# Sam D. Buchanan

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# **Professional Experience**

2022- Research Assistant Professor

Toyota Technological Institute at Chicago

2015–16 Test Engineer (Radars and RF Components)

Honeywell FM&T, Kansas City, MO

#### Education

2016-22 PhD, Electrical Engineering

Columbia University in the City of New York

Advisor: John Wright

THESIS: Deep Networks Through the Lens of Low-Dimensional Structure: Towards Mathematical and Computational Principles for Nonlinear Data

MS, Electrical Engineering

Columbia University in the City of New York

2010–14 **BS**, Electrical Engineering (with distinction)

University of Kansas

#### Awards and Honors

Eli Jury Award, Columbia University Electrical Engineering Department

NDSEG Fellow, US Department of Defense (award rate:  $\approx 7\%$ )

Tesla Scholar, Columbia University Electrical Engineering Department

#### **Publications**

# Preprint

2016

Y. Yu, S. Buchanan, D. Pai, T. Chu, Z. Wu, S. Tong, H. Bai, Y. Zhai, B. D. Haeffele, and Y. Ma, "White-box transformers via sparse rate reduction: Compression is all there is?," Nov. 2023. arXiv: 2311.13110 [cs.LG]. (*Accepted with minor revision to JMLR*)

S. Buchanan, J. Yan, E. Haber, and J. Wright, "Resource-efficient invariant networks: Exponential gains by unrolled optimization," Mar. 2022. arXiv: 2203.05006 [cs.CV].

#### Conference

- Z. Fang\*, S. Buchanan\*, and J. Sulam, "What's in a prior? Learned proximal networks for inverse problems," in *International Conference on Learning Representations*, May 2024.
  - D. Pai, Z. Wu, S. Buchanan, Y. Yu, and Y. Ma, "Masked completion via structured diffusion with white-box transformers," in *International Conference on Learning Representations*, May 2024.
  - Y. Yu\*, T. Chu\*, S. Tong, Z. Wu, D. Pai, S. Buchanan, and Y. Ma, "Emergence of segmentation with minimalistic white-box transformers," in *Conference on Parsimony and Learning (CPAL)*, Jan. 2024.
- Y. Yu, S. Buchanan, D. Pai, T. Chu, Z. Wu, S. Tong, B. D. Haeffele, and Y. Ma, "White-box transformers via sparse rate reduction," in *Advances in Neural Information Processing Systems (NeurIPS)*, Dec. 2023.
  - B. Yi, W. Zeng, S. Buchanan, and Y. Ma, "Canonical factors for hybrid neural fields," in *International Conference on Computer Vision (ICCV)*, Oct. 2023.
- T. Wang, S. Buchanan, D. Gilboa, and J. Wright, "Deep networks provably classify data on curves," in *Advances in Neural Information Processing Systems*, vol. 34, Curran Associates, Inc., Dec. 2021, pp. 28 940–28 953.
  - S. Buchanan, D. Gilboa, and J. Wright, "Deep networks and the multiple manifold problem," in *International Conference on Learning Representations*, Jan. 2021.
- D. Gilboa, S. Buchanan, and J. Wright, "Efficient dictionary learning with gradient descent," in *Proceedings of the 36th International Conference on Machine Learning*, ser. Proceedings of Machine Learning Research, vol. 97, PMLR, 2019, pp. 2252–2259.
- S. Buchanan, T. Haque, P. Kinget, and J. Wright, "Efficient model-free learning to overcome hardware nonidealities in analog-to-information converters," in 2018 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), Apr. 2018, pp. 3574–3578.

#### **Invited Talks**

"White-Box Transformers via Sparse Rate Reduction", Asilomar Special Session "Mathematics in Generative AI", October.

"White-Box Transformers via Sparse Rate Reduction", "Mathematics of Deep Learning" Workshop, Casa Matemática Oaxaca (CMO), June.

<sup>\*</sup>denotes equal contribution

"White-Box Architecture Design via Unrolled Optimization and Compression", "Mathematics of Deep Learning" Workshop, Casa Matemática Oaxaca (CMO), June. "White-Box Transformers via Sparse Rate Reduction", Toyota Technological Institute Research Center for Smart Information Technology Research Seminar, April. "White-Box Transformers via Sparse Rate Reduction", Redwood Seminar (UC Berkeley), February.

- "Deep Networks and the Multiple Manifold Problem", KU Eichstätt-Ingolstadt MIDS Seminar, December.
- "Deep Networks and the Multiple Manifold Problem", U Michigan CSP Seminar, December.

"Deep Networks and the Multiple Manifold Problem", SIAM MDS 2022 Minisymposium "The Role of Data Geometry in High-Dimensional Learning", September. "Deep Networks Through the Lens of Low-Dimensional Structure", Talks at TTIC, April.

"Deep Networks Through the Lens of Low-Dimensional Structure", Johns Hopkins MINDS Seminar, March.

# **Tutorials and Teaching**

Tutorial, Learning Deep Low-Dimensional Models from High-Dimensional Data: From Theory to Practice

CVPR 2024 Tutorial, June 2024

Lecture: White-Box Transformers via Sparse Rate Reduction

Guest Lecture, Optimization Methods for Signal and Image Processing and Machine Learning (EECS 559)

University of Michigan; Instructor: Prof. Qing Qu

Lecture: White-Box Transformers via Sparse Rate Reduction and Unrolled Optimization

Tutorial, Building White-Box Deep Neural Networks

ICASSP 2024 Tutorial, April 2024

**Tutorial**, Learning Deep Low-dimensional Models from High-Dimensional Data: From Theory to Practice

CPAL 2024 Tutorial, January 2024

Lecture: White-Box Transformers via Sparse Rate Reduction

Tutorial, Learning Nonlinear and Deep Low-Dimensional Representations from High-Dimensional Data: From Theory to Practice

ICASSP 2023 Short Course, June 2023

Lecture: Deep Representation Learning from the Ground Up

**Tutorial**, Learning Low-Dimensional Structure via Deep Networks

SLowDNN Workshop 2023 Tutorial, January 2023

Tutorial, Low-Dimensional Models for High-Dimensional Data: From Linear to Nonlinear, Convex to Nonconvex, and Shallow to Deep

ICASSP 2022 Short Course, May 2022

Lecture: Learning Low-Dimensional Structures via Deep Networks

# Teaching Assistant, Sparse Representation and High-Dimensional Geometry (ELEN 6886)

Columbia University

Developed a suite of theoretical and computational exercises for the textbook High-Dimensional Data Analysis with Low-Dimensional Models: Principles, Computation, and Applications.

## **Professional Service**

## Conference and Workshop Organization

Web Chair, Second Conference on Parsimony and Learning (CPAL)

Stanford University, CA

Organizer, SIAM MDS 2024 Minisymposium "Mathematical Principles in Foundation Models"

Atlanta, GA

**Organizer**, Collaboration on the Theoretical Foundations of Deep Learning Annual Meeting

Halicioğlu Data Science Institute (UCSD), San Diego

Web Chair, Inaugural Conference on Parsimony and Learning (CPAL)

Hong Kong University, Hong Kong

Organizer, Collaboration on the Theoretical Foundations of Deep Learning Annual Meeting

TTIC, Chicago

Tutorial Chair, 3rd Workshop on Seeking Low-Dimensionality in Deep Neural Networks

MBZUAI. Abu Dhabi

#### Academic Committee Work

Member, Toyota Technological Institute at Chicago (TTIC) Diversity, Equity, and Inclusion Committee

### Journal Reviewing

IEEE-JSTSP, IEEE-TPAMI, IEEE-TIT, IMA-Information and Inference, JMLR, TMLR

# Conference ACing

NeurIPS 24

# Conference Reviewing

CVPR 19\*, 21\*; ICLR 22\*, 23, 24; ICML 21\*, 22, 23; NeurIPS 20\*, 21, 22\*, 23

<sup>\*</sup>denotes a designation of reviewer quality ("outstanding reviewer", etc.)