

# Modern C++ Programming

## 2. BASIC CONCEPTS I

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2020, v3.03



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# Preparation

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# What Compiler Should I Use?

Popular (free) compilers:

- Microsoft Visual Code (**MSVC**) is the compiler offered by Microsoft
- The GNU Compiler Collection (**GCC**) contains the most popular C++ Linux compiler
- **Clang** is a C++ compiler based on LLVM Infrastructure available for Linux/Windows/Apple (default) platforms

Suggested compiler: **Clang**

- Comparable performance with GCC/MSVC and low memory usage [[compilers comparison link](#)]
- Expressive diagnostics (examples and propose corrections)
- Strict C++ compliance. GCC/MSVC compatibility (inverse direction is not ensured)
- Includes very useful tools: memory sanitizer, static code analyzer, automatic formatting, linter, etc.
- Easy to install: [releases.llvm.org](http://releases.llvm.org)

# Install the Compiler

## Install the last gcc/g++ (v9)

```
$ sudo add-apt-repository ppa:ubuntu-toolchain-r/test  
$ sudo apt update  
$ sudo apt install gcc-9 g++-9  
$ gcc-9 --version
```

## Install the last clang/clang++ (v9)

```
$ wget https://releases.llvm.org/9.0.0/clang+llvm-9.0.0-x86_64\  
-linux-gnu-ubuntu-18.04.tar.xz  
$ tar xf clang+llvm-9.0.0-x86_64-linux-gnu-ubuntu-18.04.tar.xz  
$ PATH=$PATH:$pwd/bin  
$ LD_LIBRARY_PATH=$LD_LIBRARY_PATH:$pwd/lib64  
$ clang-9.0 --version
```

# What Editor/IDE Compiler Should I Use?

Popular C++ IDE (Integrated Development Environment) and editors:

- **Microsoft Visual Studio.** (free, Windows)
- **Clion** ([link](#)). (free for student). Powerful IDE with a lot of options
- **Atom** ([link](#)). Standalone editor oriented for programming (developed by GitHub)
- **Sublime Text editor** ([link](#)). Stand-alone editor oriented to programming
- **QT-Creator** ([link](#)). Fast (written in C++), simple
- **XCode, Eclipse (Cvelop, [www.cvelop.com](http://www.cvelop.com)), Vim**, etc.

Not suggested:

- Notepad, Gedit, and other similar editors  
Lack of support for programming

# How to Compile?

Compile C++11, C++14, C++17 programs:

```
g++ -std=c++11 <program.cpp> -o program  
g++ -std=c++14 <program.cpp> -o program  
g++ -std=c++17 <program.cpp> -o program
```

Compiler version and C++ Standard:

Compiler	C++11		C++14		C++17	
	Core	Library	Core	Library	Core	Library
g++	4.8.1	5.1	5.1	5.1	7.1	ongoing
clang++	3.3	3.3	3.4	3.5	5.0	ongoing
MSVC	19.0	19.0	19.10	19.0	19.14	19.14+

# Hello World

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C code with printf:

```
#include <stdio.h>

int main() {
    printf("Hello World!\n");
}
```

printf prints on standard output

C++ code with streams:

```
#include <iostream>

int main() {
    std::cout << "Hello World!\n";
}
```

cout : represent the standard output stream

The previous example can be written with the global std namespace:

```
#include <iostream>
using namespace std;

int main() {
    cout << "Hello World!\n";
}
```

`std::cout` is an example of *output* stream. Data is redirected to a destination, in this case the destination is the standard output

C: `#include <stdio.h>`

```
int main() {
    int     a    = 4;
    double b    = 3.0;
    char   c[]  = "hello";
    printf("%d %f %s\n", a, b, c);
}
```

C++: `#include <iostream>`

```
int main() {
    int     a    = 4;
    double b    = 3.0;
    char   c[]  = "hello";
    std::cout << a << " " << b << " " << c << "\n";
}
```

- **Type-safe:** The type of object pass to I/O stream is known statically by the compiler. In contrast, `printf` uses "%" fields to figure out the types dynamically
- **Less error prone:** With IO Stream, there are no redundant "%" tokens that have to be consistent with the actual objects pass to I/O stream. Removing redundancy removes a class of errors
- **Extensible:** The C++ IO Stream mechanism allows new user-defined types to be pass to I/O stream without breaking existing code
- **Comparable performance:** If used correctly may be faster than C I/O (`printf`, `scanf`, etc)

- Forget the number of parameters:

```
printf("long phrase %d long phrase %d", 3);
```

- Use the wrong format:

```
int a = 3;  
...many lines of code...  
printf(" %f", a);
```

- The "%c" conversion specifier does not automatically skip any leading white space:

```
scanf("%d", &var1);  
scanf(" %c", &var2);
```

# C++ Primitive Types

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Type	Size (bytes)	Range	Fixed width types
bool	1	true, false	
char †	1	-127 to 127	
signed char	1	-128 to 127	int8_t
unsigned char	1	0 to 255	uint8_t
short	2	-2 <sup>15</sup> to 2 <sup>15</sup> -1	int16_t
unsigned short	2	0 to 2 <sup>16</sup> -1	uint16_t
int	4	-2 <sup>31</sup> to 2 <sup>31</sup> -1	int32_t
unsigned int	4	0 to 2 <sup>32</sup> -1	uint32_t
long int	4/8*		int32_t/int64_t
long unsigned int	4/8*		uint32_t/uint64_t
long long int	8	-2 <sup>63</sup> to 2 <sup>63</sup> -1	int64_t
long long unsigned int	8	0 to 2 <sup>64</sup> -1	uint64_t
float (IEEE 754)	4	$\pm 1.18 \times 10^{-38}$ to $\pm 3.4 \times 10^{+38}$	
double (IEEE 754)	8	$\pm 2.23 \times 10^{-308}$ to $\pm 1.8 \times 10^{+308}$	

\* 4 bytes on Windows64 systems, † one-complement

- **Any other entity in C++ is**
  - an *alias* to the correct type depending to the context and the architectures
  - a *composition* of builtin types: struct, class, union, etc.
- Interesting: C++ does not explicitly define the size of a byte (see Exotic architectures the standards committees care about)

## Builtin Types - Short Name

Signed Type	short name
signed char	/
signed short int	short
signed int	int
signed long int	long
signed long long int	long long

Unsigned Type	short name
unsigned char	/
unsigned short int	unsigned short
unsigned int	unsigned
unsigned long int	unsigned long
unsigned long long int	unsigned long long

# Builtin Types - Suffix and Prefix

Type	SUFFIX	example
int	<u>NO PREFIX</u>	2
unsigned int	u	3u
long int	l	8l
long unsigned	ul	2ul
long long int	ll	4ll
long long unsigned	ull	7ull
int		
float	f	3.0f
double		3.0

Representation	PREFIX	example
Binary C++14	0b	0b010101
Octal	0	0308
Hexadecimal	0x or 0X	0xFFA010

# Conversion Rules

**Implicit type conversion rules** (applied in order) :

$\otimes$ : any operations (\*, +, /, -, %, etc.)

**(a) Floating point promotion**

`floating-type  $\otimes$  integer-type = floating-type`

**(b) Size promotion**

`small-type  $\otimes$  large-type = large-type`

**(c) Sign promotion**

`signed-type  $\otimes$  unsigned-type = unsigned-type`

# Common Errors

- Integers are not floating points!

```
int    b = 7;  
float a = b / 2;    // a = 3 not 3.5!!  
int    a = b / 2.0; // again a = 3 not 3.5!!
```

- Integer type are more accurate than floating types for large numbers!!

```
cout << 16777217;           // print 16777217  
cout << (int) 16777217.0f; // print 16777216!!  
cout << (int) 16777217.0;  // print 16777217, double ok
```

- float numbers are different from double numbers!

```
cout << (1.1 != 1.1f); // print true !!!
```

# Other Data Types

- C++ provides also `long double` (no IEEE-754) of size 8/12/16 bytes depending on the implementation
- C++ does not provide support for **half float** (16-bit) data type (IEEE 754-2008)
  - Some compilers already provide support for half float (GCC for ARM: `_fp16`, LLVM compiler: `half`)
  - Some modern CPUs (+ Nvidia GPUs) provide half-float instructions
  - There is a proposal (next standard) since 2016
  - Software support (OpenGL, Photoshop, Lightroom, [half.sourceforge.net](http://half.sourceforge.net))

## `size_t` and `std::byte`

### `size_t <cstddef>`

`size_t` is a data type (alias) capable of storing the biggest representable value on the current architecture

- `size_t` is an unsigned integer type (of at least 16-bit)
- In common C++ implementations:
  - `size_t` is 4 bytes on 32-bit architectures
  - `size_t` is 8 bytes on 64-bit architectures
- `size_t` is commonly used to represent size measures

C++17 defines also `std::byte` type to represent a collection of bit (`<cstddef>`). It supports only bitwise operations (no conversions or arithmetic operations)

## void Type

`void` is an incomplete type (not defined) without a values

- `void` indicates also a function has no return type  
e.g. `void f()`
- `void` indicates also a function has no parameters  
e.g. `f(void)`
- In C `sizeof(void) == 1` (GCC), while in C++  
`sizeof(void)` does not compile!!

```
int main() {  
    // sizeof(void); // compile error!!  
}
```

## Pointer type

The **type of a pointer** (e.g. `void*`) is an *unsigned* integer of 32-bit/64-bit depending on the underlying architecture

- It only supports the operators `+`, `-`, `++`, `--` and comparisons `==`, `!=`, `<`, `<=`, `>`, `>=`
- A pointer cannot be implicitly converted to an integer type

```
void* x;  
size_t y = (size_t) x; // ok  
// size_t y = x;       // compile error
```

## nullptr Keyword

C++11 introduces the new keyword `nullptr` to represent null pointers (instead of `NULL` macro)

```
int* p1 = NULL;      // ok, equal to int* p1 = 0
int* p2 = nullptr; // ok, nullptr is a pointer not a number

int n1 = NULL;      // ok, we are assigning 0 to n1
// int n2 = nullptr; // error! we are assigning a null pointer
//                           to an integer variable

// int* p2 = true ? 0 : nullptr; // incompatible types
```

Remember: `nullptr` is not a pointer, but an object of type `nullptr_t` → safer

# Integral Data Types

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# A Firmware Bug

*“Certain SSDs have a firmware bug causing them to irrecoverably fail after exactly 32,768 hours of operation. SSDs that were put into service at the same time will fail simultaneously, so RAID won’t help”*

HPE SAS Solid State Drives – Critical Firmware Upgrade



# Overflow Implementations



The latest news from Google AI

## Extra, Extra - Read All About It: Nearly All Binary Searches and Mergesorts are Broken

Friday, June 2, 2006

Posted by Joshua Bloch, Software Engineer

other examples: average, ceiling division, rounding division

# C++ Data Model

**LP32** Windows 16-bit APIs (no more used)

**ILP32** Windows 32-bit APIs, Unix 32-bit (Linux, Mac OS)

**LLP64** Windows 64-bit APIs

**LP64** Linux 64-bit APIs

Model/Bits	short	int	long	long long	pointer
ILP32	16	32	32	64	32
LLP64	16	32	32	64	64
LP64	16	32	64	64	64

`char == 8 bit`

```
int*_t <cstdint>
```

C++ provides fixed width integer types. They have the same size on any architecture:

```
int8_t, uint8_t, int16_t, uint16_t
```

```
int32_t, uint32_t, int64_t, uint64_t
```

*Good practice:* Prefer fixed-width integers instead of native types.

`int` and `unsigned` can be directly used as they are widely accepted by C++ data models

Warning: I/O Stream interprets `uint8_t` and `int8_t` as `char` and not as integer values

```
int8_t var;  
cin >> var; // read '2'  
cout << var; // print '2'  
int a = var * 2;  
cout << a; // print '100' !!
```

`int*_t` types are not “real” types, they are merely *typedefs* to appropriate fundamental types

C++ standard does not ensure an one-to-one mapping:

- There are **five** distinct *fundamental types* (`char`, `short`, `int`, `long`, `long long`)
- There are **four** `int*_t` overloads (`int8_t`, `int16_t`, `int32_t`, and `int64_t`)

```
#include <cstdint>
void f(int16_t x) {}
void f(int32_t x) {}
void f(int64_t x) {}
int main() {
    int x = 0;
    f(x); // compile error!! under 32-bit ARM GCC
} // "int" is not mapped to int*_t type in this (very) particular case
```

Signed and unsigned integers use the same hardware for their operations, but they have very different semantic:

## signed integers

- Represent positive, negative, and zero values ( $\mathbb{Z}$ )
- More negative values ( $2^{31} - 1$ ) than positive ( $2^{31} - 2$ )
- Overflow/underflow is undefined behavior

Possible behavior:

$$\text{overflow: } (2^{31} - 1) + 1 \rightarrow -1$$

$$\text{underflow: } -2^{31} - 1 \rightarrow 0$$

- Bit-wise operations are implementation-defined
- Commutative, reflexive, not associative (overflow)

## unsigned integers

- Represent only *non-negative* values ( $\mathbb{N}$ )
- Overflow/underflow is well-defined (modulo  $2^{32}$ )
- Discontinuity in  $0, 2^{32} - 1$
- Bit-wise operations are well-defined
- Commutative, reflexive, associative

## Google Style Guide

Because of historical accident, the C++ standard also uses unsigned integers to represent the size of containers - many members of the standards body believe this to be a mistake, but it is effectively impossible to fix at this point

**Solution:** use `int64_t`

**max value:**  $2^{63} - 1 = 9,223,372,036,854,775,807$  or  
9 quintillion (9 billion of billion),  
about 292 years (nanoseconds),  
9 million terabytes

## Builtin type limits

Query properties of arithmetic types in C++11:

```
#include <limits>

std::numeric_limits<int>::max();           // 231 - 1
std::numeric_limits<uint16_t>::max(); // 65,535

std::numeric_limits<int>::min();           // -231
std::numeric_limits<unsigned>::min(); // 0
```

# Promotion, Truncation, and Shift

*Promotion* to a larger type keeps the sign

```
int16_t x = -1;  
int      y = x; // sign extend  
cout << y;      // print -1  
  
int64_t    z = 4294967296; // 2^32 ok  
// int64_t z1 = 1 << 32;    // wrong!! (1) signed shift  
                           // (2) shift > bits  
                           // z is (potentially) 0
```

*Truncation* to a smaller type is implemented as a modulo operation and keeps the sign

```
int      x = 65537; // 2^16 + 1  
int16_t y = x;      // x % 2^16  
cout << y;          // print 1
```

# Implicit Conversion

Integral data types smaller than 32-bit are *implicitly* promoted to `int`, independently if they are *signed* or *unsigned*

- Unary `+`, `-`, `~` and Binary `+`, `-`, `&`, etc. promotion:

```
char a = 48;           // '0'  
cout << a;            // print '0'  
cout << +a;           // print '48'  
cout << (a + 0);     // print '48'  
  
uint8_t a1 = 255;  
uint8_t b1 = 255;  
cout << (a1 + b1);   // print '510' (no overflow)
```

## Common errors:

```
unsigned a = 10; // array is small
int      b = -1;
array[10ull + a * b] = 0; // ?
```

💀 Segmentation fault!

```
int f(int a, unsigned b, int* array) { // array is small
    if (a > b)
        return array[a - b]; // ?
    return 0;
}
```

💀 Segmentation fault!

```
// v.size() return unsigned
for (size_t i = 0; i < v.size() - 1; i++)
    array[i] = 3; // ?
```

💀 Segmentation fault for v.size() = 0!

Easy case:

```
unsigned x = 32;      // x can be also a pointer
x          += 2u - 4; // 2u - 4 = 2 - (2^32 - 4)
                  // (32 + (-2^32 + 2)) % 2^32
cout << x;           // print 30 (as expected)
```

What about the following code?

```
uint64_t x = 32;      // x can be also a pointer
x          += 2u - 4;
cout << x;
```

*More negative values than positive*

```
int x = std::numeric_limits<int>::max() * -1; // (2^31 -1) * -1
cout << x;                                // -2^31 +1 ok

int y = std::numeric_limits<int>::min() * -1; // -2^31 * -1
cout << y;                                // 2^31 overflow!!
```

*A practical example:*

```
void f(int* ptr, int size) {
    size += 1;
    if (size < 0) return; // <-- the compiler assumes that
    ptr[pos] = 0;        // signed overflow never happen
}
                                // and removes the if statement
int main() {                  // compiled with optimizations
    int tmp[10];              // leads to segmentation faults
    f(tmp, INT_MAX);
}
```

*Shift larger than #bits of the data type is undefined behavior even for `unsigned`*

```
unsigned x = 1;  
unsigned y = x >> 32; // undefined behavior!!
```

*Undefined behavior in implicit conversion*

```
uint16_t a2 = 65535; // 0xFFFF  
uint16_t b2 = 65535; // 0xFFFF  
cout << (a2 * b2); // print '-131071' (0xFFFFE0001)  
// /\textcolor{Maroon}{undefined behavior!!}/ (in
```

*Even worse example:*

```
#include <iostream>

int main() {
    for (int i = 0; i < 4; ++i)
        std::cout << i * 1000000000 << std::endl;
}

// with optimizations, it is an infinite loop
// \textcolor{Maroon}{undefined behavior!!}

// the compiler translates the multiplication constant
// into an addition
```

## Overflow / Underflow

Floating point types have infinity values ( `+inf`, `-inf` ) and no overflow/underflow behavior

Detect overflow/underflow for unsigned integral types is **not trivial**

```
bool isAddOverflow(unsigned a, unsigned b) {
    return (a + b) < a || (a + b) < b;
}

bool isMulOverflow(unsigned a, unsigned b) {
    unsigned x = a * b;
    return a != 0 && (x / a) != b;
}
```

Overflow/underflow for signed integral types is **not defined !!**

*Undefined behavior* must be checked before performing the operation

# Floating-point Arithmetic

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## 32/64-bit Floating-Point

**IEEE754** is the technical standard for floating-point arithmetic

The standard defines the binary format, operations behavior, rounding rules, exception handling, etc.

Releases:

- First: 1985
- Second: 2008. Add 16-bit floating point
- Third: 2019. Specify min/max behavior

IEEE764 technical document:

754-2019 - IEEE Standard for Floating-Point Arithmetic

In general, *C/C++ adopts IEEE754 floating-point standard*

# 32/64-bit Floating-Point

- IEEE764 Single precision (32-bit) (float)

Sign	Exponent (or base)	Mantissa (or significant)
1-bit	8-bit	23-bit

- IEEE764 Double precision (64-bit) (double)

Sign	Exponent (or base)	Mantissa (or significant)
1-bit	11-bit	52-bit

# 16-bit Floating-Point

- IEEE754 16-bit Floating-point (fp16)



- Google 16-bit Floating-point (bfloat16)



## Other Real Value Representations (non-standard)

- **Posit** (John Gustafson, 2017), also called *unum III (universal number)*, represents floating-point values with *variable-width* of exponent and mantissa
- **Fixed-point** representation has a fixed number of digits after the radix point (decimal point). The gaps between adjacent numbers are always equal. The range of their values is significantly limited compared to floating-point numbers

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Reference:

Beating Floating Point at its Own Game: Posit Arithmetic

## Exponent Bias

In IEEE754 floating point numbers, the exponent value is offset from the actual value by the **exponent bias**

- The exponent is stored as an unsigned value suitable for comparison
- Floating point values are lexicographic ordered
- For a single-precision number, the exponent is stored in the range [1, 254] (0 and 255 have special meanings), and is biased by subtracting 127 to get an exponent value in the range [-126, +127]
- Example

0	10000111	11000000000000000000000000000000
+	$2^{(135-127)} = 2^8$	$\frac{1}{2^1} + \frac{1}{2^2} = 0.5 + 0.25 = 0.75 \xrightarrow{\text{normal}} 1.75$

$$+1.75 * 2^8 = 448.0$$

## Floating-point number:

- Radix (or base):  $\beta$
- Precision (or digits):  $p$
- Exponent:  $e$
- Mantissa:  $M$

$$n = \underbrace{M}_{p} \times \beta^e \rightarrow \text{IEEE754: } 1.M \times 2^e$$

Some examples:

```
float f1 = 1.3f;    // 1.3
float f2 = 1.1e2f; // 1.1 · 102
float f3 = 3.7E4f; // 3.7 · 104
float f4 = .3f;    // 0.3
double d1 = 1.3;   // without "f"
double d2 = 5E3;   // 5 · 103
```

## Normal number

A **normal** number is a floating point number that can be represented *without leading zeros in its mantissa* (one in the first left position) and at least one bit set in the exponent

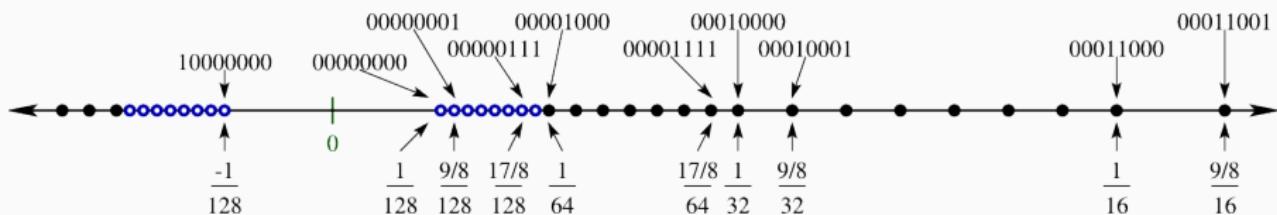
## Denormal number

**Denormal** (or subnormal) numbers fill the underflow gap around zero in floating-point arithmetic. Any non-zero number with magnitude smaller than the smallest normal number is denormal

If *the exponent is all 0s*, but the mantissa is non-zero (else it would be interpreted as zero), then the value is a denormal number

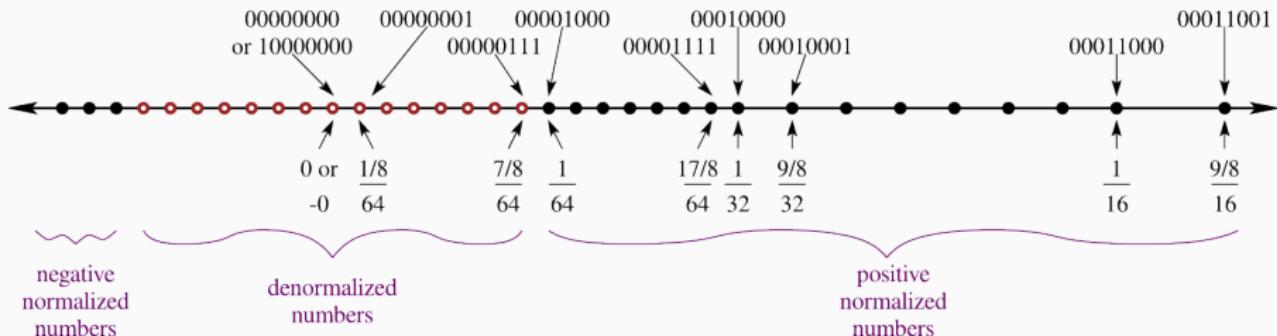
Why denormal numbers make sense:

(↓ normal numbers)



The problem: distance values from zero

(↓ denormal numbers)



# Floating-point - Special Values

- $\pm \text{infinity}$



- NaN (mantissa  $\neq 0$ )



- $\pm 0$



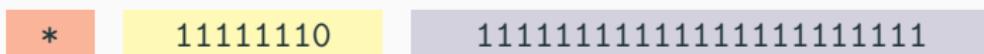
- Denormal number ( $< 2^{-126}$ ) (minimum:  $1.4 * 10^{-45}$ )



- Minimum (normal) ( $\pm 1.17549 * 10^{-38}$ )



- Lowest/Largest ( $\pm 3.40282 * 10^{38}$ )



## Machine epsilon

**Machine epsilon**  $\epsilon$  (or *machine accuracy*) is defined to be the smallest number that can be added to 1.0 to give a number other than one

IEEE 754 Single precision :  $\epsilon = 2^{-23} \approx 1.19209 * 10^{-7}$

IEEE 754 Double precision :  $\epsilon = 2^{-52} \approx 2.22045 * 10^{-16}$

# Units at the Last Place

## ULP

**Units at the Last Place** is the gap between consecutive floating-point numbers

$$ULP(p, e) = 1.0 \times \beta^{e-(p-1)}$$

Example:

$$\beta = 10, p = 3$$

$$\pi = 3.1415926\ldots \rightarrow x = 3.14 \times 10^0$$

$$ULP(3, 0) = 10^{-2} = 0.01$$

Relation with  $\epsilon$ :

- $\epsilon = ULP(p, 0)$
- $ULP_x = \epsilon * \beta^{e(x)}$

# Floating-point Error

Machine floating-point representation of  $x$  is denoted  $\text{fl}(x)$

$$\text{fl}(x) = x(1 + \delta)$$

**Absolute Error:**  $|\text{fl}(x) - x| \leq \frac{1}{2} \cdot ULP_x$

**Relative Error:**  $\left| \frac{\text{fl}(x) - x}{x} \right| \leq \frac{1}{2} \cdot \epsilon$

# Floating-point Summary

	half	bfloat16	float	double
<b>exponent</b>	5-bit [0*-30]	8-bit [0*-254]		11-bit [0*-2046]
<b>bias</b>	15		127	1023
<b>mantissa</b>	10-bit	7-bit	23-bit	52-bit
<b>largest (±)</b>	$2^{16}$ 65,536		$2^{128}$ $3.4 \cdot 10^{38}$	$2^{1024}$ $1.8 \cdot 10^{308}$
<b>smallest (±)</b>	$2^{-14}$ 0.00006		$2^{-126}$ $1.2 \cdot 10^{-38}$	$2^{-1022}$ $2.2 \cdot 10^{-308}$
<b>smallest (denormal)</b>	$2^{-24}$ $6.0 \cdot 10^{-8}$	/	$2^{-149}$ $1.4 \cdot 10^{-45}$	$2^{-1074}$ $4.9 \cdot 10^{-324}$
<b>epsilon</b>	$2^{-10}$ 0.00098	$2^{-7}$ 0.0078	$2^{-23}$ $1.2 \cdot 10^{-7}$	$2^{-52}$ $2.2 \cdot 10^{-16}$

## Floating-point - C++ limits

T: float or double

```
#include <limits>

// Check if the actual C++ implementation adopts
// the IEEE754 standard:
std::numeric_limits<T>::is_iec559;      // should return true

std::numeric_limits<T>::max();           // largest value

std::numeric_limits<T>::lowest();        // lowest value (C++11)

std::numeric_limits<T>::min();           // smallest value

std::numeric_limits<T>::denorm_min() // smallest (denormal) value

std::numeric_limits<T>::epsilon();       // epsilon value
```

# NaN Properties

## NaN

In the IEEE754 standard, NaN (not a number) is a numeric data type value representing an undefined or unrepresentable value

Operations generating NaN :

- Operations with a NaN as at least one operand
- $\pm\infty \cdot \mp\infty$ ,  $0 \cdot \infty$
- $0/0, \infty/\infty$
- $\sqrt{x} \mid x < 0$
- $\log(x) \mid x < 0$
- $\sin^{-1}(x), \cos^{-1}(x) \mid x < -1 \text{ or } x > 1$

There are many representations for NaN (e.g.  $2^{24} - 2$  for float)

Comparison:  $(\text{NaN} == x) \rightarrow \text{false}$ , for every  $x$   
 $(\text{NaN} == \text{NaN}) \rightarrow \text{false}$

# inf Properties

## inf

In the IEEE754 standard, `inf` (infinity value) is a numeric data type value that exceeds the maximum (or minimum) representable value

Operations generating `inf` :

- $\pm\infty \cdot \pm\infty$
- $\pm\infty \cdot \pm\text{finite\_value}$
- `finite_value op finite_value > max_value`
- `non-NaN / ± 0`

There is a single representation for `+inf` and `-inf`

Comparison: `(inf == finite_value)` → false

`(±inf == ±inf)` → true

# Floating-point - Useful Functions

```
#include <limits>

std::numeric_limits<T>::infinity() // infinity
std::numeric_limits<T>::quiet_NaN() // NaN
```

```
#include <cmath> // C++11

bool std::isnan(T value)      // check if value is NaN
bool std::isinf(T value)      // check if value is ±infinity
bool std::isfinite(T value)   // check if value is not NaN
                           // and not ±infinity

bool std::isnormal(T value); // check if value is normal

T    std::ldepx(T x, p)       // exponent shift  $x * 2^p$ 
int  std::ilogb(T value)     // extracts the exponent of value
```

# Floating-point Special Values Behavior

```
cout << 0 / 0;           // undefined behavior
cout << 0.0 / 0.0;       // print "nan"  (undefined behavior)
cout << 5.0 / 0.0;       // print "inf"   (undefined behavior)
cout << -5.0 / 0.0;      // print "-inf" (undefined behavior)

auto inf = std::numeric_limits<float>::infinity;
cout << (-0.0 == 0.0);             // true
cout << ((5.0f / inf) == ((-5.0f / inf))); // true
cout << (10e40f) == (10e40f + 9999999.0f); // true
cout << (10e40) == (10e40f + 9999999.0f); // false
```

C++11 allows determining if a floating-point exceptional condition has occurred by using floating-point exception facilities provided in

```
<cfenv>
```

```
#include <cfenv>
// MACRO
FE_DIVBYZERO // division by zero
FE_INEXACT   // rounding error
FE_INVALID   // invalid operation, i.e. NaN
FE_OVERFLOW   // overflow (reach saturation value +inf)
FE_UNDERFLOW  // underflow (reach saturation value -inf)
FE_ALL_EXCEPT // all exceptions

// functions
std::feclearexcept(FE_ALL_EXCEPT); // clear exception status
std::fetestexcept(<macro>);       // returns a value != 0 if an
                                   // exception has been detected
```

```
#include <cfenv>    // floating point exceptions
#include <iostream>

#pragma STDC FENV_ACCESS ON // tell the compiler to manipulate
                           // the floating-point environment
                           // (not supported by all compilers)
                           // gcc: yes, clang: no

int main() {
    std::feclearexcept(FE_ALL_EXCEPT); // clear
    auto x = 1.0 / 0.0;                // all compilers
    std::cout << (bool) std::fetestexcept(FE_DIVBYZERO); // print true

    std::feclearexcept(FE_ALL_EXCEPT); // clear
    auto x2 = 0.0 / 0.0;               // all compilers
    std::cout << (bool) std::fetestexcept(FE_INVALID); // print true

    std::feclearexcept(FE_ALL_EXCEPT); // clear
    auto x4 = 1e38f * 10;              // gcc: ok
    std::cout << std::fetestexcept(FE_OVERFLOW);           // print true
}
```

Floating-point operations are written

- $\oplus$  addition
- $\ominus$  subtraction
- $\otimes$  multiplication
- $\oslash$  division

$$\odot \in \{\oplus, \ominus, \otimes, \oslash\}$$

$op \in \{+, -, *, \backslash\}$  denotes exact precision operations

(P1) In general,  $a \text{ op } b \neq a \odot b$

(P2) **Not Reflexive**  $a \odot a$

- *Reflexive without NaN*

(P3) **Not Commutative**  $a \odot b \neq b \odot a$

- *Commutative without NaN ( $\text{NaN} \neq \text{NaN}$ )*

(P4) In general, **Not Associative**  $(a \odot b) \odot c \neq a \odot (b \odot c)$

(P5) In general, **Not Distributive**  $(a \oplus b) \otimes c \neq (a \cdot c) \oplus (b \cdot c)$

(P6) **Identity on operations is not ensured**  $(k \oslash a) \otimes a \neq a$

(P7) **No overflow/underflow** Floating-point has "saturation"  
values  $\text{inf}$ ,  $-\text{inf}$

- Adding (or subtracting) can "saturate" before  $\text{inf}$ ,  $-\text{inf}$

# Floating-point Issues

---



**Ariane 5:** data conversion from 64-bit floating point value to 16-bit signed integer  
→ \$137 million



**Patriot Missile:** small chopping error at each operation, 100 hours activity  
→ 28 deaths

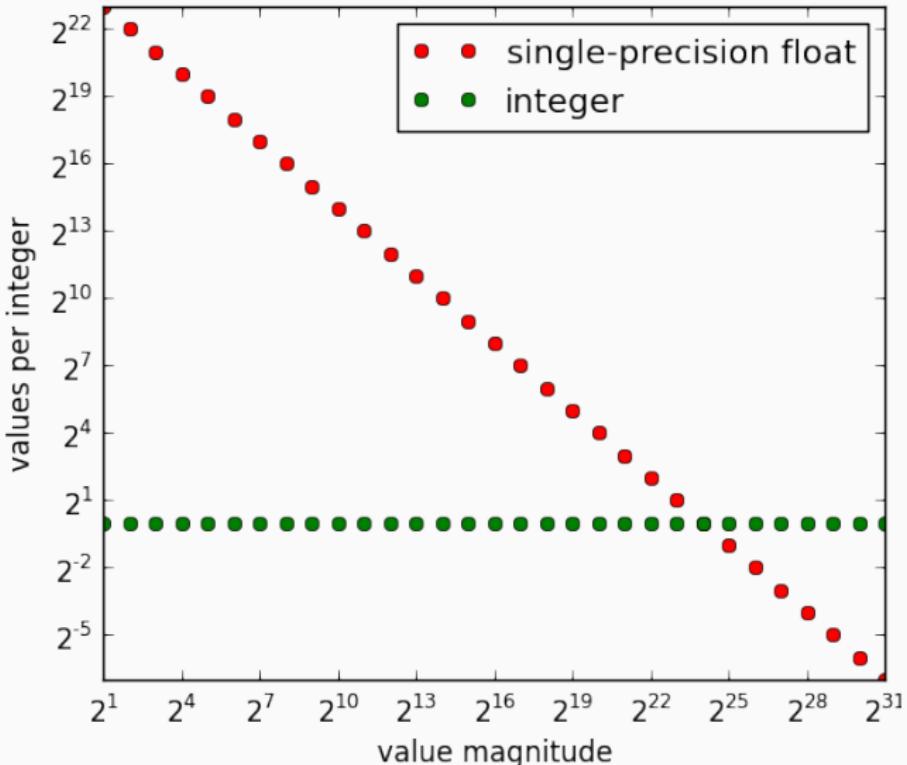
## The floating point precision is finite!

```
cout << setprecision(20);
cout << 3.33333333f; // print 3.333333254!!
cout << 3.33333333; // print 3.33333333
cout << (0.1 + 0.1 + 0.1 + 0.1 + 0.1 + 0.1);
// print 0.5999999999999998
```

## Floating point arithmetic is not associative

```
cout << 0.1 + (0.2 + 0.3) == (0.1 + 0.2) + 0.3; // print false
```

IEEE764 Floating-point computation guarantees to produce **deterministic** output, namely the exact bitwise value for each run, if and only if the order of the operations is always the same  
→ *same result on any machine and for all runs*



$$\text{Intersection} = 16,777,216 = 2^{24}$$

## Floating-point increment

```
float x = 0.0f;  
for (int i = 0; i < 20000000; i++)  
    x += 1.0f;
```

What is the value of `x` at the end of the loop?

---

Ceiling division  $\left\lceil \frac{a}{b} \right\rceil$

```
//           std::ceil((float) 101 / 2.0f) -> 50.5f -> 51  
float x = std::ceil((float) 20000001 / 2.0f);
```

## The problem

```
cout << (0.11f + 0.11f < 0.22f); // print true!!
cout << (0.1f + 0.1f > 0.2f);    // print true!!
```

Do not use absolute error margins!!

```
bool areFloatNearlyEqual(float a, float b) {
    if (std::abs(a - b) < epsilon); // epsilon is fixed by the user
        return true
    return false;
}
```

Problems:

- Fixed epsilon “looks small” but, it could be too large when the numbers being compared are very small
- If the compared numbers are very large, the epsilon could end up being smaller than the smallest rounding error, so that the comparison always returns false

**Solution:** Use relative error  $\frac{|a-b|}{b} < \epsilon$

```
bool areFloatNearlyEqual(float a, float b) {
    if (std::abs(a - b) / b < epsilon); // epsilon is fixed
        return true
    return false;
}
```

Problems:

- `a=0, b=0` The division is evaluated as `0.0/0.0` and the whole if statement is `(nan < epsilon)` which always returns false
- `b=0` The division is evaluated as `abs(a)/0.0` and the whole if statement is `(+inf < epsilon)` which always returns false
- `a and b very small.` The result should be true but the division by `b` may produce wrong results
- `It is not commutative.` We always divide by `b`

Possible solution:  $\frac{|a-b|}{\max(|a|,|b|)} < \varepsilon$

```
bool areFloatNearlyEqual(float a, float b) {
    const float normal_min      = std::numeric_limits<float>::min();
    const float relative_error = <user_defined>

    if (std::isfinite(a) || isfinite(b)) // a = ±∞, b = ±∞ and NaN
        return false;
    float diff  = std::abs(a - b);
    // if "a" and "b" are near to zero, the relative error is less
    // effective
    if (diff <= normal_min)
        return true; // or also: user_epsilon * normal_min

    float abs_a = std::abs(a);
    float abs_b = std::abs(b);
    return (diff / std::max(abs_a, abs_b)) <= relative_error;
}
```

# Floating-point Algorithms

- **addition algorithm** (simplified):

- (1) Compare the exponents of the two numbers. Shift the smaller number to the right until its exponent would match the larger exponent
- (2) Add the mantissa
- (3) Normalize the sum if needed (shift right/left the exponent)

- **multiplication algorithm** (simplified):

- (1) Multiplication of mantissas. The number of bits of the result is twice the size of the operands (46 + 2 bits, +2 for implicit normalization)
- (2) Normalize the product if needed (shift right/left the exponent)
- (3) Addition of the exponents

- **fused multiply-add (fma)**:

- Recent architectures (also GPUs) provide fma to compute these two operations in a single instruction (performed by the compiler)
- The rounding error is lower  $fl(fma(x, y, z)) < fl((x \otimes y) \oplus z)$

## Catastrophic Cancellation

**Catastrophic cancellation** (or *loss of significance*) refers to loss of relevant information in a floating-point computation that cannot be recovered

Two cases:

- (1)  $a \pm b$ , where  $a \gg b$  or  $b \gg a$ . The value (or part of the value) of the smaller number is lost
- (2)  $a - b$ , where  $a \approx b$ . Loss of precision in both  $a$  and  $b$ . It implies large relative error

*How many iterations performs the following code?*

```
while (x > 0)  
    x = x - y;
```

```
float:  x = 10,000,000  y = 1      -> 10,000,000  
float:  x = 30,000,000  y = 1      -> does not terminate  
float:  x =      200,000  y = 0.001 -> does not terminate  
bfloat: x =        256    y = 1      -> does not terminate !!
```

Let's solve a quadratic equation:

$$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

$$x^2 + 5000x + 0.25 \quad x_{1,2} = 0.00005, -5000$$

```
(-5000 + std::sqrt(5000.0f * 5000.0f - 4.0f * 1.0f * 0.25f)) / 2
(-5000 + std::sqrt(25000000.0f - 1.0f)) / 2 // !!
(-5000 + std::sqrt(25000000.0f)) / 2
(-5000 + 5000) / 2 = 0
```

relative error:  $\frac{|0 - 0.00005|}{0.00005} = 100\%$

## Minimize Error Propagation

- Prefer **multiplication/division** rather than addition/subtraction
- Scale by a **power of two** is safe
- Try to reorganize the computation to **keep near** numbers with the same scale (e.g. sorting numbers)
- Consider to **put a zero** very small number (under a threshold). Common application: iterative algorithms
- **Switch to log scale.** Multiplication becomes Add, and Division becomes Subtraction

# References

## Suggest reading:

- What Every Computer Scientist Should Know About Floating-Point Arithmetic
- Do Developers Understand IEEE Floating Point?
- Yet another floating point tutorial
- Unavoidable Errors in Computing

## Floating-point Comparison:

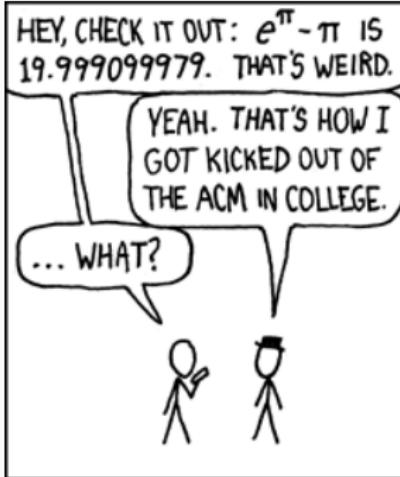
- The Floating-Point Guide - Comparison
- Comparing Floating Point Numbers, 2012 Edition
- Some comments on approximately equal FP comparisons
- Comparing Floating-Point Numbers Is Tricky

## Floating point online visualization tool:

[www.h-schmidt.net/FloatConverter/IEEE754.html](http://www.h-schmidt.net/FloatConverter/IEEE754.html)

see “Code Optimization” for other floating-point related issues

# On Floating-point



DURING A COMPETITION, I TOLD THE PROGRAMMERS ON OUR TEAM THAT  $e^{\pi} - \pi$  WAS A STANDARD TEST OF FLOATING-POINT HANDLERS -- IT WOULD COME OUT TO 20 UNLESS THEY HAD ROUNDING ERRORS.

