#### E6318 - Microwave Circuit Design

Columbia University

Spring 2006

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#### Outline of Lecture 4 & 5

- Short recap of lecture 3 (practical TL's),
- Discuss material not seen last time: μ-strip, CPW,
   wave velocity, power capacity
- Network analysis
  - Impedance and admittance matrix
  - Scattering matrix
  - Calculating S-parameters, signal flow graphs
- Impedance matching and tuning
  - Matching with lumped elements

#### Calendar

- Course: Th 4:10-6:40 PM, 1127 Mudd
  - **0**1/19
  - **0**1/26
  - 02/02 rescheduled
  - **02/09**
  - **02/16**
  - **02/23**
  - **03/02**
  - 03/09 **Midterm**

- 03/16 Spring Holidays
- **03/23**
- **03/30**
- **04/06**
- **•** 04/13
- **•** 04/20
- **•** 04/27
- **Final** (05/11)

## Recap practical transmission lines

**• TEM**:

$$\beta = \omega \sqrt{\mu \varepsilon} = k$$

$$k_c = 0$$

$$k_c = 0$$
  $v_p = c/\sqrt{\varepsilon_r}$ 

- the transverse fields of TEM wave are same as static fields, 2 or more conductors needed, no TEM in closed conductor
- voltage, current and impedance well-defined
- TE or TM:
  - closed conductor or higher order modes TEM
  - propagation constant  $\beta$  dependent frequency & geometry

$$\beta = \sqrt{k^2 - k_c^2}$$

$$\beta = \sqrt{k^2 - k_c^2} \qquad k = \omega \sqrt{\mu \varepsilon} = 2\pi/\lambda$$

- **quasi-TEM** (different  $\varepsilon_r$  under and above line)
  - leads to concept of effective dielectric constant

$$\beta = \frac{\omega}{v_p} = \omega \sqrt{\mu_0 \varepsilon_0 \varepsilon_e} = \sqrt{\varepsilon_e k_0}$$

$$v_p = c/\sqrt{\varepsilon_e}$$

#### Recap practical transmission lines (2)

Attenuation in transmission lines

$$\alpha = \alpha_d + \alpha_c \xrightarrow{\alpha_c \sim R_s} R_s = \frac{1}{\sigma \delta_s} = \sqrt{\frac{\omega \mu}{2\sigma}}$$

$$\alpha_d \cong \frac{k^2 \tan \delta}{2\beta} \text{Np/m}$$
 (TE or TM waves)
$$\cong \frac{k \tan \delta}{2} \text{Np/m}$$
 (TEM waves)

#### **Coaxial line:**

- TEM mode, use from DC-to mm-waves
- EM-fields from static fields using cylindrical coordinates
- First higher order mode is  $TE_{11}$ , cutoff frequency approx.

$$k_c \cong \frac{2}{a+b}$$
  $f_c = \frac{ck_c}{2\pi \sqrt{\varepsilon_r}}$ 

# Recap (3): Waveguides, (micro)stripline

- Rectangular waveguide has limited bandwidth
- dominant mode is  $TE_{10}$ :  $f_{c_{10}} = \frac{1}{2a\sqrt{\mu\varepsilon}}$   $\beta = \sqrt{k^2 (\pi/a)^2}$
- For  $f < f_c$ ,  $\beta$  is imaginary, all field components will decay exponentially: cut-off or evanescent modes
- Higher order modes: TE<sub>10</sub>, for normal case a>2b waveguide
   BW typically factor of two
- Strip-line: integrated, TEM, low dispersion and loss
- **Microstrip**: integrated, quasi-TEM, most used, requires viaholes and insulating substrates

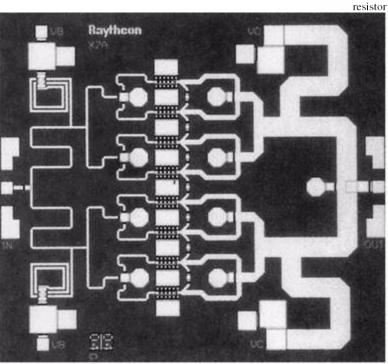
# Microstrip substrate materials

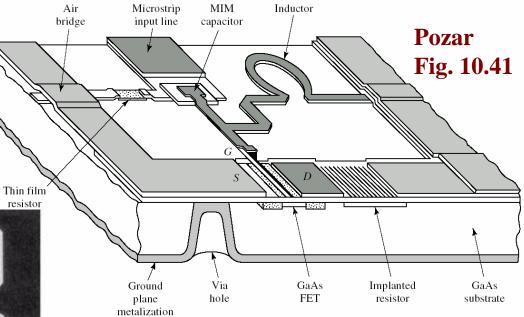
Material	Type of Material	Dielectric Constant	Loss Tangent	Other Characteristics
Fused Silica	Amorphous form of quartz	3.78	<0.0001 to at least 20 GHz	Expensive, brittle, difficult metal adhesion
Alumina	Ceramic form of alumina	9.0-10.0	<0.0015 to 25 GHz	Characteristics depend on manufacture, k=9.8 is most common
Sapphire	Crystalline alumina	8.6 hor. 10.55 vert.	<0.0015 in all directions	Electrically anisotropic
RT Duroid 5880	Composite; PTFE-fiber-glass	2.20	0.0009 at 10 GHz	Low-cost "soft" substrate; widely used
RT Duroid 5870	Composite; PTFE-fiber-glass	2.33	0.0012 at 10 GHz	Low-cost "soft" substrate; widely used
RT Duroid 6006	Composite; ceramic-PTFE	6.15	0.0019 at 10 GHz	Not mechanically as good as other materials
Silicon	Crystal (Si)	11.9	Very Lossy	Dielectric loss problem for RF/MW circuits
Gallium Arsenide	Crystal (GaAs)	12.9	Typically 0.001	Used for monolithic circuits only
Indium Phospide	Crystal (InP)	12.4	Typically 0.001	Used for monolithic circuits only

K. Chang, I. Bahl and V. Nair, "RF and Microwave Circuit and Component Design for Wireless Systems", Wiley Series in Microwave and Optical Engineering

## Microstrip Monolithic Integrated Circuits

Layout monolithic microwave integrated circuit (MMIC) again microstrip topology





Example of MMIC:

Integrated X-band power amplifier

Multiple HBT's combined to deliver 5W

Pozar Fig. 10.42

More recent: **thin-film** μ-strip

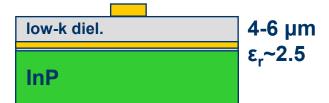
## Thin-Film Microstrip (TF-MS)

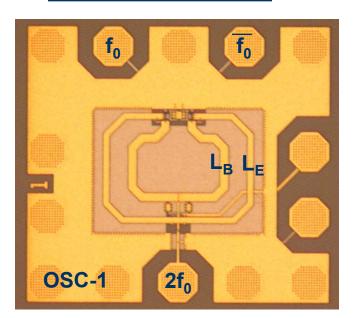
#### Conventional TFMS:

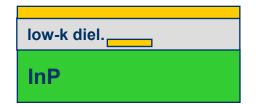
- + lower loss (fixed  $Z_0$ )
- + low  $\varepsilon_{r,eff}$  (digital)

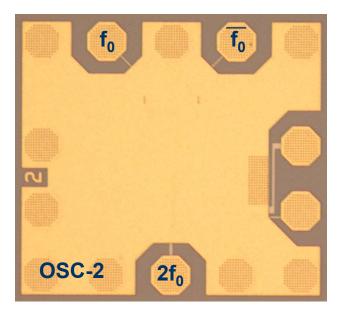
#### **Inverted TFMS:**

- + good shielding, easy flip-chip
- + high  $\varepsilon_{r,eff}$  (delay)





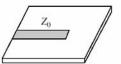


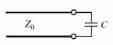


Dr. Y. Baeyens

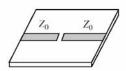
# Microstrip discontinuities

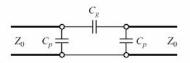
- Compared with ideal TL, additional parasitics associated with discontinuities such as:
  - open end and gap
  - Via-hole to ground
  - change in width (step)
  - T-junction & cross-junction
  - corner or bend
- Local change in E and H-field
  - fringing fields: capacitor
  - change current: inductance

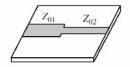


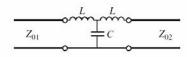


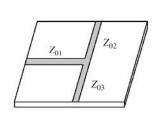
(a)

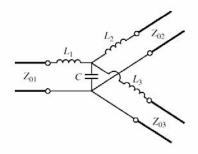


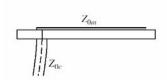


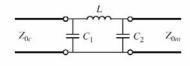








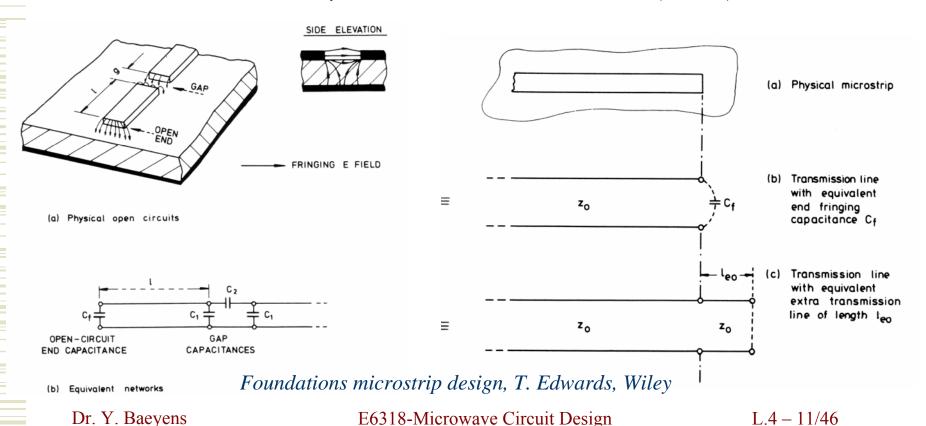




Pozar 4.23

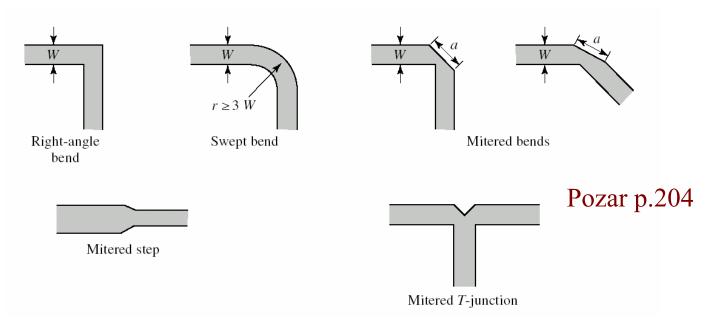
#### Microstrip discontinuities (2)

- In general, dimensions  $<<\lambda$ : effect approximated by equivalent circuit model, parameters from rigorous EM-simulations
- Alternative : equivalent end effect (only distributed parameters)
- Models available in μwave circuit simulators (ADS), use them!



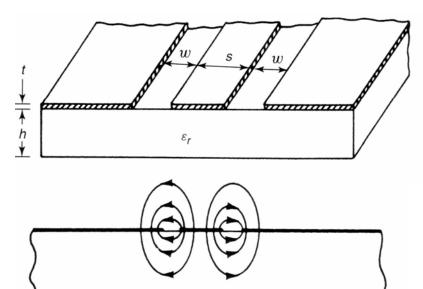
## Microstrip discontinuities compensation

- Effect of discontinuities:
  - additional reactances can cause errors circuit design
  - conversion to other modes (surface-wave mode in μstrip)
  - radiation: loss mechanism, source EMI, coupling
- Whenever possible, effect discontinuity mitigated by making smoother transition or compensation for discontinuity



# Coplanar waveguide lines (CPW)

- originally introduced by C.P. Wen in 1969
- only more recently (from mid-90's) used in circuits, mainly due to lack of accurate modeling
- also does not support pure TEM (different dielectric constant under and above line) quasi-TEM



Conductor in gap between 2 ground planes (dual microstrip) Variations:

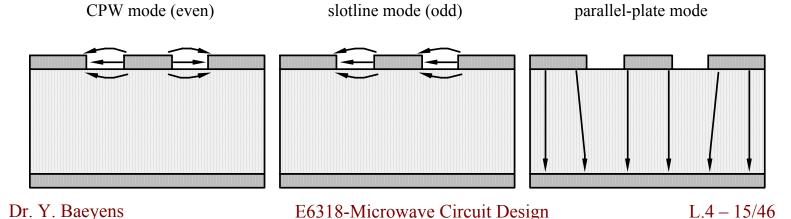
- CPS
- asymmetric CPW
- conductor backed CPW

#### Advantages CPW versus μ-stripline

- Uniplanar technology: signal & ground conductors at same side of substrate; no wafer thinning, via hole etching and backside metallisation needed, this reduces cost
- ground plane is accessible at front side of the wafer, easy implementation of active elements; especially advantageous at very high frequencies due to the **absence of via-hole inductance**;
- correctly designed, CPW lines have **low dispersion** (variation of the effective dielectric constant), important for broad band applications;
- the presence of the ground plane results in a **reduced coupling** between adjacent line, enables a further miniaturisation of the MMIC circuits
- on-wafer measurement technique based on coplanar probe tips is commercially available facilitating accurate measurements

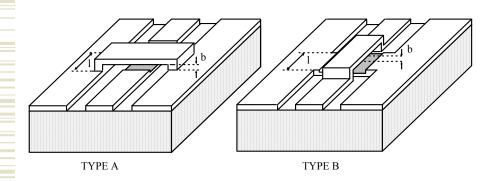
# Disadvantages CPW versus μ-stripline

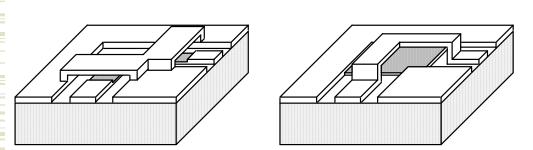
- in CPW the **electrical field is surface-oriented**, to determine accurately the coplanar line characteristics two-dimensional field needs to be solved.
- a coplanar circuit has normally a thick substrate, therefore **heat transfer** can become a problem in high-power applications,
- the CPW line consists of three unconnected conductors, such that both an even and odd transmission line mode can propagate, the symmetric CPW mode is the mode commonly used in coplanar circuits. At discontinuities, this mode can be converted into an asymmetric slot-line mode. Such multi-mode propagation should be prevented by the use of air bridges connecting the two ground metallisations to keep them at an equal potential. When a back metallisation is present, additionally a parallel-plate mode can be excited

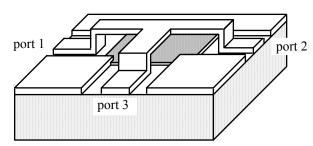


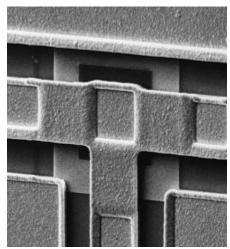
#### Discontinuities in CPW

Important to maintain ground continuity at CPW discontinuities: frequent use of airbridges at T- or cross-junctions, bends, at input and output ports lumped elements, etc...

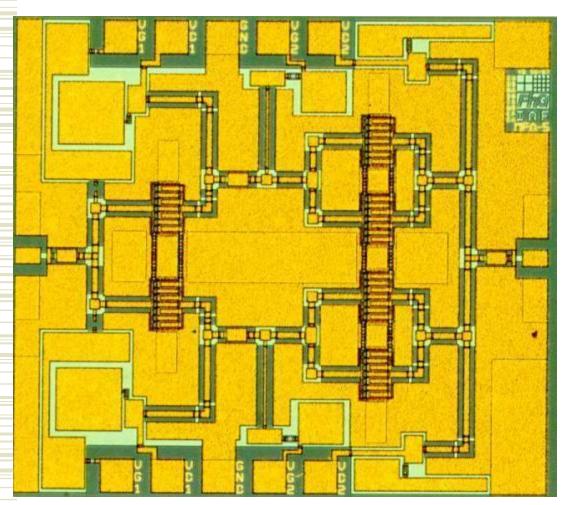








## Example of coplanar MMIC (1)



- 1W power amplifier at 42 GHz
- 0.15µm PHEMT
- size: 1.4x1.4 mm<sup>2</sup>
- 3.3V power supply
- Power-density 2-3x higher best  $\mu$ -strip
- C-loaded lines

#### Summary of common TL's

Characteristic	Coax	Waveguide	Stripline	Microstrip & CPW		
Modes: Preferred	TEM	$TE_{10}$	TEM	Quasi-TEM		
Other	TM, TE	TM, TE	TM, TE	Hybrid TM, TE		
Dispersion	None	Medium	None	Low		
Bandwidth	High	Low	High	High		
Loss	Medium	Low	High	High		
Power Capacity	Medium	High	Low	Low		
Physical Size	Large	Large	Medium	Small		
Ease of Fabrication	Medium	Medium	Fair	Easy		
Integration with	Hard	Hard	Fair	Easy		
other components						

- Other TL: ridge & dielectric WG, fin-line, balanced lines such as twisted pair, coplanar strip-line, slotline,
- Other important feature: radiation performance

#### Wave velocities and dispersion in TL

- Different velocities defined in TL:
  - Speed of light in medium:  $1/\sqrt{\mu\varepsilon}$
  - Phase velocity:  $v_p = \omega/\beta$
  - Group velocity:  $v_g = \left(\frac{d\beta}{d\omega}\right)^{-1}\Big|_{\omega=0}$
- Phase velocity: speed at which a constant phase point travels.
   Dispersion of broadband signals in TL occurs when either phase velocity v<sub>p</sub> or attenuation not constant afo frequency, from wave analogy: can be larger then speed of light
- Group velocity: velocity at which a narrow band signal propagates, related with information and power transport, needs to be smaller then c (for derivation, see p.151-153)

## Example: waveguide wave velocities

- ? Calculate group velocity of waveguide mode propagating in air-filled guide. Compare to phase velocity and speed of light.
- First calculate propagation constant  $\beta$ :

$$\beta = \sqrt{k^2 - k_c^2} = \sqrt{(\omega/c)^2 - k_c^2}$$

• Taking derivative to frequency gives:

$$\frac{d\beta}{d\omega} = \frac{\omega/c^2}{\sqrt{(\omega/c)^2 - k_c^2}} = \frac{k_o}{c\beta}$$

• So group velocity becomes:

$$v_g = \left(\frac{d\beta}{d\omega}\right)^{-1} = \frac{c\beta}{k_o}$$

- Phase velocity is:  $v_p = \omega/\beta = (k_o c)/\beta$
- Since  $\beta < k_o$ , we have  $v_g < c < v_p$ , which indicates phase velocity may be greater than speed of light, but group velocity will always be less than speed of light

## Power capacity of TL's

- Power in TL's is limited by voltage breakdown, for air occurring at breakdown electric field E<sub>d</sub>=3x10<sup>6</sup> V/m
- Calculation of capacity requires knowledge of E-field
- For air-filled coax:  $E_{\rho} = V_0/(\rho \ln b/a)$  this is max. for  $\rho$ =a

$$V_{\text{max}} = E_d a \ln b / a$$

and maximum power capacity becomes:

$$P_{\text{max}} = \frac{V_{\text{max}}^2}{2Z_0} = \frac{\pi a^2 E_d^2}{\eta_0} \ln \frac{b}{a}$$

• As expected power capacity increases for larger diameter cable, limit is cut-off frequency of higher order mode TE<sub>11</sub>

$$P_{\text{max}} = \frac{0.025}{\eta_0} \left(\frac{cE_d}{f_{\text{max}}}\right)^2 = 5.8 \times 10^{12} \left(\frac{E_d}{f_{\text{max}}}\right)^2$$
 @10GHz = 520kW

#### Power capacity of waveguides

• For air-filled rectangular waveguide:  $E_y = E_o \sin(\pi x/a)$  this is max.  $E_o$  at x=a/2 and maximum power capacity becomes:

$$P_{\text{max}} = \frac{abE_o^2}{4Z_w} = \frac{abE_d^2}{4Z_w}$$

• As expected, power capacity increases for guide size, for most waveguides  $b \cong a/2$ , to avoid  $TE_{20}$  mode,  $a < c/f_{max}$ , with  $f_{max}$  the maximum operating frequency. Maximum power capacity of guide can be shown:

$$P_{\text{max}} = \frac{0.11}{\eta_0} \left( \frac{cE_d}{f_{\text{max}}} \right)^2 = 2.6 \times 10^{13} \left( \frac{E_d}{f_{\text{max}}} \right)^2$$
 @10GHz = 2300kW

- In practice, safety factor of two + some care for reflections (for  $|\Gamma|=1$ , max. voltage can double)
- Higher breakdown using inert gas or dielectric

#### Microwave Circuit Analysis

- Circuit dimensions << wavelength</li>
  - Lumped passive and active components.
  - Negligible phase change throughout the circuit.
  - Circuit theory Kirchhoff's laws and Ohm's law.
- ◆ Circuit dimensions ≈ wavelength
  - Distributed passive and active components.
  - Phase depends on position. Components are characterized by their dimensions, propagation constants and characteristic impedances.
  - Microwave network theory.

#### Impedance, voltage and current

• The voltage, current and characteristic impedance of transmission lines are defined as:

$$V = \Phi_{+} - \Phi_{-} = \int_{+}^{-} \overline{E} \cdot d\overline{l}$$

$$I = \oint_{C} \overline{H} \cdot d\overline{l}$$

$$C^{+}$$

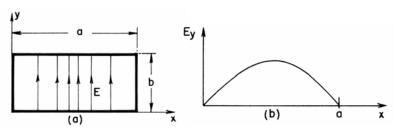
$$Z_{0} = \frac{V}{I}$$

- **TEM-type** TL have **unique** V, I and  $Z_0$  because:
  - The lines have well defined terminal pairs.
  - The above integrations are independent of path.

#### Characteristics & calculations non-TEM lines

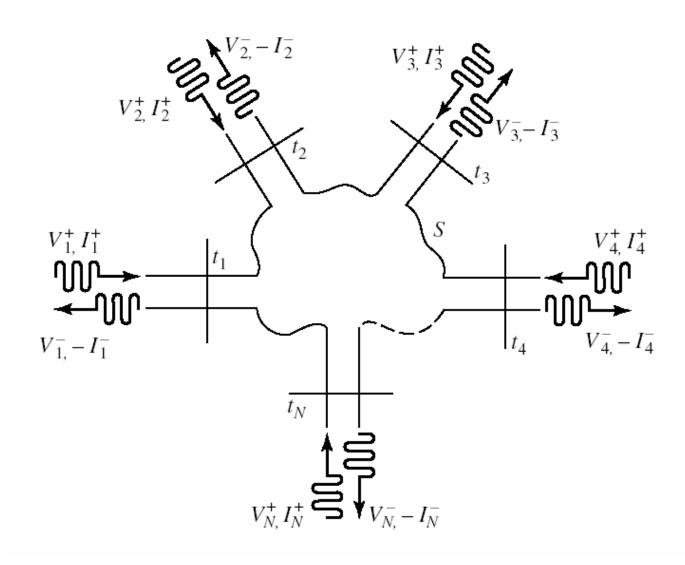
- Non TEM-type transmission lines such as rectangular waveguide do not have unique V, I and  $Z_0$  values because:
  - The lines DO NOT have well defined terminal pairs.
  - The above integrations are path dependent.
- For the dominant  $TE_{10}$  mode in rectangular waveguide, voltage from the transverse fields can be written as:

$$V = \frac{-j\omega\mu a}{\pi} A \cdot \sin \frac{\pi x}{a} e^{-j\beta z} \int_{y} dy$$



- The above voltage depends on the position, x, as well as the length of the integration contour along the y-direction.
- For non-TEM: equivalent I, V & Z used (not discussed here)

# An arbitrary N-port Microwave Network



#### Impedance Matrix

- Two-terminal pair  $\Rightarrow$  Port.
- V and I  $\Rightarrow$  Equivalent V and I.
  - Reference planes are defined to provide a phase reference for the (equivalent) V and I phasors.
  - At the n<sup>th</sup> reference plane, the total voltage and current are:

$$V_n = V_n^+ + V_n^- \qquad I_n = I_n^+ - I_n^-$$

• The impedance matrix relates these voltages and currents:

$$\begin{bmatrix} V \end{bmatrix} = \begin{bmatrix} Z \end{bmatrix} \begin{bmatrix} I \end{bmatrix}, \qquad Z_{ij} = \frac{V_i}{I_j} \Big|_{I_k = 0 \text{ for } k \neq j}$$

Z<sub>ii</sub>: input impedance

 $Z_{ij}$ : transfer impedance between ports i and j, i $\neq$ j

#### Admittance Matrix

• The admittance matrix is defined as:

$$\begin{bmatrix} I \end{bmatrix} = \begin{bmatrix} Y \end{bmatrix} \begin{bmatrix} V \end{bmatrix}, \qquad Y_{ij} = \frac{I_i}{V_j} \Big|_{V_k = 0 \text{ for } k \neq j}$$

Y<sub>ii</sub>: input admittance

Y<sub>ij</sub>: transfer admittance between ports i and j, i≠j

- For **reciprocal** networks (no active devices, ferrites,..), the impedance and admittance matrices are symmetric:  $Z_{ij} = Z_{ji}$  and  $Y_{ij} = Y_{ji}$
- If the network is **lossless**,  $Z_{ij}$  and  $Y_{ij}$  are purely imaginary

#### Example: evaluation of impedance parameters

- ◆ Example: Find Z-parameters two-port T-network
- **Solution:** 
  - $\blacksquare$   $Z_{11}$ : input impedance port 1 when port 2 is open circuited

$$Z_{11} = \frac{V_1}{I_1} \bigg|_{I_2 = 0} = Z_A + Z_C$$

■ Transfer impedance  $Z_{12}$ : measure open-circuit voltage at port 1 when current  $I_2$  applied at port 2:

$$Z_{12} = \frac{V_1}{I_2}\Big|_{I_1=0} = \frac{V_2}{I_2} \frac{Z_C}{Z_B + Z_C} = Z_C$$

•  $Z_{12}=Z_{21}$  and  $Z_{22}$  can be found:

$$Z_{22} = \frac{V_2}{I_2}\Big|_{I_1=0} = Z_B + Z_C$$

$$Port \Rightarrow V_1 \Rightarrow V_1 \Rightarrow Z_C$$

$$Port \Rightarrow V_1 \Rightarrow Z_C \Rightarrow V_2 \Rightarrow Port \Rightarrow V_1 \Rightarrow V_2 \Rightarrow Port \Rightarrow V_1 \Rightarrow V_2 \Rightarrow V_3 \Rightarrow V_4 \Rightarrow V_5 \Rightarrow V_6 \Rightarrow V_7 \Rightarrow V_8 \Rightarrow V_8 \Rightarrow V_8 \Rightarrow V_8 \Rightarrow V_8 \Rightarrow V_8 \Rightarrow V_9 \Rightarrow V_9$$

# The Scattering Matrix (S-parameters)

- Impedances and admittances are easy to work with; however these parameters cannot be measured easily:
  - VSWR, non-TEM complicate measurement
  - Short and open circuits are difficult to achieve over a broad-band of microwave frequencies.
  - Active devices, such as power transistors, very often are not open- or short-circuit stable
- Scattering parameters deal directly with incident,reflected and transmitted voltage waves.
- Scattering parameters can be measured directly with a vector network analyzer (VNA).
- Conversion from scattering parameters to other matrix parameters can be easily done.

# Scattering Matrix

• The scattering matrix of a N-port network with the same characteristic impedance at all ports is defined as:

$$\begin{bmatrix} V_{1}^{-} \\ V_{2}^{-} \\ \vdots \\ V_{N}^{-} \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1N} \\ S_{21} & S_{22} & & S_{2N} \\ \vdots & & \ddots & \vdots \\ S_{N1} & S_{N2} & \cdots & S_{NN} \end{bmatrix} \begin{bmatrix} V_{1}^{+} \\ V_{2}^{+} \\ \vdots \\ V_{N}^{+} \end{bmatrix}$$

$$V^- = S \cdot V^+$$
  $S_{ij} = \frac{V_i^-}{V_j^+} \Big|_{V_k^+ = 0 \text{ for } k \neq j}$ 

- $V_n^+$  and  $V_n^-$  are the amplitudes of the incident and reflected voltage waves at the  $n^{th}$  port
- $S_{ij}$  is found by driving port j with incident wave of voltage  $V_j^+$  and measuring the reflected wave amplitude  $V_i^-$ , coming out of port i, incident waves on all other ports set ot zero, terminated with matched load

#### Example: evaluation of S-parameters

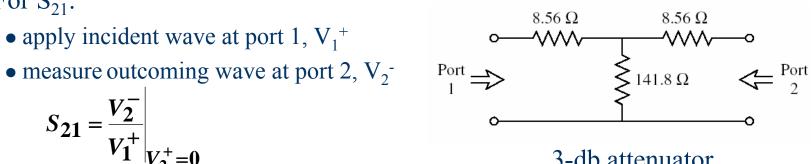
- **Example**: Find S-parameters 3-dB attenuator network
- **Solution:** 
  - S<sub>ii</sub>: reflection coefficient into port i with other ports terminated
  - $S_{ii}$ : transmission coefficient from port j to i, other terminated
  - So,  $S_{11}$ : reflection coefficient port 1 when port 2 is terminated in matched load ( $Z_0 = 50\Omega$ )

$$S_{11} = \frac{V_1^-}{V_1^+} \bigg|_{V_2^+ = 0} = \Gamma^{(1)} \bigg|_{V_2^+ = 0} = \frac{Z_{in}^{(1)} - Z_0}{Z_{in}^{(1)} + Z_0} \bigg|_{Z_0 \text{ on port 2}}$$

$$Z_{in}^{(1)} = 8.56 + [141.8(8.56 + 50)]/(141.8 + 8.56 + 50) = 50\Omega$$

- For  $S_{21}$ :
  - apply incident wave at port 1,  $V_1^+$

$$S_{21} = \frac{V_2^-}{V_1^+} \bigg|_{V_2^+ = 0}$$



3-db attenuator

#### Example: evaluation of S-parameters

- $S_{11} = S_{22} = 0$ , so  $V_1 = 0$  if port 2 terminated in 50  $\Omega$  ( $V_2 = 0$ )  $\Rightarrow V_1 = V_1$  &  $V_2 = V_2$
- So applying  $V_1$  and calculating  $V_2$  (2x voltage division):

$$V_2^- = V_2 = V_1 \left( \frac{141.8 // 58.56}{141.8 // 58.56 + 8.56} \right) \left( \frac{50}{50 + 8.56} \right) = 0.707 V_1$$

• So,  $S_{21} = S_{12} = 0.707$ 

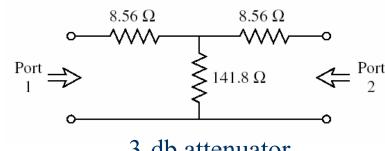
$$S = \begin{bmatrix} \mathbf{0} & \mathbf{0.707} \\ \mathbf{0.707} & \mathbf{0} \end{bmatrix}$$

• If input power is  $|V_1^+|^2/2Z_0$  then output power is:

$$|V_2^-|^2/2Z_0 = |S_{21}V_1^+|^2/2Z_0 = |S_{21}|^2|V_1^+|^2/2Z_0 = |V_1^+|^2/4Z_0$$

so attenuator effectively attenuates with 3-dB

(half power put into 2-port is transmitted, other half is dissipated in resistors)



3-db attenuator

# Determination [S] from [Z] or [Y] ( $Z_{0n}$ equal)

• Total voltage and current at  $n^{th}$  port (and set  $Z_{0n}=1$ ):

$$V_n = V_n^+ + V_n^ I_n = I_n^+ - I_n^- = V_n^+ - V_n^-$$

- then:  $[Z][I] = [Z][V^+] [Z][V^-] = [V] = [V^+] + [V^-]$
- rewritten as:  $([Z]+[U])[V^-]=([Z]-[U])[V^-]$ with [U] the unit or identity matrix,
- [S] can be determined as:  $[S] = ([Z] + [U])^{-1}([Z] [U])$
- for one-port this becomes:  $S_{11} = \frac{z_{11} 1}{z_{11} + 1}$  in agreement with reflection coefficient
- For [Z] as function of [S]  $[Z] = ([U] + [S])([U] [S])^{-1}$

#### Generalized scattering Matrix

• The scattering matrix of a N-port network with characteristic impedance  $Z_{0n}$  at the n<sup>th</sup> port is defined as:

$$\begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1n} \\ S_{21} & S_{22} & & S_{2n} \\ \vdots & & \ddots & \vdots \\ S_{n1} & S_{n2} & \cdots & S_{nn} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{bmatrix} \qquad \begin{aligned} a_n &= V_n^+ / \sqrt{Z_{0n}} \\ b_n &= V_n^- / \sqrt{Z_{0n}} \\ Z_{0n} &= Z_0 \text{ at port n} \end{aligned}$$

$$[b] = [S] \cdot [a]$$
  $S_{ij} = \frac{b_i}{a_j} \Big|_{V_k^+ = 0 \text{ for } k \neq j} = \frac{V_i^- \sqrt{Z_{0j}}}{V_j^+ \sqrt{Z_{0i}}} \Big|_{V_k^+ = 0 \text{ for } k \neq j}$ 

◆ V<sub>n</sub><sup>+</sup> and V<sub>n</sub><sup>-</sup> are the amplitudes of the incident and reflected voltage waves at the n<sup>th</sup> port

#### Power Power Delivered

•  $a_n$  and  $b_n$  can be expressed in terms of  $V_n$  and  $I_n$ :

$$a_n = V_n^+ / \sqrt{Z_{0n}} = \sqrt{Z_{0n}} I_n^+$$
  $b_n = V_n^- / \sqrt{Z_{0n}} = \sqrt{Z_{0n}} I_n^ a_n + b_n = V_n / \sqrt{Z_{0n}}$   $a_n - b_n = \sqrt{Z_{0n}} I_n$   $a_n - b_n = \frac{1}{2\sqrt{Z_{0n}}} [V_n + Z_{0n} I_n]$   $b_n = \frac{1}{2\sqrt{Z_{0n}}} [V_n - Z_{0n} I_n]$ 

Average power delivered to the port n is:

$$P_{n} = \frac{1}{2} \operatorname{Re} \left\{ V_{n} I_{n}^{*} \right\} = \frac{1}{2} \operatorname{Re} \left\{ \left( V_{n}^{+} + V_{n}^{-} \left( \frac{V_{n}^{+} - V_{n}^{-}}{Z_{0n}} \right)^{*} \right) \right\}$$

$$= \frac{1}{2} \operatorname{Re} \left\{ \sqrt{Z_{0n}} (a_{n} + b_{n}) \left( \frac{a_{n} - b_{n}}{\sqrt{Z_{0n}}} \right)^{*} \right\} \quad \text{incident}$$

$$= \frac{1}{2} \operatorname{Re} \left\{ a_{n} |^{2} - |b_{n}|^{2} + \left( b_{n} a_{n}^{*} - b_{n}^{*} a_{n} \right) \right\} = \underbrace{\left( a_{n} |^{2} \right)}_{2} \quad \text{reflected}$$

### Reciprocal and lossless networks

- For reciprocal networks (no active elements, ferrites),
   [Z] and [Y] are symmetric.
- Similarly, [S]-matrix of **reciprocal** network is **symmetric**: [S]=[S]<sup>t</sup> ([S]<sup>t</sup> is transpose matrix)
- For lossless networks, [Z] and [Y] are purely imaginary
- The S-parameters of a **lossless** network form a **unitary** matrix: [S]<sup>t</sup>[S]\*=[U], product any column [S] with own conjugate gives unity, product with conjugate different column gives zero

$$\sum_{k=1}^{N} S_{ki} S_{ki}^* = 1$$

$$\sum_{k=1}^{N} S_{ki} S_{kj}^* = 0 \qquad \text{for } i \neq j$$

### Application of S-parameters

• Measured S-parameters 2-port:

$$[S] = \begin{bmatrix} 0.1 \angle 0 & 0.8 \angle 90^{\circ} \\ 0.8 \angle 90^{\circ} & 0.2 \angle 0 \end{bmatrix}$$

- ◆ Determine if 2-port is reciprocal or lossless, calculate return loss at port1 for short at port 2
- Solution:
  - [S] is symmetric, so 2-port is reciprocal
  - Not lossless: evaluation 1st row:  $|S_{11}|^2 + |S_{12}|^2 = (0.1)^2 + (0.8)^2 = 0.65 \neq 1$
  - Calculation reflection coefficient for shorted port 2  $(V_2^+=-V_2^-)$  from definition S-parameters:

$$V_1^- = S_{11}V_1^+ + S_{12}V_2^+ = S_{11}V_1^+ - S_{12}V_2^-$$

$$V_2^- = S_{21}V_1^+ + S_{22}V_2^+ = S_{21}V_1^+ - S_{22}V_2^-$$

# Application of S-parameters (2)

- From last equation:  $V_2^- = \frac{S_{21}}{1 + S_{22}} V_1^+$
- Dividing 1st equation by  $V_1^+$ , inserting  $V_2^-$ :

$$\Gamma = \frac{V_1^-}{V_1^+} = S_{11} - \frac{S_{12}S_{21}}{1 + S_{22}}$$

$$= 0.1 - \frac{(j0.8)(j0.8)}{1 + 0.2} = 0.633$$
• So return loss becomes:

$$RL = -20 \log |\Gamma| = 3.97 dB$$

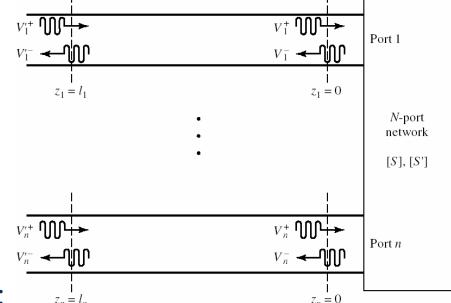
### Shift in Reference Planes

- Phase reference planes needed for each port of network
- S-parameters transformed when reference planes moved from original locations
- For original & new reference:

$$[V^{-}] = [S] \cdot [V^{+}]$$
  
 $[V'^{-}] = [S'] \cdot [V'^{+}]$ 



$$V_{\mathbf{n}}^{\prime +} = V_{\mathbf{n}}^{+} e^{j\theta_{n}}$$
$$V_{\mathbf{n}}^{\prime -} = V_{\mathbf{n}}^{-} e^{-j\theta_{n}}$$



• With  $\theta n = \beta_n l_n$  electrical length of outward shift reference plane

### Shift in Reference Planes

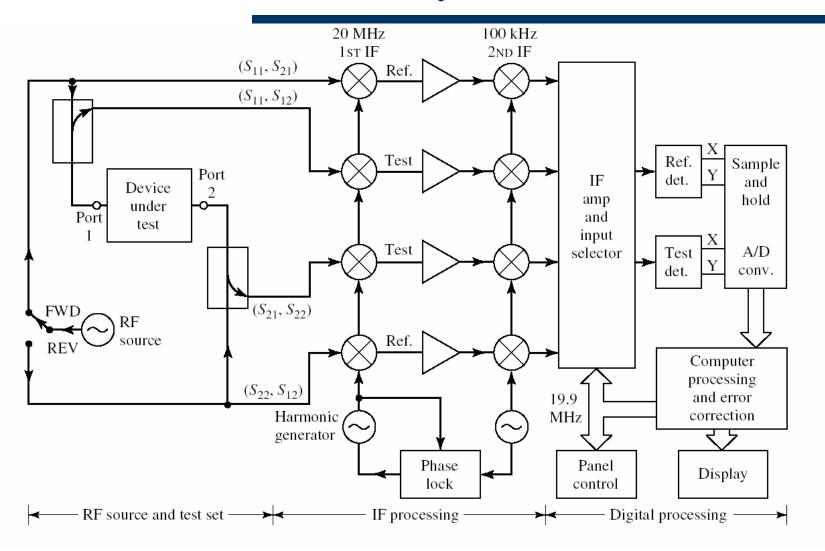
• Writing S-parameter equation in matrix form:

Multiplying with inverse matrix on left:

• Gives expression for new S-parameter matrix

• Note:  $S_{nn}'=e^{-2j\theta_n}$ , phase twice shifted by electrical length of shift in terminal plane n (wave travels twice along length)

### The Vector Network Analyzer



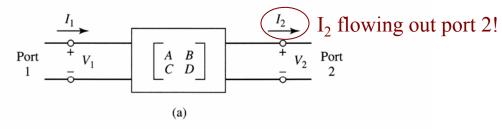
Critical component is directional coupler (will see later)

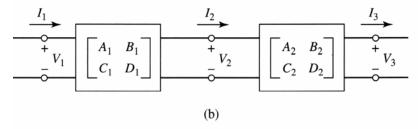
### The transmission (ABCD) Matrix

- Used to calculate cascade connection of networks by multiplying ABCD matrices of individual two-ports
- Defined as:

$$V_1 = AV_2 + BI_2$$
$$I_1 = CV_2 + DI_2$$

$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} V_2 \\ I_2 \end{bmatrix} \qquad \qquad \begin{bmatrix} A_1 & B_1 \\ \vdots & D_1 \end{bmatrix}$$



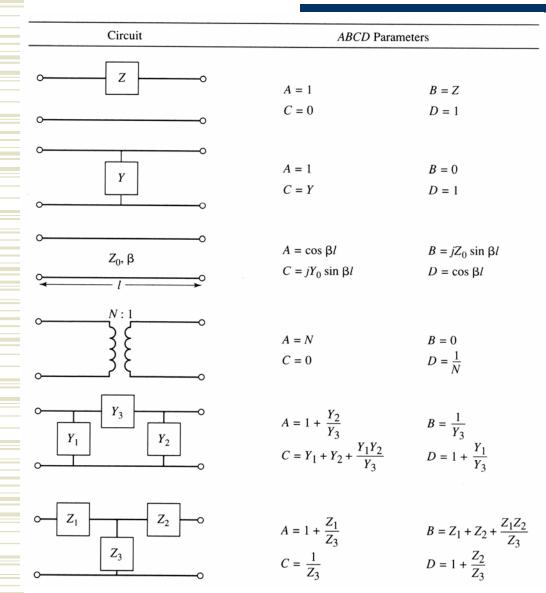


• Cascade connection: (a) A two-port network; (b) a cascade connection of two-port networks.

$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} A_1 & B_1 \\ C_1 & D_1 \end{bmatrix} \begin{bmatrix} V_2 \\ I_2 \end{bmatrix} \quad \begin{bmatrix} V_2 \\ I_2 \end{bmatrix} = \begin{bmatrix} A_2 & B_2 \\ C_2 & D_2 \end{bmatrix} \begin{bmatrix} V_3 \\ I_3 \end{bmatrix}$$

So: 
$$\begin{bmatrix} V_1 \\ I_1 \end{bmatrix} = \begin{bmatrix} A_1 & B_1 \\ C_1 & D_1 \end{bmatrix} \begin{bmatrix} A_2 & B_2 \\ C_2 & D_2 \end{bmatrix} \begin{bmatrix} V_3 \\ I_3 \end{bmatrix}$$

### ABCD-parameters of some useful 2-ports



- Library building blocks
- Not commutative
- Example 1st network:

$$A = \frac{V_1}{V_2} \bigg|_{I_2 = 0} = 1$$

$$B = \frac{V_1}{I_2}\Big|_{V_2 = 0} = \frac{V_1}{V_1/Z} = Z$$

$$C = \frac{I_1}{V_2}\bigg|_{I_2=0} = 0$$

$$D = \frac{I_1}{I_2} \bigg|_{V_2 = 0} = \frac{I_1}{I_1} = 1$$

### Relation Transmission and Impedance Matrix

◆ Z-parameters (consistent with sign convention I<sub>2</sub> ABCD):

$$V_1 = I_1 Z_{11} - I_2 Z_{12}$$
$$V_2 = I_1 Z_{21} - I_2 Z_{22}$$

V<sub>1</sub> = 
$$I_1Z_{11} - I_2Z_{12}$$
  
V<sub>2</sub> =  $I_1Z_{21} - I_2Z_{22}$   
Results in calculation ABCD:  

$$A = \frac{V_1}{V_2}\Big|_{I_2=0} = \frac{I_1Z_{11}}{I_1Z_{21}} = \frac{Z_{11}}{Z_{21}}$$

$$B = \frac{V_1}{I_2}\Big|_{V_2=0} = \frac{I_1Z_{11} - I_2Z_{12}}{I_2}\Big|_{V_2=0} = \frac{I_1Z_{11}}{I_2}\Big|_{V_2=0} - Z_{12}$$

$$= Z_{11}\frac{I_1Z_{22}}{I_1Z_{21}} - Z_{12} = \frac{Z_{11}Z_{22} - Z_{12}Z_{21}}{Z_{21}}$$

$$C = \frac{I_1}{V_2}\Big|_{I_2=0} = \frac{I_1}{I_1Z_{21}} = \frac{1}{Z_{21}}$$

$$D = \frac{I_1}{I_2}\Big|_{V_2=0} = \frac{Z_{22}}{Z_{21}}$$
Reciprocal: AD-BC=1

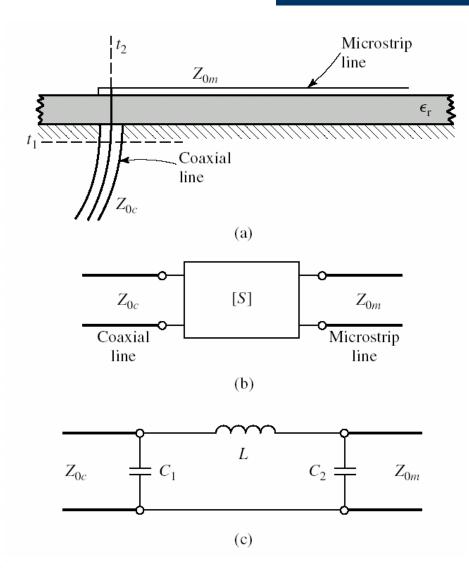
Dr. Y. Baeyens
$$E6318\text{-Microwave Circuit Design}$$

## Conversions between 2-port parameters (p.211)

	S	Z	Y	ABCD
$S_{11}$	$S_{11}$	$\frac{(Z_{11}-Z_0)(Z_{22}+Z_0)-Z_{12}Z_{21}}{\Delta Z}$	$\frac{(Y_0 - Y_{11})(Y_0 + Y_{22}) + Y_{12}Y_{21}}{\Delta Y}$	$\frac{A + B/Z_0 - CZ_0 - D}{A + B/Z_0 + CZ_0 + D}$
$S_{12}$	$S_{12}$	$rac{2Z_{12}Z_0}{\Delta Z}$	$rac{-2Y_{12}Y_0}{\Delta Y}$	$\frac{2(AD - BC)}{A + B/Z_0 + CZ_0 + D}$
$S_{21}$	$S_{21}$	$rac{2Z_{21}Z_{0}}{\Delta Z}$	$rac{-2Y_{21}Y_0}{\Delta Y}$	$\frac{2}{A+B/Z_0+CZ_0+D}$
$S_{22}$	$S_{22}$	$\frac{(Z_{11} + Z_0)(Z_{22} - Z_0) - Z_{12}Z_{21}}{\Delta Z}$	$\frac{(Y_0 + Y_{11})(Y_0 - Y_{22}) + Y_{12}Y_{21}}{\Delta Y}$	$\frac{-A+B/Z_0-CZ_0+D}{A+B/Z_0+CZ_0^3+D}$
$Z_{11}$	$Z_0 \frac{(1+S_{11})(1-S_{22}) + S_{12}S_{21}}{(1-S_{11})(1-S_{22}) - S_{12}S_{21}}$	$Z_{11}$	$\frac{Y_{22}}{ Y }$	$\frac{A}{C}$
$Z_{12}$	$Z_0 \frac{2S_{12}}{(1 - S_{11})(1 - S_{22}) - S_{12}S_{21}}$	$Z_{12}$	$\frac{-Y_{12}}{ Y }$	$\frac{AD - BC}{C}$
$Z_{21}$	$Z_0 \frac{2S_{21}}{(1 - S_{11})(1 - S_{22}) - S_{12}S_{21}}$	$Z_{21}$	$\frac{-Y_{21}}{ Y }$	$\frac{1}{C}$
$Z_{22}$	$Z_0 \frac{(1 - S_{11})(1 + S_{22}) + S_{12}S_{21}}{(1 - S_{11})(1 - S_{22}) - S_{12}S_{21}}$	$Z_{22}$	$\frac{Y_{11}}{ Y }$	$\frac{D}{C}$
$Y_{11}$	$Y_0 \frac{(1 - S_{11})(1 + S_{22}) + S_{12}S_{21}}{(1 + S_{11})(1 + S_{22}) - S_{12}S_{21}}$	$rac{Z_{22}}{ Z }$	$Y_{11}$	$\frac{D}{B}$
$Y_{12}$	$Y_0 \frac{-2S_{12}}{(1+S_{11})(1+S_{22}) - S_{12}S_{21}}$	$\frac{-Z_{12}}{ Z }$	$Y_{12}$	$\frac{BC - AD}{B}$
$Y_{21}$	$Y_0 \frac{-2S_{21}}{(1+S_{11})(1+S_{22}) - S_{12}S_{21}}$	$\frac{-Z_{21}}{ Z }$	$Y_{21}$	$\frac{-1}{B}$
$Y_{22}$	$Y_0 \frac{(1+S_{11})(1-S_{22}) + S_{12}S_{21}}{(1+S_{11})(1+S_{22}) - S_{12}S_{21}}$	$\frac{Z_{11}}{ Z }$	$Y_{22}$	$\frac{A}{B}$
A	$\frac{(1+S_{11})(1-S_{22})+S_{12}S_{21}}{2S_{21}}$	$rac{Z_{11}}{Z_{21}}$	$\frac{-Y_{22}}{Y_{21}}$	A
B	$Z_0 \frac{(1+S_{11})(1+S_{22}) - S_{12}S_{21}}{2S_{21}}$	$rac{ Z }{Z_{21}}$	$\frac{-1}{Y_{21}}$	В
C	$\frac{1}{Z_0} \frac{(1 - S_{11})(1 - S_{22}) - S_{12}S_{21}}{2S_{21}}$	$\frac{1}{Z_{21}}$	$\frac{- Y }{Y_{21}}$	C
D	$\frac{(1-S_{11})(1+S_{22})+S_{12}S_{21}}{2S_{21}}$	$rac{Z_{22}}{Z_{21}}$	$\frac{-Y_{11}}{Y_{21}}$	D

 $\frac{\mathbf{Y}}{2} |Z| = Z_{11}Z_{22} - Z_{12}Z_{21}; \quad |Y| = Y_{11}Y_{22} - Y_{12}Y_{21}; \quad \Delta Y = (Y_{11} + Y_0)(Y_{22} + Y_0) - Y_{12}Y_{21}; \quad \Delta Z = (Z_{11} + Z_0)(Z_{22} + Z_0) - Z_{12}Z_{21}; \quad Y_0 = 1/Z_0$ 

### Equivalent circuits for two-ports

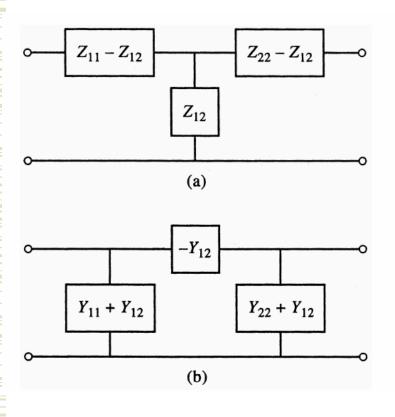


Discontinuity: storage electrical-magnetic energy: results in reactances

Example: coax-to-µstrip transition representation:

- Black-box S-parameters
- Equivalent circuit with # idealized components

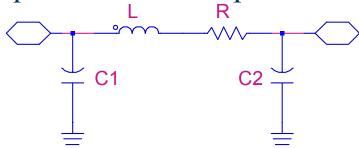
### T and $\pi$ -equivalent networks reciprocal 2-ports



- For reciprocal networks, six independent parameters needed (real, imag. 3 matrix elements)
- Leads to two possible equivalent networks:
  - using impedance: T
  - using admittance:  $\pi$
- Lossless networks: elements purely reactive

## Example: equivalent network spiral inductor

### Π-equivalent circuit spiral inductor

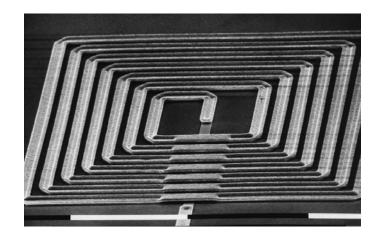


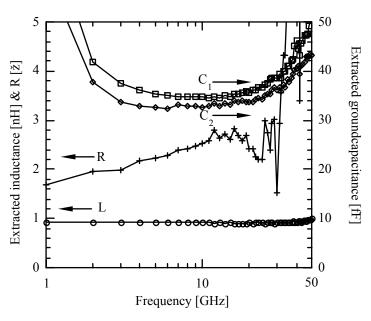
$$L = -\operatorname{Im}\left(\frac{1}{Y_{12}}\right) / \omega \qquad R = -\operatorname{Re}\left(\frac{1}{Y_{12}}\right)$$

$$C_1 = \frac{\text{Im} (Y_{11} + Y_{12})}{\omega}$$
  $C_2 = \frac{\text{Im} (Y_{22} + Y_{12})}{\omega}$ 

### Extraction procedure:

- Measure S-parameters (welldefined reference planes)
- Calculate L,R,C,.. afo frequency
- Take average where constant



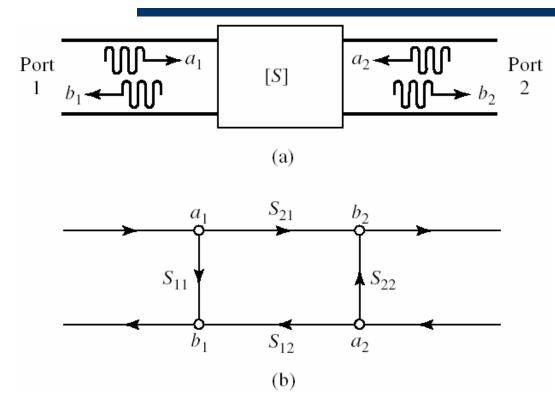


### Signal flow graphs

- Technique for analysis of microwave networks in terms of transmitted and reflected waves
- Construction of signal flow graph: primary components are nodes and branches
  - **Nodes:** each port I of microwave network has two nodes a<sub>i</sub> and b<sub>i</sub>. Node a<sub>i</sub> is identified with wave entering port i, b<sub>i</sub> with wave reflected from port I
  - **Branches**: directed path between a-node and b-node representing signal flow, each branch has associated S-parameter or reflection coefficient

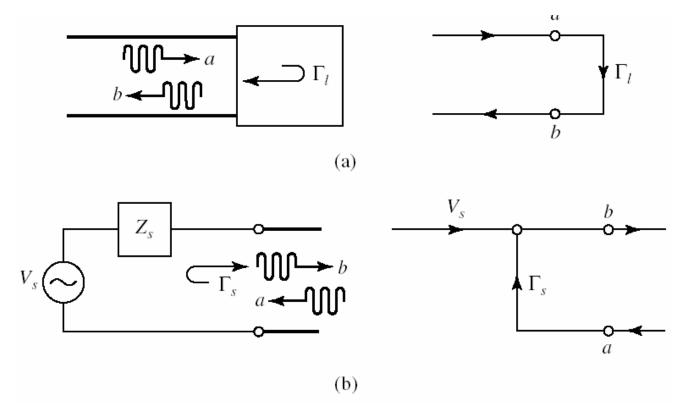
See also Agilents application note on S-parameters posted on website

### Signal flowgraph of two-port



Wave  $a_1$  incident at port 1 split, part through  $S_{11}$  and out port 1 as reflected wave, part transmitted through  $S_{21}$  to node  $b_2$ . At node  $b_2$  wave goes out port 2, can be partly reflected by load re-enter two-port at  $a_2$ , reflected back out port 2 through  $S_{22}$ , part transmitted out port 1 through  $S_{12}$ 

### Network for one-port network and source



• Signal flow graph of microwave network can be solved for ration of combination wave amplitudes using decomposition rules (or using Mason's rule control system theory)

## Decomposition rules signal flow graphs

• Series rule

$$V_3 = S_{32}V_2 = S_{32}S_{21}V_1$$

Parallel rule

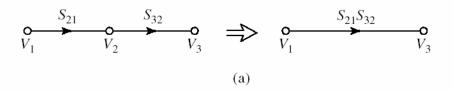
$$V_2 = S_a V_1 + S_b V_1 = (S_a + S_b) V_1$$

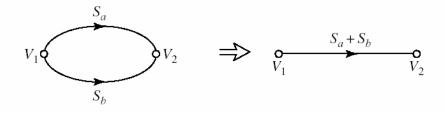
Self-loop rule

$$V_2 = S_{21}V_1 + S_{22}V_2$$
$$V_2 = \frac{S_{21}}{1 - S_{22}}V_1$$

Splitting rule

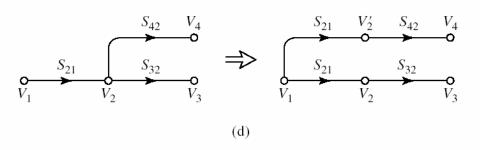
$$V_4 = S_{42}V_2 = S_{21}S_{42}V_1$$





 $S_{22}$   $S_{32}$   $V_1$   $V_2$   $V_3$   $V_3$   $V_1$   $V_2$   $V_3$   $V_1$   $V_2$   $V_3$   $V_1$   $V_2$   $V_3$   $V_1$   $V_2$   $V_3$ 

(b)



## Example signal flow graph (1)

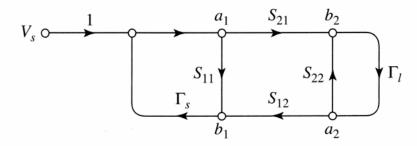
#### **EXAMPLE 4.7** Application of Signal Flow Graph

Derive the expression for  $\Gamma_{in}$  for the terminated two-port network shown in Figure 4.17 using signal flow graphs and the above decomposition rules.

#### Solution

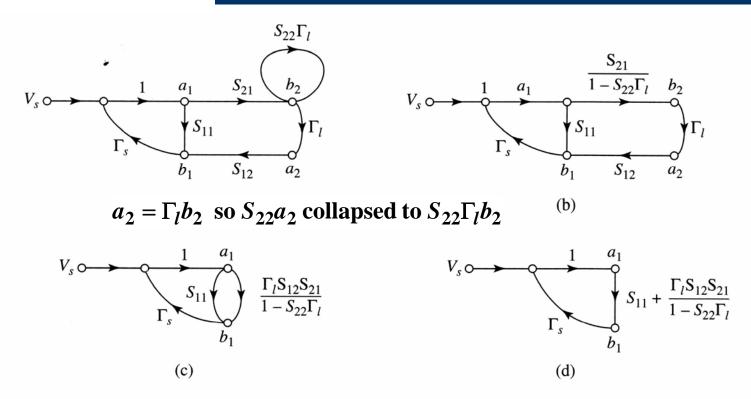
The signal flow graph for the circuit of Figure 4.17 is shown in Figure 4.18. We wish to find  $\Gamma_{in} = b_1/a_1$ . Figure 4.19 shows the four steps in the decomposition of the flow graphs, with the final result that

$$\Gamma_{\rm in} = \frac{b_1}{a_1} = S_{11} + \frac{S_{12}S_{21}\Gamma_{\ell}}{1 - S_{22}\Gamma_{\ell}},$$



Signal flow path for the two-port network with general source and load impedances of Figure 4.17.

### Example signal flow graph (2)



PIGURE 4.19 Decomposition of the flow graph of Figure 4.18 to find  $\Gamma_{\rm in}=b_1/a_1$ . (a) Using Rule 4 on node  $a_2$ . (b) Using Rule 3 for the self-loop. (c) Using Rule 1. (d) Using Rule 2.

Another example in book involves application of signal flow graphs to determine error boxes of TRL-calibration VNA

### Summary: Calculation of Microwave Networks

• Write out the equations for S-parameter matrix

$$V^{-} = S \cdot V^{+}$$
  $S_{ij} = \frac{V_{i}^{-}}{V_{j}^{+}}\Big|_{V_{k}^{+} = 0 \text{ for } k \neq j}$ 

- $\bullet$   $S_{ii}$ : reflection coefficient into port i with other ports terminated
- $S_{ij}$ : transmission coefficient from port j to i, other terminated
- Use signal Flow Graph Techniques
  - Four decomposition rules to simplify network
  - Mason's rule
- Calculate other parameters such as Z-Y or ABCD (cascade) and convert back to S-parameters

### Impedance Matching and Tuning

• Matching network: lossless (ideally) network matching arbitrary load impedance (non-zero real part) to a TL

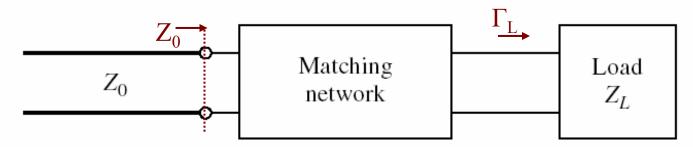


- Maximum **power** is delivered when the load and generator are matched to the line.
- Proper input impedance transformation of sensitive **receiver** components (antenna, LNA, etc.) improves the S/N ratio
- For power amplifier often transformation load to optimum load line needed to increase power output active device
- Impedance matching in a power distribution network (such as antenna array feed network) will reduce amplitude and phase **errors**.

## Transforming Network Selection Criteria

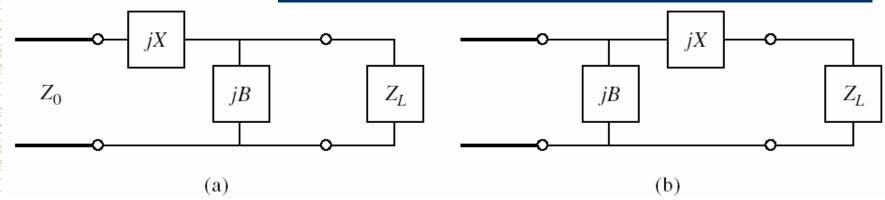
- Complexity A simpler impedance transformation network is usually cheaper, more reliable, and less lossy than a more complex design.
- **Bandwidth** typical matching network gives only match at single frequency, larger BW → increase in complexity (for instance multi-section transformers).
- Implementation Short-circuited stubs in coax and waveguide (shorting stubs easy to implement in waveguide). Open-circuited stubs in stripline and microstrip.
- Adjustability some applications may require adjustments (tuning stubs with micrometer in waveguides).

### Lossless Matching Network

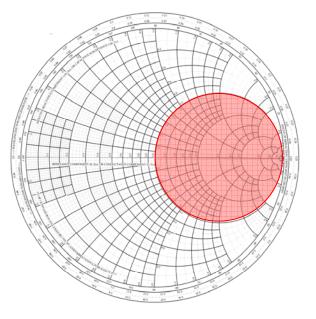


- In general, for network matching an arbitrary load impedance to a transmission line:
  - To avoid unnecessary power loss, matching network is ideally lossless.
  - The impedance looking in to the matching network is  $Z_0$ .
  - Reflections are eliminated on the transmission line to the left of the matching network.
  - There will be multiple reflections between the matching network and the load.

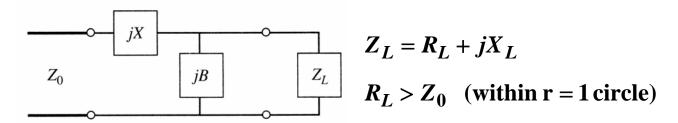
## Matching with lumped elements (L networks)



- Simplest type matching is L-section with 2 reactive elements
- Two possible configurations:
  - (a): network for  $z_L$  within 1+jx circle
  - (b): network for  $z_L$  outside 1+jx circle
- Reactive elements: capacitor or inductor
- Parasitics limit usable frequency range
- Solutions analytical or using Smith Chart



## Analytical Solution Lumped Element Matching

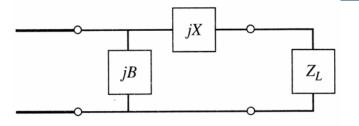


- For match to TL:  $Z_0 = jX + \frac{1}{jB + 1/(R_L + jX_L)}$
- Separating into real and imaginary parts gives 2 equations for X and B, solving gives quadratic equation for B:

$$B = \frac{X_L \pm \sqrt{R_L/Z_0} \sqrt{R_L^2 + X_L^2 - Z_0 R_L}}{R_L^2 + X_L^2}$$

- X becomes:  $X = \frac{1}{B} + \frac{X_L Z_0}{R_L} \frac{Z_0}{BR_L}$
- Two solutions physically possible for B (pos. B: C, neg. B: L) and X (pos. X:L, neg. X:C), one solution often preferred in terms of size, bandwidth or SWR line feeding load

### Analytical Solution Lumped Element Matching



$$Z_L = R_L + jX_L$$

 $Z_L = R_L + jX_L$   $R_L < Z_0$  (outside r = 1 circle)

- For match to TL:  $\frac{1}{Z_0} = jB + \frac{1}{R_I + i(X + X_I)}$
- Separating into real and imaginary parts gives 2 equations for X and B, solving gives:

$$X = \pm \sqrt{R_L(Z_0 - R_L) - X_L}$$

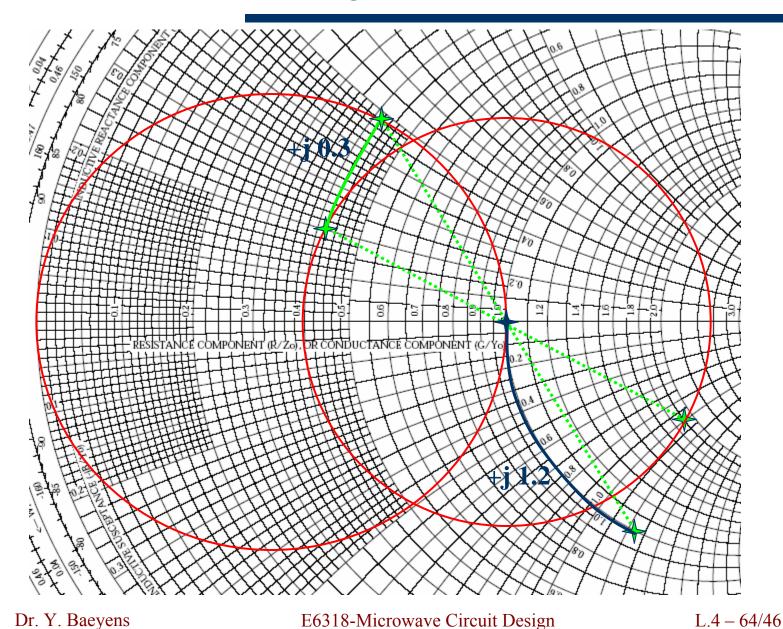
$$B = \pm \frac{\sqrt{(Z_0 - R_L)/R_L}}{Z_0}$$

- Again two solutions possible
- In general, two degrees of freedom needed for matching (two equations to satisfy:  $Re\{Z_{in}\}=Z_0$ ;  $Im\{Z_{in}\}=0$

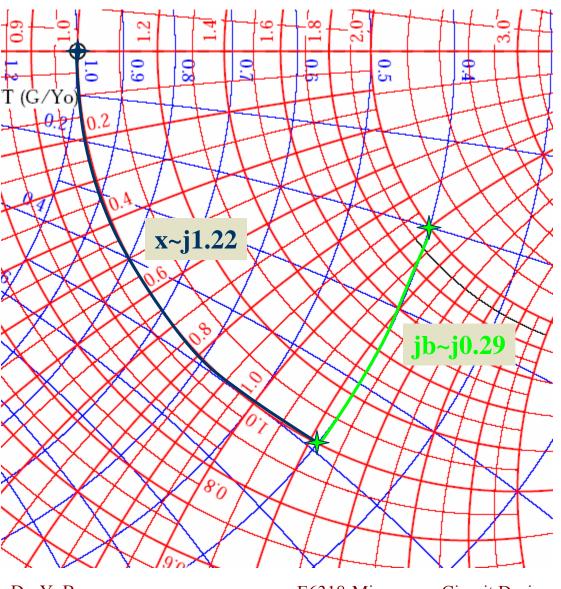
### Matching solution on Smith Chart

- Ex. 5.1: load  $Z_L$ =200-j100, match to 100  $\Omega$  line at 500 MHz
- First: normalized load impedance z<sub>L</sub> put on Smith chart
- $z_L$  is within unit resistance circle: first shunt susceptance (a)
- to add shunt susceptance:  $z_L$  converted to  $y_L$  by imaging
- shunt susceptance will follow constant resistance circle on Z-chart, constant conductance circle for admittance Y-chart
- after imaging back impedance needs to be on 1+jx circle: so shunt susceptance needs to move from y<sub>L</sub> to 1+jx circle on admittance chart (mirrored version circle Z-chart)
- after adding susceptance, imaging brings back to impedance
- from impedance on 1+jx circle, value series reactance to reach 50 Ohm can be determined

## Solution LC-matching on Z Smith Chart



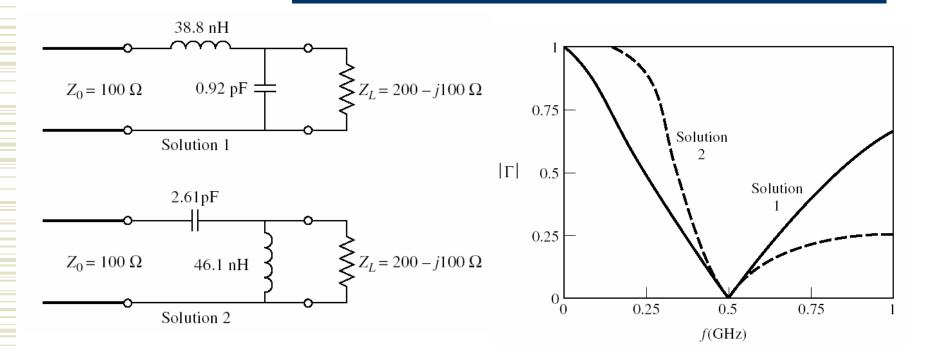
### Same on combined ZY chart, only bit faster.....



$$C = \frac{b}{2\pi f Z_0} = 0.92 \text{pF}$$

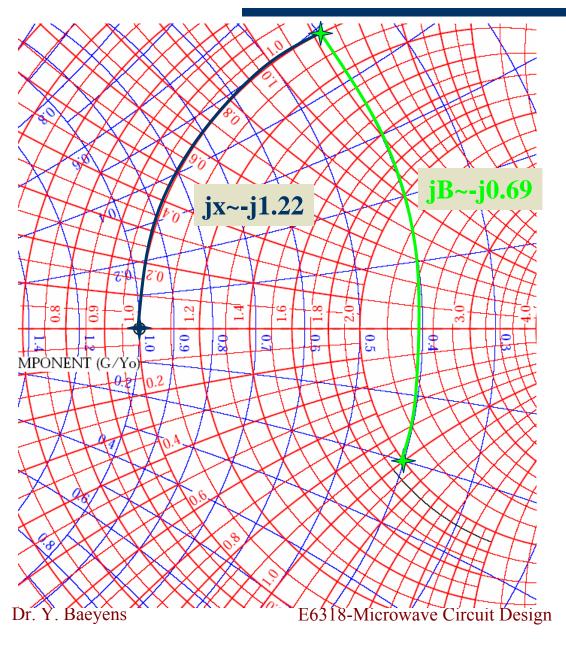
$$L = \frac{xZ_0}{2\pi f} = 38.8\text{nH}$$

### Possible L matching circuits



- For alternative solution see next slide
- Choice solution based on component values (typically the smaller the better for losses & resonance frequency) or BW
- Also the ability to bias active device can be important

### Alternative solution on ZY chart

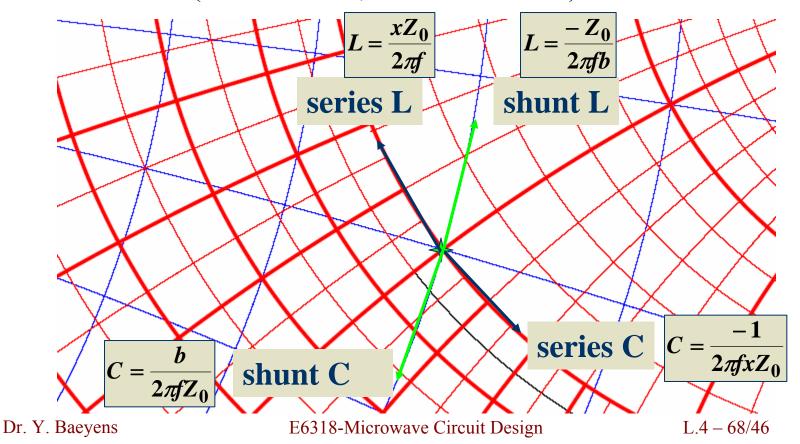


$$C = \frac{-1}{2\pi f x Z_0} = 2.61 \text{pF}$$

$$L = \frac{-Z_0}{2\pi fb} = 46.1\text{nH}$$

### LC matching on ZY chart

- Adding series reactance: rotate along circle of constant resistance (clockwise:L, counterclock:C)
- Adding shunt susceptance: rotate along circle constant conductance (clockwise:C, counterclock:L)



### Homework 3 & next lecture!!

- Pozar, "Microwave Engineering" (3<sup>rd</sup> Ed.!) Will put on site!
  - **4.10**
  - **4.16**
- Due date: 2/23
- Next week we'll review finish impedance matching and review HW1&2