

# A theory of stream queries

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# Motivation

- research on querying streaming data
- main focus: query language, query processor
- general theory of stream queries not yet well developed

# Outline

- 1 General theory
  - Abstract computability
  - Continuity
  - The finite case
  - Time synchronization
- 2 streaming ASMs
  - Universality of streaming ASMs
  - Bounded-memory restrictions

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# What is a stream (query)?

## universe of data elements $\mathbb{U}$

- predicates and functions
- structure (logic)

## stream

- = a (possibly infinite) sequence of data elements from  $\mathbb{U}$
- *Stream* = set of all streams
- *finStream* = set of all finite streams

## stream query

= a function  $Stream \rightarrow Stream$

# What is a stream (query)?

## example: unreasonable stream query (CHECK)

- Input: stream  $\mathbf{s}$  over  $\{a, b\}$
- Output:

$$\text{CHECK}(\mathbf{s}) = \begin{cases} () & \text{if } a \in \mathbf{s} \\ b & \text{otherwise} \end{cases}$$

## example: reasonable stream query (filter $\pi_A \sigma_{B > 10} \mathbf{s}$ )

- Input: stream  $\mathbf{s}$  of tuples with attributes  $A$  and  $B$
- Output: stream of  $A$ -values of tuples in  $\mathbf{s}$  with  $B$ -value higher than 10.

# What is a computable stream query?

## *Repeat(K)*

Let  $K: \text{finStream} \rightarrow \text{finStream}$ . Define  $\text{Repeat}(K): \text{Stream} \rightarrow \text{Stream}$  as follows

$$\mathbf{s} \mapsto \bigodot_{k=0}^{\text{size}(\mathbf{s})} K(\mathbf{s}^{\leq k})$$

## Definition

$Q$  is **abstract computable** if  $Q = \text{Repeat}(K)$  for some  $K$ .  $K$  is called a **kernel** for  $Q$ .

example: kernel for filter  $\pi_A \sigma_{B>10} \mathbf{s}$

$$K(s_1 \dots s_k) = \begin{cases} s_k.A & \text{if } s_k.B > 10, \\ () & \text{otherwise} \end{cases}$$

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## *Repeat(K)*

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$$\mathbf{s} \mapsto \bigodot_{k=0}^{\text{size}(\mathbf{s})} K(\mathbf{s}^{\leq k})$$

## Definition

$\mathcal{Q}$  is **abstract computable** if  $\mathcal{Q} = \text{Repeat}(K)$  for some  $K$ .  $K$  is called a **kernel** for  $\mathcal{Q}$ .

## remarks

- result on infinite input stream can be finite
- result on finite input stream must be finite



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# What is a continuous stream query?

## recall

A real function  $f: \mathbb{R} \rightarrow \mathbb{R}$  is called continuous if for all  $x \in \mathbb{R}$ , for every neighborhood around  $f(x)$ , there exists a neighborhood around  $x$  that is completely mapped into the neighborhood of  $f(x)$ .

## Definition

An **open ball** is a set of the form

$$\mathbf{B}(\mathbf{p}) := \{\mathbf{s} \in \text{Stream} \mid \mathbf{p} \text{ is a prefix of } \mathbf{s}\},$$

for some  $\mathbf{p} \in \text{finStream}$ . Elements are called **continuations** of  $\mathbf{p}$ .

## Definition

$Q: \text{Stream} \rightarrow \text{Stream}$  is **continuous** if for all streams  $\mathbf{s}$ , and all open balls  $\mathbf{B}(\mathbf{q})$  with  $\mathbf{q}$  a prefix of  $Q(\mathbf{s})$ , there exists a prefix  $\mathbf{p}$  of  $\mathbf{s}$  such that  $Q(\mathbf{B}(\mathbf{p})) \subseteq \mathbf{B}(\mathbf{q})$ .

# Computability and continuity

## Theorem

*Let  $\mathcal{Q}$  be a stream query mapping finite inputs to finite outputs. Then  $\mathcal{Q}$  is abstract computable if and only if  $\mathcal{Q}$  is continuous.*

## application

- difference is not abstract computable
  - ▶ Input: interleaving of two streams  $\mathbf{r}$  and  $\mathbf{s}$
  - ▶ Output: all elements in  $\mathbf{r}$  that do not occur in  $\mathbf{s}$
- CHECK is not abstract computable

## remark

Qualification that  $\mathcal{Q}$  maps finite inputs to finite outputs is important.

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# Computability and continuity of finite stream queries

considering only finite streams ...

- finite stream query = a function  $finStream \rightarrow finStream$
- open balls are **finite** continuations of finite streams

## Theorem

*A finite stream query is abstract computable if and only if it is continuous.*

# Continuity and monotonicity

A finite stream query  $Q$  is called **monotonic** if for all finite streams  $\mathbf{s}$  and  $\mathbf{s}'$  with  $\mathbf{s} \sqsubseteq \mathbf{s}'$  we have  $Q(\mathbf{s}) \sqsubseteq Q(\mathbf{s}')$

## Theorem

*A finite stream query is continuous if and only if it is monotonic.*

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# Synchronous abstract computability

abstract computability does not imply synchrony

example: filter query

$\mathbf{s} =$     3    5    12    14    7    8    ...

$Q(\mathbf{s}) =$  12   14   ...



# Synchronous abstract computability

abstract computability does not imply synchrony

example: filter query

$\mathbf{s} =$	3	5	12	14	7	8	...
$Q(\mathbf{s}) =$	12	14	...				

$K(3) = K(3 \ 5) = ()$

# Synchronous abstract computability

abstract computability does not imply synchrony

example: filter query

$$\begin{array}{lcl} \mathbf{s} = & 3 & 5 \quad 12 \quad 14 \quad 7 \quad 8 \quad \dots \\ Q(\mathbf{s}) = & 12 & 14 \quad \dots \end{array} \quad K(3) = K(3 \quad 5) = ()$$

## Definition

$Q$  is **synchronous abstract computable (SAC)** if  $Q = \text{Repeat}(K)$  for some  $K$  such that  $K(()) = ()$  and every other  $K(\mathbf{s})$  is of length one.

example: SAC filter query

$$\begin{array}{lcl} \mathbf{s} = & 3 & 5 \quad 12 \quad 14 \quad 7 \quad 8 \quad \dots \\ Q'(\mathbf{s}) = & N & N \quad 12 \quad 14 \quad N \quad N \quad \dots \end{array} \quad K'(3) = K'(3 \quad 5) = N$$

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# Complexity limitations

- kernel function not restricted
- possible restrictions:
  - ▶ computable by TM
  - ▶ belonging to a certain complexity class
- computable by streaming ASM

# Background on Abstract State Machines

- Transition system with structures as states
- interpretations of function and relation symbols change;  
dynamic/static vocabulary
- program = update rule
  - ▶ basic update rule  $f(t_1, \dots, t_n) := t_0$
  - ▶ if-then-else
  - ▶ parallel application of update rules

Gurevich has shown that every sequential algorithm can be modelled as an ASM.

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# Streaming ASM

example: sASM for filter query  $\pi_A \sigma_{B>10} \mathbf{S}$

```
if  $in.B > 10$  then  
   $out = in.A$   
else  
   $out = \perp$   
endif
```

## Theorem (Universality)

*If  $\mathcal{Q}$  is abstract computable by a bounded-length kernel, then  $\mathcal{Q}$  is computable by an sASM.*

## Corollary

*Every SAC query is computable by an sASM.*

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# Bounded-memory computability

## Definition

A **bounded-memory sASM (bm sASM)** is an sASM where

- dynamic functions are nullary;
- non-nullary (static) functions only in  $out := t_0$  rules;
- $out$  is not used as an argument to a function.

All CQL-queries where a finite window is applied to the input streams are computable by a bounded-memory sASM.

[ CQL = Continuous Query Language [Arasu, Babu, Widom] ]

# INTERSECT not bounded-memory computable

## INTERSECT (boolean, synchronous version)

- Input: two interleaved streams  $\mathbf{r}$  and  $\mathbf{s}$
- Output: do the portions of  $\mathbf{r}$  and  $\mathbf{s}$ , seen so far, intersect?

## Theorem

INTERSECT *is not computable by a bm sASM.*

## Proof.

Let  $M$  compute INTERSECT. Assume total order  $<$  on elements.  
Ramsey: there is set of elements  $V$  s.t. truth of predicates in  $M$ 's program only depends on  $<$ .

Choose  $e_1 < e'_1 < \dots < e_n < e'_n$  in  $V$ .

Run of  $M$  on  $\langle \mathbf{r}: e_1 \rangle \dots \langle \mathbf{r}: e_n \rangle \langle \mathbf{s}: e_\ell \rangle$  same as run on  $\langle \mathbf{r}: e_1 \rangle \dots \langle \mathbf{r}: e_n \rangle \langle \mathbf{s}: e'_\ell \rangle$ .



# bounded-memory sASM: too restrictive

## running average

- intuitively computable with little amount of memory
- can not be modeled by bounded-memory sASM

## $o(n)$ -sASM

- relax condition on non-nullary (static) functions
- $o(n)$ -sASM can compute running average (under reasonable assumption)
- $o(n)$ -sASM cannot compute INTERSECT (reduction from theorem on Finite Cursor Machines)

# Open problem

- relax bounded-memory sASMs in other ways than with  $o(n)$ -length bitstrings.