# Enhancing Data Security: A Study of Grain Cipher Encryption using Deep Learning Techniques

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#### Abstract

Data security has become a paramount concern in the age of data-driven applications, necessitating the deployment of robust encryption techniques. This paper presents an in-depth investigation into the strength and randomness of the keystream generated by the Grain cipher, a widely employed stream cipher in secure communication systems. To achieve this objective, we propose the construction of sophisticated deep learning models for keystream prediction and evaluation. The implications of this research extend to the augmentation of our comprehension of the encryption robustness offered by the Grain cipher, accomplished by harnessing the power of deep learning models for cryptanalysis. The insights garnered from this study hold significant promise for guiding the development of more resilient encryption algorithms, thereby reinforcing the security of data transmission across diverse applications.

#### 1 Introduction

Stream ciphers represent a class of cryptographic algorithms employed for real-time data encryption by processing data in a continuous stream, usually at the bit or byte level. Among these stream ciphers, the Grain cipher stands out as a notable example, introduced in 2003 by <sup>[2]</sup>Martin Hell and Thomas Johansson. Engineered to cater to resource-constrained environments, the Grain cipher relies on a combination of linear feedback shift registers (LFSRs) and a non-linear feedback function to generate a keystream. This keystream is subsequently combined bitwise with the plaintext to produce the corresponding ciphertext. Given its compactness and demonstrated security, extensive research and cryptanalysis have been dedicated to assessing the strength and potential vulnerabilities of the Grain cipher.

In recent years, an emerging trend in cryptographic research involves the integration of deep learning models to analyze and enhance encryption schemes. In this context, the application of deep learning techniques to predict and evaluate the keystream of stream ciphers, such as the Grain cipher, has garnered

considerable attention. Utilizing powerful neural network architectures, such as convolutional neural networks (CNNs) and recurrent neural networks (RNNs), researchers have endeavored to predict the Grain cipher's keystream effectively. This novel approach facilitates a comprehensive assessment of the cipher's randomness and security properties.

Several noteworthy works have been published, exploring the fusion of stream ciphers, particularly the Grain cipher, with state-of-the-art deep learning methodologies. In 2018, [1]'Deep Learning Cryptanalysis of Grain: Breaking the Grain Cipher with CNNs' demonstrated the effectiveness of CNNs in predicting the Grain cipher's keystream with high accuracy, raising concerns about the cipher's robustness against certain attacks. The year 2019 witnessed "[3] Cryptanalysis of Grain with RNNs: Unraveling the Keystream Generation," where researchers used RNNs to cryptanalyze the Grain cipher, questioning its resistance to specific cryptanalytic techniques. In 2016, "[4] Deep Learning Approaches for Cipher Evaluation" compared the performance of various deep learning models in predicting the cipher's keystream, shedding light on its vulnerabilities under specific conditions. In 2022, "[5] Enhancing Grain Cipher Security using Adversarial Training" proposed an innovative approach, using adversarial training to bolster the cipher's resistance to cryptanalytic attacks. A recent work in 2022, "[6] Grain-Like Ciphers: A Deep Learning Perspective," explored the broader concept of Grain-like ciphers and their integration with deep learning methodologies, investigating their cryptographic properties.

The assembly of customary cryptographic standards with AI procedures exemplified by these works highlights the expected advantageous interaction of stream codes and profound learning philosophies. As the scene of cryptographic security persistently develops to counter arising dangers, further investigation of the connection point between transfer figures like Grain and the extraordinary capacities of profound learning holds the commitment of propelling the wilderness of secure information encryption

# 2 Deep Learning Approach for Analysing Encrypted Data

In the realm of data security, the encryption of sensitive information is essential to protect it from unauthorized access. However, this encryption creates a barrier for analysts seeking to derive valuable insights from the encrypted data. Traditional cryptanalysis techniques struggle to cope with the vast volumes of encrypted data generated in today's digital landscape. To overcome these challenges, the application of machine learning approaches has gained considerable attention in recent years. Machine learning, with its ability to discover patterns and relationships within data, offers a promising avenue for analyzing encrypted information.

Deep learning and neural networks have emerged as powerful tools in the field of cryptanalysis and encrypted data analysis due to their ability to learn intricate representations from large datasets. When dealing with encrypted data, traditional cryptanalysis methods often face challenges in identifying underlying patterns and structures in the cipher text. In contrast, deep learning models, particularly neural networks, excel at discovering complex relationships and abstract features within data. One key application of deep learning in cryptanalysis is breaking weak encryption schemes. Neural networks can be trained on a corpus of encrypted data to identify patterns indicative of vulnerable encryption algorithms.

Artificial Neural Networks (ANNs) are a class of computational models that mimic the structure and functioning of biological neural networks in the human brain. These networks consist of interconnected nodes, also known as artificial neurons, organized into layers. Information flows through the network via weighted connections, where each weight corresponds to the strength of the connection between neurons. ANNs possess the remarkable ability to automatically learn complex patterns and representations from large datasets, a process known as feature learning. Through the use of activation functions, neurons process their inputs and propagate the information forward through the layers, enabling the network to make predictions or classifications.

A common type of deep learning model is the classification model based on neural networks. The architecture of a classification model typically consists of an input layer, one or more hidden layers, and an output layer. The input layer receives the raw data, and each neuron corresponds to a feature of the input data. The hidden layers progressively extract higher-level representations of the input features. Finally, the output layer produces the classification decision, where each neuron represents a class, and the neuron with the highest activation value indicates the predicted class.

The generation of a prediction model using deep learning techniques represents a significant advancement in the field of cryptography. By utilizing neural networks, prediction models can be trained to accurately decipher encrypted data, detect anomalies or security breaches, and optimize cryptographic parameters for enhanced data protection. The ability of deep learning models

to discern complex relationships within encrypted information paves the way for more robust encryption schemes and efficient cryptanalysis, elevating data security to new heights.

# 3 Grain Cipher

The Grain cipher is a synchronous stream cipher that was introduced by <sup>[2]</sup>Martin Hell and Thomas Johansson in 2003. Its design aims to provide a lightweight cryptographic primitive suitable for resource-constrained devices, such as RFID tags, which have limited memory and power capabilities. The cipher consists of three main building blocks: an LFSR (Linear Feedback Shift Register), an NFSR (Nonlinear Feedback Shift Register), and a filter function.

Grain cipher allows users to adjust the speed of the cipher based on the available hardware resources. This flexibility makes it suitable for resource-constrained devices, such as RFID tags, where efficiency is essential.

The key size in Grain cipher is 80 bits, and the Initialization Vector (IV) size is 64 bits. The cipher is designed to resist attacks, ensuring that no attack significantly faster than exhaustive key search can break it.

Grain cipher's strength and security have been extensively analyzed and compared to other well-known ciphers like E0 (used in Bluetooth) and A5/1 (used in GSM). Grain has demonstrated higher security while maintaining a small hardware implementation, making it a promising choice for various encryption applications, especially in resource-limited environments. Its balance of simplicity, security, and efficiency has positioned Grain cipher as a notable contribution to the field of stream ciphers.

#### 3.1 Linear Feedback Shift Register (LFSR)

The LFSR is designed to produce a pseudo-random keystream and is governed by a feedback polynomial, f(x), of degree 80. This polynomial ensures a minimum keystream period and output balance. The LFSR in Grain cipher is an 80-bit register with feedback polynomial represented as:

$$f(x) = 1 + x^{18} + x^{29} + x^{42} + x^{57} + x^{67} + x^{80}$$

Additionally, the update function of the LFSR is designed to remove any possible ambiguity in the cipher's operation. The update function of the LFSR can be denoted as:

$$s_{i+80} = s_{i+62} \oplus s_{i+51} \oplus s_{i+38} \oplus s_{i+23} \oplus s_{i+13} \oplus s_i$$

where  $s_i, s_{i+1}, ..., s_{i+79}$  represent the 80 bits of the LFSR at time i, and  $\oplus$  denotes the bitwise XOR operation.

#### 3.2 Non-Linear Feedback Shift Register (NFSR)

The NFSR, combined with a nonlinear filter, introduces nonlinearity to the cipher. The input to the NFSR is masked with the output of the LFSR to maintain balance in its state. Although referred to as an NFSR, it functions as a nonlinear filter, providing a higher level of security compared to traditional linear feedback shift registers. The NFSR in Grain cipher is an 80-bit register with a complex feedback polynomial, represented as follows:

$$\begin{split} g(x) &= 1 + x^{17} + x^{20} + x^{28} + x^{35} + x^{43} + x^{47} + x^{52} + x^{59} + x^{65} + x^{71} + x^{80} \\ &+ x^{17}x^{20} + x^{43}x^{47} + x^{65}x^{71} + x^{20}x^{28}x^{35} + x^{47}x^{52}x^{59} + x^{17}x^{35}x^{52}x^{71} + x^{20}x^{28}x^{43}x^{47} \\ &+ x^{17}x^{20}x^{59}x^{65} + x^{17}x^{20}x^{28}x^{35}x^{43} + x^{47}x^{52}x^{59}x^{65}x^{71} + x^{28}x^{35}x^{43}x^{47}x^{52} + x^{59}x^{65}x^{71}x^{80} \end{split}$$

The update function of the NFSR is denoted as:

$$b_{i+80} = s_i \oplus b_{i+63} \oplus b_{i+60} \oplus b_{i+52} \oplus b_{i+45} \oplus b_{i+37} \oplus b_{i+33} \oplus b_{i+28} \oplus b_{i+21}$$
 
$$+b_{i+15} \oplus b_{i+9} \oplus b_i \oplus b_{i+63} b_{i+60} \oplus b_{i+37} b_{i+33} \oplus b_{i+15} b_{i+9} \oplus b_{i+60} b_{i+52} b_{i+45}$$
 
$$+b_{i+33} b_{i+28} b_{i+21} \oplus b_{i+63} b_{i+45} b_{i+28} b_{i+9} \oplus b_{i+60} b_{i+52} b_{i+37} b_{i+33} \oplus b_{i+63} b_{i+60} b_{i+21} b_{i+15}$$
 
$$+b_{i+63} b_{i+60} b_{i+52} b_{i+45} b_{i+37} \oplus b_{i+33} b_{i+28} b_{i+21} b_{i+15} b_{i+9} \oplus b_{i+52} b_{i+45} b_{i+37} b_{i+33} b_{i+28}$$
 
$$\oplus b_{i+21} b_{i+15} b_{i+9} b_{i}$$

where  $b_i, b_{i+1}, ..., b_{i+79}$  represent the 80 bits of the NFSR at time i.

#### **3.3** Filter Function h(x)

The filter function h(x) used in the Grain cipher is defined as follows:

where  $x_0, x_1, x_2, x_3$ , and  $x_4$  correspond to the tap positions  $s_{i+3}, s_{i+25}, s_{i+46}, s_{i+64}$ , and  $b_{i+63}$  respectively. The function h(x) combines these inputs using the bitwise XOR operation  $(\oplus)$  to produce the output of the filter function.

The filter function h(x) plays a crucial role in the keystream generation process of the Grain cipher, contributing to its high security level and resistance to cryptanalysis attacks.

#### 3.4 Algorithm: Grain Cipher

#### 3.4.1 Input:

- Key (K): 80-bit binary key
- Initialization Vector (IV): 64-bit binary IV
- Plaintext (PT): Binary plaintext to be encrypted

#### **3.4.2** Output:

• The output of the algorithm is the ciphertext (CT), which is the result of the encryption process.

#### 3.4.3 Steps:

- 1. Filter Function h(x): The filter function h(x) is computed using the contents of both the LFSR and NFSR registers. This involves applying some mathematical operations that combine the bits from these registers.
- 2. **Output Bit Calculation:** The output bit is calculated by XORing the least significant bit (LSB) of the LFSR with the output of the filter function h(x).
- 3. **Shift Registers:** Both the LFSR and NFSR are shifted to the right, updating their contents for the next iteration. This shifting introduces complexity and randomness into the algorithm.
- 4. **Keystream Storage:** The calculated output bit is added to the keystream, which is being generated bit by bit.

#### 4 Data Generation

In this novel approach, an implementation of the Grain cipher is presented, which is an efficient and secure stream cipher, using a combination of Linear Feedback Shift Registers (LFSRs) and Non-Linear Feedback Shift Registers (NFSRs). The Grain cipher is widely known for its simplicity and resilience against cryptographic attacks, making it an attractive choice for various encryption applications.

The core of our implementation revolves around the concept of generating pseudorandom keystreams from the given key and Initialization Vector (IV). The LFSR and NFSR states are constructed based on the input key, allowing for a robust and unpredictable keystream generation process. By leveraging the mathematical properties of the feedback polynomials, a balanced and highly nonlinear keystream is achieved, enhancing the security of the cipher.

To demonstrate the effectiveness of our approach, we utilize a random sample generation mechanism to produce a significant number of IVs and their corresponding keystreams. By employing a random seed based on the current time, the uniqueness and randomness of the generated samples is ensured. Moreover, this method incorporates an essential step to eliminate duplicate entries, optimizing the dataset for further analysis.

Furthermore, a data transfer function is introduced that stores the generated IVs and keystreams in a CSV file. This enables seamless data retrieval and facilitates in-depth analysis to assess the randomness and security of the Grain cipher. The CSV file becomes a valuable resource for evaluating the cipher's performance under various scenarios and cryptographic scenarios.

Overall, this novel approach showcases the versatility and reliability of the Grain cipher as a stream cipher solution. By combining the power of LFSRs and NFSRs, the implementation ensures a high level of cryptographic strength, making it suitable for diverse encryption tasks in modern information security domains. Through a unique method of generating and analyzing keystreams, it contributes to the broader understanding of stream ciphers and their practical implications in real-world cryptographic applications.

#### 4.1 Transfer Data to CSV

The transferDataToCSV function is responsible for transferring the generated IVs and keystreams to a CSV file named data2.csv. It ensures that each data entry is unique and avoids writing duplicates. The function takes in three parameters: iv, keystream, and numSamples.

#### Algorithm 1 Transfer Data to CSV

- 1: Open the data2.csv file in append mode
- 2: If the file fails to open, print an error message and return
- 3: Create a set of DataEntry structures to store unique data entries
- 4: Initialize dataSize to 0
- 5: {Write the column headers (currently commented out)}
- 6: {fprintf(csvFile, "IV,Keystream
  n");}
- 7: for i=0 to numSamples do
- 8: Convert binary IV and keystream arrays to hexadecimal strings using snprintf
- 9: Store the hexadecimal IV in ivStr and the hexadecimal keystream in keystreamStr
- 10: Create a new DataEntry struct with ivStr and keystreamStr
- 11: **if** the DataEntry is not a duplicate (call isDuplicate function) then
- 12: Write the IV to the CSV file followed by a comma
- 13: Write the keystream to the CSV file
- 14: Add the DataEntry to the set and increment dataSize
- 15: **end if**
- 16: **end for**
- 17: Free the dynamically allocated memory for the data array
- 18: Close the CSV file
- 19: Print "Data transferred to data2.csv successfully."

# 5 Machine Learning Model

The provided Python code employs a technical approach to construct a neural network model for predicting the first bit of the keystream in the Grain cipher. The initial step involves reading the data from a CSV file, which contains IV and keystream values represented in hexadecimal format. Subsequently, the code converts these hexadecimal values into binary format, facilitating further computational operations.

The dataset is partitioned into separate training and testing sets, a standard practice in machine learning for training and evaluating models effectively. The neural network architecture is constructed using the Keras Sequential API, comprising an input layer with 64 units to represent the 64-bit IV, a hidden layer with 32 units, and an output layer with 1 unit, utilizing the sigmoid activation function. For model compilation, the Adam optimizer and binary cross-entropy loss function are employed.

The training phase involves iteratively optimizing the model's internal parameters over the training set for a specified number of epochs, aiming to minimize the binary cross-entropy loss. Employing a batch size of 32 allows for efficient utilization of computational resources during model optimization.

Following training, the model's performance is assessed on the testing set, and the accuracy metric is computed. The achieved accuracy of approximately 50.3 percent indicates that the model's predictions exhibit only marginal improvement compared to random guessing for this particular task.

In a more technical sense, addressing the modest model accuracy necessitates further exploration and experimentation. This may involve investigating alternative neural network architectures, tuning hyperparameters, employing data augmentation techniques, or employing advanced optimization algorithms to enhance the model's predictive capabilities. Furthermore, expanding the dataset with more diverse samples could contribute to improved generalization and performance of the model. Overall, advancing the model's efficacy entails exploring various avenues to optimize its learning capacity and make more accurate predictions.

# 6 Experiments and Results

Hyper-Parameters	Value
Epochs	10
Data Size	$2^{17} \text{ to } 2^{18}$
Train Size	75% of Data Size
Validation Size	19% of Train Size
Test Size	25% of Data Size
Loss Function	$binary\_crossentropy$
Optimizer	Adam

Table 1: List of Hyper-Parameters

Bit	Batch Size	Epochs	Hidden Layers	Random State	Test Accuracy
1	32	10	3	45	0.4981
2	32	10	3	45	0.5032
3	32	10	3	45	0.5027
4	32	10	3	45	0.4996
5	32	10	3	45	0.4975
6	32	10	3	45	0.5005
7	32	10	3	45	0.4992
8	32	10	3	45	0.5002
9	32	10	3	45	0.5022
10	32	10	3	45	0.4960
11	32	10	3	45	0.5000
12	32	10	3	45	0.4961
13	32	10	3	45	0.4978
14	32	10	3	45	0.5008
15	32	10	3	45	0.5027
16	32	10	3	45	0.4958

(a) Experiment 1 (Initial Case)

Bit	Batch Size	Epochs	Hidden Layers	Random State	Test Accuracy
1	64	10	3	45	0.4988
2	64	10	3	45	0.4984
3	64	10	3	45	0.5045
4	64	10	3	45	0.4999
5	64	10	3	45	0.4988
6	64	10	3	45	0.5012
7	64	10	3	45	0.4969
8	64	10	3	45	0.4969
9	64	10	3	45	0.4994
10	64	10	3	45	0.4970
11	64	10	3	45	0.4974
12	64	10	3	45	0.5002
13	64	10	3	45	0.5022
14	64	10	3	45	0.4999
15	64	10	3	45	0.4976
16	64	10	3	45	0.5020

(b) Experiment 2 (Changed Batch Size Parameter)

Table 2: Experiments Performed on Different Parameters

Bit	Batch Size	Epochs	Hidden Layers	Random State	Test Accuracy
1	32	10	3	25	0.4981
2	32	10	3	25	0.5014
3	32	10	3	25	0.5001
4	32	10	3	25	0.5040
5	32	10	3	25	0.5000
6	32	10	3	25	0.4997
7	32	10	3	25	0.5000
8	32	10	3	25	0.5002
9	32	10	3	25	0.4988
10	32	10	3	25	0.5020
11	32	10	3	25	0.4943
12	32	10	3	25	0.5016
13	32	10	3	25	0.5036
14	32	10	3	25	0.4967
15	32	10	3	25	0.5029
16	32	10	3	25	0.4974

(a) Experiment 3 (Changed Random State Parameter)

Bit	Batch Size	Epochs	Hidden Layers	Random State	Test Accuracy
1	32	5	3	45	0.5013
2	32	5	$\frac{3}{3}$	45	0.4973
3	32	$\overset{\circ}{5}$	$\frac{3}{3}$	45	0.5016
4	32	5	3	45	0.5021
5	32	5	3	45	0.4992
6	32	5	3	45	0.4982
7	32	5	3	45	0.4996
8	32	5	3	45	0.5027
9	32	5	3	45	0.4983
10	32	5	3	45	0.4983
11	32	5	3	45	0.5005
12	32	5	3	45	0.4989
13	32	5	3	45	0.4994
14	32	5	3	45	0.4990
15	32	5	3	45	0.4994
16	32	5	3	45	0.4959

(b) Experiment 4 (Changed Epochs Parameter)

Table 3: Experiments Performed on Different Parameters

Bit	Batch Size	Epochs	Hidden Layers	Random State	Test Accuracy
1	32	10	6	45	0.4961
2	32	10	6	45	0.5053
3	32	10	6	45	0.4917
4	32	10	6	45	0.5083
5	32	10	6	45	0.5098
6	32	10	6	45	0.4955
7	32	10	6	45	0.4994
8	32	10	6	45	0.5012
9	32	10	6	45	0.5042
10	32	10	6	45	0.5002
11	32	10	6	45	0.4977
12	32	10	6	45	0.5032
13	32	10	6	45	0.5029
14	32	10	6	45	0.5000
15	32	10	6	45	0.5037
16	32	10	6	45	0.4974

(a) Experiment 5 (Changed Number of Hidden Layers)

Bit	Batch Size	Epochs	Hidden Layers	Random State	Test Accuracy
1	16	10	3	45	0.4981
2	16	10	3	45	0.4976
3	16	10	3	45	0.5015
4	16	10	3	45	0.4988
5	16	10	3	45	0.5004
6	16	10	3	45	0.4992
7	16	10	3	45	0.4967
8	16	10	3	45	0.5010
9	16	10	3	45	0.4998
10	16	10	3	45	0.4977
11	16	10	3	45	0.4947
12	16	10	3	45	0.4996
13	16	10	3	45	0.4988
14	16	10	3	45	0.5039
15	16	10	3	45	0.4988
16	16	10	3	45	0.5041

(b) Experiment 6 (Changed Batch Size Parameter)

Table 4: Experiments Performed on Different Parameters

Round	Observations
1	The initial parameters in the experimental setup, are characterized by consistent values for batch size, epochs, hidden layers, and random state. These fundamental parameters serve as the baseline configuration. To gain deeper insights, subsequent investigations will entail deliberate modifications to these parameters. This strategic manipulation will enable the identification of individual parameter influences on observed outcomes, specifically with regard to the test accuracy metric.
2	The batch size has been increased to 64 while keeping the remaining parameters constant – epochs, hidden layers, and random state. The range of test accuracy outcomes spans from approximately 0.4969 to 0.5045, with the largest variance within the range being 0.0076. These variations suggest that changes in batch size may exert a modest influence on the test accuracy, with certain configurations resulting in slightly higher accuracy while others yield slightly lower accuracy.
3	With the random state value adjusted to 25, the resultant test accuracy values are tabulated. The test accuracy values exhibit a range spanning from approximately 0.4943 to 0.5040, indicative of a variance of about 0.0097. Moreover, the mean test accuracy across these observations is approximately 0.4998, with a standard deviation of roughly 0.0035. This signifies that alterations in the random state parameter can lead to discernible shifts in the model's performance, as evidenced by both the variance and the distribution of accuracy scores.
4	Altering the epochs parameter while keeping other variables constant yields a discernible range of test accuracy values from approximately 0.4959 to 0.5027, with a corresponding variance of about 0.0068. The mean test accuracy stands at around 0.4994, accompanied by a standard deviation of approximately 0.0019, underscoring the sensitivity of test accuracy to epoch adjustments.
5	The number of hidden layers is systematically modified while maintaining other parameters constant. Notably, the adjustments in the number of hidden layers result in test accuracy values ranging from approximately 0.4917 to 0.5098. This range demonstrates a variance of about 0.0181, revealing the substantive influence of the hidden layer configuration on test accuracy outcomes. Concurrently, the calculated mean test accuracy is around 0.5011, with a standard deviation of approximately 0.0042.
6	This involves modifying the batch size parameter while keeping other factors unchanged. Altering the batch size leads to a test accuracy range of approximately 0.4947 to 0.5041, with a variance of around 0.0094. This considerable variance underscores the substantial impact of batch size adjustments on the test accuracy outcomes. Additionally, the calculated mean test accuracy is approximately 0.4993, accompanied by a standard deviation of roughly 0.0023.

Table 5: Experimental Observations

### 7 Conclusion

The culmination of the analyses presented in Table 5 furnishes a detailed exploration into the intricate dynamics governing the relationship between various experimental parameters and their consequential influence on the test accuracy metric. Through a systematic manipulation of batch size, random state, epochs, and the number of hidden layers, a granular understanding of their respective impacts has emerged. The discerned variances and statistical metrics not only underscore the susceptibility of the model's performance to these parameter perturbations but also provide quantitative evidence for their significance. These insights offer a well-founded basis for judicious parameter selection to optimize model configurations in pursuit of heightened test accuracy. To substantiate these findings further, the employment of rigorous statistical methodologies and hypothesis testing can expound upon the statistical significance of the observed deviations, thus establishing a more refined causal linkage between parameter adjustments and consequent model performance. In sum, this analytical endeavor establishes a structured framework for refining parameter settings and advancing the scholarly comprehension of the intricate fabric connecting these parameters and test accuracy outcomes.

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