

Software Requirements Specification for a Movie Recommender System

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Revision History

Date	Version	Notes
2024-02-05	1.0	Initial draft

This template is intended for use by CAS 741. For CAS 741 the template should be used exactly as given, except the Reflection Appendix can be deleted. For the capstone course it is a source of ideas, but shouldn't be followed exactly. The exception is the reflection appendix. All capstone SRS documents should have a reflection appendix.

1 Reference Material

This section records information for easy reference.

1.1 Abbreviations and Acronyms

symbol	description
A	Assumption
DD	Data Definition
GD	General Definition
GS	Goal Statement
IM	Instance Model
LC	Likely Change
PS	Physical System Description
R	Requirement
SRS	Software Requirements Specification
TM	Theoretical Model
KNN	K-nearest neighbor algorithm
ANN	Approximate nearest neighbor algorithm

2 Introduction

Most internet products we use today are powered by recommender systems. YouTube, Netflix, Amazon, Pinterest, and a long list of other internet products all rely on recommender systems to filter millions of contents and make personalized recommendations to their users. In this project, we will start from scratch and walk through the process of how to prototype a minimum-viable movie recommender using the Collaborative filtering method.

The dataset that I'm working with is MovieLens, one of the most common datasets that is available on the internet for building a Recommender System. The version of the dataset that I'm working with (1M) contains 1,000,209 anonymous ratings of approximately 3,900 movies made by 6,040 MovieLens users who joined MovieLens in 2000. ratings are in range 1 to 5. (5 for the best rating)

2.1 Purpose of Document

This document serves as a crucial foundation for our software development project. Its primary purpose is to provide a detailed and unambiguous description of what the movie recommender is expected to accomplish, outlining the requirements that need to be met for successful development. This document is typically created during the early stages of the software development life cycle and acts as a reference for all stakeholders involved in the project, including developers, testers, project managers, and users.

2.2 Scope of Requirements

The purpose of a recommender system is to suggest relevant items to users. To achieve this task, there exist two major categories of methods: collaborative filtering methods and content-based methods. This project will specifically employ a collaborative method, while other methodologies are explored for readers with a keen interest in the topic.

In the collaborative filtering method, we don't use additional information about users like age, gender, job, etc., and/or items(movies) like genre, and movie length in this project.

2.3 Characteristics of Intended Reader

Readers of this document should have proficiency in general mathematics (university level). familiarity with KNN(K-nearest neighbor) method is recommended.

2.4 Organization of Document

The document initiates with an overview of itself and recommender systems, encompassing (a) the purpose of the recommender system, (b) the project's scope, and (c) the intended reader's characteristics. Following this introduction, subsequent sections delve into the system with progressively detailed explanations. These sections include:

- **General System Description:** Basic details regarding the system are provided, outlining the interfaces connecting the system with its environment, delineating user characteristics, and enumerating system constraints.
- **Specific System Description:** In this section, the problem description is initially presented, offering a broad overview of the issue to be addressed. Following this, the solution characteristics specification is outlined, encompassing assumptions, theories, definitions, and ultimately the instance models.
- **Requirements:** In this section, the functional requirements, which outline the business tasks that the software must accomplish, are detailed alongside the nonfunctional requirements, which specify the desired qualities the software should demonstrate.
- **Likely changes:** This section outlines expected alterations to requirements, serving as guidance for design and implementation decisions.
- **Unlikely Changes:** This section outlines expected alterations to requirements, serving as guidance for design and implementation decisions.

3 General System Description

3.1 System Context

Our movie recommender system utilizes a collaborative filtering method. Collaborative methods for recommender systems are methods that are based solely on the past interactions recorded between users and items to produce new recommendations. These interactions are stored in the so-called “user-item interactions matrix”. The rows in the matrix represent users indices and the columns represent items indices (movies, in our case). So the entry ij of the matrix represents the rating of the user i for the movie j (an integer number between 1 to 5). The main idea that rules collaborative methods is that these past user-item interactions are sufficient to produce good enough recommendations. After receiving a specified user of interest (target user index) for whom we want to make recommendations, the ANN or KNN method algorithm is executed to generate the top recommendations. Figure 1 illustrates a concise diagram of this:

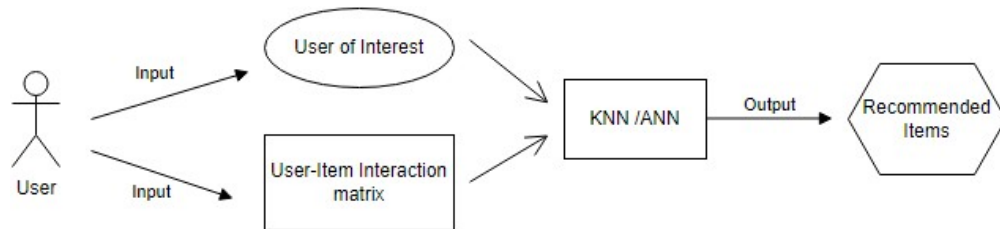


Figure 1: System context

There are 2 main types of collaborative filtering algorithms: 1)User-user method and 2)Item-

item method. The main characteristics of user-user and item-item approaches is that they use only information from the user-item interaction matrix and they assume no model to produce new recommendations.

- **User-user:** To generate a new recommendation for a user, the user-user method employs a strategy that broadly seeks out users sharing the most similar "interaction profiles" (nearest neighbors). This method then suggests items that are highly favored among these identified neighbors and are also "new" to our target user. This approach is deemed "user-centered" as it characterizes users based on their interactions with items and calculates distances between them accordingly.

To illustrate, suppose we aim to provide a recommendation for a specific user. Initially, each user's interactions with various items are represented by a vector (akin to "its row" in the interaction matrix). Subsequently, a measure of "similarity" is computed between our target user and all other users. This similarity metric is designed so that users exhibiting similar interactions with the same items are regarded as close. After computing similarities with every user, the algorithm retains the k-nearest-neighbors to our target user and proceeds to suggest the most popular items among this group (while considering only those items not yet interacted with by our reference user).

- **Item-item:** To provide a fresh recommendation to a user, the item-item method operates by identifying items akin to those the user has already interacted with positively. This similarity is gauged by assessing whether users who engaged with both items did so in a comparable manner. Termed "item-centered," this method characterizes items based on user interactions and evaluates the distances between them accordingly.

Suppose we aim to furnish a recommendation for a particular user. Initially, we pinpoint the item most favored by this user and represent it (like all other items) using its interaction vector across all users ("its column" in the interaction matrix). Subsequently, we compute similarities between this "best item" and all other items. Following this computation, we retain the k-nearest-neighbors to the selected "best item" that are novel to our user of interest and propose these items.

It's worth noting that to enhance the relevance of recommendations, we can extend this process beyond the user's favorite item and consider the n preferred items instead. In such cases, we can suggest items closely associated with several of these favored items.

- **User Responsibilities:**
 - Provide correctly typed inputs: A user index within the range of users and a User-Item interaction matrix with integer entries in the range 1 to 5.
- **Movie Recommender Responsibilities:**
 - Detect and report input data type mismatch

- Identify and report input constraint violations
- Produce recommendations as output

3.2 User Characteristics

The the end user of the recommender system is only expected to provide the index of the target user (to whom the user wants to make movie recommendations). Hence there is no scientific requirement for the user.

4 Specific System Description

4.1 Problem Description

This project intends to build a recommender system based on the past recordings of users and items.

4.1.1 Terminology and Definitions

The following may be used in the subsequent sections:

- K-Nearest Neighbor(KNN): is a non-parametric, supervised learning classifier, which uses proximity to make classifications or predictions about the grouping of an individual data point
- Approximate Nearest Neighbor(ANN): is a technique used in data mining and machine learning for efficiently finding approximate matches or nearest neighbors in high-dimensional spaces.

4.1.2 Goal Statements

There are 2 main types of collaborative filtering algorithms: 1)User-user method and 2)Item-item method

- GS1: implementing user-user-based movie recommender using (KNN and/or ANN) and reporting the evaluation metrics
- GS2: implementing item-item-based movie recommender using (KNN and/or ANN) and reporting the evaluation metrics

4.2 Solution Characteristics Specification

4.2.1 Assumptions

- A1: we have no access to personal information of the users or characteristics of the items: all we have is a user-item interaction matrix

- A2: Ratings in our user-item interaction matrix are explicit (users choose a rating from 1 to 5 and enter it as feedback). But in many applications ratings are implicit.

4.2.2 General Definitions

This section collects the laws and equations that will be used in building the instance models.

Number	GD1
Label	User-Item interaction matrix
Description	Collaborative methods for recommender systems are methods that are based solely on the past interactions recorded between users and items to produce new recommendations. These interactions are stored in the so-called “user-item interactions matrix”. The rows in the matrix represent users indices and the columns represent items indices (movies, in our case). So the entry ij of the matrix represents the rating of the user i for the movie j (an integer number between 1 to 5)
Source	TowardsDataScience/UserItemInteractionMatrix

Number	GD2
Label	Target User (or User of Interest)
Description	Someone whom the movie recommender is to make movie recommendations for. The target user’s index number must already be in the user-item interaction matrix

5 Requirements

This section provides the functional requirements, the business tasks that the software is expected to complete, and the nonfunctional requirements, the qualities that the software is expected to exhibit.

5.1 Functional Requirements

- R1: input values are accurately typed and within the specified constraints. (index of the target user must be in the range of user indices)

- R2: The system shall calculate users close to the target user in terms of vector similarities using KNN.
- R3: The system shall calculate popular items among close users and provide it as a list of recommended movies.

5.2 Nonfunctional Requirements

NFR1: **Accuracy** The level of accuracy for Movie Recommender will be specified in Verification and Validation Plan.

6 Likely Changes

LC1: Maybe, I use some additional information about users and/or movies

7 Unlikely Changes

None

8 Traceability Matrices and Graphs

The purpose of the traceability matrices is to provide easy references on what has to be additionally modified if a certain component is changed. Every time a component is changed, the items in the column of that component that are marked with an “X” may have to be modified as well. Table 1 shows the dependencies of theoretical models, general definitions, data definitions, and instance models with each other. Table 2 shows the dependencies of instance models, requirements, and data constraints on each other. Table 3 shows the dependencies of theoretical models, general definitions, data definitions, instance models, and likely changes on the assumptions.

The purpose of the traceability graphs is also to provide easy references on what has to be additionally modified if a certain component is changed. The arrows in the graphs represent dependencies. The component at the tail of an arrow is depended on by the component at the head of that arrow. Therefore, if a component is changed, the components that it points to should also be changed. Figure ?? shows the dependencies of theoretical models, general definitions, data definitions, instance models, likely changes, and assumptions on each other. Figure ?? shows the dependencies of instance models, requirements, and data constraints on each other.

	TM??	TM??	TM??	GD ²	GD??	DD??	DD??	DD??	DD??	IM??	IM??	IM??
TM??												
TM??			X									
TM??												
GD ²												
GD??	X											
DD??				X								
DD??				X								
DD??												
DD??								X				
IM??					X	X	X				X	
IM??					X		X		X	X		
IM??		X										
IM??		X	X				X	X	X		X	

Table 1: Traceability Matrix Showing the Connections Between Items of Different Sections

	IM??	IM??	IM??	IM??	??	R??	R??
IM??		X				X	X
IM??	X			X		X	X
IM??						X	X
IM??		X				X	X
R??							
R??						X	
R??					X		
R ³	X	X				X	X
R??	X						
R??		X					
R??			X				
R??				X			
R??			X	X			
R??		X					
R??		X					

Table 2: Traceability Matrix Showing the Connections Between Requirements and Instance Models

	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??	A??
TM??	X																		
TM??																			
TM??																			
GD ²		X																	
GD??			X	X	X	X													
DD??							X	X	X										
DD??			X	X						X									
DD??																			
DD??																			
IM??											X	X		X	X	X			X
IM??												X	X			X	X	X	
IM??														X					X
IM??													X					X	
LC??				X															
LC??								X											
LC??									X										
LC??											X								
LC??												X							
LC??															X				

Table 3: Traceability Matrix Showing the Connections Between Assumptions and Other Items