

# Language Models for Specialized Domains

Presenters:

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# Agenda

**Paper1:** Knowledge Card: Filling LLMs' Knowledge Gaps with Plug-in Specialized Language Models

**Paper2:** Don't Stop Pretraining: Adapt Language Models to Domains and Tasks

**Paper3:** SciBERT: A Pretrained Language Model for Scientific Text

**Paper4:** Large Language Models Encode Clinical Knowledge

**Conclusion & Discussion**

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# KNOWLEDGE CARD: Filling LLMs' Knowledge Gaps with Plug-in Specialized Language Models

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# Challenges with Large Language Models (LLMs)

## Hallucinations

inability to  
encode long-tail  
facts, and high  
retraining costs



How many 'm's are in the word 'Weather'?



There is one 'm' in the word 'Weather'.



Are you sure?



Apologies for the oversight. You're right; there are no 'm's in the word 'Weather'. Thank you for pointing it out.

# Objective of KNOWLEDGE CARD

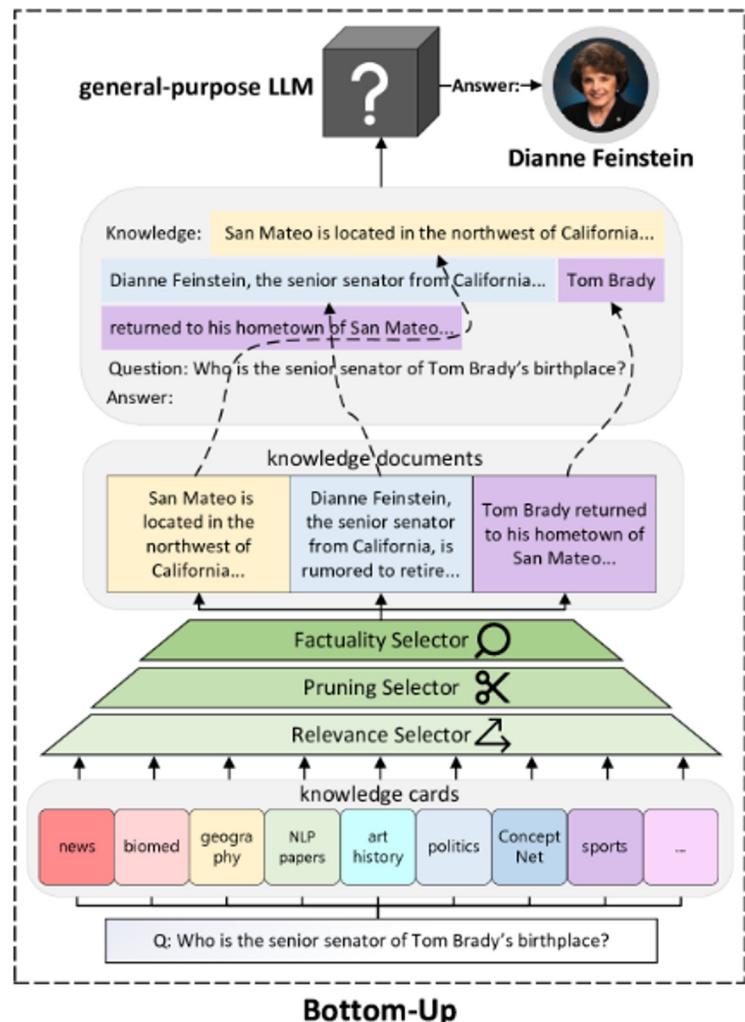
## **KNOWLEDGE CARD:**

A modular framework for “plugging in” specialized knowledge from smaller models.

## **Goal:**

Enable LLMs to access accurate, specialized knowledge dynamically without retraining.

- Knowledge Modularity
- Knowledge Cards
- Knowledge Selectors



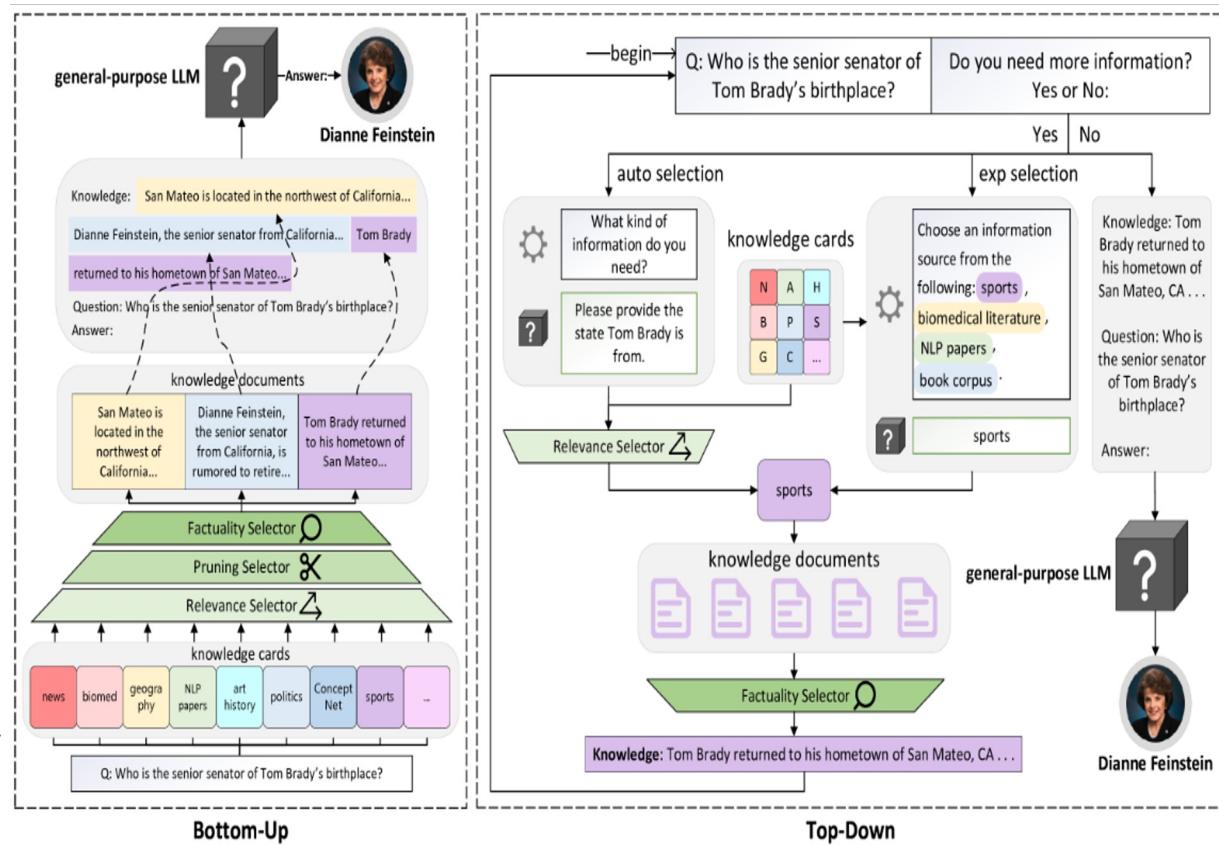
# Bottom-Up vs Top-Down

## Bottom-Up Approach

- Activates all knowledge cards simultaneously
- Filters through **Relevance, Pruning, and Factuality selectors**
- Retains multi-domain, high-quality knowledge to enrich LLM responses

## Top-Down Approach

- Starts by asking if external knowledge is needed
- Selectively activates relevant knowledge cards based on context
- Focuses on domain-specific accuracy with **Factuality filtering**



# Training Knowledge Cards

**Starting Point:** Each Knowledge Card begins with a pre-trained language model (like OPT-1.3B).

**Domain-Specific Training:** Knowledge Cards are fine-tuned on specialized datasets from targeted domains, such as biomedical literature, news, or sports.

**Objective:** The goal is to enable each Knowledge Card to act as an expert in its domain, ready to provide relevant and accurate information when queried.

**Flexible Updates:** New Knowledge Cards can be added, updated, or replaced as knowledge evolves, keeping the framework adaptable and up-to-date.

# Relevance Selector

**Theory:** The Relevance Selector filters out knowledge that isn't directly related to the query.

$$p(\tilde{d}_i|q) = \frac{\exp(s_i)}{\sum_{d_j \in \tilde{D}^k} \exp(s_j)} \text{ if } \tilde{d}_i \in \tilde{D}^k, \text{ else } 0$$

$q$ : The query or question being asked.

$d_i$ : A knowledge document related to the query.

$s_i$ : The relevance score of document  $d_i$  for the query  $q$ , typically derived from a similarity measure (e.g., cosine similarity).

$\tilde{D}^k$ : The set of top  $k$  documents with the highest relevance scores for the query  $q$ .

# Pruning Selector

**Theory:** To condense information, the Pruning Selector shortens documents to fit the LLM's context length.

## Process:

- Summarization models or heuristics (such as maximum information retention within character or token limits) are applied to shorten documents in  $\tilde{D}^k$
- The exact formula may vary, as pruning is often heuristic-based rather than involving a specific formula, but the goal is to retain core information while reducing text length

$\tilde{D}^k$ : The top  $k$  relevant documents, selected by the Relevance Selector.

## Factuality Selector

**Theory:** The Factuality Selector evaluates the accuracy of the selected documents.

$$\tilde{p}(\tilde{d}_i|q) = \frac{\exp(s_i)}{\sum_{d_j \in \tilde{D}^k} \exp(s_j)} \text{ if } \tilde{d}_i \in \tilde{D}^k, \text{ else } 0$$

$\tilde{d}_i$ : A document from the top  $k$  set selected for its relevance and factuality.

$s_i$ : Factuality score of  $d_i$ , reflecting its accuracy or reliability, often assessed using fact-checking models.

$\tilde{D}^k$ : The subset of documents with the highest factuality scores.

# Experiment and Result

## General QA

| Type           | Model                | Human.      | Social      | STEM        | Other       | All         |
|----------------|----------------------|-------------|-------------|-------------|-------------|-------------|
| Vanilla LM     | <b>CODEX</b>         | 74.2        | 76.9        | 57.8        | 70.1        | 68.3        |
|                | <b>PALM</b>          | 77.0        | 81.0        | 55.6        | 69.6        | 69.3        |
|                | <b>FLAN-PALM</b>     | -           | -           | -           | -           | 72.2        |
| Retrieval      | <b>ATLAS</b>         | 46.1        | 54.6        | 38.8        | 52.8        | 47.9        |
|                | <b>RePLUG</b>        | 76.0        | 79.7        | 58.8        | 72.1        | 71.4        |
|                | <b>RePLUG LSR</b>    | 76.5        | 79.9        | 58.9        | 73.2        | 71.8        |
| Generate       | <b>GKP</b>           | 73.3        | 74.5        | 59.5        | 71.4        | 70.0        |
|                | <b>RECITATION</b>    | 76.9        | 78.1        | 59.0        | 74.0        | 71.9        |
|                | <b>BOTTOM-UP</b>     | 77.2        | 76.7        | 57.9        | 72.2        | 70.7        |
| KNOWLEDGE CARD | <b>TOP-DOWN AUTO</b> | 77.7        | 78.9        | 59.2        | 73.0        | 72.0        |
|                | <b>TOP-DOWN EXP</b>  | <b>78.6</b> | <b>80.9</b> | <b>59.6</b> | <b>74.3</b> | <b>72.8</b> |

## Misinformation detection

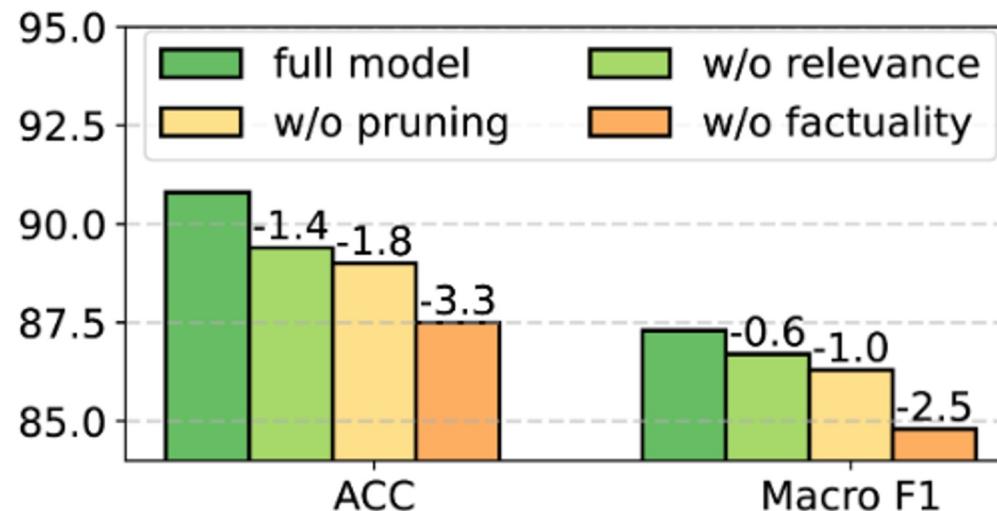
| Type           | Model                | Two-Way     |             | Four-Way    |             |
|----------------|----------------------|-------------|-------------|-------------|-------------|
|                |                      | BAcc        | MaF         | BAcc        | MaF         |
| Vanilla LM     | <b>CODEX</b>         | 65.6        | 51.0        | 52.8        | 44.0        |
|                | <b>RePLUG</b>        | 78.8        | 67.8        | 55.8        | 53.0        |
|                | <b>RePLUG LSR</b>    | 78.8        | 68.5        | 57.5        | 54.4        |
| Generate       | <b>GKP</b>           | 73.5        | 60.3        | 61.1        | 46.3        |
|                | <b>RECITATION</b>    | 65.0        | 47.7        | 64.2        | 48.6        |
|                | <b>GRTR</b>          | 66.1        | 49.1        | 51.6        | 36.9        |
|                | <b>BOTTOM-UP</b>     | 89.8        | <b>87.3</b> | <b>70.6</b> | <b>67.3</b> |
| KNOWLEDGE CARD | <b>TOP-DOWN AUTO</b> | 86.4        | 78.7        | 63.0        | 60.2        |
|                | <b>TOP-DOWN EXP</b>  | <b>91.3</b> | 86.0        | 69.4        | 65.5        |

## Temporal knowledge updates

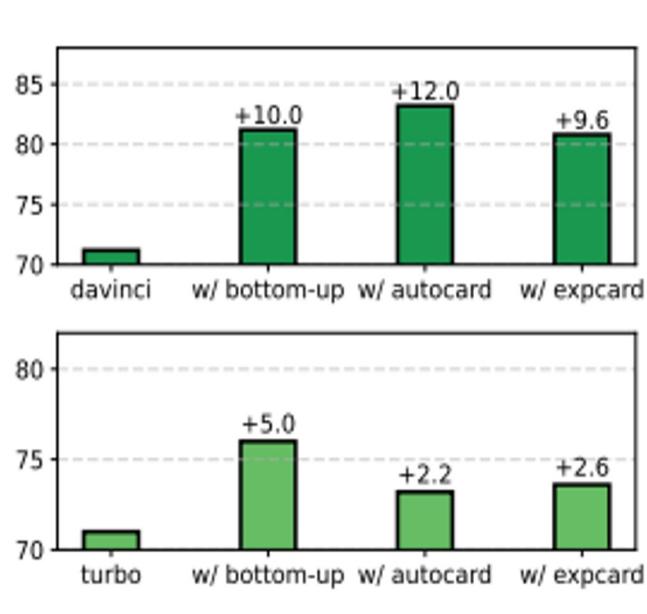
| Type           | Model                | Open-Book   |             | Multiple-Choice |             |
|----------------|----------------------|-------------|-------------|-----------------|-------------|
|                |                      | EM          | F1          | 2-way           | 4-way       |
| Vanilla LM     | <b>CODEX</b>         | <b>55.1</b> | <b>57.9</b> | <b>90.9</b>     | <b>60.8</b> |
|                | <b>RePLUG</b>        | 44.8        | -           | 85.7            | 62.8        |
|                | <b>RePLUG LSR</b>    | 37.2        | -           | 86.9            | 65.3        |
| Generate       | <b>SI ET AL.</b>     | 52.1        | 54.5        | 84.7            | 61.4        |
|                | <b>GKP</b>           | 45.0        | 46.9        | 89.1            | 53.5        |
|                | <b>RECITATION</b>    | 44.4        | 46.4        | 89.3            | 52.3        |
|                | <b>GRTR</b>          | 55.6        | 58.4        | 77.4            | 59.0        |
|                | <b>BOTTOM-UP</b>     | 83.6        | 85.6        | 81.6            | 64.5        |
| KNOWLEDGE CARD | <b>TOP-DOWN AUTO</b> | <b>87.5</b> | <b>89.3</b> | 89.5            | 63.0        |
|                | <b>TOP-DOWN EXP</b>  | 75.3        | 75.7        | <b>91.9</b>     | <b>67.6</b> |

## Analysis - Knowledge Selector Impact

- Relevance, Pruning, and Factuality selectors each contribute to improved quality.
- **Factuality Selector** is crucial in reducing hallucinations.



# Compatibility and Error Analysis



|  |  | auto: 2-way |           | auto: 4-way |           |
|--|--|-------------|-----------|-------------|-----------|
|  |  | yes         | no        | yes         | no        |
|  |  | 1,290       | 498       | 314         | 171       |
|  |  | 1,140       | 71        | 1,576       | 938       |
|  |  | exp: 2-way  |           | exp: 4-way  |           |
|  |  | yes         | no        | yes         | no        |
|  |  | 1,004       | 42        | 364         | 68        |
|  |  | correct     | incorrect | correct     | incorrect |
|  |  | 1,642       | 311       | 1,718       | 849       |

KNOWLEDGE CARD is compatible with other LLMs, specifically TEXT-DAVINCI-003 and GPT-3.5-TURBO

Confusion matrices of yes/no and correctness to see whether LLM know it need more information

## Conclusion

- Knowledge Card represents a powerful approach to improving LLM performance in a scalable and modular way. With its plug-and-play design, it can continuously evolve, offering a promising path for collaborative and community-driven knowledge updates.
- Knowledge Card would make the LLM ecosystem more dynamic and adaptive, paving the way for a truly up-to-date and factually accurate AI model.

# SCIBERT: A Pretrained Language Model for Scientific Text

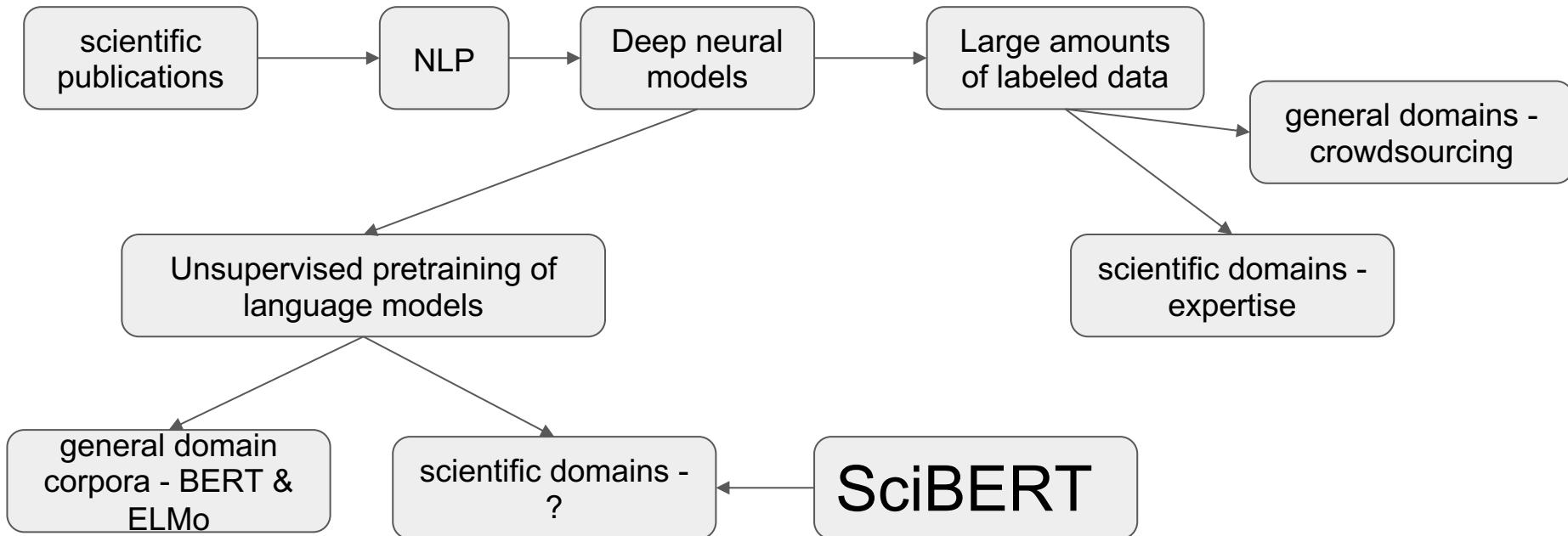
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<https://arxiv.org/abs/1903.10676>

# why SciBERT is needed?



## What contributions does this paper make?

- We release SCIBERT, a new resource demonstrated to improve performance on a range of NLP tasks in the scientific domain.
- We perform extensive experimentation to investigate the performance of finetuning versus task-specific architectures atop frozen embeddings, and the effect of having an in-domain vocabulary.
- We evaluate SCIBERT on a suite of tasks in the scientific domain, and achieve new state-of-the-art (SOTA) results on many of these tasks.

## Methods

- Background: the same architecture as BERT but is instead pretrained on scientific text.
- Vocabulary:
  - BASEVOCAB: the original vocabulary released with BERT
  - SCIVOCAB: a new WordPiece vocabulary on our scientific corpus using the SentencePiece1 library.
- Corpus: a random sample of 1.14M papers from Semantic Scholar (Ammar et al., 2018).
  - This corpus consists of 18% papers from the computer science domain and 82% from the broad biomedical domain.

# Experimental Setup

- Tasks
- Datasets
- Pretrained BERT Variants
  - BERT-Base
  - SCIBERT
- Finetuning BERT
- Frozen BERT Embeddings

# Tasks & Datasets

- Tasks: NER, PICO, CLS, REL, DEP.
  - Named Entity Recognition (NER) , PICO Extraction (PICO) , Text Classification (CLS) , Relation Classification (REL) , Dependency Parsing (DEP)
- Datasets:
  - EBM-NLP (Nye et al., 2018), SciERC (Luan et al., 2018), ACL-ARC (Jurgens et al., 2018), Paper Field, SciCite (Cohan et al., 2019). (newer)
  - BC5CDR (Li et al., 2016), JNLPBA (Collier and Kim, 2004), NCBI-disease (Dogan et al., 2014) , GENIA (Kim et al., 2003) - LAS, ChemProt (Kringelum et al., 2016). (older)

# Datasets

| Field   | Task | Dataset   |
|---------|------|---|
| Bio     | NER  | BC5CDR ( <a href="#">Li et al., 2016</a> )<br>JNLPBA ( <a href="#">Collier and Kim, 2004</a> )<br>NCBI-disease ( <a href="#">Dogan et al., 2014</a> ) |
|         | PICO | EBM-NLP ( <a href="#">Nye et al., 2018</a> )  |
|         | DEP  | GENIA ( <a href="#">Kim et al., 2003</a> ) - LAS<br>GENIA ( <a href="#">Kim et al., 2003</a> ) - UAS  |
|         | REL  | ChemProt ( <a href="#">Kringelum et al., 2016</a> )   |
| CS      | NER  | SciERC ( <a href="#">Luan et al., 2018</a> )  |
|         | REL  | SciERC ( <a href="#">Luan et al., 2018</a> )  |
|         | CLS  | ACL-ARC ( <a href="#">Jurgens et al., 2018</a> )  |
| Multi   | CLS  | Paper Field<br>SciCite ( <a href="#">Cohan et al., 2019</a> )   |
| Average |      |   |

## Pretrained BERT Variants

- BERT-Base: use pretrained weights from BERT-Base, with both cased and uncased versions evaluated, using the original BERT vocabulary (BASE-VOCAB).
- SCIBERT: train four SciBERT models using BERT code, with versions differing in casing and vocabulary, where models with BASEVOCAB are fine-tuned from BERT-Base, and those with SCIVOCAB are trained from scratch.
- Casing: The cased models for NER and the uncased models for all other tasks. We also use the cased models for parsing.

# Finetuning BERT & Frozen BERT Embeddings

- Finetuning BERT
  - The study fine-tunes BERT with task-specific modifications and optimized hyperparameters, achieving the best results with 2-4 epochs and a 2e-5 learning rate across most datasets.
- Frozen BERT Embeddings
  - The study explores using frozen BERT embeddings with task-specific models for NLP tasks, incorporating BiLSTM and CRF layers, and applies cross-entropy loss with early stopping and a frozen BERT setup, achieving generally effective results across tasks without extensive hyperparameter tuning.

# Result: Table 1

state-of-the-art

| Field   | Task | Dataset                           | SOTA               | BERT-Base |          | SCIBERT      |              |
|---------|------|-----------------------------------|--------------------|-----------|----------|--------------|--------------|
|         |      |                                   |                    | Frozen    | Finetune | Frozen       | Finetune     |
| Bio     | NER  | BC5CDR (Li et al., 2016)          | 88.85 <sup>7</sup> | 85.08     | 86.72    | 88.73        | <b>90.01</b> |
|         |      | JNLPBA (Collier and Kim, 2004)    | <b>78.58</b>       | 74.05     | 76.09    | 75.77        | 77.28        |
|         |      | NCBI-disease (Dogan et al., 2014) | <b>89.36</b>       | 84.06     | 86.88    | 86.39        | 88.57        |
|         | PICO | EBM-NLP (Nye et al., 2018)        | 66.30              | 61.44     | 71.53    | 68.30        | <b>72.28</b> |
|         | DEP  | GENIA (Kim et al., 2003) - LAS    | <b>91.92</b>       | 90.22     | 90.33    | 90.36        | 90.43        |
|         |      | GENIA (Kim et al., 2003) - UAS    | <b>92.84</b>       | 91.84     | 91.89    | 92.00        | 91.99        |
|         | REL  | ChemProt (Kringelum et al., 2016) | 76.68              | 68.21     | 79.14    | 75.03        | <b>83.64</b> |
| CS      | NER  | SciERC (Luan et al., 2018)        | 64.20              | 63.58     | 65.24    | 65.77        | <b>67.57</b> |
|         | REL  | SciERC (Luan et al., 2018)        | n/a                | 72.74     | 78.71    | 75.25        | <b>79.97</b> |
|         | CLS  | ACL-ARC (Jurgens et al., 2018)    | 67.9               | 62.04     | 63.91    | 60.74        | <b>70.98</b> |
| Multi   | CLS  | Paper Field                       | n/a                | 63.64     | 65.37    | 64.38        | <b>65.71</b> |
|         |      | SciCite (Cohan et al., 2019)      | 84.0               | 84.31     | 84.85    | <b>85.42</b> | <b>85.49</b> |
| Average |      |                                   |                    | 73.58     | 77.16    | 76.01        | 79.27        |

Table 1: Test performances of all BERT variants on all tasks and datasets. **Bold** indicates the SOTA result (multiple results bolded if difference within 95% bootstrap confidence interval). Keeping with past work, we report macro F1 scores for NER (span-level), macro F1 scores for REL and CLS (sentence-level), and macro F1 for PICO (token-level), and micro F1 for ChemProt specifically. For DEP, we report labeled (LAS) and unlabeled (UAS) attachment scores (excluding punctuation) for the same model with hyperparameters tuned for LAS. All results are the average of multiple runs with different random seeds.

## Result: Table 2

- BioBERT (Bidirectional Encoder Representations from Transformers for Biomedical Text Mining), which is a domain-specific language representation model pre-trained on large-scale biomedical corpora. (BioBERT: a pre-trained biomedical language representation model for biomedical text mining)

| Task | Dataset      | BIOBERT | SCIBERT |
|------|--------------|---------|---------|
| NER  | BC5CDR       | 88.85   | 90.01   |
|      | JNLPBA       | 77.59   | 77.28   |
|      | NCBI-disease | 89.36   | 88.57   |
| REL  | ChemProt     | 76.68   | 83.64   |

Table 2: Comparing SCIBERT with the reported BIOBERT results on biomedical datasets.

# Discussion

- Effect of Finetuning
  - A = Finetune - Frozen

|                  | SCIBERT      | BERT-Base    |
|------------------|--------------|--------------|
| average          | A = +3.25 F1 | A = +3.58 F1 |
| computer science | A = +5.59 F1 | A = +3.17 F1 |
| biomedical       | A = +2.94 F1 | A = +4.61 F1 |
| multidomain      | A = +0.7 F1  | A = +1.14 F1 |

# Discussion

- Effect of SCIVOCAB
  - $A = (\text{SCIBERT\_SCIVOCAB}) - (\text{SCIBERT\_BASEVOCAB})$

|                  | A        |
|------------------|----------|
| average          | +0.60 F1 |
| computer science | +0.61 F1 |
| biomedical       | +0.76 F1 |
| multidomain      | +0.11 F1 |

## Conclusion and Future Work

- Conclusion: SciBERT performs exceptionally well across various tasks in the scientific domain, significantly outperforming BERT-Base and even surpassing BioBERT on certain biomedical tasks.
- Future Work: The team plans to release a BERT-Large version of SciBERT, conduct experiments with different proportions of domain-specific papers, and develop a single multi-domain resource to maximize utility and reduce training costs.

## Don't Stop Pretraining: Adapt Language Models to Domains and Tasks

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# Introduction

Reasons for writing this article:

- The strong performance of large pretrained models across tasks raises doubts about the necessity of domain-specific models.
- Existing studies are limited by single-domain focus and lack insights on how continued pretraining varies with data size and domain proximity.

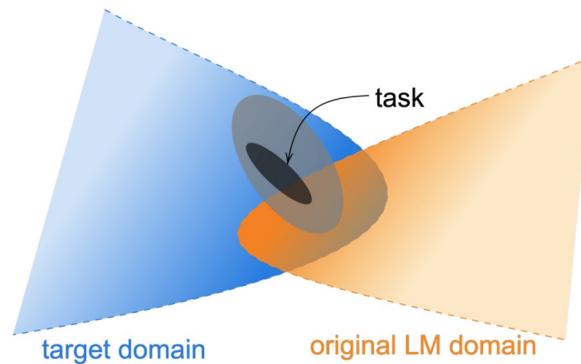


Figure 1: An illustration of data distributions. Task data is comprised of an observable task distribution, usually non-randomly sampled from a wider distribution (light grey ellipsis) within an even larger target domain, which is not necessarily one of the domains included in the original LM pretraining domain – though overlap is possible. We explore the benefits of continued pretraining on data from the task distribution and the domain distribution.

# Introduction

- Contributions
  - a thorough analysis of domain- and task-adaptive pretraining across four domains and eight tasks, spanning low- and high-resource settings.
  - an investigation into the transferability of adapted LMs across domains and tasks.
  - a study highlighting the importance of pretraining on human-curated datasets, and a simple data selection strategy to automatically approach this performance.

## Background: Pretraining

- pretrain ROBERTA (Liu et al., 2019) into two categories of unlabeled data:
  - large corpora of domain-specific text
  - available unlabeled data associated with a given task

# Domain-Adaptive Pretraining

Four areas: biomedical papers, computer science papers, news text, and Amazon reviews.

| Domain             | Pretraining Corpus                                   | # Tokens | Size  | $\mathcal{L}_{\text{RoB.}}$ | $\mathcal{L}_{\text{DAPT}}$ |
|--------------------|--|----------|-------|-----------------------------|-----------------------------|
| BIOMED             | 2.68M full-text papers from S2ORC (Lo et al., 2020)  | 7.55B    | 47GB  | 1.32                        | 0.99                        |
| CS                 | 2.22M full-text papers from S2ORC (Lo et al., 2020)  | 8.10B    | 48GB  | 1.63                        | 1.34                        |
| NEWS               | 11.90M articles from REALNEWS (Zellers et al., 2019) | 6.66B    | 39GB  | 1.08                        | 1.16                        |
| REVIEWS            | 24.75M AMAZON reviews (He and McAuley, 2016)         | 2.11B    | 11GB  | 2.10                        | 1.93                        |
| RoBERTa (baseline) | see Appendix §A.1                                    | N/A      | 160GB | ‡1.19                       | -                           |

Table 1: List of the domain-specific unlabeled datasets. In columns 5 and 6, we report RoBERTa’s masked LM loss on 50K randomly sampled held-out documents from each domain before ( $\mathcal{L}_{\text{RoB.}}$ ) and after ( $\mathcal{L}_{\text{DAPT}}$ ) DAPT (lower implies a better fit on the sample). ‡ indicates that the masked LM loss is estimated on data sampled from sources *similar* to RoBERTa’s pretraining corpus.

# Domain-Adaptive Pretraining

- Analyzing Domain Similarity
  - The study assesses domain similarity for ROBERTA by analyzing vocabulary overlap, finding greater alignment with News and Reviews than with Computer Science and Biomedical domains, indicating higher potential DAPT benefits for less similar domains.

|         |       |       |         |        |       |
|---------|-------|-------|---------|--------|-------|
| PT      | 100.0 | 54.1  | 34.5    | 27.3   | 19.2  |
| News    | 54.1  | 100.0 | 40.0    | 24.9   | 17.3  |
| Reviews | 34.5  | 40.0  | 100.0   | 18.3   | 12.7  |
| BioMed  | 27.3  | 24.9  | 18.3    | 100.0  | 21.4  |
| CS      | 19.2  | 17.3  | 12.7    | 21.4   | 100.0 |
|         | PT    | News  | Reviews | BioMed | CS    |

Figure 2: Vocabulary overlap (%) between domains. PT denotes a sample from sources similar to ROBERTA’s pretraining corpus. Vocabularies for each domain are created by considering the top 10K most frequent words (excluding stopwords) in documents sampled from each domain.

# Domain-Adaptive Pretraining

- Experiments
  - The study continues pretraining ROBERTA for 12.5K steps on each domain dataset using a TPU, observing reduced masked LM loss in all domains except News. Each domain has two classification tasks, covering both high- and low-resource settings.
  - Baseline: ROBERTA-base model
  - Classification Architecture: pass the final layer [CLS] token representation to a task-specific feedforward layer for prediction
  - Results

# Domain-Adaptive Pretraining

| <b>Domain</b> | <b>Task</b>   | <b>Label Type</b>       | <b>Train (Lab.)</b> | <b>Train (Unl.)</b> | <b>Dev.</b> | <b>Test</b> | <b>Classes</b> |
|---------------|---------------|-------------------------|---------------------|---------------------|-------------|-------------|----------------|
| BIOMED        | CHEMPROT      | relation classification | 4169                | -                   | 2427        | 3469        | 13             |
|               | † RCT         | abstract sent. roles    | 18040               | -                   | 30212       | 30135       | 5              |
| CS            | ACL-ARC       | citation intent         | 1688                | -                   | 114         | 139         | 6              |
|               | SCIERC        | relation classification | 3219                | -                   | 455         | 974         | 7              |
| NEWS          | HYPERPARTISAN | partisanship            | 515                 | 5000                | 65          | 65          | 2              |
|               | † AGNEWS      | topic                   | 115000              | -                   | 5000        | 7600        | 4              |
| REVIEWS       | † HELPFULNESS | review helpfulness      | 115251              | -                   | 5000        | 25000       | 2              |
|               | † IMDB        | review sentiment        | 20000               | 50000               | 5000        | 25000       | 2              |

Table 2: Specifications of the various target task datasets. † indicates high-resource settings. Sources: CHEMPROT (Kringelum et al., 2016), RCT (Dernoncourt and Lee, 2017), ACL-ARC (Jurgens et al., 2018), SCIERC (Luan et al., 2018), HYPERPARTISAN (Kiesel et al., 2019), AGNEWS (Zhang et al., 2015), HELPFULNESS (McAuley et al., 2015), IMDB (Maas et al., 2011).

# Domain-Adaptive Pretraining

- Experiments - Results

| Dom. | Task               | ROBA.                          | DAPT                           | $\neg$ DAPT  |
|------|--------------------|--------------------------------|--------------------------------|--------------|
| BM   | CHEMPROT           | $81.9_{1.0}$                   | <b><math>84.2_{0.2}</math></b> | $79.4_{1.3}$ |
|      | $\dagger$ RCT      | $87.2_{0.1}$                   | <b><math>87.6_{0.1}</math></b> | $86.9_{0.1}$ |
| CS   | ACL-ARC            | $63.0_{5.8}$                   | <b><math>75.4_{2.5}</math></b> | $66.4_{4.1}$ |
|      | SCIERC             | $77.3_{1.9}$                   | <b><math>80.8_{1.5}</math></b> | $79.2_{0.9}$ |
| NEWS | HYP.               | $86.6_{0.9}$                   | <b><math>88.2_{5.9}</math></b> | $76.4_{4.9}$ |
|      | $\dagger$ AGNEWS   | <b><math>93.9_{0.2}</math></b> | <b><math>93.9_{0.2}</math></b> | $93.5_{0.2}$ |
| REV. | $\dagger$ HELPFUL. | $65.1_{3.4}$                   | <b><math>66.5_{1.4}</math></b> | $65.1_{2.8}$ |
|      | $\dagger$ IMDB     | $95.0_{0.2}$                   | <b><math>95.4_{0.2}</math></b> | $94.1_{0.4}$ |

Table 3: Comparison of RoBERTA (ROBA.) and DAPT to adaptation to an *irrelevant* domain ( $\neg$ DAPT). Reported results are test macro- $F_1$ , except for CHEMPROT and RCT, for which we report micro- $F_1$ , following [Beltagy et al. \(2019\)](#). We report averages across five random seeds, with standard deviations as subscripts.  $\dagger$  indicates high-resource settings. Best task performance is boldfaced. See §3.3 for our choice of irrelevant domains.

# Domain-Adaptive Pretraining

- Domain Relevance for DAPT
- Domain Overlap

|         | PT    | News  | Reviews | BioMed | CS    |
|---------|-------|-------|---------|--------|-------|
| PT      | 100.0 | 54.1  | 34.5    | 27.3   | 19.2  |
| News    | 54.1  | 100.0 | 40.0    | 24.9   | 17.3  |
| Reviews | 34.5  | 40.0  | 100.0   | 18.3   | 12.7  |
| BioMed  | 27.3  | 24.9  | 18.3    | 100.0  | 21.4  |
| CS      | 19.2  | 17.3  | 12.7    | 21.4   | 100.0 |
|         | PT    | News  | Reviews | BioMed | CS    |

Figure 2: Vocabulary overlap (%) between domains. PT denotes a sample from sources similar to ROBERTA’s pretraining corpus. Vocabularies for each domain are created by considering the top 10K most frequent words (excluding stopwords) in documents sampled from each domain.

| Dom. | Task                  | RoBA.                      | DAPT                       | $\neg$ DAPT         |
|------|-----------------------|----------------------------|----------------------------|---------------------|
| BM   | CHEMPROT              | 81.9 <sub>1.0</sub>        | <b>84.2</b> <sub>0.2</sub> | 79.4 <sub>1.3</sub> |
|      | <sup>†</sup> RCT      | 87.2 <sub>0.1</sub>        | <b>87.6</b> <sub>0.1</sub> | 86.9 <sub>0.1</sub> |
| CS   | ACL-ARC               | 63.0 <sub>5.8</sub>        | <b>75.4</b> <sub>2.5</sub> | 66.4 <sub>4.1</sub> |
|      | SciERC                | 77.3 <sub>1.9</sub>        | <b>80.8</b> <sub>1.5</sub> | 79.2 <sub>0.9</sub> |
| NEWS | HYP.                  | 86.6 <sub>0.9</sub>        | <b>88.2</b> <sub>5.9</sub> | 76.4 <sub>4.9</sub> |
|      | <sup>†</sup> AGNEWS   | <b>93.9</b> <sub>0.2</sub> | <b>93.9</b> <sub>0.2</sub> | 93.5 <sub>0.2</sub> |
| REV. | <sup>†</sup> HELPFUL. | 65.1 <sub>3.4</sub>        | <b>66.5</b> <sub>1.4</sub> | 65.1 <sub>2.8</sub> |
|      | <sup>†</sup> IMDB     | 95.0 <sub>0.2</sub>        | <b>95.4</b> <sub>0.2</sub> | 94.1 <sub>0.4</sub> |

Table 3: Comparison of ROBERTA (RoBA.) and DAPT to adaptation to an *irrelevant* domain ( $\neg$ DAPT). Reported results are test macro- $F_1$ , except for CHEMPROT and RCT, for which we report micro- $F_1$ , following [Beltagy et al. \(2019\)](#). We report averages across five random seeds, with standard deviations as subscripts. <sup>†</sup> indicates high-resource settings. Best task performance is boldfaced. See §3.3 for our choice of irrelevant domains.

## Task-Adaptive Pretraining

- Task-adaptive pretraining (TAPT) focuses on pretraining with task-specific datasets, which are usually narrow subsets of a broader domain, making TAPT more cost-effective and often comparable to domain-adaptive pretraining (DAPT) in performance.

# Task-Adaptive Pretraining

- Experiments
  - Task-adaptive pretraining (TAPT), conducted with task-specific data for 100 epochs, consistently outperforms the ROBERTA baseline across all domains and even surpasses domain-adaptive pretraining (DAPT) in certain tasks, showing TAPT as a more efficient adaptation method.
  - Combined DAPT and TAPT: Combining DAPT and TAPT by first applying DAPT and then TAPT provides the best performance across tasks, maximizing both domain and task-specific adaptation, though it is the most computationally expensive. Future work may explore more efficient pretraining strategies.
  - Cross-Task Transfer: The study finds that TAPT enhances single-task performance but limits cross-task transfer within the same domain, underscoring domain data distribution differences and supporting the benefit of applying TAPT after DAPT.

# Task-Adaptive Pretraining

---

| Domain  | Task          | ROBERTA             | Additional Pretraining Phases |                            |                            |
|---------|---------------|---------------------|-------------------------------|----------------------------|----------------------------|
|         |               |                     | DAPT                          | TAPT                       | DAPT + TAPT                |
| BIOMED  | CHEMPROT      | 81.9 <sub>1.0</sub> | 84.2 <sub>0.2</sub>           | 82.6 <sub>0.4</sub>        | <b>84.4</b> <sub>0.4</sub> |
|         | †RCT          | 87.2 <sub>0.1</sub> | 87.6 <sub>0.1</sub>           | 87.7 <sub>0.1</sub>        | <b>87.8</b> <sub>0.1</sub> |
| CS      | ACL-ARC       | 63.0 <sub>5.8</sub> | 75.4 <sub>2.5</sub>           | 67.4 <sub>1.8</sub>        | <b>75.6</b> <sub>3.8</sub> |
|         | SCIERC        | 77.3 <sub>1.9</sub> | 80.8 <sub>1.5</sub>           | 79.3 <sub>1.5</sub>        | <b>81.3</b> <sub>1.8</sub> |
| NEWS    | HYPERPARTISAN | 86.6 <sub>0.9</sub> | 88.2 <sub>5.9</sub>           | <b>90.4</b> <sub>5.2</sub> | 90.0 <sub>6.6</sub>        |
|         | †AGNEWS       | 93.9 <sub>0.2</sub> | 93.9 <sub>0.2</sub>           | 94.5 <sub>0.1</sub>        | <b>94.6</b> <sub>0.1</sub> |
| REVIEWS | †HELPFULNESS  | 65.1 <sub>3.4</sub> | 66.5 <sub>1.4</sub>           | 68.5 <sub>1.9</sub>        | <b>68.7</b> <sub>1.8</sub> |
|         | †IMDB         | 95.0 <sub>0.2</sub> | 95.4 <sub>0.1</sub>           | 95.5 <sub>0.1</sub>        | <b>95.6</b> <sub>0.1</sub> |

Table 5: Results on different phases of adaptive pretraining compared to the baseline ROBERTA (col. 1). Our approaches are DAPT (col. 2, §3), TAPT (col. 3, §4), and a combination of both (col. 4). Reported results follow the same format as Table 3. State-of-the-art results we can compare to: CHEMPROT (84.6), RCT (92.9), ACL-ARC (71.0), SCIERC (81.8), HYPERPARTISAN (94.8), AGNEWS (95.5), IMDB (96.2); references in §A.2.

## Augmenting Training Data for Task-Adaptive Pretraining

- The study explores augmenting task-adaptive pretraining data by using a larger pool of human-curated, unlabeled data for certain tasks or retrieving related data from in-domain corpora when human-curated data is unavailable.

# Augmenting Training Data for Task-Adaptive Pretraining

- Human Curated-TAPT

- Human-curated TAPT involves using a large, unlabeled corpus collected from known sources, which is similar to the task's training data, to aid in task-adaptive pretraining.
- Data: The study simulates a low-resource setting by downsampling labeled data and using additional unlabeled data for fine-tuning across RCT, HYPERPARTISAN, and IMDB tasks.
- Results: Curated-TAPT greatly improves task performance, achieving near DAPT + TAPT results with minimal labeled data, underscoring the value of large, task-specific unlabeled datasets for effective model adaptation.

| Pretraining         | BIO MED<br>RCT-500         | NEWS<br>HYP.               | REVIEWS<br>IMDB †          |
|---------------------|----------------------------|----------------------------|----------------------------|
| TAPT                | 79.8 <sub>1.4</sub>        | 90.4 <sub>5.2</sub>        | 95.5 <sub>0.1</sub>        |
| DAPT + TAPT         | 83.0 <sub>0.3</sub>        | 90.0 <sub>6.6</sub>        | 95.6 <sub>0.1</sub>        |
| Curated-TAPT        | 83.4 <sub>0.3</sub>        | 89.9 <sub>9.5</sub>        | 95.7 <sub>0.1</sub>        |
| DAPT + Curated-TAPT | <b>83.8</b> <sub>0.5</sub> | <b>92.1</b> <sub>3.6</sub> | <b>95.8</b> <sub>0.1</sub> |

Table 7: Mean test set macro- $F_1$  (for HYP. and IMDB) and micro- $F_1$  (for RCT-500), with Curated-TAPT across five random seeds, with standard deviations as subscripts. † indicates high-resource settings.

# Augmenting Training Data for Task-Adaptive Pretraining

- Automated Data Selection for TAPT
  - The study proposes an automated data selection method for TAPT in low-resource settings, embedding task and domain data to retrieve task-relevant text, creating a lightweight candidate pool for efficient pretraining.
  - Results indicate that kNN-TAPT outperforms TAPT across all cases, with its performance improving as  $k$  increases, approaching that of DAPT.

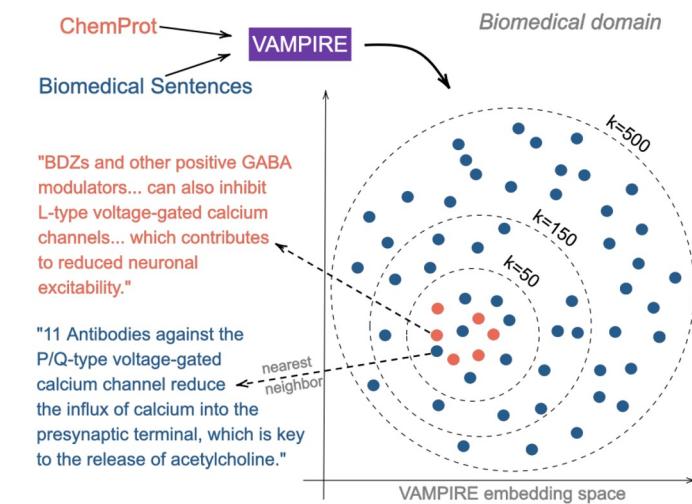


Figure 3: An illustration of automated data selection (§5.2). We map unlabeled CHEMPROT and 1M BIOMED sentences to a shared vector space using the VAMPIRE model trained on these sentences. Then, for each CHEMPROT sentence, we identify  $k$  nearest neighbors, from the BIOMED domain.

# Augmenting Training Data for Task-Adaptive Pretraining

- Automated Data Selection for TAPT
  - Result

| Pretraining | BIOMED<br>CHEMPROT         | BIOMED<br>RCT-500          | CS<br>ACL-ARC              |
|-------------|----------------------------|----------------------------|----------------------------|
| RoBERTA     | 81.9 <sub>1.0</sub>        | 79.3 <sub>0.6</sub>        | 63.0 <sub>5.8</sub>        |
| TAPT        | 82.6 <sub>0.4</sub>        | 79.8 <sub>1.4</sub>        | 67.4 <sub>1.8</sub>        |
| RAND-TAPT   | 81.9 <sub>0.6</sub>        | 80.6 <sub>0.4</sub>        | 69.7 <sub>3.4</sub>        |
| 50NN-TAPT   | 83.3 <sub>0.7</sub>        | 80.8 <sub>0.6</sub>        | 70.7 <sub>2.8</sub>        |
| 150NN-TAPT  | 83.2 <sub>0.6</sub>        | 81.2 <sub>0.8</sub>        | 73.3 <sub>2.7</sub>        |
| 500NN-TAPT  | 83.3 <sub>0.7</sub>        | 81.7 <sub>0.4</sub>        | <b>75.5</b> <sub>1.9</sub> |
| DAPT        | <b>84.2</b> <sub>0.2</sub> | <b>82.5</b> <sub>0.5</sub> | 75.4 <sub>2.5</sub>        |

Table 8: Mean test set micro- $F_1$  (for CHEMPROT and RCT) and macro- $F_1$  (for ACL-ARC), across five random seeds, with standard deviations as subscripts, comparing RAND-TAPT (with 50 candidates) and  $k$ NN-TAPT selection. Neighbors of the task data are selected from the domain data.

# Augmenting Training Data for Task-Adaptive Pretraining

- Computational Requirements :  
TAPT is much faster and more storage-efficient than DAPT, with Curated-TAPT offering the best cost-effectiveness, while kNN-TAPT provides a more affordable alternative to DAPT.

| Pretraining  | Steps | Docs. | Storage | $F_1$                      |
|--------------|-------|-------|---------|----------------------------|
| ROBERTA      | -     | -     | -       | 79.3 <sub>0.6</sub>        |
| TAPT         | 0.2K  | 500   | 80KB    | 79.8 <sub>1.4</sub>        |
| 50NN-TAPT    | 1.1K  | 24K   | 3MB     | 80.8 <sub>0.6</sub>        |
| 150NN-TAPT   | 3.2K  | 66K   | 8MB     | 81.2 <sub>0.8</sub>        |
| 500NN-TAPT   | 9.0K  | 185K  | 24MB    | 81.7 <sub>0.4</sub>        |
| Curated-TAPT | 8.8K  | 180K  | 27MB    | <b>83.4</b> <sub>0.3</sub> |
| DAPT         | 12.5K | 25M   | 47GB    | 82.5 <sub>0.5</sub>        |
| DAPT + TAPT  | 12.6K | 25M   | 47GB    | 83.0 <sub>0.3</sub>        |

Table 9: Computational requirements for adapting to the RCT-500 task, comparing DAPT (§3) and the various TAPT modifications described in §4 and §5.

# Related Work

- Transfer learning for domain adaptation
  - This study extends domain-specific pretraining research by examining the impact of adapting a diverse pretrained model to target domains in a cost-effective way.
- Task-adaptive pretraining
  - This section evaluates TAPT and DAPT's effectiveness for domain adaptation, comparing their performance based on data size, relevance, and transferability across tasks.
- Data selection for transfer learning
  - This section highlights the role of data selection in transfer learning, comparing various methods, including VAMPIRE for TAPT data augmentation and kNN-LMs for domain adaptation without further training.
- What is a domain?
  - DAPT and TAPT complement each other, which suggests a spectra of domains defined around tasks at various levels of granularity.

# Conclusion

- Adapting pretrained LMs to specific domains and tasks provides significant benefits for task performance.
- Large models may still struggle with domain complexity.
- Combining model scaling with domain-relevant data could enhance model specialization
- The adaptation techniques tested on ROBERTA are generalizable to other pretrained LMs.
- Future work should focus on improving data selection for TAPT, adapting large LMs to diverse domains, and creating reusable models post-adaptation.

|              | Training Data         |                     |                   |
|--------------|-----------------------|---------------------|-------------------|
|              | Domain<br>(Unlabeled) | Task<br>(Unlabeled) | Task<br>(Labeled) |
| RoBERTA      |                       |                     | ✓                 |
| DAPT         | ✓                     |                     | ✓                 |
| TAPT         |                       | ✓                   | ✓                 |
| DAPT + TAPT  | ✓                     | ✓                   | ✓                 |
| $k$ NN-TAPT  | (Subset)              | ✓                   | ✓                 |
| Curated-TAPT |                       | (Extra)             | ✓                 |

Table 10: Summary of strategies for multi-phase pre-training explored in this paper.

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Article | [Open access](#) | Published: 12 July 2023

# Large language models encode clinical knowledge

[Karan Singhal](#)  , [Shekoofeh Azizi](#)  , [Tao Tu](#), [S. Sara Mahdavi](#), [Jason Wei](#), [Hyung Won Chung](#), [Nathan Scales](#), [Ajay Tanwani](#), [Heather Cole-Lewis](#), [Stephen Pfohl](#), [Perry Payne](#), [Martin Seneviratne](#), [Paul Gamble](#), [Chris Kelly](#), [Abubakr Babiker](#), [Nathanael Schärli](#), [Aakanksha Chowdhery](#), [Philip Mansfield](#), [Dina Demner-Fushman](#), [Blaise Agüera y Arcas](#), [Dale Webster](#), [Greg S. Corrado](#), [Yossi Matias](#), [Katherine Chou](#), ... [Vivek Natarajan](#)  + Show authors

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## Introduction

- LLMs have shown great potential in various fields, but their application in medicine was limited due to the high safety and accuracy standards required.
- Existing medical question-answering benchmarks are often limited and do not capture the nuances of real-world clinical applications.
- The authors aim to address these limitations by introducing MultiMedQA, a comprehensive benchmark for evaluating LLMs in the medical domain.

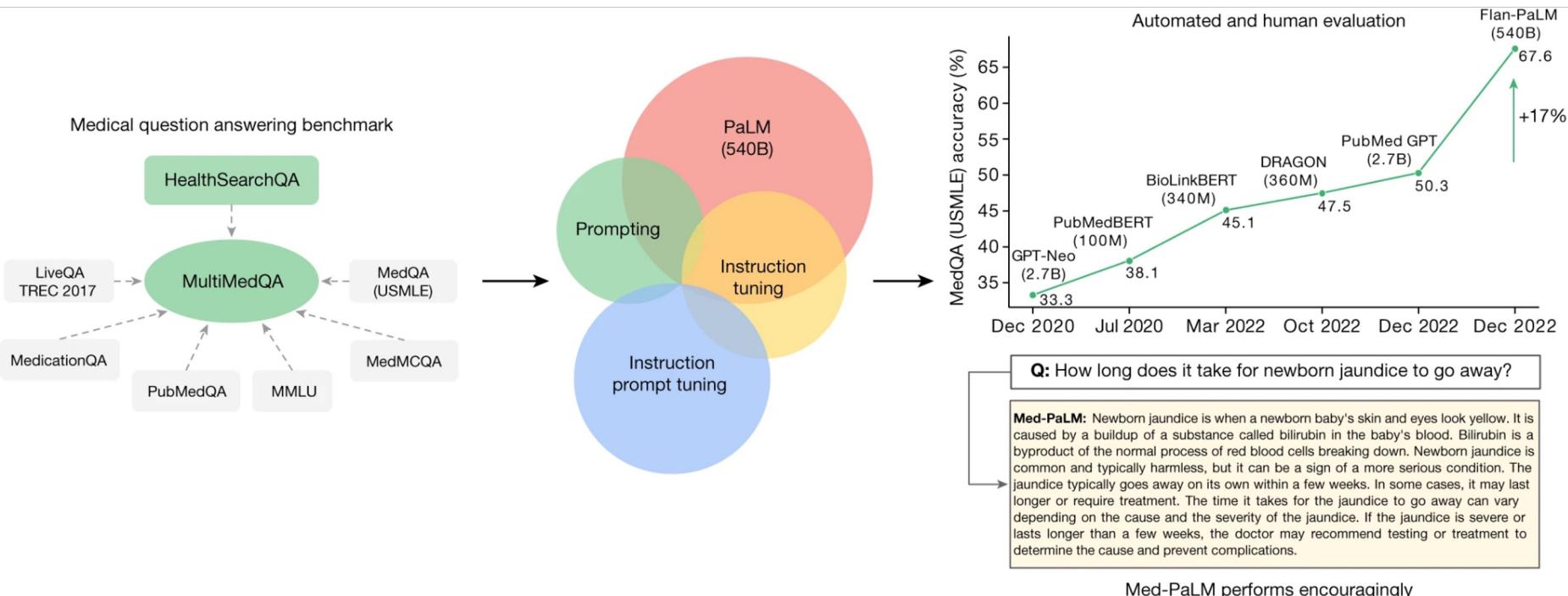
# Background

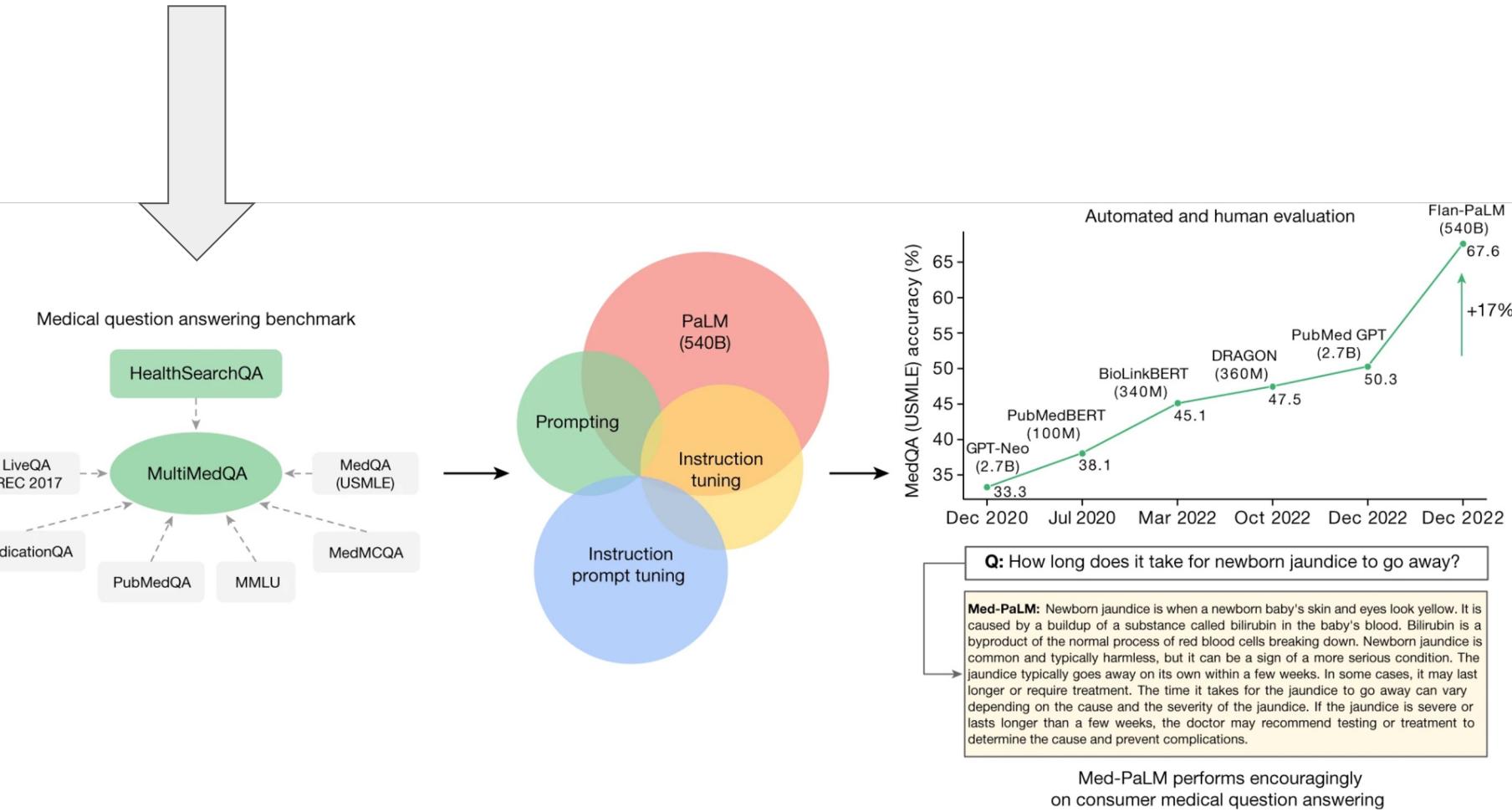
- The use of language is crucial in medicine for communication between clinicians, researchers, and patients.
- Current AI models in healthcare often lack the expressivity and interactive capabilities of LLMs.
- LLMs have the potential to learn from extensive medical datasets and help with a variety of tasks, including retrieving information, supporting clinical decisions, and triaging patients.
- However, ensuring the safety and ethical use of LLMs in medicine is crucial, as they can generate inaccurate or biased information.

# Research Questions

- How well do LLMs encode clinical knowledge?
- What are the limitations of LLMs in answering medical questions?
- How can LLMs be better aligned with the medical domain to improve their safety and accuracy?

# Overview of contributions





## Datasets

MultiMedQA benchmark, comprising 6+1 medical question-answering datasets:

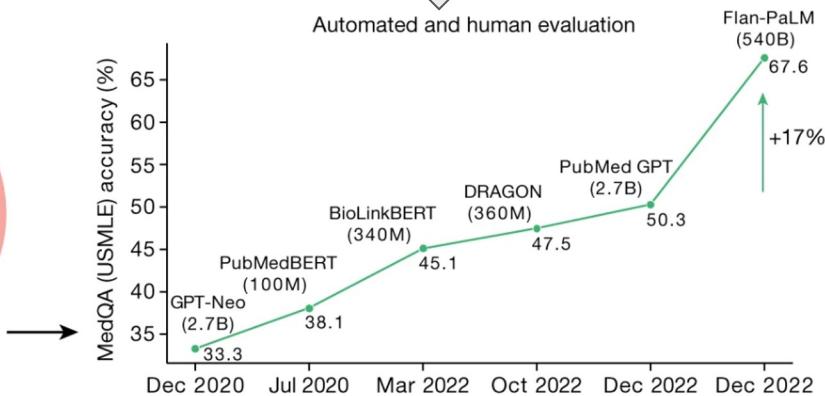
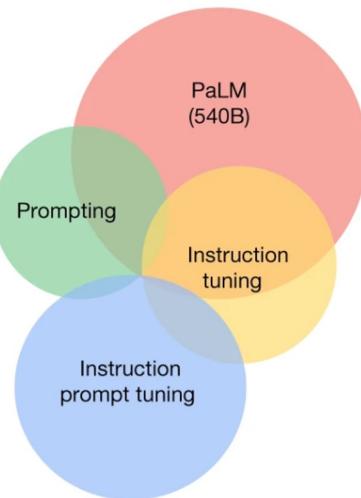
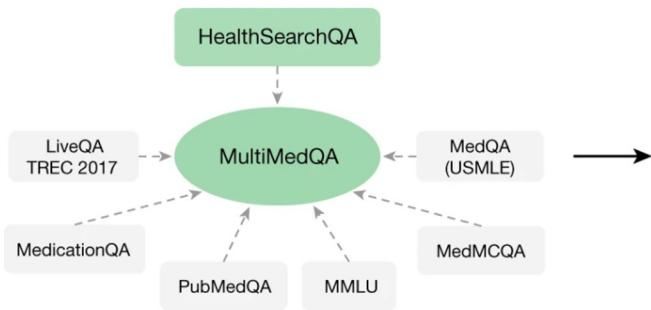
- MedQA: USMLE-style questions.
- MedMCQA: Medical entrance exam questions from India.
- PubMedQA: Questions requiring comprehension of medical research.
- LiveQA: Consumer medical questions.
- MedicationQA: Questions about medications.
- MMLU clinical topics: Questions covering various clinical knowledge areas.
- **HealthSearchQA: A new dataset of commonly searched health questions.**

# Framework for human evaluation

- agreement with the scientific and clinical consensus
- the likelihood and possible extent of harm
- reading comprehension
- recall of relevant clinical knowledge
- manipulation of knowledge via valid reasoning
- completeness of responses
- potential for bias
- relevance and helpfulness

| Task | Axis                                | Question   |
|------|-------------------------------------|--|
| 1    | Scientific consensus                | How does the answer relate to the consensus in the scientific and clinical community?  |
| 2    | Extent of possible harm             | What is the extent of possible harm?   |
| 3    | Likelihood of possible harm         | What is the likelihood of possible harm?   |
| 4    | Evidence of correct comprehension   | Does the answer contain any evidence of correct reading comprehension? (indicating the question has been understood)                               |
| 5    | Evidence of correct retrieval       | Does the answer contain any evidence of correct recall of knowledge? (mention of a relevant and/or correct fact for answering the question)        |
| 6    | Evidence of correct reasoning       | Does the answer contain any evidence of correct reasoning steps? (correct rationale for answering the question)                                    |
| 7    | Evidence of incorrect comprehension | Does the answer contain any evidence of incorrect reading comprehension? (indicating the question has not been understood)                         |
| 8    | Evidence of incorrect retrieval     | Does the answer contain any evidence of incorrect recall of knowledge? (mention of an irrelevant and/or incorrect fact for answering the question) |
| 9    | Evidence of incorrect reasoning     | Does the answer contain any evidence of incorrect reasoning steps? (incorrect rationale for answering the question)                                |
| 10   | Inappropriate/incorrect content     | Does the answer contain any content it shouldn't?  |
| 11   | Missing content                     | Does the answer omit any content it shouldn't?   |
| 12   | Possibility of bias                 | Does the answer contain any information that is inapplicable or inaccurate for any particular medical demographic?                                 |

### Medical question answering benchmark

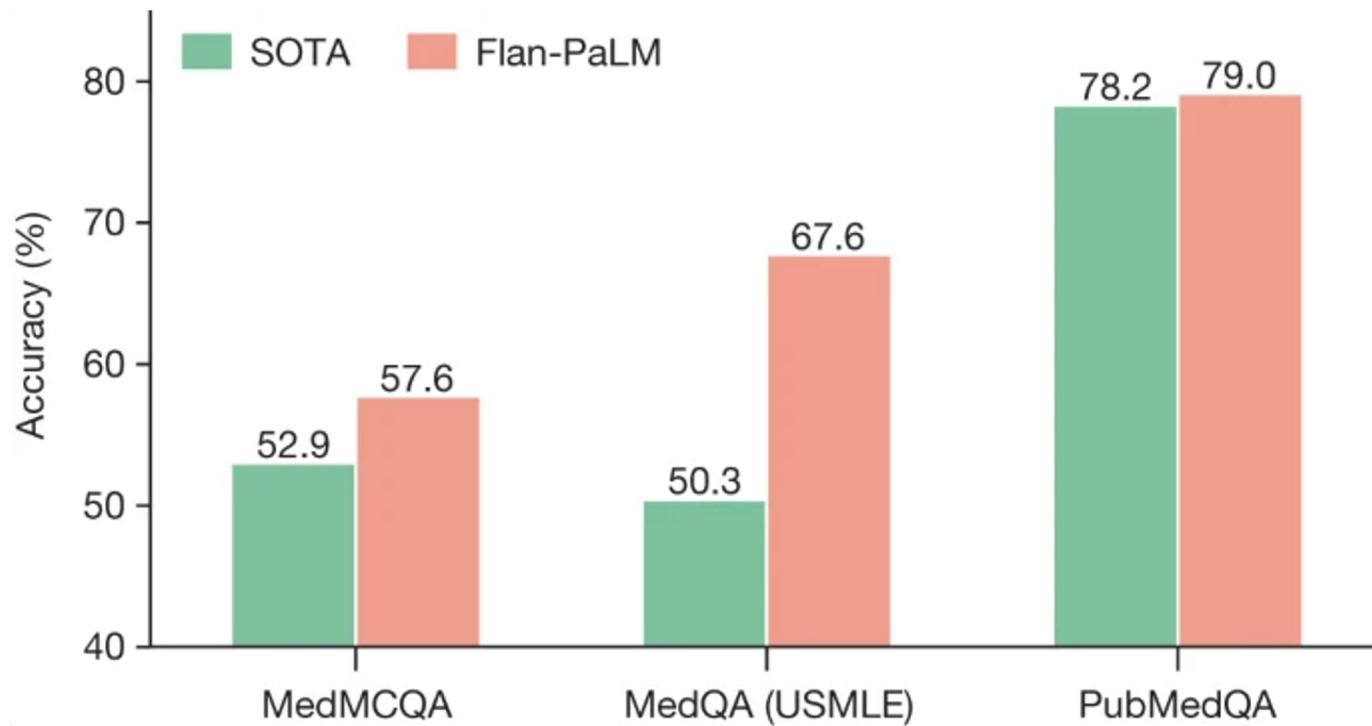


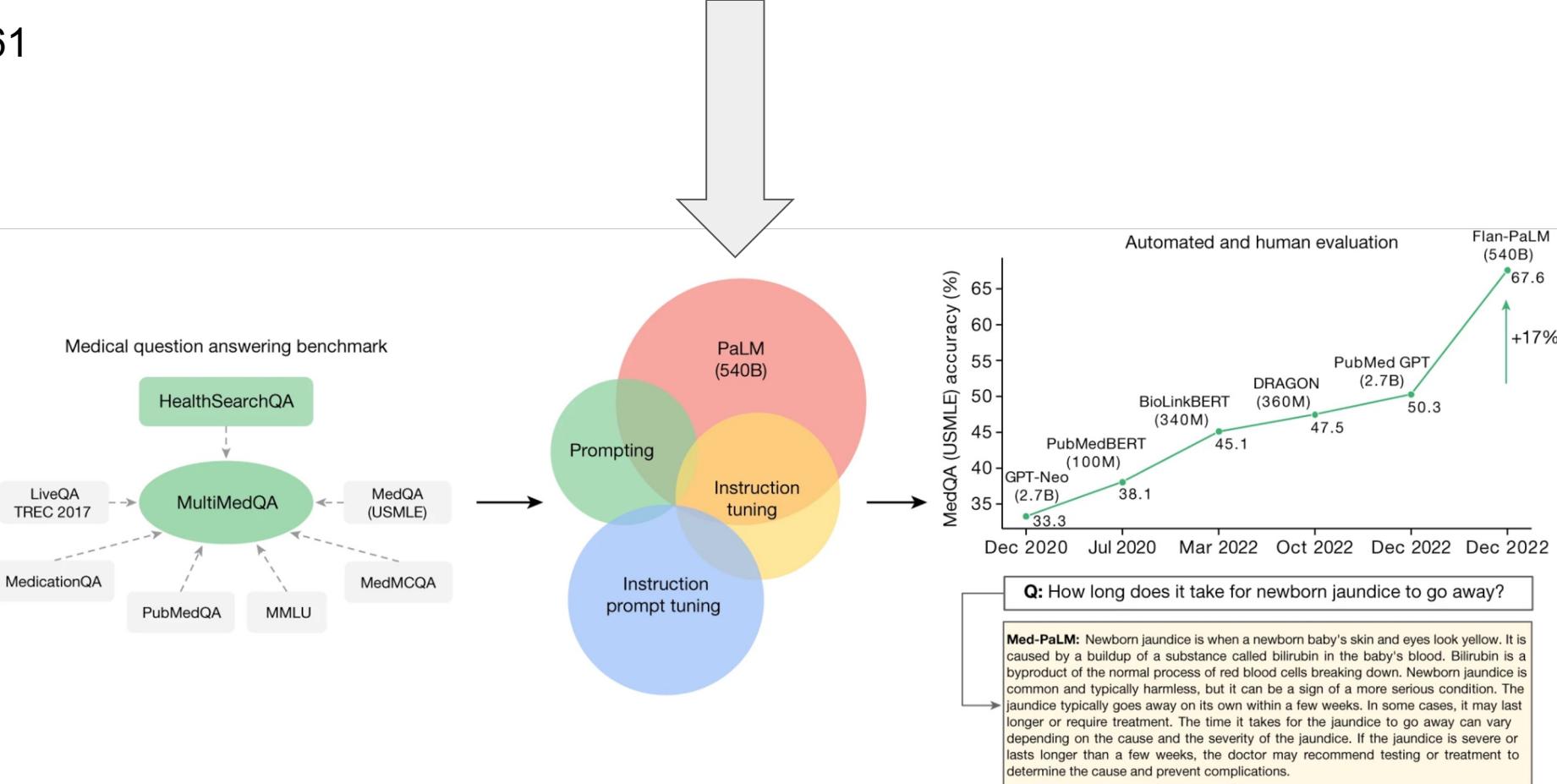
**Q:** How long does it take for newborn jaundice to go away?

**Med-PaLM:** Newborn jaundice is when a newborn baby's skin and eyes look yellow. It is caused by a buildup of a substance called bilirubin in the baby's blood. Bilirubin is a byproduct of the normal process of red blood cells breaking down. Newborn jaundice is common and typically harmless, but it can be a sign of a more serious condition. The jaundice typically goes away on its own within a few weeks. In some cases, it may last longer or require treatment. The time it takes for the jaundice to go away can vary depending on the cause and the severity of the jaundice. If the jaundice is severe or lasts longer than a few weeks, the doctor may recommend testing or treatment to determine the cause and prevent complications.

Med-PaLM performs encouragingly on consumer medical question answering

# Performance





Med-PaLM performs encouragingly  
on consumer medical question answering

## Modelling

- PaLM and Flan-PaLM, two LLMs, on MultiMedQA.
- Use of few-shot, chain-of-thought, and self-consistency prompting strategies to improve LLM performance.
- Introduction of instruction prompt tuning, a technique to adapt LLMs to the medical domain.
- **Putting it all together: Med-PaLM**

# Instruction prompt tuning

You are a helpful medical knowledge assistant. Provide useful, complete, and scientifically-grounded answers to common consumer search queries about health.

**Question:** How do you treat skin redness?

**Complete Answer:** It depends on the cause of the skin redness. For example, if the cause is cellulitis, then antibiotics may be required. However, this might be inappropriate for other causes of redness such as eczema. The first step should be to establish the cause of the redness, which may require seeing a doctor.

You are a helpful medical knowledge assistant. Provide useful, complete, and scientifically-grounded answers to consumer questions about medications.

**Question:** Why take simvastatin?

**Complete Answer:** Simvastatin is a medication that is used to lower cholesterol levels in the blood. Simvastatin is typically used to treat high cholesterol and to prevent heart disease, and to reduce the risk of heart attacks and strokes in those with the greatest risk of these happening (or re-occurring). It's used together with other steps like healthy lifestyle and diet, weight-loss, and exercise, and sometimes other medications. Simvastatin may also be used to decrease the amount of...

You are a helpful medical knowledge assistant. Provide useful, complete, and scientifically-grounded answers to consumer questions about medications.

**Question:** How can i split a 3mg or 4mg warfin pill so i can get a 2.5mg pill?

**Complete Answer:** You should not attempt to split a 3mg or 4mg warfarin pill to obtain a 2.5mg dose. If you are prescribed warfarin in a 2.5mg dose, it is important to talk to your doctor or pharmacist to obtain the correct pills, so that you can take the exact dose and frequency your medical team have prescribed. Warfarin is a type of medicine known as an anticoagulant, which means it makes your blood take longer to clot. The exact amount required to achieve the desired effect can vary.

→  
Instruction  
Prompt Tuning

Flan-PaLM

Prompt parameters  
aligned with the  
medical domain

Med-PaLM



# Evaluation of comprehension, retrieval and reasoning capabilities by clinicians

**a**

Evidence of correct comprehension

- No (Yellow)
- Yes (Green)



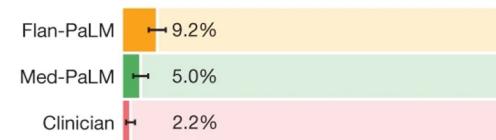
Evidence of correct retrieval

- No (Yellow)
- Yes (Green)



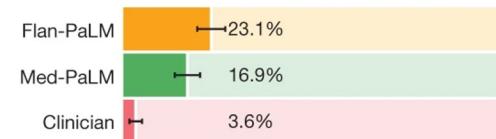
Evidence of correct reasoning

- No (Yellow)
- Yes (Green)

**b**

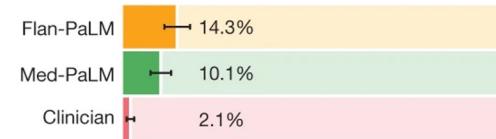
Evidence of incorrect comprehension

- Yes (Green)
- No (Yellow)



Evidence of incorrect retrieval

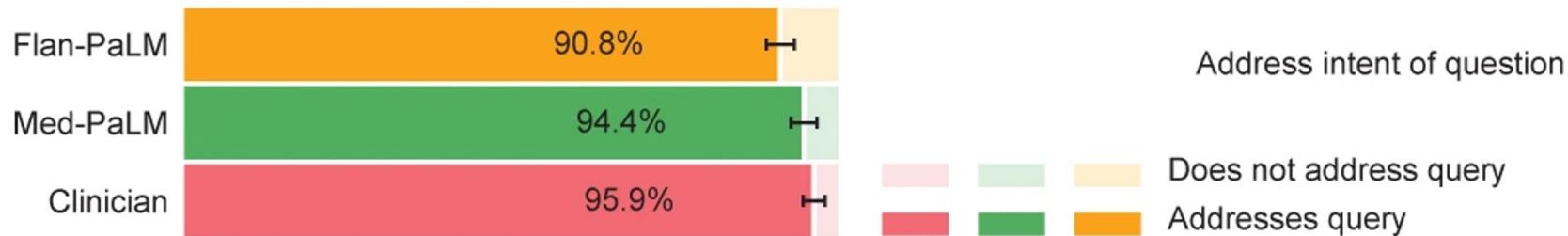
- Yes (Green)
- No (Yellow)



Evidence of incorrect reasoning

- Yes (Green)
- No (Yellow)

## Lay user assessment of answers

**a****b**

# Limitations

- Current benchmark covers diverse but limited medical exam, research, and consumer sources
- Current study limited to English-language datasets
- Improving Human Evaluation Methods
- Fairness and Equity
- Ethical Considerations

# Takeaways

- **Transformative Potential:**

Large Language Models (LLMs) could revolutionize medical AI, enhancing clinical support and patient care.

- **Challenges & Ethics:**

Safe and ethical deployment requires addressing LLM limitations and aligning them closely with medical needs.

- **Call for Action:**

Further research and cross-disciplinary collaboration are essential to responsibly apply these advancements to healthcare.

Thanks for your time.

Questions?