

MODULE - 3

TREE AND GRAPH ALGORITHMS

SYLLABUS :

- Trees
- ★ Properties
- ★ Pendant vertices.
- Distance and centres in a tree.
- ★ Rooted and binary trees.
- ★ Counting trees.
- ★ Spanning trees.
- ★ Prim's algorithm and Kruskal's algorithm
- ★ Dijkstra's shortest path algorithm.
- ★ Floyd-Warshall shortest path algorithm.

TextBook :

- ★ Narsingh Deo, "Graph Theory", PHI, 1979

TREE:

A tree is a connected graph without any circuit.

Tree is always a simple graph.

Theorem-1 :

"There is one and only one path between every pair of vertices in a tree T."

PROOF :

Since, T is a connected graph, there must exist at least one path between every pair of vertices in T . Suppose that between two vertices A and B of T , there are two distinct paths. The union of these two paths will contain a circuit. Then T can't be a tree. \therefore

there exists one and only one path between every pair of vertices.

Theorem-2 :

"If in a graph G , there is one and only path between every pair of vertices, then G is a tree."

18 ~~Possibility~~ (n=k+1).

♦ **[PROOF]:**

Existence of a path between every pair of vertices assures that G_n is connected.

Then to prove G_n is a tree, it is enough to prove that G_n has no circuit.

A circuit in a graph implies that there is at least one pair of vertices a, b ,

such that there is a path b/w a and

b. Since we have a single path b/w every pair of vertices, G_n can't have circuits. $\therefore G_n$ is a tree.

Suppose that the theorem is true for all $n \leq k$. Now we have to prove that the result is true for $n=k+1$.

Consider a tree with ' $k+1$ ' vertices.

Let 'e' be an edge of T . If we remove 'e' from T , the graph will become disconnected. The graph ' $T-e$ ' has two components T_1 and T_2 . Let the number of vertices in T_1 be n_1 and the number of vertices in T_2 be n_2 .

$$n_1 + n_2 = k+1$$

The values n_1 and n_2 are $\leq k$.

$\therefore T_1$ and T_2 are two trees having

the number of vertices $\leq k$. The result is hold. \therefore the number of edges in $T_1 = n_1 - 1$ and the number

of edges in $T_2 = n_2 - 1$.

\therefore The total number of edges in T

$$= (n_1 - 1) + (n_2 - 1) + 1$$

$$= (n_1 + n_2) - 2 + 1$$

$$= (k+1) - 2 + 1$$

$$= \underline{k}$$

■ **[Theorem-3]:**

"A tree with ' n ' vertices has ' $n-1$ ' edges!"

♦ **[PROOF]:**

The theorem can be proved by induction on the number of vertices.

[Case-I]: ($n=1$)

• Since T has no circuits, self-loop isn't possible. So no edge.

[Case-II]: ($n=2$)

• Only one edge exists [No parallel edge on self-loop!].

\therefore It is true for $k+1$. Hence the result
is true for all integral values of k .

Hence proved.

• **Theorem-4:**

"A connected graph with ' n ' vertices and ' $n-1$ ' edges is a tree."

• **PROOF:**

Let G_1 be a connected graph with n vertices and $n-1$ edges. Then prove that G_1 has no circuit. We have to prove the converse that if G_1 has no cycle and ' $n-1$ ' edges, then G_1 is connected. We decompose G_1 into k components C_1, C_2, \dots, C_k . Each component is connected and it has no cycle since G_1 has no cycle. Hence each C_i is a tree.

Let n_i denote the number of vertices in C_i ,

for $i=1, 2, \dots, k$. Then $n = \sum_{i=1}^k n_i$, and

each C_i has ' n_i-1 ' edges. The total

number of edges in $G_1 = \sum_{i=1}^k (n_i-1) = n-k$.

Given that G_1 has ' $n-1$ ' edges,

$$\therefore [n - k = n-1]$$

$$\Rightarrow [k=1]$$

\therefore Number of components = 1. That is, G_1 is a connected graph.

• **Theorem-5:**

"A graph is a tree iff it's minimally connected."

• **PROOF:**

A connected graph is said to be minimally connected if removal of anyone edge from it disconnects the graph. Suppose that the given graph is minimally connected.

A minimally connected graph can't have a circuit. Thus a minimally connected graph is a tree.

Conversely suppose that G_1 is a tree. Then it's connected and circuitless. \therefore the removal of an edge from tree disconnects the graph. \therefore the graph is minimally connected.

• **Theorem-6:**

"A graph G_1 with ' n ' vertices, ' $n-1$ ' edges, and no circuit is connected iff it's a tree."

◆ PROOF:

Suppose that there exists a circuitless graph G_1 with n vertices and $n-1$ edges which is disconnected. In that case, G_1 will consist of two or more circuitless components without loss of generality, G_1 consists of two components G_{11} and G_{12} , add an edge ' e ' between a vertex v_1 in G_{11} and v_2 in G_{12} . Since there was no path b/w v_1 and v_2 in G_1 , adding ' e ' doesn't create a circuit. Thus $G_{11}eG_{12}$ is a connected graph with n vertices and n edges. This is not possible. $\therefore G_1$ is connected.

● DISTANCE BETWEEN TWO VERTICES:

● PROPERTIES OF A TREE:

- * A tree is a connected graph without any circuit.
- * A tree is always a simple graph.
- * There is one and only one path between every pair of vertices in a tree.

* A tree with ' n ' vertices has ' $n-1$ ' edges.

* A graph is a tree iff it is minimally connected.

● DISTANCE BETWEEN TWO VERTICES:

"The distance between two vertices v_i and v_j is denoted as ' $d(v_i, v_j)$ ' and it is defined as length of the shortest path between v_i and v_j ."

★ Metric :

"A function $f(x,y)$ is said to be a metric if it satisfies the following conditions"

[a] Non-negativity : $[f(x,y) \geq 0]$

[b] Symmetry : $[f(x,y) = f(y,x)]$

[c] Triangle Inequality : $[f(x,y) \leq f(x,z) + f(z,y)]$

● Theorem:

"The distance between vertices of a connected graph is a metric."

◆ PROOF:

* The distance between two vertices is always a non-negative value for them to exist. $\therefore d(v_i, v_j) \geq 0$ \rightarrow [Non-negativity.]

* The distance from a vertex v_i to another vertex v_j in a connected graph G is equal to that from v_j to v_i . $\therefore d(v_i, v_j) = d(v_j, v_i)$

* For any three vertices v_i, v_j, v_k in a connected graph G , $d(v_i, v_j) \leq d(v_i, v_k) + d(v_k, v_j)$. \rightarrow [Triangle Inequality]

● ECCENTRICITY OF A VERTEX:

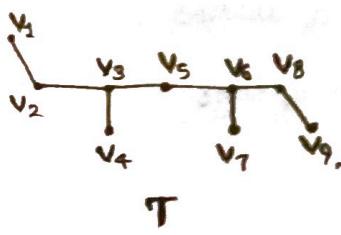
"The eccentricity of a vertex v in a connected graph G is denoted by $E(v)$ and it is defined as the distance from v to the vertex farthest from v in G , i.e.,

$$E(v) = \max_{v_i \in V} d(v, v_i)$$

● CENTRE OF A TREE:

"A vertex with minimum eccentricity in graph G is called the centre of G ."

e.g.



* Centre of the above tree = v_5 .

● RADIUS AND DIAMETER:

"The eccentricity of a centre in a tree is its radius and the length of the longest path in a tree is its diameter."

● Theorem:

"In any tree (with two or more vertices), there are at least two pendant vertices."

◆ PROOF:

A tree with n vertices has $n-1$ edges.

\therefore sum of the degrees of the vertices = $2e$

$$= 2(n-1).$$

$2(n-1)$ degree to be divided among n vertices. \therefore no vertex can be of zero degree, we must have at least two vertices of degree 1 in a tree.

● Theorem:

"Every tree has either one or two centres."

◆ PROOF:

Let T be a tree having more than two vertices. Then T has two or more pendant

vertices. Deleting all the pendant vertices from T , the resulting graph T' is again a tree. The removal of all pendant vertices from T uniformly reduces the eccentricities of the remaining vertices. \therefore the centres of T are also the centres of T' . From T' , remove all pendant vertices and get another tree T'' . Continuing this process, we either get a vertex or an edge. If we get a vertex, then the tree has only one centre. Else two. "Hence proved."

● ROOTED AND BINARY TREES:

- ★ "A tree in which one vertex is distinguished from all other vertices is called 'Rooted tree' and the distinguished vertex is called 'Root of the tree'."
- ★ A binary tree is defined as a rooted tree in which there is exactly one vertex of degree two and each of the remaining vertex having degree 1 or 3.
- The vertex of degree two serves as the root.

? Prove that the number of vertices in a binary tree is always odd.

Ans: To "Consider a binary tree with n vertices. One vertex is of degree 2 and all other vertices are of degree 1 or 3. $\therefore (n-1)$ degrees are odd degree vertices. In a graph, the number of odd degree vertices is always even.

$$\therefore n-1 = \text{Even}$$

$$\Rightarrow n = \text{Even} + 1$$

$$= \underline{\text{Odd}}$$

That is, the number of vertices in a binary tree is always odd."

? Prove that the number of pendant vertices in a binary tree is $\frac{n+1}{2}$.

Ans: Let ' n ' denote the number of vertices and ' p ' denote the number of pendant vertices. Then the number 3-degree vertices $= n-1-p$.

- Sum of degrees of vertices = $2 \times \text{Number of edges}$ $= \underline{\underline{2(n-1)}}$.

$$2+p + (n-p)3 = 2(n-1)$$

$$\Rightarrow 2+p+3n-3-p=2n-2 \Rightarrow n=2p-1$$

$$\Rightarrow p = \frac{n+1}{2}$$

INTERNAL VERTEX:

* A non-pendant vertex in a tree is called "internal vertex".

? Prove that the number of internal vertices in a binary tree is one less than the number of pendant vertices.

An: Let 'n' denote the total number of vertices and 'p' denote the number of pendant vertices.

$$\text{Then } p = \frac{n+1}{2}$$

$$\text{Number of internal vertices} = n-p$$

$$= n - \frac{(n+1)}{2}$$

$$= \frac{2n-(n+1)}{2}$$

$$= \frac{n-1}{2} = \frac{n+1-1}{2}$$

$$= \underline{\underline{p-1}}$$

$$\frac{n-1}{2}$$

$$= \underline{\underline{(n+1)-2}}$$

$$\frac{2}{2}$$

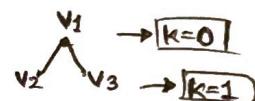
$$= \underline{\underline{\frac{n+1}{2}-1}}$$

? Prove that the maximum number of vertices possible in the k^{th} level of a binary tree is 2^k .

An: We can prove this law by using the principle of mathematical induction on levels.

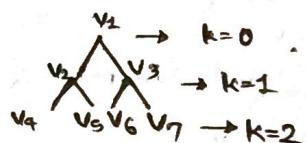
* When $\boxed{k=1}$,

$$\text{maximum possible vertices} = 2^1 = 2$$



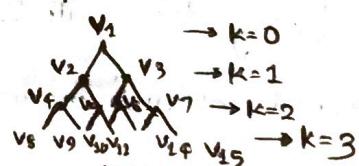
* When $\boxed{k=2}$,

$$\text{maximum possible vertices} = 2^2 = 4$$



* When $\boxed{k=3}$,

$$\text{maximum possible vertices} = 2^3 = 8$$



* Assume that the result is true for $(k-1)^{\text{th}}$

level. i.e., maximum possible vertices in the $(k-1)^{\text{th}}$ level = 2^{k-1} .

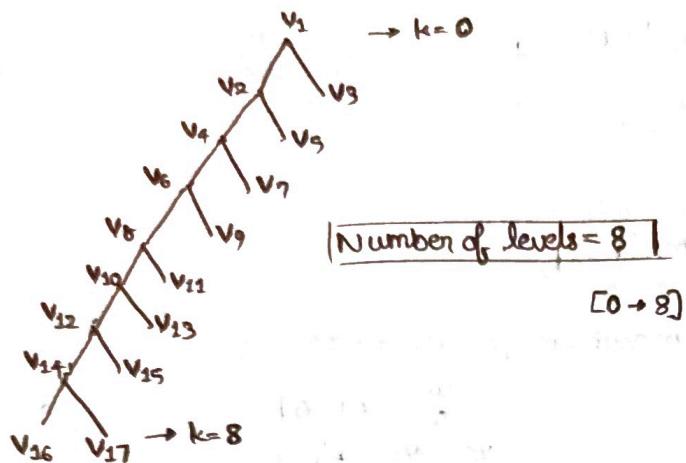
* \therefore Maximum possible vertices in the k^{th} level = $2 \cdot 2^{k-1} = \underline{\underline{2^k}}$.

Hence, proved.

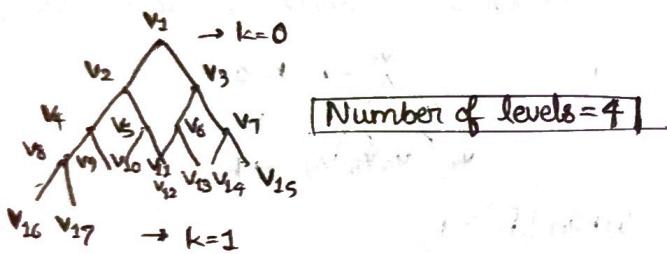
? Draw a binary tree with 17 vertices

in maximum and minimum heights.

Ans: • Maximum height: [$n=17$]

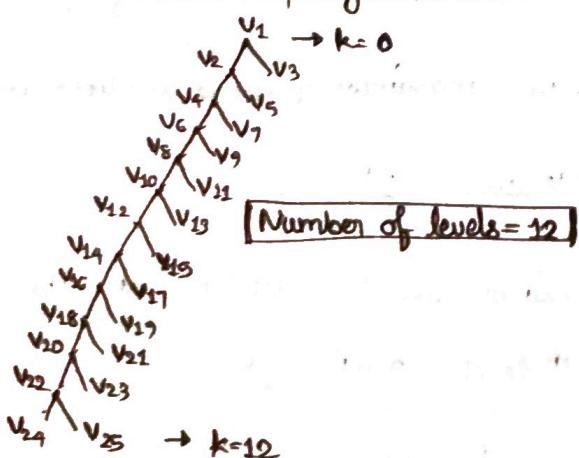


• Minimum height:

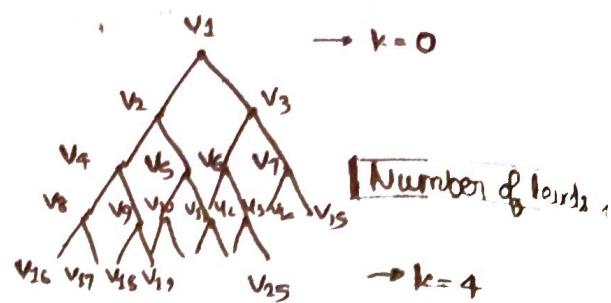


? Draw a binary tree with 25 vertices in maximum height and minimum height.

Ans: • Maximum height: [$n=25$]



• Minimum height:



? Maximum level of a binary tree with n vertices is $\frac{n-1}{2}$. Prove.

Ans: To construct a binary tree for a given n such that the farthest vertex is as far as possible from the root, we must have exactly two vertices except at zero level. Hence the maximum level is $\frac{n-1}{2}$.

? Prove that the minimum possible height of a binary tree with n vertices is

$\lceil \log_2(n+1) - 1 \rceil$. [Smallest integer function]

Ans: To draw a tree with minimum height we include maximum number of vertices in each level.

$$\Rightarrow 2^0 + 2^1 + 2^2 + \dots + 2^k \geq n$$

$\left\{ \begin{array}{l} k = \text{level} \\ n = \text{number of vertices} \end{array} \right.$

$$\Rightarrow 1 \cdot \frac{2^{k+1} - 1}{2-1} \geq n$$

↳ Geometric Progression.

$$\Rightarrow 2^{k+1} - 1 \geq n$$

$$\Rightarrow 2^{k+1} \geq n+1$$

$$\Rightarrow \log_2 [2^{k+1}] \geq \log_2 (n+1)$$

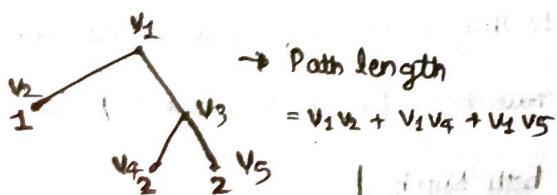
$$\Rightarrow k+1 \geq \log_2 (n+1)$$

$$\Rightarrow k = \lceil \log_2 (n+1) - 1 \rceil. \Rightarrow \underline{\text{Smallest Integer value}}$$

• PATH LENGTH OF A BINARY TREE:

"The path length of a binary tree can be defined as the sum of the path lengths from the root to all pendant vertices".

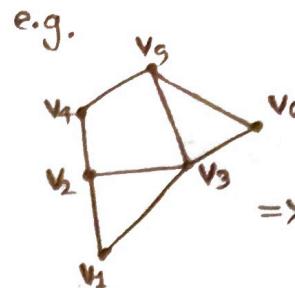
e.g.



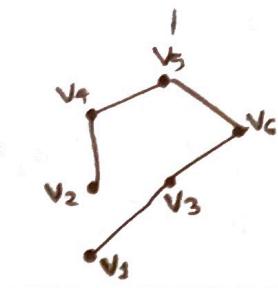
[Pendant vertices v_2, v_4, v_5]

• SPANNING TREE:

"A tree T is said to be a spanning tree of a connected graph G if T is a subgraph of G and T contains all vertices of G ."



Connected graph G



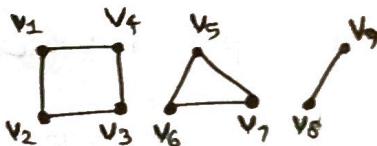
Spanning Tree, T of G

- * Since spanning trees are the largest tree in all trees of G , it is called "Maximal tree of G ".

- * Each component of a disconnected graph has a spanning tree. Thus a disconnected graph with k components has a spanning forest consisting of k spanning trees.

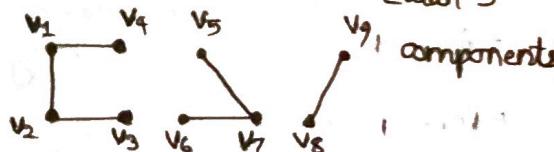
- * A collection of trees are called 'forest'.

• Example:



A disconnected graph G

[with 3 components]



A spanning forest F of the disconnected graph G .

[consists of 3 spanning trees]

■ [Theorem 1]:

"Every connected graph has at least one spanning tree."

◆ [PROOF]:

If a graph has no circuit, then it is its own spanning tree. If the graph G has a circuit, then delete an edge from the circuit. This will still leave the graph connected. If there are more circuits, then repeat the operation until there is no circuit left. It will result in a spanning tree. Hence proved.

■ [Theorem]:

With respect to any of its spanning tree, a connected graph of ' n ' vertices and ' e ' edges has $(n-1)$ branches and $(e-n+1)$ chords."

■ [PROOF]:

* **Branch**: Edges in the spanning tree.

* **Chord**: The remaining edges.

If a connected graph has ' n ' vertices, then its spanning tree has n vertices and $n-1$ edges. \therefore No. of branches = $n-1$

- No. of chords = $e - (n-1)$
- > No. of chords = $e-n+1$

● RANK AND NULLITY:

Let G be a graph with ' e ' edges, ' k ' components, and ' n ' vertices. Then

- Rank = $n-k$

- Nullity [M] = $e-n+k$

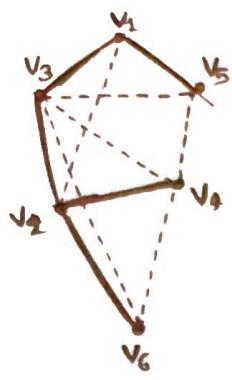
For a connected graph, Rank = $n-1$ and Nullity = $e-n+1$. i.e., for a connected graph,

rank equals no. of branches in any spanning tree and nullity equals no. of chords in the tree.

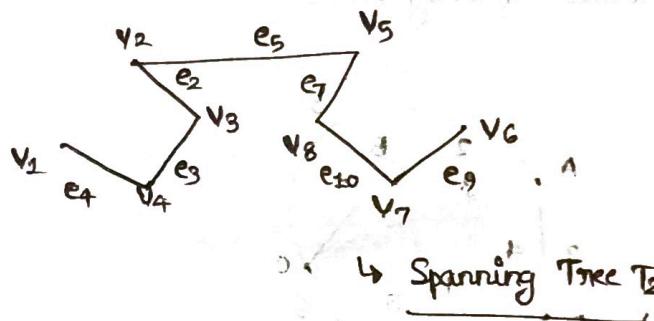
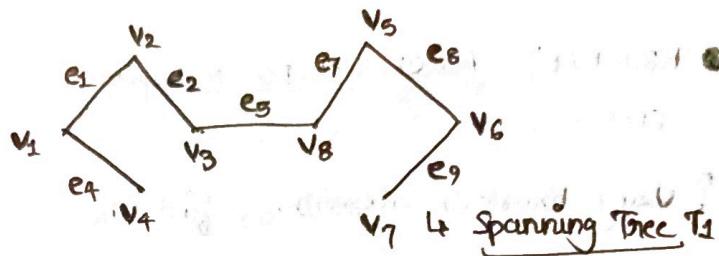
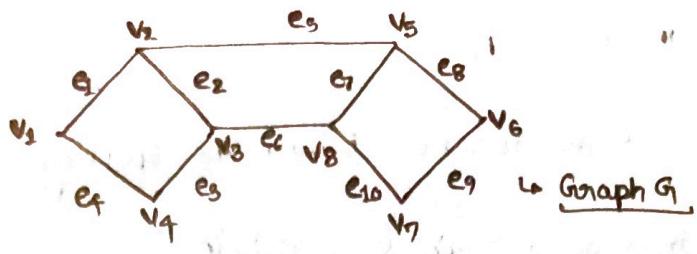
* Nullity is also referred to as its phragmatic number or cyclomatic number or Betti Number.

● FUNDAMENTAL CIRCUIT:

Consider a spanning tree T in a connected graph G . Adding any one chord to T will create exactly one circuit. Such a circuit is called a Fundamental Circuit.



- $\text{----} \rightarrow$ Added chord.
- $\text{—} \rightarrow$ Branch.



DISTANCE BETWEEN TWO SPANNING TREES:

u

The distance between two spanning trees T_i and T_j of a graph G_i is defined as the number of edges of G_i present in T_i but not in T_j . It is denoted as

$$d(T_i, T_j)$$

RINGSUM OF TWO SPANNING TREES:

"It is defined as a subgraph of G containing all edges that are either in T_i or in T_j , but not in both." It is denoted as $[T_i \oplus T_j]$.

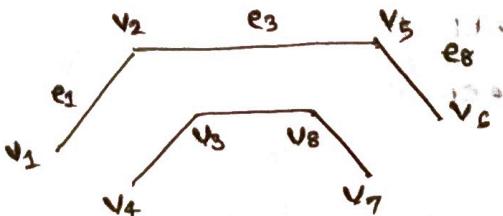
Find the distance and ringsum between the above two spanning trees T_1 and T_2 .

Ans: • Distance between T_1 and T_2

$$= \text{Total edges in } T_1/T_2 - \text{Number of common edges.}$$

$$= 7 - 4 = 3 = d(T_1, T_2)$$

• Ringsum of T_1 and T_2 , $[T_1 \oplus T_2]$

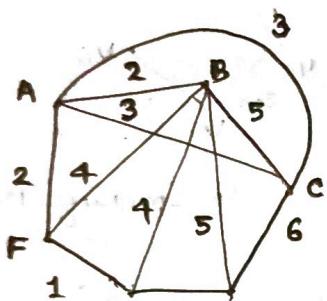


■ Theorem:

"The distance between the spanning trees of a graph is a metric."

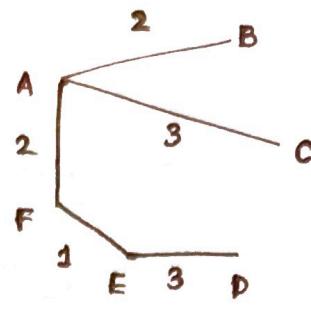
● KRUSKAL'S ALGORITHM FOR MINIMUM SPANNING TREE:

? Using Kruskal's algorithm, find the shortest spanning tree:



Ans: ★ Weights in the ascending order are:

- FE - 1
- AF - 2
- AB - 2
- AC - 3
- DE - 3
- BF - 4
- BE - 4
- BC - 5
- BD - 5
- CD - 6

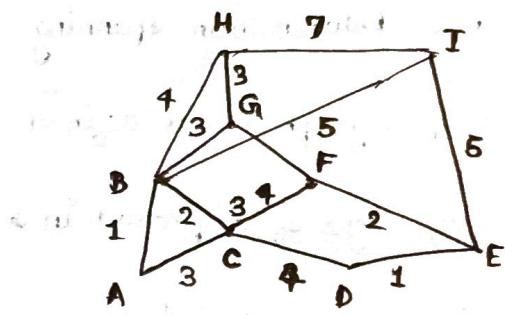


Minimum spanning tree

[Minimum weight]

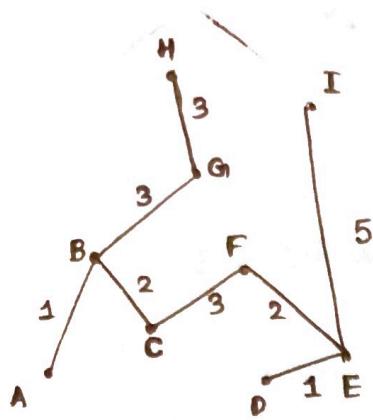
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?★ Find minimum spanning tree using Kruskal's algorithm:



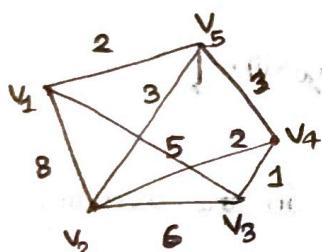
Ans: • Weights arranged in ascending order

- AB-1
- DE-1
- BC-2
- EF-2
- AC-3
- BG-3
- CF-3
- GH-3
- CD-4
- BI-5



\therefore Minimum weight = 20

• PRIM'S ALGORITHM FOR MINIMUM SPANNING TREE:



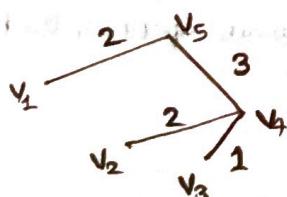
Using Prim's algorithm
find the shortest
spanning tree.

=>

	v_1	v_2	v_3	v_4	v_5
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v_1	-	8	5	∞	2
v_2	8	-	6	2	3
v_3	5	6	-	1	∞
v_4	∞	2	1	-	3
v_5	2	3	∞	3	-

[Matrix]



\therefore Minimum weight = 8

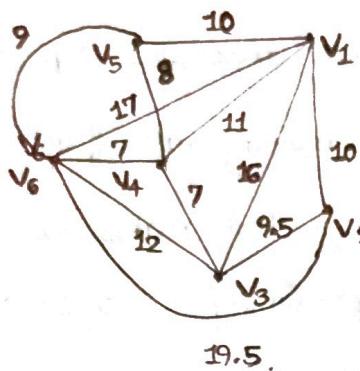
• Kruskal's Algorithm :

- * List all edges of the graph G in order of non-decreasing weight.
- * Select a smallest edge of G .
- * Then for each successive step select [from all remaining edges of G] another smallest edge that makes no circuit with the previously selected edges.
- * Continue until ' $n-1$ ' edges have been selected, and these edges will constitute the desired shortest spanning tree.

• Prim's Algorithm :

- * Draw n isolated vertices and label them v_1, v_2, \dots, v_n .
- * Tabulate the given weights of the edges of G in an n by n table. Set the weights of non-existent edges as very large [∞].
- * Start from vertex v_1 and connect it to its nearest neighbour [a vertex other than v_1 and v_2 that has the smallest entry among all entries in rows 1 and k]. Let this vertex be v_i . Next regard the tree with vertices v_1, v_k , and v_i as one subgraph, and continue the process until all n vertices have been connected by $n-1$ edges. This will give the shortest spanning tree.

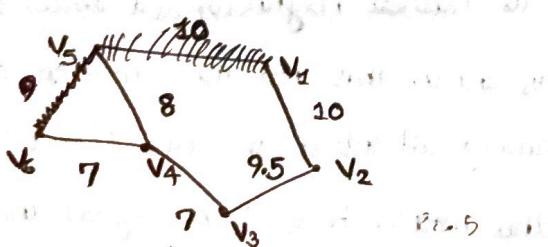
? Apply Prim's algorithm to find the minimum spanning tree.



Ans: Matrix →

	V ₁	V ₂	V ₃	V ₄	V ₅	V ₆
V ₁	-	10	16	11	10	17
V ₂	10	-	9.5	∞	∞	19.5
V ₃	16	9.5	-	7	∞	12
V ₄	11	∞	7	-	8	7
V ₅	10	∞	∞	8	-	9
V ₆	17	19.5	12	7	9	-

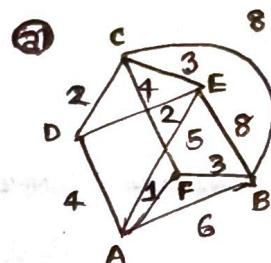
∴ Minimum spanning tree →



$$\therefore \text{Minimum weight} = \underline{\underline{42.5}}$$



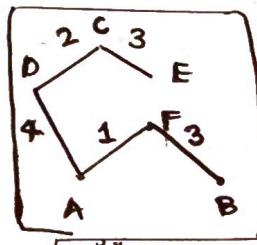
? Apply Prim's algorithm to find the minimum spanning tree to the given graph. Apply Kruskal's algorithm as well.



Ans: (a) Kruskal's algorithm

* Weights arranged in the ascending order are:

- AF - 1 ✓
- CD - 2
- DE - 3
- BF - 3 ✓
- CE - 3
- AD - 4
- CF - 4
- AE - 5
- AB - 6
- BC - 8
- BE - 8



$$\begin{aligned} \text{Minimum weight} &= 1 + 2 + 3 + 3 + 4 \\ &= \underline{\underline{19}} \end{aligned}$$

• Prim's algorithm

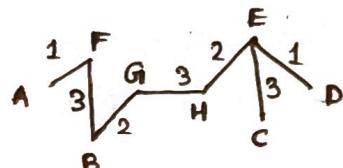
④ [Prim's algorithm]:

	A	B	C	D	E	F
A	-	6	∞	4	5	①
B	6	-	8	∞	8	3
C	∞	8	-	2	3	4
D	④	∞	2	-	2	∞
E	5	8	3	2	-	∞
F	①	③	4	∞	∞	-

[6x6 table for Prim's Algorithm]

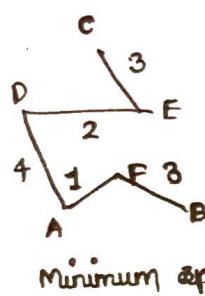
	A	B	C	D	E	F	G	H
A	-	4	∞	∞	∞	1	∞	∞
B	4	-	6	∞	∞	3	2	∞
C	∞	6	-	5	3	∞	∞	4
D	∞	∞	5	-	1	∞	∞	∞
E	∞	∞	3	1	-	8	∞	2
F	1	3	∞	∞	8	-	5	∞
G	∞	2	∞	∞	5	-	3	∞
H	∞	∞	4	∞	2	∞	3	∞

[8x8 table for Prim's algorithm]



[Minimum Spanning Tree]

$$\bullet \text{ Minimum weight} = 1+1+2+2+3+3+3 = 15$$



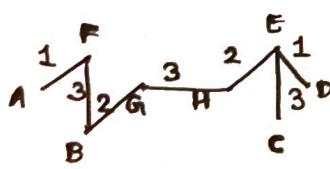
$$\rightarrow \text{Minimum weight} = 1+2+3+3+4 = 13$$

Minimum spanning tree

⑤ [Kruskal's algorithm]

- * Weights arranged in the ascending order are:

- AF-1
- DE-1
- BG-2 ✓
- EH-2
- BF-3
- CE-3
- GH-3
- AB-4
- CH-4
- CD-5
- FG-5 ✓
- BC-6
- EF-8



[Minimum Spanning Tree]

$$\bullet \text{Minimum weight} =$$

$$1+1+2+2+3+3+3 = 15$$

? A tree has 5 vertices of degree 2, 3 vertices of degree 3, and 4 vertices of degree 4. How many pendant vertices does it have?

Ans: Let the number of pendant vertices be

1p!

$$\star \text{Total number of vertices} = 5+3+4+p = 12+p$$

$$\star \text{Number of edges} = n-1 = [12+p]-1 = 11+p$$

$$\star \text{Sum of the degree of vertices} = 2c = 2[11+p] = 22+2p$$

$$= 22+2p$$

$$\Rightarrow (5 \times 2) + (3 \times 3) + (4 \times 4) + p = 22 + 2p$$

$$\Rightarrow p = 35 - 22 = \underline{\underline{13}}$$

* Number of edges = $e + p = \underline{\underline{24}}$

* Number of vertices = $e + 1 = \underline{\underline{25}}$

$$\Rightarrow \sum_{i=1}^n d[v_i] = 2[n-1]$$

$$\Rightarrow 1+1+\sum_{i=3}^n d[v_i] = 2[n-1]$$

$$\Rightarrow \sum_{i=3}^n d[v_i] = 2[n-2]$$

None of these vertices have either 0 or

? A tree has $2n$ vertices of degree 1, $3n$ vertices of degree 2, and n vertices of degree 3. Determine the number of vertices and edges in the tree.

Degree of each vertex is 2,

Ans: sum of the degrees of vertices =

$$2 \times \text{Number of edges} = 2[1 + \text{No. of vertices}]$$

$$\Rightarrow [2n] + [2 \times 3n] + [3 \times n] = [2n + 3n + n - 1] 2$$

$$\Rightarrow 11n = [6n - 1] 2$$

$$\Rightarrow \frac{11}{2} n = 6n - 1$$

$$\Rightarrow 11n = 12n - 2$$

$$\Rightarrow \underline{\underline{n=2}}$$

? Show that if a tree has exactly two pendant vertices, then the degree of every other vertex is 2.

Ans: Let the n vertices be v_1, v_2, \dots, v_n .

Let $d(v_1) = d(v_2) = 1$.

- sum of degrees = $2e$

MODULE 4

CONNECTIVITY AND PLANAR GRAPHS

SYLLABUS:

- Vertex Connectivity
- Edge Connectivity
- Cut set and Cut Vertices
- Fundamental Circuits
- Planar graphs
- Kuratowski's Theorem [Proof Not Required]
- Different representations of planar graphs.
- Euler's Theorem.
- Geometric Dual.

TextBook:

- * Narsingh Deo, "Graph Theory", PHI, 1979

CUT VERTEX:

"A cut vertex in a connected graph G is a vertex whose removal increases the number of components."

* If v is a cut vertex of a connected graph G , then $G-v$ is disconnected. A cut vertex is also called a "cut point".

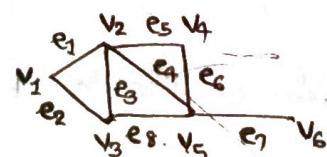
CUT EDGE:

"An edge whose removal increases the number of components of a connected graph G ." Cut edge is also called bridge.

CUT SET[S]:

"The set of all minimum number of edges of G whose removal disconnects a graph G , is called its cut set [S]!"

e.g.



* Cut vertex - v_5

* Cut edge - e_7

* Cut set - $\{e_7\}, \{e_1, e_2\}, \{e_5, e_4, e_8\}, \{e_1, e_2, e_4, e_5\}$

11.11.10.11

◆ PROOF :

NOTE :

- ★ In a tree, every edge is a cut-edge as well as cut set.
- ★ In a tree, every internal vertex is a cut vertex.

■ Theorem :

"Every cut set in a connected graph G_1 must contain at least one branch of every spanning tree of G_1 ."

◆ PROOF :

A spanning tree contains all vertices of G_1 . If the cut set doesn't contain branch of any spanning tree, then the removal of edge doesn't disconnect the graph. So, S contains at least one branch of any spanning tree of G_1 .

■ Theorem :

"In a connected graph G_1 , any minimal set of edges containing at least one branch of every spanning tree of G_1 is a cut-set."

In a given connected graph G_1 , let Ω be a minimal set of edges containing at least one branch of every spanning tree of G_1 . Consider $G_1 - \Omega$, the subgraph that remains after removing the edges of Ω from G_1 . $\because G_1 - \Omega$ contains no spanning tree of G_1 , it is disconnected. Also $\because \Omega$ is the minimal set of edges with this property, an edge E from Ω returned to $G_1 - \Omega$ will create at least one spanning tree. Thus $G_1 - \Omega + E$ is a connected graph. $\therefore \Omega$ is a minimal set of edges whose removal from G_1 disconnects G_1 . $\therefore \Omega$ is a cut set.

■ Theorem :

"Every circuit has an even number of edges in common with any cut set."

◆ PROOF :

Consider a cut set S in a graph G_1 . Let the removal of S partition the vertices of G_1 into two disjoint subsets V_1 and V_2 . Consider a circuit γ_1 in G_1 . If all vertices

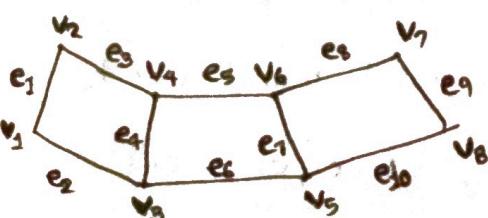
If all are entirely within one of the vertex set V_1 or V_2 , the number of edges common to S and δ is 0, which is even.

If on the other hand, suppose that some vertices of δ are in V_1 and some in V_2 . Because of the closed nature of the circuit, the number of edges we traversed between V_1 and V_2 must be even. And every edge in S has one end in V_1 and other in V_2 , no other edge in G has this property, the number of edges common to S and δ is even.

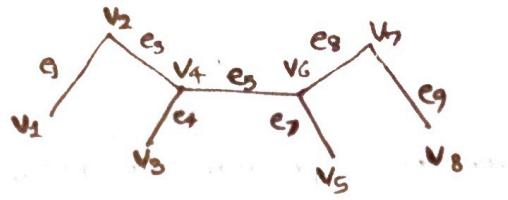
FUNDAMENTAL CUTSET:

Consider a spanning tree T of a connected graph G . Cut sets of G that contain only one branch of the spanning tree are called fundamental cut sets of T with respect to G . 'Fundamental cut sets' are also called 'Basic cutsets'.

e.g.



Connected graph G



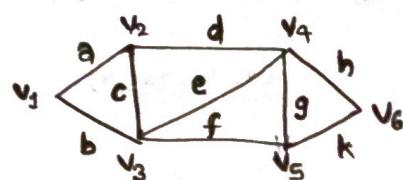
Spanning Tree T

- Here the fundamental cut-sets are $\{e_3\}$, $\{e_5\}$, and $\{e_6\}$. [since they contain only one branch of the spanning tree T and their removal disconnects the graph].

Theorem:

"The Ringsum of any cutsets in a graph is either a third cutset or edge-disjoint union of cutsets"

PROOF: Example:



$$\bullet S_1 = \{d, e, f\} \quad \bullet S_2 = \{f, g, h\}$$

The cutset S_1 partition the vertices into two vertex sets namely $V_1 = \{v_1, v_2, v_3\}$

and $V_2 = \{v_4, v_5, v_6\}$. S_2 partition the vertices into $V_3 = \{v_1, v_2, v_3, v_4\}$ and $V_4 = \{v_5, v_6\}$.

- $[V_1 \cap V_4] \cup [V_2 \cap V_3] = \emptyset \cup \{v_4\} = \{v_4\} = V_1$
- $[V_1 \cap V_3] \cup [V_2 \cap V_4] = \{v_1, v_2, v_3\} \cup \{v_5, v_6\} = \{v_1, v_2, v_3, v_5, v_6\} = V_4$

- $S_1 \oplus S_2 = \{d, e, g, h\} = \text{third cut set } S_3$

- S_3 partitions the graph into two vertex sets $\{v_1, v_2, v_3, v_5, v_6\}$ and $\{v_4\}$, which is equivalent to the vertex sets v_5 and v_6 respectively.

Let cut-set $S_3 = \{d, e, g, h\}$ and $S_4 = \{f, g, k\}$

* Then S_3 partitions the graph into: v_5 and v_6 .

* S_4 also partitions the graph into v_5 and v_6 .

[Same as that of S_3]. $V_7 = \{v_5\}$ and $V_8 = \{v_1, v_2, v_3, v_4, v_6\}$.

- $(V_5 \cap V_8) \cup (V_6 \cap V_7) = \{v_5\} \cup \{v_4\} = \{v_4, v_5\} = V_9$

- $(V_5 \cap V_7) \cup (V_6 \cap V_8) = \{v_1, v_2, v_3, v_4\} \cup \emptyset$

$$= \{v_1, v_2, v_3, v_4\}$$

$$= \underline{V_8}$$

- $S_3 \oplus S_4 = \{d, e, f, h, k\} = \text{Union of two disjoint cut sets } \{d, e, f\} \text{ and } \{h, k\}$.

- Statement:**

"Let S_1 and S_2 be two cut-sets in a connected graph G . Let $\{v_1, v_2\}$ be the partition of the vertex set of G with respect to S_1 and $\{v_3, v_4\}$ w.r.t. S_2 . Now we consider $(V_1 \cap V_4) \cup (V_2 \cap V_3) = V_5$. The sumsum $S_1 \oplus S_2$

is a cutset, if the subgraph containing v_5 and v_6 , each remain connected after $S_1 \oplus S_2$ is removed. Hence $S_1 \oplus S_2$ is a cut-set in the subgraph containing v_3 and v_6 . (v_5, v_6) is a partition of vertex set V of G . Otherwise it's an edge-disjoint union of cut-sets.

■ **Theorem:**

"With respect to a given spanning tree T , a chord c_i , that determines a fundamental circuit Γ occurs in every fundamental cut-set associated with the branches in Γ and \emptyset in no other."

■ **EXAMPLE** → **PROOF**:

Consider a spanning tree T in a given connected graph G . Let c_i be a chord w.r.t. T . Let the fundamental circuit made by c_i be Γ . Every branch of any spanning tree has fundamental, cut-set associated with it. Let S_1 be the fundamental cut-set associated with branch b_1 .

$$S_1 = \{b_2, c_1, c_2, \dots, c_m\}$$

∴ there must be an even number of edges common to Γ and S_1 , c_i is in

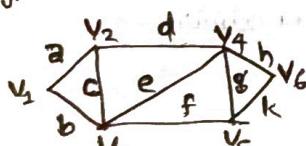
of the chord c_1, c_2, \dots, c_m . Exactly same arguments hold for fundamental cut sets associated with b_2, b_3, \dots, b_k . \therefore The chord c_i contained in every fundamental cut-set associated with the branches of T .

If possible, suppose that S' is a fundamental cut-set contains the chord c_i and doesn't contain any branch b_2, b_3, \dots, b_k . Then only one edge common to S' and T is c_i . This is a contradiction. $\therefore c_i$ doesn't contain no other fundamental cut-set. Hence proved.

$$F = \{c_1, b_1, b_2, \dots, b_k\}$$

EXAMPLE :

e.g.



$\Gamma = \{d, e, f, g\}$ } Fundamental circuit

$d - \{d, e, f\}$; $g - \{f, g, k\}$

$c - \{b, d, e, f\}$

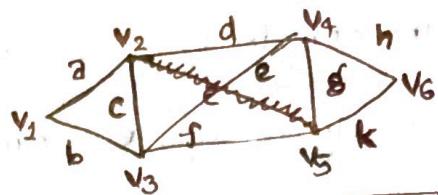
Fundamental cut-sets corresponding to the branches.

Theorem:

"With respect to a given spanning tree T , a branch b_i that determines a fundamental cut-set S is contained in every fundamental circuit associated with the chords in S and

in no others."

EXAMPLE :



(Connected graph G)

$S = \{b, c, e, f\} \rightarrow$ Fundamental cut-set

$\hookrightarrow b - \{a, b, c\}$

$\hookrightarrow c$

$\hookrightarrow e - \{c, d, e\}$

$\hookrightarrow f - \{c, d, f, g\}$

Fundamental circuits correspond to the chords

PROOF:

Consider a connected graph G and a spanning tree T of G . Let the fundamental cut-set S determined by a branch b_i be

$$S = \{b_i, c_1, c_2, \dots, c_k\}$$

Let Γ_1 be the fundamental circuit

determined by chord c_1 .

$$\text{i.e. } \Gamma_1 = \{c_1, b_1, b_2, \dots, b_q\}$$

; the number of edges common to S and

Γ_1 must be even, b_i is the one of the branch chords b_1, b_2, \dots, b_q . Exactly same arguments hold for a fundamental circuit made by chords c_2, c_3, \dots, c_k .

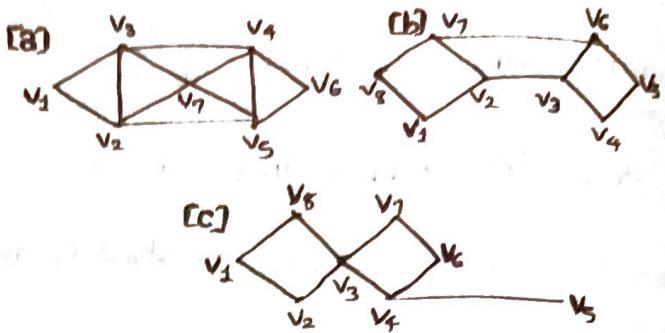
If possible, suppose that Γ' is a

fundamental circuit made by a chord other than c_1, c_2, \dots, c_k in which b_i occurs.
 \because none of the chords c_1, c_2, \dots, c_k is in Γ' , there is only one edge b_i common to Γ' and S . This is not possible. Hence proved.

● CONNECTIVITY:

- ★ Let G be a graph having k components. The minimum number of edges whose deletion from G increases the number of components of G is called the **EDGE CONNECTIVITY** of G .
- ★ The number of edges in the smallest ad-set of a graph is its edge-connectivity
- ★ The Edge Connectivity of a tree is 1.
- ★ The minimum number of vertices whose deletion from graph G increases the number of components of G is called its **VERTEX CONNECTIVITY**.
- ★ The vertex connectivity of a tree is 1.

? Calculate the edge connectivity and vertex connectivity of the following graphs:



Ans: [a] Edge connectivity = 2

Vertex Connectivity = 2

[b] Edge Connectivity = 2

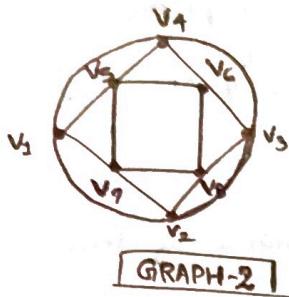
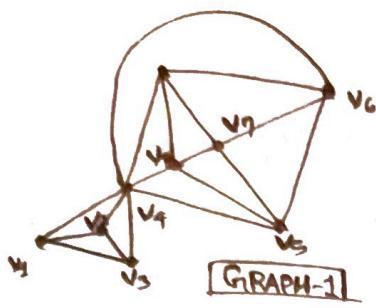
Vertex Connectivity = 2

[c] Edge Connectivity = 1

Vertex Connectivity = 1

● APPLICATION OF CONNECTIVITY:

Suppose that we are given n stations that are to be connected by means of e lines where $e \geq n-1$. Construct a graph with n vertices and e edges that has maximum possible connectivity. For e.g., take $n=8$ and $e=16$.



Vertex v_i can be separated from G_i by removing ' k ' edges incident on v_i . Hence proved.

• Theorem 1:

"The vertex connectivity of any graph G_i can never exceed the edge connectivity of G_i ."

• PROOF:

Let α denote the edge connectivity of G_i .

\therefore there exists a cut-set S in G_i with α edges. Let S partitions the vertices of G_i into two subsets V_1 and V_2 . By removing almost α vertices from V_1 [V_2], on which the edges in S are incident, we can effect the removal of S together with all other edges incident on these vertices on G_i . Hence proved.

• Theorem:

"The edge connectivity of a graph G_i can't exceed the degree of a vertex with the smallest degree in G_i ."

• PROOF:

Let vertex v_i be the vertex with smallest degree in G_i . Let degree of $v_i = k$.

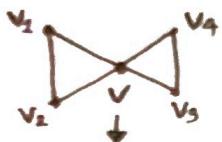
• SEPARABLE GRAPH:

"A connected graph is said to be separable if its vertex connectivity is one."

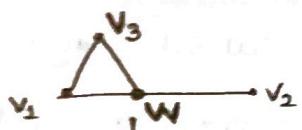
Remaining connected graphs are non-separable.

- In a separable graph, a vertex whose removal disconnects the graph is called a cut vertex, a cut node, or an articulation node.

- Separable graph Example:



articulation vertex [point]



articulation vertex.

KURATOWSKI'S THEOREM:

PLANAR GRAPH:

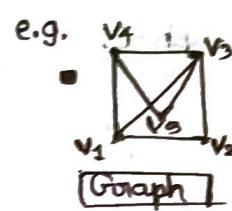
"A graph G is said to be planar if there exists some geometric representation of G which can be drawn on a plane such that no two of its edges intersect."

* A graph that can't be drawn on a plane without a crossover of edges is called a non-planar graph.

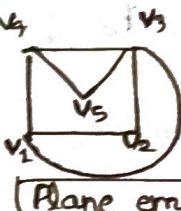
* The drawing of a geometric representation of a graph on any surface such that no edges intersect is called embedding.

* An embedding of a planar graph G on a plane is called plane representation of G .

* A graph G is planar if there exists a graph isomorphic to G that is embedded on a plane.



[Graph]



[Plane embedding]

KURATOWSKI'S GRAPH:

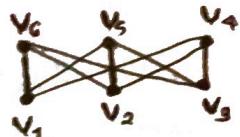
First Graph:

"A complete graph of 5 vertices (K_5) is non-planar."



Second Graph:

"Regular graph with 6 vertices and 9 edges."



• PROPERTIES COMMON TO KURATOWSKI'S

GRAPHS:

- [1] Both are regular graphs.
- [2] Both are two-planar graphs.
- [3] Removal of one edge on a vertex makes each a planar graph.
- [4] Kuratowski's first graph is the non-planar graph with smallest number of vertices, second graph is the non-planar graph with smallest number of edges. Thus, both are simplest non-planar graphs.

• EULER'S FORMULA:

"If a connected planar graph G_i has n vertices, e edges, and r regions, then $n - e + r = 2$."

◆ PROOF:

We prove the theorem by induction on e , where $e = \boxed{\text{Number of edges}}$.

• Case 1 : $(e=0)$

- If $e=0$, then G_i must have one vertex.

$$\therefore n=1, e=0, r=1 \Rightarrow n-e+r = 1-0+1 = \underline{\underline{2}}.$$

• Case 2 : $(e=1)$

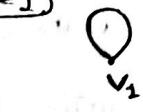
- If $e=1$, then the number of vertices in G_i is either 1 or 2.

↳ If $e=1$, then $r=2, [n=1]$.

$$\therefore n-e+r = 1-1+2 = \underline{\underline{2}}$$

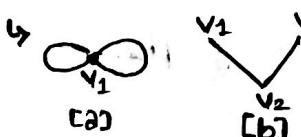
↳ If $[n=2]$, then,

$$e=1, r=1.$$



$$\therefore n-e+r = 2-1+1 = \underline{\underline{2}}$$

• Case 3 : $e=2$



(b) The edge $k+1$ is a loop.

$$\text{Then } [r'=r+1]; [n=n]; [e'=k+1]$$

$$\therefore n'-e'+r'=2$$

(c) Let the edge $k+1$ join two disjoint vertices of G .

$$\text{Then, } [n'=n]; [r'=r+1]; [e=k+1]$$

$$\therefore n'-e'+r'=2$$

Thus the result is true for $k+1$ edges.

\therefore by induction, the formula is true for all connected planar graphs.

■ **Corollary:**

"In any simple connected planar graph with f regions, e edges, and n vertices [$e > 2$], the following inequalities must hold:

$$[i] \quad e \geq \frac{3}{2}f$$

$$[ii] \quad e \leq 3n-6$$

◆ **PROOF:**

(i) Since each region is bounded by at least 3 edges and each edge has exactly 2 regions,

$$2e \geq 3f$$

$$\Rightarrow e \geq \frac{3}{2}f$$

(ii) By Euler's formula,

$$n-e+f=2$$

From the first inequality,

$$n-e+\frac{2e}{3} \geq 2 \quad \therefore [e \geq \frac{3}{2}f]$$

$$\Rightarrow e \leq 3n-6$$

Hence proved.

? Using suitable inequality, prove that Kuratowski's graphs are non-planar.

Ans: * For planar graph, $e \leq 3n-6$.

Here $e=10$ and $n=5$.

$$\Rightarrow 3n-6 = [3 \times 5] - 6 = 9$$

$$10 \leq 9 \rightarrow \text{NOT TRUE}$$

\therefore the graph is non-planar.

* In Kuratowski's second graph, each region is bounded by at least 4 edges

$$\therefore 2e \geq 4f$$

$$\Rightarrow e \geq 2f$$

$$\Rightarrow f \leq \frac{e}{2}$$

* Consider Euler's formula.

Here $n=6$; $e=9$.

$$9 \leq (2 \times 6) - 4$$

$9 \leq 8 \rightarrow \text{NOT TRUE}$

∴ Kuratowski's graphs are non-planar.

? How many edges must a planar graph have if it has 7 regions and 5 vertices.

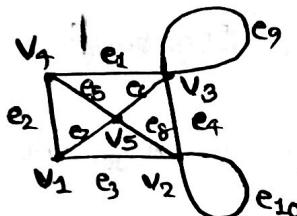
Draw one such graph.

Ans: By Euler's formula,

$$n - e + f = 2$$

$$\Rightarrow 5 - e + 7 = 2$$

$$\Rightarrow e = 10$$



? Suppose G is a graph with 1000 vertices and 3000 edges. Is it a planar graph?

Ans: We have for a connected planar graph,

$$e \leq 3n - 6$$

$$3000 \leq 3 \times 1000 - 6$$

$3000 \leq 2994 \rightarrow \text{FALSE}$

∴ It is a non-planar graph.

? A connected graph G_1 has 7 vertices having degrees 2, 2, 2, 3, 3, 3, 4, 4, 5.

Find out the number of edges and check whether it is planar or not.

Ans: Sum of degrees of vertices = $2 \times$ no. of edges

$$\therefore e = \frac{2+2+2+3+3+3+4+4+5}{2} = \frac{28}{2} = 14$$

For a planar graph, $e \leq 3n - 6$.

$$i.e., 14 \leq (3 \times 9) - 6$$

$14 \leq 21 \rightarrow \text{NOT TRUE}$

∴ It is not a planar graph.

? Find a graph G_1 with degree sequence 4, 4, 3, 3, 3, 3 such that G_1 is planar.

Ans: Number of vertices, $n = 6$.

Number of edges, $e = \frac{(4 \times 2) + (3 \times 4)}{2} = 10$

For a graph to be planar, $e \leq 3n - 6$

$$\Rightarrow 10 \leq (3 \times 6) - 6$$

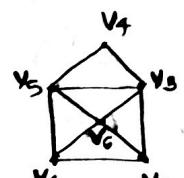
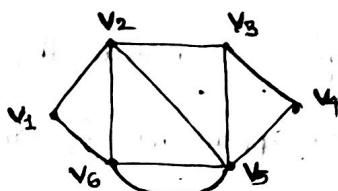
$\Rightarrow 10 \leq 12 \rightarrow \text{NOT TRUE}$

∴ We can't draw such a planar graph.

? Determine the number of regions

connected by a planar graph of 6 vertices and 10 edges. Draw a simple and non-simple graph.

Ans:



NON-SIMPLE GRAPH
[Number of regions = 6]

SIMPLE GRAPH
[Regions = 6]

● DETECTION OF PLANARITY AND KURATOWSKI'S THEOREM:

■ Elementary Reduction in a Graph:

Step 1 Disconnected planar graph is planar iff each of its components are planar. \therefore we need only consider one component at a time. Let G be a separable graph with blocks G_1, G_2, \dots, G_k . Then we check the planarity of each block separately.

Step 2 Since the addition or removal of self-loops don't affect planarity, remove them.

Step 3 Since parallel edges doesn't affect planarity, eliminate edges in parallel by removing all, but one edge between every pair of vertices.

Step 4 Elimination of vertex of degree 2 by merging two edges in series doesn't affect planarity \therefore eliminate them.

* After repeated application of elementary reduction, the given graph is reduced

to:

(a) Any single edge K_2



(b) A complete graph K_4



(c) A non-supcribable simple graph



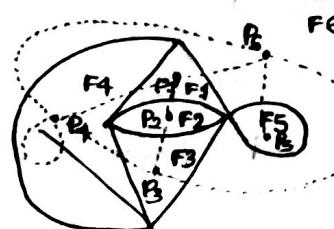
with $n \geq 5, e \geq 7$.

* If H is a subgraph obtained from graph G by a series of elementary reduction, then G and H are said to be 'homeomorphic graphs'. H is also called "topological minor" of G .

■ Kuratowski's Theorem:

"A necessary and sufficient condition for a graph G to be planar is that G doesn't contain either of Kuratowski's graphs or any graph homeomorphic to either of them."

● GEOMETRIC DUAL OF A GRAPH:



... \rightarrow Dual

\rightarrow Graph

* Procedure to obtain the geometric dual

• Mark the regions as F_1, F_2, F_3, F_4, F_5 and F_6 . Trace 6 points P_1, P_2, \dots, P_6 , one in each of the region. If two regions F_i and F_j are adjacent, draw a line joining points P_i and P_j , that intersect the common edge between F_i and F_j exactly once. If there are multiple edges common b/w F_i and F_j , draw one line b/w P_i and P_j for each of the common edges. For an edge E , lying entirely ↓
Pendant
in one region, draw a self-loop at the point in that region, intersecting P exactly once. By this procedure we obtain a new graph G^* consisting of 6 vertices v_1, v_2, \dots, v_k and edges joining these vertices. Such a graph G^* is called the "Geometric Dual of G ".

* "The relationship between a planar graph G and its geometric dual G^* :

(i) An edge-forming self-loop in G yields a pendant edge in G^* .

(ii) A pendant edge in G yields a self-loop in G^* .

(iii) Edges that are in series in G produce parallel edges in G^* .

(iv) The number of edges constituting the boundary of a region F_i in G is equal to the degree of the corresponding vertex v_i in G^* and vice-versa.

(v) Graph G^* is also embedded in the plane. \therefore it is planar.

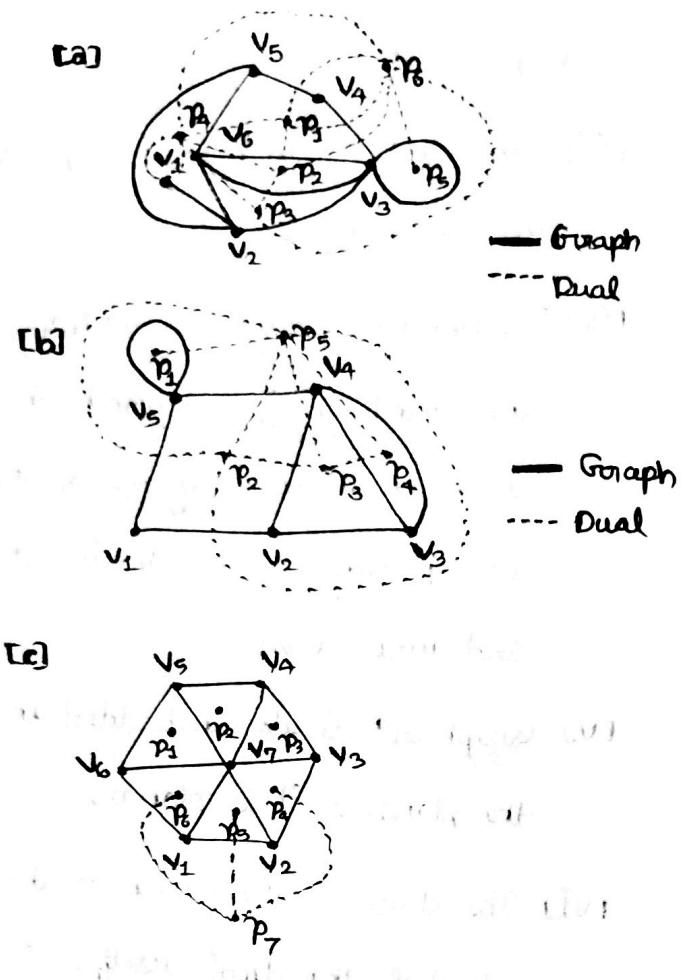
(vi) The dual of the graph is the dual of the dual itself. \therefore G and G^{**} are called dual graphs.

(vii) Let n, e, f, r, u denotes the number of vertices, edges, faces, rank and nullity of the graph respectively [A connected graph G] and if n^*, e^*, f^*, r^*, u^* are the corresponding numbers in dual graph G^* . Then,

$$[n^* = f; e^* = e; f^* = n; r^* = u; u^* = r].$$

? Draw the geometric dual of the following graphs:

An:



DEIKSTRA'S SHORTEST PATH ALGORITHM:

[Module 3]

- * It is used to find the shortest distance between two vertices.

? Using Dijkstra's algorithm, find the shortest path between the vertices s and t :

