

Python Keras Convolutional Network

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October 2025

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Introduction

The purpose of this project is to demonstrate the implementation details of a convolutional network application programmed with Python class structures, rather than using a Jupyter Notebook.

Most tutorials implement neural network examples as one page Jupyter Notebooks. In addition, object oriented examples that just show two classes are unrealistic. Therefore, to illustrate how to design real applications in an object oriented way, a one page sample application is divided into several Python classes. Instead of the interactive Notebook, a desktop GUI is constructed using PySide6.

The sample application is a convolutional neural network (CNN) based on the well known MNIST dataset. The network objective is to find the set of model parameters that produces the best output prediction. In the convolutional network, the gradient descent approach is used to gradually update the parameters until an optimal solution is found for the model weights and biases.

The goal here is to understand how to implement the sample application with python classes. Where do we begin? How do we separate the input, training, testing, and output into a python class structure? How do we implement a user interface?

Background

It is assumed you have already read some tutorials on convolutional networks, and used a Jupyter Notebook application. Understanding these networks requires knowledge of matrix algebra, partial derivatives, and statistics. Since we are focusing on class structure, this document will not repeat network background information. The references below are for refreshing your memory.

References:

Matrix algebra:

<https://www.quantstart.com/articles/scalars-vectors-matrices-and-tensors-linear-algebra-for-deep-learning-part-1/>

<https://www.quantstart.com/articles/matrix-algebra-linear-algebra-for-deep-learning-part-2/>

<https://www.quantstart.com/articles/matrix-inversion-linear-algebra-for-deep-learning-part-3/>

Matrix calculus:

<https://towardsdatascience.com/matrix-calculus-for-data-scientists-6f0990b9c222>

Neural network:

<https://medium.com/machine-learning-algorithms-from-scratch/digit-recognition-from-0-9-using-deep-neural-network-from-scratch-8e6bcf1dbd3>

<http://neuralnetworksanddeeplearning.com/chap1.html>

Gradient Descent:

<https://builtin.com/data-science/gradient-descent>

Hyperparameters:

<https://towardsdatascience.com/what-are-hyperparameters-and-how-to-tune-the-hyperparameters-in-a-deep-neural-network-d0604917584a>

Learning rate decay:

<https://www.geeksforgeeks.org/machine-learning/learning-rate-decay/>

<https://www.jeremyjordan.me/nn-learning-rate/>

Convolution network:

<https://victorzhou.com/blog/intro-to-cnns-part-1/>

<https://medium.com/secure-and-private-ai-math-blogging-competition/cnn-maths-behind-cnn-910eab425b5d>

<https://towardsdatascience.com/a-comprehensive-guide-to-convolutional-neural-networks-the-eli5-way-3bd2b1164a53>

Cross validation:

<https://www.datacamp.com/tutorial/k-fold-cross-validation>

Back propagation – softmax:

<https://www.mldawn.com/back-propagation-with-cross-entropy-and-softmax/>

Back propagation – convolution:

<https://pavisj.medium.com/convolutions-and-backpropagations-46026a8f5d2c>

Back prop – convolution padding

<https://bishwarup307.github.io/deep%20learning/convbackprop/>

Momentum:

<https://blog.paperspace.com/intro-to-optimization-momentum-rmsprop-adam/>

Grid Search:

<https://www.geeksforgeeks.org/machine-learning/hyperparameter-tuning-using-gridsearchcv-and-kerasclassifier/>

Model file HDF5/Keras format:

<https://www.neonscience.org/resources/learning-hub/tutorials/about-hdf5>

Python application layouts:

<https://realpython.com/python-application-layouts/>

PySide6:

<https://www.datacamp.com/tutorial/introduction-to-pyside6-for-building-gui-applications-with-python>

PyInstaller:

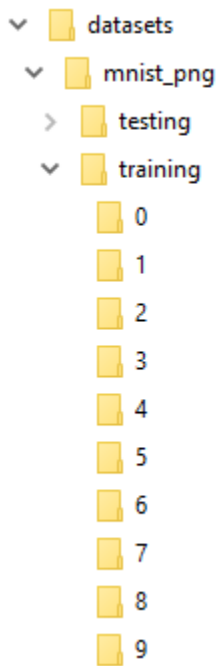
<https://realpython.com/pyinstaller-python/>

Datasets

You must download the MNIST dataset, which contains thousands of images of handwritten digits 0 to 9. The png images used by this application was downloaded from:

https://github.com/myleott/mnist_png.

The file structure will look like the following, where the digit images are separated into individual folders 0, 1, ... 9.



The advantage of having separate folders is to allow the user to examine specific digits. Another advantage of having separate folders is that we can quickly observe how many images there are for each digit.

After reading the separate image files, they are combined and randomly shuffled before the network training or testing task begins.

Note: even though this application was based on processing handwritten digits from the MNIST dataset, other image files could be loaded into the same folder structure. The folder indexes are merely labels for the images, but the images do not need to be digits. This design makes the code more reusable.

A second dataset containing lung cancer images may be downloaded from:
<https://www.kaggle.com/datasets/mohamedhanyyy/chest-ctscan-images>

There are four classes: adenocarcinoma, large.cell.carcinoma, normal, and squamous.cell.carcinoma divided into four directories. To use this application the directories are not renamed, but internally indexed 0, 1, 2, 3 in the directory order. Remember to note which lung class belongs to each index when viewing the output plots.

Application Layout

The application code has the following structure:

datasets

convo_project

app_results (files: mnist.keras)

dist

docs

src

convonet (main class: AppWin)

app_input (files: mnist_data_params.json, mnist_hyper_params.json,
lung-data-params-1.json, lung-hyper-params-1.json)

appctrl (classes: AboutDialog, Controller, DataTask, Plotter,
TrainTask, TuneTask, Util)

thenet (classes: NetModel, NetTraining, NetTesting)

theprep (classes: DataParams, DataPrep, HyperParams)

The VSCode IDE was used to build the Python application.

Class Framework

This application is fairly simple, because it relies on the Python and Keras libraries to do the complex work. Think of this code as an application programming interface (API) to existing library code. Note: in this application class names use CapWords naming, while class methods use camelCase naming.

Where to begin? Most programs have this high level structure: input → computation → output.

Input could be hard coded, from a database, from user dialogs, or from files. Let's use files for our input. We have different types of input, each of which can be a separate class. So, the input classes are DataParams, DataPrep, DataTask, HyperParams.

DataParams loads or saves a json file containing the locations of the training and testing datasets. It also needs to allow the user to create or modify this data. Thus, the basic methods in this class will be loadParams, saveParams, editParams, newParams. Note the use of camel case naming of class methods.

HyperParams loads a json file of hyper parameters required to specify a network model. The methods will also be named loadParams, saveParams, editParams, newParams. However, they are completely different than the methods in DataParams.

DataPrep loads the datasets, which in this case are thousands of images files. There is no need for a save, edit, or create method. However, due to the complexity of images, several methods are used to input the images. The primary method will be prepData which calls loadImages and transform. The method 'loadImages' calls extractImage. And the method 'transform' calls shuffleArrays. Because thousands of images will be loaded, we need a separate thread to avoid the GUI being blocked while the loading progresses. The class DataTask runs the DataPrep functions in a separate thread.

The computation tasks are quite complex, but the Keras libraries will be called to perform the difficult functions. We need to train the network model, and test the final model. Selecting a good combination of hyper parameters can be done with Keras grid search. Thus we need a tuning task. For tuning, training, and testing, the classes are NetModel, NetTraining, NetTesting, TrainTask, and TuneTask.

NetModel applies the hyper parameters in the layer specifications of a neural network model, based on the Keras Sequential class. After the model is trained by the NetTraining class, it may be saved with the saveModel method. Later, the loadModel method can be called to retrieve the already trained model, which can be used by the NetTesting class.

TrainTask runs the NetTraining in a separate thread. NetTraining has two basic methods. The 'train' method performs training only by calling the Keras model.fit method. The 'trainVal' method performs training plus validation by calling the Keras model.fit method, but using split datasets, one for training and one for validation.

The NetTesting functions are very fast and do not need a separate thread. They depend on an existing trained network model created by NetTraining. Thus, NetTesting can be run immediately after running NetTraining, or later by loading a network model from a file. There are two methods: evaluate and predict. The evaluate method uses an already trained model to process an independent test dataset. Each sample goes through the model layers, is checked for correctness, and result recorded. But there is no gradient descent or backpropagation, which allows for very fast processing. The predict method is similar to the evaluate method, except it records both the correct and incorrect results, to form what is called the confusion matrix.

TuneTask runs the tuning function in a separate thread. It calls createTuningModel to specify its own network model designed for compatibility with the KerasClassifier and GridSearchCV classes. A subset of the hyper parameters are passed to GridSearchCV to create all

combinations defining a 'grid'. For example, if there are two values for `init_rate`, and three values for `epochs`, the grid consists of 6 combinations. For each combination, the model fit method is called using a subset of training data, and results stored. Thus, the processing time will depend on the total number of grid combinations and the dataset size. The progress is updated periodically via the Controller class.

The output in this application consists of plots, tables, and files. The Plotter and Controller manage plots and tables, while NetModel handles the trained model output file. The file handlers: DataParams, HyperParams, and NetModel actually handle both input and output files.

Controller has many methods to invoke tasks and produce output displays. It also holds most of the data that is passed between classes. For handling input data, AppWin calls three methods: `setDataParams`, `setHyperParams`, and `setNetModel`, all of which store an instance of each class. This allows Controller to retrieve the data stored in these classes. Other Controller methods are briefly described as follows:

`trainPrep`: retrieves the data parameters and hyper parameters from DataParams and HyperParams respectively, initializes the neural network model, and displays a model summary table.

`showSummary`: calls `extractSummary`

`prepTrainingData`: calls `DataPrep` and `DataTask` to load the training dataset.

`prepTestingData`: calls the same methods to load the test dataset.

`dataTaskFinished`: is called when the dataset has completed loading. It calls the `samples` method.

`samples`: plots a few images after loading each dataset, to verify proper internal format.

`train2`: creates an instance of `NetTraining`, which is passed to `TrainTask`, and starts `TrainTask` in a separate thread. `TrainTask` calls `trainVal` or `train` on the instance of `NetTraining`.

`trainTaskFinished`: is called when training has completed. It calls `plotHistoryVal` to display a plot of training accuracy and validation accuracy. It also plots training loss and validation loss.

`evaluate`: invokes the `NetTesting` `evaluate` method, and creates the result table of accuracy and loss.

`predict`: invokes the `NetTesting` `predict` method, and creates the confusion matrix plot.

runTuner: runs the TuneTask in a separate thread, and calls extractTuneResults and tuneTable to display the grid search results.

stopTuner: allows the user to interrupt the TuneTask processing.

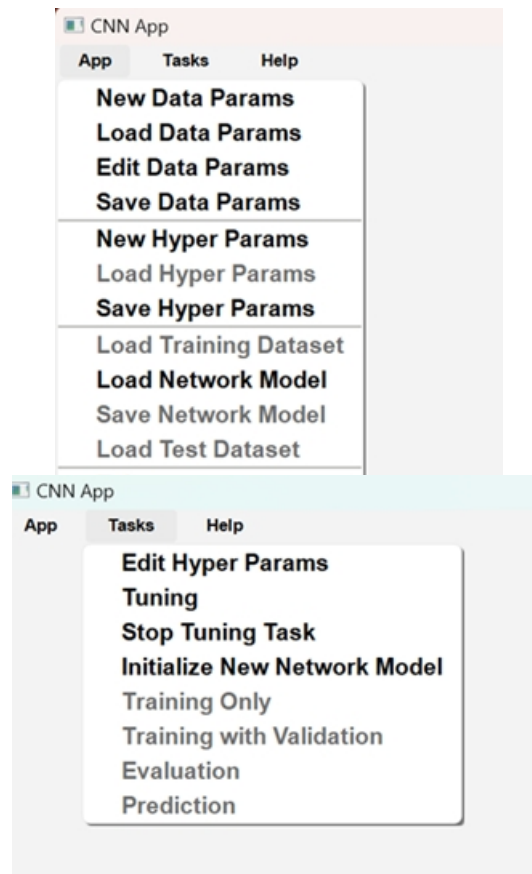
As mentioned above, the GUI is based on PySide6. The AppWin class implements all menus, and delegates all menu actions to other classes using signal connections. Some classes also send return signals to AppWin. The Controller class attempts to isolate other classes from AppWin, but for input files, it is simpler to let AppWin connect directly to DataParams, HyperParams, and NetModel.

This document does not walk through the code line by line. But the next section reviews the GUI actions of AppWin.

Project Overview

As seen in the application code under the convonet directory, there is a main class, AppWin, which calls the other classes via menus.

Menu Structure

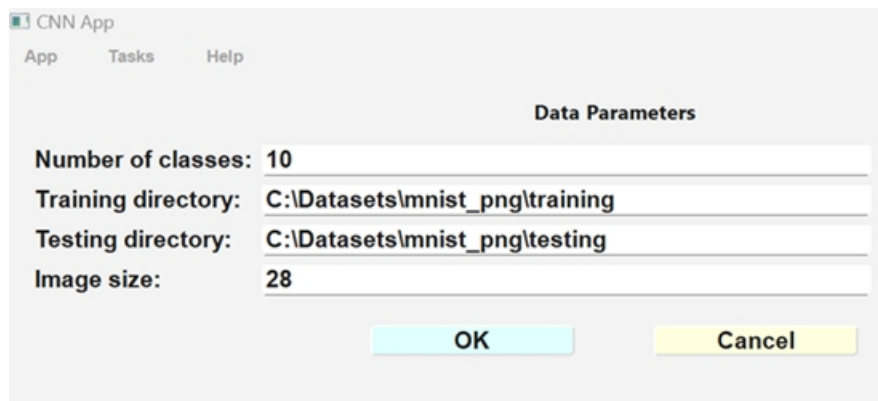


The menu structure must perform functions similar to what can be done in a jupyter notebook.

App Menu

The App menu consists of input items, which may be created, loaded, edited or saved.

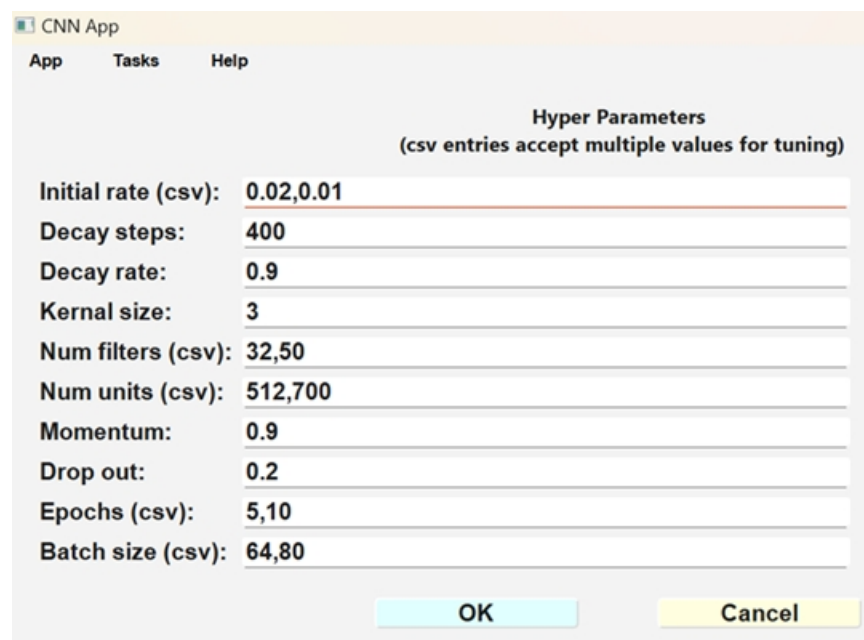
Load Data Params loads a json file containing the folders where the training and testing datasets are located.



The screenshot shows a dialog box titled "CNN App" with a menu bar containing "App", "Tasks", and "Help". The main title is "Data Parameters". It contains four input fields: "Number of classes:" with the value "10", "Training directory:" with the value "C:\Datasets\mnist_png\training", "Testing directory:" with the value "C:\Datasets\mnist_png\testing", and "Image size:" with the value "28". At the bottom are "OK" and "Cancel" buttons.

Parameter	Value
Number of classes:	10
Training directory:	C:\Datasets\mnist_png\training
Testing directory:	C:\Datasets\mnist_png\testing
Image size:	28

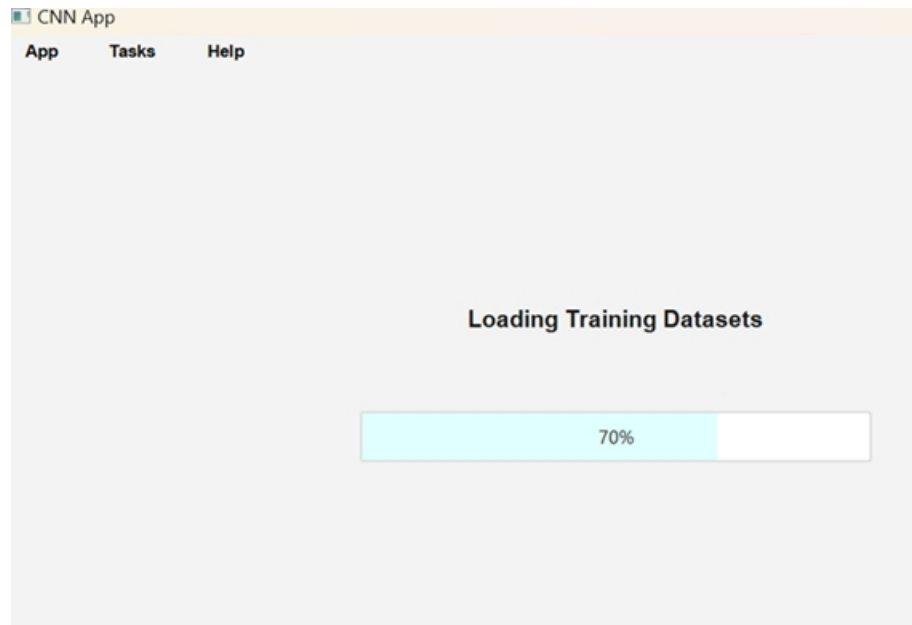
Load Hyper Params loads a json file containing a list of all the hyper parameters required to create a network model. Note: the 'csv' entries allow multiple values used by the grid search function in the tuning task. For regular training, only the first value of each parameter is used.



The screenshot shows a dialog box titled "CNN App" with a menu bar containing "App", "Tasks", and "Help". The main title is "Hyper Parameters" with a subtitle "(csv entries accept multiple values for tuning)". It contains ten input fields: "Initial rate (csv):" with the value "0.02,0.01", "Decay steps:" with the value "400", "Decay rate:" with the value "0.9", "Kernal size:" with the value "3", "Num filters (csv):" with the value "32,50", "Num units (csv):" with the value "512,700", "Momentum:" with the value "0.9", "Drop out:" with the value "0.2", "Epochs (csv):" with the value "5,10", and "Batch size (csv):" with the value "64,80". At the bottom are "OK" and "Cancel" buttons.

Parameter	Value
Initial rate (csv):	0.02,0.01
Decay steps:	400
Decay rate:	0.9
Kernal size:	3
Num filters (csv):	32,50
Num units (csv):	512,700
Momentum:	0.9
Drop out:	0.2
Epochs (csv):	5,10
Batch size (csv):	64,80

As the name implies, Load Training Dataset loads the training image files, shuffles the data, and normalizes each image pixel to a value 0 to 1.



Load Network Model loads a trained model for testing. This file has a special format with a “.keras” extension.

Load Test Dataset reads the testing dataset image files, shuffle the data, and normalizes each image pixel to a value 0 to 1.

Tasks Menu

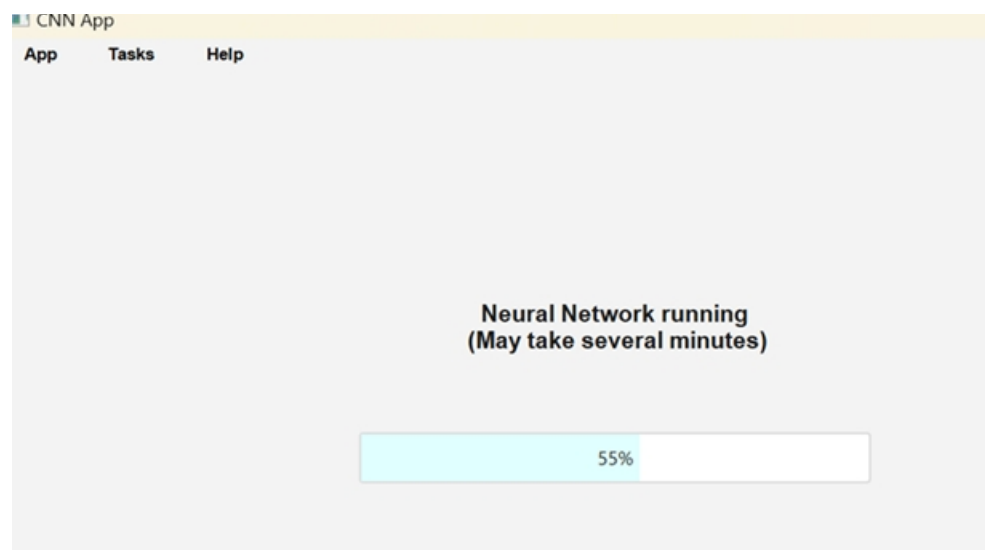
The Tasks menu controls the tuning, training, and testing of a new network model.

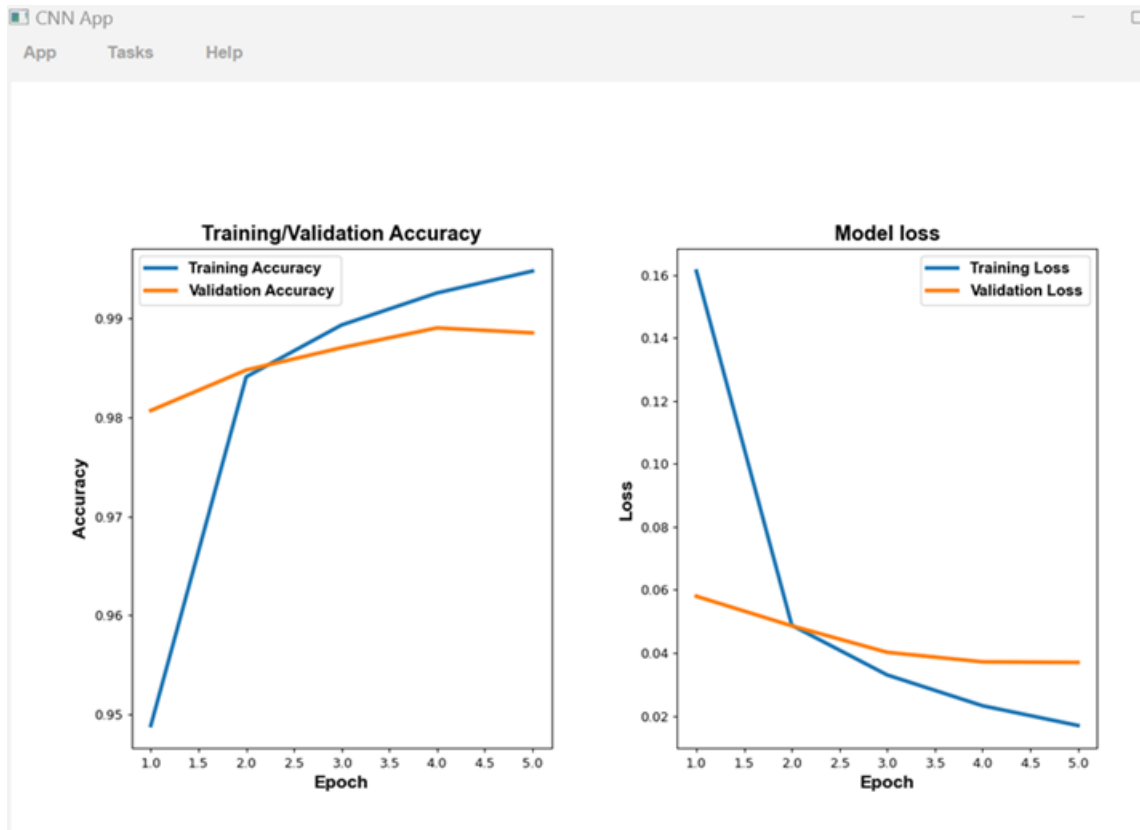
The Edit Hyper Params gives the user a chance to override the values loaded from the input file.

Initialize New Network Model must be invoked before training can begin. The model code sets up network layers based on the hyper parameters specified by the user. The model is summarized in a table of layers and shape info.

Model: "sequential"		
Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 28, 28, 32)	320
max_pooling2d (MaxPooling2D)	(None, 14, 14, 32)	0
conv2d_1 (Conv2D)	(None, 14, 14, 32)	9,248
max_pooling2d_1 (MaxPooling2D)	(None, 7, 7, 32)	0
flatten (Flatten)	(None, 1568)	0
dense (Dense)	(None, 512)	803,328
dropout (Dropout)	(None, 512)	0
Total params: 818,026 (3.12 MB)		
Trainable params: 818,026 (3.12 MB)		
Non-trainable params: 0 (0.00 B)		
OK		

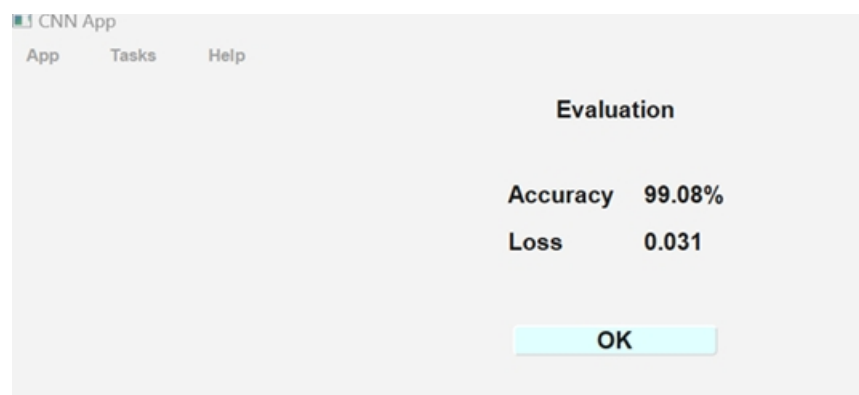
There are two training options. Training Only trains the model without a validation dataset, while Training With Validation trains the model and compares the results with a subset of the training dataset. The results are displayed graphically.





Evaluation and Prediction check the quality of the trained model based on an independent test dataset, which must be loaded before invoking the two options.

Evaluation runs the test dataset through the network using the trained model, and calculates the overall accuracy.

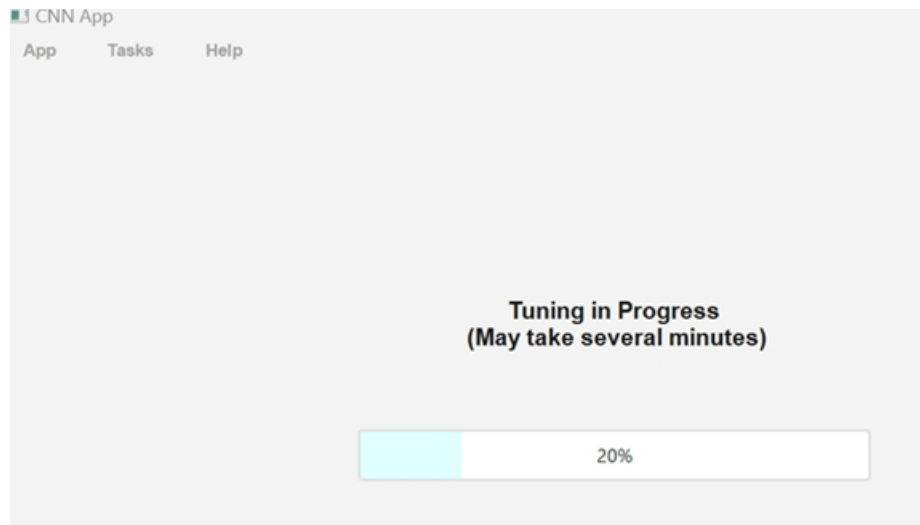


Prediction runs the test dataset through the network using the trained model, and records a history of predicted labels versus true labels. The results are displayed in the “confusion matrix”.



Tuning the model

Tuning invokes a task that performs a grid search on specified combinations of hyper parameters to determine the best subset to maximize model accuracy. The hyper params, and training dataset must be loaded. The user may want to edit the hyper params before running the tuning task. It is important to consider the total number of combinations of hyper params, because a subset of the training dataset will be run through the network for each combination using a grid search, which will lead to a very long processing time. The progress bar shows that something is happening in the background.



The results will be displayed in a table. The columns are labeled with the names of the hyper parameters, with the last column being the mean accuracy. In the example shown below, note the high accuracy 98.21 for batch size 64, epochs 10, `init_rate` 0.02, `num_filters` 50, and `num_units` 512. These may not be the optimum set of hyper parameters. Note in the next row where `num_units` is 700, the accuracy changes to 98.19. Also note in the previous 2 rows where the `num_units` is 512 and the `num_filters` is 32, the accuracy changes to 98.03. These two cases demonstrate that `num_units` and the `num_filters` may be only minor factors in determining the accuracy. Comparing other rows reveals that `epochs` and `init_rate` are probably the major factors affecting accuracy. However, note that the differences are within 1%. The other hyper parameters are not listed here, but can be seen in a previous figure describing loading hyper params. The user could edit those other hyper parameters and rerun the tuning task. For example, the effect of changing the kernel size from 3 to 5 could be compared with the results below.

Grid Search Results					
batch_size	epochs	init_rate	num_filters	num_units	mean accuracy
64	5	0.02	32	512	97.58
64	5	0.02	32	700	97.74
64	5	0.02	50	512	97.73
64	5	0.02	50	700	97.81
64	5	0.01	32	512	97.23
64	5	0.01	32	700	97.32
64	5	0.01	50	512	97.64
64	5	0.01	50	700	97.61
64	10	0.02	32	512	98.03
64	10	0.02	32	700	98.17
64	10	0.02	50	512	98.21
64	10	0.02	50	700	98.19
64	10	0.01	32	512	97.85
64	10	0.01	32	700	97.72
64	10	0.01	50	512	97.96
64	10	0.01	50	700	97.81
80	5	0.02	32	512	97.49
80	5	0.02	32	700	97.58
80	5	0.02	50	512	97.77

If necessary, the user may terminate the tuning process by selecting the Stop Tuning menu item. A table of partial grid search results will be displayed as shown below. The size of the table will depend on how long the tuning process has run before being interrupted.

Partial Grid Search Results						
batch_size	epochs	init_rate	num_filters	num_units	acc	loss
64	5	0.02	32	512	98.72	0.0374
64	5	0.02	32	512	98.76	0.0379
64	5	0.02	32	512	98.80	0.0423
64	5	0.02	32	700	98.85	0.0374
64	5	0.02	32	700	98.86	0.0340
64	5	0.02	32	700	99.02	0.0329
64	5	0.02	50	512	98.86	0.0352
64	5	0.02	50	512	98.98	0.0313
64	5	0.02	50	512	99.05	0.0320
64	5	0.02	50	700	99.18	0.0263
64	5	0.02	50	700	99.09	0.0289

Train the Network

The model hyper parameters must be loaded before running the training task.

Training Scenario 1: Invoke Edit Hyper Params, Initialize New Network Model, Training with Validation. Examine the results, and repeat the sequential process until satisfactory performance is achieved. This scenario may require several iterations because there are many combinations of hyper parameters to consider. However, unlike the grid search in the tuning task, one training iteration should be relatively quick. But if several iterations are performed, the total processing time could be the same.

Training Scenario 2: Note the best set of hyper parameters from the Tuning task. Invoke Edit Hyper Params, Initialize New Network Model, Training with Validation. Examine the results, and repeat the sequential process until satisfactory performance is achieved. This scenario should be quicker than scenario 1 because the tuning task has already compared several combinations of hyper parameters.

In both scenarios, the results are displayed graphically.

Test the Network

The testing dataset should include a different set of images than the training dataset.

Therefore, there are two scenarios to consider:

Test Scenario 1. After a successful training run, load the test dataset and run the Evaluation and Prediction tasks.

Test Scenario 2. After a training run, save the Network Model to a file. Close the app for later testing. When ready, launch the application again. Load Data Params and Hyper Params, Network Model, and Test Dataset. Then run the Evaluation and Prediction tasks.

In both scenarios, the results are displayed in the evaluation table and the “confusion matrix” plot.

Case Of Lung Cancer Dataset

Here are a few selected results when the lung cancer dataset is trained or tuned. For tuning, running out of memory is very likely, even for small batch sizes. However, in this case, a partial grid search will be captured.

Note, the class indexes below:

0 - adenocarcinoma

1 - large.cell.carcinoma

2 - normal

3 - squamous.cell.carcinoma

Image Samples (Lung Cancer)



Hyper Params (Lung Cancer)

CNN App

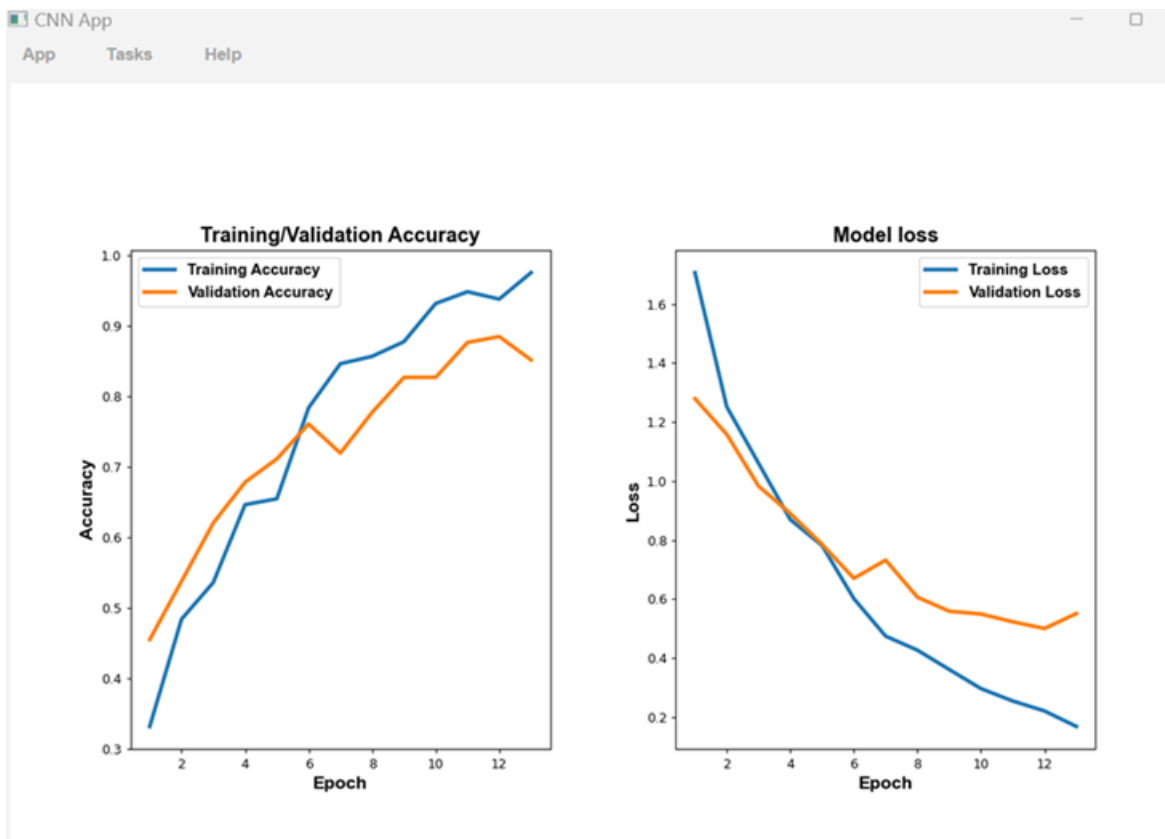
App Tasks Help

Hyper Parameters
(csv entries accept multiple values for tuning)

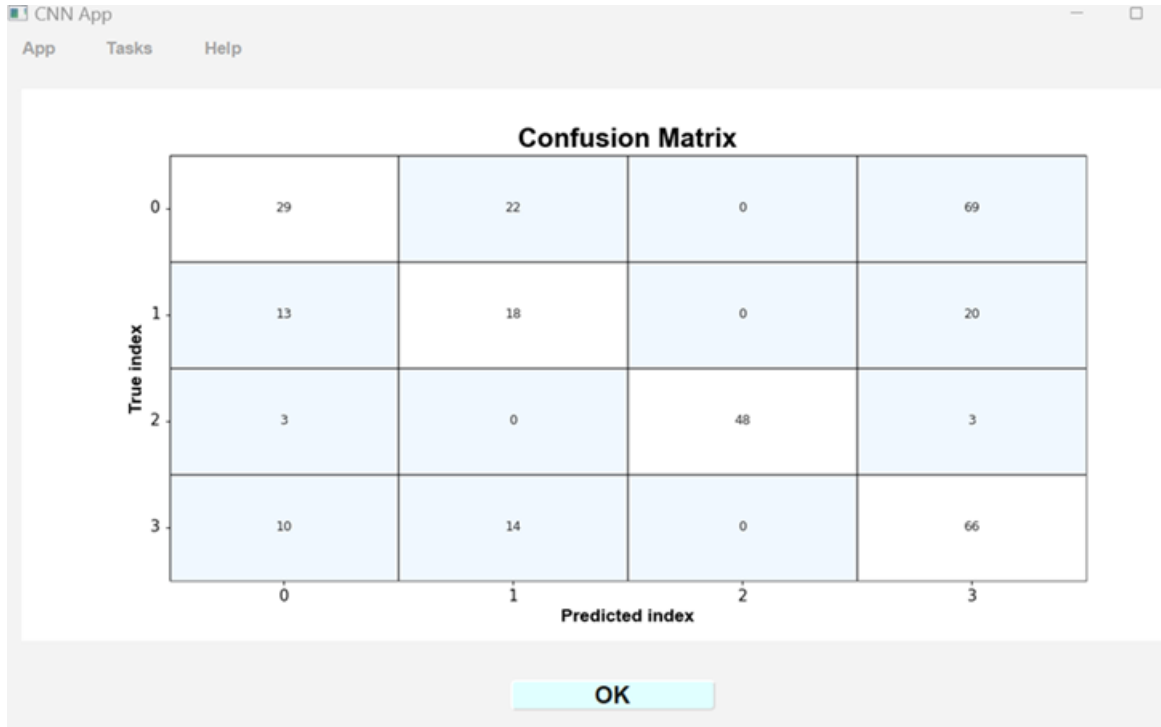
Initial rate (csv):	0.001,0.02
Decay steps:	400
Decay rate:	0.9
Kernal size:	5
Num filters (csv):	30,40
Num units (csv):	512,800
Momentum:	0.9
Drop out:	0.2
Epochs (csv):	13,20
Batch size (csv):	50,64

OK Cancel

Train Plots (Lung Cancer)



Confusion Matrix (Lung Cancer)



Partial Grid Search (Lung Cancer)

CNN App

App Tasks Help

Partial Grid Search Results

batch_size	epochs	init_rate	num_filters	num_units	acc	loss
16	10	0.02	20	512	27.50	1.4015
16	10	0.02	20	512	42.50	2.7537
16	10	0.02	30	300	95.00	0.2158
16	10	0.02	30	300	26.25	11.887
16	10	0.02	30	300	31.25	11.081
16	10	0.02	30	512	32.50	10.879
16	10	0.02	30	512	96.25	0.0888
16	10	0.02	30	512	72.50	1.3386
16	15	0.01	20	300	100.00	0.0970
16	15	0.01	20	300	100.00	0.0026
16	15	0.01	20	300	100.00	0.0067
16	15	0.01	20	512	100.00	5.0059
16	15	0.01	20	512	25.00	12.088
16	15	0.01	20	512	100.00	0.0154
16	15	0.01	30	300	100.00	5.4503
16	15	0.01	30	300	26.25	11.887

Discussion

After explaining the class architecture and reviewing the GUI actions, alternative approaches can be evaluated. What alternatives exist compared to the current application?

Consider dividing the classes into a frontend and backend architecture. The frontend classes would be similar to: AppWin, Controller, DataParams, and HyperParams. The backend classes would be analogous to: NetModel, NetTrain, NetTest, DataTask, TrainTask, and TuneTask.

Implementation alternatives:

1. Frontend and backend combined in a Python desktop program, as in the current application, using internal data exchange.
2. Frontend as a Python desktop program connected to a Python backend server, using HTTP requests and responses.
3. A web browser HTML-Javascript client connected to a backend Python server, using HTTP requests and responses.

Python Client:

<https://www.digitalocean.com/community/tutorials/python-http-client-request-get-post>

<https://www.qt.io/blog/restful-client-applications-in-qt-6.7-and-forward>

MPLD3/D3js (matplotlib->javascript):

<https://mpld3.github.io/>

Python Server:

<https://www.datacamp.com/tutorial/python-backend-development>

<https://pieces.app/blog/the-top-4-python-back-end-frameworks-for-your-next-project>

https://developer.mozilla.org/en-US/docs/Learn_web_development/Extensions/Server-side/Django/Introduction

Conclusion

This application illustrates a desktop program based on multiple Python classes, with a GUI constructed using PySide6. Constructing a convolution network with an object oriented design encourages consideration of modular coding practices. This document has described such an application. The class architecture has been reviewed, along with sample input and output.

For programmers who have used only Jupyter Notebooks, the code probably appears quite different and more complex than what is found in a typical notebook. Rather than hard coding various parameters, json input files are employed. The output plots should look familiar. And the sequential flow of the code is also similar.

It is hoped that the application design and some classes will be reusable. As already observed for digit and lung cancer images, this program can be applied to different multiclass classification projects. The principle of reusability supports efficient development practices. By creating classes that can be reused across various components of multiple applications, developers can significantly reduce redundancy. This approach not only streamlines the coding process but also creates a more modular architecture, allowing for easier modifications.