Sec. 7.4.3.2).
- Spatial consistent update of the channel states (3GPP TR 38.901 Sec. 7.6.3.3)

Configuration

TheThreeGppPropagationLossModel instance is paired with a ChannelConditionModel instance used to retrieve the LOS/NLOS channel condition. By default, a 3GPP channel condition model related to the same scenario is set (e.g., by default, ThreeGppRmaPropagationLossModel is paired with ThreeGppRmaChannelConditionModel ), but it can be configured using the method SetChannelConditionModel.

The channel condition models are stored inside the propagation module, for a limitation of the current spectrum

API and to avoid a circular dependency between the spectrum and the propagation modules. Please note that it

is necessary to install at least one ChannelConditionModel when using any

ThreeGppPropagationLossModel

subclass. Please look below for more information about the Channel Condition models.

The operating frequency has to be set using the attribute "Frequency", otherwise an assert is raised. The addition of the

shadow fading component can be enabled/disabled through the attribute "ShadowingEnabled". Other scenario-related

parameters can be configured through attributes of the derived classes.

fall outside of the applicability range specified in TR38.901. By default, the simulator will only warn (if logging is

enabled) if a value is outside of the applicability range. If this parameter is set to true, the simulation will abort with

an error message (instead of just logging a warning) if the applicability range is exceeded.

Implementation details

389013GPP. 2018. TR 38.901, Study on channel model for frequencies from 0.5 to 100 GHz, V15.0.0. (2018-06).

The method DoCalcRxPower computes the propagation loss considering the path loss and the shadow fading (if

enabled). The path loss is computed by the method GetLossLos or GetLossNlos depending on the LOS/NLOS channel

condition, and their implementation is left to the derived classes. The shadow fading is computed by the method

GetShadowing, which generates an additional random loss component characterized by Gaussian distribution with

zero mean and scenario-specific standard deviation. Subsequent shadowing components of each BS-UT



link are

correlated as described in 3GPP TR 38.901, Sec. 7.4.438901.

Note 1 : The TR defines height ranges for UTs and BSs, depending on the chosen propagation model (for the exact

values, please see below in the specific model documentation). If the user does not set correct values, the model will

emit a warning but perform the calculation anyway.

Note 2 : The 3GPP model is originally intended to be used to represent BS-UT links. However, in ns-3, we may need

to compute the pathloss between two BSs or UTs to evaluate the interference. We have decided to support this case by

considering the tallest node as a BS and the smallest as a UT. As a consequence, the height values may be outside the

validity range of the chosen class: therefore, an inaccuracy warning may be printed, but it can be ignored.

There are four derived class, each one implementing the propagation model for a different scenario:

`ThreeGppRMaPropagationLossModel`

This class implements the LOS/NLOS path loss and shadow fading models described in 3GPP TR 38.90138901, Table

7.4.1-1 for the RMa scenario. It supports frequencies between 0.5 and 30 GHz. It is possible to configure some

scenario-related parameters through the attributes `AvgBuildingHeight` and `AvgStreetWidth`.

As specified in the TR, the 2D distance between the transmitter and the receiver should be between 10 m and 10 km

for the LOS case, or between 10 m and 5 km for the NLOS case, otherwise the model may not be accurate (a warning

message is printed if the user has enabled logging on the model, or the simulation aborts, depending on whether

m, while the height of the user terminal (`hUT`) should be between 1 m and 10 m.

`ThreeGppUMaPropagationLossModel`

This implements the LOS/NLOS path loss and shadow fading models described in 3GPP TR 38.90138901, Table 7.4.1-1

for the UMa scenario. It supports frequencies between 0.5 and 100 GHz.

As specified in the TR, the 2D distance between the transmitter and the receiver should be between 10 m and 5 km

both for the LOS and NLOS cases, otherwise the model may not be accurate (a warning message is printed if the user

has enabled logging on the model, or the simulation aborts, depending on whether

“`EnforceParameterRanges`” is set

to true). Also, the height of the base station (`hBS`) should be 25 m and the height of the user terminal (`hUT`) should be

between 1.5 m and 22.5 m.

`ThreeGppUmiStreetCanyonPropagationLossModel`

This implements the LOS/NLOS path loss and shadow fading models described in 3GPP TR 38.90138901, Table 7.4.1-1

for the UMi-Street Canyon scenario. It supports frequencies between 0.5 and 100 GHz.

As specified in the TR, the 2D distance between the transmitter and the receiver should be between 10 m and 5 km

both for the LOS and NLOS cases, otherwise the model may not be accurate (a warning message is printed if the user

has enabled logging on the model, or the simulation aborts, depending on whether

“EnforceParameterRanges” is set to true). Also, the height of the base station (hBS) should be 10 m and the height of the user terminal (hUT) should be between 1.5 m and 10 m (the validity range is reduced because we assume that the height of the UT nodes is always lower than the height of the BS nodes).

**ThreeGppIndoorOfficePropagationLossModel**

This implements the LOS/NLOS path loss and shadow fading models described in 3GPP TR 38.90138901, Table 7.4.1-1 for the Indoor-Office scenario. It supports frequencies between 0.5 and 100 GHz.

As specified in the TR, the 3D distance between the transmitter and the receiver should be between 1 m and 150 m both for the LOS and NLOS cases, otherwise the model may not be accurate (a warning log message is printed if the user has enabled logging on the model, or the simulation aborts, depending on whether “EnforceParameterRanges” is set to true).

**Testing**

The test suite **ThreeGppPropagationLossModelsTestSuite** provides test cases for the classes implementing the 3GPP propagation loss models. The test cases **ThreeGppRmaPropagationLossModelTestCase**, **ThreeGppUmaPropagationLossModelTestCase**, **ThreeGppUmiPropagationLossModelTestCase** and **ThreeGppIndoorOfficePropagationLossModelTestCase** compute the path loss between two nodes and compares it with the value obtained using the formulas in 3GPP TR 38.90138901, Table 7.4.1-1. The test case **ThreeGppShadowingTestCase** checks if the shadowing is correctly computed by testing the deviation of the overall propagation loss from the path loss. The test is carried out for all the scenarios, both in LOS and NLOS condition.

The loss models require to know if two nodes are in Line-of-Sight (LoS) or if they are not. The interface for that is represented by this class. The main method is **GetChannelCondition(a, b)**, which returns a **ChannelCondition** object containing the information about the channel state.

We modeled the LoS condition in two ways: (i) by using a probabilistic model specified by the 3GPP (), and (ii) by using an ns-3 specific building-aware model, which checks the space position of the BSs and the UTs. For what regards the first option, the probability is independent of the node location: in other words, following the 3GPP model, two UT spatially separated by an epsilon may have different LoS conditions. To take into account mobility, we have inserted a parameter called “UpdatePeriod,” which indicates how often a 3GPP-based channel condition has to be updated. By default, this attribute is set to 0, meaning that after the channel condition is generated, it is never updated. With this default value, we encourage the users to run multiple simulations with different seeds to get statistical significance from the data. For the users interested in using mobile nodes, we suggest changing this parameter to a value that takes into account the node speed and the desired accuracy. For example, lower-speed node conditions may

be updated in

terms of seconds, while high-speed UT or BS may be updated more often.

The two approach are coded, respectively, in the classes:

- `ThreeGppChannelConditionModel`
- `BuildingsChannelConditionModel` (see the building module documentation for further details)

This is the base class for the 3GPP channel condition models. It provides the possibility to updated the condition of

each channel periodically, after a given time period which can be configured through the attribute “UpdatePeriod”.

If “UpdatePeriod” is set to 0, the channel condition is never updated. It has five derived classes implementing the

channel condition models described in 3GPP TR 38.90138901 for different propagation scenarios.

`ThreeGppRmaChannelConditionModel`

This implements the statistical channel condition model described in 3GPP TR 38.90138901, Table 7.4.2-1, for the RMa scenario.

`ThreeGppUmaChannelConditionModel`

This implements the statistical channel condition model described in 3GPP TR 38.90138901, Table 7.4.2-1, for the UMa scenario.

`ThreeGppUmiStreetCanyonChannelConditionModel`

This implements the statistical channel condition model described in 3GPP TR 38.90138901, Table 7.4.2-1, for the UMi-Street Canyon scenario.

`ThreeGppIndoorMixedOfficeChannelConditionModel`

This implements the statistical channel condition model described in 3GPP TR 38.90138901, Table 7.4.2-1, for the Indoor-Mixed office scenario.

`ThreeGppIndoorOpenOfficeChannelConditionModel`

This implements the statistical channel condition model described in 3GPP TR 38.90138901, Table 7.4.2-1, for the Indoor-Open office scenario.

The test suite `ChannelConditionModelsTestSuite` contains a single test case:

- `ThreeGppChannelConditionModelTestCase` , which tests all the 3GPP channel condition models. It deter-

mines the channel condition between two nodes multiple times, estimates the LOS probability, and compares it

with the value given by the formulas in 3GPP TR 38.90138901, Table 7.4.2-1

The following propagation delay models are implemented:

- `ConstantSpeedPropagationDelayModel`
- `RandomPropagationDelayModel`

In this model, the signal travels with constant speed. The delay is calculated according with the transmitter and receiver

positions. The Euclidean distance between the Tx and Rx antennas is used. Beware that, according to this model, the

Earth is flat.

The propagation delay is totally random, and it changes each time the model is called. All the packets (even those

between two fixed nodes) experience a random delay. As a consequence, the packets order is not preserved.

The 3GPP TR 37.88537885 specifications extends the channel modeling framework described in TR

38.90138901to

simulate wireless channels in vehicular environments. The extended framework supports frequencies between 0.5

to 100 GHz and provides the possibility to simulate urban and highway propagation environments. To do so, new

propagation loss and channel condition models, as well as new parameters for the fast fading model, are provided.

To properly capture channel dynamics in vehicular environments, three different channel conditions have been identi-

fied:

- LOS (Line Of Sight): represents the case in which the direct path between the transmitter and the receiver is not blocked

- NLOSv (Non Line Of Sight vehicle): when the direct path between the transmitter and the receiver is blocked

- by a vehicle

- NLOS (Non Line Of Sight): when the direct path is blocked by a building

TR 37.885 includes two models that can be used to determine the condition of the wireless channel between a pair of

nodes, the first for urban and the second for highway environments. Each model includes both a deterministic and a

stochastic part, and works as follows:

1. The model determines the presence of buildings obstructing the direct path between the communicating nodes.

This is done in a deterministic way, looking at the possible interceptions between the direct path and the build-

ings. If the path is obstructed, the channel condition is set to NLOS.

2. If not, the model determines the presence of vehicles obstructing the direct path. This is done using a proba-

bilistic model, which is specific for the scenario of interest. If the path is obstructed, the channel condition is set

to NLOSv, otherwise is set to LOS.

These models have been implemented by extending the interface ChannelConditionModel with the following

classes. They have been included in the building module, because they make use of Buildings objects to de-

termine the presence of obstructions caused by buildings.

- ThreeGppV2vUrbanChannelConditionModel : implements the model described in Table 6.2-1 of TR 37.885 for the urban scenario.

- ThreeGppV2vHighwayChannelConditionModel : implements the model described in Table 6.2-1 of TR 37.885 for the highway scenario.

These models rely on Buildings objects to determine the presence of obstructing buildings. When considering large

scenarios with a large number of buildings, this process may become computationally demanding and dramatically

increase the simulation time. To solve this problem, we implemented two fully-probabilistic models that can be used

as an alternative to the ones included in TR 37.885. These models are based on the work carried out by M. Boban

et al. [Boban2016Modeling], which derived a statistical representation of the three channel conditions, With the

fully-probabilistic models there is no need to determine the presence of blocking buildings in a deterministic way, and therefore the computational effort is reduced. To determine the channel condition, these models account for the 378853GPP. 2019. TR 37.885, Study on evaluation methodology of new Vehicle-to-Everything (V2X) use cases for LTE and NR, V15.3.0. (2019-06).

propagation environment, i.e., urban or highway, as well as for the density of vehicles in the scenario, which can be high, medium, or low.

The classes implementing the fully-probabilistic models are:

- ProbabilisticV2vUrbanChannelConditionModel** : implements the model described in [Boban2016Modeling] for the urban scenario.
- ProbabilisticV2vHighwayChannelConditionModel** : implements the model described in [Boban2016Modeling] for the highway scenario.

Both the classes own the attribute “Density”, which can be used to select the proper value depending on the scenario

that have to be simulated. Differently from the hybrid models described above, these classes have been included in the

propagation module, since they do not have any dependency on the building module.

NOTE: Both the hybrid and the fully-probabilistic models supports the modeling of outdoor scenarios, no support is

provided for the modeling of indoor scenarios.

The propagation models described in TR 37.885 determines the attenuation caused by path loss and shadowing by

considering the propagation environment and the channel condition.

These models have been implemented by extending the interface **ThreeGppPropagationLossModel** with the following classes, which are part of the propagation module:

•**ThreeGppV2vUrbanPropagationLossModel** : implements the models defined in Table 6.2.1-1 of TR 37.885 for the urban scenario.

- ThreeGppV2vHighwayPropagationLossModel** : implements the models defined in Table 6.2.1-1 of TR 37.885 for the highway scenario.

As for all the classes extending the interface **ThreeGppPropagationLossModel** , they have to be paired with an

instance of the class **ChannelConditionModel** which is used to determine the channel condition. This is done by

setting the attribute **ChannelConditionModel** . To build the channel modeling framework described in TR 37.885,

**ThreeGppV2vUrbanChannelConditionModel** or **ThreeGppV2vHighwayChannelConditionModel** should be used, but users are allowed to test any other combination.

The fast fading model described in Sec. 6.2.3 of TR 37.885 is based on the one specified in TR 38.901, whose

implementation is provided in the spectrum module (see the spectrum module documentation ). This model is general

and includes different parameters which can be tuned to simulate multiple propagation environments.

To better model

the channel dynamics in vehicular environments, TR 37.885 provides new sets of values for these parameters, specific

for vehicle-to-vehicle transmissions in urban and highway scenarios. To select the parameters for vehicular scenarios,

it is necessary to set the attribute "Scenario" of the class ThreeGppChannelModel using the value "V2V-Urban" or "V2V-Highway".

additionally, TR 37.885 specifies a new equation to compute the Doppler component, which accounts for the mobility

of both nodes, as well as scattering from the environment. In particular, the scattering effect is considered by deviating

the Doppler frequency by a random value, whose distribution depends on the parameter vscatt. TR 37.885 specifies

that vscatt should be set to the maximum speed of the vehicles in the layout and, if vscatt = 0, the scattering effect

is not considered. The Doppler equation is implemented in the class

ThreeGppSpectrumPropagationLossModel .

By means of the attribute "vScatt", it is possible to adjust the value of vscatt = 0 (by default, the value is set to 0).

We implemented the example three-gpp-v2v-channel-example.cc which shows how to configure the different classes to simulate wireless propagation in vehicular scenarios, it can be found in the

folder examples/

channel-models .

We considered two communicating vehicles moving within the scenario, and computed the SNR experienced during

the entire simulation, with a time resolution of 10 ms. The vehicles are equipped with 2x2 antenna arrays modeled

using the 3GPP antenna model . The bearing and the downtilt angles are properly configured and the optimal beam-

forming vectors are computed at the beginning of the simulation.

The simulation script accepts the following command line parameters:

- frequency : the operating frequency in Hz
- txPow : the transmission power in dBm
- noiseFigure : the noise figure in dB
- scenario : the simulation scenario, "V2V-Urban" or "V2V-Highway"

The "V2V-Urban" scenario simulates urban environment with a rectangular grid of buildings. The vehicles moves

with a waypoint mobility model. They start from the same position and travel in the same direction, along the main

street. The first vehicle moves at 60 km/h and the second at 30 km/h. At a certain point, the first vehicle turns left

while the second continues on the main street.

The "V2V-Highway" scenario simulates an highway environment in which the two vehicles travel on the same lane,

in the same direction, and keep a safety distance of 20 m. They maintain a constant speed of 140 km/h.

The example generates the output file example-output.txt . Each row of the file is organized as follows:

Time[s] TxPosX[m] TxPosY[m] RxPosX[m] RxPosY[m] ChannelState SNR[dB] Pathloss[dB]

We also provide the bash script three-gpp-v2v-channel-example.sh which reads the output file and generates

1. map.gif, a GIF representing the simulation scenario and vehicle mobility;
2. snr.png, which represents the behavior of the SNR.

The Spectrum module aims at providing support for modeling the frequency-dependent aspects of communications in

ns-3. The model was first introduced in [Baldo2009Spectrum], and has been enhanced and refined over the years.

300 2400 2410 2420 2430 2440 2450 2460 2470 2480 2490 2500-150-148-146-144-142-140-138-136  
PSD (dBW/Hz)

time (ms)

freq (MHz)PSD (dBW/Hz)

-150-148-146-144-142-140-138-136

oven, as simulated by the example `adhoc-aloha-ideal-phy-with-microwave-oven` .

The module provides:

- a set of classes for modeling signals and
- a Channel/PHY interface based on a power spectral density signal representation that is technology-independent
- two technology-independent Channel implementations based on the Channel/PHY interface
- a set of basic PHY model implementations based on the Channel/PHY interface

The source code for the spectrum module is located at `src/spectrum` .

Signal model

The signal model is implemented by the `SpectrumSignalParameters` class. This class provides the following

information for a signal being transmitted/received by PHY devices:

- a reference to the transmitting PHY device
- a reference to the antenna model used by the transmitting PHY device to transmit this signal
- the duration of the signal
- its Power Spectral Density (PSD) of the signal, which is assumed to be constant for the duration of the signal.

The PSD is represented as a set of discrete scalar values each corresponding to a certain subband in frequency. The

set of frequency subbands to which the PSD refers to is defined by an instance of the `SpectrumModel` class. The

PSD itself is implemented as an instance of the `SpectrumValue` class which contains a reference to the associated

`SpectrumModel` class instance. The `SpectrumValue` class provides several arithmetic operators to allow to perform

calculations with PSD instances. Additionally, the `SpectrumConverter` class provides means for the conversion of

`SpectrumValue` instances from one `SpectrumModel` to another.

For a more formal mathematical description of the signal model just described, the reader is referred to

[Baldo2009Spectrum].

The `SpectrumSignalParameters` class is meant to include only information that is valid for all signals; as such, it is

not meant to be modified to add technology-specific information (such as type of modulation and coding schemes used,

info on preambles and reference signals, etc). Instead, such information shall be put in a new class that inherits from

`SpectrumSignalParameters` and extends it with any technology-specific information that is needed.

This design

is intended to model the fact that in the real world we have signals of different technologies being simultaneously

transmitted and received over the air.

Channel/PHY interface

The spectrum Channel/PHY interface is defined by the base classes `SpectrumChannel` and `SpectrumPhy` .

Their

interaction simulates the transmission and reception of signals over the medium. The way this interaction works is

depicted in Sequence diagram showing the interaction between SpectrumPhy and SpectrumChannel : Spectrum Channel implementations

The module provides two SpectrumChannel implementations: SingleModelSpectrumChannel and MultiModelSpectrumChannel . They both provide this functionality:

- Propagation loss modeling, in three forms:

- you can plug models based on PropagationLossModel on these channels. Only linear models (where the loss value does not depend on the transmission power) can be used. These models are single-frequency

in the sense that the loss value is applied equally to all components of the power spectral density.

- you can plug models based on SpectrumPropagationLossModel on these channels. These models can have frequency-dependent loss, i.e., a separate loss value is calculated and applied to each component of the power spectral density.

SimProgramOrHelper

ASpectrumPhy

BSpectrumPhy

CSpectrumPhy

SpectrumChannel

AddRx (BSpectrumPhy)

AddRx (CSpectrumPhy)

StartTx (SpectrumSignalParameters)

GetMobility ()

GetRxSpectrumModel ()

GetRxAntenna ()

evaluate propagatio

n between A and B

StartRx (SpectrumSignalParameters)

GetMobility ()

GetRxSpectrumModel ()

GetRxSpectrumModel ()

GetRxAntenna ()

evaluate propagatio

n between A and C

StartRx (SpectrumSignalParameters)

StartTx (SpectrumSignalParameters)

GetMobility ()

GetRxSpectrumModel ()

GetRxSpectrumModel ()

GetRxAntenna ()

evaluate propagatio

n between B and C

StartRx (SpectrumSignalParameters)

initialization: B and C are added to the set of receivers

A transmits; both B and C receive

B transmits; only C receives (A is not in the set of receivers)

- you can plug models based on PhasedArraySpectrumPropagationLossModel on these channels.

These models can have frequency-dependent loss, i.e., a separate loss value is calculated and applied to



each component of the power spectral density. Additionally, these models support the phased antenna array at the transmitter and the receiver, i.e., ns-3 antenna type PhasedArrayModel .

- Propagation delay modeling, by plugging a model based on PropagationDelayModel . The delay is independent of frequency and applied to the signal as a whole. Delay modeling is implemented by scheduling the

StartRx event with a delay respect to the StartTx event.

SingleModelSpectrumChannel and MultiModelSpectrumChannel are quite similar, the main difference is that

MultiModelSpectrumChannel allows to use different SpectrumModel instances with the same channel instance,

by automatically taking care of the conversion of PSDs among the different models.

Example model implementations

The spectrum module provides some basic implementation of several components that are mainly intended as a proof-

of-concept and as an example for building custom models with the spectrum module. Here is a brief list of the available

implementations:

- SpectrumModel300Khz300GhzLog and SpectrumModelIsm2400MhzRes1Mhz are two example SpectrumModel implementations

- HalfDuplexIdealPhy : a basic PHY model using a gaussian interference model (implemented in SpectrumInterference ) together with an error model based on Shannon capacity (described in [Baldo2009Spectrum] and implemented in SpectrumErrorModel . This PHY uses the GenericPhy interface. Its additional custom signal parameters are defined in HalfDuplexIdealPhySignalParameters .

- WifiSpectrumValueHelper is an helper object that makes it easy to create SpectrumValues representing

PSDs and RF filters for the wifi technology.

- AlohaNoackNetDevice : a minimal NetDevice that allows to send packets over HalfDuplexIdealPhy (or other PHY model based on the GenericPhy interface).

- SpectrumAnalyzer ,WaveformGenerator andMicrowaveOven are examples of PHY models other than communication devices - the names should be self-explaining.

The main use case of the spectrum model is for developers who want to develop a new model for the PHY layer of

some wireless technology to be used within ns-3. Here are some notes on how the spectrum module is expected to be

used.

- SpectrumPhy and SpectrumChannel are abstract base classes. Real code will use classes that inherit from

these classes.

- If you are implementing a new model for some wireless technology of your interest, and want to use the spectrum

module, you'll typically create your own module and make it depend on the spectrum module. Then you typically have to implement:

- a child class of SpectrumModel which defines the (sets of) frequency subbands used by the considered

wireless technology. Note : instances of SpectrumModel are typically statically allocated, in order to

allow several SpectrumValue instances to reference the same SpectrumModel instance.

- a child class of SpectrumPhy which will handle transmission and reception of signals (including, if appropriate, interference and error modeling).

–a child class of `SpectrumSignalParameters` which will contain all the information needed to model the signals for the wireless technology being considered that is not already provided by the base `SpectrumSignalParameters` class. Examples of such information are the type of modulation and coding schemes used, the PHY preamble format, info on the pilot/reference signals, etc.

- The available `SpectrumChannel` implementations ( `SingleModelSpectrumChannel` and `MultiModelSpectrumChannel` , are quite generic. Chances are you can use them as-is. Whether you prefer one or the other it is just a matter of whether you will have a single `SpectrumModel` or multiple ones in your simulations.

- Typically, there will be a single `SpectrumChannel` instance to which several `SpectrumPhy` instances are plugged.

The rule of thumb is that all PHYs that are interfering with each other shall be plugged on the same channel.

Multiple `SpectrumChannel` instances are expected to be used mainly when simulating completely orthogonal

channels; for example, when simulating the uplink and downlink of a Frequency Division Duplex system, it is a

good choice to use two `SpectrumChannel` instances in order to reduce computational complexity.

- Different types of `SpectrumPhy` (i.e., instances of different child classes) can be plugged on the same `SpectrumChannel` instance. This is one of the main features of the spectrum module, to support inter-technology

interference. For example, if you implement a `WifiSpectrumPhy` and a `BluetoothSpectrumPhy`, and plug both

on a `SpectrumChannel`, then you'll be able to simulate interference between wifi and bluetooth and vice versa.

- Different child classes of `SpectrumSignalParameters` can coexist in the same simulation, and be transmitted

over the same channel object. Again, this is part of the support for inter-technology interference.

A PHY device

model is expected to use the `DynamicCast<>` operator to determine if a signal is of a certain type it can attempt

to receive. If not, the signal is normally expected to be considered as interference.

The helpers provided in `src/spectrum/helpers` are mainly intended for the example implementations described

in `Example model implementations` . If you are developing your custom model based on the spectrum framework, you

will probably prefer to define your own helpers.

- Both `SingleModelSpectrumChannel` and `MultiModelSpectrumChannel` have an attribute `MaxLossDb` which can be used to avoid propagating signals affected by very high propagation loss. You can use this to reduce

the complexity of interference calculations. Just be careful to choose a value that does not make the interference

calculations inaccurate.

- The example implementations described in `Example model implementations` also have several attributes.

- Both `SingleModelSpectrumChannel` and `MultiModelSpectrumChannel` provide a trace source called `PathLoss` which is fired whenever a new path loss value is calculated. Note : only single-frequency path loss is

accounted for, see the attribute description.

- The example implementations described in `Example model implementations` also provide some trace

sources.

- The helper class `SpectrumAnalyzerHelper` can be conveniently used to generate an output text file containing the spectrogram produced by a `SpectrumAnalyzer` instance. The format is designed to be easily plotted with gnuplot. For example, if you run the example `adhoc-aloha-ideal-phy-with-microwave-oven` you will get an output file called `spectrum-analyzer-output-3-0.tr`. From this output file, you can generate a figure similar to Spectrogram produced by a spectrum analyzer in a scenario involving wifi signals interfered by a microwave oven, as simulated by the example `adhoc-aloha-ideal-phy-with-microwave-oven`. by executing the following gnuplot commands:

```
unset surface
set pm3d at s
set palette
set key off
set view 50,50
set xlabel "time (ms)"
set ylabel "freq (MHz)"
set zlabel "PSD (dBW/Hz)" offset 15,0,0
splot "./spectrum-analyzer-output-3-0.tr" using ($1 *1000.0):($2/1e6):(10 *log10($3))
```

The example programs in `src/spectrum/examples/` allow to see the example implementations described in Ex-

ample model implementations in action.

- Disclaimer on inter-technology interference : the spectrum model makes it very easy to implement an inter-

technology interference model, but this does not guarantee that the resulting model is accurate. For example,

the gaussian interference model implemented in the `SpectrumInterference` class can be used to calculate

inter-technology interference, however the results might not be valid in some scenarios, depending on the actual

waveforms involved, the number of interferers, etc. Moreover, it is very important to use error models that are

consistent with the interference model. The responsibility of ensuring that the models being used are correct is

left to the user.

In this section we describe the test suites that are provided within the spectrum module.

The test suite `spectrum-value` verifies the correct functionality of the arithmetic operators implemented by the

`SpectrumValue` class. Each test case corresponds to a different operator. The test passes if the result provided by the

operator implementation is equal to the reference values which were calculated offline by hand.

Equality is verified

within a tolerance of  $10^{-6}$  which is to account for numerical errors.

The test suite `spectrum-converter` verifies the correct functionality of the `SpectrumConverter` class.

Different

test cases correspond to the conversion of different `SpectrumValue` instances to different `SpectrumModel` instances.

Each test passes if the `SpectrumValue` instance resulting from the conversion is equal to the

reference values which were calculated offline by hand. Equality is verified within a tolerance of  $10^{-6}$  which is to account for numerical errors.

Describe how the model has been tested/validated. What tests run in the test suite? How much API and code is covered

by the tests? Again, references to outside published work may help here.

The test suite spectrum-interference verifies the correct functionality of the SpectrumInterference and

ShannonSpectrumErrorModel in a scenario involving four signals (an intended signal plus three interferers). Different

test cases are created corresponding to different PSDs of the intended signal and different amount of transmitted

bytes. The test passes if the output of the error model (successful or failed) coincides with the expected one which was

determined offline by manually calculating the achievable rate using Shannon's formula.

The test verifies that AlohaNoackNetDevice and HalfDuplexIdealPhy work properly when installed in a node.

The test recreates a scenario with two nodes (a TX and a RX) affected by a path loss such that a certain SNR is

obtained. The TX node transmits with a pre-determined PHY rate and with an application layer rate which is larger

than the PHY rate, so as to saturate the channel. PacketSocket is used in order to avoid protocol overhead. Different

test cases correspond to different PHY rate and SNR values. For each test case, we calculated offline (using Shannon's

formula) whether the PHY rate is achievable or not. Each test case passes if the following conditions are satisfied:

- if the PHY rate is achievable, the application throughput shall be within 1% of the PHY rate;
- if the PHY rate is not achievable, the application throughput shall be zero.

A TV Transmitter model is implemented by the TvSpectrumTransmitter class. This model enables transmission

of realistic TV signals to be simulated and can be used for interference modeling. It provides a customizable power

spectral density (PSD) model, with configurable attributes including the type of modulation (with models for analog,

8-VSB, and COFDM), signal bandwidth, power spectral density level, frequency, and transmission duration. A helper

class, TvSpectrumTransmitterHelper, is also provided to assist users in setting up simulations.

**Main Model Class**

The main TV Transmitter model class, TvSpectrumTransmitter, provides a user-configurable PSD model that can

be transmitted on the SpectrumChannel. It inherits from SpectrumPhy and is comprised of attributes and methods

to create and transmit the signal on the channel.

(Eight-Level Vestigial Sideband Modulation) which is notably used in the North America ATSC digital television

standard, COFDM (Coded Orthogonal Frequency Division Multiplexing) which is notably used in the DVB-T and

ISDB-T digital television standards adopted by various countries around the world, and analog modulation which

is a legacy technology but is still being used by some countries today. To accomplish realistic PSD models for these modulation types, the signals' PSDs were approximated from real standards and developed into models that are scalable by frequency and power. The COFDM PSD is approximated from Figure 12 (8k mode) of [KoppCOFDM], the 8-VSB PSD is approximated from Figure 3 of [Baron8VSB], and the analog PSD is approximated from Figure 4 of [QualcommAnalog]. Note that the analog model is approximated from the NTSC standard, but other analog modulation standards such as PAL have similar signals. The approximated COFDM PSD model is in 8K mode. The other configurable attributes are the start frequency, signal/channel bandwidth, base PSD, antenna type, starting time, and transmit duration.

TvSpectrumTransmitter usesIsotropicAntennaModel as its antenna model by default, but any model that inherits from AntennaModel is selectable, so directional antenna models can also be used. The propagation loss spectrum [KoppCOFDM] (Right) models used in simulation are configured in the SpectrumChannel that the user chooses to use. Terrain and spherical Earth/horizon effects may be supported in future ns-3 propagation loss models. After the attributes are set, along with the SpectrumChannel ,MobilityModel , and node locations, the PSD of the TV transmitter signal can be created and transmitted on the channel.

### Helper Class

The helper class, TvSpectrumTransmitterHelper , consists of features to assist users in setting up TV transmitters for their simulations. Functionality is also provided to easily simulate real-world scenarios. theoretical 8-VSB signal [Baron8VSB] (Right). Note that the theoretical signal is not shown in dB while the ns-3 generated signals are.

Using this helper class, users can easily set up TV transmitters right after configuring attributes.

### Multiple transmitters

can be created at a time. Also included are real characteristics of specific geographic regions that can be used to run realistic simulations. The regions currently included are North America, Europe, and Japan. The frequencies and bandwidth of each TV channel for each these regions are provided.

A method (CreateRegionalTvTransmitters) is provided that enables users to randomly generate multiple TV transmit-  
ters from a specified region with a given density within a chosen radius around a point on Earth's surface. The region, which determines the channel frequencies of the generated TV transmitters, can be specified to be one of the three  
x 106–101

TvSpectrumTransmitterHelper . Shows 100 random points on Earth's surface (with altitude 0) corresponding to TV transmitter locations within a 2000 km radius of 35° latitude and -100° longitude. provided, while the density determines the amount of transmitters generated. The TV transmitters' antenna heights

(altitude) above Earth's surface can also be randomly generated to be within a given maximum altitude. This method

models Earth as a perfect sphere, and generated location points are referenced accordingly in Earth-Centered Earth-

Fixed Cartesian coordinates. Note that bodies of water on Earth are not considered in location point generation—TV

transmitters can be generated anywhere on Earth around the origin point within the chosen maximum radius.

Examples

model. tv-trans-example simulates two 8-VSB TV transmitters with adjacent channel frequencies.

tv-trans-regional-example simulates randomly generated COFDM TV transmitters (modeling the DVB-T standard) located around the Paris, France area with channel frequencies and bandwidths corresponding to the

European television channel allocations.

Testing

The tv-spectrum-transmitter test suite verifies the accuracy of the spectrum/PSD model in

TvSpectrumTransmitter by testing if the maximum power spectral density, start frequency, and end frequency

comply with expected values for various test cases.

The tv-helper-distribution test suite verifies the functionality of the method in

TvSpectrumTransmitterHelper that generates a random number of TV transmitters based on the given density (low, medium, or high) and maximum number of TV channels. It verifies that the number of TV transmitters

generated does not exceed the expected bounds.

The CreateRegionalTvTransmitters method in TvSpectrumTransmitterHelper described in Helper Class uses

on or above earth's surface around an origin point which correspond to TV transmitter positions. The first method

converts Earth geographic coordinates to Earth-Centered Earth-Fixed (ECEF) Cartesian coordinates, and is tested in

the geo-to-cartesian test suite by comparing (with 10 meter tolerance) its output with the output of the geographic

to ECEF conversion function [MatlabGeo] of the MATLAB Mapping Toolbox for numerous test cases. The other

used method generates random ECEF Cartesian points around the given geographic origin point, and is tested in the

rand-cart-around-geo test suite by verifying that the generated points do not exceed the given maximum distance

radius from the origin point.

#### 29.4.2 3GPP TR 38.901 fast fading model

The framework described by TR 38.901 [TR38901] is a 3D statistical Spatial Channel Model supporting different

propagation environments (e.g., urban, rural, indoor), multi-antenna operations and the modeling of wireless channels

between 0.5 and 100 GHz. The overall channel is represented by the matrix  $H(t; \mathbf{u}, \mathbf{s})$ , in which each entry  $H_{u,s}$

$(t; \mathbf{u}, \mathbf{s})$  corresponds to the impulse response of the channel between the  $s$ -th element of the transmitting antenna and

the  $u$ -th element of the receiving antenna.  $H_{u,s}(t; \mathbf{u}, \mathbf{s})$  is generated by the superposition of  $N$  different multi-path

components, called clusters, each of which composed of  $M$  different rays. The channel matrix

generation procedure

accounts for large and small scale propagation phenomena. The classes

ThreeGppSpectrumPropagationLossModel

and ThreeGppChannelModel included in the spectrum module takes care of the generation of the channel coefficients

and the computation of the frequency-dependent propagation loss.

Implementation

Our implementation is described in [Zugno]. It is based on the model described in [Zhang], but the code has been

refactored, extended, and aligned to TR 38.901 [TR38901]. The fundamental assumption behind this model is the

channel reciprocity, i.e., the impulse response of the channel between node a and node b is the same as between node

b and node a. To deal with the equivalence of the channel between a and b, no matter who is the transmitter and

who is the receiver, the model considers the pair of nodes to be composed by one “s” and one “u” node. The channel

matrix, as well as other parameters, are saved and used under the assumption that, within a pair, the definition of the

“s” and “u” node will always be the same. For more details, please have a look at the documentation of the classes

ThreeGppChannelModel and ThreeGppSpectrumPropagationLossModel.

Note:

- Currently, no error model is provided; a link-to-system campaign may be needed to incorporate it in existing modules.

- The model does not include any spatial consistency update procedure (see [TR38901], Sec. 7.6.1).

The imple-

mentation of this feature is left as future work.

- Issue regarding the blockage model: according to 3GPP TR 38.901 v15.0.0 (2018-06) section 7.6.4.1, the block-

ing region for self-blocking is provided in LCS.

However, here, clusterAOA and clusterZOA are in GCS and blocking check is performed for self-blocking

similar to non-self blocking, that is in GCS. One would expect the angles to be transposed to LCS before

checking self-blockage.

ThreeGppSpectrumPropagationLossModel

The class ThreeGppSpectrumPropagationLossModel implements the

PhasedArraySpectrumPropagationLossModel

interface and enables the modeling of frequency dependent propagation phenomena while taking into account the

specific pair of the phased antenna array at the transmitter and the receiver. The main method is DoCalcRxPower-

SpectralDensity, which takes as input the power spectral density (PSD) of the transmitted signal, the mobility models

of the transmitting node and receiving node, and the phased antenna array of the transmitting node, and of the receiving

node. Finally, it returns the PSD of the received signal.

Procedure used to compute the PSD of to compute the PSD of the received signal:

1. Retrieve the beamforming vectors To account for the beamforming,

ThreeGppSpectrumPropagationLossModel has to retrieve the beamforming vectors of the transmitting and receiving antennas. The method DoCalcRxPowerSpectralDensity uses the antenna objects that are passed as parameters for both the transmitting and receiving devices, and calls the method GetCurrentBeamformingVector to retrieve the beamforming vectors of these antenna objects.

2. Retrieve the channel matrix and the channel params The ThreeGppSpectrumPropagationLossModel relies on the ThreeGppChannelModel class to obtain the channel matrix and channel parameters. In particular, it makes use of the method GetChannel, which returns a ChannelMatrix object containing the channel matrix, the generation time, the node pair, and the phased antenna array pair among which is created this channel matrix. Apart from the function GetChannel, there is a function called GetParams which returns a ChannelParams object containing the channel parameters. Notice that the channel information is split into these two structures (ChannelMatrix and ChannelParams) to support multiple collocate phased antenna arrays at TX/RX node. ChannelParams (also its specialization ThreeGppChannelParams structure) contains parameters which are common for all channels among the same RX/TX node pair, while ChannelMatrix contains the channel matrix for the specific pair of the phased antenna arrays of TX/RX nodes.

For example, if the TX and the RX node have multiple collocated antenna arrays, then there will be multiple channel matrices among this pair of nodes for different pairs of antenna arrays of the TX and the RX node. These channel matrices that are among the same pair of nodes have common channel parameters, i.e., they share the same channel condition, cluster powers, cluster delays, AoD, AoA, ZoD, ZoA, K\_factor, delay spread, etc. On the other hand, each pair of TX and RX antenna arrays has a specific channel matrix and fading, which depends on the actual antenna element positions and field patterns of each pair of antennas array subpartitions. The ThreeGppChannelModel instance is automatically created in the the ThreeGppSpectrumPropagationLossModel constructor and it can be configured using the method SetChannelModelAttribute ().

Notice that in MultiModelSpectrumChannel in StartTx function we added a condition that checks whether the TX/RX SpectrumPhy instances belong to different TX/RX nodes. This is needed to avoid pathloss models calculations among the phased antenna arrays of the same node, because there are no models yet in ns-3 that support the calculation of this kind of interference.

4. Compute the long term component The method GetLongTerm returns the long term component obtained by multiplying the channel matrix and the beamforming vectors. To reduce the computational load, the long term components



associated to the different channels are stored in the `m_longTermMap` and recomputed only if the associated channel matrix is updated or if the transmitting and/or receiving beamforming vectors have changed. Given the channel reciprocity assumption, for each node pair a single long term component is saved in the map.

5. Apply the small scale fading and compute the channel gain The method `CalcBeamformingGain` computes the channel gain in each sub-band and applies it to the PSD of the transmitted signal to obtain the received PSD. To compute the sub-band gain, it accounts for the Doppler phenomenon and the time dispersion effect on each cluster.

In order to reduce the computational load, the Doppler component of each cluster is computed considering only the central ray. Also, as specified here, it is possible to account for the effect of environmental scattering following the model described in Sec. 6.2.3 of 3GPP TR 37.885. This is done by deviating the Doppler frequency by a random value, whose distribution depends on the parameter `vscatt`. The value of `vscatt` can be configured using the attribute “`vScatt`” (by default it is set to 0, so that the scattering effect is not considered).

**ThreeGppChannelModel**

The class `ThreeGppChannelModel` implements the channel matrix generation procedure described in Sec. of [TR38901]. The main method is `GetChannel`, which takes as input the mobility models of the transmitter and receiver nodes, the associated antenna objects, and returns a `ChannelMatrix` object containing:

- the channel matrix of size  $U \times S \times N$ , where  $U$  is the number of receiving antenna elements,  $S$  is the number of transmitting antenna elements and  $N$  is the number of clusters
- the clusters delays, as an array of size  $N$
- the clusters arrival and departure angles, as a 2D array in which each row corresponds to a direction (AOA, ZOA, AOD, ZOD) and each column corresponds to a different cluster
- a time stamp indicating the time at which the channel matrix was generated
- the node IDs
- other channel parameters

The `ChannelMatrix` objects are saved in the map `m_channelMap` and updated when the coherence time expires, or in case the LOS/NLOS channel condition changes. The coherence time can be configured through the attribute “`UpdatePeriod`”, and should be chosen by taking into account all the factors that affects the channel variability, such as mobility, frequency, propagation scenario, etc. By default, it is set to 0, which means that the channel is recomputed only when the LOS/NLOS condition changes. It is possible to configure the propagation scenario and the operating frequency of interest through the attributes “`Scenario`” and “`Frequency`”, respectively.

**Blockage model:** 3GPP TR 38.901 also provides an optional feature that can be used to model the blockage effect due to the presence of obstacles, such as trees, cars or humans, at the level of a single cluster. This differs from

a complete blockage, which would result in an LOS to NLOS transition. Therefore, when this feature is enabled, an additional attenuation is added to certain clusters, depending on their angle of arrival. There are two possible methods for the computation of the additional attenuation, i.e., stochastic (Model A) and geometric (Model B). In this work, we used the implementation provided by [Zhang], which uses the stochastic method. In particular, the model is implemented by the method `CalcAttenuationOfBlockage`, which computes the additional attenuation. The blockage feature can be disabled through the attribute “Blockage”. Also, the attributes “NumNonselfBlocking”, “PortraitMode” and “BlockerSpeed” can be used to configure the model.

#### Testing

The test suite `ThreeGppChannelTestSuite` includes three test cases:

- `ThreeGppChannelMatrixComputationTest` checks if the channel matrix has the correct dimensions and if it is correctly normalized
- `ThreeGppChannelMatrixUpdateTest`, which checks if the channel matrix is correctly updated when the coherence time exceeds
- `ThreeGppSpectrumPropagationLossModelTest`, which tests the functionalities of the class `ThreeGppSpectrumPropagationLossModel`. It builds a simple network composed of two nodes, computes the power spectral density received by the receiving node, and

1. Checks if the long term components for the direct and the reverse link are the same,
  2. Checks if the long term component is updated when changing the beamforming vectors,
  3. Checks if the long term is updated when changing the channel matrix
- Note: TR 38.901 includes a calibration procedure that can be used to validate the model, but it requires some additional features which are not currently implemented, thus is left as future work.

#### References

The model aims to provide a performance-oriented alternative to the 3GPP TR 38.901 framework [TR38901] which is implemented in the `ThreeGppSpectrumPropagationLossModel` and `ThreeGppChannelModel` classes and whose implementation is described in [Zugno2020]. The overall design follows the general approach of [Polese2018], with aim of providing the means for computing a 3GPP TR 38.901-like end-to-end channel gain by combining several statistical terms. The frequency range of applicability is the same as that of [TR38901], i.e., 0.5 - 100 GHz.

#### Use-cases

The use-cases for this channel model comprise large-scale MIMO simulations involving a high number of nodes (100+), such as multi-cell LTE and 5G deployments in dense urban areas, for which the full 3GPP TR 38.901 does not represent a viable option.

#### Implementation - `TwoRaySpectrumPropagationLossModel`

The computation of the channel gain is taken care of by the `TwoRaySpectrumPropagationLossModel` class. In

particular, the latter samples a statistical term which combines:

- The array and beamforming gain, computed as outlined in [Rebato2018] using the CalcBeamformingGain function. This term supports the presence of multiple antenna elements both at the transmitter and at the receiver

and arbitrary antenna radiation patterns. Specifically, the array gain is computed as:

$$GAA(\theta;') = aT(\theta;')w(\theta;0) \quad 2=jAFv(\theta;')j2jAFh(\theta;')j2G(\theta;');$$

where:

$$AFv(\theta;') = 1pNvNv \quad 1X$$

$$m=0ejkdvm(\cos\theta\cos\theta_0)$$

and:

$$AFh(\theta;') = 1pNhNh \quad 1X$$

$$n=0ejkdhn(\sin\theta\sin'\sin\theta_0\sin'0)$$

In turn,  $N_h, N_v$  are the number of horizontal and vertical antenna elements respectively,  $d_h, d_v$  are the element

spacing in the horizontal and vertical direction respectively. The figures below depict the resulting array radiation

pattern versus the relative azimuth of transmitter and receiver, for antenna arrays featuring 3GPP TR 38.901

(ThreeGppAntennaModel , top) and isotropic ( IsotropicAntennaModel , bottom) antenna elements, respectively.

These figures match the corresponding plots of [Asplund].

ThreeGppAntennaModel antenna elements, for various Uniform Planar Array (UPA) configurations. Whenever the link is in NLOS, a penalty factor is introduced, to account for beam misalignment due to the lack of a

dominant multipath component [Kulkarni].

- A fast fading term, sampled using the Fluctuating Two Ray (FTR) model distribution [Romero]. The latter is

a fading model which is more general than typical ones, taking into account two dominant specular components and a mixture of scattered paths. As a consequence it has been shown to provide a better fit

to fading phenomena at mmWaves. The model parameters are automatically picked once the simulation scenario is set,

IsotropicAntennaModel antenna elements, for various Uniform Planar Array (UPA) configurations. using a lookup table which associates the simulation parameters (such as carrier frequency and LOS condition)

to the FTR parameters providing the best fit to the corresponding TR 38.901 channel statistics. As a consequence, this channel model can be used for all the frequencies which are supported by the 38.901 model,

i.e., 0.5-100 GHz. The calibration has been done by first obtaining the statistics of the channel gain due to

the small-scale fading in the 3GPP model, using an ad hoc simulation script ( src/spectrum/examples/ estimate the FTR parameters yielding the closest (in a goodness-of-fit sense) fading realizations, using a custom

Python script ( src/spectrum/utils/two-ray-to-three-gpp-ch-calibration.py ).

Note:

• To then obtain a full channel model characterization, the model is intended to be used in

conjunction of the

path loss and shadowing capability provided by the ThreeGppPropagationLossModel class. Indeed, the goal of this model is to provide channel realizations which are as close as possible to ones of

[TR38901], but

at a fraction of the complexity. Since the path loss and shadowing terms are not computationally demanding

anyway, the ones of [Zugno2020] have been kept;

- Currently, the value of NLoS beamforming factor penalty factor is taken from the preliminary work of [Kulkarni]

and it is scenario-independent; As future work, the possibility of using scenario-dependent penalty factors will

be investigated.

Calibration

The purpose of the calibration procedures is to compute offline a look-up table which associates the FTR fading model

parameters with the simulation parameters. In particular, the [TR38901] fading distributions depend on:

- The scenario (RMa, UMa, UMi-StreetCanyon, InH-OfficeOpen, InH-OfficeMixed);
- The LOS condition (LoS/NLoS); and
- The carrier frequency.

As a consequence, the calibration output is a map which associates LoS condition and scenario to a list of carrier

frequency-FTR parameters values. The latter represent the FTR parameters yielding channel realizations which exhibit

the closest statistics to [TR38901].

The actual calibration is a two-step procedure which:

1. First generates reference channel gain curves using the `src/spectrum/examples/numRealizations` channel realizations and computes for each of them the end-to-end channel gain by set-

ting the speed of the TX and RX pair to 0, disabling the shadowing and fixing the LOS condition. In such a way,

any variation around the mean is due to the small-scale fading only. The channel gain samples are produced, and

returned on output conditioned on the value of `enableOutput`, for each combination of LoS condition, channel

model scenario and carrier frequency. The latter cover the whole [TR38901] frequency range of 0.5 - 100 GHz with

a relatively sparse resolution (500 MHz), since the dependency of the fading distribution with respect to the carrier

frequency is actually relatively weak.

channel scenario and varying the carrier frequency only.

2. Then, the output of the above script is parsed by the `two-ray-to-three-gpp-ch-calibration.py` Python

companion script. In particular, reference ECDFs are obtained from the channel gains sampled using the model of

[TR38901]. In turn, the reference ECDFs (one for each LoS condition, channel model scenario and carrier frequency

combination) are compared to FTR distributions ECDFs obtained using different values of the parameters. Finally, the

parameters which provide the best fit (in a goodness-of-fit sense) for the specific scenario, LOS condition and carrier

frequency are found. The parameters to test are picked initially by performing an exhaustive search within a discrete

grid of possible values, and then by iteratively refining the previous search runs by scanning the

neighborhood of the most recent identified values. In such regard, the Anderson-Darling statistical test is used to rank the various FTR distributions and eventually pick the one providing the closest approximation to the reference statistics.

#### Testing

The test suite `TwoRaySpmTestSuite` includes three test cases:

- `FtrFadingModelAverageTest` , which checks that the average of the Fluctuating Two Ray (FTR) fading model realizations is consistent with the theoretical value provided in [Romero].
- `ArrayResponseTest` , which checks that the overall array response at boresight computed by the `uCalcBeamformingGain` function coincides with the expected theoretical values.
- `OverallGainAverageTest` , which checks that the average overall channel gain obtained using the `DoCalcRxPowerSpectralDensity` method of the `TwoRaySpectrumPropagationLossModel` class is close (it is, after all, a simplified and performance-oriented model) to the one obtained using the `ThreeGppSpectrumPropagationLossModel` and `ThreeGppChannelModel` classes.

#### References

6LOWPAN: TRANSMISSION OF IPV6 PACKETS OVER IEEE 802.15.4

Based Networks as specified by RFC 4944 (“Transmission of IPv6 Packets over IEEE 802.15.4 Networks”) and RFC

6282 (“Compression Format for IPv6 Datagrams over IEEE 802.15.4-Based Networks”).

The source code for the `sixlowpan` module lives in the directory `src/sixlowpan` .

The model design does not follow strictly the standard from an architectural standpoint, as it does extend it beyond the

original scope by supporting also other kinds of networks.

Other than that, the module strictly follows RFC 4944 and RFC 6282 , with the exception that HC2 encoding is not

supported, as it has been superseded by IPHC and NHC compression type ( RFC 6282 ).

IPHC stateful (context-based) compression is supported but, since RFC 6775 (“Neighbor Discovery Optimization for

IPv6 over Low-Power Wireless Personal Area Networks (6LoWPANs)”) is not yet implemented, it is necessary to add

the context to the nodes manually.

This is possible through the `SixLowPanHelper::AddContext` function. Mind that installing different contexts in

different nodes will lead to decompression failures.

#### NetDevice

The whole module is developed as a transparent `NetDevice`, which can act as a proxy between IPv6 and any `NetDevice`

(the module has been successfully tested with `PointToPointNetDevice`, `CsmaNetDevice` and `LrWpanNetDevice`).

For this reason, the module implements a virtual `NetDevice`, and all the calls are passed without modifications to the

underlying `NetDevice`. The only important difference is in `GetMtu` behaviour. It will always return at least 1280 bytes,

as is the minimum IPv6 MTU.

The module does provide some attributes and some trace sources. The attributes are:

- `Rfc6282` (boolean, default true), used to activate HC1 ( RFC 4944 ) or IPHC ( RFC 6282 ) compression.
- `OmitUdpChecksum` (boolean, default true), used to activate UDP checksum compression in IPHC.
- `FragmentReassemblyListSize` (integer, default 0), indicating the number of packets that can be reassembled at

the same time. If the limit is reached, the oldest packet is discarded. Zero means infinite.

- `FragmentExpirationTimeout` (Time, default 60 seconds), being the timeout to wait for further fragments before discarding a partial packet.
- `CompressionThreshold` (unsigned 32 bits integer, default 0), minimum compressed payload size.
- `ForceEtherType` (boolean, default false).
- `EtherType` (unsigned 16 bits integer, default 0xFFFF), to force a particular L2 EtherType.
- `UseMeshUnder` (boolean, default false), it enables mesh-under flood routing.
- `MeshUnderRadius` (unsigned 8 bits integer, default 10), the maximum number of hops that a packet will be forwarded.
- `MeshCacheLength` (unsigned 16 bits integer, default 10), the length of the cache for each source.
- `MeshUnderJitter` (`ns3::UniformRandomVariable[Min=0.0|Max=10.0]`), the jitter in ms a node uses to forward mesh-under packets - used to prevent collisions.

The `CompressionThreshold` attribute is similar to Contiki's `SICSLOWPAN_CONF_MIN_MAC_PAYLOAD` option. If a compressed packet size is less than the threshold, the uncompressed version is used (plus one byte for the correct

dispatch header). This option is useful when a MAC requires a minimum frame size (e.g., ContikiMAC) and the compression would violate the requirement.

The last two attributes are needed to use the module with a `NetDevice` other than 802.15.4, as neither IANA or

IEEE did reserve an EtherType for 6LoWPAN. As a consequence there might be a conflict with the L2 multi-

plexer/demultiplexer which is based on EtherType. The default value is 0xFFFF, which is reserved by IEEE (see

[IANA802] and [Ethertype]). The default module behaviour is to not change the EtherType, however this would not

work with any `NetDevice` actually understanding and using the EtherType.

Note that the `ForceEtherType` parameter have also a direct effect on the MAC address kind the module is expecting

to handle: \* `ForceEtherType true`: `Mac48Address` (Ethernet, WiFi, etc.). \* `ForceEtherType false`: `Mac16Address` or `Mac64Address` (IEEE 802.15.4).

Note that using 6LoWPAN over any `NetDevice` other than 802.15.4 will produce valid .pcap files, but they will not

be correctly dissected by Wireshark. The reason lies on the fact that 6LoWPAN was really meant to be used only

over 802.15.4, so Wireshark dissectors will not even try to decode 6LoWPAN headers on top of protocols other than 802.15.4.

The Trace sources are:

- Tx - exposing packet (including 6LoWPAN header), `SixLoWPanNetDevice Ptr`, interface index.
- Rx - exposing packet (including 6LoWPAN header), `SixLoWPanNetDevice Ptr`, interface index.
- Drop - exposing `DropReason`, packet (including 6LoWPAN header), `SixLoWPanNetDevice Ptr`, interface index.

The Tx and Rx traces are called as soon as a packet is received or sent. The Drop trace is invoked when a packet (or a fragment) is discarded.

Mesh-Under routing

The module provides a very simple mesh-under routing [Shelby], implemented as a flooding (a mesh-under routing

protocol is a routing system implemented below IP).

This functionality can be activated through the UseMeshUnder attribute and fine-tuned using the MeshUnderRadius

and MeshUnderJitter attributes.

Note that flooding in a PAN generates a lot of overhead, which is often not wanted. Moreover, when using the mesh-

under facility, ALL the packets are sent without acknowledgment because, at lower level, they are sent to a broadcast address.

At node level, each packet is re-broadcasted if its BC0 Sequence Number is not in the cache of the recently seen

packets. The cache length (by default 10) can be changed through the MeshCacheLength attribute.

Context-based compression

IPHC stateful (context-based) compression is supported but, since RFC 6775 ("Neighbor Discovery Optimization for

IPv6 over Low-Power Wireless Personal Area Networks (6LoWPANs)") is not yet implemented, it is necessary to add

the context to the nodes manually.

6LoWPAN-ND

Future versions of this module will support RFC 6775 , however no timeframe is guaranteed.

Mesh-under routing

It would be a good idea to improve the mesh-under flooding by providing the following:

- Adaptive hop-limit calculation,
- Adaptive forwarding jitter,
- Use of direct (non mesh) transmission for packets directed to 1-hop neighbors.

Mixing compression types in a PAN

The IPv6/MAC addressing scheme defined in RFC 6282 and RFC 4944 is different. One adds the PanId in the

pseudo-MAC address (4944) and the other doesn't (6282).

The expected use cases (confirmed by the RFC editor) is to never have a mixed environment where part of the nodes

are using HC1 and part IPHC because this would lead to confusion on what the IPv6 address of a node is.

Due to this, the nodes configured to use IPHC will drop the packets compressed with HC1 and vice-versa. The drop is

logged in the drop trace as DROP\_DISALLOWED\_COMPRESSION .

Using 6LoWPAN with IPv4 (or other L3 protocols)

As the name implies, 6LoWPAN can handle only IPv6 packets. Any other protocol will be discarded.

Moreover,

6LoWPAN assumes that the network is uniform, as is all the devices connected by the same same channel are using

6LoWPAN. Mixed environments are not supported by the standard. The reason is simple: 802.15.4 frame doesn't

have a "protocol" field. As a consequence, there is no demultiplexing at MAC layer and the protocol carried by L2

frames must be known in advance.

In the ns-3 implementation it is possible, but not advisable, to violate this requirement if the underlying NetDevice is

capable of discriminating different protocols. As an example, CsmaNetDevice can carry IPv4 and

6LoWPAN at the

same time. However, this configuration has not been tested.

Addsixlowpan to the list of modules built with ns-3.

The helper is patterned after other device helpers.

The following example can be found in src/sixlowpan/examples/ :

- example-sixlowpan.cc : A simple example showing end-to-end data transfer.

In particular, the example enables a very simplified end-to-end data transfer scenario, with a CSMA network forced to

carry 6LoWPAN compressed packets.

The test provided checks the connection between two UDP clients and the correctness of the received packets.

The model has been validated against WireShark, checking whatever the packets are correctly interpreted and validated.

The topology modules aim at reading a topology file generated by an automatic topology generator.

The process is divided in two steps:

- running a topology generator to build a topology file
- reading the topology file and build a ns-3 simulation

Hence, model is focused on being able to read correctly the various topology formats.

Currently there are three models:

- ns3::OrbisTopologyReader for Orbis 0.7 traces
- ns3::InetTopologyReader for Inet 3.0 traces
- ns3::RocketfuelTopologyReader for Rocketfuel traces

An helper ns3::TopologyReaderHelper is provided to assist on trivial tasks.

In some cases it might not be simple to identify which ns-3 node corresponds to a given node in the topology file. To

simplify this task, each node created by ns3::TopologyReaderHelper has a name. The name format is

“<TopologyReader>/NodeName/<label>” where “<TopologyReader>” is either “InetTopology”, “OrbisTopology”, or “RocketFuelTopology”, and “<label>” is the identifier of the node in the topology file (can be either a

number or a string,

depending on the file type). Assuming that there are 10 nodes, labeled with number starting from 0, the code could be:

```
for(uint32_t nodeNumber = 0; nodeNumber < 10; nodeNumber++)
{
    Ptr<Node> node = Names::Find<Node>("InetTopology/NodeName/" + std::to_
    ,!string(nodeNumber));
    if(node)
    {
        // Do something
    }
}
```

A good source for topology data is also Archipelago.

The current Archipelago Measurements, monthly updated, are stored in the CAIDA website using a complete notation

and triple data source, one for each working group.

A different and more compact notation reporting only the AS-relationships (a sort of more Orbis-like format) is here:

as-relationships.

The compact notation can be easily stripped down to a pure Orbis format, just removing the double



relationships (the compact format use one-way links, while Orbis use two-way links) and pruning the 3rd parameter. Note that with the compact data Orbis can then be used create a rescaled version of the topology, thus being the most effective way (to my best knowledge) to make an internet-like topology.

Examples can be found in the directory `src/topology-read/examples/`

The Traffic Control layer aims at introducing an equivalent of the Linux Traffic Control infrastructure into ns-3.

The Traffic Control layer sits in between the NetDevices (L2) and any network protocol (e.g. IP). It is in charge of

processing packets and performing actions on them: scheduling, dropping, marking, policing, etc.

The Traffic Control layer intercepts both outgoing packets flowing downwards from the network layer to the network

device and incoming packets flowing in the opposite direction. Currently, only outgoing packets are processed by the

Traffic Control layer. In particular, outgoing packets are enqueued in a queuing discipline, which can perform multiple actions on them.

In the following, more details are given about how the Traffic Control layer intercepts outgoing and incoming packets

and, more in general, about how the packets traverse the network stack.

Transmitting packets

The IPv{4,6} interfaces uses the aggregated object TrafficControlLayer to send down packets, instead of calling Net-

Device::Send() directly. After the analysis and the process of the packet, when the backpressure mechanism allows it,

TrafficControlLayer will call the Send() method on the right NetDevice.

Receiving packets

The callback chain that (in the past) involved IPv{4,6}L3Protocol and NetDevices, through ReceiveCallback, is ex-

tended to involve TrafficControlLayer. When an IPv{4,6}Interface is added in the IPv{4,6}L3Protocol, the callback

chain is configured to have the following packet exchange:

NetDevice → Node → TrafficControlLayer → IPv{4,6}L3Protocol

The main question that we would like to answer in the following paragraphs is: how a ns-3 node can send/receive packets?

If we analyze any example out there, the ability of the node to receive/transmit packets derives from the interaction of

- L2 Helper (something derived from NetDevice)
- L3 Helper (usually from Internet module)

L2 Helper main operations

Any good L2 Helper will do the following operations:

- Create n netdevices ( $n > 1$ )
- Attach a channel between these devices
- Call Node::AddDevice ()

Obviously the last point is the most important.

Node::AddDevice (network/model/node.cc:128) assigns an interface index to the device, calls NetDevice::SetNode,

sets the receive callback of the device to Node::NonPromiscReceiveFromDevice. Then, it schedules

NetDe-

vice::Initialize() method at Seconds(0.0), then notify the registered DeviceAdditionListener handlers (not used BY

Node::NonPromiscReceiveFromDevice calls Node::ReceiveFromDevice.

Node::ReceiveFromDevice iterates through ProtocolHandlers, which are callbacks which accept as signature:

ProtocolHandler (Ptr<NetDevice>, Ptr<const Packet>, protocol, from\_addr, to\_addr, packetType).

If device, protocol number and promiscuous flag corresponds, the handler is invoked.

Who is responsible to set ProtocolHandler ? We will analyze that in the next section.

L3 Helper

We have only internet which provides network protocol (IP). That module splits the operations between two helpers:

InternetStackHelper and Ipv{4,6}AddressHelper.

InternetStackHelper::Install (internet/helper/internet-stack-helper.cc:423) creates and aggregates proto-

cols {ArpL3,Ipv4L3,Icmpv4}Protocol. It creates the routing protocol, and if Ipv6 is enabled it adds {Ipv6L3,Icmpv6L4}Protocol. In any case, it instantiates and aggregates an UdpL4Protocol object, along with

a PacketSocketFactory. Ultimately, it creates the required objects and aggregates them to the node.

Let's assume an Ipv4 environment (things are the same for Ipv6).

Ipv4AddressHelper::Assign (src/internet/helper/ipv4-address-helper.cc:131) registers the handlers.

The process is a

bit long. The method is called with a list of NetDevice. For each of them, the node and

Ipv4L3Protocol pointers are

retrieved; if an Ipv4Interface is already registered for the device, on that the address is set.

Otherwise, the method

Ipv4L3Protocol::AddInterface is called, before adding the address.

IP interfaces

In Ipv4L3Protocol::AddInterface (src/internet/model/ipv4-l3-protocol.cc:300) two protocol handlers are installed:

ArpL3Protocol::Receive, respectively). The interface is then created, initialized, and returned.

Ipv4L3Protocol::Receive (src/internet/model/ipv4-l3-protocol.cc:472) iterates through the interface.

Once it finds the

Ipv4Interface which has the same device as the one passed as argument, invokes the rxTrace callback.

If the inter-

face is down, the packet is dropped. Then, it removes the header and trim any residual frame

padding. If check-

sum is not OK, it drops the packet. Otherwise, forward the packet to the raw sockets (not used).

Then, it ask the

routing protocol what is the destiny of that packet. The choices are: Ipv4L3Protocol::{IpForward,

IpMulticastFor-

ward,LocalDeliver,RoutelInputError}.

Packets received by the Traffic Control layer for transmission to a netdevice can be passed to a queueing discipline

(queue disc) to perform scheduling and policing. The ns-3 term "queue disc" corresponds to what Linux calls a

"qdisc". A netdevice can have a single (root) queue disc installed on it. Installing a queue disc on a netdevice is not

mandatory. If a netdevice does not have a queue disc installed on it, the traffic control layer

sends the packets directly

to the netdevice. This is the case, for instance, of the loopback netdevice.

As in Linux, queue discs may be simple queues or may be complicated hierarchical structures. A queue disc may contain distinct elements:

- queues, which actually store the packets waiting for transmission
- classes, which permit the definition of different treatments for different subdivisions of traffic
- filters, which determine the queue or class which a packet is destined to

Linux uses the terminology “classful qdiscs” or “classless qdiscs” to describe how packets are handled. This use of

the term “class” should be distinguished from the C++ language “class”. In general, the below discussion uses “class”

in the Linux, not C++, sense, but there are some uses of the C++ term, so please keep in mind the dual use of this term

in the below text.

Notice that a child queue disc must be attached to every class and a packet filter is only able to classify packets of

a single protocol. Also, while in Linux some queue discs (e.g., fq-codel) use an internal classifier and do not make

use of packet filters, in ns-3 every queue disc including multiple queues or multiple classes needs an external filter to

classify packets (this is to avoid having the traffic-control module depend on other modules such as internet).

Queue disc configuration vary from queue disc to queue disc. A typical taxonomy divides queue discs in classful (i.e.,

support classes) and classless (i.e., do not support classes). More recently, after the appearance of multi-queue devices

(such as Wi-Fi), some multi-queue aware queue discs have been introduced. Multi-queue aware queue discs handle

as many queues (or queue discs – without using classes) as the number of transmission queues used by the device on

which the queue disc is installed. An attempt is made, also, to classify each packet similarly in the queue disc and

within the device (i.e., to keep the packet classification consistent across layers).

The traffic control layer interacts with a queue disc in a simple manner: after requesting to enqueue a packet, the

traffic control layer requests the qdisc to “run”, i.e., to dequeue a set of packets, until a predefined number (“quota”) of

packets is dequeued or the netdevice stops the queue disc. A netdevice shall stop the queue disc when its transmission

queue does not have room for another packet. Also, a netdevice shall wake the queue disc when it detects that there

is room for another packet in its transmission queue, but the transmission queue is stopped. Waking a queue disc is

equivalent to make it run.

Every queue disc collects statistics about the total number of packets/bytes received from the upper layers (in case of

root queue disc) or from the parent queue disc (in case of child queue disc), enqueued, dequeued, requeued, dropped,

dropped before enqueue, dropped after dequeue, marked, and stored in the queue disc and sent to the netdevice or

to the parent queue disc. Note that packets that are dequeued may be requeued, i.e., retained by the traffic control

infrastructure, if the netdevice is not ready to receive them. Requeued packets are not part of the queue disc. The

following identities hold:

- $\text{dropped} = \text{dropped before enqueue} + \text{dropped after dequeue}$
- $\text{received} = \text{dropped before enqueue} + \text{enqueued}$
- $\text{queued} = \text{enqueued} - \text{dequeued}$
- $\text{sent} = \text{dequeued} - \text{dropped after dequeue} (-1 \text{ if there is a requeued packet})$

Separate counters are also kept for each possible reason to drop a packet. When a packet is dropped by an internal

queue, e.g., because the queue is full, the reason is “Dropped by internal queue”. When a packet is dropped by a child

queue disc, the reason is “(Dropped by child queue disc)” followed by the reason why the child queue disc dropped

the packet.

The QueueDisc base class provides the SojournTime trace source, which provides the sojourn time of every packet

dequeued from a queue disc, including packets that are dropped or requeued after being dequeued. The sojourn time

is taken when the packet is dequeued from the queue disc, hence it does not account for the additional time the packet

is retained within the queue disc in case it is requeued.

Design

A C++ abstract base class, class QueueDisc, is subclassed to implement a specific queue disc. A subclass is required

to implement the following methods:

- `bool DoEnqueue (Ptr<QueueDiscItem> item)` : Enqueue a packet
- `Ptr<QueueDiscItem> DoDequeue ()` : Dequeue a packet
- `bool CheckConfig () const` : Check if the configuration is correct
- `void InitializeParams ()` : Initialize queue disc parameters

and may optionally override the default implementation of the following method:

- `Ptr<const QueueDiscItem> DoPeek () const` : Peek the next packet to extract

The default implementation of the DoPeek method is based on the `qdisc_peek_dequeued` function of the Linux kernel,

which dequeues a packet and retains it in the queue disc as a requeued packet. This approach is recommended

especially for queue discs for which it is not obvious what is the next packet that will be dequeued (e.g., queue discs

having multiple internal queues or child queue discs or queue discs that drop packets after dequeue). Therefore, unless

the subclass redefines the DoPeek method, calling Peek causes the next packet to be dequeued from the queue disc,

though the packet is still considered to be part of the queue disc and the dequeue trace is fired when Dequeue is called

and the packet is actually extracted from the queue disc.

The C++ base class QueueDisc implements:

- methods to add/get a single queue, class or filter and methods to get the number of installed queues, classes or filters
- `aClassify` method which classifies a packet by processing the list of filters until a filter able to classify the packet is found

- methods to extract multiple packets from the queue disc, while handling transmission (to the device) failures by requeuing packets

The base class QueueDisc provides many trace sources:

- Enqueue
- Dequeue
- Requeue
- Drop
- Mark
- PacketsInQueue
- BytesInQueue

The C++ base class QueueDisc holds the list of attached queues, classes and filter by means of three vectors accessible

through attributes (InternalQueueList, QueueDiscClassList and PacketFilterList).

Internal queues are implemented as (subclasses of) Queue objects. A Queue stores QueueItem objects, which consist of just a Ptr<Packet>. Since a queue disc has to store at least the destination address and the protocol number

for each enqueued packet, a new C++ class, QueueDiscItem, is derived from QueueItem to store such additional information for each packet. Thus, internal queues are implemented as Queue objects storing QueueDiscItem objects.

Also, there could be the need to store further information depending on the network layer protocol of the packet.

For instance, for IPv4 and IPv6 packets it is needed to separately store the header and the payload, so that header fields can be manipulated, e.g., to support Explicit Congestion Notification as defined in RFC 3168.

To this end, subclasses Ipv4QueueDiscItem and Ipv6QueueDiscItem are derived from QueueDiscItem to additionally store the IP header and provide protocol specific operations such as ECN marking.

Classes (in the Linux sense of the term) are implemented via the QueueDiscClass class, which consists of a pointer to the attached queue disc. Such a pointer is accessible through the QueueDisc attribute. Classful queue discs needing to set parameters for their classes can subclass QueueDiscClass and add the required parameters as attributes.

An abstract base class, PacketFilter, is subclassed to implement specific filters. Subclasses are required to implement

- bool CheckProtocol (Ptr<QueueDiscItem> item) const : check whether the filter is able to classify packets of the same protocol as the given packet
- int32\_t DoClassify (Ptr<QueueDiscItem> item) const : actually classify the packet

PacketFilter provides a public method, Classify, which first calls CheckProtocol to check that the protocol of the packet matches the protocol of the filter and then calls DoClassify. Specific filters subclassed from PacketFilter should not be placed in the traffic-control module but in the module corresponding to the protocol of the classified packets.

The traffic control layer is automatically created and inserted on an ns3::Node object when typical device and inter-

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net module helpers are used. By default, the `InternetStackHelper::Install()` method aggregates a `TrafficControlLayer` object to every node. When invoked to assign an `IPv{4,6}` address to a device, the `Ipv{4,6}AddressHelper`, besides creating an `Ipv{4,6}Interface`, also installs the default qdisc on the device, unless a queue disc has been already installed. For single-queue `NetDevices` (such as `PointToPoint`, `Csma` and `Simple`), the default root qdisc is `FqCoDel`. For multi-queue `NetDevices` (such as `Wifi`), the default root qdisc is `Mq` with as many `FqCoDel` child qdiscs as the number of device queues.

To install a queue disc other than the default one, it is necessary to install such queue disc before an IP address is assigned to the device. Alternatively, the default queue disc can be removed from the device after assigning an IP address, by using the `Uninstall` method of the `TrafficControlHelper` C++ class, and then installing a different queue disc on the device. By uninstalling without adding a new queue disc, it is also possible to have no queue disc installed on a device.

Note that if no queue disc is installed on an underlying device, the traffic control layer will still respect flow control signals provided by the device, if any. Specifically, if no queue disc is installed on a device, and the device is stopped, then any packet for that device will be dropped in the traffic control layer, and the device's drop trace will not record the drop – instead, the `TcDrop` drop trace in the traffic control layer will record the drop. Flow control can be disabled for the devices that support it by using the `DisableFlowControl` method of their helpers. If there is no queue disc installed on the device, and the device is not performing flow control, then packets will immediately transit the traffic control layer and be sent to the device, regardless or not of whether the device's internal queue can accept it, and the traffic control layer's `TcDrop` trace will not be called.

## Helpers

A typical usage pattern is to create a traffic control helper and to configure type and attributes of queue discs, queues, classes and filters from the helper. For example, the `pfifo_fast` can be configured as follows:

```
TrafficControlHelper tch;
uint16_t handle = tch.SetRootQueueDisc("ns3::PfifoFastQueueDisc");
tch.AddInternalQueues(handle, 3, "ns3::DropTailQueue", "MaxSize", StringValue("1000p",!));
QueueDiscContainer qdiscs = tch.Install(devices);
```

The above code adds three internal queues to the root queue disc of type `PfifoFast`. With the above configuration, the config path of the root queue disc installed on the *j*-th device of the *i*-th node (the index of a device is the same as in

`DeviceList`) is:

```
/NodeList/[i]/$ns3::TrafficControlLayer/RootQueueDiscList/[j]
```

and the config path of the second internal queue is:

```
/NodeList/[i]/$ns3::TrafficControlLayer/RootQueueDiscList/[j]/InternalQueueList/1
```

For this helper's configuration to take effect, it should be added to the ns-3 program after `InternetStackHelper::Install()` is called, but before IP addresses are configured using `Ipv{4,6}AddressHelper`. For an example program, see `examples/traffic-control/traffic-control.cc`. If it is desired to install no queue disc on a device, it is necessary to use the `Uninstall` method of the `TrafficControl-`

Helper:

```
TrafficControlHelper tch;  
tch.Uninstall(device);
```

Note that the `Uninstall` method must be called after `InternetStackHelper::Install()` is called and after that

IP addresses are configured using `Ipv{4,6}AddressHelper`. For an example program, see `src/test/ns3tcp/ns3tcp-cwnd-test-suite.cc` (look at the `Ns3TcpCwndTestCase2::DoRun` method). Note also that this method does not unin-

stall the traffic control layer but instead removes the root queue disc on the device but keeps the traffic control layer

present. Also, note that removing the root queue disc on a device supporting flow control does not disable the flow

control. As mentioned above, this requires to call the `DisableFlowControl` method of the device helper, so that the

device is created without support for the flow control.

In Linux, the `struct netdev_queue` is used to store information about a single transmission queue of a device: status

(i.e., whether it has been stopped or not), data used by techniques such as Byte Queue Limits and a qdisc pointer field

that is mainly used to solve the following problems:

- if a device transmission queue is (almost) empty, identify the queue disc to wake
- if a packet will be enqueued in a given device transmission queue, identify the queue disc which the packet must be enqueued into

The latter problem arises because Linux attempts to determine the device transmission queue which a packet will be

enqueued into before passing the packet to a queue disc. This is done by calling a specific function of the device driver,

if implemented, or by employing fallback mechanisms (such as hashing of the addresses) otherwise.

The identifier

of the selected device transmission queue is stored in the `queue_mapping` field of the `struct sk_buff`, so that both the

queue disc and the device driver can get the same information. In ns-3, such identifier is stored in a member of the

`QueueDiscItem` class.

The `NetDeviceQueue` class in ns-3 is the equivalent of the Linux `struct netdev_queue`. The `qdisc` field of the Linux

`struct netdev_queue`, however, cannot be similarly stored in a `NetDeviceQueue` object, because it would make the

network module depend on the traffic-control module. Instead, this information is stored in the `TrafficControlLayer`

object aggregated to each node. In particular, a `TrafficControlLayer` object holds a `struct NetDeviceInfo` which stores,

for each `NetDevice`, a pointer to the root queue disc installed on the device, a pointer to the `netdevice` queue interface

(see below) aggregated to the device, and a vector of pointers (one for each device transmission queue) to the queue discs to activate when the above problems occur. The traffic control layer takes care of configuring such a vector at initialization time, based on the “wake mode” of the root queue disc. If the wake mode of the root queue disc is WAKE\_ROOT, then all the elements of the vector are pointers to the root queue disc. If the wake mode of the root queue disc is WAKE\_CHILD, then each element of the vector is a pointer to a distinct child queue disc. This requires that the number of child queue discs matches the number of netdevice queues. It follows that the wake mode of a classless queue disc must necessarily be WAKE\_ROOT. These two configurations are illustrated by the figures below.

Setup of a queue disc (wake mode: WAKE\_ROOT) below shows how the TrafficControlLayer map looks like in case of a classful root queue disc whose wake mode is WAKE\_ROOT.

Setup of a multi-queue aware queue disc below shows instead how the TrafficControlLayer map looks like in case of a classful root queue disc whose wake mode is WAKE\_CHILD.

A NetDeviceQueueInterface object is used by the traffic control layer to access the information stored in the NetDeviceQueue objects, retrieve the number of transmission queues of the device and get the transmission queue selected for the transmission of a given packet. A NetDeviceQueueInterface object must be therefore aggregated to all the devices having an interface supporting the traffic control layer (i.e., an IPv4 or IPv6 interface). In particular:

- a NetDeviceQueueInterface object is aggregated to all the devices by the NetDevice helper classes, at Install time. See, for example, the implementation in the method `CsmaHelper::InstallPriv()`.
- when notified that a netdevice queue interface has been aggregated, traffic control aware devices can cache the pointer to the netdevice queue interface created by the traffic control layer into a member variable. Also, multi-queue devices can set the number of device transmission queues and set the select queue callback through the netdevice queue interface
- at initialization time, the traffic control (after calling `device->Initialize()` to ensure that the netdevice has set the number of device transmission queues, if it has to do so) completes the installation of the queue discs by setting the wake callbacks on the device transmission queues (through the netdevice queue interface). Also, the traffic control calls the `Initialize` method of the root queue discs. This initialization of queue discs triggers calls to the `CheckConfig` and `InitializeParams` methods of the queue disc.

**Requeue**

In Linux, a packet dequeued from a queue disc can be requeued (i.e., stored somewhere and sent to the device at a later time) in some circumstances. Firstly, the function used to dequeue a packet (`dequeue_skb`) actually



dequeues a packet only if the device is multi-queue or the (unique) device queue is not stopped. If a packet has been dequeued from the queue disc, it is passed to the `sch_direct_xmit` function for transmission to the device. This function checks whether the device queue the packet is destined to is stopped, in which case the packet is requeued. Otherwise, the packet is sent to the device. If the device returns `NETDEV_TX_BUSY`, the packet is requeued. However, it is advised that the function called to send a packet to the device (`ndo_start_xmit`) should always return `NETDEV_TX_OK`, which means that the packet is consumed by the device driver and thus needs not to be requeued. However, the `ndo_start_xmit` function of the device driver is allowed to return `NETDEV_TX_BUSY` (and hence the packet is requeued) when there is no room for the received packet in the device queue, despite the queue is not stopped. This case is considered as a corner case or an hard error, and should be avoided.

ns-3 implements the requeue mechanism in a similar manner, the only difference being that packets are not requeued when such corner cases occur. Basically, the method used to dequeue a packet (`QueueDisc::DequeuePacket`) actually dequeues a packet only if the device is multi-queue or the (unique) device queue is not stopped. If a packet has been dequeued from the queue disc, it is passed to the `QueueDisc::Transmit` method for transmission to the device. This method checks whether the device queue the packet is destined to is stopped, in which case the packet is requeued. Otherwise, the packet is sent to the device. We request netdevices to stop a device queue when it is not able to store another packet, so as to avoid the situation in which a packet is received that cannot be enqueued while the device queue is not stopped. Should such a corner case occur, the netdevice drops the packet but, unlike Linux, the value returned by `NetDevice::Send` is ignored and the packet is not requeued.

The way the requeue mechanism is implemented in ns-3 has the following implications:

- if the underlying device has a single queue, no packet will ever be requeued. Indeed, if the device queue is not stopped when `QueueDisc::DequeuePacket` is called, it will not be stopped also when `QueueDisc::Transmit` is called, hence the packet is not requeued (recall that a packet is not requeued after being sent to the device, as the value returned by `NetDevice::Send` is ignored).
- if the underlying device does not implement flow control, i.e., it does not stop its queue(s), no packet will ever be requeued (recall that a packet is only requeued by `QueueDisc::Transmit` when the device queue the packet is destined to is stopped)

It turns out that packets may only be requeued when the underlying device is multi-queue and supports flow control.

`FifoQueueDisc` implements the FIFO (First-In First-Out) policy. Packets are enqueued in the unique

internal queue,  
which is implemented as a DropTail queue. The queue disc capacity can be specified in terms of either packets or bytes, depending on the value of the Mode attribute.

User is allowed to provide an internal queue before the queue disc is initialized. If no internal queue is provided, one DropTail queue having the same capacity of the queue disc is created by default. No packet filter can be added to a FifoQueueDisc.

#### Attributes

The FifoQueueDisc class holds the following attribute:

- MaxSize: The maximum number of packets/bytes the queue disc can hold. The default value is 1000 packets.

The fifo model is tested using FifoQueueDiscTestSuite class defined in src/traffic-control/test/fifo-queue-disc-test-suite.cc . The test aims to check that the capacity of the queue disc is not exceeded

and packets are dequeued in the correct order.

#### 32.4 pfifo\_fast queue disc

PfifofastQueueDisc behaves like pfifo\_fast, which is the default queue disc enabled on Linux systems (init systems

such as systemd may override such default setting). Packets are enqueued in three priority bands (implemented as

FIFO droptail queues) based on their priority (users can read Use of Send() vs. SendTo() for details on how to set

packet priority). The four least significant bits of the priority are used to determine the selected band according to the

following table:

#### Priority & 0xf Band

The system behaves similar to three ns3::DropTail queues operating together, in which packets from higher priority

bands are always dequeued before a packet from a lower priority band is dequeued.

The queue disc capacity, i.e., the maximum number of packets that can be enqueued in the queue disc, is set through

the MaxSize attribute, which plays the same role as txqueuelen in Linux. If no internal queue is provided, three

DropTail queues having each a capacity equal to MaxSize are created by default. User is allowed to provide queues,

but they must be three, operate in packet mode and each have a capacity not less than MaxSize. No packet filter can

be added to a PfifofastQueueDisc.

#### Attributes

The PfifofastQueueDisc class holds a single attribute:

- MaxSize: The maximum number of packets accepted by the queue disc. The default value is 1000.

#### Examples

Various examples located in src/traffic-control/examples (e.g., codel-vs-pfifofast-asymmetric.cc) shows how to

configure and install a PfifofastQueueDisc on internet nodes.

The pfifo\_fast model is tested using PfifofastQueueDiscTestSuite class defined in src/test/ns3tc/pfifofast-queue-disc-test-suite.cc . The suite includes 4 test cases:

- Test 1: The first test checks whether IPv4 packets are enqueued in the correct band based on the TOS byte

- Test 2: The second test checks whether IPv4 packets are enqueued in the correct band based on the TOS byte
- Test 3: The third test checks that the queue disc cannot enqueue more packets than its limit
- Test 4: The fourth test checks that packets that the filters have not been able to classify are enqueued into the

PrioQueueDisc implements a strict priority policy, where packets are dequeued from a band only if higher priority

bands are all empty. PrioQueueDisc is a classful queue disc and can have an arbitrary number of bands, each of which

is handled by a queue disc of any kind. The capacity of PrioQueueDisc is not limited; packets can only be dropped by

child queue discs (which may have a limited capacity). If no packet filter is installed or able to classify a packet, then

the packet is enqueued into a priority band based on its priority (modulo 16), which is used as an index into an array

called priomap. Users can read Use of Send() vs. SendTo() for details on how to set the packet priority. If a packet

is classified by an installed packet filter and the returned value is non-negative and less than the number of priority

bands, then the packet is enqueued into the i-th priority band. Otherwise, the packet is enqueued into the priority band

specified by the first element of the priomap array.

If no queue disc class is added by the user before the queue disc is initialized, three child queue discs of type Fifo-

QueueDisc are automatically added. It has to be noted that PrioQueueDisc needs at least two child queue discs.

#### Attributes

The PrioQueueDisc class holds the following attribute:

- Priomap: The priority to band mapping. The default value is the same mapping as the (fixed) one used by

PfifoFastQueueDisc.

#### Examples

An example of how to configure PrioQueueDisc with custom child queue discs and priomap is provided by queue-

discs-benchmark.cc located in examples/traffic-control :

```
TrafficControlHelper tch;
```

```
uint16_t handle = tch.SetRootQueueDisc("ns3::PrioQueueDisc", "Priomap", StringValue(
,!"0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1"));
```

```
TrafficControlHelper::ClassIdList cid = tch.AddQueueDiscClasses(handle, 2,
,!"ns3::QueueDiscClass");
```

```
tch.AddChildQueueDisc(handle, cid[0], "ns3::FifoQueueDisc");
```

```
tch.AddChildQueueDisc(handle, cid[1], "ns3::RedQueueDisc");
```

The code above adds two classes (bands) to a PrioQueueDisc. The highest priority one is a FifoQueueDisc, the other

has two bands).

PrioQueueDisc is tested using PrioQueueDiscTestSuite class defined in src/traffic-control/test/prio-queue-disc-test-suite.cc . The test aims to check that: i) packets are enqueued in the correct band

based on their priority and the priomap or according to the value returned by the installed packet filter; ii) packets are

dequeued in the correct order.

The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s prio-queue-disc
```

or

```
$ NS_LOG="PrioQueueDisc" ./ns3 run "test-runner --suite=prio-queue-disc"
```

on Linux kernel code implemented by A. Kuznetsov and D. Torokhov.

TBF is a qdisc that allows controlling the bandwidth of the output according to a set rate with the possibility of

managing burst conditions also. The TBF implementation consists of a bucket (buffer) having a limited capacity into

which tokens (normally representing a unit of bytes or a single packet of predetermined size) are added at a fixed rate

'r' called the token rate. Whenever a packet arrives into the tx queue (fifo by default), the bucket is checked to see if

there are appropriate number of tokens that is equivalent to the length of the packet in bytes. If yes, then the tokens

are removed and the packet is passed for transmission. If no, then packets will have to wait until there are sufficient

tokens in the bucket. This data conformance can be thus put into three possible scenarios [Ref3]:

1. Data rate = Token rate : Packets pass without delay.

2. Data rate < Token rate : The tokens might accumulate and the bucket might become full. Then, the next packets

to enter TBF will be transmitted right away without having any limit applied to them, until the bucket is empty.

This is called a burst condition and in TBF the burst parameter defines the size of the bucket. In order to

overcome this problem and provide better control over the bursts, TBF implements a second bucket which is

smaller and generally the same size as the MTU. This second bucket cannot store large amount of tokens, but

its replenishing rate will be a lot faster than the one of the big bucket. This second rate is called 'peakRate' and

it will determine the maximum rate of a burst.

3. Data rate > Token rate : This causes the TBF algorithm to throttle itself for a while as soon as the bucket gets

empty. This is called an 'overlimit situation' [Ref2]. In this situation, some of the packets will be blocked until

enough tokens are available at which time a schedule for the waking of the queue will be done. If packets keep

coming in, at a larger rate, then the packets will start to get dropped when the total number of bytes exceeds the

QueueLimit.

The TBF queue disc does not require packet filters, does not admit internal queues and uses a single child queue disc.

If the user does not provide a child queue disc, a Fifo queue disc operating in the same mode (packet or byte) as the

TBF queue disc and having a size equal to the TBF QueueLimit attribute is created. Otherwise, the capacity of the

TBF queue disc is determined by the capacity of the child queue disc.

There are two token buckets: first bucket and second bucket. The size of the first bucket called

'Burst' should always

be greater than the size of the second bucket called the Mtu (which is usually the size of a single packet). But the

'PeakRate' which is the second bucket's token rate should be always greater than the 'Rate' which is the first bucket's token rate.

If the PeakRate is zero, then the second bucket does not exist. In order to activate the second bucket, both the Mtu

and PeakRate values have to be greater than zero. If the Mtu value is zero at initialization time, then if a NetDevice

exists, the Mtu's value will be equal to the Mtu of the NetDevice. But if no NetDevice exists, then the QueueDisc will

complain thus prompting the user to set the Mtu value.

The source code for the TBF model is located in the directory src/traffic-control/model and consists of 2 files

tbq-queue-disc.h and tbq-queue-disc.cc defining a TbfQueueDisc class.

- classTbfQueueDisc : This class implements the main TBF algorithm:

- TbfQueueDisc::DoEnqueue() : This routine enqueue's the incoming packet if the queue is not full and drops the packet otherwise.

- TbfQueueDisc::DoPeek() : This routine peeks for the top item in the queue and if the queue is not empty, it returns the topmost item.

- TbfQueueDisc::DoDequeue() : This routine performs the dequeuing of packets according to the following logic:

- \*It callsTbfQueueDisc::Peek() and calculates the size of the packet to be dequeued in bytes.

- \*Then it calculates the time difference 'delta', which is the time elapsed since the last update of tokens

- in the buckets.

- \*If the second bucket exists, the number of tokens are updated according to the 'PeakRate' and 'delta'.

- \*From this second bucket a number of tokens equal to the size of the packet to be dequeued is subtracted.

- \*Now the number of tokens in the first bucket are updated according to 'Rate' and 'delta'.

- \*From this first bucket a number of tokens equal to the size of the packet to be dequeued is subtracted.

- \*If after this, both the first and second buckets have tokens greater than zero, then the packet is dequeued.

- \*Else, an event to QueueDisc::Run() is scheduled after a time period when enough tokens will be present for the dequeue operation.

References

Attributes

The key attributes that the TbfQueueDisc class holds include the following:

- MaxSize: The maximum number of packets/bytes the queue disc can hold. The default value is 1000 packets.

- Burst: Size of the first bucket, in bytes. The default value is 125000 bytes.

- Mtu: Size of second bucket defaults to the MTU of the attached NetDevice, if any, or 0 otherwise.

- Rate: Rate at which tokens enter the first bucket. The default value is 125KB/s.

- PeakRate: Rate at which tokens enter the second bucket. The default value is 0KB/s, which means that there

- is no second bucket.

TraceSources

The TbfQueueDisc class provides the following trace sources:

- TokensInFirstBucket: Number of First Bucket Tokens in bytes
- TokensInSecondBucket: Number of Second Bucket Tokens in bytes

#### Examples

The example for TBF is tbf-example.cc located in examples/traffic-control/. The command to run the file (the

invocation below shows the available command-line options) is:

```
.. sourcecode:: bash
```

```
$ ./ns3 run "tbf-example --PrintHelp" $ ./ns3 run "tbf-example --burst=125000 --rate=1Mbps  
--peakRate=1.5Mbps"
```

The expected output from the previous commands are traced value changes in the number of tokens in the first and second buckets.

The TBF model is tested using TbfQueueDiscTestSuite class defined in src/traffic-control/test/tbf-queue-disc-test-

suite.cc . The suite includes 4 test cases:

- Test 1: Simple Enqueue/Dequeue with verification of attribute setting and subtraction of tokens from the buckets.
- Test 2: When DataRate == FirstBucketTokenRate; packets should pass smoothly.
- Test 3: When DataRate >>> FirstBucketTokenRate; some packets should get blocked and waking of queue should get scheduled.
- Test 4: When DataRate < FirstBucketTokenRate; burst condition, peakRate is set so that bursts are controlled.

The test suite can be run using the following commands:

```
.. sourcecode:: bash
```

```
$ ./ns3 configure --enable-examples --enable-tests $ ./ns3 build $ ./test.py -s tbf-queue-disc  
or
```

```
.. sourcecode:: bash
```

```
$ NS_LOG="TbfQueueDisc" ./ns3 run "test-runner --suite=tbf-queue-disc"
```

Random Early Detection (RED) is a queue discipline that aims to provide early signals to transport protocol congestion

control (e.g. TCP) that congestion is imminent, so that they back off their rate gracefully rather than with a bunch of

tail-drop losses (possibly incurring TCP timeout). The model in ns-3 is a port of Sally Floyd's ns-2 RED model.

Note that, starting from ns-3.25, RED is no longer a queue variant and cannot be installed as a NetDevice queue.

Instead, RED is a queue disc and must be installed in the context of the traffic control (see the examples mentioned below).

The RED queue disc does not require packet filters, does not admit child queue discs and uses a single internal queue.

If not provided by the user, a DropTail queue operating in the same mode (packet or byte) as the queue disc and having

a size equal to the RED MaxSize attribute is created. Otherwise, the capacity of the queue disc is determined by the

capacity of the internal queue provided by the user.

#### Adaptive Random Early Detection (ARED)

ARED is a variant of RED with two main features: (i) automatically sets Queue weight, MinTh and MaxTh and (ii)

adapts maximum drop probability. The model in ns-3 contains implementation of both the features, and is a port of

Sally Floyd's ns-2 ARED model. Note that the user is allowed to choose and explicitly configure the simulation by

selecting feature (i) or feature (ii), or both.

Feng's Adaptive RED

Feng's Adaptive RED is a variant of RED that adapts the maximum drop probability. The model in ns-3 contains

implementation of this feature, and is a port of ns-2 Feng's Adaptive RED model.

Nonlinear Random Early Detection (NLRED)

NLRED is a variant of RED in which the linear packet dropping function of RED is replaced by a nonlinear quadratic

function. This approach makes packet dropping gentler for light traffic load and aggressive for heavy traffic load.

Explicit Congestion Notification (ECN)

This RED model supports an ECN mode of operation to notify endpoints of congestion that may be developing in a

bottleneck queue, without resorting to packet drops. Such a mode is enabled by setting the UseEcn attribute to true (it

is false by default) and only affects incoming packets with the ECT bit set in their header. When the average queue

length is between the minimum and maximum thresholds, an incoming packet is marked instead of being dropped.

When the average queue length is above the maximum threshold, an incoming packet is marked (instead of being

dropped) only if the UseHardDrop attribute is set to false (it is true by default).

The implementation of support for ECN marking is done in such a way as to not impose an internet module dependency

on the traffic control module. The RED model does not directly set ECN bits on the header, but delegates that job to

the QueueDiscItem class. As a result, it is possible to use RED queues for other non-IP

QueueDiscItems that may or

may not support the Mark () method.

References

The RED queue disc aims to be close to the results cited in: S.Floyd, K.Fall

<http://icir.org/floyd/papers/redsim.ps>

ARED queue implementation is based on the algorithm provided in: S. Floyd et al,

<http://www.icir.org/floyd/papers/>

[adaptiveRed.pdf](#)

Feng's Adaptive RED queue implementation is based on the algorithm provided in: W. C. Feng et al,

<http://ieeexplore>.

[ieeexplore.org/stamp/stamp.jsp?arnumber=752150](http://ieeexplore.org/stamp/stamp.jsp?arnumber=752150)

NLRED queue implementation is based on the algorithm provided in: Kaiyu Zhou et al,

<http://www.sciencedirect>.

[com/science/article/pii/S1389128606000879](http://www.sciencedirect.com/science/article/pii/S1389128606000879)

The addition of explicit congestion notification (ECN) to IP: K. K. Ramakrishnan et al,

<https://tools.ietf.org/html/>

[rfc3168](#)

Attributes

The RED queue contains a number of attributes that control the RED policies:

- MaxSize

- MeanPktSize
- IdlePktSize
- Wait (time)
- Gentle mode
- MinTh, MaxTh
- Queue weight
- LInterm
- LinkBandwidth
- LinkDelay
- UseEcn
- UseHardDrop

In addition to RED attributes, ARED queue requires following attributes:

- ARED (Boolean attribute. Default: false)
- AdaptMaxP (Boolean attribute to adapt m\_curMaxP. Default: false)
- Target Delay (time)
- Interval (time)
- LastSet (time)
- Top (upper limit of m\_curMaxP)
- Bottom (lower limit of m\_curMaxP)
- Alpha (increment parameter for m\_curMaxP)
- Beta (decrement parameter for m\_curMaxP)

In addition to RED attributes, Feng's Adaptive RED queue requires following attributes:

- FengAdaptive (Boolean attribute, Default: false)
- Status (status of current queue length, Default: Above)
- FengAlpha (increment parameter for m\_curMaxP, Default: 3)
- FengBeta (decrement parameter for m\_curMaxP, Default: 2)

The following attribute should be turned on to simulate NLRED queue disc:

- NLRED (Boolean attribute. Default: false)

Consult the ns-3 documentation for explanation of these attributes.

#### Simulating ARED

To switch on ARED algorithm, the attribute ARED must be set to true, as done in src/traffic-control/examples/adaptive-red-tests.cc :

```
Config::SetDefault("ns3::RedQueueDisc::ARED", BooleanValue(true));
```

Setting ARED to true implicitly configures both: (i) automatic setting of Queue weight, MinTh and MaxTh and (ii) adapting m\_curMaxP.

NOTE: To explicitly configure (i) or (ii), set ARED attribute to false and follow the procedure described next:

To configure (i); Queue weight, MinTh and MaxTh, all must be set to 0, as done in src/traffic-control/

examples/adaptive-red-tests.cc :

```
Config::SetDefault("ns3::RedQueueDisc::QW", DoubleValue(0.0));
```

```
Config::SetDefault("ns3::RedQueueDisc::MinTh", DoubleValue(0));
```

```
Config::SetDefault("ns3::RedQueueDisc::MaxTh", DoubleValue(0));
```

To configure (ii); AdaptMaxP must be set to true, as done in src/traffic-control/examples/adaptive-red-tests.cc :

```
Config::SetDefault("ns3::RedQueueDisc::AdaptMaxP", BooleanValue(true));
```

#### Simulating Feng's Adaptive RED

To switch on Feng's Adaptive RED algorithm, the attribute FengAdaptive must be set to true, as done in examples/

traffic-control/red-vs-fengadaptive.cc :



```
Config::SetDefault("ns3::RedQueueDisc::FengAdaptive", BooleanValue(true));
```

## Simulating NLRED

To switch on NLRED algorithm, the attribute NLRED must be set to true, as shown below:

```
Config::SetDefault("ns3::RedQueueDisc::NLRED", BooleanValue(true));
```

## Examples

The RED queue example is found at `src/traffic-control/examples/red-tests.cc`.

ARED queue examples can be found at: `src/traffic-control/examples/adaptive-red-tests.cc` and `src/traffic-control/examples/red-vs-ared.cc`

Feng's Adaptive RED example can be found at: `examples/traffic-control/red-vs-fengadaptive.cc`

NLRED queue example can be found at: `examples/traffic-control/red-vs-nlred.cc`

The RED model has been validated and the report is currently stored at:

[https://github.com/downloads/talau/  
ns-3-tcp-red/report-red-ns3.pdf](https://github.com/downloads/talau/ns-3-tcp-red/report-red-ns3.pdf)

Developed by Kathleen Nichols and Van Jacobson as a solution to the bufferbloat [Buf14] problem, CoDel (Controlled

Delay Management) is a queuing discipline that uses a packet's sojourn time (time in queue) to make decisions on packet drops.

Note that, starting from ns-3.25, CoDel is no longer a queue variant and cannot be installed as a NetDevice queue.

Instead, CoDel is a queue disc and must be installed in the context of the traffic control (see the examples mentioned below).

files `codel-queue-disc.h` and `codel-queue-disc.cc` defining a `CoDelQueueDisc` class and a helper `CoDelTimestampTag`

class. The code was ported to ns-3 by Andrew McGregor based on Linux kernel code implemented by Dave Täht and

Eric Dumazet.

- `class CoDelQueueDisc` : This class implements the main CoDel algorithm:

- `CoDelQueueDisc::DoEnqueue()` : This routine tags a packet with the current time before pushing it into

the queue. The timestamp tag is used by `CoDelQueue::DoDequeue()` to compute the packet's sojourn time. If the queue is full upon the packet arrival, this routine will drop the packet and record the number

of drops due to queue overflow, which is stored in `m_dropOverLimit`.

- `CoDelQueueDisc::ShouldDrop()` : This routine is `CoDelQueueDisc::DoDequeue()`'s helper routine that determines whether a packet should be dropped or not based on its sojourn time.

If the sojourn time goes above `m_target` and remains above continuously for at least `m_interval`, the routine returns true

indicating that it is OK to drop the packet. Otherwise, it returns false.

- `CoDelQueueDisc::DoDequeue()` : This routine performs the actual packet drop based on `CoDelQueueDisc::ShouldDrop()`'s return value and schedules the next drop/mark.

- `class CoDelTimestampTag` : This class implements the timestamp tagging for a packet. This tag is used to

compute the packet's sojourn time (the difference between the time the packet is dequeued and the time it is

pushed into the queue).

There are 2 branches to `CoDelQueueDisc::DoDequeue()` :

1. If the queue is currently in the dropping state, which means the sojourn time has remained above `m_target`

for more than `m_interval` , the routine determines if it's OK to leave the dropping state or it's time for the next

drop/mark. When `CoDelQueueDisc::ShouldDrop()` returns false , the queue can move out of the dropping state (set `m_dropping` to false ). Otherwise, the queue continuously drops/marks packets and updates the time

for next drop ( `m_dropNext` ) until one of the following conditions is met:

1. The queue is empty, upon which the queue leaves the dropping state and exits

`CoDelQueueDisc::ShouldDrop()` routine;

2. `CoDelQueueDisc::ShouldDrop()` returns false (meaning the sojourn time goes below `m_target` ) upon which the queue leaves the dropping state;

3. It is not yet time for next drop/mark ( `m_dropNext` is less than current time) upon which the queue waits

for the next packet dequeue to check the condition again.

2. If the queue is not in the dropping state, the routine enters the dropping state and drop/mark the first packet if

`CoDelQueueDisc::ShouldDrop()` returns true (meaning the sojourn time has gone above `m_target` for at least `m_interval` for the first time or it has gone above again after the queue leaves the dropping state).

The CoDel queue disc does not require packet filters, does not admit child queue discs and uses a single internal queue.

If not provided by the user, a DropTail queue operating in the same mode (packet or byte) as the queue disc and having

a size equal to the CoDel `MaxSize` attribute is created. Otherwise, the capacity of the queue disc is determined by the

capacity of the internal queue provided by the user.

## References

### Attributes

The key attributes that the `CoDelQueue` class holds include the following:

- `MaxSize`: The maximum number of packets/bytes the queue can hold. The default value is `1500 * DE-FAULT_CODEL_LIMIT`, which is `1500 * 1000` bytes.
- `MinBytes`: The CoDel algorithm `minbytes` parameter. The default value is 1500 bytes.
- `Interval`: The sliding-minimum window. The default value is 100 ms.
- `Target`: The CoDel algorithm target queue delay. The default value is 5 ms.
- `UseEcn`: True to use ECN (packets are marked instead of being dropped). The default value is false.
- `CeThreshold`: The CoDel CE threshold for marking packets. Disabled by default.

### Examples

The first example is `codel-vs-pfifo-basic-test.cc` located in `src/traffic-control/examples` . To run the file (the

first invocation below shows the available command-line options):

```
$ ./ns3 run "codel-vs-pfifo-basic-test --PrintHelp"
```

```
$ ./ns3 run "codel-vs-pfifo-basic-test --queueType=CoDel --pcapFileName=codel.pcap --  
!,cwndTrFileName=cwndCoDel.tr"
```

The expected output from the previous commands are two files: `codel.pcap` file and `cwndCoDel.tr` (ASCII trace) file

The `.pcap` file can be analyzed using `Wireshark` or `tcptrace`:

```
$ tcptrace -l -r -n -W codel.pcap
```

The second example is `codel-vs-pfifo-asymmetric.cc` located in `src/traffic-control/examples` . This example

is intended to model a typical cable modem deployment scenario. To run the file:

```
$ ./ns3 run "codel-vs-pfifo-asymmetric --PrintHelp"
```

```
$ ./ns3 run codel-vs-pfifo-asymmetric
```

The expected output from the previous commands is six pcap files:

- codel-vs-pfifo-asymmetric-CoDel-server-lan.pcap
- codel-vs-pfifo-asymmetric-CoDel-router-wan.pcap
- codel-vs-pfifo-asymmetric-CoDel-router-lan.pcap
- codel-vs-pfifo-asymmetric-CoDel-cmts-wan.pcap
- codel-vs-pfifo-asymmetric-CoDel-cmts-lan.pcap
- codel-vs-pfifo-asymmetric-CoDel-host-lan.pcap
- codel-vs-pfifo-asymmetric-CoDel.attr
- codel-vs-pfifo-asymmetric-CoDel-drop.tr
- codel-vs-pfifo-asymmetric-CoDel-drop-state.tr
- codel-vs-pfifo-asymmetric-CoDel-sojourn.tr
- codel-vs-pfifo-asymmetric-CoDel-length.tr
- codel-vs-pfifo-asymmetric-CoDel-cwnd.tr

The CoDel model is tested using CoDelQueueDiscTestSuite class defined in src/traffic-control/test/codel-queue-test-suite.cc . The suite includes 5 test cases:

- Test 1: The first test checks the enqueue/dequeue with no drops and makes sure that CoDel attributes can be set correctly.
- Test 2: The second test checks the enqueue with drops due to queue overflow.
- Test 3: The third test checks the NewtonStep() arithmetic against explicit port of Linux implementation
- Test 4: The fourth test checks the ControlLaw() against explicit port of Linux implementation
- Test 5: The fifth test checks the enqueue/dequeue with drops according to CoDel algorithm
- Test 6: The sixth test checks the enqueue/dequeue with marks according to CoDel algorithm

The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s codel-queue-disc
```

or

```
$ NS_LOG="CoDelQueueDisc" ./ns3 run "test-runner --suite=codel-queue-disc"
```

The FlowQueue-CoDel (FQ-CoDel) algorithm is a combined packet scheduler and Active Queue Management (AQM)

algorithm developed as part of the bufferbloat-fighting community effort ([Buf16]). FqCoDel classifies incoming

packets into different queues (by default, 1024 queues are created), which are served according to a modified Deficit

Round Robin (DRR) queue scheduler. Each queue is managed by the CoDel AQM algorithm. FqCoDel distinguishes

between “new” queues (which don’t build up a standing queue) and “old” queues, that have queued enough data to be

around for more than one iteration of the round-robin scheduler.

FqCoDel is installed by default on single-queue NetDevices (such as PointToPoint, Csma and Simple).

Also, on multi-

queue devices (such as Wifi), the default root qdisc is Mq with as many FqCoDel child queue discs as the number of

device queues.

The source code for the FqCoDel queue disc is located in the directory src/traffic-control/model and consists of 2 files fq-codel-queue-disc.h and fq-codel-queue-disc.cc defining a FqCoDelQueueDisc class

and a helper

FqCoDelFlow class. The code was ported to ns-3 based on Linux kernel code implemented by Eric Dumazet. Set associative hashing is also based on the Linux kernel CAKE queue management code. Set associative hashing is used to reduce the number of hash collisions in comparison to choosing queues normally with a simple hash. For a given number of queues, set associative hashing has fewer collisions than a traditional hash, as long as the number of flows is lesser than the number of queues. Essentially, it makes the queue management system more efficient. Set associative hashing is a vital component of CAKE, which is another popular flow management algorithm that is implemented in Linux and is being tested for FqCoDel. Furthermore, this module can be directly used with CAKE when its other components are implemented in ns-3. The only changes needed to incorporate this new hashing scheme are in the SetAssociativeHash and DoEnqueue methods, as described below.

- class FqCoDelQueueDisc : This class implements the main FqCoDel algorithm:
  - FqCoDelQueueDisc::DoEnqueue() : If no packet filter has been configured, this routine calls the QueueDiscItem::Hash() method to classify the given packet into an appropriate queue. Otherwise, the configured filters are used to classify the packet. If the filters are unable to classify the packet, the packet is dropped. Otherwise, an option is provided if set associative hashing is to be used. The packet is now handed over to the CoDel algorithm for timestamping. Then, if the queue is not currently active (i.e., if it is not in either the list of new or the list of old queues), it is added to the end of the list of new queues, and its deficit is initiated to the configured quantum. Otherwise, the queue is left in its current queue list. Finally, the total number of enqueued packets is compared with the configured limit, and if it is above this value (which can happen since a packet was just enqueued), packets are dropped from the head of the queue with the largest current byte count until the number of dropped packets reaches the configured drop batch size or the backlog of the queue has been halved. Note that this in most cases means that the packet that was just enqueued is not among the packets that get dropped, which may even be from a different queue.
  - FqCoDelQueueDisc::SetAssociativeHash() : An outer hash is identified for the given packet. This corresponds to the set into which the packet is to be enqueued. A set consists of a group of queues. The set determined by outer hash is enumerated; if a queue corresponding to this packet's flow is found (we use per-queue tags to achieve this), or in case of an inactive queue, or if a new queue can be created for this set without exceeding the maximum limit, the index of this queue is returned. Otherwise, all queues of this full set are active and correspond to flows different from the current packet's flow. In such cases, the

index of first queue of this set is returned. We don't consider creating new queues for the packet in these

cases, since this approach may waste resources in the long run. The situation highlighted is a guaranteed

collision and cannot be avoided without increasing the overall number of queues.

–FqCoDelQueueDisc::DoDequeue() : The first task performed by this routine is selecting a queue from which to dequeue a packet. To this end, the scheduler first looks at the list of new queues; for the queue at

the head of that list, if that queue has a negative deficit (i.e., it has already dequeued at least a quantum of

bytes), it is given an additional amount of deficit, the queue is put onto the end of the list of old queues, and

the routine selects the next queue and starts again. Otherwise, that queue is selected for dequeue. If the list

of new queues is empty, the scheduler proceeds down the list of old queues in the same fashion (checking

the deficit, and either selecting the queue for dequeuing, or increasing deficit and putting the queue back

at the end of the list). After having selected a queue from which to dequeue a packet, the CoDel algorithm

is invoked on that queue. As a result of this, one or more packets may be discarded from the head of the

selected queue, before the packet that should be dequeued is returned (or nothing is returned if the queue

is or becomes empty while being handled by the CoDel algorithm). Finally, if the CoDel algorithm does

not return a packet, then the queue must be empty, and the scheduler does one of two things: if the queue

selected for dequeue came from the list of new queues, it is moved to the end of the list of old queues. If

instead it came from the list of old queues, that queue is removed from the list, to be added back (as a new

queue) the next time a packet for that queue arrives. Then (since no packet was available for dequeue),

the whole dequeue process is restarted from the beginning. If, instead, the scheduler did get a packet back

from the CoDel algorithm, it subtracts the size of the packet from the byte deficit for the selected queue

and returns the packet as the result of the dequeue operation.

–FqCoDelQueueDisc::FqCoDelDrop() : This routine is invoked by

FqCoDelQueueDisc::DoEnqueue() to drop packets from the head of the queue with the largest current byte count. This routine keeps dropping packets until the number of dropped packets reaches the

configured drop batch size or the backlog of the queue has been halved.

- classFqCoDelFlow : This class implements a flow queue, by keeping its current status (whether it is in the list

of new queues, in the list of old queues or inactive) and its current deficit.

In Linux, by default, packet classification is done by hashing (using a Jenkins hash function) the 5-tuple of IP protocol,

source and destination IP addresses and port numbers (if they exist). This value modulo the number of queues is salted

by a random value selected at initialization time, to prevent possible DoS attacks if the hash is predictable ahead of time. Alternatively, any other packet filter can be configured. In ns-3, packet classification is performed in the same way as in Linux. Neither internal queues nor classes can be configured for an FqCoDel queue disc. Possible next steps

- what to do if ECT(1) and either/both ECT(0) and NotECT are in the same flow queue (hash collisions or tunnels)– our L4S traffic flows will avoid this situation by supporting AccECN and ECN++ (and if it happens in practice, the CoDel logic will just apply two separate thresholds)
- adding a ramp marking response instead of step threshold
- adding a floor value (to suppress marks if the queue length is below a certain number of bytes or packets)
- adding a heuristic such as in PIE to avoid marking a packet if it arrived to an empty flow queue (check on ingress, remember at egress time)

## References

### Attributes

The key attributes that the FqCoDelQueue class holds include the following:

- UseEcn: True to use ECN (packets are marked instead of being dropped)
- Interval: The interval parameter to be used on the CoDel queues. The default value is 100 ms.
- Target: The target parameter to be used on the CoDel queues. The default value is 5 ms.
- MaxSize: The limit on the maximum number of packets stored by FqCoDel.
- Flows: The number of flow queues managed by FqCoDel.
- DropBatchSize: The maximum number of packets dropped from the fat flow.
- Perturbation: The salt used as an additional input to the hash function used to classify packets.
- CeThreshold The FqCoDel CE threshold for marking packets
- UseL4s True to use L4S (only ECT1 packets are marked at CE threshold)
- EnableSetAssociativeHash: The parameter used to enable set associative hash.

Perturbation is an optional configuration attribute and can be used to generate different hash outcomes for different

inputs. For instance, the tuples used as input to the hash may cause hash collisions (mapping to the same bucket) for a

given set of inputs, but by changing the perturbation value, the same hash inputs now map to distinct buckets.

Note that the quantum, i.e., the number of bytes each queue gets to dequeue on each round of the scheduling algorithm,

is set by default to the MTU size of the device (at initialisation time). The

FqCoDelQueueDisc::SetQuantum ()

method can be used (at any time) to configure a different value.

### Examples

A typical usage pattern is to create a traffic control helper and to configure type and attributes of queue disc and filters

from the helper. For example, FqCoDel can be configured as follows:

```
TrafficControlHelper tch;
```

```
tch.SetRootQueueDisc("ns3::FqCoDelQueueDisc", "DropBatchSize", UIntegerValue(1)
```

```
"Perturbation", UIntegerValue(256));
```

```
QueueDiscContainer qdiscs = tch.Install(devices);
```

The example for FqCoDel's L4S mode is FqCoDel-L4S-example.cc located in src/traffic-control/examples

.

To run the file (the first invocation below shows the available command-line options):

```
$ ./ns3 run "FqCoDel-L4S-example --PrintHelp"
```

```
$ ./ns3 run "FqCoDel-L4S-example --scenarioNum=5"
```

The expected output from the previous command are .dat files.

The FqCoDel model is tested using FqCoDelQueueDiscTestSuite class defined in src/test/ns3tc/codel-queue-test-

suite.cc . The suite includes 5 test cases:

- Test 1: The first test checks that packets that cannot be classified by any available filter are dropped.
- Test 2: The second test checks that IPv4 packets having distinct destination addresses are enqueued into different flow queues. Also, it checks that packets are dropped from the fat flow in case the queue disc capacity is exceeded.
- Test 3: The third test checks the dequeue operation and the deficit round robin-based scheduler.
- Test 4: The fourth test checks that TCP packets with distinct port numbers are enqueued into different flow queues.
- Test 5: The fifth test checks that UDP packets with distinct port numbers are enqueued into different flow queues.
- Test 6: The sixth test checks that the packets are marked correctly.
- Test 7: The seventh test checks the working of set associative hashing and its linear probing capabilities by using TCP packets with different hashes enqueued into different sets and queues.
- Test 8: The eighth test checks the L4S mode of FqCoDel where ECT1 packets are marked at CE threshold (target delay does not matter) while ECT0 packets continue to be marked at target delay (CE threshold does not matter).

The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s fq-codel-queue-disc
```

or:

```
$ NS_LOG="FqCoDelQueueDisc" ./ns3 run "test-runner --suite=fq-codel-queue-disc"
```

Set associative hashing is tested by generating a probability collision graph. This graph is then overlapped with the

theoretical graph provided in the original CAKE paper (refer to Figure 1 from CAKE). The generated graph is linked

below:

The overlapped graph is also linked below:

The steps to replicate this graph are available on this link.

COBALT queue disc is an integral component of CAKE smart queue management system. It is a combination of the

CoDel ([Kath17]) and BLUE ([BLUE02]) Active Queue Management algorithms.

files: cobalt-queue-disc.h and cobalt-queue-disc.cc defining a CobaltQueueDisc class and a helper CobaltTimestamp-

Tag class. The code was ported to ns-3 by Vignesh Kanan, Harsh Lara, Shefali Gupta, Jendaipou Palmei and Mohit P.

Tahiliani based on the Linux kernel code.

Stefano Avallone and Pasquale Imputato helped in verifying the correctness of COBALT model in ns-3 by comparing the results obtained from it to those obtained from the Linux model of COBALT. A detailed comparison of ns-3 model of COBALT with Linux model of COBALT is provided in ([Cobalt19]).

- `classCobaltQueueDisc` : This class implements the main Cobalt algorithm:

- `CobaltQueueDisc::DoEnqueue ()` : This routine tags a packet with the current time before pushing it into the queue. The timestamp tag is used by `CobaltQueue::DoDequeue()` to compute the packet's sojourn time.

If the queue is full upon the packet arrival, this routine will drop the packet and record the number of drops due

to queue overflow, which is stored in `m_stats.qLimDrop` .

- `CobaltQueueDisc::ShouldDrop ()` : This routine is `CobaltQueueDisc::DoDequeue()` 's helper routine that determines whether a packet should be dropped or not based on its sojourn time. If L4S mode is enabled

then if the packet is ECT1 is checked and if delay is greater than CE threshold then the packet is marked and

returns false . If the sojourn time goes above `m_target` and remains above continuously for at least `m_interval` ,

the routine returns true indicating that it is OK to drop the packet. Otherwise, it returns ``false

. If

L4S mode is turned off and CE threshold marking is enabled, then if the delay is greater than CE threshold,

packet is marked. This routine decides if a packet should be dropped based on the dropping state of `CoDel`

and drop probability of BLUE. The idea is to have both algorithms running in parallel and their effectiveness is

decided by their respective parameters (`Pdrop` of BLUE and dropping state of `CoDel`). If either of them decide

to drop the packet, the packet is dropped.

- `CobaltQueueDisc::DoDequeue ()` : This routine performs the actual packet drop based on ```CobaltQueueDisc::ShouldDrop()` 's return value and schedules the next drop. Cobalt will decrease BLUE's drop probability if the queue is empty. This will ensure that the queue does not underflow.

Otherwise Cobalt will take the next packet from the queue and calculate its drop state by running `CoDel` and

BLUE in parallel till there are none left to drop.

## References

### Attributes

The key attributes that the `CobaltQueue Disc` class holds include the following:

- `MaxSize`: The maximum number of packets/bytes accepted by this queue disc.
- `Interval`: The sliding-minimum window. The default value is 100 ms.
- `Target`: The Cobalt algorithm target queue delay. The default value is 5 ms.
- `Pdrop`: Value of drop probability.
- `Increment`: Increment value of drop probability. Default value is 1./256 .
- `Decrement`: Decrement value of drop probability. Default value is 1./4096 .
- `CeThreshold`: The `CoDel` CE threshold for marking packets.
- `UseL4s`: True to use L4S (only ECT1 packets are marked at CE threshold).
- `Count`: Cobalt count.
- `DropState`: Dropping state of Cobalt. Default value is false.



- Sojourn: Per packet time spent in the queue.
- DropNext: Time until next packet drop.

#### Examples

An example program named `cobalt-vs-codel.cc` is located in `src/traffic-control/examples` . Use the following

command to run the program.

```
$ ./ns3 run cobalt-vs-codel
```

The COBALT model is tested using `CobaltQueueDiscTestSuite` class defined in `src/traffic-control/test/cobalt-`

`queue-test-suite.cc` . The suite includes 2 test cases:

- Test 1: Simple enqueue/dequeue with no drops.
- Test 2: Change of BLUE's drop probability upon queue full (Activation of Blue).
- Test 3: This test verifies ECN marking.
- Test 4: CE threshold marking test.

The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s cobalt-queue-disc
```

or

```
$ NS_LOG="CobaltQueueDisc" ./ns3 run "test-runner --suite=cobalt-queue-disc"
```

The `FlowQueue-Cobalt` (FQ-Cobalt) algorithm is similar to `FlowQueue-CoDel` (FQ-CoDel) algorithm available in : Fq-

`CoDel queue disc` . The documentation for Cobalt is available in `ns-3-dev/src/traffic-control/doc/cobalt.`

`rst.`

`FqCobalt` is one of the key components of the CAKE smart queue management framework ([Hoe18]). The COBALT

AQM is preferred to the CoDel AQM for CAKE because it adds a heuristic called BLUE to cover cases in which the

CoDel control law is too sluggish to respond to queue growth.

The source code for the `FqCobalt queue disc` is located in the directory `src/traffic-control/model` and `con-`

sists of 2 files `fq-cobalt-queue-disc.h` and `fq-cobalt-queue-disc.cc` defining a `FqCobaltQueueDisc` class and a helper

`FqCobaltFlow` class. The code was ported to ns-3 based on Linux kernel code implemented by Jonathan Morton

([https://github.com/torvalds/linux/blob/master/net/sched/sch\\_cake.c](https://github.com/torvalds/linux/blob/master/net/sched/sch_cake.c)).

The Model Description is similar to the `FqCoDel` documentation mentioned above.

#### References

#### Attributes

Most of the key attributes are similar to the `FqCoDel` implementation mentioned above. One difference is the absence

of the `MinBytes` parameter.

Some additional parameters implemented as attributes are:

- Pdrop: Value of drop probability.
- Increment: Increment value of drop probability. Default value is 1./256 .
- Decrement: Decrement value of drop probability. Default value is 1./4096 .
- BlueThreshold: The threshold after which Blue is enabled. Default value is 400ms.

Note that if the user wants to disable Blue Enhancement then the user can set it to a large value; for example, to

`Time::Max ()` .

## Examples

A typical usage pattern is to create a traffic control helper and to configure the type and attributes of the queue disc

and filters from the helper. For example, FqCobalt can be configured as follows:

```
TrafficControlHelper tch;  
tch.SetRootQueueDisc("ns3::FqCobaltQueueDisc",  
"DropBatchSize", UIntegerValue(1),  
"Perturbation", UIntegerValue(256));  
QueueDiscContainer qdiscs = tch.Install(devices);
```

The FqCobalt model is tested using FqCobaltQueueDiscTestSuite class defined in src/test/ns3tc/codel-queue-

test-suite.cc .

The tests are similar to the ones for FqCoDel queue disc mentioned in first section of this document. The test suite can

be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s fq-cobalt-queue-disc
```

or:

```
$ NS_LOG="FqCobaltQueueDisc" ./ns3 run "test-runner --suite=fq-cobalt-queue-disc"
```

Proportional Integral controller Enhanced (PIE) is a queuing discipline that aims to solve the bufferbloat [Buf14]

problem. The model in ns-3 is a port of Preethi Natarajan's ns-2 PIE model.

files pie-queue-disc.h and pie-queue-disc.cc defining a PieQueueDisc class. The code was ported to ns-3 by Mohit P.

Tahiliani, Shravya K. S. and Smriti Murali based on ns-2 code implemented by Preethi Natarajan, Rong Pan, Chiara

Piglione, Greg White and Takashi Hayakawa. The implementation was aligned with RFC 8033 by Vivek Jain and

Mohit P. Tahiliani for the ns-3.32 release, with additional unit test cases contributed by Bhaskar Kataria.

- classPieQueueDisc : This class implements the main PIE algorithm:

- PieQueueDisc::DoEnqueue() : This routine checks whether the queue is full, and if so, drops the packets and records the number of drops due to queue overflow. If queue is not full then if ActiveThreshold

is set then it checks if queue delay is higher than ActiveThreshold and if it is then, this routine calls

PieQueueDisc::DropEarly() , and depending on the value returned, the incoming packet is either enqueued or dropped.

- PieQueueDisc::DropEarly() : The decision to enqueue or drop the packet is taken by invoking this routine, which returns a boolean value; false indicates enqueue and true indicates drop.

- PieQueueDisc::CalculateP() : This routine is called at a regular interval of m\_tUpdate and updates the drop probability, which is required by PieQueueDisc::DropEarly()

- PieQueueDisc::DoDequeue() : This routine calculates queue delay using timestamps (by default) or, optionally with the UseDequeRateEstimator attribute enabled, calculates the average departure rate to estimate queue delay. A queue delay estimate required for updating the drop probability in PieQueueDisc::CalculateP() . Starting with the ns-3.32 release, the default approach to calculate queue delay has been changed to use timestamps.

## References

### Attributes

The key attributes that the PieQueue class holds include the following:

- MaxSize: The maximum number of bytes or packets the queue can hold.
- MeanPktSize: Mean packet size in bytes. The default value is 1000 bytes.
- Tupdate: Time period to calculate drop probability. The default value is 30 ms.
- Supdate: Start time of the update timer. The default value is 0 ms.
- DequeueThreshold: Minimum queue size in bytes before dequeue rate is measured. The default value is 10000 bytes.
- QueueDelayReference: Desired queue delay. The default value is 20 ms.
- MaxBurstAllowance: Current max burst allowance in seconds before random drop. The default value is 0.1 seconds.
- A: Value of alpha. The default value is 0.125.
- B: Value of beta. The default value is 1.25.
- UseDequeueRateEstimator: Enable/Disable usage of Dequeue Rate Estimator (Default: false).
- UseEcn: True to use ECN. Packets are marked instead of being dropped (Default: false).
- MarkEcnThreshold: ECN marking threshold (Default: 10% as suggested in RFC 8033).
- UseDerandomization: Enable/Disable Derandomization feature mentioned in RFC 8033 (Default: false).
- UseCapDropAdjustment: Enable/Disable Cap Drop Adjustment feature mentioned in RFC 8033 (Default: true).
- ActiveThreshold: Threshold for activating PIE (disabled by default).

### Examples

The example for PIE is pie-example.cc located in src/traffic-control/examples . To run the file (the first

invocation below shows the available command-line options):

```
$ ./ns3 run "pie-example --PrintHelp"
```

```
$ ./ns3 run "pie-example --writePcap=1"
```

The expected output from the previous commands are ten .pcap files.

The PIE model is tested using PieQueueDiscTestSuite class defined in src/traffic-control/test/pie-queue-test-

suite.cc . The suite includes the following test cases:

- Test 1: simple enqueue/dequeue with defaults, no drops
- Test 2: more data with defaults, unforced drops but no forced drops
- Test 3: same as test 2, but with higher QueueDelayReference
- Test 4: same as test 2, but with reduced dequeue rate
- Test 5: same dequeue rate as test 4, but with higher Tupdate
- Test 6: same as test 2, but with UseDequeueRateEstimator enabled
- Test 7: test with CapDropAdjustment disabled
- Test 8: test with CapDropAdjustment enabled
- Test 9: PIE queue disc is ECN enabled, but packets are not ECN capable
- Test 10: Packets are ECN capable, but PIE queue disc is not ECN enabled
- Test 11: Packets and PIE queue disc both are ECN capable
- Test 12: test with Derandomization enabled
- Test 13: same as test 11 but with accumulated drop probability set below the low threshold
- Test 14: same as test 12 but with accumulated drop probability set above the high threshold
- Test 15: Tests Active/Inactive feature, ActiveThreshold set to a high value so PIE never starts.
- Test 16: Tests Active/Inactive feature, ActiveThreshold set to a low value so PIE starts early.

The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s pie-queue-disc
```

or alternatively (to see logging statements in a debug build):

```
$ NS_LOG="PieQueueDisc" ./ns3 run "test-runner --suite=pie-queue-disc"
```

The FQ-PIE queue disc combines the Proportional Integral Controller Enhanced (PIE) AQM algorithm with the FlowQueue scheduler that is part of FQ-CoDel (also available in ns-3). FQ-PIE was introduced to Linux kernel version 5.6.

The source code for the FqPieQueueDisc is located in the directory src/traffic-control/model and consists of 2 files fq-pie-queue-disc.h and fq-pie-queue-disc.cc defining a FqPieQueueDisc class and a helper FqPieFlow class.

The code was ported to ns-3 based on Linux kernel code implemented by Mohit P. Tahiliani.

This model calculates drop probability independently in each flow queue. One difficulty, as pointed out by

[CableLabs14], is that PIE calculates drop probability based on the departure rate of a (flow) queue, which may

be more highly variable than the aggregate queue. An alternative, which CableLabs has called SFQ-PIE, is to calcu-

late an overall drop probability for the entire queue structure, and then scale this drop probability based on the ratio of

the queue depth of each flow queue compared with the depth of the current largest queue. This ns-3 model does not

implement the SFQ-PIE variant described by CableLabs.

## References

### Attributes

The key attributes that the FqPieQueue class holds include the following. First, there are PIE-specific attributes that

are copied into the individual PIE flow queues:

- UseEcn: Whether to use ECN marking
- MarkEcnThreshold: ECN marking threshold (RFC 8033 suggests 0.1 (i.e., 10%) default).
- UseL4s: Whether to use L4S (only mark ECT1 packets at CE threshold)
- MeanPktSize: Constant used to roughly convert bytes to packets
- A:Alpha value in PIE algorithm drop probability calculation
- B:Beta value in PIE algorithm drop probability calculation
- Tupdate: Time period to calculate drop probability
- Supdate: Start time of the update timer
- DequeueThreshold: Minimum queue size in bytes before dequeue rate is measured
- QueueDelayReference: AQM latency target
- MaxBurstAllowance: AQM max burst allowance before random drop
- UseDequeueRateEstimator: Enable/Disable usage of Dequeue Rate Estimator
- UseCapDropAdjustment: Enable/Disable Cap Drop Adjustment feature mentioned in RFC 8033
- UseDerandomization: Enable/Disable Derandomization feature mentioned in RFC 8033

Second, there are QueueDisc level, or FQ-specific attributes:: \* MaxSize: Maximum number of packets in the queue

disc \*Flows: Maximum number of flow queues \* DropBatchSize: Maximum number of packets dropped from the

fat flow \* Perturbation: Salt value used as hash input when classifying flows \*

EnableSetAssociativeHash:

Enable or disable set associative hash \* SetWays: Size of a set of queues in set associative hash

### Examples

A typical usage pattern is to create a traffic control helper and to configure the type and attributes of queue disc and

filters from the helper. For example, FqPIE can be configured as follows:

```
TrafficControlHelper tch;
tch.SetRootQueueDisc("ns3::FqPieQueueDisc",
"DropBatchSize", UIntegerValue(1)
"Perturbation", UIntegerValue(256));
QueueDiscContainer qdiscs = tch.Install(devices);
The FqPie model is tested using FqPieQueueDiscTestSuite class defined in src/test/ns3tc/fq-pie-queue-test-suite.cc .
```

The tests are similar to the ones for FqCoDel queue disc mentioned in first section of this document. The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
$ ./ns3 build
$ ./test.py -s fq-pie-queue-disc
or:
```

```
$ NS_LOG="FqPieQueueDisc" ./ns3 run "test-runner --suite=fq-pie-queue-disc"
```

mq is a classful multiqueue dummy scheduler developed to best fit the multiqueue traffic control API in Linux. The

mq scheduler presents device transmission queues as classes, allowing to attach different queue discs to them, which are grafted to the device transmission queues.

Mq is installed by default on multi-queue devices (such as Wifi) with as many FqCoDel child queue discs as the number of device queues.

mq is a multi-queue aware queue disc, meaning that it has as many child queue discs as the number of device transmission queues. Each child queue disc maps to a distinct device transmission queue. Every packet is enqueued into the child queue disc which maps to the device transmission queue in which the device will enqueue the packet.

Inns-3, MqQueueDisc has a wake mode of WAKE\_CHILD, which means that the traffic control layer enqueues packets directly into one of the child queue discs (multi-queue devices can provide a callback to inform

the traffic control layer of the device transmission queue that will be selected for a given packet). Therefore,

MqQueueDisc::DoEnqueue () shall never be called (in fact, it raises a fatal error). Given that dequeuing pack-

ets is triggered by enqueueing a packet in the queue disc or by the device invoking the wake callback, it turns out that

MqQueueDisc::DoDequeue () is never called as well (in fact, it raises a fatal error, too).

The mq queue disc does not require packet filters, does not admit internal queues and must have as many child queue discs as the number of device transmission queues.

#### Examples

A typical usage pattern is to create a traffic control helper used to add the required number of queue disc classes, attach child queue discs to the classes and (if needed) add packet filters to the child queue discs. The following code shows

how to install an mq queue disc having FqCoDel child queue discs:

```
TrafficControlHelper tch;
uint16_t handle = tch.SetRootQueueDisc("ns3::MqQueueDisc");
```

```
TrafficControlHelper::ClassIdList cls = tch.AddQueueDiscClasses(handle, numTxQueues,  
,"ns3::QueueDiscClass");
```

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```
tch.AddChildQueueDiscs(handle, cls, "ns3::FqCoDelQueueDisc");
```

```
QueueDiscContainer qdiscs = tch.Install(devices);
```

Note that the child queue discs attached to the classes do not necessarily have to be of the same type.

The mq model is tested using WifiAcMappingTestSuite class defined in src/test/wifi-ac-mapping-test-suite.cc . The

suite considers a node with a QoS-enabled wifi device (which has 4 transmission queues) and includes 4 test cases:

- Test 1: EF-marked packets are enqueued in the queue disc which maps to the AC\_VI queue
- Test 2: AF11-marked packets are enqueued in the queue disc which maps to the AC\_BK queue
- Test 3: AF32-marked packets are enqueued in the queue disc which maps to the AC\_BE queue
- Test 4: CS7-marked packets are enqueued in the queue disc which maps to the AC\_VO queue

The test suite can be run using the following commands:

```
$ ./ns3 configure --enable-examples --enable-tests
```

```
$ ./ns3 build
```

```
$ ./test.py -s ns3-wifi-ac-mapping
```

or

```
$ NS_LOG="WifiAcMappingTest" ./ns3 run "test-runner --suite=ns3-wifi-ac-mapping"
```

The main goal of the UAN Framework is to enable researchers to model a variety of underwater network scenarios.

The UAN model is broken into four main parts: The channel, PHY , MAC and Autonomous Underwater Vehicle (AUV)

models.

The need for underwater wireless communications exists in applications such as remote control in offshore oil indus-

try<sup>1</sup>, pollution monitoring in environmental systems, speech transmission between divers, mapping of the ocean floor,

mine counter measures<sup>24</sup>, seismic monitoring of ocean faults as well as climate changes monitoring.

Unfortunately,

making on-field measurements is very expensive and there are no commonly accepted standard to base on. Hence, the

priority to make research work going on, it is to realize a complete simulation framework that researchers can use to

experiment, make tests and make performance evaluation and comparison.

The NS-3 UAN module is a first step in this direction, trying to offer a reliable and realistic tool. In fact, the UAN

module offers accurate modelling of the underwater acoustic channel, a model of the WHOI acoustic modem (one of

the widely used acoustic modems)<sup>6</sup>and its communications performance, and some MAC protocols.

The source code for the UAN Framework lives in the directory src/uan and insrc/energy for the contribution on

the li-ion battery model.

The UAN Framework is composed of two main parts:

- the AUV mobility models, including Electric motor propelled AUV (REMUS class<sup>34</sup>) and Seaglider<sup>5</sup>models
- the energy models, including AUV energy models, AUV energy sources (batteries) and an acoustic modem

energy model

As enabling component for the energy models, a Li-Ion batteries energy source has been implemented basing on 78.

1 BINGHAM, D.; DRAKE, T.; HILL, A.; LOTT, R.; The Application of Autonomous Underwater Vehicle (AUV) Technology in the Oil Industry

– Vision and Experiences, URL: [http://www.fig.net/pub/fig\\_2002/Ts4-4/TS4\\_4\\_bingham\\_et al.pdf](http://www.fig.net/pub/fig_2002/Ts4-4/TS4_4_bingham_et al.pdf)

2 AUVfest2008: Underwater mines; URL:

<http://oceanexplorer.noaa.gov/explorations/08auvfest/background/mines/mines.html>

4 WHOI, Autonomous Underwater Vehicle, REMUS; URL: <http://www.whoi.edu/page.do?pid=29856>

6 L. Freitag, M. Grund, I. Singh, J. Partan, P. Koski, K. Ball, and W. Hole, The whoi micro-modem: an acoustic communications and navigation

system for multiple platforms, In Proc. IEEE OCEANS05 Conf, 2005. URL:

<http://ieeexplore.ieee.org/iel5/10918/34367/01639901.pdf>

3 Hydroinc (acquired by Huntington Ingalls Industries) Products; URL:

<https://tsd.huntingtoningalls.com/what-we-do/unmanned-systems/unmanned-underwater-vehicles/>

5 Eriksen, C.C., T.J. Osse, R.D. Light, T. Wen, T.W. Lehman, P.L. Sabin, J.W. Ballard, and A.M. Chiodi. Seaglider: A Long-Range Autonomous

Underwater Vehicle for Oceanographic Research, IEEE Journal of Oceanic Engineering, 26, 4, October 2001. URL: [http://ieeexplore.ieee.org/](http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=972073&userType=inst)

[stamp/stamp.jsp?tp=&arnumber=972073&userType=inst](http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=972073&userType=inst)

7 C. M. Shepherd, "Design of Primary and Secondary Cells - Part 3. Battery discharge equation," U.S. Naval Research Laboratory, 1963

8 Tremblay, O.; Dessaint, L.-A.; Dekkiche, A.-I., "A Generic Battery Model for the Dynamic Simulation of Hybrid Electric Vehicles," Ecole de

Technologie Supérieure, Université du Québec, 2007 URL:

<http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=4544139>

UAN Propagation Models

Modelling of the underwater acoustic channel has been an active area of research for quite some time. Given the

complications involved, surface and bottom interactions, varying speed of sound, etc. . . , the detailed models in use for

ocean acoustics research are much too complex (in terms of runtime) for use in network level simulations. We have

attempted to provide the often used models as well as make an attempt to bridge, in part, the gap between complicated

ocean acoustic models and network level simulation. The three propagation models included are the ideal channel

model, the Thorp propagation model and the Bellhop propagation model (Available as an addition).

All of the Propagation Models follow the same simple interface in `ns3::UanPropModel` . The propagation models

provide a power delay profile (PDP) and pathloss information. The PDP is retrieved using the `GetPdp` method which

returns type `UanPdp`. `ns3::UanPdp` utilises a tapped delay line model for the acoustic channel. The `UanPdp` class is

a container class for Taps, each tap has a delay and amplitude member corresponding to the time of arrival (relative

to the first tap arrival time) and amplitude. The propagation model also provides pathloss between the source and

receiver in dB re 1uPa. The PDP and pathloss can then be used to find the received signal power over a duration

of time (i.e. received signal power in a symbol duration and ISI which interferes with neighbouring signals). Both

UanPropModelIdeal and UanPropModelThorp return a single impulse for a PDP.

a) Ideal Channel Model ns3::UanPropModelIdeal

The ideal channel model assumes 0 pathloss inside a cylindrical area with bounds set by attribute.

The ideal channel

model also assumes an impulse PDP.

b) Thorp Propagation Model ns3::UanPropModelThorp

The Thorp Propagation Model calculates pathloss using the well-known Thorp approximation. This model is similar

to the underwater channel model implemented in ns2 as described here:

Harris, A. F. and Zorzi, M. 2007. Modeling the underwater acoustic channel in ns2. In Proceedings of the 2nd

international Conference on Performance Evaluation Methodologies and Tools (Nantes, France, October 22 - 27,

2007). ValueTools, vol. 321. ICST (Institute for Computer Sciences Social-Informatics and Telecommunications

Engineering), ICST, Brussels, Belgium, 1-8.

The frequency used in calculation however, is the center frequency of the modulation as found from ns3::UanTxMode.

The Thorp Propagation Model also assumes an impulse channel response.

c) Bellhop Propagation Model ns3::UanPropModelBh (Available as an addition)

The Bellhop propagation model reads propagation information from a database. A configuration file describing the

location, and resolution of the archived information must be supplied via attributes. We have included a utility, create-

dat, which can create these data files using the Bellhop Acoustic Ray Tracing software (<http://oalib.hlsresearch.com/>).

The create-dat utility requires a Bellhop installation to run. Bellhop takes environment information about the channel,

such as sound speed profile, surface height bottom type, water depth, and uses a Gaussian ray tracing algorithm to

determine propagation information. Arrivals from Bellhop are grouped together into equal length taps (the arrivals

in a tap duration are coherently summed). The maximum taps are then aligned to take the same position in the PDP.

The create-dat utility averages together several runs and then normalizes the average such that the sum of all taps is 1.

The same configuration file used to create the data files using create-dat should be passed via attribute to the Bellhop

Propagation Model.

The Bellhop propagation model is available as a patch. The link address will be made available here when it is posted

online. Otherwise email [lentracy@gmail.com](mailto:lentracy@gmail.com) for more information.

UAN PHY Model Overview

The PHY has been designed to allow for relatively easy extension to new networking scenarios. We feel this is

important as, to date, there has been no commonly accepted network level simulation model for underwater networks.

The lack of commonly accepted network simulation tools has resulted in a wide array of simulators and models used



to report results in literature. The lack of standardization makes comparing results nearly impossible.

The main component of the PHY Model is the generic PHY class, `ns3::UanPhyGen`. The PHY class's general responsibility is to handle packet acquisition, error determination, and forwarding of successful packets up to the MAC layer. The Generic PHY uses two models for determination of signal to noise ratio (SINR) and packet error rate (PER). The combination of the PER and SINR models determine successful reception of packets. The PHY model connects to the channel via a Transducer class. The Transducer class is responsible for tracking all arriving packets and departing packets over the duration of the events. How the PHY class and the PER and SINR models respond to packets is based on the "Mode" of the transmission as described by the `ns3::UanTxMode` class. When a MAC layer sends down a packet to the PHY for transmission it specifies a "mode number" to be used for the transmission. The PHY class accepts, as an attribute, a list of supported modes. The mode number corresponds to an index in the supported modes. The `UanTxMode` contains simple modulation information and a unique string id. The generic PHY class will only acquire arriving packets which use a mode which is in the supported modes list of the PHY. The mode along with received signal power, and other pertinent attributes (e.g. possibly interfering packets and their modes) are passed to the SINR and PER models for calculation of SINR and probability of error. Several simple example PER and SINR models have been created. a) The PER models - Default (simple) PER model (`ns3::UanPhyPerGenDefault`): The Default PER model tests the packet against a threshold and assumes error (with prob. 1) if the SINR is below the threshold or success if the SINR is above the threshold - Micromodem FH-FSK PER ( `ns3::UanPhyPerUmodem` ). The FH-FSK PER model calculates probability of error assuming a rate 1/2 convolutional code with constraint length 9 and a CRC check capable of correcting up to 1 bit error. This is similar to what is used in the receiver of the WHOI Micromodem. b) SINR models - Default Model ( `ns3::UanPhyCalcSinrDefault` ), The default SINR model assumes that all transmitted energy is captured at the receiver and that there is no ISI. Any received signal power from interferes acts as additional ambient noise. - FH-FSK SINR Model ( `ns3::UanPhyCalcSinrFhFsk` ), The WHOI Micromodem operating in FH-FSK mode uses a predetermined hopping pattern that is shared by all nodes in the network. We model this by only including signal energy receiving within one symbol time (as given by `ns3::UanTxMode` ) in calculating the received signal power. A channel clearing time is given to the FH-FSK SINR model via attribute. Any signal energy arriving in adjacent signals (after a symbol time and the clearing time) is considered ISI and is treated as additional ambient noise. Interfering signal arrivals inside a symbol time (any symbol

time) is also counted

as additional ambient noise - Frequency filtered SINR ( ns3::UanPhyCalcSinrDual ). This SINR model calculates

SINR in the same manner as the default model. This model however only considers interference if there is an overlap

in frequency of the arriving packets as determined by UanTxMode.

In addition to the generic PHY a dual phy layer is also included ( ns3::UanPhyDual ). This wraps two generic phy

layers together to model a net device which includes two receivers. This was primarily developed for UanMacRc,

described in the next section.

#### UAN MAC Model Overview

Over the last several years there have been a myriad of underwater MAC proposals in the literature.

We have included

similar in nature to the IEEE 802.11 DCF. Nodes have a constant contention window measured in slot times (configured

via attribute). If the channel is sensed busy, then nodes backoff by randomly (uniform distribution) choose a slot to

transmit in. The slot time durations are also configured via attribute. This MAC was described in

Parrish N.; Tracy L.; Roy S. Arabshahi P.; and Fox, W., System Design Considerations for Undersea Networks:

Link and Multiple Access Protocols , IEEE Journal on Selected Areas in Communications (JSAC), Special Issue on

Underwater Wireless Communications and Networks, Dec. 2008.

b) RC-MAC ( ns3::UanMacRc ns3::UanMacRcGw ) a reservation channel protocol which dynamically divides the

available bandwidth into a data channel and a control channel. This MAC protocol assumes there is a gateway node

which all network traffic is destined for. The current implementation assumes a single gateway and a single network

neighborhood (a single hop network). RTS/CTS handshaking is used and time is divided into cycles.

Non-gateway

nodes transmit RTS packets on the control channel in parallel to data packet transmissions which were scheduled

in the previous cycle at the start of a new cycle, the gateway responds on the data channel with a CTS packet which

includes packet transmission times of data packets for received RTS packets in the previous cycle as well as bandwidth

allocation information. At the end of a cycle ACK packets are transmitted for received data packets.

When a publication is available it will be cited here.

c) Simple ALOHA ( ns3::UanMacAloha ) Nodes transmit at will.

#### AUV mobility models

The AUV mobility models have been designed as in the follows.

##### Use cases

The user will be able to:

- program the AUV to navigate over a path of waypoints
- control the velocity of the AUV
- control the depth of the AUV
- control the direction of the AUV
- control the pitch of the AUV
- tell the AUV to emerge or submerge to a specified depth

## AUV mobility models design

Implement a model of the navigation of AUV . This involves implementing two classes modelling the two major cate-

gories of AUVs: electric motor propelled (like REMUS class<sup>34</sup>) and “sea gliders”<sup>5</sup>. The classic AUVs are submarine-

like devices, propelled by an electric motor linked with a propeller. Instead, the “sea glider” class exploits small

changes in its buoyancy that, in conjunction with wings, can convert vertical motion to horizontal.

So, a glider will

reach a point into the water by describing a “saw-tooth” movement. Modelling the AUV navigation, involves in con-

sidering a real-world AUV class thus, taking into account maximum speed, directional capabilities, emerging and

submerging times. Regarding the sea gliders, it is modelled the characteristic saw-tooth movement, with AUV’s speed

driven by buoyancy and glide angle.

Anns3::AuvMobilityModel interface has been designed to give users a generic interface to access AUV’s navi-

gation functions. The AuvMobilityModel interface is implemented by the RemusMobilityModel and the GliderMo-

bilityModel classes. The AUV’s mobility models organization it is shown in AUV’s mobility model classes overview .

Both models use a constant velocity movement, thus the AuvMobilityModel interface derives from the ConstantVe-

locityMobilityModel. The two classes hold the navigation parameters for the two different AUVs, like maximum pitch

angles, maximum operating depth, maximum and minimum speed values. The Glider model holds also some extra

parameters like maximum buoyancy values, and maximum and minimum glide slopes. Both classes, RemusMobility-

Model and GliderMobilityModel, handle also the AUV power consumption, utilizing the relative power models. Has

been modified the WaypointMobilityModel to let it use a generic underlying ConstantVelocityModel to validate the

waypoints and, to keep trace of the node’s position. The default model is the classic

ConstantVelocityModel but, for

example in case of REMUS mobility model, the user can install the AUV mobility model into the waypoint model and

then validating the waypoints against REMUS navigation constraints.

## Energy models

The energy models have been designed as in the follows.

### Use cases

The user will be able to:

- use a specific power profile for the acoustic modem
- use a specific energy model for the AUV
- trace the power consumption of AUV navigation, through AUV’s energy model
- trace the power consumption underwater acoustic communications, through acoustic modem power profile

We have integrated the Energy Model with the UAN module, to implement energy handling. We have implemented a

specific energy model for the two AUV classes and, an energy source for Lithium batteries. This will

be really useful

for researchers to keep trace of the AUV operational life. We have implemented also an acoustic modem power profile,

to keep trace of its power consumption. This can be used to compare protocols specific power performance. In order

to use such power profile, the acoustic transducer physical layer has been modified to use the modem power profile.

We have decoupled the physical layer from the transducer specific energy model, to let the users change the different

energy models without changing the physical layer.

AUV energy models

Basing on the Device Energy Model interface, it has been implemented a specific energy model for the two AUV

classes (REMUS and Seaglider). This models reproduce the AUV's specific power consumption to give users accurate

information. This model can be naturally used to evaluates the AUV operating life, as well as mission-related power

consumption, etc. Have been developed two AUV energy models:

- GliderEnergyModel, computes the power consumption of the vehicle based on the current buoyancy value and vertical speed<sup>5</sup>
- RemusEnergyModel, computes the power consumption of the vehicle based on the current speed, as it is propelled by a brush-less electric motor

Note: TODO extend a little bit

AUV energy sources

Note: [TODO]

Acoustic modem energy model

Basing on the Device Energy Model interface, has been implemented a generic energy model for acoustic modem. The

model allows to trace four modem's power-states: Sleep, Idle, Receiving, Transmitting. The default parameters for

the energy model are set to fit those of the WHOI ■-modem. The class follows pretty closely the RadioEnergyModel

class as the transducer behaviour is pretty close to that of a Wi-Fi radio.

The default power consumption values implemented into the model are as follows<sup>6</sup>:

Modem State Power Consumption

RX 158 mW

Idle 158 mW

Sleep 5.8 mW

UAN module energy modifications

The UAN module has been modified in order to utilize the implemented energy classes. Specifically, it has been

modified the physical layer of the UAN module. It Has been implemented an UpdatePowerConsumption method that

takes the modem's state as parameter. It checks if an energy source is installed into the node and, in case, it then

use the AcousticModemEnergyModel to update the power consumption with the current modem's state. The modem

power consumption's update takes place whenever the modem changes its state.

A user should take into account that, if the power consumption handling is enabled (if the node has

an energy source

installed), all the communications processes will terminate whether the node depletes all the energy source.

Li-Ion batteries model

A generic Li-Ion battery model has been implemented based on [78]. The model can be fitted to any type of Li-Ion

battery simply changing the model's parameters. The default values are fitted for the Panasonic CGR18650DA Li-Ion

Battery [9]. [TODO insert figure] As shown in figure the model approximates very well the Li-Ion cells.

Regarding

Seagliders, the batteries used into the AUV are Electrochem 3B36 Lithium / Sulfuryl Chloride cells [10]. Also with this

cell type, the model seems to approximate the different discharge curves pretty well, as shown in the figure.

The framework is designed to simulate AUV's behaviour. We have modeled the navigation and power consumption

behaviour of REMUS class and Seaglider AUVs. The communications stack, associated with the AUV, can be modified

[9] Panasonic CGR18650DA Datasheet, URL:

[http://www.panasonic.com/industrial/includes/pdf/Panasonic\\_LiIon\\_CGR18650DA.pdf](http://www.panasonic.com/industrial/includes/pdf/Panasonic_LiIon_CGR18650DA.pdf)

[10] Electrochem 3B36 Datasheet, URL:

<http://www.electrochem.com.cn/products/Primary/HighRate/CSC/3B36.pdf>

being used, composed of an half duplex

acoustic modem, an Aloha MAC protocol and a generic physical layer.

Regarding the AUV energy consumption, the user should be aware that the level of accuracy differs for the two classes:

- Seaglider, high level of accuracy, thanks to the availability of detailed information on AUV's components and

behaviour [51]. Have been modeled both the navigation power consumption and the Li battery packs (according to [5]).

- REMUS, medium level of accuracy, due to the lack of publicly available information on AUV's components.

We have approximated the power consumption of the AUV's motor with a linear behaviour and, the energy

source uses an ideal model (BasicEnergySource) with a power capacity equal to that specified in [4].

Some ideas could be :

- insert a data logging capability
- modify the framework to use sockets (enabling the possibility to use applications)
- introduce some more MAC protocols
- modify the physical layer to let it consider the Doppler spread (problematic in underwater environments)
- introduce OFDM modulations

The main way that users who write simulation scripts will typically interact with the UAN Framework is through the

helper API and through the publicly visible attributes of the model.

The helper API is defined in `src/uan/helper/acoustic-modem-energy-model-helper.{cc,h}` and in `src/uan/helper/...{cc,h}`.

The example folder `src/uan/examples/` contain some basic code that shows how to set up and use the models.

further examples can be found into the Unit tests in src/uan/test/...cc

Examples of the Framework's usage can be found into the examples folder. There are mobility related examples and UAN related ones.

#### Mobility Model Examples

- auv-energy-model** :In this example we show the basic usage of an AUV energy model. Specifically, we show how to create a generic node, adding to it a basic energy source and consuming energy from the energy source. In this example we show the basic usage of an AUV energy model.

The Seaglider AUV power consumption depends on buoyancy and vertical speed values, so we simulate a 20 seconds movement at 0.3 m/s of vertical speed and 138g of buoyancy. Then a 20 seconds movement at 0.2 m/s of vertical speed and 138g of buoyancy and then a stop of 5 seconds.

The required energy will be drained by the model basing on the given buoyancy/speed values, from the energy source installed onto the node. We finally register a callback to the TotalEnergyConsumption traced value.

- auv-mobility** :In this example we show how to use the AuvMobilityHelper to install an AUV mobility model

into a (set of) node. Then we make the AUV to submerge to a depth of 1000 meters. We then set a callback

function called on reaching of the target depth. The callback then makes the AUV to emerge to water surface (0 meters). We set also a callback function called on reaching of the target depth. The emerge

callback then, stops the AUV .

During the whole navigation process, the AUV's position is tracked by the TracePos function and plotted

into a Gnuplot graph.

- waypoint-mobility** :We show how to use the WaypointMobilityModel with a non-standard ConstantVelocityMobilityModel. We first create a waypoint model with an underlying RemusMobilityModel setting the mobility trace with two waypoints. We then create a waypoint model with an underlying GliderMobility-

Model setting the waypoints separately with the AddWaypoint method. The AUV's position is printed out

every seconds.

#### UAN Examples

- li-ion-energy-source** In this simple example, we show how to create and drain energy from a LilonEnergySource. We make a series of discharge calls to the energy source class, with different current drain and durations, until all the energy is depleted from the cell (i.e. the voltage of the cell goes below the threshold

level). Every 20 seconds we print out the actual cell voltage to verify that it follows the discharge curve.

At the end of the example it is verified that after the energy depletion call, the cell voltage is below the threshold voltage.

- uan-energy-auv** This is a comprehensive example where all the project's components are used. We setup two

nodes, one fixed surface gateway equipped with an acoustic modem and a moving Seaglider AUV with an acoustic modem too. Using the waypoint mobility model with an underlying GliderMobilityModel, we make the glider descend to -1000 meters and then emerge to the water surface. The AUV sends a generic

17-bytes packet every 10 seconds during the navigation process. The gateway receives the packets and stores the total bytes amount. At the end of the simulation are shown the energy consumptions of the two nodes and the networking stats.

In this section we give an overview of the available helpers and their behaviour.

#### AcousticModemEnergyModelHelper

This helper installs AcousticModemEnergyModel into UanNetDevice objects only. It requires an UanNetDevice and an EnergySource as input objects.

The helper creates an AcousticModemEnergyModel with default parameters and associate it with the given energy source. It configures an EnergyModelCallback and an EnergyDepletionCallback. The depletion callback can be configured as a parameter.

#### AuvGliderHelper

Installs into a node (or set of nodes) the Seaglider's features:

- waypoint model with underlying glider mobility model
- glider energy model
- glider energy source
- micro modem energy model

The glider mobility model is the GliderMobilityModel with default parameters. The glider energy model is the GliderEnergyModel with default parameters.

Regarding the energy source, the Seaglider features two battery packs, one for motor power and one for digital-analog power. Each pack is composed of 12 (10V) and 42 (24V) lithium chloride DD-cell batteries, respectively<sup>5</sup>. The total power capacity is around 17.5 MJ (3.9 MJ + 13.6 MJ). In the original version of the Seaglider there was 18 + 63 D-cell with a total power capacity of 10MJ.

The packs design is as follows:

- 10V - 3 in-series string x 4 strings = 12 cells - typical capacity ~100 Ah
- 24V - 7 in-series-strings x 6 strings = 42 cells - typical capacity ~150 Ah

Battery cells are Electrochem 3B36, with 3.6 V nominal voltage and 30.0 Ah nominal capacity. The 10V battery pack

is associated with the electronic devices, while the 24V one is associated with the pump motor.

The micro modem energy model is the MicroModemEnergyModel with default parameters.

#### AuvRemusHelper

Install into a node (or set of nodes) the REMUS features:

- waypoint model with REMUS mobility model validation
- REMUS energy model
- REMUS energy source
- micro modem energy model

The REMUS mobility model is the RemusMobilityModel with default parameters. The REMUS energy model is the

RemusEnergyModel with default parameters.

Regarding the energy source, the REMUS features a rechargeable lithium ion battery pack rated 1.1 kWh @ 27 V (40

Ah) in operating conditions (specifications from<sup>3</sup>and Hydroinc European salesman). Since more detailed information

about battery pack were not publicly available, the energy source used is a BasicEnergySource.

The micro modem energy model is the MicroModemEnergyModel with default parameters.

Note: TODO

Note: TODO

Note: TODO

Note: TODO

This model has been tested with three UNIT test:

- auv-energy-model
- auv-mobility
- li-ion-energy-source

Includes test cases for single packet energy consumption, energy depletion, Glider and REMUS energy consumption.

The unit test can be found in src/uan/test/auv-energy-model-test.cc .

The single packet energy consumption test do the following:

- creates a two node network, one surface gateway and one fixed node at -500 m of depth
- install the acoustic communication stack with energy consumption support into the nodes
- a packet is sent from the underwater node to the gateway
- it is verified that both, the gateway and the fixed node, have consumed the expected amount of energy from their sources

The energy depletion test do the following steps:

- create a node with an empty energy source
- try to send a packet
- verify that the energy depletion callback has been invoked

The Glider energy consumption test do the following:

- create a node with glider capabilities
- make the vehicle to move to a predetermined waypoint
- verify that the energy consumed for the navigation is correct, according to the glider specifications

The REMUS energy consumption test do the following:

- create a node with REMUS capabilities
- make the vehicle to move to a predetermined waypoint
- verify that the energy consumed for the navigation is correct, according to the REMUS specifications

Includes test cases for glider and REMUS mobility models. The unit test can be found in src/uan/test/

auv-mobility-test.cc .

- create a node with glider capabilities
- set a specified velocity vector and verify if the resulting buoyancy is the one that is supposed to be
- make the vehicle to submerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be
- make the vehicle to emerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be
- make the vehicle to navigate to a specified point, using direction, pitch and speed settings and, verify if at the end of the process the position is the one that is supposed to be
- make the vehicle to navigate to a specified point, using a velocity vector and, verify if at the end of the process the position is the one that is supposed to be



The REMUS mobility model test do the following: \* create a node with glider capabilities \* make the vehicle to submerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be \*

make the vehicle to emerge to a specified depth and verify if, at the end of the process the position is the one that is supposed to be \* make the vehicle to navigate to a specified point, using direction, pitch and speed settings and, verify

if at the end of the process the position is the one that is supposed to be \* make the vehicle to navigate to a specified point, using a velocity vector and, verify if at the end of the process the position is the one that is supposed to be

Includes test case for Li-Ion energy source. The unit test can be found in `src/energy/test/li-ion-energy-source-test.cc`.

The test case verify that after a well-known discharge time with constant current drain, the cell voltage has followed the datasheet discharge curve<sup>9</sup>.

ns-3 nodes can contain a collection of NetDevice objects, much like an actual computer contains separate interface

WifiNetDevice objects to ns-3 nodes, one can create models of 802.11-based infrastructure and ad hoc networks.

The WifiNetDevice models a wireless network interface controller based on the IEEE 802.11 standard [ieee80211].

We will go into more detail below but in brief, ns-3 provides models for these aspects of 802.11:

- basic 802.11 DCF with infrastructure and ad hoc modes
- 802.11a, 802.11b, 802.11g, 802.11n (both 2.4 and 5 GHz bands), 802.11ac, 802.11ax (2.4, 5 and 6 GHz bands) and 802.11be physical layers
- MSDU aggregation and MPDU aggregation extensions of 802.11n, and both can be combined together (two-level aggregation)
- 802.11ax DL OFDMA and UL OFDMA (including support for the MU EDCA Parameter Set)
- 802.11be Multi-link discovery and setup
- QoS-based EDCA and queueing extensions of 802.11e

Propagation for more detail

- packet error models and frame detection models that have been validated against link simulations and other references

- various rate control algorithms including Aarf, Arf, Cara, Onoe, Rraa, ConstantRate, Minstrel and Minstrel-HT

The set of 802.11 models provided in ns-3 attempts to provide an accurate MAC-level implementation of the

802.11 specification and to provide a packet-level abstraction of the PHY-level for different PHYs, corresponding

to 802.11a/b/e/g/n/ac/ax/be specifications.

In ns-3, nodes can have multiple WifiNetDevices on separate channels, and the WifiNetDevice can coexist with other

device types. With the use of the SpectrumWifiPhy framework, one can also build scenarios involving cross-channel

interference or multiple wireless technologies on a single channel.

The source code for the WifiNetDevice and its models lives in the directory `src/wifi`.

The implementation is modular and provides roughly three sublayers of models:

- the PHY layer models : they model amendment-specific and common PHY layer operations and functions.
- the so-called MAC low models : they model functions such as medium access (DCF and EDCA), frame protection (RTS/CTS) and acknowledgment (ACK/BlockAck). In ns-3, the lower-level MAC is comprised of a Frame

Exchange Manager hierarchy, a Channel Access Manager and a MAC middle entity.

- the so-called MAC high models : they implement non-time-critical processes in Wifi such as the MAC-level beacon generation, probing, and association state machines, and a set of Rate control algorithms . In the literature, this sublayer is sometimes called the upper MAC and consists of more software-oriented implementations

vs. time-critical hardware implementations.

Next, we provide a design overview of each layer, shown in Figure WifiNetDevice architecture . For 802.11be Multi-

Link Devices (MLDs), there are many instances of WifiPhy, FrameExchangeManager and ChannelAccessManager as

the number of links.

MAC high models

There are presently three MAC high models that provide for the three (non-mesh; the mesh equivalent, which is a

sibling of these with common parent ns3::WifiMac , is not discussed here) Wi-Fi topological elements

- Access Point

(AP) (ns3::ApWifiMac ), non-AP Station (STA) ( ns3::StaWifiMac ), and STA in an Independent Basic Service Set

(IBSS) - also commonly referred to as an ad hoc network ( ns3::AdhocWifiMac ).

The simplest of these is ns3::AdhocWifiMac , which implements a Wi-Fi MAC that does not perform any kind

of beacon generation, probing, or association. The ns3::StaWifiMac class implements an active probing and

association state machine that handles automatic re-association whenever too many beacons are missed. Finally,

ns3::ApWifiMac implements an AP that generates periodic beacons, and that accepts every attempt to associate.

These three MAC high models share a common parent in ns3::WifiMac , which exposes, among other MAC config-

uration, an attribute QosSupported that allows configuration of 802.11e/WMM-style QoS support.

There are also several rate control algorithms that can be used by the MAC low layer. A complete list of available

rate control algorithms is provided in a separate section.

MAC low layer

The MAC low layer is split into three main components:

1. ns3::FrameExchangeManager a class hierarchy which implement the frame exchange sequences introduced

by the supported IEEE 802.11 amendments. It also handles frame aggregation, frame retransmissions, protection

and acknowledgment.

2. ns3::ChannelAccessManager which implements the DCF and EDCAF functions.

3. ns3::Txop and ns3::QosTxop which handle the packet queue. The ns3::Txop object is used by high MACs that are not QoS-enabled, and for transmission of frames (e.g., of type Management) that the

standard says

should access the medium using the DCF. ns3::QoSTxop is used by QoS-enabled high MACs.

PHY layer models

In short, the physical layer models are mainly responsible for modeling the reception of packets and for tracking

energy consumption. There are typically three main components to packet reception:

- each packet received is probabilistically evaluated for successful or failed reception. The probability depends on the modulation, on the signal to noise (and interference) ratio for the packet, and on the state of the physical layer (e.g. reception is not possible while transmission or sleeping is taking place);
- an object exists to track (bookkeeping) all received signals so that the correct interference power for each packet can be computed when a reception decision has to be made; and
- one or more error models corresponding to the modulation and standard are used to look up probability of successful reception.

ns-3 offers users a choice between two physical layer models, with a base interface defined in the ns3::WifiPhy

class. The YansWifiPhy class implements a simple physical layer model, which is described in a paper entitled Yet

Another Network Simulator The acronym Yans derives from this paper title. The SpectrumWifiPhy class is a more

advanced implementation based on the Spectrum framework used for other ns-3 wireless models.

Spectrum allows a

fine-grained frequency decomposition of the signal, and permits scenarios to include multiple technologies coexisting on the same channel.

The IEEE 802.11 standard [ieee80211] is a large specification, and not all aspects are covered by ns-3; the docu-

mentation of ns-3's conformance by itself would lead to a very long document. This section attempts to summarize

compliance with the standard and with behavior found in practice.

The physical layer and channel models operate on a per-packet basis, with no frequency-selective propagation nor

interference effects when using the default YansWifiPhy model. Directional antennas are also not supported at this

time. For additive white Gaussian noise (AWGN) scenarios, or wideband interference scenarios, performance is

governed by the application of analytical models (based on modulation and factors such as channel width) to the

received signal-to-noise ratio, where noise combines the effect of thermal noise and of interference from other Wi-

Fi packets. Interference from other wireless technologies is only modeled when the SpectrumWifiPhy is used. The

following details pertain to the physical layer and channel models:

- 802.11n/ac/ax/be beamforming is not supported
- 802.11n RIFS is not supported
- 802.11 PCF/HCF/HCCA are not implemented
- Channel Switch Announcement is not supported
- Authentication and encryption are missing

- Processing delays are not modeled
- Cases where RTS/CTS and ACK are transmitted using HT/VHT/HE/EHT formats are not supported
- Energy consumption model does not consider MIMO
- 802.11ax preamble puncturing is supported by the PHY but is currently not exploited by the MAC
- Only minimal MU-MIMO is supported (ideal PHY assumed, no MAC layer yet)

At the MAC layer, most of the main functions found in deployed Wi-Fi equipment for 802.11a/b/e/g/n/ac/ax/be are

implemented, but there are scattered instances where some limitations in the models exist. Support for 802.11n, ac, ax and be is evolving.

Some implementation choices that are not imposed by the standard are listed below:

- BSSBasicRateSet for 802.11b has been assumed to be 1-2 Mbit/s
- BSSBasicRateSet for 802.11a/g has been assumed to be 6-12-24 Mbit/s
- OperationalRateSet is assumed to contain all mandatory rates (see issue 183)
- The wifi manager always selects the lowest basic rate for management frames.

The remainder of this section is devoted to more in-depth design descriptions of some of the Wi-Fi models. Users

interested in skipping to the section on usage of the wifi module ( User Documentation ) may do so at this point. We

organize these more detailed sections from the bottom-up, in terms of layering, by describing the channel and PHY

models first, followed by the MAC models.

We focus first on the choice between physical layer frameworks. ns-3 contains support for a Wi-Fi-only physical layer

model called YansWifiPhy that offers no frequency-level decomposition of the signal. For simulations that involve

only Wi-Fi signals on the Wi-Fi channel, and that do not involve frequency-dependent propagation loss or fading

models, the default YansWifiPhy framework is a suitable choice. For simulations involving mixed technologies on the

same channel, or frequency dependent effects, the SpectrumWifiPhy is more appropriate. The two frameworks are

very similarly configured.

The SpectrumWifiPhy framework uses the Spectrum Module channel framework.

The YansWifiChannel is the only concrete channel model class in the ns-3 wifi module. The

ns3::YansWifiChannel implementation uses the propagation loss and delay models provided within the ns-3 Prop-

agation module. In particular, a number of propagation models can be added (chained together, if multiple loss models

are added) to the channel object, and a propagation delay model also added. Packets sent from a ns3::YansWifiPhy

object onto the channel with a particular signal power, are copied to all of the other ns3::YansWifiPhy objects after

the signal power is reduced due to the propagation loss model(s), and after a delay corresponding to transmission (se-

rialization) delay and propagation delay due to any channel propagation delay model (typically due to speed-of-light

delay between the positions of the devices).

Only objects of ns3::YansWifiPhy may be attached to a ns3::YansWifiChannel ; therefore, objects modeling

other (interfering) technologies such as LTE are not allowed. Furthermore, packets from different

channels do not

interact; if a channel is logically configured for e.g. channels 5 and 6, the packets do not cause adjacent channel

interference (even if their channel numbers overlap).

WifiPhy and related models

Thens3::WifiPhy is an abstract base class representing the 802.11 physical layer functions. Packets passed to this

object (via a Send() method) are sent over a channel object, and upon reception, the receiving PHY object decides

(based on signal power and interference) whether the packet was successful or not. This class also provides a number

of callbacks for notifications of physical layer events, exposes a notion of a state machine that can be monitored

for MAC-level processes such as carrier sense, and handles sleep/wake/off models and energy consumption. The

ns3::WifiPhy hooks to the ns3::FrameExchangeManager object in the WifiNetDevice.

There are currently two implementations of the WifiPhy : the ns3::YansWifiPhy and the

ns3::SpectrumWifiPhy . They each work in conjunction with five other objects:

- PhyEntity : Contains the amendment-specific part of the PHY processing
- WifiPpdu : Models the amendment-specific PHY protocol data unit (PPDU)
- WifiPhyStateHelper : Maintains the PHY state machine
- InterferenceHelper : Tracks all packets observed on the channel
- ErrorModel : Computes a probability of error for a given SNR

PhyEntity

A bit of background

Some restructuring of ns3::WifiPhy and ns3::WifiMode (among others) was necessary considering the size and

complexity of the corresponding files. In addition, adding and maintaining new PHY amendments had become a

complex task (especially those implemented inside other modules, e.g. DMG). The adopted solution was to have

PhyEntity classes that contain the “clause” specific (i.e. HT/VHT/HE/EHT etc) parts of the PHY process.

The notion of “PHY entity” is in the standard at the beginning of each PHY layer description clause, e.g. section

21.1.1 of IEEE 802.11-2016:

:: Clause 21 specifies the PHY entity for a very high throughput (VHT) orthogonal frequency division multiplexing

(OFDM) system.

Note that there is already such a name inside the wave module (e.g. “WaveNetDevice::AddPhy”) to designate the

WifiPhys on each 11p channel, but the wording is only used within the classes and there is no file using that name, so

no ambiguity in using the name for 802.11 amendments.

Architecture

The abstract base class ns3::PhyEntity enables to have a unique set of APIs to be used by each PHY entity,

corresponding to the different amendments of the IEEE 802.11 standard. The currently implemented PHY entities are:

- ns3::DsssPhy : PHY entity for DSSS and HR/DSSS (11b)
- ns3::OfdmPhy : PHY entity for OFDM (11a and 11p)

- ns3::ErpOfdmPhy : PHY entity for ERP-OFDM (11g)
- ns3::HtPhy : PHY entity for HT (11n)
- ns3::VhtPhy : PHY entity for VHT (11ac)
- ns3::HePhy : PHY entity for HE (11ax)
- ns3::EhtPhy : PHY entity for EHT (11be)

Their inheritance diagram is given in Figure PhyEntity hierarchy and closely follows the standard's logic, e.g. section

21.1.1 of IEEE 802.11-2016:

:: The VHT PHY is based on the HT PHY defined in Clause 19, which in turn is based on the OFDM PHY defined

in Clause 17.

Such an architecture enables to handle the following operations in an amendment- specific manner:

- WifiMode handling and data/PHY rate computation,
- PPDU field size and duration computation, and
- Transmit and receive paths.

WifiPpdu

In the same vein as PhyEntity , then ns3::WifiPpdu base class has been specialized into the following amendment-

specific PPDU's:

- ns3::DsssPpdu : PPDU for DSSS and HR/DSSS (11b)
- ns3::OfdmPpdu : PPDU for OFDM (11a and 11p)
- ns3::ErpOfdmPpdu : PPDU for ERP-OFDM (11g)
- ns3::HtPpdu : PPDU for HT (11n)
- ns3::VhtPpdu : PPDU for VHT (11ac)
- ns3::HePpdu : PPDU for HE (11ax)
- ns3::EhtPpdu : PPDU for EHT (11be)

Their inheritance diagram is given in Figure WifiPpdu hierarchy and closely follows the standard's logic, e.g. section

21.3.8.1 of IEEE 802.11-2016:

:: To maintain compatibility with non-VHT STAs, specific non-VHT fields are defined that can be received by non-

VHT STAs compliant with Clause 17 [OFDM] or Clause 19 [HT].

YansWifiPhy and WifiPhyStateHelper

Class ns3::YansWifiPhy is responsible for taking packets passed to it from the MAC (the ns3::FrameExchangeManager object) and sending them onto the ns3::YansWifiChannel to which it is attached. It is also responsible to receive packets from that channel, and, if reception is deemed to have been

successful, to pass them up to the MAC.

The energy of the signal intended to be received is calculated from the transmission power and adjusted based on the

Tx gain of the transmitter, Rx gain of the receiver, and any path loss propagation model in effect.

Class ns3::WifiPhyStateHelper manages the state machine of the PHY layer, and allows other objects to hook

listeners to monitor PHY state. The main use of listeners is for the MAC layer to know when the PHY is busy or

not (for transmission and collision avoidance).

The PHY layer can be in one of these states:

1. TX: the PHY is currently transmitting a signal on behalf of its associated MAC
2. RX: the PHY is synchronized on a signal and is waiting until it has received its last bit to forward it to the MAC.
3. CCA\_BUSY: the PHY is issuing a PHY-CCA.indication(BUSY) indication for the primary channel.

4. IDLE: the PHY is not in the TX, RX, or CCA\_BUSY states.
5. SWITCHING: the PHY is switching channels.
6. SLEEP: the PHY is in a power save mode and cannot send nor receive frames.
7. OFF: the PHY is powered off and cannot send nor receive frames.

Packet reception works as follows. For YansWifiPhy, most of the logic is implemented in the WifiPhy base class. The YansWifiChannel calls `WifiPhy::StartReceivePreamble()`. The latter calls `PhyEntity::StartReceivePreamble()` of the appropriate PHY entity to start packet reception, but first

there is a check of the packet's notional signal power level against a threshold value stored in the attribute

`WifiPhy::RxSensitivity`. Any packet with a power lower than `RxSensitivity` will be dropped with no further

processing. The default value is -101 dBm, which is the thermal noise floor for 20 MHz signal at room temperature.

The purpose of this attribute is two-fold: 1) very weak signals that will not affect the outcome will otherwise consume

simulation memory and event processing, so they are discarded, and 2) this value can be adjusted upwards to function

as a basic carrier sense threshold limitation for experiments involving spatial reuse

considerations. Users are cautioned

about the behavior of raising this threshold; namely, that all packets with power below this threshold will be discarded

upon reception.

In `StartReceivePreamble()`, the packet is immediately added to the interference helper for signal-to-noise

tracking, and then further reception steps are decided upon the state of the PHY. In the case that the PHY

is transmitting, for instance, the packet will be dropped. If the PHY is IDLE, or if the PHY is receiv-

ing and an optional `FrameCaptureModel` is being used (and the packet is within the capture window), then

`PhyEntity::StartPreambleDetectionPeriod()` is called next.

`ThePhyEntity::StartPreambleDetectionPeriod()` will typically schedule an event,

`PhyEntity::EndPreambleDetectionPeriod()`, to occur at the notional end of the first OFDM symbol,

to check whether the preamble has been detected. As of revisions to the model in ns-3.30, any state machine

transitions from IDLE state are suppressed until after the preamble detection event.

`ThePhyEntity::EndPreambleDetectionPeriod()` method will check, with a preamble detection model,

whether the signal is strong enough to be received, and if so, an event `PhyEntity::EndReceiveField()` is

scheduled for the end of the preamble and the PHY is put into the CCA\_BUSY state. Currently, there is only a

simple threshold-based preamble detection model in ns-3, called `ThresholdPreambleDetectionModel`. If there

is no preamble detection model, the preamble is assumed to have been detected. It is important to note that, starting

with the ns-3.30 release, the default in the `WifiPhyHelper` is to add the

`ThresholdPreambleDetectionModel` with

a threshold RSSI of -82 dBm, and a threshold SNR of 4 dB. Both the RSSI and SNR must be above these respective

values for the preamble to be successfully detected. The default sensitivity has been reduced in

ns-3.30 compared with that of previous releases, so some packet receptions that were previously successful will now fail on this check. More details on the modeling behind this change are provided in [lanante2019].

The `PhyEntity::EndReceiveField ()` method will check the correct reception of the current preamble and header field and, if so, calls `PhyEntity::StartReceiveField ()` for the next field, otherwise the reception is aborted and PHY is put either in IDLE state or in CCA\_BUSY state, depending on whether a PHY-CCA.indication(BUSY) is being issued on not for the primary channel .

The next event at `PhyEntity::StartReceiveField ()` checks, using the interference helper and error model, whether the header was successfully decoded, and if so, a `PhyRxPayloadBegin` callback (equivalent to the PHY-RXSTART primitive) is triggered. The PHY header is often transmitted at a lower modulation rate than is the payload.

The portion of the packet corresponding to the PHY header is evaluated for probability of error based on the observed SNR. The `InterferenceHelper` object returns a value for “probability of error (PER)” for this header based on the SNR that has been tracked by the `InterferenceHelper`. The `PhyEntity` then draws a random number from a uniform distribution and compares it against the PER and decides success or failure. This is iteratively performed up to the beginning of the data field upon which `PhyEntity::StartReceivePayload ()` is called.

Even if packet objects received by the PHY are not part of the reception process, they are tracked by the `InterferenceHelper` object for purposes of SINR computation and making clear channel assessment decisions. If, in the course of reception, a packet is errored or dropped due to the PHY being in a state in which it cannot receive a packet, the packet is added to the interference helper, and the aggregate of the energy of all such signals is compared against an energy detection threshold to determine whether the PHY should enter a CCA\_BUSY state.

A PHY-CCA.indication(BUSY) is issued if a signal occupying the primary channel with a received power above `WifiPhy::CcaSensitivity` (defaulted to -82 dBm) has been received by the PHY or if the measured energy on the primary channel is higher than the energy detection threshold `WifiPhy::CcaEdThreshold` (defaulted to -62 dBm).

When channel bonding is used, CCA indication for signals not occupying the primary channel is also reported. Since 802.11ac and above needs to sense CCA sensitivity for secondary channels larger than 20 MHz, CCA sensitivity thresholds can be adjusted per secondary channel width using `VhtConfiguration::SecondaryCcaSensitivityThresholds` attribute.

For 802.11ax and above, and if the operational bandwidth is equal or larger than 40 MHz, each 20 MHz subchannel of the operational bandwidth is being sensed and PHY-CCA.indication also reports a CCA\_BUSY duration indication for each of these 20 MHz subchannel. A zero duration for a given 20 MHz subchannel indicates the 20 MHz subchannel



is IDLE.

The above describes the case in which the packet is a single MPDU. For more recent Wi-Fi standards using MPDU aggregation, StartReceivePayload schedules an event for reception of each individual MPDU (ScheduleEndOfMpdus ), which then forwards each MPDU as they arrive up to FrameExchangeManager, if the reception of the MPDU has been successful. Once the A-MPDU reception is finished, FrameExchangeManager is also notified about the amount of successfully received MPDUs.

#### InterferenceHelper

The InterferenceHelper is an object that tracks all incoming packets and calculates probability of error values for packets being received, and also evaluates whether and for how long energy on the channel rises above a given threshold.

The basic operation of probability of error calculations is shown in Figure SNIR function over time . Packets are

represented as bits (not symbols) in the ns-3 model, and the InterferenceHelper breaks the packet into one or more

“chunks”, each with a different signal to noise (and interference) ratio (SNIR). Each chunk is separately evaluated by

asking for the probability of error for a given number of bits from the error model in use. The InterferenceHelper

builds an aggregate “probability of error” value based on these chunks and their duration, and returns this back to the

WifiPhy for a reception decision.

From the SNIR function we can derive the Bit Error Rate (BER) and Packet Error Rate (PER) for the modulation and

coding scheme being used for the transmission.

If MIMO is used and the number of spatial streams is lower than the number of active antennas at the receiver, then a

gain is applied to the calculated SNIR as follows (since STBC is not used):

$$\text{gain(dB)} = 10 \log(\text{RX antennas spatialstreams})$$

Having more TX antennas can be safely ignored for AWGN. The resulting gain is:

antennas NSS gain

2 x 1 1 0 dB

1 x 2 1 3 dB

(continues on next page)

(continued from previous page)

2 x 2 1 3 dB

3 x 3 1 4.8 dB

3 x 3 2 1.8 dB

3 x 3 3 0 dB

4 x 4 1 6 dB

4 x 4 2 3 dB

4 x 4 3 1.2 dB

4 x 4 4 0 dB

...

#### ErrorRateModel

ns-3 makes a packet error or success decision based on the input received SNR of a frame and based on any possible

interfering frames that may overlap in time; i.e. based on the signal-to-noise (plus interference) ratio, or SINR. The relationship between packet error ratio (PER) and SINR in ns-3 is defined by the `ns3::ErrorRateModel`, of which there are several. The PER is a function of the frame's modulation and coding (MCS), its SINR, and the specific `ErrorRateModel` configured for the MCS.

ns-3 has updated its default `ErrorRateModel` over time. The current (as of ns-3.33 release) model for recent OFDM-based standards (i.e., 802.11n/ac/ax), is the `ns3::TableBasedErrorRateModel`. The default for 802.11a/g is the `ns3::YansErrorRateModel`, and the default for 802.11b is the `ns3::DsssErrorRateModel`.

The error rate model for recent standards was updated during the ns-3.33 release cycle (previously, it was the `ns3::NistErrorRateModel`).

The error models are described in more detail in outside references. The current OFDM model is based on work published in [patidar2017], using link simulations results from the MATLAB WLAN Toolbox, and validated against IEEE TGN results [erceg2004]. For publications related to other error models, please refer to [pei80211ofdm], [pei80211b], [Iacage2006yans], [Haccoun], [hepner2015] and [Frenger] for a detailed description of the legacy PER models.

The current ns-3 error rate models are for additive white gaussian noise channels (AWGN) only; any potential frequency-selective fading effects are not modeled.

In summary, there are four error models:

1. `ns3::TableBasedErrorRateModel` : for OFDM modes and reuses `ns3::DsssErrorRateModel` for 802.11b modes. This is the default for 802.11n/ac/ax.
2. `ns3::YansErrorRateModel` : for OFDM modes and reuses `ns3::DsssErrorRateModel` for 802.11b modes. This is the default for 802.11a/g.
3. `ns3::DsssErrorRateModel` : contains models for 802.11b modes. The 802.11b 1 Mbps and 2 Mbps error models are based on classical modulation analysis. If GNU Scientific Library (GSL) is installed, the 5.5 Mbps and 11 Mbps from [pursley2009] are used for CCK modulation; otherwise, results from a backup MATLAB-based CCK model are used.
4. `ns3::NistErrorRateModel` : for OFDM modes and reuses `ns3::DsssErrorRateModel` for 802.11b modes.

Users may select either NIST, YANS or Table-based models for OFDM, and DSSS will be used in either case for 802.11b. The NIST model was a long-standing default in ns-3 (through release 3.32). `ns3::TableBasedErrorRateModel` has been recently added and is now the ns-3 default for 802.11n/ac/ax, while `ns3::YansErrorRateModel` is the ns-3 default for 802.11a/g.

Unlike analytical error models based on error bounds, `ns3::TableBasedErrorRateModel` contains end-to-end link simulation tables (PER vs SNR) for AWGN channels. Since it is infeasible to generate such look-up tables for all desired packet sizes and input SNRs, we adopt the recommendation of IEEE P802.11 TGax [porat2016] that proposed

estimating PER for any desired packet length using BCC FEC encoding by extrapolating the results from two reference lengths: 32 (all lengths less than 400) bytes and 1458 (all lengths greater or equal to 400) bytes respectively. In case of LDPC FEC encoding, IEEE P802.11 TGax recommends the use of a single reference length. Hence, we provide

simulator (MATLAB WLAN Toolbox) for each modulation and coding scheme. Note that BCC tables are limited to

MCS 9. For higher MCSs, the models fall back to the use of the YANS analytical model.

The validation scenario is set as follows:

1. Ideal channel and perfect channel estimation.
2. Perfect packet synchronization and detection.
3. Phase tracking, phase correction, phase noise, carrier frequency offset, power amplifier non-linearities etc. are not considered.

Several packets are simulated across the link to obtain PER, the number of packets needed to reliably estimate a PER

value is computed using the consideration that the ratio of the estimation error to the true value should be within 10 %

with probability 0.95. For each SNR value, simulations were run until a total of 40000 packets were simulated.

The obtained results are very close to TGax curves as shown in Figure Comparison of table-based OFDM Error Model

with TGax results.

Legacy ErrorRateModels

The original error rate model was called the ns3::YansErrorRateModel and was based on analytical results. For

Mbps model is based on DQPSK. Equation 8 of [ferrari2004]. More details are provided in [lacage2006yans].

Thens3::NistErrorRateModel was later added. The model was largely aligned with the previous ns3::YansErrorRateModel for DSSS modulations 1 Mbps and 2 Mbps, but the 5.5 Mbps and 11 Mbps models were re-based on equations (17) and (18) from [pursley2009]. For OFDM modulations, newer results were obtained

Matlab

ToolboxTGax

based on work previously done at NIST [miller2003]. The results were also compared against the CMU wireless net-

work emulator, and details of the validation are provided in [pei80211ofdm]. Since OFDM modes use hard-decision

of punctured codes, the coded BER is calculated using Chernoff bounds [hepner2015].

The 802.11b model was split from the OFDM model when the NIST error rate model was added, into a new model

called DsssErrorRateModel.

Furthermore, the 5.5 Mbps and 11 Mbps models for 802.11b rely on library methods implemented in the GNU Scien-

tific Library (GSL). The ns3 build system tries to detect whether the host platform has GSL installed; if so, it compiles

in the newer models from [pursley2009] for 5.5 Mbps and 11 Mbps; if not, it uses a backup model derived from

MATLAB simulations.

The error curves for analytical models are shown to diverge from link simulation results for higher

MCS in Figure

YANS and NIST error model comparison with TGn results . This prompted the move to a new error model based on

link simulations (the default TableBasedErrorRateModel, which provides curves close to those depicted by the TGn dashed line).

-5 0 5 10 15 20 25 30 Packet Error Rate (PER)

SNR (dB) YANS

TGn

SpectrumWifiPhy

This section describes the implementation of the SpectrumWifiPhy class that can be found in src/wifi/model/

spectrum-wifi-phy.{cc,h} .

The implementation also makes use of additional classes found in the same directory:

- wifi-spectrum-phy-interface.{cc,h}

- wifi-spectrum-signal-parameters.{cc,h}

and classes found in the spectrum module:

- wifi-spectrum-value-helper.{cc,h}

The current SpectrumWifiPhy class reuses the existing interference manager and error rate models originally built

for YansWifiPhy , but allows, as a first step, foreign (non Wi-Fi) signals to be treated as additive noise.

signals compatible with the Spectrum channel framework, and in particular, the

MultiModelSpectrumChannel that

allows signals from different technologies to coexist. Second, the InterferenceHelper must be extended to support the

insertion of non-Wi-Fi signals and to add their received power to the noise, in the same way that unintended Wi-Fi

signals (perhaps from a different SSID or arriving late from a hidden node) are added to the noise.

Unlike YansWifiPhy , where there are no foreign signals, CCA\_BUSY state will be raised for foreign signals that are

higher than CcaEdThreshold (see section 16.4.8.5 in the 802.11-2012 standard for definition of CCA Mode 1). The at-

tributeWifiPhy::CcaEdThreshold therefore potentially plays a larger role in this model than in the YansWifiPhy

model.

To support the Spectrum channel, the YansWifiPhy transmit and receive methods were adapted to use the Spectrum channel API. This required developing a few SpectrumModel -related classes. The class WifiSpectrumValueHelper is used to create Wi-Fi signals with the spectrum framework and spread their energy

across the bands. The spectrum is sub-divided into sub-bands (the width of an OFDM subcarrier, which depends on

the technology). The power allocated to a particular channel is spread across the sub-bands roughly according to how

power would be allocated to sub-carriers. Adjacent channels are modeled by the use of OFDM transmit spectrum masks

as defined in the standards.

The class WifiBandwidthFilter is used to discard signals early in the transmission process by ignoring any Wi-Fi

PPDU whose TX band (including guard bands) does not overlap the current operating channel.

Therefore, it bypasses

the signal propagation/loss calculations reducing the computational load and increasing the simulation performance.

To enable the WifiBandwidthFilter , the user can use object aggregation as follows: .. sourcecode::  
cpp

```
Ptr<WifiBandwidthFilter> wifiFilter = CreateObject<WifiBandwidthFilter> ();  
Ptr<MultiModelSpectrumChannel> spectrumChannel = CreateObject<MultiModelSpectrumChannel>  
( ); spectrumChannel->AddSpectrumTransmitFilter(wifiFilter);
```

To support an easier user configuration experience, the existing YansWifi helper classes (in src/wifi/helper ) were

copied and adapted to provide equivalent SpectrumWifi helper classes.

Finally, for reasons related to avoiding C++ multiple inheritance issues, a small forwarding class called

WifiSpectrumPhyInterface was inserted as a shim between the SpectrumWifiPhy and the Spectrum channel.

TheWifiSpectrumPhyInterface calls a different SpectrumWifiPhy::StartRx () method to start the reception process. This method performs the check of the signal power against the WifiPhy::RxSensitivity attribute

and discards weak signals, and also checks if the signal is a Wi-Fi signal; non-Wi-Fi signals are added to the Inter-

ferenceHelper and can raise CCA\_BUSY but are not further processed in the reception chain. After this point, valid

Wi-Fi signals cause WifiPhy::StartReceivePreamble to be called, and the processing continues as described

above.

Furthermore, in order to support more flexible channel switching, the SpectrumWifiPhy can hold multiple instances

ofWifiSpectrumPhyInterface (Multiple RF interfaces concept ). Each of these instances handles a given fre-

quency range of the spectrum, identified by a start and a stop frequency expressed in MHz, and there can be no overlap

in spectrum between them. Only one of these WifiSpectrumPhyInterface instances corresponds to the active RF

interface of the SpectrumWifiPhy , the other ones are referred to as inactive RF interfaces and might be disconnected

from the spectrum channel.

If theSpectrumWifiPhy::TrackSignalsFromInactiveInterfaces attribute is set to true (default), inactive

RF interfaces are connected to their respective spectrum channels and the SpectrumWifiPhy also receive signals

from these inactive RF interfaces when they belong to a configured portion of the frequency range covered by the

interface. The portion of the spectrum being monitored by an inactive interface is specified by a center frequency and

a channel width, and is seamlessly set to equivalent of the operating channel of the spectrum PHY that is actively

using that frequency range. The SpectrumWifiPhy`` forwards these received signals from inactive interfaces to the ``InterferenceHelper without further processing them. The benefit of the latter is that

more accurate PHY-CCA.indication can be generated upon channel switching if one or more signals started to be

transmitted on the new channel before the switch occurs, which would be ignored otherwise. This is

illustrated

in Figure Illustration of signals tracking upon channel switching , where the parts in red are only generated when

SpectrumWifiPhy::TrackSignalsFromInactiveInterfaces is set to true.

SpectrumWifiPhyWifiSpectrumPhyInterface (inactive)WifiSpectrumPhyInterface

(active)WifiSpectrumPhyInterface (inactive)WifiSpectrumPhyInterface

(inactive)MultiSpectrumModelChannelMultiSpectrumModelChannelMultiSpectrumModelChannelChannel 6 @ 2.4

GHzChannel 42 @ 5 GHzChannel 55 @ 6 GHzChannel 215 @ 6 GHz

OBSSBSSBSSChannel AChannel BOperating channelChannel AChannel BChannel switching A -> BPHY-

CCA.indicationPHY-CCA.indicationPHY-CCA.indication signal added to InterferenceHelper

The MAC model

Infrastructure association

Association in infrastructure mode is a high-level MAC function performed by the Association Manager, which is

implemented through a base class ( WifiAssocManager ) and a default subclass (

WifiDefaultAssocManager ).

The interaction between the station MAC, the Association Manager base class and subclass is illustrated in Figure

Scanning procedure .

The STA wifi MAC requests the Association Manager to start a scanning procedure with specified parameters, includ-

ing the type of scanning (active or passive), the desired SSID, the list of channels to scan, etc.

The STA wifi MAC then

expects to be notified of the best AP to associate with at the end of the scanning procedure. Every Beacon or Probe

Response frame received during scanning is forwarded to the Association Manager, which keeps a list of candidate

APs that match the scanning parameters. The sorting criterium for such a list is defined by the Association Manager

subclass. The default Association Manager sorts APs in decreasing order of the SNR of the received Beacon/Probe

Response frame.

When notified of the start of a scanning procedure, the default Association Manager schedules a call to a method that

processes the information included in the frames received up to the time such a method is called.

When both the AP

and the STA have multiple links (i.e., they are 802.11be MLDs), the default Association Manager attempts to setup as

many links as possible. This involves switching operating channel on some of the STA's links to match those on which

the APs affiliated with the AP MLD are operating.

If association is rejected by the AP for some reason, the STA will try to associate to the next best AP until the

candidate list is exhausted which then sends STA to 'REFUSED' state. If this occurs, the simulation user will need

to force reassociation retry in some way, perhaps by changing configuration (i.e. the STA will not persistently try to

associate upon a refusal).

When associated, if the configuration is changed by the simulation user, the STA will try to reassociate with the

existing AP.

If the number of missed beacons exceeds the threshold, the STA will notify the rest of the device that the link is down

(association is lost) and restart the scanning process. Note that this can also happen when an association request fails

without explicit refusal (i.e., the AP fails to respond to association request).

#### Roaming

Roaming at layer-2 (i.e. a STA migrates its association from one AP to another) is not presently supported. Because

of that, the Min/Max channel dwelling time implementation as described by the IEEE 802.11 standard [ieee80211] is

also omitted, since it is only meaningful on the context of channel roaming.

#### Channel access

The 802.11 Distributed Coordination Function is used to calculate when to grant access to the transmission medium.

While implementing the DCF would have been particularly easy if we had used a recurring timer that expired every

slot, we chose to use the method described in [ji2004sslswn] where the backoff timer duration is lazily calculated

whenever needed since it is claimed to have much better performance than the simpler recurring timer solution.

The DCF basic access is described in section 10.3.4.2 of [ieee80211-2016].

- “A STA may transmit an MPDU when it is operating under the DCF access method [...] when the STA determines

that the medium is idle when a frame is queued for transmission, and remains idle for a period of a DIFS, or an

EIFS (10.3.2.3.7) from the end of the immediately preceding medium-busy event, whichever is the greater, and

the backoff timer is zero. Otherwise the random backoff procedure described in 10.3.4.3 shall be followed.”

Thus, a station is allowed not to invoke the backoff procedure if all of the following conditions are met:

- the medium is idle when a frame is queued for transmission
- the medium remains idle until the most recent of these two events: a DIFS from the time when the frame is

queued for transmission; an EIFS from the end of the immediately preceding medium-busy event (associated

with the reception of an erroneous frame)

- the backoff timer is zero

The backoff procedure of DCF is described in section 10.3.4.3 of [ieee80211-2016].

- “A STA shall invoke the backoff procedure to transfer a frame when finding the medium busy as indicated by

either the physical or virtual CS mechanism.”

- “A backoff procedure shall be performed immediately after the end of every transmission with the More Frag-

ments bit set to 0 of an MPDU of type Data, Management, or Control with subtype PS-Poll, even if no additional

transmissions are currently queued.”

The EDCA backoff procedure is slightly different than the DCF backoff procedure and is described in section 10.22.2.2

of [ieee80211-2016]. The backoff procedure shall be invoked by an EDCAF when any of the following events occur:

- a frame is “queued for transmission such that one of the transmit queues associated with that AC has now become non-empty and any other transmit queues associated with that AC are empty; the medium is busy on the primary channel”
- “The transmission of the MPDU in the final PPDU transmitted by the TXOP holder during the TXOP for that AC has completed and the TXNAV timer has expired, and the AC was a primary AC”
- “The transmission of an MPDU in the initial PPDU of a TXOP fails [...] and the AC was a primary AC”
- “The transmission attempt collides internally with another EDCAF of an AC that has higher priority”
- (optionally) “The transmission by the TXOP holder of an MPDU in a non-initial PPDU of a TXOP fails”

Additionally, section 10.22.2.4 of [IEEE80211-2016] introduces the notion of slot boundary, which basically occurs

following  $SIFS + AIFSN * slotTime$  of idle medium after the last busy medium that was the result of a reception of a

frame with a correct FCS or following  $EIFS - DIFS + AIFSN * slotTime + SIFS$  of idle medium after the last indicated

busy medium that was the result of a frame reception that has resulted in FCS error, or following a  $slotTime$  of idle

medium occurring immediately after any of these conditions.

On these specific slot boundaries, each EDCAF shall make a determination to perform one and only one of the

following functions:

- Decrement the backoff timer.
- Initiate the transmission of a frame exchange sequence.
- Invoke the backoff procedure due to an internal collision.
- Do nothing.

Thus, if an EDCAF decrements its backoff timer on a given slot boundary and, as a result, the backoff timer has a

zero value, the EDCAF cannot immediately transmit, but it has to wait for another  $slotTime$  of idle medium before

transmission can start.

When the Channel Access Manager determines that channel access can be granted, it determines the largest primary

channel that is considered idle based on the CCA-BUSY indication provided by the PHY. Such an information is

passed to the Frame Exchange Manager, which in turn informs the Multi-User Scheduler (if any) and the Wifi Remote

Station Manager. As a result, PPDUs are transmitted on the largest idle primary channel. For example, if a STA is

operating on a 40 MHz channel and the secondary20 channel is indicated to be busy, transmissions will occur on the

primary20 channel.

The higher-level MAC functions are implemented in a set of other C++ classes and deal with:

- packet fragmentation and defragmentation,
- use of the RTS/CTS protocol,
- rate control algorithm,
- connection and disconnection to and from an Access Point,
- the MAC transmission queue,



- beacon generation,
- MSDU aggregation,
- etc.

### Frame Exchange Managers

As the IEEE 802.11 standard evolves, more and more features are added and it is more and more difficult to have a sin-

gle component handling all of the allowed frame exchange sequences. A hierarchy of

FrameExchangeManager classes

has been introduced to make the code clean and scalable, while avoiding code duplication. Each

FrameExchangeM-

anager class handles the frame exchange sequences introduced by a given amendment. The

FrameExchangeManager

hierarchy is depicted in Figure FrameExchangeManager hierarchy .

The features supported by every FrameExchangeManager class are as follows:

- FrameExchangeManager is the base class. It handles the basic sequences for non-QoS stations: MPDU fol-  
lowed by Normal Ack, RTS/CTS and CTS-to-self, NAV setting and resetting, MPDU fragmentation
- QosFrameExchangeManager adds TXOP support: multiple protection setting, TXOP truncation via CF-End, TXOP recovery, ignore NAV when responding to an RTS sent by the TXOP holder
- HtFrameExchangeManager adds support for Block Ack (compressed variant), A-MSDU and A-MPDU ag-  
gregation, Implicit Block Ack Request policy
- VhtFrameExchangeManager adds support for S-MPDUs
- HeFrameExchangeManager adds support for the transmission and reception of multi-user frames via DL  
OFDMA and UL OFDMA, as detailed below.

### MAC queues

Each EDCA function (on QoS stations) and the DCF (on non-QoS stations) have their own MAC queue (an

instance of theWifiMacQueue class) to store packets received from the upper layer and waiting for  
transmission. On QoS

stations, each received packet is assigned a User Priority based on the socket priority (see, e.g.,  
the wifi-multi-tos or

the wifi-mac-ofdma examples), which determines the Access Category that handles the packet. By  
default, wifi MAC

queues support flow control, hence upper layers do not forward a packet down if there is no room for  
it in the corre-

sponding MAC queue. Wifi MAC queues do not support dynamic queue limits (byte queue limits);  
therefore, there is

no backpressure into the traffic control layer until the WifiMacQueue for an access category is  
completely full (i.e.,

when the queue depth reaches the value of the MaxSize attribute, which defaults to 500 packets). TCP  
small queues

(TSQ) [corbet2012] is a Linux feature that provides feedback from the Wi-Fi device to the socket  
layer, to control how

much data is queued at the Wi-Fi level. ns-3 TCP does not implement TSQ, nor does the WifiNetDevice  
provide that

specific feedback (although some use of the existing trace sources may be enough to support it).

Regardless, experi-

mental tests have demonstrated that TSQ interferes with Wi-Fi aggregation on uplink transfers

[grazia2022]. Packets

stay in the wifi MAC queue until they are acknowledged or discarded. A packet may be discarded  
because, e.g., its

lifetime expired (i.e., it stayed in the queue for too long) or the maximum number of retries was reached. The maximum lifetime for a packet can be configured via the MaxDelay attribute of WifiMacQueue . There are a number of traces that can be used to track the outcome of a packet transmission (see the corresponding doxygen documentation):

- WifiMac trace sources: AckedMpdu ,NAckedMpdu ,DroppedMpdu ,MpduResponseTimeout , PsduResponseTimeout ,PsduMapResponseTimeout

- WifiMacQueue trace source: Expired

Internally, a wifi MAC queue is made of multiple sub-queues, each storing frames of a given type (i.e., data or

management) and having a given receiver address and TID. For single-user transmissions, the next station to serve

is determined by a wifi MAC queue scheduler (held by the WifiMac instance). A wifi MAC queue scheduler is

implemented through a base class ( WifiMacQueueScheduler ) and subclasses defining specific scheduling policies.

The default scheduler ( FcfsWifiQueueScheduler ) gives management frames higher priority than data frames and

serves data frames in a first come first serve fashion. For multi-user transmissions (see below), scheduling is performed

by a Multi-User scheduler, which may or may not consult the wifi MAC queue scheduler to identify the stations to

serve with a Multi-User DL or UL transmission.

Multi-user transmissions

Since the introduction of the IEEE 802.11ax amendment, multi-user (MU) transmissions are possible, both in downlink

(DL) and uplink (UL), by using OFDMA and/or MU-MIMO. Currently, ns-3 only supports multi-user transmissions

via OFDMA. Three acknowledgment sequences are implemented for DL OFDMA.

The first acknowledgment sequence is made of multiple BlockAckRequest/BlockAck frames sent as single-user

frames, as shown in Figure Acknowledgment of DL MU frames in single-user format .

For the second acknowledgment sequence, an MU-BAR Trigger Frame is sent (as a single-user frame) to solicit

BlockAck responses sent in TB PPDUs, as shown in Figure Acknowledgment of DL MU frames via MU-BAR Trigger

Frame sent as single-user frame .

For the third acknowledgment sequence, an MU-BAR Trigger Frame is aggregated to every PSDU included in the DL

MU PPDU and the BlockAck responses are sent in TB PPDUs, as shown in Figure Acknowledgment of DL MU frames

via aggregated MU-BAR Trigger Frames .

For UL OFDMA, both BSRP Trigger Frames and Basic Trigger Frames are supported, as shown in Figure Frame

exchange sequences using UL OFDMA . A BSRP Trigger Frame is sent by an AP to solicit stations to send QoS Null

frames containing Buffer Status Reports. A Basic Trigger Frame is sent by an AP to solicit stations to send data

frames in TB PPDUs, which are acknowledged by the AP via a Multi-STA BlockAck frame. Note that, in order for

the two frame exchange sequences to be separated by a SIFS (as shown in Figure Frame exchange sequences using UL OFDMA), it is necessary that the transmitting Access Category has a non-zero TXOP Limit, there is enough remaining time in the TXOP to perform the frame exchange sequence initiated by the Basic Trigger Frame and the Multi-User scheduler (described next) chooses to send a Basic Trigger Frame after a BSRP Trigger Frame.

### Multi-User Scheduler

A new component, named `MultiUserScheduler`, is in charge of determining what frame exchange sequence the aggregated AP has to perform when gaining a TXOP (DL OFDMA, UL OFDMA or BSRP Trigger Frame), along with the information needed to perform the selected frame exchange sequence (e.g., the set of PSDUs to send in case of DL OFDMA). A TXOP is gained (some time) after requesting channel access, which is normally done by DCF/EDCA (Txop/QosTxop) if the device has frames to transmit. In order for an AP to coordinate UL MU transmissions even without DL traffic, the duration of the access request interval can be set to a non-zero value through the `AccessReqInterval` attribute. The access request interval is the interval between two consecutive requests for channel access made by the `MultiUserScheduler`; such requests are made independently of the presence of frames in the queues of the AP. It is also possible to set the Access Category for which the `MultiUserScheduler` makes requests for channel access (via the `AccessReqAc` attribute) and to choose whether the access request interval is measured starting from the last time the `MultiUserScheduler` made a request for channel access or from the last time channel access was obtained by DCF/EDCA (via the `DelayAccessReqUponAccess` attribute).

`MultiUserScheduler` is an abstract base class. Currently, the only available subclass is `RrMultiUserScheduler`.

By default, no multi-user scheduler is aggregated to an AP (hence, OFDMA is not enabled).

### Round-robin Multi-User Scheduler

The Round-robin Multi-User Scheduler dynamically assigns a priority to each station to ensure airtime fairness in the selection of stations for DL multi-user transmissions. The `NStations` attribute enables to set the maximum number of stations that can be the recipients of a DL multi-user frame. Therefore, every time an HE AP accesses the channel to transmit a DL multi-user frame, the scheduler determines the number of stations the AP has frames to send to (capped at the value specified through the mentioned attribute) and attempts to allocate equal sized RUs to as many MHz and the determined number of stations is 5, the first 4 stations (in order of priority) are allocated a 106-tone RU each (if 52-tone RUs were allocated, we would have three 52-tone RUs unused). If central 26-tone RUs can be allocated (as determined by the `UseCentral26TonesRus` attribute), possible stations that have not been allocated an RU are assigned one of such 26-tone RU. In the previous example, the fifth station would have been

allocated one of

the two available central 26-tone RUs.

When UL OFDMA is enabled (via the EnableUlofdma attribute), every DL OFDMA frame exchange is followed

by an UL OFDMA frame exchange involving the same set of stations and the same RU allocation as the preceding

DL multi-user frame. The transmission of a BSRP Trigger Frame can optionally (depending on the value of the

EnableBsrp attribute) precede the transmission of a Basic Trigger Frame in order for the AP to collect information

about the buffer status of the stations.

Enhanced multi-link single radio operation (EMLSR)

The IEEE 802.11be amendment introduced EMLSR operating mode to allow a non-AP MLD to alternate frame exchanges over a subset of setup links identified as EMLSR links. ns-3 supports EMLSR operations as described in

the following.

Non-AP MLD side

A non-AP MLD supports EMLSR operating mode if the EmlsrActivated attribute of the EHT configuration is set

to true. In such a case, the WifiMacHelper will install an EMLSR Manager by using the type and attribute values con-

figured through the SetEmlsrManager method. The EMLSR Manager is a base class providing the EmlsrLinkSet

attribute, which can be used to enable or disable EMLSR mode (after multi-link setup, EMLSR mode is disabled by

default). Setting the EmlsrLinkSet attribute triggers the transmission of an EML Operating Mode Notification frame

to the AP to communicate the new set of EMLSR links, if ML setup has been completed. Otherwise, the set of EMLSR

links is stored and the EML Operating Mode Notification frame is sent as soon as the ML setup is completed. The

selection of the link used to transmit the EML Operating Mode Notification frame is done by the EMLSR Manager

subclass. The default EMLSR Manager subclass, DefaultEmlsrManager, selects the link that was used to perform

ML setup. When the non-AP MLD receives the acknowledgment for the EML Operating Mode Notification frame,

it starts a timer whose duration is the transition timeout advertised by the AP MLD. When the timer expires, or the

non-AP MLD receives an EML Operating Mode Notification frame from the AP MLD, the EMLSR mode is assumed

to be enabled (or disabled).

AP MLD side

An AP MLD supports EMLSR operating mode if the EmlsrActivated attribute of the EHT configuration is set to

true. When an AP MLD that supports EMLSR operating mode has to initiate a frame exchange with a non-AP MLD

that is operating in EMLSR mode, it sends an MU-RTS Trigger Frame soliciting a response from the non-AP MLD

(and possibly others) as the initial Control frame for that exchange. The MU-RTS Trigger Frame includes a Padding

field whose transmission duration is the maximum among the padding delays advertised by all the EMLSR clients solicited by the MU-RTS Trigger Frame. Also, the MU-RTS Trigger Frame is carried in a non-HT (duplicate) PPDU transmitted at a rate of 6 Mbps, 12 Mbps or 24 Mbps. When the transmission of an initial Control frame starts, the AP MLD blocks transmissions to the solicited EMLSR clients on the EMLSR links other than the link used to transmit the initial Control frame, so that the AP MLD does not initiate another frame exchange on such links. The frame exchange with an EMLSR client is assumed to terminate when the AP MLD does not start a frame transmission a SIFS after the response to the last frame transmitted by the AP MLD or the AP MLD transmits a frame that is not addressed to the EMLSR client. When a frame exchange with an EMLSR client terminates, the AP MLD blocks transmissions on all the EMLSR links and starts a timer whose duration is the transition delay advertised by the EMLSR client. When the timer expires, the EMLSR client is assumed to be back to the listening operations and transmissions on all the EMLSR links are unblocked.

#### Ack manager

Since the introduction of the IEEE 802.11e amendment, multiple acknowledgment policies are available, which are coded in the Ack Policy subfield in the QoS Control field of QoS Data frames (see Section 9.2.4.5.4 of the IEEE 802.11-2016 standard). For instance, an A-MPDU can be sent with the Normal Ack or Implicit Block Ack Request policy, in which case the receiver replies with a Normal Ack or a Block Ack depending on whether the A-MPDU contains a single MPDU or multiple MPDUs, or with the Block Ack policy, in which case the receiver waits to receive a Block Ack Request in the future to which it replies with a Block Ack.

WifiAckManager is the abstract base class introduced to provide an interface for multiple ack managers. Currently, the default ack manager is the WifiDefaultAckManager .

#### WifiDefaultAckManager

TheWifiDefaultAckManager allows to determine which acknowledgment policy to use depending on the value of its attributes:

- UseExplicitBar : used to determine the ack policy to use when a response is needed from the recipient and the current transmission includes multiple frames (A-MPDU) or there are frames transmitted previously for which an acknowledgment is needed. If this attribute is true, the Block Ack policy is used. Otherwise, the Implicit Block Ack Request policy is used.
- BaThreshold : used to determine when the originator of a Block Ack agreement needs to request a response from the recipient. A value of zero means that a response is requested at every frame transmission. Otherwise,

a non-zero value (less than or equal to 1) means that a response is requested upon transmission of a frame

whose sequence number is distant at least `BaThreshold` multiplied by the transmit window size from the starting

sequence number of the transmit window.

- `DiMuAckSequenceType` : used to select the acknowledgment sequence for DL MU frames (acknowledgment in

single-user format, acknowledgment via MU-BAR Trigger Frame sent as single-user frame, or acknowledgment

via MU-BAR Trigger Frames aggregated to the data frames).

Protection manager

The protection manager is in charge of determining the protection mechanism to use, if any, when sending a frame.

`WifiProtectionManager` is the abstract base class introduced to provide an interface for multiple protection man-

agers. Currently, the default protection manager is the `WifiDefaultProtectionManager` .

`WifiDefaultProtectionManager`

The `WifiDefaultProtectionManager` selects a protection mechanism based on the information provided by the

remote station manager.

Rate control algorithms

Multiple rate control algorithms are available in ns-3. Some rate control algorithms are modeled after real algorithms

used in real devices; others are found in literature. The following rate control algorithms can be used by the MAC low

layer:

Algorithms found in real devices:

- `ArfWifiManager`
- `OnoeWifiManager`
- `ConstantRateWifiManager`
- `MinstrelWifiManager`
- `MinstrelHtWifiManager`

Algorithms in literature:

- `IdealWifiManager` (default for `WifiHelper` )
- `AarfWifiManager` [Iacage2004aarfamrr]
- `AmrrWifiManager` [Iacage2004aarfamrr]
- `CaraWifiManager` [kim2006cara]
- `RraaWifiManager` [wong2006rraa]
- `AarfcdfWifiManager` [maguolo2008aarfcdf]
- `ParfWifiManager` [akella2007parf]
- `AparfWifiManager` [chevillat2005aparf]
- `ThompsonSamplingWifiManager` [krotov2020rate]

`ConstantRateWifiManager`

The constant rate control algorithm always uses the same transmission mode for every packet. Users can set a desired

'DataMode' for all 'unicast' packets and 'ControlMode' for all 'request' control packets (e.g. RTS).

To specify different data mode for non-unicast packets, users must set the 'NonUnicastMode' attribute of the `WifiRe-`

`oteStationManager`. Otherwise, `WifiRemoteStationManager` will use a mode with the lowest rate for non-unicast

packets.

The 802.11 standard is quite clear on the rules for selection of transmission parameters for control response frames (e.g. CTS and ACK). ns-3 follows the standard and selects the rate of control response frames from the set of basic rates or mandatory rates. This means that control response frames may be sent using different rate even though the ConstantRateWifiManager is used. The ControlMode attribute of the ConstantRateWifiManager is used for RTS frames only. The rate of CTS and ACK frames are selected according to the 802.11 standard. However, users can still manually add WifiMode to the basic rate set that will allow control response frames to be sent at other rates. Please consult the project wiki on how to do this.

Available attributes:

- DataMode (default WifiMode::OfdmRate6Mbps): specify a mode for all non-unicast packets
- ControlMode (default WifiMode::OfdmRate6Mbps): specify a mode for all 'request' control packets

IdealWifiManager  
The ideal rate control algorithm selects the best mode according to the SNR of the previous packet sent. Consider node A sending a unicast packet to node B. When B successfully receives the packet sent from A, B records the SNR of the received packet into a ns3::SnrTag and adds the tag to an ACK back to A. By doing this, A is able to learn the SNR of the packet sent to B using an out-of-band mechanism (thus the name 'ideal'). A then uses the SNR to select a transmission mode based on a set of SNR thresholds, which was built from a target BER and mode-specific SNR/BER curves.

Available attribute:

- BerThreshold (default 1e-6): The maximum Bit Error Rate that is used to calculate the SNR threshold for each mode.

Note that the BerThreshold has to be low enough to select a robust enough MCS (or mode) for a given SNR value, without being too restrictive on the target BER. Indeed we had noticed that the previous default value (i.e. 1e-5) led to the selection of HE MCS-11 which resulted in high PER. With this new default value (i.e. 1e-6), a HE STA moving away from a HE AP has smooth throughput decrease (whereas with 1e-5, better performance was seen further away, which is not "ideal").

ThompsonSamplingWifiManager

Thompson Sampling (TS) is a classical solution to the Multi-Armed Bandit problem.

ThompsonSamplingWifiManager

implements a rate control algorithm based on TS with the goal of providing a simple statistics-based algorithm with a low number of parameters.

The algorithm maintains the number of successful transmissions  $s_i$  and the number of unsuccessful transmissions  $u_i$

for each MCS  $i$ , both of which are initially set to zero.

To select MCS for a data frame, the algorithm draws a sample frame success rate  $q_i$  from the beta

distribution with

shape parameters  $(1 + i; 1 + i)$  for each MCS and then selects MCS with the highest expected throughput calculated

as the sample frame success rate multiplied by MCS rate.

To account for changing channel conditions, exponential decay is applied to  $i$  and  $i$ . The rate of exponential decay

is controlled with the Decay attribute which is the inverse of the time constant. Default value of 1 Hz results in using

exponential window with the time constant of 1 second. Setting this value to zero effectively disables exponential

decay and can be used in static scenarios.

Control frames are always transmitted using the most robust MCS, except when the standard specifies otherwise, such

as for ACK frames.

As the main goal of this algorithm is to provide a stable baseline, it does not take into account backoff overhead,

inter-frame spaces and aggregation for MCS rate calculation. For an example of a more complex statistics-based rate

control algorithm used in real devices, consider Minstrel-HT described below.

MinstrelWifiManager

The minstrel rate control algorithm is a rate control algorithm originated from madwifi project. It is currently the

default rate control algorithm of the Linux kernel.

Minstrel keeps track of the probability of successfully sending a frame of each available rate.

Minstrel then calculates

the expected throughput by multiplying the probability with the rate. This approach is chosen to make sure that lower

rates are not selected in favor of the higher rates (since lower rates are more likely to have higher probability).

In minstrel, roughly 10 percent of transmissions are sent at the so-called lookaround rate. The goal of the lookaround

rate is to force minstrel to try higher rate than the currently used rate.

For a more detailed information about minstrel, see [linuxminstrel].

MinstrelHtWifiManager

This is the extension of minstrel for 802.11n/ac/ax.

802.11ax OBSS PD spatial reuse

802.11ax mode supports OBSS PD spatial reuse feature. OBSS PD stands for Overlapping Basic Service Set

Preamble-Detection. OBSS PD is an 802.11ax specific feature that allows a STA, under specific conditions, to ig-

nore an inter-BSS PPDU.

OBSS PD Algorithm

ObssPdAlgorithm is the base class of OBSS PD algorithms. It implements the common functionalities.

First, it

makes sure the necessary callbacks are setup. Second, when a PHY reset is requested by the algorithm, it performs

the computation to determine the TX power restrictions and informs the PHY object.

The PHY keeps tracks of incoming requests from the MAC to get access to the channel. If a request is received

and if PHY reset(s) indicating TX power limitations occurred before a packet was transmitted, the next packet to be



transmitted will be sent with a reduced power. Otherwise, no TX power restrictions will be applied.

#### Constant OBSS PD Algorithm

Constant OBSS PD algorithm is a simple OBSS PD algorithm implemented in the ConstantObssPdAlgorithm class.

Once a HE preamble and its header have been received by the PHY, ConstantObssPdAlgorithm::ReceiveHeSig is triggered. The algorithm then checks whether this is an OBSS frame by comparing its own BSS

color with the BSS color of the received preamble. If this is an OBSS frame, it compares the received RSSI with its

configured OBSS PD level value. The PHY then gets reset to IDLE state in case the received RSSI is lower than that

constant OBSS PD level value, and is informed about a TX power restrictions.

Note: since our model is based on a single threshold, the PHY only supports one restricted power level.

#### Modifying Wifi model

Modifying the default wifi model is one of the common tasks when performing research. We provide an overview of

how to make changes to the default wifi model in this section. Depending on your goal, the common tasks are (in no particular order):

- Creating or modifying the default Wi-Fi frames/headers by making changes to wifi-mac-header. \*.
- MAC low modification. For example, handling new/modified control frames (think RTS/CTS/ACK/Block ACK), making changes to two-way transaction/four-way transaction. Users usually make changes to frame-exchange-manager. \*or its subclasses to accomplish this. Handling of control frames is performed in FrameExchangeManager::ReceiveMpdu .
- MAC high modification. For example, handling new management frames (think beacon/probe), beacon/probe generation. Users usually make changes to wifi-mac. \*, “sta-wifi-mac.\*”, ap-wifi-mac. \*, or adhoc-wifi-mac. \*to accomplish this.
- Wi-Fi queue management. The files txop.\*andqos-txop. \*are of interest for this task.
- Channel access management. Users should modify the files channel-access-manager. \*, which grant access toTxop andQosTxop .
- Fragmentation and RTS thresholds are handled by Wi-Fi remote station manager. Note that Wi-Fi remote station manager simply indicates if fragmentation and RTS are needed. Fragmentation is handled by Txop orQosTxop while RTS/CTS transaction is handled by FrameExchangeManager .
- Modifying or creating new rate control algorithms can be done by creating a new child class of Wi-Fi remote station manager or modifying the existing ones.

The modularity provided by the implementation makes low-level configuration of the WifiNetDevice powerful but

complex. For this reason, we provide some helper classes to perform common operations in a simple matter, and

leverage the ns-3 attribute system to allow users to control the parameterization of the underlying models.

Users who use the low-level ns-3 API and who wish to add a WifiNetDevice to their node must create an instance of a

WifiNetDevice, plus a number of constituent objects, and bind them together appropriately (the

WifiNetDevice is very modular in this regard, for future extensibility). At the low-level API, this can be done with about 20 lines of code (see ns3::WifiHelper::Install , and ns3::YansWifiPhyHelper::Create ). They also must create, at some point, a Channel, which also contains a number of constituent objects (see ns3::YansWifiChannelHelper::Create ).

However, a few helpers are available for users to add these devices and channels with only a few lines of code, if

they are willing to use defaults, and the helpers provide additional API to allow the passing of attribute values to

change default values. Commonly used attribute values are listed in the Attributes section. The scripts in examples/

wireless can be browsed to see how this is done. Next, we describe the common steps to create a WifiNetDevice

from the bottom layer (Channel) up to the device layer (WifiNetDevice).

To create a WifiNetDevice, users need to follow these steps:

- Decide on which physical layer framework, the SpectrumWifiPhy or YansWifiPhy , to use. This will affect

which Channel and Phy type to use.

- Configure the Channel: Channel takes care of getting signal from one device to other devices on the same Wi-Fi

channel. The main configurations of WifiChannel are propagation loss model and propagation delay model.

- Configure the WifiPhy: WifiPhy takes care of actually sending and receiving wireless signal from Channel.

Here, WifiPhy decides whether each frame will be successfully decoded or not depending on the received signal

strength and noise. Thus, the main configuration of WifiPhy is the error rate model, which is the one that actually

calculates the probability of successfully decoding the frame based on the signal.

- Configure WifiMac: this step is more related to the architecture and device level. The users configure the wifi

architecture (i.e. ad-hoc or ap-sta) and whether QoS (802.11e), HT (802.11n) and/or VHT (802.11ac) and/or

HE (802.11ax) features are supported or not.

- Create WifiDevice: at this step, users configure the desired wifi standard (e.g. 802.11b , 802.11g , 802.11a ,

802.11n , 802.11ac or 802.11ax ) and rate control algorithm.

- Configure mobility: finally, a mobility model is (usually) required before WifiNetDevice can be used; even if

the devices are stationary, their relative positions are needed for propagation loss calculations.

The following sample code illustrates a typical configuration using mostly default values in the simulator, and in the

structure mode:

```
NodeContainer wifiStaNode;
```

```
wifiStaNode.Create(10); // Create 10 station node objects
```

```
NodeContainer wifiApNode;
```

```
wifiApNode.Create(1); // Create 1 access point node object
```

```
// Create a channel helper and phy helper, and then create the channel
```

```
YansWifiChannelHelper channel = YansWifiChannelHelper::Default();
```

```

YansWifiPhyHelper phy = YansWifiPhyHelper::Default();
phy.SetChannel(channel.Create());
// Create a WifiMacHelper, which is reused across STA and AP configurations
WifiMacHelper mac;
// Create a WifiHelper, which will use the above helpers to create
// and install Wifi devices. Configure a Wifi standard to use, which
// will align various parameters in the Phy and Mac to standard defaults.
WifiHelper wifi;
wifi.SetStandard(WIFI_STANDARD_80211n);
// Declare NetDeviceContainers to hold the container returned by the helper
NetDeviceContainer wifiStaDevices;
NetDeviceContainer wifiApDevice;
(continues on next page)
(continued from previous page)
// Perform the installation
mac.SetType("ns3::StaWifiMac");
wifiStaDevices = wifi.Install(phy, mac, wifiStaNodes);
mac.SetType("ns3::ApWifiMac");
wifiApDevice = wifi.Install(phy, mac, wifiApNode);

```

At this point, the 11 nodes have Wi-Fi devices configured, attached to a common channel. The rest of this section

describes how additional configuration may be performed.

### YansWifiChannelHelper

The YansWifiChannelHelper has an unusual name. Readers may wonder why it is named this way. The reference is to

the yans simulator from which this model is taken. The helper can be used to create a

YansWifiChannel with a default

PropagationLoss and PropagationDelay model.

Users will typically type code such as:

```

YansWifiChannelHelper wifiChannelHelper = YansWifiChannelHelper::Default();
Ptr<Channel> wifiChannel = wifiChannelHelper.Create();

```

to get the defaults. Specifically, the default is a channel model with a propagation delay equal to a constant, the speed

of light (ns3::ConstantSpeedPropagationDelayModel ), and a propagation loss based on a default log distance

model (ns3::LogDistancePropagationLossModel ), using a default exponent of 3. Please note that the default

log distance model is configured with a reference loss of 46.6777 dB at reference distance of 1m.

The reference loss

of 46.6777 dB was calculated using Friis propagation loss model at 5.15 GHz. The reference loss must be changed if

802.11b ,802.11g ,802.11n (at 2.4 GHz) or 802.11ax (at 2.4 GHz) are used since they operate at 2.4 Ghz.

Note the distinction above in creating a helper object vs. an actual simulation object. In ns-3, helper objects (used

at the helper API only) are created on the stack (they could also be created with operator new and later deleted).

However, the actual ns-3 objects typically inherit from class ns3::Object and are assigned to a smart pointer. See

The following two methods are useful when configuring YansWifiChannelHelper:

- YansWifiChannelHelper::AddPropagationLoss adds a PropagationLossModel; if one or more Propaga-

tionLossModels already exist, the new model is chained to the end

- YansWifiChannelHelper::SetPropagationDelay sets a PropagationDelayModel (not chainable)

YansWifiPhyHelper

Physical devices (base class ns3::WifiPhy ) connect to ns3::YansWifiChannel models in ns-3. We need to create

WifiPhy objects appropriate for the YansWifiChannel; here the YansWifiPhyHelper will do the work.

The YansWifiPhyHelper class configures an object factory to create instances of a YansWifiPhy and adds some other

objects to it, including possibly a supplemental ErrorRateModel and a pointer to a MobilityModel.

The user code is

typically:

```
YansWifiPhyHelper wifiPhyHelper;
```

```
wifiPhyHelper.SetChannel(wifiChannel);
```

The default YansWifiPhyHelper is configured with TableBasedErrorRateModel

(ns3::TableBasedErrorRateModel ). You can change the error rate model by calling the

YansWifiPhyHelper::SetErrorRateModel method.

Optionally, if pcap tracing is needed, a user may use the following command to enable pcap tracing:

```
YansWifiPhyHelper::SetPcapDataLinkType( enumSupportedPcapDataLinkTypes dlt)
```

ns-3 supports RadioTap and Prism tracing extensions for 802.11.

Note that we haven't actually created any WifiPhy objects yet; we've just prepared the

YansWifiPhyHelper by telling

it which channel it is connected to. The Phy objects are created in the next step.

In order to enable 802.11n/ac/ax MIMO, the number of antennas as well as the number of supported spatial streams

need to be configured. For example, this code enables MIMO with 2 antennas and 2 spatial streams:

```
wifiPhyHelper.Set("Antennas", UIntegerValue(2));
```

```
wifiPhyHelper.Set("MaxSupportedTxSpatialStreams", UIntegerValue(2));
```

```
wifiPhyHelper.Set("MaxSupportedRxSpatialStreams", UIntegerValue(2));
```

It is also possible to configure less streams than the number of antennas in order to benefit from diversity gain, and to

define different MIMO capabilities for downlink and uplink. For example, this code configures a node with 3 antennas

that supports 2 spatial streams in downstream and 1 spatial stream in upstream:

```
wifiPhyHelper.Set("Antennas", UIntegerValue(3));
```

```
wifiPhyHelper.Set("MaxSupportedTxSpatialStreams", UIntegerValue(2));
```

```
wifiPhyHelper.Set("MaxSupportedRxSpatialStreams", UIntegerValue(1));
```

802.11n PHY layer can support both 20 (default) or 40 MHz channel width, and 802.11ac/ax PHY layer can use either

20, 40, 80 (default) or 160 MHz channel width. See below for further documentation on setting the frequency, channel

width, and channel number.

```
WifiHelper wifi;
```

```
wifi.SetStandard(WIFI_STANDARD_80211ac);
```

```
wifi.SetRemoteStationManager("ns3::ConstantRateWifiManager",
```

```
"DataMode", StringValue("VhtMcs9"),
```

```
"ControlMode", StringValue("VhtMcs0"));
```

```
//Install PHY and MAC
```

```
Ssid ssid = Ssid("ns3-wifi");
```

```
WifiMacHelper mac;
```

```
mac.SetType("ns3::StaWifiMac",
```

```
"Ssid", SsidValue(ssid),
```

```
"ActiveProbing", BooleanValue(false));
NetDeviceContainer staDevice;
staDevice = wifi.Install(phy, mac, wifiStaNode);
mac.SetType("ns3::ApWifiMac",
"Ssid", SsidValue(ssid));
NetDeviceContainer apDevice;
apDevice = wifi.Install(phy, mac, wifiApNode);
```

Channel, frequency, channel width, and band configuration

There is a unique ns3::WifiPhy attribute, named ChannelSettings , that enables to set channel number, channel width, frequency band and primary20 index all together, in order to eliminate the possibility of inconsistent settings.

TheChannelSettings attribute can be set in a number of ways (see below) by providing either a StringValue object or a TupleValue object:

- Defining a StringValue object to set the ChannelSettings attribute

```
StringValue value("{38, 40, BAND_5GHZ, 0}");
```

- Defining a TupleValue object to set the ChannelSettings attribute

```
TupleValue<UIntegerValue, UintegerValue, EnumValue, UintegerValue> value;
```

```
value.Set(WifiPhy::ChannelTuple {38, 40, WIFI_PHY_BAND_5GHZ, 0});
```

In both cases, the operating channel will be channel 38 in the 5 GHz band, which has a width of 40 MHz, and the

primary20 channel will be the 20 MHz subchannel with the lowest center frequency (index 0).

The operating channel settings can then be configured in a number of ways:

- by setting global configuration default; e.g.

```
Config::SetDefault("ns3::WifiPhy::ChannelSettings", StringValue("{38, 40, BAND_5GHZ, !0}"));
```

- by setting an attribute value in the helper; e.g.

```
TupleValue<UIntegerValue, UintegerValue, EnumValue, UintegerValue> value;
```

```
value.Set(WifiPhy::ChannelTuple {38, 40, WIFI_PHY_BAND_5GHZ, 0});
```

```
YansWifiPhyHelper wifiPhyHelper = YansWifiPhyHelper::Default();
```

```
wifiPhyHelper.Set("ChannelSettings", value);
```

- by setting the WifiHelper::SetStandard(enum WifiStandard) method; and
- by performing post-installation configuration of the option, either via a Ptr to the WifiPhy object, or through the

Config namespace; e.g.:

```
Config::Set("/NodeList/0/DeviceList/ */$ns3::WifiNetDevice/Phy/$ns3::WifiPhy/
,!ChannelSettings",
StringValue("{38, 40, BAND_5GHZ, 0}"));
```

This section provides guidance on how to properly configure these settings.

```
WifiHelper::SetStandard()
```

WifiHelper::SetStandard () is a method required to set various parameters in the Mac and Phy to standard

values, but also to check that the channel settings as described above are allowed. For instance, a channel in the 2.4

GHz band cannot be configured if the standard is 802.11ac, or a channel in the 6 GHz band can only be configured if

the standard is 802.11ax (or beyond).

The following values for WifiStandard are defined in src/wifi/model/wifi-standards.h :

```
WIFI_STANDARD_80211a,
```

```
WIFI_STANDARD_80211b,
```

WIFI\_STANDARD\_80211g,  
WIFI\_STANDARD\_80211p,  
WIFI\_STANDARD\_80211n,  
WIFI\_STANDARD\_80211ac,  
WIFI\_STANDARD\_80211ax

By default, the WifiHelper (the typical use case for WifiPhy creation) will configure the WIFI\_STANDARD\_80211ax standard by default. Other values for standards should be passed explicitly to the WifiHelper object.

If user has not already configured ChannelSettings when SetStandard is called, the user obtains default values, as described next.

Default settings for the operating channel

Not all the parameters in the channel settings have to be set to a valid value, but they can be left unspecified, in which

case default values are substituted as soon as the WifiStandard is set. Here are the rules (applied in the given order):

- If the band is unspecified (i.e., it is set to WIFI\_PHY\_BAND\_UNSPECIFIED or "BAND\_UNSPECIFIED"), the default band for the configured standard is set (5 GHz band for 802.11{a, ac, ax, p} and 2.4 GHz band for all the others).
- If both the channel width and the channel number are unspecified (i.e., they are set to zero), the default channel width for the configured standard and band is set (22 MHz for 802.11b, 10 MHz for 802.11p, 80 MHz for 802.11ac and for 802.11ax if the band is 5 GHz, and 20 MHz for all other cases).
- If the channel width is unspecified but the channel number is valid, the settings are valid only if there exists a unique channel with the given number for the configured standard and band, in which case the channel width is set to the width of such unique channel. Otherwise, the simulation aborts.
- If the channel number is unspecified (i.e., it is set to zero), the default channel number for the configured standard, band and channel width is used (the default channel number is the first one in the list of channels that can be used with the configured standard, band and channel width)

Following are a few examples to clarify these rules:

```
WifiHelper wifi;  
wifi.SetStandard(WIFI_STANDARD_80211ac);  
YansWifiPhyHelper phyHelper;  
phyHelper.Set("ChannelSettings", StringValue("{58, 0, BAND_5GHZ, 0}"));  
// channel width unspecified  
// -> it is set to 80 MHz (width of channel 58)  
WifiHelper wifi;  
wifi.SetStandard(WIFI_STANDARD_80211n);  
YansWifiPhyHelper phyHelper;  
phyHelper.Set("ChannelSettings", StringValue("{0, 40, BAND_5GHZ, 0}"));  
// channel number unspecified  
// -> it is set to channel 38 (first 40 MHz channel in the 5GHz band)  
WifiHelper wifi;  
wifi.SetStandard(WIFI_STANDARD_80211ax);
```

```
YansWifiPhyHelper phyHelper;
phyHelper.Set("ChannelSettings", StringValue("{0, 0, BAND_2_4GHZ, 0}"));
// both channel number and width unspecified
// -> width set to 20 MHz (default width for 802.11ax in the 2.4 GHz band)
// -> channel number set to 1 (first 20 MHz channel in the 2.4 GHz band)
WifiHelper wifi;
wifi.SetStandard(WIFI_STANDARD_80211a);
YansWifiPhyHelper phyHelper;
phyHelper.Set("ChannelSettings", StringValue("{0, 0, BAND_UNSPECIFIED, 0}"));
// band, channel number and width unspecified
// -> band is set to WIFI_PHY_BAND_5GHZ (default band for 802.11a)
// -> width set to 20 MHz (default width for 802.11a in the 5 GHz band)
// -> channel number set to 36 (first 20 MHz channel in the 5 GHz band)
The default value for the ChannelSettings attribute leaves all the parameters unspecified, except
for the primary20
index, which is equal to zero.
```

#### WifiPhy::Frequency

The configured WifiPhy channel center frequency can be got via the attribute Frequency in the class WifiPhy . It is expressed in units of MHz.

Note that this is a change in definition from ns-3.25 and earlier releases, where this attribute referred to the start of the overall frequency band on which the channel resides, not the specific channel center frequency.

#### WifiPhy::ChannelWidth

The configured WifiPhy channel width can be got via the attribute ChannelWidth in the class WifiPhy . It is expressed in units of MHz.

#### WifiPhy::ChannelNumber

Several channel numbers are defined and well-known in practice. However, valid channel numbers vary by geographical region around the world, and there is some overlap between the different standards.

The configured WifiPhy channel number can be got via the attribute ChannelNumber in the class WifiPhy .

In ns-3, a ChannelNumber may be defined or unknown. These terms are not found in the code; they are just used to describe behavior herein.

If a ChannelNumber is defined, it means that WifiPhy has stored a map of ChannelNumber to the center frequency and channel width commonly known for that channel in practice. For example:

- Channel 1, when IEEE 802.11b is configured, corresponds to a channel width of 22 MHz and a center frequency of 2412 MHz.

- Channel 36, when IEEE 802.11n is configured at 5 GHz, corresponds to a channel width of 20 MHz and a center frequency of 5180 MHz.

The following channel numbers are well-defined for 2.4 GHz standards:

- channels 1-14 with ChannelWidth of 22 MHz for 802.11b
- channels 1-14 with ChannelWidth of 20 MHz for 802.11n-2.4GHz and 802.11g

The following channel numbers are well-defined for 5 GHz standards:

ChannelWidth ChannelNumber

20 MHz 36, 40, 44, 48, 52, 56, 60, 64, 100, 104, 108, 112, 116, 120, 124, 128, 132, 136, 140,

The following channel numbers are well-defined for 6 GHz standards (802.11ax only):

ChannelWidth ChannelNumber

20 MHz 1, 5, 9, 13, 17, 21, 25, 29, 33, 37, 41, 45, 49, 53, 57, 61, 65, 69, 73, 77, 81, 85, 89, 93, 97, 101, 105, 109, 113, 117, 121, 125, 129, 133, 137, 141, 145, 149, 153, 157, 161, 165,  
40 MHz 3, 11, 19, 27, 35, 43, 51, 59, 67, 75, 83, 91, 99, 107, 115, 123, 131, 139, 147, 155, 163,

The channel number may be set either before or after creation of the WifiPhy object.

If an unknown channel number (other than zero) is configured, the simulator will exit with an error; for instance, such

as:

```
Ptr<WifiPhy> wifiPhy = ...;
```

```
wifiPhy->SetAttribute("ChannelSettings", StringValue("{1321, 20, BAND_5GHZ, 0}"));
```

The known channel numbers are defined in the implementation file src/wifi/model/

wifi-phy-operating-channel.cc . Of course, this file may be edited by users to extend to additional channel numbers.

If a known channel number is configured against an incorrect value of the WifiPhyStandard, the simulator will exit

with an error; for instance, such as:

```
WifiHelper wifi;
```

```
wifi.SetStandard(WIFI_STANDARD_80211n);
```

```
...
```

```
Ptr<WifiPhy> wifiPhy = ...;
```

```
wifiPhy->SetAttribute("ChannelSettings", StringValue("{14, 20, BAND_5GHZ, 0}"));
```

In the above, while channel number 14 is well-defined in practice for 802.11b only, it is for 2.4 GHz band, not 5 GHz

band.

WifiPhy::Primary20MHzIndex

The configured WifiPhy primary 20MHz channel index can be got via the attribute Primary20MHzIndex in the class

WifiPhy .

Order of operation issues

Channel settings can be configured either before or after the wifi standard. If the channel settings are configured before

the wifi standard, the channel settings are stored and applied when the wifi standard is configured.

Otherwise, they are

applied immediately.

The wifi standard can be configured only once, i.e., it is not possible to change standard during a simulation. It is

instead possible to change the channel settings at any time.

SpectrumWifiPhyHelper

The API for this helper closely tracks the API of the YansWifiPhyHelper, with the exception that a channel of type

ns3::SpectrumChannel instead of type ns3::YansWifiChannel must be used with it.

Its API has been extended for 802.11be multi-link and EMLSR in order to attach multiple spectrum channels to a same

PHY . For that purpose, a user may use the following command to attach a spectrum channel to the PHY objects that

will be created upon a call to ns3::WifiHelper::Install :

```
SpectrumWifiPhyHelper::SetPcapDataLinkType( constPtr<SpectrumChannel> channel,  
constFrequencyRange& freqRange)
```

where FrequencyRange is a structure that contains the start and stop frequencies expressed in MHz which corresponds



to the spectrum portion that is covered by the channel.

## WifiMacHelper

The next step is to configure the MAC model. We use WifiMacHelper to accomplish this. WifiMacHelper takes

care of both the MAC low model and MAC high model, and configures an object factory to create instances of a

ns3::WifiMac . It is used to configure MAC parameters like type of MAC, and to select whether 802.11/WMM-style

QoS and/or 802.11n-style High Throughput (HT) and/or 802.11ac-style Very High Throughput (VHT) support and/or

802.11ax-style High Efficiency (HE) support are/is required.

By default, it creates an ad-hoc MAC instance that does not have 802.11e/WMM-style QoS nor 802.11n-style High

Throughput (HT) nor 802.11ac-style Very High Throughput (VHT) nor 802.11ax-style High Efficiency (HE) support enabled.

For example the following user code configures a non-QoS and non-HT/non-VHT/non-HE MAC that will be a non-AP

STA in an infrastructure network where the AP has SSID ns-3-ssid :

```
WifiMacHelper wifiMacHelper;  
Ssid ssid = Ssid("ns-3-ssid");  
wifiMacHelper.SetType("ns3::StaWifiMac",  
"Ssid", SsidValue(ssid),  
"ActiveProbing", BooleanValue(false));
```

The following code shows how to create an AP with QoS enabled:

```
WifiMacHelper wifiMacHelper;  
wifiMacHelper.SetType("ns3::ApWifiMac",  
"Ssid", SsidValue(ssid),  
"QosSupported", BooleanValue(true),  
"BeaconGeneration", BooleanValue(true),  
"BeaconInterval", TimeValue(Seconds(2.5)));
```

To create ad-hoc MAC instances, simply use ns3::AdhocWifiMac instead of ns3::StaWifiMac or ns3::ApWifiMac .

With QoS-enabled MAC models it is possible to work with traffic belonging to four different Access Categories (ACs):

AC\_VO for voice traffic, AC\_VI for video traffic, AC\_BE for best-effort traffic and AC\_BK for background traffic.

When selecting 802.11n as the desired wifi standard, both 802.11e/WMM-style QoS and 802.11n-style High Through-

put (HT) support gets enabled. Similarly when selecting 802.11ac as the desired wifi standard, 802.11e/WMM-style

QoS, 802.11n-style High Throughput (HT) and 802.11ac-style Very High Throughput (VHT) support gets enabled.

And when selecting 802.11ax as the desired wifi standard, 802.11e/WMM-style QoS, 802.11n-style High Throughput

(HT), 802.11ac-style Very High Throughput (VHT) and 802.11ax-style High Efficiency (HE) support gets enabled.

For MAC instances that have QoS support enabled, the ns3::WifiMacHelper can be also used to set:

- block ack threshold (number of packets for which block ack mechanism should be used);
- block ack inactivity timeout.

For example the following user code configures a MAC that will be a non-AP STA with QoS enabled and

a block ack

threshold for AC\_BE set to 2 packets, in an infrastructure network where the AP has SSID ns-3-ssid :

```
WifiMacHelper wifiMacHelper;
```

```
Ssid ssid = Ssid("ns-3-ssid");
```

```
wifiMacHelper.SetType("ns3::StaWifiMac",
```

```
"Ssid", SsidValue(ssid),
```

```
"QosSupported", BooleanValue(true),
```

```
"BE_BlockAckThreshold", UIntegerValue(2),
```

```
"ActiveProbing", BooleanValue(false));
```

For MAC instances that have 802.11n-style High Throughput (HT) and/or 802.11ac-style Very High Throughput

(VHT) and/or 802.11ax-style High Efficiency (HE) support enabled, the ns3::WifiMacHelper can be also used to

set:

- MSDU aggregation parameters for a particular Access Category (AC) in order to use 802.11n/ac A-MSDU

feature;

- MPDU aggregation parameters for a particular Access Category (AC) in order to use 802.11n/ac A-MPDU

feature.

By default, MSDU aggregation feature is disabled for all ACs and MPDU aggregation is enabled for AC\_VI and

AC\_BE, with a maximum aggregation size of 65535 bytes.

For example the following user code configures a MAC that will be a non-AP STA with HT and QoS enabled, MPDU

aggregation enabled for AC\_VO with a maximum aggregation size of 65535 bytes, and MSDU aggregation enabled

for AC\_BE with a maximum aggregation size of 7935 bytes, in an infrastructure network where the AP has SSID

ns-3-ssid :

```
WifiHelper wifi;
```

```
wifi.SetStandard(WIFI_STANDARD_80211n);
```

```
WifiMacHelper wifiMacHelper;
```

```
Ssid ssid = Ssid("ns-3-ssid");
```

```
wifiMacHelper.SetType("ns3::StaWifiMac",
```

```
"Ssid", SsidValue(ssid),
```

```
"VO_MaxAmpduSize", UIntegerValue(65535),
```

```
"BE_MaxAmsduSize", UIntegerValue(7935),
```

```
"ActiveProbing", BooleanValue(false));
```

802.11ax APs support sending multi-user frames via DL OFDMA and UL OFDMA if a Multi-User Scheduler is

aggregated to the wifi MAC(by default no scheduler is aggregated). WifiMacHelper enables to aggregate a Multi-User

Scheduler to an AP and set its parameters:

```
WifiMacHelper wifiMacHelper;
```

```
wifiMacHelper.SetMultiUserScheduler("ns3::RrMultiUserScheduler",
```

```
"EnableUiofdma", BooleanValue(true),
```

```
"EnableBsrp", BooleanValue(false));
```

The Ack Manager is in charge of selecting the acknowledgment method among the three available methods(see section

MAC queues ). The default ack manager enables to select the acknowledgment method, e.g.:

```
Config::SetDefault("ns3::WifiDefaultAckManager::DIMuAckSequenceType",
EnumValue(WifiAcknowledgment::DL_MU_AGGREGATE_TF));
```

Selection of the Access Category (AC)

Since ns-3.26, the QoS Tag is no longer used to assign a user priority to an MSDU. Instead, the selection of the Access

Category (AC) for an MSDU is based on the value of the DS field in the IP header of the packet (ToS field in case of

IPv4, Traffic Class field in case of IPv6). Details on how to set the ToS field of IPv4 packets are given in the ToS (Type of

Service) section of the documentation. In summary, users can create an address of type ns3::InetSocketAddress

with the desired type of service value and pass it to the application helpers:

```
InetSocketAddress destAddress(ipv4Address, udpPort);
```

```
destAddress.SetTos(tos);
```

```
OnOffHelper onoff("ns3::UdpSocketFactory", destAddress);
```

Mapping the values of the DS field onto user priorities is performed similarly to the Linux mac80211 subsystem.

Basically, the ns3::WifiNetDevice::SelectQueue() method sets the user priority (UP) of an MSDU to the three

most significant bits of the DS field. The Access Category is then determined based on the user priority according to

the following table:

UP Access Category

TOS and DSCP values map onto user priorities and access categories according to the following table.

DiffServ PHB TOS (binary) UP Access Category

EF 101110xx 5 AC\_VI

AF11 001010xx 1 AC\_BK

AF21 010010xx 2 AC\_BK

AF31 011010xx 3 AC\_BE

AF41 100010xx 4 AC\_VI

AF12 001100xx 1 AC\_BK

AF22 010100xx 2 AC\_BK

AF32 011100xx 3 AC\_BE

AF42 100100xx 4 AC\_VI

AF13 001110xx 1 AC\_BK

AF23 010110xx 2 AC\_BK

AF33 011110xx 3 AC\_BE

AF43 100110xx 4 AC\_VI

CS0 000000xx 0 AC\_BE

CS1 001000xx 1 AC\_BK

CS2 010000xx 2 AC\_BK

CS3 011000xx 3 AC\_BE

CS4 100000xx 4 AC\_VI

CS5 101000xx 5 AC\_VI

CS6 110000xx 6 AC\_VO

CS7 111000xx 7 AC\_VO

So, for example,

```
destAddress.SetTos(0xc0);
```

will map to CS6, User Priority 6, and Access Category AC\_VO. Also, the ns3-wifi-ac-mapping test suite (defined in

src/test/ns3wifi/wifi-ac-mapping-test-suite.cc) can provide additional useful information.

Note that `ns3::WifiNetDevice::SelectQueue()` also sets the packet priority to the user priority, thus overwriting the value determined by the socket priority (users can read Use of `Send()` vs. `SendTo()` for details on how to set the packet priority). Also, given that the Traffic Control layer calls `ns3::WifiNetDevice::SelectQueue()` before

enqueueing the packet into a queue disc, it turns out that queuing disciplines (such as `PfifoFastQueueDisc`) that classifies packets based on their priority will use the user priority instead of the socket priority.

`WifiHelper`

We're now ready to create `WifiNetDevices`. First, let's create a `WifiHelper` with default settings:

```
WifiHelper wifiHelper;
```

What does this do? It sets the default wifi standard to 802.11a and sets the `RemoteStationManager` to `ns3::ArfWifiManager`. You can change the `RemoteStationManager` by calling the `WifiHelper::SetRemoteStationManager` method. To change the wifi standard, call the `WifiHelper::SetStandard` method with the desired standard.

Now, let's use the `wifiPhyHelper` and `wifiMacHelper` created above to install `WifiNetDevices` on a set of nodes in a

`NodeContainer "c":`

```
NetDeviceContainer wifiContainer = WifiHelper::Install(wifiPhyHelper, wifiMacHelper,  
,!c);
```

This creates the `WifiNetDevice` which includes also a `WifiRemoteStationManager`, a `WifiMac`, and a `WifiPhy` (connected to the matching Channel).

The `WifiHelper::SetStandard` method sets various default timing parameters as defined in the selected standard

version, overwriting values that may exist or have been previously configured. In order to change parameters that are

overwritten by `WifiHelper::SetStandard`, this should be done post-install using `Config::Set`:

```
WifiHelper wifi;
```

```
wifi.SetStandard(WIFI_STANDARD_80211n);
```

```
wifi.SetRemoteStationManager("ns3::ConstantRateWifiManager", "DataMode", StringValue(  
,! "HtMcs7"), "ControlMode", StringValue("HtMcs0"));
```

```
//Install PHY and MAC
```

```
Ssid ssid = Ssid("ns3-wifi");
```

```
WifiMacHelper mac;
```

```
mac.SetType("ns3::StaWifiMac",
```

```
"Ssid", SsidValue(ssid),
```

```
"ActiveProbing", BooleanValue(false));
```

```
NetDeviceContainer staDevice;
```

```
staDevice = wifi.Install(phy, mac, wifiStaNode);
```

```
mac.SetType("ns3::ApWifiMac",
```

```
"Ssid", SsidValue(ssid));
```

```
NetDeviceContainer apDevice;
```

(continues on next page)

(continued from previous page)

```
apDevice = wifi.Install(phy, mac, wifiApNode);
```

```
//Once install is done, we overwrite the standard timing values
```

```
Config::Set("/NodeList/ */DeviceList/ */$ns3::WifiNetDevice/Phy/Slot",  
,! TimeValue(MicroSeconds(slot)));
```

```
Config::Set("/NodeList/ */DeviceList/ */$ns3::WifiNetDevice/Phy/Sifs",
```

```
,!TimeValue(MicroSeconds(sifs)));
```

```
Config::Set("/NodeList/ */DeviceList/ */$ns3::WifiNetDevice/Phy/Pifs",
```

```
,!TimeValue(MicroSeconds(pifs)));
```

The WifiHelper can be used to set the attributes of the default ack policy selector (ConstantWifiAckPolicySelector ) or to select a different (user provided) ack policy selector, for each of

the available Access Categories. As an example, the following code can be used to set the BaThreshold attribute of

the default ack policy selector associated with BE AC to 0.5:

```
WifiHelper wifi;
```

```
wifi.SetAckPolicySelectorForAc(AC_BE, "ns3::ConstantWifiAckPolicySelector",  
"BaThreshold", DoubleValue(0.5));
```

The WifiHelper is also used to configure OBSS PD spatial reuse for 802.11ax. The following lines configure a

WifiHelper to support OBSS PD spatial reuse using the ConstantObssPdAlgorithm with a threshold set to -72

dBm:

```
WifiHelper wifi;
```

```
wifi.SetObssPdAlgorithm("ns3::ConstantObssPdAlgorithm",  
"ObssPdLevel", DoubleValue(-72.0));
```

There are many other ns-3 attributes that can be set on the above helpers to deviate from the default behavior; the

example scripts show how to do some of this reconfiguration.

HT configuration

HT is an acronym for High Throughput, a term synonymous with the IEEE 802.11n standard. Once the ns3::WifiHelper::Install has been called and the user sets the standard to a variant that supports HT capa-

bilities (802.11n, 802.11ac, or 802.11ax), an HT configuration object will automatically be created for the device. The

configuration object is used to store and manage HT-specific attributes.

802.11n/ac PHY layer can use either long (800 ns) or short (400 ns) OFDM guard intervals. To configure this parameter

for a given device, the following lines of code could be used (in this example, it enables the support of a short guard

interval for the first station):

```
Ptr<NetDevice> nd = wifiStaDevices.Get(0);
```

```
Ptr<WifiNetDevice> wnd = nd->GetObject<WifiNetDevice>();
```

```
Ptr<HtConfiguration> htConfiguration = wnd->GetHtConfiguration();
```

```
htConfiguration->SetShortGuardIntervalSupported(true);
```

It is also possible to configure HT-specific attributes using Config::Set . The following line of code enables the

support of a short guard interval for all stations:

```
Config::Set("/NodeList/ */DeviceList/ */$ns3::WifiNetDevice/HtConfiguration/  
,!ShortGuardIntervalSupported", BooleanValue(true));
```

VHT configuration

IEEE 802.11ac devices are also known as supporting Very High Throughput (VHT). Once the

ns3::WifiHelper::Install has been called and either the 802.11ac or 802.11ax 5 GHz standards are con-

figured, a VHT configuration object will be automatically created to manage VHT-specific attributes.

As of ns-3.29, however, there are no VHT-specific configuration items to manage; therefore, this object is a placeholder

for future growth.

#### HE configuration

IEEE 802.11ax is also known as High Efficiency (HE). Once the `ns3::WifiHelper::Install` has been called

and IEEE 802.11ax configured as the standard, an HE configuration object will automatically be created to manage

HE-specific attributes for 802.11ax devices.

802.11ax PHY layer can use either 3200 ns, 1600 ns or 800 ns OFDM guard intervals. To configure this parameter,

the following lines of code could be used (in this example, it enables the support of 1600 ns guard interval), such as in

this example code snippet:

```
Ptr<NetDevice> nd = wifiStaDevices.Get(0);  
Ptr<WifiNetDevice> wnd = nd->GetObject<WifiNetDevice>();  
Ptr<HeConfiguration> heConfiguration = wnd->GetHeConfiguration();  
heConfiguration->SetGuardInterval(NanoSeconds(1600));
```

802.11ax allows extended compressed Block ACKs containing a 256-bits bitmap, making possible transmissions of

A-MPDUs containing up to 256 MPDUs, depending on the negotiated buffer size. In order to configure the buffer size

of an 802.11ax device, the following line of code could be used:

```
heConfiguration->SetMpduBufferSize(256);
```

For transmitting large MPDUs, it might also be needed to increase the maximum aggregation size (see above).

When using UL MU transmissions, solicited TB PPDU can arrive at the AP with a different delay, due to

the different propagation delay from the various stations. In real systems, late TB PPDU cause a variable

amount of interference depending on the receiver's sensitivity. This phenomenon can be modeled through the

`ns3::HeConfiguration::MaxTbPpduDelay` attribute, which defines the maximum delay with which a TB PPDU can arrive with respect to the first TB PPDU in order to be decoded properly. TB PPDU arriving after more than

`MaxTbPpduDelay` since the first TB PPDU are discarded and considered as interference.

#### Mobility configuration

Finally, a mobility model must be configured on each node with Wi-Fi device. Mobility model is used for calculating

propagation loss and propagation delay. Two examples are provided in the next section. Users are referred to the

#### Example configuration

We provide two typical examples of how a user might configure a Wi-Fi network – one example with an ad-hoc

network and one example with an infrastructure network. The two examples were modified from the two examples in

the `examples/wireless` folder (`wifi-simple-adhoc.cc` and `wifi-simple-infra.cc`). Users are encouraged to see examples in the `examples/wireless` folder.

#### AdHoc WifiNetDevice configuration

In this example, we create two ad-hoc nodes equipped with 802.11a Wi-Fi devices. We use the `ns3::ConstantSpeedPropagationDelayModel` as the propagation delay model and `ns3::LogDistancePropagationLossModel` with the exponent of 3.0 as the propagation loss model. Both devices are configured with `ConstantRateWifiManager` at the fixed rate of 12Mbps. Finally, we

```

manually place
them by using the ns3::ListPositionAllocator :
std::string phyMode("OfdmRate12Mbps");
NodeContainer c;
c.Create(2);
WifiHelper wifi;
wifi.SetStandard(WIFI_STANDARD_80211a);
YansWifiPhyHelper wifiPhy = YansWifiPhyHelper::Default();
// ns-3 supports RadioTap and Prism tracing extensions for 802.11
wifiPhy.SetPcapDataLinkType(WifiPhyHelper::DLT_IEEE802_11_RADIO);
YansWifiChannelHelper wifiChannel;
wifiChannel.SetPropagationDelay("ns3::ConstantSpeedPropagationDelayModel");
wifiChannel.AddPropagationLoss("ns3::LogDistancePropagationLossModel",
"Exponent", DoubleValue(3.0));
wifiPhy.SetChannel(wifiChannel.Create());
// Add a non-QoS upper mac, and disable rate control (i.e. ConstantRateWifiManager)
WifiMacHelper wifiMac;
wifi.SetRemoteStationManager("ns3::ConstantRateWifiManager",
"DataMode",StringValue(phyMode),
"ControlMode",StringValue(phyMode));
// Set it to adhoc mode
wifiMac.SetType("ns3::AdhocWifiMac");
NetDeviceContainer devices = wifi.Install(wifiPhy, wifiMac, c);
// Configure mobility
MobilityHelper mobility;
Ptr<ListPositionAllocator> positionAlloc = CreateObject<ListPositionAllocator>();
positionAlloc->Add(Vector(0.0, 0.0, 0.0));
positionAlloc->Add(Vector(5.0, 0.0, 0.0));
mobility.SetPositionAllocator(positionAlloc);
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
mobility.Install(c);
// other set up (e.g. InternetStack, Application)
Infrastructure (access point and clients) WifiNetDevice configuration
This is a typical example of how a user might configure an access point and a set of clients. In
this example, we create
std::string phyMode("DsssRate1Mbps");
NodeContainer ap;
ap.Create(1);
(continues on next page)
(continued from previous page)
NodeContainer stas;
stas.Create(2);
WifiHelper wifi;
wifi.SetStandard(WIFI_STANDARD_80211b);
YansWifiPhyHelper wifiPhy = YansWifiPhyHelper::Default();
// ns-3 supports RadioTap and Prism tracing extensions for 802.11
wifiPhy.SetPcapDataLinkType(WifiPhyHelper::DLT_IEEE802_11_RADIO);
YansWifiChannelHelper wifiChannel;
// reference loss must be changed since 802.11b is operating at 2.4GHz
wifiChannel.SetPropagationDelay("ns3::ConstantSpeedPropagationDelayModel");
wifiChannel.AddPropagationLoss("ns3::LogDistancePropagationLossModel",

```

```

"Exponent", DoubleValue(3.0),
"ReferenceLoss", DoubleValue(40.0459));
wifiPhy.SetChannel(wifiChannel.Create());
// Add a non-QoS upper mac, and disable rate control
WifiMacHelper wifiMac;
wifi.SetRemoteStationManager("ns3::ConstantRateWifiManager",
"DataMode",StringValue(phyMode),
"ControlMode",StringValue(phyMode));
// Setup the rest of the upper mac
Ssid ssid = Ssid("wifi-default");
// setup AP.
wifiMac.SetType("ns3::ApWifiMac",
"Ssid", SsidValue(ssid));
NetDeviceContainer apDevice = wifi.Install(wifiPhy, wifiMac, ap);
NetDeviceContainer devices = apDevice;
// setup STAs.
wifiMac.SetType("ns3::StaWifiMac",
"Ssid", SsidValue(ssid),
"ActiveProbing", BooleanValue(false));
NetDeviceContainer staDevices = wifi.Install(wifiPhy, wifiMac, stas);
devices.Add(staDevices);
// Configure mobility
MobilityHelper mobility;
Ptr<ListPositionAllocator> positionAlloc = CreateObject<ListPositionAllocator>();
positionAlloc->Add(Vector(0.0, 0.0, 0.0));
positionAlloc->Add(Vector(5.0, 0.0, 0.0));
positionAlloc->Add(Vector(0.0, 5.0, 0.0));
mobility.SetPositionAllocator(positionAlloc);
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
mobility.Install(ap);
mobility.Install(sta);
// other set up (e.g. InternetStack, Application)
Multiple RF interfaces configuration
NodeContainer ap;
ap.Create(1);
NodeContainer sta;
sta.Create(1);
WifiHelper wifi;
wifi.SetStandard(WIFI_STANDARD_80211be);
// Create multiple spectrum channels
Ptr<MultiModelSpectrumChannel> spectrumChannel2_4Ghz =
CreateObject<MultiModelSpectrumChannel>();
Ptr<MultiModelSpectrumChannel> spectrumChannel5Ghz =
CreateObject<MultiModelSpectrumChannel>();
Ptr<MultiModelSpectrumChannel> spectrumChannel6Ghz =
CreateObject<MultiModelSpectrumChannel>();
// optional: set up propagation loss model separately for each spectrum channel
// SpectrumWifiPhyHelper (3 links)
SpectrumWifiPhyHelper phy(3);
phy.SetPcapDataLinkType(WifiPhyHelper::DLT_IEEE802_11_RADIO);
phy.AddChannel(spectrumChannel2_4Ghz, WIFI_SPECTRUM_2_4_GHZ);

```



```

phy.AddChannel(spectrumChannel5Ghz, WIFI_SPECTRUM_5_GHZ);
phy.AddChannel(spectrumChannel6Ghz, WIFI_SPECTRUM_6_GHZ);
// configure operating channel for each link
phy.Set(0, "ChannelSettings", StringValue("{42, 0, BAND_2_4GHZ, 0}"));
phy.Set(1, "ChannelSettings", StringValue("{42, 0, BAND_5GHZ, 0}"));
phy.Set(2, "ChannelSettings", StringValue("{215, 0, BAND_6GHZ, 0}"));
// configure rate manager for each link
wifi.SetRemoteStationManager(0,
"ns3::ConstantRateWifiManager",
"DataMode", StringValue("EhtMcs11"),
"ControlMode", StringValue("ErpOfdmRate24Mbps"));
wifi.SetRemoteStationManager(1,
"ns3::ConstantRateWifiManager",
"DataMode", StringValue("EhtMcs9"),
"ControlMode", StringValue("OfdmRate24Mbps"));
wifi.SetRemoteStationManager(2,
"ns3::ConstantRateWifiManager",
"DataMode", StringValue("EhtMcs7"),
"ControlMode", StringValue("HeMcs4"));
// setup AP.
wifiMac.SetType("ns3::ApWifiMac",
"Ssid", SsidValue(ssid));
NetDeviceContainer apDevice = wifi.Install(wifiPhy, wifiMac, ap);
NetDeviceContainer devices = apDevice;
// setup STA.
wifiMac.SetType("ns3::StaWifiMac",
"Ssid", SsidValue(ssid),
"ActiveProbing", BooleanValue(false));
NetDeviceContainer staDevice = wifi.Install(wifiPhy, wifiMac, sta);
(continues on next page)
(continued from previous page)
devices.Add(staDevice);
// Configure mobility
MobilityHelper mobility;
Ptr<ListPositionAllocator> positionAlloc = CreateObject<ListPositionAllocator>();
positionAlloc->Add(Vector(0.0, 0.0, 0.0));
positionAlloc->Add(Vector(5.0, 0.0, 0.0));
mobility.SetPositionAllocator(positionAlloc);
mobility.SetMobilityModel("ns3::ConstantPositionMobilityModel");
mobility.Install(c);
// other set up (e.g. InternetStack, Application)

```

At present, most of the available documentation about testing and validation exists in publications, some of which are referenced below.

Validation results for the 802.11b error model are available in this technical report BER model. In the program in the Appendix of the paper (80211b.c), there are two constants used to generate the data. The first, packet size, is set to 1024 bytes. The second, “noise”, is set to a value of 7 dB; this was empirically picked to align the curves the best with the reported data from the CMU testbed. Although a value of 1.55 dB would

correspond to the reported -99 dBm noise floor from the CMU paper, a noise figure of 7 dB results in the best fit with

the CMU experimental data. This default of 7 dB is the RxNoiseFigure in the ns3::YansWifiPhy model. Other

values for noise figure will shift the curves leftward or rightward but not change the slope.

The curves can be reproduced by running the wifi-clear-channel-cmu.cc example program in the examples/

wireless directory, and the figure produced (when GNU Scientific Library (GSL) is enabled) is reproduced below

in Figure Clear channel (AWGN) error model for 802.11b .

Validation results for the 802.11a/g OFDM error model are available in this technical report. The curves can be

reproduced by running the wifi-ofdm-validation.cc example program in the examples/wireless directory,

and the figure is reproduced below in Figure Frame error rate (NIST model) for 802.11a/g (OFDM) Wi-Fi .

Similar curves for 802.11n/ac/ax can be obtained by running the wifi-ofdm-ht-validation.cc ,

wifi-ofdm-vht-validation.cc and wifi-ofdm-he-validation.cc example programs in the examples/

wireless directory, and the figures are reproduced below in Figure Frame error rate (NIST model) for 802.11n (HT

OFDM) Wi-Fi , Figure Frame error rate (NIST model) for 802.11ac (VHT OFDM) Wi-Fi and Figure Frame error rate

(NIST model) for 802.11ax (HE OFDM) Wi-Fi , respectively. There is no validation for those curves yet.

Validation of the 802.11 DCF MAC layer has been performed in [baldo2010].

serving the frame exchange using Wireshark.

-102 -100 -98 -96 -94 -92 -90 -88 -86 -84Number of packets received

RSS(dBm)DsssRate1Mbps

DsssRate2Mbps

DsssRate55Mbps

DsssRate11Mbps

0 0.2 0.4 0.6 0.8 1 1.2

-5 0 5 10 15 20 25 30Frame Success Rate

SNR(dB)OfdmRate6Mbps

OfdmRate9Mbps

OfdmRate12Mbps

OfdmRate18Mbps

OfdmRate24Mbps

OfdmRate36Mbps

OfdmRate48Mbps

OfdmRate54Mbps

0 0.2 0.4 0.6 0.8 1 1.2

-5 0 5 10 15 20 25 30Frame Success Rate

SNR(dB)HtMcs0

HtMcs1

HtMcs2

HtMcs3

HtMcs4

HtMcs5

HtMcs6

HtMcs7

0 10 20 30 40 50Frame Success Rate

SNR(dB)VhtMcs0

VhtMcs1

VhtMcs2

VhtMcs3

VhtMcs4

VhtMcs5

VhtMcs6

VhtMcs7

VhtMcs8

0 10 20 30 40 50Frame Success Rate

SNR(dB)HeMcs0

HeMcs1

HeMcs2

HeMcs3

HeMcs4

HeMcs5

HeMcs6

HeMcs7

HeMcs8

HeMcs9

HeMcs10

HeMcs11

The SpectrumWifiPhy implementation has been verified to produce equivalent results to the legacy YansWifiPhy by

using the saturation and packet error rate programs (described below) and toggling the implementation between the

A basic unit test is provided using injection of hand-crafted packets to a receiving Phy object, controlling the timing

and receive power of each packet arrival and checking the reception results. However, most of the testing of this Phy

implementation has been performed using example programs described below, and during the course of a (separate)

LTE/Wi-Fi coexistence study not documented herein.

Saturation performance

The program examples/wireless/wifi-spectrum-saturation-example.cc allows user to select either theSpectrumWifiPhy orYansWifiPhy for saturation tests. The wifiType can be toggled by the argument '--wifiType=ns3::YansWifiPhy' or--wifiType=ns3::SpectrumWifiPhy'

There isn't any difference in the output, which is to be expected because this test is more of a test of the DCF than the physical layer.

By default, the program will use the SpectrumWifiPhy and will run for 10 seconds of saturating UDP data, with 802.11n

features enabled. It produces this output for the main 802.11n rates (with short and long guard intervals):

wifiType: ns3::SpectrumWifiPhy distance: 1m

index MCS width Rate (Mb/s) Tput (Mb/s) Received

0 0 20 6.5 5.81381 4937

1 1 20 13 11.8266 10043

2 2 20 19.5 17.7935 15110

3 3 20 26 23.7958 20207

4 4 20 39 35.7331 30344

5 5 20 52 47.6174 40436

(continues on next page)

(continued from previous page)

6 6 20 58.5 53.6102 45525

7 7 20 65 59.5501 50569

...

63 15 40 300 254.902 216459

modes correspond to short guard interval disabled and channel bonding disabled. The subsequent 24 modes run by

this program are variations with short guard interval enabled (cases 9-16), and then with channel bonding enabled and

short guard first disabled then enabled (cases 17-32). Cases 33-64 repeat the same configurations but for two spatial

streams (MIMO abstraction).

When run with the legacy YansWifiPhy, as in `./ns3 run "wifi-spectrum-saturation-example`

`--wifiType=ns3::YansWifiPhy"`, the same output is observed:

wifiType: ns3::YansWifiPhy distance: 1m

index MCS width Rate (Mb/s) Tput (Mb/s) Received

0 0 20 6.5 5.81381 4937

1 1 20 13 11.8266 10043

2 2 20 19.5 17.7935 15110

3 3 20 26 23.7958 20207

...

This is to be expected since YansWifiPhy and SpectrumWifiPhy use the same error rate model in this case.

Packet error rate performance

The program `examples/wireless/wifi-spectrum-per-example.cc` allows users to select either SpectrumWifi-

Phy or YansWifiPhy, as above, and select the distance between the nodes, and to log the reception statistics and received

SNR (as observed by the `WifiPhy::MonitorSnifferRx` trace source), using a Friis propagation loss model. The transmit

power is lowered from the default of 40 mW (16 dBm) to 1 dBm to lower the baseline SNR; the distance between the

nodes can be changed to further change the SNR. By default, it steps through the same index values as in the saturation

example (0 through 31) for a 50m distance, for 10 seconds of simulation time, producing output such as:

wifiType: ns3::SpectrumWifiPhy distance: 50m; time: 10; TxPower: 1 dBm (1.3 mW)

index MCS Rate (Mb/s) Tput (Mb/s) Received Signal (dBm) Noise (dBm) SNR (dB)

0 0 6.50 5.77 7414 -79.71 -93.97 14.25

1 1 13.00 11.58 14892 -79.71 -93.97 14.25

2 2 19.50 17.39 22358 -79.71 -93.97 14.25

3 3 26.00 22.96 29521 -79.71 -93.97 14.25

4 4 39.00 0.00 0 N/A N/A N/A

5 5 52.00 0.00 0 N/A N/A N/A

6 6 58.50 0.00 0 N/A N/A N/A

7 7 65.00 0.00 0 N/A N/A N/A

As in the above saturation example, running this program with YansWifiPhy will yield identical output.

## Interference performance

The program examples/wireless/wifi-spectrum-per-interference.cc is based on the previous packet error rate example, but copies over the WaveformGenerator from the unlicensed LTE interferer test, to allow users to

inject a non-Wi-Fi signal (using the --waveformPower argument) from the command line. Another difference with

respect to the packet error rate example program is that the transmit power is set back to the default of 40 mW (16

dBm). By default, the interference generator is off, and the program should behave similarly to the other packet error

rate example, but by adding small amounts of power (e.g. --waveformPower=0.001 ), one will start to observe SNR

degradation and frame loss.

Some sample output with default arguments (no interference) is:

```
./ns3 run "wifi-spectrum-per-interference"
```

```
wifiType: ns3::SpectrumWifiPhy distance: 50m; time: 10; TxPower: 16 dBm (40 mW)
```

```
index MCS Rate (Mb/s) Tput (Mb/s) Received Signal (dBm)Noi+Inf(dBm) SNR (dB)
```

```
0 0 6.50 5.77 7414 -64.69 -93.97 29.27
```

```
1 1 13.00 11.58 14892 -64.69 -93.97 29.27
```

```
2 2 19.50 17.39 22358 -64.69 -93.97 29.27
```

```
3 3 26.00 23.23 29875 -64.69 -93.97 29.27
```

```
4 4 39.00 34.90 44877 -64.69 -93.97 29.27
```

```
5 5 52.00 46.51 59813 -64.69 -93.97 29.27
```

```
6 6 58.50 52.39 67374 -64.69 -93.97 29.27
```

```
7 7 65.00 58.18 74819 -64.69 -93.97 29.27
```

...

while a small amount of waveform power will cause frame losses to occur at higher order modulations, due to lower

```
./ns3 run "wifi-spectrum-per-interference --waveformPower=0.001"
```

```
wifiType: ns3::SpectrumWifiPhy distance: 50m; sent: 1000 TxPower: 16 dBm (40 mW)
```

```
index MCS Rate (Mb/s) Tput (Mb/s) Received Signal (dBm)Noi+Inf(dBm) SNR (dB)
```

```
0 0 6.50 5.77 7414 -64.69 -80.08 15.38
```

```
1 1 13.00 11.58 14892 -64.69 -80.08 15.38
```

```
2 2 19.50 17.39 22358 -64.69 -80.08 15.38
```

```
3 3 26.00 23.23 29873 -64.69 -80.08 15.38
```

```
4 4 39.00 0.41 531 -64.69 -80.08 15.38
```

```
5 5 52.00 0.00 0 N/A N/A N/A
```

```
6 6 58.50 0.00 0 N/A N/A N/A
```

```
7 7 65.00 0.00 0 N/A N/A N/A
```

...

If ns3::YansWifiPhy is selected as the wifiType, the waveform generator will not be enabled because only transmitters

of type YansWifiPhy may be connected to a YansWifiChannel.

The interference signal as received by the sending node is typically below the default -62 dBm CCA Mode 1 threshold

in this example. If it raises above, the sending node will suppress all transmissions.

The program src/wifi/examples/wifi-bianchi.cc allows user to compare ns-3 simulation results against the

Bianchi model presented in [bianchi2000] and [bianchi2005].

The MATLAB code used to generate the Bianchi model, as well as the generated outputs, are provided in the folder

src/wifi/examples/reference . User can regenerate Bianchi results by running generate\_bianchi.m in MATLAB-

By default, the program src/wifi/examples/wifi-bianchi.cc simulates an 802.11a adhoc ring scenario, with

a PHY rate set to 54 Mbit/s, and loop from 5 stations to 50 stations, by a step of 5 stations. It generates a plt file, which

allows user to quickly generate an eps file using gnuplot and visualize the graph.

```
./ns3 run "wifi-bianchi"
```

```
5 10 15 20 25 30 35 40 45 50Throughput (Mbps)
```

```
Number of competing stationsFrame size 1500 bytes
```

```
ns-3
```

```
Bianchi
```

The user has the possibility to select the standard (only 11a, 11b or 11g currently supported), to select the PHY rate

(in Mbit/s), as well as to choose between an adhoc or an infrastructure configuration.

When run for 802.11g 6 Mbit/s in infrastructure mode, the output is:

```
./ns3 run "wifi-bianchi --standard=11g --phyRate=6 --duration=500 --infra"
```

The implementation of the OFDMA support has been validated against a theoretical model [magrin2021mu] .

A preliminary evaluation of the usage of OFDMA in 802.11ax, in terms of latency in non-saturated conditions,

throughput in saturated conditions and transmission range with UL OFDMA, is provided in [avallone2021wcm] .

This page tracks the most relevant changes to the API and behavior of the wifi module occurred across the various releases of ns-3.

Prior to ns-3.36, channels, channel widths, and operating bands were set separately. As of ns-3.36, a new tuple object

that we call ChannelSettings has consolidated all of these settings. Users should specify the channel number, channel

width, frequency band, and primary channel index as a tuple (and continue to set the Wi-Fi standard separately).

For instance, where pre-ns-3.36 code may have said:

```
3.4 3.6 3.8 4 4.2 4.4 4.6 4.8
```

```
5 10 15 20 25 30 35 40 45 50Throughput (Mbps)
```

```
Number of competing stationsFrame size 1500 bytes
```

```
ns-3
```

```
Bianchi
```

```
WifiPhyHelper phy;
```

```
phy.Set("ChannelNumber", UIntegerValue(36));
```

the equivalent new code is:

```
WifiPhyHelper phy;
```

```
phy.Set("ChannelSettings", StringValue("{36, 20, BAND_5GHZ, 0}"));
```

which denotes that channel 36 is used on a 20 MHz channel in the 5GHz band, and because a larger channel width

greater than 20 MHz is not being used, there is no need to indicate the primary 20 MHz channel so it is set to zero in

the last argument. Users can read Channel, frequency, channel width, and band configuration for more details.

3nodes, one can create models of 802.16-based networks. Below, we list some more details about what the ns-3

WiMAX models cover but, in summary, the most important features of the ns-3 model are:

- a scalable and realistic physical layer and channel model
- a packet classifier for the IP convergence sublayer
- efficient uplink and downlink schedulers
- support for Multicast and Broadcast Service (MBS), and
- packet tracing functionality

The source code for the WiMAX models lives in the directory `src/wimax`.

There have been two academic papers published on this model:

- M.A. Ismail, G. Piro, L.A. Grieco, and T. Turetletti, "An Improved IEEE 802.16 WiMAX Module for the NS-3

Simulator", SIMUTools 2010 Conference, March 2010.

- J. Farooq and T. Turetletti, "An IEEE 802.16 WiMAX module for the NS-3 Simulator," SIMUTools 2009 Conference, March 2009.

From a MAC perspective, there are two basic modes of operation, that of a Subscriber Station (SS) or a Base Station (BS). These are implemented as two subclasses of the base class `ns3::NetDevice`, class

`SubscriberStationNetDevice` and class `BaseStationNetDevice`. As is typical in ns-3, there is also a physical

layer class `WimaxPhy` and a channel class `WimaxChannel` which serves to hold the references to all of the attached

Phy devices. The main physical layer class is the `SimpleOfdmWimaxChannel` class.

Another important aspect of WiMAX is the uplink and downlink scheduler, and there are three primary scheduler

types implemented:

- SIMPLE: a simple priority based FCFS scheduler
- RTPS: a real-time polling service (rtPS) scheduler
- MBQOS: a migration-based uplink scheduler

The following additional aspects of the 802.16 specifications, as well as physical layer and channel models, are modelled:

- leverages existing ns-3 wireless propagation loss and delay models, as well as ns-3 mobility models
- Point-to-Multipoint (PMP) mode and the WirelessMAN-OFDM PHY layer
- Initial Ranging
- Service Flow Initialization
- Management Connection
- Transport Initialization
- UGS, rtPS, nrtPS, and BE connections

The following aspects are not presently modelled but would be good topics for future extensions:

- OFDMA PHY layer
- Link adaptation
- Mesh topologies
- ertPS connection
- packet header suppression

The main way that users who write simulation scripts will typically interact with the Wimax models is through the

helper API and through the publicly visible attributes of the model.

The helper API is defined in `src/wimax/helper/wimax-helper.{cc,h}`.

The example `src/wimax/examples/wimax-simple.cc` contains some basic code that shows how to set up the model:

```

switch(schedType)
{
case0:
scheduler = WimaxHelper::SCHED_TYPE_SIMPLE;
break;
case1:
scheduler = WimaxHelper::SCHED_TYPE_MBQOS;
break;
case2:
scheduler = WimaxHelper::SCHED_TYPE_RTPS;
break;
default:
scheduler = WimaxHelper::SCHED_TYPE_SIMPLE;
}
NodeContainer ssNodes;
NodeContainer bsNodes;
ssNodes.Create(2);
bsNodes.Create(1);
WimaxHelper wimax;
NetDeviceContainer ssDevs, bsDevs;
ssDevs = wimax.Install(ssNodes,
(continues on next page)
(continued from previous page)
WimaxHelper::DEVICE_TYPE_SUBSCRIBER_STATION,
WimaxHelper::SIMPLE_PHY_TYPE_OFDM,
scheduler);
bsDevs = wimax.Install(bsNodes, WimaxHelper::DEVICE_TYPE_BASE_STATION,
,!WimaxHelper::SIMPLE_PHY_TYPE_OFDM, scheduler);

```

This example shows that there are two subscriber stations and one base station created. The helper method Install

allows the user to specify the scheduler type, the physical layer type, and the device type.

Different variants of Install are available; for instance, the example src/wimax/examples/wimax-multicast.

ccshows how to specify a non-default channel or propagation model:

```

channel = CreateObject<SimpleOfdmWimaxChannel>();
channel->SetPropagationModel(SimpleOfdmWimaxChannel::COST231_PROPAGATION);
ssDevs = wimax.Install(ssNodes,
WimaxHelper::DEVICE_TYPE_SUBSCRIBER_STATION,
WimaxHelper::SIMPLE_PHY_TYPE_OFDM,
channel,
scheduler);
Ptr<WimaxNetDevice> dev = wimax.Install(bsNodes.Get(0),
WimaxHelper::DEVICE_TYPE_BASE_STATION,
WimaxHelper::SIMPLE_PHY_TYPE_OFDM,
channel,
scheduler);

```

Mobility is also supported in the same way as in Wifi models; see the src/wimax/examples/wimax-multicast.

cc.

Another important concept in WiMAX is that of a service flow. This is a unidirectional flow of packets with a



set of QoS parameters such as traffic priority, rate, scheduling type, etc. The base station is responsible for issuing

service flow identifiers and mapping them to WiMAX connections. The following code from `src/wimax/examples/`

`wimax-multicast.cc` shows how this is configured from a helper level:

```
ServiceFlow MulticastServiceFlow = wimax.CreateServiceFlow(ServiceFlow::SF_DIRECTION_
ServiceFlow::SF_TYPE_UGS,
MulticastClassifier);
```

```
bs->GetServiceFlowManager()->AddMulticastServiceFlow(MulticastServiceFlow,
,!WimaxPhy::MODULATION_TYPE_QPSK_12);
```

The `WimaxNetDevice` makes heavy use of the ns-3 attributes subsystem for configuration and default value manage-

ment. Presently, approximately 60 values are stored in this system.

For instance, class `ns-3::SimpleOfdmWimaxPhy` exports these attributes:

- `NoiseFigure`: Loss (dB) in the Signal-to-Noise-Ratio due to non-idealities in the receiver.
- `TxPower`: Transmission power (dB)
- `G`: The ratio of CP time to useful time
- `txGain`: Transmission gain (dB)
- `RxGain`: Reception gain (dB)
- `Nfft`: FFT size
- `TraceFilePath`: Path to the directory containing SNR to block error rate files

For a full list of attributes in these models, consult the Doxygen page that lists all attributes for ns-3.

ns-3 has a sophisticated tracing infrastructure that allows users to hook into existing trace sources, or to define and export new ones.

Many ns-3 users use the built-in `Pcap` or `Ascii` tracing, and the `WimaxHelper` has similar APIs:

```
AsciiTraceHelper ascii;
```

```
WimaxHelper wimax;
```

```
wimax.EnablePcap("wimax-program", false);
```

```
wimax.EnableAsciiAll(ascii.CreateFileStream("wimax-program.tr");
```

Unlike other helpers, there is also a special `EnableAsciiForConnection()` method that limits the `ascii` tracing to

a specific device and connection.

These helpers access the low level trace sources that exist in the WiMAX physical layer, net device, and queue models.

Like other ns-3 trace sources, users may hook their own functions to these trace sources if they want to do customized

things based on the packet events. See the Doxygen List of trace sources for a complete list of these sources.

The 802.16 model provided in ns-3 attempts to provide an accurate MAC and PHY level implementation of the 802.16

specification with the Point-to-Multipoint (PMP) mode and the WirelessMAN-OFDM PHY layer. The model is mainly

composed of three layers:

- The convergence sublayer (CS)
- The MAC CP Common Part Sublayer (MAC-CPS)
- Physical (PHY) layer

The following figure WiMAX architecture shows the relationships of these models.

The Convergence sublayer (CS) provided with this module implements the Packet CS, designed to work with the

packet-based protocols at higher layers. The CS is responsible of receiving packet from the higher layer and from

peer stations, classifying packets to appropriate connections (or service flows) and processing packets. It keeps a

mapping of transport connections to service flows. This enables the MAC CPS identifying the Quality of Service

(QoS) parameters associated to a transport connection and ensuring the QoS requirements. The CS currently employs

an IP classifier.

An IP packet classifier is used to map incoming packets to appropriate connections based on a set of criteria. The

classifier maintains a list of mapping rules which associate an IP flow (src IP address and mask, dst IP address and

mask, src port range, dst port range and protocol) to one of the service flows. By analyzing the IP and the TCP/UDP

headers the classifier will append the incoming packet (from the upper layer) to the queue of the appropriate WiMAX

connection. Class `IpcsClassifier` and `classIpcsClassifierRecord` implement the classifier module for both

SS and BS

The MAC Common Part Sublayer (CPS) is the main sublayer of the IEEE 802.16 MAC and performs the fundamental functions of the MAC. The module implements the Point-Multi-Point (PMP) mode. In PMP mode BS

is responsible of managing communication among multiple SSs. The key functionalities of the MAC CPS include

framing and addressing, generation of MAC management messages, SS initialization and registration, service flow

management, bandwidth management and scheduling services. Class `WimaxNetDevice` represents the MAC layer

of a WiMAX network device. This class extends the class `NetDevice` of the ns-3 API that provides abstraction

of a network device. Class `WimaxNetDevice` is further extended by class `BaseStationNetDevice` and class

`SubscriberStationNetDevice`, defining MAC layers of BS and SS, respectively. Besides these main classes,

the key functions of MAC are distributed to several other classes.

The module implements a frame as a fixed duration of time, i.e., frame boundaries are defined with

respect to time.

Each frame is further subdivided into downlink (DL) and uplink (UL) subframes. The module implements the Time

Division Duplex (TDD) mode where DL and UL operate on same frequency but are separated in time. A number of

DL and UL bursts are then allocated in DL and UL subframes, respectively. Since the standard allows sending and

receiving bursts of packets in a given DL or UL burst, the unit of transmission at the MAC layer is a packet burst.

The module implements a special `PacketBurst` data structure for this purpose. A packet burst is essentially a list of

packets. The BS downlink and uplink schedulers, implemented by class `BSScheduler` and class `UplinkScheduler`,

are responsible of generating DL and UL subframes, respectively. In the case of DL, the subframe is simulated by transmitting consecutive bursts (instances PacketBurst). In case of UL, the subframe is divided, with respect to time, into a number of slots. The bursts transmitted by the SSs in these slots are then aligned to slot boundaries. The frame is divided into integer number of symbols and Physical Slots (PS) which helps in managing bandwidth more effectively. The number of symbols per frame depends on the underlying implementation of the PHY layer. The size of a DL or UL burst is specified in units of symbols.

The network entry and initialization phase is basically divided into two sub-phases, (1) scanning and synchronization and (2) initial ranging. The entire phase is performed by the LinkManager component of SS and BS. Once an SS wants to join the network, it first scans the downlink frequencies to search for a suitable channel. The search is complete as soon as it detects a PHY frame. The next step is to establish synchronization with the BS. Once SS receives a Downlink-MAP (DL-MAP) message the synchronization phase is complete and it remains synchronized as long as it keeps receiving DL-MAP and Downlink Channel Descriptor (DCD) messages. After the synchronization is established, SS waits for a Uplink Channel Descriptor (UCD) message to acquire uplink channel parameters. Once acquired, the first sub-phase of the network entry and initialization is complete. Once synchronization is achieved, the SS waits for a UL-MAP message to locate a special grant, called initial ranging interval, in the UL subframe. This grant is allocated by the BS Uplink Scheduler at regular intervals. Currently this interval is set to 0.5 ms, however the user is enabled to modify its value from the simulation script.

All communication at the MAC layer is carried in terms of connections. The standard defines a connection as a unidirectional mapping between the SS and BS's MAC entities for the transmission of traffic. The standard defines two types of connections: management connections for transmitting control messages and transport connections for data transmission. A connection is identified by a 16-bit Connection Identifier (CID). Class WimaxConnection and class Cid implement the connection and CID, respectively. Note that each connection maintains its own transmission queue where packets to transmit on that connection are queued. The ConnectionManager component of BS is responsible of creating and managing connections for all SSs.

The two key management connections defined by the standard, namely the Basic and Primary management connections, are created and allocated to the SS during the ranging process. Basic connection plays an important role throughout the operation of SS also because all (unicast) DL and UL grants are directed towards SS's Basic CID. In

addition to management connections, an SS may have one or more transport connections to send data packets. The

Connection Manager component of SS manages the connections associated to SS. As defined by the standard, a man-

agement connection is bidirectional, i.e., a pair of downlink and uplink connections is represented by the same CID.

This feature is implemented in a way that one connection (in DL direction) is created by the BS and upon receiving

the CID the SS then creates an identical connection (in UL direction) with the same CID.

The module supports the four scheduling services defined by the 802.16-2004 standard:

- Unsolicited Grant Service (UGS)
- Real-Time Polling Services (rtPS)
- Non Real-Time Polling Services (nrtPS)
- Best Effort (BE)

These scheduling services behave differently with respect to how they request bandwidth as well as how the it is

granted. Each service flow is associated to exactly one scheduling service, and the QoS parameter set associated to a

service flow actually defines the scheduling service it belongs to. When a service flow is created the UplinkScheduler

calculates necessary parameters such as grant size and grant interval based on QoS parameters associated to it.

Uplink Scheduler at the BS decides which of the SSs will be assigned uplink allocations based on the QoS parameters

associated to a service flow (or scheduling service) and bandwidth requests from the SSs. Uplink scheduler together

with Bandwidth Manager implements the complete scheduling service functionality. The standard defines up to four

scheduling services (BE, UGS, rtPS, nrtPS) for applications with different types of QoS requirements. The service

flows of these scheduling services behave differently with respect to how they request for bandwidth as well as how

the bandwidth is granted. The module supports all four scheduling services. Each service flow is associated to exactly

the scheduling service it belongs to. Standard QoS parameters for UGS, rtPS, nrtPS and BE services, as specified in

calculates necessary parameters such as grant size and allocation interval based on QoS parameters associated to it.

The current WiMAX module provides three different versions of schedulers.

- The first one is a simple priority-based First Come First Serve (FCFS). For the real-time services (UGS and rtPS) the BS then allocates grants/polls on regular basis based on the calculated interval. For the non real-time services (nrtPS and BE) only minimum reserved bandwidth is guaranteed if available after servicing real-time

flows. Note that not all of these parameters are utilized by the uplink scheduler. Also note that currently

only service flow with fixed-size packet size are supported, as currently set up in simulation scenario with

OnOff application of fixed packet size. This scheduler is implemented by class BSSchedulerSimple and class

UplinkSchedulerSimple .

- The second one is similar to first scheduler except by rtPS service flow. All rtPS Connections are able to transmit all packet in the queue according to the available bandwidth. The bandwidth saturation control has

been implemented to redistribute the effective available bandwidth to all rtPS that have at least one packet to

transmit. The remaining bandwidth is allocated to nrtPS and BE Connections. This scheduler is implemented

by classBSSSchedulerRtps and classUplinkSchedulerRtps .

- The third one is a Migration-based Quality of Service uplink scheduler This uplink scheduler uses three queues,

the low priority queue, the intermediate queue and the high priority queue. The scheduler serves the requests

in strict priority order from the high priority queue to the low priority queue. The low priority queue stores the

bandwidth requests of the BE service flow. The intermediate queue holds bandwidth requests sent by rtPS and

by nrtPS connections. rtPS and nrtPS requests can migrate to the high priority queue to guarantee that their QoS

requirements are met. Besides the requests migrated from the intermediate queue, the high priority queue stores

periodic grants and unicast request opportunities that must be scheduled in the following frame. To guarantee

the maximum delay requirement, the BS assigns a deadline to each rtPS bandwidth request in the intermediate

queue. The minimum bandwidth requirement of both rtPS and nrtPS connections is guaranteed over a window

of duration T. This scheduler is implemented by class UplinkSchedulerMBQoS .

Besides the uplink scheduler these are the outbound schedulers at BS and SS side (BSScheduler and SSScheduler). The

outbound schedulers decide which of the packets from the outbound queues will be transmitted in a given allocation.

The outbound scheduler at the BS schedules the downlink traffic, i.e., packets to be transmitted to the SSs in the

downlink subframe. Similarly the outbound scheduler at a SS schedules the packet to be transmitted in the uplink

allocation assigned to that SS in the uplink subframe. All three schedulers have been implemented to work as FCFS

scheduler, as they allocate grants starting from highest priority scheduling service to the lower priority one (UGS>

rtPS> nrtPS> BE). The standard does not suggest any scheduling algorithm and instead leaves this decision up to the

manufacturers. Of course more sophisticated algorithms can be added later if required.

The module implements the Wireless MAN OFDM PHY specifications as the more relevant for implementation as it

is the schema chosen by the WiMAX Forum. This specification is designed for non-light-of-sight (NLOS) including

fixed and mobile broadband wireless access. The proposed model uses a 256 FFT processor, with 192 data subcarriers.

It supports all the seven modulation and coding schemes specified by Wireless MAN-OFDM. It is

composed of two

parts: the channel model and the physical model.

The channel model we propose is implemented by the class SimpleOFDMWimaxChannel which extends the class

wimaxchannel . The channel entity has a private structure named m\_phyList which handles all the physical devices

connected to it. When a physical device sends a packet (FEC Block) to the channel, the channel handles the packet,

and then for each physical device connected to it, it calculates the propagation delay, the path loss according to a

given propagation model and eventually forwards the packet to the receiver device. The channel class uses the method

GetDistanceFrom() to calculate the distance between two physical entities according to their 3D coordinates. The

delay is computed as  $\text{delay} = \text{distance}/C$  , where  $C$  is the speed of the light.

The physical layer performs two main operations: (i) It receives a burst from a channel and forwards it to the MAC

layer, (ii) it receives a burst from the MAC layer and transmits it on the channel. In order to reduce the simulation

complexity of the WiMAX physical layer, we have chosen to model offline part of the physical layer.

More specifically

we have developed an OFDM simulator to generate trace files used by the reception process to evaluate if a FEC block

can be correctly decoded or not.

Transmission Process: A burst is a set of WiMAX MAC PDUs. At the sending process, a burst is converted into

bit-streams and then split into smaller FEC blocks which are then sent to the channel with a power equal  $P_{tx}$ .

Reception Process: The reception process includes the following operations:

1. Receive a FEC block from the channel.
2. Calculate the noise level.
3. Estimate the signal to noise ratio (SNR) with the following formula.
4. Determine if a FEC block can be correctly decoded.
5. Concatenate received FEC blocks to reconstruct the original burst.
6. Forward the burst to the upper layer.

The developed process to evaluate if a FEC block can be correctly received or not uses pre-generated traces. The trace

files are generated by an external OFDM simulator (described later). A class named

SNRToBlockErrorRateManager

handles a repository containing seven trace files (one for each modulation and coding scheme). A repository is specific

for a particular channel model.

A trace file is made of 6 columns. The first column provides the SNR value (1), whereas the other columns give

respectively the bit error rate BER (2), the block error rate BlcER(3), the standard deviation on BlcER, and the

confidence interval (4 and 5). These trace files are loaded into memory by the

SNRToBlockErrorRateManager entity

at the beginning of the simulation.

Currently, The first process uses the first and third columns to determine if a FEC block is correctly received. When the

physical layer receives a packet with an SNR equal to SNR<sub>rx</sub>, it asks the SNRToBlockErrorRateManager to return

the corresponding block error rate BlcER. A random number RAND between 0 and 1 is then generated. If RAND is

greater than BlcER, then the block is correctly received, otherwise the block is considered erroneous and is ignored.

The module provides defaults SNR to block error rate traces in default-traces.h. The traces have been generated by an

External WiMAX OFDM simulator. The simulator is based on an external mathematics and signal processing library

IT++ and includes : a random block generator, a Reed Solomon (RS) coder, a convolutional coder, an interleaver, a

256 FFT-based OFDM modulator, a multi-path channel simulator and an equalizer. The multipath channel is simulated

using the TDL\_channel class of the IT++ library.

Users can configure the module to use their own traces generated by another OFDM simulator or ideally by performing

experiments in real environment. For this purpose, a path to a repository containing trace files should be provided. If

no repository is provided the traces from default-traces.h will be loaded. A valid repository should contain 7 files, one

for each modulation and coding scheme.

The names of the files should respect the following format: modulation0.txt for modulation 0, modulation1.txt for

modulation 1 and so on. . . The file format should be as follows:

SNR\_value1 BER Blc\_ER STANDARD\_DEVIATION CONFIDENCE\_INTERVAL1 CONFIDENCE\_  
SNR\_value2 BER Blc\_ER STANDARD\_DEVIATION CONFIDENCE\_INTERVAL1 CONFIDENCE\_

... ..

... ..

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