# Software Requirements Specification for : subtitle describing software

February 7, 2024

# Contents

# Revision History

| Date | Version | Notes |
|---|---|---|
| 2024-02-05 | 1.0 | Initial draft |

This template is intended for use by CAS 741. For CAS 741 the template should be used exactly as given, except the Reflection Appendix can be deleted. For the capstone course it is a source of ideas, but shouldn't be followed exactly. The exception is the reflection appendix. All capstone SRS documents should have a refelection appendix.

# 1 Reference Material

This section records information for easy reference.

## 1.1 Abbreviations and Acronyms

| symbol | description |
| --- | --- |
| A | Assumption |
| DD | Data Definition |
| GD | General Definition |
| GS | Goal Statement |
| IM | Instance Model |
| LC | Likely Change |
| PS | Physical System Description |
| R | Requirement |
| SRS | Software Requirements Specification |
| | put an expanded version of your program name here (as appropriate) |
| TM | Theoretical Model |

# 2 Introduction

Most internet products we use today are powered by recommender systems. YouTube, Netflix, Amazon, Pinterest, and a long list of other internet products all rely on recommender systems to filter millions of contents and make personalized recommendations to their users. Everyone can make his recommender system. It only takes some basic machine-learning techniques and implementations in Python. In this project, we will start from scratch and walk through the process of how to prototype a minimum-viable movie recommender using the KNN(K-nearest neighbor) and ANN(approximate nearest neighbor) algorithms.

## 2.1 Purpose of Document

This document serves as a crucial foundation for our software development project. Its primary purpose is to provide a detailed and unambiguous description of what the software system is expected to accomplish, outlining the requirements that need to be met for successful development. This document is typically created during the early stages of the software development life cycle and acts as a reference for all stakeholders involved in the project, including developers, testers, project managers, and users.

## 2.2 Scope of Requirements

The purpose of a recommender system is to suggest relevant items to users. To achieve this task, there exist two major categories of methods: collaborative filtering methods and content-based methods. This project will specifically employ a collaborative method, while other methodologies are explored for readers with a keen interest in the topic.

Collaborative methods for recommender systems are methods that are based solely on the past interactions recorded between users and items to produce new recommendations. These interactions are stored in the so-called "user-item interactions matrix". The main idea that rules collaborative methods is that these past user-item interactions are sufficient to produce good enough recommendations. Hence, we don't use additional information about users and/or items in this project.

## 2.3 Characteristics of Intended Reader

Readers of this document should have proficiency in general mathematics (university level).

## 2.4 Organization of Document

The document initiates with an overview of itself and recommender systems, encompassing (a) the purpose of the recommender system, (b) the project's scope, and (c) the intended reader's characteristics. Following this introduction, subsequent sections delve into the system with progressively detailed explanations. These sections include:

- **General System Description**: Basic details regarding the system are provided, outlining the interfaces connecting the system with its environment, delineating user characteristics, and enumerating system constraints.
- **Specific System Description**: In this section, the problem description is initially presented, offering a broad overview of the issue to be addressed. Following this, the solution characteristics specification is outlined, encompassing assumptions, theories, definitions, and ultimately the instance models.
- **Requirements**: In this section, the functional requirements, which outline the business tasks that the software must accomplish, are detailed alongside the nonfunctional requirements, which specify the desired qualities the software should demonstrate.
- **Likely changes**: This section outlines expected alterations to requirements, serving as guidance for design and implementation decisions.
- **Unlikely Changes**: This section outlines expected alterations to requirements, serving as guidance for design and implementation decisions.

# 3 General System Description

## 3.1 System Context

Our recommender system utilizes the user-item interaction matrix, which consists of past records detailing how users have interacted with items, along with a specified user of interest for whom recommendations are desired. Subsequently, the ANN method algorithm is executed to generate the top recommendations. Figure 1 illustrates a concise diagram of this:

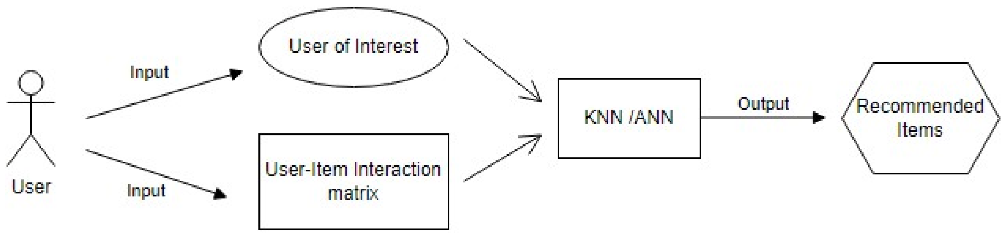


Figure 1: System context

- User Responsibilities:
  - Provide correctly typed inputs
- Responsibilities:
  - Detect and report input data type mismatch
  - Identify and report instances of input constraint violations
  - Produce recommendations as output

## 3.2 User Characteristics

The the end user of the recommender system is only expected to provide the user of interest index number. Hence there is no scientific requirement for the user.

# 4 Specific System Description

## 4.1 Problem Description

This project intends to build a recommender system based on the past recordings of users and items.

### 4.1.1 Terminology and Definitions

the following may be used in the subsequent sections:

- Collaborative methods for recommender systems: are methods that are based solely on the past interactions recorded between users and items
- K-Nearest Neighbor(KNN): It's a simple and widely used algorithm in supervised learning for classification
- Approximate Nearest Neighbor(ANN): is a technique used in data mining and machine learning for efficiently finding approximate matches or nearest neighbors in high-dimensional spaces.

### 4.1.2 Goal Statements

Collaborative filtering is used for recommender system we want to build. Collaborative filtering is based solely on the past interactions recorded between users and items to produce new recommendations. These interactions are stored in the so-called "user-item interactions matrix".Two major subcategories of collaborative filterings are user-user-based recommendations and item-item-based recommendations (which will be discussed in subsequent sections). Given the inputs, the goal statements are:

- GS1: implementing user-user-based movie recommender using (KNN and/or ANN) and reporting the evaluation metrics
- GS2: implementing item-item-based movie recommender using (KNN and/or ANN) and reporting the evaluation metrics

## 4.2 Solution Characteristics Specification

### 4.2.1 Assumptions

- A1: we have no access to personal information of the users or characteristics of the items: all we have is a user-item interaction matrix
- A2: Ratings in our user-item interaction matrix are explicit (users choose a rating from 1 to 5 and enter it as feedback). But in many applications ratings are implicit.

### 4.2.2 Theoretical Models

The main characteristics of user-user and item-item approaches it that they use only information from the user-item interaction matrix and they assume no model to produce new recommendations.

- **User-user**: To generate a new recommendation for a user, the user-user method employs a strategy that broadly seeks out users sharing the most similar "interaction profiles" (nearest neighbors). This method then suggests items that are highly favored among these identified neighbors and are also "new" to our target user. This approach is deemed "user-centered" as it characterizes users based on their interactions with items and calculates distances between them accordingly.

  To illustrate, suppose we aim to provide a recommendation for a specific user. Initially, each user's interactions with various items are represented by a vector (akin to "its row" in the interaction matrix). Subsequently, a measure of "similarity" is computed between our target user and all other users. This similarity metric is designed so that users exhibiting similar interactions with the same items are regarded as close. After computing similarities with every user, the algorithm retains the k-nearest-neighbors to our target user and proceeds to suggest the most popular items among this group (while considering only those items not yet interacted with by our reference user).

- **Item-item**: To provide a fresh recommendation to a user, the item-item method operates by identifying items akin to those the user has already interacted with positively. This similarity is gauged by assessing whether users who engaged with both items did so in a comparable manner. Termed "item-centered," this method characterizes items based on user interactions and evaluates the distances between them accordingly.

  Suppose we aim to furnish a recommendation for a particular user. Initially, we pinpoint the item most favored by this user and represent it (like all other items) using its interaction vector across all users ("its column" in the interaction matrix). Subsequently, we compute similarities between this "best item" and all other items. Following this computation, we retain the k-nearest-neighbors to the selected "best item" that are novel to our user of interest and propose these items.

  It's worth noting that to enhance the relevance of recommendations, we can extend this process beyond the user's favorite item and consider the n preferred items instead. In such cases, we can suggest items closely associated with several of these favored items.

# 5 Requirements

## 5.1 Functional Requirements

R1: The system verifies whether input values are accurately typed and adhere to input constraints.

R2: input values are accurately typed and within the specified constraints, the system should function as expected, meeting all requirements.

## 5.2 Nonfunctional Requirements

NFR1: **Usability** the end user of the recommender system is only expected to provide the user of interest index number. Hence there is no scientific requirement for the user.

# 6 Likely Changes

LC1: Maybe, the project uses just one of ANN or KNN methods