Choosing Color Palettes for Statistical Graphics

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Abstract

Statistical graphics are often augmented by the use of color coding information contained in some variable. When this involves the shading of areas (and not only points or lines)—e.g., as in bar plots, pie charts, mosaic displays or heatmaps—it is important that the colors are perceptually based and do not introduce optical illusions or systematic bias. Here, we discuss how the perceptually-based Hue-Chroma-Luminance (HCL) color space can be used for deriving suitable color palettes for coding categorical data (qualitative palettes) and numerical variables (sequential and diverging palettes).

Keywords: qualitative palette, sequential palette, diverging palette, HCL colors, HSV colors, perceptually-based color space.

1. Introduction

Color is an integral element of graphical displays in general, and many statistical graphics in particular. Statistical software packages typically provide various color palettes and allow practitioners to employ these for visualizing data in various types of displays. However, more often than not, there is relatively little guidance about how to choose an appropriate palette for a certain visualization task. Here, we address this problem by suggesting a color selection strategy for visualizing both categorical and numerical information by selecting colors along axes in a color space whose axes can be matched with perceptual axes of the human visual system.

For implementing color palettes in computer programs, typically color spaces are employed that provide a mapping to Red-Green-Blue (RGB) colors. RGB is an additive color model which is used for generating colors on computer screens (by mixing different amounts of the primary colors red, green and blue, see Poynton 2000, for more details). While being eminently useful for generating colors on computer screens, it is hard for humans to select the coordinates in RGB space corresponding to a certain color. Therefore, color picker tools in computer programs are based on different color models—starting from the pioneering introduction of Hue-Saturation-Value (HSV) colors (Smith 1978)—which try to capture the dimensions of human visual perception. HSV space is a simple transformation of RGB space which is also implemented in many statistical software packages (see Wikipedia 2006, for more details on HSV colors and links to further information about the other color spaces discussed in the following). Although being easy to use, HSV space exhibits a number of disadvantages: It is relatively difficult to select sets of HSV coordinates that yield colors that are "in harmony" (see Munsell 1905). The reason for this is that colors with different hues also differ in saturation and brightness. For statistical graphics, this is important because it can introduce size distortions in the perception of shaded areas and color-caused optical illusions (Cleveland and McGill 1983). Furthermore, the use of HSV colors encourages the use of flashy and highly saturated colors which are good for drawing attention to a plot but hard to look at for a longer time.

Despite these known drawbacks, HSV space is still the predominantly-used color model in color picker tools (Moretti and Lyons 2002; Meier, Spalter, and Karelitz 2004) and the basis for many palettes in statistical software packages. A notable exception is the **ColorBrewer.org** tool (Harrower and Brewer 2003), an online tool for selecting color palettes for maps, providing sets of colors

for coding various types of information. Here, we take a similar approach and describe strategies for the choice of color palettes for categorical and numerical data. Following Brewer (1999) and Harrower and Brewer (2003), we distinguish three types of palettes: qualitative, sequential and diverging. The first is tailored for coding categorical information and the latter two are aimed at numerical variables. Unlike **ColorBrewer.org**, we do not only provide fixed sets of colors (with a limited number of colors in each set) but describe paths through a perceptually-based color space so that the user can decide which path exactly should be taken and how many colors should be selected. The color space employed by us is the Hue-Chroma-Luminance (HCL) color space (see Ihaka 2003) and we derive explicit formulae describing the paths through this space that correspond to different types of palettes. Given a mapping from HCL to RGB coordinates, our formulas are extremely easy to implement and we provide such an implementation in the R language (R Development Core Team 2006) using the powerful R graphics system (see Murrell 2006). A flexible implementation of all palettes described is provided in the package **vcd** (Meyer, Zeileis, and Hornik 2006) using the HCL color implementation from **colorspace** (Ihaka 2004). This gives the user both a conceptual and computational tool box for experimenting with color palettes for a particular display.

The remainder of the paper is organized as follows: Section 2 provides further information on HCL and other color spaces, contrasting in particular the properties of HSV and HCL colors. Sections 3, 4, and 5 introduce HCL-based qualitative, sequential, and diverging palettes, respectively. Section 6 shows some brief illustrations for each type of palette in statistical graphics before Section 7 concludes the paper with a discussion. Some supplementary R code that facilitates experimentation with the new palettes is provided in Appendix A.

2. Color spaces

For choosing color palettes it is helpful to have an idea how human color vision evolved. It has been hypothesized that it developed in three distinct stages: 1. perception of *light/dark* contrasts (monochrome only), 2. *yellow/blue* contrasts (usually associated with our notion of warm/cold colors), 3. *green/red* contrasts (helpful for assessing the ripeness of fruit). See Ihaka (2003) for more details and references.

Due to these three color axes, colors are typically described as locations in 3-dimensional spaces. However, human perception of color does not correspond to the physiological axes above, but rather to polar coordinates in the color plane (yellow/blue vs. green/red) plus a third light/dark axis. Thus, perceptually-based color spaces try to capture the perceptual axes

1. *hue* (dominant wavelength)

2. *chroma* (colorfulness, intensity of color as compared to gray)

3. *luminance* (brightness, amount of gray)

A popular implementation of such a color space, available in many graphics and statistics software packages, are *HSV* (hue, saturation, value) colors. They are a simple transformation of *RGB* (red, green, blue) colors and are defined by a triplet (H, S, V) with $H \in [0, 360]$ and $S, V \in [0, 100]$. HSV space has the shape of a single regular cone (often inflated to a regular cylinder). Vertical sections through this space are shown in the upper panel of Figure 1, depicting hue and saturation given different value levels. Although simple to specify and easily available in many computing environments, HSV colors have a fundamental drawback: its three dimensions map to the three dimensions of human color perception very poorly. The three dimensions are confounded which is most easily seen when converting the vertical sections to gray scale images in Figure 2. Clearly, the brightness of colors is not uniform over hues and saturations (given value)—therefore, HSV colors are often not considered to be perceptually based.

To overcome these drawbacks, various color spaces have been suggested that properly map to the perception dimensions, the most prominent of which are the CIELUV and CIELAB spaces
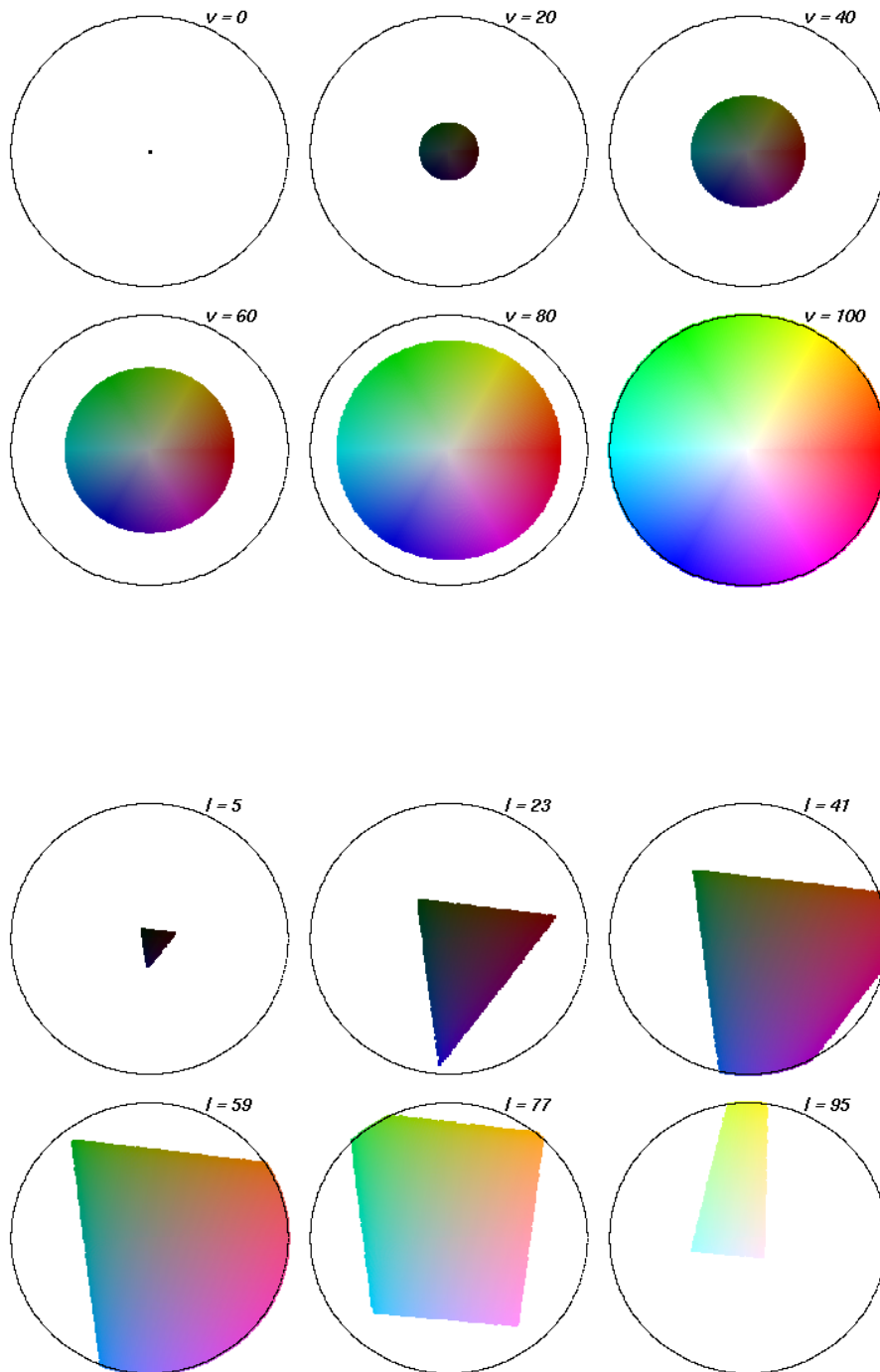
Figure 1:   HSV and HCL space. The circles show the hue/saturation and hue/chroma plane, respectively, for varying levels of value and luminance.
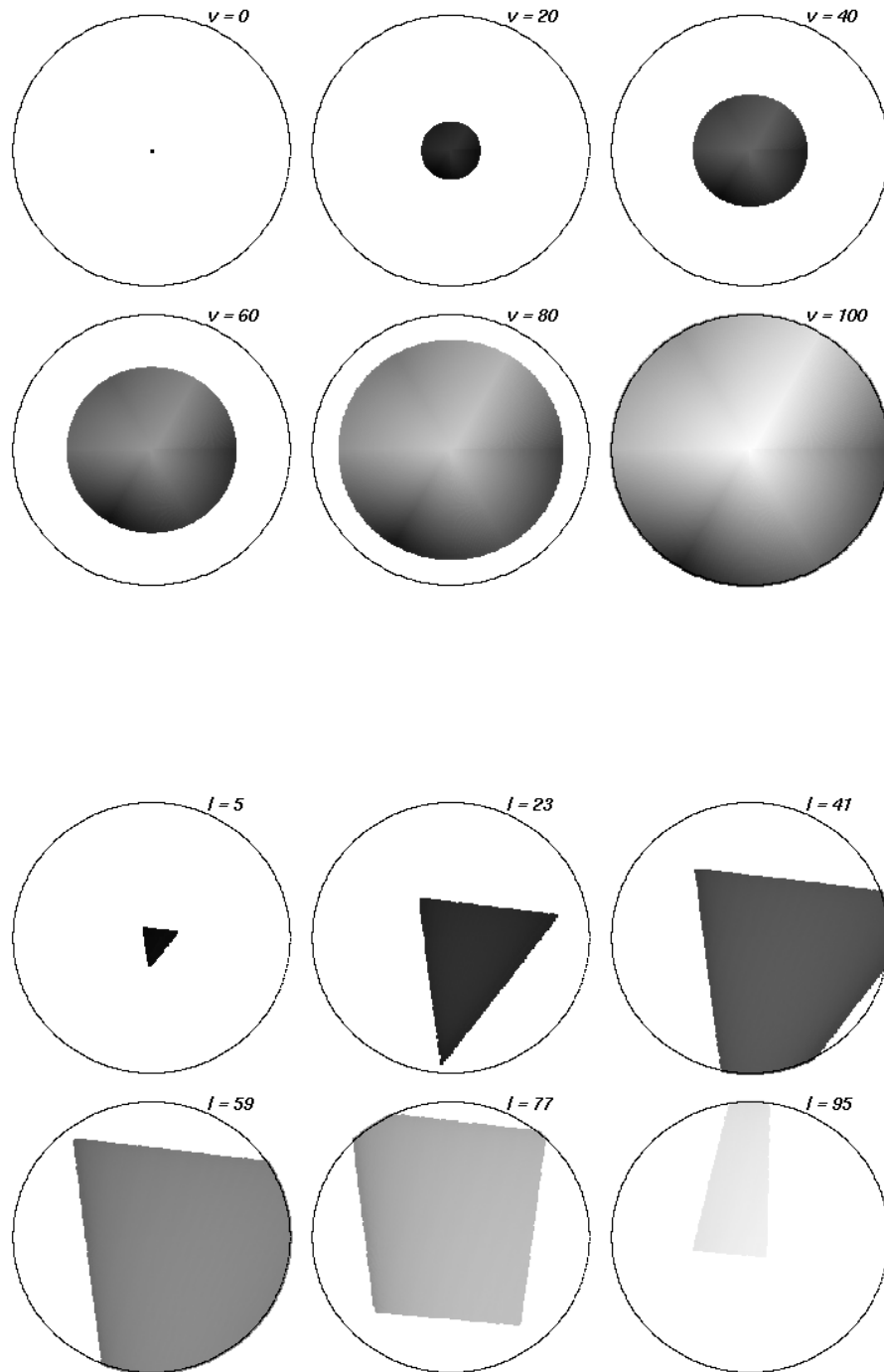
Figure 2:   HSV and HCL space (in gray levels). The circles show the hue/saturation and hue/chroma plane, respectively, for varying levels of value and luminance.

developed by the Commission Internationale de l'Éclairage (2004). Ihaka (2003) argues that CIELUV colors are typically preferred for use with emissive technologies such as computer screens which makes them an obvious candidate for implementation in statistical software packages. By taking polar coordinates in the UV plane of CIELUV, *HCL* (hue, chroma, luminance) colors are obtained, defined by a triplet (H, C, L) with $H \in [0, 360]$ and $C, L \in [0, 100]$. HCL space has the shape of a distorted double cone: the admissable chroma and luminance values depend on the hue chosen. The lower panel of Figure 1 shows vertical sections through this space: each of the resulting hue/chroma planes (given luminance) is now properly balanced towards the same gray (going from black to white with increasing luminance) which becomes obvious when converting the colors to a gray scale is in Figure 2. This balancing of HCL colors gives us the opportunity to conveniently choose color palettes which code categorical and/or numerical information by translating it to paths along the three perceptual axes. However, some care is required for dealing with the irregular shape of the HCL space which will be addressed in the following sections.

All palettes described are available in R (R Development Core Team 2006) in the package **vcd** (Meyer *et al.* 2006) using the HCL color implementation from **colorspace** (Ihaka 2004). Technical documentation to the R implementations along with a large collection of examples is available via `help("rainbow_hcl")` that provides more comparisons between existing R palettes (based on HSV colors) and the HCL color palettes.

3. Qualitative palettes

Qualitative palettes are sets of colors for depicting different categories, i.e., for coding a categorical variable. Usually, these should give the same perceptual weight to each category so that no group is perceived to be larger/more important than any other one. Typical applications of qualitative palettes in statistics would be bar plots, pie charts (see Figure 10) or highlighted mosaic displays (see Figure 11).

Ihaka (2003) describes a simple strategy for choosing such palettes: chroma and luminance are kept fixed and only the hue is varied for obtaining different colors which are consequently all balanced towards the same gray. As Figure 1 illustrates, the range of hues available depends on the combination of chroma and luminance chosen. Figure 3 depicts how three colors are chosen, given $C = 50$ and $L = 70$.

Various strategies for choosing the hues in a certain palette are conceivable. A simple and intuitive one is to use colors as metaphors for categories (e.g., for political parties), another approach would be to use segments from the color wheel corresponding to nearby or distant colors. The latter is shown in Figure 4 which depicts examples for generating qualitative sets of colors $(H, 50, 70)$. In the upper left panel colors from the full spectrum are used ($H = 30, 120, 210, 300$) creating a 'dynamic' set of colors. The upper right panel shows a 'harmonic' set with $H = 60, 120, 180, 240$. Warm colors (from the blue/green part of the spectrum: $H = 270, 230, 190, 150$) and cold colors (from the yellow/red part of the spectrum: $H = 90, 50, 10, 330$) are shown in the lower left and right panel, respectively.

In **vcd**, these palettes are available in the function

```
rainbow_hcl(n, c = 50, l = 70, start = 0, end = 360*(n-1)/n, ...)
```

wher `n` controls the number of colors in the palette. The arguments `c` and `l` give the fixed chroma and luminance level, respectively, and `start` and `end` specify the range of hue angles. The function is named after the base R function `rainbow()` which has a similar interface but chooses colors in HSV coordinates. It computes the HCL coordinates and transforms them to RGB coordinates by calling the `hcl()` function provided by the **colorspace** package. The R code for reproducing Figure 4 is given in the appendix.
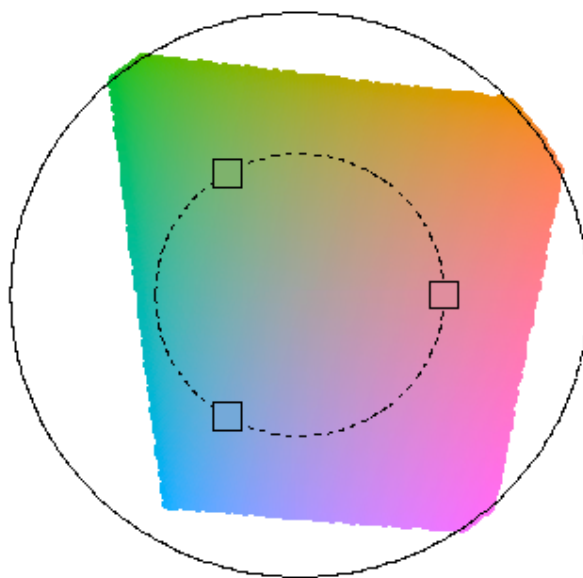
Figure 3: Constructing qualitative palettes. In the hue/chroma plane for $L = 70$, the dashed circle correponds to a radius $C = 50$ with chosen angles $H = 0, 120, 240$.
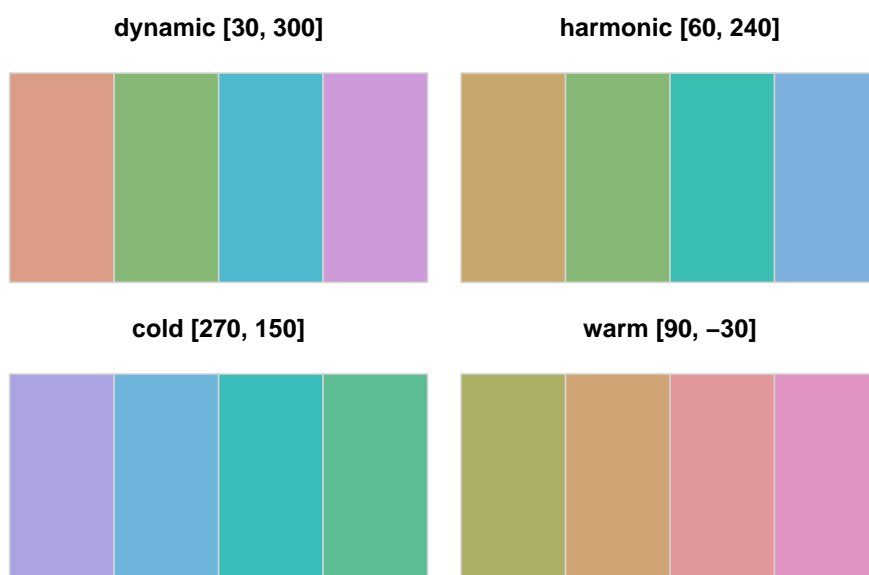

Figure 4: Examples for qualitative palettes. Hue is varied in different intervals for given $C = 50$ and $L = 70$.

4. Sequential palettes

Sequential palettes are used for coding numerical information that simply ranges in a certain interval where low values are considered to be uninteresting and high values are interesting. Without loss of generality, we assume that we want to visualize an intensity or interestingness $i \in [0, 1]$. A typical application in statistics are heatmaps (see Figure 9).

The simplest solution to this task is to employ light/dark contrasts, i.e., employ the most basic perceptual axis. The interestingness is thus coded by an increasing amount of gray (i.e., decreasing luminance)

$$(H, 0, 90 - i \cdot 60),$$

where the hue H used does not matter, chroma is set to 0 (i.e., no color), and luminance ranges in $[30, 90]$ avoiding the extreme colors white ($L = 100$) and black ($L = 0$). Instead of going linearly from light to dark gray, luminance could also be increased nonlinearly, e.g., by some function $f(i)$ that controls whether intensity/luminance is increased quickly or not. We found $f(i) = i^p$ to be a convenient transformation where the power p can be varied to achieve different degrees of non-linearity.

Furthermore, the intensity i could additionally be coded by colorfulness (chroma), e.g.,

$$(H, 0 + i^p \cdot C_{\max}, L_{\max} - i^p \cdot (L_{\max} - L_{\min})).$$

This strategy is depicted in the left panel of Figure 5 for a blue hue $H = 260$ and different combinations of maximal chroma ($C_{\max} = 0$, 80 and 100, respecitvely) and minimal luminance ($L_{\min} = 30$, 30 and 50, respectively). The first two combinations are also shown in the first two rows of Figure 6. The right panel of Figure 5 shows that the exact same strategy is not possible for the green hue $H = 120$. While the gray colors without chroma can be chosen in the same way, there is a stronger trade-off between using dark colors (with low luminance) and colorful colors (with high chroma). Hence, the second path from light gray to full green ends at a much lighter color with $L_{\min} = 75$.

In **vcd**, this strategy is implemented in the function

```
sequential_hcl(n, h = 260, c = c(80, 0), l = c(30, 90), power = 1.5, ...)
```

where the first element of `c` and `l` give the starting chroma and luminance coordinate (by default colorful and dark) and the second element the ending coordinate (by default light gray). The `power` argument implements p and defaults to 1.5.

To increase the contrast between the colors in the palette even further, the ideas from the previous sequential palettes can also be combined with qualitative palettes by simultaneously varying the hue as well:

$$(H_2 - i \cdot (H_1 - H_2), C_{\max} - i^{p_1} \cdot (C_{\max} - C_{\min}), L_{\max} - i^{p_2} \cdot (L_{\max} - L_{\min})).$$

One application would be a HCL-based version of heat colors that increase from a light yellow (e.g., $(90, 30, 90)$) to a full red (e.g., $(0, 100, 50)$). To make the change in hue visible, the chroma needs to increase rather quickly for low values of i and then only slowly for higher values of i. This can be achieved by choosing a power $p_1 < 1$.

In R, these are available in the function

```
heat_hcl(n, h = c(0, 90), c = c(100, 30), l = c(50, 90), power = c(1/5, 1), ...)
```

with which the lower three rows in Figure 6 are produced. The R code reproducing this figure and stating the exact parameters used is again provided in the appendix.
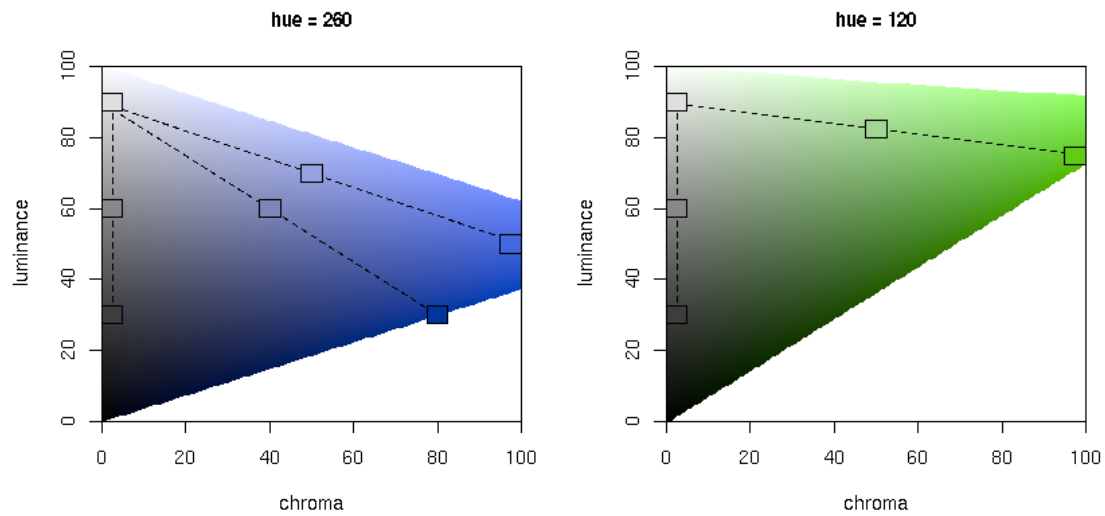
Figure 5: Constructing sequential palettes. The chroma/luminance plane is shown for two hues $H = 260$ (left) and $H = 120$ (right). Colors are chosen by varying either only luminance or both luminance and chroma.
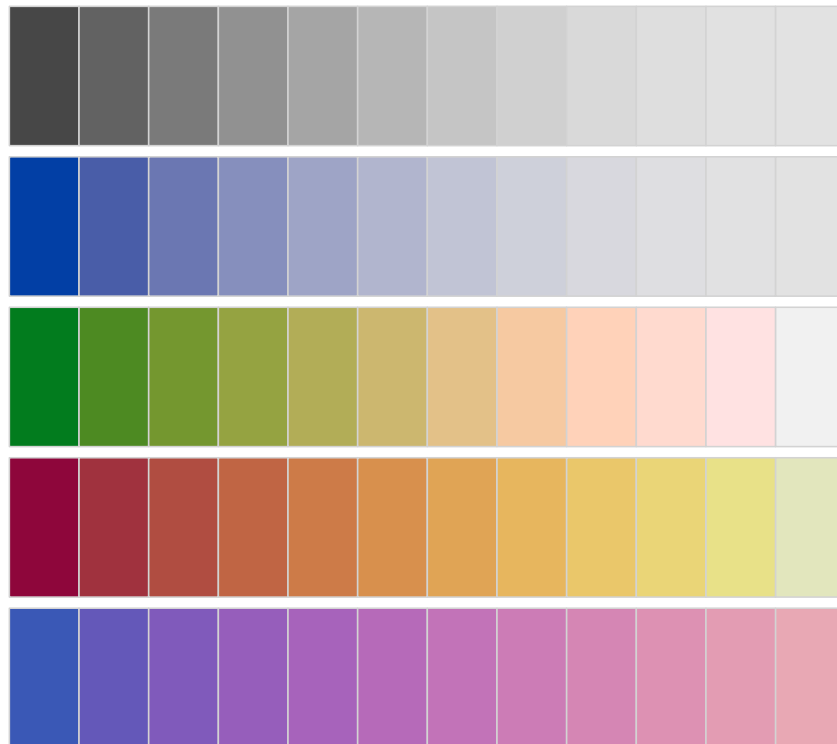

Figure 6: Examples for sequential palettes, varying only luminance (first panel), chroma and luminance (second panel), and hue, chroma and luminance (remaining panels).

5. Diverging palettes

Diverging palettes are also used for coding numerical information ranging in a certain interval—however, this interval includes a neutral value. Examples for this include residuals or correlations (both with the neutral value 0) or binary classification probabilities (with neutral value 0.5) that could be visualized in mosaic plots (see Figure 12) or classification maps (see Figure 13). Without loss of generality, we assume that we want to visualize an intensity or interestingness $i \in [-1, 1]$.

Given sequential palettes, deriving diverging palettes is easy: two different hues are chosen for adding color to the same amount of 'gray' at a given intensity $|i|$. Figure 7 shows the chroma/luminance plane back to back for the hues $H = 0$ and 260 with two different paths—giving slightly different emphasis on luminance or chroma contrasts—from a full red over a neutral grey to a full blue. As Figure 5 illustrates, the pair of hues should be chosen carefully because the admittable values of in the chrome/luminance plane differ across hues. Clearly, for deriving symmetric palettes, only colors from the intersection of the admittable chroma/luminance planes can be used. The particular hues $H = 0$ and 260 used in Figure 5 were chosen because they have rather similar chroma/luminance planes, allowing for both large chroma and luminance contrasts.

In **vcd**, the following function is provided:

```
diverge_hcl(n, h = c(260, 0), c = 80, l = c(30, 90), power = 1.5, ...)
```

which has the same arguments as `sequential_hcl()` but takes a pair of hues `h`.

Figure 8 shows various examples of conceivable combinations of hue, chroma and luminance. The first palette uses a broader range on the luminance axis whereas the others mostly rely on chroma contrasts.

6. Illustrations

In this section, we show a collection of examples for the various types of palettes applied to statistical graphics. The first illustration is a visualization of a bivariate density estimation for the Old Faithful geyser eruptions data (Azzalini and Bowman 1990). Figure 9 shows heatmaps of a bivariate kernel density estimate of waiting times between and duration of geyser eruptions in Yellowstone National Park. Both use a sequential palette as derived in Section 4 balanced towards the same gray levels with $L \in [30, 90]$ and $p_2 = 2$. The sequential palette in the left panel uses only gray colors (i.e., $C_{\max} = 0$) and the palette in the right panel additionally employs colors with $H \in [0, 90]$, $C \in [30, 80]$ and $p_1 = 1/5$.

To illustrate qualitative palettes, data from the 2005 election for the German parliament 'Bundestag' are employed. In that election, five parties were able to obtain enough votes to enter the Bundestag—the distribution of seats is depicted in a pie chart in Figure 10. The colors used are rough metaphors for the political parties, using a red hue $H = 0$ for the social democrats SPD, a blue hue $H = 240$ for the conservative CDU/CSU, a yellow hue $H = 60$ for the liberal FDP, a green hue $H = 120$ for the green party 'Die Gruenen' and a purple hue $H = 300$ for the leftist party 'Die Linke'. All colors use the same chroma $C = 60$ and luminance $L = 75$. The pie chart clearly shows that neither the governing coalition of SPD and Gruene nor the opposition of CDU and FDP could assemble a majority. Given that no party would enter a coalition with the leftists, this lead to a big coalition of CDU and SPD. Figure 11 shows the distribution of votes in this election stratified by province (Bundesland) in a highlighted mosaic display. The order of provinces is from north to south, first for the 10 Western provinces, then for the 6 Eastern provinces. Clearly, the SPD performed better in the North and the CDU better in the South; furthermore, Die Linke performed particularly well in the Eastern provinces and in Saarland.

As pointed out in Section 5, diverging palettes are particularly useful when visualizing residuals or correlations (with natural neutral value 0) or probabilities in 2-class supervised learning (with neutral value 0.5). Examples for both situations are provided here. Figure 12 visualizes the outcome of a double-blind clinical trial investigating a new treatment for rheumatoid arthritis.
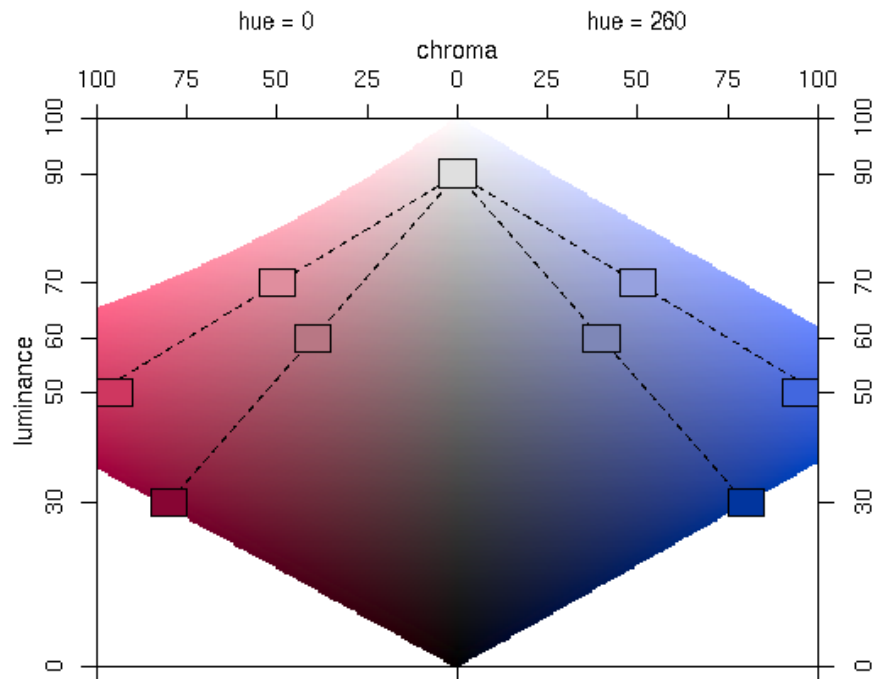
Figure 7: Constructing diverging palettes. The chroma/luminance plane is shown back to back hues $H = 0$ and $H = 260$. Colors are chosen by simultaneously varying luminance and chroma.
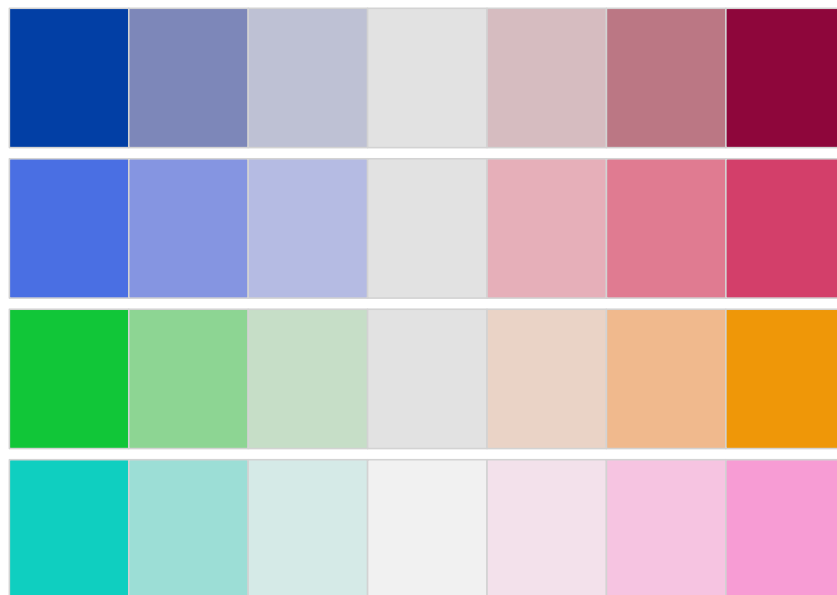

Figure 8: Examples for diverging palettes with different pairs of hues and decreasing luminance contrasts.

The mosaic rectangles alone signal that the treatment lead to higher improvement compared to the placebo group which are shown to be significant by the shading based on the Pearson residuals. Positive residuals, corresponding to more observations in the corresponding cell than expected under independence, are depicted in blue, negative residuals in red. Light colors signal significance at 10% level, full colors significance at 1% level. Hence, it can be concluded that there are significantly more marked improvements in the treated group and significantly fewer in the placebo group than would be expected under independence between treatment and improvement. More details can be found in Zeileis, Meyer, and Hornik (2005).

Figure 13 shows the fit of a support vector machine (SVM) to an artificial 2-class supervised learning example: a mixture of two bivariate normal distributions. The circles and triangles show the original observations, solid symbols correspond to the support vectors found. The shading underlying the plot visualizes the fitted decision values: values around 0 are on the decision boundary and are shaded in light gray, while regions that are firmly classified to one or the other class are shaded in full blue and red respectively.

7. Discussion

Many statistical graphics—especially when displayed on a computer screen, e.g., as in interactive usage, electronic papers or presentation slides— employ colors to code information about a certain variable. Despite this omnipresence of color, there is often only little guidance in statistical software packages on how to choose a palette appropriate for a particular visualization task—auspicious tools such as **ColorBrewer.org** notwithstanding. We try to address this problem by suggesting color schemes for coding categorical information (qualitative palettes) and numerical information (sequential and diverging palettes) based on the perceptually-based HCL color space.

We provide paths through HCL space along perceptual axes so that colors selected along these paths match perceptual dimensions. This gives the users the possibility to easily experiment with the HCL-based palettes by varying several degrees of freedom. For qualitative palettes, these are the coordinates on the chroma and luminance axis, respectively, controlling whether the colors are light or dark and how colorful they are. For sequential and diverging palettes, the user can decide whether contrasts in the chroma or luminance direction (or both) should be employed. In
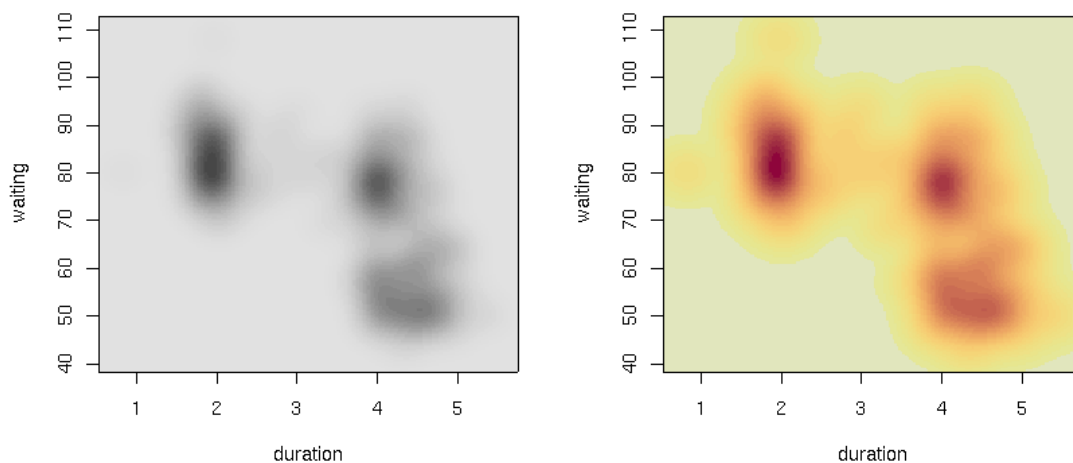

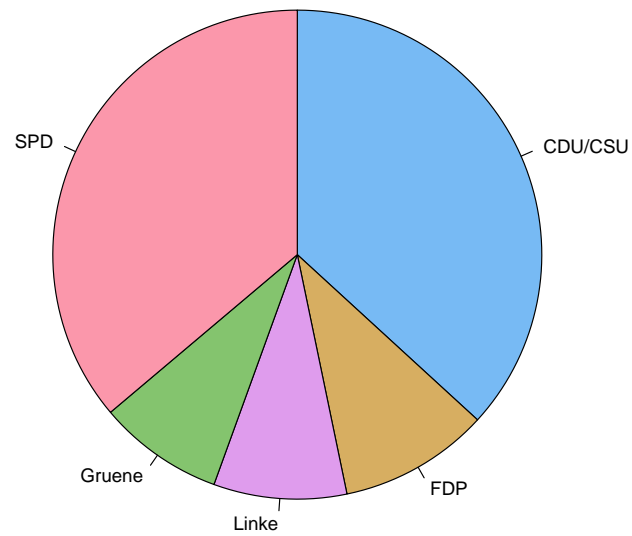Figure 9: Bivariate density estimation for Old Faithful geyser eruptions.

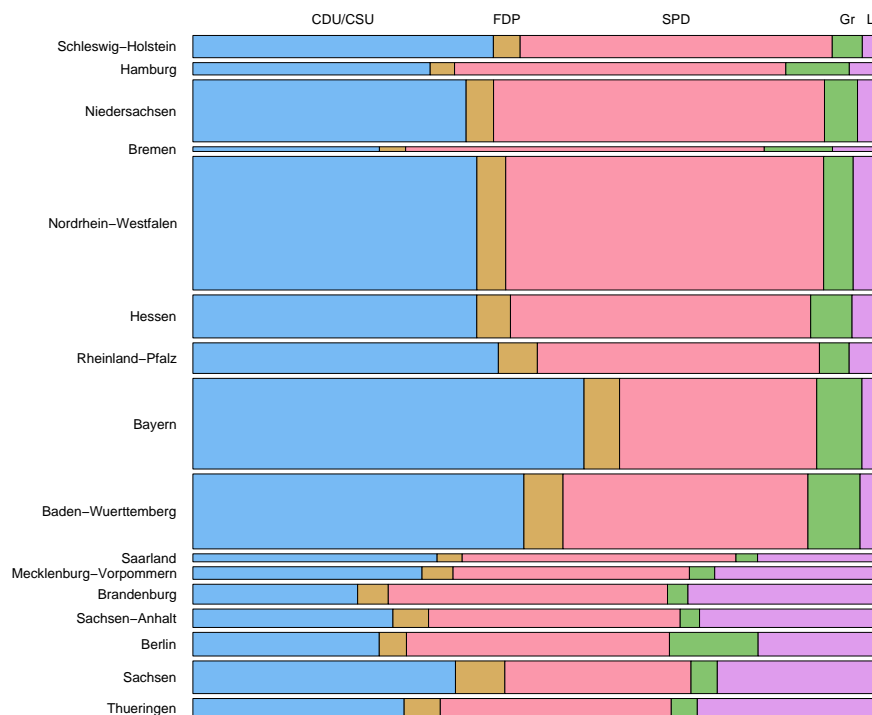Figure 10: Seats in the German parliament.

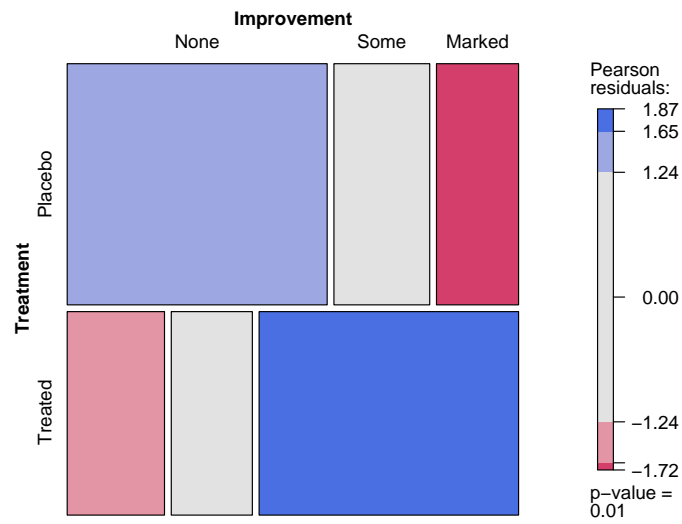Figure 11: Votes in the German election 2005.

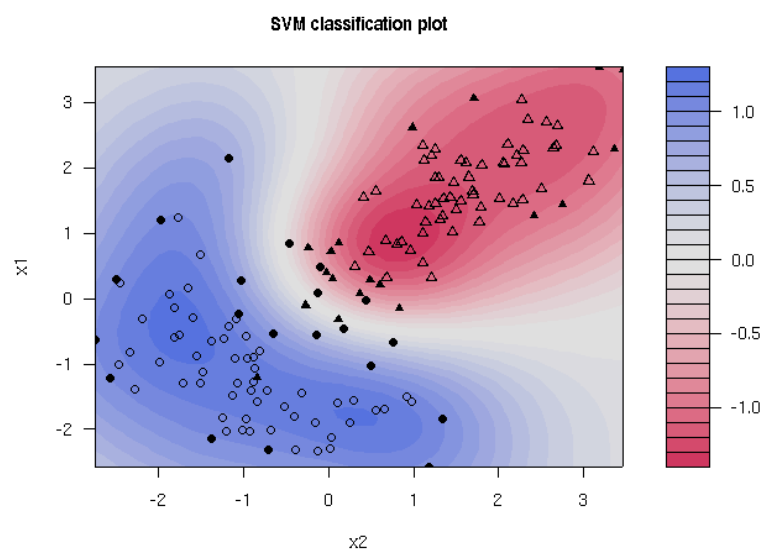Figure 12: Extended mosaic display for arthritis data.

Figure 13: SVM classification plot.

our experience, chroma contrasts work sufficiently well if a small set of colors is used. However, when a larger set of colors is used (e.g., for heatmaps where extreme values should be identifiable) it is much more important to have a big difference in luminance. Another degree of freedom in these palettes is the rate at which the intensity $|i|$ is increased from 0 to 1: Small powers p can be used if palettes with a lot of color should be constructed (e.g., to clearly separate regions in a classification map). On the other hand, large powers p will result in palettes with less color (e.g., appropriate to highlight only extreme regions firmly classified in such a map).

Based on these conceptual guidelines and the computational tools readily provided in the R system (and easily implemented in other statistical software packages), users can generate palettes varying these degrees of freedom and thus adapting the colors to their particular graphical display.

Acknowledgements

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A. Supplementary R code

All palettes discussed above are implemented in the R system for statistical computing and graphics (R Development Core Team 2006) in the package **vcd** (Meyer *et al.* 2006). The functions are based on the `hcl(h, c, l, ...)` function provedid by the **colorspace** package (Ihaka 2004). A simple convenience function for displaying a certain palette is

```
pal <- function(col, border = "light gray", ...)
{
  n <- length(col)
  plot(0, 0, type = "n", xlim = c(0, 1), ylim = c(0, 1),
       axes = FALSE, xlab = "", ylab = "", ...)
  rect(0:(n-1)/n, 0, 1:n/n, 1, col = col, border = border)
}
```

The qualitative palettes in Figure 4 are generated via

```
pal(rainbow_hcl(4, start = 30, end = 300))
pal(rainbow_hcl(4, start = 60, end = 240))
pal(rainbow_hcl(4, start = 270, end = 150))
pal(rainbow_hcl(4, start = 90, end = -30))
```

The sequential palettes in Figure 6 are generated via

```
pal(sequential_hcl(12, c = 0, power = 2.2))
pal(sequential_hcl(12, power = 2.2))
pal(terrain_hcl(12, c = c(65, 0), l = c(45, 95), power = c(1/3, 1.5)))
pal(heat_hcl(12, c = c(80, 30), l = c(30, 90), power = c(1/5, 1.5)))
pal(heat_hcl(12, h = c(0, -100), l = c(75, 40), c = c(40, 80), power = 1))
```

The diverging palettes in Figure 8 are generated via

```
pal(diverge_hcl(7))
pal(diverge_hcl(7, c = 100, l = c(50, 90), power = 1))
pal(diverge_hcl(7, h = c(130, 43), c = 100, l = c(70, 90)))
pal(diverge_hcl(7, h = c(180, 330), c = 59, l = c(75, 95)))
```

Further information on all palettes is available from the corresponding online documentation. Examples that compare the new HCL-based palettes with those available in base R can be generated via `example("rainbow_hcl")`.