# Sam D. Buchanan

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### **Research Interests**

Efficient and transparent machine learning; generative AI; representation learning; AI for science, esp. inverse problems in vision and imaging

# **Professional Experience**

#### 2022- Research Assistant Professor

Toyota Technological Institute at Chicago

# Education

#### 2016-22 PhD, Electrical Engineering

Columbia University in the City of New York

Advisor: John Wright

 $\textbf{Thesis:} \ \ \textbf{Deep Networks Through the Lens of Low-Dimensional Structure:} \ \ \textbf{Towards Mathematical}$ 

and Computational Principles for Nonlinear Data

#### 2017 MS, Electrical Engineering

Columbia University in the City of New York

#### 2010-14 BS, Electrical Engineering (with distinction)

University of Kansas

### Awards and Honors

2022	Eli Jury Award, Columbia University Electrical Engineering Department
2017	NDSEG Fellow, US Department of Defense (award rate: $\approx 7\%$ )
2016	Tesla Scholar, Columbia University Electrical Engineering Department

### **Publications**

### Preprint

S. Buchanan, J. Yan, E. Haber, and J. Wright, "Resource-efficient invariant networks: Exponential gains by unrolled optimization," Mar. 2022. arXiv: 2203.05006 [cs.CV].

#### Journal

Y. Yu\*, S. Buchanan\*, D. Pai\*, T. Chu, Z. Wu, S. Tong, H. Bai, Y. Zhai, B. D. Haeffele, and Y. Ma, "White-box transformers via sparse rate reduction: Compression is all there is?" *Journal of Machine Learning Research*, vol. 25, pp. 1–129, Sep. 2024.

#### Conference

- Z. Fang\*, S. Buchanan\*, and J. Sulam, "What's in a prior? Learned proximal networks for inverse problems," in *International Conference on Learning Representations*, May 2024.
  - D. Pai, Z. Wu, S. Buchanan, Y. Yu, and Y. Ma, "Masked completion via structured diffusion with white-box transformers," in *International Conference on Learning Representations*, May 2024.
  - Y. Yu\*, T. Chu\*, S. Tong, Z. Wu, D. Pai, S. Buchanan, and Y. Ma, "Emergence of segmentation with minimalistic white-box transformers," in *Conference on Parsimony and Learning (CPAL)*, Jan. 2024.
- Y. Yu, S. Buchanan, D. Pai, T. Chu, Z. Wu, S. Tong, B. D. Haeffele, and Y. Ma, "White-box transformers via sparse rate reduction," in *Advances in Neural Information Processing Systems (NeurIPS)*, Dec. 2023.
  - B. Yi, W. Zeng, S. Buchanan, and Y. Ma, "Canonical factors for hybrid neural fields," in *International Conference on Computer Vision (ICCV)*, Oct. 2023.
- T. Wang, S. Buchanan, D. Gilboa, and J. Wright, "Deep networks provably classify data on curves," in *Advances in Neural Information Processing Systems*, vol. 34, Curran Associates, Inc., Dec. 2021, pp. 28 940–28 953.
  - S. Buchanan, D. Gilboa, and J. Wright, "Deep networks and the multiple manifold problem," in *International Conference on Learning Representations*, Jan. 2021.
- D. Gilboa, S. Buchanan, and J. Wright, "Efficient dictionary learning with gradient descent," in *Proceedings of the 36th International Conference on Machine Learning*, ser. Proceedings of Machine Learning Research, vol. 97, PMLR, 2019, pp. 2252–2259.
- S. Buchanan, T. Haque, P. Kinget, and J. Wright, "Efficient model-free learning to overcome hardware nonidealities in analog-to-information converters," in *2018*

<sup>\*</sup>denotes equal contribution

IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), Apr. 2018, pp. 3574–3578.

#### **Invited Talks**

"White-Box Transformers via Sparse Rate Reduction", Workshop on Privacy and Interpretability in Generative AI: Peering into the Black Box, November.

"White-Box Transformers via Sparse Rate Reduction", Asilomar Special Session "Mathematics in Generative AI", October.

"White-Box Transformers via Sparse Rate Reduction", "Mathematics of Deep Learning" Workshop, Casa Matemática Oaxaca (CMO), June.

"White-Box Architecture Design via Unrolled Optimization and Compression", "Mathematics of Deep Learning" Workshop, Casa Matemática Oaxaca (CMO), June.

"White-Box Transformers via Sparse Rate Reduction", Toyota Technological Institute Research Center for Smart Information Technology Research Seminar, April.

"White-Box Transformers via Sparse Rate Reduction", Redwood Seminar (UC Berkeley), February.

- "Deep Networks and the Multiple Manifold Problem", KU Eichstätt-Ingolstadt MIDS Seminar, December.
- "Deep Networks and the Multiple Manifold Problem", U Michigan CSP Seminar, December.

"Deep Networks and the Multiple Manifold Problem", SIAM MDS 2022 Minisymposium "The Role of Data Geometry in High-Dimensional Learning", September.

"Deep Networks Through the Lens of Low-Dimensional Structure", Talks at TTIC, April.

"Deep Networks Through the Lens of Low-Dimensional Structure", Johns Hopkins MINDS Seminar, March.

# **Tutorials and Teaching**

Tutorial, Learning Deep Low-Dimensional Models from High-Dimensional Data: From Theory to Practice

CVPR 2024 Tutorial, June 2024

Lecture: White-Box Transformers via Sparse Rate Reduction

Guest Lecture, Optimization Methods for Signal and Image Processing and Machine Learning (EECS 559)

University of Michigan; Instructor: Prof. Qing Qu

Lecture: White-Box Transformers via Sparse Rate Reduction and Unrolled Optimization

Tutorial, Building White-Box Deep Neural Networks

ICASSP 2024 Tutorial, April 2024

**Tutorial**, Learning Deep Low-dimensional Models from High-Dimensional Data: From Theory to Practice

CPAL 2024 Tutorial, January 2024

Lecture: White-Box Transformers via Sparse Rate Reduction

Tutorial, Learning Nonlinear and Deep Low-Dimensional Representations from High-Dimensional Data: From Theory to Practice

ICASSP 2023 Short Course, June 2023

Lecture: Deep Representation Learning from the Ground Up

Tutorial, Learning Low-Dimensional Structure via Deep Networks

SLowDNN Workshop 2023 Tutorial, January 2023

Tutorial, Low-Dimensional Models for High-Dimensional Data: From Linear to Nonlinear, Convex to Nonconvex, and Shallow to Deep

ICASSP 2022 Short Course, May 2022

Lecture: Learning Low-Dimensional Structures via Deep Networks

Teaching Assistant, Sparse Representation and High-Dimensional Geometry (ELEN

2019 6886)

Columbia University

Developed a suite of theoretical and computational exercises for the textbook High-Dimensional Data Analysis with Low-Dimensional Models: Principles, Computation, and Applications.

### **Professional Service**

### Conference and Workshop Organization

2025 Web Chair, Second Conference on Parsimony and Learning (CPAL)

Stanford University, CA

Organizer, SIAM MDS 2024 Minisymposium "Mathematical Principles in Foundation Models"

Atlanta, GA

**Organizer**, Collaboration on the Theoretical Foundations of Deep Learning Annual Meeting

Halıcıoğlu Data Science Institute (UCSD), San Diego

Web Chair, Inaugural Conference on Parsimony and Learning (CPAL)

Hong Kong University, Hong Kong

Organizer, Collaboration on the Theoretical Foundations of Deep Learning Annual Meeting

TTIC, Chicago

Tutorial Chair, 3rd Workshop on Seeking Low-Dimensionality in Deep Neural Networks

MBZUAI, Abu Dhabi

#### Academic Committee Work

Member, Toyota Technological Institute at Chicago (TTIC) Diversity, Equity, and Inclusion Committee

# Journal Reviewing

IEEE-JSTSP, IEEE-TPAMI, IEEE-TIT, JMLR, MCSS, TMLR

Conference ACing

NeurIPS 24

Conference Reviewing

CVPR 19\*, 21\*; ICLR 22\*, 23, 24; ICML 21\*, 22, 23; NeurIPS 20\*, 21, 22\*, 23

<sup>\*</sup>denotes a designation of reviewer quality ("outstanding reviewer", etc.)